# Small-x resummation and its impact in PDF determination

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#### Context: collinear factorization

$$\begin{array}{ll} \text{Collinear factorization:} & \sigma(x,Q^2) = \int_x^1 \frac{dz}{z} \, C_i\big(z,\alpha_s(Q^2)\big) \, f_i\big(\frac{x}{z},Q^2\big) \\ \text{DGLAP evolution:} & \mu^2 \frac{d}{d\mu^2} f_i(x,\mu^2) = \int_x^1 \frac{dz}{z} \, P_{ij}(z,\alpha_s(\mu^2)) \, f_j\big(\frac{x}{z},\mu^2\big) \\ \text{Heavy-quark matching:} & f_i^{[n_f+1]}(x,\mu_m^2) = \int_x^1 \frac{dz}{z} \, A_{ij}(z,\alpha_s(\mu_m^2)) \, f_j^{[n_f]}\big(\frac{x}{z},\mu_m^2\big) \\ \end{array}$$

Any object with a perturbative expansion can exhibit a logarithmic enhancement:

- observable: coefficient functions  $C(x, \alpha_s)$
- evolution: splitting functions  $P(x, \alpha_s)$  and matching conditions  $A(x, \alpha_s)$

Small-x logarithms: single logs  $\alpha_s^n \frac{1}{x} \log^k \frac{1}{x} \quad (0 \le k \le n-1)$ 

When  $\alpha_s \log rac{1}{x} \sim 1$  perturbativity is spoiled ightarrow all-order resummation needed

In  $\overline{\text{MS}}$  and related schemes, both coefficient  $C(x, \alpha_s)$  and splitting  $P(x, \alpha_s)$  functions, and also matching conditions  $A(x, \alpha_s)$ , are logarithmically enhanced at small x (in the singlet sector).

#### Small-x logarithms in the splitting functions

Only singlet sector affected:  $P_{gg}$ ,  $P_{gq}$ ,  $P_{qg}$ ,  $P_{qg}$ ,  $P_{qg}$ 

 $\alpha_s = 0.20$ ,  $n_f = 4$ ,  $O_0 \overline{MS}$ fixed-order  $xP_{gg}(x, \alpha_s)$ 0.4 splitting function at LO small x: 0.35 NLO NNLO LO: 0.3  $\alpha_s \times \text{const}$ 0.25  $x P_{gg}(x)$ NLO: 0.2  $\alpha_s^2 \left( \ln \frac{t}{r} + \text{const} \right)$ 0.15 NNLO:  $\alpha_s^3 \left( \ln^2 \frac{1}{x} + \ln \frac{1}{x} + \text{const} \right)$ 0.1 0.05 N<sup>3</sup>I O:  $\alpha_s^4 \left( \ln^3 \frac{1}{\pi} + \ln^2 \frac{1}{\pi} + \ln \frac$ 0 10-6 10-5 10-3  $10^{-7}$  $10^{-4}$  $10^{-2}$  $10^{-1}$ х accidental zeros! Logarithms start to grow for  $x \lesssim 10^{-2}$  (for  $Q \sim 5 {\rm GeV}$ )

 $\rightarrow$  very large resummation region!

#### Small-x logarithms in the splitting functions

Only singlet sector affected:  $P_{gg}$ ,  $P_{gq}$ ,  $P_{qg}$ ,  $P_{qg}$ ,



 $P_{\rm qg}$  and  $P_{\rm qq}$  are NLL quantities, while  $P_{\rm gg}$  and  $P_{\rm gq}$  are LL Small-x resummation is gluon-driven

#### Do we reach those x values?





# The theory of small-x resummation

#### Ingredients for resummation

Small-x resummation is based on the interplay of

Collinear factorization:  

$$\sigma(x,Q^2) = \int_x^1 \frac{dz}{z} C_i(z,\alpha_s(Q^2)) f_i(\frac{x}{z},Q^2)$$
DGLAP evolution:  

$$\mu^2 \frac{d}{d\mu^2} f_i(x,\mu^2) = \int_x^1 \frac{dz}{z} P_{ij}(z,\alpha_s(\mu^2)) f_j(\frac{x}{z},\mu^2)$$
th

with

$$k_t \text{ factorization:} \qquad \sigma(x, Q^2) = \int_x^1 \frac{dz}{z} \int_0^\infty dk_t^2 \, \mathcal{C}_{\mathsf{g}}(z, k_t^2, \alpha_s) \, \mathcal{F}_{\mathsf{g}}\left(\frac{x}{z}, k_t^2\right)$$
  
BFKL evolution: 
$$-x \frac{d}{dx} \mathcal{F}_{\mathsf{g}}(x, k_t^2) = \int_0^\infty \frac{dq_t^2}{k_t^2} \, \mathcal{K}\left(\frac{k_t^2}{q_t^2}, \alpha_s(\cdot)\right) \, \mathcal{F}_{\mathsf{g}}(x, q_t^2)$$

- $\mathcal{F}_{g}(x, k_{t}^{2})$ : unintegrated ( $k_{t}$ -dependent) PDF
- $C_g(z, k_t^2, \alpha_s)$ : off-shell coefficient function

Consistency between equations allows to resum small-x logs:

- DGLAP + BFKL eqns  $\rightarrow$  resum  $P(x, \alpha_s)$
- collinear +  $k_t$  factorizations  $\rightarrow$  resum  $C(x, \alpha_s)$  and heavy quark matching  $A(x, \alpha_s)$

#### The key: relation between unintegrated and integrated PDFs

The relation between collinear and unintegrated PDFs is (in Mellin space)

$$\mathcal{F}_{\mathsf{g}}(N, k_t^2) = \frac{R(N, \alpha_s)}{dk_t^2} \frac{d}{dk_t^2} f_{\mathsf{g}}(N, k_t^2)$$

where R is a scheme-dependent function. In  $\overline{\text{MS}}$  we have

$$R_{\overline{\mathsf{MS}}}(N,\alpha_s) = 1 + \mathcal{O}(\alpha_s^3)$$

In small-x theory, one usually defines the  $Q_0 \overline{\text{MS}}$  scheme by

$$R_{Q_0 \overline{\mathrm{MS}}}(N, \alpha_s) = 1,$$

which is equal to  $\overline{MS}$  up to  $N^3LO$ , such that

$$\mathcal{F}_{g}(N,k_{t}^{2}) = \frac{d}{dk_{t}^{2}}f_{g}(N,k_{t}^{2}) \qquad (Q_{0}\overline{\mathsf{MS}})$$

This relation is valid up to NLL (at least) From now on we work in  $Q_0 \overline{\text{MS}}$ 

#### Small-x resummation of coefficient functions

In the small-x and large- $Q^2$  limit (in N Mellin space)

$$\sigma(N,Q^2) = C(N,\alpha_s(Q^2)) f(N,Q^2) \qquad \text{(collinear factorization)}$$
$$= \int_0^\infty dk_t^2 C(N,k_t^2,\alpha_s) \mathcal{F}(N,k_t^2) \qquad (k_t \text{ factorization})$$

In the  $Q_0 \overline{\text{MS}}$  scheme

$$\mathcal{F}(N,k_t^2) = \frac{d}{dk_t^2} f(N,k_t^2) = \frac{d}{dk_t^2} U\left(N,\frac{k_t^2}{Q^2}\right) f(N,Q^2)$$
$$U\left(N,\frac{k_t^2}{Q^2}\right) = \exp \int_{Q^2}^{k_t^2} \frac{d\nu^2}{\nu^2} \gamma(N,\alpha_s(\nu^2))$$

with resummed anomalous dimension  $\gamma(N, \alpha_s)$ , so that [MB, Marzani, Peraro 1607.02153]

$$C(N, lpha_s) = \int_0^\infty dk_t^2 \, \mathcal{C}\left(N, rac{k_t^2}{Q^2}, lpha_s
ight) rac{d}{dk_t^2} U\!\left(N, rac{k_t^2}{Q^2}
ight)$$

Equivalent to previous formulations, but easier numerical implementation and suitable for generalizations

Formalism developed in the 90s-00s, known at LL and NLL, technical details rather complicated [Altarelli,Ball,Forte] [Catani,Ciafaloni,Colferai,Hautmann,Salam,Stasto] [Thorne,White]

Recent developments:

[MB,Marzani,Peraro 1607.02153][MB,Marzani,Muselli 1708.07510] [MB,Marzani 1805.06460]

- technical improvements
- estimate uncertainty from subleading logs
- matching to NNLO (and N<sup>3</sup>LO), allowing NNLO+NLL phenomenology
- prediction of the yet unknown N<sup>3</sup>LO splitting functions
- public code HELL: High-Energy Large Logarithms www.ge.infn.it/~bonvini/hell
- HELL interfaced to APFEL (apfel.hepforge.org) → PDF fits

Some representative HELL results: splitting functions



All-order behaviour rather different from fixed order (especially for  $P_{gg}$ )  $P_{gg} > P_{qg}$  at resummed level (at NNLO they swap at some x)

### Matching conditions at the charm threshold

The number  $n_f$  of "active" flavours changes during the evolution (factorization scheme choice to resum large collinear mass logarithms from heavy quark pair production)



Matching relation between PDFs in schemes with different  $n_f$ 

 $f_i^{[n_f+1]}(\mu^2) = \sum A_{ij}(m^2/\mu^2) \otimes f_i^{[n_f]}(\mu^2) \qquad A_{ij} = \text{perturbative matching coefficients}$ j=light



The perturbatively generated charm PDF is much less dependent on the (unphysical) matching scale when small-x resummation is included!

# PDF fits with small-x resummation

## Low x at HERA: importance of resummation in PDF fits

Deep-inelastic scattering (DIS) data from HERA extend down to  $x \sim 3 \times 10^{-5}$ Tension between HERA data at low  $Q^2$  and low x with fixed-order theory



Also leads to a deterioration of the  $\chi^2$  when including low- $Q^2$  data

Attempts to explain this deviation with higher twists, phenomenological models, ...

Successful description of this region when including small-x resummation!

- NNPDF framework [Ball,Bertone,MB,Marzani,Rojo,Rottoli 1710.05935]
- xFitter framework

[xFitterCollaboration+MB 1802.00064] [MB,Giuli 1902.11125]

## Significantly improved description of the HERA data

$\chi^2/N_{ m dat}$	NNLO	NNLO+NLLx
xFitter (default PDF parametrization) xFitter (new PDF parametrization)	1.23 1.16	1.17 1.14
NNPDF3.1sx	1.130	1.100

Substantial improvement due to better description of small-x low- $Q^2$  HERA data



The better description mostly comes from a larger resummed  $F_L$ 

$$\sigma_{r,\text{NC}} = F_2(x_{\text{Bj}}, Q^2) - \frac{y^2}{1 + (1 - y)^2} F_L(x_{\text{Bj}}, Q^2) \qquad \qquad y = \frac{Q^2}{x_{\text{Bj}}s}$$

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#### Fit results: impact on gluon PDF



Note: future higher energy colliders will probe smaller values of  $x = (x_{\min} \sim Q^2/s)$  $\rightarrow$  small-x resummation will be even more important in future! First fit with HELL 3.0

#### [MB,Giuli 1902.11125]

Red and yellow curves differ by subleading logs



## The global NNPDF fit in greater detail

We have full resummation for DGLAP evolution and DIS structure functions, but not hadron-hadron collider observables (yet)

We cut those hadronic data potentially sensitive to small-x resummation, i.e.

$$lpha_s(Q^2)\lograc{1}{x}>H_{
m cut}=0.6$$
 (default cut)



The most important missing observable is Drell-Yan Would provide a very important validation of the fit (low x but high  $Q^2$ )

# LHC phenomenology



Challenges:

- two protons in the initial state
- want to describe differential distributions

Processes considered so far in HELL:

- $gg \rightarrow H$  (inclusive cross section)  $\checkmark$
- $c\bar{c}$ ,  $b\bar{b}$  pair production (fully differential) (in progress)
- Drell-Yan (fully differential) (in progress)
- $gg \rightarrow H$  (fully differential) (should be straightforward)

#### LHC observables in collinear and $k_t$ factorization

Differential cross section in collinear factorization

 $au = Q^2/s, \quad y = Y - \frac{1}{2}\log\frac{x_1}{x_2}$ 

$$\frac{d\sigma}{dQ^2 dY...} = \int_{\tau}^{1} \frac{dz}{z} \int d\hat{y} \,\mathcal{L}_{ij}\left(\frac{\tau}{z}, \hat{y}, Q^2\right) \frac{dC_{ij}}{dy...} (z, Y - \hat{y}, ..., \alpha_s)$$
$$\mathcal{L}_{ij}(x, \hat{y}, Q^2) = f_i(\sqrt{x}e^{\hat{y}}, Q^2) \,f_j(\sqrt{x}e^{-\hat{y}}, Q^2) \,\theta(e^{-2|\hat{y}|} - x)$$

and in  $k_t$  factorization

[Caola,Forte,Marzani 1010.2743] [Muselli 1710.09376]

$$\begin{split} \frac{d\sigma}{dQ^2 dY...} &= \int_0^\infty dk_1^2 \int_0^\infty dk_2^2 \int_{\tau}^1 \frac{dz}{z} \int d\hat{y} \, \mathcal{L}_{\rm gg}\Big(\frac{\tau}{z}, \hat{y}, k_1^2, k_2^2\Big) \frac{d\mathcal{C}_{\rm gg}}{dy...}(z, Y - \hat{y}, k_1^2, k_2^2, ..., \alpha_s) \\ \mathcal{L}_{\rm gg}\big(x, \hat{y}, k_1^2, k_2^2\big) &= \mathcal{F}_{\rm g}(\sqrt{x}e^{\hat{y}}, k_1^2) \, \mathcal{F}_{\rm g}(\sqrt{x}e^{-\hat{y}}, k_2^2) \, \theta(e^{-2|\hat{y}|} - x) \end{split}$$

Resummation can proceed as before, exploiting the relation between unintegrated and collinear PDFs. We found

$$\begin{split} \frac{dC_{\rm gg}}{dy...}(z,y,...) &= \int_0^\infty dk_1^2 \int_0^\infty dk_2^2 \int_z^1 \frac{dx}{x} \int d\hat{y} \frac{d\mathcal{C}_{\rm gg}}{dy...}(x,y-\hat{y},k_1^2,k_2^2,...,\alpha_s) \\ &\times \frac{d}{dk_1^2} U_+ \left(\sqrt{\frac{z}{x}}e^{\hat{y}},k_1^2,Q^2\right) \frac{d}{dk_1^2} U_+ \left(\sqrt{\frac{z}{x}}e^{-\hat{y}},k_2^2,Q^2\right) \theta\left(e^{-2|\hat{y}|} - \frac{z}{x}\right) \end{split}$$

Transverse final-state variables do not play a role (except for kinematic constraints)

## Heavy-quark pair production at LHC



LHCb data sensitive to very small  $x \rightarrow$  useful to constrain the PDFs

Extension to single-heavy-quark kinematics ongoing

## Impact of resummation in ggH at LHC and future colliders

 $gg \rightarrow H$  inclusive cross section

[MB, Marzani 1802.07758] [MB 1805.08785]



ggH cross section at FCC-hh can be  $\sim 10\%$  larger than expected with NNLO PDFs! At LHC +1% effect (plus another 1% from threshold resummation)

## Why is the effect of resummation mostly driven by the PDFs?

Let's consider again the collinear factorization formula

$$\frac{d\sigma}{dQ^2 dY...} = \int_{\tau}^{1} \frac{dz}{z} \int d\hat{y} f_i\left(\sqrt{\frac{\tau}{z}}e^{\hat{y}}, Q^2\right) f_j\left(\sqrt{\frac{\tau}{z}}e^{-\hat{y}}, Q^2\right) \frac{dC_{ij}}{dy...}(z, Y - \hat{y}, ..., \alpha_s)$$

The small z integration region, where logs in C are large, is weighted by the PDFs at large momentum fractions  $x = \sqrt{\frac{z}{z}}e^{\pm \hat{y}}$ Since PDFs die fast at large x, especially the gluon, the small-z region is suppressed!

Rather, the large z region is enhanced by the gluon-gluon luminosity In that region, the difference between fixed-order and resummed PDFs is large



#### Interesting progress and results:

- resummation stabilizes perturbative behaviour
- improved PDF fits
- large impact on gluon PDF
- exploration of LHC application just started

#### Limitations $\rightarrow$ outlook:

- for  $c\bar{c}, b\bar{b}$  we considered only quark-level final states  $\rightarrow$  add hadronization and refit PDFs with LHCb data
- at forward rapidities one parton is at large  $x \rightarrow$  combine with threshold resummation
- low accuracy (two log orders known for  $P_{gg}$  only, for everything else just one)
  - $\rightarrow$  extension to the next logarithmic order

# Backup slides

## Towards N<sup>3</sup>LO evolution

Recent impressive progress towards N<sup>3</sup>LO splitting functions [Davies,Vogt,Ruijl,Ueda,Vermaseren 1610.07477] [Moch,Ruijl,Ueda,Vermaseren,Vogt 1707.08315]

At small x, approximate predictions from NLLx resummation [MB,Marzani 1805.06460]



Large uncertainties from subleading logs

N<sup>3</sup>LO splitting functions are much more unstable at small  $x \rightarrow$  need resummation!

#### Some representative HELL results: DIS coefficient functions



#### APFEL+HELL $\rightarrow$ make possible a PDF fit with small-x resummation

NNPDF3.1sx [1710.05935]	<b>xFitter</b> [1802.00064]
NeuralNet parametrization of PDFs	polynomial paramterization
MonteCarlo uncertainty	Hessian uncertainty
VFNS: FONLL	VFNS: FONLL
charm PDF is fitted	charm PDF perturbatively generated
DIS+tevatron+LHC (~ 4000 datapoints)	only HERA data ( $\sim 1200$ datapoints)
NLO, NLO+NLL, NNLO, NNLO+NLL	NNLO, NNLO+NLL

One interesting difference in the HERA data we include:

Lowest $Q^2$ HERA bins	NNPDF3.1/HERAPDF2.0	NNPDF3.1sx/xFitter
$Q^2 = 3.5 \mathrm{GeV}^2$	included	included
$Q^2=2.7{ m GeV^2}$	excluded	included
$Q^2 = 2.0 \mathrm{GeV^2}$	excluded	excluded

lower  $Q^2 \rightarrow \text{lower } x$ 

$\chi^2/N_{\rm dat}$	NLO	NLO+NLLx	NNLO	NNLO+NLLx
×Fitter NNPDF3.1sx	1.117	1.120	1.23 1.130	1.17 1.100
	these a	are similar	largest	smallest

Fit results:  $\chi^2$  as quality estimator and the onset of BFKL dynamics

Hierarchy as expected from splitting function behaviour!

Mostly due to HERA data: we study the  $\chi^2/N_{\rm dat}$  profile as we cut out HERA data at small x small  $Q^2$ 



#### xFitter comparison



#### Higgs production: parton-level results



#### Parton luminosities for ggH



