# Novel finite density phase of Chern-Simons scalar theory

S. Prem Kumar (Swansea U.)

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#### Introduction and Motivation

- Classification of possible states of matter remains one of the outstanding, fascinating challenges.
- In lower dimensional QFTs, particularly 2+1 D, novel effects arise from inclusion of Chern-Simons interactions
- Appearance of Bose-Fermi and particle-vortex dualities in 3D suggests potentially rich vacuum and thermodynamic phase structure. [Giombi-Minwalla-Prakash-Trivedi-Wadia-Yin (2012); Aharony-Gur-Ari-Yacoby (2012); Aharony (2015); Seiberg-Senthil-Wang-Witten (2016); Karch-Tong (2016); Murugan-Nastase (2016) ...]

#### Introduction and Motivation

• Non-Abelian C-S and Bose-Fermi dualities, e.g:

$$SU(N)_k + N_f$$
-scalars  $\leftrightarrow U(k-N)_{-k+\frac{N_f}{2},-N+\frac{N_f}{2}} + N_f$ -fermions

- Large-N 't Hooft limit: <u>Fixed line</u> of theories with  $\lambda \equiv N/k$ , and  $0 \le \lambda \le 1$ .
- Duality maps theory A with  $\lambda$  to theory B with  $1 \lambda$



#### Thermodynamics

- Large-N free energy of U(N) plus single scalar/fermion matched at high T ( $\mathbb{R}^2 \times S^1_\beta$ ). [Aharony-Giombi-Gur-Ari-Maldacena-Yacoby (2013)]
- ullet Large-N thermal partition functions on  $S^2 imes S^1_eta$ . [Jain-Minwalla-et al (2013)]

$$\langle e^{T^2 V_{\text{eff}}(U)} \rangle_{N,\lambda} = \langle e^{T^2 \tilde{V}_{\text{eff}}(U)} \rangle_{k-N,1-\lambda}$$

U = Polyakov loop/holonomy.

 What happens to the theories at zero temperature and finite density ?



# SU(2) Chern-Simons with a scalar

• 
$$\mathcal{L} = -D_{\mu}\Phi^{\dagger}D^{\mu}\Phi - U(|\Phi|) + S_{\text{CS}}$$

• Signature: (-++) and  $D_{\mu} \equiv \partial_{\mu} + A_{\mu}$ ;

 $S_{\mathrm{CS}} \, = \, rac{k}{4\pi} \int d^3x \, \epsilon^{\mu
u
ho} \, \mathrm{Tr} \left( A_\mu \partial_
u A_
ho \, + \, rac{2}{3} A_\mu A_
u A_
ho 
ight) \, .$ 

• Global  $U(1)_B$  symmetry ("baryon" number):

$$U(1)_B: \qquad \Phi \rightarrow e^{i\vartheta}\Phi.$$

Conserved current:

$$j_B^\mu = i \left[ (D^\mu \Phi)^\dagger \Phi - \Phi^\dagger D^\mu \Phi \right]$$



# Chemical potential for $U(1)_B$

• Chemical potential for  $U(1)_B \leftrightarrow \text{Background temporal gauge}$ field:

$$D_0 \rightarrow D_0 + i\mu_B$$

- Gauge interactions  $\sim \frac{1}{\sqrt{k}}$
- Perturbative regime  $k \gg 1$ ; decoupling limit:  $k \to \infty$
- Pure scalar theory has the classical effective potential:

$$V_{
m scalar}(\mu_B, k o \infty) = \mathit{U}(|\Phi|) - \mu_B^2 \, \Phi^\dagger \Phi$$

• Generically,  $U(|\Phi|) = m^2 |\Phi|^2 + g_4 |\Phi|^4 + g_6 |\Phi|^6 \implies \mathsf{Bose}$ condensation for  $\mu_B > m$ .

(No stable ground state if  $g_4=g_6=0$ )



# The (semiclassical) ground state for $k \gg 1$

- What happens to Bose condensed state for finite (large) k?
- Assume a scalar VEV:  $\langle \Phi \rangle = \begin{pmatrix} 0 \\ \nu \end{pmatrix}$
- Useful to adopt an internal SO(3) vector notation for gauge fields:

$$A_{\mu} \, = \, rac{i}{2} \sigma^{a} \, A_{\mu}^{(a)} \, , \qquad {f A}_{\mu} = (A_{\mu}^{(1)}, A_{\mu}^{(2)}, A_{\mu}^{(3)})$$

Equations of motion:

$$\begin{split} v^2 \mathbf{A}_y &= \frac{k}{2\pi} \mathbf{A}_0 \times \mathbf{A}_x \,, \qquad v^2 \mathbf{A}_x = \frac{k}{2\pi} \mathbf{A}_y \times \mathbf{A}_0 \,, \\ -v^2 \left( \mathbf{A}_0 - 2\mu_B \mathbf{e}^3 \right) &= \frac{k}{2\pi} \mathbf{A}_x \times \mathbf{A}_y \,, \\ &\frac{v}{2} \left[ \left( \mathbf{A}_0 - 2\mu_B \mathbf{e}^3 \right)^2 - (\mathbf{A}_x)^2 - (\mathbf{A}_y)^2 \right] &= \frac{\partial V}{\partial v} \,. \end{split}$$

#### Bose condensed ground state

- $\mu_B < m$ : Only trivial solution v = 0 allowed.
- $\mu_B > m$ :  $v \neq 0$  and  $\langle \mathbf{A}_{\mu} \rangle \neq 0$
- $\langle \mathbf{A}_x \rangle$ ,  $\langle \mathbf{A}_y \rangle$  and  $\langle \mathbf{A}_0 \rangle$  are mutually orthogonal in internal space:

$$\langle \mathbf{A}_0 \rangle = \frac{2\pi v^2}{k} \mathbf{e}^3 \quad \langle \mathbf{A}_x \rangle = a_1 \mathbf{e}^1 + a_2 \mathbf{e}^2 \quad \langle \mathbf{A}_y \rangle = (-a_2 \mathbf{e}^1 + a_1 \mathbf{e}^2)$$

•

$$(a_1)^2 + (a_2)^2 = \frac{4\pi v^2}{k} \left(\mu_B - \frac{v^2\pi}{k}\right), \qquad \mu_B > \frac{\pi v^2}{k}.$$



#### Bose-condensed ground state

• Unique solution for VEV v (set  $g_6 = 0$  for simplicity):

$$v^2 = \frac{k}{3\pi} \left( \frac{g_4 k}{\pi} + 2\mu_B - \sqrt{\left( \frac{g_4 k}{\pi} + 2\mu_B \right)^2 - 3(\mu_B^2 - m^2)} \right)$$

• Set m = 0 for simplicity. Weak coupling limit:

$$k \gg 1$$
  $g_4 \ll 1$   $\frac{\mu_B}{g_4 k}$  fixed.

• Theory with no scalar potential i.e.  $m = g_4 = g_6 = 0$  also exhibits a Bose condensed state with

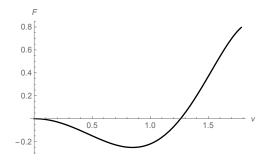
$$v^2 = \frac{\mu_B k}{3\pi} \,.$$

C-S interaction stabilizes the ground state  $\implies$  Bose condensate in scale-invariant theory.



## Classical effective (grand) potential

• Effective potenatial favours the Bose condensed phase  $(g_4 = g_6 = 0)$  whenever  $\mu_B > m$ 



#### Color-flavor locked symmetry

• Scalar VEV breaks  $U(1)_B$  and  $SU(2)_{Colour}$ , but left invariant by  $U(1)_{B+C}$ :

$$U(1)_B: \langle \Phi \rangle o e^{i\vartheta/2} \langle \Phi \rangle \qquad U(1)_C: \langle \Phi \rangle o U(\vartheta) \langle \Phi \rangle$$
 $U(\vartheta) \equiv e^{i\vartheta\sigma_3/2}$ 

- $U(1)_C$  acts on  $\langle A_i \rangle$  exactly as rotation (R) in x-y plane.
- Ground state left invaraint by  $U(1)_{B+C+R}$
- Gauge invariant operators made from field strengths are rotationally invariant:

$$\langle \operatorname{Tr}(F_{0i})^{2} \rangle = -\frac{2\pi^{3}v^{6}}{k^{3}} \left( \mu_{B} - \frac{v^{2}\pi}{k} \right)$$
$$\langle \operatorname{Tr}(F_{ij})^{2} \rangle = -\frac{8\pi^{2}v^{4}}{k^{3}} \left( \mu_{B} - \frac{v^{2}\pi}{k} \right)^{2}.$$

#### Fluctuation Spectrum

• Limit  $k \to \infty$ : Gauge fields decouple; O(4) global symmetry with a  $U(1)_B$  chemical potential  $\implies$  2 gapped and 2 gapless modes:

$$\omega_{\mathrm{I}(-)} = \frac{|\mathbf{p}|}{\sqrt{3}} + \dots, \qquad \omega_{\mathrm{II}(-)} = \frac{\mathbf{p}^2}{2\mu_B} + \dots$$

Linear dispersion  $\leftrightarrow$  phonon  $\rightarrow$  Goldstone mode for broken  $U(1)_B$ . Ground state *not* a superfluid due to second gapless mode with quadratic dispersion relation. (Landau criterion)

• Finite, large k:  $U(1)_B$  broken spontaneously  $\implies$  One massless phonon



#### Fluctuation Spectrum

Expand action to quadratic order in fluctuations

$$A_{\mu} = \langle A_{\mu} \rangle + A_{\mu}, \quad \Phi = \langle \Phi \rangle + \delta \Phi, \quad \delta \Phi \equiv \begin{pmatrix} \varphi_{1} + i\varphi_{2} \\ \varphi_{3} + i\varphi_{4} \end{pmatrix}$$

• Include  $R_{\xi}$  gauge-fixing term

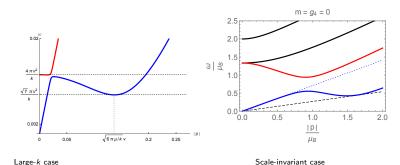
$$\begin{split} \mathcal{L}^{(2)} \, &\to \, \mathcal{L}^{(2)} \, + \, \mathcal{L}_{\rm gf} \, , \\ \mathcal{L}_{\rm gf} \, &= \, \frac{1}{2\xi} {\rm Tr} \left( \mathcal{D}_{\mu} \mathcal{A}^{\mu} \, - \xi \langle \Phi \rangle \delta \Phi^{\dagger} \, + \, \xi \delta \Phi \langle \Phi^{\dagger} \rangle \, \right)^2 \, . \end{split}$$

• Compute determinant of fluctuation matrix: *ξ*-independent zeroes yield the physical state dispersion relations



#### Fluctuation Spectrum

• Spectrum exhibits phonon branch with a "roton" minimum

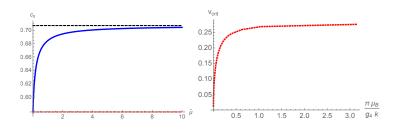


• Roton minimum governs Landau critical velocity, above which superfluidity ceases (non-relativistic expression):

$$v_{
m crit} = \min_{|\mathbf{p}|} \left( \frac{\omega(\mathbf{p})}{|\mathbf{p}|} \right)$$

#### Rotons and critical velocity

 Above Landau critical velocity, rotons are expected to "condense" giving rise to some periodic structure. [Pitaevskii (1984)]



• Sound speed  $c_s$  and  $v_{\rm crit}$  as a function of  $\mu_B/(g_4k)$ .

Limit  $\mu_B/g_4k \to \infty \to \mathsf{Scale}$  invariant limit  $c_s = \frac{1}{\sqrt{2}}$ .



# Generalizing to SU(N)

- For  $\mu_B > m$ , VEV for scalar  $\langle \Phi \rangle = (0, 0 \dots v)^T$
- Gauge field VEVs:  $\langle A_{\pm} \rangle = i \left( \langle A_x \rangle \pm i \langle A_y \rangle \right)$

$$[\langle A_{+} \rangle, \langle A_{-} \rangle] = \# \langle A_{0} \rangle \sim \begin{bmatrix} \mathbf{1}_{(N-1) \times (N-1)} & 0 \\ 0 & 1-N \end{bmatrix}$$

- $\langle A_+ \rangle^N = \langle A_- \rangle^N = 0$ .  $\langle A_\mu \rangle$  realize finite dimensional representation of oscillator algebra.
- ullet These also describe the noncommutative disc with non-commutativity parameter  $\sim 1/N$ :

$$(i\langle A_x\rangle)^2 + (i\langle A_y\rangle)^2 = \frac{\#}{N} \mathrm{diag}(1, 3, 5, \dots, (2N-3), (N-1)).$$

### Generalizing to SU(N)

• The radius of the disc/droplet is bounded at large *N*:

$$R_{
m droplet}\mid_{N o\infty}\,=\,2eta\sqrt{rac{\mu_B}{eta}-1}\,,\qquad eta=rac{2\pi N v^2}{k}\,.$$

• Ground state invariant under  $U(1)_{B+C+R}$ . Spatial rotations, undone by a  $U(1)_C \subset SU(N)$ :

$$U(1)_C: \langle A_i \rangle \rightarrow e^{i\vartheta J_3} \langle A_i \rangle e^{-i\vartheta J_3}$$

$$J_3 \equiv \operatorname{diag}\left(-\frac{N-1}{2}, -\frac{N-3}{2}, -\frac{N-5}{2}, \dots, \frac{N-3}{2}, \frac{N-1}{2}\right).$$

 Gauged matrix quantum mechanics with same background matrices describe the fractional quantum Hall droplet.

[Polychronakos (2001), Susskind (2001), Tong (2003)].



# Generalizing to SU(N)

- Physical excitations live on edge of droplet ↔ N-th row/column of N × N matrices.
- The ground state appears to "deconstruct" a theory on  $\mathbb{R}^3 \times \textit{Disc}_{noncomm}$
- Gauge-invariant baryon-like order parameters for breaking of  $U(1)_B$ :

$$\langle B_z \rangle \equiv \langle \Phi^{a_1} (D_z \Phi)^{a_2} (D_z^2 \Phi)^{a_3} \dots (D_z^{N-1} \Phi)^{a_N} \epsilon_{a1a2\dots a_N} \rangle \neq 0$$
  
where  $z = x + iv$ .



#### Open questions

- Ground state breaks  $U(1)_B \implies$  expect superfluid vortex solutions. (Separable ansatz in polar coordinates appear not to work)
- At any non-zero temperature, the effective theory is two dimensional, and vortex-antivortex pairs are excited, and above a critical temperature we expect Kosterlitz-Thouless transition to destroy the condensate.
- Bose-Fermi duality applies in high T phase. Does it also apply in the low T ordered phase?
- Present goal is to understand the full spectrum of fluctuations at large-N using the connection to the noncommutative quantum Hall droplet picture.