

Principal Component Analysis of the Primordial Tensor Power Spectrum

(arXiv:1905.08200)

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The Quest for Primordial Gravitational Waves

- Primordial B-modes in the CMB polarization produced by tensor perturbations are predicted by Inflation
- IF Detected Primordial Gravitational waves will give:
 - "Smoking gun" for inflation
 - Identify energy scale of inflation for the simplest models! (single scalar field slow-roll)
- What about more complex models? Beyond the standard model of Early Universe? Lots of Physics to be understood in this primordial signal!



Figure 1: From Planck results 2018

Our Goals and Motivations:

- 1. Examples of non-standard B-mode emission in the literature:
 - massive gravity inflation (Domenech et al. 2017)
 - open inflation(Yamauchi et al. 2011)
 - topological defects/cosmic strings (Lizarraga et al. 2014)
 - multifield inflation (Price et al. 2015)
 - modified speed of cosmological gravitational waves (Raveri et al. 2014)
 - rolling axion (Namba et al. 2016)
 - SU(2)- axion model (Dimastrogiovanni et al. 2016)
 - high-scale inflation (Baumann et al. 2016)
 - ...

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- 3. Sensitivity to features, deviations from power-law behaviour
- 4. We use **Principal Component Analysis** on Tensor Power Spectrum for a **model independent** approach

Power spectra, Parameters and Observations

Primordial Tensor Power Spectrum (Standard Power-Law)

$$\mathcal{P}_{\mathcal{T}}(k) = A_{\mathcal{T}}\left(\frac{k}{k_0}\right)^n$$

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- Tensor contribution

$$\mathcal{C}_{\ell,t}^{XX'} \propto \mathcal{P}_{T}\left(k
ight)$$

 $X, X' \in \{T, E, B\}$

Noise, Lensing and Foregrounds Contribution

$$C_{\ell}^{XX'} = C_{\ell}^{XX', \text{ prim}} + C_{\ell}^{XX', \text{ noise}} + \lambda C_{\ell}^{XX', \text{ lens}} + C_{\ell}^{XX, \text{ fgs}}$$

- Total $C_{\ell}^{XX'}$ contains:
 - 1. Primordial spectrum $C_{\ell}^{XX', prim}$
 - 2. Instrumental noise after Component Separation $C_{\rho}^{XX', \text{ noise}}$
 - 3. CMB lensing contribution $\lambda C_{\ell}^{XX', lens}$ (λ delensing factor)
 - 4. Foregrounds contribution $C_{\ell}^{XX, fgs}$



Foregrounds and Lensing



- Lensing dominant at intermediate small scales
- Foregrounds \rightarrow Dominant at large scales
- Dust + Synchrotron
- Parametric maximum-likelihood component separation → subtract foregrounds from data using multi-freq observations
- Leaves residual foregrounds in the maps \rightarrow residuals power spectrum $\textit{C}_{\ell}^{\textit{fgs}}$
- **FGBuster** Code (Poletti & Errard)

Introduction to Principal Component Analysis

Principal Component Analysis (PCA)

Principal Component Analysis (PCA)

- Aim \rightarrow Identify uncorrelated variables and rank them according to uncertainty
- Diagonalize Fisher matrix

$$F = S^T E S$$

- Rows of $S \rightarrow eigenvectors$ of F (PCA modes)
- $E = diag(e_i) \rightarrow e_i$ eigenvalues of F ordered from largest to smallest
- New set of parameters m_a (PCA amplitudes) linear combination of original parameters p_i

$$m = Sp$$

uncorrelated (diagonal covariance matrix E^{-1})

- Uncertainties on $m_i \rightarrow \sigma_i = e_i^{-1/2}$
- Natural basis for free parameters for given experimental configuration \rightarrow best measured linear combinations of original parameters
- Compression of information in the first best measured modes

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• Discretize tensor power spectrum

$$\mathcal{P}_{\mathcal{T}}(k) = A_s \sum_i p_i W_i(\ln k)$$

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• Fisher Information Matrix for CMB

$$F_{ij} = f_{sky} \sum_{\ell=2}^{\ell_{\max}} \frac{2\ell+1}{2} \operatorname{Tr} \left[\mathbf{D}_{\ell i} \mathbf{C}_{\ell}^{-1} \mathbf{D}_{j \ell} \mathbf{C}_{\ell}^{-1} \right]$$

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- 1. Fisher approach: Projecting model \mathcal{P}_{model} over PCA modes:

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Uncertainties σ_{Fisher} on m_a from Fisher matrix

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Uncertainties σ_{Fisher} on m_a from Fisher matrix

- Advantages → fast & easy
- Caveats \rightarrow lower bound, insensitive to non-Gaussianity, insensitive to physicality prior $P_T > 0$ (linear combinations of power spectrum parameters giving unphysical behaviour, such as $P_T < 0$)

2. MCMC approach \rightarrow constrain m_a using simulated C_ℓ spectra (or data)

$$\mathcal{P}_T(k) = A_s \sum_{a=1}^N m_a \mathcal{S}_a(k)$$

where N is the number of PCA modes we keep

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• Parameter space: Cosmological + Power spectrum parameters

$$\{m_1, ..., m_n, A_s, n_s, \tau, \Omega_b h^2, \Omega_D h^2, \theta\}$$

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- **Disadvantages** \rightarrow slow convergence



- Satellite
- Timescale 2027
- 15 frequency bands [40-402 GHz]
- Noise [36.1 4.7 μK-arcmin]
- Beams FWHM [69.2-9.7 arcmin]
- Sky fraction $f_{sky} = 60\%$
- 20% Delensing
- Multipole range $\ell \sim 2 1350$



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Simons Observatory (SO)

- Ground-based
- Timescale 2022
- 6 frequency bands [27-280 GHz]
- Noise [35.3 2.7 μK-arcmin]
- Beams FWHM [91-9 arcmin]
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- 50% Delensing
- Multipole range
 ℓ ~ 30 − 4000



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CMB-S4

- Ground-based
- Timescale 2027
- 9 frequency bands [20-270 GHz]
- Noise [14 1.3 μK-arcmin]
- Beams FWHM [76.6-8.5 arcmin]
- Sky fraction f_{sky} = 3%
- 90% Delensing
- Multipole range $\ell \sim 30 4000$

Experiments: LiteBIRD, SO, CMB-S4



100 Primordial r = 0.01. 0.001 BB foregrounds 10^{-1} Instrumental Noise --- BB Lensing λ = 0.5 BB Lensing $\lambda = 1$ 10^{-2} $\ell(\ell+1)C_{\ell}/2\pi \, [\mu K^2]$ 10^{-3} 10^{-} 10^{-5} 10^{-6} 10^{-2} 10^{2} 10^{3} Multipole *l*

- LiteBIRD(top), SO(bottom left) and CMB-S4 (bottom right)
- For the first time in a PCA of tensor power spectrum include foregrounds residuals and 1/f noise!
- Complementarity of experiments!


PCA Basis



Tensor PS need special care with respect to Scalar PS!

• For scalar spectrum $\mathcal{P}_{\mathcal{R}} \to \mathsf{PCA}$ describes small deviations around large, well constrained amplitude A_s



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- For scalar spectrum P_R → PCA describes small deviations around large, well constrained amplitude A_s
- For tensor spectrum *r* not yet measured
- Generate our **PCA basis** with $r = 0 \rightarrow$ first PCA modes are **effective** r
- BUT Information in C_ℓs with Tensors (r > 0) can be very different from Information matrix that defined PCA basis!

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• Study Information Fraction for range of r captured by first N modes of our basis:

$$I(r, N) = \frac{tr\left(\mathcal{S}_{N}^{T} F_{r} \mathcal{S}_{N}\right)}{tr\left(F_{r}\right)}$$

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- e.g. LiteBIRD \rightarrow set N = 8

Application to LiteBIRD

Application to LiteBIRD: Fisher Matrix



• Fisher Matrix for LiteBIRD for r = 0

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- Main features: recombination bump $(k \approx 6 \times 10^{-3} \text{Mpc}^{-1})$ and reionization bump $(k \approx 6 \times 10^{-4} \text{Mpc}^{-1})$

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- Main features: recombination bump ($k \approx 6 \times 10^{-3} Mpc^{-1}$) and reionization bump ($k \approx 6 \times 10^{-4} Mpc^{-1}$)
- Most information for r = 0 comes from reionization peak

Application to LiteBIRD: σ s



- Modes shift in importance as r changes!
- For **r** > **0**

$$\sigma_2 < \sigma_1$$

 \Rightarrow most information from recombination bump rather than reionization!

Why Foregrounds are important?



- Factor ~ 4 on σ_r!
- Factor \sim 5 on σ_1 and \sim 3 on σ_2 due to foregrounds!
- Adding foregrounds changes relative importance of reionization and recombination peak
- Reionization peak loses importance
- **⇒** Foregrounds cannot be neglected!



- Study Information fraction I(r, N) also for SO and CMB-S4
- Weaker dependence on *r* w.r.t. LiteBIRD ⇒ only need N = 6 for CMB-S4 and N = 4 for SO to capture 98% of information



- Fisher Matrices for SO (left) and CMB-S4 (right) for r = 0
- Features in Fisher matrices \rightarrow recombination bump
- No reionization bump, but higher resolution and delensing → some information beyond first acoustic peak!



- PCA modes for SO and CMB-S4
- Features in PCA modes \rightarrow recombination bump and some information beyond
- No reionization bump!
- σ_{CMB-S4} are half of σ_{SO}

Study of Early Universe Models

Study of Early Universe Models: Tilted spectrum from inflation



- Ideal application of PCA! \rightarrow detect deviation w.r.t. fiducial case
- Red-Tilted model similar to open inflation with bubble nucleation
- *m_a* from MCMC show characteristic trend ⇒ **Success for PCA**!
- Reconstructed tensor power spectrum (blue)

Limitations of the PCA Method and MCMC Exploration

Limitations of PCA

- 1. Fisher estimates for PCA insensitive to physicality prior $\mathcal{P}_{\mathcal{T}} > 0$ can be inconsistent!
- 2. Why parametrize $\mathcal{P}_{\mathcal{T}}$ as linear combination of PCA modes \rightarrow **no** inclusion of the popular r?
- 3. Our basis makes Fisher analysis more robust against physicality prior!

Standard PCA Basis vs PCA Basis with Constant Mode



- Include *r* in parametrization \rightarrow PCA Basis with Constant Mode
- First constant mode $\Rightarrow m_1 = r$
- Only S₁ can be **positive definite**
- All other modes are oscillatory

Physicality Prior: A Visual Argument



- Assume that σ_{Fisher} is true
- Plot 2σ range in which modes oscillate 95% of the cases
- Some modes intesect physicality prior! (unphysical)
- For *r* = 0.01 only first 3 modes unaffected!

Physicality Prior: A Visual Argument



• For *r* = 0.001 only first mode not affected!





• Must have

 $\sigma_{MCMC} \ge \sigma_{Fisher} \rightarrow true$ without physicality prior



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• With physicality prior $\Rightarrow \sigma_{MCMC} < \sigma_{Fisher}$ for most modes!



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- LiteBIRD r = 0.001 our standard basis → 2 modes instead of 1 in Constant Mode basis!



- Must have $\sigma_{MCMC} \ge \sigma_{Fisher} \rightarrow true$ without physicality prior
- With physicality prior $\Rightarrow \sigma_{MCMC} < \sigma_{Fisher}$ for most modes!
- LiteBIRD r = 0.001 our standard basis → 2 modes instead of 1 in Constant Mode basis!
- Physicality prior effect in marginal distributions
 → asymmetric, polygonal shapes, very different from
 Fisher(red ellipses)

• Physicality prior effect even more evident for *r* = 0.001!



Correlations in the Constant Mode Basis



- Constant Mode basis shows $m_1 m_3$ correlation
- Our Standard basis → uncorrelated parameters ⇒ preferable!
Correlations in the Constant Mode Basis



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 $\begin{array}{l} \textbf{CONCLUSION} \rightarrow \text{can always use PCA basis to model primordial tensor power spectrum BUT Fisher uncertainties rarely accurate! Should be used only for relative comparison! \end{array}$

- Applied PCA to Tensor primordial power spectrum
- Detect in B-modes deviations from scale-invariance in model-independent way
- Constraints for LiteBIRD, SO and CMB-S4
- Foregrounds cannot be neglected!
- Our Basis (no tensors) → preferable to the Constant Mode Basis
- Fisher uncertainties can be affected by Physicality prior!
- Can be applied to any Early Universe scenario

Thanks For Your Attention

Backup Slides

- Degeneracies between the effect on the C_ℓ due to cosmological parameters and the tensor power spectrum parameters
- Solution \Rightarrow orthogonalize with respect to cosmological parameters the Fisher matrix

$$\mathbf{F}_{\mu
u} = \left(egin{array}{cc} \mathbf{F}_{ij} & \mathbf{B} \ \mathbf{B}^{\mathsf{T}} & \mathbf{F}_{ab} \end{array}
ight),$$

 $F_{ab} \rightarrow$ cosmological parameters Fisher matrix $F_{ij} \rightarrow$ PCA power spectrum parameters Fisher matrix

Foregrounds: Component Separation

- Foregrounds \rightarrow dominant source of uncertainty on large scales for B-modes
- Two components: dust and synchrotron
- Parametric maximum likelihood component separation: subtract fgs component from data using multi-freq observations BUT propagation in uncertainties → fgs residuals in the cleaned map (FGBuster code reference?)
- On a given sky pixel p

$$\mathbf{d}_{p}=\mathbf{A}\mathbf{s}_{p}+\mathbf{n}_{p}$$

 $\mathbf{d}_{p}
ightarrow$ multi-frequency maps

 $\mathbf{s}_{p}
ightarrow$ multi-component sky signal

 \mathbf{n}_{p} \rightarrow instrumental noise at each frequency

• Mixing matrix **A** parametrized by spectral parameters β

$$\mathbf{A} = \mathbf{A}(\beta) = \mathbf{A}(\beta_s, \beta_d, T_d, C_s)$$
$$\mathbf{A}_{sync}(\nu, \nu_{ref(s)}) = \left(\frac{\nu}{\nu_{ref(s)}}\right)^{\beta_s + C_s \log(\nu/\nu_0)}$$
$$\mathbf{A}_{dust}(\nu, \nu_{ref(d)}) = \left(\frac{\nu}{\nu_{ref(d)}}\right)^{\beta_d + 1} \frac{e^{\frac{h\nu_{ref(d)}}{kT_d}} - \frac{1}{e^{\frac{h\nu_{ref(d)}}{kT_d}}} - \frac{1}{e^{\frac{h\nu_{ref(d)}}{kT_d}}} - \frac{1}{e^{\frac{h\nu_{ref(d)}}{kT_d}}}$$

• Fisher approach: maximise the spectral log-likelihood:

$$\begin{split} \boldsymbol{\Sigma}^{-1} \simeq &- \left\langle \frac{\partial^2 \mathcal{L}}{\partial \beta \partial \beta'} \right\rangle_{noise} \bigg|_{true\beta} \\ &= -tr \left\{ \left[\frac{\partial \mathbf{A}^T}{\partial \beta} \mathbf{N}^{-1} \mathbf{A} (\mathbf{A}^T \mathbf{N}^{-1} \mathbf{A})^{-1} \mathbf{A}^T \mathbf{N}^{-1} \frac{\partial \mathbf{A}}{\partial \beta'} - \frac{\partial \mathbf{A}^T}{\partial \beta} \mathbf{N}^{-1} \frac{\partial \mathbf{A}}{\partial \beta'} \right] \sum_{p} \mathbf{s}_{p} \mathbf{s}_{p}^{T} \right\} \end{split}$$

• Uncertainties on β spectral parameters:

$$\sigma(\beta) \simeq \sqrt{|\mathbf{\Sigma}|_{\beta\beta}}.$$

Foregrounds Cleaning: LiteBIRD, SO, CMB-S4



 Component separation leaves residual foregrounds in the maps (due to propagation of statistical uncertainty in β estimation) → residuals power spectrum

$$C_{\ell}^{\text{fgs}} \equiv \sum_{k,k'} \sum_{j,j'} \mathbf{\Sigma}_{kk'} \alpha_k^{0j} \alpha_{k'}^{0j'} C_{\ell}^{jj'}$$



• LiteBIRD, SO and CMB-S4



Noise after Component Separation

Instrumental Noise for experiment

$$N_{\ell,\nu}^{XX} = \left[w_{X,\nu}^{-1} \exp\left(\ell(\ell+1)\frac{\theta_{FWHM,\nu}^2}{8\log 2}\right) \right] \cdot \left[1 + \left(\frac{\ell}{\ell_{knee}}\right)^{\alpha_{knee}} \right]$$

 $w_{X,\nu}^{-1/2} \Rightarrow$ **Sensitivity**, white noise level of frequency channel $\nu \\ \theta_{FWHM} \Rightarrow$ **FWHM** of Gaussian beam (radians)

- $\alpha_{knee}, \ell_{knee} \Rightarrow 1/f$ noise
- Noise after component separation:

$$N_{\ell}^{XX} \equiv \left[\left(\mathbf{A}^{T} \left(\mathbf{N}_{\ell}^{XX} \right)^{-1} \mathbf{A} \right)^{-1} \right]_{CMB \ CMB}$$

where $\mathbf{N}_{\ell}^{XX} \equiv \left(N_{\ell}^{XX}\right)^{\nu j} \equiv N_{\ell,\nu}^{XX} \delta_{\nu}^{j} \rightarrow \text{matrix containing instrumental}$ noise for each frequency channel

Inflation and CMB Polarization

Inflation and CMB Polarization

- Inflationary Paradigm: Vacuum quantum fluctuations of inflaton scalar field produced during inflation⇒ generation of primordial scalar and tensor perturbations (gravitational waves) of the metric
- Effect on the CMB:



Figure 2: Kamionkowski & Caldwell 2000

Inflation and CMB Polarization

- CMB is polarized! \Rightarrow CMB polarization is produced by Thomson scattering at recombination
- Polarization state defined by Stokes parameters Q and $U \Rightarrow$ Polarization Tensor
- Can Helmholtz decompose in **Curl** component (**B-modes**) which is divergence-free and **Gradient** component (**E-modes**) which is curl-free



The Quest for Primordial Gravitational Waves

- E-modes produced by both scalar and tensor perturbations
- Primordial B-modes produced ONLY by tensor perturbations! BUT Big challenge: Tiny signal, Lensing E-modes leaking into B-modes, Foregrounds...
- IF Detected Primordial Gravitational waves will give:
 - "Smoking gun" for inflation
 - Identify energy scale of inflation for the simplest models! (single scalar field)
- What about more complex models? Beyond the standard model of Early Universe? Lots of Physics to be understood in this primordial signal!



Figure 3: From Planck results 2018