

# Non-geometric backgrounds in string theory

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*Fundamental interactions, geometry and topology*

Napoli — 25.10.2018

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2. non-geometry
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String theory is a theory of **quantum gravity** including **gauge interactions**.

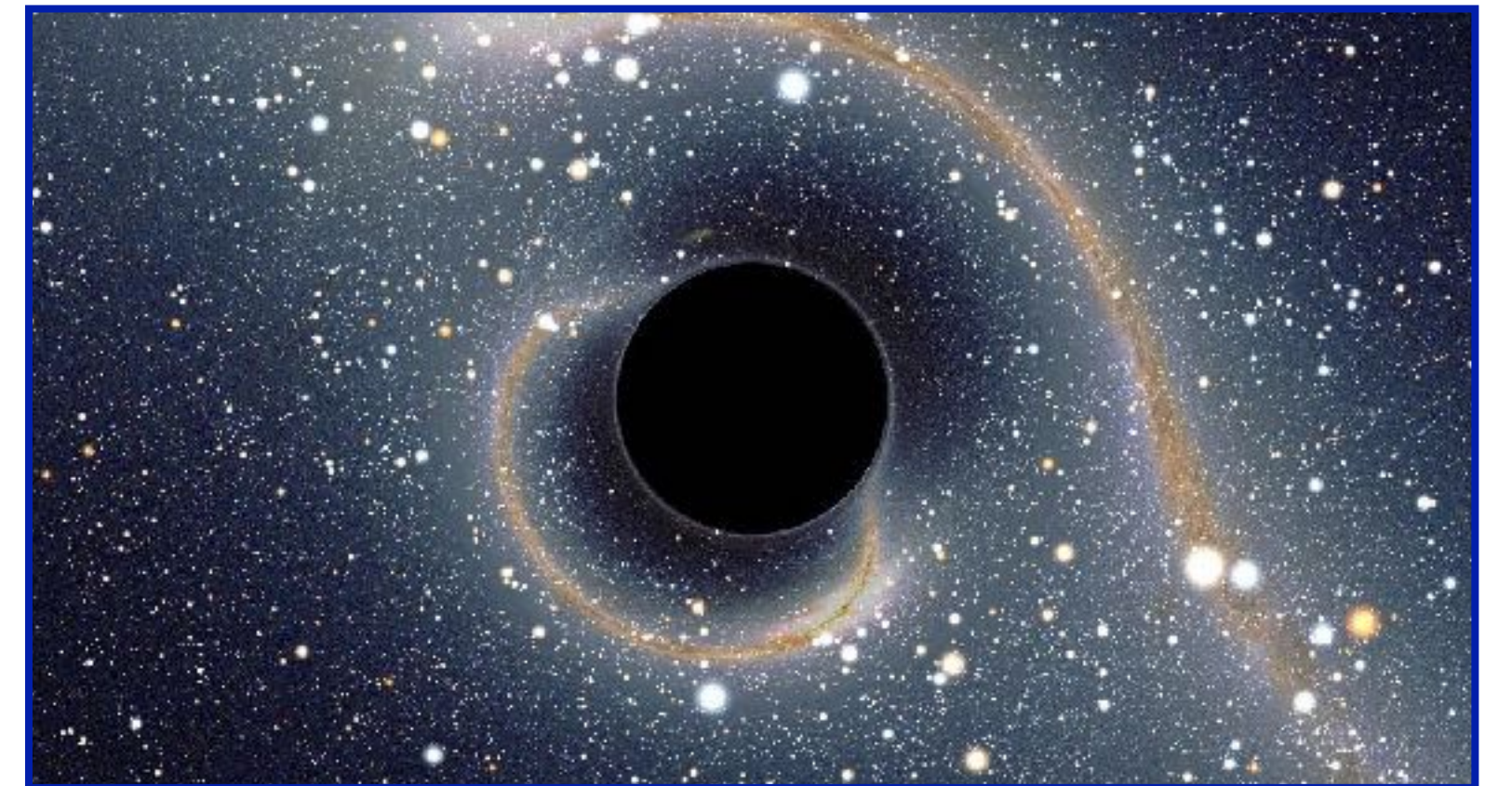


String theory is a theory of **quantum gravity** including **gauge interactions**.

- Desirable for the description of our universe.
- Framework to test expectations on a quantum-gravity theory.
- Allows to explore the interplay between gravity and QFT.
- Applications to particle phenomenology and cosmology.
- Contains profound mathematical results.

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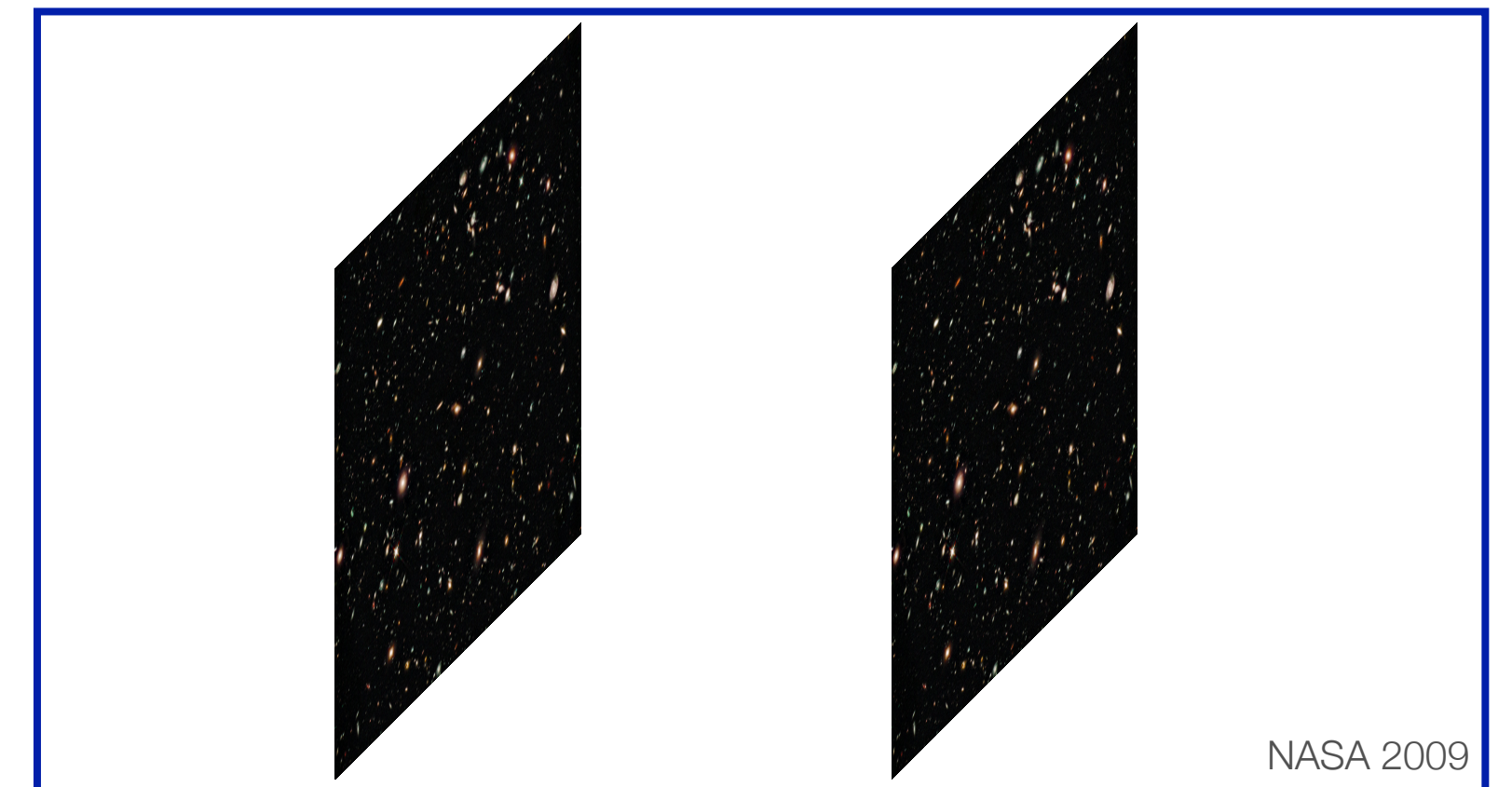
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$$\Lambda = +5.6 \times 10^{-122} t_{\text{P}}^{-2}$$

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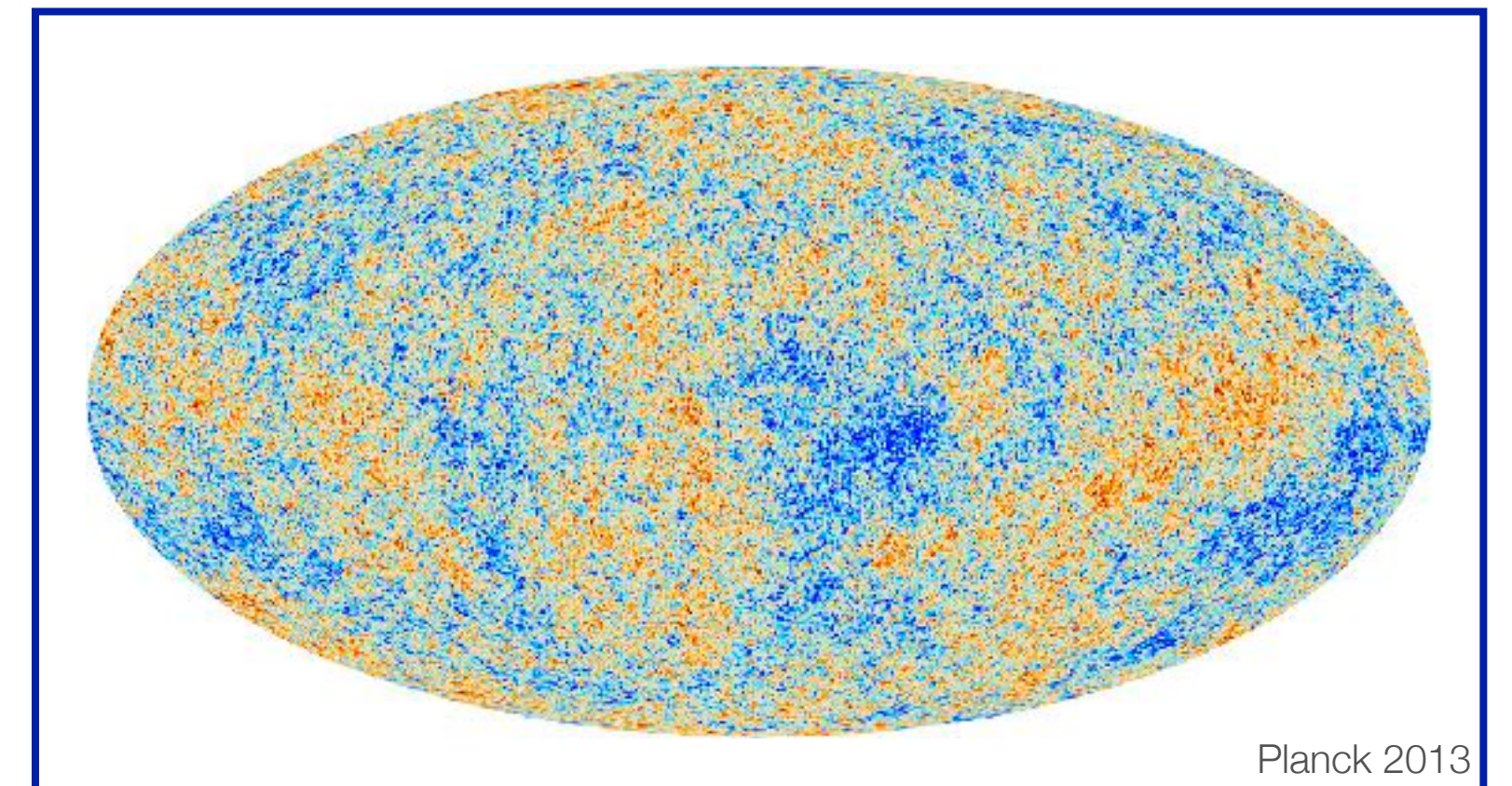
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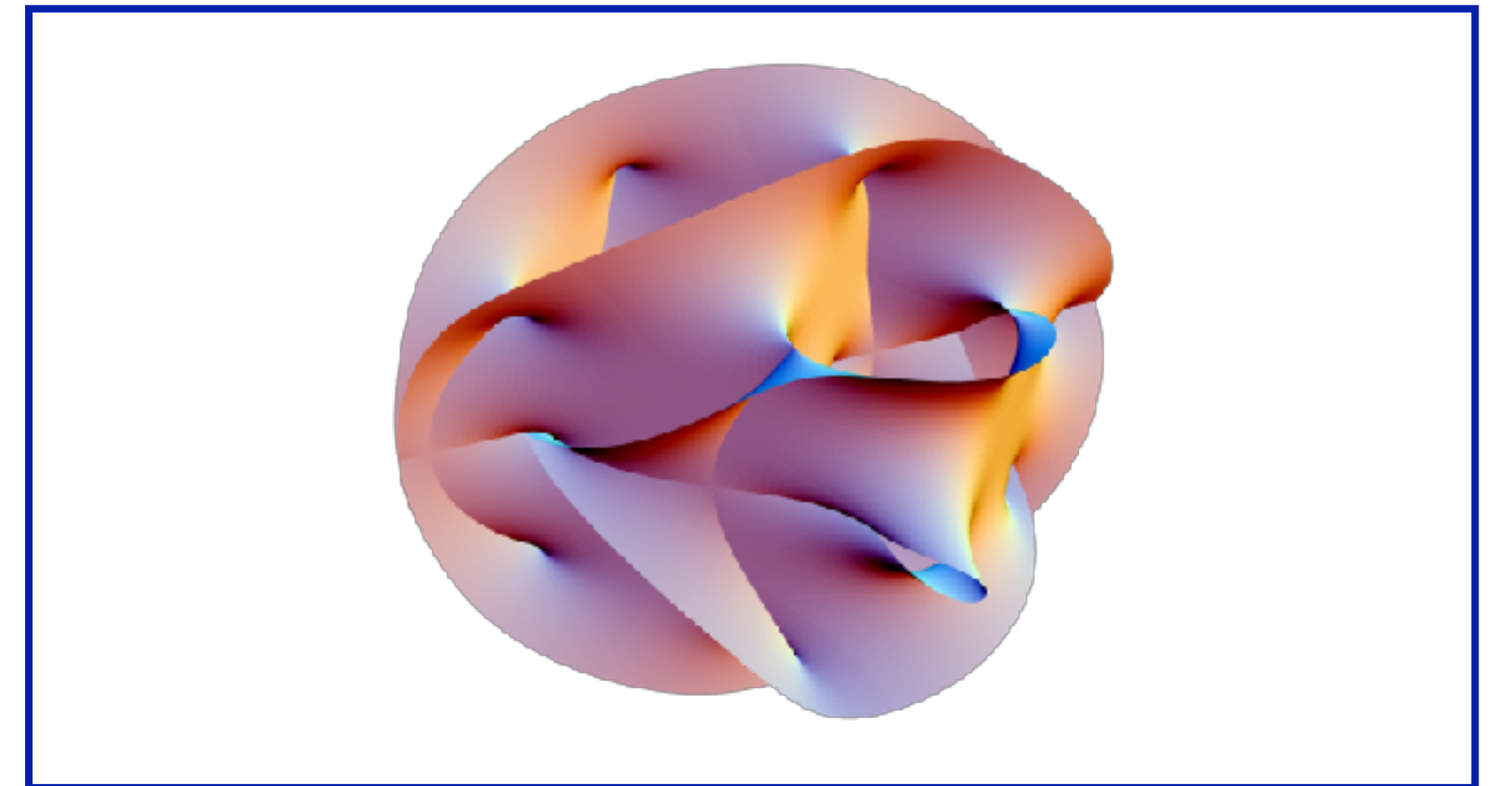
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$$\begin{aligned}1 &= r_1 \\196884 &= r_1 + r_2 \\21493760 &= r_1 + r_2 + r_3 \\864299970 &= 2r_1 + 2r_2 + r_3 + r_4 \\20245856256 &= 3r_1 + 3r_2 + r_3 + 2r_4 + r_5 \\&\dots\end{aligned}$$



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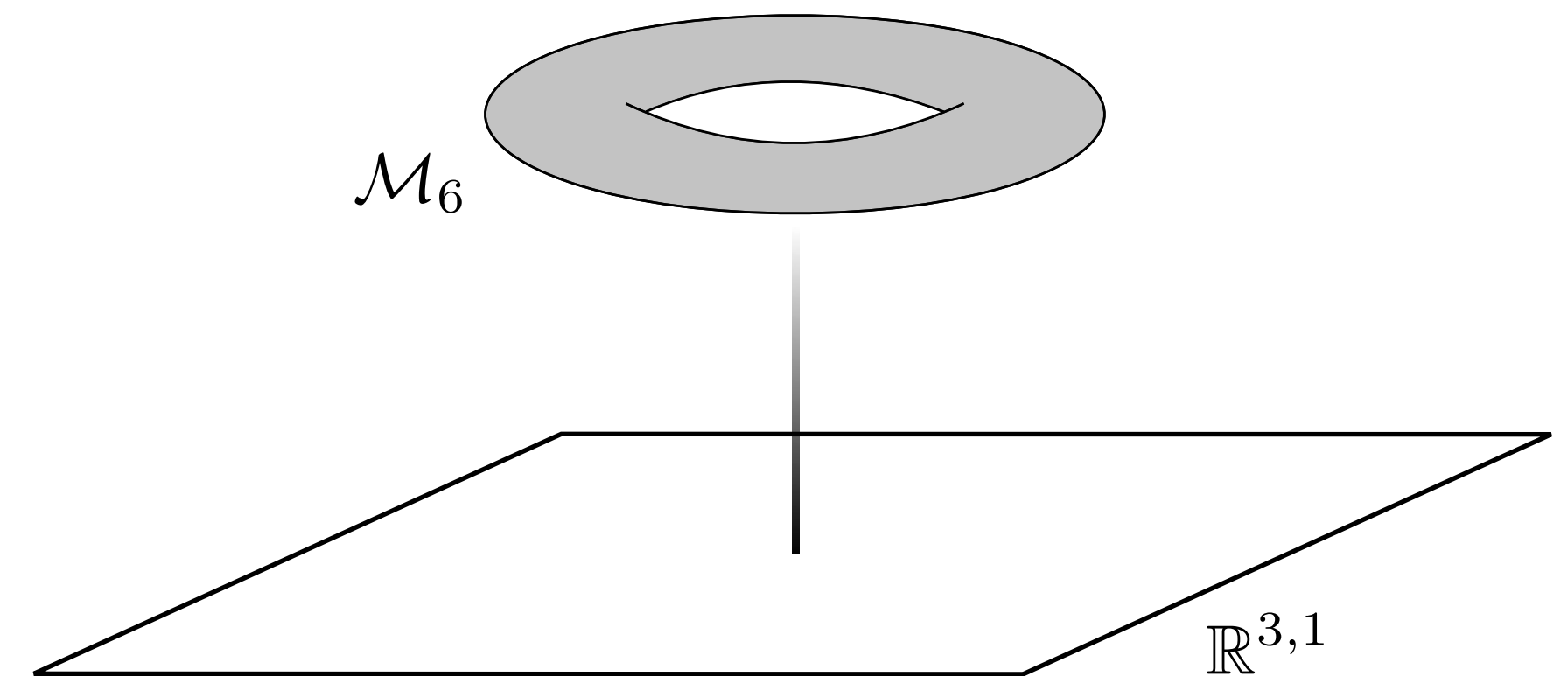
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**String theory** unifies gravity and gauge interactions  $\longrightarrow$  employ it for the description of **our universe**.

String theory is consistent only in ten dimensions — need to **compactify** from 10D to 4D ::

- The compact space  $\mathcal{M}_6$  strongly affects the 4D theory, so understanding such spaces is important.
  - There is a large number of choices for the compact space (string-theory landscape).
- $\rightarrow$  When are two compact spaces considered to be **"different"** ...?



Duality :: two **different theories** are dual to each other, if they describe the **same physics**.

Example :: **electrodynamics** in four dimensions (without sources) ::

- action functional

$$\mathcal{S} = -\frac{1}{2} \int F \wedge \star F ,$$

- Bianchi identity

$$dF = 0 ,$$

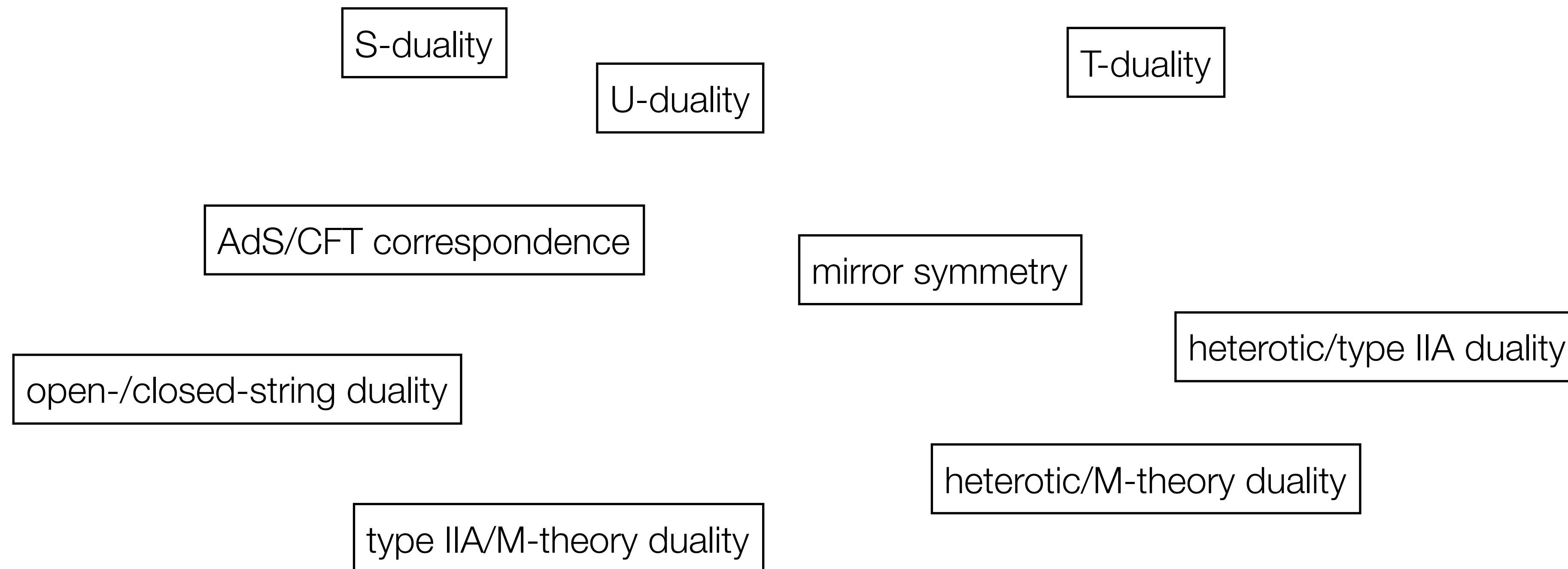
- equation of motion

$$d \star F = 0 ,$$

- duality transformation

$$F \rightarrow \star F .$$

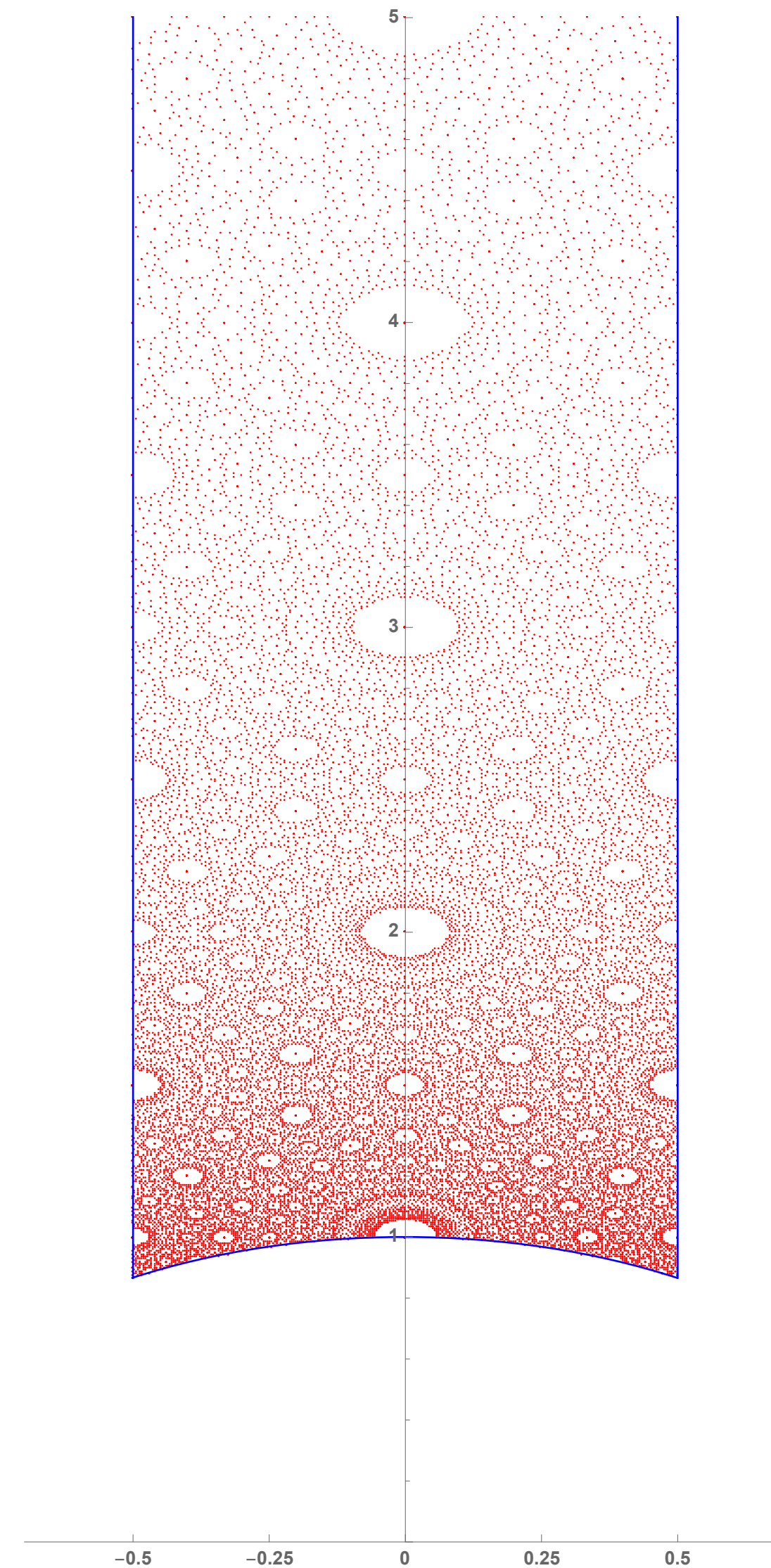
String theory has a **rich structure** of dualities ::



When are two compact spaces considered to be "*different*" ...?



... when they are **not** related via a duality transformations.



### Summary ::

- String theory is a theory of **quantum gravity** including **gauge interactions**.
- For describing our universe, need to **compactify** to four dimensions.
- **Dualities** are an integral part of string theory.

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1. introduction

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a) generalities

b) t-duality

c) example

d) summary

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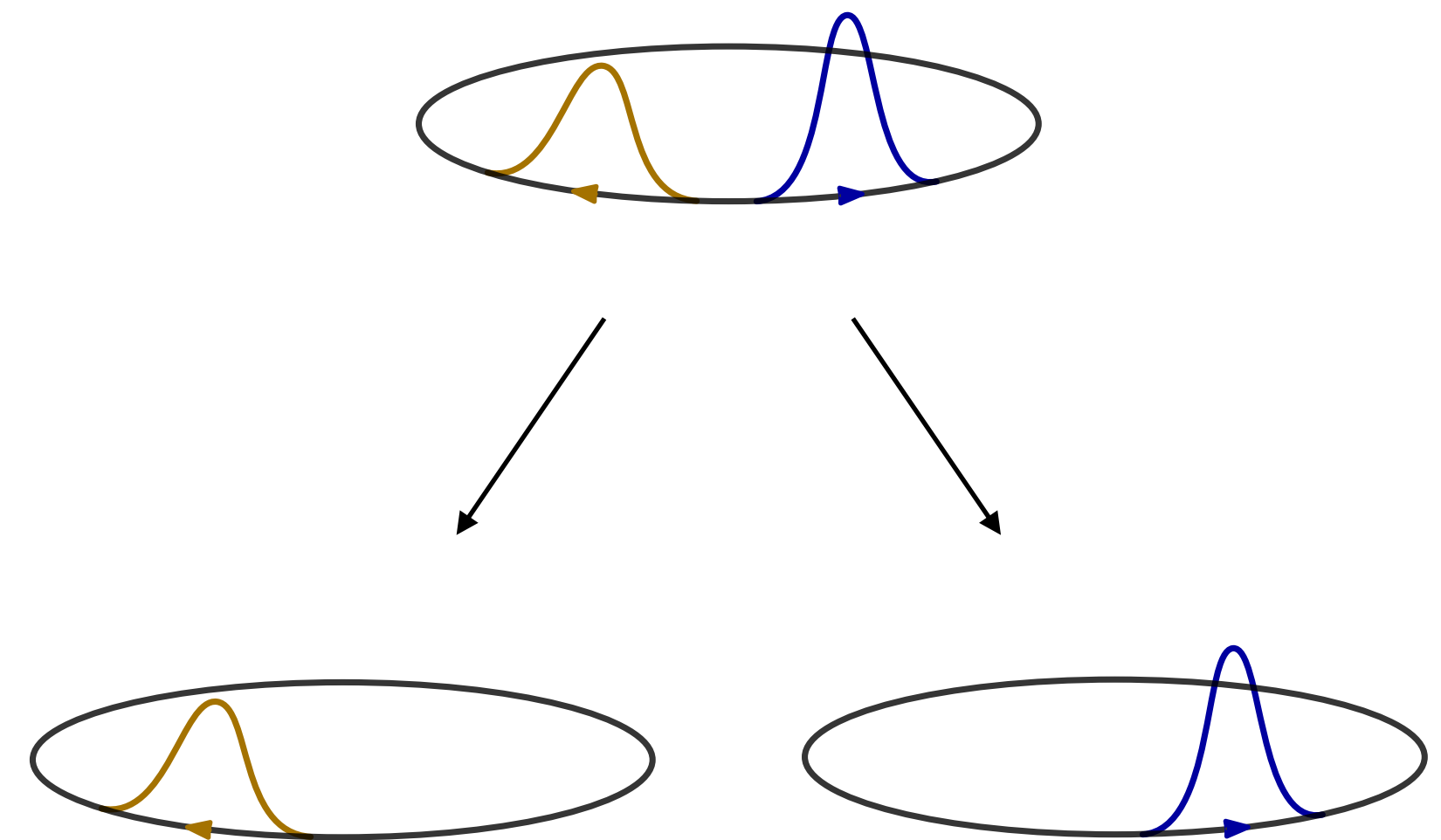
The **equation of motion** for a closed string (in the simplest setting) is the **wave equation** in two dimensions

$$0 = \partial_\alpha \partial^\alpha X^\mu(\sigma).$$

- The general solution splits into a left-moving and right-moving part

$$X^\mu(\sigma) = X_L^\mu(\sigma^+) + X_R^\mu(\sigma^-).$$

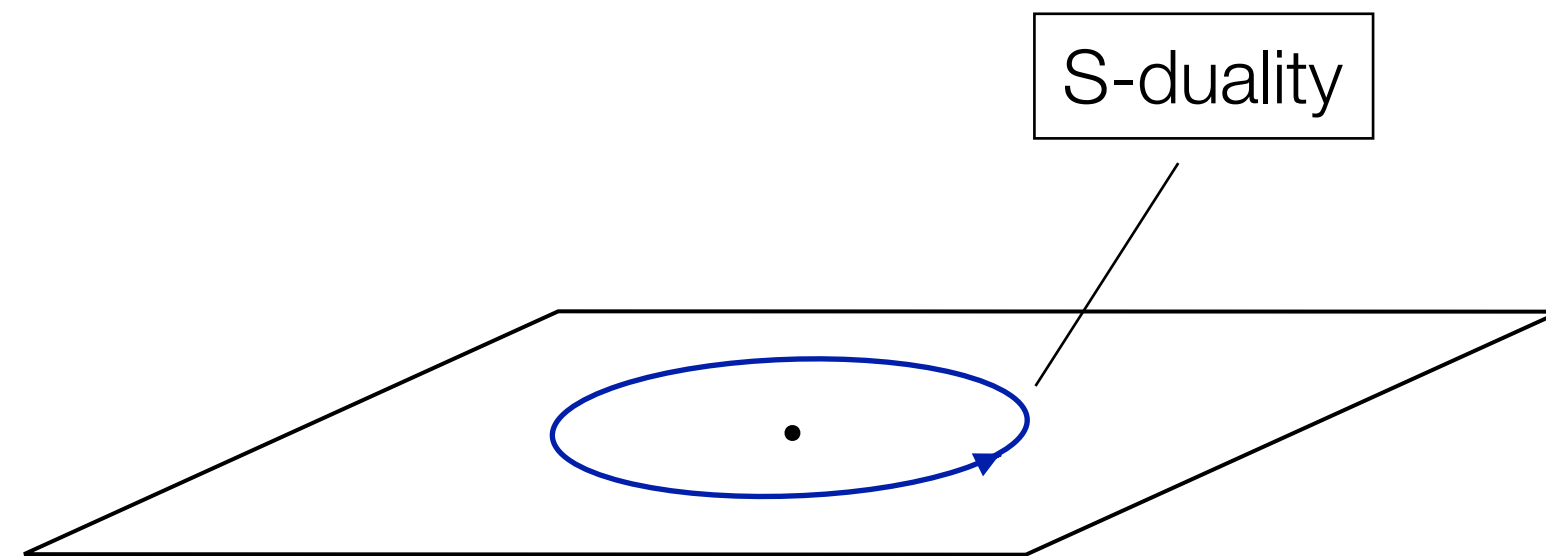
- If both parts see the same geometry, the space is **geometric**.
- If the two parts see different geometries, the space is **non-geometric** (but well-defined for a string).



**Non-geometric spaces** can be constructed using duality transformations ::

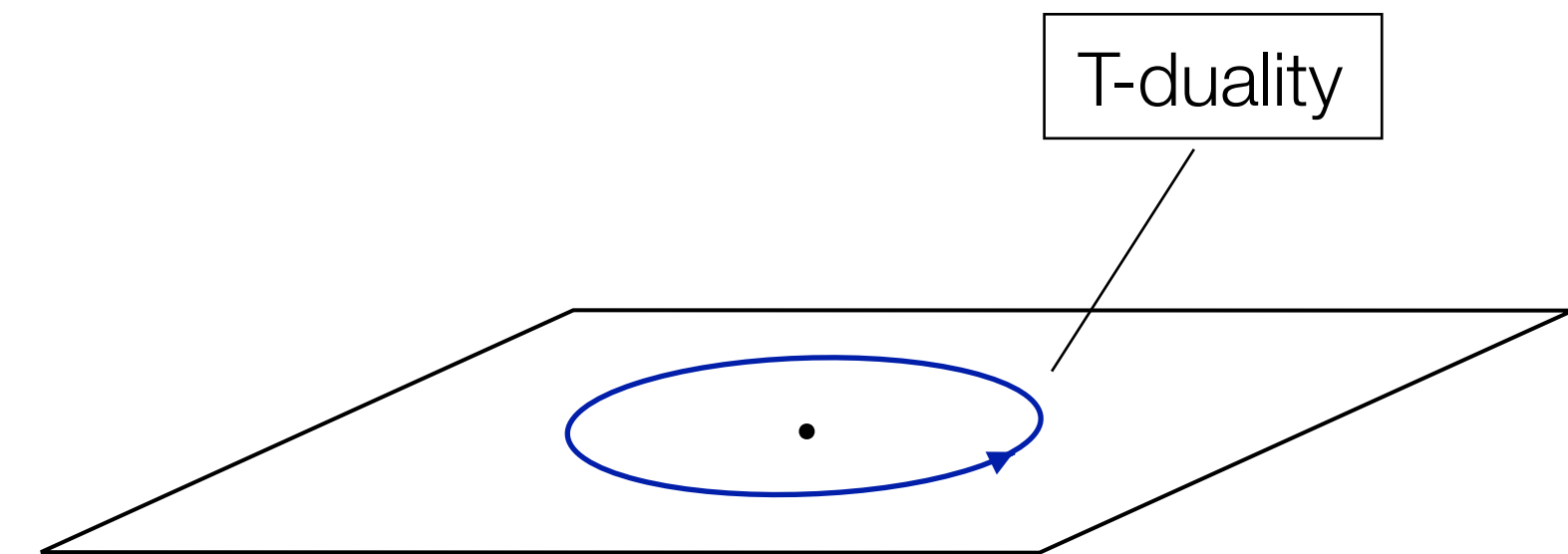
## S-duality

- duality transformation  $g_s \rightarrow 1/g_s$
- **monodromy** around  $(p,q)$ -branes contains **S-duality**



## T-duality

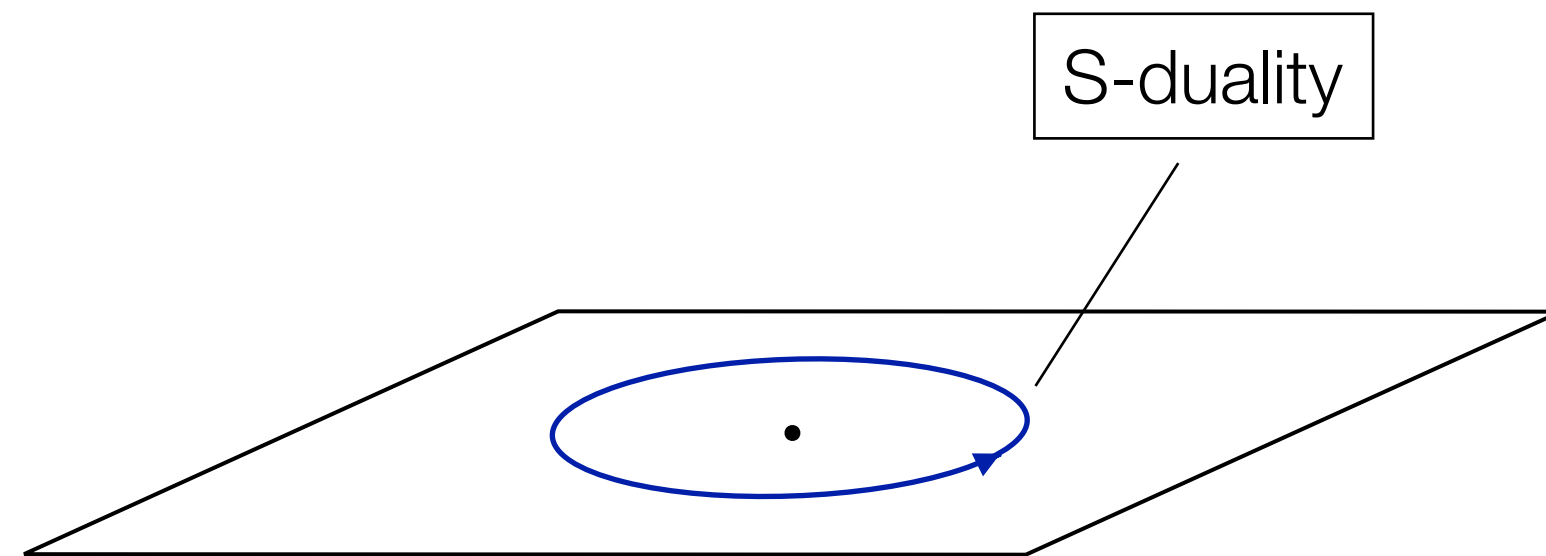
- duality transformation  $R \rightarrow 1/R$
- **monodromy** around defects may contain **T-duality**



**Non-geometric spaces** can be constructed using duality transformations ::

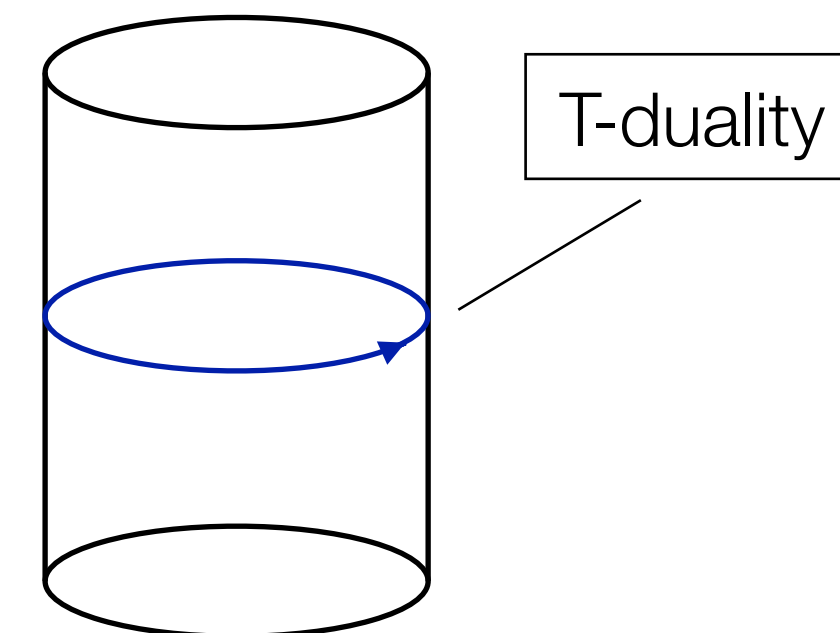
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## T-duality

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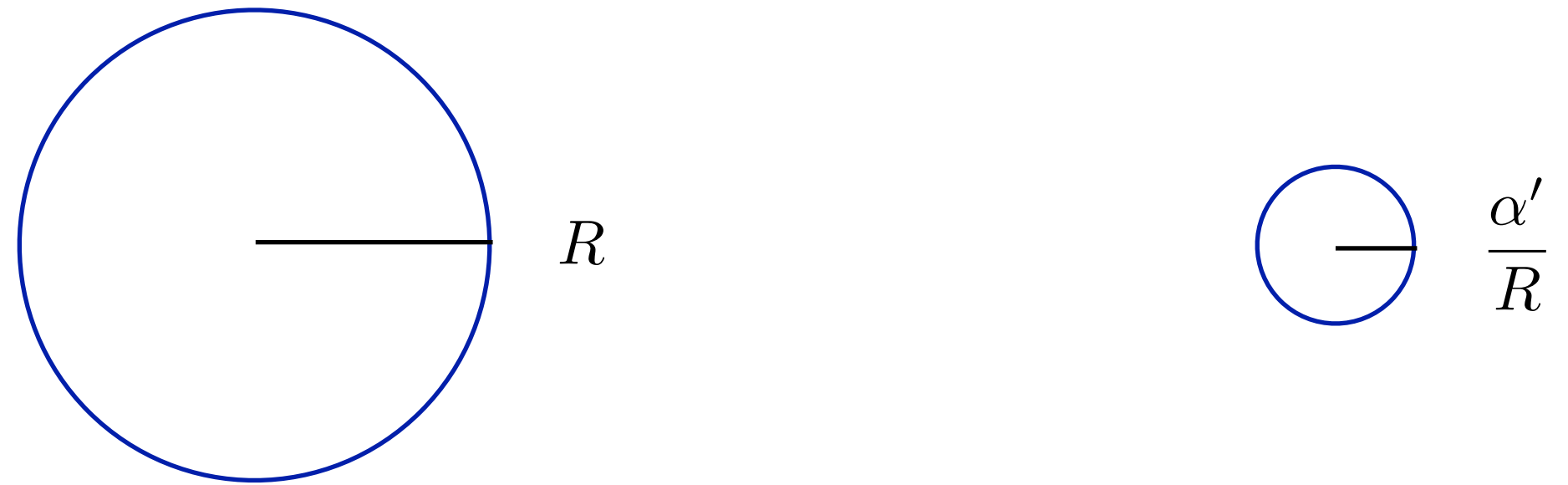


→ **non-geometric** background

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T-duality ::

- Two string-theory **compactifications** on dual **circles** cannot be distinguished.



- The **duality group** for the circle is  $\mathbb{Z}_2$ .
- T-duality is a string-theory duality — not existing for point particles.

A string-theory **background** (in the NS-NS sector) is characterized by a choice of

- metric  $G_{\mu\nu}$ ,
- anti-symmetric two-form  $B_{\mu\nu}$ ,
- dilaton  $\Phi$ .

T-duality **transformations** act on  $(G, B, \Phi)$  in a non-trivial way.

For  $D$ -dimensional toroidal compactifications the **duality group** is  $O(D, D; \mathbb{Z})$ ,

- which for  $\mathcal{O} \in O(D, D; \mathbb{Z})$  is specified by  $\mathcal{O}^T \begin{pmatrix} 0 & \mathbb{1} \\ \mathbb{1} & 0 \end{pmatrix} \mathcal{O} = \begin{pmatrix} 0 & \mathbb{1} \\ \mathbb{1} & 0 \end{pmatrix}$ .

The **duality group**  $O(D, D; \mathbb{Z})$  contains the elements ::

- A-transformations (  $A \in GL(D, \mathbb{Z})$  )

$$\mathcal{O}_A = \begin{pmatrix} A^{-1} & 0 \\ 0 & A^T \end{pmatrix} \longrightarrow \text{diffeomorphisms}$$

- B-transformations (  $B_{ij}$  an anti-symmetric matrix )

$$\mathcal{O}_B = \begin{pmatrix} \mathbb{1} & 0 \\ B & \mathbb{1} \end{pmatrix} \longrightarrow \text{gauge transformations } B \rightarrow B + \alpha' B$$

- $\beta$ -transformations (  $\beta^{ij}$  an anti-symmetric matrix )

$$\mathcal{O}_\beta = \begin{pmatrix} \mathbb{1} & \beta \\ 0 & \mathbb{1} \end{pmatrix}$$

- factorized duality (  $E_i$  with only non-zero  $E_{ii} = 1$  )

$$\mathcal{O}_{\pm i} = \begin{pmatrix} \mathbb{1} - E_i & \pm E_i \\ \pm E_i & \mathbb{1} - E_i \end{pmatrix} \longrightarrow \text{T-duality transformations } G_{ii} \rightarrow \frac{\alpha'^2}{G_{ii}}$$

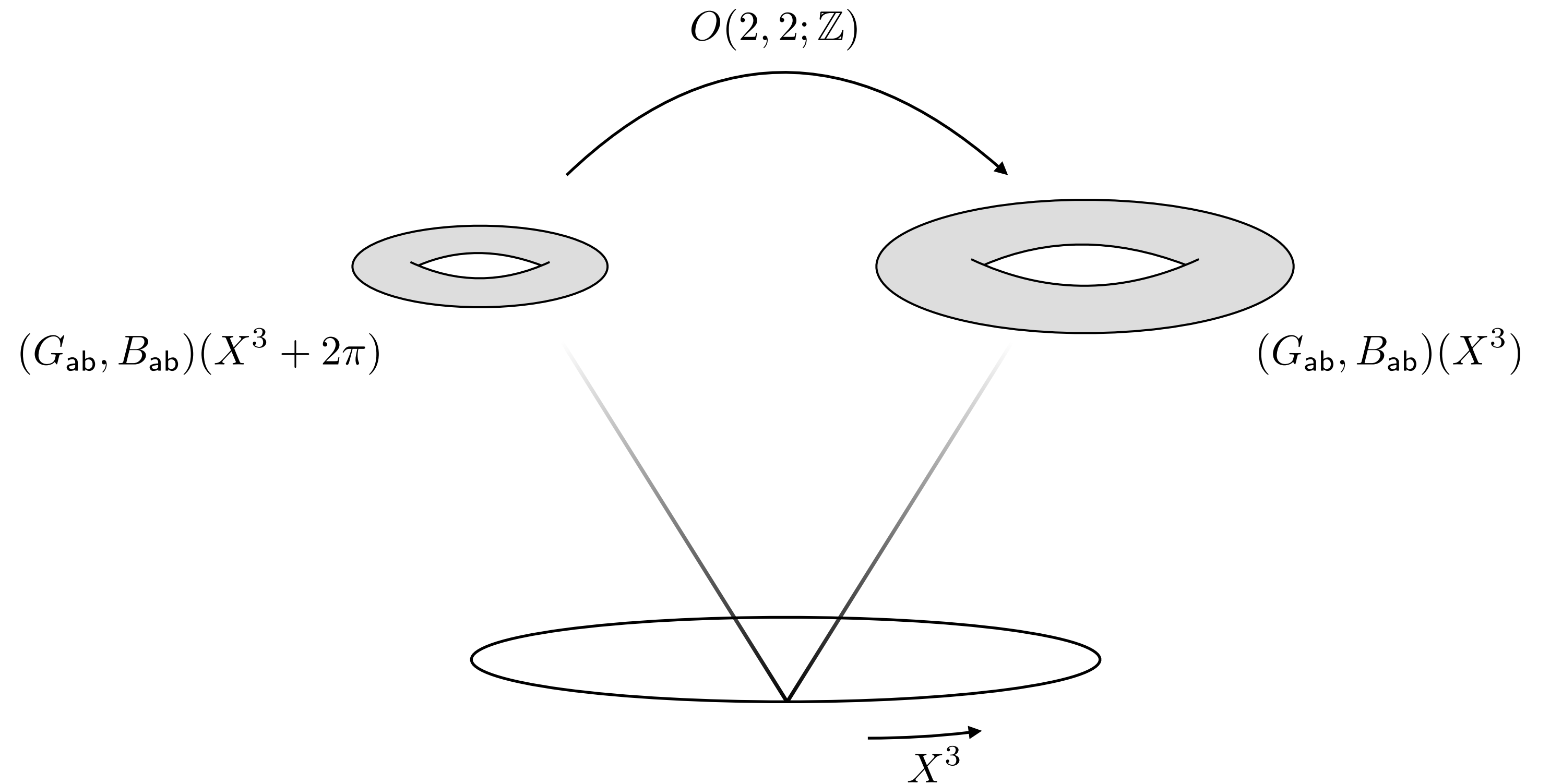


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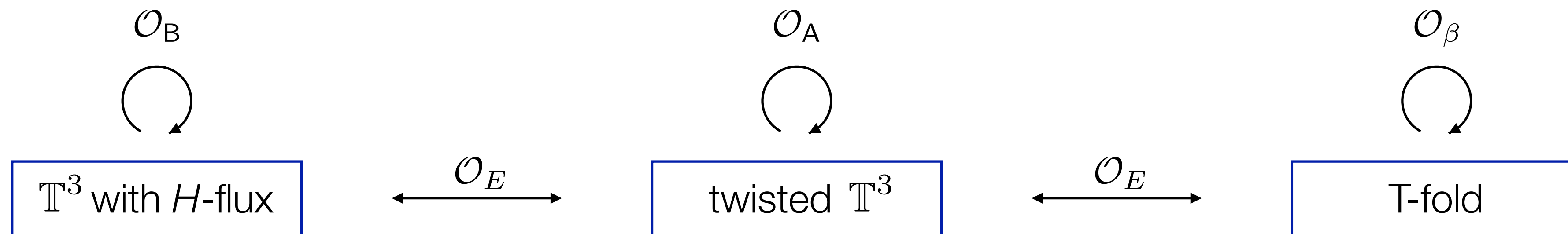
The **standard example** for a non-geometric background is a  $\mathbb{T}^2$ -fibration over a circle.

$$G_{ij} = \begin{pmatrix} G_{ab}(X^3) & 0 \\ 0 & R_3^2 \end{pmatrix}$$

$$B_{ij} = \begin{pmatrix} B_{ab}(X^3) & 0 \\ 0 & 0 \end{pmatrix}$$



The non-geometric background is part of a **family** of  $\mathbb{T}^2$ -fibrations ::



A **three-torus with  $H$ -flux** is characterized as follows ::

1. Metric and  $B$ -field

$$G_{ij} = \begin{pmatrix} R_1^2 & 0 & 0 \\ 0 & R_2^2 & 0 \\ 0 & 0 & R_3^2 \end{pmatrix}, \quad B_{ij} = \begin{pmatrix} 0 & +\frac{\alpha'}{2\pi}h X^3 & 0 \\ -\frac{\alpha'}{2\pi}h X^3 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad h \in \mathbb{Z}.$$

2. The background is well-defined under  $X^3 \rightarrow X^3 + 2\pi$  using a **gauge transformation**.

3. The  $H$ -flux  $H = dB$  can be expressed in a vielbein basis as

$$H = \frac{\alpha'}{2\pi} \frac{h}{R_1 R_2 R_3} e^1 \wedge e^2 \wedge e^3, \quad H_{123} = \frac{\alpha'}{2\pi} \frac{h}{R_1 R_2 R_3}.$$

After a T-duality along  $X^1$  one obtains a **twisted three-torus** ::

1. Metric and  $B$ -field

$$G_{ij} = \begin{pmatrix} \frac{\alpha'^2}{R_1^2} & -\frac{\alpha'^2}{R_1^2} \frac{h}{2\pi} X^3 & 0 \\ -\frac{\alpha'^2}{R_1^2} \frac{h}{2\pi} X^3 & R_2^2 + \frac{\alpha'^2}{R_1^2} \left[ \frac{h}{2\pi} X^3 \right]^2 & 0 \\ 0 & 0 & R_3^2 \end{pmatrix}, \quad B_{ij} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad h \in \mathbb{Z}.$$

2. The background is well-defined under  $X^3 \rightarrow X^3 + 2\pi$  using a **diffeomorphism**.

3. A geometric  $f$ -flux is defined via a vielbein basis as

$$de^a = \frac{1}{2} f_{bc}{}^a e^b \wedge e^c, \quad f_{23}{}^1 = \frac{\alpha'}{2\pi} \frac{h}{R_1 R_2 R_3}.$$

A second T-duality along  $X^2$  gives the **T-fold** background ::

1. Metric and  $B$ -field

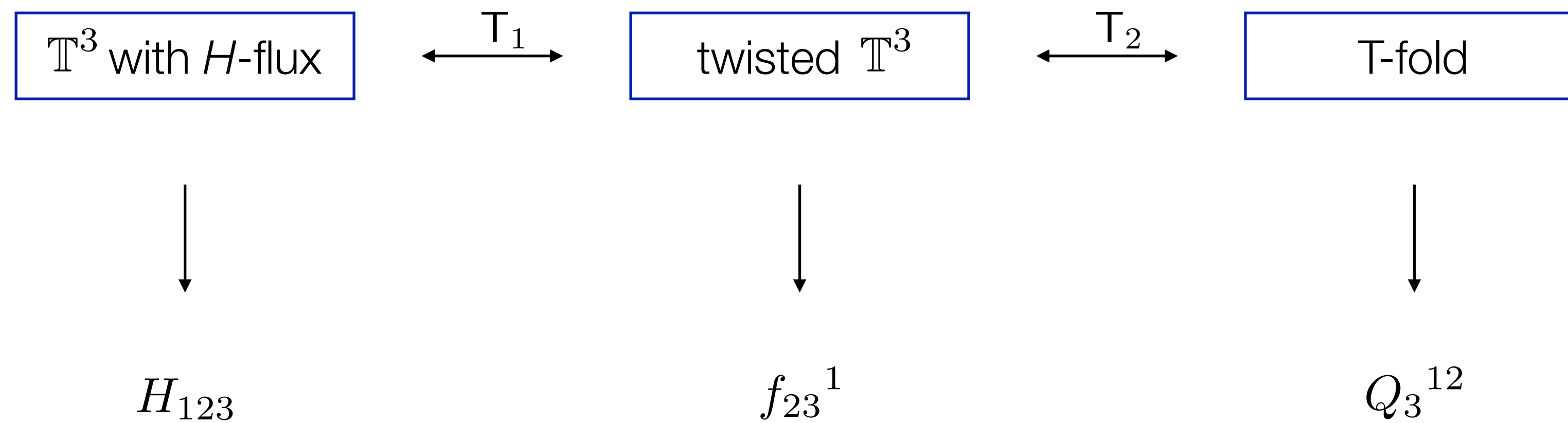
$$G_{ij} = \begin{pmatrix} \frac{R_2^2}{\rho} & 0 & 0 \\ 0 & \frac{R_1^2}{\rho} & 0 \\ 0 & 0 & R_3^2 \end{pmatrix}, \quad B_{ij} = \frac{1}{\rho} \begin{pmatrix} 0 & -\frac{\alpha'}{2\pi} h X^3 & 0 \\ +\frac{\alpha'}{2\pi} h X^3 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad \rho = \frac{R_1^2 R_2^2}{\alpha'^2} + \left[ \frac{h}{2\pi} X^3 \right]^2, \\ h \in \mathbb{Z}.$$

2. The background is well-defined under  $X^3 \rightarrow X^3 + 2\pi$  using a  **$\beta$ -transformation**.

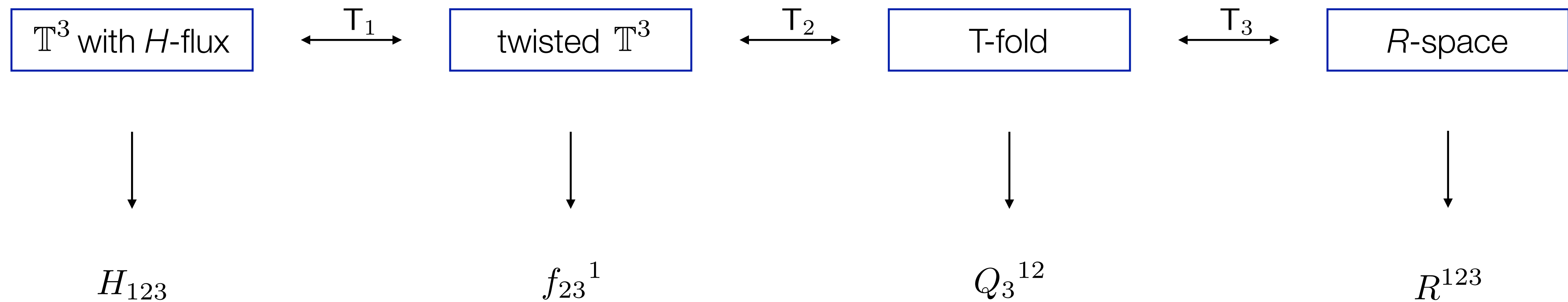
3. A non-geometric Q-flux is defined via a vielbein basis and  $(G - B)^{-1} = g - \beta$  as

$$Q_i{}^{jk} = \partial_i \beta^{jk}, \quad Q_3{}^{12} = \frac{\alpha'}{2\pi} \frac{h}{R_1 R_2 R_3}.$$

The above family of backgrounds is characterized by (non-)geometric **fluxes** ::



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- Summary ::
- **T-duality** is a string-theory duality — not present for point-particle theories.
  - Non-geometric backgrounds are **well-defined** using T-duality.
  - Non-triviality of the background is encoded in **(non-)geometric fluxes**.
  - Non-geometric backgrounds are examples for **compactification** spaces.

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Non-geometric fluxes can be described using the framework of **generalised geometry** ::

- The generalised **tangent-bundle**  $E$  over a manifold  $M$  is locally  $E = TM \oplus T^*M$ .
- **Sections** of  $E$  are given by  $X = x + \xi$  with  $x \in \Gamma(TM)$  and  $\xi \in \Gamma(T^*M)$ .

- A bi-linear **pairing** on  $E$  (invariant under  $O(D, D)$  transformations) is

$$\langle X, Y \rangle = \langle x + \xi, y + \chi \rangle = \frac{1}{2} (\iota_x \chi + \iota_y \xi) .$$

- A natural **bracket** on  $E$  is the Courant bracket

$$[X, Y]_C = [x, y]_L + \mathcal{L}_x \chi - \mathcal{L}_y \xi - \frac{1}{2} d(\iota_x \chi - \iota_y \xi) .$$

- The Courant bracket is in general not a Lie bracket, since the **Jacobiator** satisfies

$$\text{Jac}(X, Y, Z)_C = d \text{Nij}(X, Y, Z)_C .$$

On the generalised tangent-bundle, a **generalised metric** can be introduced ::

$$\mathcal{H} = \begin{pmatrix} G - B G^{-1} B & + B G^{-1} \\ -G^{-1} B & G^{-1} \end{pmatrix}.$$

A corresponding **generalised vielbein**

$$\mathcal{E} = \{\mathcal{E}^A_I\} = \begin{pmatrix} \mathcal{E}^{a_i} & \mathcal{E}^{a i} \\ \mathcal{E}_{a i} & \mathcal{E}_a{}^i \end{pmatrix}$$

(leaving invariant the pairing) is defined via the relations

$$\eta = \mathcal{E}^T \begin{pmatrix} 0 & \mathbb{1} \\ \mathbb{1} & 0 \end{pmatrix} \mathcal{E}, \quad \mathcal{H} = \mathcal{E}^T \begin{pmatrix} \delta & 0 \\ 0 & \delta^{-1} \end{pmatrix} \mathcal{E}.$$

The dual vielbein  $\bar{\mathcal{E}}_A = \{\bar{\mathcal{E}}_A^I\}$  satisfies an **algebra**  $[\bar{\mathcal{E}}_A, \bar{\mathcal{E}}_B]_C = F_{AB}{}^C \bar{\mathcal{E}}_C$ .

- The **structure constant** are identified with the fluxes

$$F_{abc} = H_{abc}, \quad F_{ab}{}^c = f_{ab}{}^c, \quad F_a{}^{bc} = Q_a{}^{bc}, \quad F^{abc} = R^{abc}.$$

- **Bianchi identities** (for constant fluxes) are determined from the Jacobiator

$$0 = -3 f_{[ab]}{}^m H_{m|cd]},$$

$$0 = -3 f_{[ab]}{}^m f_{m|c]}{}^d + 3 H_{[ab|m} Q_{c]}{}^{md},$$

$$0 = -f_{ab}{}^m Q_m{}^{cd} - 4 f_{m[a}{}^{[c} Q_{b]}{}^{m|d]} - H_{abm} R^{mcd},$$

$$0 = +3 Q_a{}^{m[b} Q_m{}^{cd]} + 3 f_{am}{}^{[b} R^{m|cd]},$$

$$0 = +3 Q_m{}^{[ab} R^{m|cd]}.$$



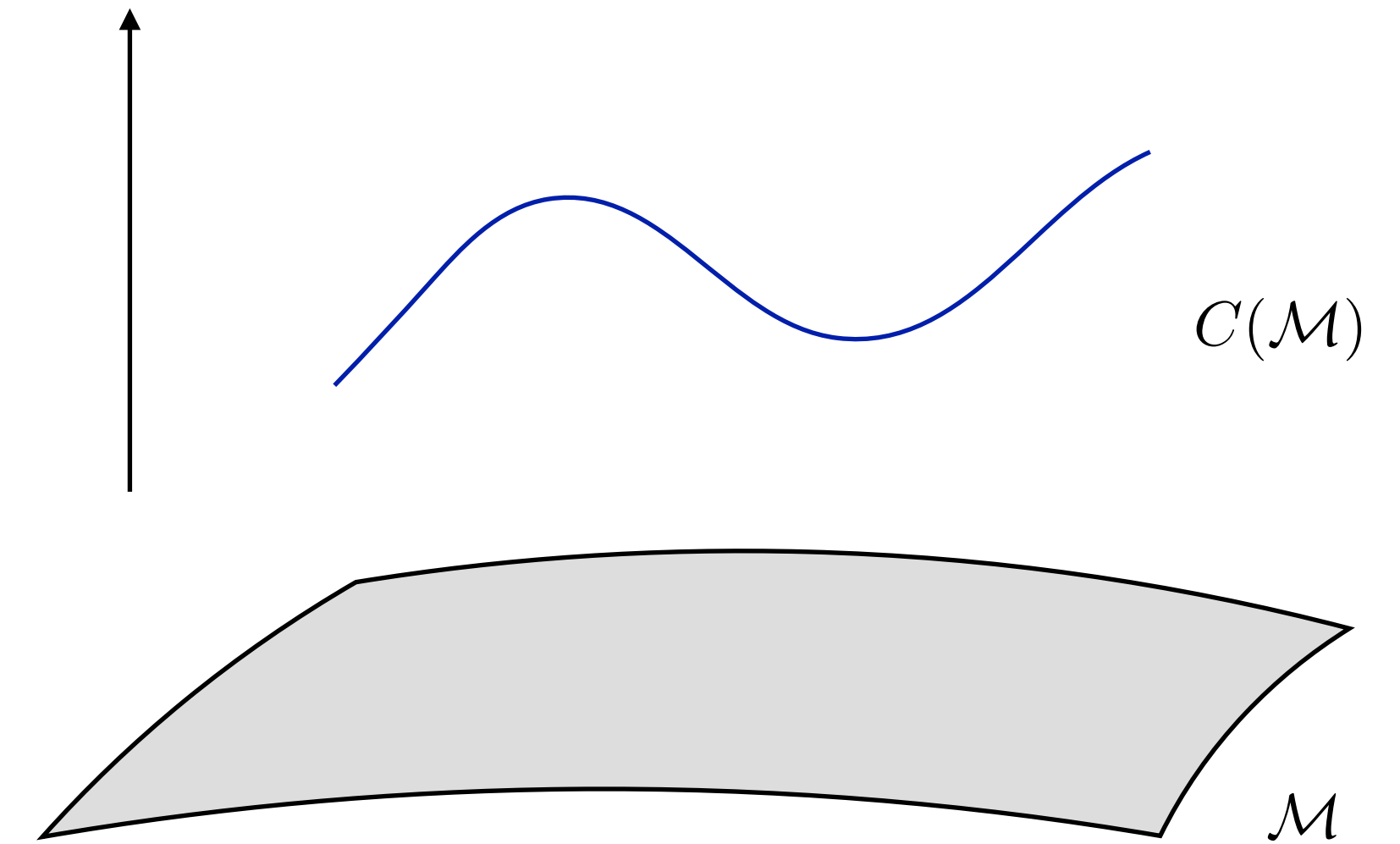
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A geometric space  $\mathcal{M}$  can be described in terms of the **algebra of functions**  $C(\mathcal{M})$  on  $\mathcal{M}$ .

Gelfand, Naimark - 1943

A **non-commutative** space is characterized through a non-commutative algebra of functions (e.g. Moyal-Weyl  $\star$ -product).

Connes - 1986



A **non-associative** space is described by a non-associative algebra of functions.

Result :: A non-geometric ***R*-flux** gives rise to a **non-associative** structure.

Consider a three-sphere with *H*-flux (*SU*(2) WZW model) ::

- Determine the following equal-time **Jacobiator**

$$[X^\mu, X^\nu, X^\rho] := \lim_{\sigma_i \rightarrow \sigma} [[X^\mu(\tau, \sigma_1), X^\nu(\tau, \sigma_2)], X^\rho(\tau, \sigma_3)] + \text{cyclic}.$$

- After T-duality, one obtains

$$[X^\mu, X^\nu, X^\rho] = 3\pi^2 R^{\mu\nu\rho}.$$

- This behaviour is described by a non-associative **tri-product** of functions

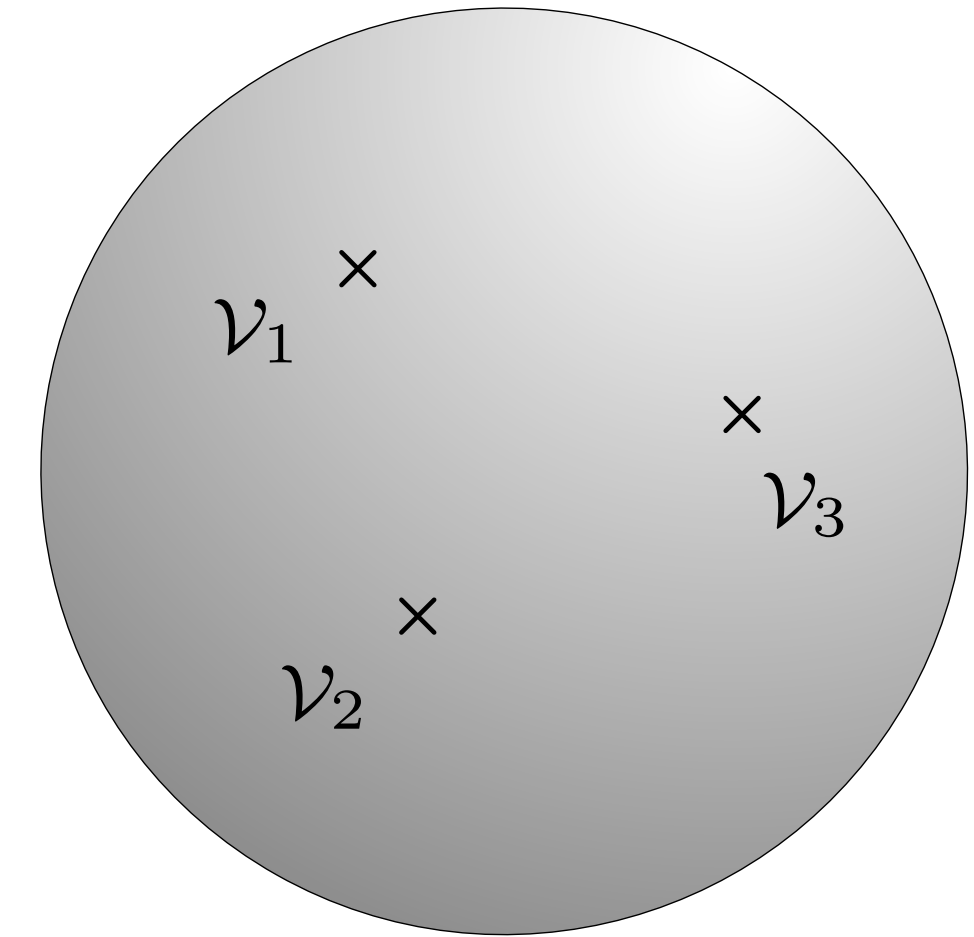
$$(f_1 \triangle f_2 \triangle f_3)(x) := \exp\left(\frac{\pi^2}{2} R^{\mu\nu\rho} \partial_\mu^{x_1} \partial_\nu^{x_2} \partial_\rho^{x_3}\right) f_1(x_1) f_2(x_2) f_3(x_3) \Big|_{x_1=x_2=x_3=x}.$$

The non-associative property can also be seen from the behaviour of **vertex operators**  $\mathcal{V}_i$  under permutations.

In an **R-flux** background one finds for  $\sigma \in S_3$

$$\langle \mathcal{V}_{\sigma(1)} \mathcal{V}_{\sigma(2)} \mathcal{V}_{\sigma(3)} \rangle = \exp \left[ i \pi^2 R^{\mu\nu\rho} (p_1)_\mu (p_2)_\nu (p_3)_\rho \eta_\sigma \right] \langle \mathcal{V}_1 \mathcal{V}_2 \mathcal{V}_3 \rangle .$$

This is an **off-shell result** — on-shell (that means  $p_1 + p_2 + p_3 = 0$ ) the phase factor is trivial.



World-sheet sphere diagram with three vertex-operator insertions.

- Application ::
- The tri-product can be used for a non-associative differential geometry,
  - and the construction of a **non-associative gravity** theory.
- Space-time is non-associative at small distances.

Result :: A non-geometric **Q-flux** gives rise to a **non-commutative** structure.

Consider non-trivial  $\mathbb{T}^2$ -fibrations over the circle, parametrized by  $\Theta^{ab}$  ::

- The fiber coordinates can be written as  $X^a = X_L^a + X_R^a$ , with relations

$$[X_L^a, X_L^b] = \frac{i}{2} \Theta_1^{ab}, \quad [X_L^a, X_R^b] = 0, \quad [X_R^a, X_R^b] = \frac{i}{2} \Theta_2^{ab}.$$

- For a geometric background one finds  $\Theta_1^{ab} = -\Theta_2^{ab} = \Theta^{ab}$  and  $[X^a, X^b] = 0$ .
- For a non-geometric background one obtains  $\Theta_1^{ab} = +\Theta_2^{ab} = \Theta^{ab}$  and hence

$$[X^a, X^b] = i \Theta^{ab}.$$

Define an equal-time **commutator** between two closed-string coordinates in the following way

$$[X^1, X^2] = \lim_{\sigma_i \rightarrow \sigma} [X^1(\tau, \sigma_1), X^2(\tau, \sigma_2)] .$$

- For the **T-fold** background (parabolic monodromy) one finds a non-commutative behaviour controlled by the **Q-flux**

$$[X^1, X^2] = -\frac{i\pi^2}{6} \oint Q_3{}^{12} dX^3 .$$

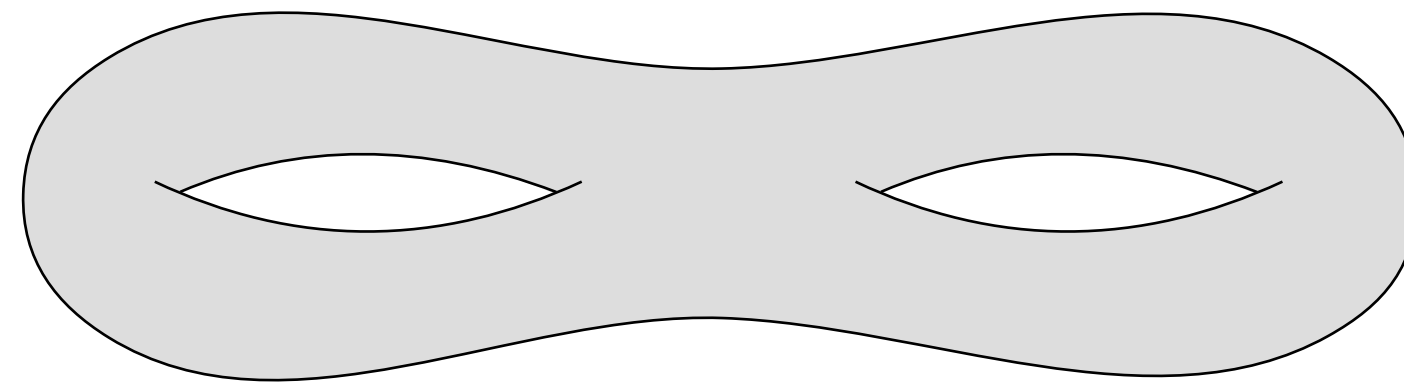
- Other examples (elliptic monodromies) are provided by **asymmetric orbifolds**.

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String-theory **compactifications** on Calabi-Yau three-folds ::

compact space

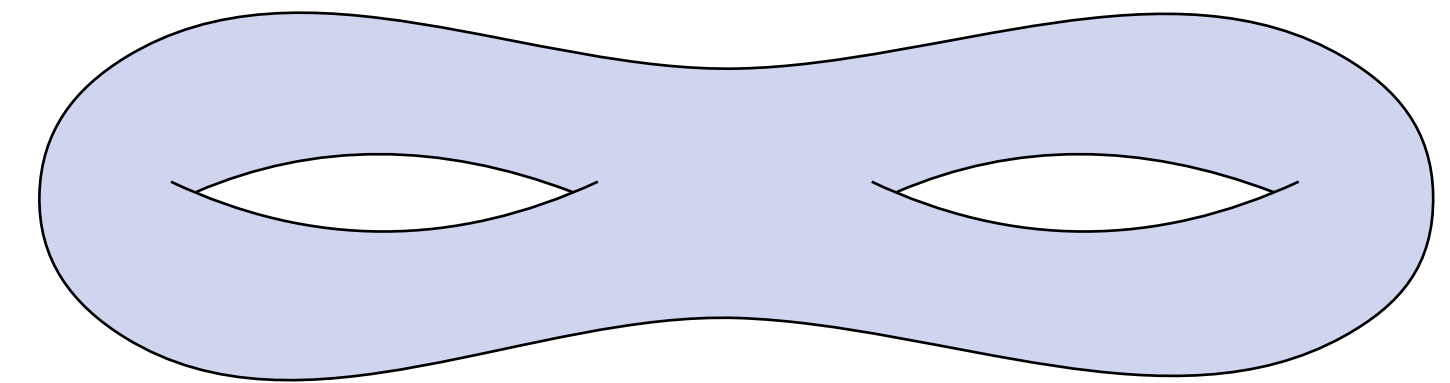


$CY_3$



4D theory

- no potential generated
- **massless** scalar fields  $T^a, U^A, S$

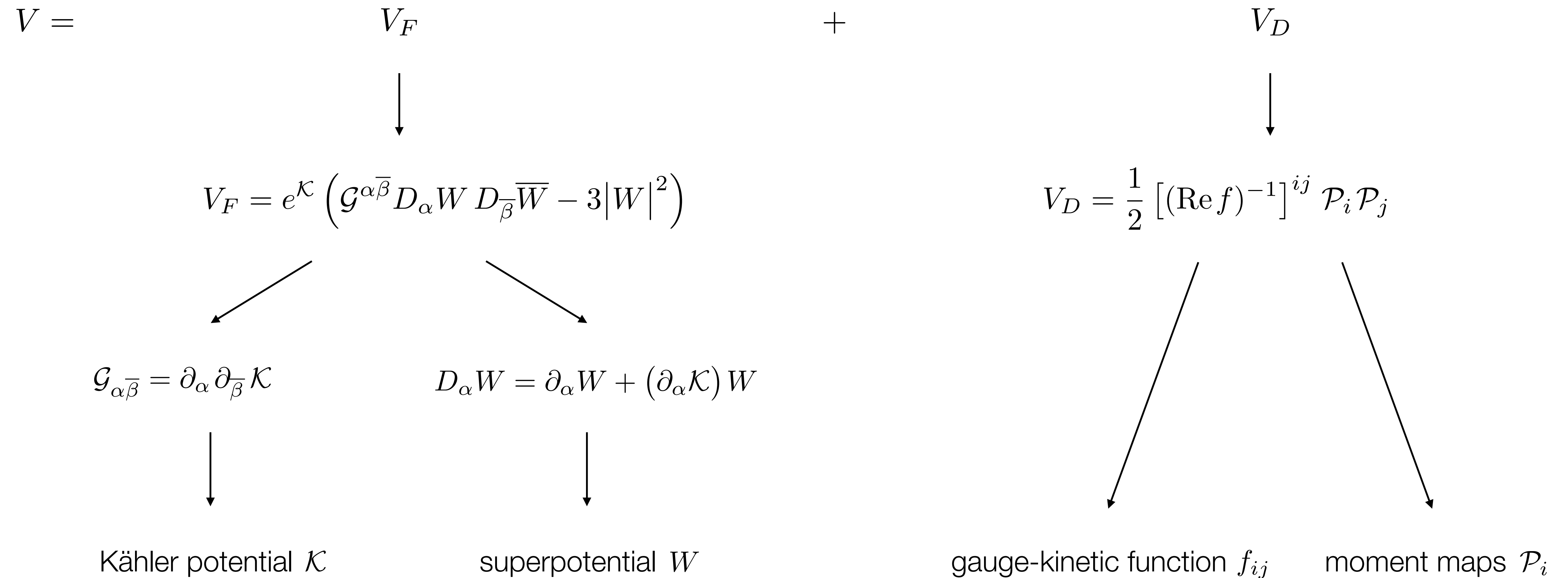


$CY_3 + \text{fluxes } H, f, Q, R$



- potential generated by fluxes
- **massive** scalar fields  $T^a, U^A, S$

The **scalar potential** in 4D (for a  $N=1$  supergravity theory) is in general given by



For type IIB compactifications on Calabi-Yau orientifolds  $\mathcal{M}$ , fluxes contribute to the **superpotential** ::

$$W = \int_{\mathcal{M}} \Phi^- \wedge (F_3 + \mathcal{D}\Phi^+)$$

- $\Phi^-$  depends on  $U^A$ ,
- $\Phi^+$  depends on  $T^a, S$ ,
- $F_3$  is a R-R flux,
- $\mathcal{D}$  is a **twisted differential**.

The twisted differential  $\mathcal{D}$  contains the NS-NS fluxes (derived via T-duality) ::

$$\mathcal{D} = d + H$$

For type IIB compactifications on Calabi-Yau orientifolds  $\mathcal{M}$ , fluxes contribute to the **superpotential** ::

$$W = \int_{\mathcal{M}} \Phi^- \wedge (F_3 + \mathcal{D}\Phi^+)$$

- $\Phi^-$  depends on  $U^A$ ,
- $\Phi^+$  depends on  $T^a, S$ ,
- $F_3$  is a R-R flux,
- $\mathcal{D}$  is a **twisted differential**.

The twisted differential  $\mathcal{D}$  contains the NS-NS fluxes (derived via T-duality) ::

$$\mathcal{D} = D + H - F + Q - R$$

$$H = \frac{1}{6} H_{ijk} dx^i \wedge dx^j \wedge dx^k,$$

$$F = \frac{1}{2} F_{ij}{}^k dx^i \wedge dx^j \wedge \iota_k,$$

$$Q = \frac{1}{2} Q_i{}^{jk} dx^i \wedge \iota_j \wedge \iota_k,$$

$$R = \frac{1}{6} R^{ijk} \iota_i \wedge \iota_j \wedge \iota_k.$$

The fluxes in the twisted differential can be interpreted as **operators**

$$\mathcal{D} = d + H - F + Q - R,$$

$$\begin{aligned} H &: p\text{-form} \rightarrow (p+3)\text{-form}, \\ F &: p\text{-form} \rightarrow (p+1)\text{-form}, \\ Q &: p\text{-form} \rightarrow (p-1)\text{-form}, \\ R &: p\text{-form} \rightarrow (p-3)\text{-form}. \end{aligned}$$

Aldazabal, Camara, Font, Ibanez - 2006  
Villadoro, Zwirner - 2006  
Shelton, Taylor, Wecht - 2006

When requiring nil-potency  $\mathcal{D}^2 = 0$ , **Bianchi identities** for the fluxes can be derived.

Shelton, Taylor, Wecht - 2006  
Robins, Wrase - 2007

- Summary ::
- Non-geometric fluxes are a natural part of string-theory compactifications.
  - T-duality ([mirror symmetry](#)) requires geometric and non-geometric fluxes.
  - Fluxes give [masses](#) to [scalar fields](#).

1. introduction
2. non-geometry
3. developments
  - a) non-geometric fluxes
  - b) non-commuting structures
  - c) compactifications
  - d) outlook
4. conclusions

## Outlook ::

- **Non-commutative & non-associative** structures.

Blumenhagen, Plauschinn - 2010

Lüst - 2010

Mylonas, Schupp, Szabo - 2012

- **Moduli stabilization** and **inflation**.

Shelton, Taylor, Wecht - 2006

e.g. Blumenhagen, Herschmann, Plauschinn - 2014

- Origin for **gauged supergravity** theories.

Grana, Louis, Waldram - 2005

Cassani - 2008

- Description via **generalized & doubled geometry**.

Hull - 2004

Grana, Minasian, Petrini, Waldram - 2008

- More general non-geometric torus-fibrations.

Hellermann, McGreevy, Williams - 2002

de Boer, Shigemori - 2012

Lüst, Massai, Vall-Camell - 2015

- ...

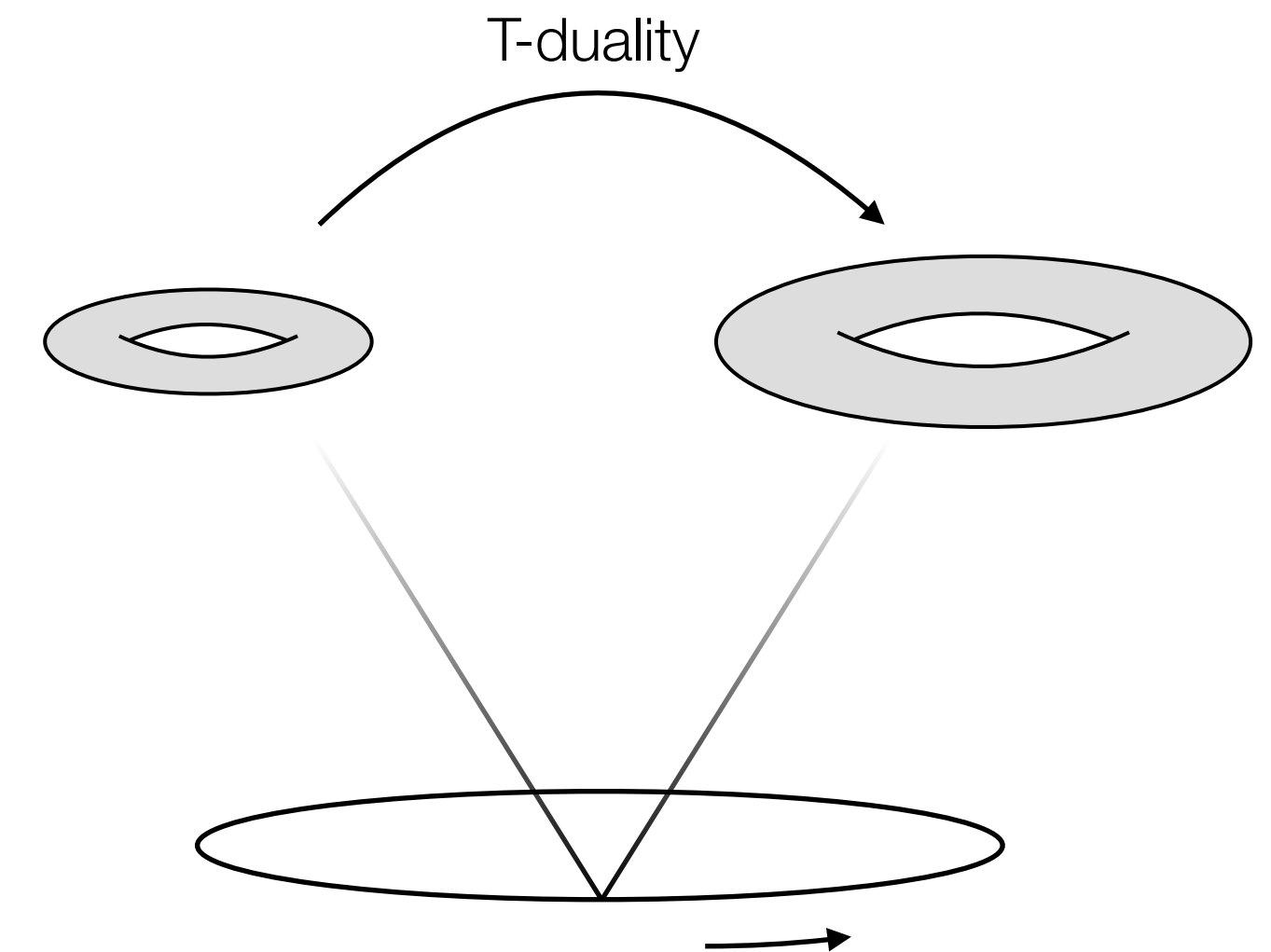


1. introduction
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- String theory ::
- String theory is a theory of **quantum gravity** including gauge interactions.
  - The theory features a large number of dualities — including **T-duality**.
  - For a description of our universe, need to **compactify**.

### Non-geometry ::

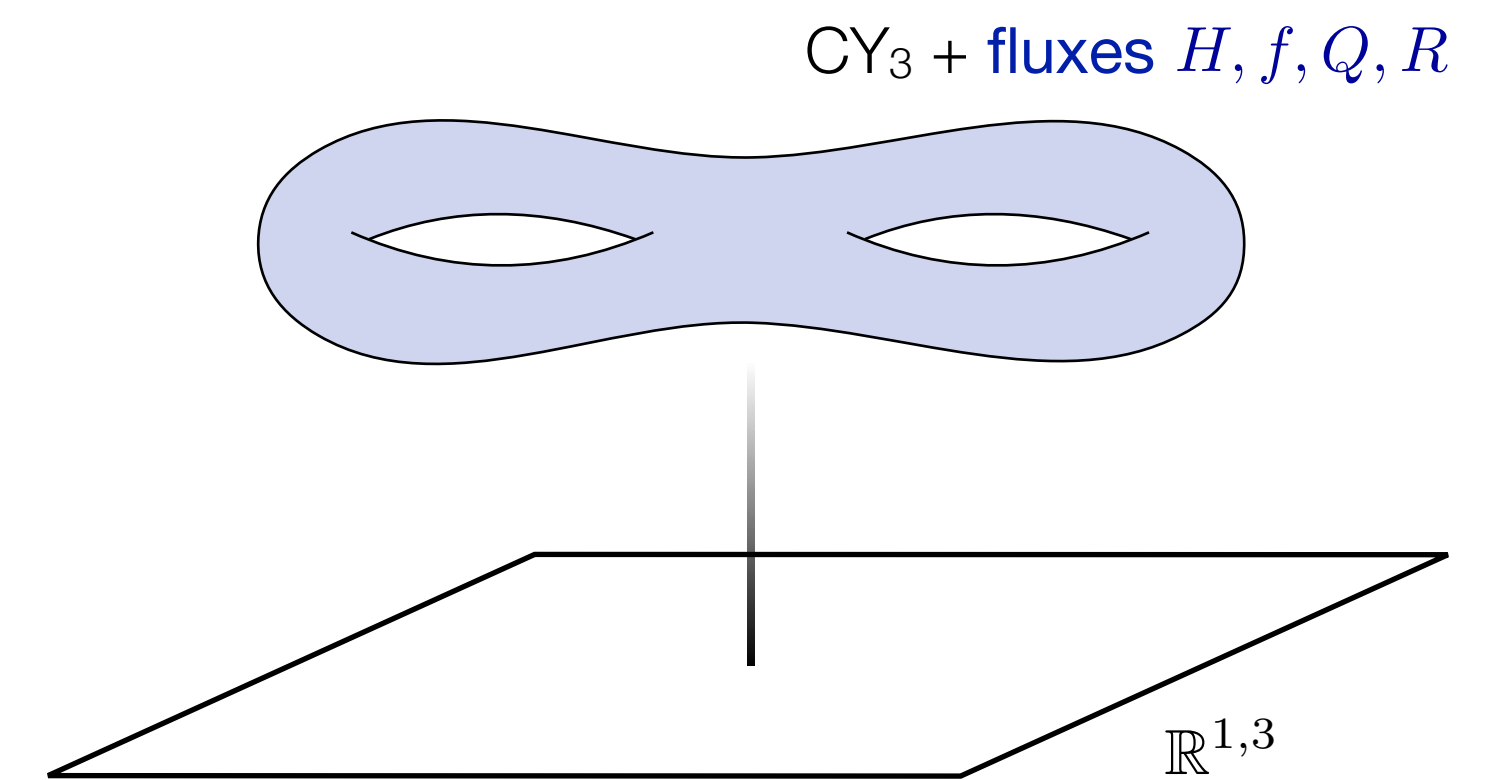
- Non-geometric backgrounds are **well-defined** using **T-duality** transformations.
- Such spaces are **natural** in string theory, but inconsistent for point particles.
- The non-triviality of such backgrounds is encoded in **non-geometric fluxes**.



- Non-geometric fluxes give rise non-commutative & **non-associative** structures.

## Compactification ::

- Non-geometric backgrounds are examples for **compactification** spaces.
- Fluxes generate **masses** for scalar fields to match with experimental constraints.



- **T-duality** (mirror symmetry) relates different compactifications to each other.