

# Cosmological Aspects of Type II Flux Compactifications

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0812.3886 [hep-th]  
0812.3551 [hep-th]  
0709.2186 [hep-th]  
0705.3410 [hep-th]  
hep-th/0604087

# Outline

- Motivation
- Type IIA flux compactifications
- Slow-roll inflation and dS vacua in type IIA
- “T-dual” type IIB compactifications
- Conclusion and Outlook

# Motivation

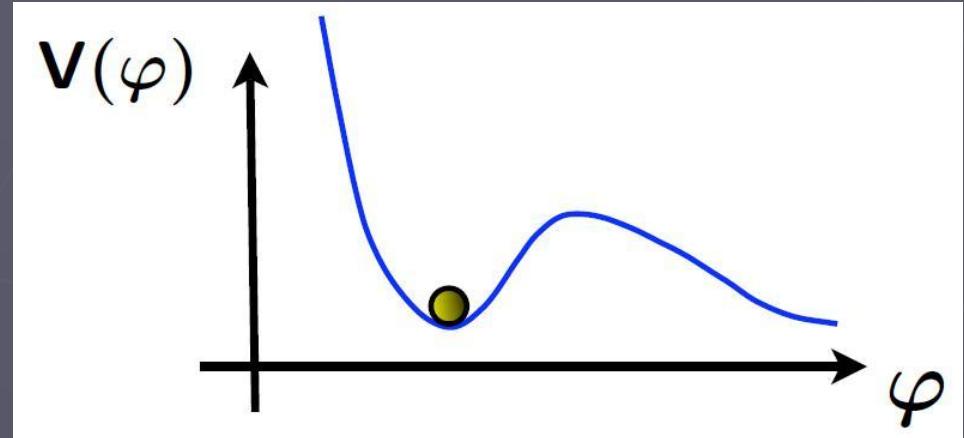
- Flux compactifications lead to a scalar potential  $V(\varphi)$
- Stabilizes closed string moduli
- Interesting for cosmology: dS vacua and inflation

# Motivation

dS vacuum requires

$$\varepsilon \sim (V'/V)^2 = 0$$

$$\eta \sim V''/V > 0$$



# Motivation

dS vacuum requires

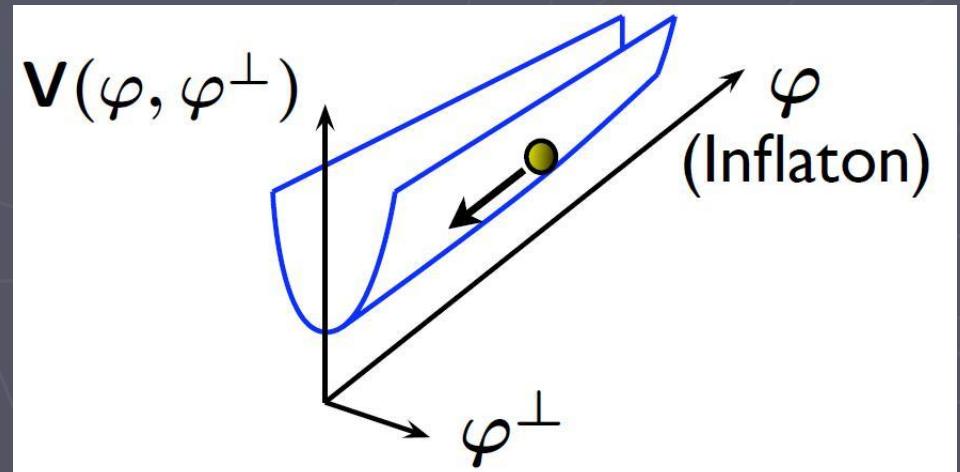
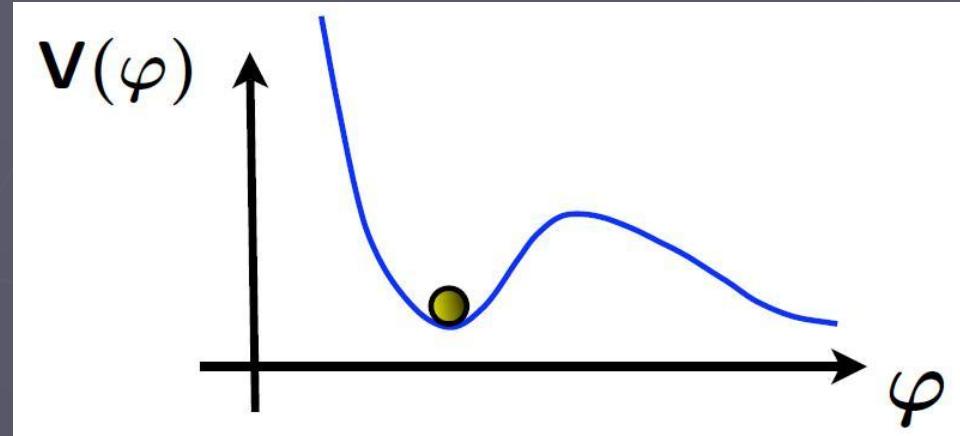
$$\varepsilon \sim (V'/V)^2 = 0$$

$$\eta \sim V''/V > 0$$

Inflation requires

$$\varepsilon \sim (V'/V)^2 \ll 1$$

$$|\eta| \sim |V''/V| \ll 1$$



# Type II Flux Compactifications

Type II on a CY<sub>3</sub>-manifold with orientifold projection

Type IIB intensively studied:

$$V(\varphi) = V_{\text{classical}} + V_{\text{quantum}}$$

- Can stabilize all moduli in certain cases
- Quantum corrections hard to compute precisely
- Interesting for cosmology

Kachru, Kallosh, Linde, Maldacena, McAllister, Trivedi  
Burgess, Kallosh, Conlon, Quevedo  
many, many others

# Type II Flux Compactifications

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Type IIA:

$$V(\varphi) = V_{\text{classical}}$$

- Can stabilize all moduli in certain cases
- Classical contributions sufficient
- Controlled regime in which corrections can be neglected
- No-go theorems against dS and inflation under mild assumptions

# Type IIA flux compactifications

Compactify type IIA supergravity on a  $\text{CY}_3$ -manifold  
and do an orientifold projection. Turn on  
background fluxes:

RR fluxes:  $F_0, F_2, F_4, F_6$  in  $\text{CY}_3$  (only  $F_3$  for IIB)

NSNS flux:  $H$  in  $\text{CY}_3$

# Type IIA flux compactifications

$$V_F = e^K (K^{I\bar{J}} D_I W \overline{D_J W} - 3|W|^2)$$

$$K = 4D - \log \left( \frac{4}{3} \int J \wedge J \wedge J \right)$$

$$W = \int \Omega_c \wedge H + \int e^{J_c} \wedge F_{RR}$$

$$\Omega_c = C_3 + 2ie^{-D} \operatorname{Re}(\Omega)$$

$$F_{RR} = F_0 + F_2 + F_4 + F_6$$

$$J_c = B + i J$$

$$e^{-D} = e^{-\phi} \sqrt{vol_6}$$

Grimm, Louis hep-th/0412277

DeWolfe, Giryavets, Kachru, Taylor hep-th/0505160

# Solution to the F-term equations

J Kähler moduli

all stabilized

$$\sim \sqrt{\frac{F_4}{F_0}}$$

$B_2$  axion

all stabilized

$$\sim \frac{F_2}{F_0}$$

complex structure

all stabilized

by  $H$

$e^\phi$

stabilized

$$\sim \frac{H}{(F_0 F_4^3)^{1/4}}$$

$C_3$  axions

one linear combination

$\sim F_{RR}$

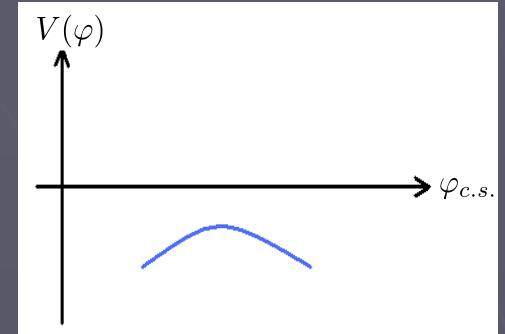
(axions in  $d + H \wedge$  cohomology unstabilized)

Tadpole

$F_0 H = N_{O6}$  fixes  $F_0 H$

# Summary of Results

- Can cancel the tadpole with fluxes
- All moduli are stabilized on a rigid CY<sub>3</sub>
- Complex structure moduli have negative mass squared
- Large F<sub>4</sub> limit has large volume and small string coupling  $\Rightarrow$  infinite number of trustworthy vacua
- 4-dimensional solutions lift to 10-dimensions

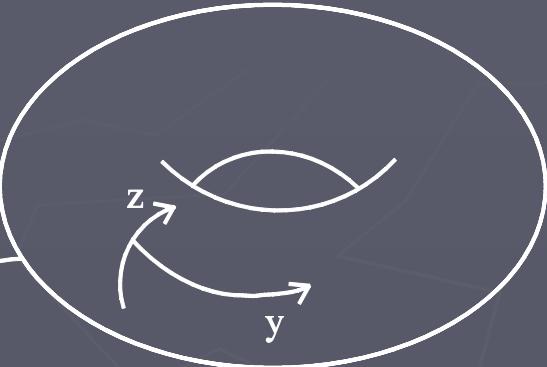
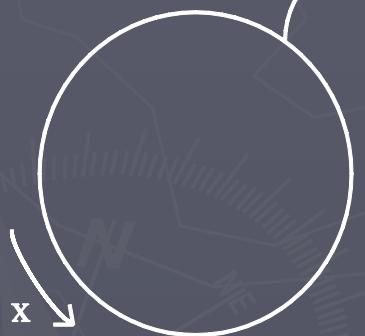


Conlon hep-th/0602233

Acharya, Benini, Valandro hep-th/0607223

# Generalized NSNS-fluxes: H-flux

$$\begin{array}{c} T^2 \rightarrow T^3 \\ \downarrow \\ S^1 \end{array}$$



$$ds^2 = dx^2 + dy^2 + dz^2$$

$$x, y, z \sim x, y, z + 1$$

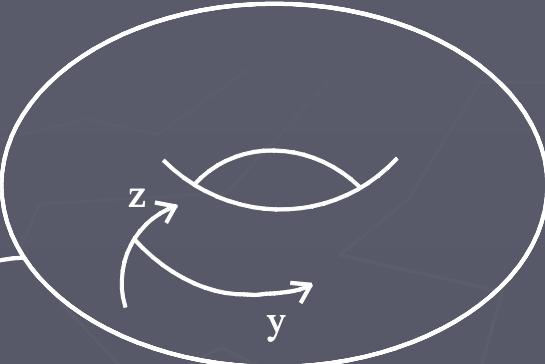
$$H = N dx \wedge dy \wedge dz$$

$$\int_{T^3} H = N$$

$$B = Nx dy \wedge dz$$

If we go around the base  $x \rightarrow x + 1$ , the B-field on the fiber gets shifted  $B_{yz} \rightarrow B_{yz} + N$ .

# Generalized NSNS-fluxes: (geo) metric flux



T-duality along z:

$$B = 0 \Rightarrow H = 0$$

$$ds^2 = dx^2 + dy^2 + (dz + Nxdy)^2$$

If we go around the base  $x \rightarrow x+1$ , the fiber gets twisted  $y \rightarrow y, z \rightarrow z - Ny$ .

# Generalized NSNS-fluxes: (geo) metric flux

The new space is not a torus anymore. It is often called twisted torus.

It has the global 1-forms

$$\eta^x = dx, \quad \eta^y = dy, \quad \eta^z = dz + Nxdy$$

The  $H_{ijk}$ -flux got turned into "metric flux"  $\omega_{jk}^i$  defined by

$$d\eta^i = -\frac{1}{2}\omega_{jk}^i\eta^j \wedge \eta^k \quad (\omega_{xy}^z = -N)$$

Expand fields and fluxes in  $\eta^i$  basis. They are generically not closed anymore:

⇒ compact space is SU(3)-structure manifold with curvature

# SU(3)-structure manifolds

- Examples of SU(3)-structure manifolds include twisted tori and coset spaces  $G/H$

Dabholkar, Hull hep-th/0210209

Dall'Agata, Ferrara hep-th/0502066

Hull, Reid-Edwards hep-th/0503114

D. Robbins, M. Ihl, TW 0705.3410 [hep-th]

Koerber, Lust, Tsimpis 0804.0614 [hep-th]

Caviezel, Koerber, Körs, Lüst, Zagermann 0806.3458 [hep-th]

- Natural expansion basis exists:  $G$  invariant forms
- Models expected to be consistent truncations

Cassani, Kashani-Poor 0901.4251 [hep-th]

# Generalized flux compactifications

The superpotential changes to

$$W = \int \Omega_c \wedge (H \boxed{-dJ_c}) + \int e^{J_c} \wedge F_{RR}$$

Derendinger, Kounnas, Petropoulos, Zwirner hep-th/0411276

Villadoro, Zwirner hep-th/0503169

House, Palti hep-th/0505177  
Cámarra, Font, Ibáñez hep-th/0506066

It contains new terms mixing  $\Omega_c$  and  $J_c$ .

# F-terms from metric fluxes in IIA

The metric fluxes lead to different F-terms that

depend generically on up to  $\tilde{h}^{1,1}$  RR axions.

For  $\tilde{h}^{1,1} \geq \tilde{h}^{2,1}$  scalar potential depends on ALL moduli.

Robbins, Ihl, TW 0705.3410 [hep-th]

Again  $C_3$  axions in  $d + H \wedge$  cohomology are  
unstabilized.

# Generalized flux compactifications

## Results:

- In many models all moduli can in principle be stabilized using metric fluxes and RR-fluxes only
- H- and metric fluxes are not sufficient to give fully stabilized Minkowski vacua
- Generalized fluxes give a charge to  $C_3$  axions and lead to D-terms

Micu, Palti, Tasinato hep-th/0701173

# D-terms from generalized fluxes

From  $C_3$  we get U(1) gauge fields

$$C_3 = A^\alpha \wedge \mu_\alpha^{(2)} + c^I a_I^{(3)}$$

$$C_3 \rightarrow C_3 + d(\lambda^\alpha(x) \mu_\alpha^{(2)}) \Rightarrow A^\alpha \rightarrow A^\alpha + d\lambda^\alpha$$

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with metric fluxes this becomes

$$C_3 \rightarrow C_3 + d(\lambda^\alpha(x) \mu_\alpha^{(2)})$$

$$= A^\alpha \wedge \mu_\alpha^{(2)} + c^I a_I^{(3)} + d\lambda^\alpha \wedge \mu_\alpha^{(2)} + \lambda^\alpha d\mu_\alpha^{(2)}$$

$$= (A^\alpha + d\lambda^\alpha) \wedge \mu_\alpha^{(2)} + (c^I + \lambda^\alpha \hat{r}_\alpha^I) a_I^{(3)}$$

where  $d\mu_\alpha^{(2)} = \hat{r}_\alpha^I a_I^{(3)}$ . ("Q-fluxes" induce magnetic charges)

# D-terms from generalized fluxes

The moduli potential gets the additional term

$$V_D = \frac{1}{2} (\text{Re } f)^{-1}{}^{\alpha\beta} D_\alpha D_\beta$$

$$D_\alpha = i\delta_\alpha^\phi \phi^I \partial_I K + \xi_\alpha$$

The Fayet-Iliopoulos term  $\xi_\alpha$  vanishes. The holomorphic gauge kinetic couplings are

$$f_{\alpha\beta}^{electric} = i \int J_c \wedge \mu_\alpha^{(2)} \wedge \mu_\beta^{(2)}, \quad f_{\alpha\beta}^{magnetic} = (f^{electric})^{-1}{}^{\alpha\beta}$$

# D-terms from generalized fluxes

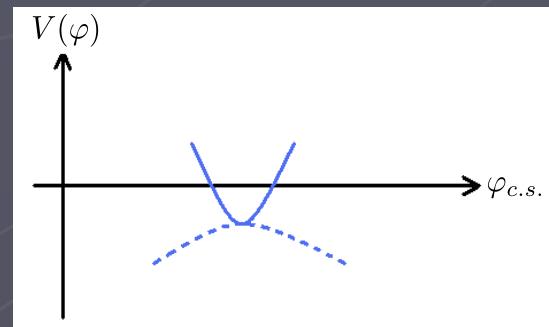
$\partial_I K$  depends on the complex structure moduli so that the D-terms lift their masses:

$$V_D = \frac{1}{2} (\text{Re } f)^{-1\alpha\beta} D_\alpha D_\beta = \frac{1}{2} (\text{Re } f)^{-1\alpha\beta} (i\hat{r}_\alpha^I \partial_I K) (i\hat{r}_\beta^J \partial_J K)$$

where  $d\mu_\alpha^{(2)} = \hat{r}_\alpha^I a_I^{(3)}$ .

So the masses for the complex structure moduli can in principle be made positive

$$m_{c.s.}^2 = -\frac{8}{9} |m_{BF}|^2 + |const.| \hat{r}^2$$



# D-terms from generalized fluxes

Note however, that the D-terms cannot be used to “up-lift” a supersymmetric AdS vacuum. In N=1 supergravity we have

$$\begin{aligned} D_\alpha &= i\delta_\alpha \phi^I \partial_I K + \xi_\alpha = i\delta_\alpha \phi^I \partial_I K + i \frac{\delta_\alpha W}{W} \\ &= \frac{i\delta_\alpha \phi^I}{W} (W \partial_I K + \partial_I W) = i \frac{\delta_\alpha \phi^I D_I W}{W} \end{aligned}$$

F-flatness  $\Rightarrow$  D-flatness

# Type IIA Flux Compactifications

IIA on CY<sub>3</sub> with fluxes:

- Can stabilize all moduli (except some RR axions) in an AdS vacuum
- Controlled regime
- No quantum corrections needed

Grimm, Louis hep-th/0412277

DeWolfe, Giryavets, Kachru, Taylor hep-th/0505160

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Grimm, Louis hep-th/0412277

DeWolfe, Giryavets, Kachru, Taylor hep-th/0505160

## IIA on (non Ricci flat) SU(3)-structure with fluxes:

- Can stabilize all moduli (except some RR axions) in an AdS vacuum
- Curvature leads to new F-term and D-term contributions
- No quantum corrections needed

Derendinger, Kounnas, Petropoulos, Zwirner hep-th/0411276

Villadoro, Zwirner hep-th/0503169

House, Palti hep-th/0505177

Cámará, Font, Ibáñez hep-th/0506066

D. Robbins, M. Ihl, TW 0705.3410 [hep-th]

# Cosmological aspects in type IIA

CY<sub>3</sub> with fluxes: only AdS vacua, no slow-roll inflation:  
Identify scaling with respect to

$$\rho = (\text{vol}_6)^{1/3}, \quad \tau = e^{-\phi} \sqrt{\text{vol}_6}$$

$$V_H \propto \rho^{-3} \tau^{-2}, \quad V_{F_p} \propto \rho^{3-p} \tau^{-4}, \quad V_{O6} \propto \tau^{-3}$$

$$-\rho \partial_\rho V - 3\tau \partial_\tau V = 9V + \sum_p p \underbrace{V_{F_p}}_{\sim |F_p|^2 \geq 0} \geq 9V \Rightarrow \varepsilon \sim \left( \frac{V'}{V} \right)^2 \geq \frac{27}{13}$$

DeWolfe, Giryavets, Kachru, Taylor hep-th/0505160  
Hertzberg, Kachru, Taylor, Tegmark 0711.2512 [hep-th]

⇒ Need more ingredients to get a richer potential!

# Cosmological aspects in type IIA

SU(3)-structure manifolds: lead to new terms in the scalar potential that evades the no-go theorem

$$\rho = (vol_6)^{1/3}, \quad \tau = e^{-\phi} \sqrt{vol_6}$$

$$V_H \propto \rho^{-3} \tau^{-2}, \quad V_{F_p} \propto \rho^{3-p} \tau^{-4}, \quad V_{O6} \propto \tau^{-3}, \quad V_R \propto \rho^{-1} \tau^{-2}$$

$$-\rho \partial_\rho V - 3\tau \partial_\tau V = 9V + \sum_p p V_{F_p} \boxed{-2V_R} \Rightarrow \varepsilon \geq ?$$

$V_R \propto -R_6$  Need manifolds with negative curvature.

Silverstein 0712.1196 [hep-th]

Caviezel, Koerber, Körs, Lüst, Tsimpis, Zagermann 0806.3458 [hep-th]

Haque, Shiu, Underwood, Van Riet 0810.5328 [hep-th]

Danielsson, Haque, Shiu, Van Riet 0907.2041 [hep-th]

# Cosmological aspects in type IIA

New no-go theorems using other directions in moduli space:

Applicable to models with:

Factorization of Kähler sector

$$vol_6 = \kappa_{abc} k^a k^b k^c = k^0 \tilde{\kappa}_{de} k^d k^e, \quad d, e \neq 0$$

and/or factorization of complex structure sector  $Z^I = \int_{\Gamma^I} \Omega, \quad I = 1, \dots, \tilde{h}^{2,1} + 1$

$$1 = p(Z) = p_1(Z_{(1)}) \cdot p_2(Z_{(2)}), \quad \{Z_{(1)}\} \cap \{Z_{(2)}\} = 0$$

and restrictions on curvature ("metric fluxes").

Flauger, Robbins, Paban, TW 0812.3886 [hep-th]

Caviezel, Koerber, Körs, Lüst, TW, Zagermann 0812.3551 [hep-th]

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Factorization of Kähler sector

$$vol_6 = \kappa_{abc} k^a k^b k^c = k^0 \tilde{\kappa}_{de} k^d k^e, \quad d, e \neq 0$$

and restrictions on curvature ("metric fluxes"), e.g.

$$\left. \begin{array}{l} dw_0 = 0 \\ dw_a \neq 0 \end{array} \right\} \Rightarrow \varepsilon \geq \frac{9}{5},$$

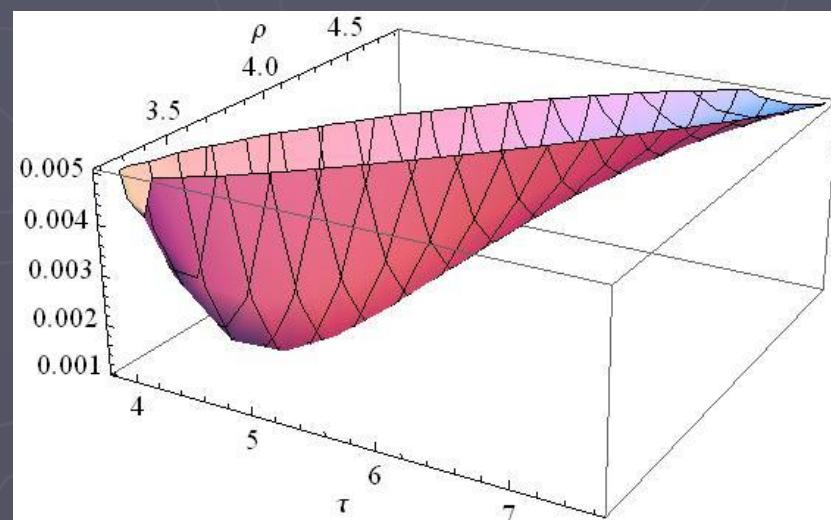
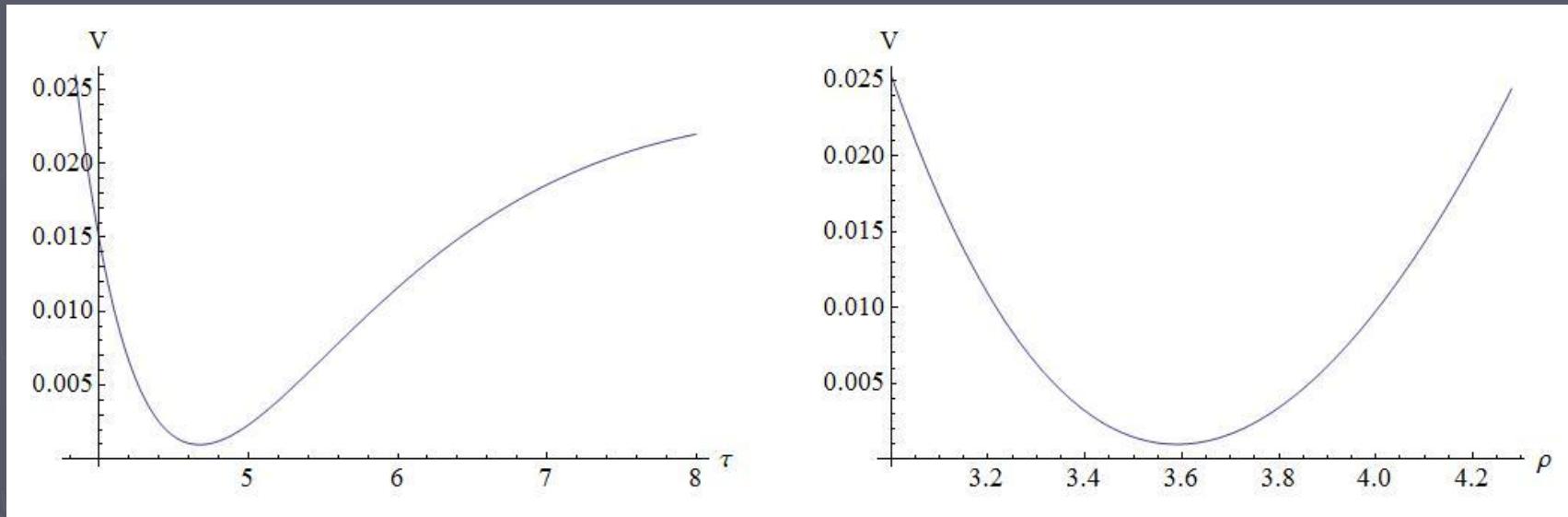
$$\left. \begin{array}{l} dw_0 \neq 0 \\ dw_a = 0 \end{array} \right\} \Rightarrow \varepsilon \geq 2.$$

# Cosmological aspects in type IIA

New no-go theorems using other directions in moduli space:

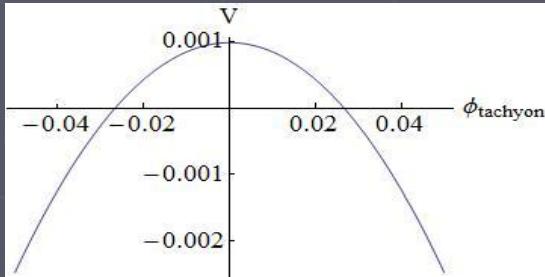
- Exclude almost all models (cosets, twisted tori) that were studied
- $\check{T}^6/Z_2 \times Z_2$  and  $SU(2) \times SU(2)$  evade all known no-go theorems. Numerically we indeed find  $\varepsilon \approx 0$  !

# Type IIA on $SU(2) \times SU(2)$



# Cosmological aspects in type IIA

- $\check{T}^6/Z_2 \times Z_2$  and  $SU(2) \times SU(2)$  evade all known no-go theorems. Numerically we indeed find  $\varepsilon \approx 0$ !
- But one tachyonic direction:  $\eta \leq -1.5$



Flauger, Robbins, Paban, TW 0812.3886 [hep-th]

Caviezel, Koerber, Kors, Lüst, TW, Zagermann 0812.3551 [hep-th]

- Out of 14 directions, along one we have a maximum
- No-go theorems for  $\eta$  parameter don't apply

Covi, Gomez-Reino, Gross, Louis, Palma, Scrucca 0804.1073 [hep-th]

Covi, Gomez-Reino, Gross, Louis, Palma, Scrucca 0805.3290 [hep-th]

# Cosmological aspects in type IIA/IIB

- Type IIB 03/07 or 05/09 on SU(3)-structure,  
without corrections insufficient moduli stabilization?  
  
Graña hep-th/0509003  
Benmachiche, Grimm hep-th/0602241  
Robbins, TW 0709.2186 [hep-th]
- SU(2)-structure compactifications with two  
orientifold projections  $\Rightarrow N = 1$  in 4d
- SU(2)-structure manifolds have 1- and 5-forms  
 $\Rightarrow$  RR fluxes  $F_1, F_3, F_5$  for IIB

Caviezel, TW, Zagermann to appear

# Cosmological aspects in type IIA/IIB

Type IIA on  $T^6/Z_2 \times Z_2$  with  
O6-planes  
SU(3)-structure

O-plane	1	2	3	4	5	6
06	X			X	X	
06	X				X	X
06		X	X		X	
06		X		X		X

Type IIB on  $T^2 \times T^4/Z_2$  with  
O5-planes and O7-planes  
SU(2)-structure

O-plane	1	2	3	4	5	6
05			X	X		
05					X	X
07	X	X	X		X	
07	X	X		X		X

# Cosmological aspects in type IIA/IIB

T-dual to SU(3)-structure but might lead to new examples:

- SU(3)- and SU(2)-structure spaces have metric-flux
- T-duality might lead to non-geometric spaces  
⇒ supergravity applicable in T-dual description?

Wecht 0708.3984 [hep-th]

- SU(2)-structure compactifications not T-dual to geometric SU(3)-spaces are new

# Cosmological aspects in type IIA/IIB

- Can in principle stabilize (almost) all moduli in type IIA and type IIB
- Only very few cosets and twisted  $T^2 \times T^4 / \mathbb{Z}_2$
- Concrete examples only interesting in type IIB
- Can derive new no-go theorems to exclude dS vacua and slow-roll in several concrete examples
- Again no general no-go theorem exists

# Conclusion – type IIA on SU(3)

- Type IIA flux compactifications give scalar potentials that depend on (almost) all moduli
- No-go theorem against slow-roll inflation and dS vacua exist for CY<sub>3</sub> with fluxes
- Can evade previous no-go theorem in compactifications on SU(3)-structure manifolds
- Many new no-go theorems exclude most examples
- Few numerical extrema but only with  $\eta$ -problem

# Conclusion – type IIB on $SU(2)$

- Type IIB flux compactifications give scalar potentials that depend on (almost) all moduli
- Only very few concrete examples
- Can derive new no-go theorems against slow-roll inflation and dS vacua for specific cases

# Outlook / Future research

- Try to study more models and understand whether the  $\eta$ -problem is specific to our model or generic
- Include more ingredients like:  
(non-) susy D6-branes,  $\alpha'$  and string loop corrections

co-isotropic D8-branes  
NSNS source

Villadoro, Zwirner hep-th/0602120

Palti, Tasinato, Ward 0804.1248 [hep-th]

Font, Ibáñez, Marchesano hep-th/0602089

Villadoro, Zwirner 0706.3049, 0710.2551 [hep-th]

Silverstein 0712.1196 [hep-th]

- Study non-geometric compactifications spaces
- Study models that preserve more SUSY

Aldazabal, Cámera, Font, Ibáñez hep-th/0602089  
de Carlos, Guarino, Moreno 0907.5580 [hep-th]

Cassani, Kashani-Poor 0901.4251 [hep-th]

Diederik Roest 0902.0479 [hep-th]

Dall'Agata, Villadoro, Zwirner 0906.0370 [hep-th]

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Dall'Agata, Villadoro, Zwirner 0906.0370 [hep-th]

# THANK YOU!