

Uncovering the inner structure of matter: the 3D nucleon picture

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3D Nucleon Structure

Several decades of experiments on deep inelastic scattering (DIS) of electron or muon beams off nucleons have taught us about how quarks and gluons share the momentum of a fast-moving nucleon.

- However, they have not resolved the question of how partons share the nucleon's spin and build up other nucleon intrinsic properties, such as its mass and magnetic moment. Earlier studies, in fact, were limited to providing a one-dimensional (longitudinal) view of nucleon structure.
- Our goal is to achieve a much greater insight into the nucleon structure, and to build multi-dimensional maps of the distributions of partons in space, momentum (including momentum components transverse to the nucleon momentum), spin, and flavour.



The 3D Structure of the Nucleon

The exploration of the **3-dimensional structure of the nucleon**, both in momentum and in configuration space, is one of the major issues in high energy hadron physics.

Information on the 3-dimensional structure of the nucleon is embedded in the *Transverse Momentum Dependent* distribution and fragmentation functions (*TMDs*).



In a very simple **phenomenological** approach, hadronic cross sections and spin asymmetries are generated, within a **QCD factorization** framework, as convolutions of **distribution** and (or) **fragmentation** functions with **elementary cross sections**.



This simple approach can successfully describe a wide range of experimental data.

Intrinsic Transverse Momentum





We cannot learn about the spin structure of the nucleon without taking into account the transverse motion of the partons inside it Transverse motion is usually integrated over, but there are important spin- k_{\perp} correlations which should not be neglected



Several theoretical and experimental evidences for transverse motion of partons within nucleons, and of hadrons within fragmentation jets.

Where can we learn about the 3D structure of matter ?

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Experimental data for TMD studies



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In SIDIS reactions, the hadron, which results from the fragmentation of a scattered quark, "remembers" the original motion of the quark, including its transverse momentum.

Unpolarized and Polarized SIDIS scattering



Allows the extraction of TMD distribution and fragmentation functions





From the theory point of view ...











Phenomenology \rightarrow "where everything comes together nicely" Combine different sources of information to get the whole picture

How can we learn about the 3D structure of matter ?

- The mechanism which describes how quarks and gluons are bound into hadrons is embedded in the parton distribution and fragmentation functions (PDFs and FFs), the so-called "soft parts" of the hadronic scattering processes.
- These are non-perturbative objects which connect the ideal world of pointlike and massless particles (pQCD) to our much more complex real world, made of nucleons, nuclei and atoms.

Collinear parton distribution functions



TMD distribution and fragmentation functions



The unpolarized TMD



Transversity

• The **transversity distribution function** contains basic information on the spin structure of the nucleons.

 Being related to the expectation value of a chiral odd operator, it appears in physical processes which require a quark helicity flip; therefore it cannot be measured in usual DIS.

• Drell-Yan \rightarrow planned experiments in polarized pp at PAX.

• At present, the only chance of gathering information on transversity is **SIDIS**, where it appears associated to the Collins fragmentation function.

• **DOUBLE PUZZLE**: we cannot determine the transversity parton distribution if we do not know the Collins fragmentation function.



- There is no gluon transversity distribution function
- Transversity cannot be studied in deep inelastic scattering because it is chirally odd
- Transversity can only appear in a cross-section convoluted to another chirally odd function



The Sivers function



The Sivers function



The transverse momentum distribution of an up quark (left) and a down quark (right) with longitudinal momentum fraction x=0.1 in a transversely polarized proton moving in the z-direction, while being polarized in the y- direction. The color code indicates the probability of finding the up quarks.



The transverse momentum profile of the up quark Sivers function at five x values, with the corresponding statistical uncertainties.

The Collins function



Polarized TMDs are best studied in polarized processes, most commonly they are extracted from spin or azimuthal asymmetries.

However, to compute these asymmetries in a reliable way, we must be able to reproduce the unpolarized cross sections in the best possible way, over the largest possible range in q_τ.

Unpolarized TMDs where it all begins

Naive TMD approach

Calculating a cross section which describes a hadronic process over the whole q_T range is a highly non-trivial task

Let's consider Drell Yan processes (for historical reasons)

Fixed order calculations cannot describe DY data at small q_τ: At Born Level the cross section is vanishing At order α_s the cross section is divergent...



$$q_T \to 0$$

$$\frac{1}{\sigma_0}\frac{d\sigma}{dq_T^2} = \frac{2C_F}{2\pi q_T^2}\alpha_s \ln\left(\frac{M^2}{q_T^2} - \frac{3}{2}\right)$$

Naive TMD approach

$$\frac{d\sigma}{dP_T^2} \propto \frac{\alpha_{em}}{M^2} \sum_q f_{q/h_1}(x_1) \bar{f}_{q/h_2}(x_2) \frac{\exp(-P_T^2/\langle P_T^2 \rangle)}{\pi \langle P_T^2 \rangle}$$

Considering the same DY process at different energies:



Each data set is Gaussian but with a different width

Drell-Yan phenomenology



Drell-Yan phenomenology

Does the q_{\tau} distribution behave like a Gaussian ?



Unpolarized cross section vs. transverse momentum



Courtesy of Ted Rogers

Resummation / TMD factorization

Fixed order calculations cannot describe correctly DY/SIDIS data at small q₊

$$\frac{1}{\sigma_0}\frac{d\sigma}{dq_T^2} = \frac{2C_F}{2\pi q_T^2}\alpha_s \ln\left(\frac{M^2}{q_T^2} - \frac{3}{2}\right)$$

These divergencies are taken care of by TMD evolution/resummation



Resummation / TMD factorization

$$\frac{1}{\sigma_0} \frac{d\sigma}{dQ^2 dy dq_T^2} = \int \frac{d^2 \boldsymbol{b}_T e^{i\boldsymbol{q}_T \cdot \boldsymbol{b}_T}}{(2\pi)^2} \sum_j e_j^2 W_j(x_1, x_2, b_T, Q) + Y(x_1, x_2, q_T, Q)$$

$$Y = \sigma^{\text{FO}} - \sigma^{\text{ASY}}$$

- The W term is designed to work well at low and moderate q_{τ} , when $q_{\tau} << Q$. (Actually, W is devised to work down to $q_{\tau} \sim 0$, however collinear-factorization works up to $q_{\tau} > M$; therefore, TMD-factorization and collinear-factorization can be simultaneously applied only when $q_{\tau} >> M$).
- The W term becomes unphysical when $q_{\tau} \ge Q$, where it becomes negative (and large).
- The Y term corrects for the misbehaviour of W as q_{τ} gets larger, providing a consistent (and positive) q_{τ} differential cross section.
- The Y term should provide an effective smooth transition to large q_{τ} , where fixed order perturbative calculations are expected to work.

Non perturbative region

This is a perturbative scheme. All the scales must be frozen when reaching the non perturbative region:

$$b_T \longrightarrow b_* = \frac{b_T}{\sqrt{1 + b_T^2/b_{max}^2}} \qquad \mu = \frac{C_1}{b_T} \longrightarrow \mu_b = C_1/b_*$$

Then we define a non perturbative function for large b₁:

$$\frac{W_j(x_1, x_2, b_T, Q)}{W_j(x_1, x_2, b_*, Q)} = F_{NP}(x_1, x_2, b_T, Q)$$

 $W_{j}(x_{1}, x_{2}, b_{T}, Q) = \sum_{i,k} \exp \left[S_{j}(b_{*}, Q)\right] \left[C_{ji} \otimes f_{i}\left(x_{1}, \mu_{b}\right)\right] \left[C_{\bar{j}k} \otimes f_{k}\left(x_{2}, \mu_{b}\right)\right] F_{NP}(x_{1}, x_{2}, b_{T}, Q)$ $b_{*}, \mu_{b} \qquad b_{T}$ $C_{1} = 2 \exp(-\gamma_{E}) \qquad Collins, Soper, Sterman, Nucl. Phys. B250, 199 (1985)$

Non-perturbative.

TMD regions

- For this scheme to work, 4 distinct kinematic regions have to be identified
- They should be large enough and well separated



CSS for DY processes



 $b_{max} = 0.5 \text{ GeV}^{-1}$

*Nadolsky et al., Phys.Rev. D67,073016 (2003)

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M. Boglione - WTPLF2018

SIDIS processes $\ell + p \rightarrow \ell' + h + X$

+

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Resummation in SIDIS

As mentioned above

★ fixed order pQCD calculation fail to describe the SIDIS cross sections at small q_{τ_r} the cross section tail at large q_{τ} is clearly non-Gaussian.



P_T (GeV/c) Anselmino, Boglione, Prokudin, Turk, Eur.Phys.J. A31 (2007) 373-381

ZEUS Collaboration (M. Derrick), Z. Phys. C 70, 1 (1996)

Anselmino, Boglione, Gonzalez, Melis, Prokudin, JHEP 1404 (2014) 005 COMPASS, Adolph et al., Eur. Phys. J. C 73 (2013) 2531

Need resummation of large logs and matching perturbative to non-perturbative contributions

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Naive TMD approach

M. Anselmino, M. Boglione, O. Gonzalez, S. Melis, A. Prokudin, JHEP 1404 (2014) 005, ArXiv:1312.6261



$$\langle P_T^2 \rangle = \langle p_\perp^2 \rangle + z_h^2 \langle k_\perp^2 \rangle$$

Fit over 6000 data points with 2 free parameters

$$N_y = A + B y$$

"The point-to-point systematic uncertainty in the measured multiplicities as a function of p_T^2 is estimated to be 5% of the measured value. The systematic uncertainty in the overall normalization of the p_T^2 -integrated multiplicities depends on *z* and *y* and can be as large as 40%".

Erratum Eur.Phys.J. C75 (2015) 2, 94

Resummation of large logarithms

To ensure momentum conservation, write the cross section in the Fourier conjugate space

$$\delta^{2}(\boldsymbol{q}_{T} - \boldsymbol{k}_{1T} - \boldsymbol{k}_{2T} - \dots - \boldsymbol{k}_{nT} + \dots) = \int \frac{d^{2}\boldsymbol{b}_{T}}{(2\pi)^{2}} e^{-i\boldsymbol{b}_{T} \cdot (\boldsymbol{q}_{T} - \boldsymbol{k}_{1T} - \boldsymbol{k}_{2T} - \dots - \boldsymbol{k}_{nT} + \dots)}$$

$$\frac{1}{\sigma_0} \frac{d\sigma}{dQ^2 dy dq_T^2} = \left[\int \frac{d^2 \boldsymbol{b}_T e^{i\boldsymbol{q}_T \cdot \boldsymbol{b}_T}}{(2\pi)^2} X_{div}(b_T) \right] + Y_{reg}(q_T)$$

 $X_{div}(b_T) \longrightarrow W(b_T) = \exp[S(b_T)] \times (PDFs \text{ and Hard coefficients})$



Fit of HERMES and COMPASS data Attempting "Resummation" in SIDIS ...



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A. Bacchetta, F. Delcarro, C. Pisano, M. Radici, A. Signori, JHEP06 (2017) 081



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What's going on ???

TMD regions

For this scheme to work, 4 distinct kinematic regions have to be identified

They should be large enough and well separated



TMD regions



Large transverse momentum behaviour in SIDIS

J.O. Gonzalez-Hernandez, T.C. Rogers, N. Sato, B. Wang, arXiv:1808.04396

Challenges with Large Transverse Momentum in Semi-Inclusive Deeply Inelastic Scattering

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We survey the current phenomenological status of semi-inclusive deep inelastic scattering at moderate hard scales and in the limit of very large transverse momentum. As the transverse momentum becomes comparable to or larger than the overall hard scale, the differential cross sections should be calculable with fixed order pQCD methods, while small transverse momentum (TMD factorization) approximations should eventually break down. We find large disagreement between HERMES and COMPASS data and fixed order calculations done with modern parton densities, even in regions of kinematics where such calculations should be expected to be very accurate. Possible interpretations are suggested.



FIG. 5. Ratio of data to theory for several near-valence region panels in Fig. 4. The grey bar at the bottom is at 1 on the vertical axis and marks the region where $q_T > Q$.



FIG. 4. Calculation of $O(\alpha_s)$ and $O(\alpha_s^2)$ transversely differential multiplicity using code from [22], shown as the curves labeled DDS. The bar at the bottom marks the region where $q_T > Q$. The PDF set used is CJNLO [25] and the FFs are from [26]. Scale dependence is estimated using $\mu = ((\zeta_Q Q)^2 + (\zeta_{q_T} q_T)^2)^{1/2}$ where the band is constructed point-by-point in q_T by taking the min and max of the cross section evaluated across the grid $\zeta_Q \times \zeta_{q_T} = [1/2, 1, 3/2, 2] \times [0, 1/2, 1, 3/2, 2]$ except $\zeta_Q = \zeta_{q_T} = 0$. The red band is generated with $\zeta_Q = 1$ and $\zeta_{q_T} = 0$. A lower bound of 1 GeV is place on μ when Q/2 would be less than 1 GeV.

There are large discrepancies between data and fixed order calculations. They seem to be generated by collinear PDFs and FFs **Extracting the Sivers function from SIDIS data**

Sivers effect: COMPASS vs. HERMES

Boglione, Gonzalez, Flore, D'Alesio, JHEP 1807 (2018) 148

Apparently ... some tension between COMPASS and HERMES data



However, COMPASS and HERMES span different ranges in Q^2 and have different < Q^2 >.



Kinematics effects Possible signal of TMD evolution?

About unpolarized TMDs ...

Boglione, Gonzalez, Flore, D'Alesio, JHEP 1807 (2018) 148

Signal of some tension between independent fit solutions for COMPASS and HERMES data



New extraction of the Sivers function

Boglione, Gonzalez, Flore, D'Alesio, JHEP 1807 (2018) 148

Signal of some tension between independent fit solutions for COMPASS and HERMES data



New extraction of the Sivers function

Tension relaxes when the asymmetry is computed using the appropriate unpolarized widths for each data set



Uncertainty bands – Sivers first moment



Uncertainty bands – Sivers Asymmetries

Boglione, Gonzalez, Flore, D'Alesio, JHEP 1807 (2018) 148

Uncertainty bands – Sivers Asymmetries

Boglione, Gonzalez, Flore, D'Alesio, JHEP 1807 (2018) 148

Study of Low-x Uncertainties (include α_u and α_d in the parametrization of the Sivers function)

α-fit gives better
estimates of
uncertainties at
large-x as well
(gray bands).

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- Naive TMD Models can describe HERMES and COMPASS data at low transverse momentum
- Similarly to DY, the Q^2 dependence is not clearly visible in the shape of the spectrum
- TMD resummation is difficult
 - ★ no information on unpolarized TMD fragmentation functions
 - ★ global fitting is affected by normalization issues
 - ★ Y-term is not included
 - \star the non-perturbative behaviour seems to be dominant
 - \star difficult to work in b₊ space where we loose phenomenological intuition
- Fixed order calculation fail to reproduce the correct behaviour of the cross section at large transverse momentum
- Need an extra effort to devise theories/models/prescriptions which simultaneously explain experimental data from different experiments, over a wide range of transverse momentum values
- Need new, high-precision experimental data to be able to perform solid and realistic phenomenological analyses of TMD physics (EIC !)