

Probing physics beyond the SM with K and B decays



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collaborated with

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Work in progress

Motivation

B anomalies

Lepton flavor universality Violation (LFUV) in semi-leptonic **B** decays

$$b \rightarrow c\tau\nu$$

$$R_{D^{(*)}} = \frac{\mathcal{B}(B \rightarrow D^{(*)}\tau\nu)}{\mathcal{B}(B \rightarrow D^{(*)}\ell\nu)}$$

~ 4 σ excess over the SM

$$b \rightarrow s\ell\ell$$

$$R_{K^{(*)}} = \frac{\mathcal{B}(B \rightarrow K^{(*)}\mu^+\mu^-)}{\mathcal{B}(B \rightarrow K^{(*)}e^+e^-)}$$

~ 3.8 σ less over the SM

Motivation

Model independent consideration for B anomalies

- * Anomalies are seen in only **semi-leptonic** (quark \times lepton) operators
- * Model independent analyses show that **left-handed** current current operators are favored
- * Hierarchical NP is needed:

Large coupling for $R_{D^{(*)}}$ Small coupling for $R_{K^{(*)}}$
(Tree level in SM) $b \rightarrow \textcolor{blue}{c} \ell_3 \nu_3 \gg b \rightarrow \textcolor{blue}{s} \ell_2 \ell_2$ (Loop level in SM)



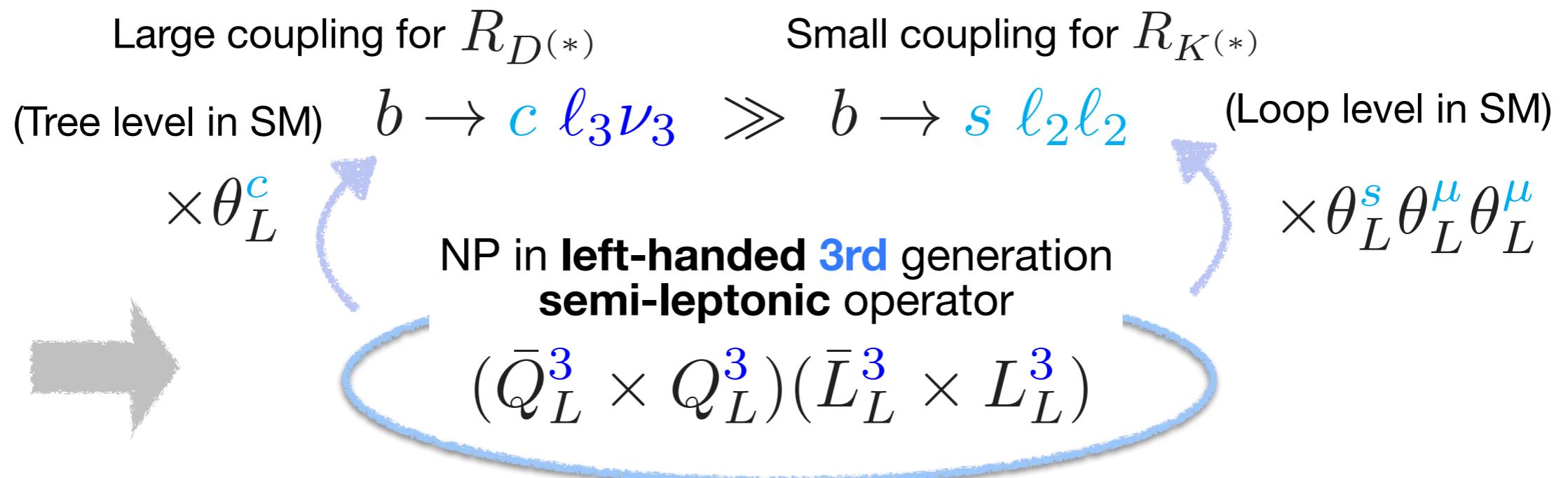
NP in **left-handed 3rd** generation
semi-leptonic operator

$$(\bar{Q}_L^3 \times Q_L^3)(\bar{L}_L^3 \times L_L^3)$$

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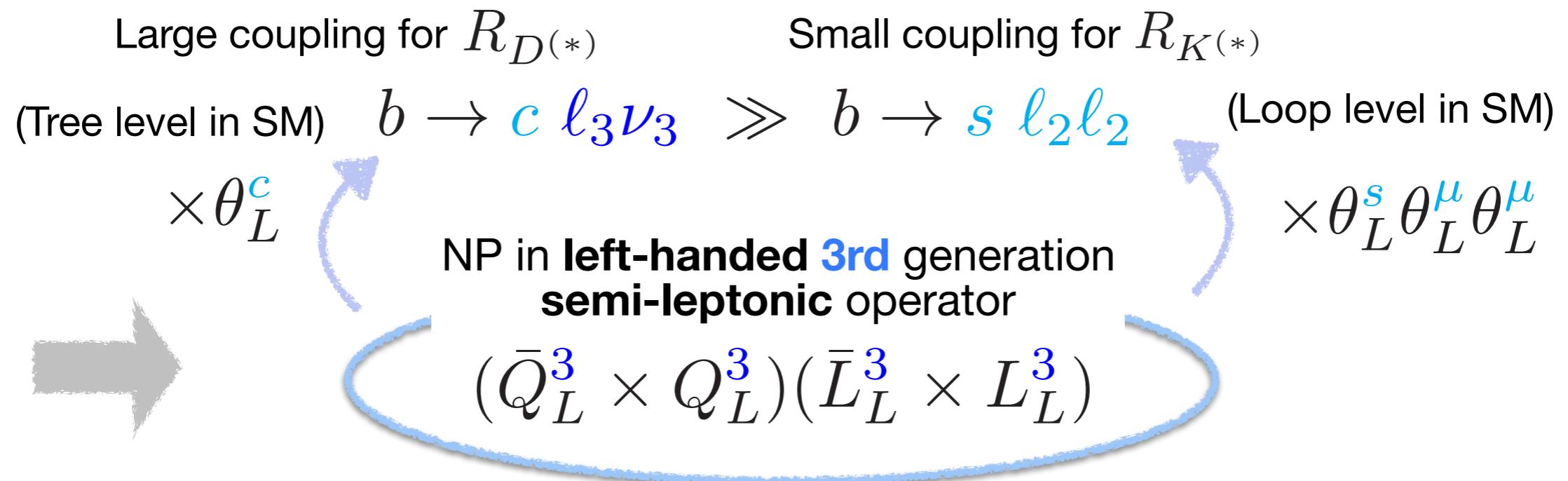


Generic framework where NP is coupled to 3rd gen. SM fermions, where light SM fermions are suppressed by small mixing angles $\theta_{L(R)}^{1,2} f_{L(R)}^3 \rightarrow f_{L(R)}^{1,2}$ can realize B anomaly

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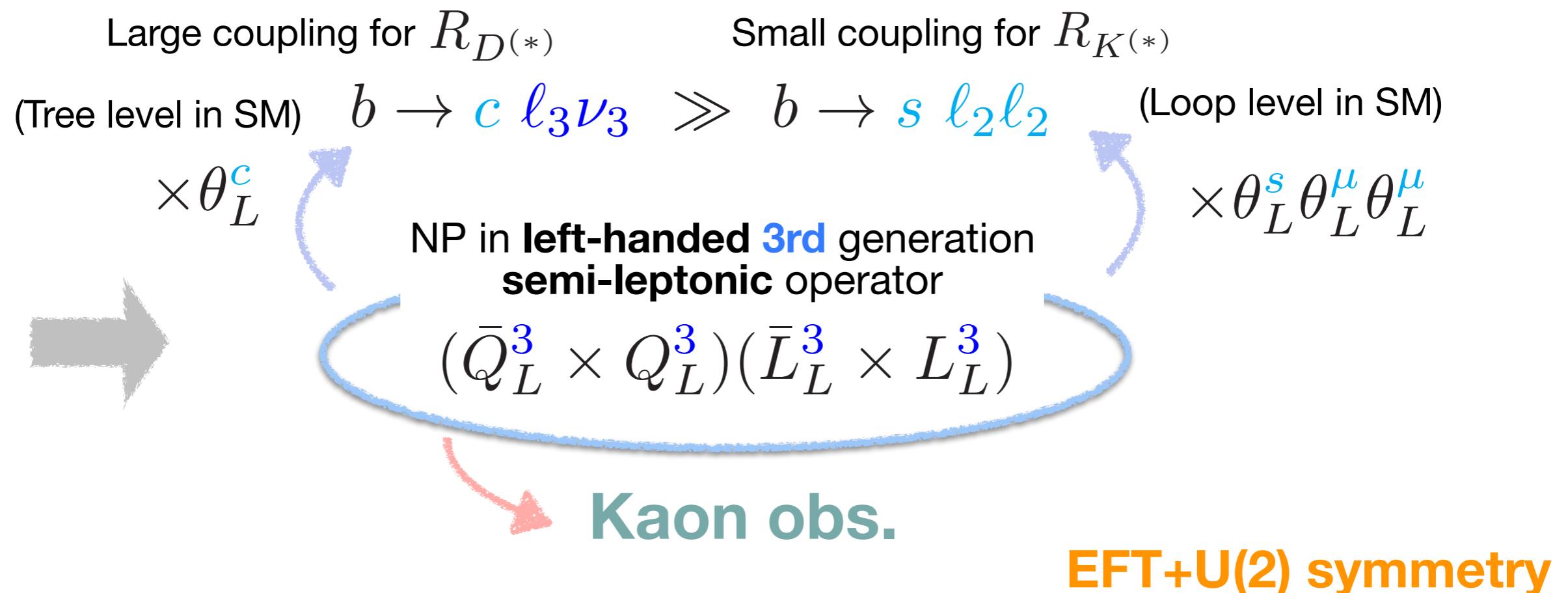
EFT+U(2) symmetry

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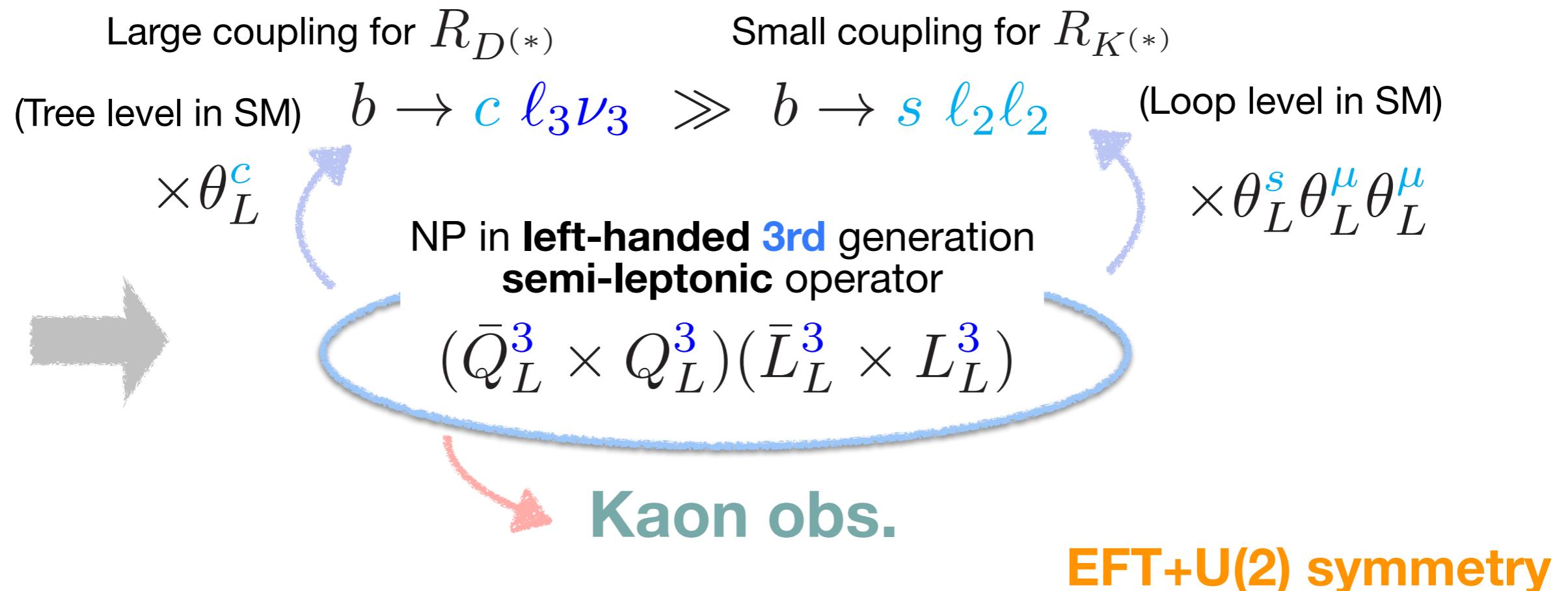
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Kaon observables are also produced in same way. Kaon physics is sensitive to NP

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What can we learn from Kaon (semi-) leptonic decay?

Flavor Ansatz : U(2) symmetry

Barbieri, Isidori, Jones-Perez,
Lodone, Straub [1105.2296]

The SM Yukawas respect an approximate U(2) symmetry

Mass matrix

$$M_{u,d} \sim \begin{pmatrix} & & \\ & & \\ & & \end{pmatrix}$$

CKM

$$V_{\text{CKM}} \sim$$

$$\begin{pmatrix} & & \\ & & \\ & & \end{pmatrix}$$

$$\psi = (\psi_1, \psi_2, \psi_3)$$

$$U(2)_q \times U(2)_u \times U(2)_d$$

ex) up sector

Unbroken symmetry

$$Y_u = y_t \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix} U(2)_q$$

$U(2)_u$

After breaking

$$\begin{pmatrix} \Delta & V \\ 0 & 1 \end{pmatrix} \quad |V| \sim |V_{ts}|$$
$$|\Delta| \sim y_c$$

Diagonalize

Yukawa relation

$$\theta_R^s = \frac{m_s}{m_b} \theta_L^s \quad \theta_R^\mu = \frac{m_\mu}{m_\tau} \theta_L^\mu$$

LFUV and LFV in Kaon + τ

Kaon decays (CC)

	Data
$K^+ \rightarrow \pi^0 \mu^+ \nu_\mu$	0.03352(33)
$K^+ \rightarrow \pi^0 e^+ \nu_e$	0.0507(4)
$K_L \rightarrow \pi^\pm \mu^\mp \nu_\mu$ ($K_{\mu 3}^0$)	0.2704(07)
$K_L \rightarrow \pi^\pm e^\mp \nu_e$ ($K_{e 3}^0$)	0.4055(11)
$K_S \rightarrow \pi^\pm e^\mp \nu_e$	$(7.04 \pm 0.08) \times 10^{-4}$
$K^+ \rightarrow \mu^+ \nu_\mu$	0.6356(11)
$K^+ \rightarrow e^+ \nu_e$	$1.582(7) \times 10^{-5}$
$R^{e/\mu}$	$(2.488 \pm 0.009) \times 10^{-5}$

Data

Kaon decays (NC)

$K^+ \rightarrow \pi^+ \nu \bar{\nu}$	$(1.73^{+1.15}_{-1.05}) \times 10^{-10}$
$K_L \rightarrow \pi^0 \nu \bar{\nu}$	$< 3.0 \times 10^{-9}$ [90%]
$K_L \rightarrow \pi^0 \mu^+ \mu^-$	$< 3.8 \times 10^{-10}$ [90%]
$K_L \rightarrow \pi^0 e^+ e^-$	$< 2.8 \times 10^{-10}$ [90%]
$K_S \rightarrow \pi^0 \mu^+ \mu^-$	$(2.9^{+1.5}_{-1.2}) \times 10^{-9}$
$K_S \rightarrow \pi^0 e^+ e^-$	$(3.0^{+1.5}_{-1.2}) \times 10^{-9}$
$K^+ \rightarrow \pi^+ \mu^+ \mu^-$	$9.4(6) \times 10^{-8}$
$K^+ \rightarrow \pi^+ e^+ e^-$	$3.00(9) \times 10^{-7}$
$K_L \rightarrow \mu^+ \mu^-$	$(6.84 \pm 0.11) \times 10^{-9}$
$K_L \rightarrow e^+ e^-$	$9^{+6}_{-4} \times 10^{-12}$
$K_S \rightarrow \mu^+ \mu^-$	$< 8 \times 10^{-10}$ [90%]
$K_S \rightarrow e^+ e^-$	$< 9 \times 10^{-9}$ [90%]
$K^+ \rightarrow \pi^+ e^- \mu^+$	$< 1.3 \times 10^{-11}$ [90%]
$K^+ \rightarrow \pi^+ e^+ \mu^-$	$< 5.2 \times 10^{-10}$ [90%]
$K_L \rightarrow \mu^\mp e^\pm$	$< 4.7 \times 10^{-12}$ [90%]

* τ decays into Kaon are also produced in same type operators

τ decays (CC)

$\tau \rightarrow K^- \nu_\tau$	$(6.96 \pm 0.10) \times 10^{-3}$
$\tau \rightarrow \pi^- \bar{K}^0 \nu_\tau$	$(8.40 \pm 0.14) \times 10^{-3}$

τ decays (NC)

$\tau \rightarrow K^- \pi^+ e^-$	3.7×10^{-8} [90%]
$\tau \rightarrow K^+ \pi^- e^-$	3.1×10^{-8} [90%]
$\tau \rightarrow K^- \pi^+ \mu^-$	8.6×10^{-8} [90%]
$\tau \rightarrow K^+ \pi^- \mu^-$	4.5×10^{-8} [90%]
$\tau \rightarrow K_S^0 e^-$	2.6×10^{-8} [90%]
$\tau \rightarrow K_S^0 \mu^-$	2.3×10^{-8} [90%]

Operators

Relevant operators can be categorized decay with or without π

$$K \rightarrow \ell\ell(\ell\nu, \nu\nu)$$

$$P : (\bar{s}\gamma_5 d)(\bar{\ell}\gamma_5 \ell), \quad A : (\bar{s}\gamma^\ell \gamma_5 d)(\bar{\ell}\gamma_\ell \gamma_5 \ell)$$

$$K \rightarrow \pi\ell\ell(\pi\ell\nu, \pi\nu\nu) \quad S : (\bar{s}d)(\bar{\ell}\ell), \quad V : (\bar{s}\gamma^\mu d)(\bar{\ell}\gamma_\mu \ell), \quad T : (\bar{s}\sigma^{\mu\nu} d)(\bar{\ell}\sigma_{\mu\nu} \ell)$$

$$\begin{aligned} \mathcal{L}_{\text{eff}} = & \frac{-2G_F}{\sqrt{2}} V_{us}^* \left[\left(\delta^{\alpha\beta} + [\epsilon_{\nu\ell}^V]^{\alpha\beta} \right) (\bar{\nu}_L^\alpha \gamma_\mu e_L^\beta) (\bar{s}\gamma^\mu u) - \left(\delta^{\alpha\beta} + [\epsilon_{\nu\ell}^A]^{\alpha\beta} \right) (\bar{\nu}_L^\alpha \gamma_\mu e_L^\beta) (\bar{s}\gamma^\mu \gamma_5 u) \right. \\ & \left. + [\epsilon_{\nu\ell}^S]^{\alpha\beta} (\bar{\nu}_L^\alpha e_R^\beta) (\bar{s}u) + [\epsilon_{\nu\ell}^P]^{\alpha\beta} (\bar{\nu}_L^\alpha e_R^\beta) (\bar{s}\gamma_5 u) + 2[\epsilon_{\nu\ell}^T]^{\alpha\beta} (\bar{\nu}_L^\alpha \sigma^{\mu\nu} e_R^\beta) (\bar{s}_L \sigma_{\mu\nu} u_R) \right] + (h.c.) \end{aligned}$$

Today's talk $+ R_{D^{(*)}}$

Obs.	Operator	
$K \rightarrow \pi\ell\nu$	$(\bar{\nu}^\alpha \gamma_\mu P_L e^\beta)(\bar{d}^i \gamma^\mu u^j)$	$[\epsilon_{\nu\ell}^V]^{\alpha\beta}$
$\tau \rightarrow K\pi\nu$	$(\bar{\nu}^\alpha P_R e^\beta)(\bar{d}^i u^j)$	$[\epsilon_{\nu\ell}^S]^{\alpha\beta}$
CC($\nu\ell$)	$(\bar{\nu}_{Lp} \sigma^{\mu\nu} e_{Rr})(\bar{d}_{Ls} \sigma_{\mu\nu} u_{Rt})$	$[\epsilon_{\nu\ell}^T]^{\alpha\beta}$
$K \rightarrow \ell\nu$	$(\bar{\nu}^\alpha \gamma_\mu P_L e^\beta)(\bar{d}^i \gamma^\mu \gamma_5 u^j)$	$[\epsilon_{\nu\ell}^A]^{\alpha\beta}$
$\tau \rightarrow K\nu$	$(\bar{\nu}^\alpha P_R e^\beta)(\bar{d}^i \gamma_5 u^j)$	$[\epsilon_{\nu\ell}^P]^{\alpha\beta}$
NC($\nu\nu$)	$K \rightarrow \pi\nu\nu$	$(\bar{\nu}^\alpha \gamma_\mu P_L \nu^\beta)(\bar{d}^i \gamma^\mu d^j)$
	$(K \rightarrow \nu\nu)$	$[\epsilon_{\nu\nu}^V]^{\alpha\beta}$
		$(\bar{\nu}^\alpha \gamma_\mu P_L \nu^\beta)(\bar{d}^i \gamma^\mu \gamma_5 d^j)$
		$[\epsilon_{\nu\nu}^A]^{\alpha\beta}$

* NC modes : work in progress

NC($\ell\ell$)	$K \rightarrow \pi\ell\ell$	$(\bar{e}^\alpha \gamma_\mu P_L e^\beta)(\bar{d}^i \gamma^\mu d^j)$	$[\epsilon_{\ell\ell}^{L,V}]^{\alpha\beta}$
	$\tau \rightarrow K\pi\ell$	$(\bar{e}^\alpha \gamma_\mu P_R e^\beta)(\bar{d}^i \gamma^\mu d^j)$	$[\epsilon_{\ell\ell}^{R,V}]^{\alpha\beta}$
		$(\bar{e}^\alpha P_R e^\beta)(\bar{d}^i d^j)$	$[\epsilon_{\ell\ell}^S]^{\alpha\beta} =$
		$(\bar{e}_L^\alpha \sigma^{\mu\nu} e_R^\beta)(\bar{d}_{Ls} \sigma_{\mu\nu} d_{Rt})$	$[\epsilon_{\ell\ell}^T]^{\alpha\beta} =$
	$K \rightarrow \ell\ell$	$(\bar{e}^\alpha \gamma_\mu P_L e^\beta)(\bar{d}^i \gamma^\mu \gamma_5 d^j)$	$[\epsilon_{\ell\ell}^{L,A}]^{\alpha\beta}$
	$\tau \rightarrow K\ell$	$(\bar{e}^\alpha \gamma_\mu P_R e^\beta)(\bar{d}^i \gamma^\mu \gamma_5 d^j)$	$[\epsilon_{\ell\ell}^{R,A}]^{\alpha\beta}$
		$(\bar{e}^\alpha P_R e^\beta)(\bar{d}^i \gamma_5 d^j)$	$[\epsilon_{\ell\ell}^P]^{\alpha\beta} =$

K_{ell2} (K → lν) & τ → Kν

Constrain for NP contribution for axial $[\epsilon_{\nu\ell}^A]^{\ell\ell}$ and p-scalar $[\epsilon_{\nu\ell}^P]^{\ell\ell}$ coefficients

$\delta_{K\ell}$: EM correction

$$\Gamma(K^+ \rightarrow \ell^+ \nu_\ell) = \frac{G_F^2 f_K^2 M_K m_\ell^2}{8\pi} \left(1 - \frac{m_\ell^2}{M_K^2}\right)^2 |V_{uq}|^2 \left| 1 + [\epsilon_{\nu\ell}^A]^{\ell\ell} - \frac{M_K^2}{(m_u + m_s)m_\ell} [\epsilon_{\nu\ell}^P]^{\ell\ell} \right|^2 (1 + \delta_{K\ell})$$

 chiral enhancement factor

Take LU ratio $R_{e/\mu} \equiv \mathcal{B}(K_{e2})/\mathcal{B}(K_{\mu 2})$
 where most theoretical uncertainties are
 canceled, and normalized to SM

$$\Delta R_{e/\mu} \equiv \frac{R_{e/\mu}}{R_{e/\mu}^{\text{SM}}} - 1$$

Kell2 ($K \rightarrow l\nu$) & $\tau \rightarrow K\nu$

$$R_{e/\mu} \equiv \mathcal{B}(K_{e2})/\mathcal{B}(K_{\mu 2})$$

$$\frac{R_{e/\mu}}{R_{e/\mu}^{\text{SM}}} = 1 + 2([\epsilon_{\nu\ell}^A]^{ee} - [\epsilon_{\nu\ell}^A]^{\mu\mu}) - 2 \frac{m_K^2}{m_u + m_s} \left(\frac{[\epsilon_{\nu\ell}^P]^{ee}}{m_e} - \frac{[\epsilon_{\nu\ell}^P]^{\mu\mu}}{m_\mu} \right) + \mathcal{O}(\epsilon^2)$$

$$\frac{R_{e/\mu}^{\text{exp}}}{R_{e/\mu}^{\text{SM}}} = \frac{\mathcal{B}(K^- \rightarrow e^- \bar{\nu}_e)^{\text{exp}} / \mathcal{B}(K^- \rightarrow e^- \bar{\nu}_e)^{\text{SM}}}{\mathcal{B}(K^- \rightarrow \mu^- \bar{\nu}_\mu)^{\text{exp}} / \mathcal{B}(K^- \rightarrow \mu^- \bar{\nu}_\mu)^{\text{SM}}} = 1.00444 \pm 0.00366$$

Cirigliano and
Rosell, [0707.3439]

$$\begin{aligned} \Delta R_{e/\mu} &= 2 \left[([\epsilon_{\nu\ell}^A]^{ee} - [\epsilon_{\nu\ell}^A]^{\mu\mu}) - \frac{M_K^2}{m_u + m_s} \left(\frac{[\epsilon_{\nu\ell}^P]^{ee}}{m_e} - \frac{[\epsilon_{\nu\ell}^P]^{\mu\mu}}{m_\mu} \right) \right] \\ &= (4.44 \pm 3.66) \times 10^{-3} \end{aligned}$$

NP room in e/μ ratio at
 $O(10^{-3})$ level is still allowed

$$R_{\mu/\tau} = \mathcal{B}(K^+ \rightarrow \mu^+ \nu_\mu) / \mathcal{B}(\tau^+ \rightarrow K^+ \bar{\nu}_\tau)$$

$$\frac{R_{\mu/\tau}^{\text{exp}}}{R_{\mu/\tau}^{\text{SM}}} = \frac{\mathcal{B}(K^- \rightarrow \mu^- \bar{\nu}_\mu)^{\text{exp}} / \mathcal{B}(K^- \rightarrow \mu^- \bar{\nu}_\mu)^{\text{SM}}}{\mathcal{B}(\tau^- \rightarrow K^- \bar{\nu}_\tau)^{\text{exp}} / \mathcal{B}(\tau^- \rightarrow K^- \bar{\nu}_\tau)^{\text{SM}}} = 1.0285 \pm 0.0151$$

SM Pich [1310.7922]

$$\begin{aligned} \Delta R_{\mu/\tau} &= 2 \left[([\epsilon_{\nu\ell}^A]^{\mu\mu} - [\epsilon_{\nu\ell}^A]^{\tau\tau}) - \frac{m_K^2}{m_u + m_s} \left(\frac{[\epsilon_{\nu\ell}^P]^{\mu\mu}}{m_\mu} - \frac{[\epsilon_{\nu\ell}^P]^{\tau\tau}}{m_\tau} \right) \right] \\ &= (28.5 \pm 15.1) \times 10^{-3} \end{aligned}$$

* Interestingly, present data exhibits a small tension with the SM prediction

Kell3 ($K \rightarrow \pi \nu$) & $\tau \rightarrow K \pi \nu$

Relevant coefficients: $[\epsilon_{\nu\ell}^V]^{\ell\ell}$ ~~$[\epsilon_{\nu\ell}^S]^{\ell\ell}$~~ ~~$[\epsilon_{\nu\ell}^T]^{\ell\ell}$~~

Consider only vector one

More general analysis including NP effects on ε^S and ε^T , see ex.

González-Alonso et al[1605.07114, 1809.01161,] Rendon, Roig, Sanchez[1902.08143]

and see Gonzalez-Alonso's talk on Thus.

NP effects in ε^S is well below the present exp. and th. errors

FlaviaNet Kaon WG[0801.1817]

$$R_{\pi e/\pi\mu} = \mathcal{B}(K_{e3})/\mathcal{B}(K_{\mu 3})$$

$$\frac{R_{\pi e/\pi\mu}}{R_{\pi e/\pi\mu}^{\text{SM}}} = 1 + 2([\epsilon_{\nu\ell}^V]^{ee} - [\epsilon_{\nu\ell}^V]^{\mu\mu}) + \mathcal{O}(\epsilon^2)$$

$I(K)$: phase space integral

δ_{EM} : EM correction

$$\begin{aligned} \frac{R_{\pi e/\pi\mu}^{\text{exp}}}{R_{\pi e/\pi\mu}^{\text{SM}}} &= \frac{\mathcal{B}(K_L \rightarrow \pi^- e^+ \nu_e)^{\text{exp}}}{\mathcal{B}(K_L \rightarrow \pi^- \mu^+ \nu_\mu)^{\text{exp}}} \frac{I(K_{\mu 3}^0)}{I(K_{e3}^0)} \left(1 + 2(\delta_{\text{EM}}^{K^0 \mu} - \delta_{\text{EM}}^{K^0 e}) \right) \\ &= 1.00008 \pm 0.00473 \end{aligned}$$

FlaviaNet Kaon WG
[0801.1817]

$$\begin{aligned} \Delta R_{\pi e/\pi\mu} &= 2([\epsilon_{\nu\ell}^V]^{ee} - [\epsilon_{\nu\ell}^V]^{\mu\mu}) \\ &= (0.08 \pm 4.73) \times 10^{-3} \end{aligned}$$

Kell3 ($K \rightarrow \pi \nu$) & $\tau \rightarrow K \pi \nu$

$$R_{\pi\ell/\pi\tau} = \mathcal{B}(K \rightarrow \pi \ell \bar{\nu}_\ell) / \mathcal{B}(\tau \rightarrow \pi \bar{K} \nu_\tau)$$

$$\frac{R_{\pi\ell/\pi\tau}}{R_{\pi\ell/\pi\tau}^{\text{SM}}} = 1 + 2([\epsilon_{\nu\ell}^V]^{\ell\ell} - [\epsilon_{\nu\ell}^V]^{\tau\tau}) + \mathcal{O}(\epsilon^2)$$

$$\begin{aligned} \frac{R_{\pi e/\pi\tau}^{\text{exp}}}{R_{\pi e/\pi\tau}^{\text{SM}}} &= \frac{\mathcal{B}(K^0 \rightarrow \pi e \bar{\nu}_e)^{\text{exp}} / \mathcal{B}(K^0 \rightarrow \pi e \bar{\nu}_e)^{\text{SM}}}{\mathcal{B}(\tau^- \rightarrow \bar{K}^0 \pi^- \nu_\tau)^{\text{exp}} / \mathcal{B}(\tau^- \rightarrow \bar{K}^0 \pi^- \nu_\tau)^{\text{SM}}} \\ &= 1.0198 \pm 0.0394 \end{aligned}$$

Antonellia, Cirigliano^b, Lusiani^c
Passemarb [1304.8134]

$$\begin{aligned} \Delta R_{\pi\ell/\pi\tau} &= 2([\epsilon_{\nu\ell}^V]^{\ell\ell} - [\epsilon_{\nu\ell}^V]^{\tau\tau}) \\ &= (19.8 \pm 39.4) \times 10^{-3} \end{aligned}$$

Constraints

		constraints	relevant coefficients
$K_{\ell\ell 3}$	e vs μ	$\Delta R_{\pi e/\pi \mu}$	$(0.08 \pm 4.73) \times 10^{-3}$
	μ vs τ	$\Delta R_{\pi \mu/\pi \tau}$	$(19.8 \pm 39.4) \times 10^{-3}$
$K_{\ell\ell 2}$	e vs μ	$\Delta R_{e/\mu}$	$(4.44 \pm 3.66) \times 10^{-3}$
	μ vs τ	$\Delta R_{\mu/\tau}$	$(28.5 \pm 15.1) \times 10^{-3}$

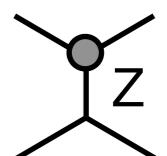
LEFT operators

Low energy effective theory (LEFT) operators are gauge-invariant operators below EW scale

Jenkins, Manohar, Stoffer [1709.04486]

$$\mathcal{L}_{\text{LEFT}} = -\frac{4G_F}{\sqrt{2}} \sum_i \mathcal{C}_i \mathcal{O}_i$$

Produced from $(H^\dagger i \overleftrightarrow{D}_\mu^I H)(\bar{q}_p \tau^I \gamma^\mu q_r)$ in SMEFT and not produce LFUV



Obs.	Operator	LEFT WC
$K \rightarrow \pi \ell \nu$ $\tau \rightarrow K \pi \nu$ CC($\nu \ell$)	$(\bar{\nu}^\alpha \gamma_\mu P_L e^\beta)(\bar{s} \gamma^\mu u)$	$[\epsilon_{\nu \ell}^V]_{\alpha \beta} = \frac{2}{V_{us}^*} \left([\mathcal{C}_{\nu e d u}^{V,LL}]_{\alpha \beta 21} + [\mathcal{C}_{\nu e d u}^{V,LR}]_{\alpha \beta 21} \right), \text{ h.c.}$
	$(\bar{\nu}^\alpha \gamma_\mu P_L e^\beta)(\bar{s} \gamma^\mu \gamma_5 u)$	$[\epsilon_{\nu \ell}^A]_{\alpha \beta} = \frac{2}{V_{us}^*} \left(-[\mathcal{C}_{\nu e d u}^{V,LL}]_{\alpha \beta 21} + [\mathcal{C}_{\nu e d u}^{V,LR}]_{\alpha \beta 21} \right), \text{ h.c.}$
$K \rightarrow \ell \nu$ $\tau \rightarrow K \nu$	$(\bar{\nu}^\alpha P_R e^\beta)(\bar{s} \gamma_5 u)$	$[\epsilon_{\nu \ell}^P]_{\alpha \beta} = \frac{2}{V_{us}^*} \left(-[\mathcal{C}_{\nu e d u}^{S,RL}]_{\alpha \beta 21} + [\mathcal{C}_{\nu e d u}^{S,RR}]_{\alpha \beta 21} \right), \text{ h.c.}$

Operator with right handed light quark can be assumed to be suppressed under U(2)

Relevant LEFT ope.

$$[\mathcal{O}_{\nu e d u}^{V,LL}]_{\alpha \beta i j} = (\bar{\nu}_L^\alpha \gamma_\mu e_L^\beta)(\bar{d}_L^i \gamma_\mu u_L^j)$$

$K \rightarrow \pi \mu \nu$
vector only

$$[\mathcal{O}_{\nu e d u}^{S,RL}]_{\alpha \beta i j} = (\bar{\nu}_L^\alpha e_R^\beta)(\bar{d}_R^i u_L^j)$$

$K \rightarrow \ell \nu$
combination of vector and scalar

Scaling

U(2) symmetry + NP couple to 3rd gene.

- * Assume generic framework where NP is coupled to 3rd gen. SM fermions, while light SM fermions are suppressed by small mixing angles

Light SM fermion is produced by following **Scaling**

$$\theta_{L(R)}^{1,2} f_{L(R)}^3 \rightarrow f_{L(R)}^{1,2}$$

favored by B ano

$$C_V (\bar{Q}_L^3 \gamma^\mu \sigma^a Q_L^3) (\bar{L}_L^3 \gamma^\mu \sigma^a L_L^3)$$



$$[\mathcal{C}_{\nu \text{edu}}^{V,LL}]_{\ell\ell 21} \sim 2C_V (\theta_L^\mu)^2 \theta_L^s \theta_L^u$$

$$C_S (\bar{Q}_R^3 Q_L^3) (\bar{L}_L^3 L_R^3)$$



$$[\mathcal{C}_{\nu \text{edu}}^{S,RL}]_{\ell\ell 21} \sim C_S \theta_L^\mu \theta_R^\mu \theta_R^s \theta_L^u$$

Yukawa relation

$$\theta_R^s = \frac{m_s}{m_b} \theta_L^s \quad \theta_R^\mu = \frac{m_\mu}{m_\tau} \theta_L^\mu$$

$$\sim C_S \frac{m_\mu}{m_\tau} \frac{m_s}{m_b} \theta_L^\mu \theta_L^\mu \theta_L^s \theta_L^u$$

Yukawa suppression $\sim 10^{-3}$

Results I&2

$K_{\ell 2}$ vs. $K_{\ell 3}$

→ Scalar vs Vector

$$\frac{\Delta R_{e/\mu}}{\Delta R_{\pi e/\pi \mu}} \stackrel{\text{Yukawa relation}}{\approx} -1 + 0.015 \frac{C_S}{C_V} \lesssim 1$$

Chiral enhancement < Yukawa suppression

Constraint on C_V is $\mathcal{O}(10^{-2})$ tighter than C_S

Flavor ratio

→ constraint on θ_L^μ

$$\frac{\Delta R_{\pi e/\pi \mu}}{\Delta R_{\pi \mu/\pi \tau}} = \frac{(\theta_L^\mu)^2}{1 - (\theta_L^\mu)^2} \lesssim 0.1$$

$$\frac{\Delta R_{e/\mu}}{\Delta R_{\mu/\tau}} \stackrel{\text{Yukawa relation}}{\approx} \frac{(\theta_L^\mu)^2}{1 - (\theta_L^\mu)^2} \lesssim 0.2$$

$\frac{\Delta R_{\pi e/\pi \mu}}{\Delta R_{\pi \mu/\pi \tau}}$ gives stronger constraint than $\frac{\Delta R_{e/\mu}}{\Delta R_{\mu/\tau}}$ as

$$(\theta_L^\mu)^2 \lesssim \mathcal{O}(10^{-1})$$

The bound is given independently from C_V and C_S

$R_{D(*)}$

$\sim 20\%$ of SM effect is needed to realize 1σ data

$$\Delta R_D \approx 0.2$$

	R_D	R_{D^*}
SM	0.299(3)	0.258(5)
Data	0.407(46)	0.306(15)

Vector contribution can explain $R_{D(*)}$ anomaly

$$\Delta R_D \approx \frac{2}{V_{cb}} [\mathcal{C}_{\nu edu}^{V,LL}]_{\tau\tau 32}$$

$$[\mathcal{C}_{\nu edu}^{V,LL}]_{\tau\tau 32} \sim 2C_V \theta_L^{\textcolor{blue}{c}}$$

Result 3

R_D vs $K_{\ell 3}$



constraint on $|\theta_L^s \theta_L^u|$

$$\frac{\Delta R_{\pi e/\pi \mu}}{\Delta R_D} = -\frac{2V_{cb}}{V_{us}} (\theta_L^\mu)^2 \frac{\theta_L^s \theta_L^u}{\theta_L^c} \lesssim 2 \times 10^{-2}$$

$$\rightarrow |\theta_L^\mu|^2 |\theta_L^s \theta_L^u| \lesssim 7 \times 10^{-2} \theta_L^c \left(\frac{0.2}{\Delta R_D} \right)$$

$$|\theta_L^\mu|^2 |\theta_L^s \theta_L^u| \lesssim \mathcal{O}(10^{-3}) \quad (\theta_L^c \sim V_{cb})$$

$$\text{in MFV} \quad \theta_L^s \theta_L^u \sim V_{ts} V_{ub} = 1.4 \times 10^{-4}$$

K → πVV

	$K^+ \rightarrow \pi^+ \nu \bar{\nu}$	$K_L \rightarrow \pi^0 \nu \bar{\nu}$
SM	$(9.11 \pm 0.72) \times 10^{-11}$	$(3.00 \pm 0.30) \times 10^{-11}$
Data	$(1.73^{+1.15}_{-1.05}) \times 10^{-10}$	$< 3.0 \times 10^{-9} [90\%]$

$$\frac{\mathcal{B}(K^+ \rightarrow \pi^+ \nu \bar{\nu})}{\mathcal{B}(K^+ \rightarrow \pi^+ \nu \bar{\nu})|_{SM}} = \frac{2}{3} + \frac{1}{3} \left| 1 - \frac{[\mathcal{C}_{\nu d}^{V,LL}]_{\tau\tau 21}}{\alpha/(2\pi) V_{ts}^* V_{td} C_{sd,\tau}^{\text{SM,eff}}} \right|^2$$

NP in ντ (3rd)
SM@1-loop → Large NP effect

$$[\mathcal{C}_{\nu d}^{V,LL}]_{\tau\tau 21} \sim -C_V \theta_L^{\textcolor{blue}{s}} \theta_L^{\textcolor{blue}{d}} \quad \text{※ EW singlet operator set to zero}$$

$$\left| \frac{2}{V_{ts}^* V_{td}} \theta_L^s \theta_L^d C_V \right| \lesssim 0.1$$

Result 4

R_D vs $K \rightarrow \pi\nu\bar{\nu}$



constraint on $|\theta_L^s \theta_L^d|$

$$\frac{\Delta R_{K^+ \rightarrow \pi^+ \nu \bar{\nu}}}{\Delta R_D} = \frac{V_{cb}}{V_{ts}^* V_{td}} \frac{\theta_L^s \theta_L^d}{2\theta_L^c} \lesssim 0.5$$

$$\rightarrow |\theta_L^s \theta_L^d| \lesssim 8 \times 10^{-3} \theta_L^c \left(\frac{0.2}{\Delta R_D} \right)$$

$$|\theta_L^s \theta_L^d| \lesssim \mathcal{O}(10^{-4}) \quad (\theta_L^c \sim V_{cb})$$

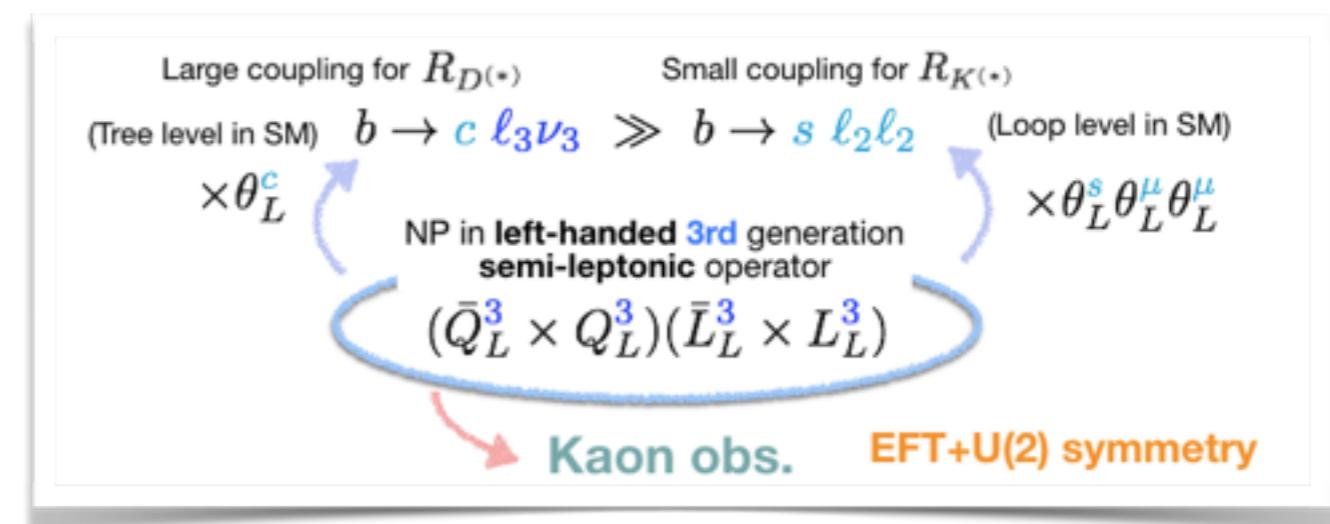
$$\text{in MFV} \quad \theta_L^s \theta_L^d \sim V_{ts} V_{td} = 3 \times 10^{-4}$$

- * Bound from neutral mode $K_L \rightarrow \pi^0 \nu \bar{\nu}$ gives ~ 10 times weaker than the one from K^+

Bordone, Buttazzo, Isidori
Monnard [1705.10729]

Summary

In light of current **B** anomalies, we investigate **Kaon** (semi-)leptonic decay in **EFT+U(2)**, where NP is coupled to 3rd gen. SM fermions, while light ones are suppressed by small mixing angles



We found

- * Constraint on C_V is $\mathcal{O}(10^{-2})$ smaller than C_S
- * $\frac{\Delta R_{\pi e/\pi \mu}}{\Delta R_{\pi \mu/\pi \tau}}$ gives stronger constraint than $\frac{\Delta R_{e/\mu}}{\Delta R_{\mu/\tau}}$ as $(\theta_L^\mu)^2 \lesssim \mathcal{O}(10^{-1})$
- * R_D vs $K_{\ell 3}$
 $|\theta_L^\mu|^2 |\theta_L^s \theta_L^u| \lesssim \mathcal{O}(10^{-3})$ (in MFV $\theta_L^s \theta_L^u \sim V_{ts} V_{ub} = 1.4 \times 10^{-4}$)
- * R_D vs $K \rightarrow \pi \nu \bar{\nu}$
 $|\theta_L^s \theta_L^d| \lesssim \mathcal{O}(10^{-4})$ (in MFV $\theta_L^s \theta_L^d \sim V_{ts} V_{td} = 3 \times 10^{-4}$)

Updated exp. result for $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ / $K_{\ell 3}$ / $\tau \rightarrow K \pi \nu$ will have impact on EFT + U(2)

Stay tuned for other modes ($K \rightarrow \ell \ell$, $K \rightarrow \pi \ell \nu, \dots$)