# The gravitational S-matrix

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- Any candidate theory of quantum gravity should describe this regime, at least in principle. (E.g. could put on big computer.)
   Generally high-energy scattering probes the most fundamental structure of a theory.
- 3) Such scattering encounters a deep conceptual paradox, driving at the heart of the conflict between general relativity and quantum mechanics.
- 4) Reasons 2 and 3 suggest that its study may point the way to new principles critical to understanding the quantum mechanics of gravity.
  5) If we're very lucky, it could be studied at the LHC.
  Plan of talk: overview of this and related issues

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#### Just need: 1) Lorentz invariance

2) very weak notion of locality

Indeed, nature provídes us with observed cosmíc accelerators (presumably AGN) reaching already up to

 $\sim 10^{12} \, GeV$ 

Moreover, ...

# In extra dímensional scenarios yielding TeVscale gravity, even



#### at LHC!

(A review: arXiv:0709.1107)

Ll violation might alter this story, but:

- hard to violate such symmetry a small amount
- stringent constraints
- potentially alters basic properties of black holes
- still find the problem of black holes and evaporation in more complicated contexts
  - $\Rightarrow$  won't consider

 $E \gg M_p$  : dynamics

Control impact parameter b --- wavepackets
Large E: ~ semiclassical picture
Classically, produce black hole, + radiation
Quantum corrections: Hawking radiation



(Indeed, 12 doesn't avoid, if form BHs other ways)

## We then confront the "information paradox."

We then confront the "information paradox." Lightening review: Hawking, updated: nice slice argument Locality:  $\mathcal{X}$  $|\psi_{NS}\rangle \Rightarrow \rho_{HR} \sim \mathrm{Tr}_{in}|\psi_{NS}\rangle\langle\psi_{NS}|$  $S_{HR}(x^{-}) \sim -\mathrm{Tr}\left(\rho_{HR}\ln\rho_{HR}\right)$ Increases to  $\sim A_{BH}$ Nice Slic at  $t_{evap}$ : information lost (Hawking, 1976)

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The problem is, QM is remarkably robust: Banks, Peskín, Susskínd (1984) -- studíed such ínfo loss: Basic idea: transmitting info requires energy ... loss of info violates energy conservation ... such vírtual effects  $\Rightarrow$  Massíve E nonconservation  $T \sim M_p$  , in this room So: let's try to keep unitary evolution!

#### If information isn't lost, maybe it's left behind: in remnants?

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But: begin w/ arbitrarily large black hole  $\Rightarrow$  infinite species  $M \sim M_p$ 

 $\Rightarrow \text{ Infinite production instabilities}$ (See e.g. hep-th/9310101, hep-th/9412159)

### The "paradox:" a conflict between

Lorentz/diff invariance (macroscopic)

Quantum mechanícs Locality (macroscopic)





QM, LI -- can't see how to modify, respecting consistency and observation A weak point: locality?

#### What do the dominant quantum gravity paradigms say?

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#### LQG: working to recover the familiar world of (~) Minkowski space, multi-particle perturbations, and their scattering

(some recent progress; success remains to be seen)

String theory:

Hints(?) at a solution:

addresses nonrenormaliziblity extendedness/nonlocality microstate counting, etc.

Idea: "holography:"

D-dím. grav  $\equiv$  (D-1) non-grav unitary thy

(AdS/CFT)

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Examine more closely, see what actually says...

General problem: investigate UHE scattering (D-dimensions)

(Provisional) summary of some of what we know. (One question: when/how strings relevant?)

(More detaíl: SBG; SBG, Gross, Maharana; SBG & Sredníckí; SBG & Porto)

Parameters:

 $E = energy, \gg M_D$ b = impact parameter ... decrease



#### 1) Born $b \to \infty$



 $E \gg M_p$ 

 $\overline{T_{tree}} = -8\pi G_D s^2 / t$ 



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 $E \gg M_p$ 

$$T_{tree} = -8\pi G_D s^2 / t$$

Where do strings modify? Naively, might guess  $b \sim l_{st}^2 E$  (long strings) but -- tiny corrections (will see momentarily)

#### Instead, leading corrections:



$$s = E^2$$
;  $t = -q^2$ 

For -t<<s , can write sum over loops in terms of tree amplitude:

$$iT_{\rm eik}(s,t) = 2s \int d^{D-2}x_{\perp} e^{-iq_{\perp} \cdot x_{\perp}} (e^{i\chi(x_{\perp},s)} - 1)$$

 $q_{\perp}$  = perpindicular to CM momentum  $x_{\perp} \sim \text{impact parameter b}$ 

$$\chi(x_{\perp},s) = \frac{1}{2s} \int \frac{d^{D-2}q_{\perp}}{(2\pi)^{D-2}} e^{-i\mathbf{q}_{\perp}\cdot x_{\perp}} T_{\text{tree}}(s,-q_{\perp}^2)$$
$$= (const.) \frac{G_Ds}{x_{\perp}^{D-4}}$$

... "eikonal phase" (here T is full tree amp.)

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... "eikonal phase" (here T is full tree amp.)

Eikonal  $\leftrightarrow$  classical approximation (See, e.g., Amatí, Cíafaloní, and Venezíano) Consider the classical metric of a high energy source: Schwarzschild, boosted with  $E/m=\gamma\gg 1$ Aichelburg-Sex solution:  $ds^{2} = -dx^{+}dx^{-} + dx_{\perp}^{2} + \Phi(x_{\perp})\delta(x^{-})dx^{-2}$  $\Phi = -8G_D E \log(x_\perp), D = 4;$  $\Phi = (const.) \frac{G_D E}{x_{\perp}^{D-4}}, D > 4$ 

E.g. compare classical scattering angle to eikonal saddlepoint

#### This indicates a second regime:

2) Eikonal  $\sim$  classical

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Born/eikonal transition:

$$\chi \sim 1 \iff b = x_{\perp} \sim (G_D E^2)^{\frac{1}{D-4}} \iff q_{\perp} \sim 1/b$$

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Born/eikonal transition:

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Where do important corrections to the eikonal picture enter?

Fírst, consider the classical problem; intuitively, form a black hole

Indeed:



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Indeed:



# Classically, can show a closed trapped surface forms: $b \lesssim R(E)$ (SBG & Eardley 2002, extending Penrose)
But: what important corrections? - stringy - quantum (e.g. other loops)

#### Fírst, let's systematically look at string corrections

#### Begin w/tree-level amplitude: high E

$$T_{tree}^{string}(s,t) \propto g_s^2 \frac{\Gamma(-t/8)}{\Gamma(1+t/8)} s^{2+t/4} e^{2-t/4}$$

V5.

 $T_{tree}^{grav}(s,t) \propto G_D \frac{s^2}{t}$ 

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- Agree for  $-t \ll 1$ - Here see no evidence for long string effects:  $b \sim E \iff t \sim E^{-2(D-5)}$ - But significant modifications for  $t \sim -1$  - However, as noted, díagrams



compete for 
$$t = -q^2 \gtrsim -\frac{1}{b^2}$$
 («1)

#### Suppose, for example, decrease b/increase -t:



Dominant N:  $N \sim \chi \sim \frac{G_D E^2}{b^{D-4}}$ :

At  $t \sim -1$  :  $N \sim (G_D E^2)^{\frac{1}{D-3}}$ 

 $\Rightarrow$  Large loop order dominates.



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At  $t \sim -1$  :  $N \sim (G_D E^2)^{\frac{1}{D-3}}$   $\Rightarrow$  Large loop order dominates. At given loop order, N, expect: 1)  $k_j \approx q/(N+1)$ 2)  $E^{-\alpha' q^2/(N+1)}$ 

Thus at large N, string corrections small

But - another effect: can excite strings through accumulated effect of grav exchange- "diffractive excitation" (ACV)

Indeed, unexcited (elastic) amplitude, near Schwarzschild impact parameter:  $\mathcal{A}_{el} \sim \exp\left\{-E^{(D-4)/(D-3)}\right\} \qquad !!$ 



So:

### ?? No black hole??

So:

# ?? No black hole?? Info carríed away? (Venezíano, 2004)

But there is a contrary intuition: string only "spreads out" "after" collision??

String spreading is a notoriously fuzzy concept, and requires some care

Depends on process in question, and its "resolving power"

#### Find:

#### SBG, Gross, Maharana, arXív:0705.1816



## Indeed, origin of effect is "tidal string excitation" $(\Delta X)^2 \sim |\ln \epsilon| + \left[\frac{G_D E^2}{b^{D-2}}\tau\right]^2 |\ln \tau| \qquad \epsilon \ll \tau$ For small tau: inside trapped surface:















Black hole

 No apparent role for string extendedness

SBG, hep-th/0604072 SBG, Gross, Maharana, arXív:0705.1816 "dífferent tíme scales"

#### Summarize story in a proposed "phase diagram:"



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Strong gravity region: an important mystery.

Important aspect? :

The problem appears intrinsically nonperturbative



(series not even asymptotic) (unitarity a more critical issue than renormalizability ?)

### String perturbative finiteness, extendedness not clearly relevant

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What about state counting; duality/holography?

- Microstate counting: not far from BPS, ~ solitonic (not Schwarzschild)

- Holographic duals: nonperturbative do they answer our questions?

#### Holographic duals: AdS/CFT; $\sim$ matrix theory

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need to compare inside and outside observers; no formulation of local observables Holographic duals: AdS/CFT;  $\sim$  matrix theory

- do they address the "paradox"?

need to compare inside and outside observers; no formulation of local observables

- nonetheless, can investigate whether, e.g., they reproduce a unitary S-matrix with the correct features - for clear interpretation, want to reproduce S-matrix in flat space limit, that is, on scales  $\ r \ll R$  (then, can take  $R \to \infty$ )

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An important open problem! Polchínskí, hep-th/9903048 Susskind, hep-th/9901079 SBG, hep-th/9907129 Gary, SBG, and Penedones, arXiv:0903.4437 Gary, SBG, arXív:0904.3544 Heemskerk, Penedones, Polchínskí, Sully, arXív:0907.0151



#### An issue:

control sources at boundary

can they be "focussed" sufficiently to resolve structure at scales r<<R?



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Or, might the boundary theory only summarize some version of the bulk theory theory averaged over scales <R?

(thus, holography only in "coarse-grained" sense?)

Let's understand more carefully:

- AdS/CFT: 
$$\phi(x) \leftrightarrow \mathcal{O}(b)$$

(b=boundary point; x=bulk point)

- consider boundary sources

 $f_i(b)$ 

- produce bulk wavepacket as

 $\int db f_i(b) \mathcal{O}(b)$ 

- scattering amplitude:  $\mathcal{A} = \int \prod_{i=1}^{4} [db_i f_i(b_i)] \langle \mathcal{O}(b_1) \cdots \mathcal{O}(b_4) \rangle$ 

Can we choose  $f_i(b)$  so that we produce the flat space S-matrix, at scales r<<R?

A test (SBG, 1999)

# For $E \gg 1/R$ $q \gg 1/R$ $q \gg 1/R$ $q \ll 1/(G_D E^2)^{1/(D-4)}, < 1/l_{st}$

 $\psi_i(p_i)$ 

#### Should be able to reproduce Born amplitudes:

 $T \propto \frac{G_D s^2}{4}$  $S = 1 + i(2\pi)^D \delta(\sum_i p_i)T$ 

for a basis of "healthy" wavepackets

i.e.  $\mathcal{A} \approx \int dp_i \psi_i(p_i) S(p_i)$ 

Wednesday, October 7, 2009

(Since we don't know how to compute correlators in the boundary gauge theory, a warm-up test: if we use a bulk theory to define the boundary correlators, can we recover the S-matrix of that bulk theory?) (Since we don't know how to compute correlators in the boundary gauge theory, a warm-up test: if we use a bulk theory to define the boundary correlators, can we recover the S-matrix of that bulk theory?)



lack of focus

#### So, use normalizable solutions?

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problem:


# Only obvious way to proceed: compromise compact sources -- "boundary compact wavefunctions"



(Gary, SBG, and Penedones, arXiv:0903.4437)

#### Indeed, consider:



 $f_i(b) \sim L(b-b_i)e^{i\omega_i t}$ cpct support

#### Indeed, consider:



 $f_i(b) \sim L(b-b_i)e^{i\omega_i t}$   $\int$ cpct support

There is a limit:

 $\eta \to \infty$  $R = \eta^2 \hat{R}$ 

(~Polchínskí,

Susskind)

 $\omega = \text{fixed}$ 

 $\Delta t = \eta \widehat{\Delta t}$ 

 $\Delta heta = \widehat{\Delta heta} / \eta$ 

gíving plane waves in flat space

Recall the target:  $S = 1 + i(2\pi)^D \delta(\sum p_i)T$  $T \propto \frac{G_D s^2}{4}$ If isolate "by hand"  $\langle \mathcal{O}(b_1) \cdot \cdots \mathcal{O}(b_4) \rangle_{scatt}$ can show  $\mathcal{A}_{scatt} = \int \prod_{i=1}^{4} \left[ db_i f_i(b_i) \right] \langle \mathcal{O}(b_1) \cdots \mathcal{O}(b_4) \rangle_{scatt}$ if the correlator has a certain singularity structure  $i(2\pi)^D \overline{\delta}(\sum p_i)T$ (~ delta function)

 $\langle \mathcal{O}(b_1) \cdots \mathcal{O}(b_4) \rangle \propto \frac{\mathcal{A}(z, \bar{z})}{b_{13}^{\Delta_1} b_{24}^{\Delta_2}}$ 

cross ratios:  $z\overline{z} = \frac{b_{13}b_{24}}{b_{12}b_{34}}$ 

$$(1-z)(1-\bar{z}) = \frac{b_{14}b_{23}}{b_{12}b_{34}}$$

#### singularity: $z = \overline{z}$



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singularity:  $z = \overline{z}$ 

Can extract (quite explicit, and nontriv.) T from coeff of singularity in  $\mathcal{A}_{scatt} = \int \prod_{i=1}^{4} \left[ db_i f_i(b_i) \right] \langle \mathcal{O}(b_1) \cdots \mathcal{O}(b_4) \rangle_{scatt}$ 



(See 0903.4437)

- this is very suggestive.

- but: how do we know that the true CFT correlators have such a singularity?

- this is a necessary condition for the correct flat-space kinematics (delta funtion)

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Heemskerk, Penedones, Polchínskí, Sully:

Conjecture/prelim. arguments: any CFT that has a large-N expansion, and in which all single-trace operators of spin greater than two have parametrically large dimensions, exhibits such behavior - it's certainly important to investigate whether this is true.- if it is, declare victory?

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- it's certainly important to investigate whether this is true.
- if it is, declare victory?
- not so fast!
  - Gary, SBG, arXív:0904.3544:
- plane-wave limit is rather singular
- ordinarily control by using well-defined ("regular") wavepackets

- for finite but large R, can we reproduce these from boundary-compact wavepackets?

Not necessarily!

boundary compact  $\Rightarrow$  low-energy tails

become power law tails, in position space; don't vanish in R=infinity limit

thus, one doesn't have an argument that well-localized (regular) wavepackets can be produced from welldefined (boundary compact) boundary data





- Part of the issue: separating

 $\langle \mathcal{O} \cdots \mathcal{O} \rangle_{scatt}$  from  $\langle \mathcal{O} \cdots \mathcal{O} \rangle_{direct}$ 

- possible indication: need to excite  $N^2$  matrix degrees of freedom? (Some indications all along)

 but why should these produce local amplitudes on scales << R ??</li>

To summarize the AdS/CFT discussion: We have found some nontrivial tests for whether the CFT produces local dynamics on scales <<R 1. Presence of certain singularities  $\mathcal{A} \sim \frac{T}{(z-\bar{z})^{2\beta}}h(s,t,u)$ with  $T \sim T_{bulk}$ is this structure present in the CFT? 2. Complete space of "good" bulk wavepackets; absence of tail effects, so can properly resolve S-matrix

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To summarize the broader string discussion:

- perturbative string theory (and its "finiteness") doesn't obviously address our set of questions - there is so far no substantial indication of a role for extendedness of strings (or branes ...) - it is very non-trivial to show that non-perturbative duals sharply capture complete bulk dynamics (do they?)

## Whether strings (or LQG) ultimately answer these questions, can we see outlines of the answers?

Whether strings (or LQG) ultimately answer these questions, can we see outlines of the answers?

- We see strong indications for new effects at scales  $\sim R_S(E)$ 

- Nonperturbative gravity (distinct from, e.g. string extendedness?)

- Good indications: breakdown of locality, as conventionally formulated

#### Reasons to question locality, at $\sim R_S(E)$ :

Reasons to question locality, at  $\sim R_S(E)$  : 1) information paradox

if keep Lorentz invariance and QM:



On scale :  $R_S \propto (G_D M)^{1/(D-3)}$ 



2) growth of size in scattering

 $\theta_c \sim \left[\frac{R_S(E)}{b}\right]^{D-3} \qquad \text{indicates gravitational growth of object} \\ (\text{though not nonperturbative regime})$ 

black holes: 2 body  $b \sim R_S(E)$ 

(connection to "nonpolynomiality" - momentarily)

3) lack of local observables approximately local observables fail in same regime Want to better understand physics - a basic set of questions:

1) Where does local QFT fail? Correspondence boundary

2) What is the mechanism?

3) What physical/mathematical framework replaces QFT, and how might locality emerge from it in familiar contexts?

# Some previous proposals for a correspondence boundary for gravity:





validity

 $\Delta x \Delta p > 1$ 



Compare CM/QM dynamical descript. validity  $\Delta x \Delta p > 1$ x(t), p(t)CM:  $\phi_{x,p}\phi_{y,q}|0\rangle$  $|x - y|^{D-3} > G|p + q|$ +GR:(mín uncertainty wavepackets)

Note: not síngle partícle (e.g. spacetíme uncertaínty)

$$\begin{array}{lll} & \begin{array}{lll} \mbox{Compare CM/QM} \\ & \begin{array}{lll} \mbox{dynamical descript.} & \mbox{validity} \\ \mbox{CM:} & x(t), p(t) & \mbox{\Delta}x \mbox{\Delta}p > 1 \\ \end{array} \\ & \begin{array}{lll} \mbox{QFT} & \mbox{\phi}_{x,p} \mbox{\phi}_{y,q} | 0 \\ \mbox{+GR:} & \begin{array}{lll} \mbox{min uncertainty wavepackets} \end{array} & |x - y|^{D-3} > G|p + q \end{array} \end{array}$$

Note: not síngle partícle (e.g. spacetíme uncertaínty)

"locality bound"

(generalizations: N-particle; dS)

SBG & Líppert; hep-th/0605196; hep-th/0606146

Correspondingly, mechanism: "delocalization w.r.t. semiclassical geometry, intrinsic to unitary dynamics of nonperturbative gravity"

~ "nonlocality principle"

contrast with: extended strings (or branes) (correspondingly, clear distinction between "string uncertainty principle" and the locality bound)

### How else to proceed?

How else to proceed? - How do we probe/quantify locality? can it be absent as a fundamental property, yet emerge in an approximate sense? How else to proceed? - How do we probe/quantify locality? can it be absent as a fundamental property, yet emerge in an approximate sense?

- local observables
- polynomíal behavíor of HE scattering

### Indeed, independently interesting problem: The gravitational S-matrix

- conjecture: well defined in "the" theory of gravity

- what are its general properties, consistent with unitary quantum evolution + basic features of gravity?

- can its study provide information about the principles of the underlying theory?

(remember the Veneziano amplitude ...) locality  $\longleftrightarrow$  polynomiality?

SBG and Sredníckí, arXív:0711.5012 SBG and Porto, arXív:0908.0004

#### Some basic features:



- different characteristic behavior in different regimes

$$2 \rightarrow 2 \quad \text{scattering:} \qquad \text{PW expansion:}$$
$$T(s,t) = (const)E^{4-D} \sum_{l=0}^{\infty} (l+\nu)C_l^{\nu}(\cos\theta) \left[e^{2i\delta_l(s)-2\beta_l(s)}-1\right]$$
$$\nu = \frac{D-3}{2}$$
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$$\nu = \frac{D-3}{2}$$

Born, eikonal regions: "weak gravity" regime; can infer features of  $\delta_l$ ,  $\beta_l$  from preceding considerations

$$\begin{array}{lll} \textbf{E.g.:} & \delta_l^{eik} \sim \frac{E^{D-2}}{l^{D-4}} \\ & \beta_l^{br} \sim \frac{E^{3D-6}}{l^{3D-10}} & \dots \ \text{soft gravitons} \\ & \quad + \ \text{other ``model dependent'' effects} \\ & \quad (\text{string excitation, etc.}) \end{array}$$

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Strong gravity/black hole region:  $l \leq ER_S(E) = L$ 

1. Black hole Ansatz:

 $\frac{\Gamma}{M} \sim \frac{1}{RM} \sim \frac{1}{S}$ 

$$\beta_l \approx \frac{S(E,l)}{4}$$

(Bekenstein-Hawking entropy approx. thermal description)

2. Black holes ~ resonances

 $N_{accessible}(M, M+1/R) \sim S(M)$ 

 $\Rightarrow \quad \text{information about } \delta_l \qquad (\lesssim \pi S(E))$  $(\sim \text{Levinson's thm, but multichannel})$ 

Features:

- significant indications, amplitudes not polynomial:  $\underline{T}(s,t) \sim e^{s^{\alpha}t^{\beta}}$ plausibly associated w/lack of usual locality? related: viol. of Froissart, eg  $\sigma_{BH} \sim [R_S(E)]^{D-2}$ ... growth of range of gravity w/ energy - interesting constraints from crossing crossing more nontrivial than in massive thy; provídes constraínts on nonpoly behavíor  $\sim$  bdd. in physical region, e.g. t<0, s cplx (not "too" nonlocal)

This is "outside" (asymptotic) viewpoint. To discuss "inside" (cosmology, black hole) need ~ local observables

Indeed, locality - QFT:

 $[\mathcal{O}(x), \mathcal{O}(y)] = 0$ ,  $(x - y)^2 > 0$ 

Diff invariance  $\Rightarrow$  None in gravity!

For example, to properly formulate the information paradox, need to discuss inside, approximately local description:



Possible resolution: Relational approach: "proto-local observables" see: SBG, Marolf, Hartle; Gary & SBG: 2d, concrete

Basic idea: 
$$\mathcal{O} = \int d^4x \sqrt{-g} B(x) O(x)$$
  
 $\langle B(x) \rangle = b(x)$ 

for appropriate background:  $\langle \mathcal{O} \rangle \approx O(x_0)$ localization relative to background But: - localization only approximate - must include background/observer Can we find a flaw in nice slice argument, and see where Hawking went wrong ?

Some thoughts: hep-th/0606146 Sharp computation of  $S_{HR}$  requires fine-grained, local  $|\psi\rangle_{NS}$ 



Two potentíal obstacles: 1) observing background  $\Rightarrow$ large mods. to  $|\psi\rangle_{NS}$ 2) backreaction of fluctuations  $\Rightarrow$ large mods. to  $|\psi\rangle_{NS}$ Both by  $au_{Page} \sim R_S S_{BH}$ (líteral CM/QM analogy may be another out...) Apparent signals of pert. thy. breakdown;
proposed resolution of information paradox
Non-pert. completion would be required to
describe information "escape" / restore unitarity
but, a clue ...

- Interestingly, there are parallel arguments in dS,

Nice slices Nice slices Suggesting LQFT incomplete after  $\tau \sim R_{dS}S_{dS}$ 

(Likely related argument: Arkani-Hamed ... Villadoro: arXiv:0704.1814)

In general, expect this set of considerations to be important in cosmology Work w/ Marolf on dS, etc. arXiv:0705.1178, and WIP x2

- More general limitations on local QFT for volumes >  $R_{dS}^4 e^{S_{dS}}$ 

 Investigation of proto-local observables in dS deal w/ constraints, linearization stability
 Measurement for protolocal observables To sum up, should be probing limits of local quantum field theory description, likely on scales  $\gg l_P$ , in certain circumstances "unitarity restored at price of locality"

To sum up, should be probing limits of local quantum field theory description, likely on scales  $\gg l_P$ , in certain circumstances "unitarity restored at price of locality" How to make more concrete progress? (~ How to invent QM w/out experiment?)

To sum up, should be probing limits of local quantum field theory description, likely on scales  $\gg l_P$  , in certaín círcumstances "unitarity restored at price of locality" How to make more concrete progress? (~ How to invent QM w/out experiment?) - investigate properties of S-matrix - approximately local observables, and limitations - Another ingredient: what is a general enough quantummechanical framework to incorporate these ideas? More general than Hartle's "generalized QM" arXív:0711.0757

How can we have a theory w/ features of gravity, 1) Consistent (~causal) 2) Quantum mechanical 3) Nonlocal - essential tension 4) Nearly-local (i.e. behaves locally in usual lowenergy circumstances) ... a highly non-trivial set of conditions to satisfy! This, plus relevant gedanken experiments: guides to such a "Non-Local (but