



Universität  
Zürich<sup>UZH</sup>

# BSM hints from rare decays

---

**Javier Fuentes-Martín**

University of Zurich (UZH)

Based on

Cornella, JFM, Isidori, coming soon

Baker, JFM, Isidori, König, arXiv:1901.10480

Bordone, Cornella, JFM, Isidori JHEP 1810 (2018) 148

Bordone, Cornella, JFM, Isidori Phys. Lett. B 779 (2018) 317

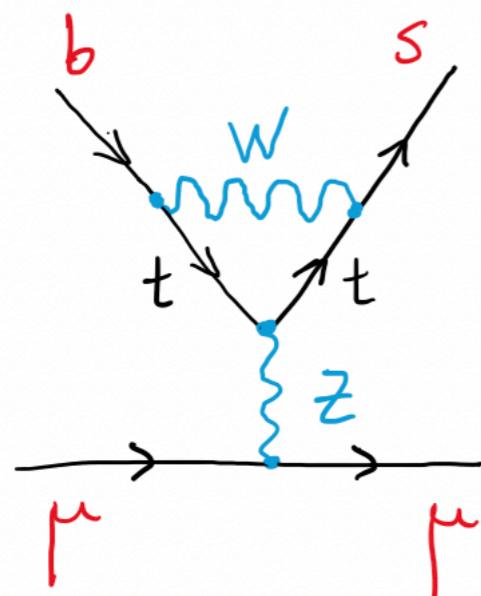
La Thuile 2019 - Les Rencontres de Physique de le Vallée d'Aoste

# The B-physics anomalies

Hints of Lepton Flavour Universality Violation in semileptonic B decays

$$b \rightarrow s \ell^+ \ell^-$$

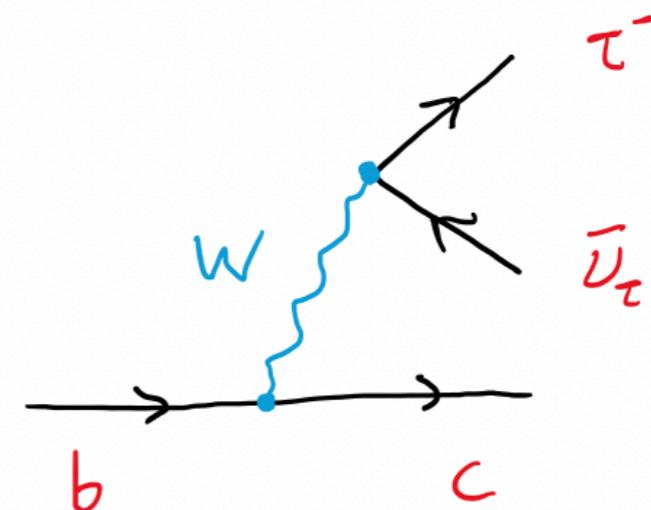
$\mu/e$  universality



$> 4\sigma$

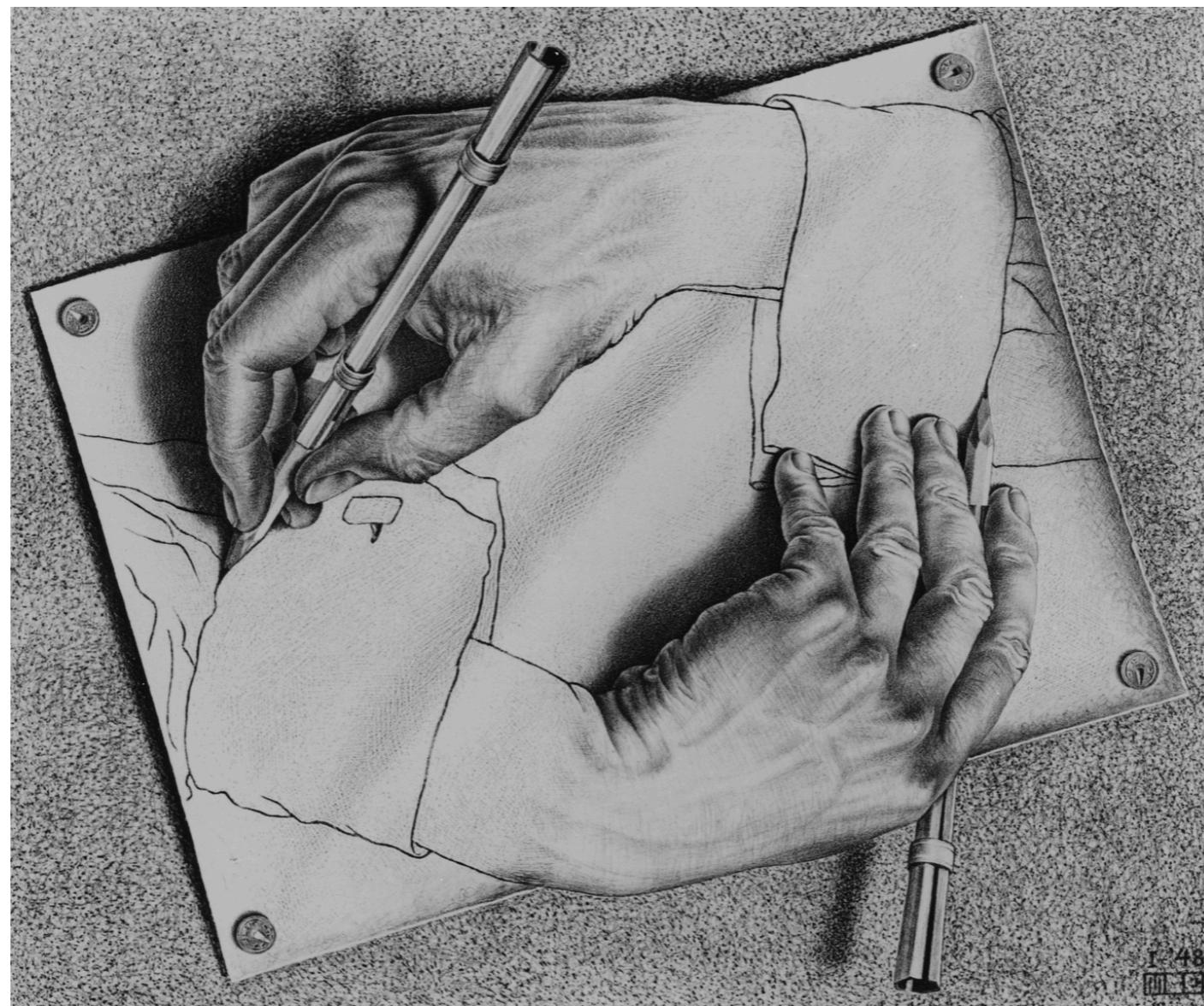
$$b \rightarrow c \tau \nu$$

$\tau/\mu, e$  universality



$\sim 4\sigma$

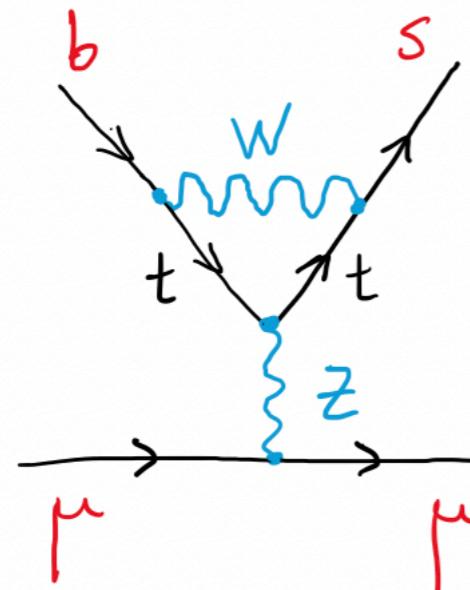
## From data to simplified models



# Towards a combined explanation of the anomalies

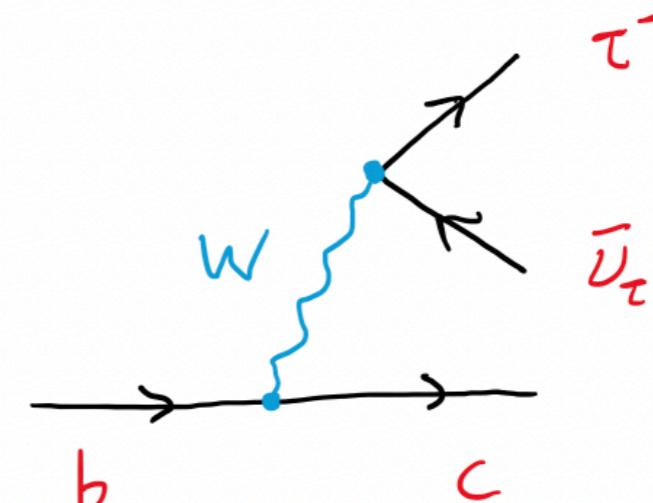
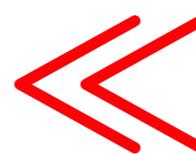
Taken together, these are a very significant set of deviations from the SM

→ It is worth looking for a **combined explanation** in terms of NP!



$$3_Q \rightarrow 2_Q 2_L 2_L$$

~25% of a SM **loop** effect



$$3_Q \rightarrow 2_Q 3_L 3_L$$

~20% of a SM **tree-level** effect

The only source of **lepton flavor universality violation** in the SM (Yukawas) follows a similar trend:  $y_e \ll y_\mu \ll y_\tau$ .... Are the anomalies connected to them?

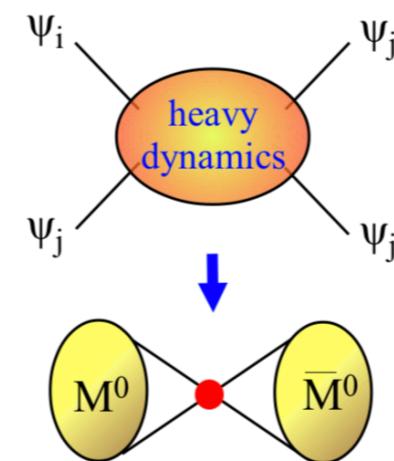
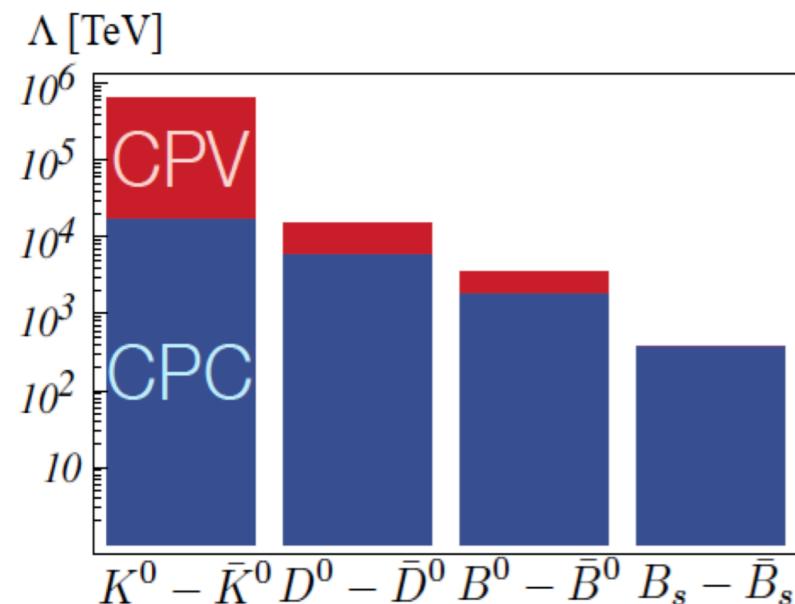
# What are the anomalies telling us?

A combined explanation calls for NP:<sup>(\*)</sup>

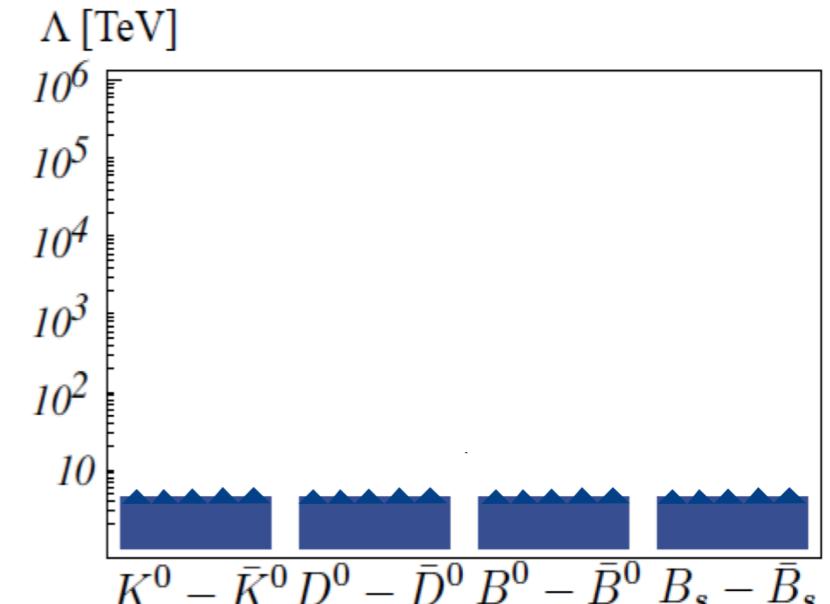
- ★ Coupled dominantly to the **3rd generation**
- ★  $\Lambda_{\text{NP}} \sim \mathcal{O}(1 \text{ TeV})$

<sup>(\*)</sup> N.B.: conclusions driven  
(mostly) by  $R(D^{(*)})$

## Anarchical couplings



## Hierarchical couplings



Severe constraints on generic new (BSM) flavor breaking sources  
**(mis)interpreted as indication of a high flavor scale**

# A NP hint to the SM flavor puzzle?

The SM Yukawa sector is characterized by **13** parameters

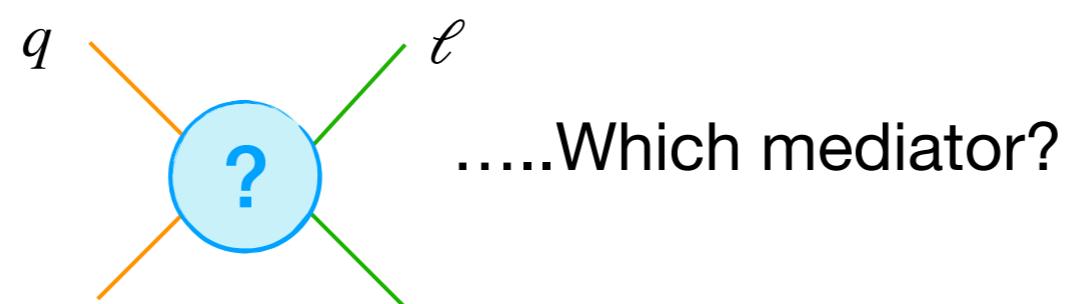
[**3** lepton masses + **6** quark masses + **3+1** CKM parameters]

... whose values do **not** look **at all** accidental

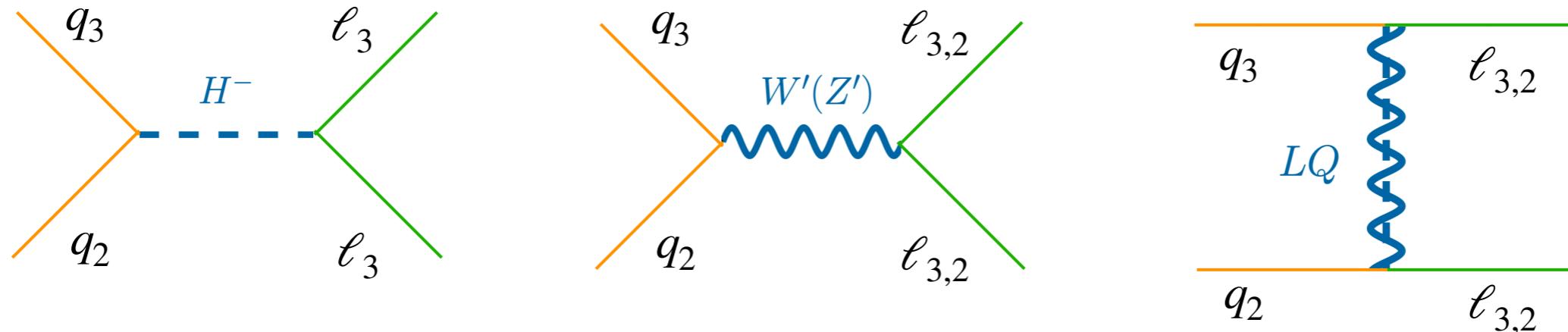
$$M_{u,d,e} \sim \begin{array}{|c|c|c|}\hline & & \\ \hline & & \\ \hline\end{array}$$

$$V_{\text{CKM}} \sim \begin{array}{|c|c|c|}\hline & & \\ \hline & & \\ \hline\end{array}$$

- ✓ The flavor anomalies seem to suggest a similar trend: large **NP effects in 3rd generation**, gradually smaller effects in the light generations
- ✓ Recent theoretical progress connecting the anomalies to the SM flavor hierarchies  
[Bordone, Cornella, JFM, Isidori 1712.01368; Greljo, Stefanek 1802.04274; Allanach, Davighi 1809.01158]



# Which mediator?



Only few possibilities are available

- ★ **Charged Higgs** solutions ( $R(D^{(*)})$  only) are excluded by measurements of  $\tau_{B_c}$   
[Contributions to  $\mathcal{B}(B_c \rightarrow \tau\nu)$  are scalar enhanced and huge] [Alonso et al. 1611.06676]
- ★ **Minimal  $W'/Z'$  models** in tension with high- $p_T$  data ( $pp \rightarrow \tau\tau$  tails)  
[Faroughy et al. 1609.07138]
- $W' +$  light  $\nu_R$  in better shape but still in tension with  $pp \rightarrow \tau\nu$  tails  
[Greljo et al. 1811.07920]
- ★ **Leptoquarks** (scalars or vectors) are the best candidates so far
- ✓ no 4-lepton (LFV, LFUV) and 4-quark processes ( $\Delta F = 2$ ) at tree level

# The main suspects

Faroughi @ CKM18

Model	$R_{K(*)}$	$R_{D(*)}$	$R_{K(*)} \& R_{D(*)}$
$S_1 = (3, 1)_{-1/3}$	✗	✓	✗
$R_2 = (3, 2)_{7/6}$	✗	✓	✗
$\tilde{R}_2 = (3, 2)_{1/6}$	✗	✗	✗
$S_3 = (3, 3)_{-1/3}$	✓	✗	✗
$U_1 = (3, 1)_{2/3}$	✓	✓	✓
$U_3 = (3, 3)_{2/3}$	✓	✗	✗

Angelescu, Becirevic, DAF, Sumensari [1808.08179]

Three viable options in the market:

★  $U_1 + \text{UV completion}$

[di Luzio, Greljo, Nardecchia 1708.08450;  
Calibbi, Crivellin, Li 1709.00692;  
Bordone, Cornella, JF, Isidori 1712.01368;  
Barbieri, Tesi, 1712.06844...]

★  $S_1 + S_3$

[Crivellin, Muller, Ota 1703.09226;  
Buttazzo et al. 1706.07808;  
Marzocca 1803.10972]

★  $S_3 + R_2$

[Bećirević et al., 1806.05689]

The vector leptoquark ( $U_1$ ) brings some interesting theoretical features into the game

- ✓ Low-scale bottom-tau unification. Possible link to Pati-Salam unification
- ✓ Connections to the SM flavor puzzle

## Revisiting the $U_1$ solution

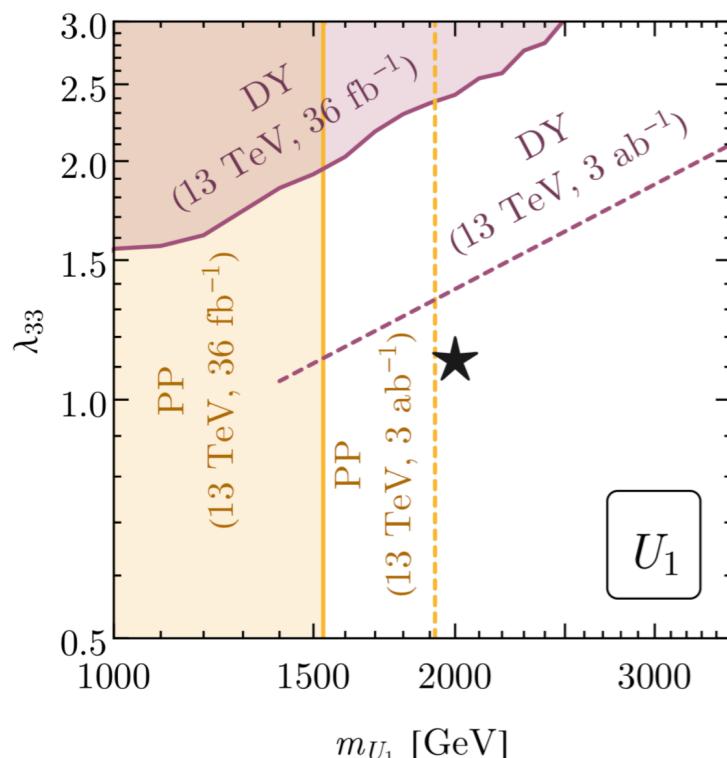


# The $U_1$ leptoquark: the pure LH case

$$\mathcal{L} \supset \frac{g_U}{\sqrt{2}} U_1^\mu \left[ \beta_{i\alpha}^L (\bar{q}_L^i \gamma_\mu \ell_L^\alpha) - \beta_{i\alpha}^R (\bar{d}_R^i \gamma_\mu e_R^\alpha) \right] + \text{h.c.}$$

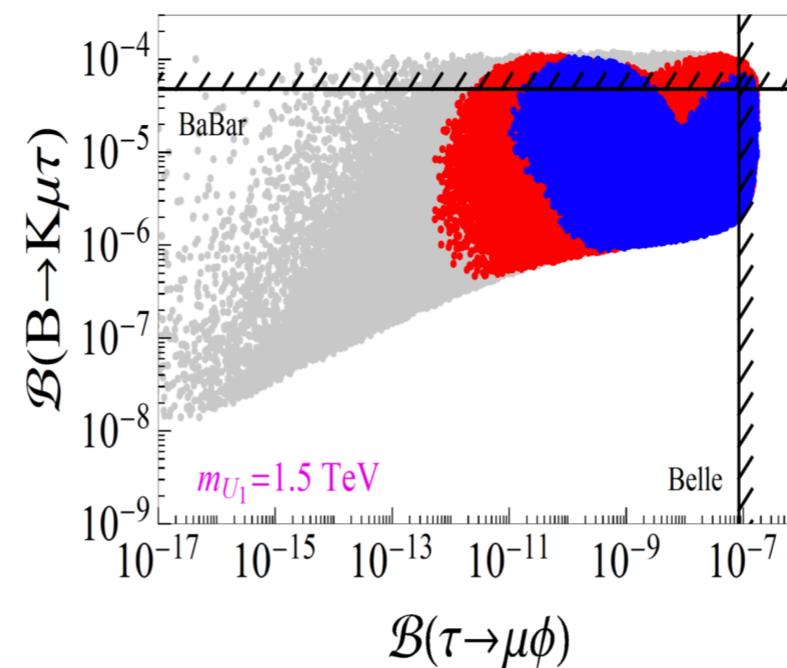
Pure LH  $U_1$  ( i.e.  $\beta_{i\alpha}^R = 0$  ) extensively analyzed in the recent literature...

Safe from high-pT



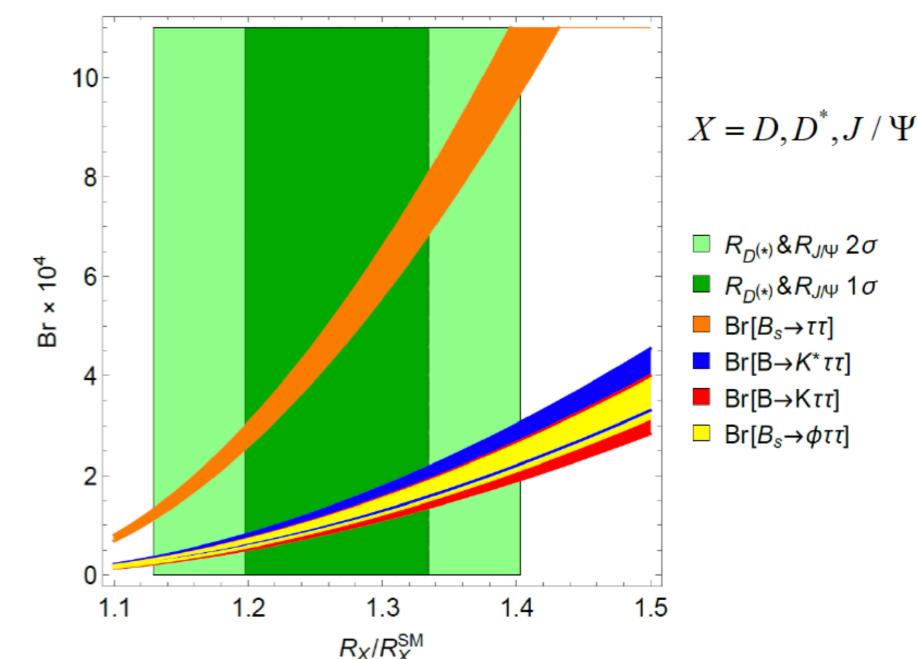
[Schmaltz, Zhong, 1810.10017]  
(see also 1808.08179, 1609.07138)

LFV around the corner



[Angelescu et al., 1808.08179]

Huge effects in  $b \rightarrow s \tau \tau$



[Capdevila et al., 1712.01919]

# The $U_1$ leptoquark: all in

$$\mathcal{L} \supset \frac{g_U}{\sqrt{2}} U_1^\mu \left[ \beta_{i\alpha}^L (\bar{q}_L^i \gamma_\mu \ell_L^\alpha) - \beta_{i\alpha}^R (\bar{d}_R^i \gamma_\mu e_R^\alpha) \right] + \text{h.c.}$$

Pure LH  $U_1$  ( i.e.  $\beta_{i\alpha}^R = 0$  ) extensively analyzed in the recent literature...

... RH  $U_1$  coupling usually ignored. Important pheno implications!

$$\beta^L = \begin{pmatrix} 0 & 0 & \beta_{d\tau}^L \\ 0 & \beta_{s\mu}^L & \beta_{s\tau}^L \\ 0 & \beta_{b\mu}^L & \beta_{b\tau}^L \end{pmatrix}$$
$$\beta_R = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & \beta_{b\tau}^R \end{pmatrix}$$

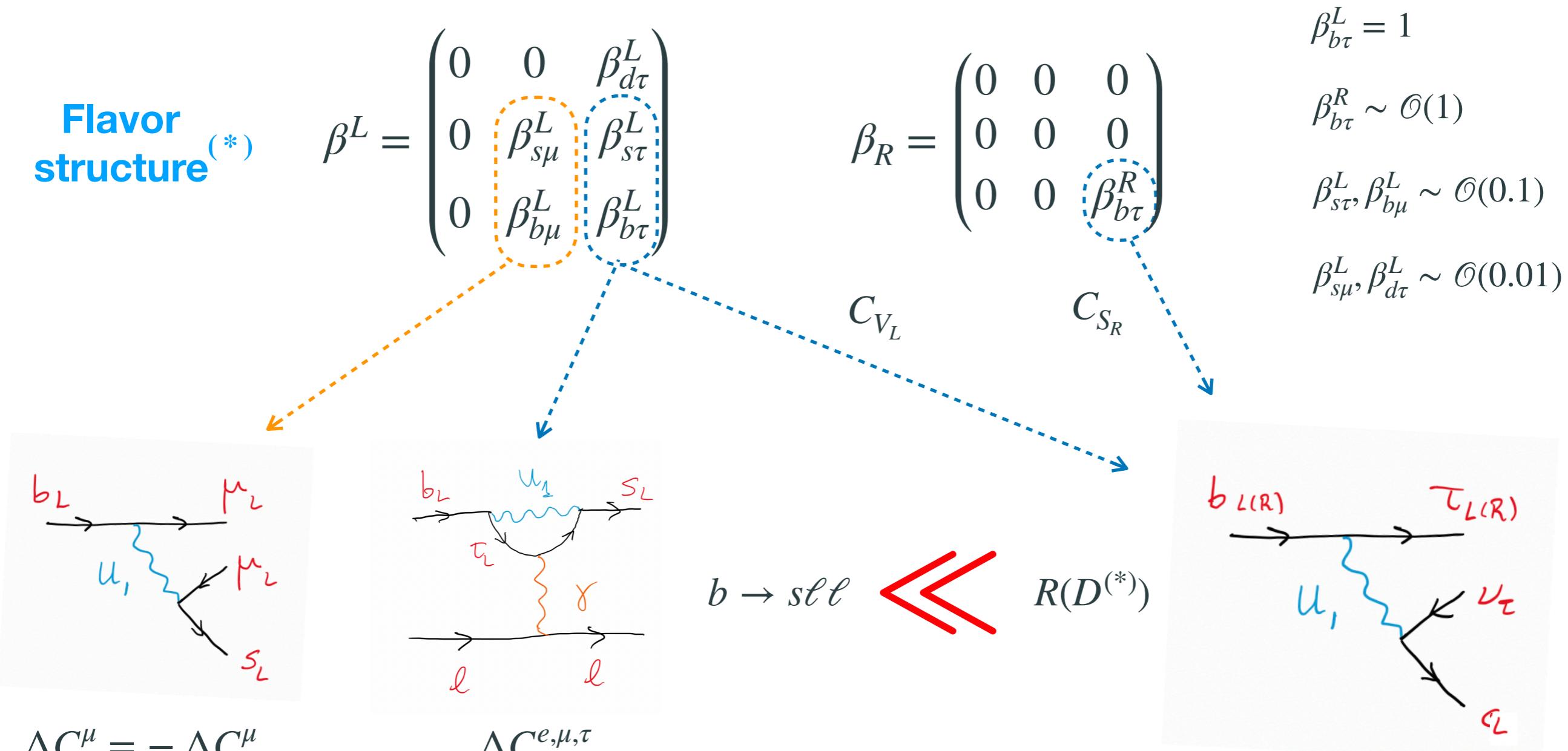
$C_{V_L} = (\bar{c}_L \gamma_\mu b_L)(\bar{\ell}_L \gamma^\mu \nu_L)$        $C_{S_R} = (\bar{c}_L b_R)(\bar{\ell}_R \nu_L)$

(RGE enhanced)

# The $U_1$ leptoquark: flavor structure

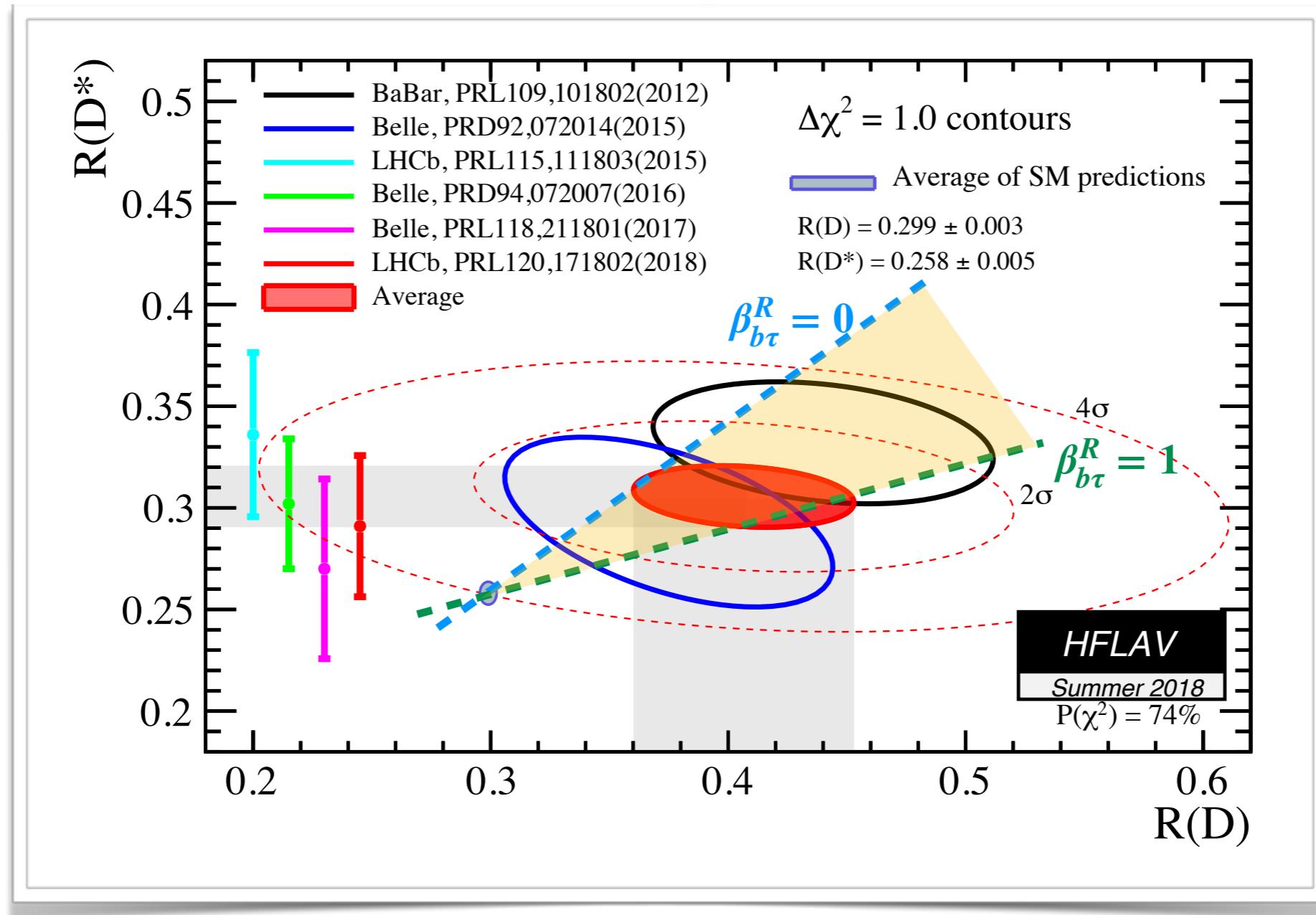
$$\mathcal{L} \supset \frac{g_U}{\sqrt{2}} U_1^\mu \left[ \beta_{i\alpha}^L (\bar{q}_L^i \gamma_\mu \ell_L^\alpha) - \beta_{i\alpha}^R (\bar{d}_R^i \gamma_\mu e_R^\alpha) \right] + \text{h.c.}$$

**Flavor structure**<sup>(\*)</sup>



[Crivellin et al., 1807.02068]

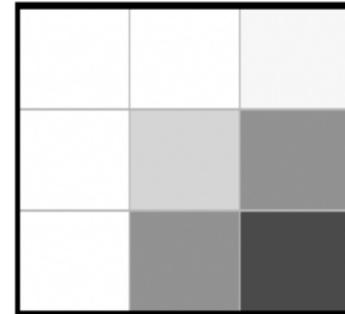
# Which value of $\beta_{b\tau}^R$ ? $R(D^{(*)})$ projections



Differential distributions, polarizations,... could also be different from the SM  
[Essential to test at future facilities like Belle II]

# Low-energy implications of the $U_1$ leptoquark

$$\beta^L = \begin{pmatrix} 0 & 0 & \beta_{d\tau}^L \\ 0 & \beta_{s\mu}^L & \beta_{s\tau}^L \\ 0 & \beta_{b\mu}^L & 1 \end{pmatrix}$$



$$\beta_R = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & \beta_{b\tau}^R \end{pmatrix}$$

$\Delta R_K^{(*)}$

$\Delta R_D^{(*)}$

$$\frac{B_s \rightarrow \tau\tau}{B_{(c)} \rightarrow \tau\nu}$$

LFV

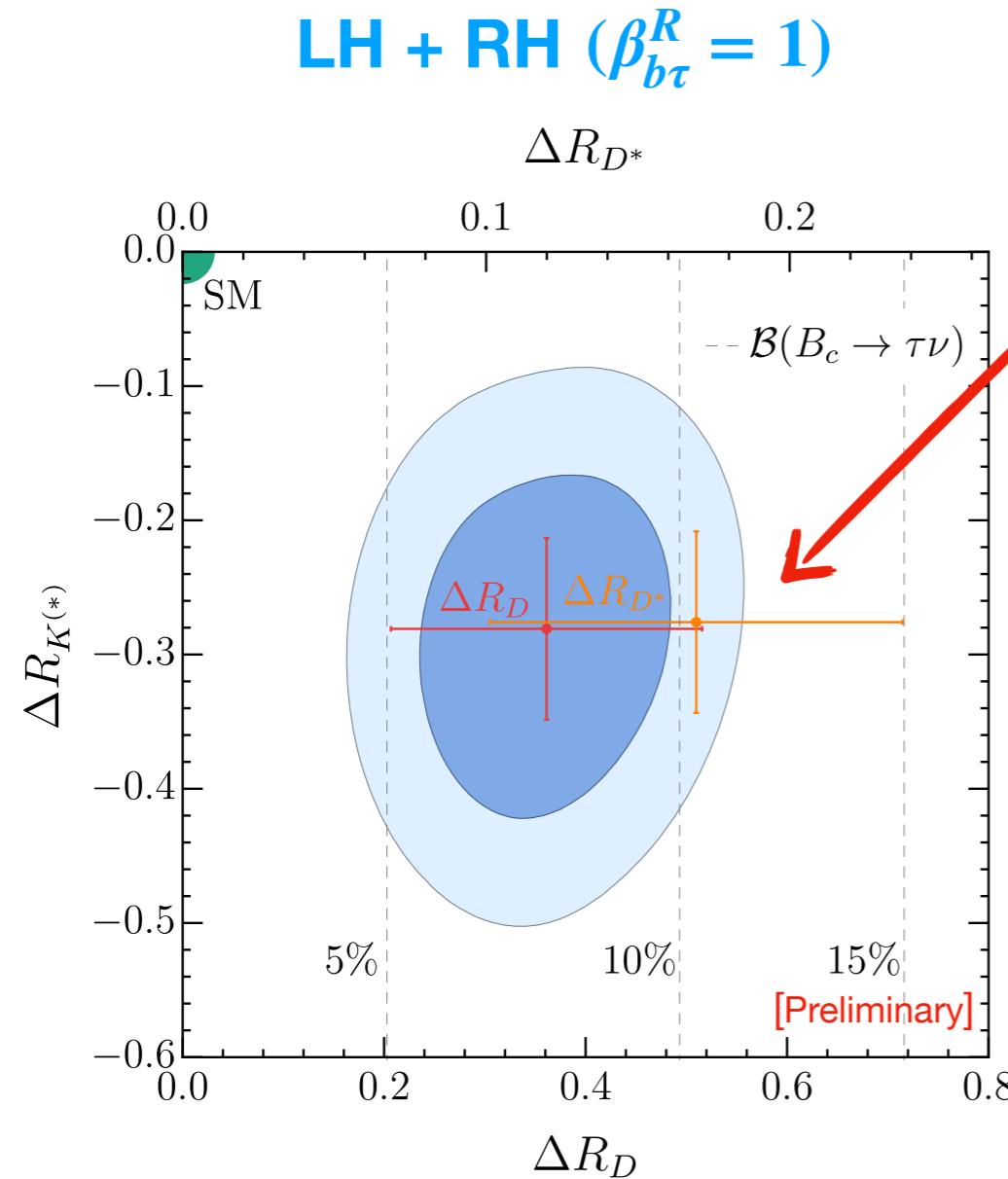
$$\frac{B_s \rightarrow \tau\mu}{\tau \rightarrow \mu\gamma} \frac{}{} \frac{}{B \rightarrow K\tau\mu}$$

Non-zero values of  $\beta_{b\tau}^R$  have a huge impact on the low energy phenomenology:

- ✓ Different NP contribution for  $R(D)$  &  $R(D^*)$
- ✓ Chiral-enhanced NP effects (hence very large) in some decays
- ✓ Larger NP scale possible, i.e. larger values for  $M_U$  available

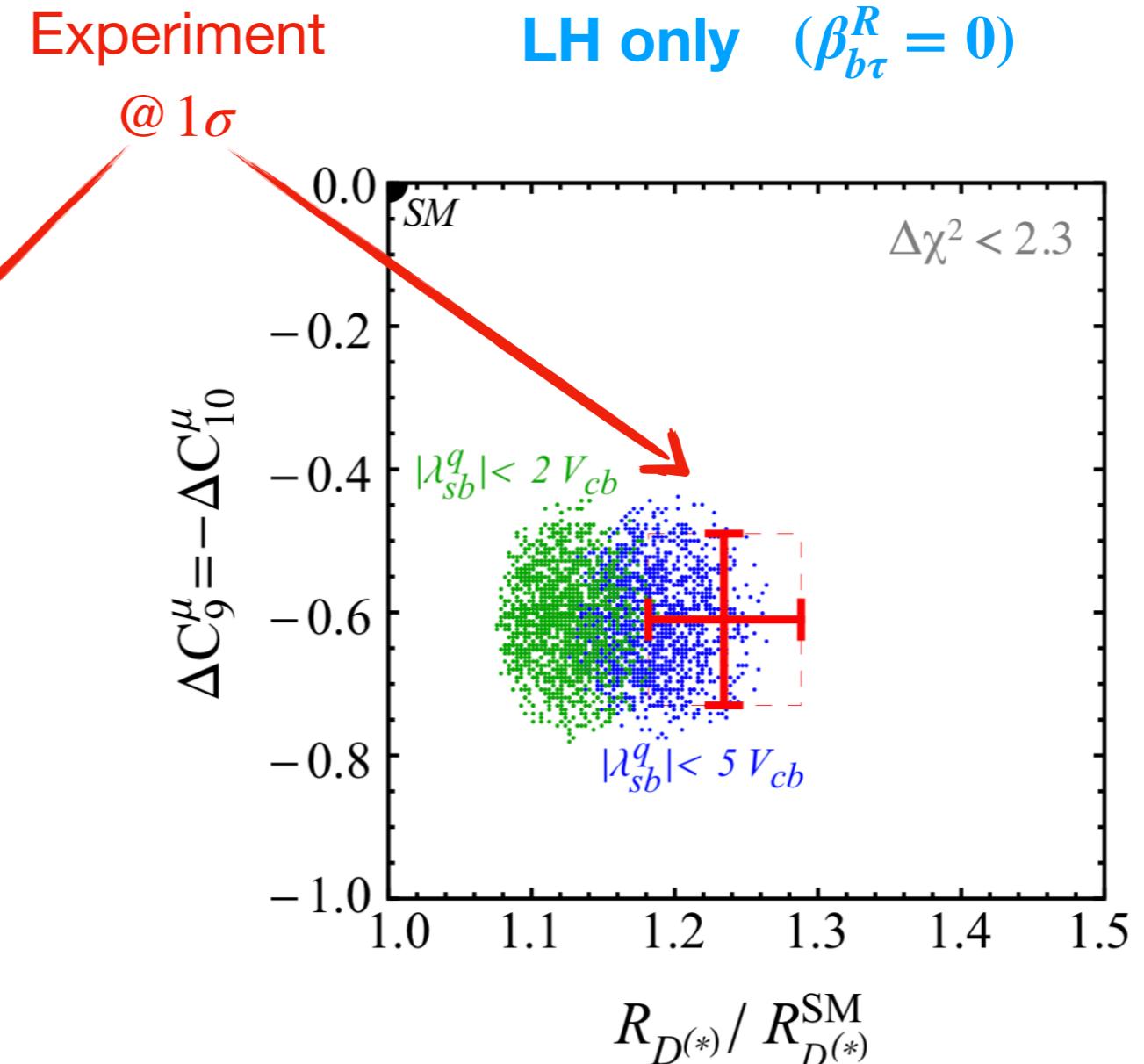
# Low-energy fit results

For both extreme cases, the low-energy fit (in particular to the anomalies) is very good!



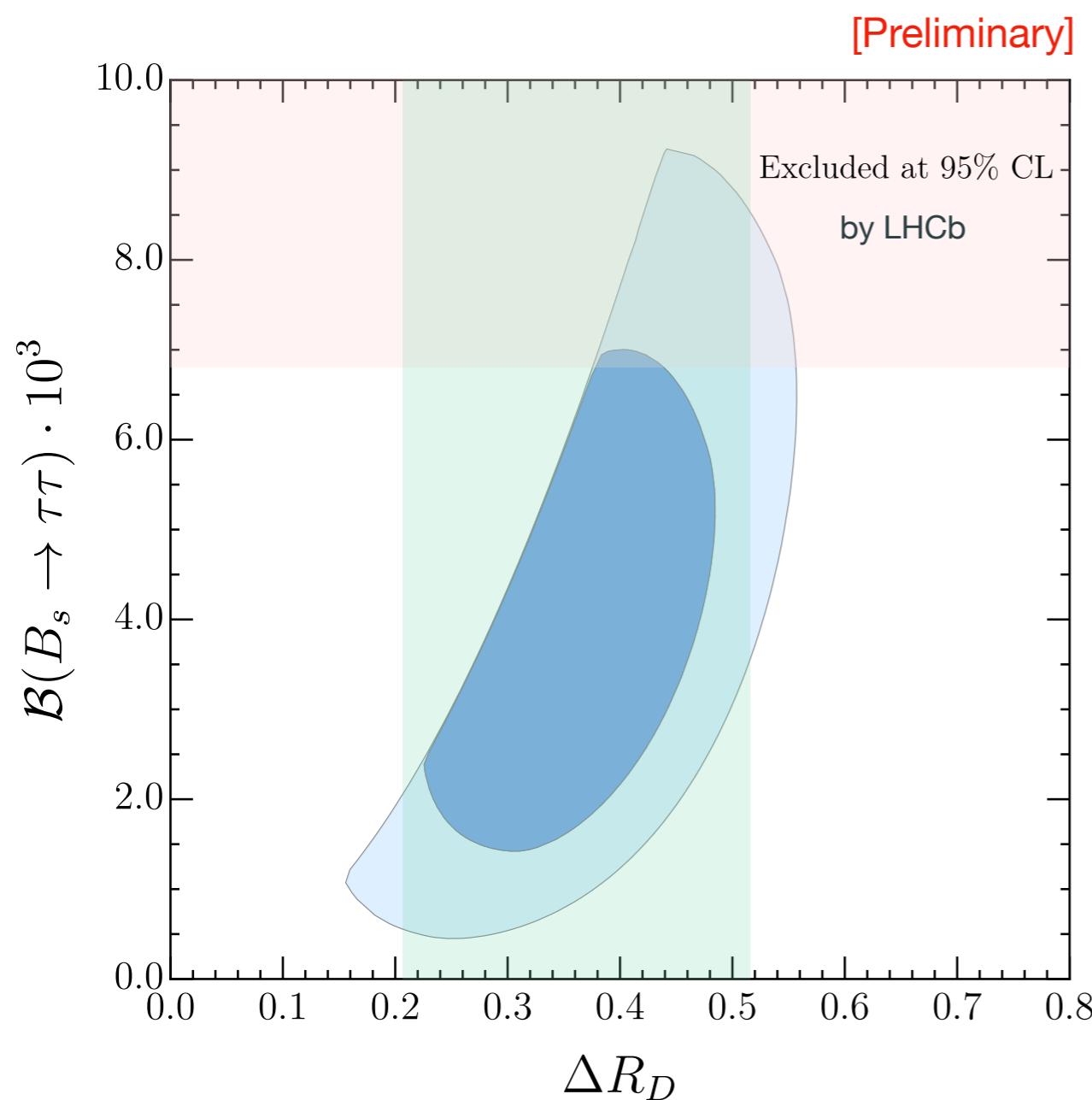
**NP scale naturally higher**  
(thanks to the  $C_{S_R}$  contribution)

[Cornella, JFM, Isidori, in preparation]



[Buttazzo et al. 1706.07808]

$$\mathcal{B}(B_s \rightarrow \tau\tau) \quad (\beta_{b\tau}^R = 1)$$



The NP enhancement in  $\mathcal{B}(B_s \rightarrow \tau\tau)$  is huge, **about one order of magnitude** above the chiral (pure LH) case:

$$\mathcal{B}(B_s \rightarrow \tau\tau) \sim \text{few} \cdot 10^{-3}$$

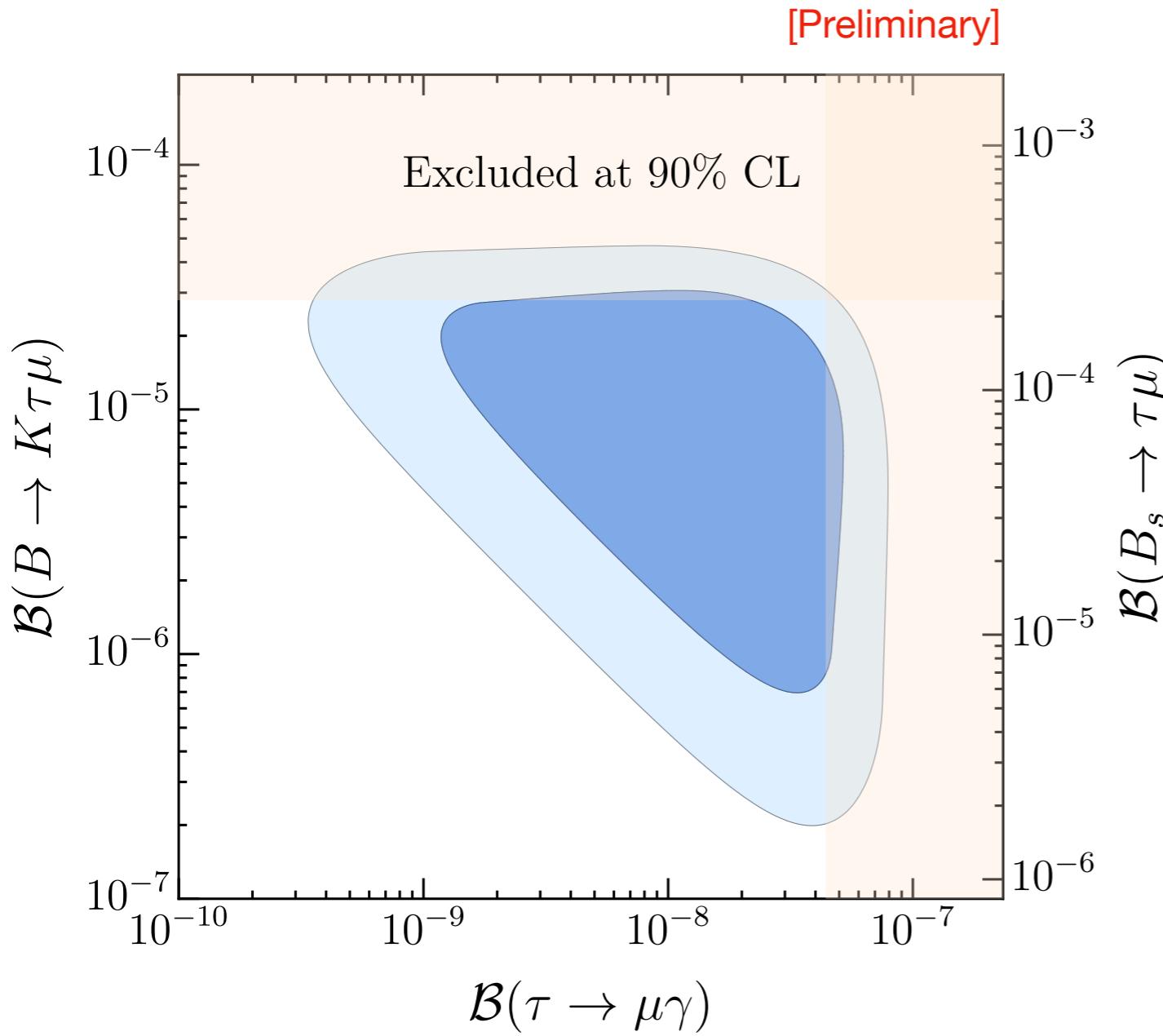
$$\mathcal{B}(B_s \rightarrow \tau\tau)_{\text{SM}} = (7.73 \pm 0.49) \cdot 10^{-7}$$

[Bobeth et al. 1311.0903]

→ Exp. limit **around the corner**

[Cornella, JFM, Isidori, in preparation]

# LFV in $\tau \rightarrow \mu$ transitions ( $\beta_{b\tau}^R = 1$ )



The explanation of  $R_{K^{(*)}}$  implies a large  $\tau\mu$  LFV

→ strong enhancement of  $B_s \rightarrow \tau\mu$ ,  $B \rightarrow K\tau\mu$ ,  $\tau \rightarrow \mu\gamma$

$$\mathcal{B}(\tau \rightarrow \mu\gamma) \sim 10^{-8}$$

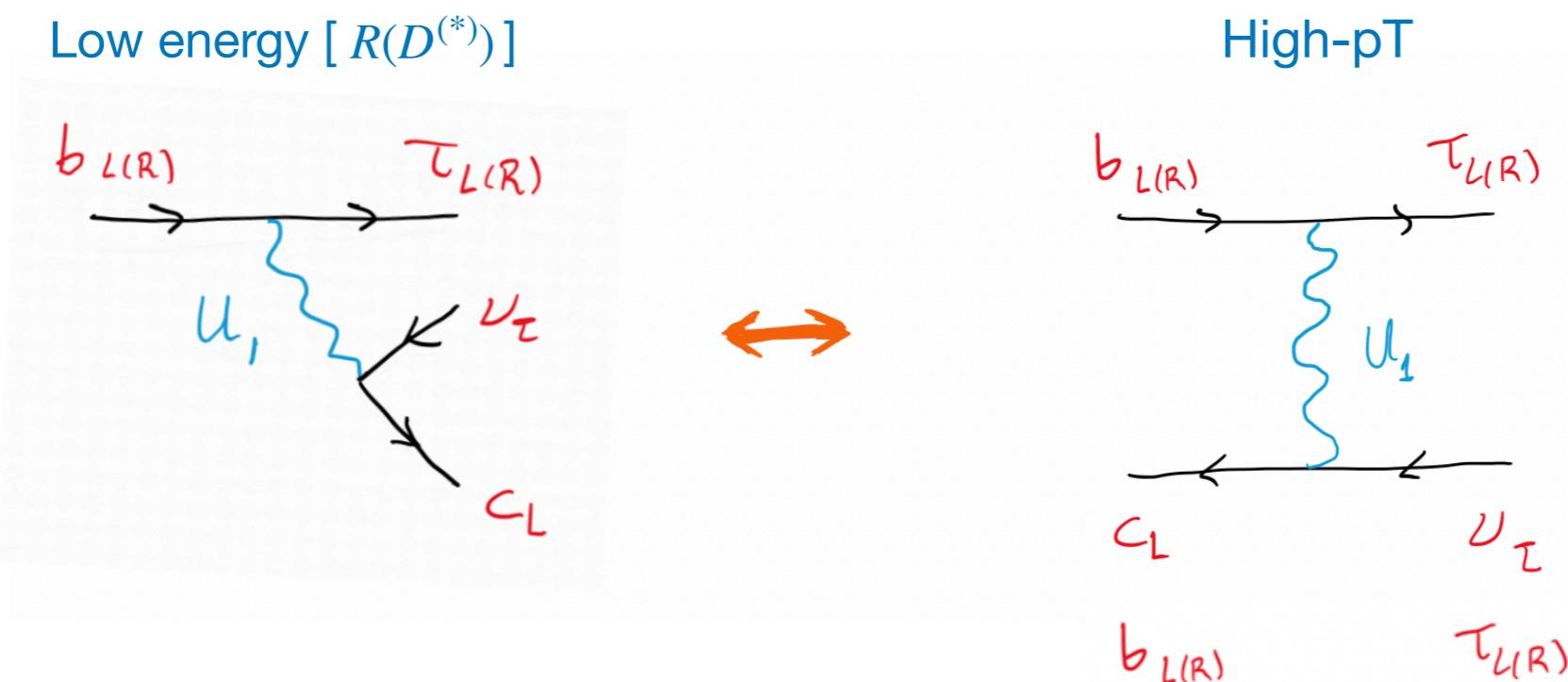
$$\mathcal{B}(B \rightarrow K\tau\mu) \sim 10^{-5}$$

$$\mathcal{B}(B_s \rightarrow \tau\mu) \sim 10^{-4}$$

Great experimental perspectives at LHCb and Belle II

# Hunting the $U_1$ at high-pT

The  $U_1$  is a clear target for the high-pT program at LHC!



... however many of the current searches are not optimized to look for it

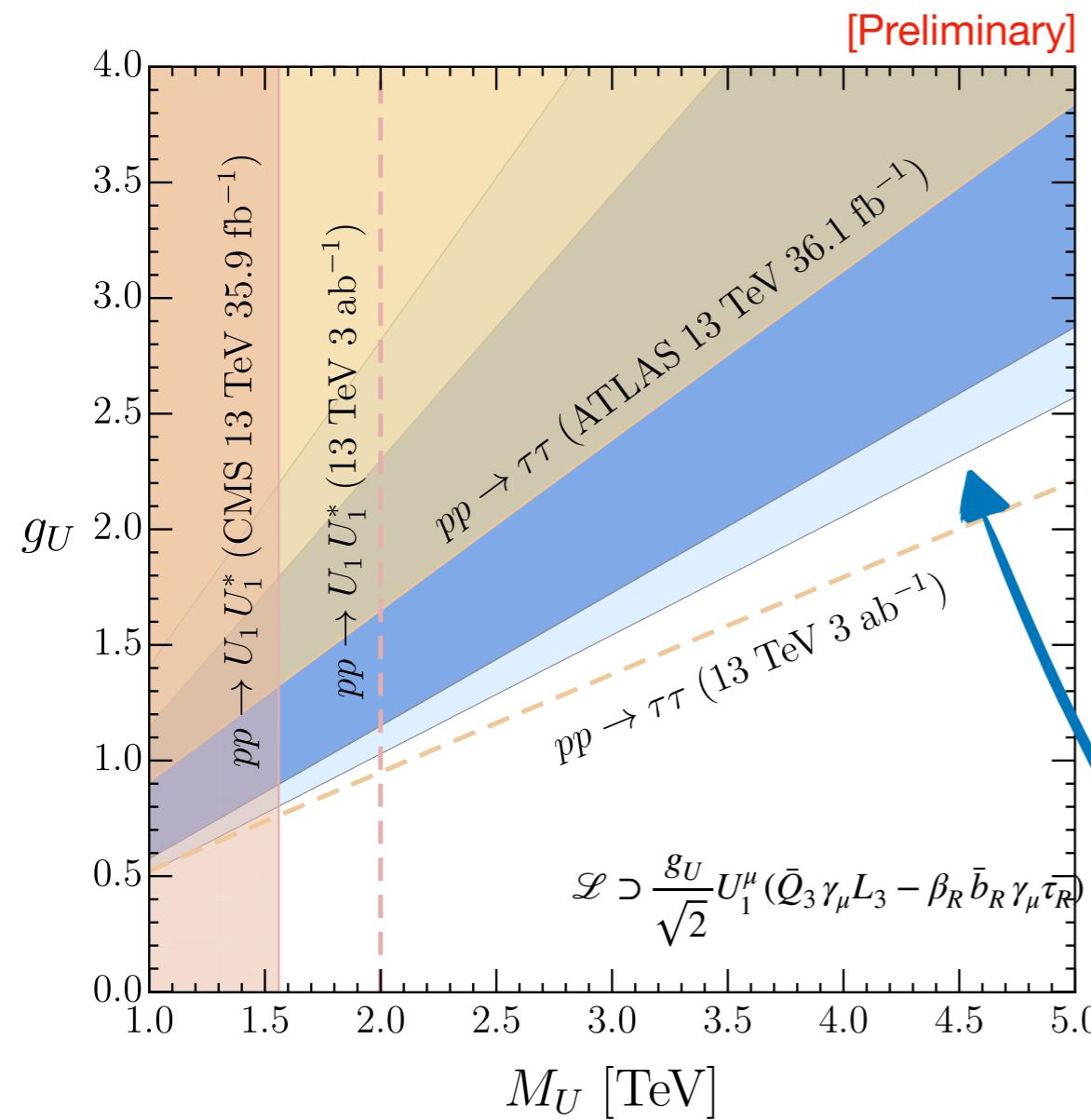
NP effects do not show up as bumps but rather as modifications in the tails of some kinematical distribution (e.g. dilepton transverse mass)

A recast of the high-pT data is needed! [Baker, JFM, Isidori, König, 1901.10480]

# High-pT + Low energy

LH + RH

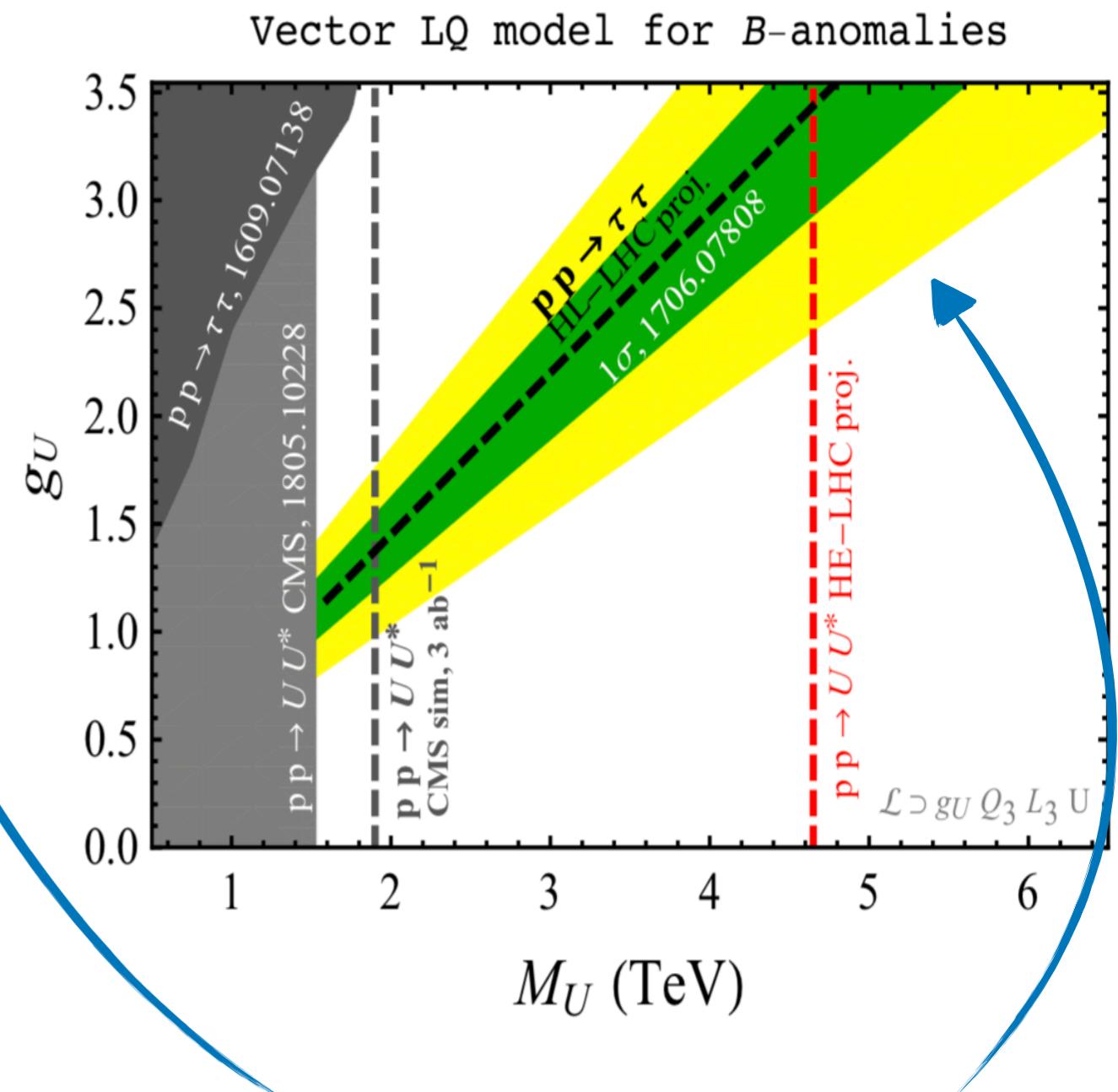
[Cornella, JFM, Isidori, in preparation]



**LH + RH ( $\beta_{b\tau}^R = 1$ ) scenario will be fully probed by the HL-LHC!**

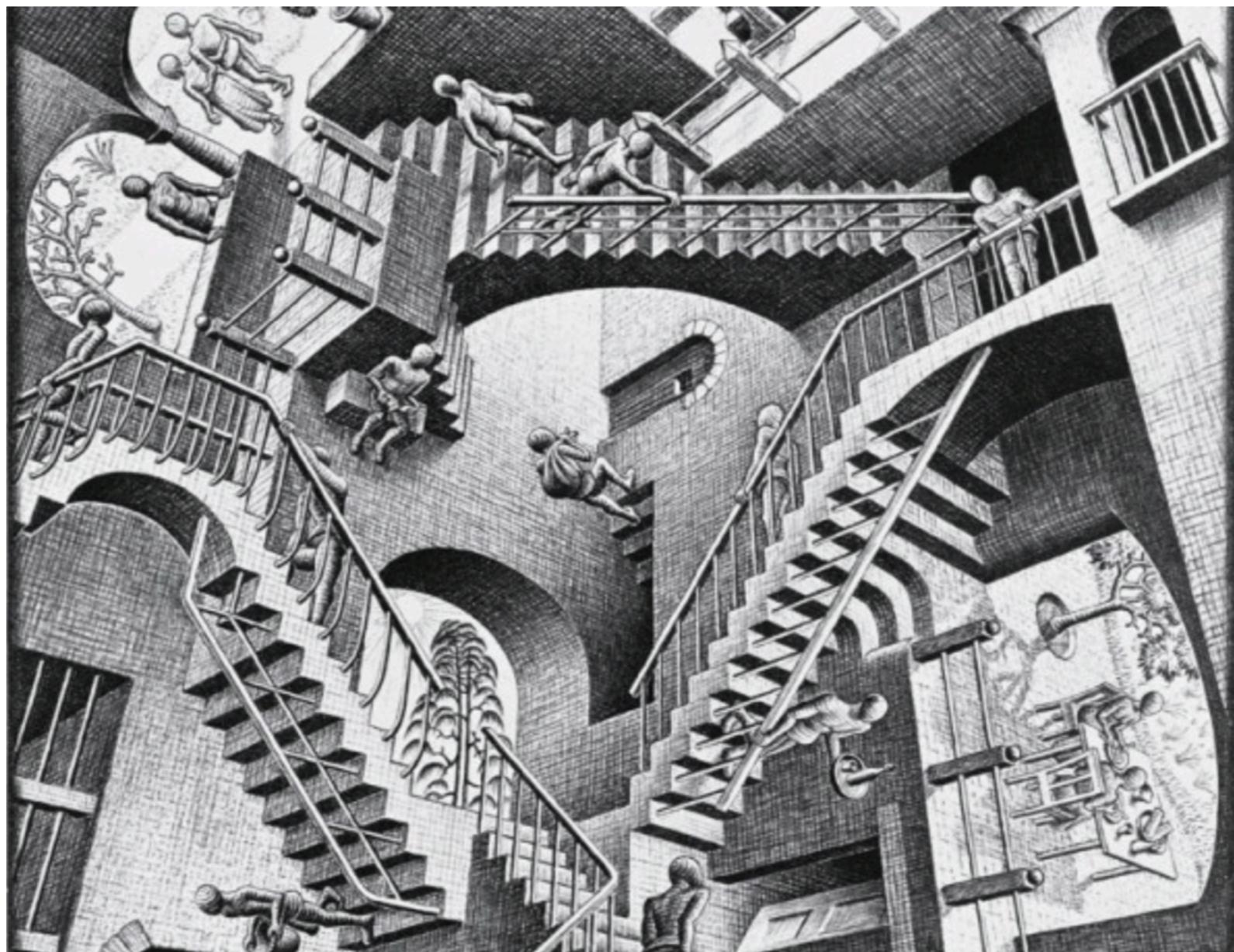
LH only

[A. Cerri et al, 1812.07638]



1 and 2  $\sigma$  regions preferred by the low-energy fit

Beyond the simplified picture



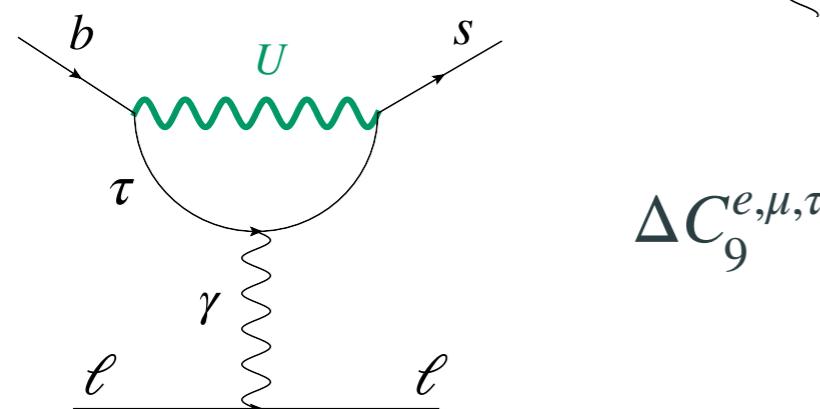
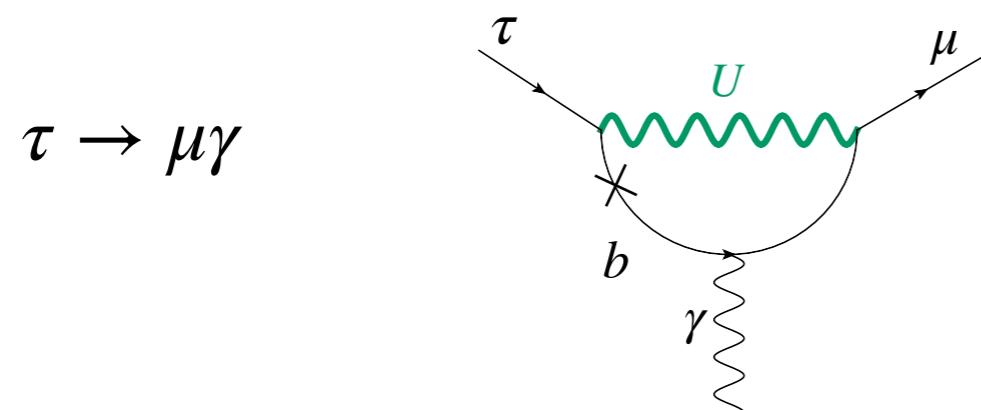
# The need for a UV completion

✓ The simplified model analysis captures many relevant aspects of the low and high-energy phenomenology

✗ Radiative effects are relevant!

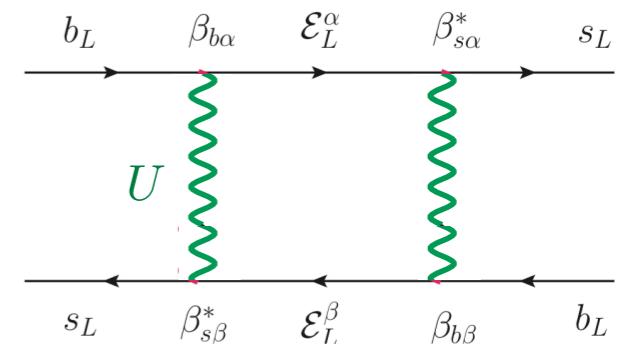
Some can be estimated already at the level of the simplified model...

...but this is not possible for others!



$$\Delta C_9^{e,\mu,\tau}$$

$$\Delta F = 2$$



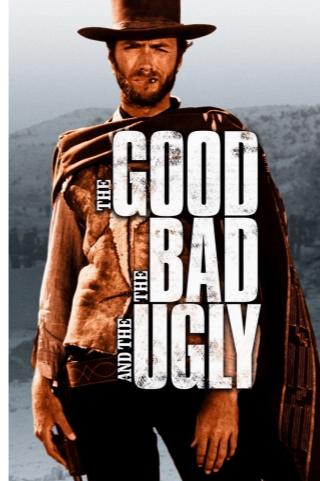
UV completion needed!  
(gauge model)

# “Flavored” 4321

Bordone et al. 1712.01368  
Cornella, JFM, Isidori, in preparation

$$SU(4)_3 \times SU(3)_{1+2} \times SU(2)_L \times U(1) \xrightarrow{\langle \Omega_{1,3,15} \rangle} SU(3)_c \times SU(2)_L \times U(1)_Y$$

$U(1)_Y$

					$SU(3)_c$	+
						$U^\alpha \sim (\mathbf{3}, \mathbf{1})_{2/3}$
						$G'^a \sim (\mathbf{8}, \mathbf{1})_0$ (heavy “gluon”)
	Field	$SU(4)$	$SU(3)'$	$SU(2)_L$	$U(1)'$	
1st & 2nd families	$q_L^{i'}$	1	3	2	1/6	
	$u_R^{i'}$	1	3	1	2/3	
	$d_R^{i'}$	1	3	1	-1/3	
	$\ell_L^{i'}$	1	1	2	-1/2	
	$e_R^{i'}$	1	1	1	-1	
3rd family	$\psi_L^3$	4	1	2	0	
	$\psi_{R_{u,d}}^3$	4	1	1	$\pm 1/2$	
	$\chi_L^i$	4	1	2	0	
$n_{\text{VL}} = 2$	$\chi_R^i$	4	1	2	0	
	$H_{1,15}$	<b>1, 15</b>	1	2	1/2	
	$\Omega_1$	$\bar{4}$	1	1	-1/2	
	$\Omega_3$	$\bar{4}$	3	1	1/6	
	$\Omega_{15}$	<b>15</b>	1	1	0	

✓ U(2)-like Yukawa textures  
(explanation to the SM flavor hierarchies)

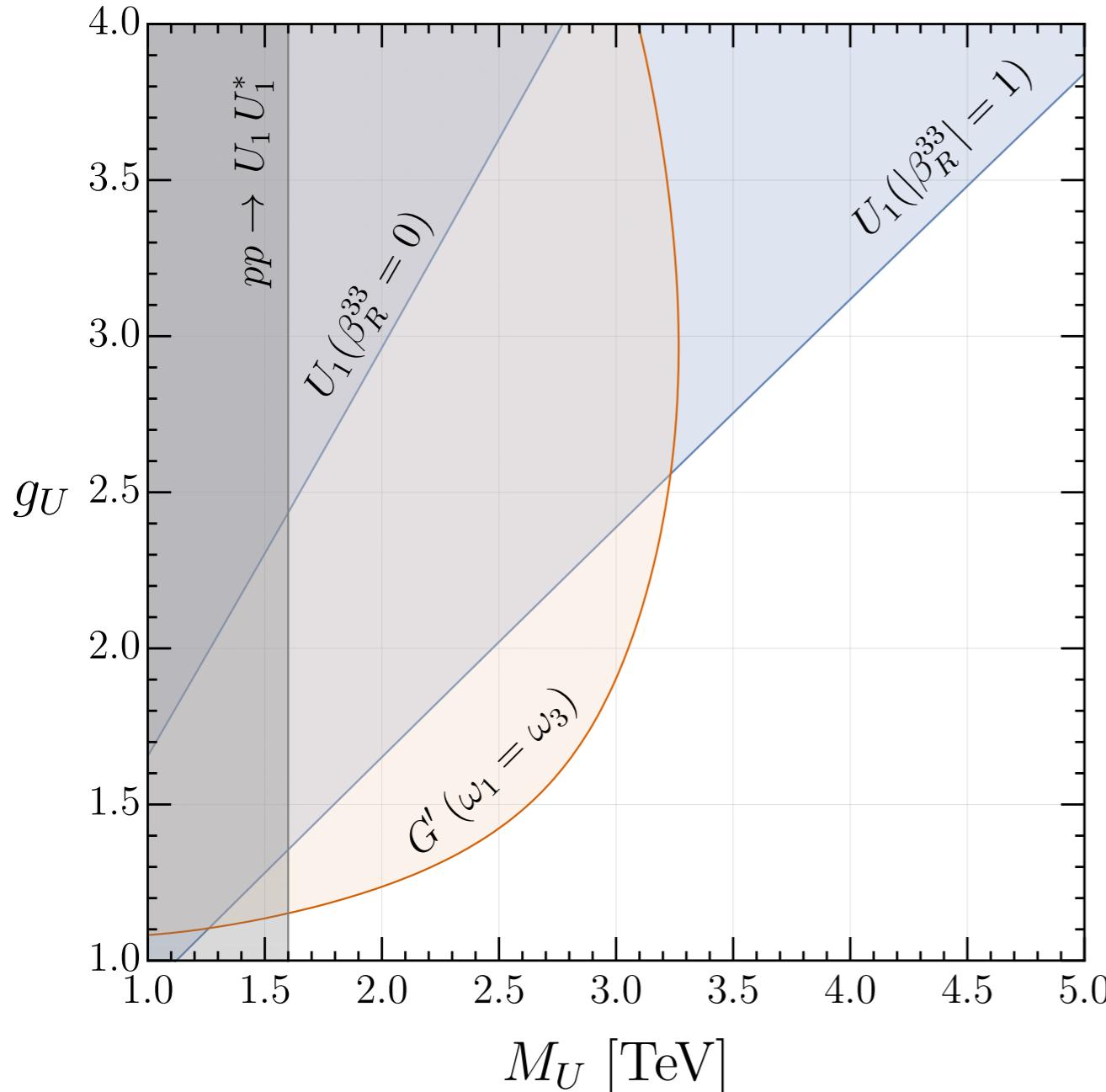
✓ Couplings to 3rd family naturally big

→ Smaller effects in 1st & 2nd families through SM-Vector-like mixing

Gauge anomaly cancellation implies large  $U_1$  **couplings also to RH fields**

# High-pT interplay among the new vectors

[Baker, JFM, Isidori, König, 1901.10480]



In particular models the  $U_1$ ,  $G'$  and  $Z'$  masses are related

$$M_{G'} = M_U \frac{g_U}{\sqrt{g_U^2 - g_c^2}} \sqrt{\frac{2\omega_3^2}{\omega_1^2 + \omega_3^2}}$$

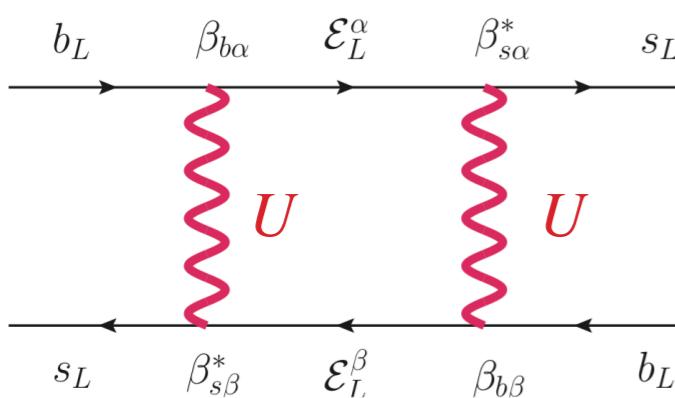
$\omega_i$  : scalar vevs

$G'$  searches are very important for the LH leptoquark ( $\beta_R = 0$ )... but not so much for  $\beta_R = 1$

$Z'$  searches typically less relevant

# Exotic multi-jet plus multi-lepton signatures

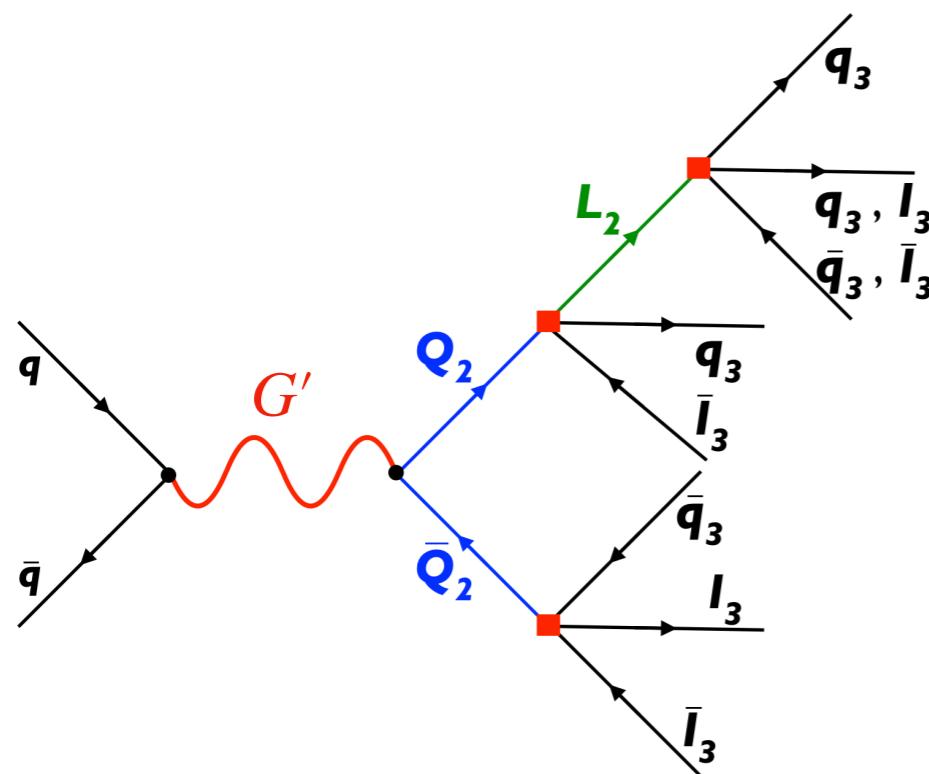
Rather generically, vector-like fermions are needed to make  $U_1$  loops finite. Similar to the SM case with the W and the prediction of the charm quark



$$C_{B_s\text{-mixing}}^{LL} \sim \Delta R_{D^{(*)}}^2 M_L^2$$

Vector-like fermions are expected to be the lightest states in the theory!

$$M_L \sim 1 \text{ TeV} \quad M_Q \sim 2 \text{ TeV}$$



Exotic multi-jet plus multi-lepton signatures within the reach of the LHC are predicted [rich signal with b-tags and  $\tau$ -tags]

Similar existing SUSY searches by ATLAS (1706.03731) but a dedicated analysis is needed

# Conclusions

Current data is still inconclusive and the overall picture might change but...

... it is possible to find solutions to the flavor anomalies while remaining consistent with all the other data

Interesting **connections to the SM Yukawa structure** (hinting to a possible solution of the **SM flavor puzzle**)

Going beyond simplified dynamical models is important

→ unexpected experimental signatures and constraints ( $G'$ ,  $Z'$ , VL fermions,...)

Very interesting interplay between low-energy and high-pT data

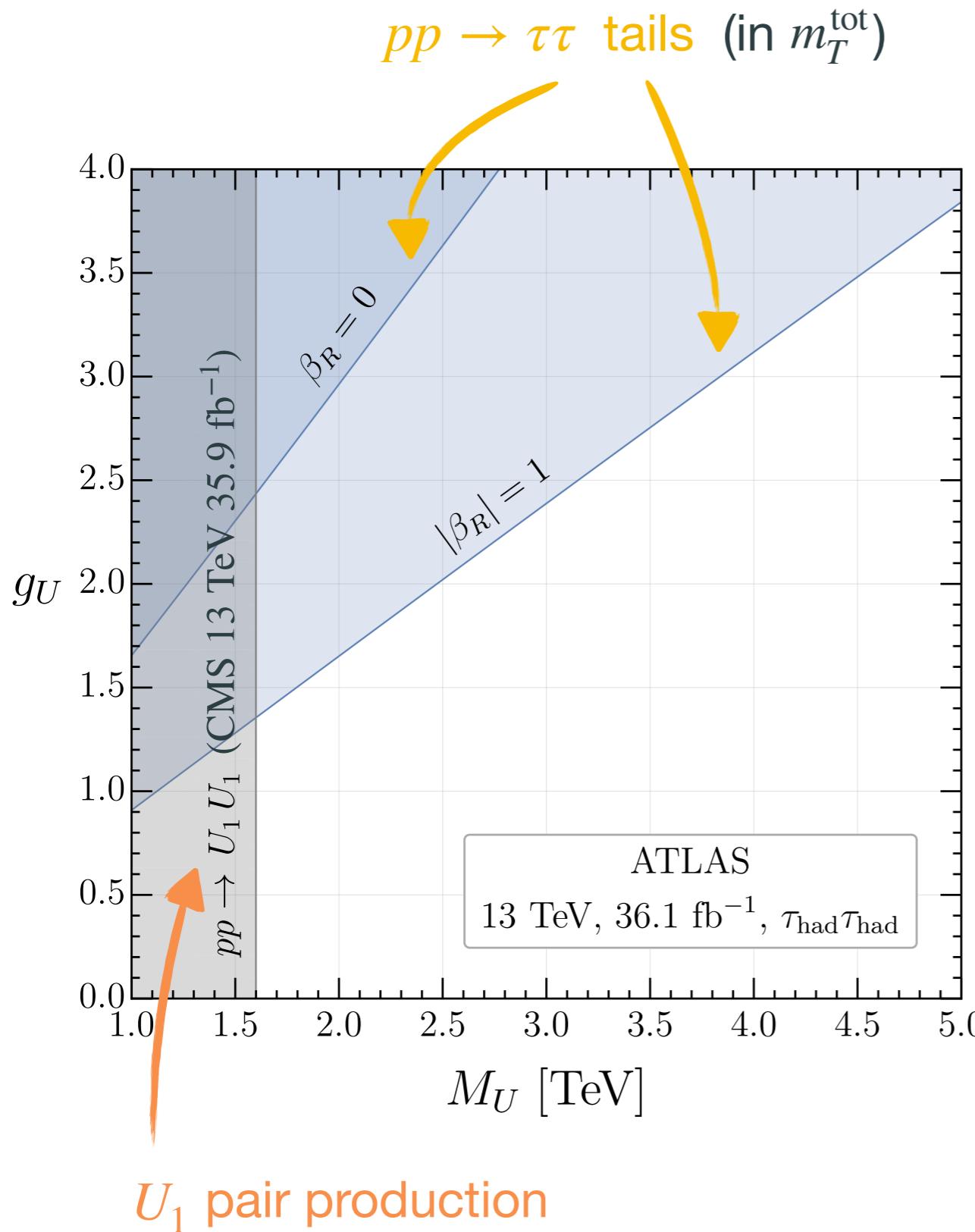
**If** the anomalies are really pointing to NP, **new experimental indications** (both in high-pT and at low energies) should show up soon in several observables

... However this conclusion is strongly driven by  $R(D^{(*)})$

# Thank you!

# Backup slides

# Recast of the high-pT data



[Baker, JFM, Isidori, König, 1901.10480]

$$\mathcal{L} \supset \frac{g_U}{\sqrt{2}} U_1^\mu (\bar{Q}_3 \gamma_\mu L_3 - \beta_R \bar{b}_R \gamma_\mu \tau_R)$$

*pp  $\rightarrow \tau\tau$  limit considerably stronger when  $|\beta_R| = 1$*

$M_U \gtrsim 3.8 \text{ TeV}$  [LH + RH]

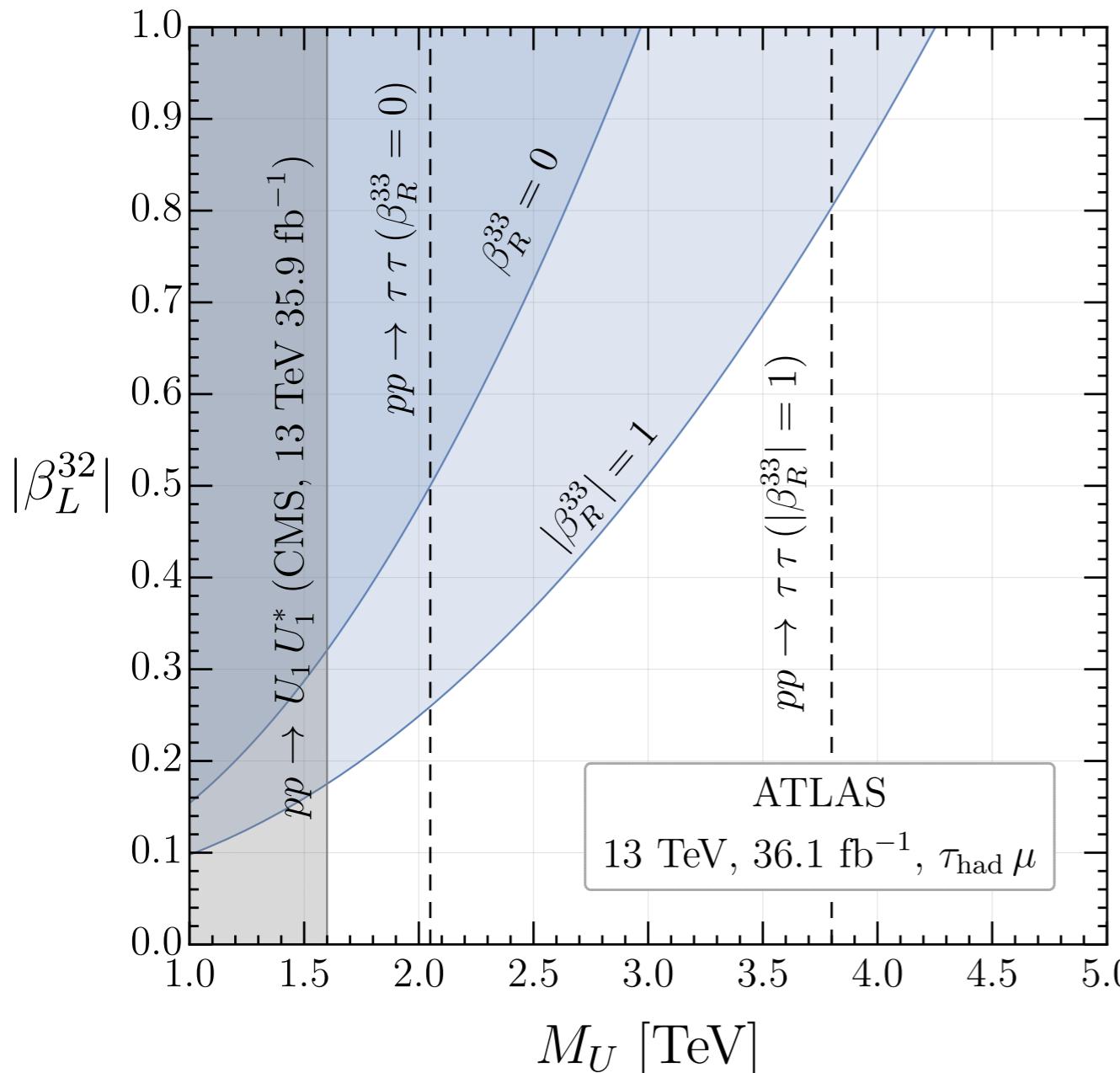
$M_U \gtrsim 2 \text{ TeV}$  [LH only]

[For a benchmark of  $g_U = 3.0$ ]

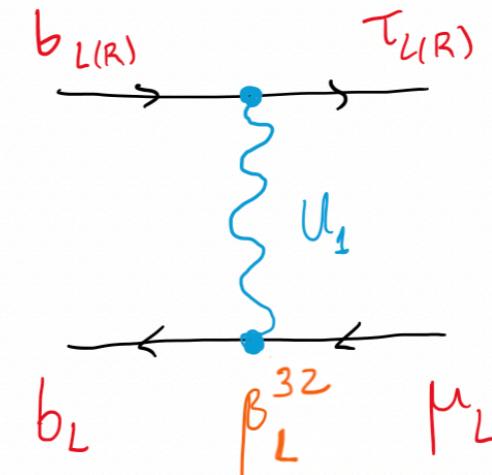
*Pair production limits quite similar in both cases*

# Flavor physics across the scales: $pp \rightarrow \tau\mu$

[Baker, JFM, Isidori, König, 1901.10480]



$$\mathcal{L} \supset \frac{3}{\sqrt{2}} U_\mu (\beta_L^{32} \bar{Q}_3 \gamma^\mu L_2 + \bar{Q}_3 \gamma^\mu L_3 - \beta_R \bar{b}_R \gamma^\mu \tau_R)$$

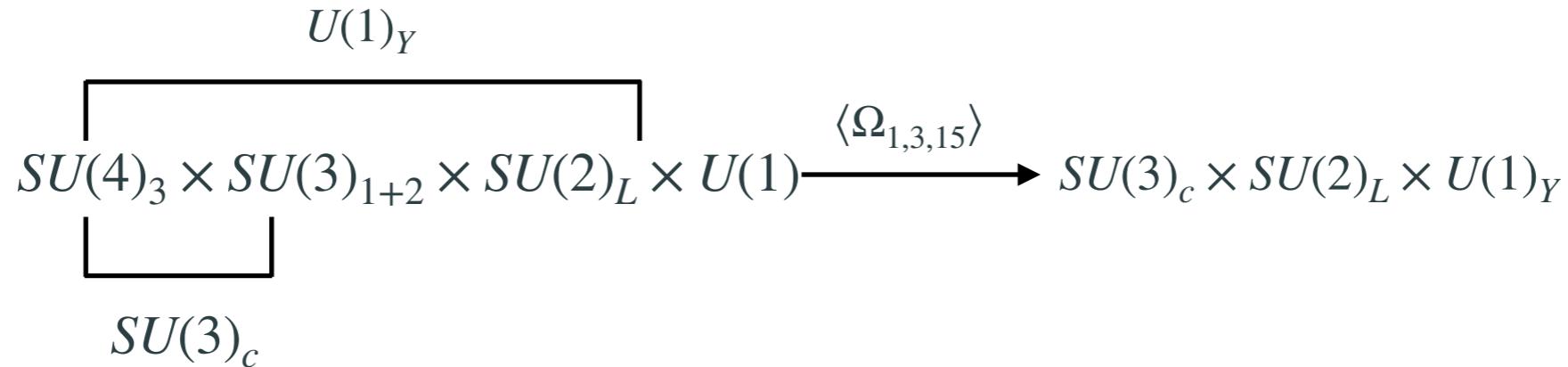


Present data not very constraining ( $\beta_L^{32} \sim 0.2$  preferred) but future prospects are very interesting

High-pT already provides better bounds than low-energy flavor data

$$\Upsilon \rightarrow \tau\mu \quad \ll \quad pp \rightarrow \tau\mu$$

# The 4321 model(s)



The “original” 4321

Field	$SU(4)$	$SU(3)'$	$SU(2)_L$	$U(1)'$
$q_L'^i$	<b>1</b>	<b>3</b>	<b>2</b>	1/6
$u_R'^i$	<b>1</b>	<b>3</b>	<b>1</b>	2/3
$d_R'^i$	<b>1</b>	<b>3</b>	<b>1</b>	-1/3
$\ell_L'^i$	<b>1</b>	<b>1</b>	<b>2</b>	-1/2
$e_R'^i$	<b>1</b>	<b>1</b>	<b>1</b>	-1
$\chi_L^i$	<b>4</b>	<b>1</b>	<b>2</b>	0
$\chi_R^i$	<b>4</b>	<b>1</b>	<b>2</b>	0
$H$	<b>1</b>	<b>1</b>	<b>2</b>	1/2
$\Omega_1$	<b>4</b>	<b>1</b>	<b>1</b>	-1/2
$\Omega_3$	<b>4</b>	<b>3</b>	<b>1</b>	1/6
$\Omega_{15}$	<b>15</b>	<b>1</b>	<b>1</b>	0

$n_{\text{SM-like}} = 3$

$n_{\text{VL}} = 3$

$U_1$  LH only

The “flavored” 4321

Field	$SU(4)$	$SU(3)'$	$SU(2)_L$	$U(1)'$
$q_L'^i$	<b>1</b>	<b>3</b>	<b>2</b>	1/6
$u_R'^i$	<b>1</b>	<b>3</b>	<b>1</b>	2/3
$d_R'^i$	<b>1</b>	<b>3</b>	<b>1</b>	-1/3
$\ell_L'^i$	<b>1</b>	<b>1</b>	<b>2</b>	-1/2
$e_R'^i$	<b>1</b>	<b>1</b>	<b>1</b>	-1
$\psi_L^3$	<b>4</b>	<b>1</b>	<b>2</b>	0
$\psi_{R_{u,d}}^3$	<b>4</b>	<b>1</b>	<b>1</b>	$\pm 1/2$
$\chi_L^i$	<b>4</b>	<b>1</b>	<b>2</b>	0
$\chi_R^i$	<b>4</b>	<b>1</b>	<b>2</b>	0
$H_{1,15}$	<b>1, 15</b>	<b>1</b>	<b>2</b>	1/2
$\Omega_1$	<b>4</b>	<b>1</b>	<b>1</b>	-1/2
$\Omega_3$	<b>4</b>	<b>3</b>	<b>1</b>	1/6
$\Omega_{15}$	<b>15</b>	<b>1</b>	<b>1</b>	0

1st & 2nd families

3rd family

$n_{\text{VL}} = 2$

$U_1$  LH + RH