



# Random Telegraph Signal Fluctuations of the Dark Count Rate in CMOS SPADs

SiPM workshop – Bari 2019

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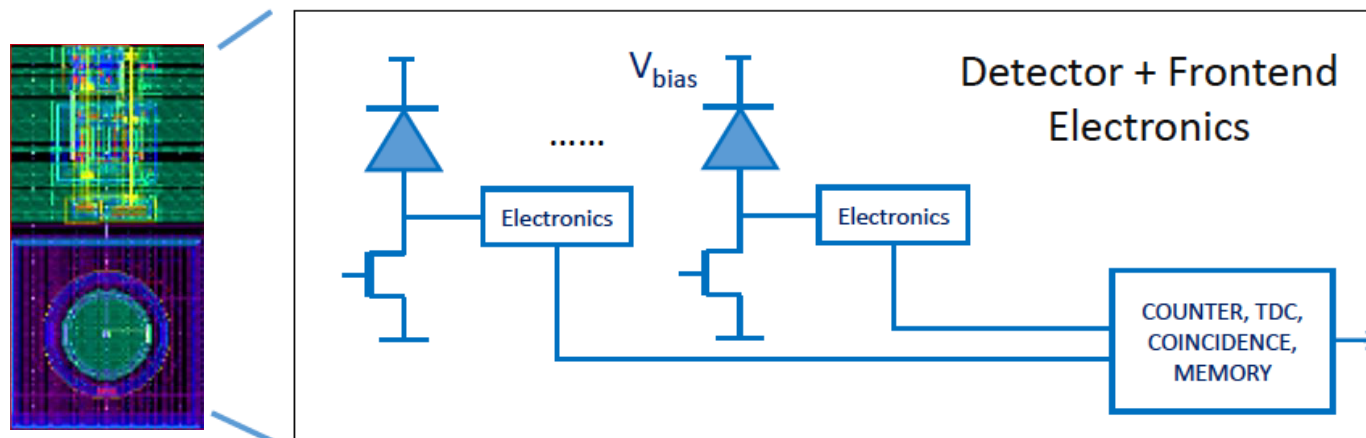


# SPAD CMOS: advantages and drawbacks

- First implementation of SPAD in CMOS technological process has been achieved in 2003, since then many improvements have been obtained

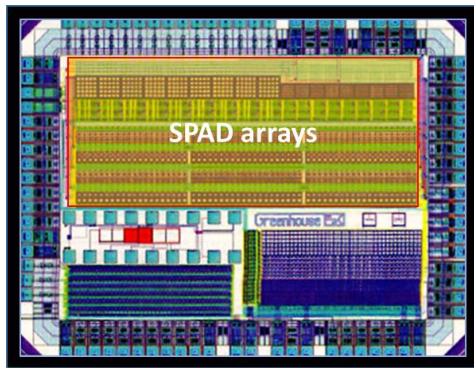
## SPAD implemented in CMOS technology

- High time resolution
- Position sensitive device: particle tracking, imaging...
- Addressing the output of a single SPAD pixel
- Post-processing circuits integrated on chip
- Low power
- **Still High-DCR with respect to custom processes**

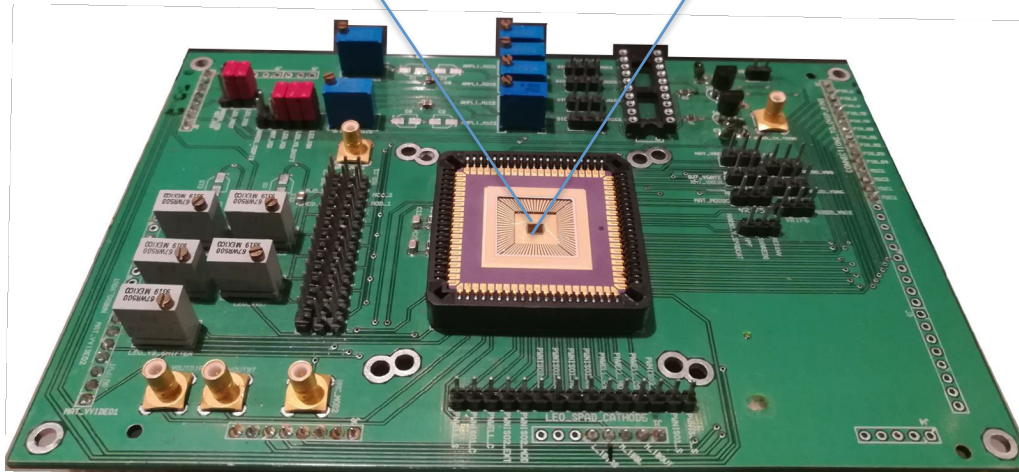


# SPAD Devices Under Test

- Device designed by Fondazione Bruno Kessler (Italy)
- SPADs implemented in a 150-nm CMOS process (LFoundry)
- Several junction layouts and different active area size in the chip



- Matrices 5x5 and array lines
- 5, 10, 15, 20  $\mu\text{m}$  dimension
- Different junction layouts



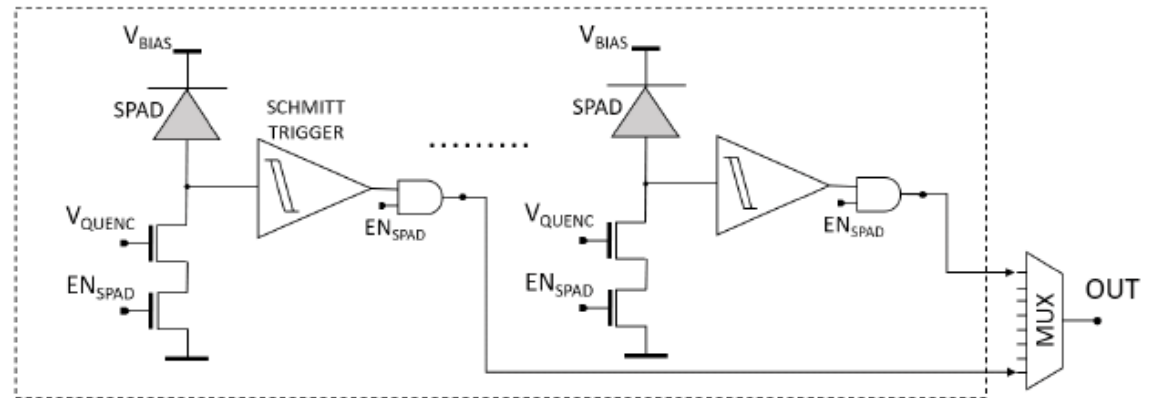
# SPAD Devices Under Test

## Pixel architecture

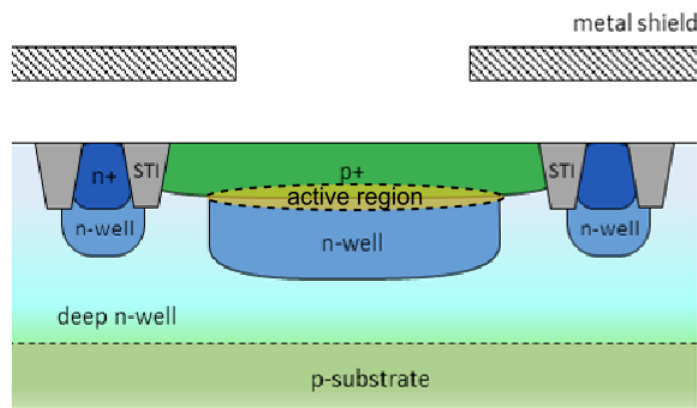
Each SPADs is implemented with front-end electronics:

- A trigger digitalize the pulse;
- MUX select one pixel at the time;

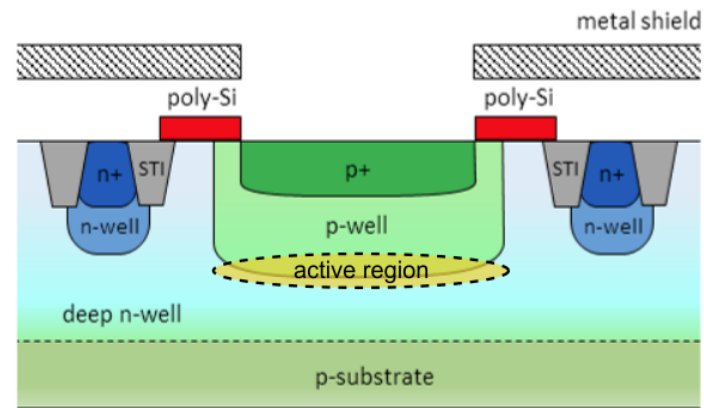
In-pixel front-end



P+/Nwell junction (PN)

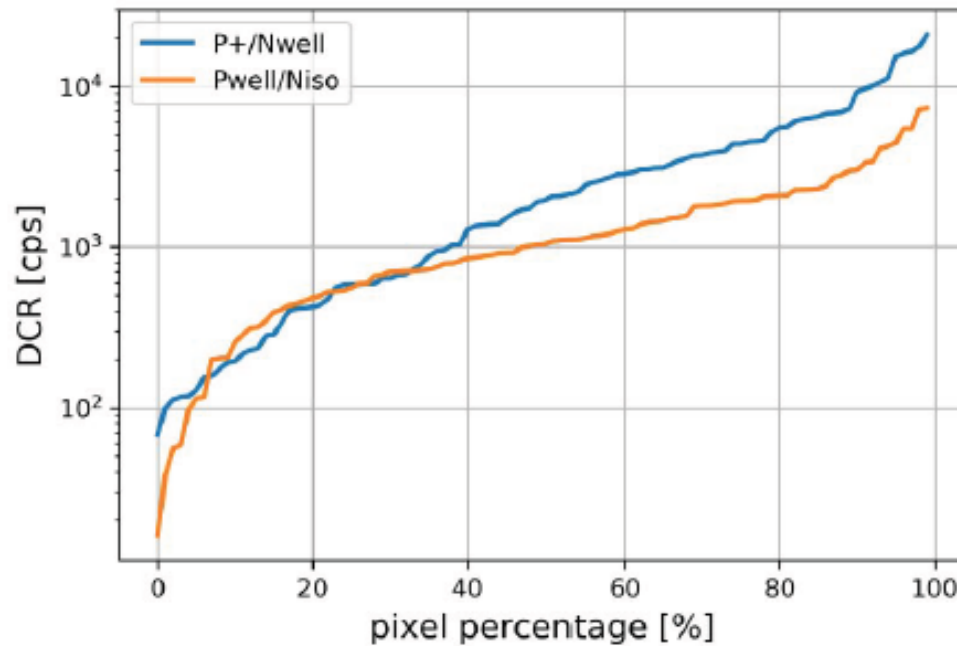
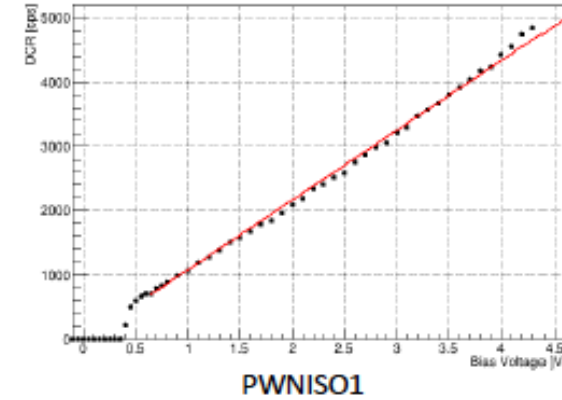
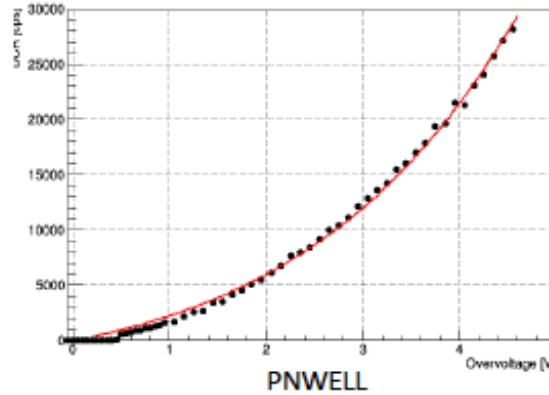


Pwell/Niso junction (PWNISO)



# DCR Pre-Irradiation characterization

DCR vs Overvoltage

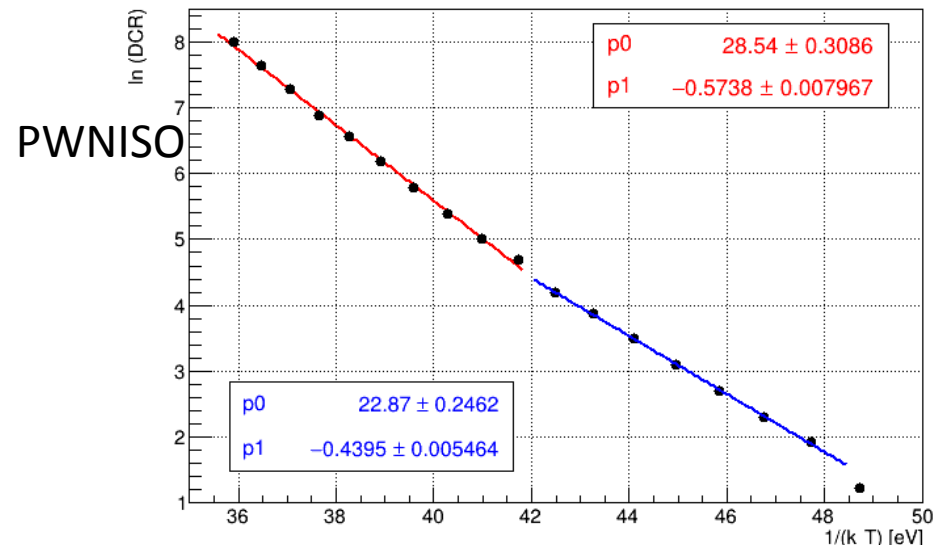
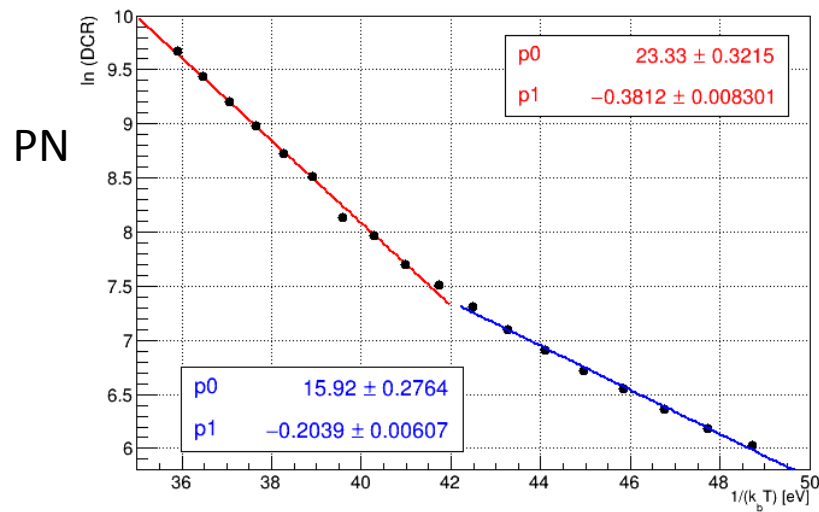


	PN	PWNISO
Median DCR (cps/ $\mu\text{m}^2$ )	$19.4 \pm 0.4$	$10.4 \pm 0.3$
Mean DCR (cps/ $\mu\text{m}^2$ )	$33.4 \pm 0.6$	$14.5 \pm 0.4$

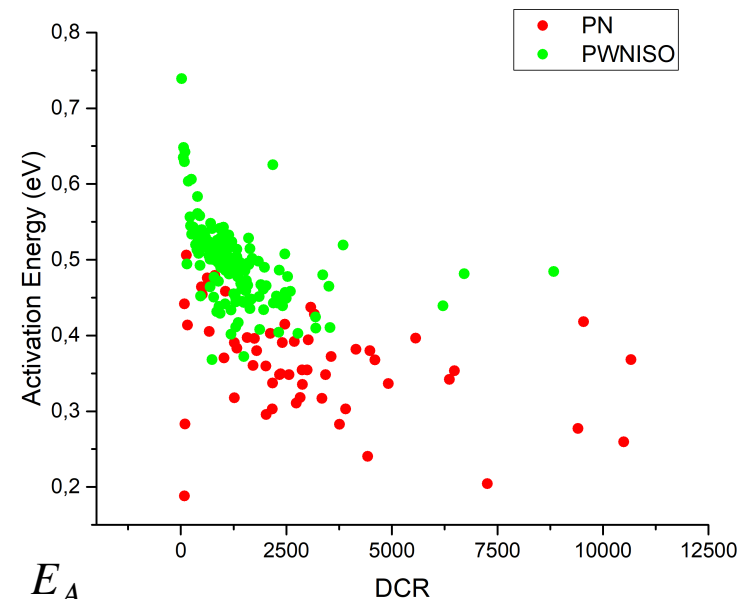
P+/Nwell exhibits twice larger DCR compared to Pwell/Niso designs



# DCR vs Temperature: Activation Energy

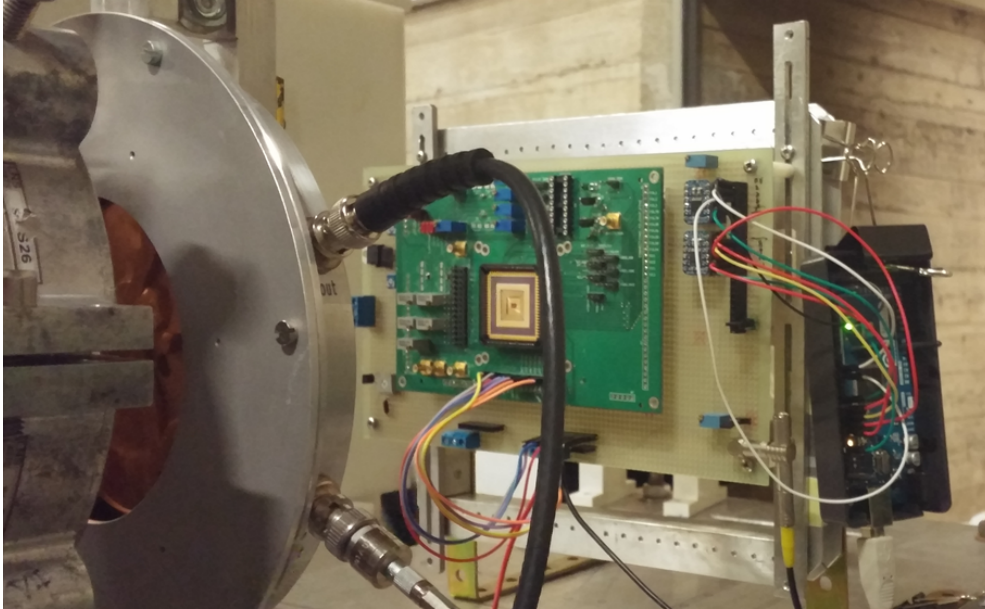


- The change of slope is more evident in PN structures with respect to PWNISO
- On average the activation energy for PN is lower
- PN structures have by design higher electric fields in the junction: this implies an higher contribution from tunneling process to DCR



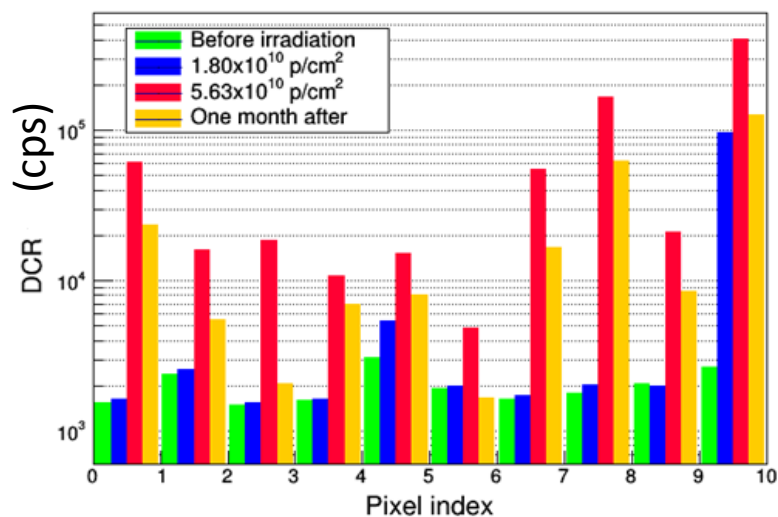
$$DCR = Ae^{-\frac{E_A}{k_B T}}$$

# Proton Irradiation



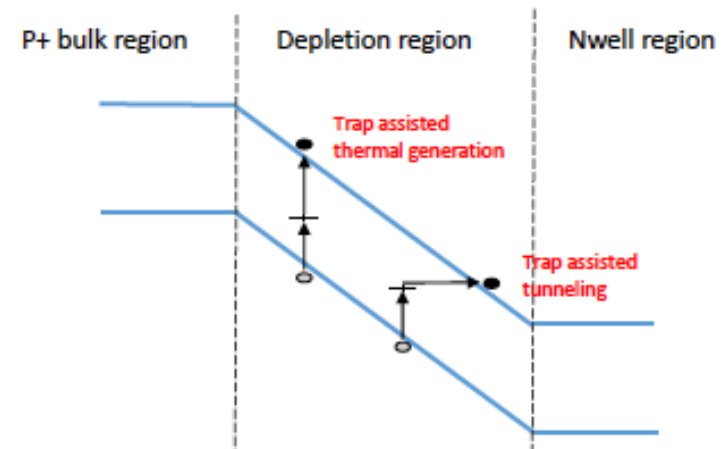
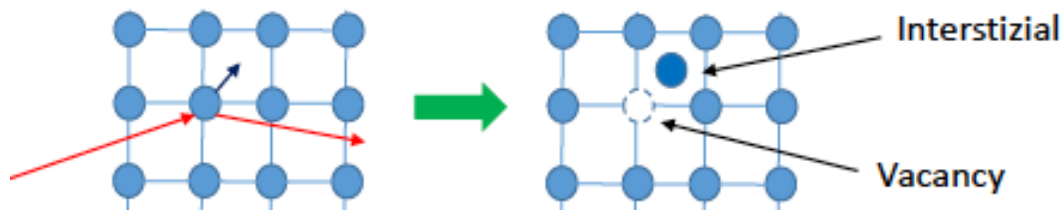
- 62 MeV protons at CATANA beam line (LNS, Catania – Italy)
- 24 MeV at Tandem accelerator (LNS, Catania – Italy)
- DCR measurements after irradiations

Chip	Run	Fluence [p/cm <sup>2</sup> ]	Energy [MeV]	TID [krad]	DDD [TeV/g]
4		$9,10 \cdot 10^{10}$	21	30,5	608,1
5	1	$1,80 \cdot 10^{10}$	21	5,6	120,3
	2	$5,63 \cdot 10^{10}$	21	17,5	376,2
10	1	$2,52 \cdot 10^{10}$	60	3,5	101,4
	2	$5,04 \cdot 10^{10}$	60	6,9	202,8
	3	$7,56 \cdot 10^{10}$	60	10,4	304,2
15		$5,63 \cdot 10^{10}$	32,1	12,5	303,6



# Displacement Damage Effect

- Displacement Damage is the result of energetic particles displacing atoms from their lattice structure
- As a consequence new energy levels are introduced in the mid-gap
- Increased Dark Count Rate in SPAD devices

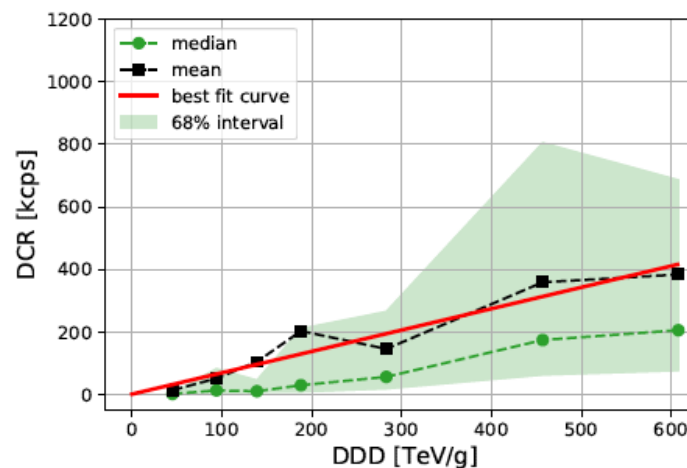
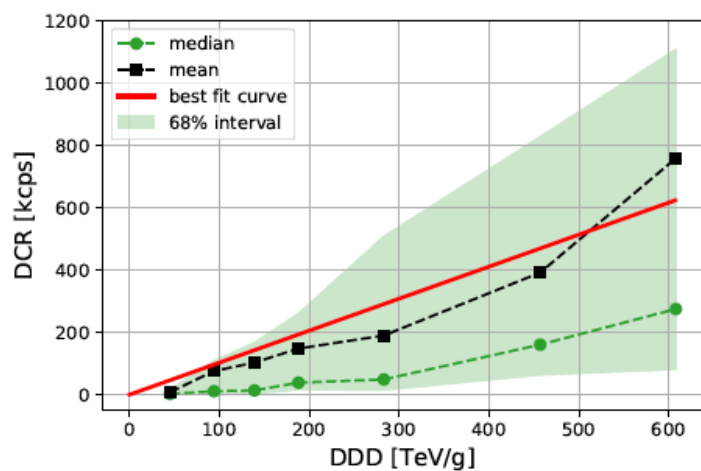




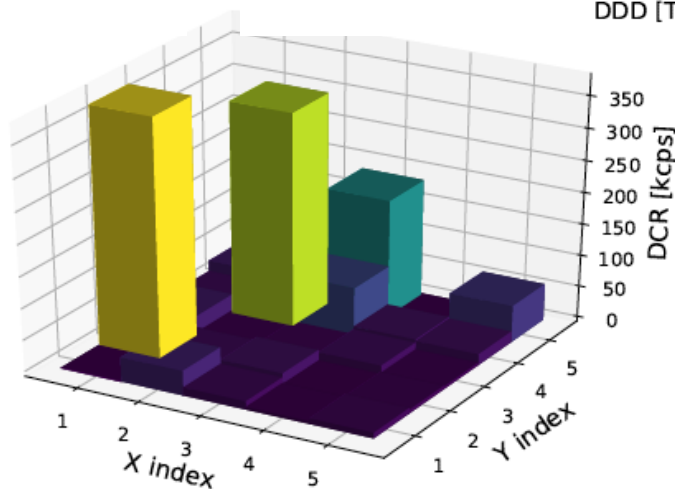
# Displacement Damage effect on DCR

- DCR increases up to two order of magnitude at maximum dose delivered
- No significant change has been observed to the breakdown voltage

DCR increase in  $10 \times 10 \mu\text{m}^2$  SPADs

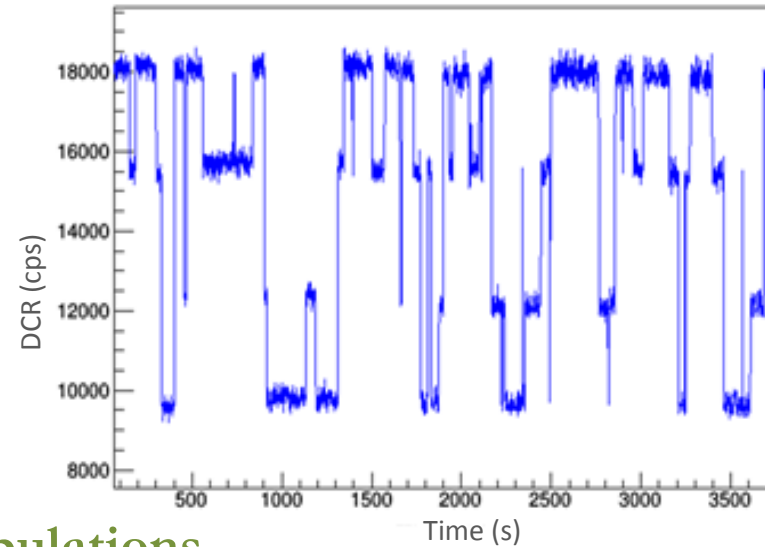
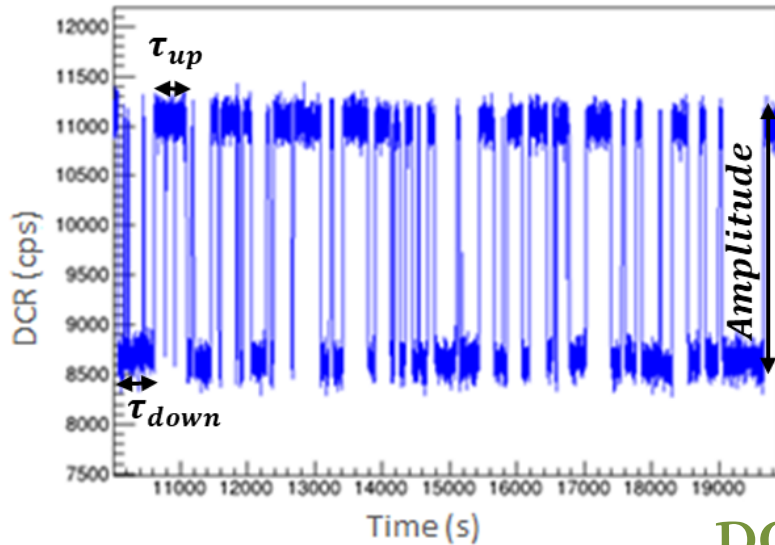


DCR in  $5 \times 5$  matrix  
at 98 TeV/g

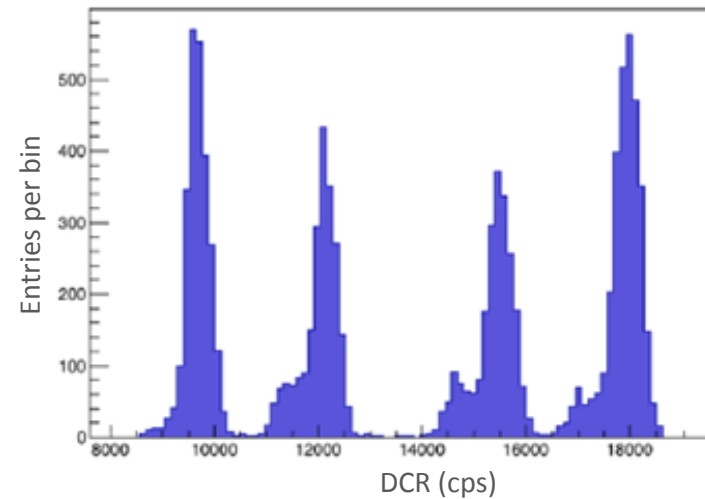
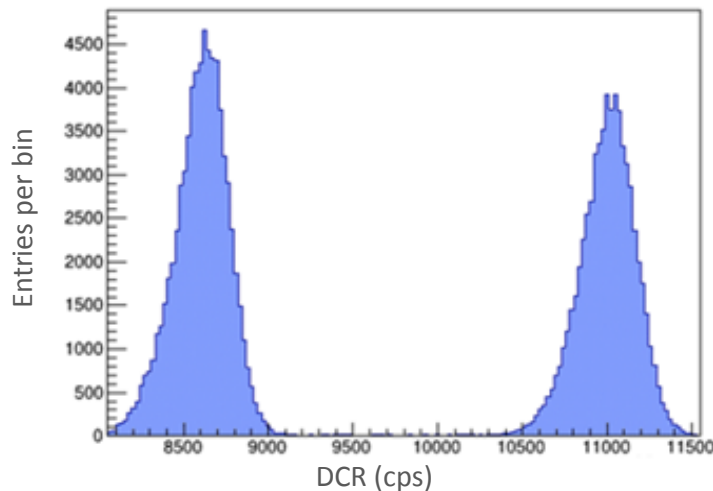


# Random Telegraph Signal

Discrete fluctuations of the DCR between two or more levels have been observed during long observation times

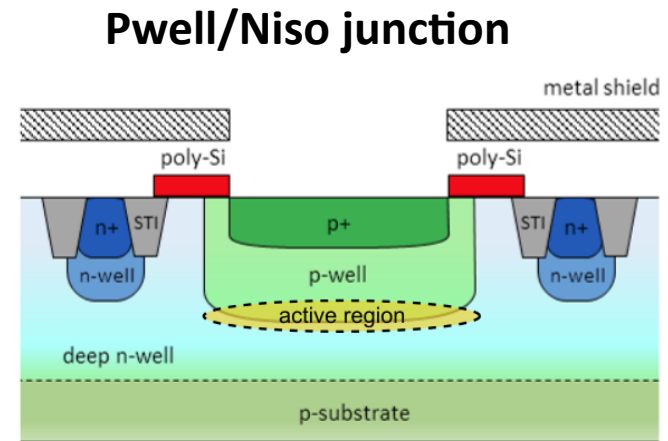
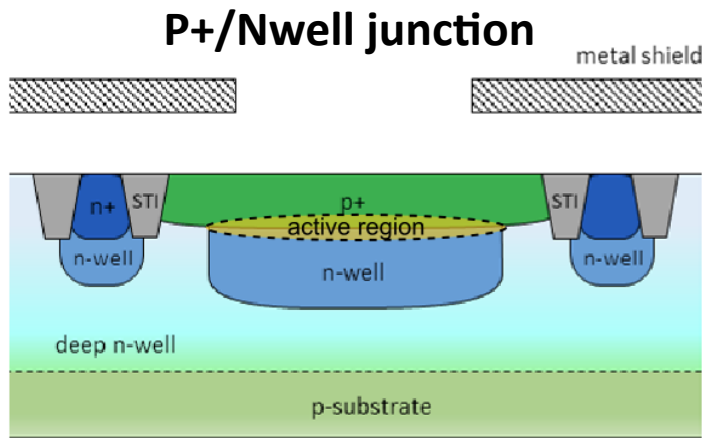


DCR populations



RTS behavior absent after gamma irradiation

# RTS Pixels Classification

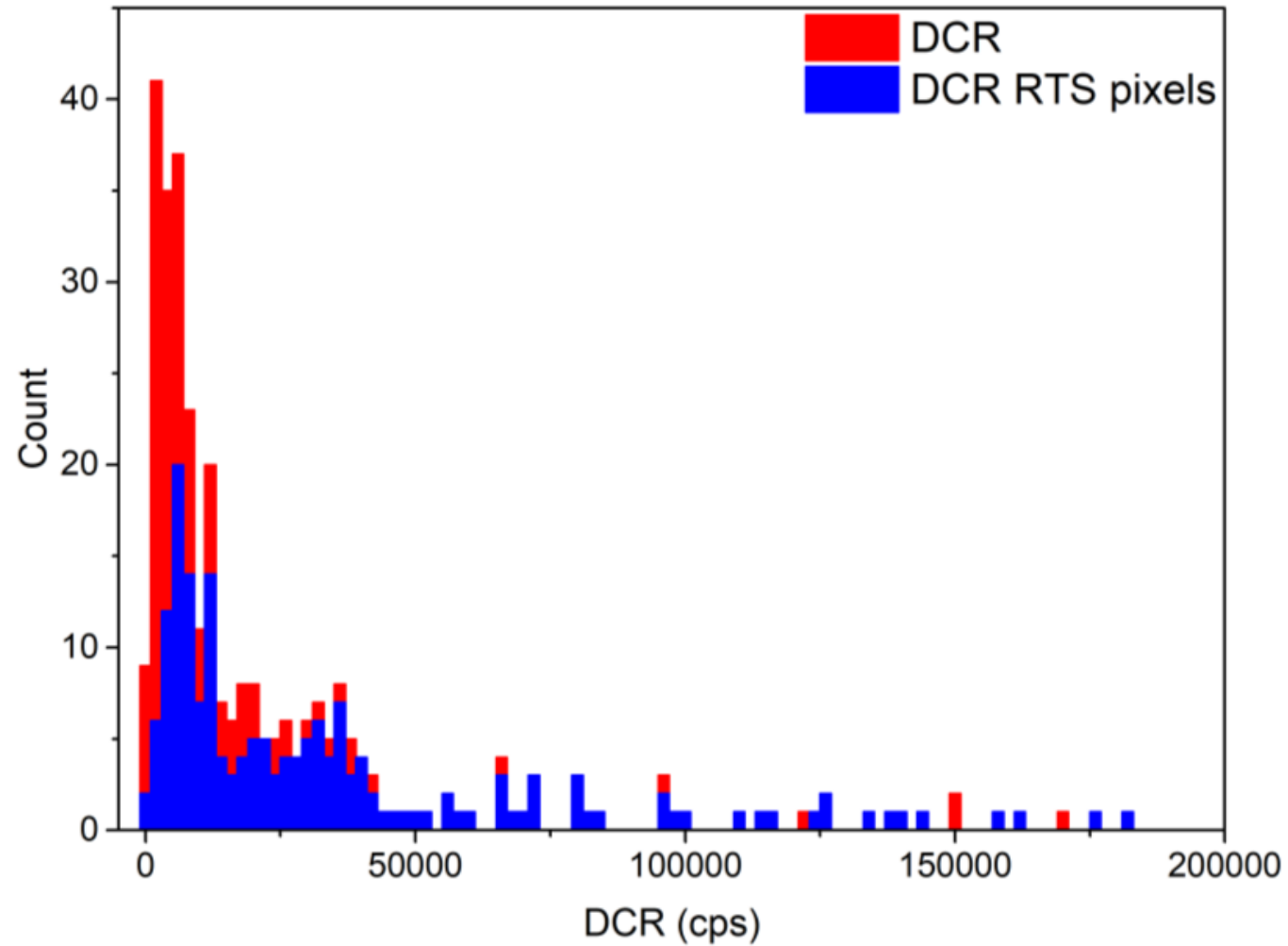


Layout	Analysed SPADs	RTS pixels	2 levels	3 levels	4 levels	≥5 levels	RTS fraction	DDD (TeV/g)
PN	118	65	18	10	10	27	55%	115
PN	124	80	31	11	5	33	65%	304
PN	139	118	17	11	8	82	85%	376
PWNISO	334	131	34	9	13	75	39%	115
PWNISO	334	190	51	19	16	104	57%	304
PWNISO	321	186	34	15	8	129	58%	376

Higher RTS occurrence probability in PN junction

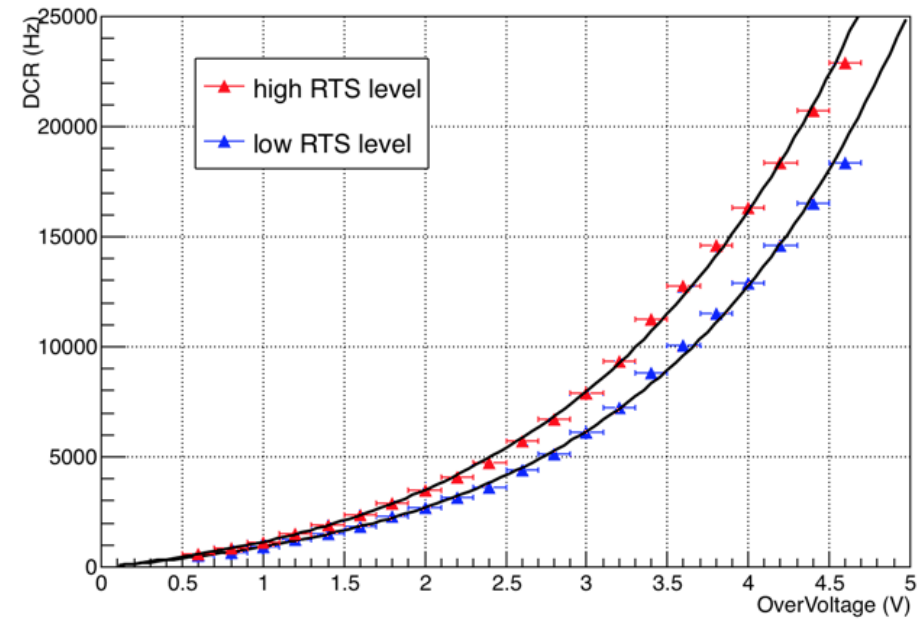
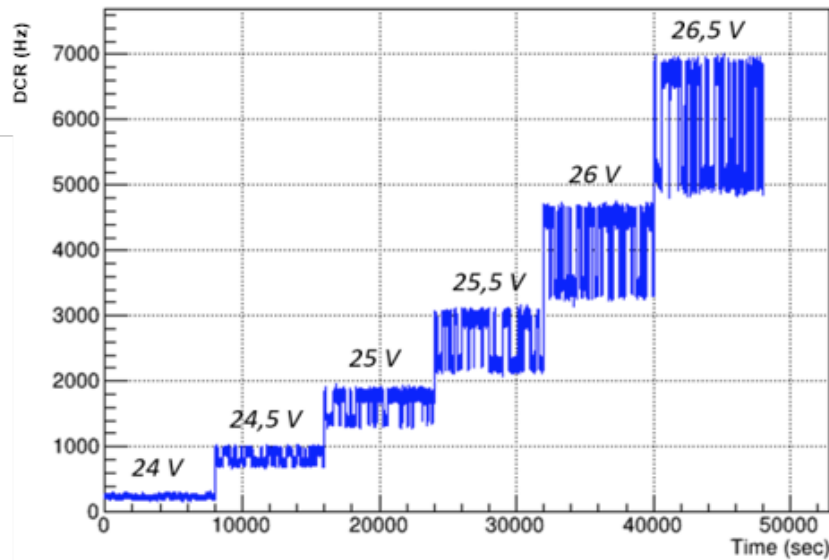
- Higher doping concentration in PN junction → Higher electric field

# RTS vs DCR

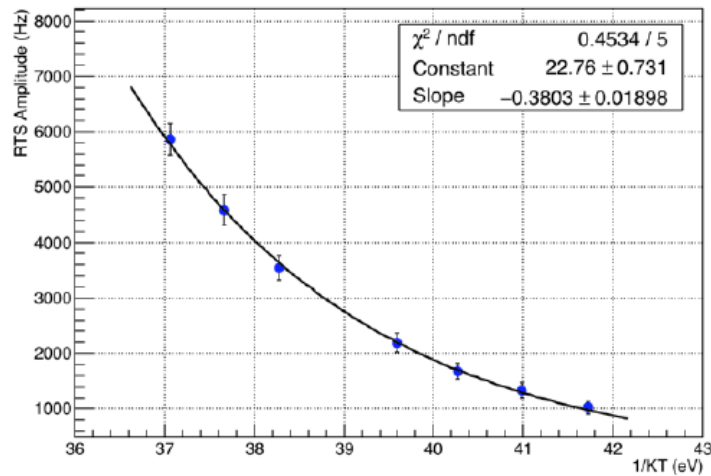


**SPADs with high DCR have in most of cases also RTS behaviour**

# RTS amplitude



RTS amplitude vs. Temp

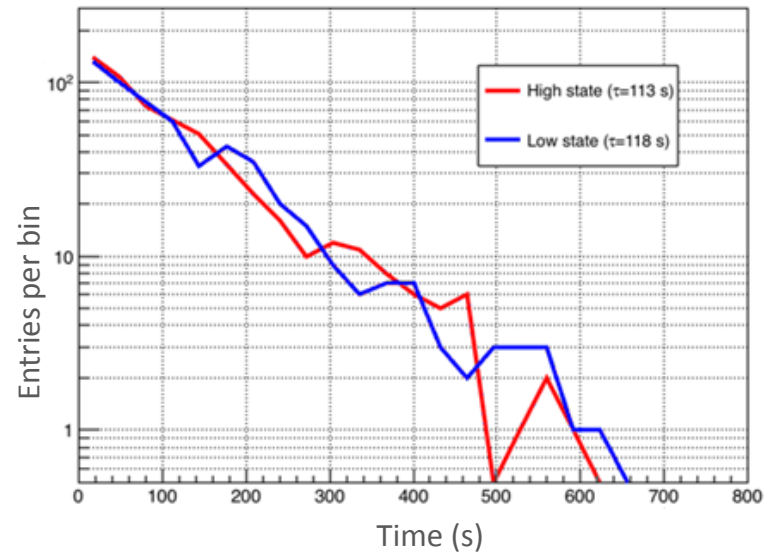
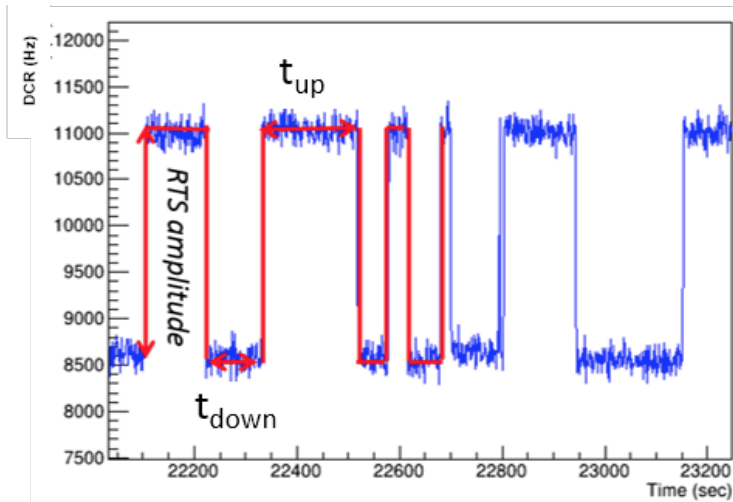


RTS amplitudes:

- increases with the overvoltage
- decreases by decreasing temperature



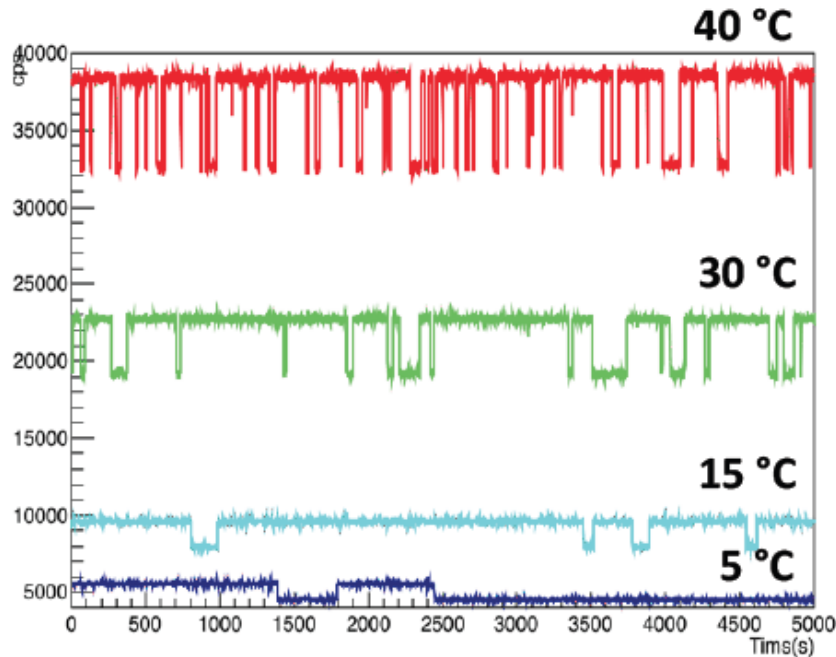
# RTS time constant



- The number of DCR switching in a fixed time interval follows a Poisson distribution for random switching events.
- As a consequence times between RTS transitions are exponentially distributed

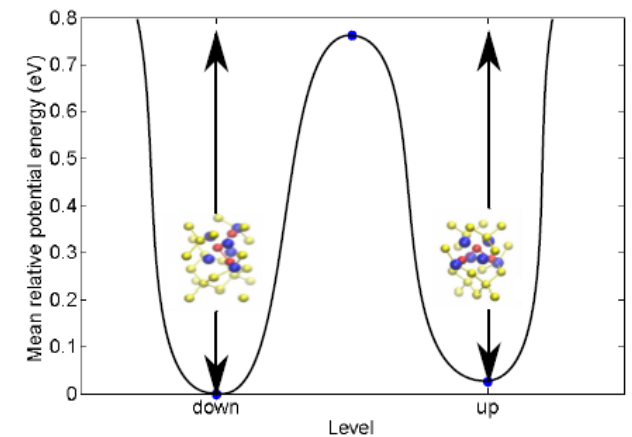
$$P_{switch}(t) = \frac{1}{\tau} \exp(-t/\tau)$$

# RTS vs Temperature

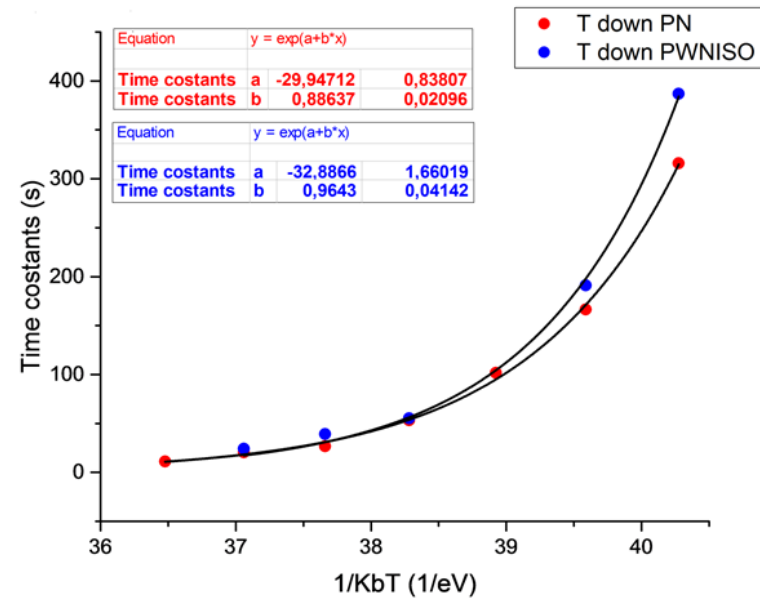
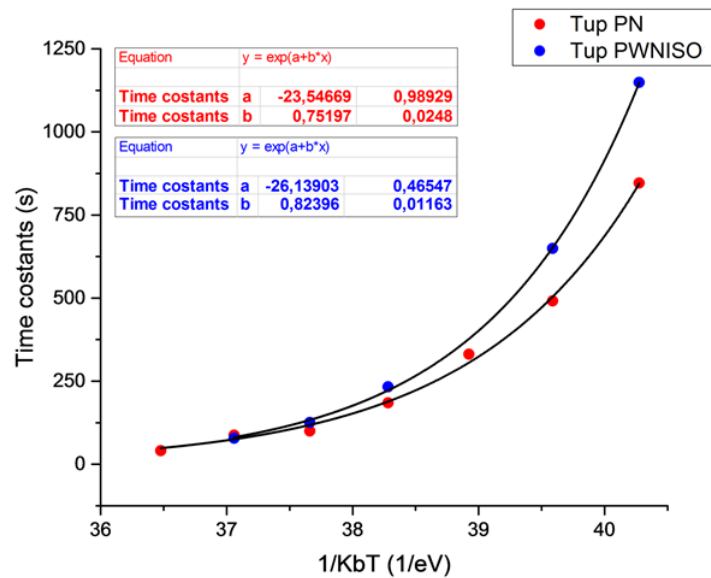


- The DCR switching probability increases with temperature
- RTS amplitude also increases with temperature

- RTS observed in SPADs is correlated with bulk damage: creation of meta-stable states (as already observed for CCD and CMOS imagers)
- Type of defects introduced by proton irradiation that can exist in two or more stable configurations
- It is possible that there is a potential barrier to switch from one configuration to another: for this reason the phenomenon depends on the temperature



# Time Constants vs Temperature



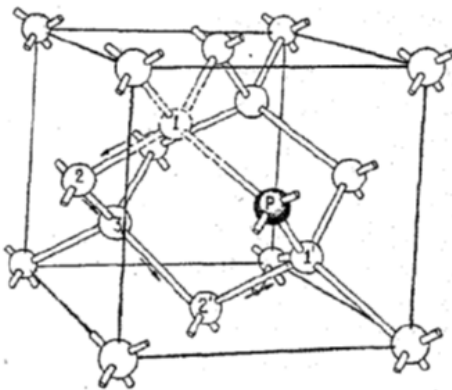
$$\frac{1}{\tau} = C \exp(-E_{act} / KT)$$

Time constants  $\tau_{up}$   $\tau_{down}$  follow exponential distribution

# RTS: a possible explanation

Pixel#	$E_{act} - \tau_{up}$	$E_{act} - \tau_{down}$
	PN	
1	$0.75 \pm 0.02$	$0.89 \pm 0.02$
2	$0.70 \pm 0.02$	$0.62 \pm 0.02$
3	$0.88 \pm 0.03$	$0.85 \pm 0.05$
4	$0.85 \pm 0.02$	$0.89 \pm 0.05$
<b>Avg.</b>	<b><math>0.80 \pm 0.02</math></b>	<b><math>0.81 \pm 0.04</math></b>

Pixel#	$E_{act} - \tau_{up}$	$E_{act} - \tau_{down}$
	PWNISO	
1	$0.82 \pm 0.01$	$0.96 \pm 0.04$
2	$0.82 \pm 0.08$	$1.27 \pm 0.10$
3	$0.78 \pm 0.03$	$0.86 \pm 0.01$
4	$1.03 \pm 0.01$	$1.06 \pm 0.07$
<b>Avg.</b>	<b><math>0.86 \pm 0.03</math></b>	<b><math>1.04 \pm 0.06</math></b>

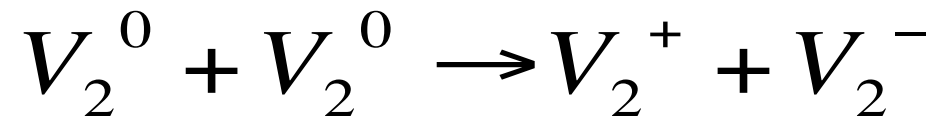


- In silicon device doped with Phosphorus element the complex defect Phosphorus-Vacancy (PV) could be generated
- This complex defect has a dipole momentum due to an extra positive charge on the P atom compensated by an electron orbiting around vacancy
- In a PV complex a vacancy can occupy one of 4 Si atoms surrounding the P atom, the vacancy position can change, this implies a change on the PV dipole axis
- A calculation on the kinetics of the Phosphorus-Vacancy re-orientation gives a predictions of the presence of two energetic levels with a time constant activation energy of 0.93 eV\*
- A PV defect anneals at 140°C

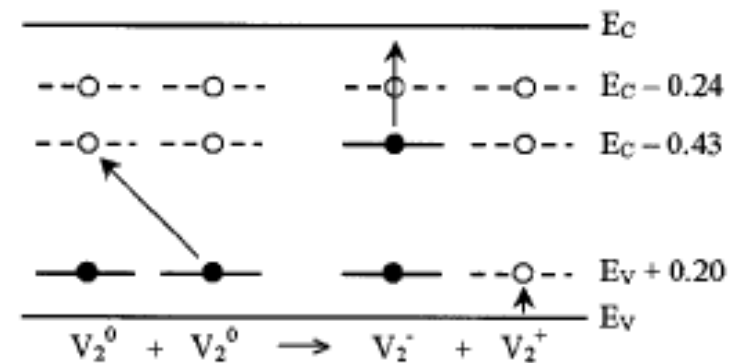
\*[G. D. Watkins and J. W. Corbett, 1964; H. Hopkins, G.R. Hopkinson, 1995, T. Nuns, 2007]

# Di-Vacancy Clusters

- Such defects can exist in four charge states (+, 0,-,2-) and with three energy levels between conduction and valence band
- Neutral di-vacancy (most abundant) can give rise to a mechanism called “intercenter transfer” which result in an increase of generation rate:



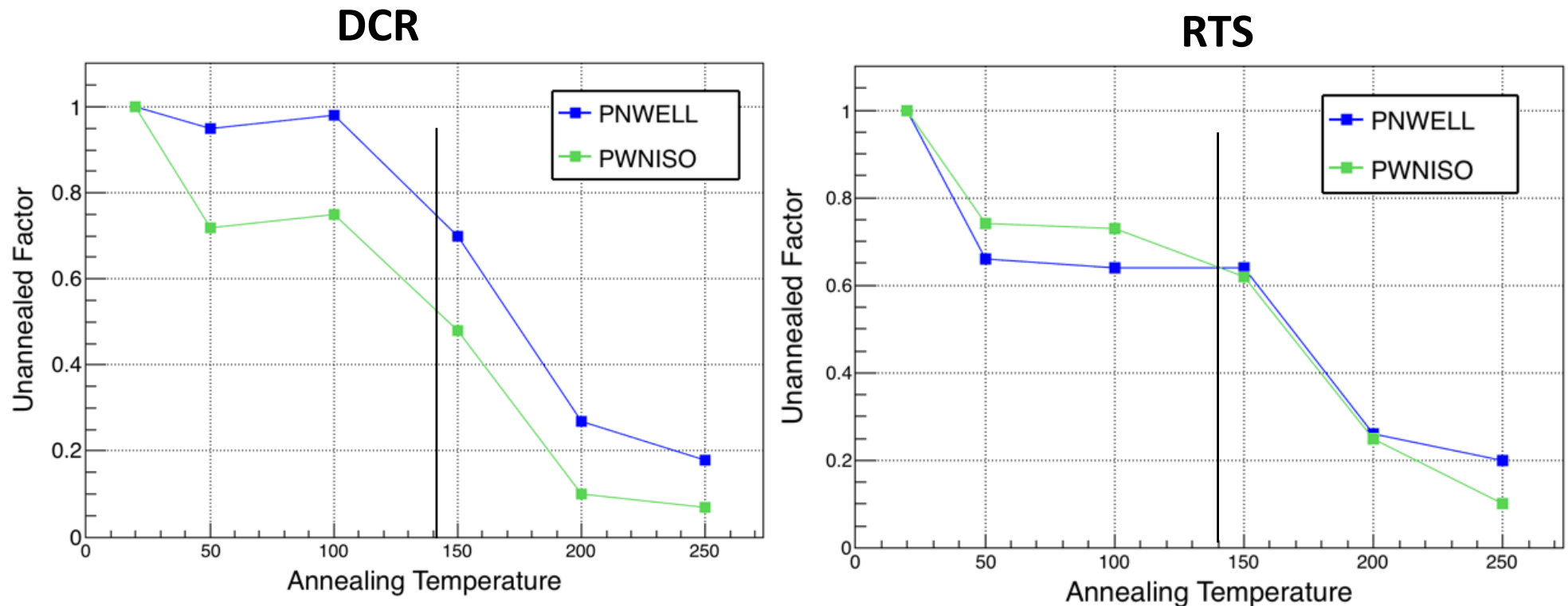
- Movement of divacancy could create “intercenter transfer” mechanism and
- This could be at origin of RTS
- A di-vacancy defect anneals at 270°C





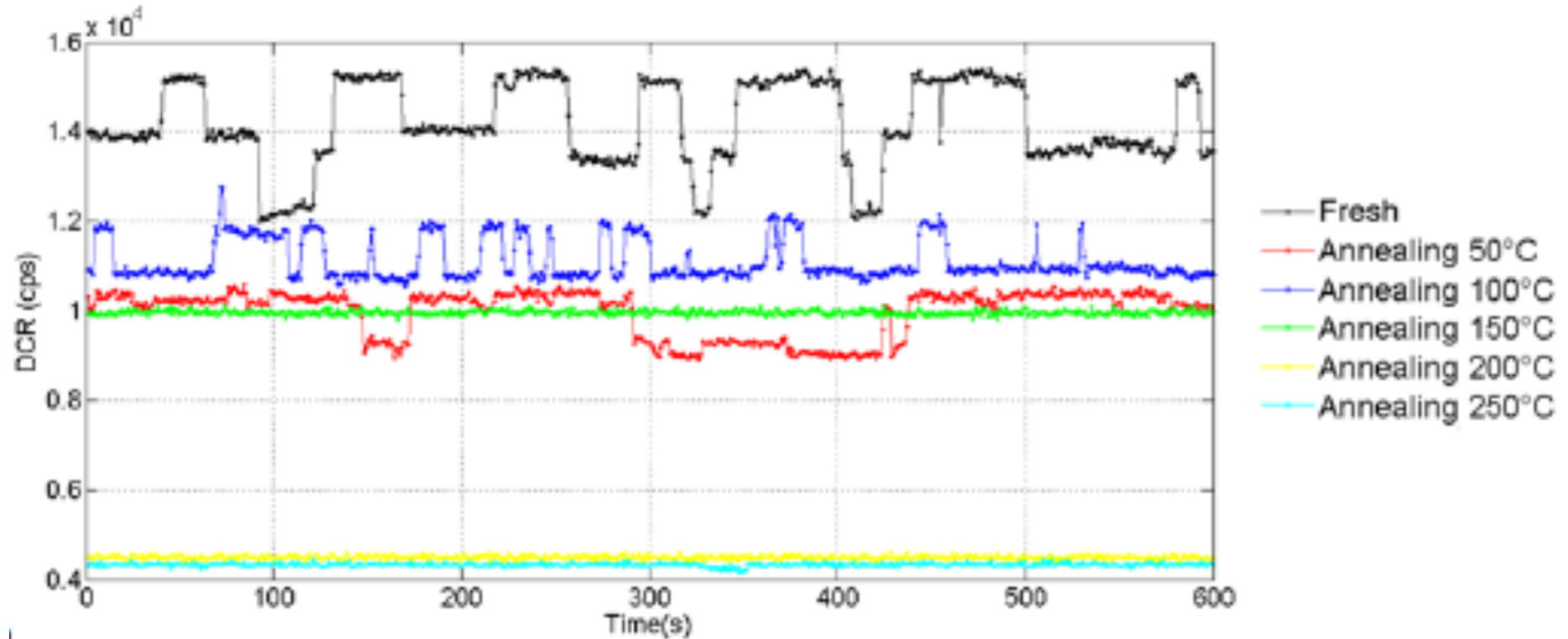
# Annealing

- The annealing procedure is an useful tool to investigate the defects responsible for DCR and RTS
- Irradiated SPAD behavior has been studied after different annealing temperatures between di annealing 50°C and 250°C
- PV complex defects are expected to anneal at 140°C, di-vacancies at 270°C



P-V could be considered one of the radiation induced type defect, but cluster of intrinsic defects should be also considered to participate [J.W. Palko, J.R. Srour, 2008]

# Annealing(2)



Annealing procedure transforms multi-level RTS in lower level RTS and in less frequent RTS before to completely disappear

# Conclusions

- We analyzed the dark count behavior of two different SPAD layouts in a 150-nm CMOS process
- One of them present increased DCR from tunneling contribution due to higher doping profile
- This layout after proton irradiation present higher RTS occurrence
- RTS characterization and annealing procedure indicate the PV complex defect as a possible responsible for RTS
- In future we will analyze SPAD with lower phosphorus doping and As-doping to further investigate the RTS origin

Backup slides

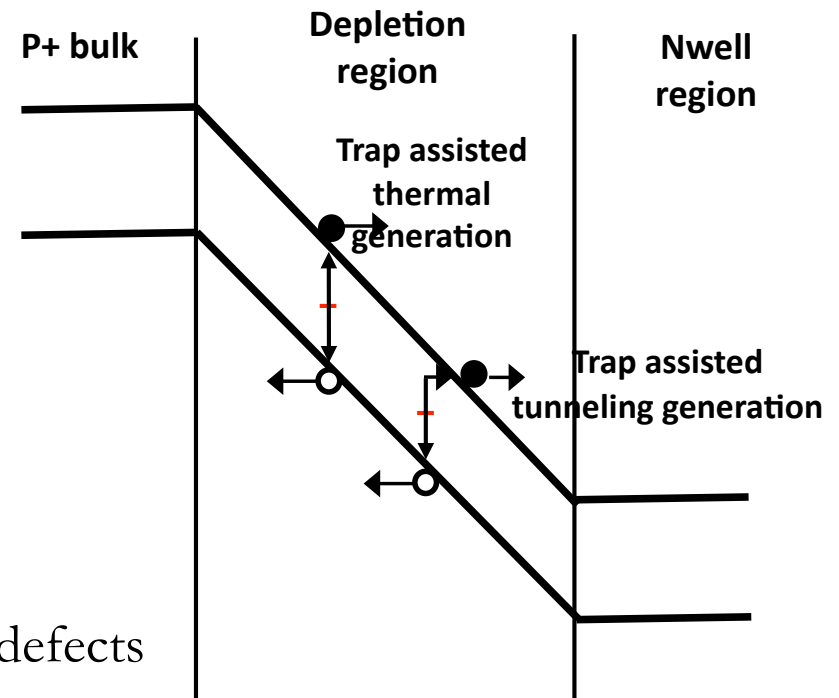
# Dark Count Rate

Dark Count Rate increase depends on bandgap energy levels due to:

1. **Fabrication process:** impurities and crystal defects
2. **Irradiation environment:** radiation induced defects add energy levels between valence and conduction band

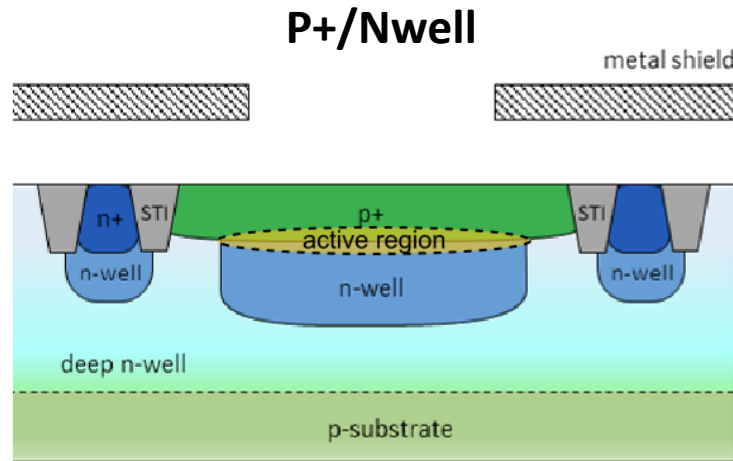
The energy levels cause the generation of carriers in depletion region through:

- **Shockley–Read–Hall generation**
- **Tunneling**

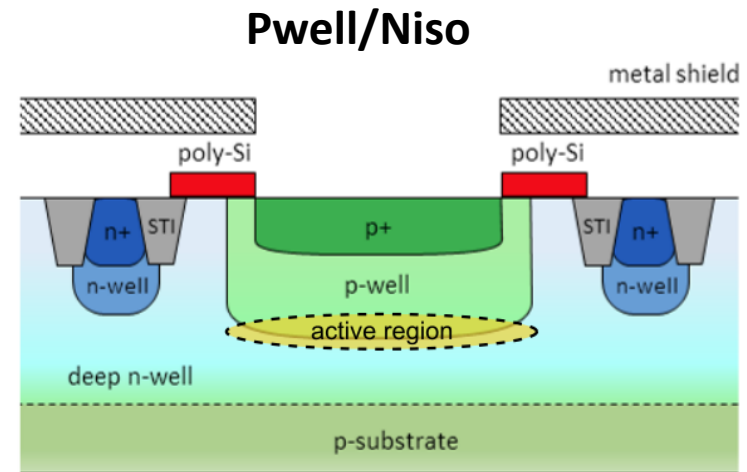




# SPAD Layouts

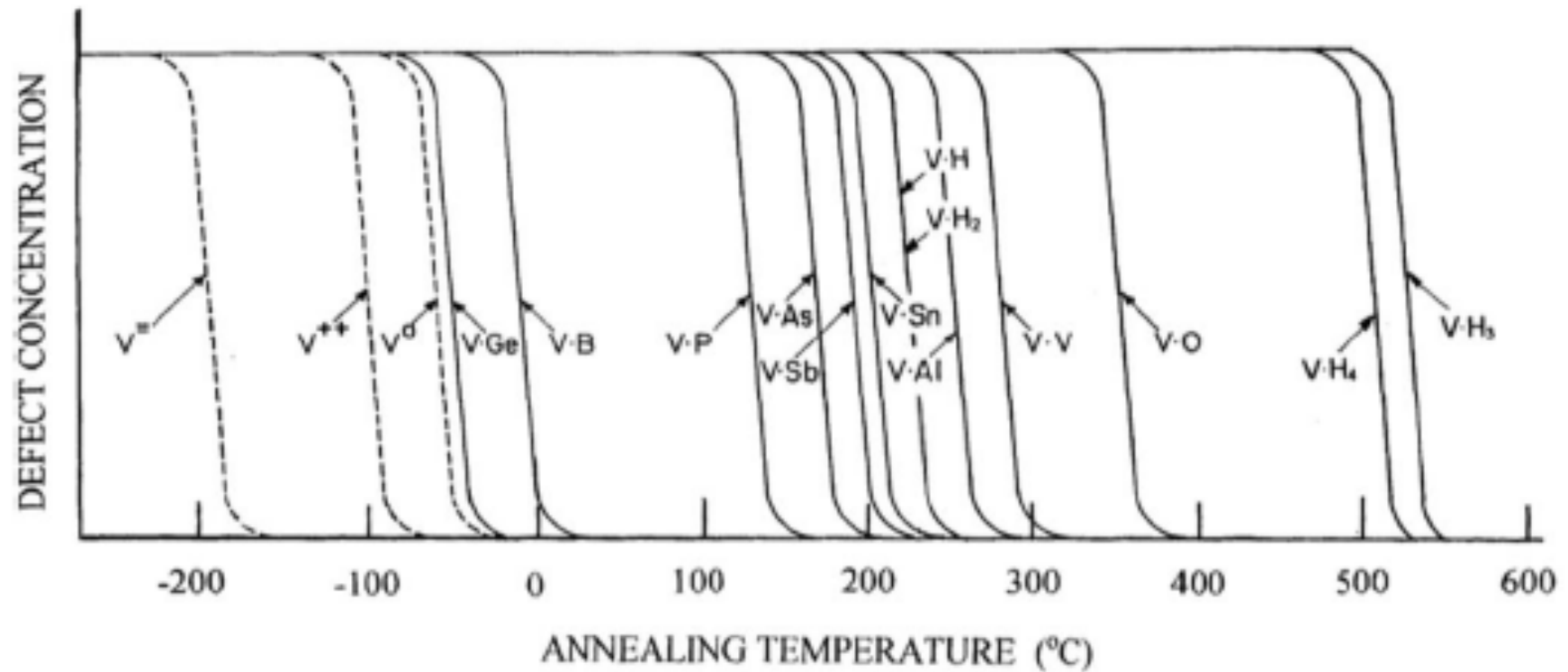


- P+/Nwell junction with a guard ring obtained by blocking Pwell and Nwell at borders with a deep Nwell implantation: low doped ring obtained avoid premature periphery breakdown



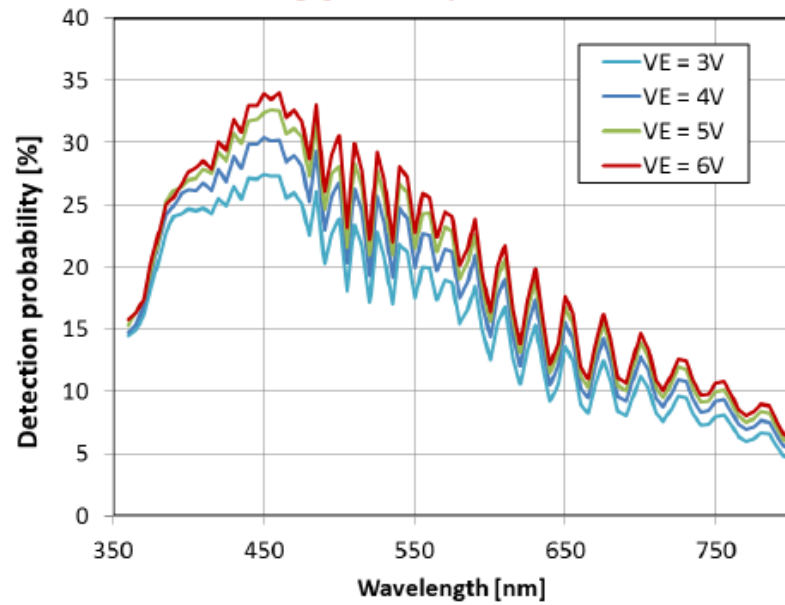
- Pwell/Niso junction. The guard ring is formed by avoiding well implantation at junction periphery. A poly-Si gate blocks p+ implantation avoiding the space charge region to reach the STI.

# Annealing(2)

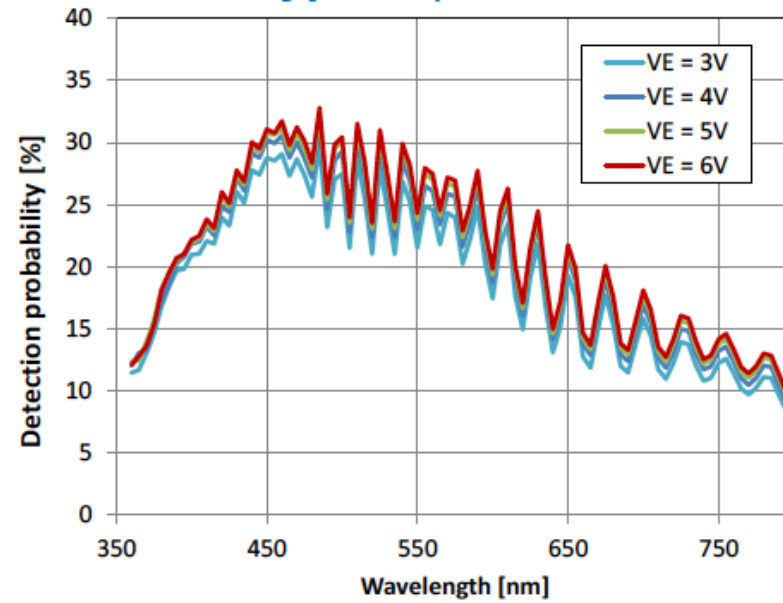


# Photon Detection Efficiency

Type 1: p+/nwell



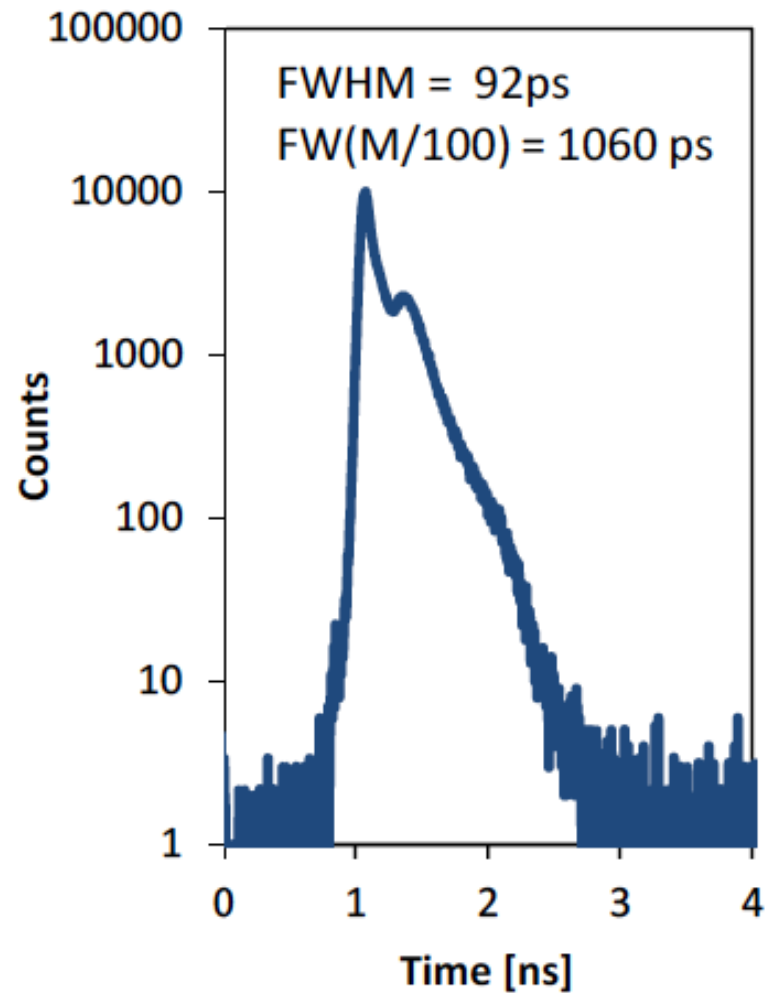
Type 2: pwell/niso



# Timing

P+/Nwell junction

Type 1: 60ps FWHM



Pwell/Niso junction

Type 2: 170ps FWHM

