



**University of  
Zurich** <sup>UZH</sup>

# Old and recent puzzles in Flavor Physics

Gino Isidori

[ *University of Zürich* ]

- ▶ Introduction [*Old & recent problems, common lore, recent hopes*]
- ▶ On the recent B-physics anomalies
- ▶ Bottom-up approaches to describe the anomalies
- ▶ Speculations on UV completions
- ▶ Possible future implications
- ▶ Conclusions

## ► Introduction

The Standard Model has proven to be successful over an unprecedented range of energies. However, despite all its phenomenological successes, this Theory has some deep unsolved problems:

EW hierarchy problem

Flavor puzzle

Neutrino masses

U(1) charges

Strong CP problem

Dark-matter

Dark-energy

Inflation

Matter-antim. Asym.

Quantum gravity



The Standard Model (SM) should be regarded as an effective theory, i.e. the limit –*in the accessible range of energies and effective couplings*– of a more fundamental theory, with new degrees of freedom

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	<i>problem due to...</i>	<i>...indicating</i>
EW hierarchy problem	→ <u>Instability of the Higgs mass term</u>	→ <u>New dynamics close to the Fermi scale</u> ( $\sim 1$ TeV)
Flavor puzzle	→ <u>Ad hoc tuning in the model parameters</u>	] <u>No well-defined energy scale</u>
Neutrino masses		
U(1) charges		
Strong CP problem		
Dark-matter	→ <u>Cosmological implementation of the SM</u>	
Dark-energy		
Inflation		
Matter-antim. Asym.		
Quantum gravity	→ <u>General problem of any QFTs</u>	

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“Common lore” (I) :

$$\mathcal{L}_{\text{SM}} = \mathcal{L}_{\text{gauge}}(A_a, \Psi_i) + \mathcal{L}_{\text{Higgs}}(H, A_a, \Psi_i)$$



Understanding what **stabilizes the Higgs sector** (*EW hierarchy problem*) is the natural “main avenue” to discover New Physics

## ► Introduction

This “main avenue” has led to very appealing BMS constructions...

...however, so far these do not find experimental confirmation (*making these theories less and less appealing...*) → worth to explore new directions.

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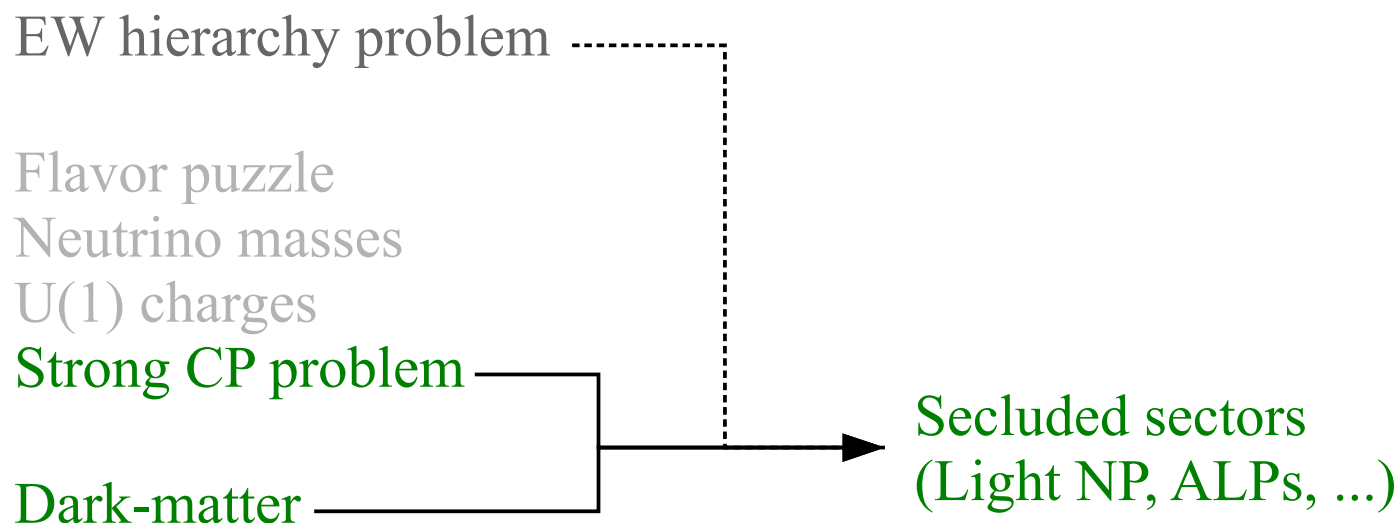
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Dark-energy  
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Quantum gravity

A direction that is definitely worth to explore, keeping in mind that the possible interesting parameter range is huge (*a large fraction of beyond the reach of particle-physics exp.*)

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EW hierarchy problem

Flavor puzzle

Neutrino masses

U(1) charges

Strong CP problem

A direction which seems to be suggested by recent low-energy data (“*flavor anomalies*” ...)

Dark-matter

Dark-energy

Inflation

Matter-antim. Asym.

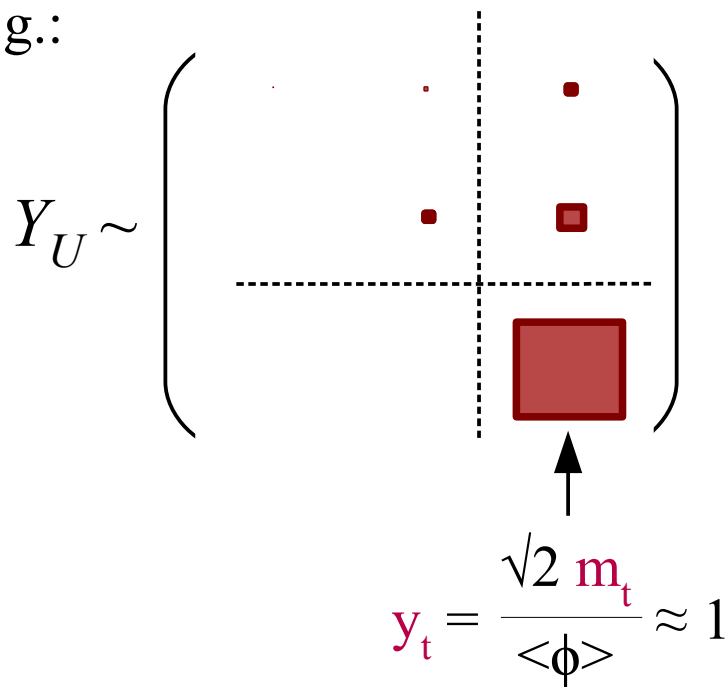
*If correct...* → very important implications for addressing also the other problems

Quantum gravity

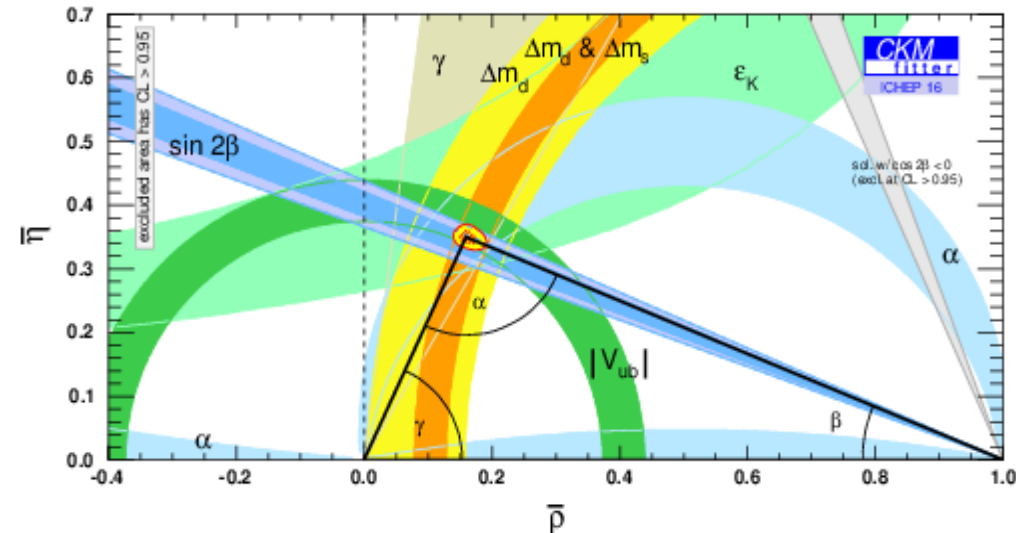
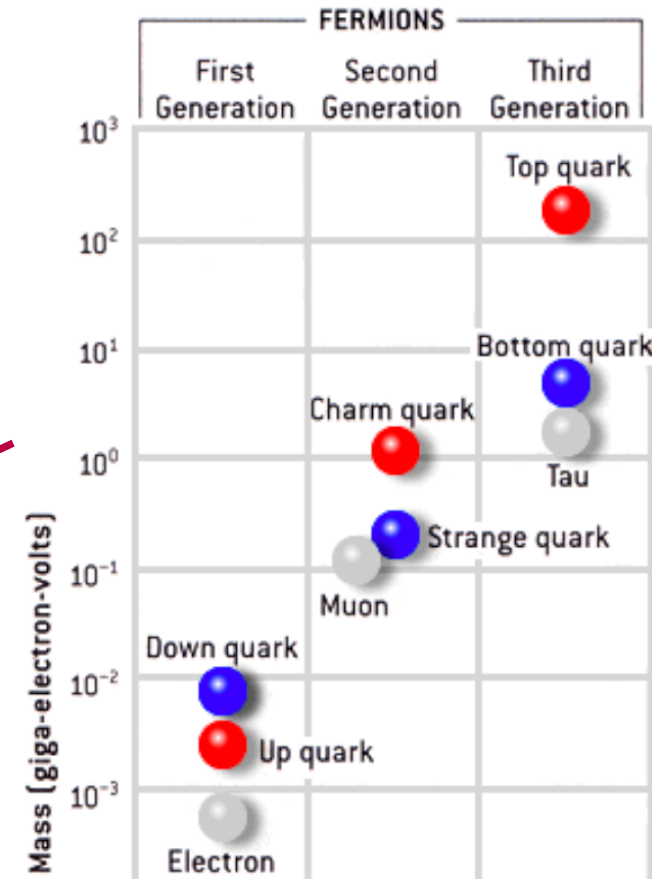
► Introduction [*the flavor structure of the SM*]

The SM flavor sector (= *the Yukawa sector*) contains a large number of free parameters (fermion masses & mixing angles), which *do not look at all accidental...*

E.g.:



*The “old” flavor puzzle...*



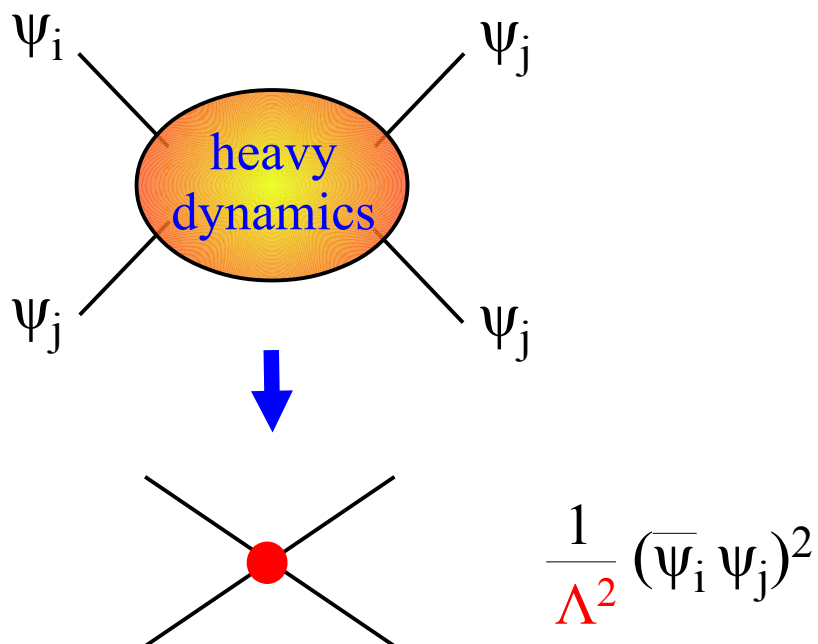


► Introduction [*the flavor structure of the SM & beyond*]

“Common lore” (II) :

The flavor structures are generated at some very heavy energy scale → *No chance to probe their dynamical origin*

This idea is supported by a series of precision measurement of rare flavor-violating processes which show no deviations from the SM:

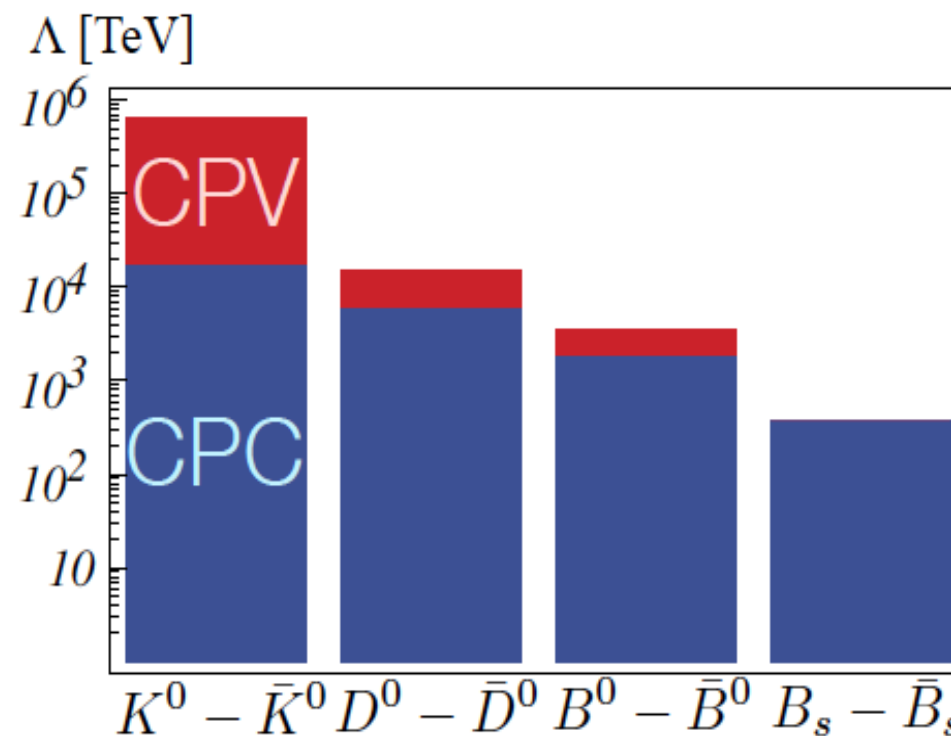
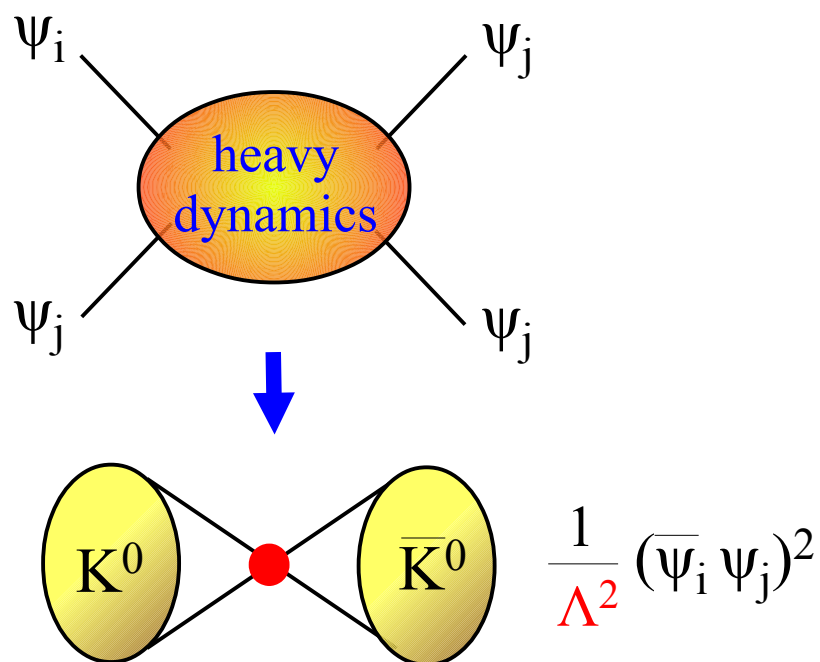


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Since so far (*almost*) everything fits well with the SM  $\rightarrow$  Strong limits on NP

► Introduction [*the new flavor puzzle*]

This point of view is challenged by the recent “anomalies” in B physics, i.e. the observation of a *non-universal* behavior of different lepton species in specific in  $b$  (3<sup>rd</sup> gen.)  $\rightarrow c,s$  (2<sup>nd</sup>) semi-leptonic processes:

- $b \rightarrow c$  charged currents:  $\tau$  vs. light leptons ( $\mu, e$ )
- $b \rightarrow s$  neutral currents:  $\mu$  vs.  $e$

IF taken together... this is probably the largest “coherent” set of NP effects in present data...

*The “new” flavor puzzle...*

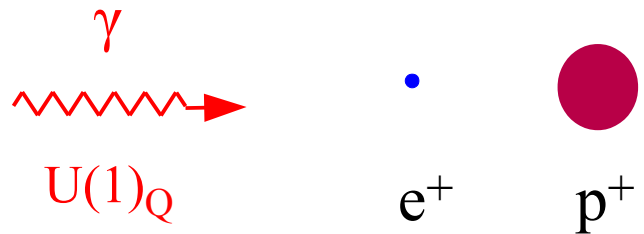
What is particularly interesting, is that these anomalies are challenging an assumption (Lepton Flavor Universality), that we gave for granted for many years (*without many good theoretical reasons...*)



*Interesting new avenue in BSM constructions*  
(with implications beyond flavor physics)

► Introduction [*more about LFU violations*]

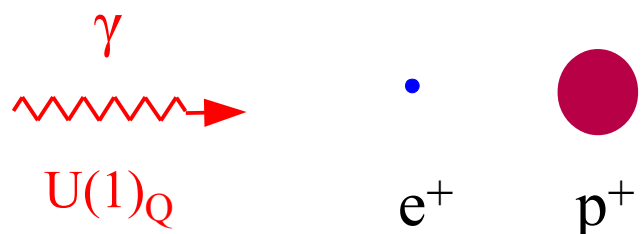
Suppose we could test matter only with long wave-length photons...



We would conclude that these two particles are “identical copies” but for their mass ...

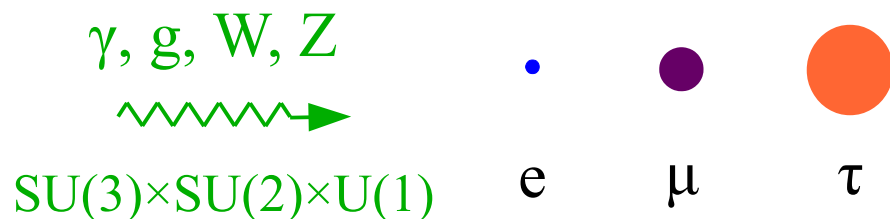
► Introduction [*more about LFU violations*]

Suppose we could test matter only with long wave-length photons...



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That's exactly the same (misleading) argument we use to infer LFU...



These three (families) of particles seems to be “identical copies” but for their mass ...

The SM quantum numbers of the three families could be an “accidental” low-energy property: the different families may well have a very different behavior at high energies, as signaled by their different mass

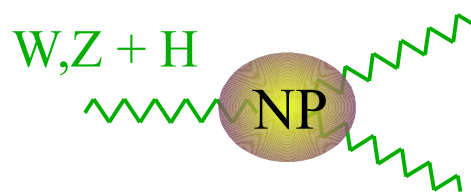
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So far, the vast majority of BSM model-building attempts

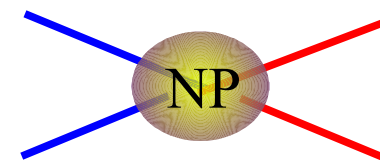
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- Postpone (ignore) the flavor problem, implicitly assuming the 3 families are “identical” copies (but for Yukawa-type interactions)

“Common lore” (I)

“Common lore” (II)



large (*more interesting...*)

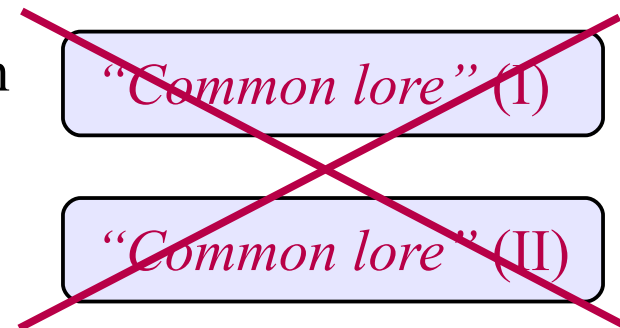


small (*less interesting...*)

► Introduction [*more about LFU violations*]

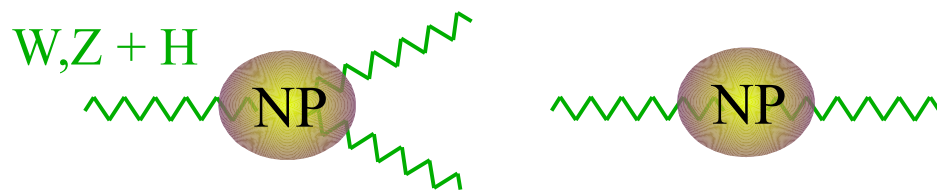
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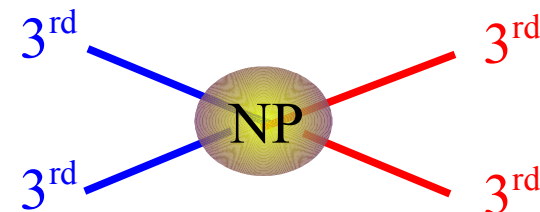


The recent flavor anomalies seem to suggest a new avenue in BSM approaches:

- We should not ignore the flavor problem [ $\rightarrow$  *new (non-Yukawa) interactions at the TeV scale distinguishing the different families*]
- A (very) different behavior of the 3 families (with special role for 3<sup>rd</sup> gen.) *may be the key to solve/understand also the gauge hierarchy problem*



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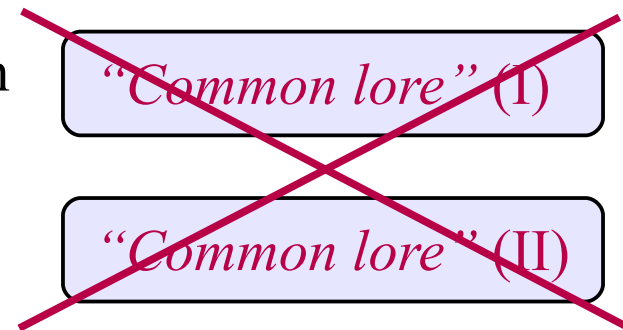


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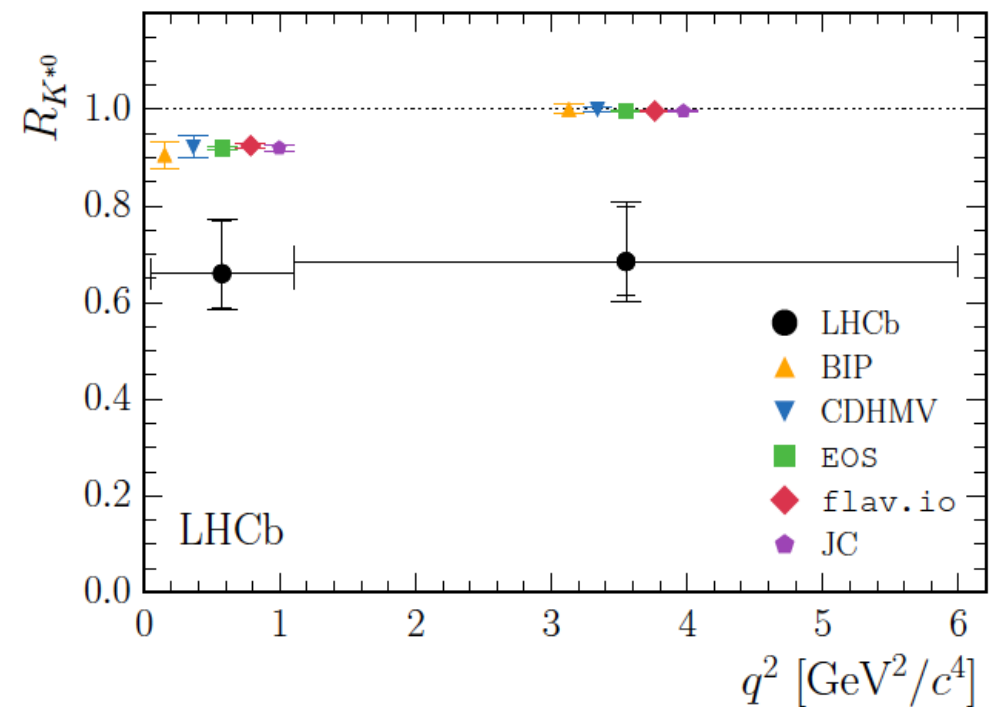
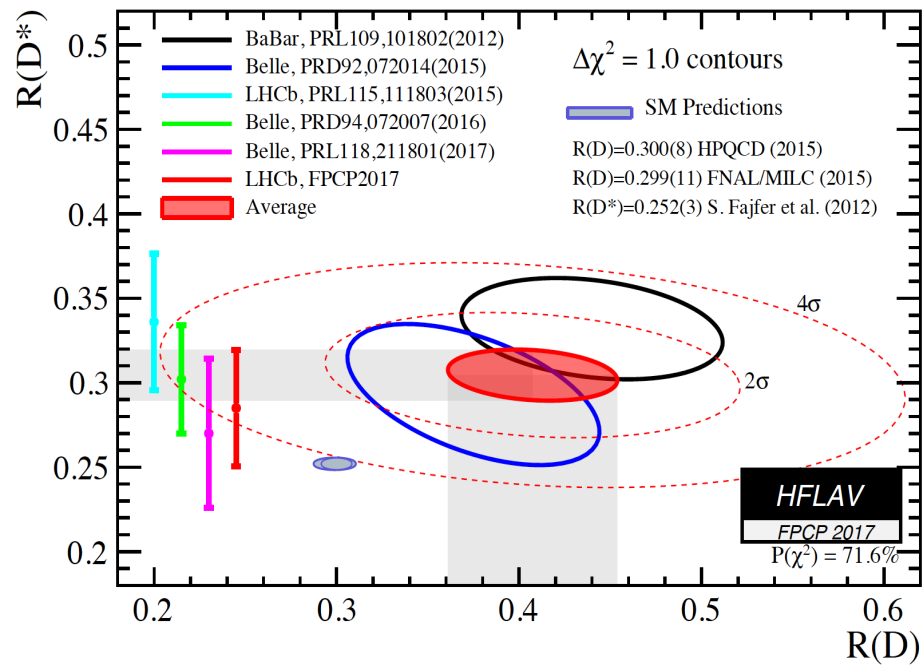
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From first model-building attempts, it seems these anomalies may help us to shed light on another key problem of the SM that we have postponed (*somehow forgotten...*) for a long time:

- **The quantization of U(1) charges** and the possible (*natural...*) **quark-lepton unification**



# On the recent B-physics anomalies

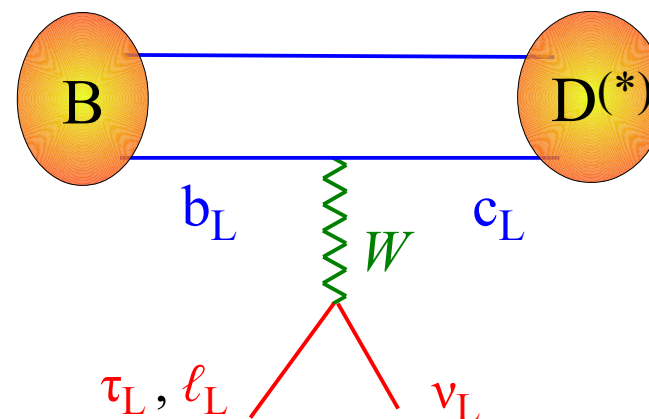


►  $B \rightarrow D^{(*)} \tau \nu$  [Babar, Belle, LHCb]

Test of **L**epton **F**lavor **U**niversality in charged currents  
 [ $\tau$  vs. light leptons ( $\mu, e$ ) ]:

$$R(X) = \frac{\Gamma(B \rightarrow X \tau \bar{\nu})}{\Gamma(B \rightarrow X \ell \bar{\nu})}$$

$$X = D \text{ or } D^*$$

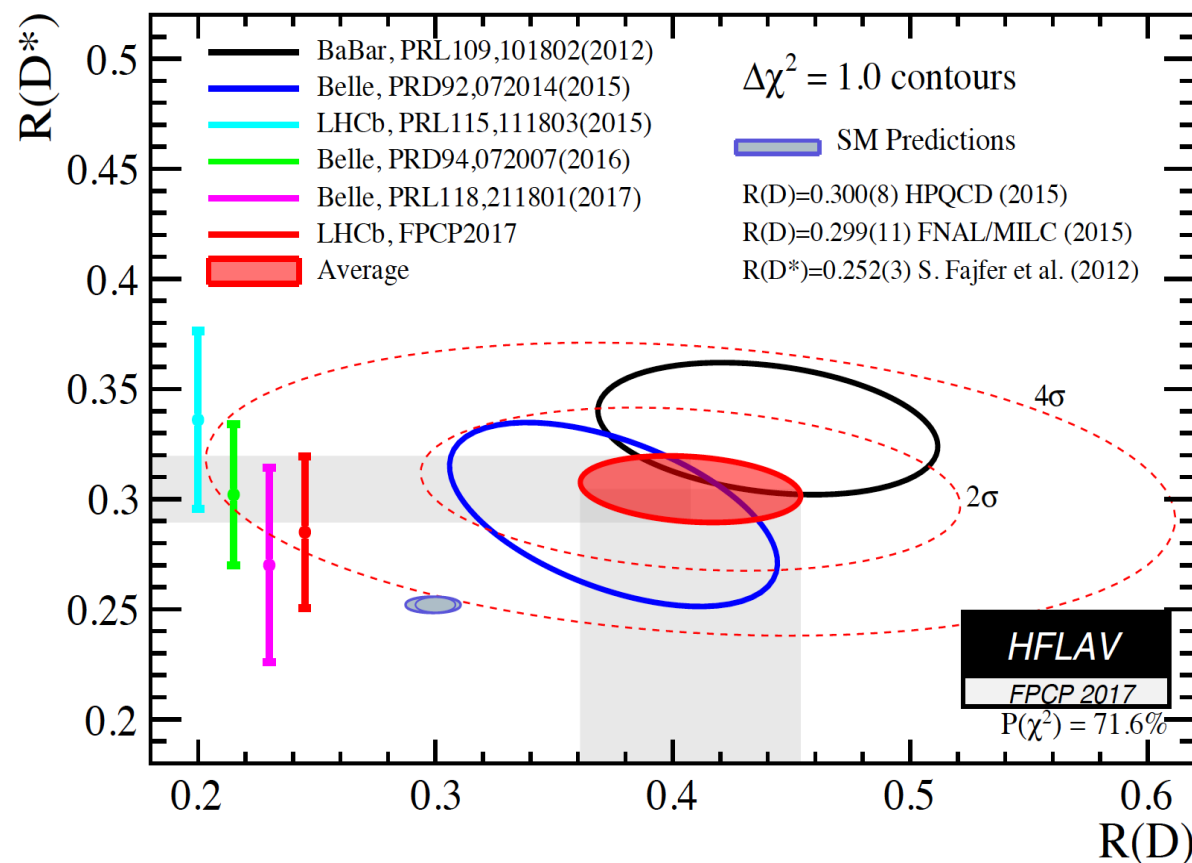
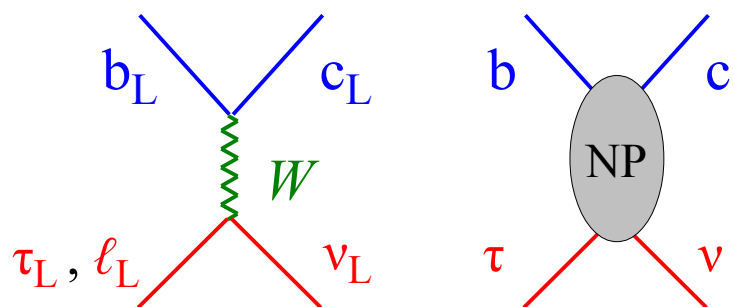


- **SM** prediction quite **solid**: hadronic uncertainties cancel (*to large extent*) in the ratio and deviations from 1 in  $R(X)$  expected only from phase-space differences and radiative corr. [de Boer, Kitahara, Nisandzic '18]

►  $B \rightarrow D^{(*)} \tau \nu$  [Babar, Belle, LHCb]

Test of **LFU** in charged currents  
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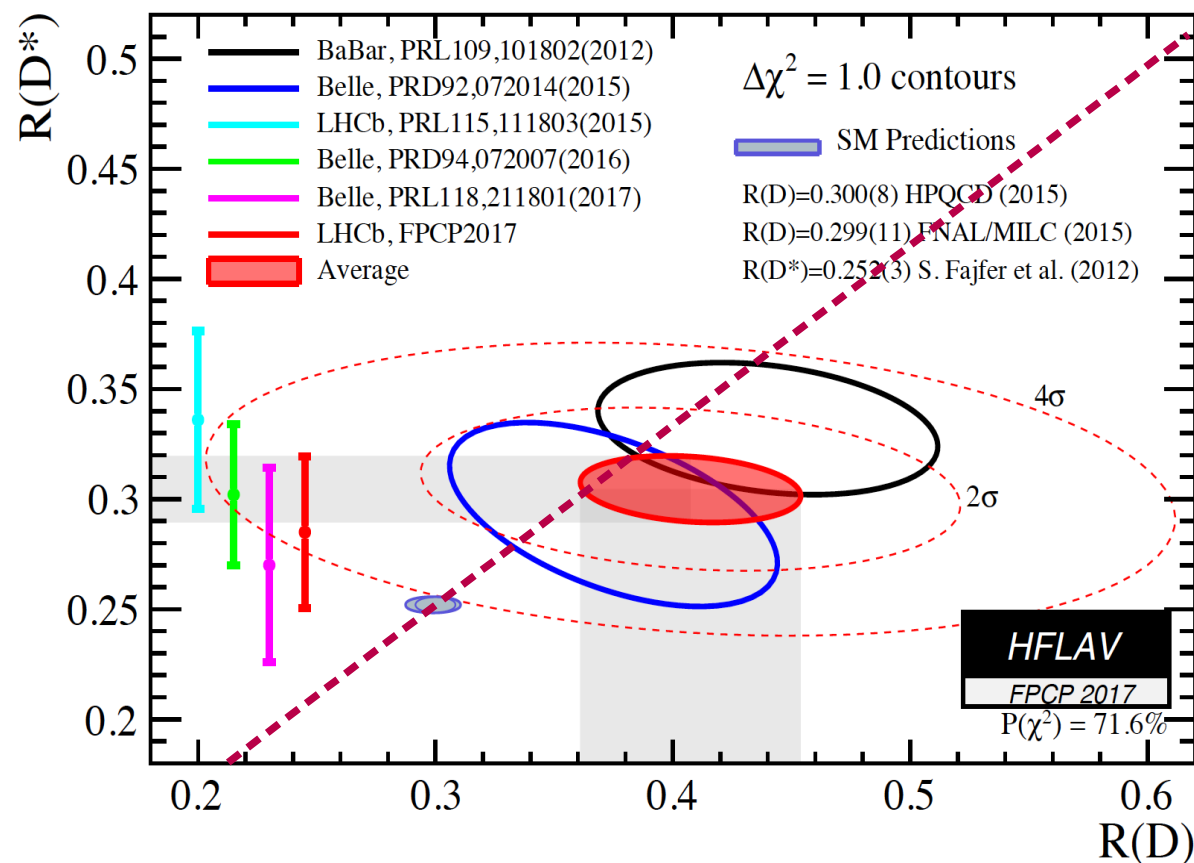
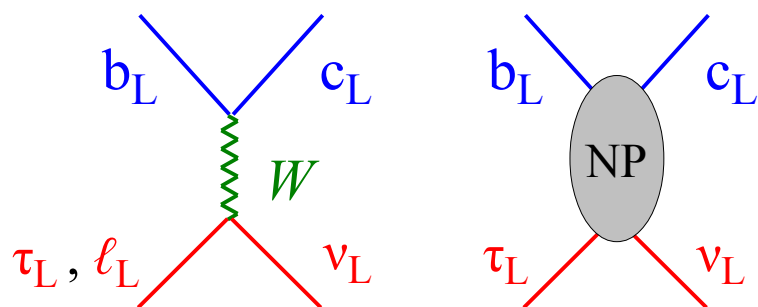


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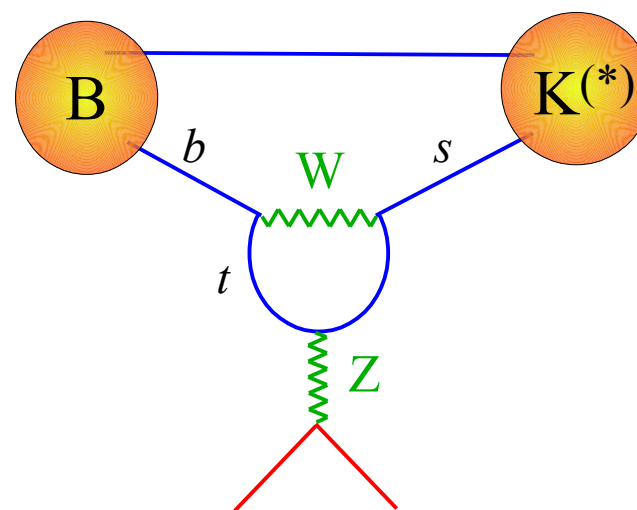
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- ➔ The two channels are well consistent with a **universal enhancement** ( $\sim 30\%$ ) of the SM  $b_L \rightarrow c_L \tau_L \nu_L$  amplitude

## ► Anomalies in $B \rightarrow K^{(*)} \mu\mu / ee$ [LHCb]

- The largest anomaly is the one [*observed in 2013 and confirmed with higher statistics in 2015*] in the  $P_5'$  [ $B \rightarrow K^* \mu\mu$ ] angular distribution.
- Less significant correlated anomalies present also in other  $B \rightarrow K^* \mu\mu$  obs. and also in other  $b \rightarrow s \mu\mu$  channels [ → overall smallness of all  $BR(B \rightarrow \text{Hadron} + \mu\mu)$  ]

**N.B.:**  $b \rightarrow s ll$  transitions are **F**lavor **C**hanging **N**eutral **C**urrent amplitudes

- No SM tree-level contribution
- Strong suppression within the SM because of CKM hierarchy
- Sizable hadronic uncertainties in the rates



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- But also in this case the most interesting effects are the deviations from the SM in appropriate  $\mu/e$  “clean” LFU ratios:

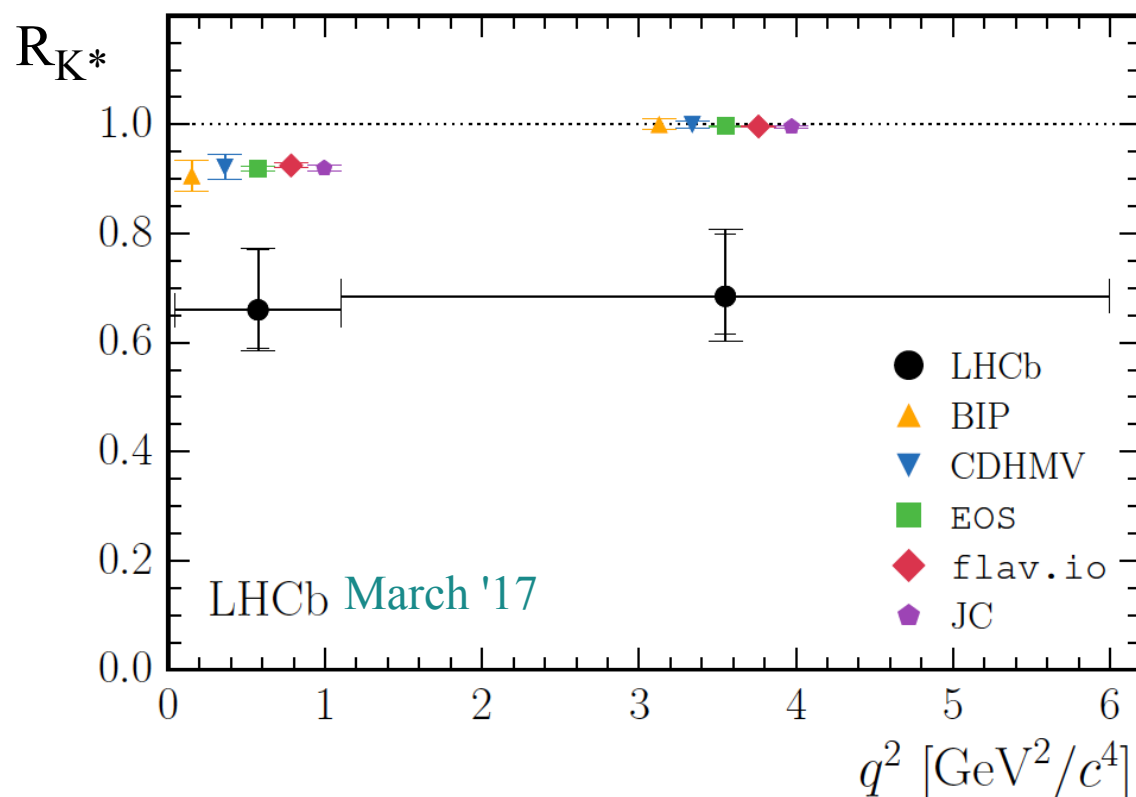
$$R_H = \frac{\int d\Gamma(B \rightarrow H \mu\mu)}{\int d\Gamma(B \rightarrow H ee)}$$

$$R_K [1-6 \text{ GeV}^2] = 0.75 \pm 0.09$$

LHCb, '14

(vs.  $1.00 \pm 0.01$  SM)

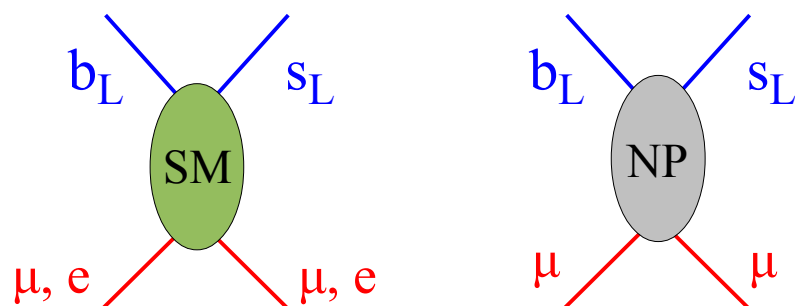
Overall significance  $\sim 3.8\sigma$   
(LFU ratios only)



## ► Anomalies in $B \rightarrow K^{(*)} \mu\mu / ee$ [LHCb]

Several groups performed global fits of all the available  $b \rightarrow sll$  observables

No consensus on the significance of the non-LFU observables, but full agreement on the main aspects:

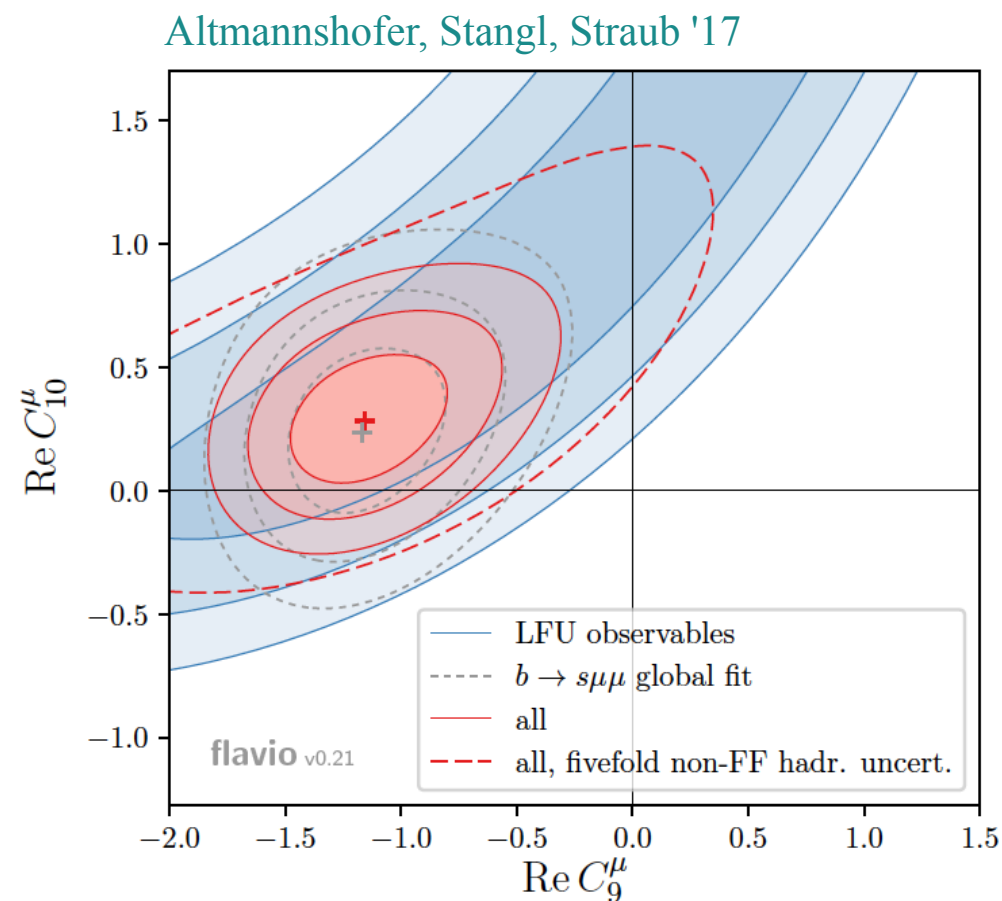


- All effects well described by NP of short-distance origin only in  $b \rightarrow s\mu\mu$  and (& not in  $ee$ )
- LH structure on the quark side:

$$\mathcal{O}_9 = \frac{e^2}{16\pi^2} (\bar{s} \gamma_\mu P_L b) (\bar{\ell} \gamma^\mu \ell)$$

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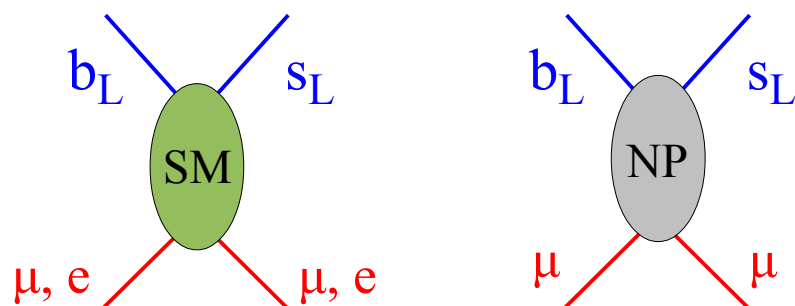
Descotes-Genon, Matias, Virto '13, '15  
 Capdevila *et al.* '17; D'Amico *et al.* '17  
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 Many others...



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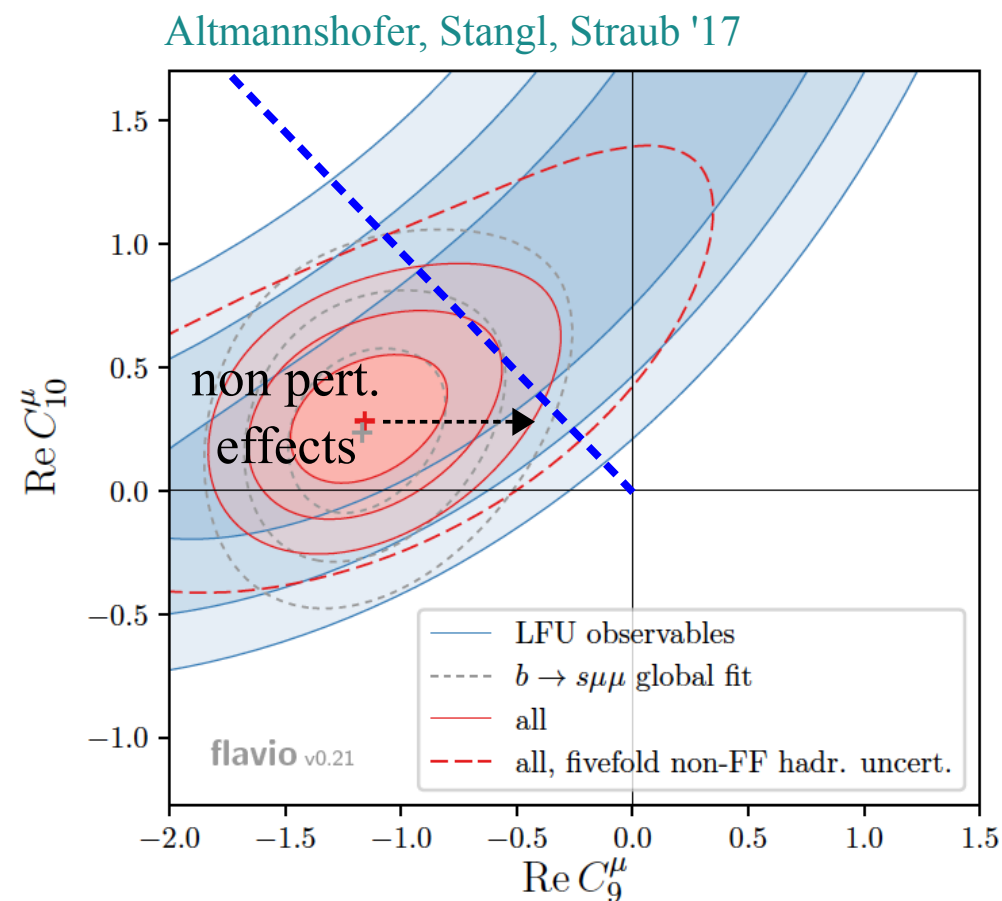


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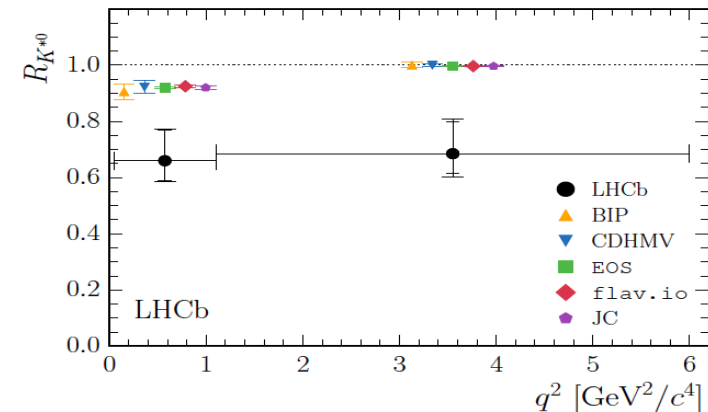
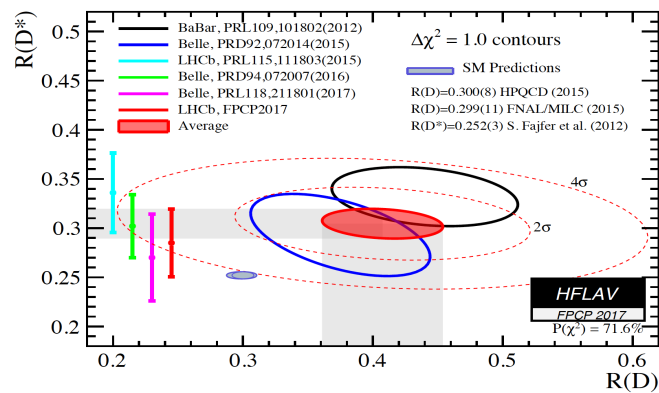
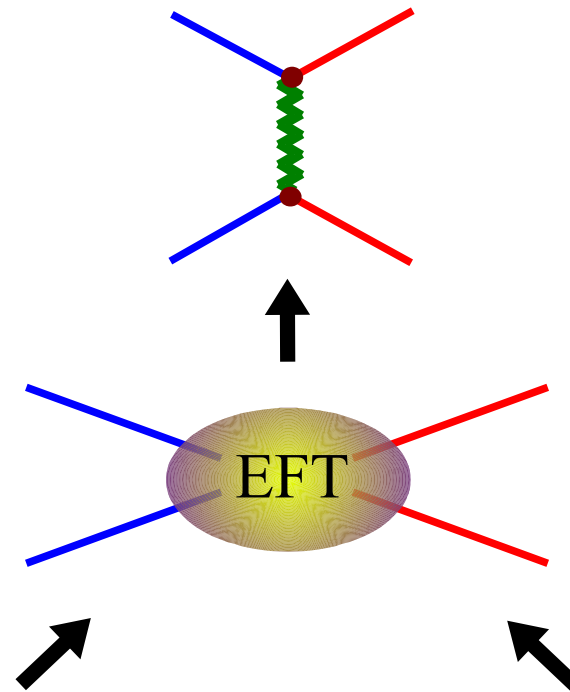
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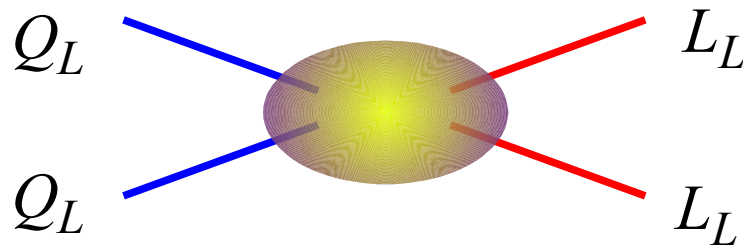


# Bottom-up approaches to describe the anomalies



► EFT-type considerations

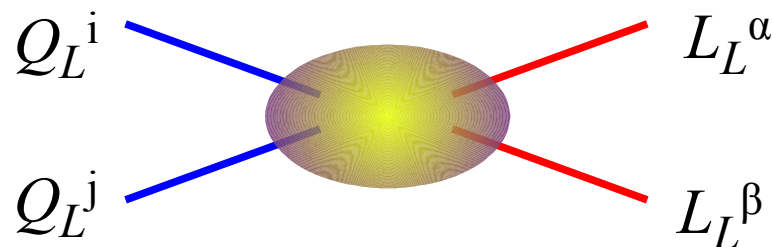
- Anomalies are seen only in semi-leptonic (quark $\times$ lepton) operators
- Data largely favor non-vanishing left-handed current-current operators [*the Fermi-like  $SU(2)_L$  triplet contributes to both charged & neutral curr.*], although other contributions are also possible



Bhattacharya *et al.* '14  
Alonso, Grinstein, Camalich '15  
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- Large coupling (competing with SM tree-level) in **bc** →  $l_3 \nu_3$
- Small non-vanishing coupling (competing with SM FCNC) in **bs** →  $l_2 l_2$



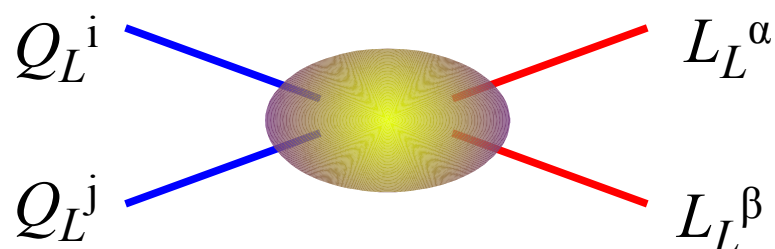
$$\Lambda_{ij\alpha\beta} = (\delta_{i3} \times \delta_{3j}) \times (\delta_{\alpha 3} \times \delta_{3\beta}) + \text{small terms for 2}^{\text{nd}} \text{ (& 1}^{\text{st}} \text{ generations)}$$



*Link to pattern of the Yukawa couplings !*

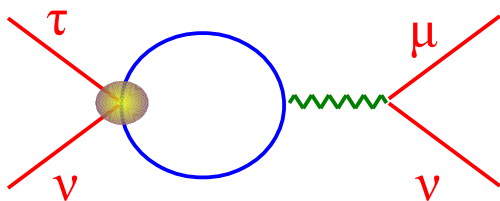
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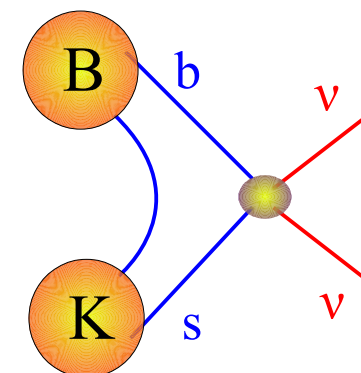
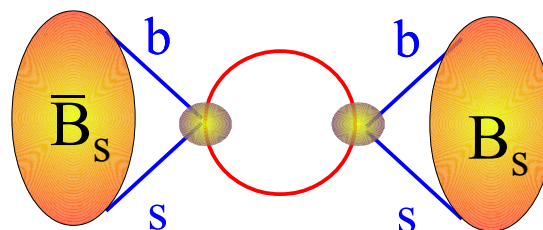


Long list of constraints [FCNCs + semi-leptonic b decays +  $\pi$ , K,  $\tau$  decays + EWPO]

E.g:



Feruglio, Paradisi, Pattori '16



Calibbi, Crivellin, Ota, '15  
(+many others...)

+ many more...

► EFT-type considerations [The  $U(2)^n$  flavor symmetry]

A good fit to all data + **natural link with the origin of the Yukawa couplings**, is obtained building the EFT on the hypothesis of an approximate  $U(2)$ -type chiral flavor symmetry

E.g. up-sector:  $U(2)_q \times U(2)_u$

$$Y_U = y_t \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{matrix} \leftarrow U(2)_q \\ \\ \uparrow U(2)_u \end{matrix} \quad \begin{matrix} \text{unbroken} \\ \text{symmetry} \end{matrix}$$

$$\rightarrow \begin{bmatrix} \Delta & V \\ \hline & 1 \end{bmatrix} \equiv \begin{bmatrix} \cdot & \bullet \\ \cdot & \blacksquare \\ \hline & \blacksquare \end{bmatrix} \quad \begin{matrix} \text{after symmetry} \\ \text{symmetry} \end{matrix}$$

Barbieri, G.I.,  
Jones-Perez,  
Lodone, Straub, '11

**Main idea:** the same symmetry-breaking pattern control the mixing  $3^{\text{rd}} \rightarrow 1^{\text{st}}, 2^{\text{nd}}$  gen. for the NP responsible for the anomalies

$$|V| \approx |V_{ts}| = 0.04$$

$$|\Delta| \approx y_c = 0.006$$

**N.B.:** this symmetry & symmetry-breaking pattern was proposed well-before the anomalies appeared [*it is not ambulance chasing...!*]

► EFT-type considerations [“The Zurich's guide”]

A good fit to all data + **natural link with the origin of the Yukawa couplings**,  
is obtained building the EFT on the hypothesis of an approximate  
**U(2)-type chiral flavor symmetry**

+

Assumption of NP in left-handed semi-leptonic operators only  
[*at the high-scale*]

Buttazzo, Greljo, GI, Marzocca, '17

“The Zürich's Guide”



$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{SM}} - \frac{1}{v^2} \lambda_{ij}^q \lambda_{\alpha\beta}^\ell \left[ \underline{C_T} (\bar{Q}_L^i \gamma_\mu \sigma^a Q_L^j) (\bar{L}_L^\alpha \gamma^\mu \sigma^a L_L^\beta) + \underline{C_S} (\bar{Q}_L^i \gamma_\mu Q_L^j) (\bar{L}_L^\alpha \gamma^\mu L_L^\beta) \right]$$

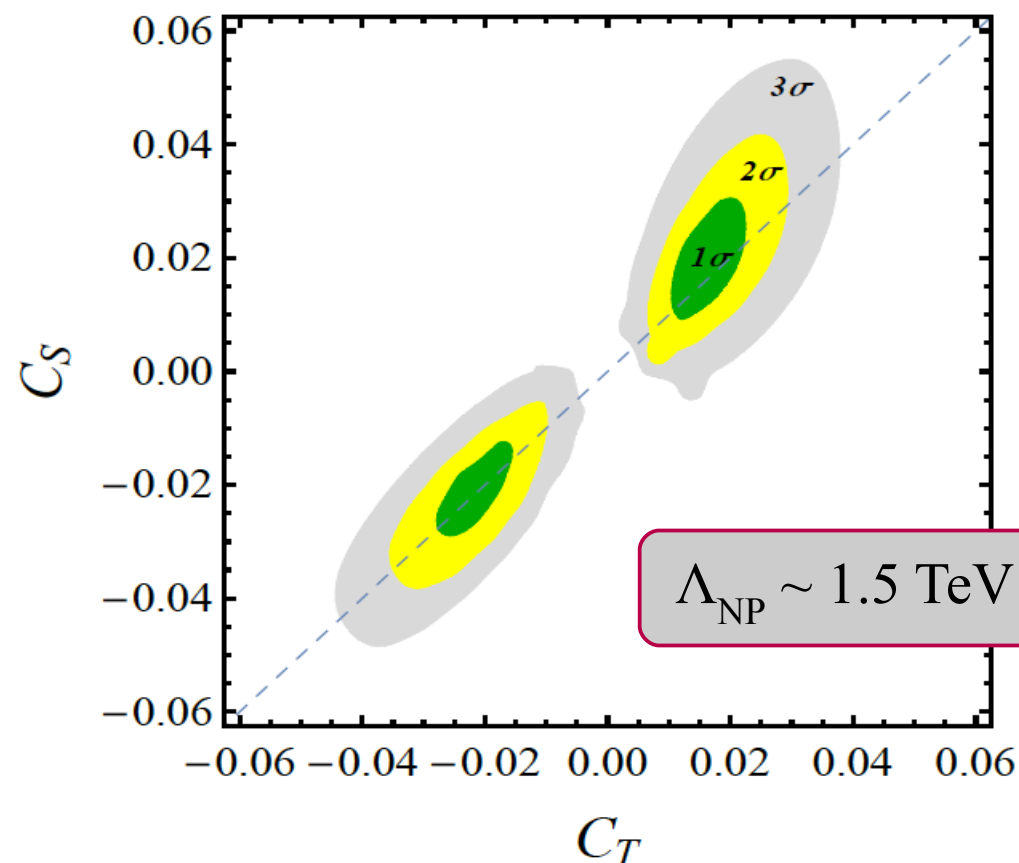
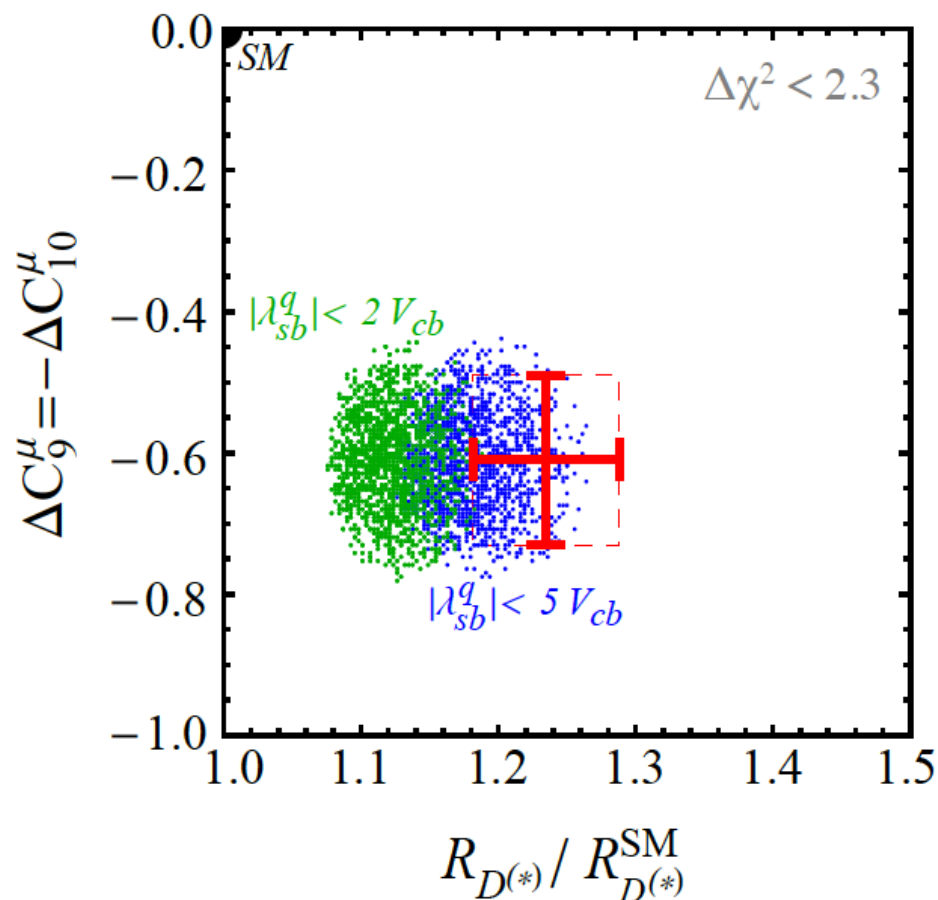
four free  
parameters...

$$\left[ \begin{array}{l} C_T, C_S \\ \lambda_{bs} = O(V_{cb}) \\ \lambda_{\mu\mu} = O(|V_{\tau\mu}|^2) \end{array} \right]$$

...and a long list of constraints  
[ FCNC and CC semi-leptonic processes,  
tau decays, EWPO ]

► EFT-type considerations [“The Zurich's guide”]

Excellent fit to both anomalies, passing all existing constraints with **no fine tuning**



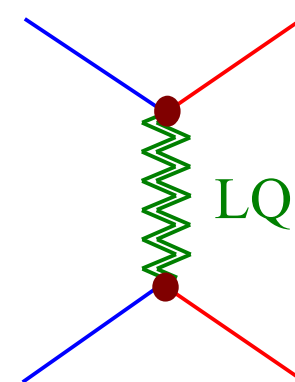
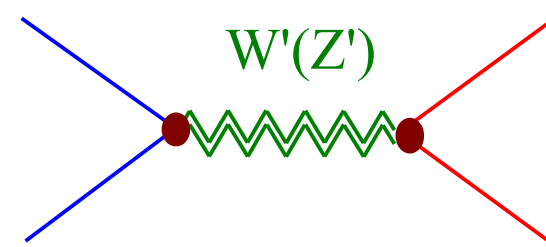
- The virtue of this EFT approach is the demonstration that it is possible to find a “combined” (*motivated*) explanation of the two sets of anomalies.
- The EFT solution is not unique [e.g. sub-leading RH currents can be added], but large variations are possible only if the  $R_D$  anom. goes away completely

► Simplified dynamical models [“The Return of the LeptoQuark”...]

If we ask which tree-level mediators can generate the effective operators required by the EFT fit, we have not many possibilities...

Three main options  
(for the combined explanation):

	SU(2) <sub>L</sub>	
	singlet	triplet
Vector LQ:	$U_1$	$U_3$
Scalar LQ:	$S_1$	$S_3$
Colorless vector:	$B'$	$W'$



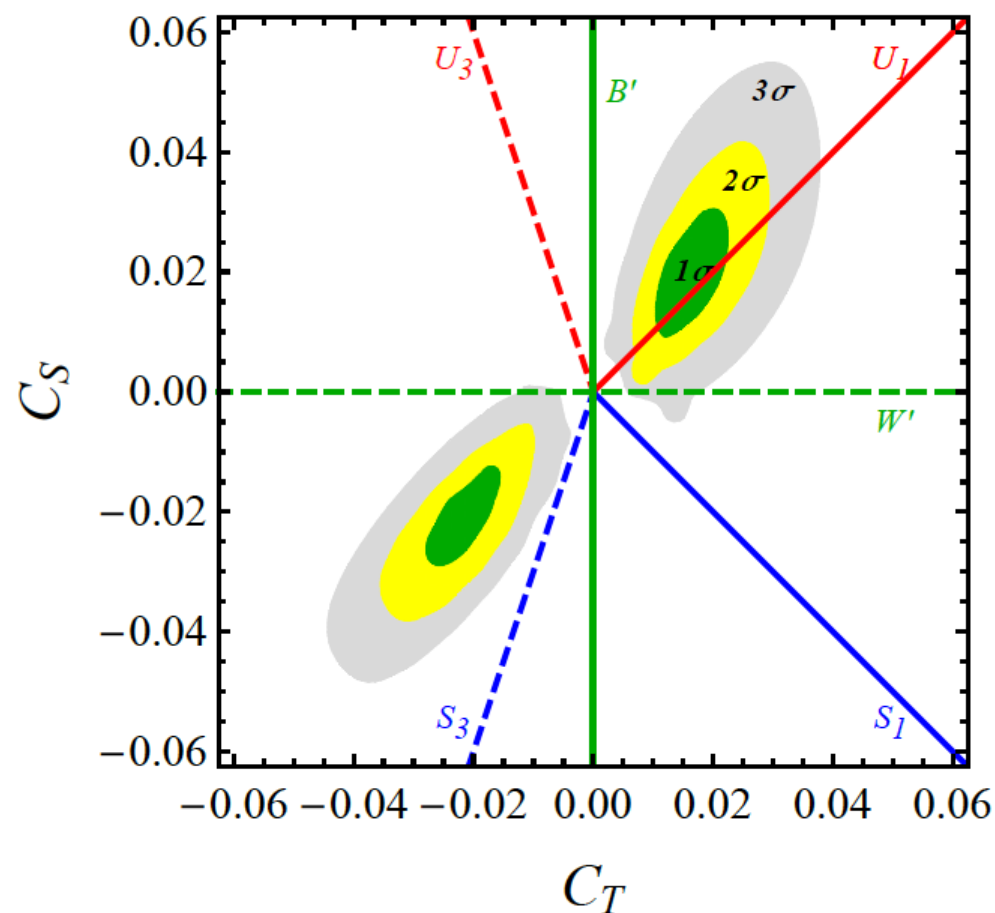


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The  $U_1$  option fits quite nicely... but of course models with more than one mediators are possible

► Simplified dynamical models [“The Return of the LeptoQuark”...]

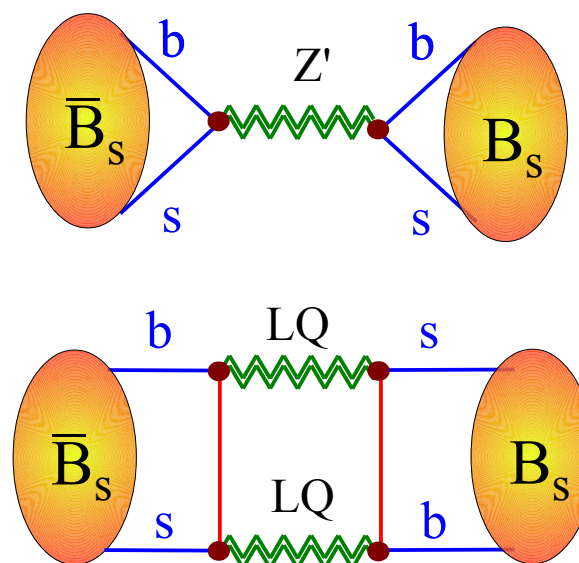
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Colorless vector:	$B'$	$W'$

Similarly, 3<sup>rd</sup> gen. LQ are in very good shape also as far as direct searches are concerned (contrary to  $Z'$ ...):

LQ (both scalar and vectors) have an additional clear advantage concerning constraints from non-semileptonic processes:



## Speculations on UV completions



► Speculations on UV completions

Two main approaches

Non-perturbative  
TeV-scale dynamics

[*non-renormalizable models*]

- Scalar LQ as PNG
  - Gripaios, '10
  - Gripaios, Nardecchia, Renner, '14
  - Marzocca '18
- Vector LQ (or W', Z') as technifermion resonances
  - Barbieri *et al.* '15, Buttazzo *et al.* '16
  - Barbieri, Murphy, Senia, '17
  - Blanke, Crivellin, '17
- W', Z' as Kaluza-Klein excitations  
[*e.g. from warped extra dim.*]
  - Megias, Quiros, Salas '17
  - Megias, Panico, Pujolas, Quiros '17

*Perturbative*

*TeV-scale dynamics*

[*renormalizable models*]

- Renormalizable models with scalar mediators [*LQ, but also RPV-SUSY*]
  - Hiller & Schmaltz, '14
  - Becirevic *et al.* '16, Fajfer *et al.* '15-'17
  - Dorsner *et al.* '17
  - Crivellin, Muller, Ota '17
  - Altmannshofer, Dev, Soni, '17
  - + ...
- Gauge models
  - Cline, Camalich '17
  - Calibbi, Crivellin, Li, '17
  - Assad, Fornal, Grinstein, '17
  - Di Luzio, Greljo, Nardecchia, '17
  - Bordone, Cornella, Fuentes-Martin, GI, '17
  - + ...

► Speculations on UV completions

*In the following I will now concentrate on one (class of) option(s) that I find particularly interesting.*

**Starting observation:** the Pati-Salam model predicts a massive vector LQ with the correct quantum numbers to fit the anomalies (*best single mediator*):

Pati-Salam group:  $SU(4) \times SU(2)_L \times SU(2)_R$

$$\text{Fermions in } SU(4): \quad \begin{bmatrix} Q_L^\alpha \\ Q_L^\beta \\ Q_L^\gamma \\ L_L \end{bmatrix} \quad \begin{bmatrix} Q_R^\alpha \\ Q_R^\beta \\ Q_R^\gamma \\ L_R \end{bmatrix}$$

Main Pati-Salam idea:  
Lepton number as “the 4<sup>th</sup> color”

The massive LQ [ $U_1$ ] arise from the breaking  $SU(4) \rightarrow SU(3)_c$

► Speculations on UV completions

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The massive LQ [ $U_1$ ] arise from the breaking  $SU(4) \rightarrow SU(3)_c$

The problem of the “original PS model” are the strong bounds on the LQ couplings to 1<sup>st</sup> & 2<sup>nd</sup> generations [e.g.  $M > 200 \text{ TeV}$  from  $K_L \rightarrow \mu e$ ].

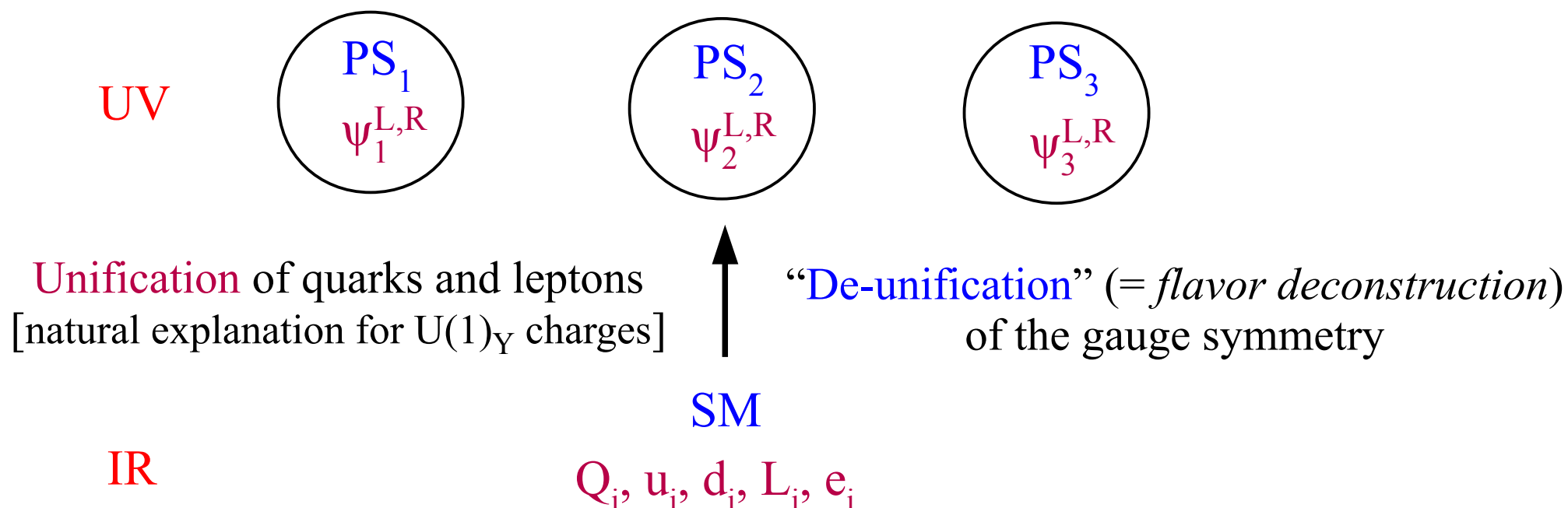
Interesting recent attempts to solve this problem adding extra fermions and/or modifying the gauge group [Calibbi, Crivellin, Li, '17; Di Luzio, Greljo, Nardecchia, '17]

► The PS<sup>3</sup> model

$$[\text{PS}]^3 = [\text{SU}(4) \times \text{SU}(2)_L \times \text{SU}(2)_R]^3$$

Bordone, Cornella,  
Fuentes-Martin, GI, '17

**Main idea:** at high energies the 3 families are charged under 3 independent gauge groups (*gauge bosons carry a flavor index !*)



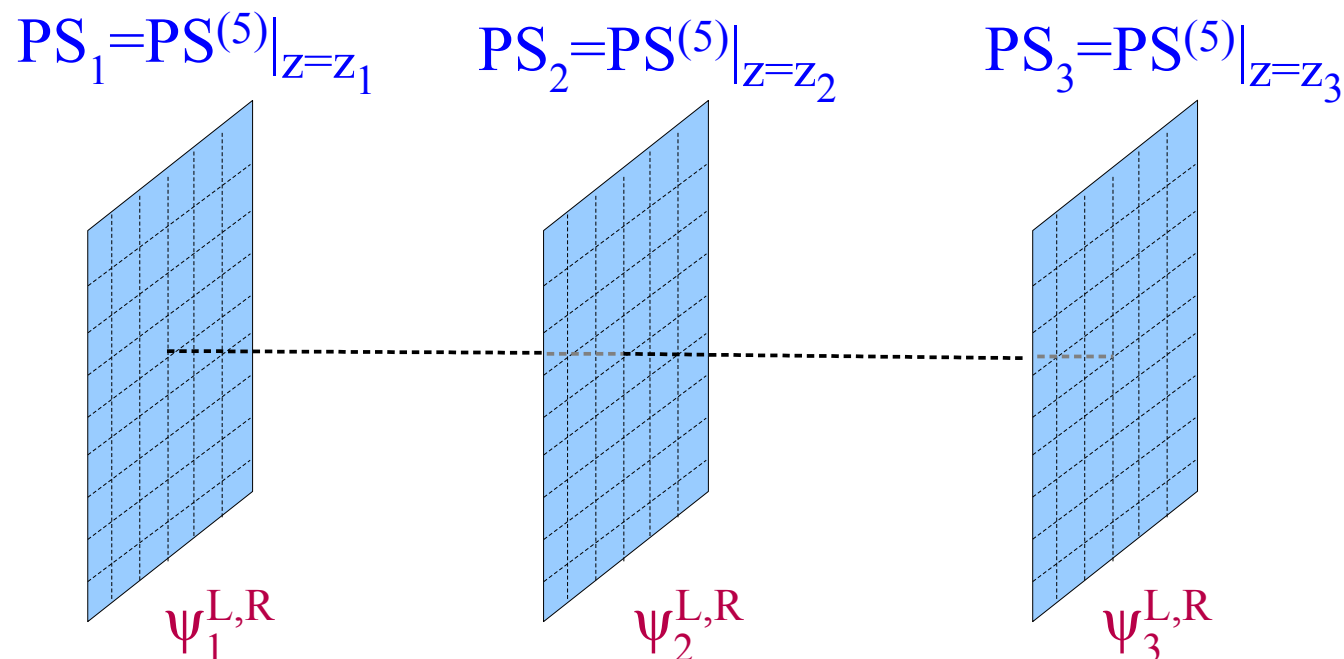
**Key advantages:**

- Light LQ coupled mainly to 3<sup>rd</sup> gen.
- Accidental  $U(2)^5$  flavor symmetry
- Natural structure of SM Yukawa couplings

► The PS<sup>3</sup> model

$$[ \text{PS} ]^3 = [ \text{SU}(4) \times \text{SU}(2)_L \times \text{SU}(2)_R ]^3$$

Bordone, Cornella,  
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**Unification**  
of quarks and leptons

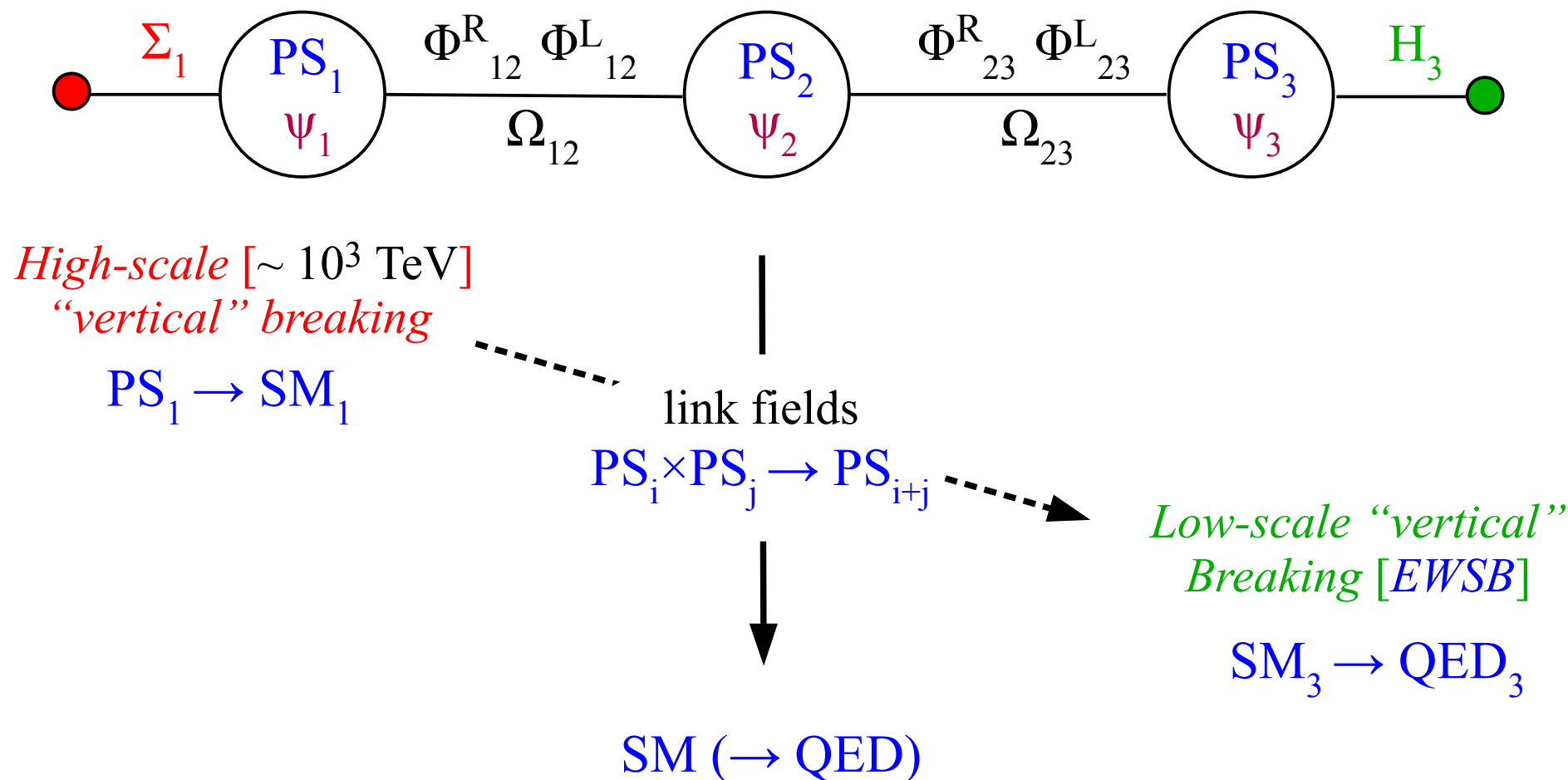
“**De-unification**”  
(= *flavor deconstruction*)  
of the gauge symmetry

This construction can find a “natural” justification in the context of models with extra space-time dimensions

The 4D description is apparently more complex, but it allow us to derive precise low-energy phenomenological signatures (*4D renormalizable gauge model*)

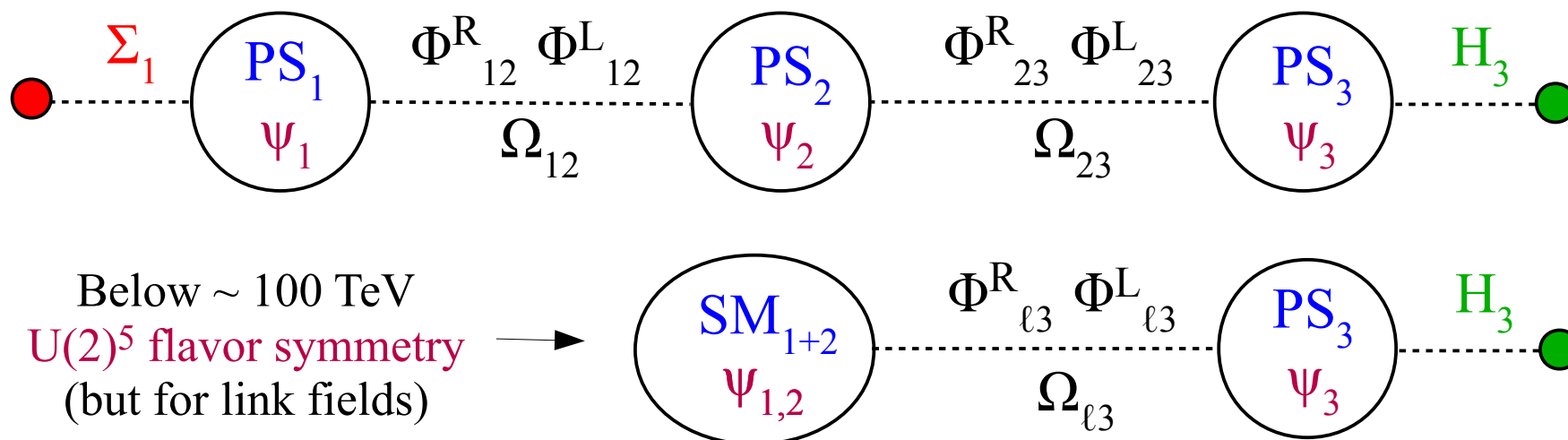


► *The PS<sup>3</sup> model*



- ★ The breaking to the diagonal SM group occurs via appropriate “link” fields, responsible also for the generation of the hierarchy in the Yukawa couplings.
- ★ The 2-3 breaking gives a TeV-scale LQ [+ Z' & G'] coupled mainly to 3<sup>rd</sup> gen.

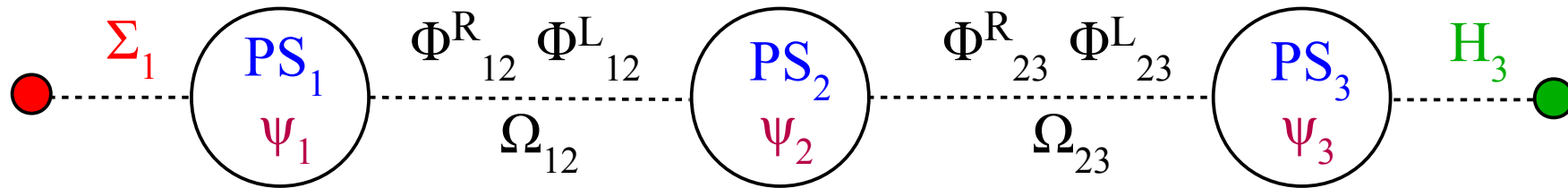
► The PS<sup>3</sup> model



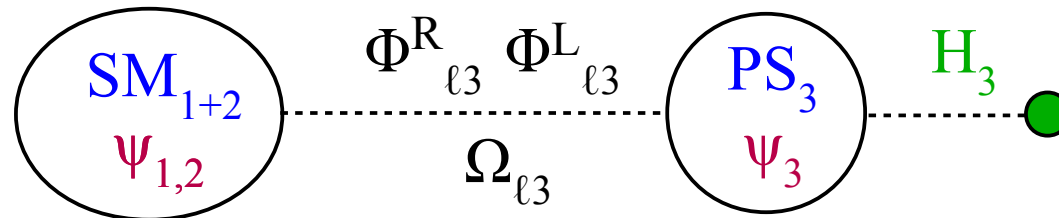
*Leading flavor structure:*

- Yukawa coupling for 3<sup>rd</sup> gen. only
- “Light” LQ field (from PS<sub>3</sub>) coupled only to 3<sup>rd</sup> gen.
- $U(2)^5$  symmetry protects flavor-violating effects on light gen.

► *The PS<sup>3</sup> model*



Below  $\sim 100$  TeV  
 $U(2)^5$  flavor symmetry  
 (but for link fields)

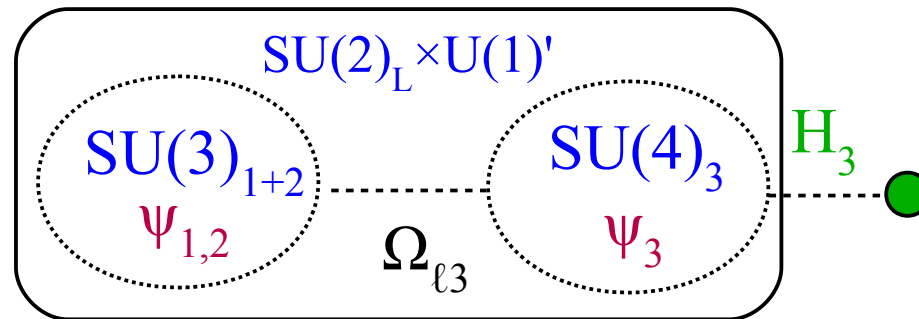


$\rightarrow W_L' + W_R'$  [ $\sim 5-10$  TeV]

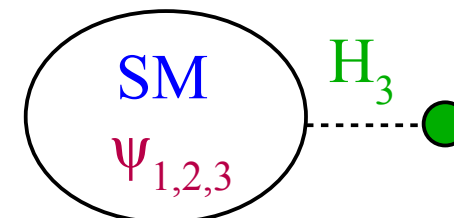
*Sub-leading Yukawa terms  
 from higher dim ops:*

$$Y_U = \begin{bmatrix} \Delta & V \\ \hline & y_t \end{bmatrix}$$

$$\frac{\langle \Phi_{\ell 3}^R \Phi_{\ell 3}^L \rangle}{(\Lambda_{23})^2} \qquad \frac{\langle \Omega_{\ell 3} \rangle}{\Lambda_{23}}$$



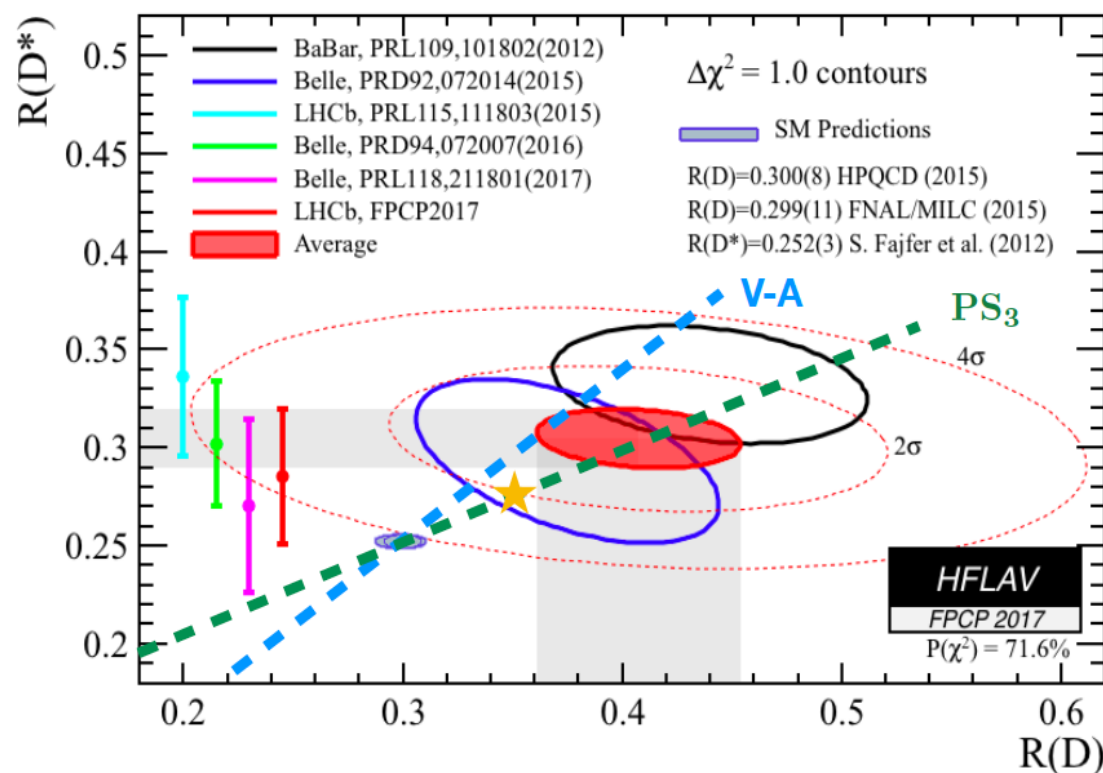
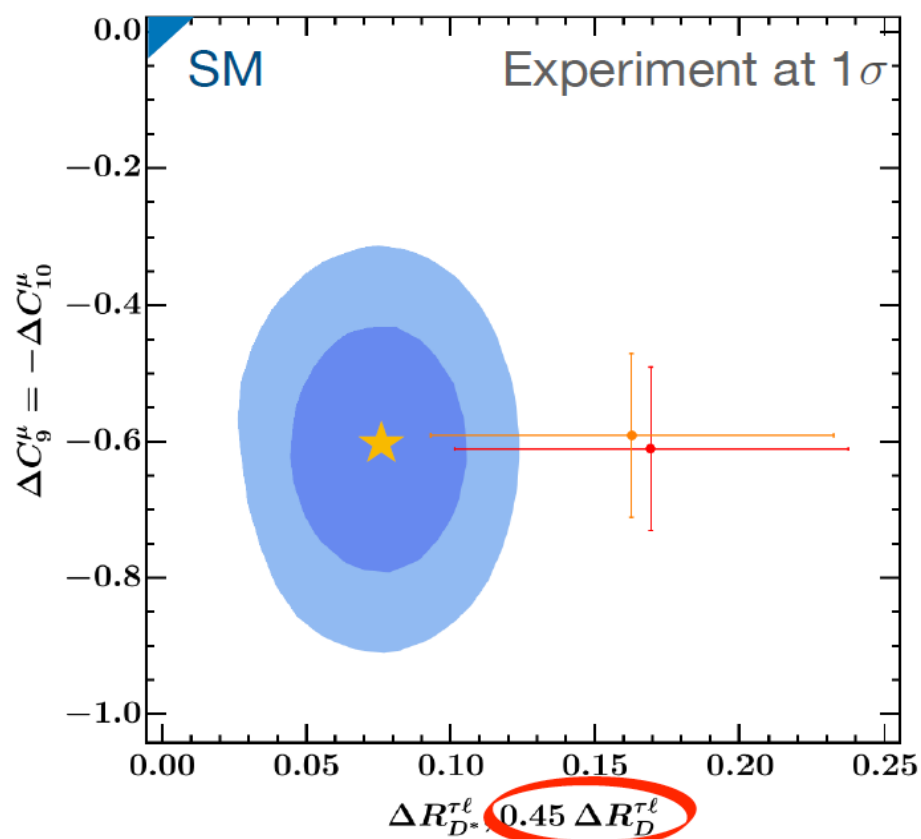
$\rightarrow LQ [U_1] + Z' + G'$  [ $\sim 2-3$  TeV]



► The PS<sup>3</sup> model

Collider phenomenology and flavor anomalies are controlled by the last-but one step in the breaking chain.

Despite the apparent complexity, the construction is highly constrained



The fit to low-energy data is rather good  
(*slightly smaller NP effects in  $R_D$ , mainly because of radiative constraints*)

Important difference with respect to all other models:  
**RH couplings of the LQ**

Possible future implications

*“It is very difficult to make predictions,  
especially about the future”*

[attributed to Niels Bohr]

► Implications for low-energy flavor physics

If the anomalies are due to NP, we should expect to see several other BSM effects in low-energy observables

Main message: “**super-reach**” flavor program for **LHCb**, but also other flavor physics facilities (**Belle-II**, **Kaons**, **CLFV**)

► Implications for low-energy flavor physics

If the anomalies are due to NP, we should expect to see several other BSM effects in low-energy observables

E.g. (I): correlations among down-type FCNCs [using the results of U(2)-based EFT]:

	$\mu\mu$ ( $ee$ )	$\tau\tau$	$\nu\nu$
$b \rightarrow s$	$R_K, R_{K^*}$ O(20%)	$B \rightarrow K^{(*)} \tau\tau$ → 100×SM	$B \rightarrow K^{(*)} \nu\nu$ O(1)
$b \rightarrow d$	$B_d \rightarrow \mu\mu$ $B \rightarrow \pi \mu\mu$ $B_s \rightarrow K^{(*)} \mu\mu$ O(20%) [ $R_K=R_\pi$ ]	$B \rightarrow \pi \tau\tau$ → 100×SM	$B \rightarrow \pi \nu\nu$ O(1)
$s \rightarrow d$	long-distance pollution	NA	$K \rightarrow \pi \nu\nu$ O(1)

► Implications for low-energy flavor physics

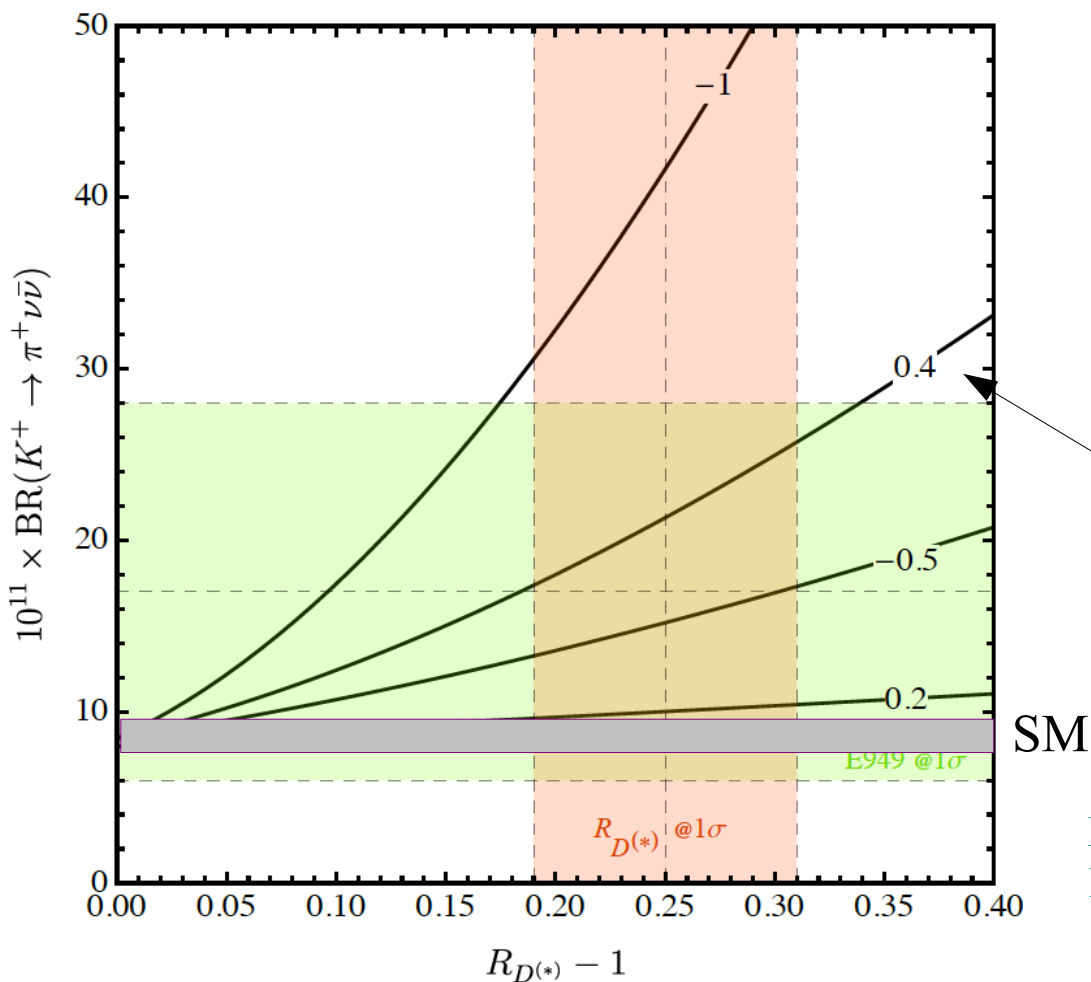
A closer look to  $K \rightarrow \pi \nu \nu$ :

$$\Gamma(K \rightarrow \pi \nu \nu) = \Gamma(K \rightarrow \pi \nu_e \bar{\nu}_e) + \Gamma(K \rightarrow \pi \nu_\mu \bar{\nu}_\mu) + \Gamma(K \rightarrow \pi \nu_\tau \bar{\nu}_\tau)$$

SM like

few % deviation  
as in  $b \rightarrow s \mu \mu$

possible large deviation  
from SM as in  $b \rightarrow s \tau \tau$



Very interesting in view of NA62 !

O(1) parameter  
of the EFT

Barbieri *et al.* '16

Bordone, Buttazzo, GI, Monard '17



► Implications for low-energy flavor physics

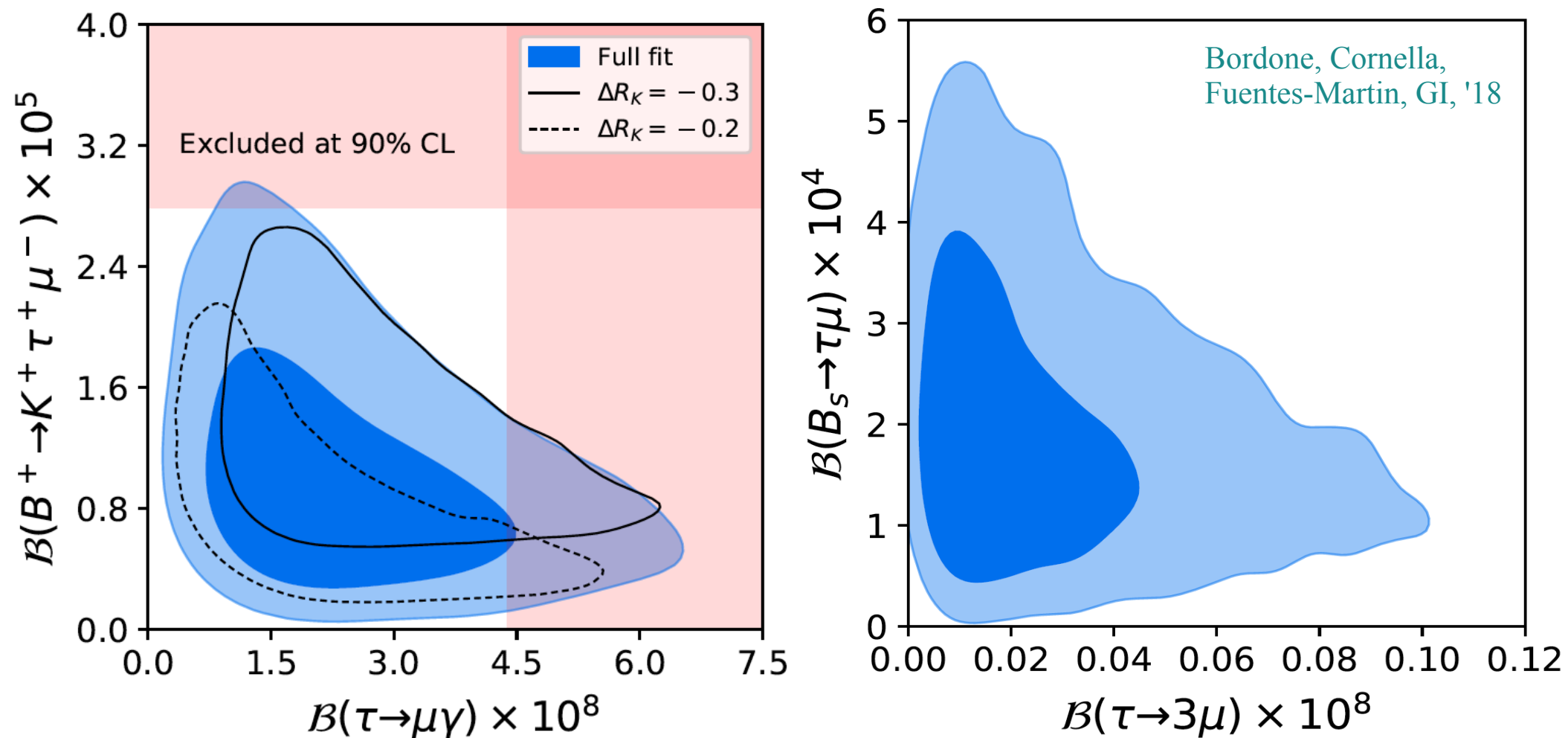
If the anomalies are due to NP, we should expect to see several other BSM effects in low-energy observables

E.g. (II): correlations among down-type FCNCs including LFV rates:

	$\mu\mu$ ( $ee$ )	$\tau\tau$	$\nu\nu$	$\tau\mu$	$\mu e$
$b \rightarrow s$	$R_K, R_{K^*}$ $O(20\%)$	$B \rightarrow K^{(*)} \tau\tau$ $\rightarrow 100 \times SM$	$B \rightarrow K^{(*)} \nu\nu$ $O(1)$	$B \rightarrow K \tau\mu$ $\rightarrow \sim 10^{-5}$	$B \rightarrow K \mu e$ $???$
$b \rightarrow d$	$B_d \rightarrow \mu\mu$ $B \rightarrow \pi \mu\mu$ $B_s \rightarrow K^{(*)} \mu\mu$ $O(20\%) [R_K=R_\pi]$	$B \rightarrow \pi \tau\tau$ $\rightarrow 100 \times SM$	$B \rightarrow \pi \nu\nu$ $O(1)$	$B \rightarrow \pi \tau\mu$ $\rightarrow \sim 10^{-7}$	$B \rightarrow \pi \mu e$ $???$
$s \rightarrow d$	<i>long-distance pollution</i>	<i>NA</i>	$K \rightarrow \pi \nu\nu$ $O(1)$	<i>NA</i>	$K \rightarrow \mu e$ $\rightarrow \sim 10^{-12}$

► Implications for low-energy flavor physics

A closer look to LFV processes in the  $PS^3$  model:



$$\left(\frac{\Delta R_D}{0.2}\right)^2 \left(\frac{\Delta R_K}{0.3}\right)^2 \approx 3 \left[\frac{\mathcal{B}(B \rightarrow K \tau^+ \mu^-)}{3 \times 10^{-5}}\right] \left[\frac{\mathcal{B}(\tau \rightarrow \mu \gamma)}{5 \times 10^{-8}}\right] \approx \left[\frac{\mathcal{B}(B_s \rightarrow \tau^\pm \mu^\mp)}{2 \times 10^{-4}}\right] \left[\frac{\mathcal{B}(\tau \rightarrow \mu \gamma)}{5 \times 10^{-8}}\right]$$

► Implications for low-energy flavor physics

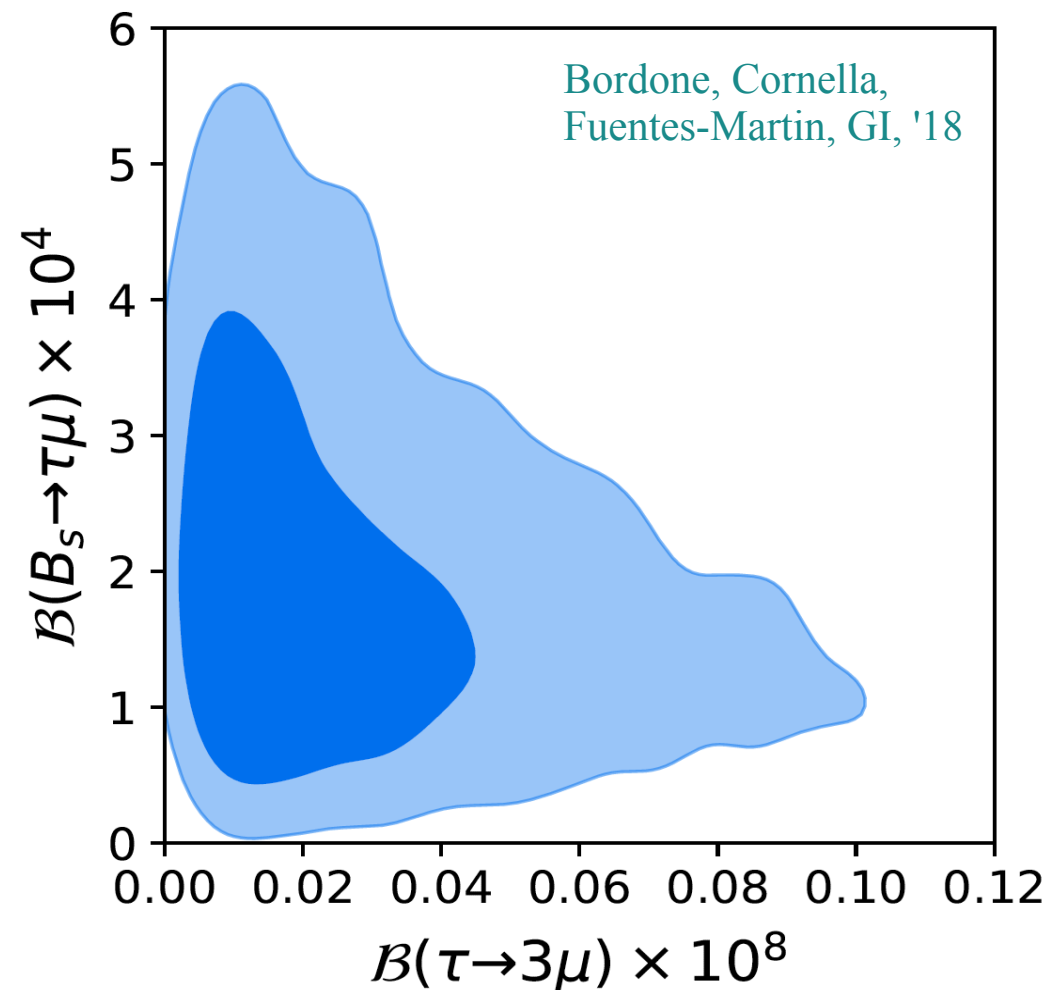
A closer look to LFV processes in the  $PS^3$  model:

More difficult to make precise predictions for  $\mu \rightarrow e$  transitions.

But the **main message** is that both  $\mu \rightarrow 3e$  and  $K_L \rightarrow \mu e$  could close to their present exp. bounds:

$$\text{BR}(\mu \rightarrow 3e) \rightarrow \text{few } 10^{-14}$$

$$\text{BR}(K_L \rightarrow \mu e) \rightarrow \text{few } 10^{-12}$$



► Implications for low-energy flavor physics

E.g. (III): correlations of LFU violations in charged currents [U(2)-based EFT]

- LH operators [universality of all  $R^{\tau/\mu}(b \rightarrow c)$  ratios ]:

$$\frac{R_D}{(R_D)_{\text{SM}}} = \frac{\Gamma(B \rightarrow D^* \tau \nu) / \Gamma_{\text{SM}}}{\Gamma(B \rightarrow D^* \mu \nu) / \Gamma_{\text{SM}}} = \frac{\Gamma(B_c \rightarrow \psi \tau \nu) / \Gamma_{\text{SM}}}{\Gamma(B_c \rightarrow \psi \mu \nu) / \Gamma_{\text{SM}}} = \frac{\Gamma(\Lambda_b \rightarrow \Lambda_c \tau \nu) / \Gamma_{\text{SM}}}{\Gamma(\Lambda_b \rightarrow \Lambda_c \mu \nu) / \Gamma_{\text{SM}}} = \dots$$

**N.B.:** these relations are violated if there are RH currents...

► Implications for low-energy flavor physics

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**N.B.:** these relations are violated if there are RH currents...

- U(2) symmetry [  $R^{\tau/\mu}(b \rightarrow c) = R^{\tau/\mu}(b \rightarrow u)$  universality ]:

$$\frac{\Gamma(B \rightarrow \pi \tau \nu)/\Gamma_{SM}}{\Gamma(B \rightarrow \pi \mu \nu)/\Gamma_{SM}} = \frac{\Gamma(\Lambda_b \rightarrow p \tau \nu)/\Gamma_{SM}}{\Gamma(\Lambda_b \rightarrow p \mu \nu)/\Gamma_{SM}} = \frac{\Gamma(B_s \rightarrow K^* \tau \nu)/\Gamma_{SM}}{\Gamma(B_s \rightarrow K^* \mu \nu)/\Gamma_{SM}} = \dots = \frac{R_D}{(R_D)_{SM}}$$

**N.B.:** The only info on  $b \rightarrow u \tau \nu$  we have is  $BR(B_u \rightarrow \tau \nu)^{\text{exp}}/BR_{SM} = 1.31 \pm 0.27$   
 → perfectly consistent with I+II (*but also with SM and PS<sup>3</sup>...*) UTfit. '16

**N.B.:** The predictions for  $R^{\mu/e}(b \rightarrow c)$  are more uncertain, but up to O(2%) possible  
 → worth to improve

► Implications for low-energy flavor physics

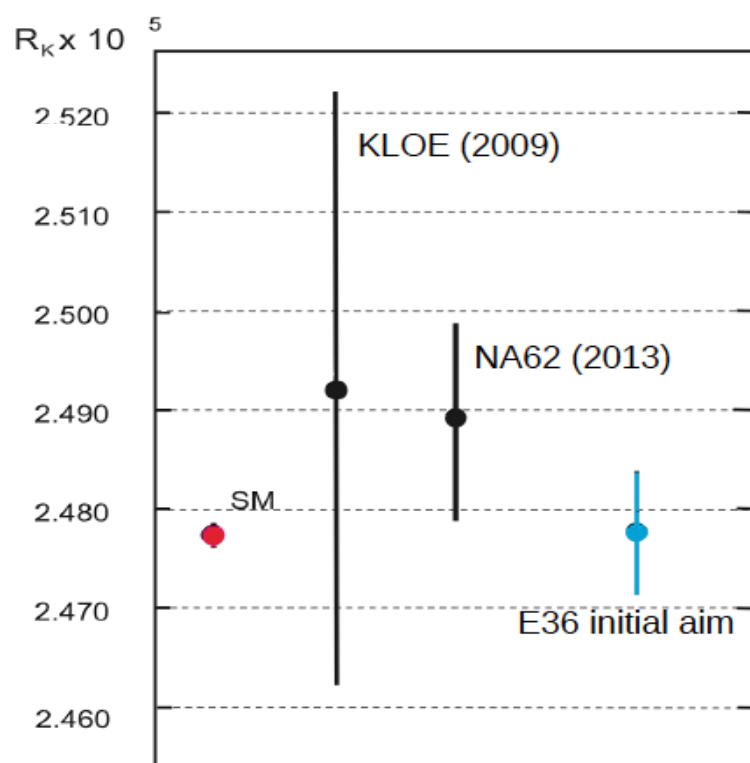
What about LFU tests with charged-current in kaons ? [*work in prog.*]

The best tests at the moment comes from  $R_K = \Gamma(K^+ \rightarrow e\nu)/\Gamma(K^+ \rightarrow \mu\nu)$

$$\text{From } R(D^*) \text{ \& } R(D): \quad \frac{[\Gamma(b \rightarrow c\tau\nu)/\Gamma(b \rightarrow c\mu\nu)] \sim 20\% \xrightarrow[U(2)_1]{U(2)_q}}{\frac{\Delta R_K}{R_K} \Big|_{\text{NP}}} \approx 10^{-4}$$

With similar relative effects expected also in  $K_{l3}$

Maybe beyond reach given the SM error...



$$\text{SM prediction [Cirigliano, Rossel '07]:} \quad \frac{\Delta R_K}{R_K} \Big|_{\text{th}} = 4 \times 10^{-4}$$

... but this is only a preliminary estimate (*with some th. prejudice...*) → definitely worth trying to improve the measurement

► Implications for high- $p_T$  physics

Some general considerations:

Independently of the details of the UV models, the anomalies point to NP in the ball-park of direct searches @ LHC

- ★  $R_{D^{(*)}}$  necessarily points to a low NP scale:  $M \sim g \times (1.0 \text{ TeV})$
- ★  $R_{K^{(*)}}$  also points to a low NP scale, but for (unnaturally) large flavor-violating couplings

This NP could have escaped detection so far only under specific circumstances (*that are fulfilled by the proposed UV completions*):

- Coupled mainly to 3<sup>rd</sup> generation ( $\rightarrow$  *no large coupl. to proton valence quarks*)
- No narrow peaks in dilepton pairs (*including tau pairs*)

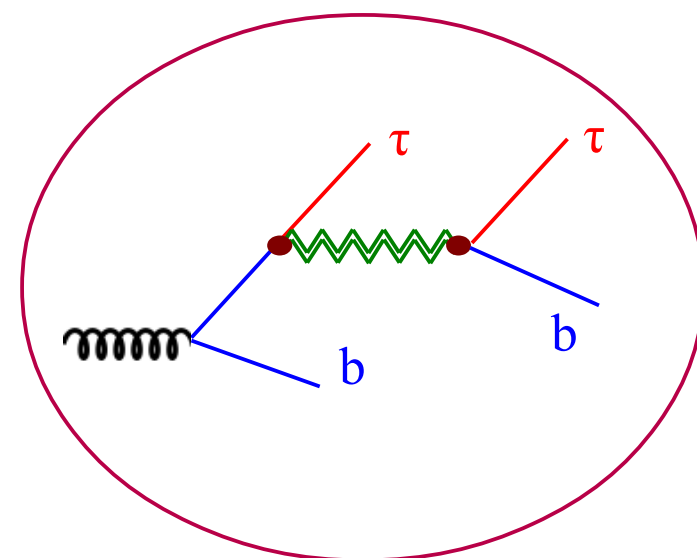
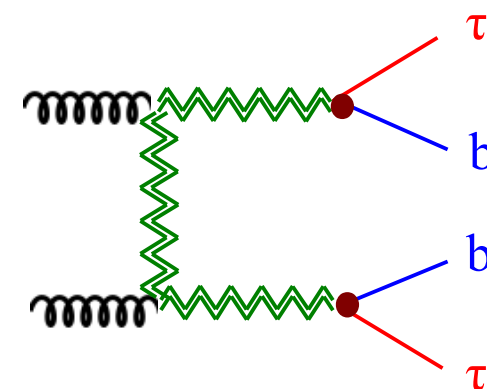
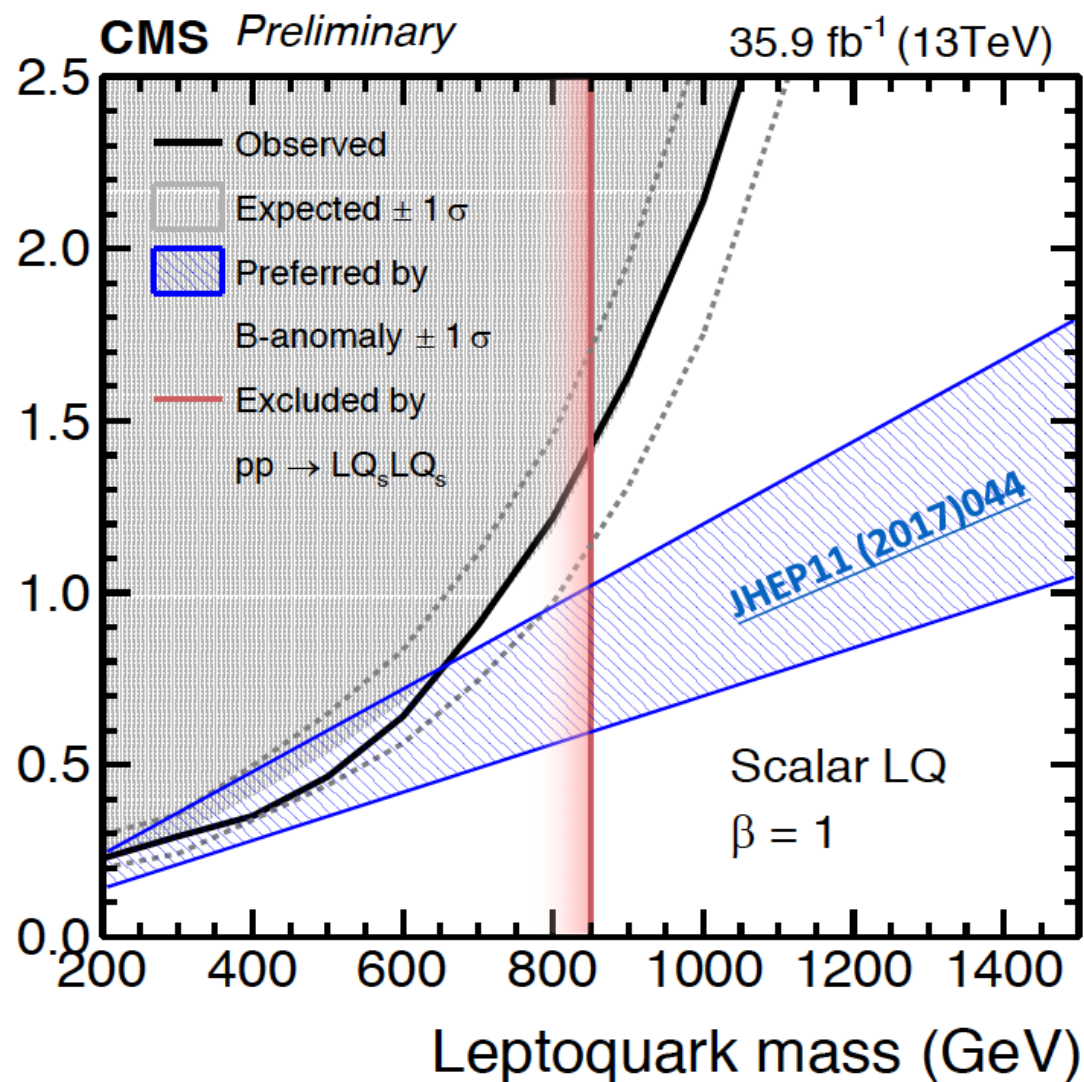


Significant room for improvement for the corresponding searches @ HL-LHC  
But only HE-LHC would be able to rule out all reasonable models

► Implications for high- $p_T$  physics

Also as far as direct searches are concerned, 3<sup>rd</sup> gen. LQ are in good shape:

**N.B.:** The single production might be quite relevant

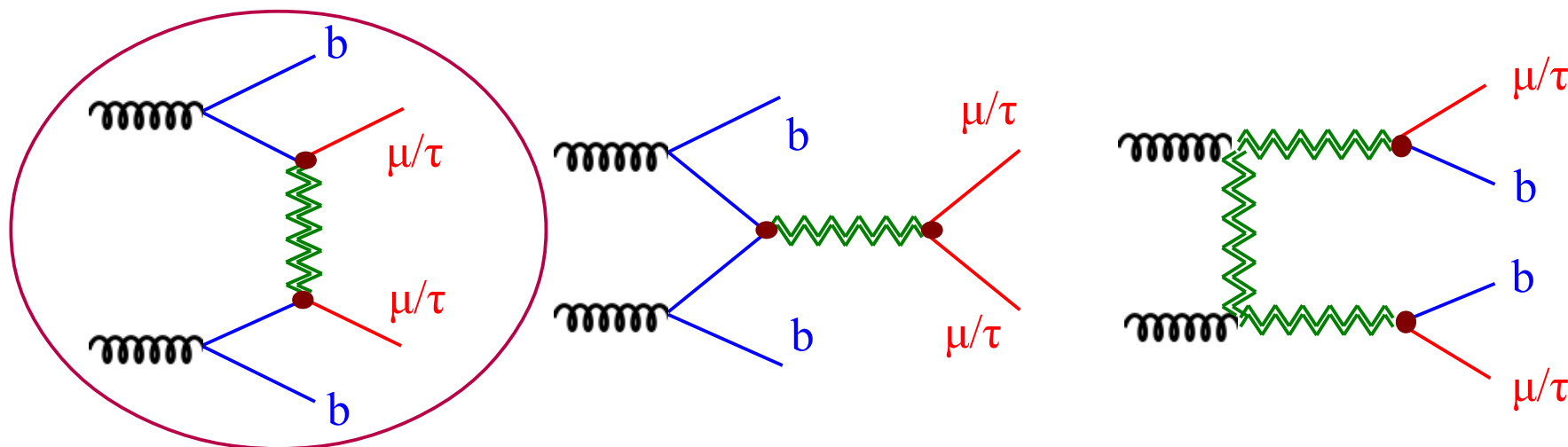




► Implications for high- $p_T$  physics

Additional considerations for direct searches:

- I. The production of all type of mediators occurs predominantly in conjunction with b quarks → b-tag helps

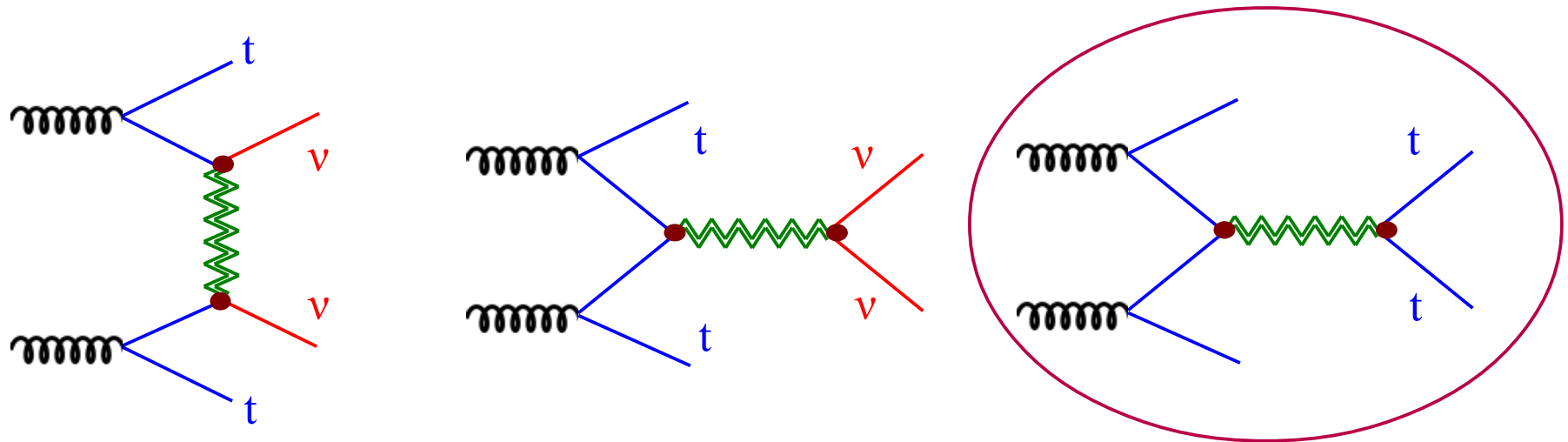


- II. The  $R_D$  anomaly unambiguously points out to large  $pp \rightarrow \tau\tau$  (independently of the mediator), but narrow peaks in  $\tau$  disfavored
- III. BR into  $\mu$  pairs (or  $\mu\tau$ ) always expected but naturally suppressed vs. taus [  $O(0.1)$  @ amplitude level for each muon – larger model dependence] except in models addressing only  $R_K$

► Implications for high- $p_T$  physics

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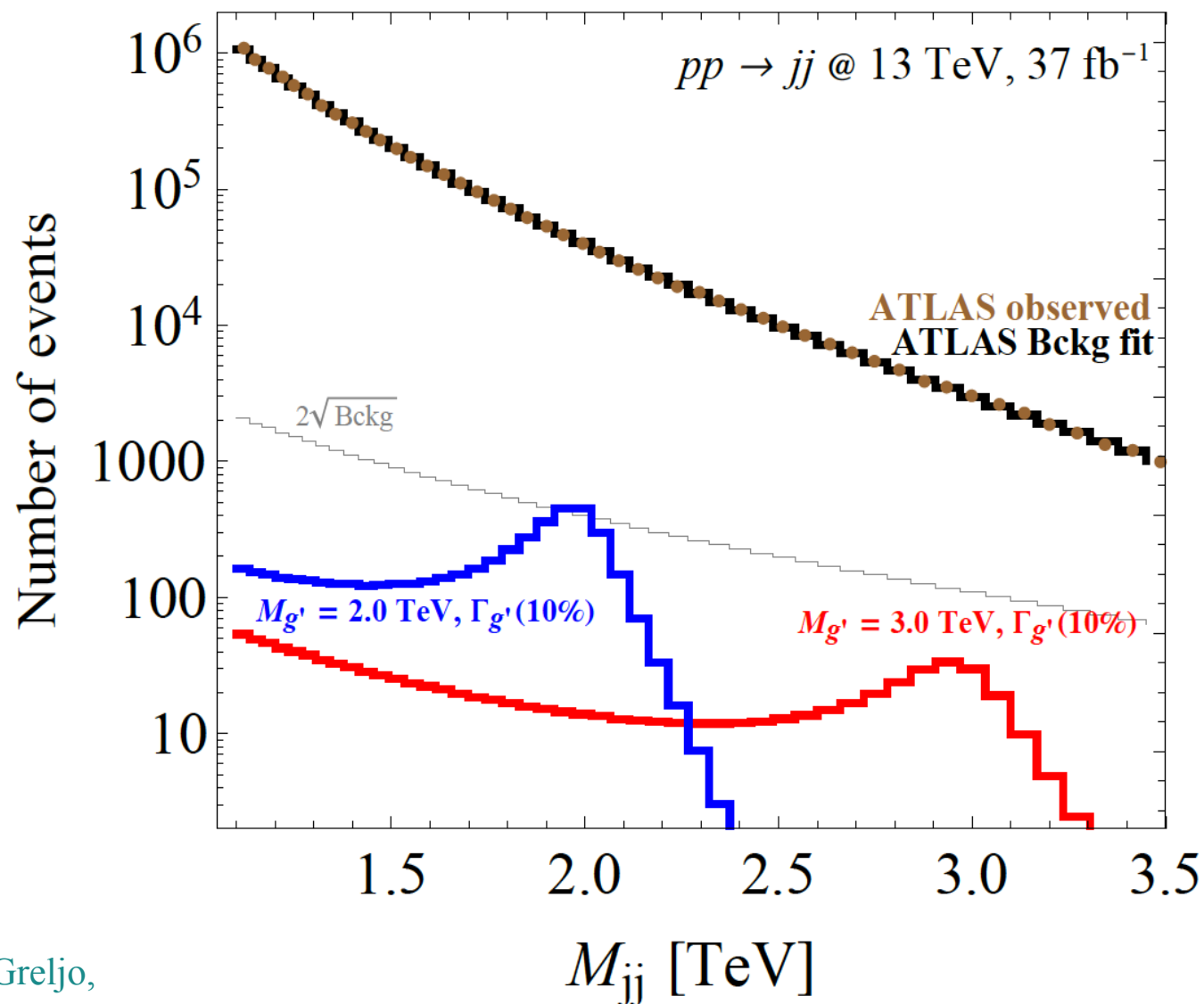


- II. The  $R_D$  anomaly unambiguously points out to large  $pp \rightarrow \tau\tau$  (independently of the mediator), but narrow peaks in  $\tau\tau$  disfavored
- III. BR into  $\mu$  pairs (or  $\mu\tau$ ) always expected but naturally suppressed vs. taus [  $O(0.1)$  @ amplitude level for each muon – larger model dependence] except in models addressing only  $R_K$
- VI. Large BRs into top pairs naturally expected in most models, especially when considering also “additional” heavy states

► Implications for high- $p_T$  physics

E.g.: The “Coloron”

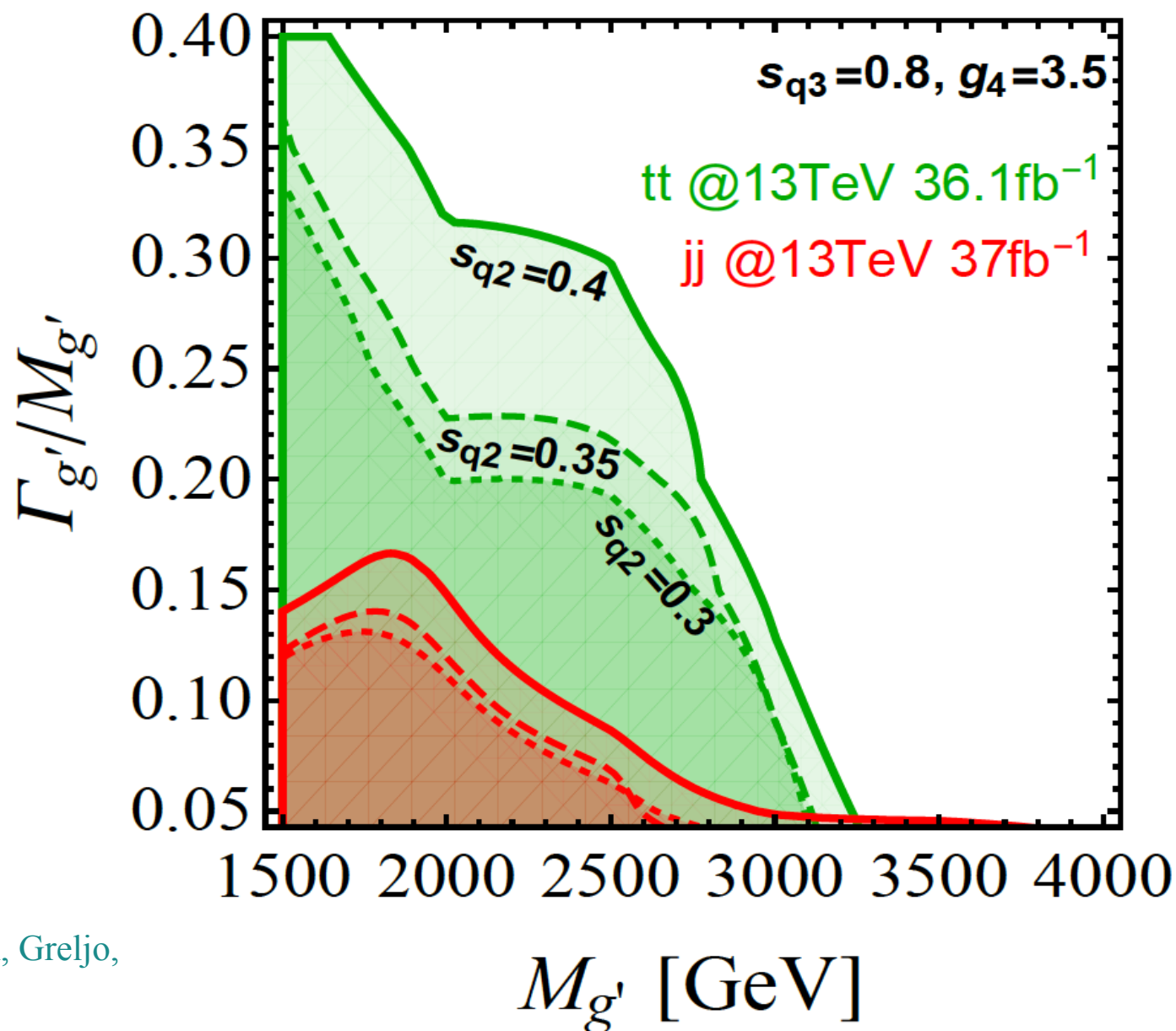
In models such as  $PS^3$  or the 4321, the LQ is accompanied by a (non-universal) heavy gluon, coupled mainly to 3<sup>rd</sup> gen.



► Implications for high- $p_T$  physics

E.g.: The “Coloron”

In models such as  $PS^3$  or the 4321, the LQ is accompanied by a (non-universal) heavy gluon, coupled mainly to 3<sup>rd</sup> gen.



## Conclusions

- If these ~~LFU~~ anomalies were confirmed, it would be a fantastic discovery, with far-reaching implications
- If interpreted as NP signals, both set of anomalies are not in contradiction among themselves & with existing low- & high-energy data.  
Taken together, they point out to NP coupled mainly to 3<sup>rd</sup> generation, with a flavor structure connected to that appearing in the SM Yukawa couplings
- **Simplified models with LQ states seem to be favored.** However, realistic UV for these models naturally imply a much richer spectrum of states at the TeV scale (*and possibly above...*).
- The PS<sup>3</sup> model I have presented is particularly interesting as example of the change of approach in model building that these anomalies could imply. But many points/possible-variations remains to be clarified/explored...



*A lot of fun ahead of us...*

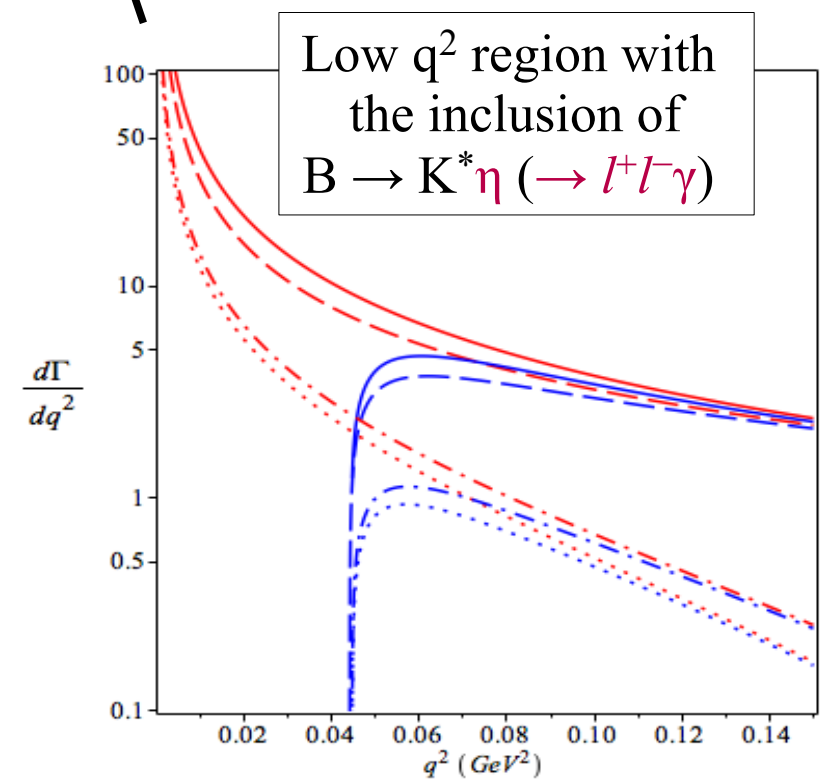
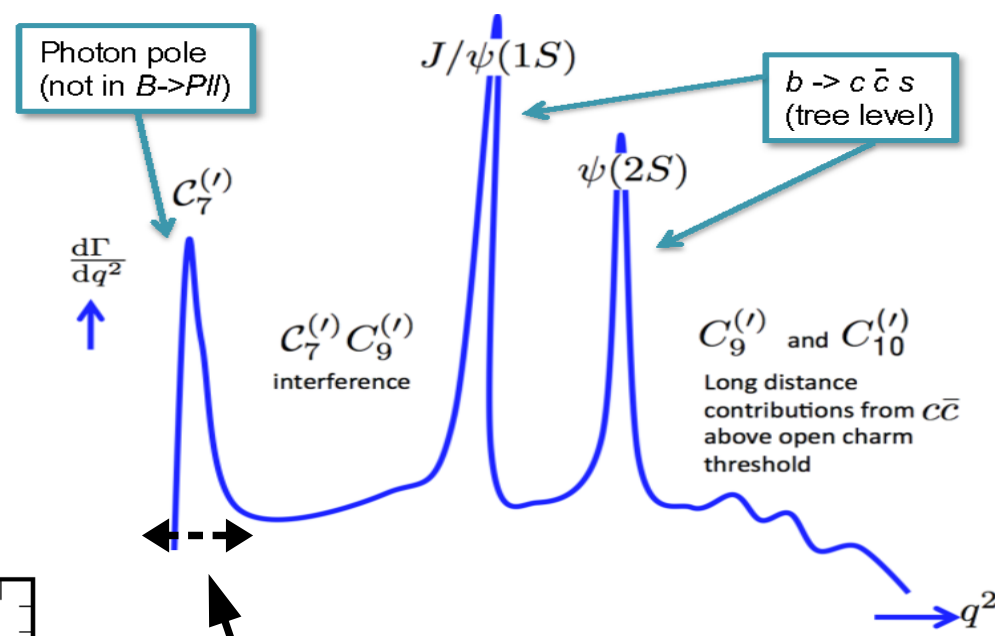
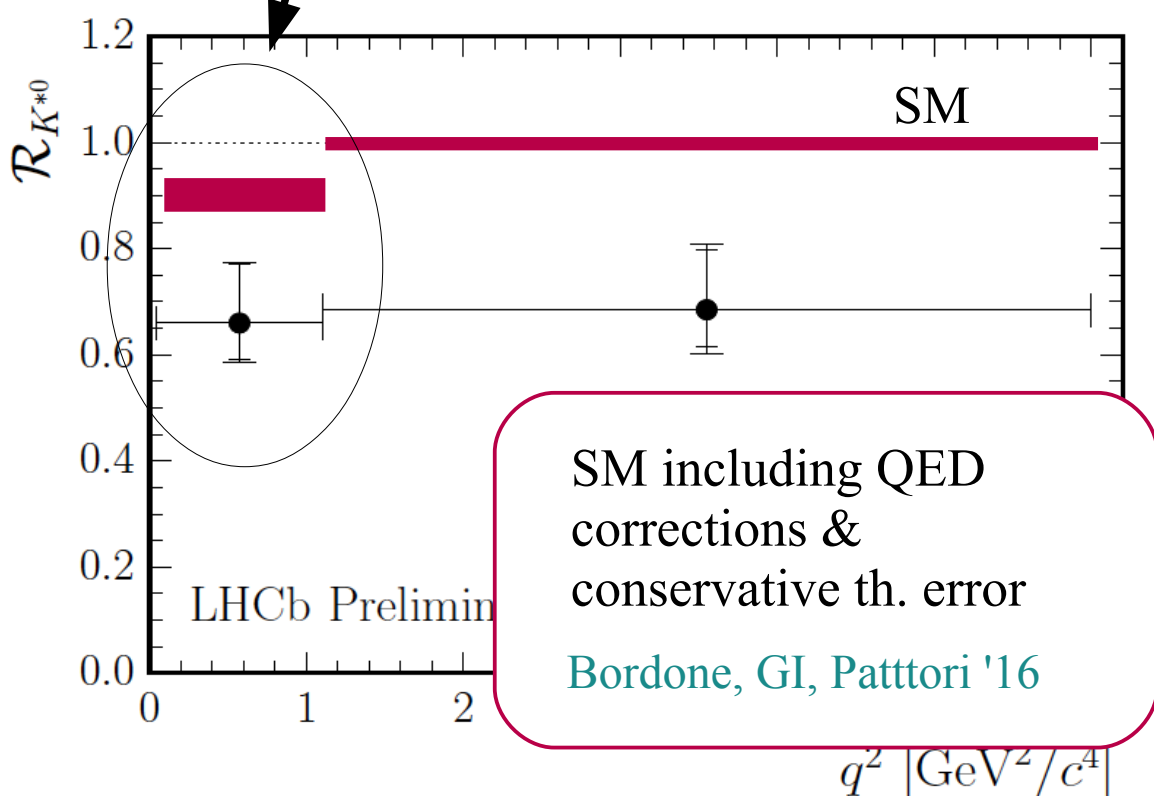
(both on the exp., the pheno, and model-building point of view)



► Anomalies in  $B \rightarrow K^{(*)} \mu\mu / ee$  [LHCb]

*Technical note:* I don't think we should be too worried about the low- $q^2$  bin...

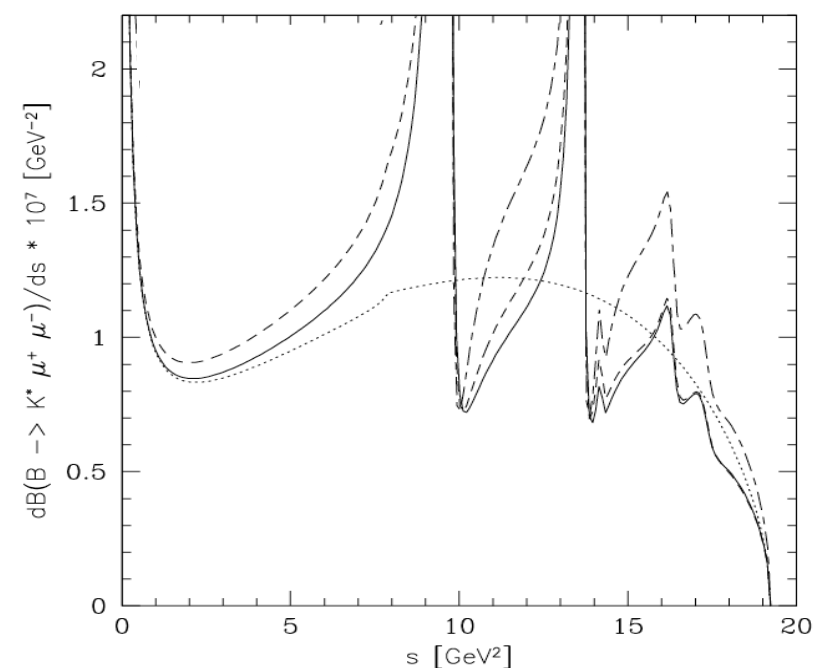
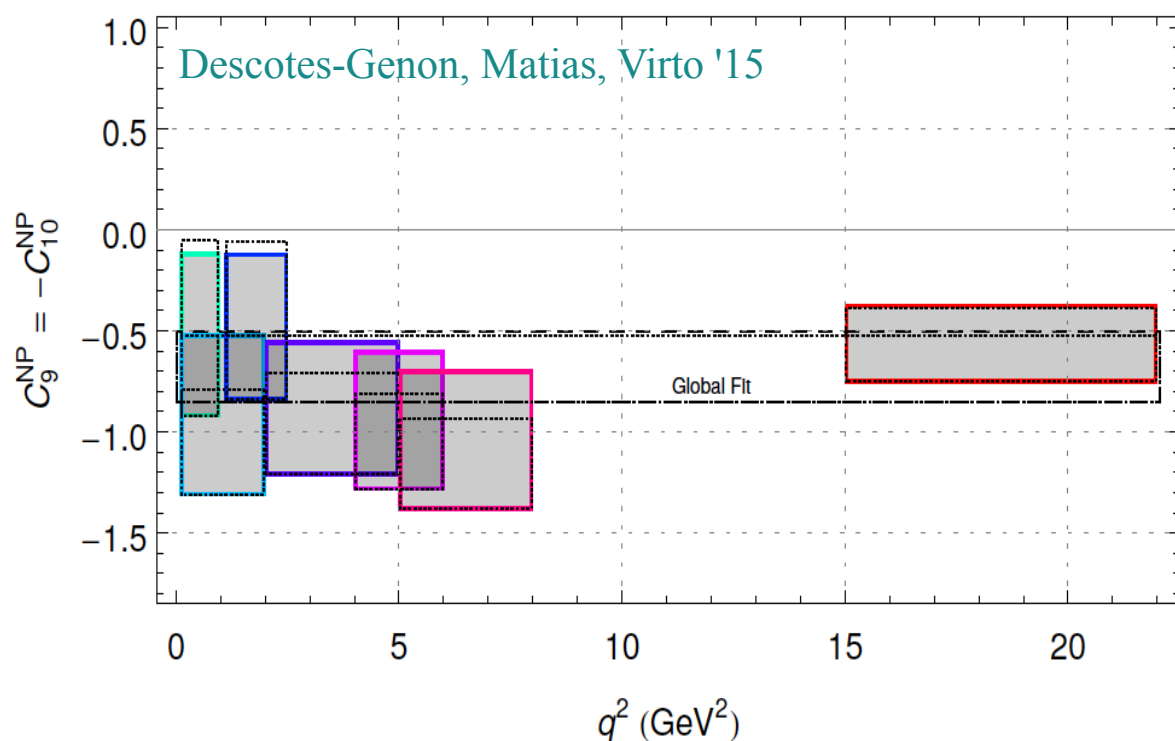
“dangerous” choice of the bin starting from the di-muon threshold



► Anomalies in  $B \rightarrow K^{(*)} \mu\mu / ee$  [LHCb]

**Pro NP:** reduced tension in all the observables with a unique fit of non-standard short-distance Wilson coefficients

Descotes-Genon, Matias, Virto '13, '15  
 Capdevila *et al.* '17; D'Amico *et al.* '17  
 Altmannshofer & Straub '13, '15  
 Ciuchini *et al.* '17; Hurth *et al.* '16, '17  
 Many others...



More precise data on the  $q^2=m_{\mu\mu}$  distribution can help to distinguish NP vs. SM

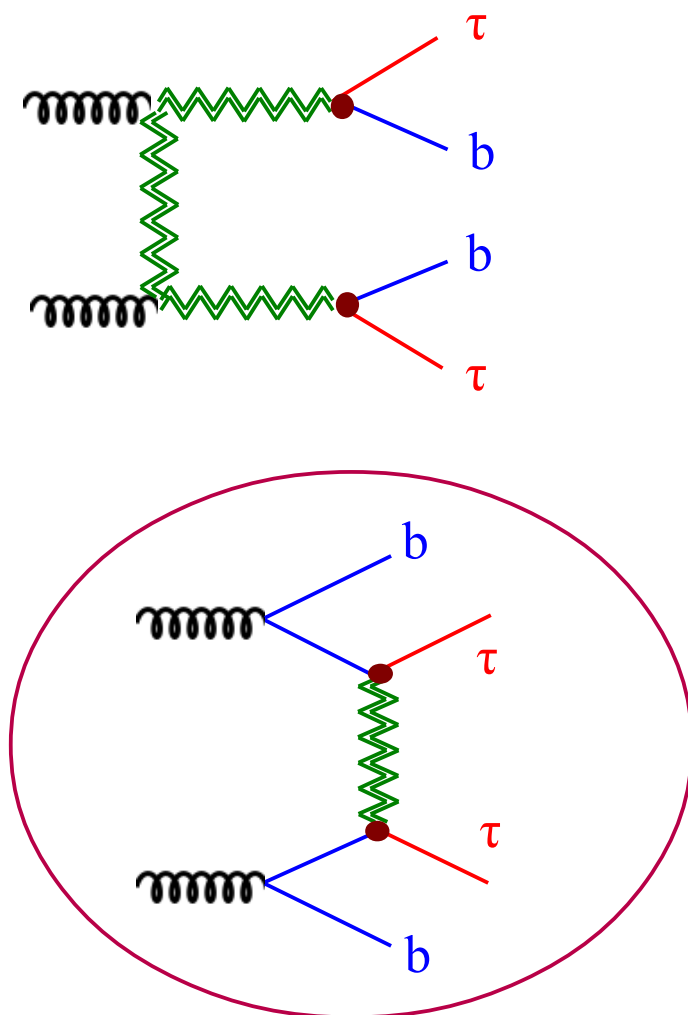
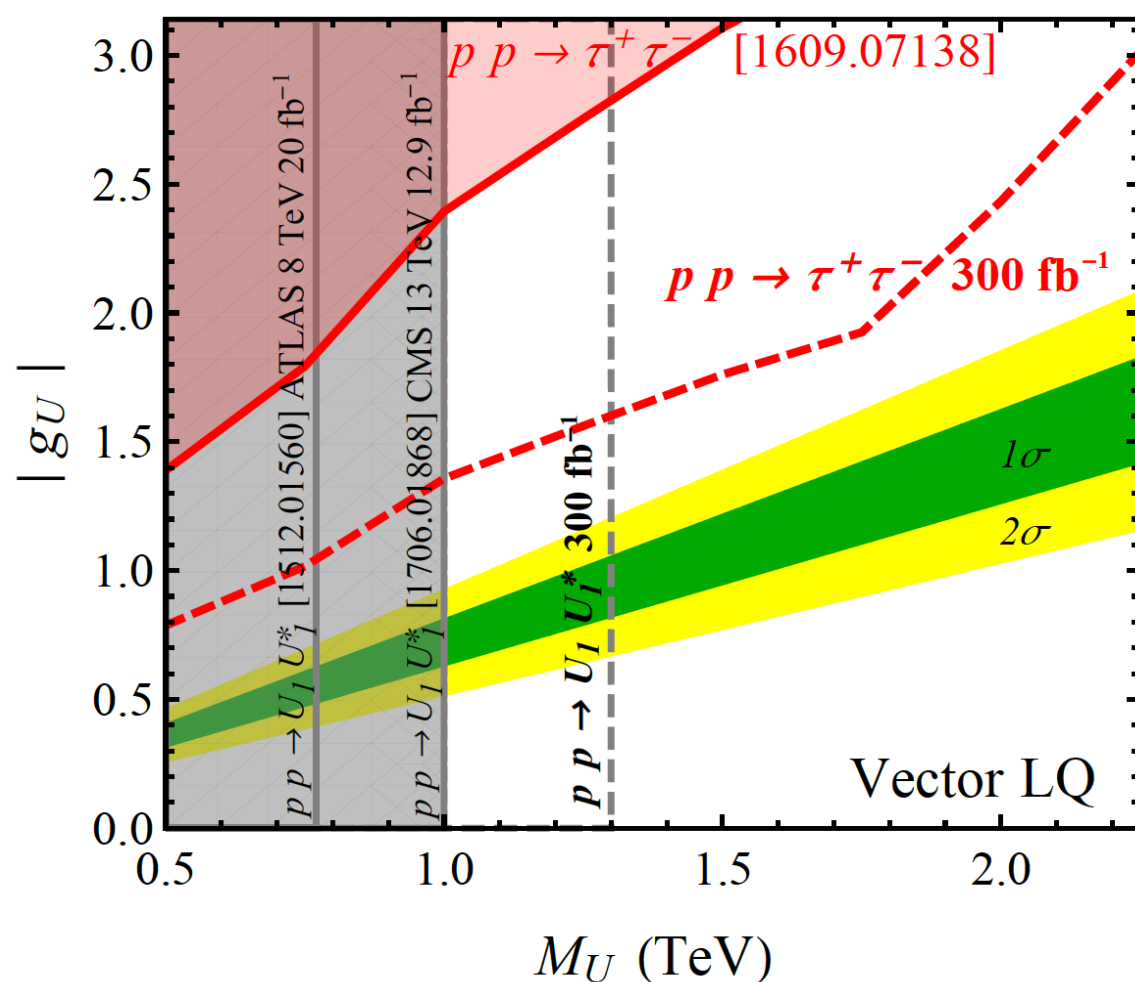


► Implications for high- $p_T$  physics

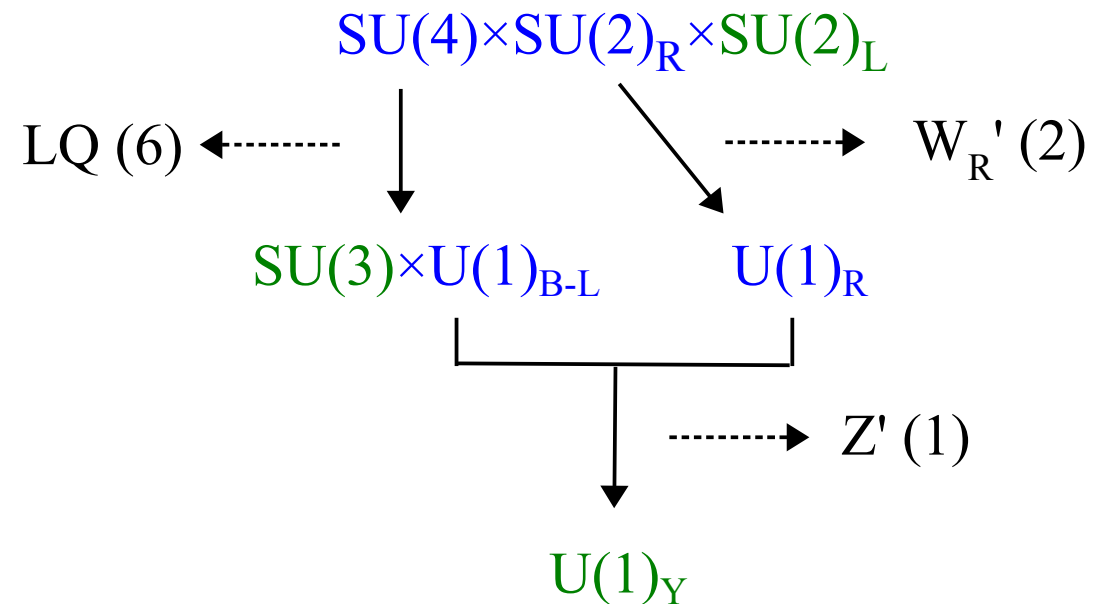
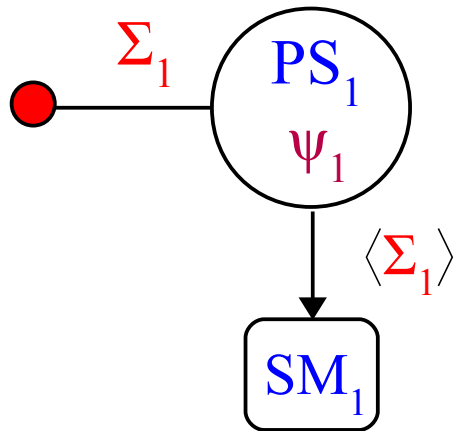
Also as far as direct searches are concerned, 3<sup>rd</sup> gen. LQ are in good shape:

At high masses  $pp \rightarrow \tau\tau$  is the most effective search mode

Buttazzo, Greljo, GI, Marzocca, '17



► Symmetry breaking pattern in  $PS^3$



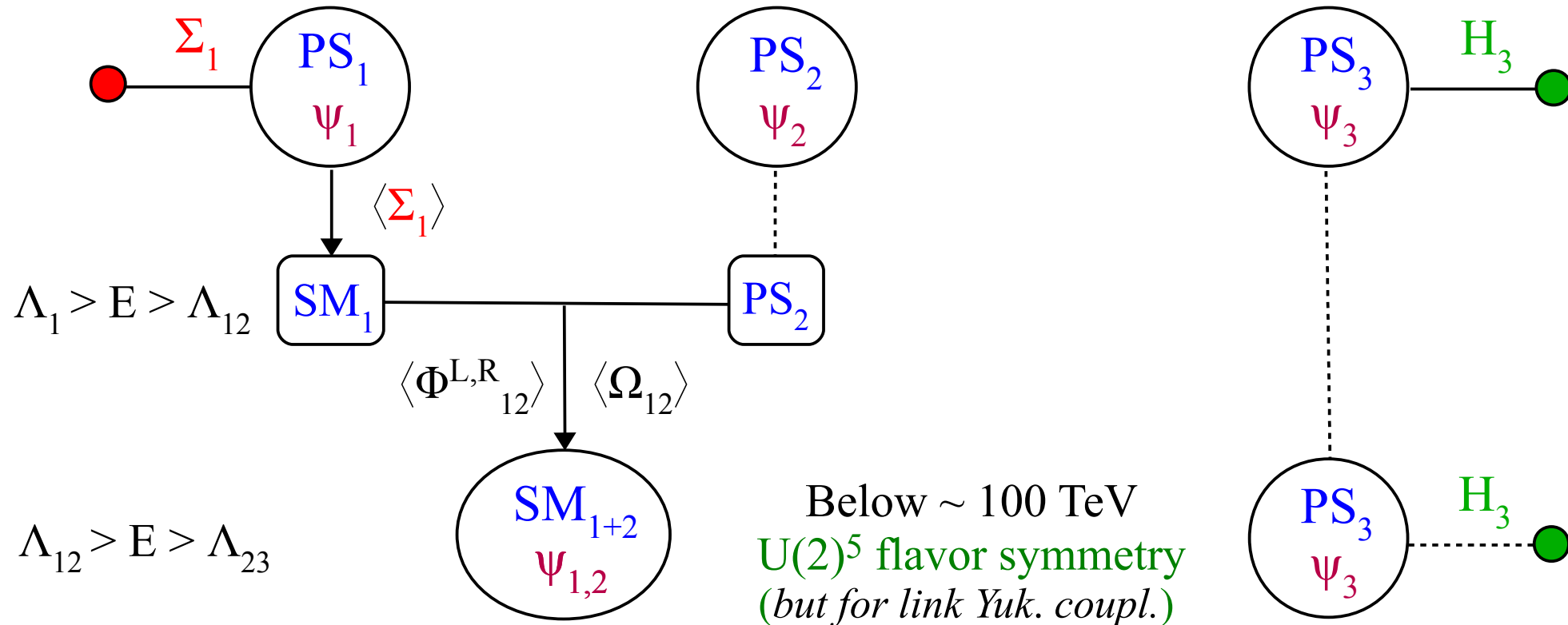
High-scale [ $\sim 10^3$  TeV]  
 “vertical” breaking [ $PS \rightarrow SM$ ]

$$PS_1 [ SU(4)_1 \times SU(2)_{R_1} ]$$



$$SM_1 [ SU(3)_1 \times U(1)_{Y_1} ]$$

► Symmetry breaking pattern in  $PS^3$



$$\Phi_{12}^L \sim (1,2,1)_1 \times (1,2,1)_2$$

$$\text{VEV} \rightarrow SU(2)_{1+2}^L$$

$$\Phi_{12}^R \sim (1,1,2)_1 \times (1,1,2)_2$$

$$\text{VEV} \rightarrow SU(2)_{1+2}^R$$

$$\Omega_{12} \sim (4,2,1)_1 \times (4,2,1)_2$$

$$\text{VEV} \rightarrow SU(4)_{1+2} \ \& \ SU(2)_{1+2}^L$$