



# Thermal gradients and Energy equipartition - numerical and theoretical

Paolo De Gregorio

[paolo.deggregorio@polito.it](mailto:paolo.deggregorio@polito.it)

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## Scientific context

Framework developed during RareNoise project, which comprised a large team over the years:

L. Conti, L. Rondoni, M. Bonaldi, M. Borrielli, C. Lazzaro, G. Karapetyan,  
M. Pegoraro, P. Adamo, R.-K. Takhur, R. Belousov.  
R. Hajj, C. Poli, A.B. Gounda, S. Longo, M. Saraceni

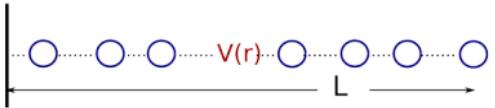
FP7 - IDEAS - ERC grant agreement n. 202680  
INFN Padova - INFN Torino - CNR Trento



## Outline

- A deterministic, dynamical simulation model of a 1d rod.
- Realistic equilibrium "canonical" thermoelastic properties.
- Nonequilibrium thermoelastic properties.
- Vibrational modes in and out of equilibrium: failure of the vibrational "thermometer"?

## Modelling hard rods with one-dimensional chains - equilibrium



$L=L(t)$  is the observable

Defined a variation of the celebrated FPUT chain:

$$V_t(x) = h \left[ (x-1)^2 - \lambda(x-1)^3 + \mu(x-1)^4 \right]$$

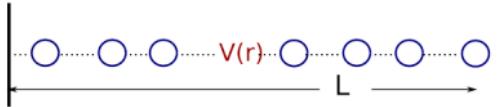
and compared it to a more ‘phenomenological’ interaction potential

$$V_{LJ}(x) = \epsilon \left( x^{-12} - 2x^{-6} \right) \quad x = r/r_0$$

$$m\ddot{r}_i = -\frac{\partial V(|r_i - r_{i+1}|)}{\partial r_i} - \frac{\partial V(|r_i - r_{i-1}|)}{\partial r_i} - \chi \dot{r}_i \quad \text{deterministic dynamics}$$

$$\dot{x} = \frac{m}{\tau^2} \left( \frac{K}{k_B T} - 1 \right); \quad K(t) = \frac{m}{N} \sum_{j=1}^N \dot{r}_j(t)^2; \quad i = 1, \dots, N, \quad r_{N+1} \equiv r_N + R_0$$

## Modelling hard rods with one-dimensional chains - equilibrium



$L = r_N$  as the observable

In a second variation, only the two ends are in contact with a thermostat.

$T_{Left} = T_{Right} = T$  at equilibrium.

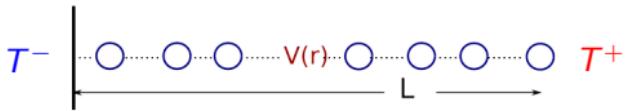
$$m\ddot{r}_i = -\frac{\partial V(|r_i - r_{i+1}|)}{\partial r_i} - \frac{\partial V(|r_i - r_{i-1}|)}{\partial r_i} \\ i = 2, \dots, N-1$$

$$m\ddot{r}_i = -\frac{\partial V(|r_i - r_{i+1}|)}{\partial r_i} - \frac{\partial V(|r_i - r_{i-1}|)}{\partial r_i} - \chi_i \dot{r}_i$$

$$\dot{\chi}_i = \frac{m}{\tau^2} \left( \frac{K_i}{k_B T} - 1 \right); \quad K_i(t) = m\dot{r}_i(t)^2;$$

$$i = 1, N.$$

## Modelling hard rods with one-dimensional chains - nonequilibrium



$T_{Left} = T^-$ ,  $T_{Right} = T^+$ . A linear  $T$  profile forms.

$$m\ddot{r}_i = -\frac{\partial V(|r_i - r_{i+1}|)}{\partial r_i} - \frac{\partial V(|r_i - r_{i-1}|)}{\partial r_i} \quad i = 2, \dots, N-1$$

$$m\ddot{r}_i = -\frac{\partial V(|r_i - r_{i+1}|)}{\partial r_i} - \frac{\partial V(|r_i - r_{i-1}|)}{\partial r_i} - \chi_i \dot{r}_i; \quad i = 1, N$$

$$\dot{\chi}_1 = \frac{m}{\tau^2} \left( \frac{K_1}{k_B T^-} - 1 \right); \quad K_1(t) = m\dot{r}_1(t)^2;$$

$$\dot{\chi}_N = \frac{m}{\tau^2} \left( \frac{K_N}{k_B T^+} - 1 \right); \quad K_N(t) = m\dot{r}_N(t)^2;$$

(1)

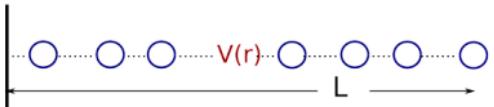


## Modelling hard rods with one-dimensional chains

For technical reasons (stability of the chain and so on), in some instances the interaction is also with second-neighbors, and two particles at each end are thermostatted.

We should not be concerned with such modification in any important sense.

## Modelling hard rods with one-dimensional chains - equilibrium



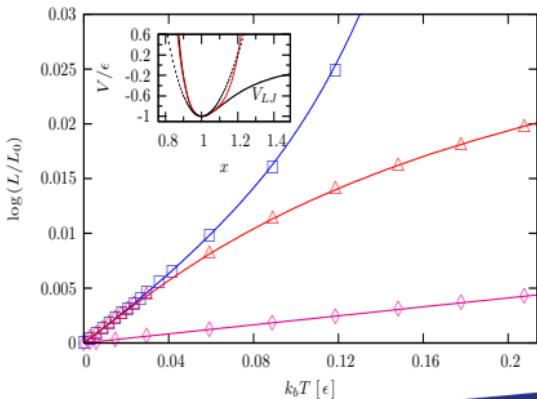
$L = r_N$  as the observable

Symbols for simulations, solid line for theory.

Derived the theoretical results  
exploiting the canonical  
distribution.

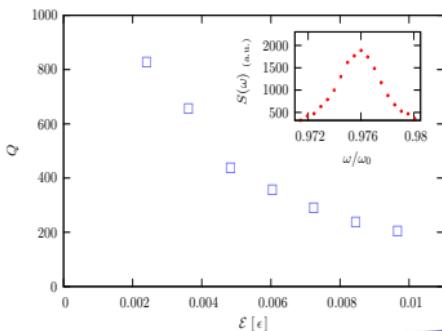
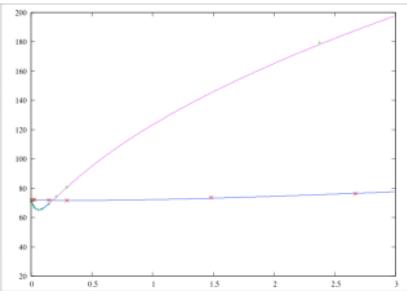
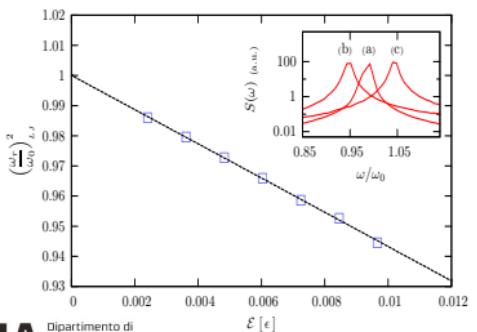
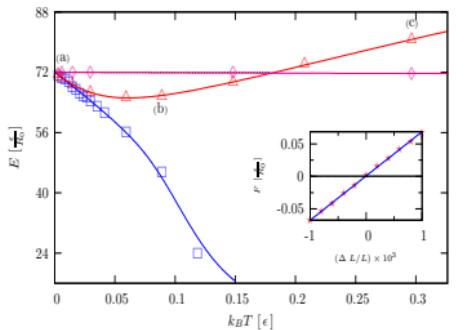
$$\psi_i = e^{-V(|r_{i+1} - r_i|)/k_B T}$$

PDG, L RONDONI, M BONALDI, L  
CONTI, PRB 84, 224103 (2011)

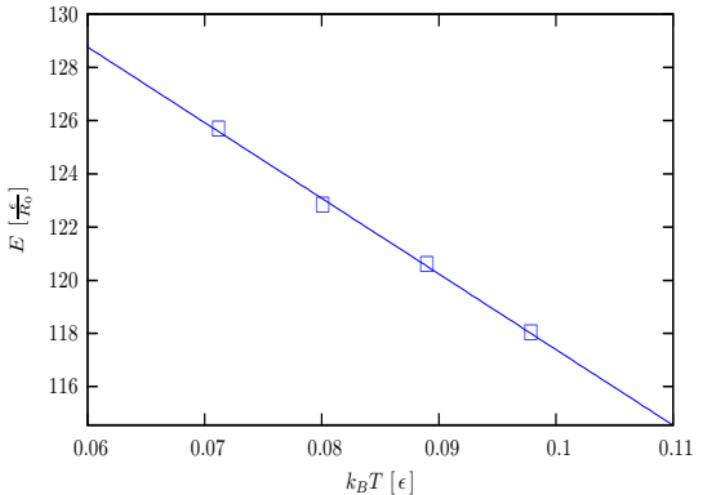
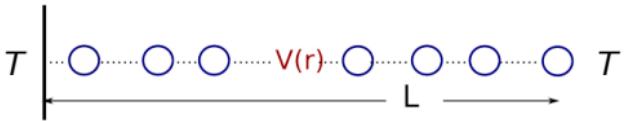


# Modelling hard rods with one-dimensional chains - equilibrium

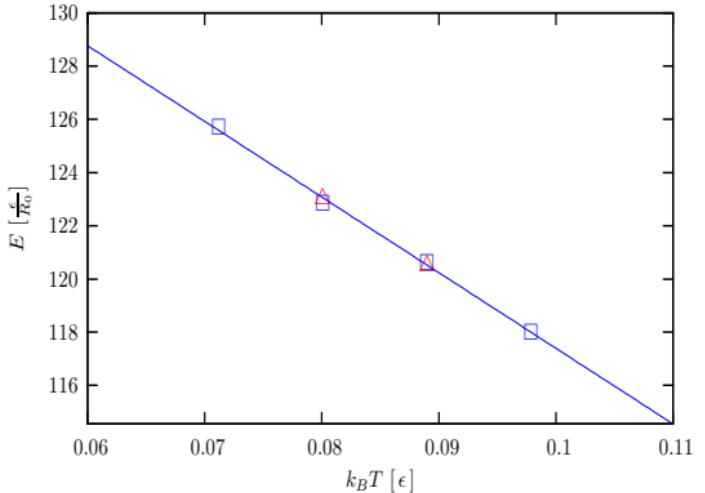
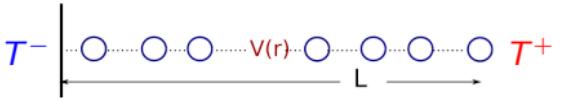
$E$ , the elastic modulus:  $F \simeq E(\Delta L/L)$ , if  $\Delta L \ll L$ .  $\omega_0$  resonance frequency of 1st mode.  $Q = \omega_0/\Delta\omega_{1/2}$



## Elastic constant LJ model - equilibrium



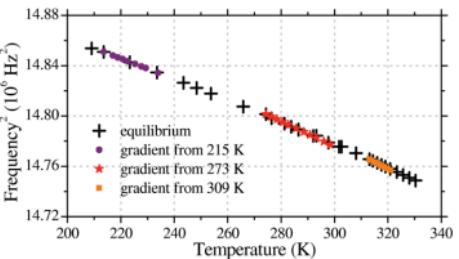
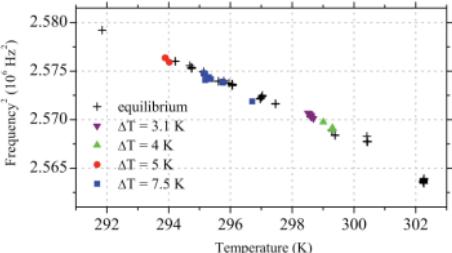
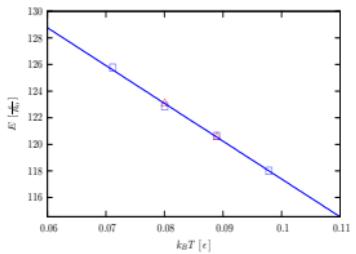
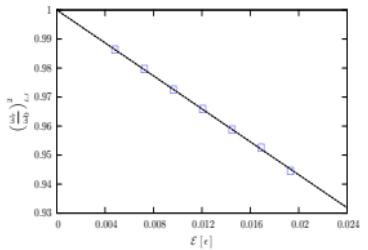
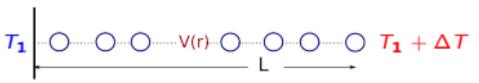
## Elastic constant LJ model - nonequilibrium



From simulations, and from theory assuming configurations are locally canonical

$$\psi_i = e^{-V(|r_{i+1} - r_i|)/k_B T_i} \Rightarrow E^{-1} = \sum_i E_i^{-1} L_i / \bar{L} \xrightarrow{\Delta E \ll \bar{E}} E \lesssim \bar{E}$$

# Elasticity - nonequilibrium simulations and RareNoise experiments



L. CONTI, PDG, M. BONALDI, A. BORRIELLI et al., PRE 85, 066605 (2012)

# Nonequilibrium thermo-elastic properties

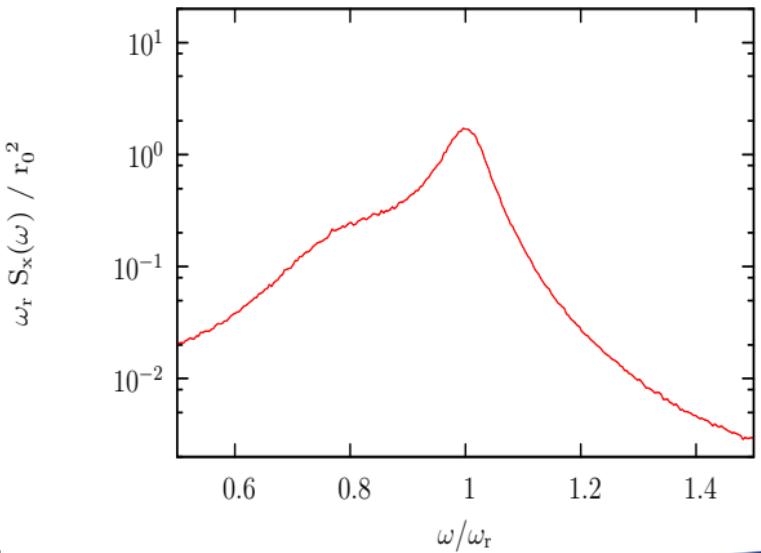
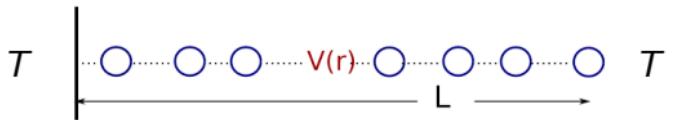
## Elasticity and temperature

- 1 1D model **reproduces** nice (real) **thermo-elastic** properties at **equilibrium**, e.g. linearity of elastic modulus  $E$  or of  $\omega_{\text{res}}^2$  with  $T$ .
- 2 It does so **also** (for not too large  $\Delta T$ 's) **out of equilibrium**.
- 3 It also agrees with experiments that out of equilibrium  $\omega_r = \omega_r(\bar{T})$ , with **average temperature**  $\bar{T} = (T_1 + T_2)/2$  and  $\omega_r(T)$  the **equilibrium** resonance frequency. (one possible explanation is that local equilibrium applies)
- 4 I.e., the resonance frequency is a good "thermometer" also out of equilibrium.

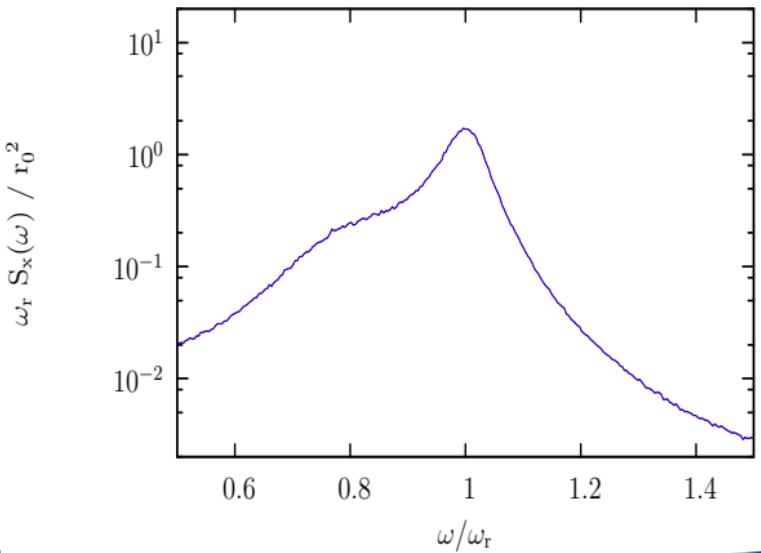
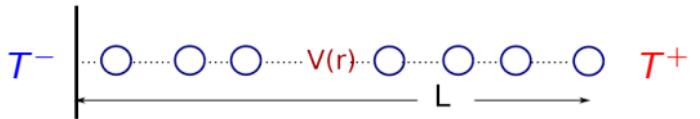
## Vibrational modes

- 1 While thermo-elastic quantities are obtained after integrating over **all** degrees of freedom, a vibrational mode is one single degree of freedom.
- 2 Is the energy associated to any vibrational mode also a good thermometer?

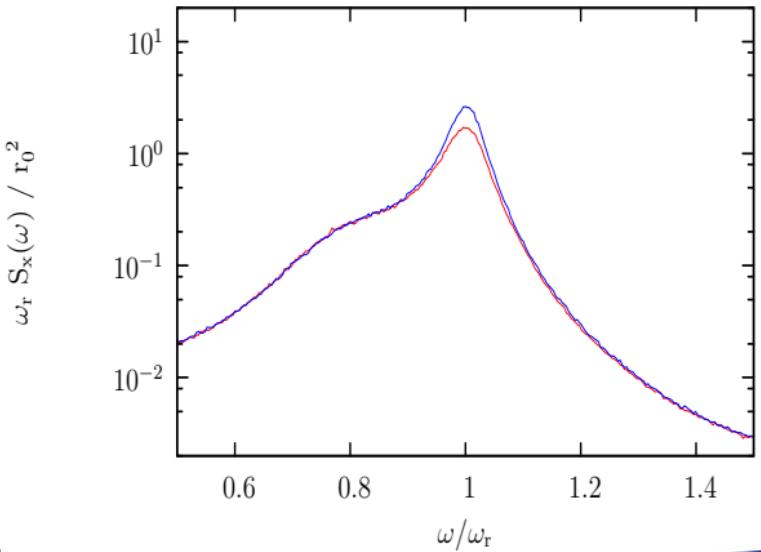
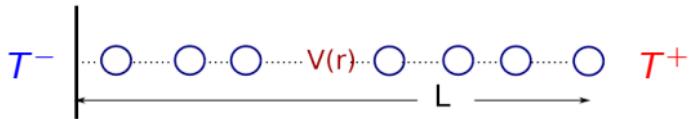
## Simulations - Power spectrum of $L(t)$ around first resonance



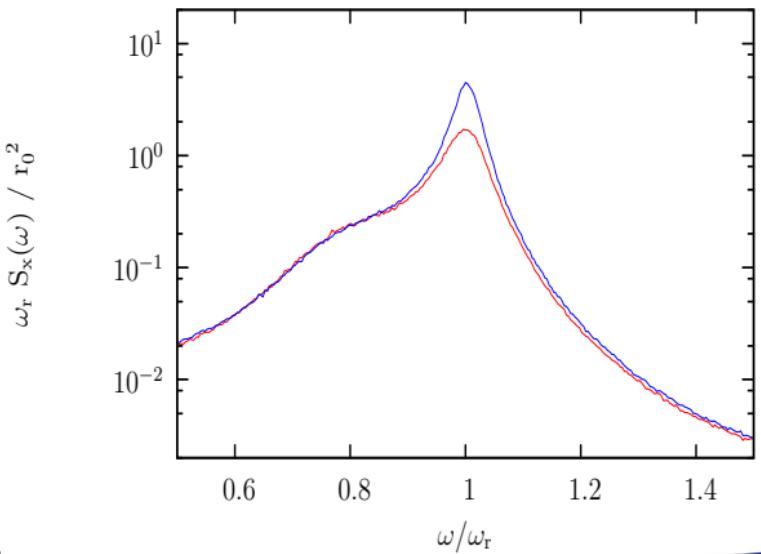
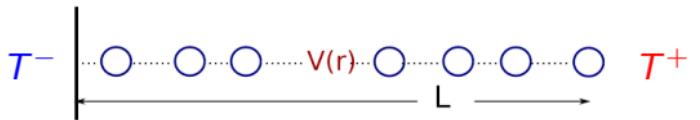
Effects of growing gradients:  $\nabla T \uparrow$  at same  $\bar{T}$



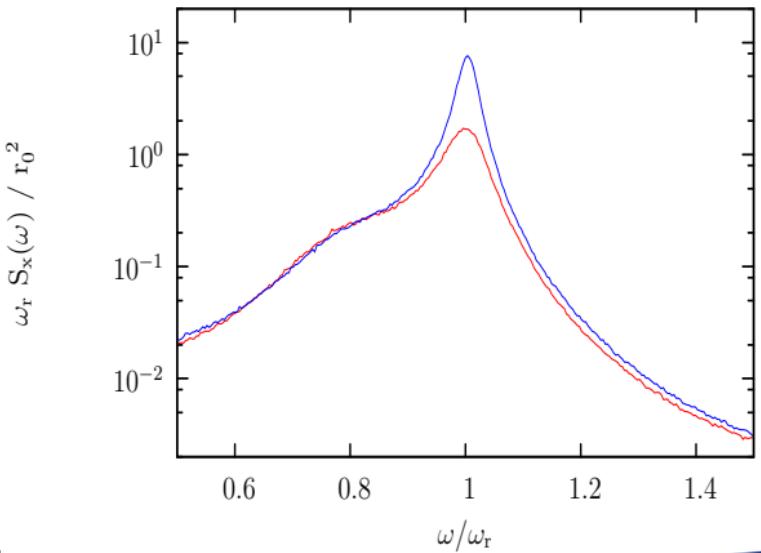
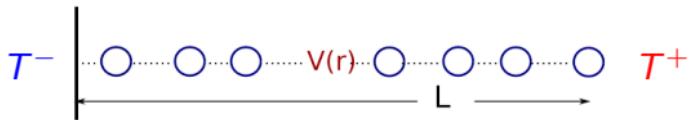
Effects of growing gradients:  $\nabla T \uparrow$  at same  $\bar{T}$



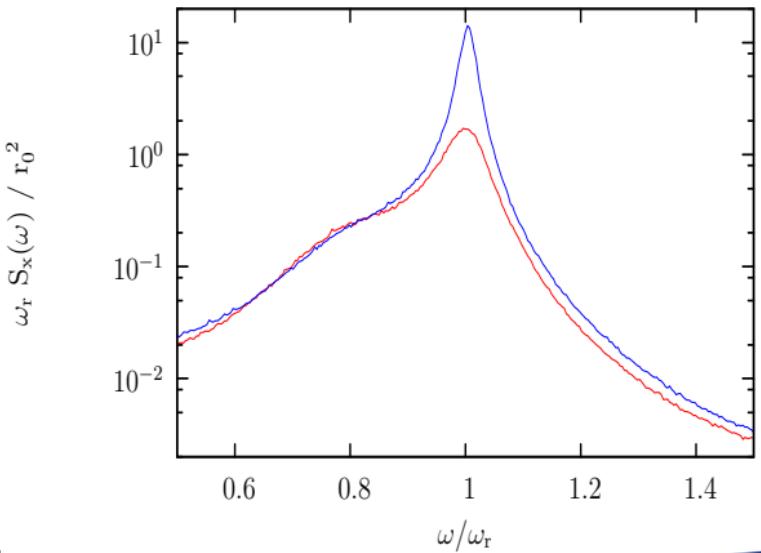
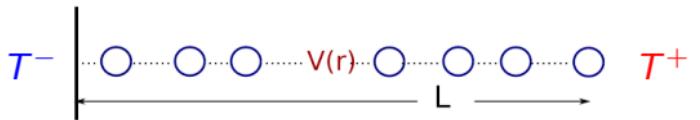
Effects of growing gradients:  $\nabla T \uparrow$  at same  $\bar{T}$



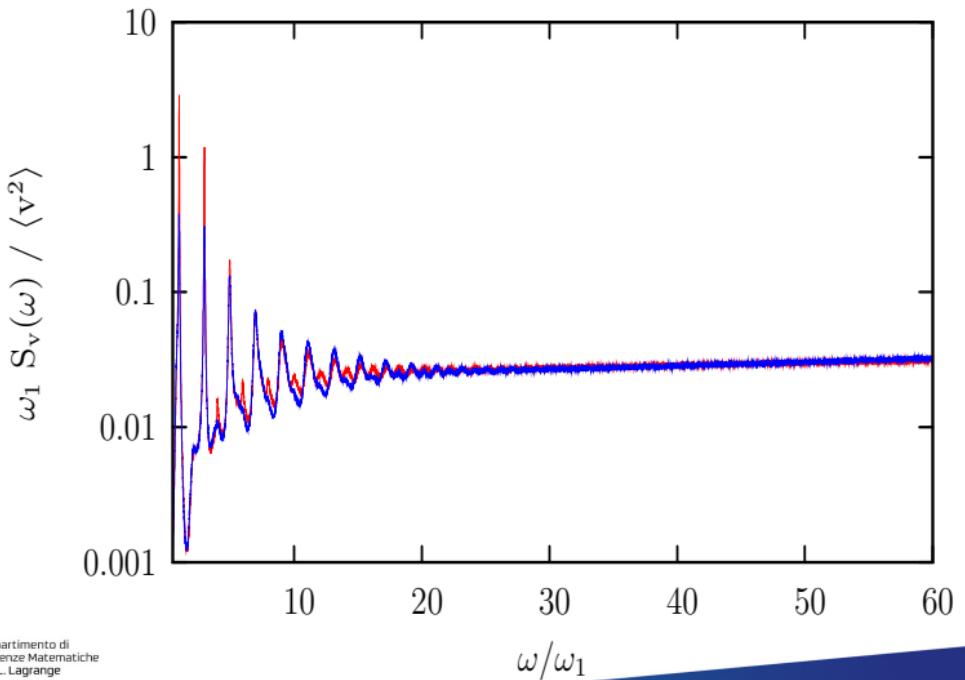
Effects of growing gradients:  $\nabla T \uparrow$  at same  $\bar{T}$



Effects of growing gradients:  $\nabla T \uparrow$  at same  $\bar{T}$



Moving body thermostatted at  $T^+$ , effect must fade out at high freq.



## Normal mode expansion and canonical distribution

High Q-factor  $\Rightarrow$  Dynamics **as if** the sum of independent damped oscillators forced by thermal noise ( $S_v(\omega)$  the sum of Lorentzian curves).

The equilibrium distribution is canonical and independent of the damping, think of e.g. Langevin, Fokker-Planck.

$\Rightarrow H$  appearing in the Boltzmann weight is diagonal in the normal mode variables, like if we had independent harmonic oscillators.

$$P(\mathbf{x}, \mathbf{v}) = \frac{\exp[-H(\mathbf{x}, \mathbf{v})/k_B T]}{Z}, \quad H = \frac{1}{2} \sum_i \mu_i (\omega_i^2 x_i^2 + v_i^2)$$

**Notice:**  $x$ 's and  $v$ 's here are not the positions and velocities of the particles in the chain. They are the variables obtained from them after a rotation.

## Normal mode expansion as an equilibrium ‘thermometer’

Therefore we can actually average the modes separately

$$P(\mathbf{x}, \mathbf{v}) = \frac{\exp -H(\mathbf{x}, \mathbf{v})/k_B T}{Z}; \quad H = \frac{1}{2} \sum_i \mu_i (\omega_i^2 x_i^2 + v_i^2)$$

↓

$$\langle x_1^2 \rangle = \frac{k_B T}{\mu_1 \omega_1^2} \quad \omega_1 = \omega_{res}$$

$T$  ideally is the actual physical temperature, and approximately so in the experiment.  $\mu_1$  the reduced mass.

Can we plugin  $\overline{T}$  for  $T$  out of equilibrium?

## One possible explanation - mode-mode correlations

Not yet really a comprehensive theory (it does not account for  $T$ -profiles).

(In search of a comprehensive theory that accounts for both local equilibrium and correlations due to energy fluxes)

In 1D models, a current  $J \neq 0$  means  $\langle x_i v_j \rangle \neq 0$  for some  $i, j$ .

(it applies to both NN coordinates and **therefore** normal-modes variables)

Hyp.: Suppose we have  $H/k_B T \rightarrow H/k_B T + \gamma J$  in Boltzmann factor, which implies  $\langle x_i v_j \rangle \neq 0$ , and apply same rotation as before.

Miller, Larson, PRA 20, 1717 (1979) Kato, Jou, PRE 64, 052201:1 (2001)

$$J = -\frac{1}{N} \sum_{i \neq k}^{1,N} j_{ik} x_i v_k$$

## One possible explanation - mode-mode correlations

We make a simpler assumption, implied by it, but more general.

$$P_{NEQ}(x_1, w) = \exp(-\mu_1 \omega_1^2 x_1^2 / 2k_B T - \mu^* w^2 / 2k_B T + \lambda \mu_1 \omega_1^2 x_1 w)$$

## The correction carried by the mode-mode correlations

$$\langle x_1^2 \rangle = \frac{\eta}{\eta - \lambda(\phi)^2} \langle x_1^2 \rangle_{eq}; \quad \eta = \frac{\mu^*}{\mu_1 \omega_1^2 (k_B T)^2}$$

$$\lambda(\phi) = \frac{1}{2\phi} (1 - \sqrt{1 + 4\eta\phi^2})$$

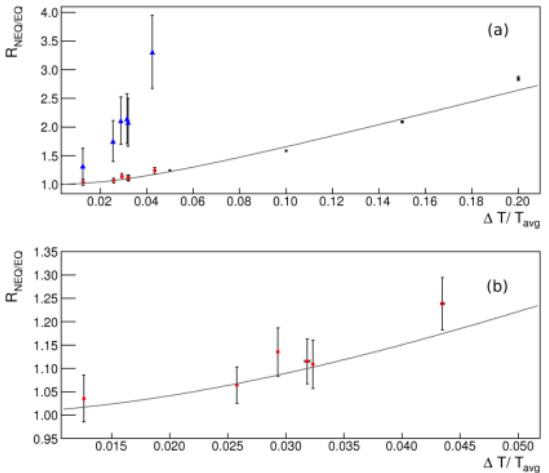
$$\langle x_1^2 \rangle \simeq \langle x_1^2 \rangle_{eq} (1 + \eta \phi^2); \quad |\phi| \ll 1/\sqrt{\eta}$$

$$\langle x_1^2 \rangle \simeq \langle x_1^2 \rangle_{eq} \sqrt{\eta} |\phi|; \quad |\phi| \gg 1/\sqrt{\eta}$$

$\phi$  the mode-mediated heat rate. If  $\phi \propto \Delta T$ , then

$$\frac{\langle x_1^2 \rangle}{\langle x_1^2 \rangle_{eq}} - 1 \propto (\Delta T)^2 \quad \Delta T \ll T$$

# Agreement theory+simulations+experiment



L CONTI, PDG, G KARAPETYAN, C LAZZARO, M PEGORARO, M BONALDI, L RONDONI, J.Stat.Mech. 1312 (2013).

P12003



Thank you for the attention



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