



Calorimetry

Fundamentals Challenges for High Lumi LHC



Why calorimeters?

Neutral **and** charged particles incident on a block of material deposit their energy through generation of a shower of particles

The deposited energy is made measurable by ionization or excitations of the atoms of specific active medium(s)

The active medium can be the block itself (**totally active or homogenous calorimeters**) or sandwich of *dense absorbers* and *active planes* (**sampling calorimeters**)

The device is built such that the measurable signal is proportional to the incident particle energy

Besides estimating the energy of the incident particle, calorimeters are also used to **identify the nature of particles** (electron/photons vs hadrons)

Note: *calorimeter* is a misnomer (*Energy-meter* would be more appropriate)

ΔT for 1 litre of water at 20°C from energy deposition of:

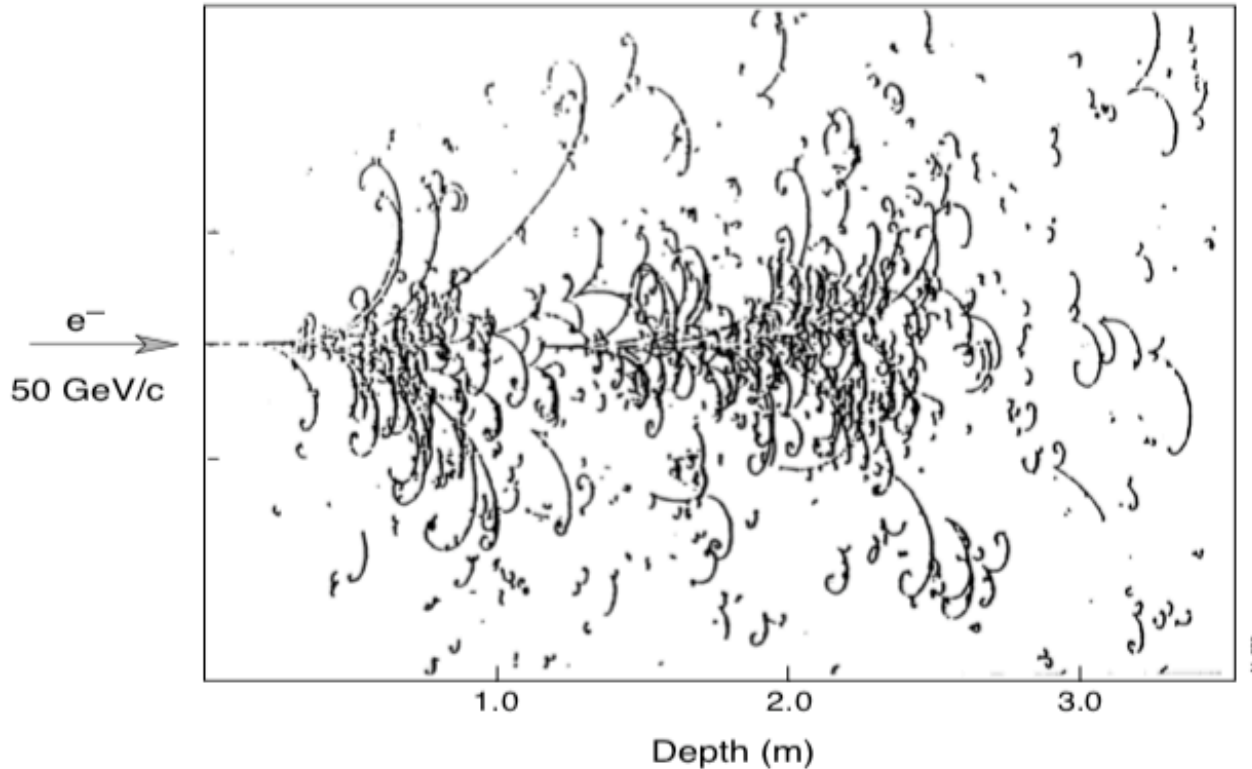
- 1 GeV particle = 3.8×10^{-14} K
- All 13 TeV from 1 LHC pp collision = 5.5×10^{-10} K



The ideal calorimeter

Ultimate granularity allowing to SEE the shower details

**Big European Bubble Chamber filled with Ne:H₂ = 70%:30%,
3T Field, L=3.5 m, X₀≈34 cm, 50 GeV incident electron**

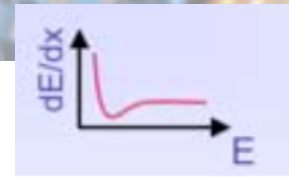
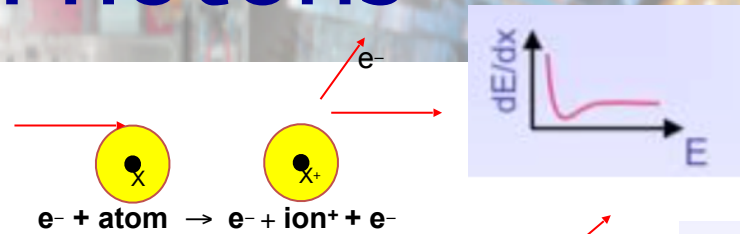




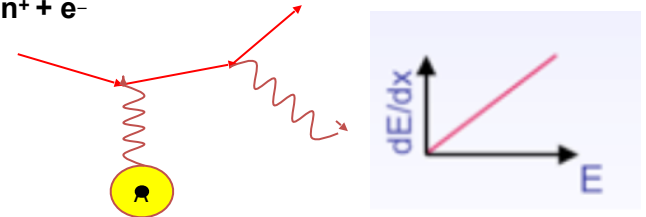
Electrons & Photons

- Electrons

- Ionization (atomic electrons)
- Bremsstrahlung (nuclear)

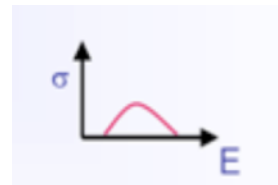
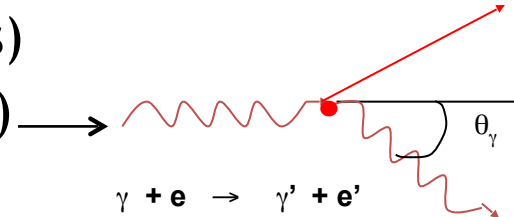
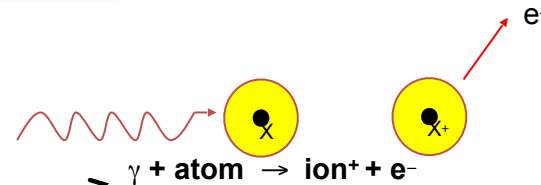


At high E, bremsstrahlung dominates

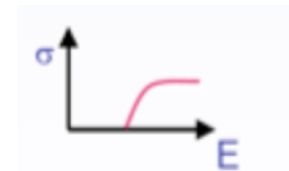
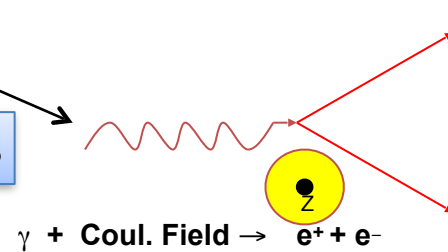


- Photons

- Photoelectric effect (atomic electrons)
- Compton scattering (atomic electrons)
- Pair-production (nucleus + electrons)



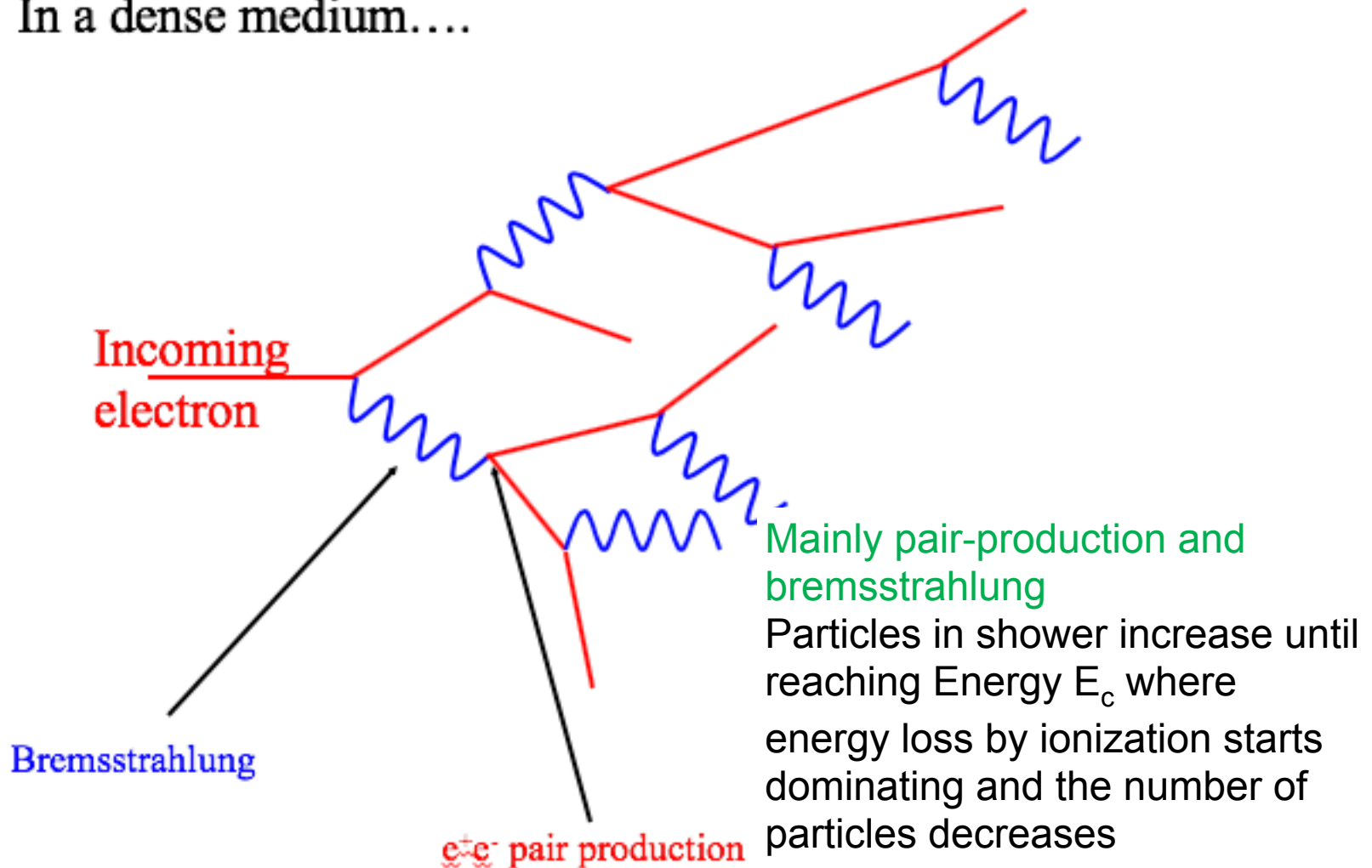
At high E, pair-production dominates





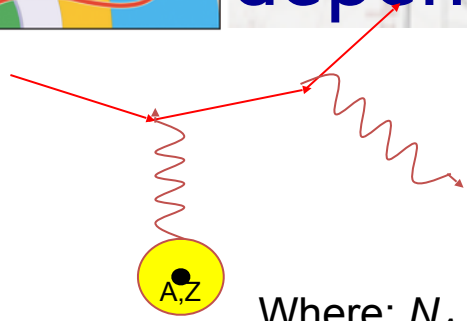
Electromagnetic shower

In a dense medium....





Energy loss through bremsstrahlung depends on particle, energy & material



$$-\left. \frac{dE}{dx} \right|_{Brems} = 4\alpha N_A \left(\frac{e^2}{mc^2} \right)^2 \ln \frac{183 Z(Z+1)}{Z^{1/3} A} Q^2 E$$

Where: N_A , α are Avogadro's number and the fine-structure constant
 m , Q are the mass and charge of the particle (e.g. electron, muon)
 A , Z = mass number and atomic number of the material

For electrons:

$$-\left. \frac{dE}{dx} \right|_{Brems} = \frac{1}{X_0} E$$

$$E(x) = E_0 e^{-x/X_0}$$

X_0 = thickness of material that reduces the mean energy of an electron by a factor e (2.718)
 \rightarrow **radiation length** of the material

n.b. : $\left. \frac{dE}{dx} \right|_{\mu} / \left. \frac{dE}{dx} \right|_e = (m_e / m_{\mu})^2 \sim 1/43000$



Note about Radiation Length

- The radiation length of material is a critical parameter when dealing with interactions of high energy EM particles... it is less relevant if one deals with low energy
 - 15cm of Lead contain a 20 Gev γ shower
 - Needs more to ‘contain/shield’ a 1Curie CO^{60} source (1MeV γ)
- Low energy gammas can travel many radiation length in High Z material



EM Shower development: longitudinal

After t generations,

$$\text{energy of particles } e(t) = \frac{E}{2^t}$$

$$\text{number of particles } n(t) = 2^t$$

At shower max. where $e \sim \epsilon$

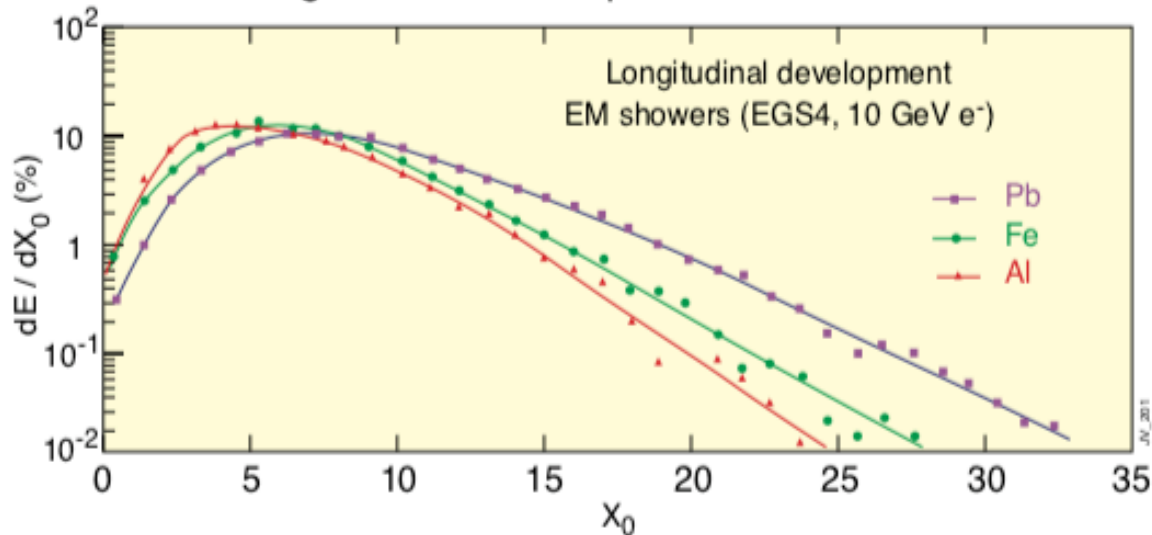
$$\text{no. of particles } n(t_{\max}) \approx \frac{E}{\epsilon} = y$$

$$\text{and } t_{\max} \approx \ln \frac{E}{\epsilon} = \ln y$$

After shower maximum

remaining energy is carried forward by photons giving the typical exponential falloff

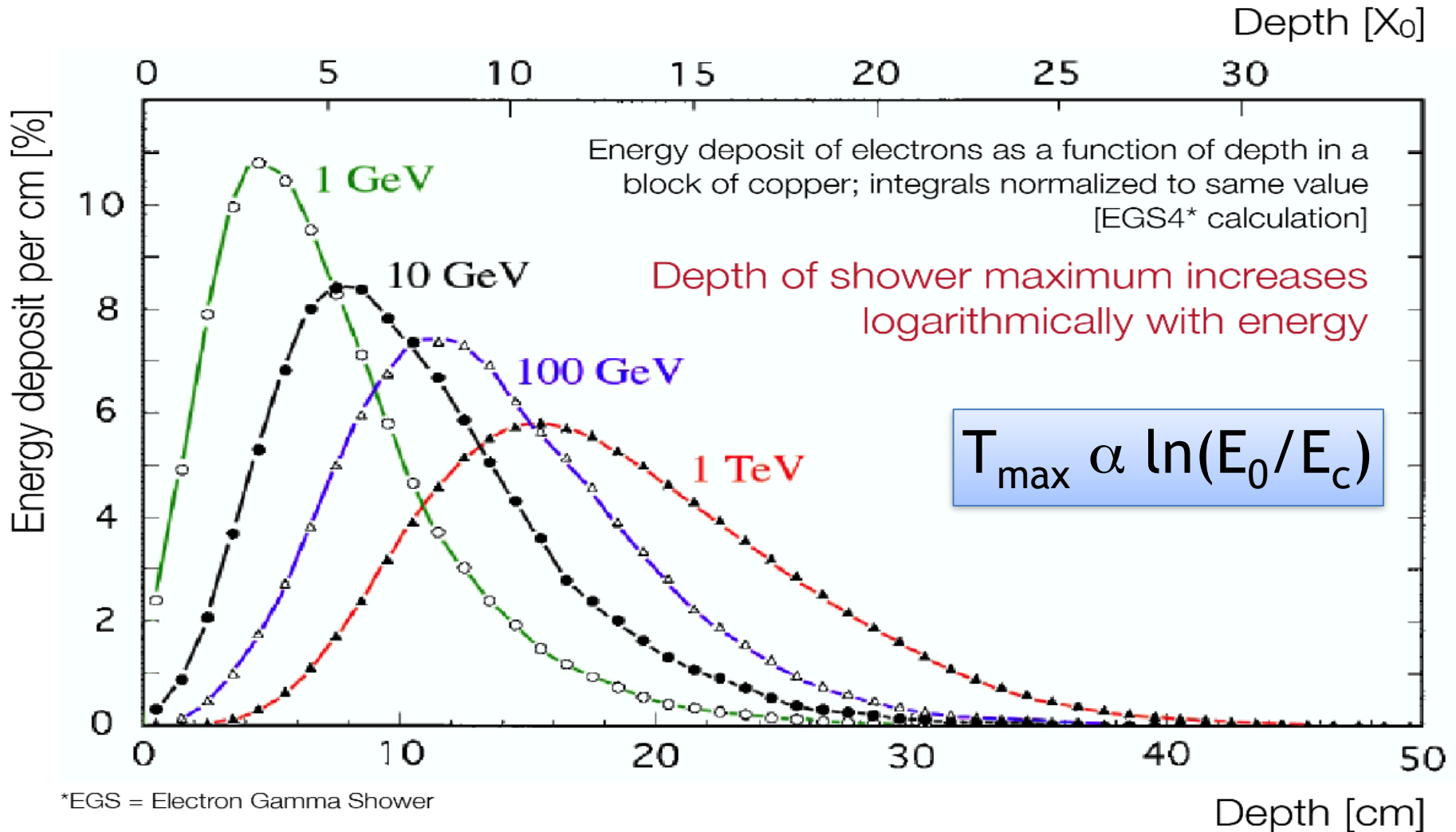
Longitudinal Development EM Shower



Need a depth of > 25 X₀ to contain high energy em showers



Length of EM calorimeter scales like $\log E$



*EGS = Electron Gamma Shower

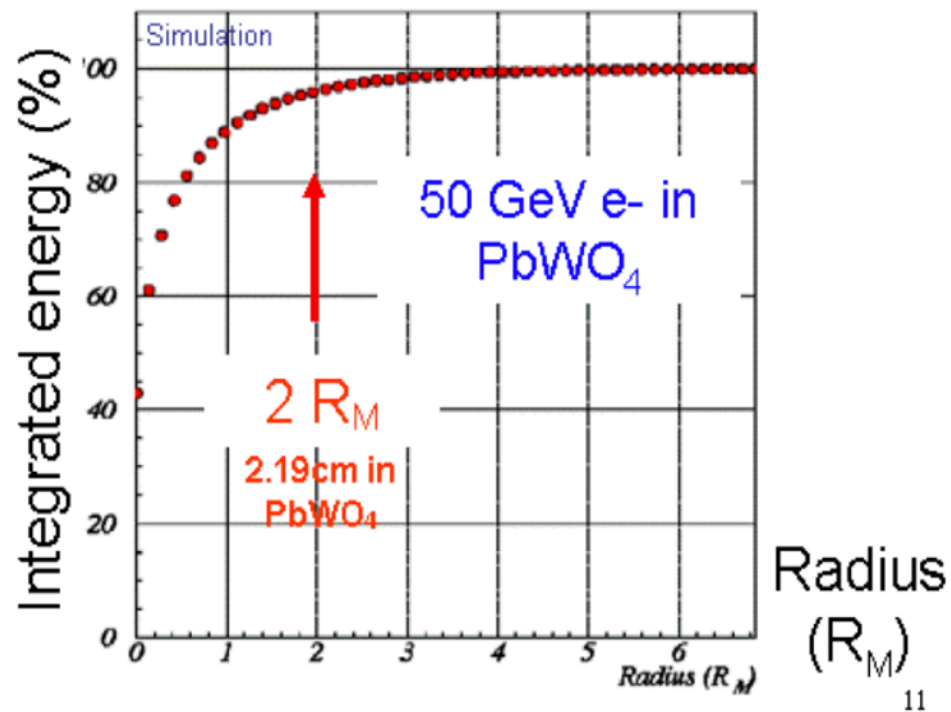
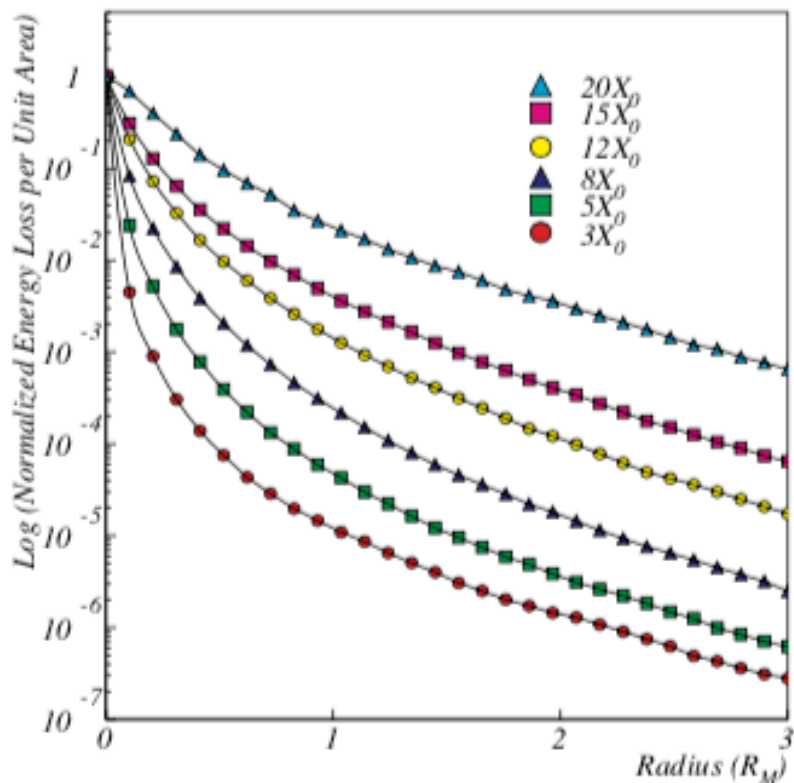
For good em shower containment, need about 25 X₀ of material



EM Shower development: lateral

The lateral spread of an e.m. shower is determined by multiple scattering of e^\pm away from the shower axis and by minimally attenuated photons

50 GeV electrons in PbWO_4



An infinite cylinder with a radius of $2R_M$ contains $\approx 95\%$ of the shower energy.



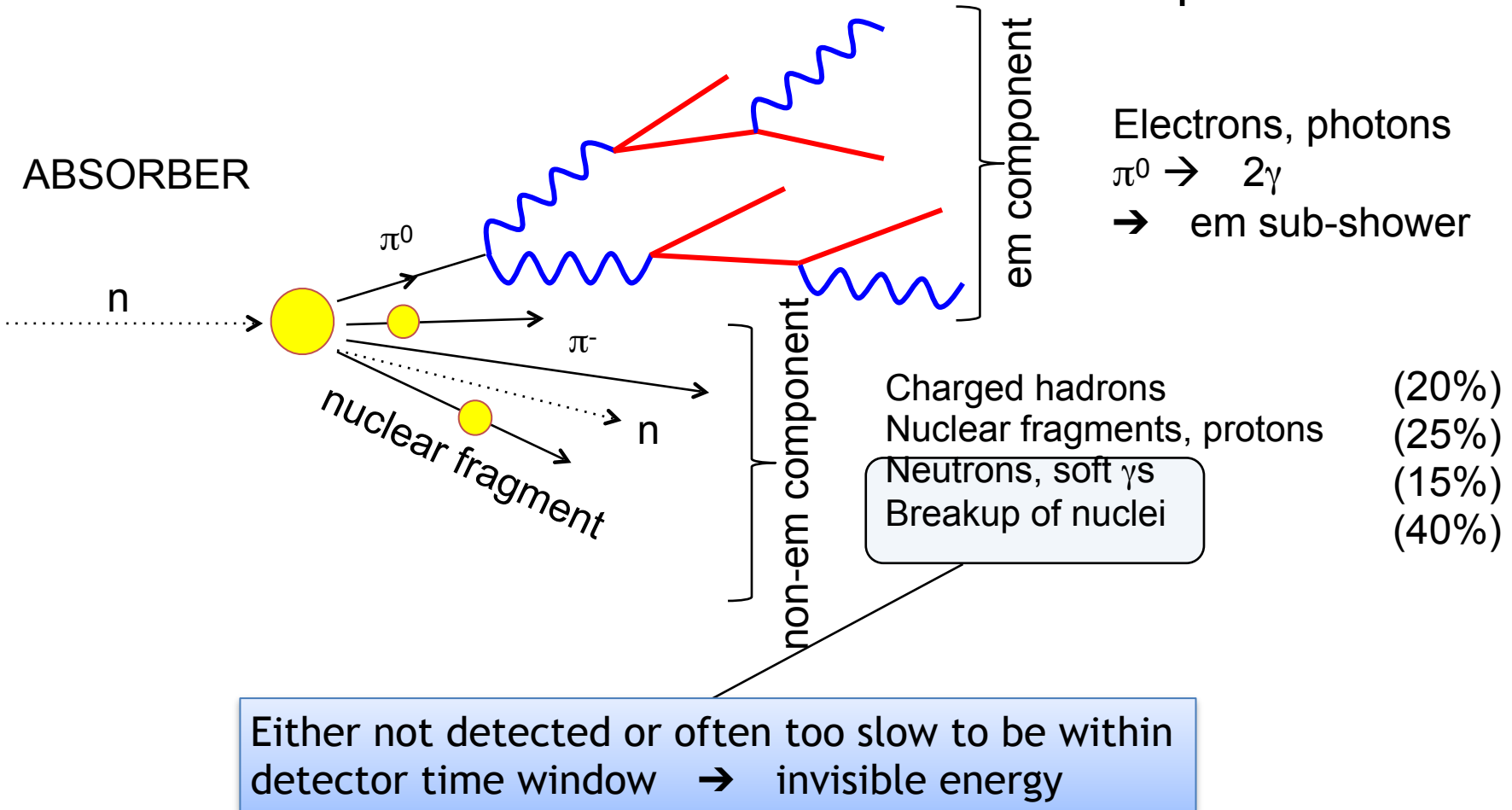
Radiation length & Molière radius

| Material | Z | A | Density (g/cm ³) | X ₀ (cm) | R _M (cm) |
|-----------|----|-----|------------------------------|---------------------|---------------------|
| Carbon | 6 | 12 | 2.27 | 18.8 | 5.01 |
| Aluminium | 13 | 27 | 2.7 | 8.9 | 4.42 |
| Silicon | 14 | 28 | 2.33 | 9.36 | 4.94 |
| Iron | 26 | 56 | 7.87 | 1.76 | 1.72 |
| Copper | 29 | 64 | 8.96 | 1.43 | 1.57 |
| Tungsten | 74 | 184 | 19.3 | 0.35 | 0.93 |
| Lead | 82 | 207 | 11.35 | 0.56 | 1.60 |
| Uranium | 92 | 238 | 18.95 | 0.32 | 1.00 |



Hadronic calorimeters

A complicated story: a shower of particles with quarks contains both Em and nuclear/hadron components





Features of an hadronic shower

Strong interactions are responsible of shower development: simulations are more difficult than for EM, a high energy hadron striking the calorimeter material provokes multiparticle production (e.g. π^\pm , π^0 , K etc.)

Nuclei breakup lead to spallation Neutrons

Multiplication continues until the pion production threshold $E_{th} = 2m_\pi = 0.28\text{GeV}$

Simple model yields interaction cross section: $\sigma_{int} = \sigma_0 A^{2/3}$

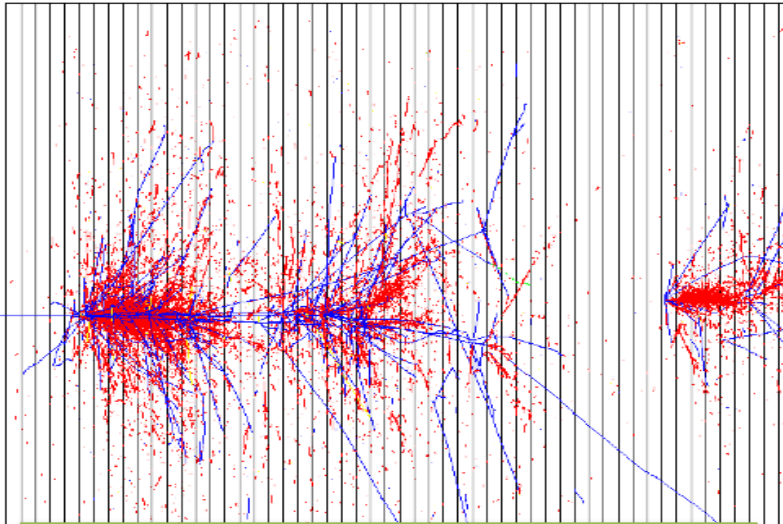
Where $\sigma_0 = 35 \text{ mb}$ and A is the material atomic number

Define
$$\lambda_{int} = \frac{A}{N_A \sigma_{int}} \propto A^{1/3} \quad \lambda \sim 35 A^{1/3} \text{ gcm}^2$$

Cascade particle have a limited transverse momentum $\langle p_T \rangle \sim 300\text{-}400 \text{ MeV}$



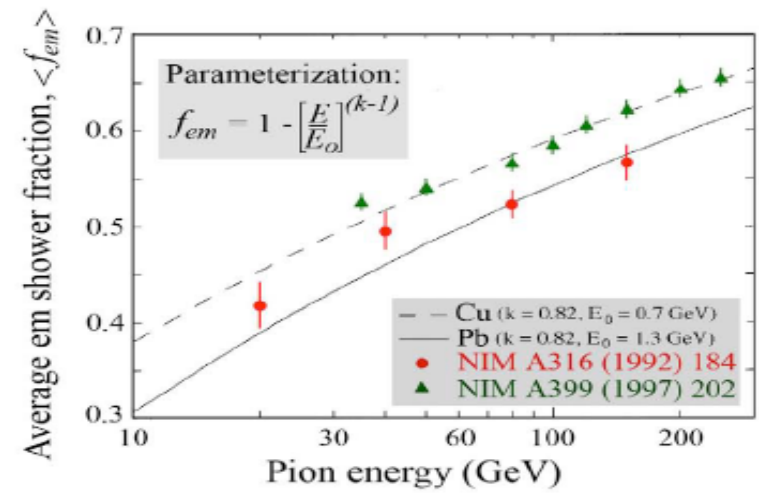
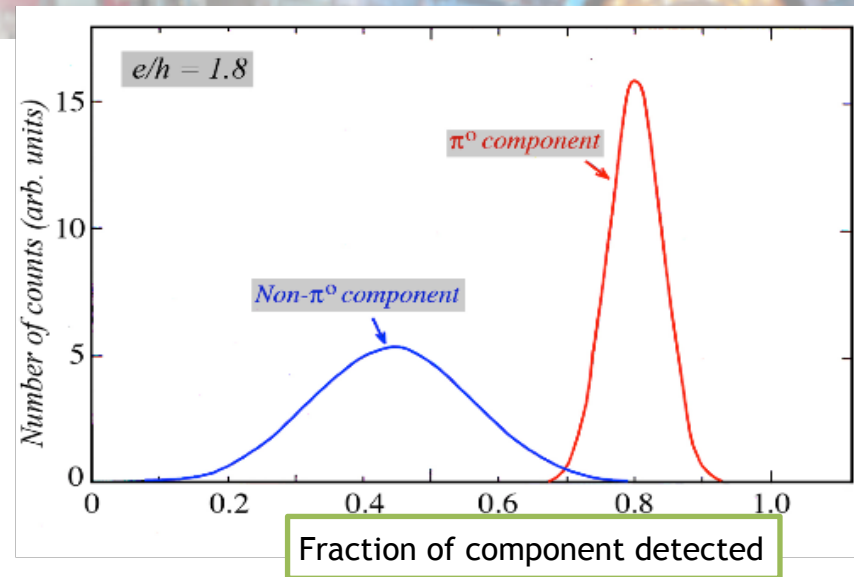
Response to EM and hadron component is different



Electromagnetic component
 Non-em component (charged)

Fraction of non-em component (“h”) detected is far lower than for the em-component (“e”): $e/h > 1$ for most detectors. This leads to:

- Non-linearities
- Non-Gaussian response
- Relatively poor energy



em fraction is large & varies with energy & fluctuates with non-Gaussian tails

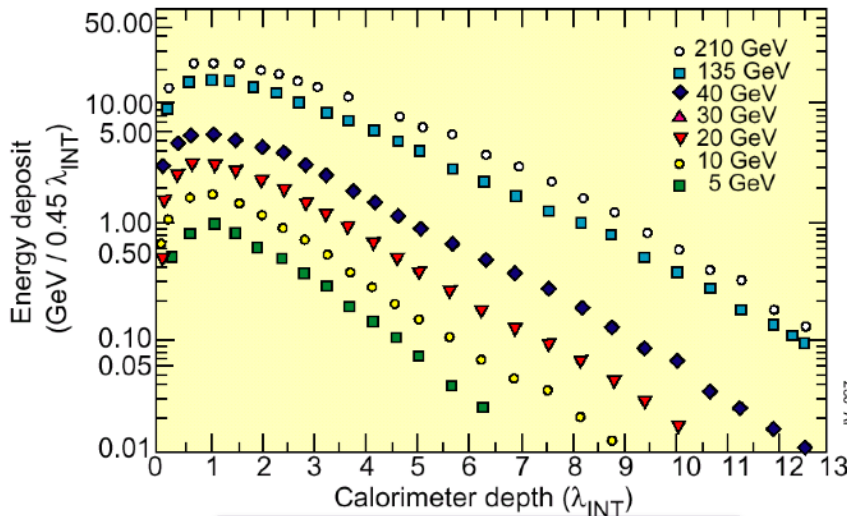


Hadron calorimeter: containment

Sharp core due to π^0 in first λ_{int} then falloff with λ_{int} scale

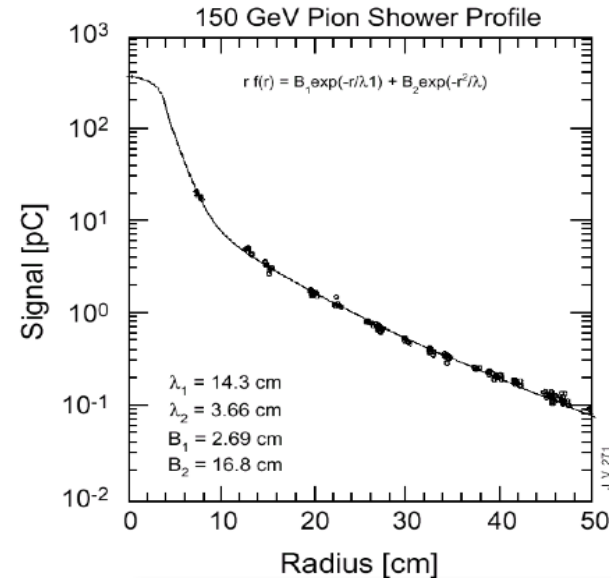
Pronounced core due to π^0
Characteristic transverse scale 1 λ_{int}

WA78 : 5.4 λ of 10mm U / 5mm Scint + 8 λ of 25mm Fe / 5mm Scint



Longitudinal development

10 λ_{int} needed to contain 99% of 200 GeV pion



Transverse development

Transverse radius to contain 95% of shower is $\sim 1 \lambda_{\text{int}}$



Interaction length and other characteristics

| Material | Z | A | Density (g/cm ³) | X ₀ (cm) | λ _{INT} (cm) |
|-----------|----|-----|------------------------------|---------------------|-----------------------|
| Carbon | 6 | 12 | 2.27 | 18.8 | 38 |
| Aluminium | 13 | 27 | 2.7 | 8.9 | 39.4 |
| Silicon | 14 | 28 | 2.33 | 9.36 | 45.5 |
| Iron | 26 | 56 | 7.87 | 1.76 | 16.8 |
| Copper | 29 | 64 | 8.96 | 1.43 | 15.1 |
| Tungsten | 74 | 184 | 19.3 | 0.35 | 9.6 |
| Lead | 82 | 207 | 11.35 | 0.56 | 17.1 |
| Uranium | 92 | 238 | 18.95 | 0.32 | 10.5 |

As X_0 is smaller than λ_{INT} , electromagnetic calorimeters are placed in front of hadron calorimeters



The goods of calorimeters

Primary objective is to measure the energy of incoming particles as accurately as possible - both charged and neutral (including neutrinos through missing E)

Can also measure:

- Position
- Angle of incidence
- Arrival time

Unlike trackers, E resolution improves with increasing E

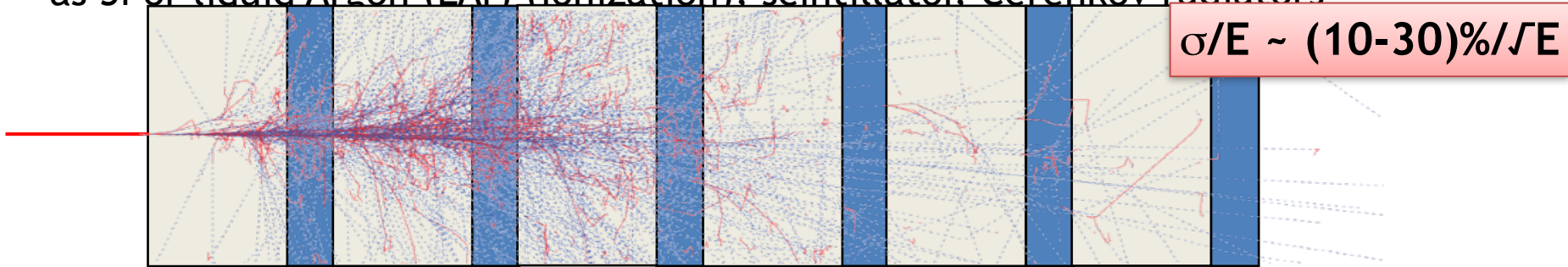
Calorimeter signals can be fast: provide triggering information



Two main types of calorimeter: Sampling and Homogeneous

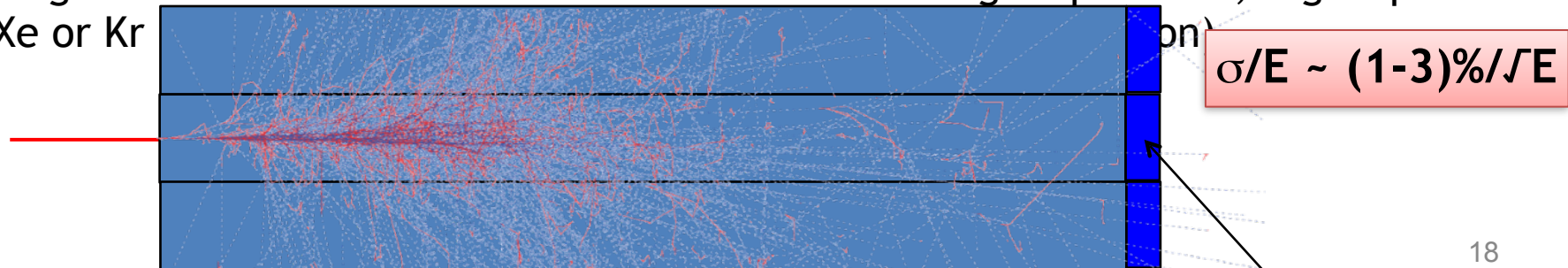
Sampling Calorimeter (all hadron calo are sampling)

Layers of passive 'absorber' (e.g. Pb, Cu, W) alternate with active layers, such as Si or liquid Argon (LAr) (ionization), scintillator, Cerenkov radiators



Homogeneous Calorimeter

Single dense medium serves as both absorber and signal producer, e.g. liquid Xe or Kr



Light detector, e.g. PMT, APD, VPT



Energy resolution of calorimeters

Ideally, if all shower particles were counted: $E \sim N$, $\sigma \sim \sqrt{N} \sim \sqrt{E}$

In practice: other effects. For EM calorimeters:

$$\frac{\sigma(E)}{E} = \frac{a}{\sqrt{E}} \oplus \frac{b}{E} \oplus c$$

a: stochastic term

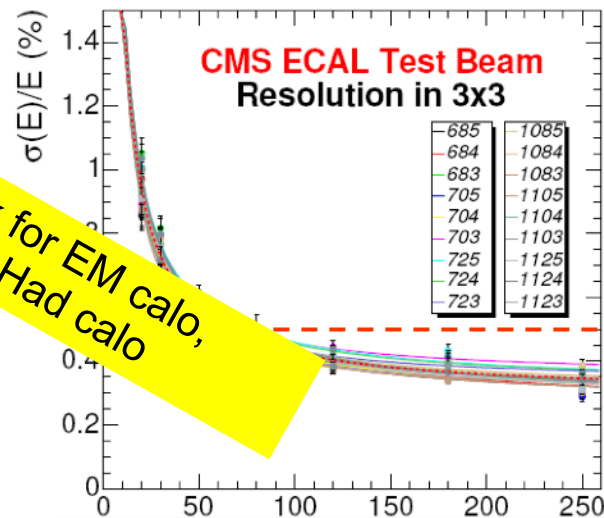
- intrinsic statistical shower fluctuations
- sampling fluctuations
- signal quantum fluctuations (e.g. photo-statistics)

b: noise term

- readout electronics noise
- radioactivity, pileup fluctuations

c: constant term

- inhomogeneities (hardware or calibration)
- imperfections in calorimeter construction (gaps, dimensions variations etc.)
- non-linearity of readout electronics
- fluctuations in longitudinal energy containment
- fluctuations in energy lost in material upstream (or within) the calorimeter



For 9 PbWO₄ crystals tested @ CERN

The tolerable value of the 3 terms depends on the energy range of interest.
 Such parametrisations allow the identification of the causes of resolution degradation.
Quadratic summation implies independent contributions which may not be the case.



Hadronic calo energy resolution

Response of a hadronic sampling calo

$$E = E_{em} + E_{ch} + E_n + E_{nucl}$$

E_i - energy deposited by i th component

E_{em} - em component (π^0 s)

E_{ch} - charged pions or protons

E_n - low energy neutrons

E_{nucl} - energy lost in breaking nuclei (binding energy)

$$E_{vis} = eE_{em} + \pi E_{ch} + nE_n + NE_{nucl}$$

N is normally v. small but E_{nucl} can be large ($\sim 40\%$ in Pb)

Fluctuations in the visible energy have two sources

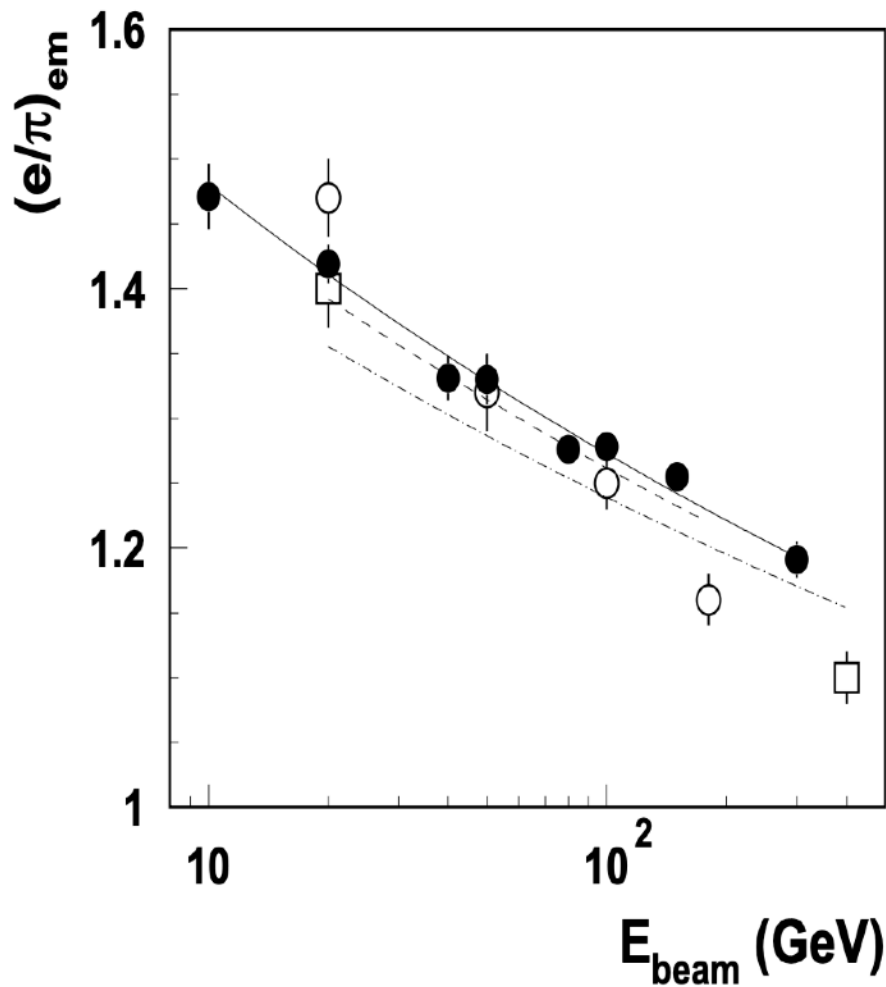
- *sampling fluctuation* as in em case
- *intrinsic fluctuation* in shower components (δE_{em} , δE_{ch} etc.)

Effectively the 'constant' term of the resolution function typically depends on E , i.e. $c(E)$ due to $e/h \neq 1$

The 'art' of hadron calorimetry is to try to 'compensate' e/h



Example e/h (liquid argon)

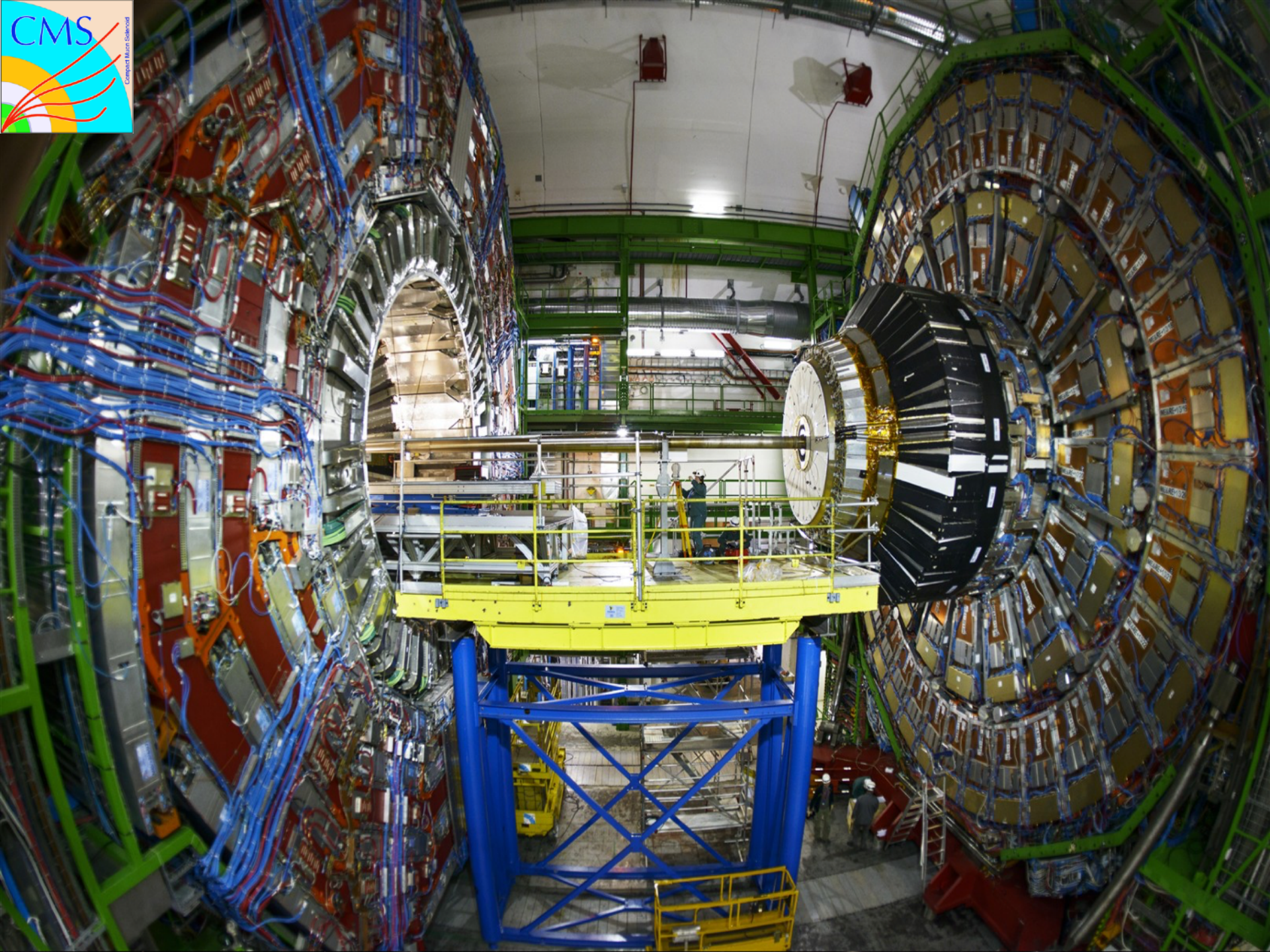


Atlas accordion calo
(hep-ex/0005029)



How calorimeters are used

Ex: CMS at LHC





The Giant CMS Detector

CMS DETECTOR

Total weight : 14,000 tonnes
 Overall diameter : 15.0 m
 Overall length : 28.7 m
 Magnetic field : 3.8 T

STEEL RETURN YOKE
 12,500 tonnes

SILICON TRACKERS

Pixel (100x150 μm) $\sim 16\text{m}^2 \sim 66\text{M}$ channels
 Microstrips (80x180 μm) $\sim 20\text{m}^2 \sim 9\text{M}$ channels

Total weight : 14,000 tonnes

Overall diameter : 15,0 m

Overall length : 28,7 m

Magnetic Field : 3.8 T

SUPERCONDUCTING SOLLINOID
 Niobium titanium coil carrying $\sim 18,000\text{A}$

MUON CHAMBERS

Bare 2.0 Drift Tube, 480 Resistive Plate Chambers
 Endcaps: 468 Cathode Strip, 432 Resistive Plate Chambers

PRESHOWER

Silicon strips $\sim 16\text{m}^2 \sim 137,000$ channels

FORWARD CALORIMETER

Steel + Quartz fibres $\sim 2,000$ Channels

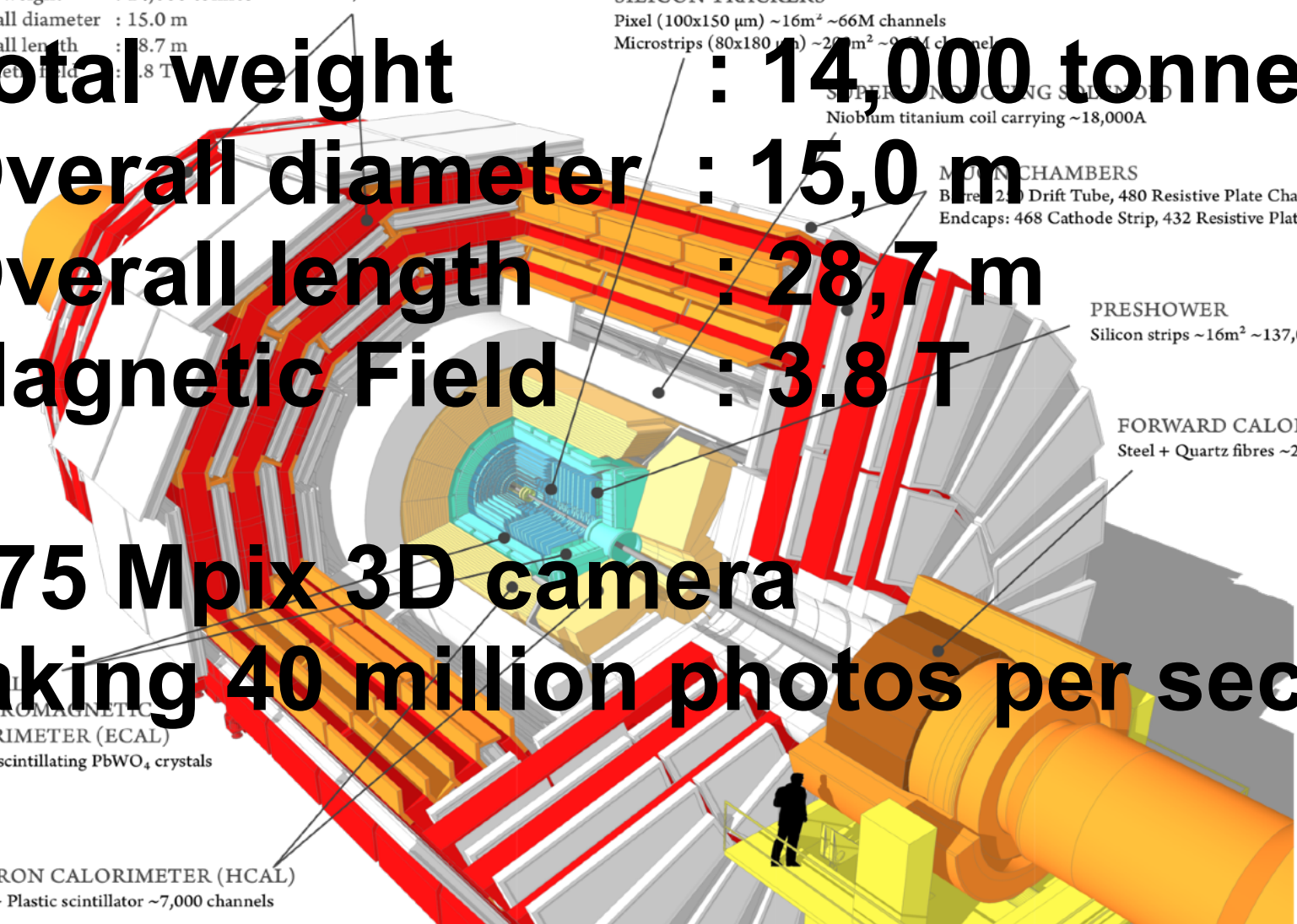
~ 75 Mpix 3D camera

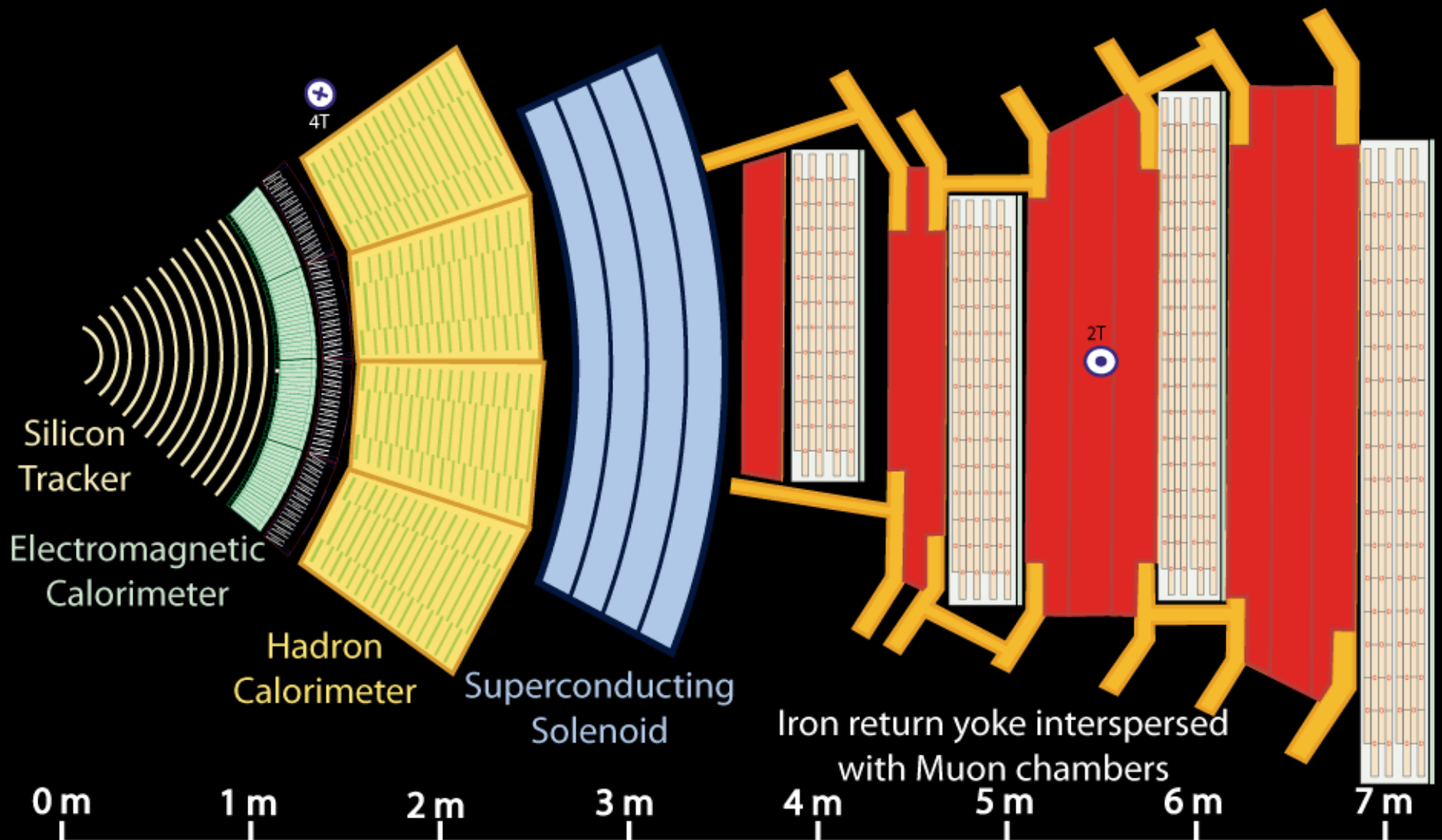
taking 40 million photos per second

CRYSTAL ELECTROMAGNETIC CALORIMETER (ECAL)
 $\sim 76,000$ scintillating PbWO_4 crystals

HADRON CALORIMETER (HCAL)

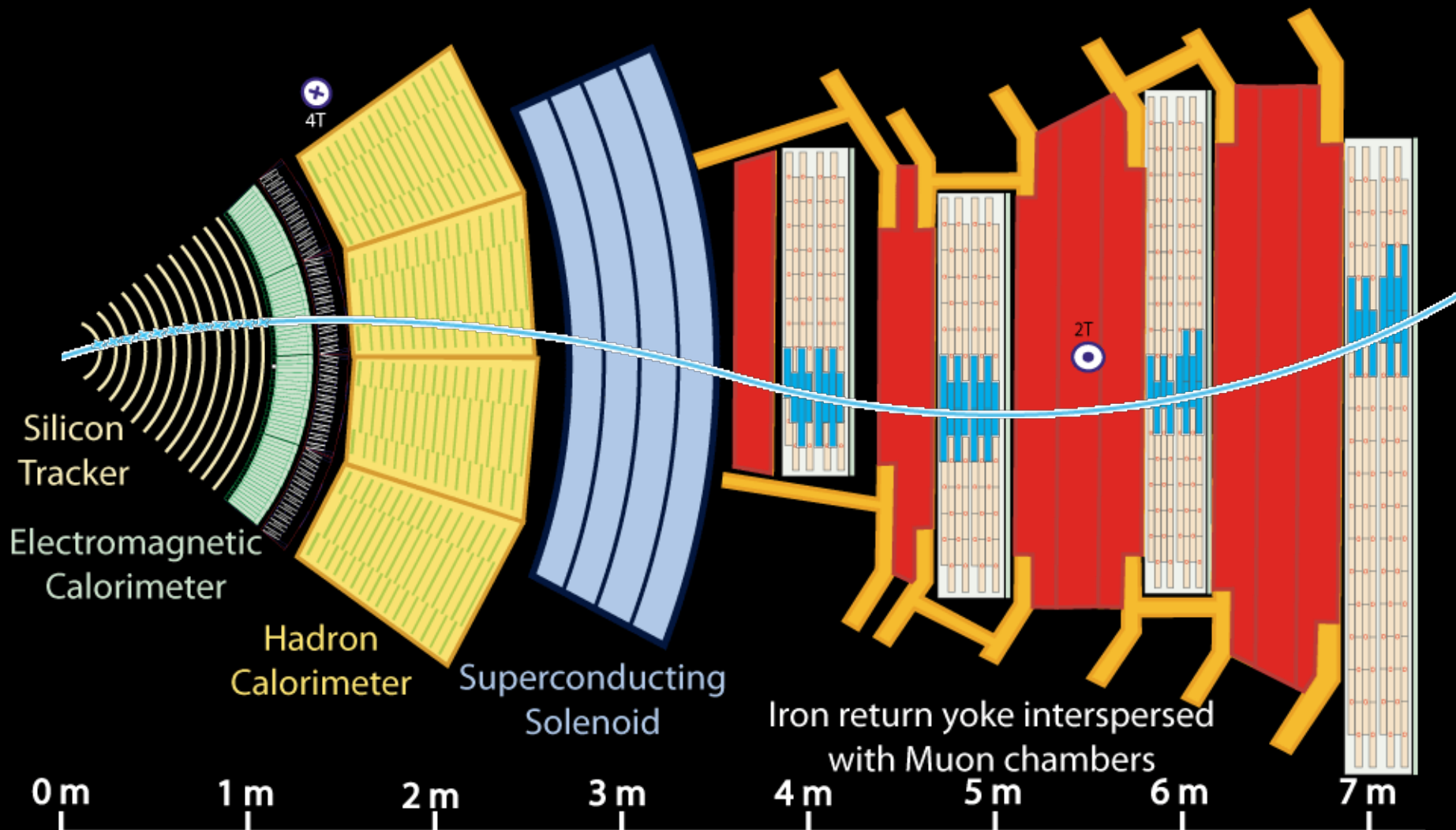
Brass + Plastic scintillator $\sim 7,000$ channels





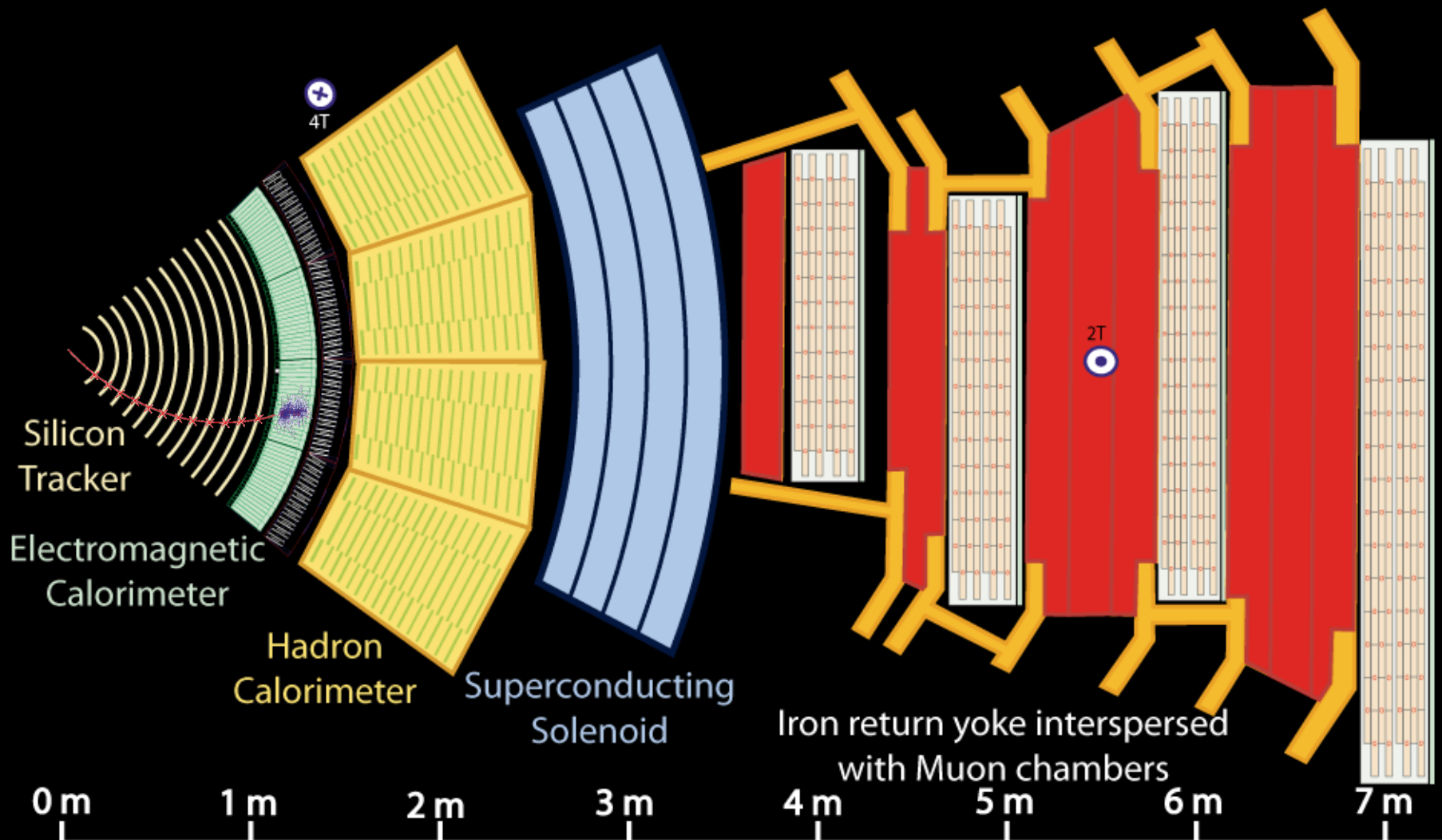
Key:

- Muon
- Electron
- Charged Hadron (e.g. Pion)
- - - Neutral Hadron (e.g. Neutron)
- - - Photon



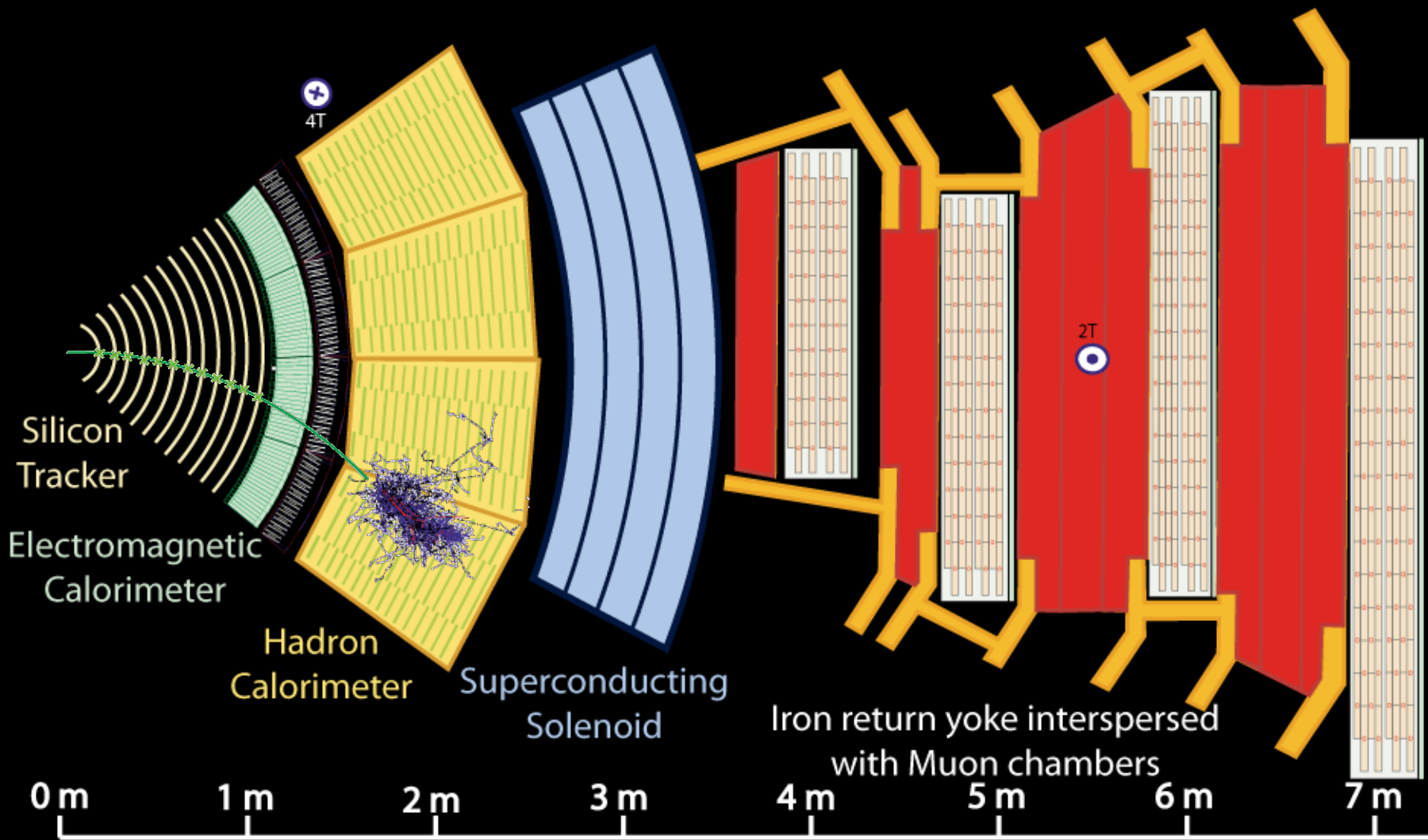
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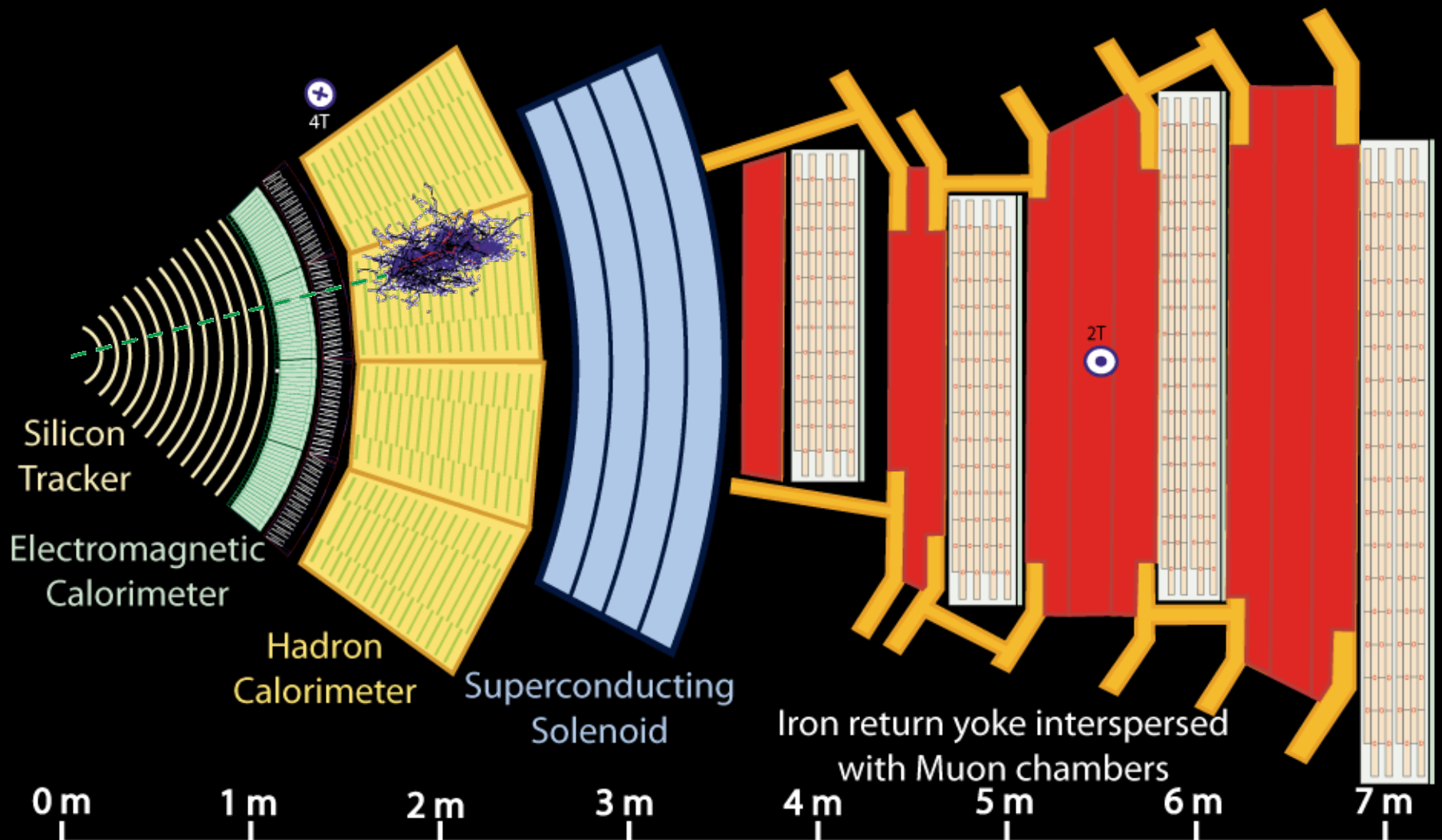
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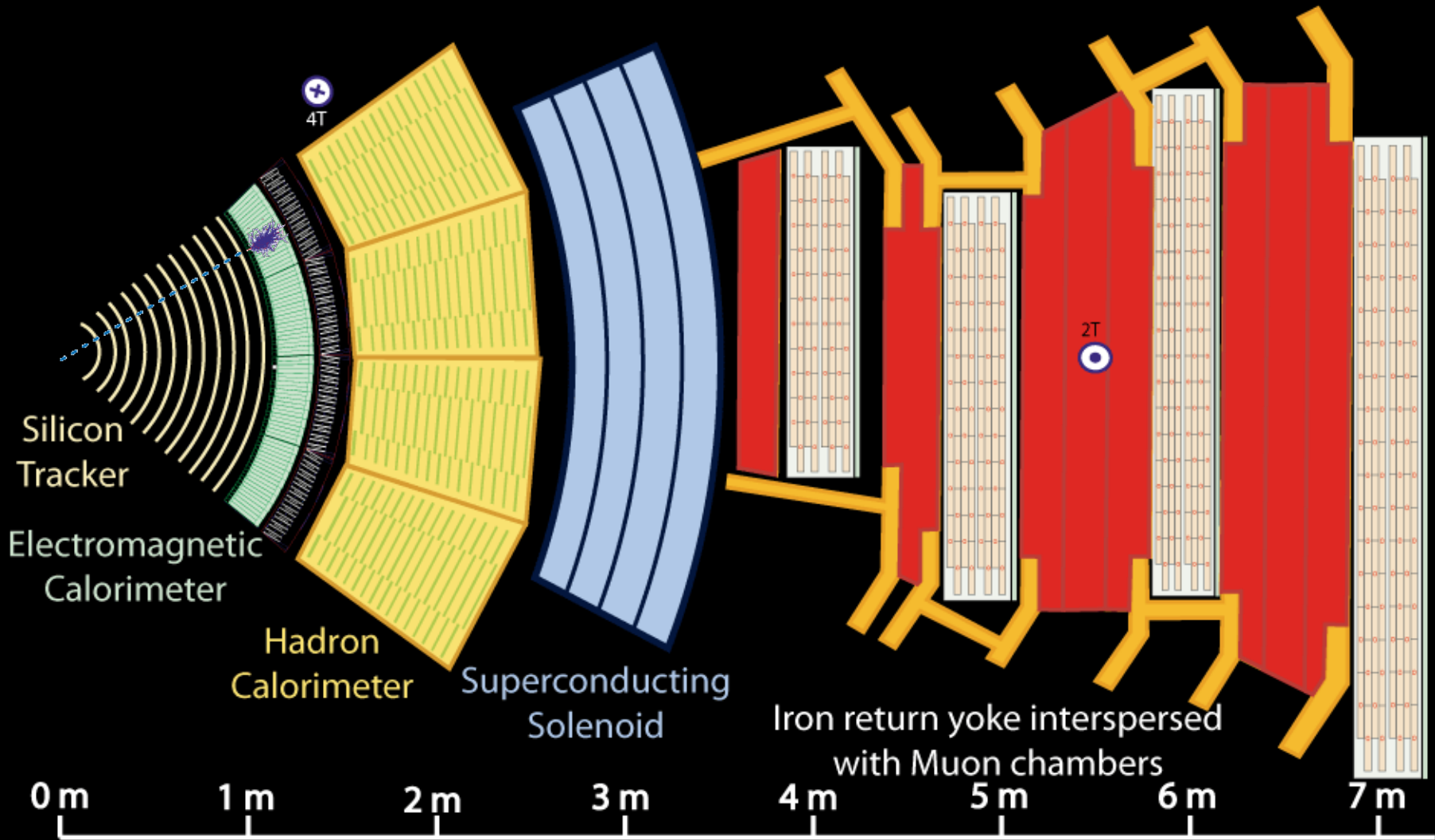
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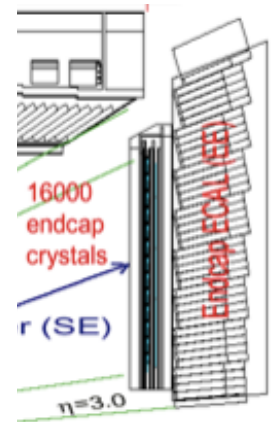
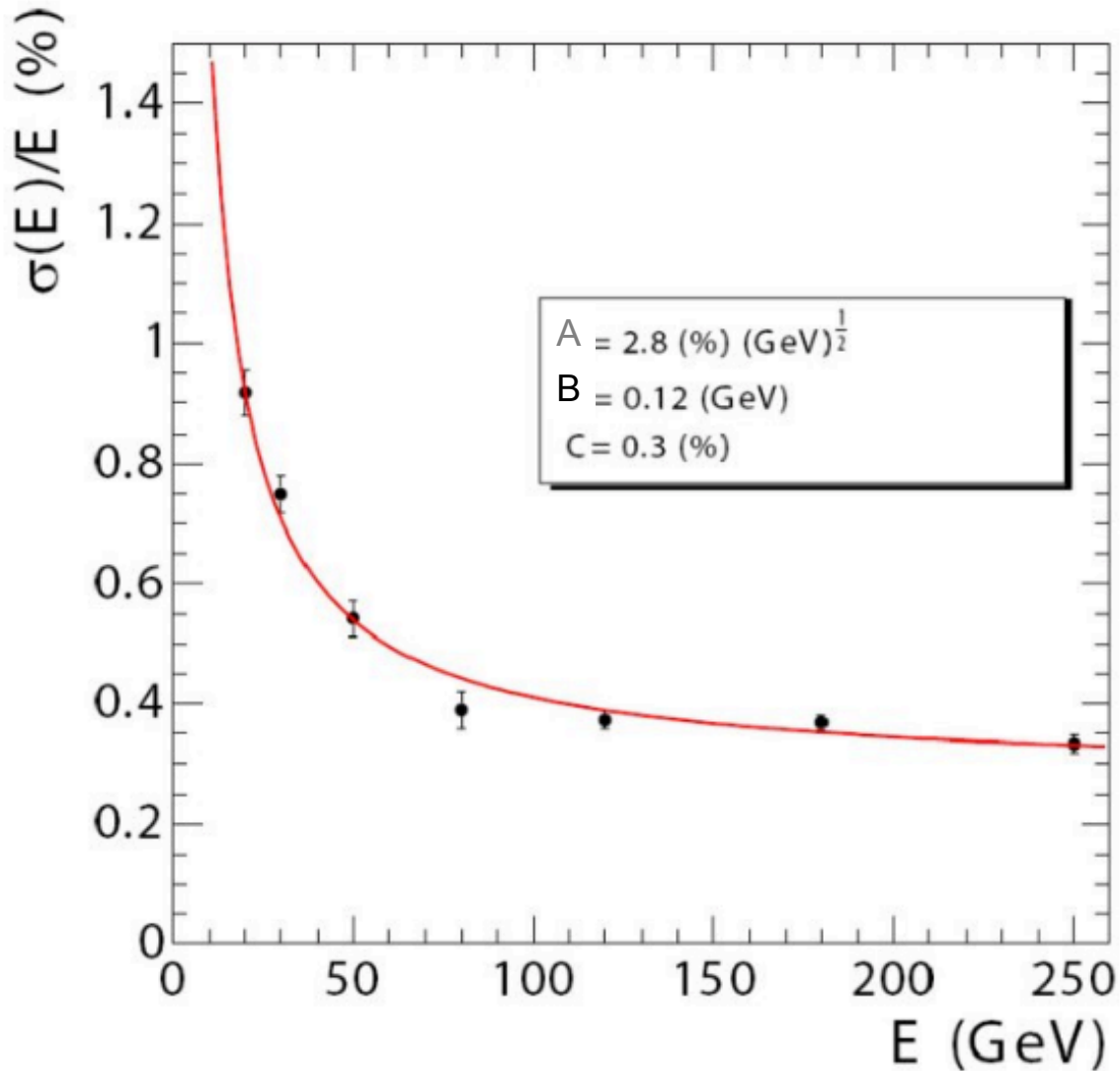
Key:

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- - - Photon



ECAL

- Benchmark Noise detector resolution
- narrow and order of magnitude
- CMS choice



Pro

| Crystal | X_0 (cm) | F |
|-------------------|------------|---|
| BaF ₂ | 2.06 | 3 |
| CeF ₃ | 1.68 | 2 |
| PbWO ₄ | 0.89 | |

)

s

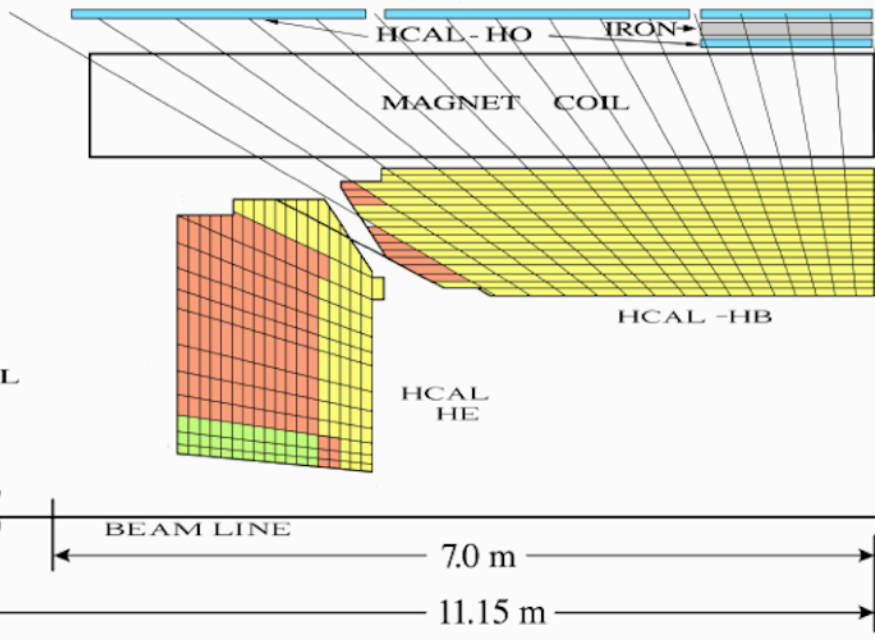
(B-Field)

to

s)



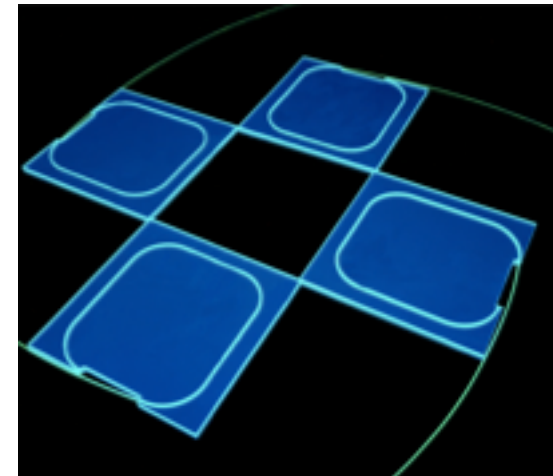
HCAL



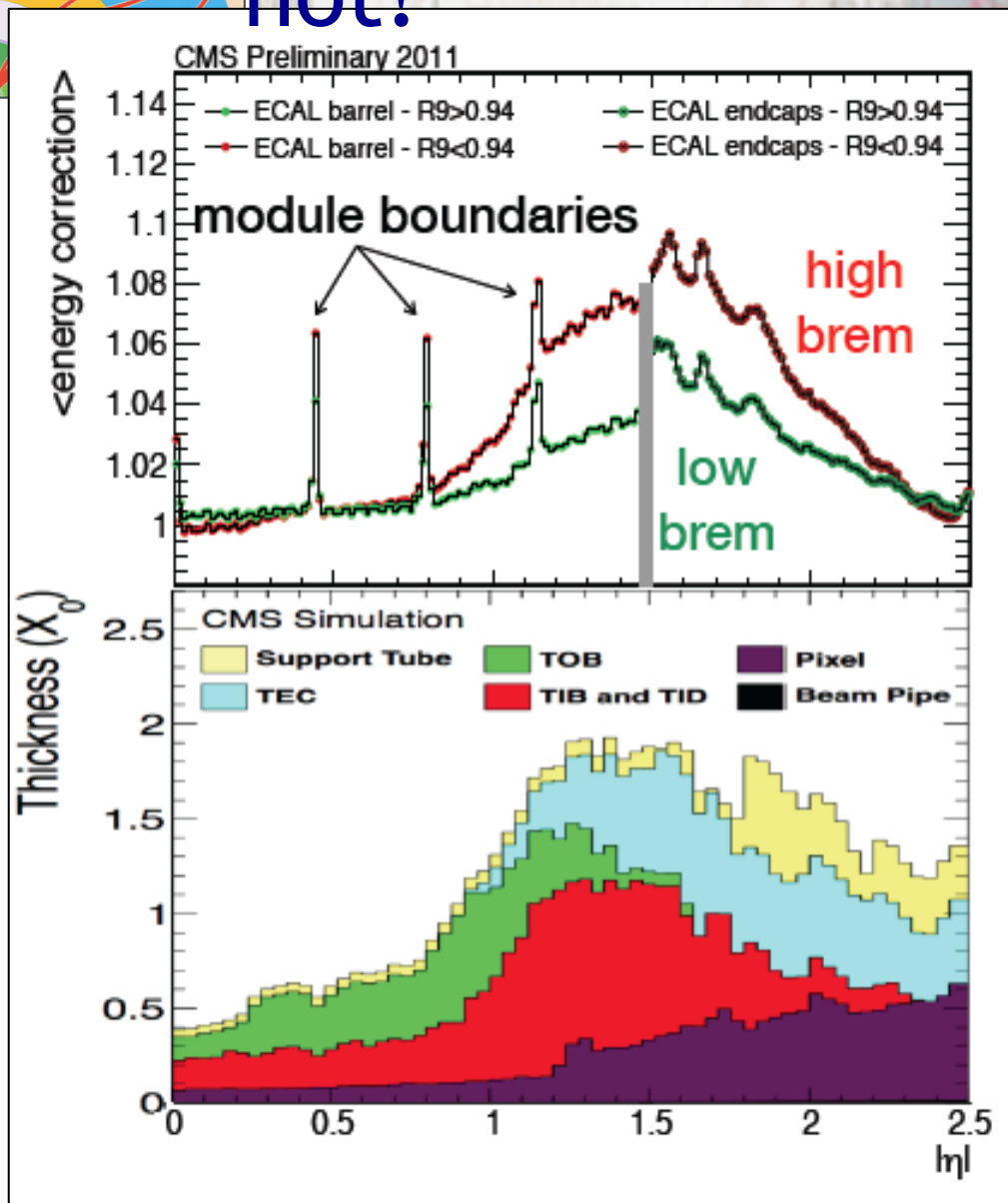
$$\frac{\sigma_E}{E} (\%) \sim \frac{100 - 150\%}{\sqrt{E}}$$

Scintillator tiles
readout by Wave-
Length-shifter fibers

B-field tolerant photo-
detectors: HPDs



X^0 matters whether in the calo or not!

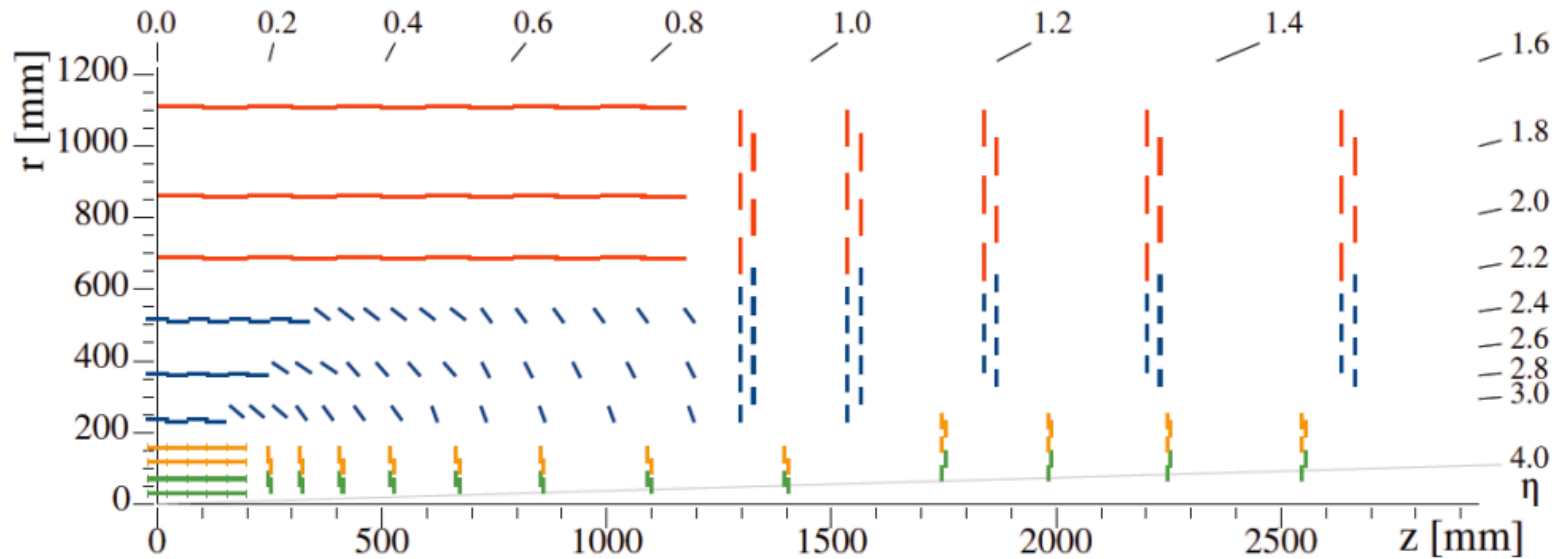


R9 = fraction of cluster energy contained in 9 crystals around max

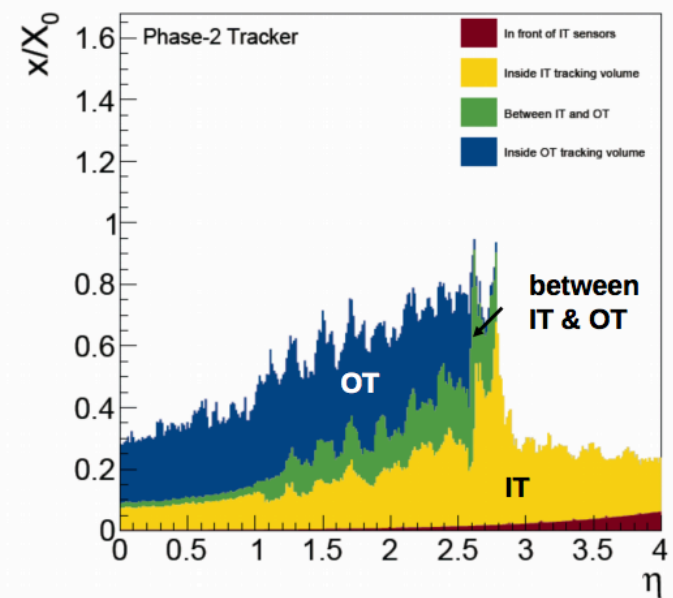
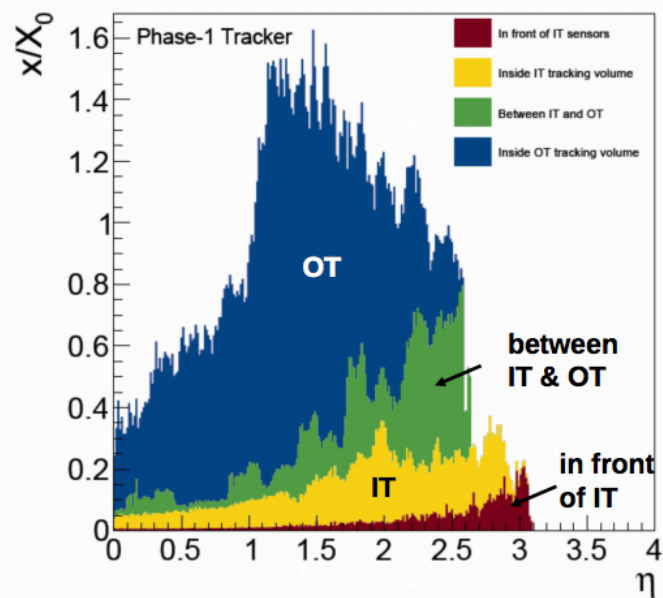
- Correct energy clusters for:
 - Energy loss in material upstream of ECAL
 - e^+e^- bremsstrahlung and γ conversions
 - Local shower containment
 - Crystal geometry



...important: material in front of the calo

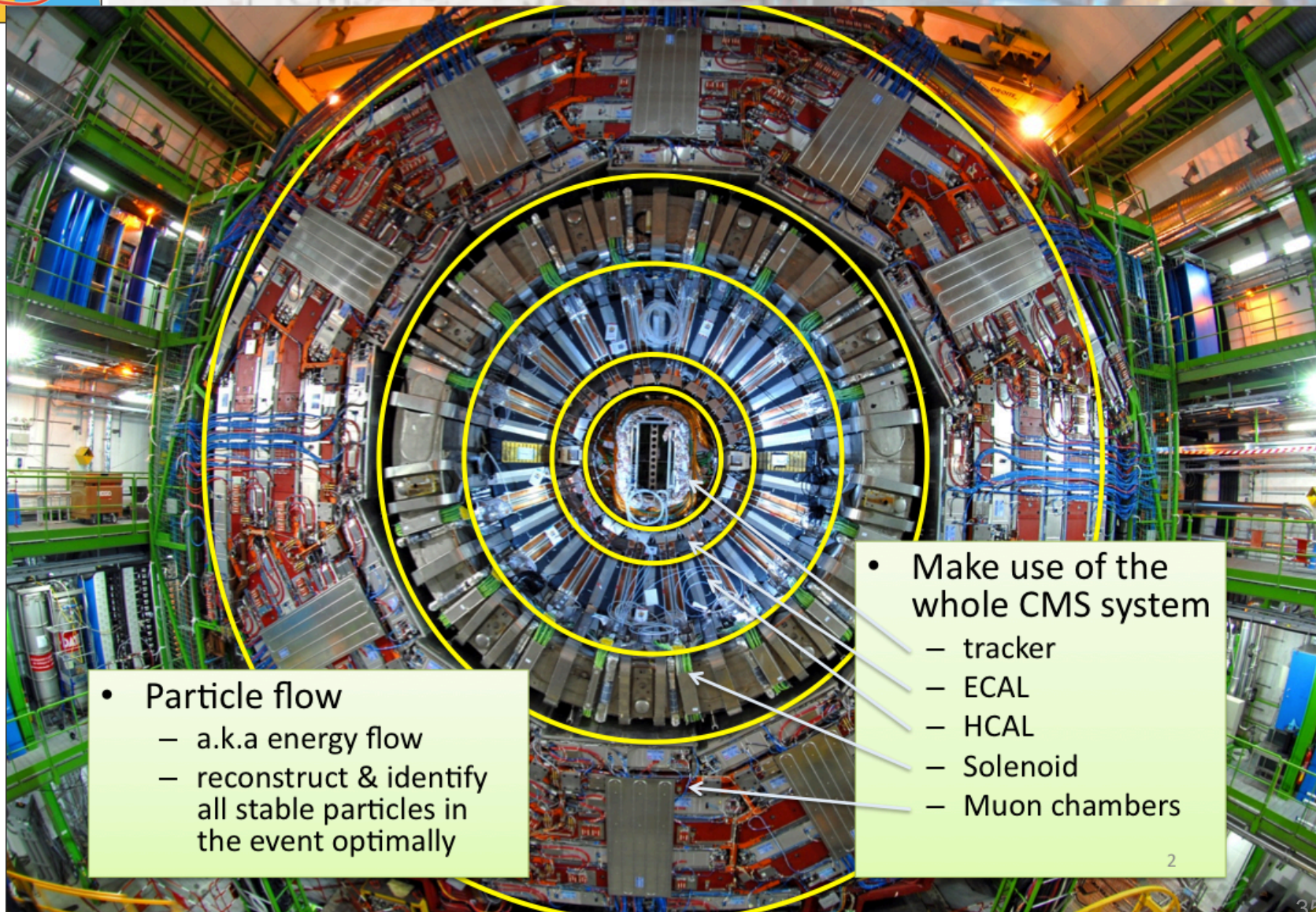


Acceptance
 • In
 4.9
 • Out
 13.2
 Inn





How to improve for $e/h \neq 1$

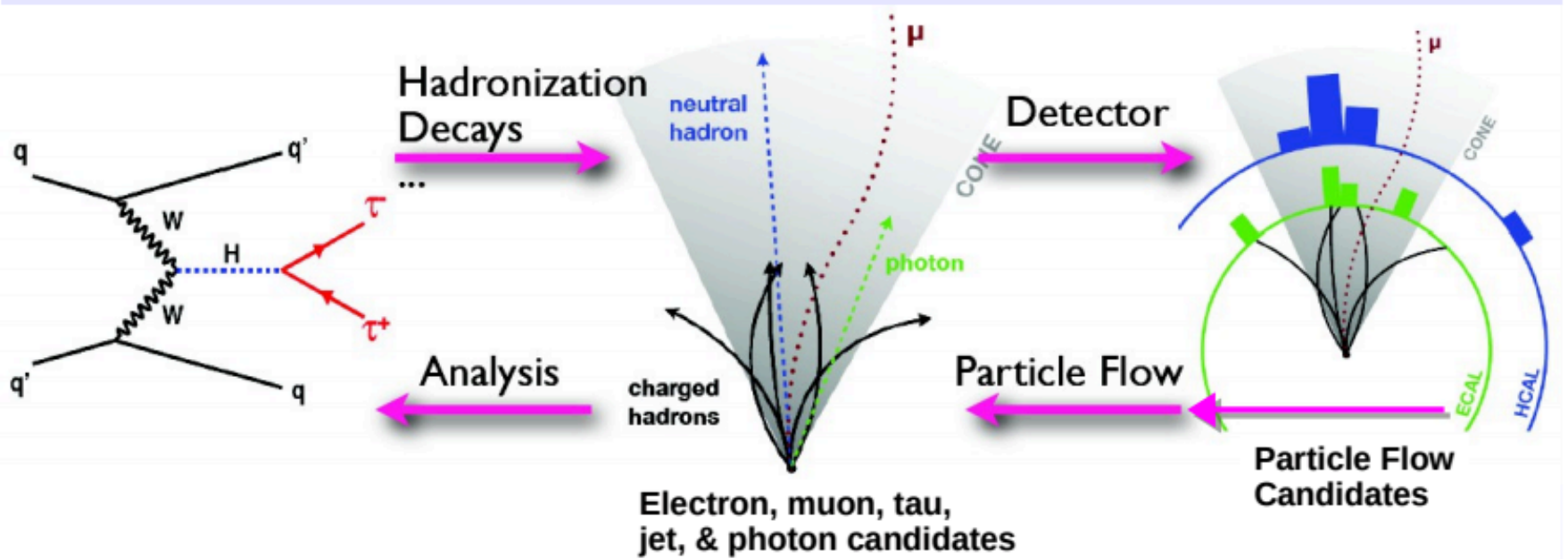


- Particle flow
 - a.k.a energy flow
 - reconstruct & identify all stable particles in the event optimally

- Make use of the whole CMS system
 - tracker
 - ECAL
 - HCAL
 - Solenoid
 - Muon chambers



Particle Flow



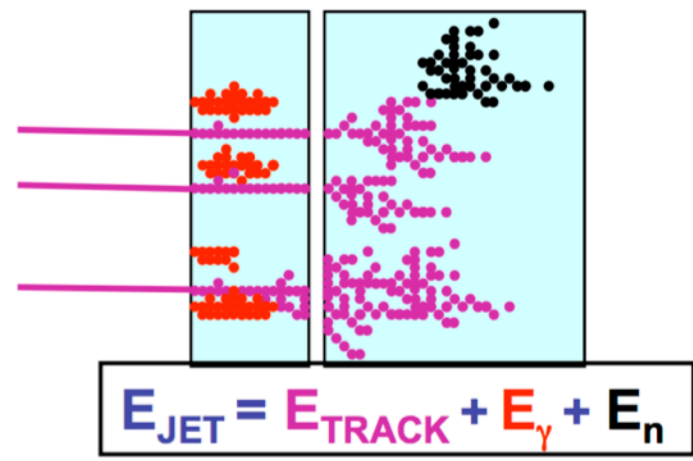
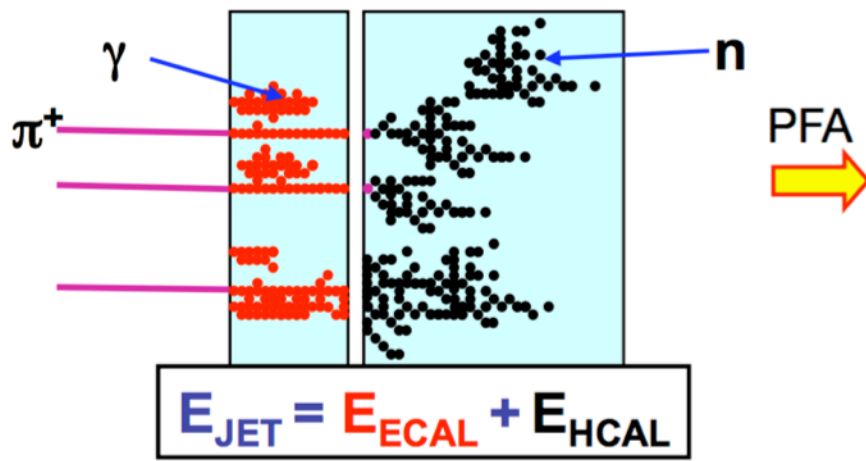


Why Particle Flow?

Idea: for each individual particle in a jet, use detector with best energy/momentum resolution

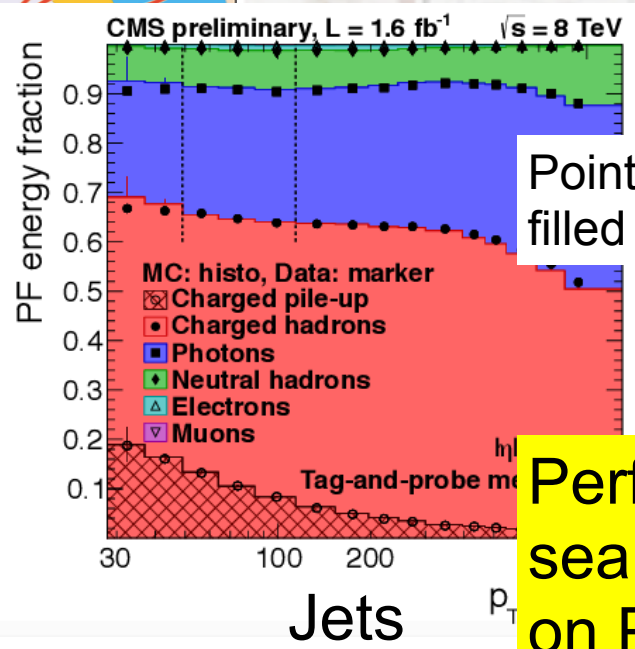
Charged tracks = Tracker
e/photons = ECAL
Neutral hadrons (only 10%) = HCAL

- Calorimeter jet:
 - $E = E_{\text{HCAL}} + E_{\text{ECAL}}$
 - $\sigma(E) \sim$ calo resolution to hadron energy: 120 % / \sqrt{E}
 - direction biased ($B = 3.8 \text{ T}$)
- Particle flow jet:
 - 65% charged hadrons
 - $\sigma(p_T)/p_T \sim 1\%$
 - direction measured at vertex
 - 25% photons
 - $\sigma(E)/E \sim 1\% / \sqrt{E}$
 - good direction resolution
 - 10% neutral hadrons
 - $\sigma(E)/E \sim 120\% / \sqrt{E}$





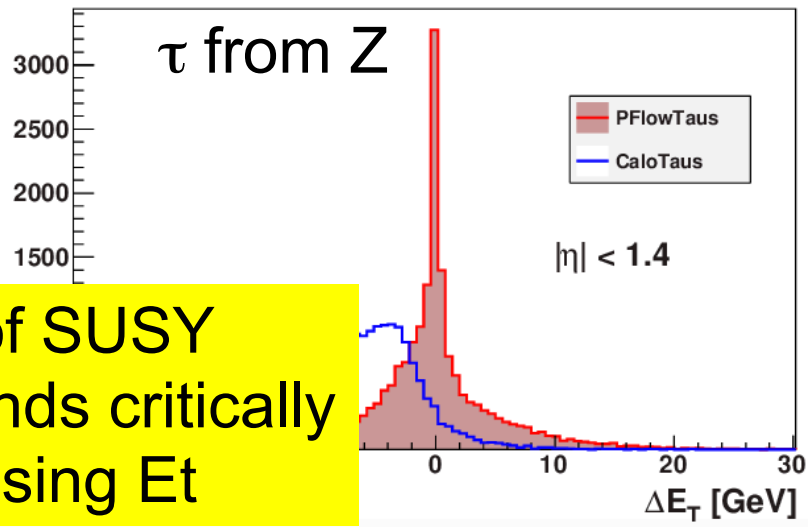
...and it worked ! (PFLOW)



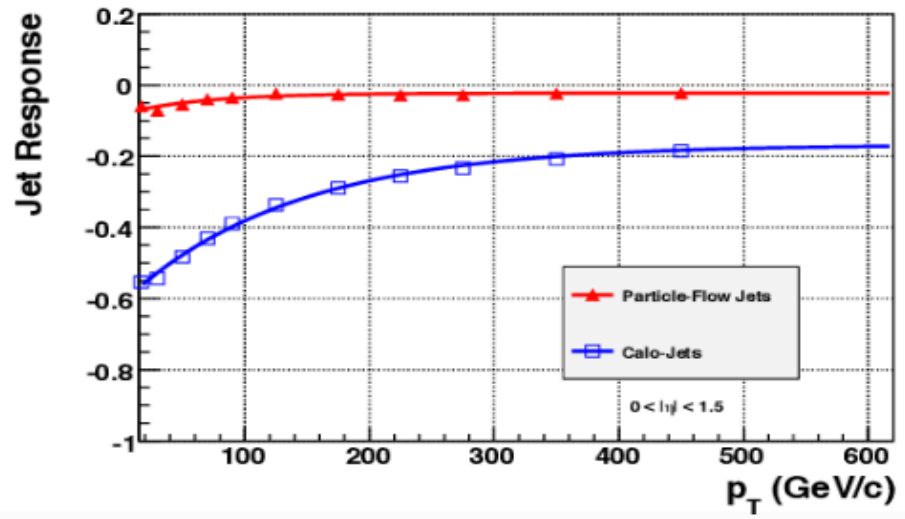
Points data, filled MC

Performance of SUSY searches depends critically on PFLOW Missing Et

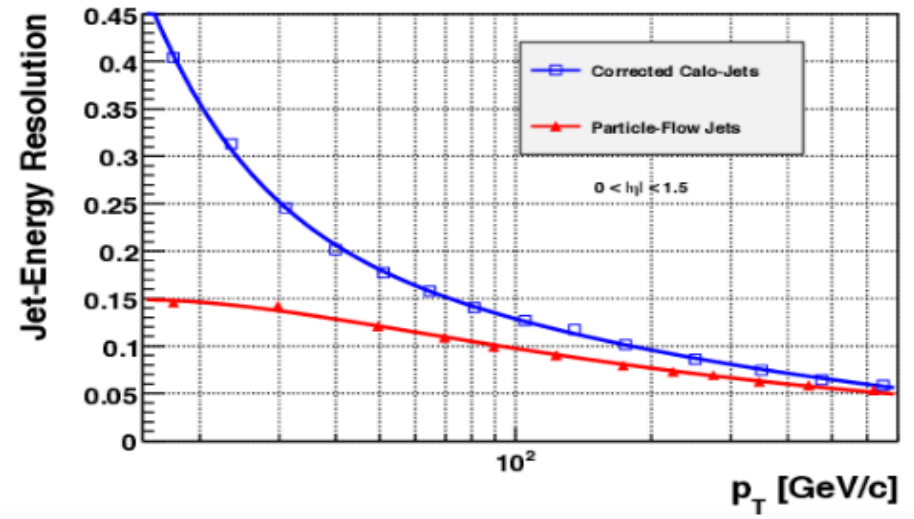
CMS Preliminary



CMS Preliminary



CMS Preliminary

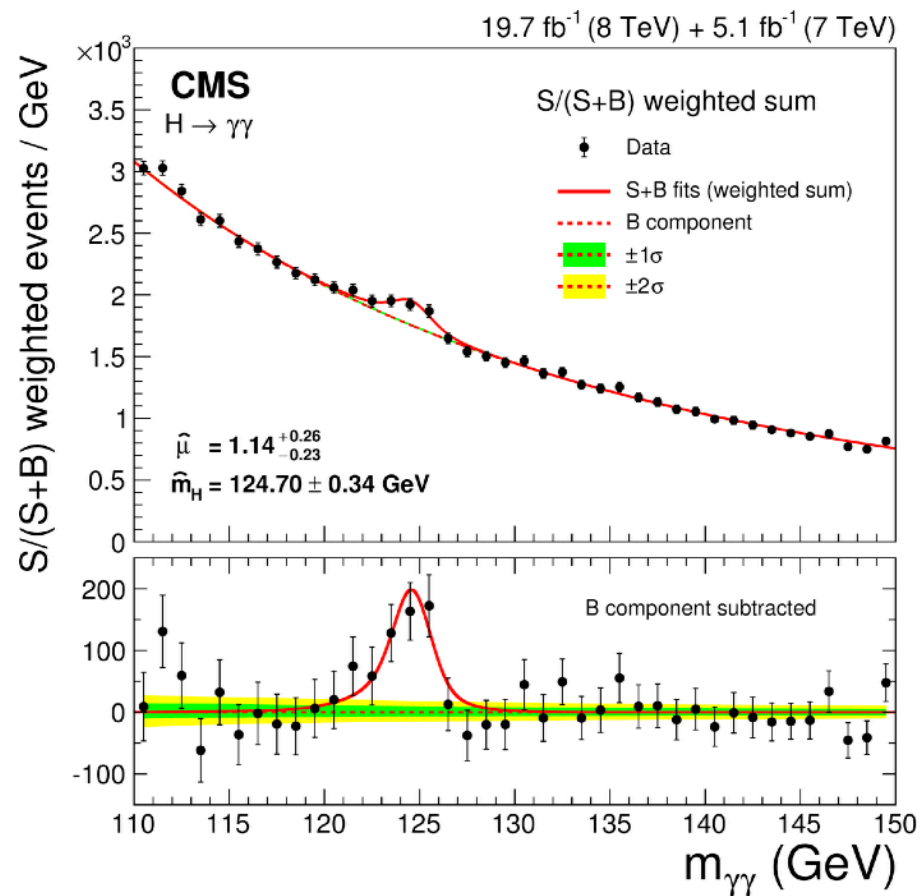
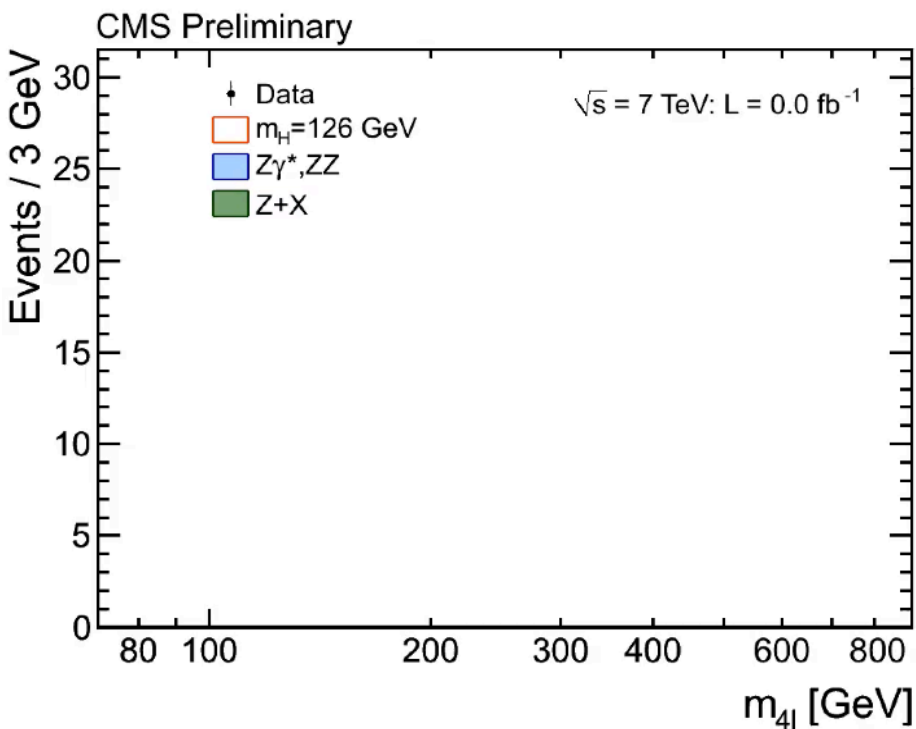




...and it worked ! (ECAL)

$$H \rightarrow ZZ \rightarrow \begin{matrix} ee \\ \mu\mu \end{matrix}$$

$$H \rightarrow \gamma\gamma$$

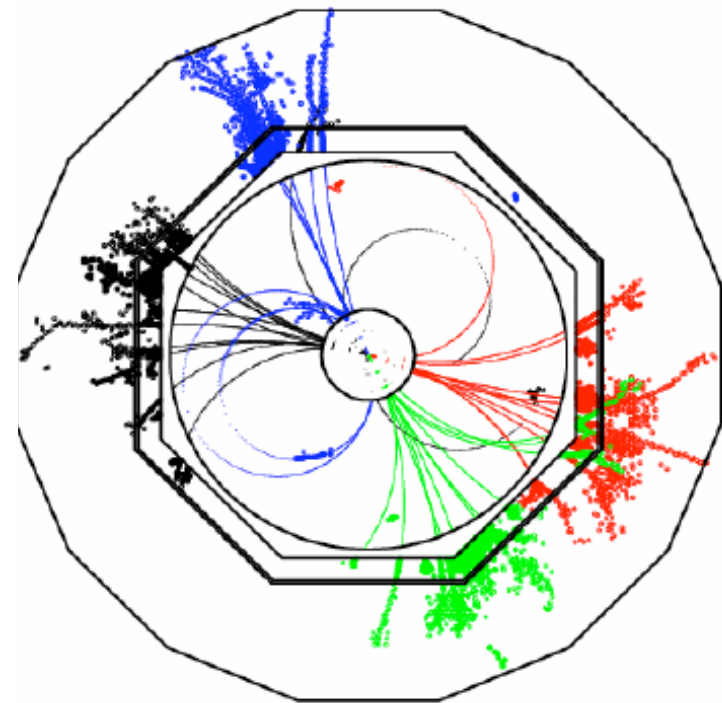




Calorimeter optimized for particle flow

For a Particle-Flow Calorimeter:

- **Granularity** is more important than energy resolution
- Lateral granularity should be **below Molière radius** in ECAL and HCAL
- In particular in the ECAL: small Molière radius to provide **good two-shower separation** (particularly in high pileup environment)
→ dense absorbers and thin sensors
- **Sophisticated software needed!**

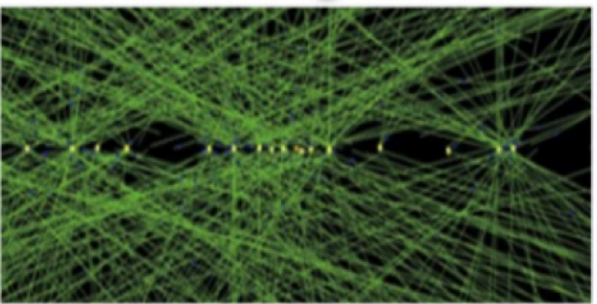
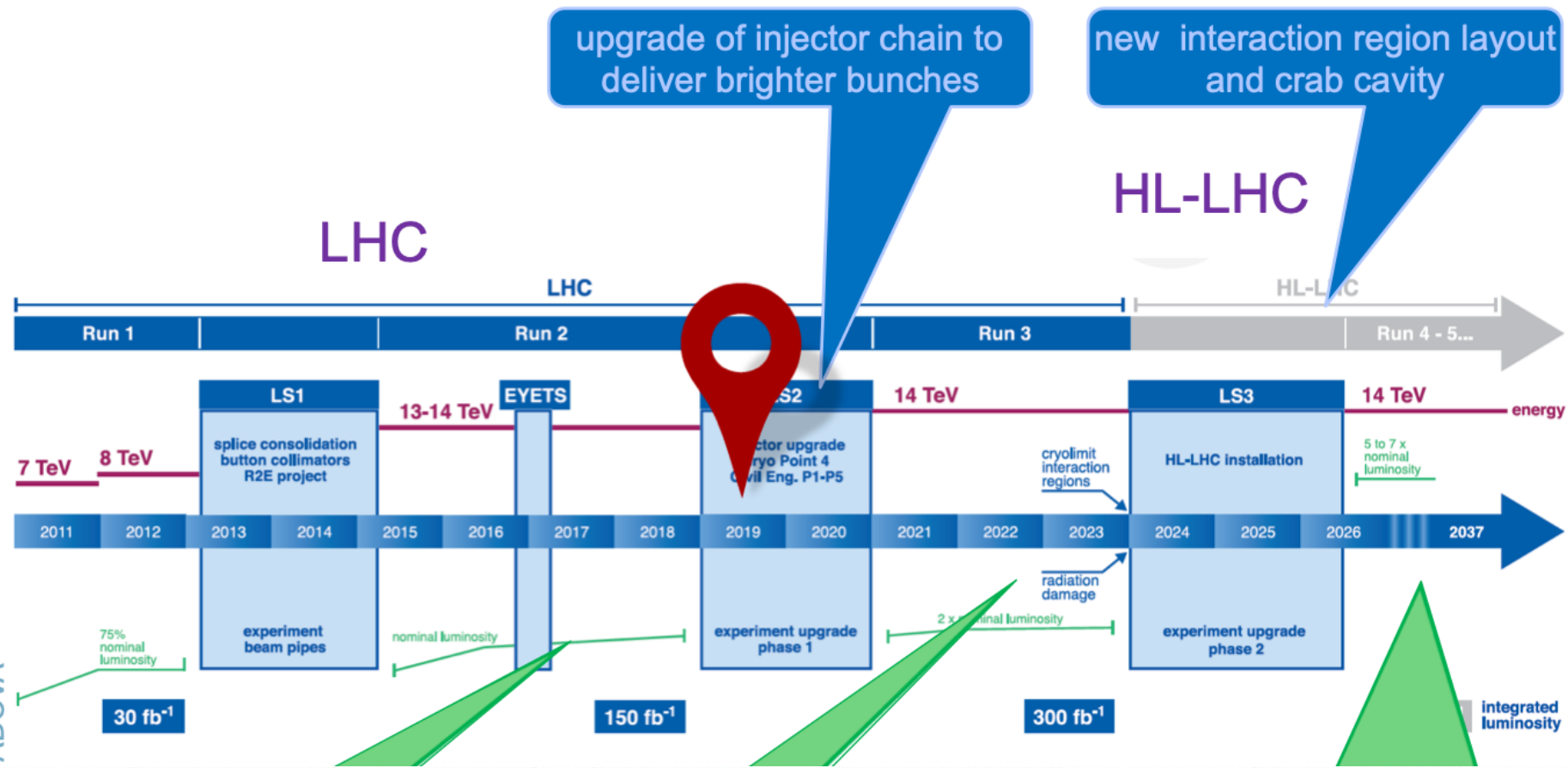




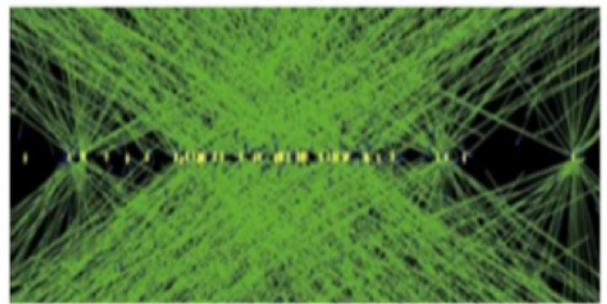
The future

- The original plan when LHC and the experiments were approved was to have a period of ~10 years of operation with ultimate luminosity at the end of the 10 years exceeding $10^{34} \text{ cm}^2\text{s}^{-1}$
- The performance of LHC has exceeded all expectations
- The success of the accelerator has led CERN to propose (and get approved) an ambitious upgrade program aiming to exploit the ultimate potential of LHC

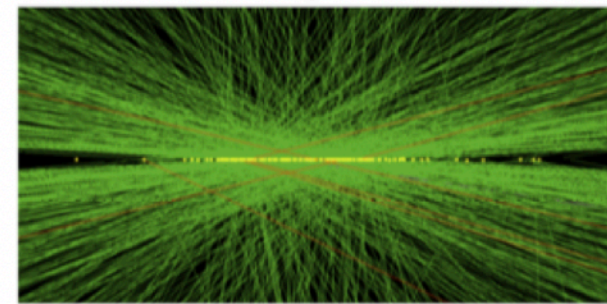
ADOVA



$\langle \text{Pile-up} \rangle \sim 20$



$\langle \text{Pile-up} \rangle \sim 40-50$



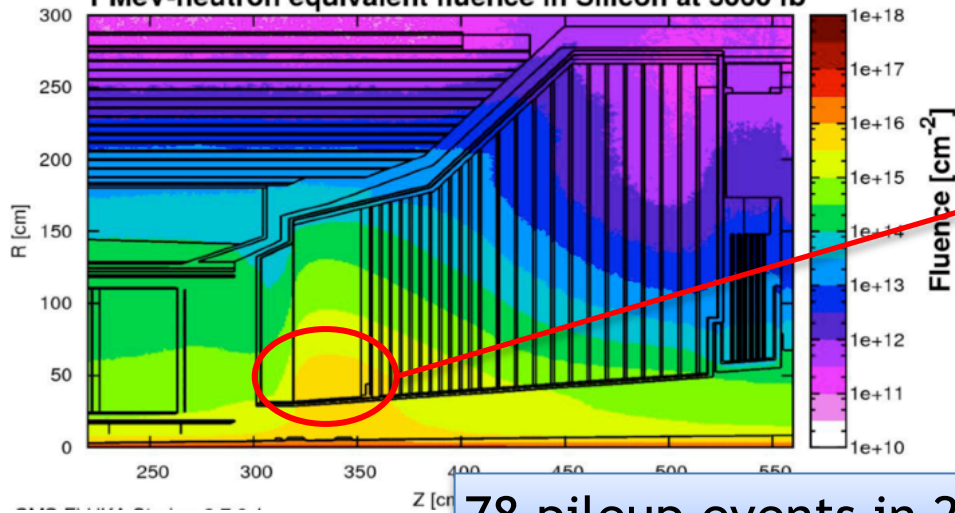
$\langle \text{Pile-up} \rangle \sim 140-200$



Radiation and rate problems

CMS p-p collisions at 7 TeV per beam

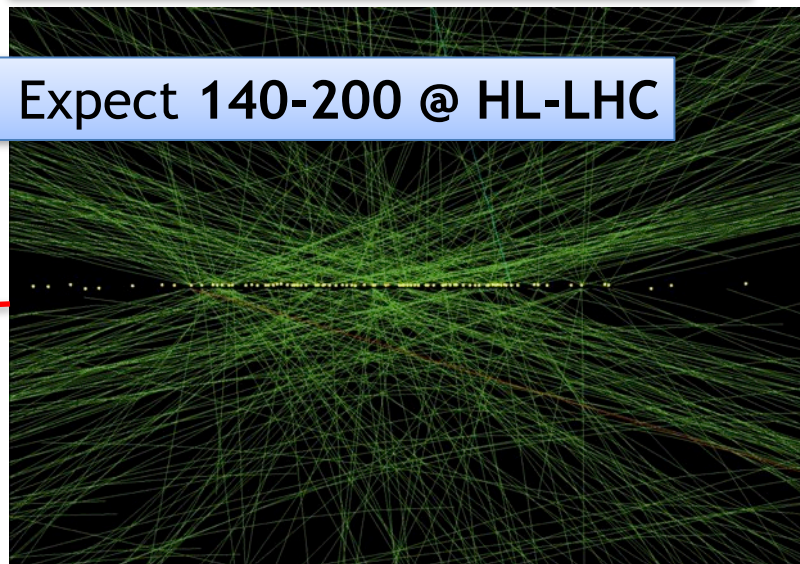
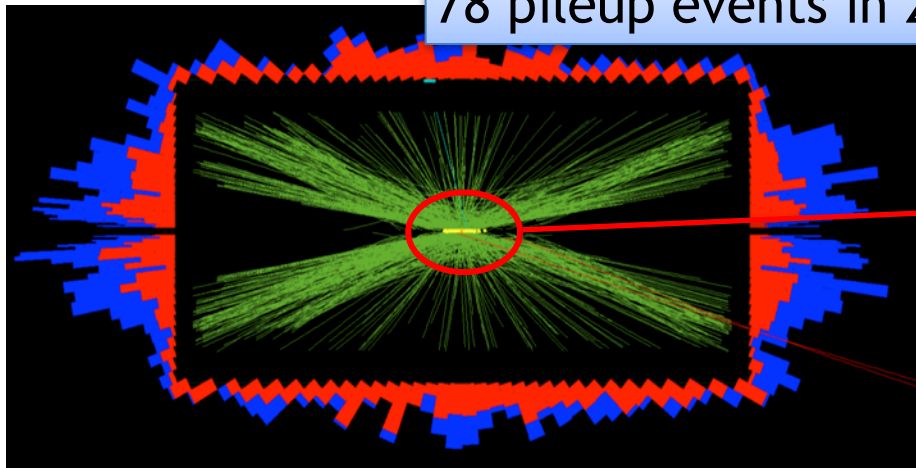
1 MeV-neutron equivalent fluence in Silicon at 3000 fb⁻¹



CMS @ HL-LHC:

~10¹⁶ 1 MeV n_{eq} cm⁻² @ 3ab⁻¹
 in forward calorimeters,
 with pileup ~200
 And up to 2 MGy absorbed dose

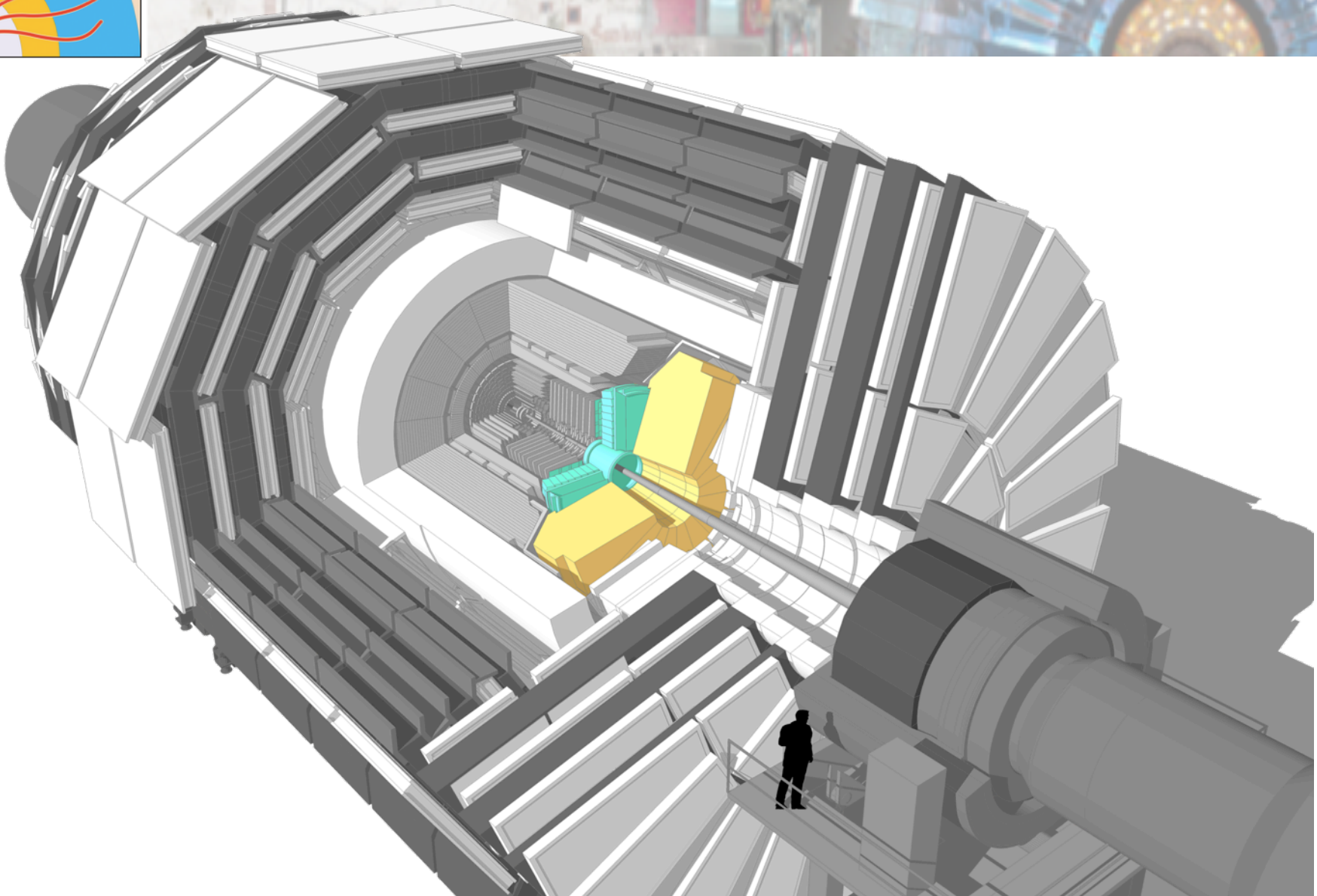
78 pileup events in 2012. Expect 140-200 @ HL-LHC



All on-detector electronics will also be obsolete by LS3, due to necessary upgrades to the trigger and DAQ systems



CMS will replace its endcap calorimeters for HL-L



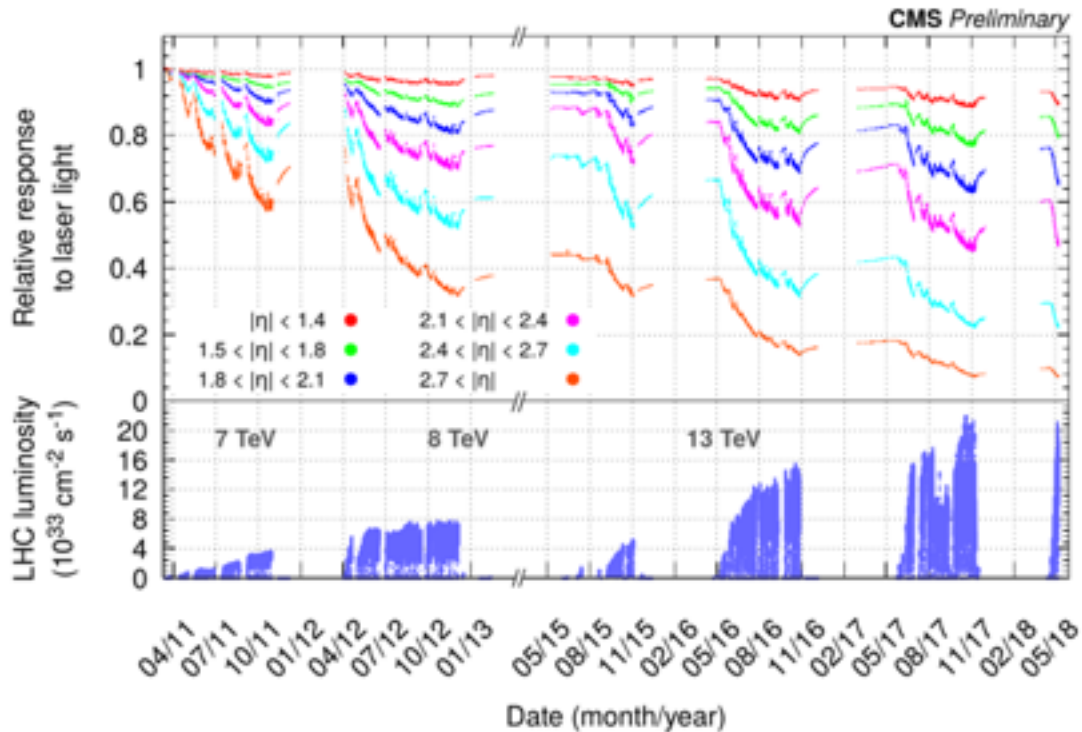


Why replace ?

Original experiment was designed for an integrated lumi of $< 300\text{fb}^{-1}$

The radiation toll will impair performance beyond that !

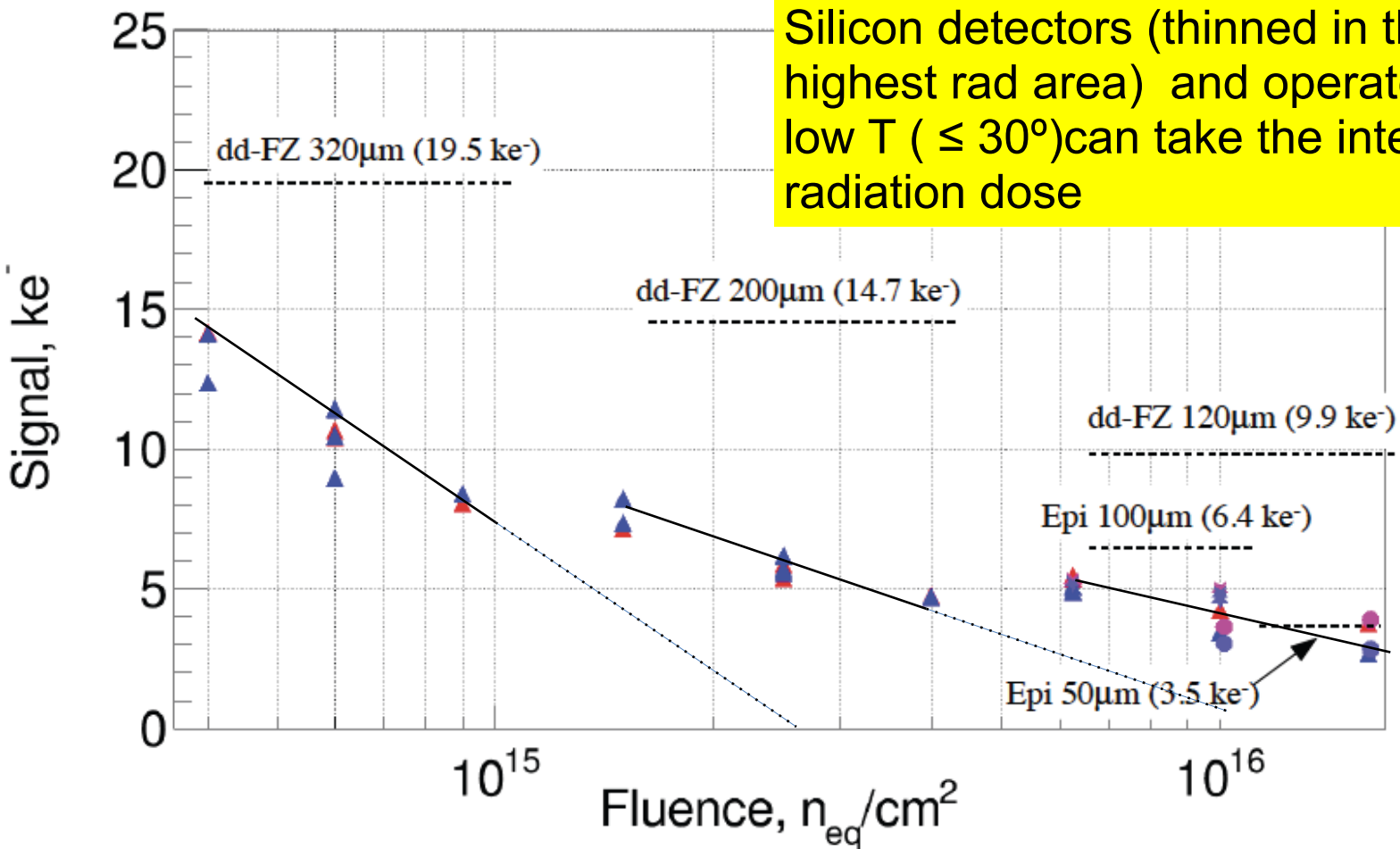
Crystal calorimeter (in the forward region)





Silicon can do it

- ▲ TCT dd-FZ n-on-p 600 V TCT
- ★ TCT Epi 100 n-on-p 600 V
- TCT Epi 50 n-on-p 300 V
- ▲ ⁹⁰Sr dd-FZ n-on-p 600 V
- ★ ⁹⁰Sr Epi 100 n-on-p 600 V
- ⁹⁰Sr Epi 50 n-on-p 300 V



Silicon detectors (thinned in the highest rad area) and operated at low T (≤ 30°) can take the integrated radiation dose

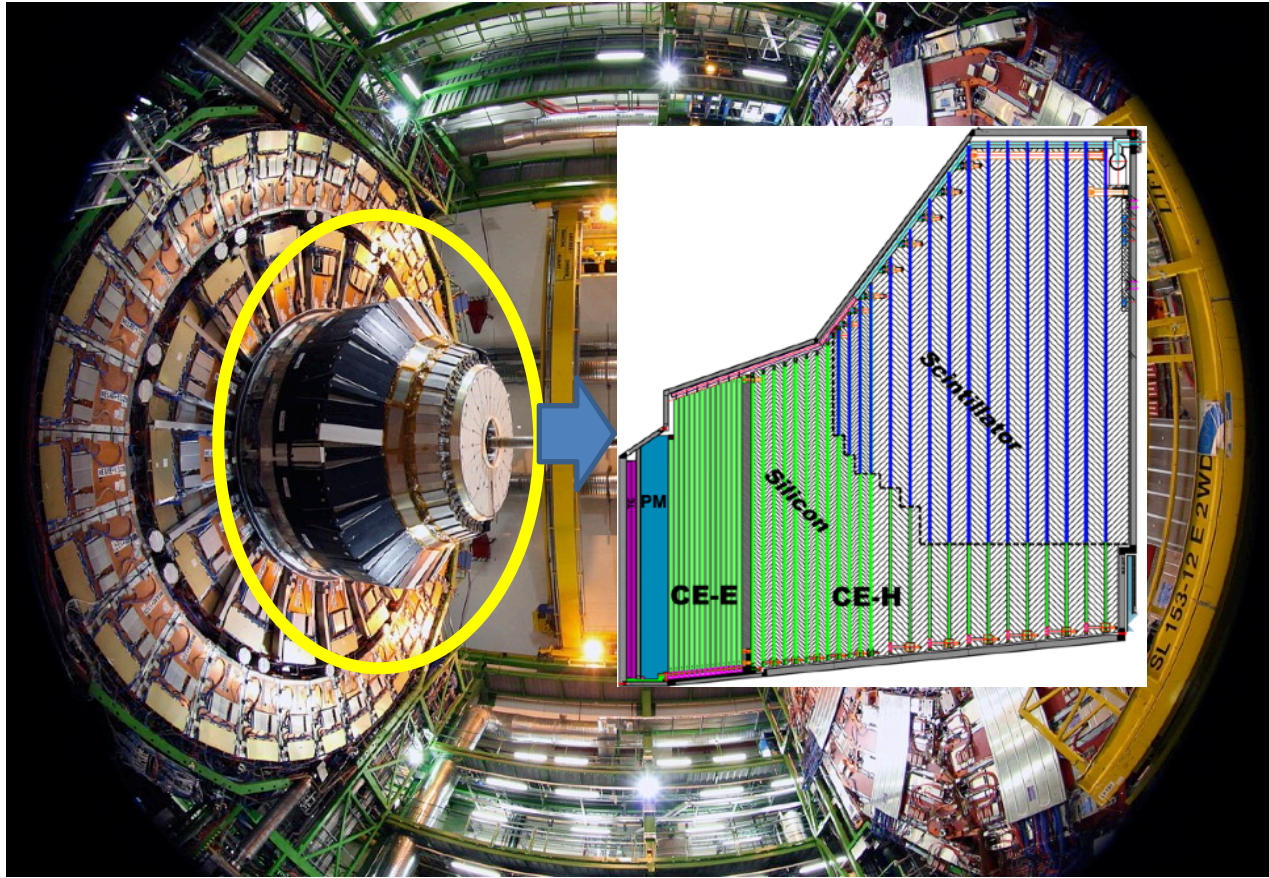


Ultimate performance requirements

- The usual performance requirements for a calorimeter are pushed to the extremes
 - Energy Resolution
 - Signal Linearity
 - Electron/Pion separation
 - Hermeticity
 - Rate Capability
 - Radiation resistance
 - Signal Uniformity
 - Electronic Stability + Calibration
 - Operation in Magnetic field
 - Compactness
 - ...last not least : cost! (Si affordable as price had decreased by > factor 3 since CMS construction)



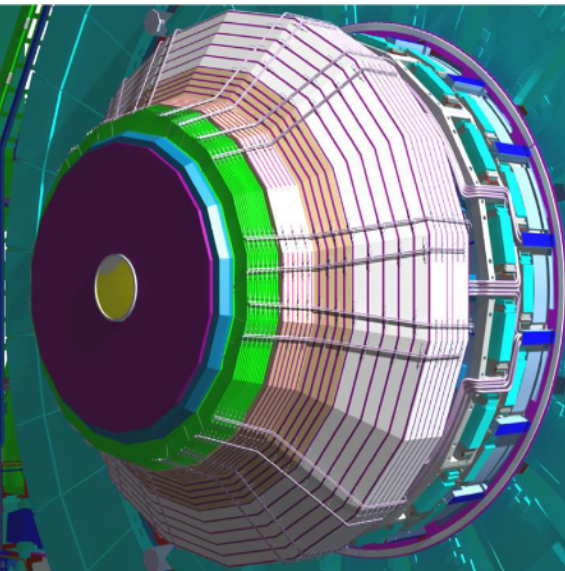
Endcap calorimeter



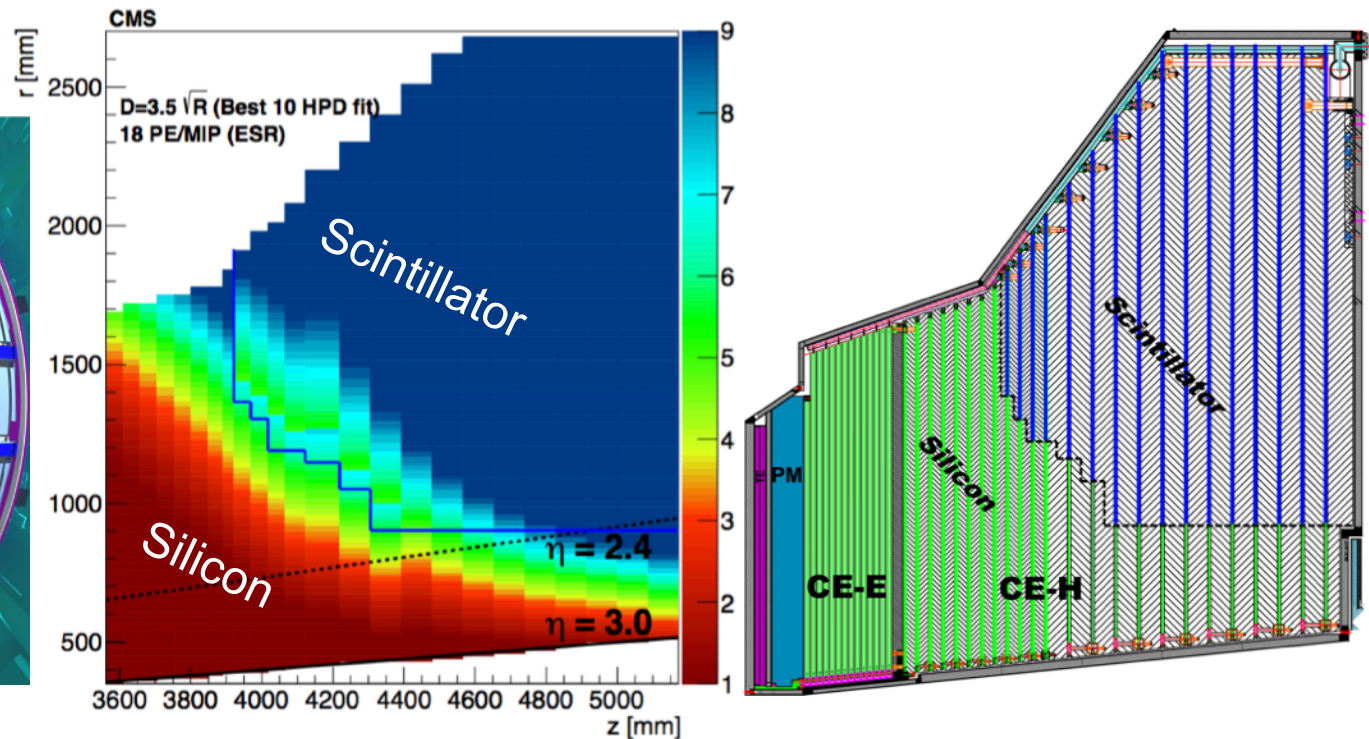


Be smart: use Silicon only where necessary

HGCAL design



Silicon in high-radiation regions



Need to be able to 'use' (sense) Minimum Ionising particles for calibration..Mip signal/noise ~3 even after maximum radiation exposure



The challenge

Mobile-phone technology on the scale of 4 tennis courts

That has to work efficiently for ~15 years without intervention

At -30 degrees C

**In a radiation environment similar to
being near the core of a nuclear reactor**



Granularity driven by calibration needs

Calibration of Silicon sensors and scintillator tiles is with MIPs

→ need good S/N for MIPs after 3ab^{-1}

→ low-capacitance Si cells → small area (0.5–
1.1 cm^2)

→ Scint. cells with small area for high-efficiency
light collection

Many longitudinal samplings needed to provide good energy resolution (minimize sampling term) especially with thin active layers
(e.g. 100-300 μm silicon sensors)



~600m² of silicon sensors (3x CMS tracker) in radiation field peaking at ~10¹⁶n/cm²

Planar p-type DC-coupled sensor pads

Learned from Si-tracker experience

- simplifies production technology

Hexagonal sensor geometry preferred to square

- makes most efficient use of circular sensor wafer
- reduces number of sensors produced & assembled into modules (factor ~ 1.3)

8" wafers (new!) preferable to 6" (std for tracker)

- reduces number of sensors produced & assembled into modules (factor ~ 1.8)

300μm, 200μm and 120μm sensor thicknesses

- match sensor thickness (and granularity) to radiation field

Learned from Si-tracker experience

Simple, rugged module design & automated module assembly

- provide high volume, high rate, module production & handling

Learned from Si-tracker experience



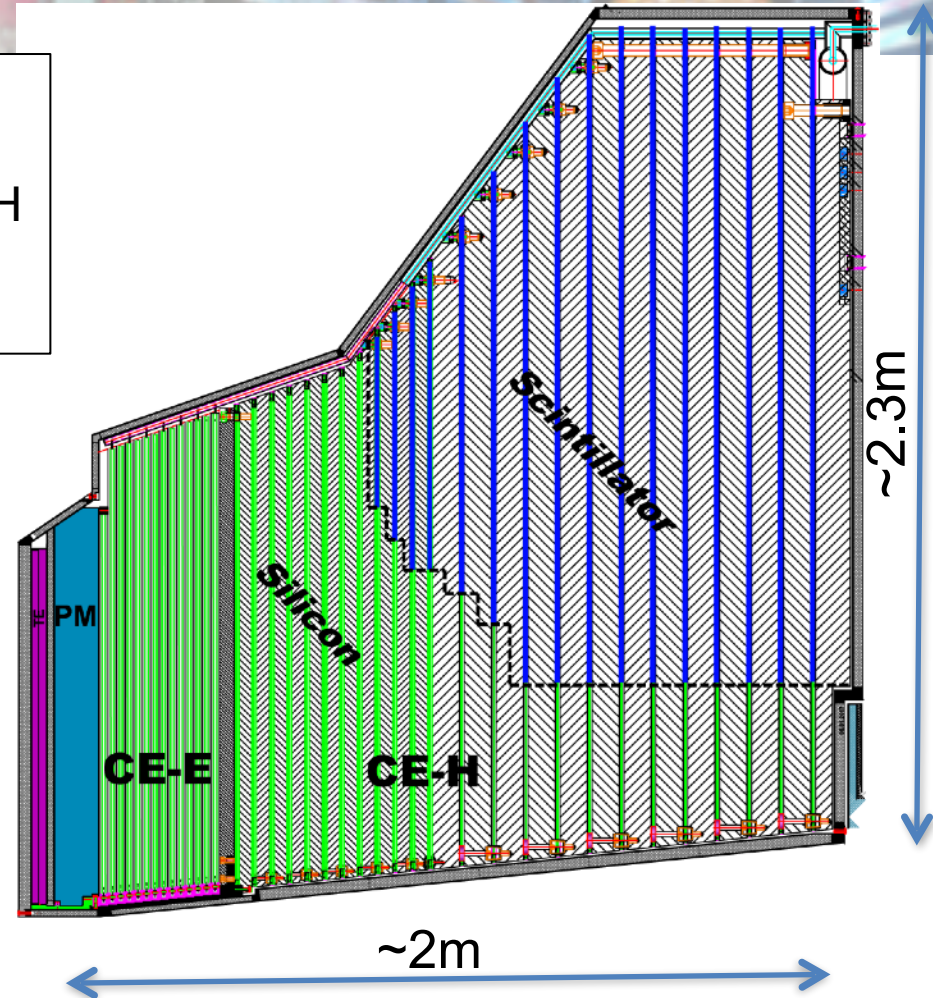
EM section of HGCAL

Active Elements:

- Hexagonal modules based on Si sensors in CE-E and high-radiation regions of CE-H
- Scintillating tiles with SiPM readout in low-radiation regions of CE-H

Key Parameters:

- HGCAL covers $1.5 < \eta < 3.0$
- **Full system maintained at -30°C**
- **$\sim 600\text{m}^2$** of silicon sensors
- **$\sim 500\text{m}^2$** of scintillators
- 6M Si channels, 0.5 or 1.1 cm^2 cell size
 - Data readout from all layers
 - Trigger readout from alternate layers in CE-E and all layers in CE-H
- ~ 27000 Si modules
- $\sim 140 \text{ kW}$ per endcap



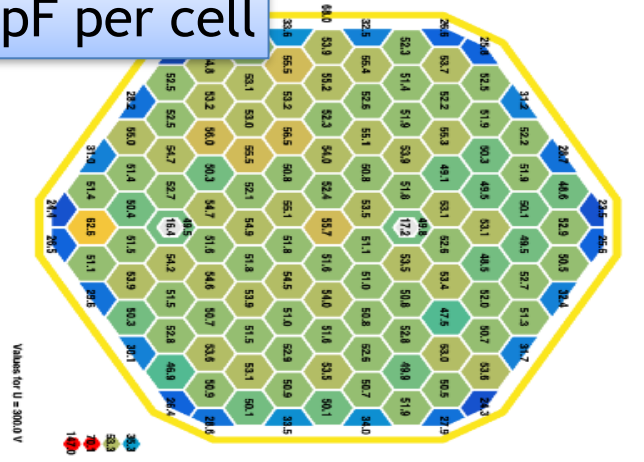
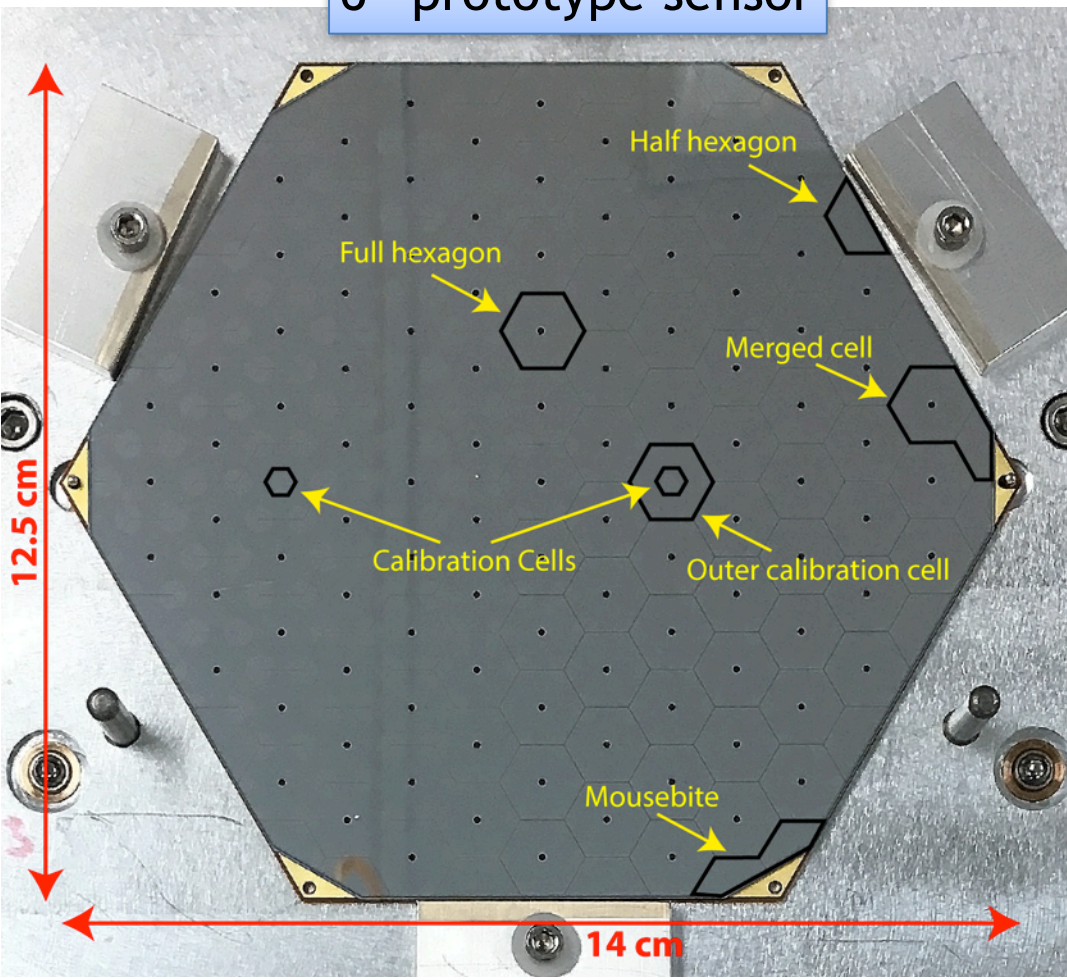
Electromagnetic calorimeter (CE-E): **Si**, Cu/CuW/Pb absorbers, 28 layers, $26 X_0$ & $\sim 1.7\lambda$
Hadronic calorimeter (CE-H): **Si** & **scintillator**, steel absorbers, 22 layers, $\sim 9.0\lambda$



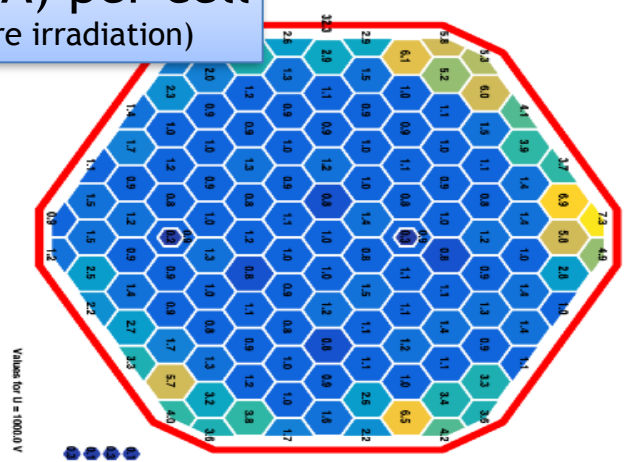
Hexagonal cells to fill optimally the SI wafer

6" prototype sensor

~55pF per cell



0(nA) per cell (before irradiation)

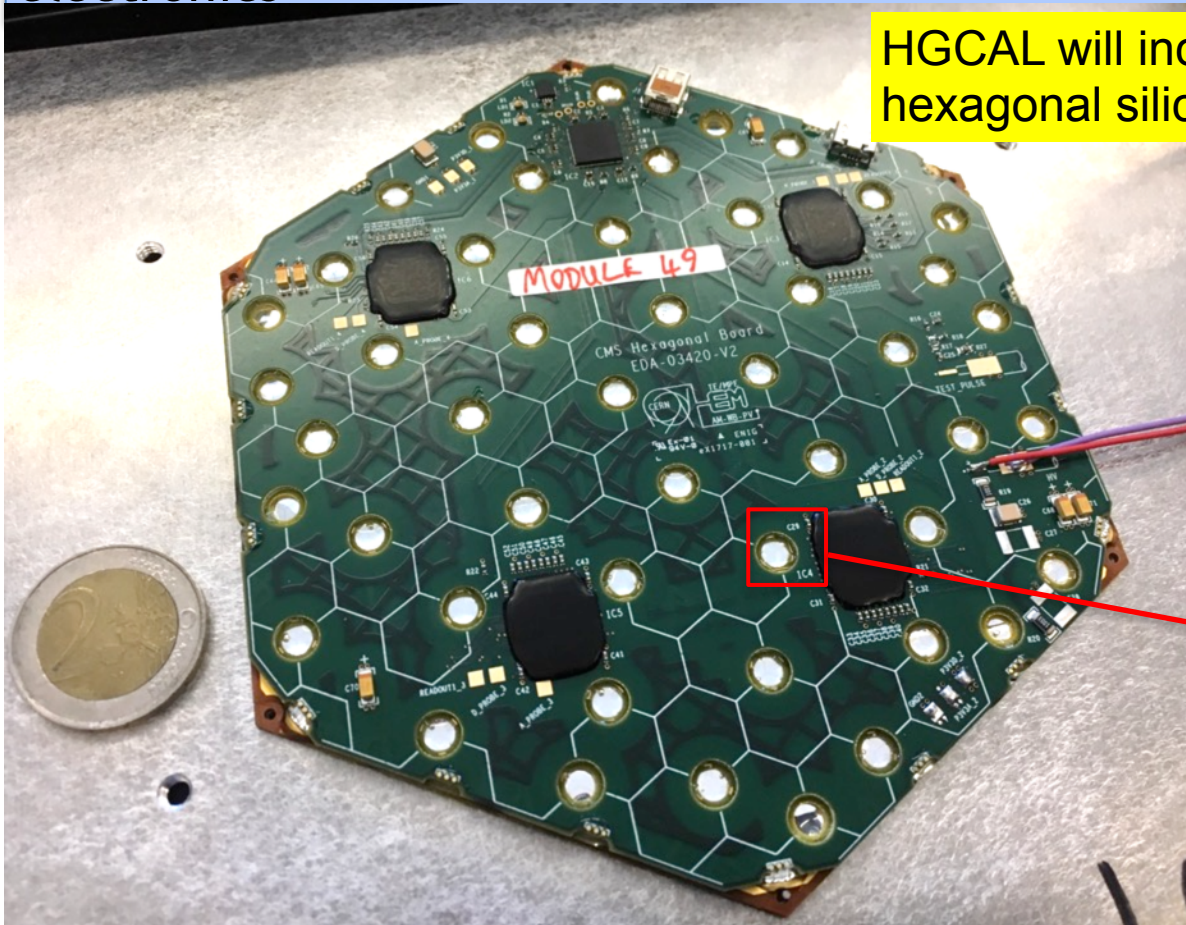




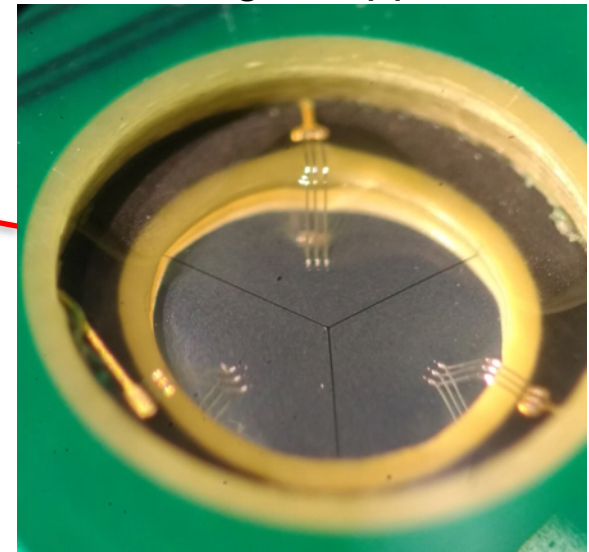
Modules

Silicon sensor glued to baseplate and PCB containing front-end electronics

HGCAL will include 27000 modules based on hexagonal silicon sensors with 0.5-1cm² cells

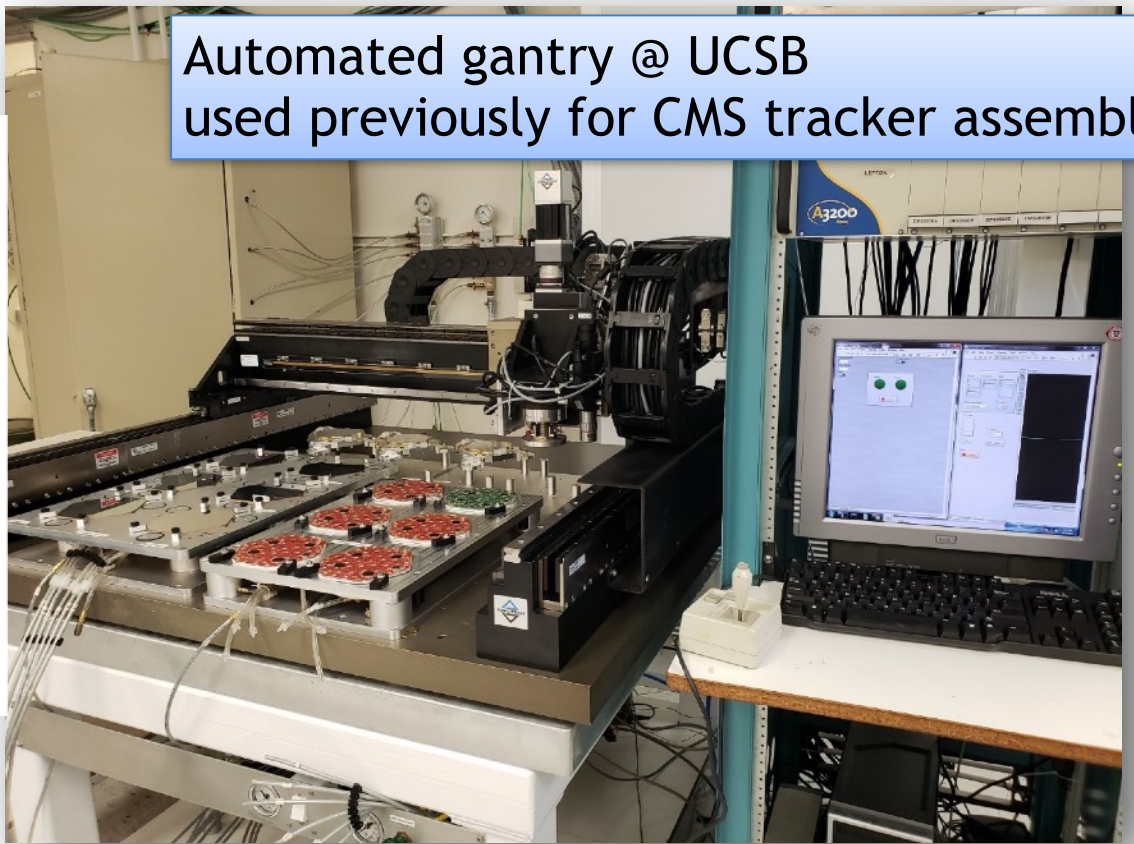
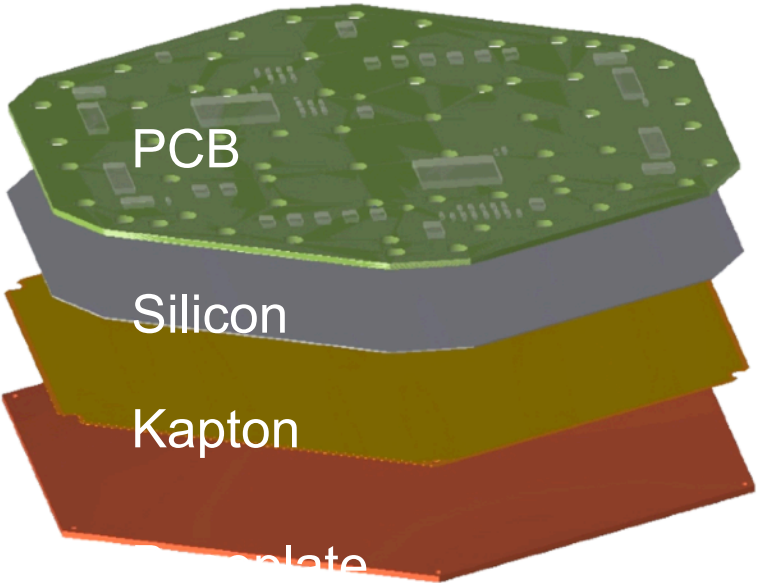


Wire bonding from PCB to silicon through stepped holes





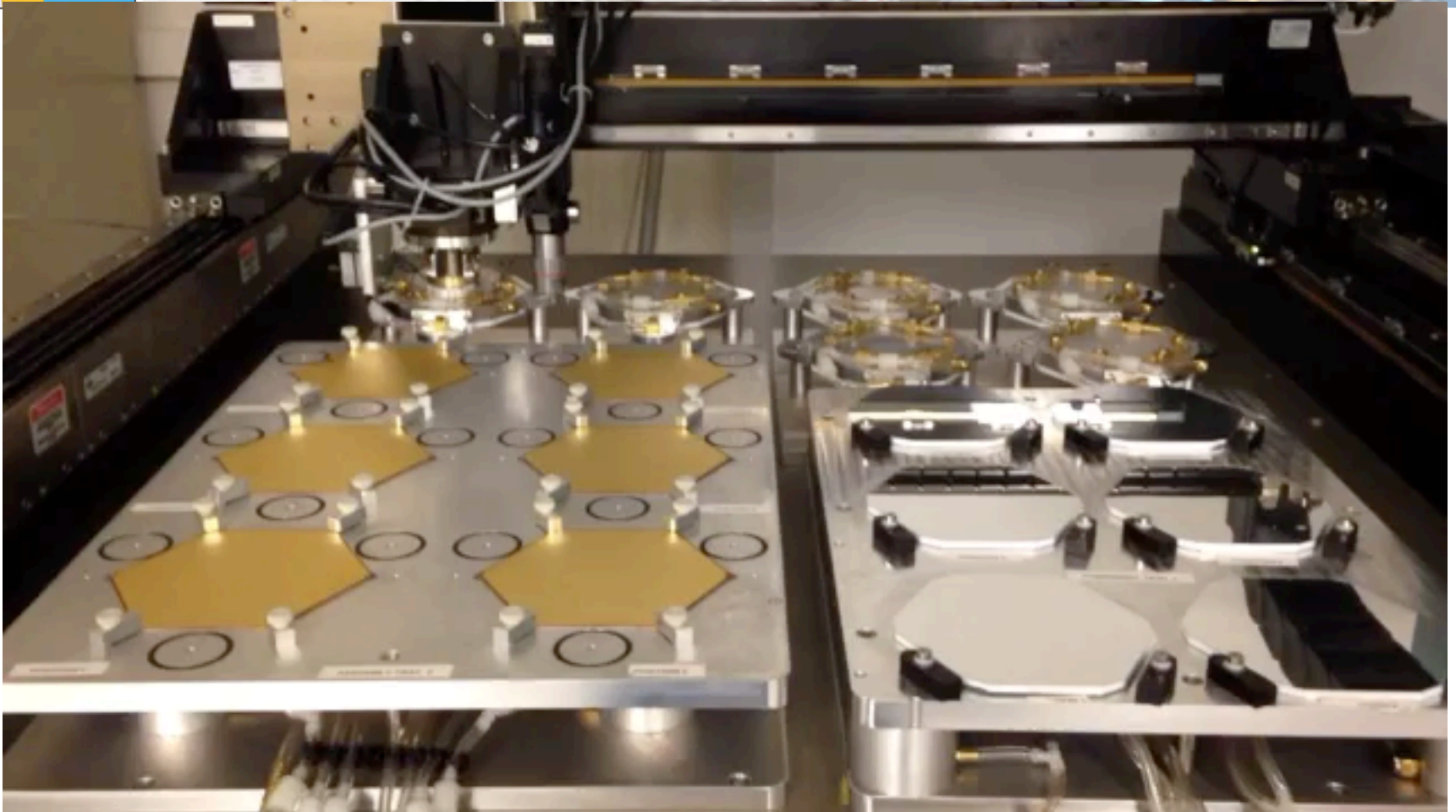
Automated assembly



~100 modules already made and used for test purposes
Need to make ~27000 modules for HGCAL!
Other **Module Assembly Centres (MACs)** now being equipped



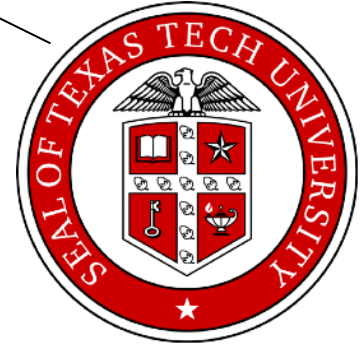
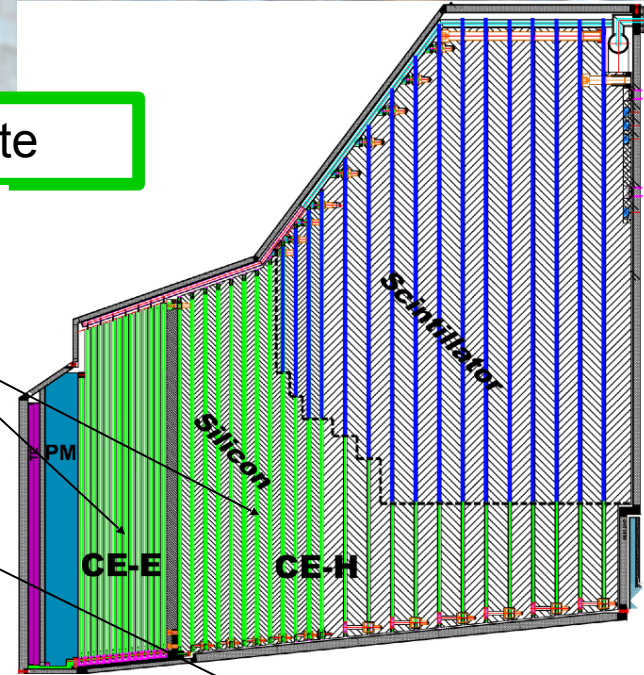
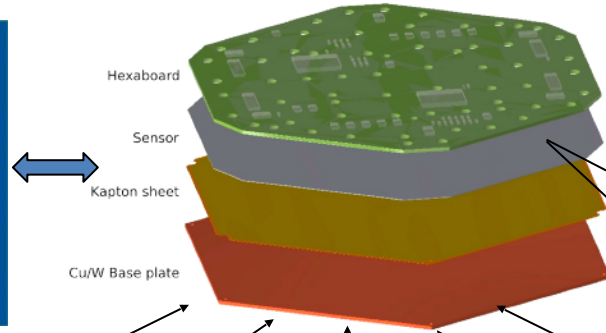
Gantry





A worldwide effort

~27000 Si modules: 4500 per site



MAC Taiwan

MAC Beijing

MAC India

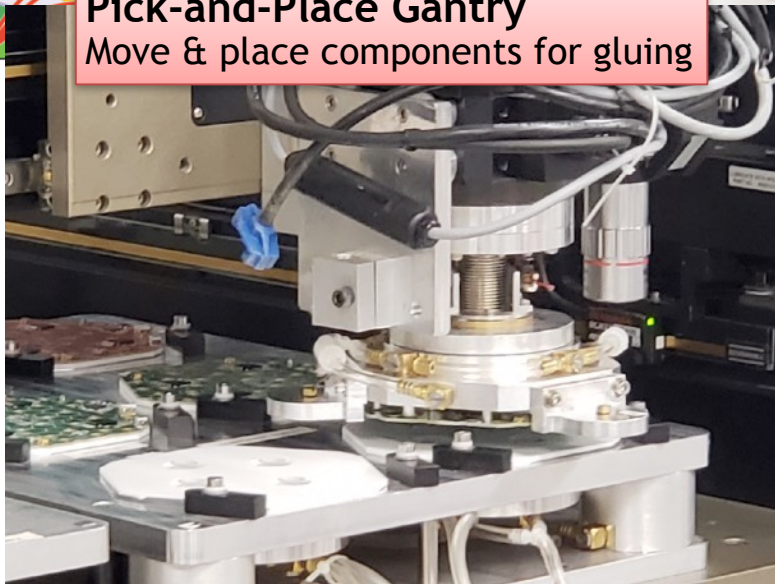
MAC CMU

MAC TTU



Assembly center equipment

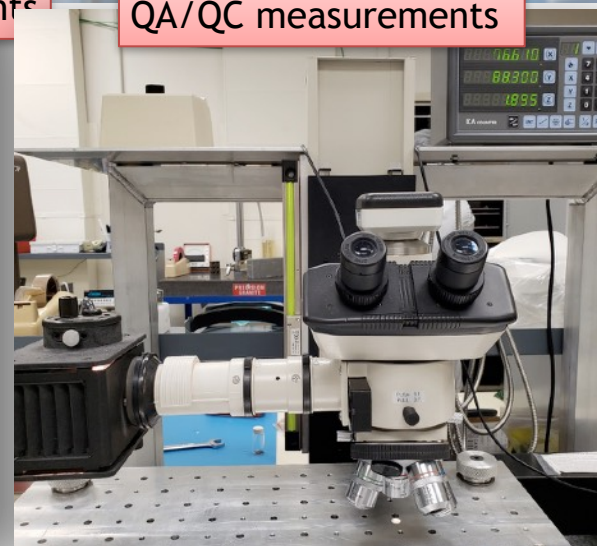
Pick-and-Place Gantry
Move & place components for gluing



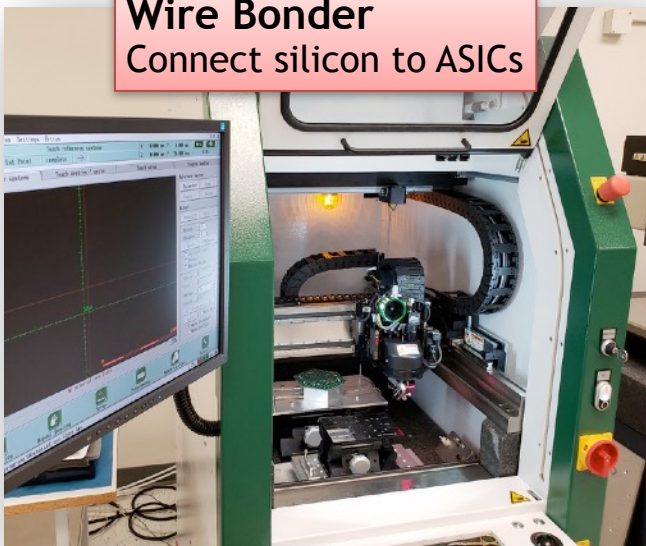
OGP microscope
Precise height measurements



Optical microscope
QA/QC measurements



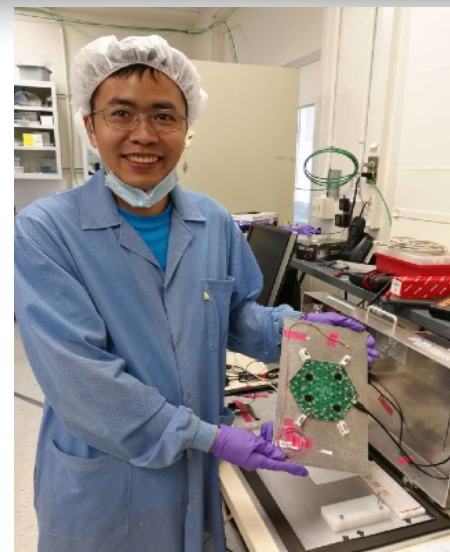
Wire Bonder
Connect silicon to ASICs



Pull Tester
Test strength of wire bonds

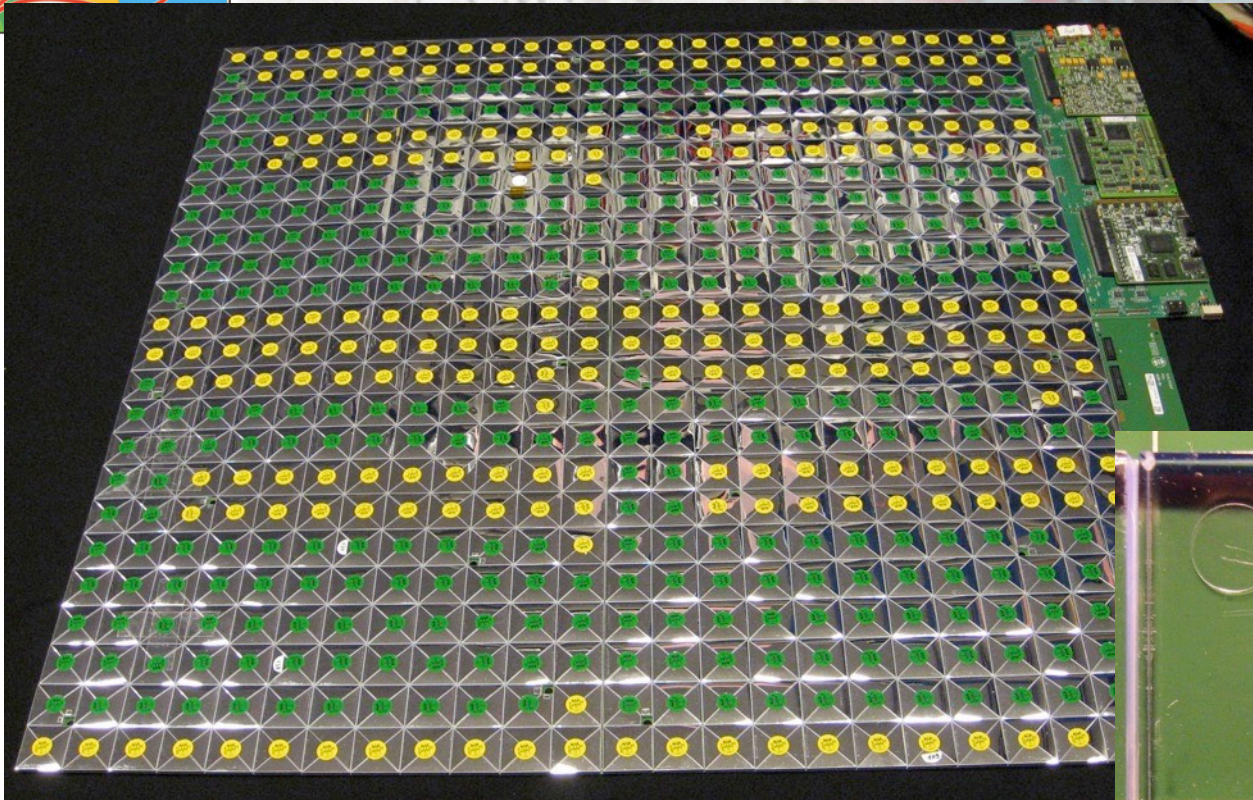


+



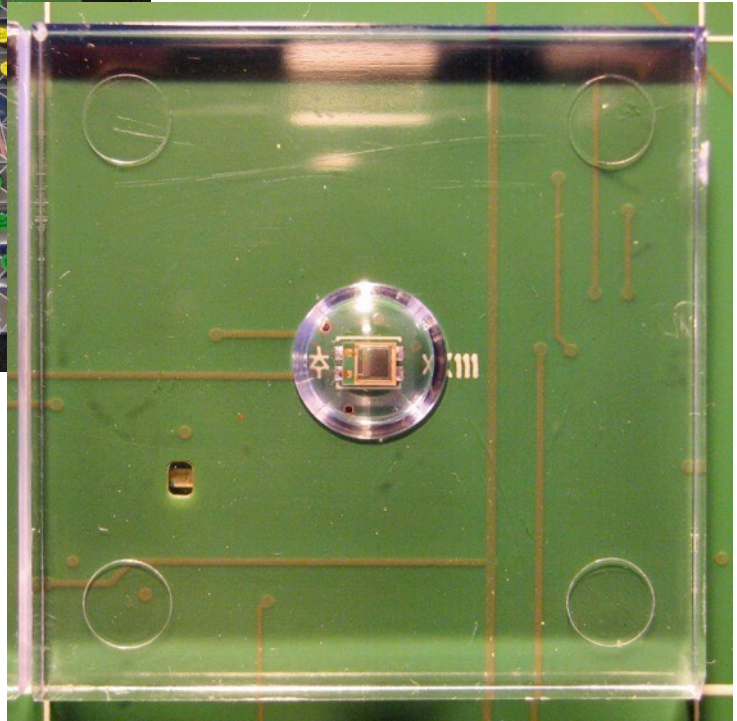


500m² of scintillator tiles with on-tile SiPM readout



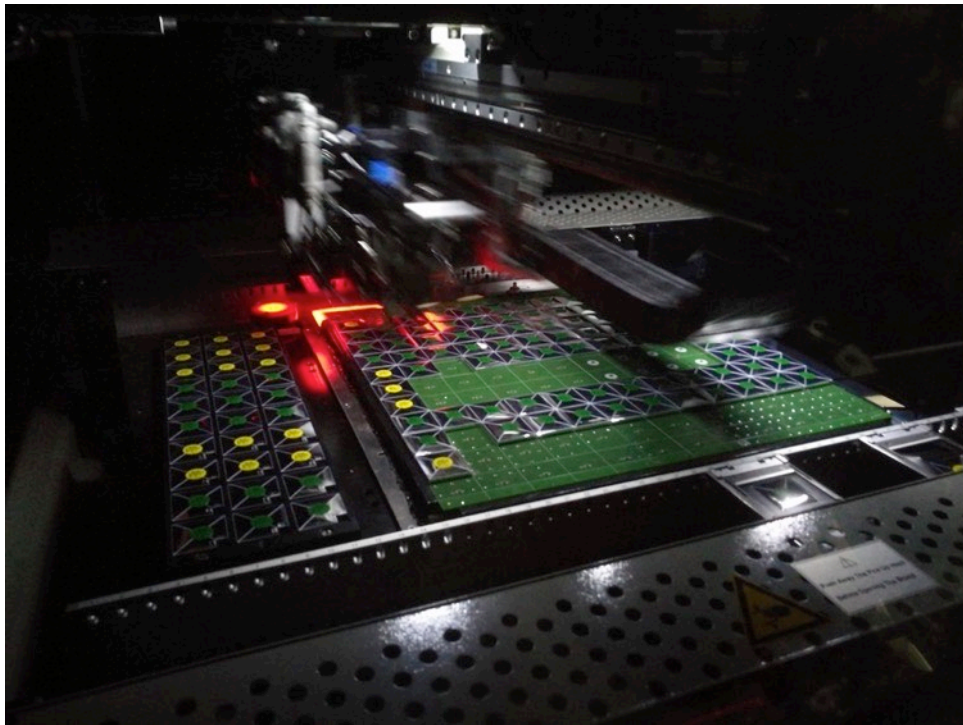
SiPMs already used successfully in e.g. CMS HCAL Phase 1 upgrade

For first beam tests, modified CALICE AHCAL (ILC R&D effort) used for rear hadron calorimeter: 3x3cm² scintillator tiles + direct SiPM readout





Reusing LC CALICE tooling and experience



30 x 30 x 3 mm³ tiles,
automatically wrapped and placed
by “gantry” machine





HGCAL: born out of several past technologies

Calorimeter

- High dynamic range
- Triggering capability

And full-scale production must start in ~2021!

HGCAL

Tracker

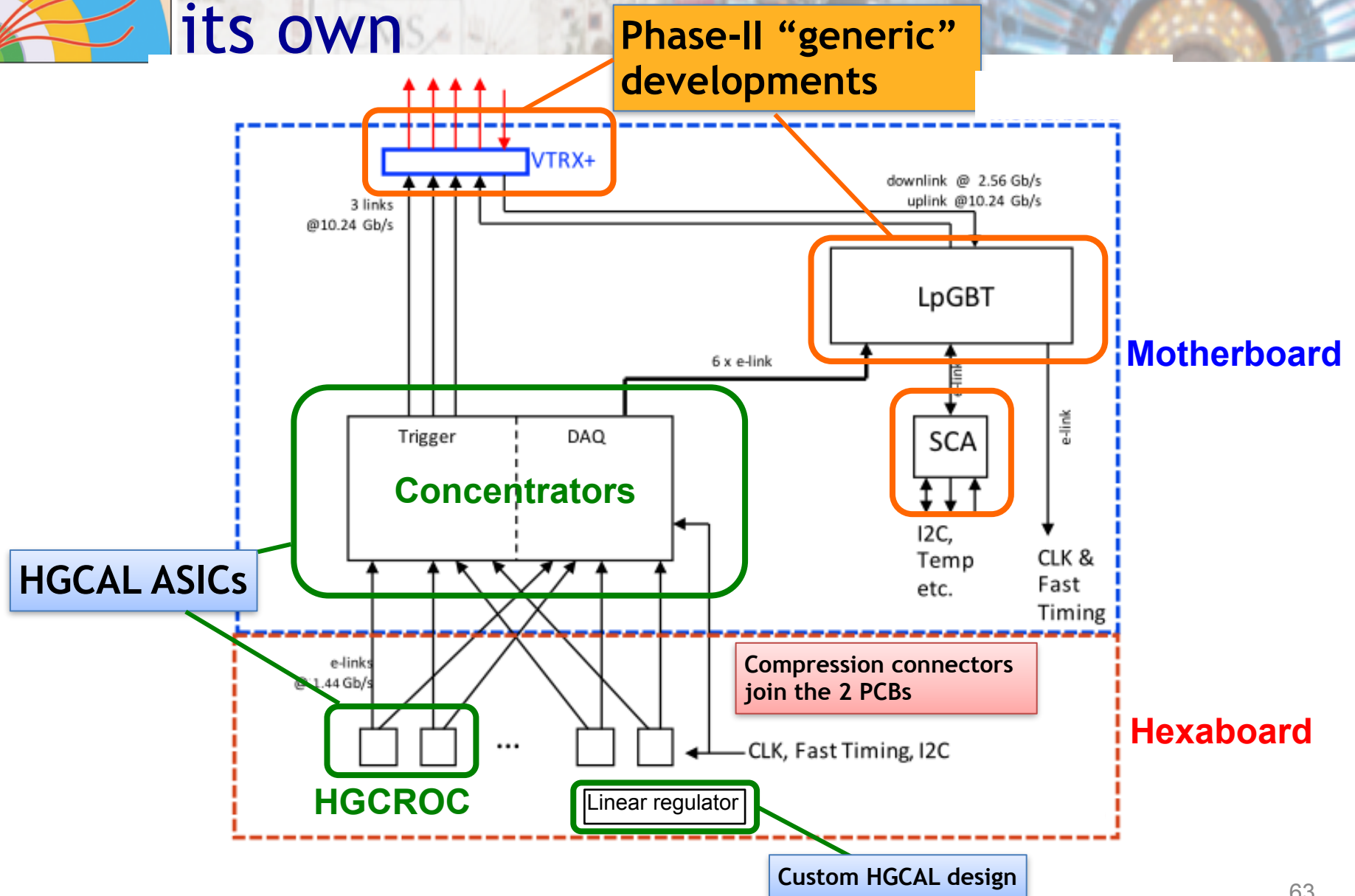
- #readout channels
- MIP sensitivity
- Physical size
- Low power

Pixel

- Radiation
- MIP sensitivity
- Space constraints



Electronic readout: a challenge on its own





The front-end ASIC is particularly challenging in the compact & mixed-technology HGCAL

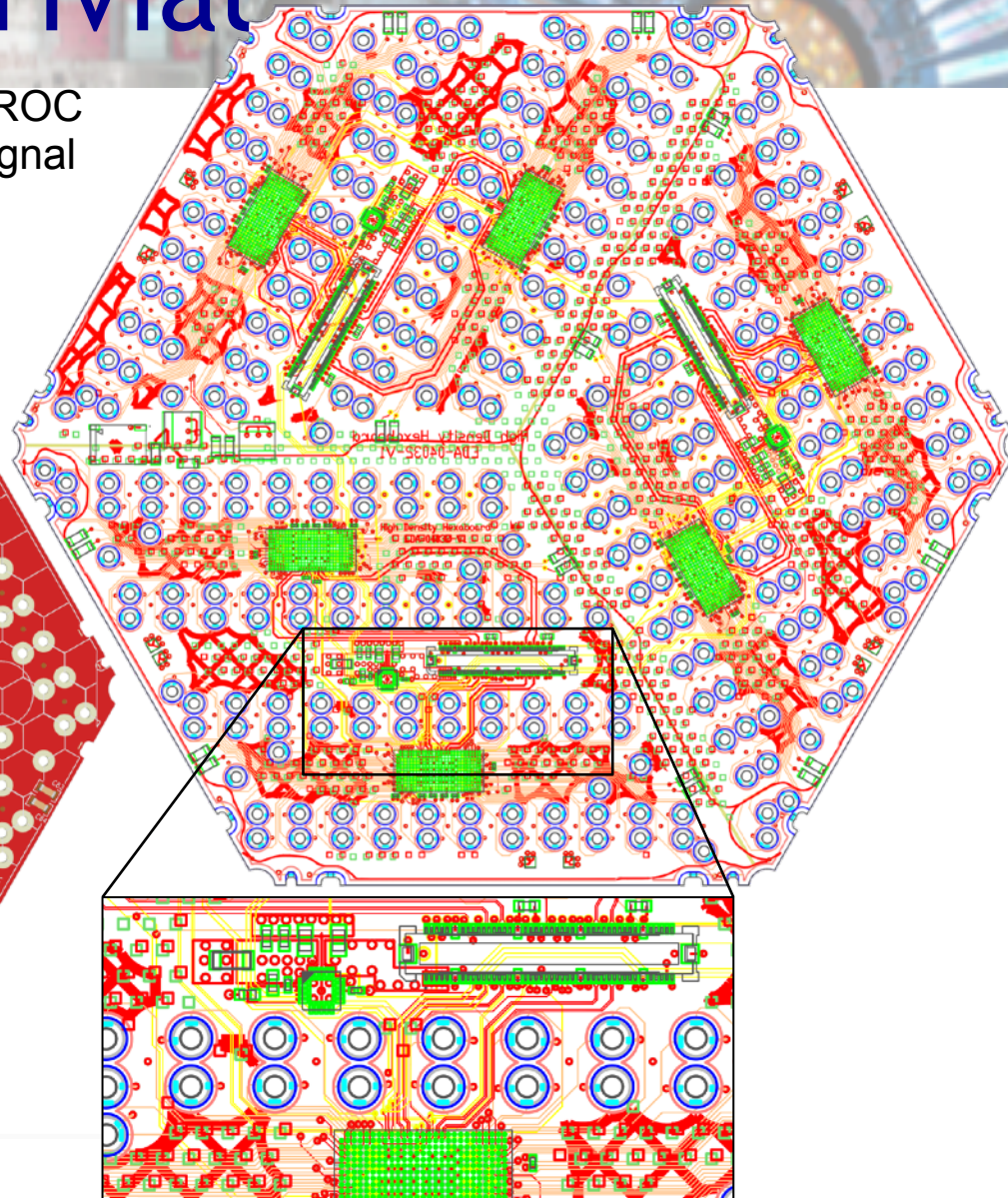
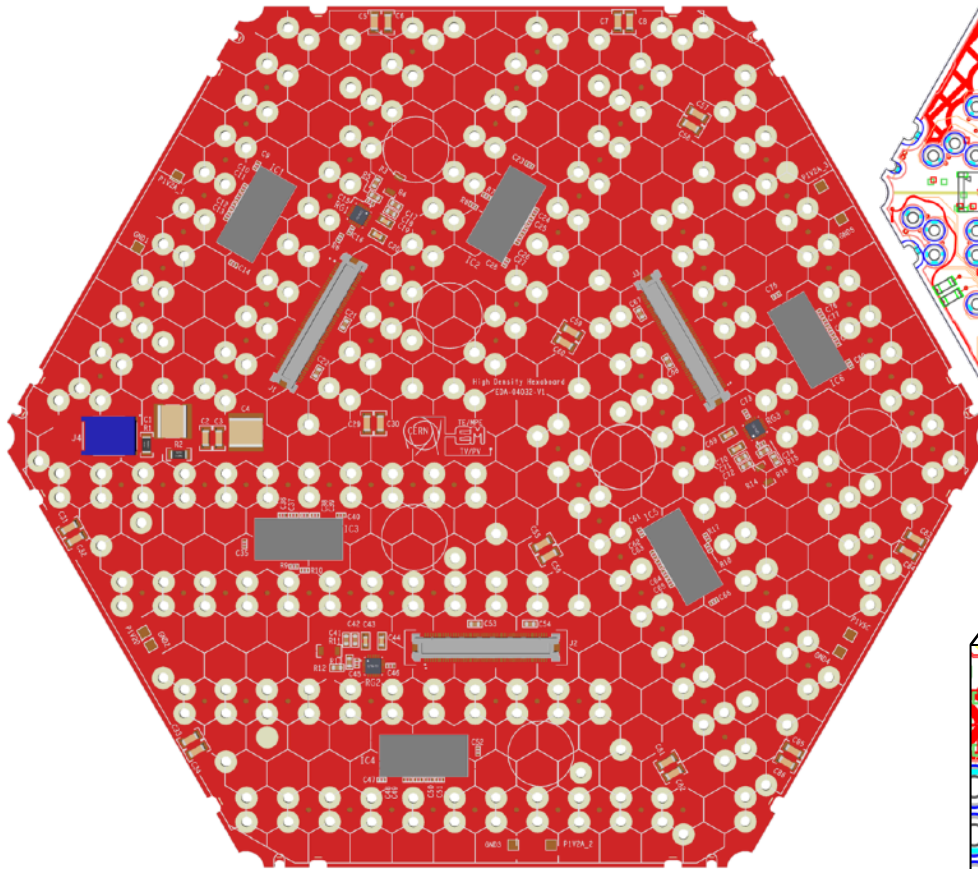
- Compatibility with -ve & +ve inputs for n-on-p and p-on-n silicon and SiPMs
- Low noise* (<2500e-) despite ~65pF silicon cell capacitance
 - Measure MIPs (~3.5fC in 300 μ m silicon) with S/N > 3 for whole lifetime of HL-LHC
- High dynamic range (~0.2fC \rightarrow 10pC, i.e. 16-bits)
 - \rightarrow Use 130nm CMOS with 1.5V supply
 - Integral linearity better than 1% over full range
- Provide timing information to < 100ps for signals above tens of fC
 - Need clock distribution jitter 10-15ps (same specs as for other CMS detector upgrades)
- Fast shaping time (<20ns) to minimize out-of-time pileup
- On-detector digitization, linearization and zero suppression
- Creation of trigger sums
- Buffering of data to accommodate 12.5 μ s L1 latency
- <20mW per channel (~limited by cooling power) include I_{leakage} compensation
- High radiation resistance (~2MGy and 10^{16} n_{eq}/cm² & SEU robustness)

*want S/N ~8 at beginning of HL-LHC for 1 MIP in 120 μ m silicon ~ 1.5fC;
upper limit from 1.5TeV photon shower producing ~6000 MIPs in a single cell



Nothing is trivial

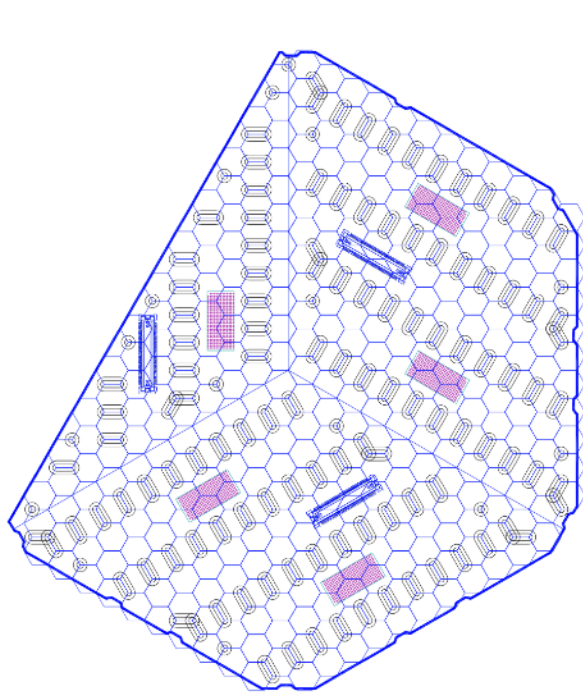
8" "High Density" Hexaboard with 6 x HGCROC ASICs to read 432 silicon cells and route signal to 3 FX11 low-profile connectors



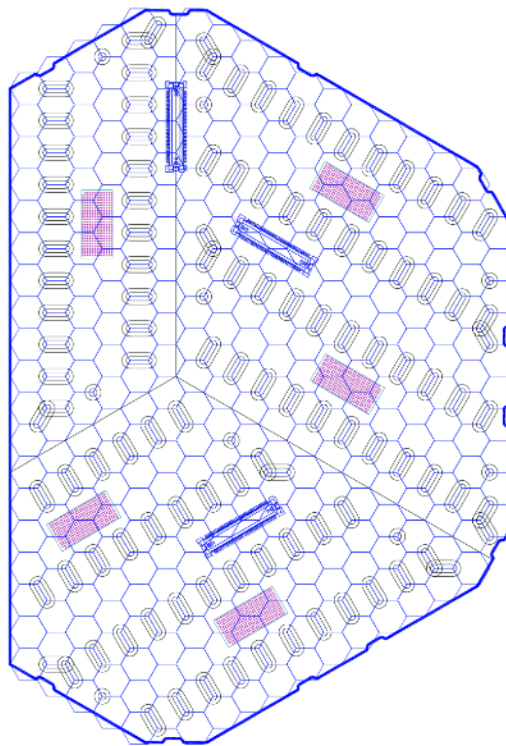


Taking care of the edges

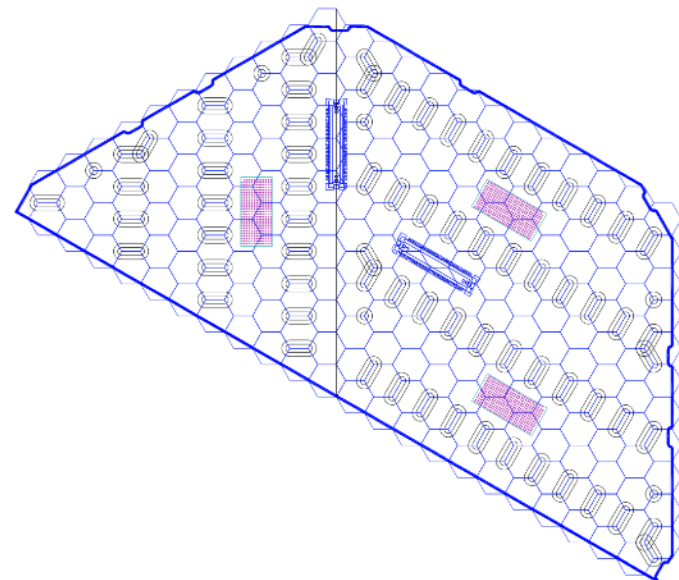
In parallel, started work on the design for partial sensors, needed to improve coverage on inner and outer radius



Five (83% of full area)



Choptwo (79% of full area)



Half(50% of full area)



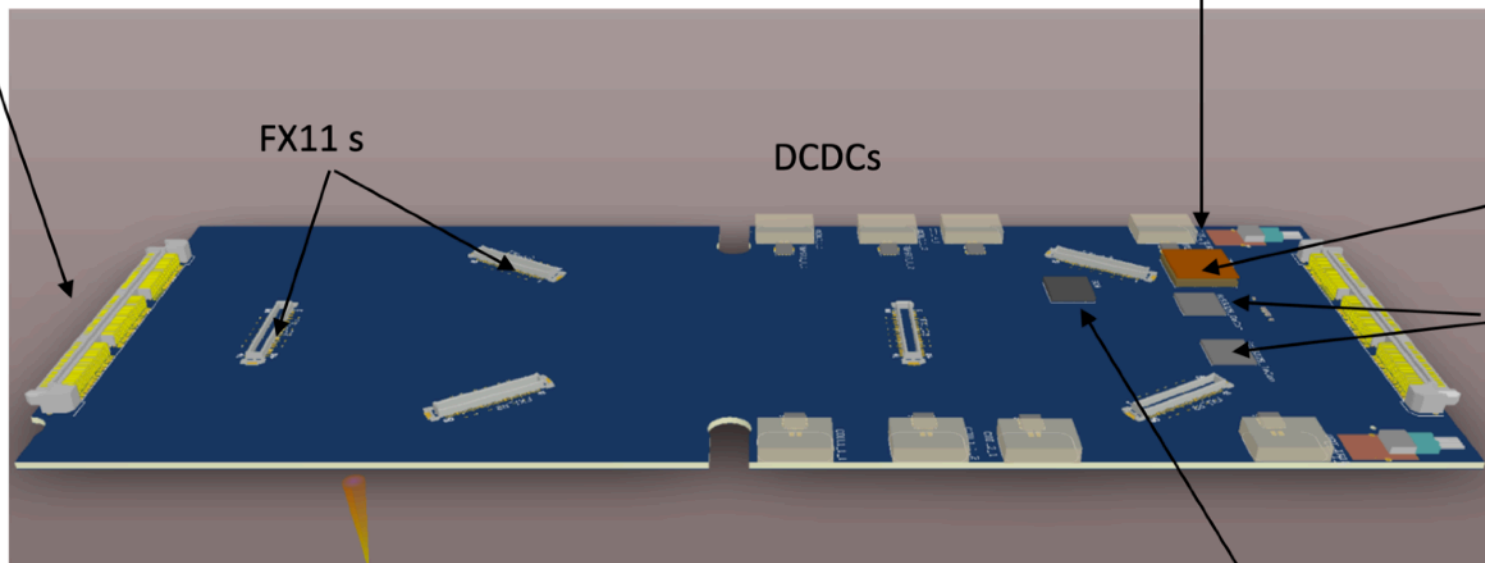
Motherboard connects to 2-5 hexaboards & contains electrical/optical interfaces

Motherboard functionality:

- Aggregates, formats & serializes the data (read at the L1 trigger frequency of up to 750kHz) in the **data concentrator ASIC**
- Selects trigger sums of interest, aggregates, formats and stores these data in a 12-bunch-crossing FIFO in the **trigger concentrator ASIC**
- Interfaces concentrators to **IpGBT** → **VTRX+** for data/trigger transmission
- Receives and distributes fast control signals
- Take input from on-detector environmental sensors and transmits outside
- Distributes power to detector modules – LV & bias

Power connector

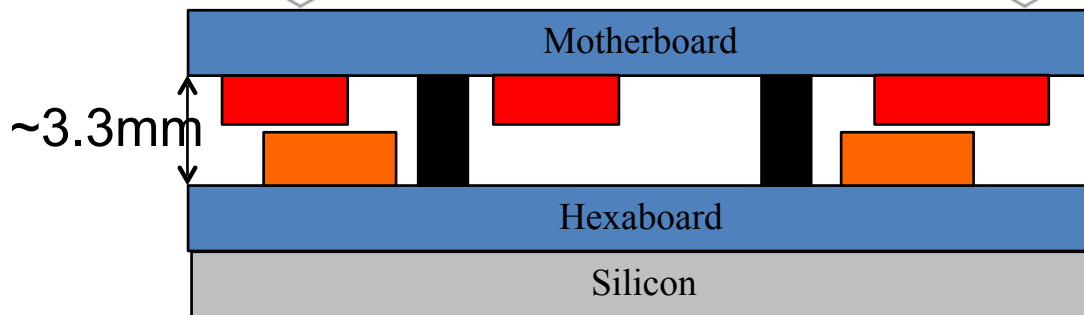
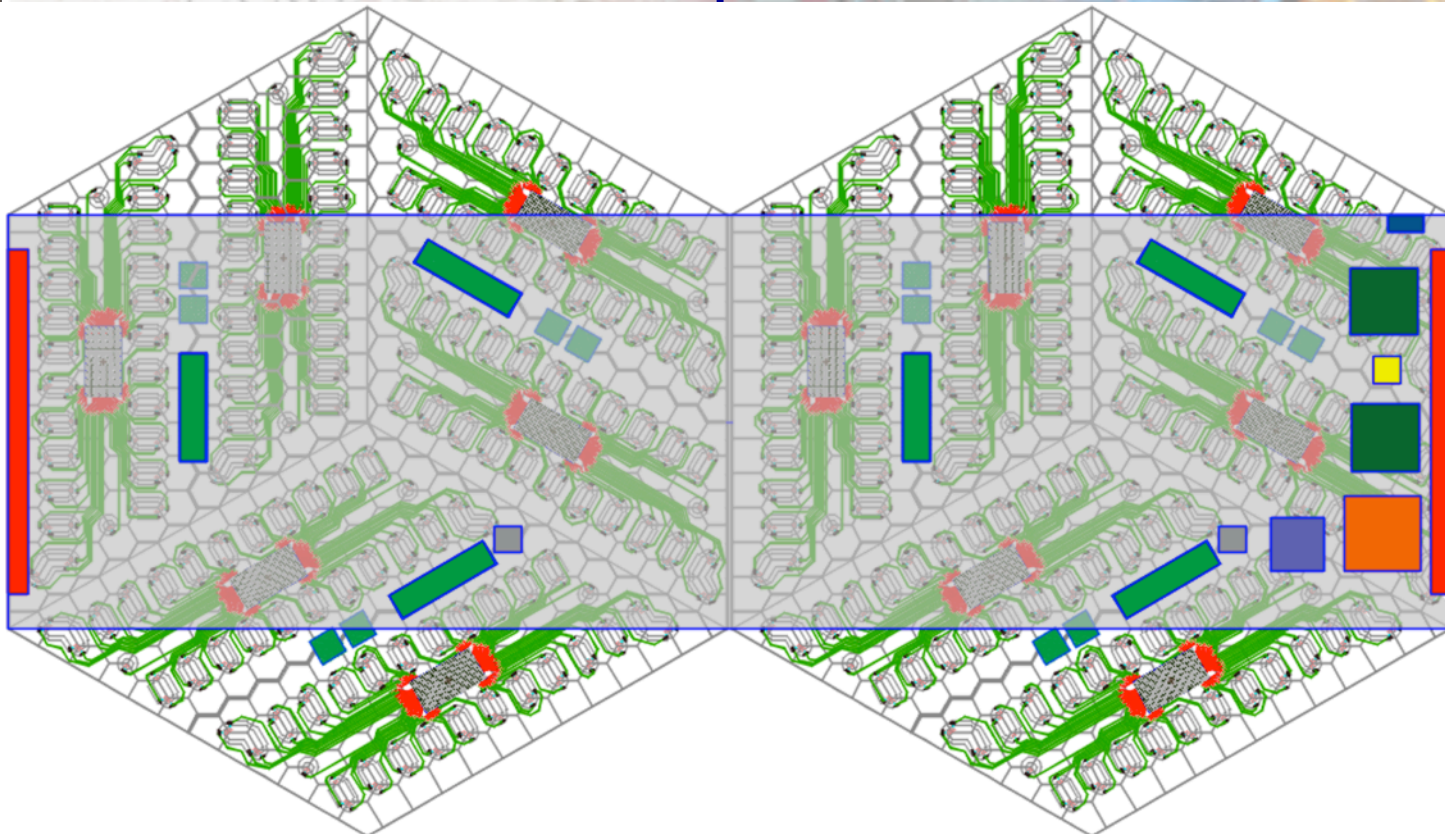
VTRX+ (optics)



SCA



Motherboard connects to 2-5 hexaboards & contains electrical/optical interfaces

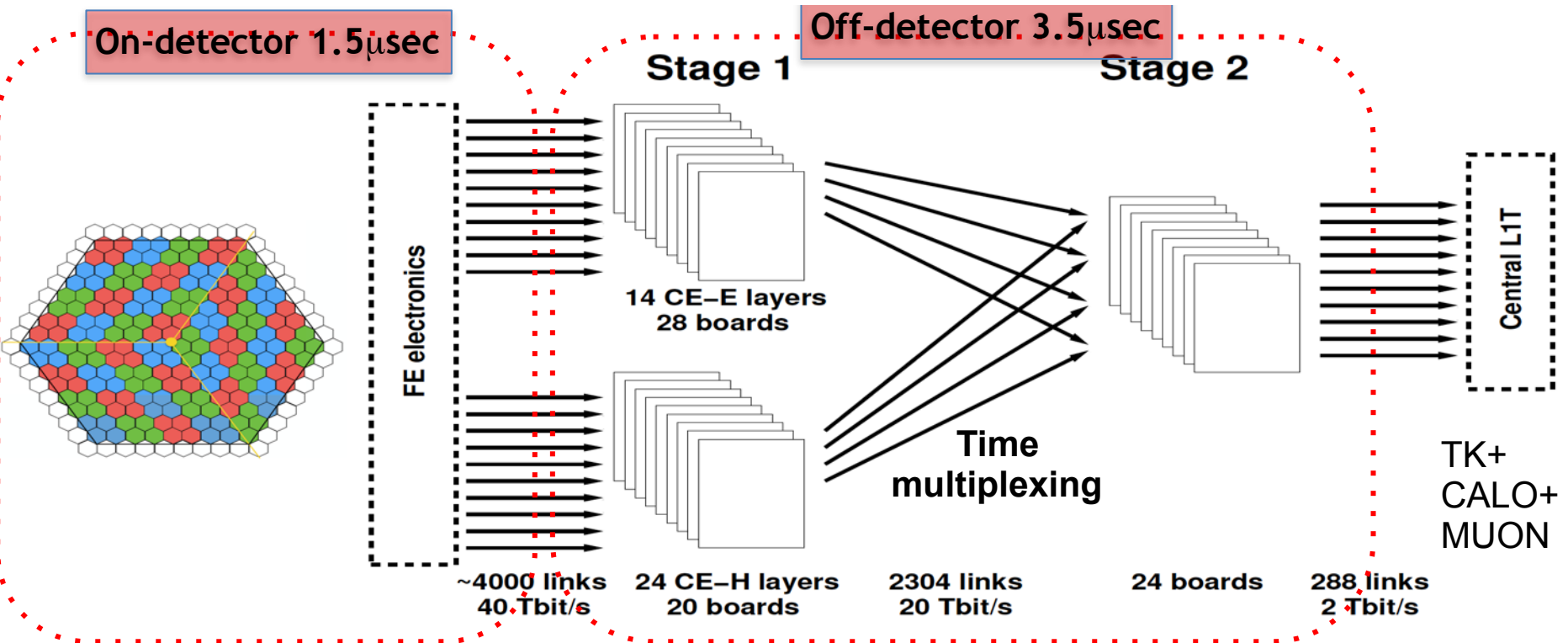


~1 million trigger cells (TC) in HGCal,

Stage-1: Dynamical clustering techniques based on the Nearest Neighbour TCs to generate 2D-clusters in each HGCal trigger layer.

Stage-2: Generation of 3D-clusters relying on the longitudinal development of the shower, exploiting the projected position of each 2D-cluster to identify its direction.

The Stage-1 → Stage-2 data transmission is x24 time-multiplexed to allow all data from one endcap to be processed by one FPGA



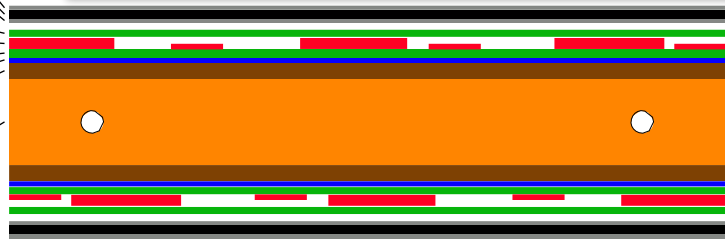
Presently exploring Serenity ATCA board for Trigger & DAQ back-end



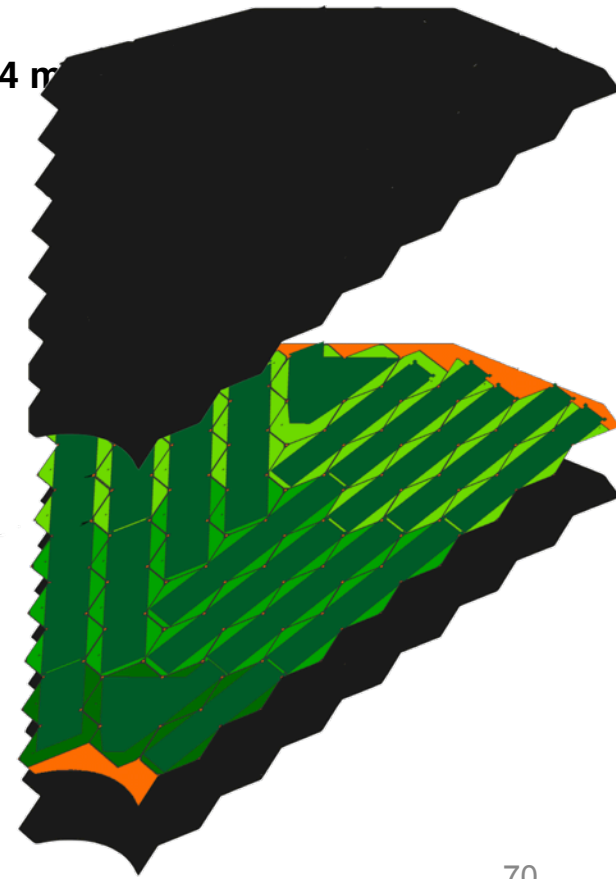
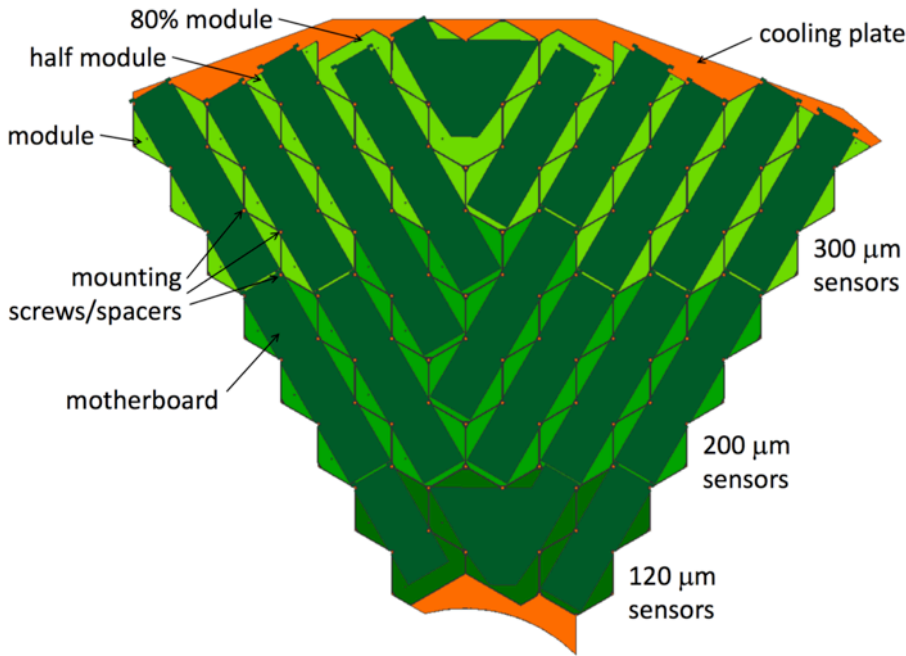
CE-E (EM section) cassettes are self-supporting sandwich structures with Pb, Cu and Cu/W as absorbers

- Stainless-steel clad
- Pb absorber
- mm Stainless-steel clad
- PCB motherboard
- ASICs etc. PCB
- sensor board
- Silicon
- CuW baseplate
- Cu cooling plate

Modules placed on both sides of Cu cooling plane and "closed" with steel-wrapped Pb plates

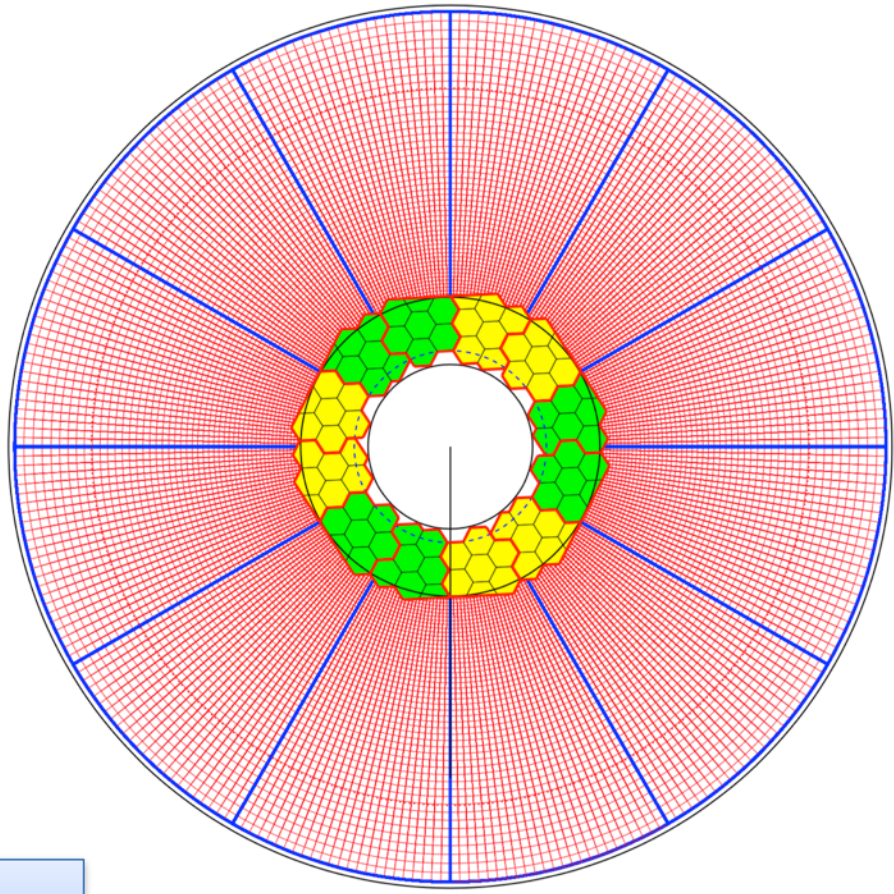
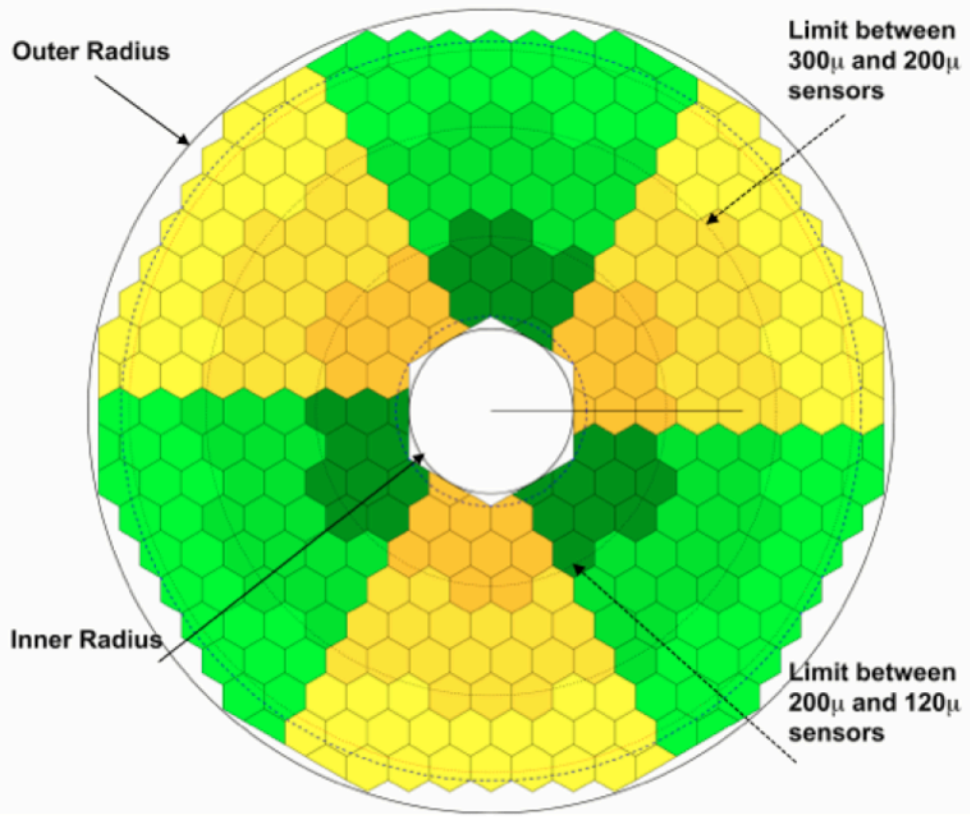


~24 mm





Wedge-shaped “Cassettes” containing arrays of silicon modules or silicon+scintillator/SiPM



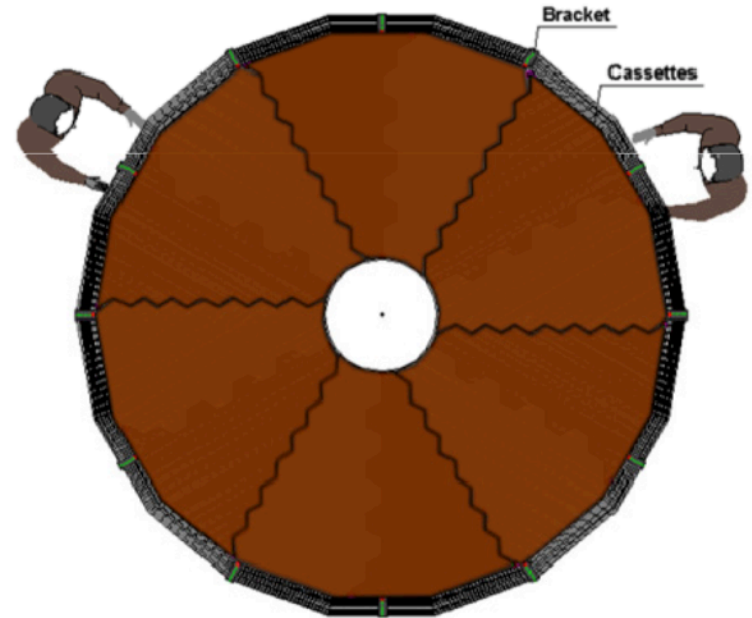
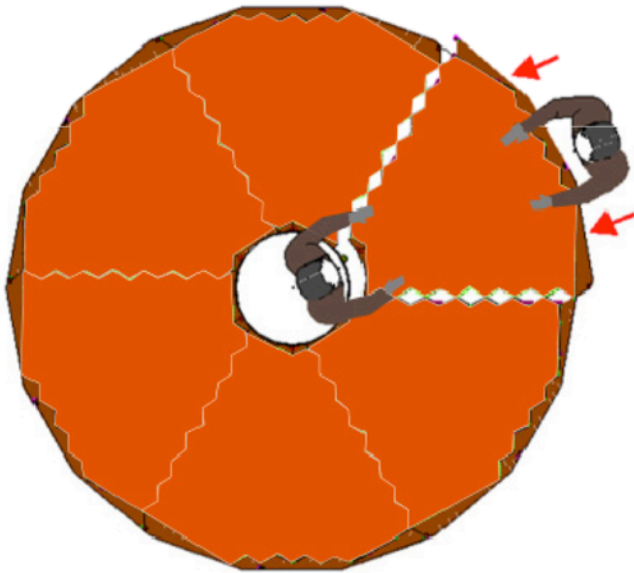
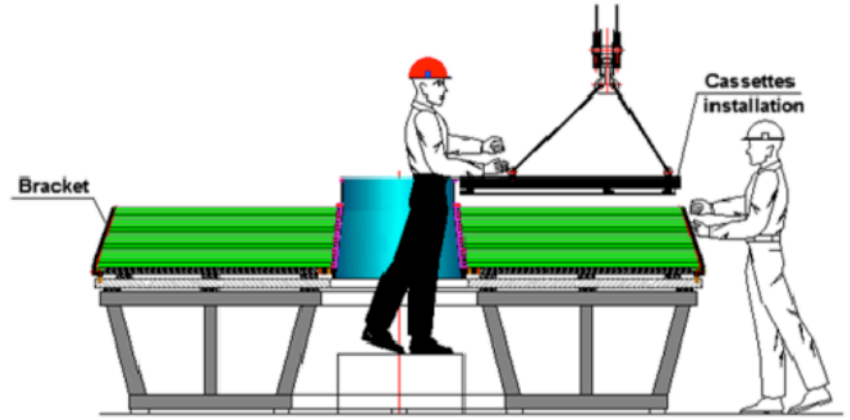
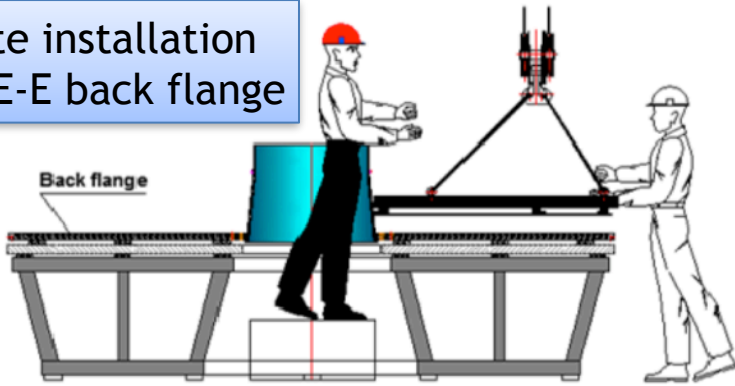
Silicon-only layer (in CE-E) showing “cassettes” and different sensor thicknesses

Mixed layer (in CE-H) with silicon at high η and scintillator+SiPM at low η



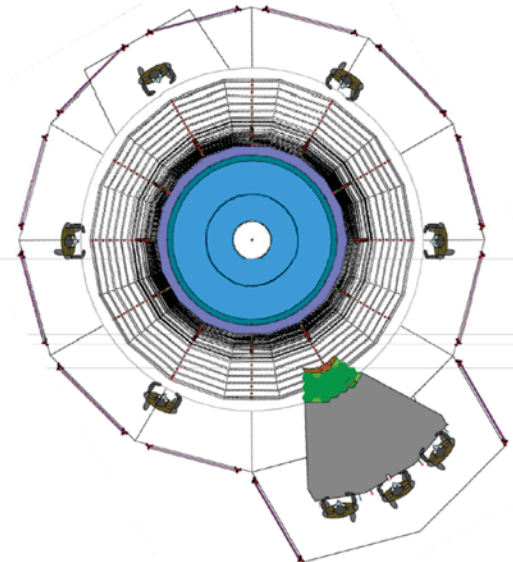
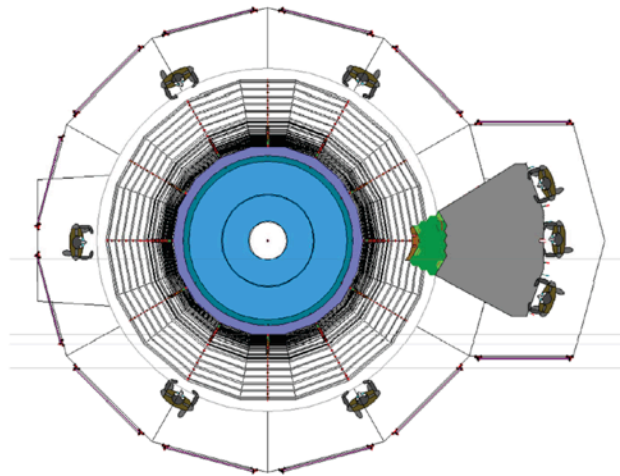
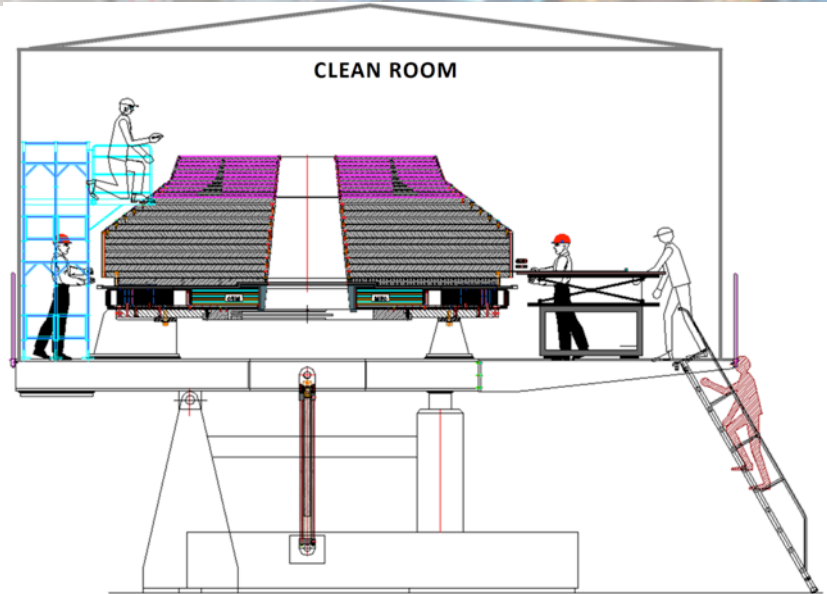
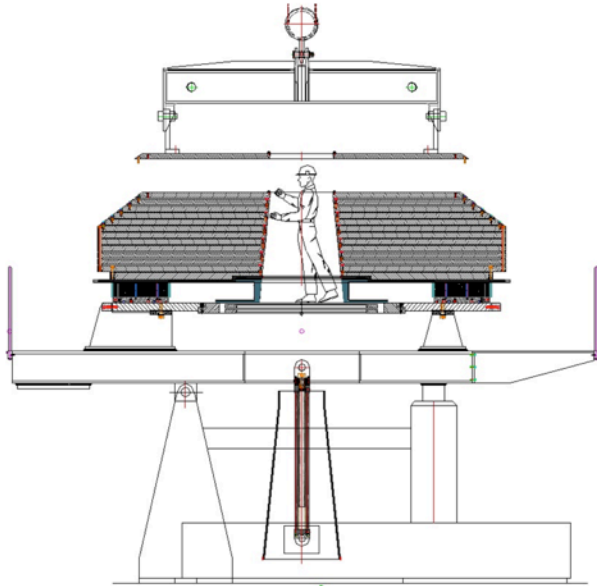
Assembling CE-E: self-supporting cassettes are assembled horizontally

Cassette installation onto CE-E back flange



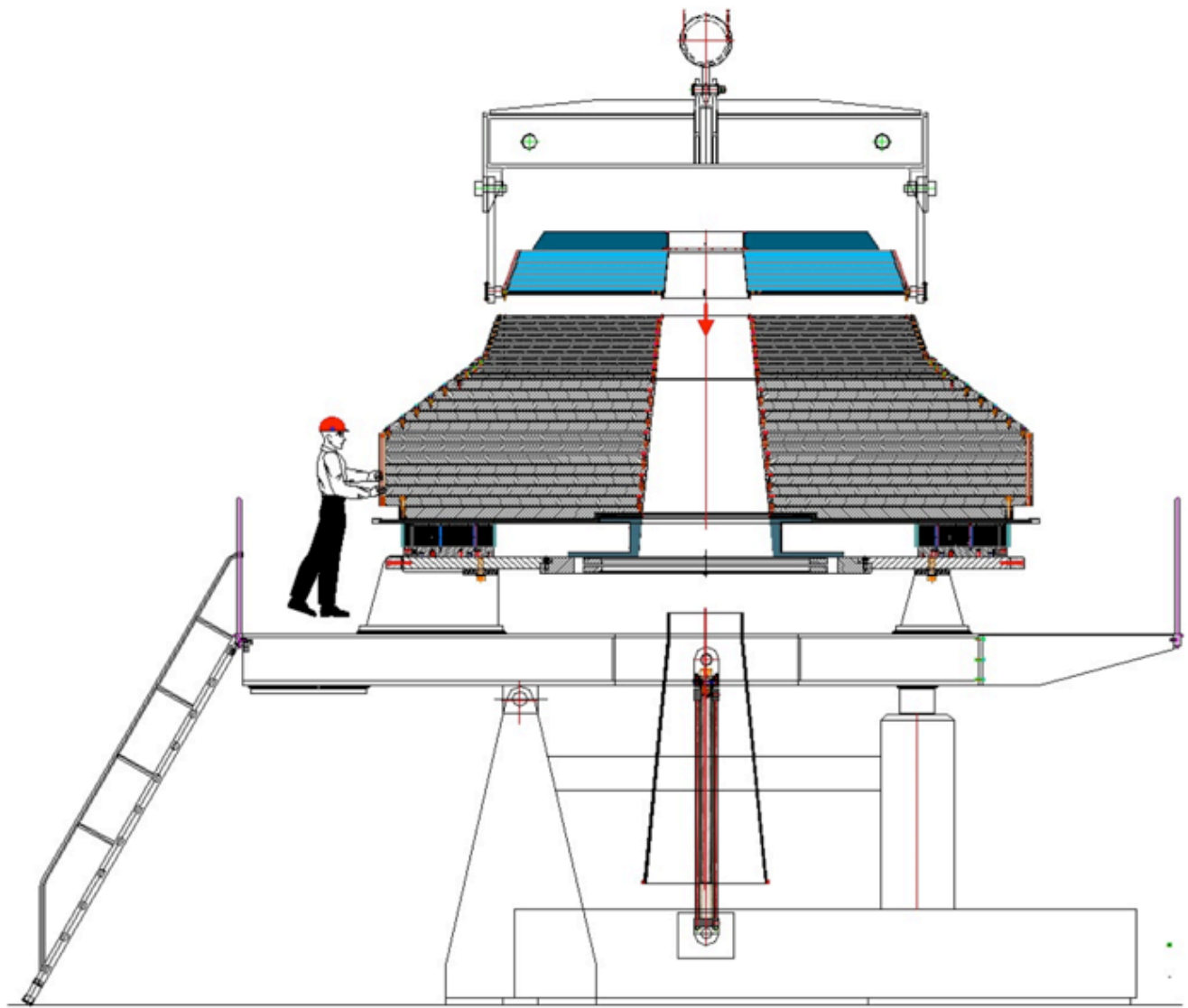


CE-H (Hadronic calo section) is assembled in two steps: absorber material, followed by insertion of cassettes





Final assembly step: attach CE-E to CE-H

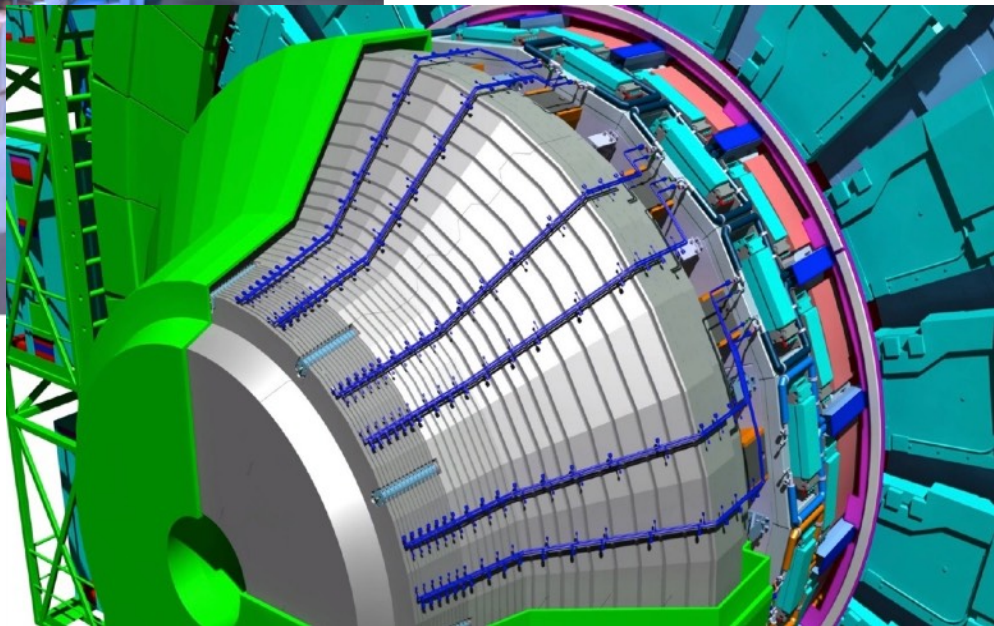




The services (electrical, optical, cooling) feeding the cassettes is also a major challenge

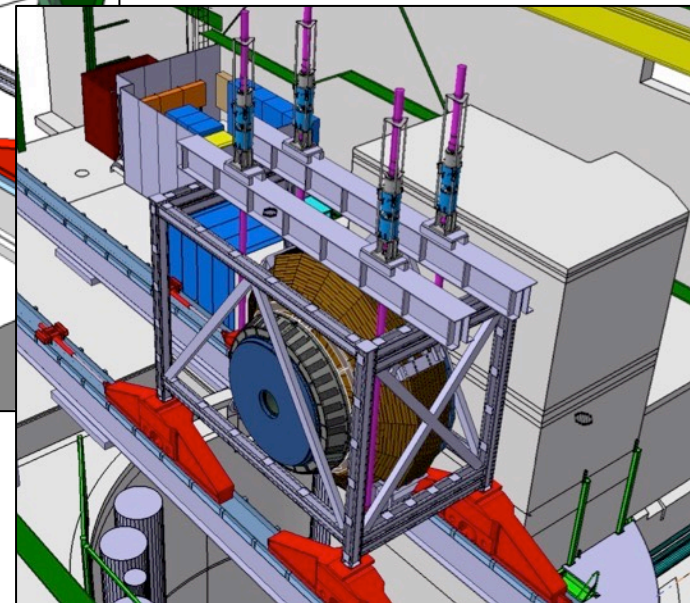
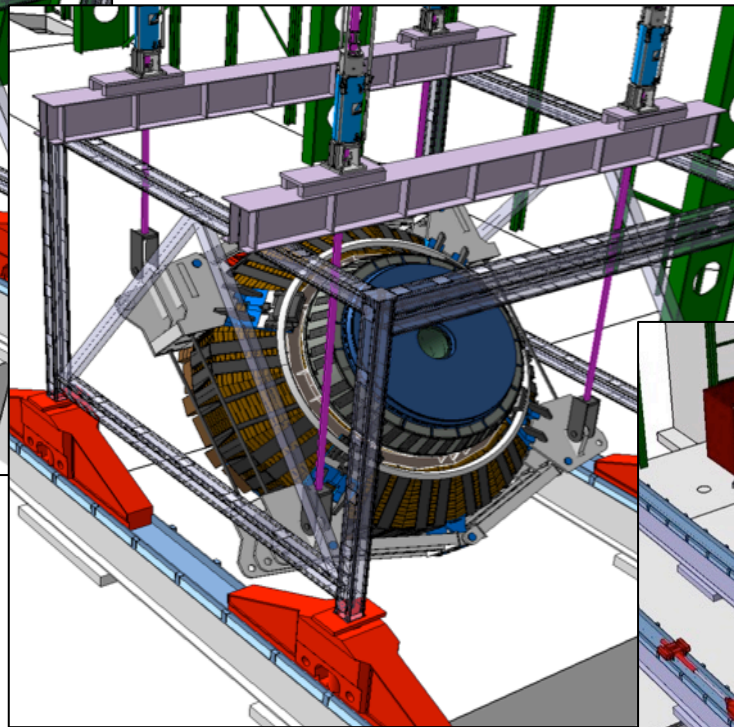
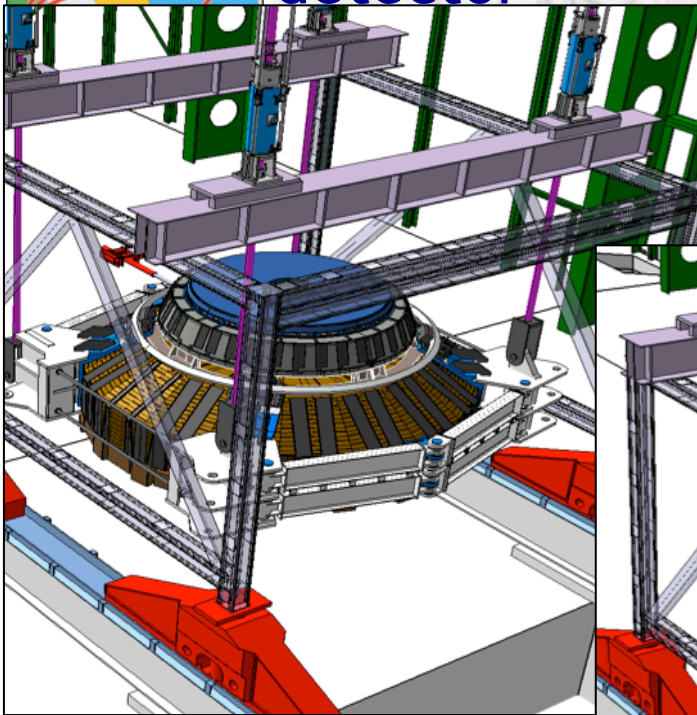
SERVICES INTEGRATION

20.09.2018
karol.jozef.rapacz@cern.ch





Special tools needed to handle/transport/install detector





Performance

Test beam

Simulation



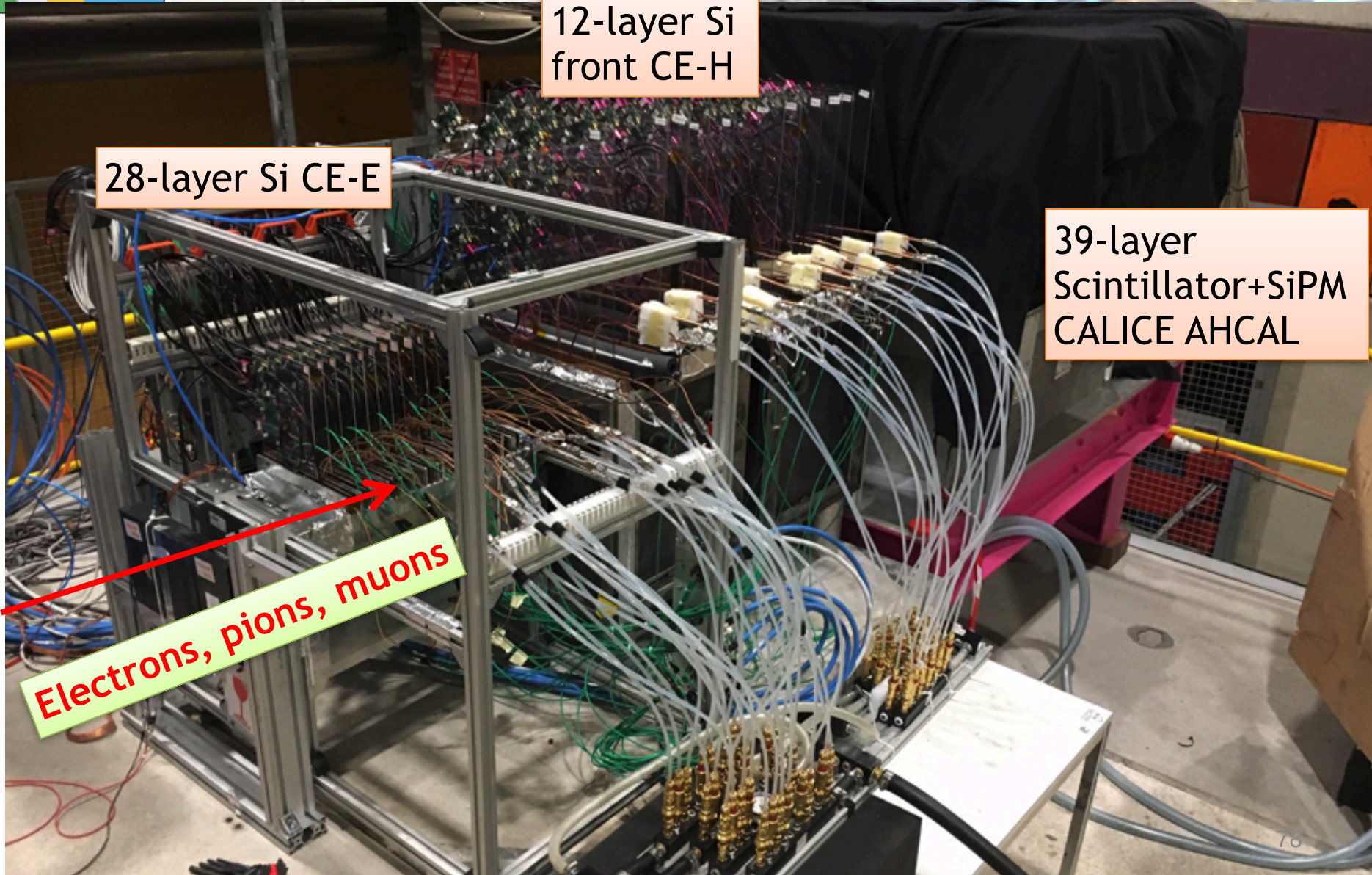
~100 Prototype silicon modules
+ CALICE AHCAL tested at CERN in 2018

12-layer Si
front CE-H

28-layer Si CE-E

39-layer
Scintillator+SiPM
CALICE AHCAL

Electrons, pions, muons



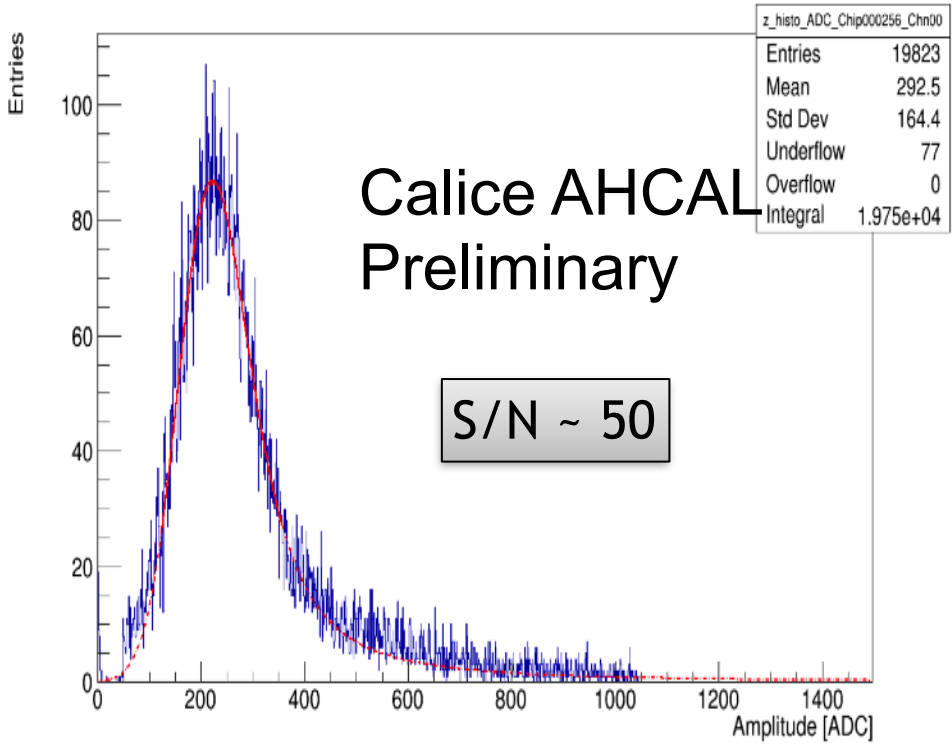
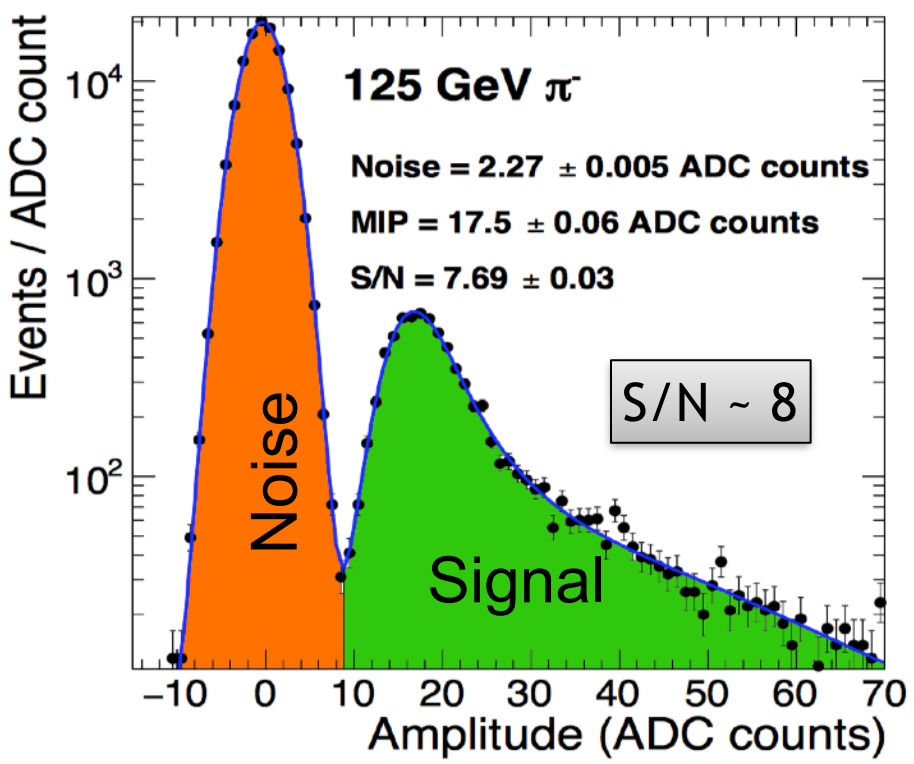
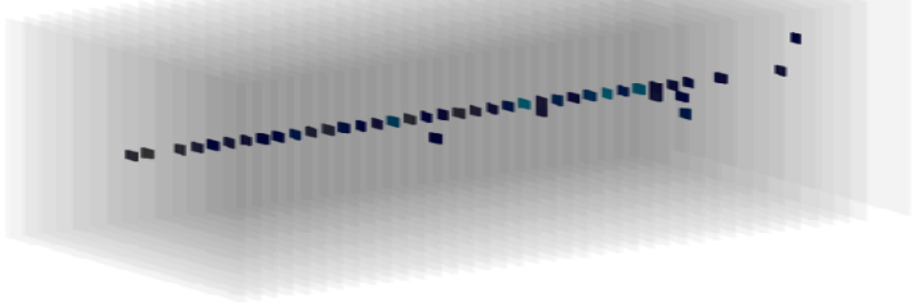
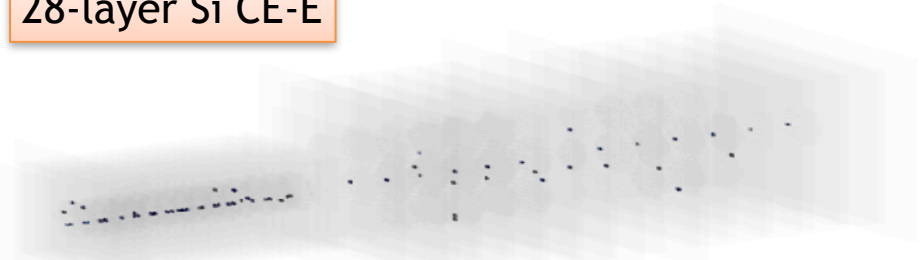


150 GeV muons: for MIP calibration

39-layer Scintillator+SiPM CALICE AHCAL

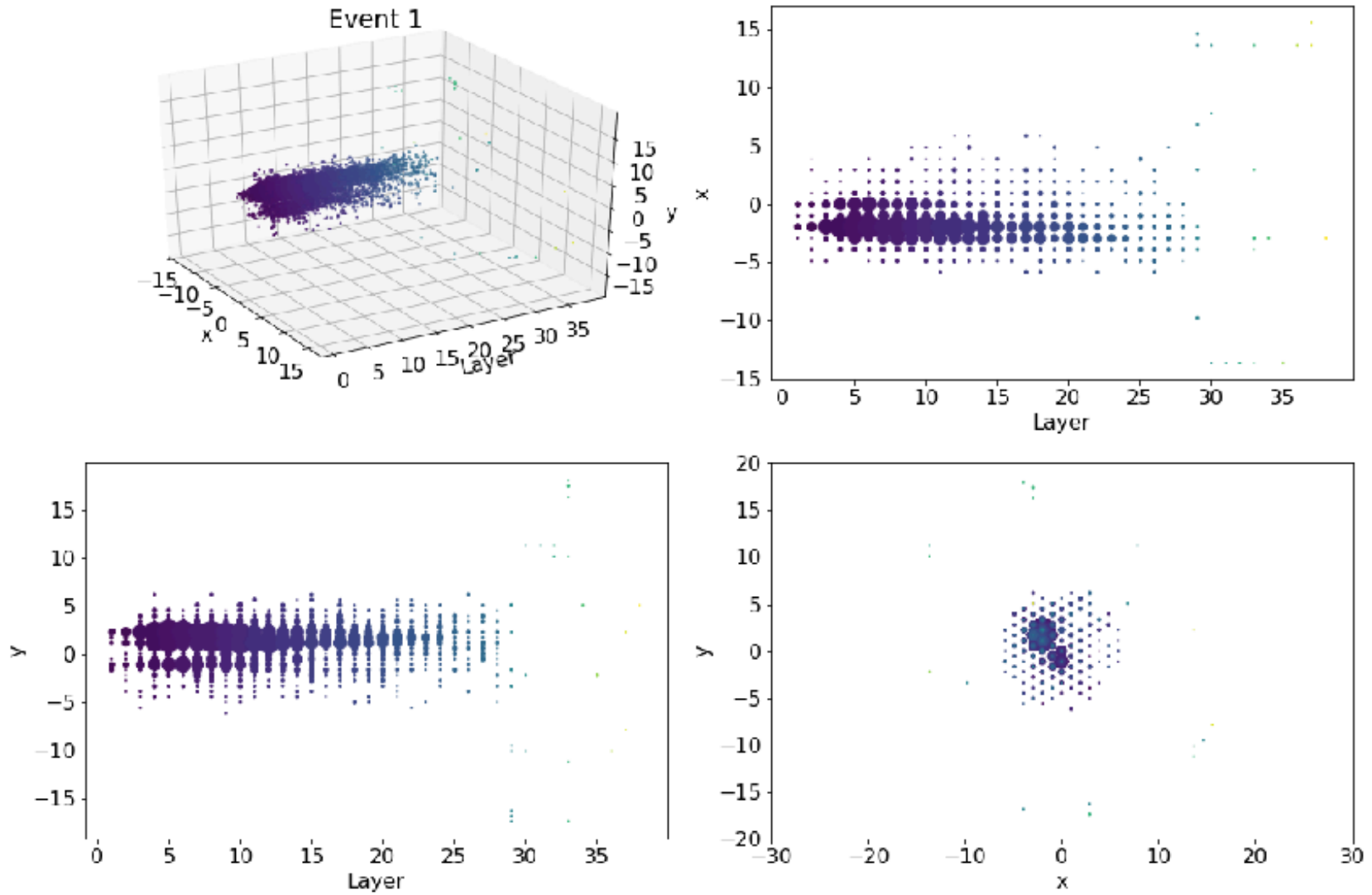
12-layer Si front CE-H

28-layer Si CE-E





300 GeV electron shower: event

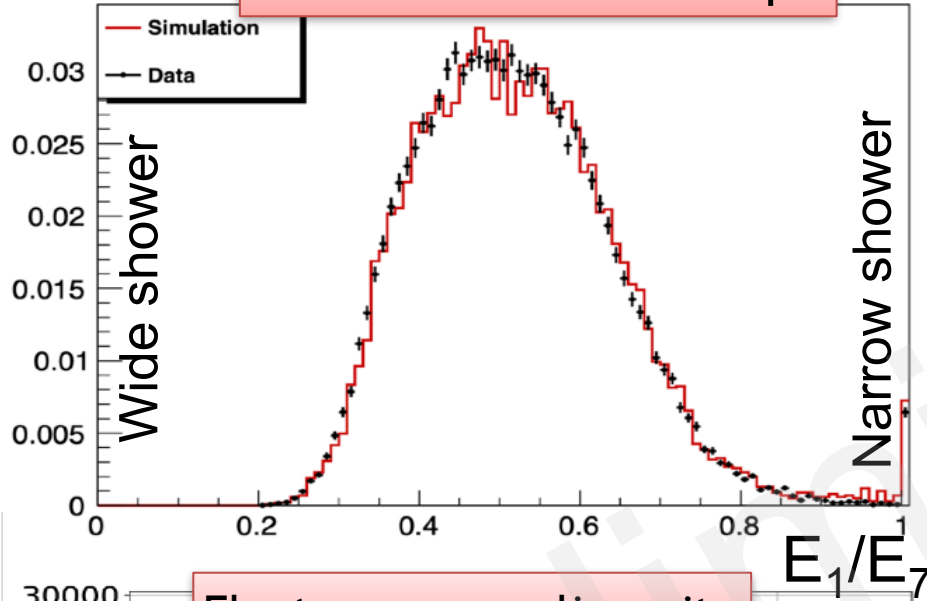


2 energy clusters seen due to **electron bremsstrahlung** upstream of HG

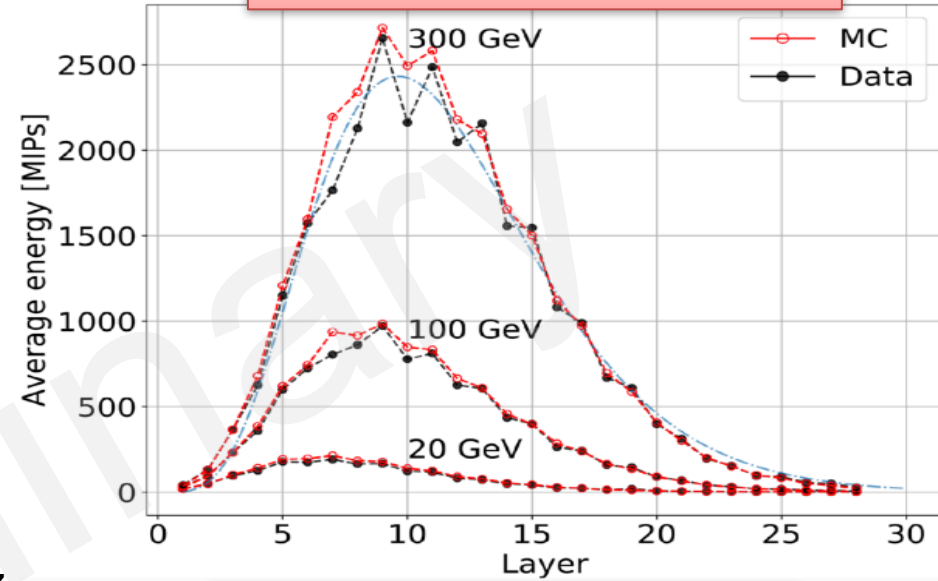


Beam tests 2016-2018: validated basic design; good comparison to simulation for electrons

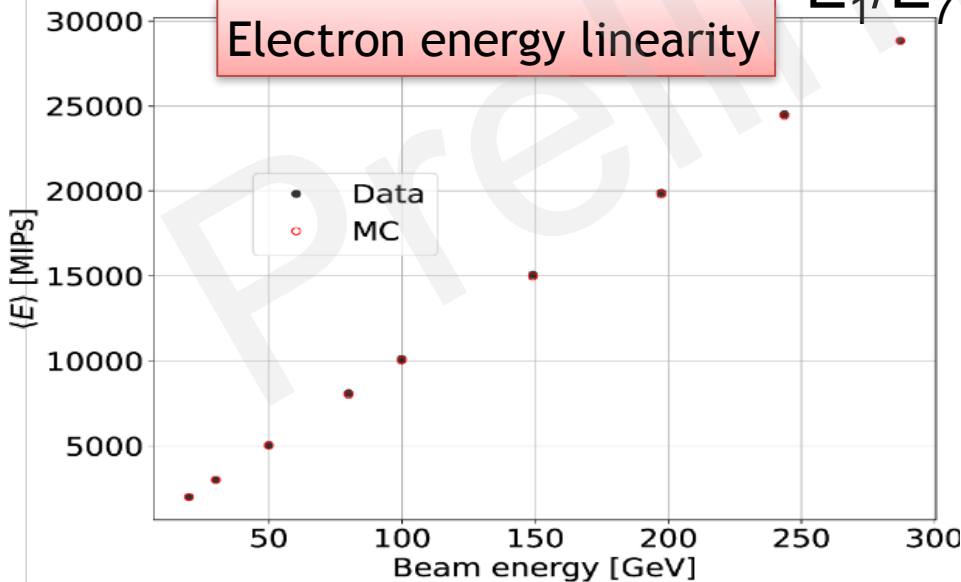
Transverse shower shape



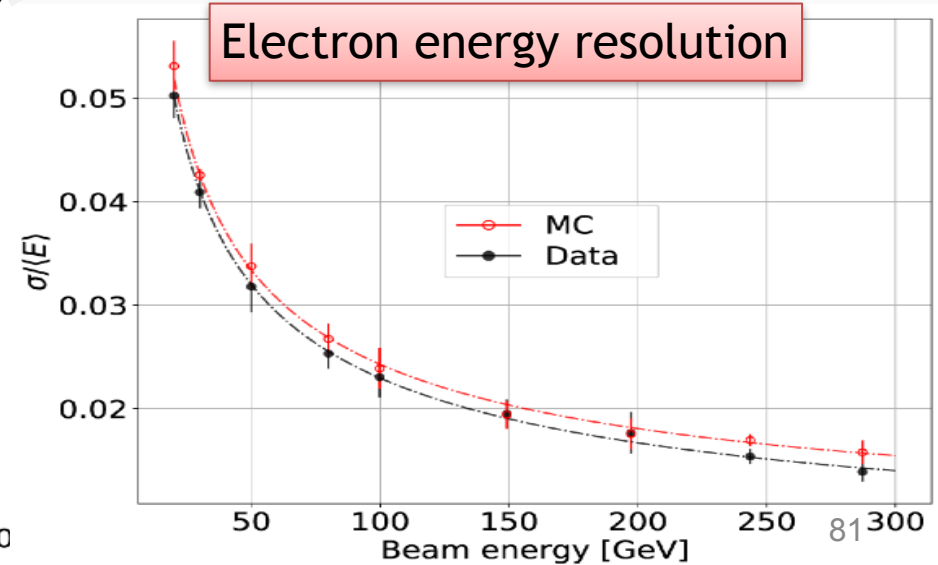
Longitudinal shower shape



Electron energy linearity

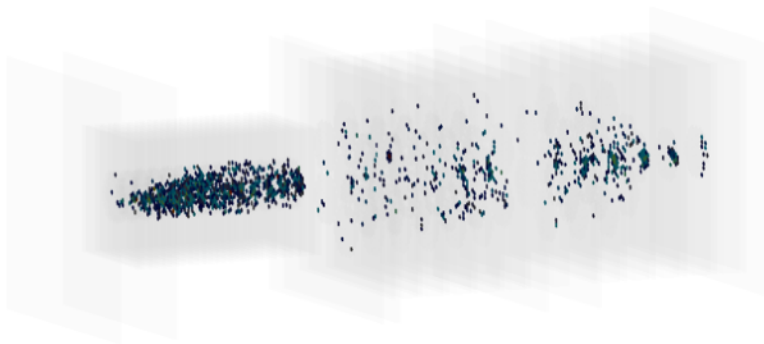


Electron energy resolution



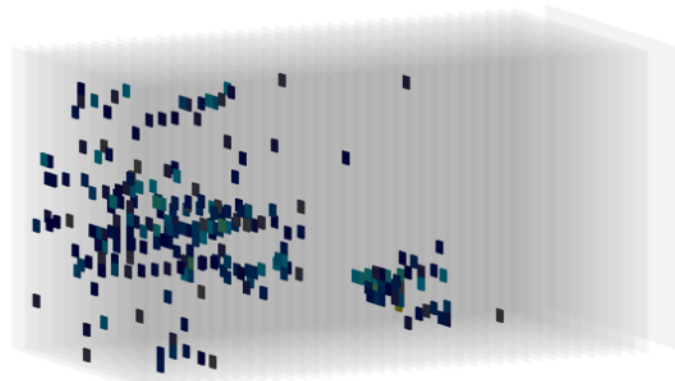


300 GeV hadron showers: event

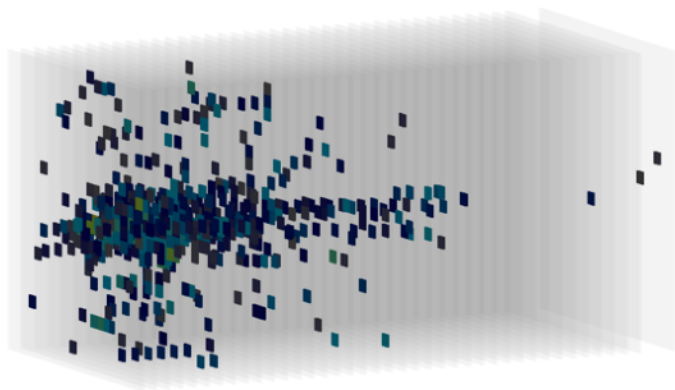
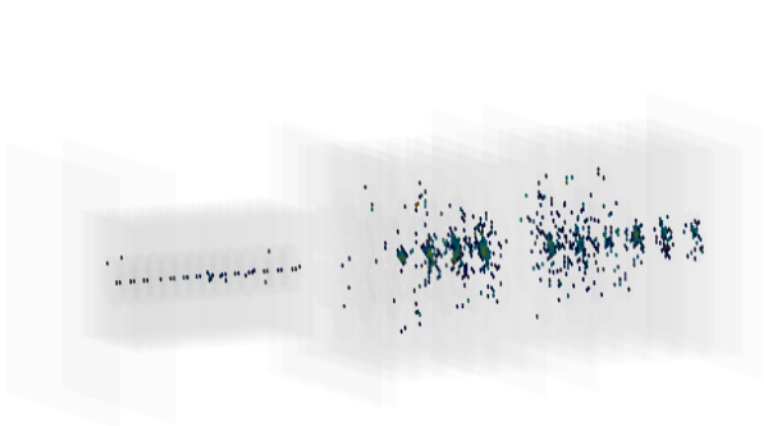


28-layer Si CE-E

12-layer Si front CE-H



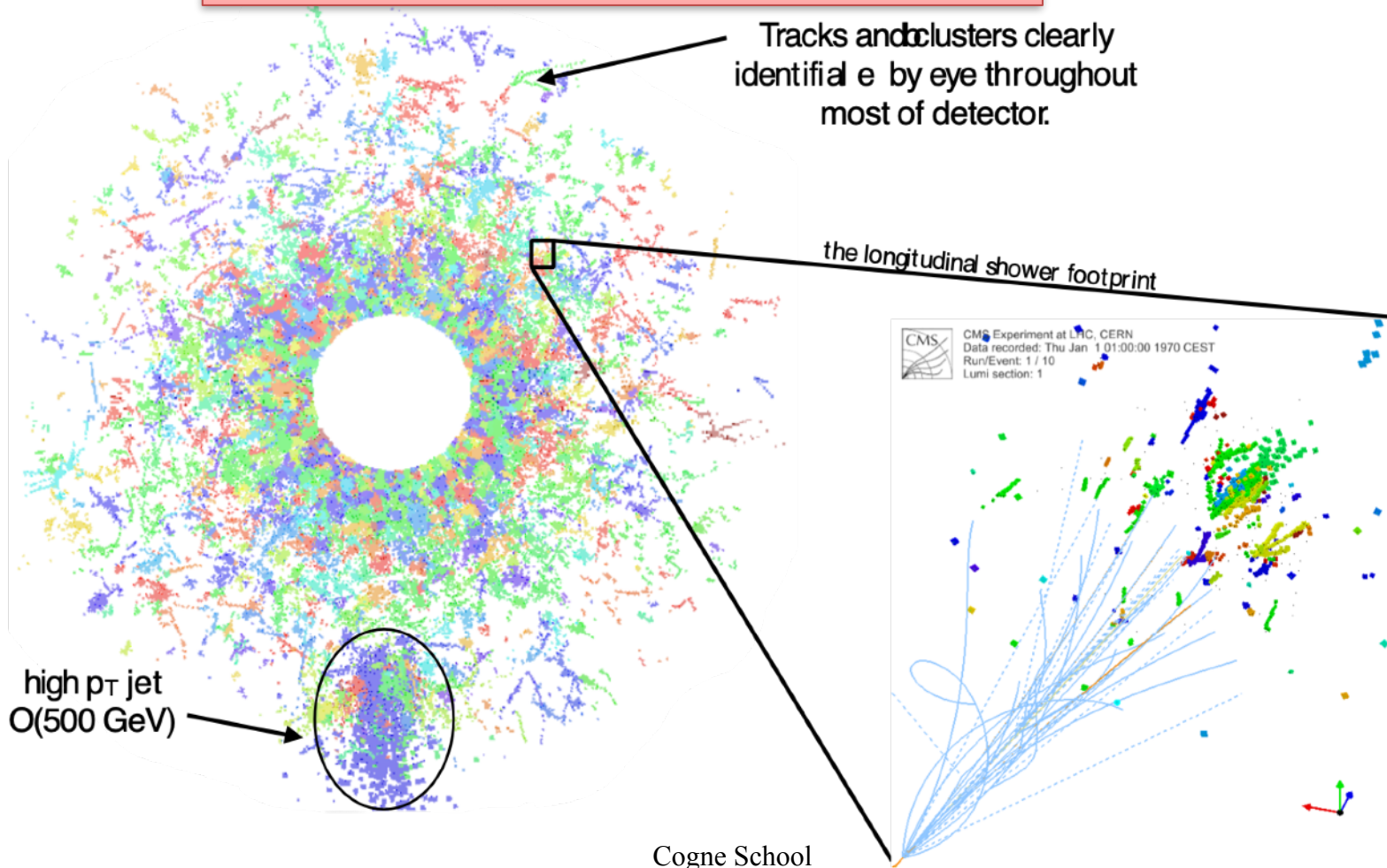
39-layer
Scintillator+SiPM
CALICE AHCAL





4D calorimeter

Simulation of 140 pileup events in CMS

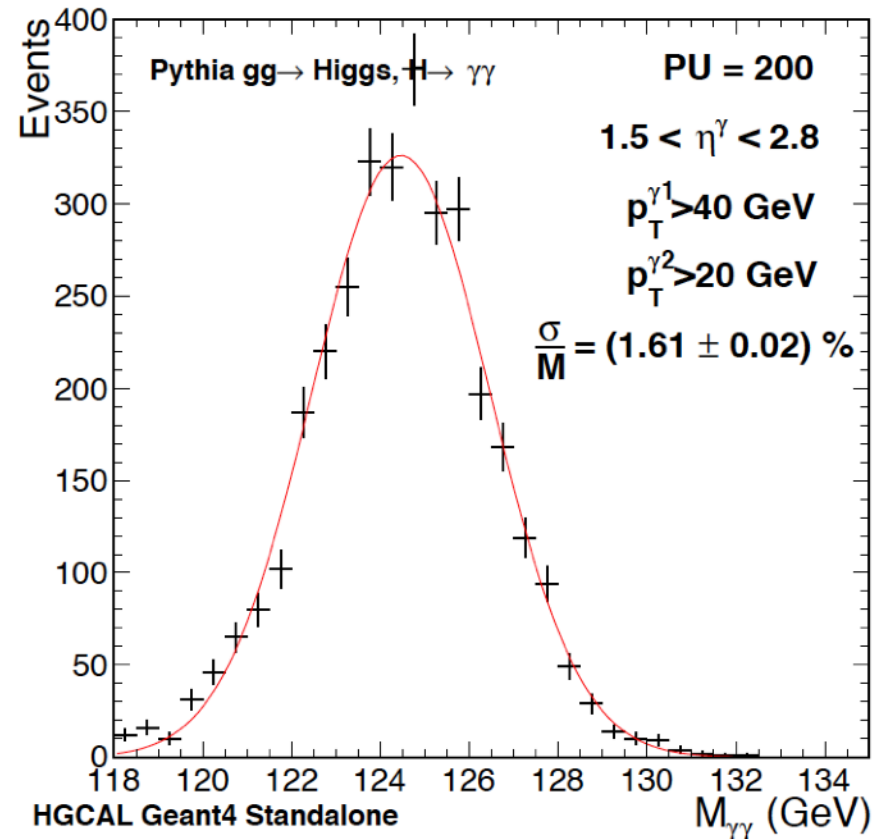
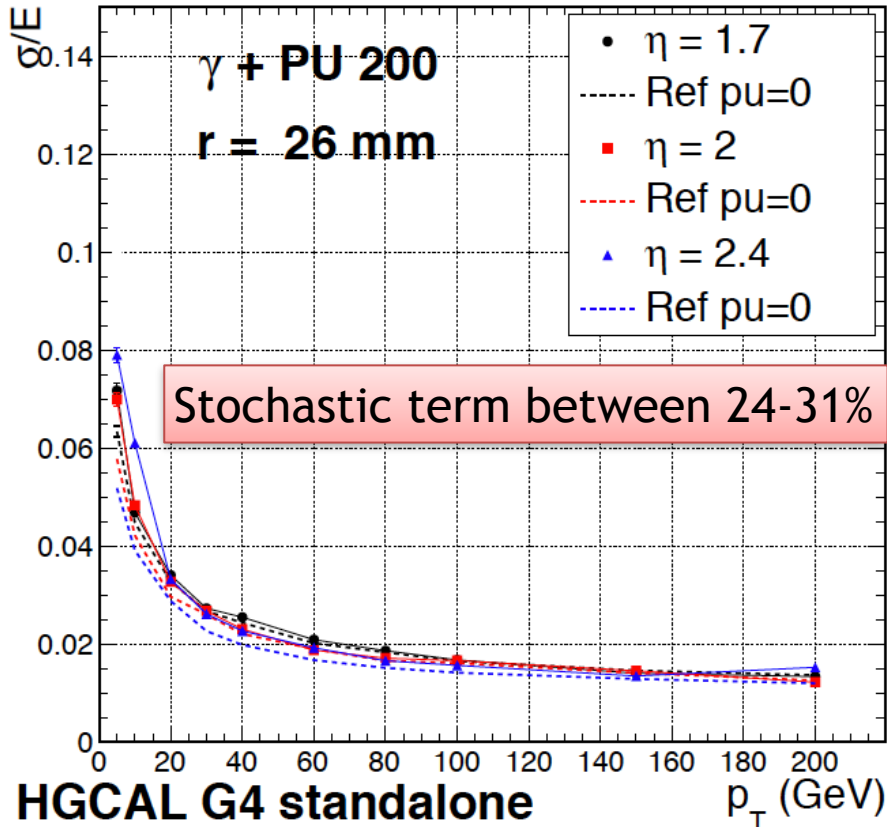




G4 simulation used to predict performance of HGCAL in presence of pileup: E/M resolution

Single unconverted γ in CE-E reconstructed in $r < 2.6\text{cm}$
 \rightarrow insensitive to pileup

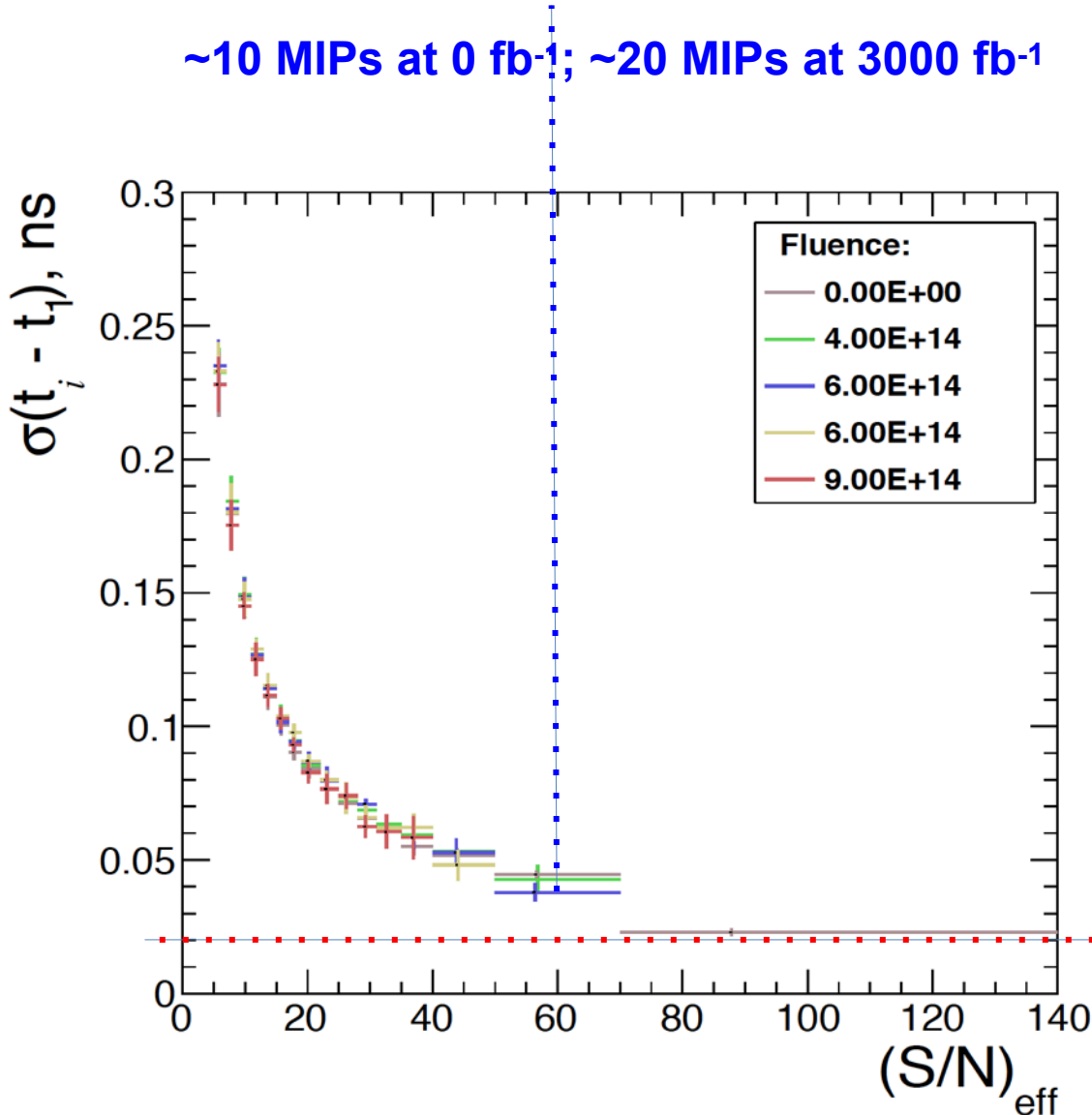
H- $\gamma\gamma$, both γ in HGCAL (γ do not convert in TK)
 Pileup 200





Silicon sensors also have good intrinsic timing resolution that does not degrade with radiation

~10 MIPs at 0 fb⁻¹; ~20 MIPs at 3000 fb⁻¹



Can look at shower evolution in 5D (energy, X, Y, Z, t) → Particle Flow

Constant term ~20ps

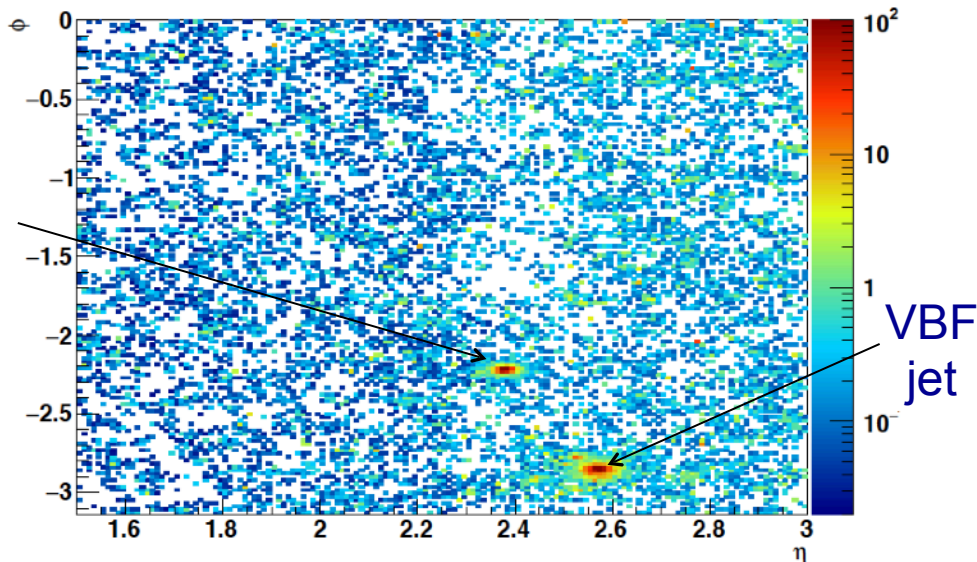


5D calorimeter

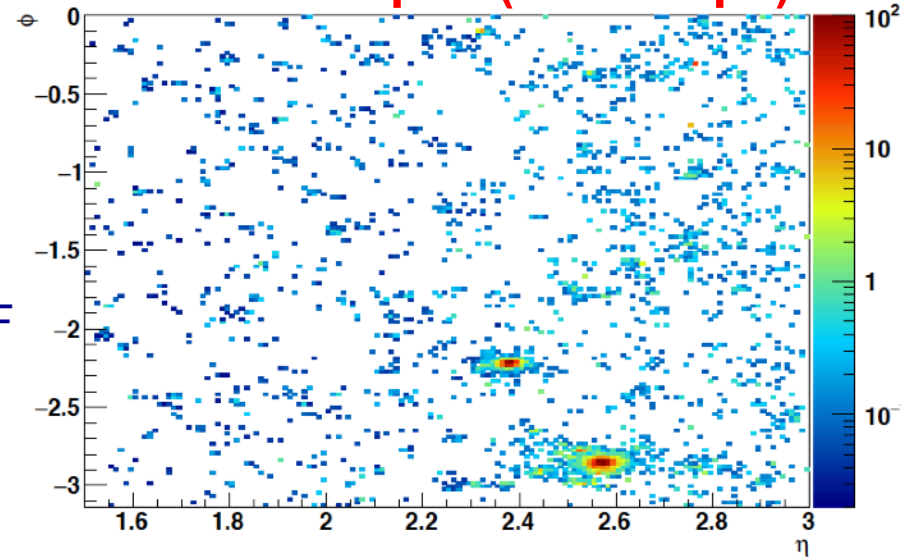
Possible due to the choice of HGCAL sampling parameters and electronics

VBF ($H \rightarrow \gamma\gamma$) event with one photon and one VBF jet in the same quadrant,

No timing cut



Cut $\Delta t < 90\text{ps}$ (3σ at 30ps)



Plots show cells with $Q > 12\text{fC}$ (~ 3.5 MIPs - threshold for timing measurement) projected to the front face of the endcap calorimeter.



Conclusions

- Calorimetry has always played a key role in High Energy Physics
- ..and it is continuing to be crucial for the research goals in accelerator based experiments
- A calorimeter like the HGCal is providing more information than any previous calorimeter and is opening new possibilities
-and it comes with unprecedented challenges in detector, electronics, firmware and software developments



Backup



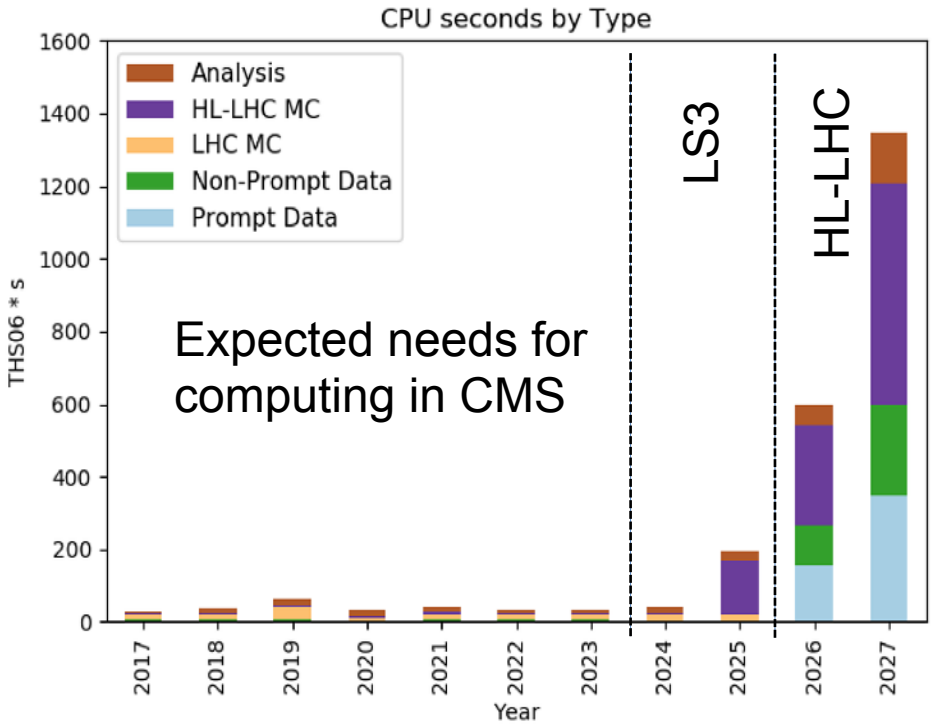
Achieving this performance in practice implies huge software challenges

HGCAL is a completely novel detector concept for a hadron collider environment and is an opportunity to consider new technologies and algorithms, e.g.:

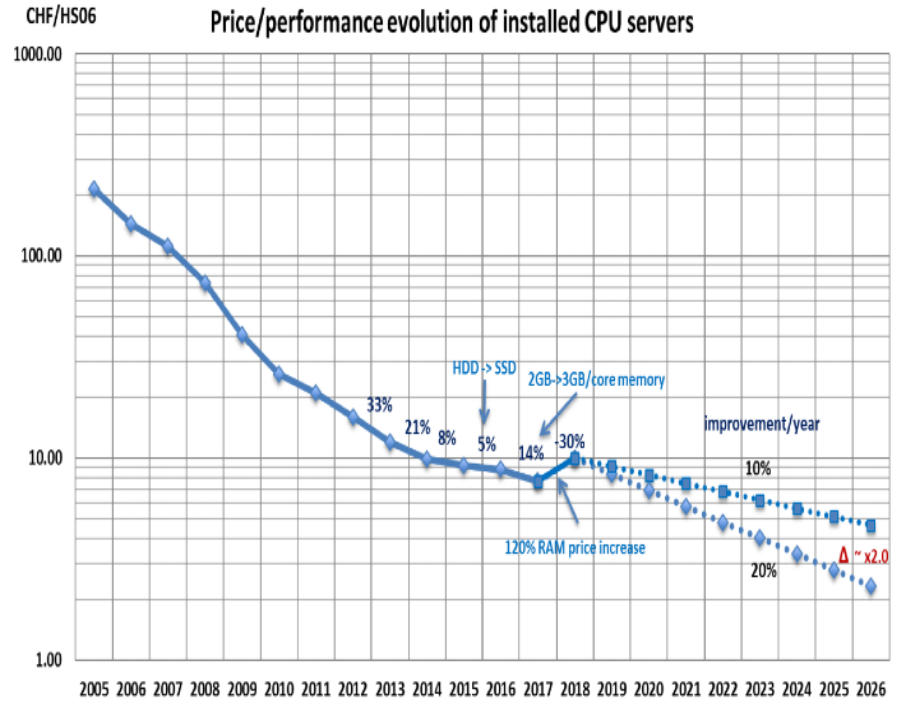
- Heterogeneous computing (GPUs, FPGAs etc.)
- Machine learning
- Particle-flow reconstruction with pileup



Exploiting a 5D 6-million channel detector requires novel approaches to computing



Computing needs increase by x30



May get a factor x2-3 from CPU development

We are missing a factor 10 in computing power!



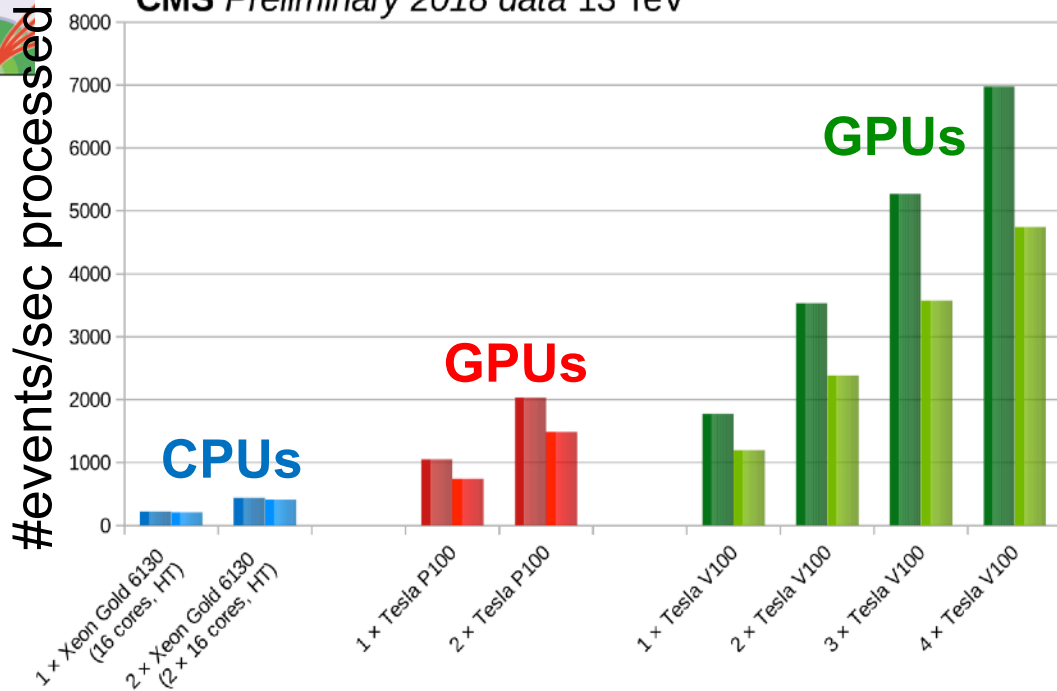
Heterogenous computing already being used in CMS

GCAL

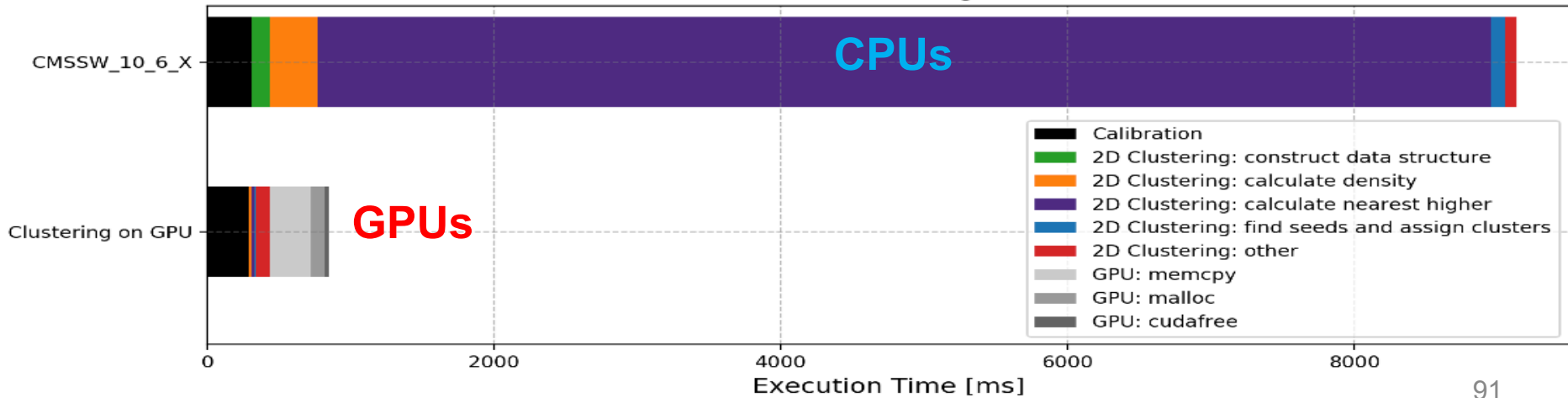
CMX Pixel tracking algorithms x8 faster with GPUs than CPUs and x10 more energy efficient

For HGCAL clustering, time spent on mathematical processing is also negligible! Overall time is x10 faster than CPU, limited by data throughput

CMS Preliminary 2018 data 13 TeV

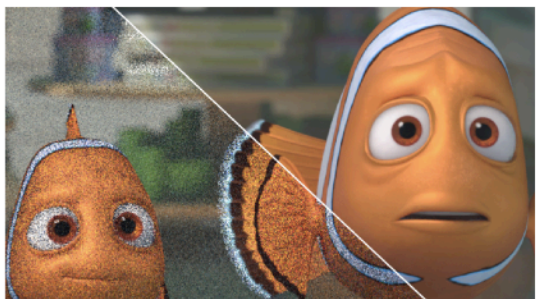


Execution Time of 2D Clustering of an PU200 Event

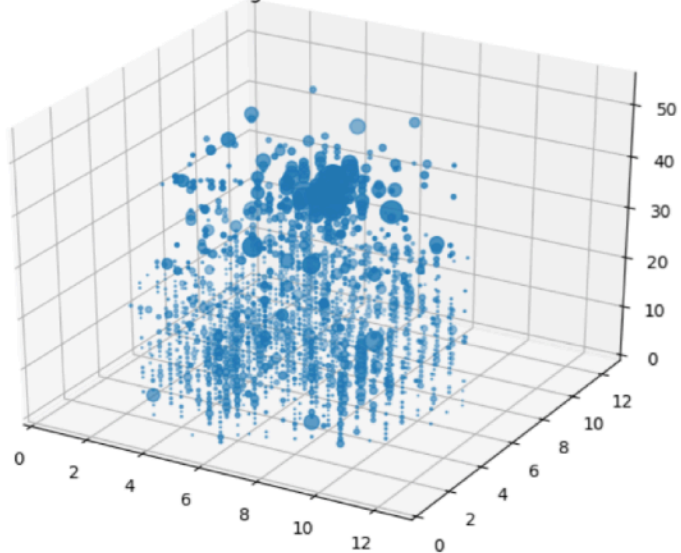




Machine learning techniques, such as de-noising, are very promising

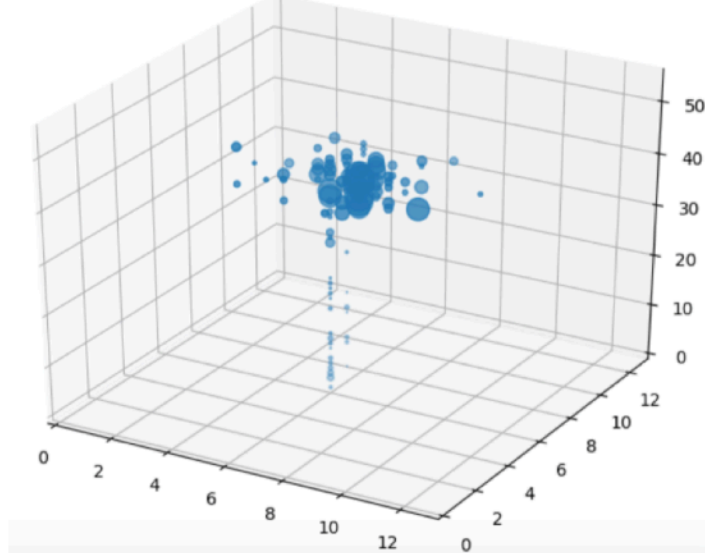


AllPion Charged 121.433364868 GeV



Denoise

Source Pion Charged 121.433364868 GeV



- Very successful for image processing
- Interpret e.g. pileup as noise and filter it
- For this study: force energy deposits in a regular grid and use a set of convolutional DNN layers



We are in the final R&D phase, soon moving to production, assembly and commissioning

- Finalization of design, prototyping towards final systems (2 years)
- Engineering Design Review (March 2021) and ESRs
 - This is a **much** faster timescale than the original LHC-experiment construction phase
- Market Surveys, orders, preproduction, qualification of final components
- **Production starts in <3 years !**
- Installation of 1st endcap ~March 2025 and 2nd endcap 2 months later
- Ready for HL-LHC operation in 2026
- And operate for >10 years



Critical Energy

Critical Energy, ϵ

Defined to be the energy at which the energy loss due to ionisation* (at its minimum i.e. $\beta=0.96$) and radiation are equal (over many trials)

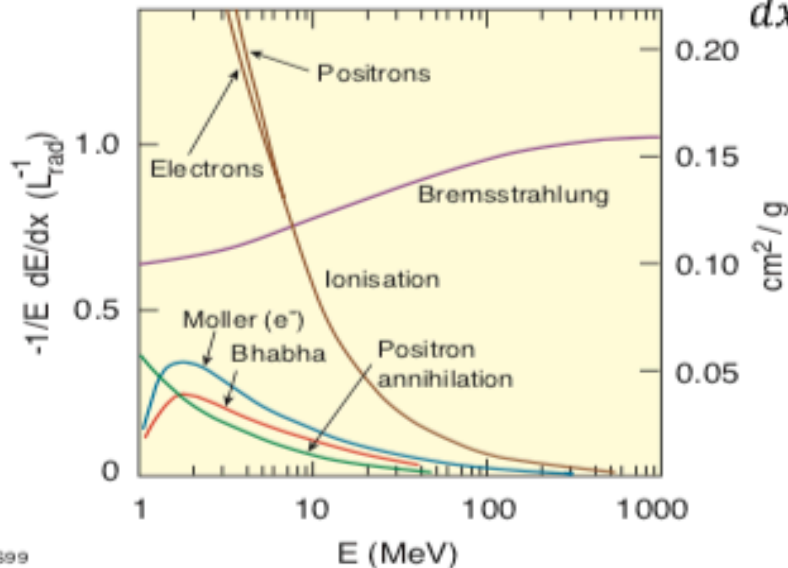
$$i.e. \frac{(dE/dx)_{rad}}{(dE/dx)_{ion}} = 1$$

$$\Rightarrow \epsilon = \frac{560}{Z} \quad (E \text{ in MeV})$$

Fractional Energy Loss by Electrons

$$-\frac{dE}{dx}\Big|_{ion} = N_A \frac{Z}{A} \frac{4\pi\alpha^2(\hbar c)^2}{m_e c^2} \frac{Z_i^2}{\beta^2} \left[\ln \frac{2m_e c^2 \gamma^2 \beta^2}{I} - \beta^2 - \frac{\delta}{2} \right]$$

$$-\frac{dE}{dx}\Big|_{Brems} = 4\alpha N_A \left(\frac{e^2}{mc^2} \right)^2 \ln \frac{183 Z(Z+1)}{Z^{1/3} A} Q^2 E$$



CSS99