



### Experimental Review on Lepton Universality and Lepton Flavour Violation tests in B decays

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### outline

### 1. Lepton Flavour Universality

- ✓ lepton-flavour universality in b → sll :  $R_{K^*}$ ,  $R_{K^*0}$
- ✓ lepton-flavour universality in b →  $c\tau v_{\tau}$ : R(D), R(D<sup>\*</sup>), R(J/ $\psi$ )

### 2. Lepton Flavour Violation

✓ 
$$B_{d,s}$$
→eµ
 ✓  $B_{d,s}$ →τµ
 ✓  $B_d$ →K\*eµ

## the main players

the **Belle** detector [NIM A479 (2002) 117-232] the **LHCb** detector [JINST 3 (2008) S08005] the **BaBar** detector [NIM A479 (2002) 1-116]



 $e^+e^-$  colliders @ Y(4S) resonance

Ø b quarks produced from Υ(4S) →B<sup>+</sup>B<sup>-</sup> or B<sup>0</sup>B<sup>0</sup>, σ<sub>bb</sub>~0.001 µb
Ø very clean environment
Ø well-constrained kinematics help reconstruct final states with νs

#### 

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Ø b quarks produced by gluon fusion forward direction, σ<sub>bb</sub>~500 µb, but harsher environment
Ø boosted CM energy helps to reconstruct vertices, B mesons fly ~1 cm
Ø all b-hadron species are produced: B<sup>+</sup>, B<sup>0</sup>, B<sub>s</sub>, B<sub>c</sub>, Λ<sub>b</sub>

**Run 1** 2011–2012: 3 fb<sup>-1</sup> @ 7-8 TeV **Run 2** 2015–2018: 6 fb<sup>-1</sup> @ 13 TeV

### anomalies about the Lepton Flavour Universality

• SM features Lepton Flavour Universality (**LFU**)  $\rightarrow$  the couplings of charged leptons to gauge bosons are lepton-flavour independent, and LFU is only broken by the Yukawa interaction, hence, *any further deviation is a key signature of physics processes beyond the SM* 

• *no evidence of deviation from the SM* in the precise (per-mil) tests of LFU in semi-leptonic K and  $\pi$  decays, purely leptonic decays, and in the electroweak precision observables • except for a 2.8  $\sigma$  difference between the measurement of the branching fraction of the W $\rightarrow \tau v_{\tau}$  decay with respect to W $\rightarrow \mu v_{\mu}$  and W $\rightarrow e v_{e}$  decays [Phys. Rept. 532 (2013) 119]

• observed deviations from SM in B decays can naturally be grouped into two categories

✓ FCNC b→s $\ell\ell$  transitions

✓ tree level semileptonic  $b \rightarrow c\tau v_{\tau}$  transitions

• possible BSM scenarios: leptoquarks, new heavy vector bosons,  $H^{\pm}$ , ...

main test variables are ratios of decay rates

- ✓ *theoretically clean:* cancellation of QCD effects
- ✓ *experimentally clean:* cancellation of efficiency and reconstruction effects

### test of LFU in $B \rightarrow K^{(*)}\ell\ell$ decays

• FCNC transitions occur via loop in the SM  $\rightarrow$  expected BR < 10<sup>-6</sup>

•  $R_{K(*)}$  is close to unity in SM, with very small uncertainties:  $R_{K(*)}$  =

$$=\frac{BR(B \to K^{(*)}\mu^+\mu^-)}{BR(B \to K^{(*)}e^+e^-)}$$

- ✓ hadronic uncertainties of  $O(10^{-4})$  [JHEP 07 (2007) 040]
- ✓ QED uncertainties can be O(10<sup>-2</sup>) [EPJC 76 (2016) 8,440]



RUN 1 ( 3 fb<sup>-1</sup>) measurements @ LHCb are systematically below the SM expectations  $\rightarrow$  2.2 – 2.6  $\sigma$ 

[Belle, PRL 103 (2009) 171801] [BaBar, PRD 86 (2012) 032012] [LHCb, PRL 113 (2014) 151601] [LHCb, JHEP 08 (2017) 055]

## new $\boldsymbol{R}_K$ at LHCb

#### $\bullet$ new $R_K$ measurement with about twice as many B's as previous measurement

- ✓ re-optimised analysis of RUN 1 data (3 fb<sup>-1</sup>)
- ✓ added 2015 and 2016 datasets from RUN 2 (2 fb<sup>-1</sup>)

**the ee channel is the challenge of these analysis** Bremsstrahlung affects the electron momentum

✓ lower reconstruction + trigger efficiencies
 ✓ lower mass and q<sup>2</sup> resolution

• to cancel most of the systematic effects  $R_K$  is measured as a double ratio

$$R_{K} = \frac{\mathcal{B}(B^{+} \to K^{+}\mu\mu)}{\mathcal{B}(B^{+} \to K^{+}ee)} / \frac{\mathcal{B}(B^{+} \to K^{+}J/\psi(\mu\mu))}{\mathcal{B}(B^{+} \to K^{+}J/\psi(ee))} \qquad \checkmark \text{ separated by}$$

$$= \frac{N(K^{+}\mu\mu)}{N(K^{+}J/\psi(\mu\mu))} \cdot \frac{N(K^{+}J/\psi(ee))}{N(K^{+}ee)} \cdot \frac{\varepsilon(K^{+}J/\psi(\mu\mu))}{\varepsilon(K^{+}\mu\mu)} \cdot \frac{\varepsilon(K^{+}ee)}{\varepsilon(K^{+}J/\psi(ee))}$$



## new $\mathsf{R}_{\mathsf{K}}$ at LHCb

mass-shape models and efficiency ratios are derived from simulation carefully corrected

using data control samples  $\rightarrow$ 

- ✓  $B^+$  kinematic
- ✓ Particle IDentification
- ✓ trigger

#### numerous cross-checks to ensure the effectiveness of the corrections

$$r_{J/\psi} = rac{\mathcal{B}(B o K^+ J/\psi(\mu\mu))}{\mathcal{B}(B o K^+ J/\psi(ee))} = 1.014 \pm 0.035$$

stringent test as it requires **muon** and **electron** efficiencies to be controlled individually

#### a simultaneous fit to rare + resonant electron and muon channels is performed to extract $R_K$



#### rare modes:

partially reconstructed background and tail from resonant mode are significant in **ee** 

## new $R_K$ at LHCb: result

#### [LHCb, PRL 122 (2019) 191801]



#### main contributions to systematic :

- ✓ uncertainty on fit shape
- $\checkmark$  corrections to B<sup>+</sup> kinematic and trigger efficiencies

Run 1 (3 fb<sup>-1</sup>) + Run 2 (2 fb<sup>-1</sup>) analysis

$$R_{\mathcal{K}} = 0.846 \, {}^{+0.060}_{-0.054} \, (\text{stat}) \, {}^{+0.014}_{-0.016} \, (\text{syst})$$

compatible with the SM expectation at  $2.5 \sigma$ 

by fitting Run 1 and Run 2 separately

 $R_{K \text{ Run 1}}^{\text{new}} = 0.717_{-0.071-0.016}^{+0.083+0.017}$  $R_{K \text{ Run 2}} = 0.928_{-0.076-0.017}^{+0.089+0.020}$ 

the new analysis on Run 1 data (new reconstruction and selection) agrees with the old one within  $1\sigma$ 

#### [Belle, arXiv:1904.02440v2] new $R_{K^*}$ at Belle Events / ( 0.0025 GeV/c<sup>2</sup> ) Events / ( 0.0025 GeV/c<sup>2</sup> 90 60 electrons muons 80 *new* $R_{K^*}$ *measurement for both* **50** 70E charged and neutral B mesons **60** 40 50 data sample 711 fb<sup>-1</sup> **30** $\checkmark$ $\rightarrow$ 772 ×10<sup>6</sup> B pairs 20 dominant background due to ۲ Pull Pull combinatorial suppressed cutting on 5.28 M<sub>bc</sub> (GeV/c<sup>2</sup>) 5.28 M<sub>bc</sub> (GeV/c<sup>2</sup>) 5.22 5.26 5.24 5.22 5.24 5.26 the beam energy constrained $\checkmark$ mass $M_{\rm bc} = \sqrt{E_{\rm beam}^2/c^4 - |\vec{p}_B|^2/c^2}$ 2.0 $R_{K^{\ast 0}}$ $\checkmark$ and on $\Delta E = E_B - E_{\text{beam}}$ 1.5TABLE II. Result for $R_{K^*}$ , $R_{K^{*0}}$ and $R_{K^{*+}}$ . The first un-1.0 certainty is statistical and the second is systematic. $q^2$ in $\text{GeV}^2/c^4$ $B^+$ modes $B^0$ modes All modes $\begin{array}{l} 11111100005\\ \hline 0.52 \substack{+0.36 \\ -0.26 \\ -0.29 \\ -0.29 \\ \pm 0.11 \\ 0.90 \substack{+0.27 \\ -0.21 \\ \pm 0.10 \\ 1.18 \substack{+0.52 \\ -0.32 \\ -0.14 \\ \pm 0.08 \\ \end{array}$ $\begin{array}{c} 0.46\substack{+0.55\\-0.27}\pm0.07 & 0.62\substack{+0.60\\-0.38}\pm0.13 & 0.72\substack{+0.99\\-0.34}\pm0.18 \\ 0.86\substack{+0.33\\-0.24}\pm0.08 & 0.96\substack{+0.56\\-0.35}\pm0.14 \\ 1.12\substack{+0.61\\-0.36}\pm0.10 & 1.40\substack{+1.99\\-0.68}\pm0.11 \\ 1.12\substack{+0.27\\-0.21}\pm0.09 & 0.70\substack{+0.24\\-0.19}\pm0.07 \end{array}$ [0.045, 1.1] $\pm 0.10$ 0.5 $\rightarrow$ LHCb Belle [1.1, 6]LHCb BaBar Belle 2019 ▼ [0.1, 8]0.0 [15, 19]0 5 10 1520[0.045,] $q^2 \left[ \text{GeV}^2 / c^4 \right]$

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### test of LFU in tree level semileptonic $b \rightarrow c\tau v_{\tau}$ transitions



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### $\tau$ reconstruction

#### Ieptonic decays

Mode	BF (%)
$ au^-  ightarrow e^- \overline{ u}_e^-  u_ au$	$17.82\pm0.04$
$ au^-\! ightarrow\mu^-\overline{ u}_\mu  u_ au$	$17.39\pm0.04$

evv channel only at B factories

#### hadronic decays

Mode	BF (%)
$ au^-\! ightarrow\pi^-\pi^0 u_ au$	$25.49\pm0.09$
$ au^-\! ightarrow\pi^- u_ au$	$10.82\pm0.05$
$ au^-\! ightarrow 3\pi^\mp  u_{m  au}$	$9.31\pm0.05$
$ au^-\! ightarrow 3\pi^\mp\pi^0 u_ au$	$4.62\pm0.05$

✓ signal and normalization channels have same visible final states



✓ part of systematic cancels in the ratio
✓ backgrounds from inclusive semileptonic decays, with many unknowns ( form factors, decay rate, etc. )

- $\checkmark$  final states are not the same
- $\checkmark$  systematic do not cancel in the ratio between signal and normalization channels
- → at LHCb measure with respect to another decay with similar final state, e.g.  $B \rightarrow D^*\pi\pi\pi$

<u>1-prong channels only at B factories</u> <u>3-prongs channels only at LHCb</u>

### B factories vs LHCb <u>B factories</u>

✓ Hadronic tag

 ε = 𝔅(0.3)%, signal sample pure,
 B<sub>sig</sub> momentum fully reconstructed

 ✓ SemiLeptonic tag

 ε = 𝔅(1)%, signal sample less pure,
 approximate B<sub>sig</sub> momentum



#### <u>LHCb</u>

✓ use B flight direction to measure transverse component of missing momentum
 ✓ B boost along beam direction approximated with boost of the visible final state
 (p<sub>B</sub>)<sub>z</sub> = (m<sub>B</sub>/m<sub>vis</sub>)(p<sub>vis</sub>)<sub>z</sub> to access rest frame kinematics
 ✓ ~18% resolution on B momentum

make the fit templates from most discriminating variables, e.g. the muonic R(D\*) analysis from LHCb uses the B rest frame quantities  $\rightarrow$ m<sup>2</sup><sub>miss</sub> = (p<sub>B</sub>- p<sub>D</sub>\*- p<sub>µ</sub>)<sup>2</sup>, E<sup>\*</sup><sub>µ</sub>, q<sup>2</sup> = (p<sub>B</sub>- p<sub>D</sub>\*)<sup>2</sup>

### $R(D^*)$ and $R(J/\psi)$ with $\tau \rightarrow \mu \nu \nu$ at LHCb

LHCb [PRL 115 (2015) 111803]

$$R(D^*) = rac{\mathcal{B}(B^0 o D^{*-} au^+ 
u_ au)}{\mathcal{B}(B^0 o D^{*-} \mu^+ 
u_\mu)}$$

using  $D^* \rightarrow D^0 (\rightarrow K^+\pi^-) \pi^$ visible final state  $\rightarrow \pi (K\pi) \mu$ large backgrounds from partially reco B decays  $\rightarrow MVA$  techniques based on  $\mu$  isolation LHCb [PRL 120 (2018) 121801]

$$R(J/\psi) = \frac{\mathcal{B}(B_c^+ \to J/\psi\tau\nu)}{\mathcal{B}(B_c^+ \to J/\psi\mu\nu)}$$

using  $J/\psi \rightarrow \mu^+\mu^$ visible final state  $\rightarrow (\mu\mu)\mu$ 

shorter  $B_c$  decay time helps to discriminate large background from lighter b hadrons



### $\mathsf{R}(\mathsf{D}^*)$ with $\tau \rightarrow 3\pi^{\pm}(\pi^0)\nu_{\tau}$ at LHCb

**LHCb** [PRL 120 (2018) 171802] **LHCb** [PRD 97 (2018) 072013]

✓ using D<sup>\*-</sup>→D<sup>0</sup>(→K<sup>+</sup>π<sup>-</sup>) π<sup>-</sup> ✓ τ →3π vtx detached from B vtx suppresses D<sup>\*-</sup>3πX background (~ 100 × signal) ✓ MVA technique to suppress the remaining backgrounds:  $X_b \rightarrow D^{*-}D_{(s)}(X)$  (~ 10 × signal) ✓ experimental systematic uncertainty reduced normalizing to a decay with same visible final state: B<sup>0</sup>→ D<sup>\*-</sup>π<sup>+</sup>π<sup>-</sup>π<sup>+</sup>



### $\mathsf{R}(\mathsf{D}^*)$ with $\tau \rightarrow 3\pi^{\pm}(\pi^0)\nu_{\tau}$ at LHCb

#### **LHCb** [PRL 120 (2018) 171802] **LHCb** [PRD 97 (2018) 072013]

✓ using  $D^{*-} \rightarrow D^{0}(\rightarrow K^{+}\pi^{-}) \pi^{-}$ ✓  $\tau \rightarrow 3\pi$  vtx detached from B vtx suppresses  $D^{*-}3\pi X$  background (~ 100 × signal) ✓ MVA technique to suppress the remaining backgrounds:  $X_b \rightarrow D^{*-}D_{(s)}(X)$  (~ 10 × signal) ✓ experimental systematic uncertainty reduced normalizing to a decay with same visible final state:  $B^{0} \rightarrow D^{*-}\pi^{+}\pi^{-}\pi^{+}$ 



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### SL-tagged $R(D^{(*)})$ with $\tau \rightarrow \ell \nu \nu$ at Belle

$$\mathcal{R}(D^{(*)}) = \frac{\mathcal{B}(\bar{B} \to D^{(*)}\tau^-\bar{\nu}_{\tau})}{\mathcal{B}(\bar{B} \to D^{(*)}\ell^-\bar{\nu}_{\ell})} \quad (\ell = e, \mu)$$

update of the SL-tagged analysis: **from measuring** R(D\*) **to a simultaneous measurement of** R(D) **and** R(D\*) **by combining** B<sup>0</sup> **and** B<sup>+</sup> **decays** 

- ✓ data sample 711 fb<sup>-1</sup> → 772 ×10<sup>6</sup> B pairs
- ✓ MVA analysis technique to select  $B_{tag}$  in semileptonic modes →  $D\ell v$  and  $D^*\ell v$
- ✓ on tag side,  $\tau \rightarrow \ell \nu \nu$  vetoed by applying a cut on the angle between B and D<sup>(\*)</sup> $\ell$  in Y(4S) rest frame: cos $\theta_{B,D(*)\ell}$
- ✓ 4 data samples :  $D^+ \ell^-$ ,  $D^0 \ell^-$ ,  $D^{*+} \ell^-$ ,  $D^{*0} \ell^-$ 
  - D<sup>0</sup> reconstructed as  $K^-\pi^+\pi^0$ ,  $K^-\pi^+\pi^-$ ,  $K^-\pi^+$ ,  $K_S\pi^+\pi^-$ ,  $K_S\pi^0$ ,  $K_SK^+K^-$ ,  $K^+K^-$ ,  $\pi^+\pi^-$  **30% of D<sup>0</sup> BRs**
  - D<sup>+</sup> reconstructed as  $K^-\pi^+\pi^-$ ,  $K_S\pi^+\pi^0$ ,  $K_S\pi^+\pi^+\pi^-$ ,  $K_S\pi^+$ ,  $K^+K^-\pi^+$ ,  $K_SK^+$  **22% of** D<sup>+</sup> **BRs**
  - $D^{*+}$  reconstructed as  $D^0\pi^+$  and  $D^+\pi^0$
  - $D^{*0}$  reconstructed as  $D^0\pi^0$  ( $D^0\gamma$  higher background)
- ✓ B mesons are required to have opposite flavour to suppress combinatorial background

✓ to distinguish signal and normalization events from background process → sum of energies of neutral clusters not associated with reconstructed particles  $\mathbf{E}_{\mathbf{ECL}}$ 



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### SL-tagged $R(D^{(*)})$ with $\tau \rightarrow \ell \nu \nu$ at Belle

- ✓ to separate reconstructed signal and normalization events → BDT based discriminating variable (**class**) using  $\cos\theta_{B,D(*)\ell}$ ,  $m^2_{miss}$  and  $E_{vis}$  and trained for each of the 4 D<sup>(\*)</sup> $\ell$  samples
- ✓ a 2D fit is performed simultaneously on the 4 D<sup>(\*)</sup>ℓ samples, on 10 bins of the E<sub>ECL</sub> and class variables
- $\checkmark$  shape of the templates are based on MC samples
- ✓ MC samples corrected with data/MC( $\epsilon_{PID}$ ) separately for e and µ using e<sup>+</sup>e<sup>-</sup>→e<sup>+</sup>e<sup>-</sup>ℓ<sup>+</sup>ℓ<sup>-</sup> and J/ψ→ℓ<sup>+</sup>ℓ<sup>-</sup>



### SL-tagged $R(D^{(*)})$ with $\tau \rightarrow \ell \nu \nu$ at Belle

#### **Belle** [arXiv:1904.08794]

#### $\checkmark$ most precise measurements of R(D) and R(D\*) to date

 $R(D) = 0.307 \pm 0.037_{stat} \pm 0.016_{syst}$ 

 $R(D^*) = 0.283 \pm 0.018_{stat} \pm 0.014_{syst}$ 

in agreement with the SM within .2 $\sigma$  and 1.1  $\sigma$  respectively

the combined result agrees with the SM within 1.2  $\sigma$ 



✓ main systematic contributions are due to the  $\epsilon_{PID}$  corrections and to MC stat

✓ statistical correlation between the quoted R(D) and  $R(D^*)$  values is -0.53, while the systematic correlation is -0.52

### new HFLAV averages

https://hflav-eos.web.cern.ch/hflav-eos/semi/spring19/html/RDsDsstar/RDRDs.html

 $\bigcirc$  after the HFLAV update of the LHCb hadronic R(D\*) measurement, and the new R(D) and R(D\*) Belle measurements, the new HFLAV averages are

 $R(D) = 0.349 \pm 0.027_{stat} \pm 0.015_{syst}$ 

 $R(D^*) = 0.298 \pm 0.011_{stat} \pm 0.007_{syst}$ 



### link to Lepton Flavour Violation

Q Lepton Flavour Violating (LFV) HF decays may occur in the <u>SM via one-loop diagrams</u> with neutrinos oscillations, but are highly suppressed ~10<sup>-54</sup> : beyond experimental reach

© <u>renewed interest of LFV phenomena in the HF sector</u>: attempts to explain tensions in FCNC and FCCC point to enhancements to accessible levels [Phys. Rept. 114 (2015) 091801]

**Q** NP models like Z' or LQ foresee BF enhancements to levels accessible: access to massive particles beyond the reach of direct searches

interesting correlations between observables in some leptoquarks models [ArXiv:1609.08895]

$$\begin{aligned} \mathcal{B}(B \to K\mu^{\pm}e^{\mp}) &\sim 3 \cdot 10^{-8} \left(\frac{1-R_K}{0.23}\right)^2, \ \mathcal{B}(B \to K(e^{\pm},\mu^{\pm})\tau^{\mp}) \sim 2 \cdot 10^{-8} \left(\frac{1-R_K}{0.23}\right)^2 \\ \frac{\mathcal{B}(B_s \to \mu^+e^{-})}{\mathcal{B}(B_s \to \mu^+\mu^{-})_{\rm SM}} &\sim 0.01 \left(\frac{1-R_K}{0.23}\right)^2, \ \frac{\mathcal{B}(B_s \to \tau^+(e^{-},\mu^{-}))}{\mathcal{B}(B_s \to \mu^+\mu^{-})_{\rm SM}} \sim 4 \left(\frac{1-R_K}{0.23}\right)^2. \end{aligned}$$

## $B_{d,s} \rightarrow e\mu$ at LHCb

- NP models like Z' or LQ  $\rightarrow$  BF enhanced up to  $O(10^{-11})$
- improved analysis using all of RUN 1 data (3 fb<sup>-1</sup>)

#### event selection based on e and $\mu$ tracks forming a displaced vertex

**@** e's emit significant bremsstrahlung  $\gamma$ 's at LHCb efficiencies and mass shapes depend on whether or not the bremsstrahlung is recovered  $\rightarrow 2$  event categories are analyzed separately



 ${\it @}~\epsilon_{\rm trigger}$  and  $\epsilon_{\rm PID}~$  from data calibration samples, all the others from simulation

#### backgrounds

@ combinatorial background is rejected by means of a topological BDT, trained on signal MC vs same-sign data and calibrated on B→ $K\pi$  data (proxy for the signal)

Ø B→ hh (h=K,π) double-misID only peaking background → 0.1 events survive the PID sel
 Ø B<sub>d</sub>→πµν and Λ<sub>b</sub>→πµν with π→e misID are found to be sizeable and included in the mass fit

## $B_{d,s} \rightarrow e\mu$ at LHCb

• to measure the BF, the signal events are normalised by means of two known channels:  $B^+ \rightarrow J/\psi K^+$  (clean final state),  $B^0 \rightarrow K^+\pi^-$  (same topology as the signal)

• the BF is extracted with a simultaneous invariant-mass fit to the <u>two bremsstrahlung</u> <u>categories and BDT bins</u>

no excess in the signal region, set a limit with the  $\rm CL_S$  method at 90% (95%) C.L.

$$\begin{split} \mathcal{B}(B^0 &\to e^{\pm} \mu^{\mp}) < 1.3 \, (1.0) \times 10^{-9} \\ \mathcal{B}(B^0_s &\to e^{\pm} \mu^{\mp}) < 6.3 \, (5.4) \times 10^{-9} \end{split}$$



which supersedes the previous best limit (1 fb<sup>-1</sup>) [LHCb, PRL 111 (2013) 141801]



## $B_{d,s} \rightarrow \tau \mu$ at LHCb

- many BSM models predict large BF from  $O(10^{-9})$  to  $O(10^{-5})$
- $\mathcal{B}(B^0 \rightarrow \tau \mu) < 2.2 \times 10^{-5}$  [BaBar, PRD 77 (2008) 091104], no limit yet on  $B_S^0 \rightarrow \tau \mu$

• **<u>challenging search</u>**  $\rightarrow$  at least one missing neutrino in the final state

- ✓ at the B factories the reconstructed  $B_{tag}$  and Y(4S) kinematic constrain the  $B_{sig}$  momentum → can use 1-prong decays accessing ~70% of the  $\tau$  decays
- ✓ at LHCb focus on  $\tau^{\pm} \rightarrow \pi^{\pm} \pi^{\pm} \pi^{\pm} \nu \sim 9.3\%$  of the  $\tau$  decays →
- $\checkmark$  the  $\mu$  is combined with a opposite charged  $\tau$  to form a displaced vertex
- ✓ same sign candidates ( $\mu^{\pm}\tau^{\pm}$ ) and simulation employed to study the background



topological and kinematic constraints allow to compute the  $M_B$  analytically with a two-fold ambiguity, the solution with the highest S/B is kept



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## $B_{d,s} \rightarrow \tau \mu$ at LHCb

@ more than 90% of background due to events with extra-tracks is rejected using isolation criteria, two background components survive the selection:

1. <u>partially reconstructed</u>  $\rightarrow$  two topologies



<u>combinatorial</u> → BDT trained on signal MC vs upper mass sideband ( > 6.2 GeV ) of same sign (μ<sup>±</sup>τ<sup>±</sup>)

ⓐ <u>a final BDT trained on MC vs ( $\mu^{\pm}\tau^{\pm}$ ) data (full mass range) to categorize the events</u> → the BDT signal distribution is flattened, while the background peaks at low BDT values



LHCb

BDT bin 4

5.4

 $M_B^{5.6}$  [GeV/c<sup>2</sup>]

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## $B_{d,s} \rightarrow \tau \mu$ at LHCb

signal yields evaluated by performing a simultaneous unbinned maximum likelihood fit to the  $M_{B}$  distributions in 4 BDT bins and converted into BFs using the decay  $B^{0} \rightarrow D^{-}(K^{+}\pi^{-}\pi^{-})\pi^{+}$  as a normalization channel Cand. / (0.05 GeV/c<sup>2</sup>)

limited  $B_s$  and  $B_d$  separation  $\rightarrow$  $B_s$  signal fit, assuming no  $B_d$  contribution  $B_{s}$  yield = -16 ± 38  $B_{d}$  yield = -65 ± 58

 $\bigcirc$  no presence of signal, limit with CL<sub>S</sub> method at 95% CL

 $\mathcal{B}(B^0 \to \tau^{\pm} \mu^{\mp}) < 1.4 \times 10^{-5}$  assuming no B<sub>s</sub> signal  $\mathcal{B}(B_s^0 \to \tau^{\pm} \mu^{\mp}) < 4.2 \times 10^{-5}$  assuming no  $\mathsf{B}_{\mathsf{d}}$  signal

 $\blacksquare$  B<sub>d</sub> limit improves by a factor of 2 BaBar's result, first limit on the B<sub>s</sub> mode



Pull

### $B_d \rightarrow K^{*0} e\mu$ at Belle

- ✓ data sample 711 fb<sup>-1</sup> → 772 ×10<sup>6</sup> B pairs
- ✓ reconstruction of  $B^0 \rightarrow K^*(K\pi)e^{\pm}\mu^{\mp}$
- ✓ to discriminate signal from background 2 discriminating variables →  $M_{\rm bc} = \sqrt{E_{\rm beam}^2/c^4 - |\vec{p}_B|^2/c^2}$  $\Delta E = E_B - E_{\rm beam}$
- most significant background due to continuum e<sup>+</sup>e<sup>-</sup>→qq, MVA analysis based on event topology → bb events more spherically distributed
- 2. B decays with 2 identified leptons in final states, new MVA analysis
- 3. residual background due to  $B^0 \rightarrow K^*(K\pi)J/\psi(\ell)$ removed applying sets of vetoes around the  $J/\psi$  mass

## *no statistically significant signal upper limits on B at 90% C.L.*

			-	
Mode	E (%)	$N_{ m sig}$	$N_{ m sig}^{ m UL}$	${\cal B}^{\rm UL}~(10^{-7})$
$B^0 \to K^{*0} \mu^+ e^-$	8.8	$-1.5^{+4.7}_{-4.1}$	5.2	1.2
$B^0 \rightarrow K^{*0} \mu^- e^+$	9.3	$0.4^{+4.8}_{-4.5}$	7.4	1.6
$B^0 \rightarrow K^{*0} \mu^{\pm} e^{\mp}$ (combined)	9.0	$-1.2_{-6.2}^{+6.8}$	8.0	1.8

#### [Belle, PR D 98 (2018) 071101]



## conclusions and outlook

because of time costrain I did not touch ...

- ✓ new R<sub>K</sub> at Belle [Belle, EPS 2019, preliminary]
- ✓  $B^+ \rightarrow K^+ e\mu$  [LHCb, LHCB-PAPER-2019-022 preliminary]
- intriguing anomalies found in LFU tests using B meson decays

✓ LHCb full Run 2 dataset ~ 4 times the number of B's available in Run1 → all ratios  $R_K$ ,  $R_{K^*}$ ,  $R(D^*)$ ,  $R(J/\psi)$  will be updated ✓ new analysis ongoing are:  $R_{\psi}$ ,  $R_{KS}$ , ...,  $R(D)_{,}R(D_{s}^{(*)})$ ,  $R(\Lambda_{c}^{(*)})_{,}R(p)$ ... ✓ angular analysis of b→sℓℓ transitions are under way ✓  $\Lambda_b \rightarrow \Lambda_c$  form factor measurement [LHCb PRD 96 (2017) 112005] others are on the way:  $\Lambda_b \rightarrow \Lambda_c^*$ ,  $B_s \rightarrow D_s^{(*)}$ ...

hints of lepton non-universality in B decays demand searches for LFV decays

 ✓ LHCb is currently dominating the scene, Belle II will join soon on some channels
 ✓ all presented LHCb limits will be soon updated using the Run 2 dataset, many more LFV measurements being performed not only on B decays

## conclusions and outlook

Belle II already started to collect data and is expected to record a 50 times larger data sample (50 ab<sup>-1</sup> in 2027)

● LHCb upgrade installation started this January 2019 to be ready in 2021, upgrade detector qualified to accumulate 50 fb<sup>-1</sup> at the end of 2029

• to take full advantage of the HL-LHC the LHCb collaboration is proposing a new major upgrade of the detector to increase data sample up to 300 fb<sup>-1</sup>

Iooking for a fruitful competition/collaboration between LHCb and Belle II we are entering an exciting phase of precision measurements !

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Thank You! Thank You! for your attention!



### spares

#### [Belle, EPS 2019, preliminary]



isospin asymmetry A<sub>1</sub> also measured

$$A_{I} = \frac{(\tau_{B^{+}}/\tau_{B^{0}}) \times \mathcal{B}(\mathrm{B}^{0} \to \mathrm{K}^{0}\ell\ell) - \mathcal{B}(\mathrm{B}^{+} \to \mathrm{K}^{+}\ell\ell)}{(\tau_{B^{+}}/\tau_{B^{0}}) \times \mathcal{B}(\mathrm{B}^{0} \to \mathrm{K}^{0}\ell\ell) + \mathcal{B}(\mathrm{B}^{+} \to \mathrm{K}^{+}\ell\ell)}$$

deviation found at **~2.5σ** level in the **[1.0,6.0] bin** 

## Belle II is expected to record a 50 times larger data sample

30/08/19



### $B^+ \rightarrow K^+ e \mu$ at LHCb

- ✓ data sample 3 fb<sup>-1</sup>, trigger on high  $p_t \mu$
- $\checkmark~~K,~e^{\pm}~{\rm and}~\mu^{\scriptscriptstyle \mp}~{\rm reconstructed}~{\rm tracks}~{\rm from}~{\rm a}~{\rm common}~{\rm displaced}~{\rm vertex}$
- ✓ normalization channel with similar topology  $B^+ \rightarrow J/\psi(\mu^+\mu^-)K^+$
- $\checkmark$  simulated samples needed for efficiencies, signal and background modelling



1. partially reconstructed most abundant  $B^+ \rightarrow D^0(K^+Y \ell^- \nu) \times \ell^+ \nu$ rejected by requiring  $m(K^+ \ell^-) > 1885 \text{ MeV}$ 

2. decays via charmonium resonances e.g.  $B^+ \rightarrow J/\psi(\ell \ell)K^+$  rejected via mass vetoes

3. combinatorial background reduced with a dedicated BDT exploiting event topology and isolation

4. fully (partially) reconstructed B decays with misidentified particles e.g.  $B^+ \rightarrow K \ell \ell$  rejected via dedicated BDT

#### no statistically significant signal 🗲

$B/10^{-9}$	90% C. L.	95% C. L.
$B^+ \rightarrow K^+ \mu^- e^+$	7.0	9.5
$B^+ \rightarrow K^+ \mu^+ e^-$	7.1	9.1

### previous best limits from Babar are improved by more than one order of magnitude

#### [LHCb, LHCB-PAPER-2019-022 preliminary]

### great variety of observables

- Observe hadronic decay, not the quark-level transition
  - $b \to s\ell\ell \longrightarrow B^+ \to K^+\ell\ell, B^0 \to K^{*0}\ell\ell, \\ B_s \to \phi\ell\ell, \text{ etc.}$
- Needs to compute hadronic matrix elements
  - Non-perturbative QCD, difficult to compute





### decay rates



#### Measured BR are consistently lower than predicted in SM

though SM suffers from large uncertainties...

### angular distributions



- Give access to observables with reduced dependence on hadronic effects [JHEP 1204 (2012) 104]
- $\bullet$  LHCb finds deviation from the SM prediction at the level of 3.4  $\sigma$

LHCb [JHEP 02 (2016) 104], CMS [PLB 781 (2018) 517], ATLAS [JHEP 10 (2018) 047], BaBar [PRD 93 (2016) 052015], Belle [PRL 103 (2009) 171801], CDF [PRL 108 (2012) 081807]

### controlling efficiencies

 $\min(p_{T}(l^{+}), p_{T}(l^{-})) [\text{MeV}/c]$ 

#### efficiency ratios are computed from simulation carefully corrected using data control samples

✓  $B^+$  kinematic

 $\checkmark$ 

- ✓ trigger
- mass resolution 🖌 Particle IDendification

#### numerous cross-checks to ensure the effectiveness of the corrections :

$$\star r_{J/\psi} = \frac{\mathcal{B}(B \to K^+ J/\psi(\mu\mu))}{\mathcal{B}(B \to K^+ J/\psi(ee))} = 1.014 \pm 0.035$$
 stringent test as it requires **muon**  
and **electron** efficiencies to be  
controlled individually

check that efficiencies are understood in all kinematic regions  $\rightarrow r_{J/\psi}$  is flat for all variables examined, e.g. ~ 1.10  $\sim 1.10$  $\frac{\pi}{f_{L}}$  1.05 LHCb LHCb 1.00 1.00 0.95 0.95 0.90 0.90 0.2 0.3 0.5 2000 3000 5000 0 0.1 0.4 1000 4000

dilepton opening angle [rad]

cross-checks done independently for Run1 and Run 2 samples and excellent agreement found

### invariant mass fits

#### a simultaneous fit to rare + resonant electron and muon channels is performed to extract $R_K$



### detection of B semileptonic decays at LHCb



- ✓ Impact Point resolution ~ 20  $\mu$ m for high-P<sub>t</sub> tracks
- ✓  $\Delta p/p \sim 0.4\%$  at 5 GeV

#### excellent particle IDentification

- ✓  $\pi/K$  separation over 2-100 GeV,  $\epsilon_K \sim 90\%$  for  $\sim 5\%$  ( $\pi \rightarrow K$ ) misID
- ✓ powerful muon ID,  $\varepsilon_{\mu} \sim 97\%$  for 1-3%  $\pi \rightarrow \mu$  misID

### the LHCb trigger



- Fully optimised for flavour physics
- At first stage (L0) a hardware trigger fires on single hadrons, leptons and photons
- High Level Trigger (HLT): software application designed to reduce event rate from 1 M to ~10 k events/s, executed on a large computing cluster. Flexible design that can adapt to changing machine conditions and evolving physics programme
- Split HLT in two steps: buffer events to disk after HLT1 to perform online calibration & alignment
- HLT2 uses offline-quality calibration → more discriminant trigger
- Offline-quality reconstruction up-front

## the LHCb upgrade

@ upgrade started this January 2019 @ restart data taking in 2021 at  $\mathcal{L}$  up to  $2 \times 10^{33}$  cm<sup>-2</sup>s<sup>-1</sup> @ upgrade detector qualified to accumulate 50 fb<sup>-1</sup>  $\rightarrow$ 

#### upgrade all sub-detector electronics to 40 MHz readout make all trigger decision in software and some new detectors



### the next years @ LHCb

© upgrade installation started this January 2019 to be ready at the end of Long Shutdown 2 (LS2)

@ restart data taking in 2021 at Run3

@ higher instantaneous luminosity  $\rightarrow$  from 2×10<sup>32</sup> cm<sup>-2</sup>s<sup>-1</sup> to 2×10<sup>33</sup> cm<sup>-2</sup>s<sup>-1</sup>



@ more visible interactions per bunch crossing → from 1 to about 5
 @ upgrade detector qualified to accumulate 50 fb<sup>-1</sup> at the end of Run4,
 LHCb-TDR{13,14,15,66}

**Q** LS3: consolidation of the detector

**@** LS4: to take full advantage of the High Lumi-LHC,  $\mathcal{L}$  up to 1-2 ×10<sup>34</sup> cm<sup>-2</sup>s<sup>-1</sup>, the collaboration is proposing a major upgrade of the detector with the intent to collect 300 fb<sup>-1</sup> at the end of Run5, **CERN/LHCC 2017-003, CERN/LHCC 2018-027** 

## outlook for $R(D^*)$ and $R(J/\psi)$

Physics case for LHCb Upgrade II arXiv:1808.08865 (2018)	$\sigma(R_{D^{\star}})$	$\sigma(R_{J/\psi})$
All results based on 3/fb at 7-8TeV (Run 1 2010-2012)	0.027 <mark>0.030</mark>	0.17 (stat) 0.18 (syst)
We have another 6/fb at 13TeV (Run 2 2015-2018) x4 in B statistics due to increased production X-section	0.014	0.10
<ul> <li>LHCb upgrade during shutdown (2019-2020) 40MHz readout and trigger entirely in software Better vertexing for reducing backgrounds to τ, D and B</li> </ul>	After upg reduce s	grade can syst errors
Integrated luminosity 50/fb in Runs 3 & 4 Higher instantaneous luminosity 2x10 <sup>33</sup> /cm <sup>2</sup> /s	0.007	0.07
Possible major upgrade in ~2030 Much higher luminosity 2x10 <sup>34</sup> , with target of 300/fb	0.002	0.02
	similar to	o σ(SM)

## $\mathsf{R}(\mathsf{D}^*)$ from $\tau \rightarrow \mu \nu_{\mu} \overline{\nu}_{\tau}$

• maximum likelihood fit to  $m_{miss}^2$ ,  $E_{\mu}^*$ ,  $q^2$  distributions with 3D templates representing  $B^0 \rightarrow D^* \tau v$ ,  $B^0 \rightarrow D^* \mu v$ , and background sources:

#### D\*\* feed-down double charm combinatorial misidentified muons

background and signal shapes extracted from control samples and simulations validated against data

• dominant component is  $B^0 \rightarrow D^* \mu \nu$ •  $B^0 \rightarrow D^* \tau \nu$  component increases with  $q^2$ 

### LHCb [PRL 115 (2015) 111803]



## $\mathsf{R}(\mathsf{D}^*)$ from $\tau \rightarrow \mu \nu_{\mu} \overline{\nu}_{\tau}$

#### **LHCb** [PRL 115 (2015) 111803]

e to the	Model uncertainties	Absolute size $(\times 10^{-2})$
eling of	Simulated sample size Misidentified <i>u</i> template shape	2.0 1.6
	$\bar{B}^0 \rightarrow D^{*+}(\tau^-/\mu^-)\bar{\nu}$ form factors	0.6
	$\bar{B} \to D^{*+}H_c (\to \mu\nu X')X$ shape corrections	0.5
	$\mathcal{B}(\bar{B} \to D^{**}\tau^-\bar{\nu}_{\tau})/\mathcal{B}(\bar{B} \to D^{**}\mu^-\bar{\nu}_{\mu})$	0.5
	$\bar{B} \to D^{**} (\to D^* \pi \pi) \mu \nu$ shape corrections	0.4
	Corrections to simulation	0.4
	Combinatorial background shape	0.3
	$\bar{B} \to D^{**} (\to D^{*+} \pi) \mu^- \bar{\nu}_\mu$ form factors	0.3
	$\bar{B} \to D^{*+}(D_s \to \tau \nu) X$ fraction	0.1
	Total model uncertainty	2.8
030 <sub>syst</sub>	Normalization uncertainties	Absolute size $(\times 10^{-2})$
1 1	Simulated sample size	0.6
del	Hardware trigger efficiency	0.6
	Particle identification efficiencies	0.3
	Form factors	0.2
	$\mathcal{B}(\tau^-  o \mu^- \bar{\nu}_\mu \nu_\tau)$	< 0.1
	Total normalization uncertainty	0.9
	Total systematic uncertainty	3.0

FABLE I.	Systematic	uncertainties	in the	extraction	of $\mathcal{R}($	$(D^*)$	).
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- dominant systematic is due to the size of the simulation sample
- systematic due to the modeling of the mis-ID μ template

1.9 $\sigma$  above Standard Model R(D<sup>\*</sup>)<sup>SM</sup> = 0.258 ± 0.003

## $R(D^*)$ from $\tau \rightarrow 3\pi^{\pm}(\pi^0)v_{\tau}$

• **signal yield** from a 3D binned maximum likelihood fit to  $q^2$ , decay time, and BDT output background and signal shapes extracted from control samples and simulations validated against data

signal component increases with BDT output, while  $D^*D_s^+X$  fraction decreases  $\bigcirc$  dominant backgrount at high BDT output  $\rightarrow$ **D\*D+X** due to **D+** lifetime

$$N(B^0 \rightarrow D^* \tau v) = 1296 \pm 86$$

$$\mathcal{K}(D^*) = 1.97 \pm 0.13_{\text{stat}} \pm 0.18_{\text{syst}}$$



**LHCb** [PRL 120 (2018) 171802]

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 $R(D^*)$  from  $\tau \rightarrow 3\pi^{\pm}(\pi^0)\nu_{\tau}$ 

#### **LHCb** [PRL 120 (2018) 171802] **LHCb** [PRD 97 (2018) 072013]

 dominant systematic is due to the size of the simulation sample
 uncertainties on double charm backgrounds should improve with more data and improved external measurements
 uncertainty on efficiency ratio

should improve with more statistics

Source	$\delta R(D^{*-})/R(D^{*-})$ [%]
Simulated sample size	4.7
Empty bins in templates	1.3
Signal decay model	1.8
$D^{**}\tau\nu$ and $D^{**}_{s}\tau\nu$ feeddowns	2.7
$D_s^+ \rightarrow 3\pi X$ decay model	2.5
$B \to D^{*-}D_s^+X, B \to D^{*-}D^+X,$ $B \to D^{*-}D^0X$ backgrounds	3.9
Combinatorial background	0.7
$B \rightarrow D^{*-} 3\pi X$ background	2.8
Efficiency ratio	3.9
Normalization channel efficiency (modeling of $B^0 \rightarrow D^{*-}3\pi$ )	2.0
Total uncertainty	9.1

#### TABLE I. Relative systematic uncertainties on $\mathcal{R}(D^{*-})$ .

# $\begin{aligned} \mathsf{R}(\mathsf{D}^*) &= 0.291 \pm 0.019_{\mathsf{stat}} \pm 0.026_{\mathsf{syst}} \pm 0.013_{\mathsf{ext}} \\ &\sim 0.9 \ \sigma \ above \ Standard \ Model \\ & \textbf{compatible with the muonic channel} \end{aligned}$



### $R(J/\psi)$ , LFU with $B_c$ decays

second-largest systematic

Systematic uncertainties in the determination of

•  $B_c^+ \rightarrow J/\psi$  form factors • size of the simulation sample  $\frac{\mathcal{R}(J/\psi)}{\text{Source of uncertainty}}$ 

TABLE I.

Source of uncertainty	Size (× $10^{-2}$ )
Finite simulation size	8.0
$B_c^+ \rightarrow J/\psi$ form factors	12.1
$B_c^+ \to \psi(2S)$ form factors	3.2
Fit bias correction	5.4
Z binning strategy	5.6
Mis-ID background strategy	5.6
combinatorial background cocktail	4.5
combinatorial $J/\psi$ background scaling	0.9
$B_c^+ \rightarrow J/\psi H_c X$ contribution	3.6
$\psi(2S)$ and $\chi_c$ feed-down	0.9
Weighting of simulation samples	1.6
Efficiency ratio	0.6
${\cal B}( au^+  o \mu^+  u_\mu ar u_ au)$	0.2
Systematic uncertainty	17.7
Statistical uncertainty	17.3

 $R(J/\psi) = 0.71 \pm 0.17_{stat} \pm 0.18_{syst}$ ~ 2.  $\sigma$  above Standard Model

### $B_{d,s} \rightarrow e\mu$ : additional material

The BF is measured with respect to normalisation channels to remove some systematic errors



The correctness of the normalisation procedure is validated by measuring:

$$R_{\text{norm}} = \frac{N_{B^0 \to K^+\pi^-} \times \varepsilon_{B^+ \to J/\psi K^+}}{N_{B^+ \to J/\psi K^+} \times \varepsilon_{B^0 \to K^+\pi^-}} = 0.332 \pm 0.002 \text{ (stat)} \pm 0.020 \text{ (syst)}$$

BDT calibration is performed on  $B \rightarrow K\pi$  data, triggered independently of the signal presence (TIS)



## $\Lambda_b \rightarrow \Lambda_c \mu \nu$ form factors

✓ differential distributions are crucial for comparisons with HQET and lattice QCD, also a first step towards measuring |Vcb|

✓ the decay  $\Lambda_b \rightarrow \Lambda_c \mu v$  is described by 6 form factors, reducing to a single function in heavy quark limit → the Isgur-Wise function  $\xi_B(w)$ :

$$\frac{d\Gamma}{dw} = GK(w)\xi_B^2(w) \qquad w = v_{A_b} \cdot v_{A_c} = \frac{m_{A_b}^2 + m_{A_c}^2 - q^2}{2m_{A_b}m_{A_c}}$$
  
(expanding  $\xi_B(w)$  around w=1 yields:  $\xi_B(w) = 1 - \rho^2(w-1) + \frac{1}{2}\sigma(w-1)^2 + \dots$ 

used for fitting the decay rate

✓ large and clean samples of  $\Lambda_b \rightarrow \Lambda_c \mu \nu$  decays: 2.7×10<sup>6</sup> in analyses Run1 sample

✓ subtract feed-down from higher resonances  $\Lambda_c(2595)$ ,  $\Lambda_c(2625)$ ,  $\Lambda_c(2765)$ ,  $\Lambda_c(2880)$ 



 $\checkmark$ 

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 $\Lambda_b \rightarrow \Lambda_c \mu \nu$  form factors

#### **LHCb** [PRD 96 (2017) 112005]

✓ w distributions are unfolded and corrected for efficiencies
 ✓ then they are fit using 3 approaches, here is example from Taylor expansion → they are in good agreement with HQET predictions



✓ also comparison with  $d\Gamma/dq^2$  distributions with lattice QCD shows excellent agreement [*PRD92* (2015) 034503]