

# B anomalies: the $PS^3$ solution

**Marzia Bordone**  
Universität Siegen

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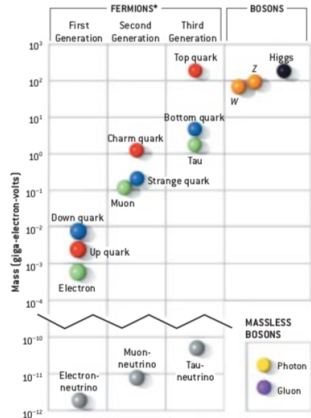
1. The flavour structure of the Standard Model
2. EFT solutions for the anomalies
3. The model building approach: from EFT to a concrete UV model

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# The Standard Model

The theory that describes with success the interactions between elementary particle is the Standard Model.

- **12 gauge bosons** which mediate **strong** and **electroweak** interactions between elementary particles
- **3 generations of fermions** characterised by the **same** quantum numbers
- a **scalar field**, the Higgs, which spontaneously breaks electroweak symmetry



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## Gauge Sector:

- completely specified by the **gauge symmetry** and the transformation properties under it of elementary particles
- interactions between gauge bosons and fermions are **universal**
- completely **degeneracy** of the three families

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Higgs Sector:

- **breaks** arbitrarily the **degeneracy** of the three families
- when EWSB happens, it contains **mass terms** for quarks and leptons

$$-\mathcal{L}_{\text{higgs}} \supset Y_d^{ij} \bar{Q}_L^i H d_R^j + Y_u^{ij} \bar{Q}_L^i H^c u_R^j + Y_e^{ij} \bar{L}_L^i H e_R^j$$

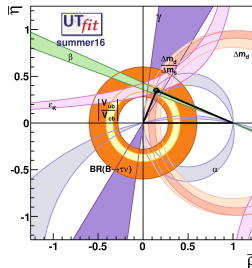
# The flavour structure

The structure of the Yukawa matrices in the quark sector is rather peculiar

$$Y_q \sim \begin{pmatrix} & \cdot & \cdot \\ & \cdot & \cdot \\ & \cdot & \bullet \end{pmatrix}$$

When we diagonalise the Yukawa matrices, we find that the flavour sector is completely specified by

- **6 eigenvalues** of the quark Yukawa matrices + **3** for charged leptons
- the **CKM mixing matrix** of the quark sector, which is completely specified by **4** parameters
- these parameters are **very well** measured





# The flavour problem

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Open questions:

- Why does the flavour sector have such a peculiar structure?  
⇒ **flavour problem**
- Is there something else which distinguish the three generations?  
⇒ **NP flavour problem**

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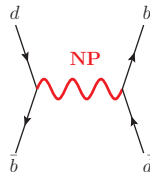
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It is flavour physics the research sector which addresses such open issues.

The goal of flavour physics is investigating the **nature** and **structure** of the interactions between particle of different families in order to identify

- possible **NP scenarios** at high energies which may lead to the pattern we measure at the SM scale
- NP contribution may be suppressed by their typical energy scale ⇒ we can probe processes and **scale beyond the reach of direct searches**



# What is new?

Recently, Babar, Belle and LHCb provided interesting results in  $B$ -physics.

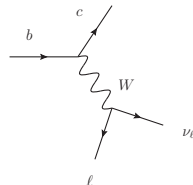
They see a few hints of **L**epton **F**lavour **U**niversality **V**iolation: channels with different lepton species in the final state behave differently

The channels explored so far are semileptonic decays of  $B$ -meson

- Flavour changing neutral currents  $b \rightarrow s$ :  $\mu$  vs  $e$
- Charged currents  $b \rightarrow c$ :  $\tau$  vs  $\mu/e$

# $b \rightarrow c$ semileptonic transitions

Tree-level process within the SM



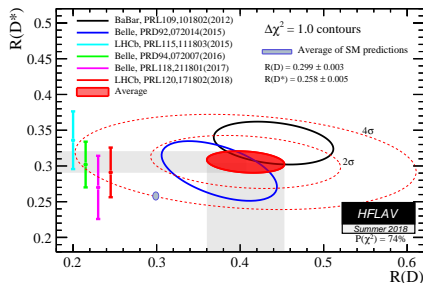
Effective hamiltonian description

$$\mathcal{H}_{\text{eff}} = -\frac{4G_F}{\sqrt{2}} V_{cb} (\bar{c}_L \gamma_\mu b_L) (\bar{\ell}_L \gamma_\mu \nu_L)$$

- Clean observables: careful treatment of  $m_\tau$  dependent terms

$$R_{D^{(*)}} = \frac{\mathcal{B}(B \rightarrow D^{(*)} \tau \bar{\nu}_\tau)}{\mathcal{B}(B \rightarrow D^{(*)} \ell \bar{\nu}_\ell)}$$

- Deviation of  $\sim 20\%$  with respect to the SM predictions
- Combined significance  $\sim 3.7\sigma$



# $b \rightarrow s$ semileptonic transitions

Induced at **loop** level in the SM

Effective Hamiltonian description

$$\mathcal{H}_{\text{eff}} = -4 \frac{G_F}{\sqrt{2}} V_{tb} V_{ts}^* [\dots + C_9 \mathcal{O}_9 + C_{10} \mathcal{O}_{10}]$$

$$\mathcal{O}_9 = (\bar{s} \gamma^\mu P_L b) (\bar{\ell} \gamma_\mu \ell)$$

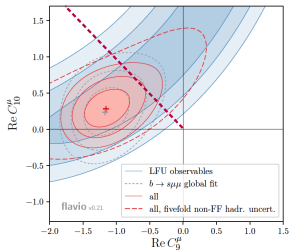
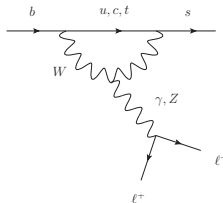
$$\mathcal{O}_{10} = (\bar{s} \gamma^\mu P_L b) (\bar{\ell} \gamma_\mu \gamma_5 \ell)$$

- Lepton Flavour Universality ratios

$$R_{K^{(*)}} = \frac{\mathcal{B}(B \rightarrow K^{(*)} \mu^+ \mu^-)}{\mathcal{B}(B \rightarrow K^{(*)} e^+ e^-)} \sim \mathbf{2.1-2.6\sigma}$$

- Angular Observables in  $B \rightarrow K^* \mu^+ \mu^-$

$$P'_5 = \frac{S_5}{\sqrt{F_L(1-F_L)}} \sim \mathbf{3\sigma}$$



$$\Delta C_9 = -\Delta C_{10} = \mathbf{-0.6}$$

# Approach to the anomalies

## SM predictions:

- investigate SM prediction for the observable of interest
- provide prediction for new channels/observables to get complementary informations

## Model building:

- the effective scale of NP which could explain FCNC and CC anomalies is rather different

$$\Lambda \sim \begin{cases} \text{few} \times \text{TeV} & \text{for CC} \\ \text{few} \times 10 \text{ TeV} & \text{for FCNC} \end{cases}$$

- from EFT analysis we see that

[[MB](#), [Isidori](#), [Trifinopoulos](#)  
[Buttazzo](#), [Greljo](#), [Isidori](#), [Marzocca](#)]

- FCNC and CC anomalies are addressed as a coherent pattern where NP is mainly coupled to the 3rd generation
- a flavour symmetry is required to suppress the couplings with light generations and provides a link to the yukawa couplings

**Non-trivial flavour structure needed**

1. The flavour structure of the Standard Model
2. **EFT solutions for the anomalies**
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# The EFT approach

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- EFT approach allows for a model **independent combined analysis** of the anomalies
- The leading NP must couple mainly to the **3rd generation** of quarks and leptons
- A flavour symmetry suppresses and controls the couplings between NP and light generations: an interesting choice is  **$U(2)$**  flavour symmetry

# The $U(2)^n$ flavour symmetry

$U(2)^n$  flavour symmetry provides **natural** link to the Yukawa couplings.

Main idea: 3rd generation of fermions are complete **singlets** under  $U(2)^n$  while the light generation have **non trivial** transformation properties under  $U(2)^n$ .

**unbroken symmetry**

$$Y_u = y_t \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

**$U(2)$  breaking terms**

$$\Rightarrow \begin{pmatrix} \Delta & V \\ 0 & 1 \end{pmatrix} \equiv \begin{pmatrix} & \cdot & \cdot \\ & \cdot & \cdot \\ & & \bullet \end{pmatrix}$$

[Barbieri, Isidori, Jones-Perez, Lodone, Straub, '11]

The same symmetry-breaking pattern control the **mixing** 3rd -1st, 2nd generation for the NP responsible for the anomalies.

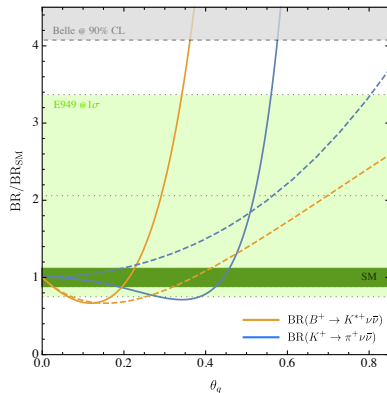
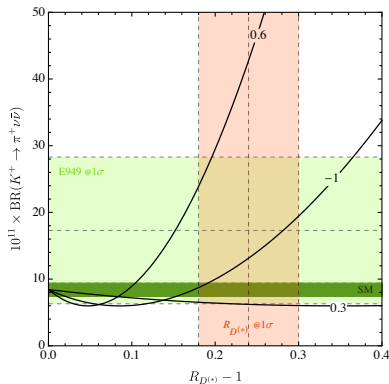
A good fit with data is obtained if  $|V| \sim |V_{ts}| \sim 0.04$  and  $\Delta \sim y_c \sim 0.006$ .

# $U(2)$ correlations: FCNC

Working with flavour symmetries allows us to predict possible **correlations** between observables.

	$\mu\mu$ ( $ee$ )	$\tau\tau$	$\nu\nu$	$\tau\mu$	$\mu e$
$b \rightarrow s$	$R_{K^{(*)}}$	$B \rightarrow K^{(*)}\tau\tau$	$B \rightarrow K^{(*)}\nu\nu$	$B \rightarrow K\tau\mu$	$B \rightarrow K\mu e$
$b \rightarrow d$	$B_d \rightarrow \mu\mu$ $B \rightarrow \pi\mu\mu$ $B_s \rightarrow \bar{K}^{*0}\mu\mu$	$B \rightarrow \pi\tau\tau$	$B \rightarrow \pi\nu\nu$	$B \rightarrow \pi\tau\mu$	$B \rightarrow \pi\mu e$
$s \rightarrow d$			$K \rightarrow \pi\nu\nu$		$K \rightarrow \mu e$

- $R_{K^{(*)}} = R_\pi$
- $B \rightarrow K^{(*)}\tau\tau \sim \mathcal{O}(100) \times \text{SM}$ ,  $B \rightarrow \pi\tau\tau \sim \mathcal{O}(100) \times \text{SM}$
- $B \rightarrow K^{(*)}\nu\nu \sim \mathcal{O}(1) \times \text{SM}$ ,  $B \rightarrow \pi\nu\nu \sim \mathcal{O}(1) \times \text{SM}$ ,  $K \rightarrow \pi\nu\nu \sim \mathcal{O}(1) \times \text{SM}$
- non-zero contribution to LFV processes



to be probed (soon) at NA62

- NP only in left-handed operators.
- The leading NP effects arise in the 3rd generation of quarks and leptons only.
- The couplings to light generations are controlled by a  $U(2)_q \times U(2)_\ell$ , softly broken by two leading spurions  $V_q$  and  $V_\ell$  for the quarks and the leptons sector, respectively.

$$\begin{array}{ll} q_{3L} \sim (\mathbf{1}, \mathbf{1}) & \ell_{3L} \sim (\mathbf{1}, \mathbf{1}) \\ Q_L = (Q_L^1, Q_L^2) \sim (\bar{\mathbf{2}}, \mathbf{1}) & L_L = (\ell_L^1, \ell_L^2) \sim (\mathbf{1}, \bar{\mathbf{2}}) \\ V_q \sim (\mathbf{2}, \mathbf{1}) & V_\ell \sim (\mathbf{1}, \mathbf{2}) \end{array}$$

# The framework

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{SM}} - \frac{1}{v^2} \lambda_{ij}^q \lambda_{\alpha\beta}^\ell \left[ C_T (\bar{Q}_L^i \gamma^\mu T^a Q_L^j) (\bar{L}_L^\alpha \gamma_\mu T^a L_L^\beta) + C_S (\bar{Q}_L^i \gamma^\mu Q_L^j) (\bar{L}_L^\alpha \gamma_\mu L_L^\beta) \right]$$

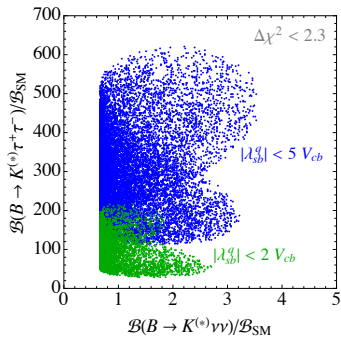
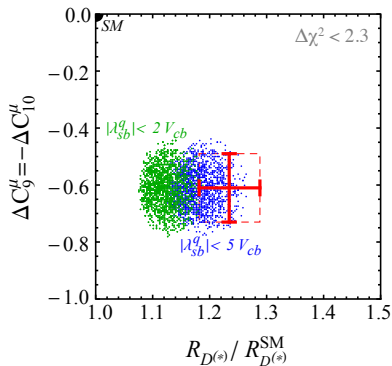
↑  
flavour structure

↑  
 $SU(2)$  triplet

↑  
 $SU(2)$  singlet

Observable	Experimental bound	Linearised expression
$R_{D^{(*)}}^{\tau\ell}$	$1.237 \pm 0.053$	$1 + 2C_T(1 - \lambda_{sb}^q V_{tb}^*/V_{ts}^*)(1 - \lambda_{\mu\mu}^\ell/2)$
$\Delta C_9^\mu = -\Delta C_{10}^\mu$	$-0.61 \pm 0.12$ [36]	$-\frac{\pi}{\alpha_{\text{em}} V_{tb} V_{ts}^*} \lambda_{\mu\mu}^\ell \lambda_{sb}^q (C_T + C_S)$
$R_{b \rightarrow c}^{\mu e} - 1$	$0.00 \pm 0.02$	$2C_T(1 - \lambda_{sb}^q V_{tb}^*/V_{ts}^*) \lambda_{\mu\mu}^\ell$
$B_{K^{(*)}\nu\bar{\nu}}$	$0.0 \pm 2.6$	$1 + \frac{2}{3} \frac{\pi}{\alpha_{\text{em}} V_{tb} V_{ts}^* C_\nu^{\text{SM}}} (C_T - C_S) \lambda_{sb}^q (1 + \lambda_{\mu\mu}^\ell)$
$\delta g_{\tau_L}^Z$	$-0.0002 \pm 0.0006$	$0.033C_T - 0.043C_S$
$\delta g_{\nu_\tau}^Z$	$-0.0040 \pm 0.0021$	$-0.033C_T - 0.043C_S$
$ g_\tau^W/g_\ell^W $	$1.00097 \pm 0.00098$	$1 - 0.084C_T$
$\mathcal{B}(\tau \rightarrow 3\mu)$	$(0.0 \pm 0.6) \times 10^{-8}$	$2.5 \times 10^{-4} (C_S - C_T)^2 (\lambda_{\tau\mu}^\ell)^2$

- Neglecting mixing with first generation, the parameters needed are 4:  $C_T$ ,  $C_S$ ,  $\lambda_{bs}^q$ ,  $\lambda_{\mu\mu}^\ell$ .
- Fitting the CKM and Yukawa, we know that  $\lambda_{bs} \sim \mathcal{O}(V_{cb})$ .



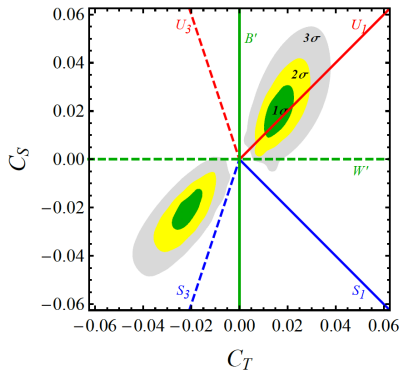
- No contradiction between LFU anomalies and constraints from EWPT, flavour observables or high- $p_T$  data

- Possible one particle solution:

	Singlet	Triplet
Scalar LQ:	$S_1$	$S_3$
Vector LQ:	$U_1$	$U_3$
Colorless vector:	$B'$	$W'$

- The most promising single-mediator solution is the vector leptoquark  $U_\mu \sim (3, 1)_{2/3}$

**UV completion needed**





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# A UV completion for $U_\mu \sim (3, 1)_{2/3}$

Two possibilities:

- Mediator of a composite state of a new strongly interacting sector
- Massive gauge boson of a spontaneously broken gauge theory

[Di Luzio, Grejlo, Nardecchia;

Blanke, Crivellin; Di Luzio, Fuentes-Martín, Grejlo, Nardecchia, Renner]

The natural choice: Pati-Salam group  $\Rightarrow$  PS  $\equiv SU(4) \times SU(2)_L \times SU(2)_R$

[Pati, Salam, Phys. Rev. D 10 (1974) 275]

- Quarks and leptons are part of the **same multiplet** of  $SU(4) \Rightarrow$  lepton are seen as the **4th colour**

- No proton decay

$$\Psi_L = \begin{pmatrix} Q_L^\alpha \\ Q_L^\beta \\ Q_L^\gamma \\ L_L \end{pmatrix}$$

Main problems:

- the LQ coupling with the heavy and light generations is **flavour blind**
- tight constraints in processes as  $K_L \rightarrow \mu e \Rightarrow$  LQ mass  $\sim 100$  TeV

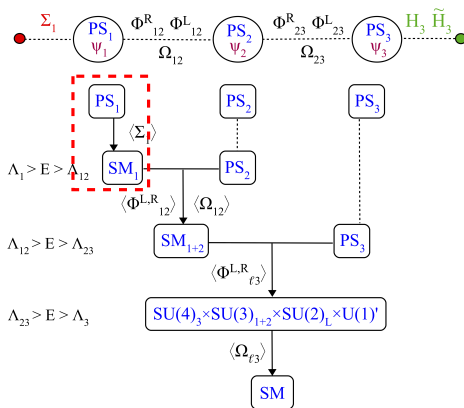
- The gauge group we adopt is  $PS^3 \equiv PS_1 \times PS_2 \times PS_3$ , where each  $PS_i$  acts on a **single fermion** family
- The spontaneous symmetry breaking to the SM group happens through different steps characterised by **different energy scales**
  - **decoupling** of heavy exotic fields coupled to the first two generations
- The gauge group that controls TeV-scale dynamics contains a LQ field coupled mainly to **third generation**
- The choice for the Higgs sector provides naturally an **hierarchical structure** of the Yukawa couplings
  - as a consequence, a residual  $U(2)_q \times U(2)_\ell$  flavour symmetry appears as a subgroup of the approximate flavour symmetry  $U(2)^5$  which appears at low energies

**combined explanation of anomalies and yukawa hierarchies**

# High-scale vertical breaking

$$\Lambda_1 \sim \mathcal{O}(10^3 \text{ TeV})$$

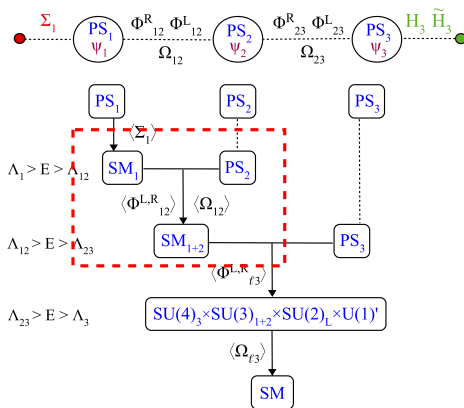
- $PS_1 \rightarrow SM_1 \equiv SU(3)_1 \times [SU(2)_L]_1 \times [U(1)_Y]_1$
- The breaking occurs via the field  $\Sigma_1 \sim (4, 1, 2)_1$  charged only under  $PS_1$
- After the breaking, 9 gauge massive fields appear, namely 6 LQ,  $W_R^\pm$ ,  $Z'$  charged only under  $PS_1$ . However, their mass is heavy and they decouple.



# Horizontal breaking 1-2

$$\Lambda_{12} \sim 10^2 \text{ TeV}$$

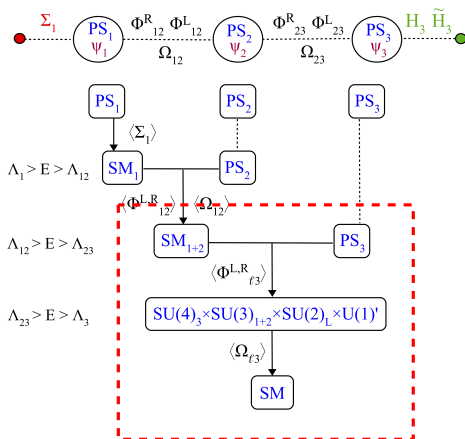
- $SM_1 \times PS_2 \rightarrow SM_{1+2}$
- We introduce the **link fields**, which are charged **both** under the first and the second sites.
- 9 exotic gauge fields coupled mainly to the second generation and 12 SM-like gauge field coupled non universally to first and second generation decouple.
- Below the scale  $\Lambda_{12} \Rightarrow U(2)^5$  flavour symmetry acting on the the first and second generation of SM fermions.



# Horizontal breaking 2-3

from  $\Lambda_{23} \sim 30 \text{ TeV}$  to  $\Lambda_3 \sim 1 \text{ TeV}$

- $\text{SM}_{1+2} \times \text{PS}_3 \rightarrow \text{SM}$
- At  $\Lambda_{23} > E > \Lambda_3$  we decouple a  $W_L^\pm$ ,  $W_R^\pm$  and a  $Z'$  too heavy to be probed at collider, being left with the group  $\mathcal{G} = \text{SU}(4)_3 \times \text{SU}(3)_{1+2} \times \text{SU}(2)_L \times \text{U}(1)'$ .
- The final step  $\mathcal{G} \rightarrow \text{SM}$  gives rise to 15 gauge bosons with a mass of  $\mathcal{O}(1 \text{ TeV})$ : **6 LQs**, **8 colorons** and a  **$Z'$** .

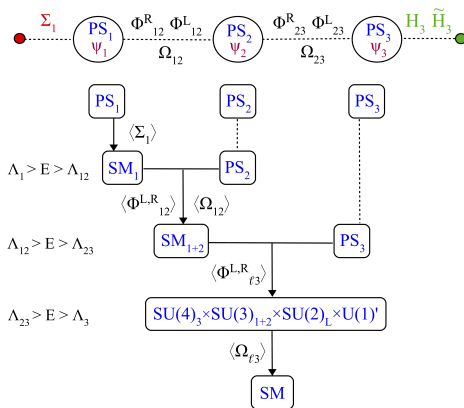


# Low scale vertical breaking

- The EWSB is achieved through the lightest component from the two sets of fields:

$$H_3 \sim (15, 2, \bar{2})_3 \quad \tilde{H}_3 \sim (1, 2, \bar{2})_3$$

- Both  $H_3$  and  $\tilde{H}_3$  are localised on the 3rd brane ( $\Rightarrow$  Yukawa couplings)



- Since the Higgs is localised in the third brane, the only dim-4 Yukawa terms allowed involve **only** fermions of from the **3rd** generation
- The Yukawa terms for light generations arise with operators of dim=5,6 and they are **suppressed** by the breaking scales
- The Yukawa pattern appears to be:

$$Y_f = \begin{pmatrix} y_\ell^f \frac{\langle \Phi_{\ell 3}^L \rangle \langle \Phi_{3\ell}^R \rangle}{\Lambda_{23}^2} & y_{3\ell}^f \frac{\langle \Omega_{\ell 3} \rangle}{\Lambda_{23}} \\ 0 & y_3^f \end{pmatrix} \Rightarrow Y_q \sim \begin{pmatrix} \cdot & \bullet \\ & \bullet \end{pmatrix}$$

$\Rightarrow$  good description of the SM Yukawa couplings in terms of  $\mathcal{O}(1)$  parameters and vev ratios

- The low energy dynamics is described by a small number of free parameters, everything else is fixed by the Yukawa



# Gauge boson spectrum at TeV scale

- We introduce a  $PS^3$  gauge group
- We define a breaking chain such that low energy pheno is governed only by the step at  $\mathcal{O}(\text{TeV})$  scale, all the other exotic massive gauge bosons decouple
- At low energies
  - We recover the SM gauge bosons
  - We are left with additional massive vector bosons relevant at this energies, namely

$$U_1 \sim (\mathbf{3}, \mathbf{1})_{2/3} \quad G' \sim (\mathbf{8}, \mathbf{1})_0 \quad Z' \sim (\mathbf{1}, \mathbf{1})_0$$

- If we know look at the LQ interaction with the fermions, we have

$$\mathcal{L}_U \supset \frac{g_c^{(3)}}{\sqrt{2}} \left( \bar{q}'_L N_U^L \gamma_\mu \ell'_L + \bar{u}'_R N_U^R \gamma_\mu \nu'_R + \bar{d}'_R N_U^R \gamma_\mu e'_R \right) U^\mu + \text{h.c.}$$

- $N_U^{L,R}$  is a diagonal matrix in flavour space

$$N_U^L \sim \text{diag}(0, \epsilon, 1) \quad N_U^R \sim \text{diag}(0, 0, 1) \quad \text{and} \quad \epsilon \equiv -\frac{1}{2} C_\Omega \frac{\langle \Omega_{\ell 3} \rangle^2}{\Lambda_{23}^2}$$

# Constraints on $B \rightarrow D^{(*)}\tau\nu$

- The presence of right-handed terms in the LQ coupling with fermions induces at low energy an effective **scalar operator**

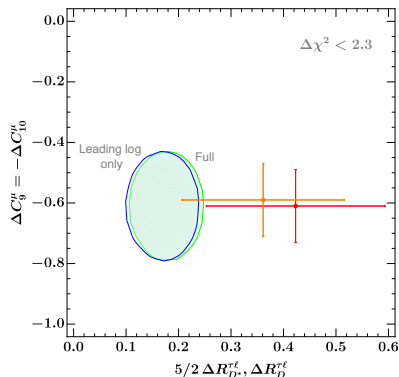
$$\mathcal{L}(b \rightarrow c\tau\nu) = -V_{cb} \left( \frac{4G_F}{\sqrt{2}} + C_U \right) (\bar{c}_L \gamma^\mu b_L) (\bar{\tau}_L \gamma_\mu \nu_{\tau L}) - \mathbf{2}V_{cb}C_U (\bar{c}_L b_R) (\bar{\tau}_R \nu_L)$$

- The scalar operator is more important in the case of  $B \rightarrow D\tau\nu$  than in  $B \rightarrow D^*\tau\nu$  decay, in fact

$$\Delta R_D^{\tau\ell} = R_D^{\tau\ell} - 1 \sim 2[1 + 0.17]C_U$$

$$\Delta R_D^{\tau\ell} = R_D^{\tau\ell} - 1 \sim 2[1 + \mathbf{2.1}]C_U$$

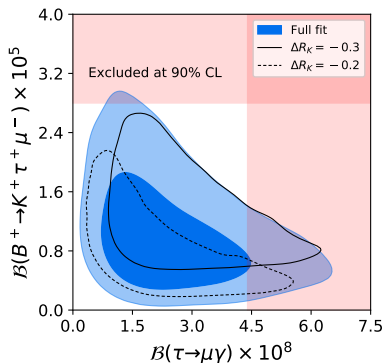
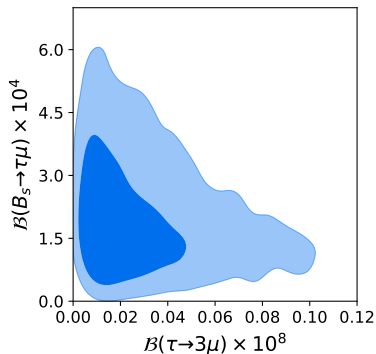
- Non-trivial correlation  $\mathbf{\Delta R_D^{\tau\ell} \sim \frac{5}{2} \Delta R_D^{\tau\ell}}$



- The model can potentially reduce the tension with data
- The constraints from LFU tests and  $\mathcal{B}(B_{c,u} \rightarrow \tau\nu)$  prevent the fit to fulfil the central value for  $R_{D^{(*)}}$
- We compute the leading finite part to the one loop corrections to  $W$  and  $Z$  coupling to  $\tau$  and they can partially alleviate the tension with LFU tests in  $\tau$  physics
- Due to the same scalar operator arising in  $b \rightarrow c\tau\nu$  decays, we expect a  $\sim 60\%$  enhancement in  $\mathcal{B}(B_{c,u} \rightarrow \tau\nu)$ , which is consistent with data

# Other constraints

- The model succeeds to pass constraints from other flavour processes
- The expected mass of the leptoquark is of order  $M_U \sim 2 - 2.5$  TeV
- Interesting correlation between LFV decays



**new LFV limits soon to be published**

# What is still to be done?

- Vector LQ  $U_1$  seems to be the better candidate to fit the anomalies but
  - UV completion based on PS-like scenario have a rather complicated structure and,
  - they introduce unwanted states which might be dangerous for high- $p_T$  physics
- $W' + Z'$  solution are appealing but they are in tension with high- $p_T$  searches with  $\tau_L \tau_L$  or  $b_L b_L$  final states [Greljo, Isidori, Marzocca, '15]
- Solutions with right-handed neutrino are motivated and help to ease the tension with  $b \rightarrow c \tau \nu$  data but they are most likely to be excluded from high- $p_T$  [Greljo, Camalich, Ruiz-Álvarez, '18]
- Scalar leptoquarks are interesting but
  - we need to tune their couplings to avoid proton decay [Beciveric et al, '18]
  - they bring a tension with  $B-\bar{B}$  mixing [Marzocca, '18]

It seems like there is no much space left...

# What are we looking for?

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...but data can help us!

If the anomalies are true, NP **must** appear somewhere else.

A full dedicated flavour physics program run by LHCb, Belle II but also experiments like NA62 is needed to

- determine the flavour structure of the NP sector;
- different correlations among low energy observable can help to distinguish the possible models.

Only with such programs we will be able to disentangle the type of NP needed.

**Don't forget to keep working on SM predictions!**

The hints of LFUV in  $B$ -physics form an interesting and exciting pattern of anomalies. Even though we don't have enough sensitivity yet to claim a discovery of a beyond SM sector, it is worth to investigate better the nature of the anomalies.

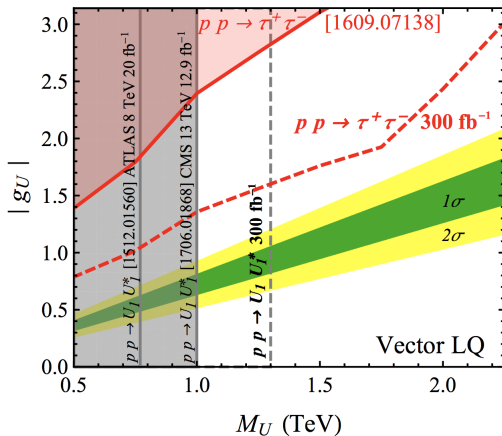
The model building approach is challenging but viable.

- The EFT based on  $U(2)$  flavour symmetry singles out the vector LQ  $U_1$  as best solution for the anomalies.
- $PS^3$  model represents an interesting attempt to connect the anomalies with the hierarchy of Yukawa couplings
- This model provides interesting predictions and correlations between observable. Their measurements can confirm or falsify such scenario.

# Appendix



## LQ searches



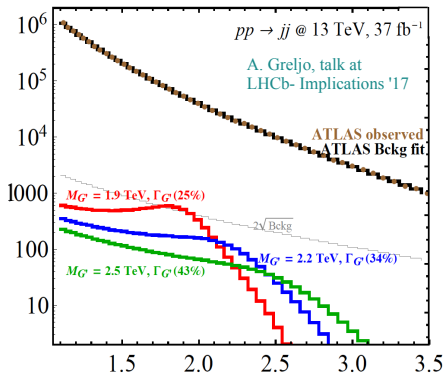
Two processes to take into account:

- QCD pair production
- $\tau$  pair production

For a gauge leptoquark

$$M_U \geq 1.3 \text{ TeV}$$

## Coloron searches



- The searches performed so far focus on narrow states (bump searches)
- If the width is large (our case  $\Gamma_{G'}/M_{G'} \sim 0.22$ ), the signal is hidden below the QCD background.

**Dedicated studies are ongoing**