

# EFT approach to the pair production of unstable tops near threshold

Thomas Rauh

AEC, University of Bern

M. Beneke, A. Maier, TR, P. Ruiz-Femenía, arXiv:1711.10429  
JHEP 1802 (2018) 125

M. Beneke, A. Maier, J. Piclum, TR, arXiv:1506.06865  
Nucl.Phys. B 899 (2015) 180

M. Beneke, J. Piclum, TR, arXiv:1312.4792  
Nucl.Phys. B 880 (2014) 414

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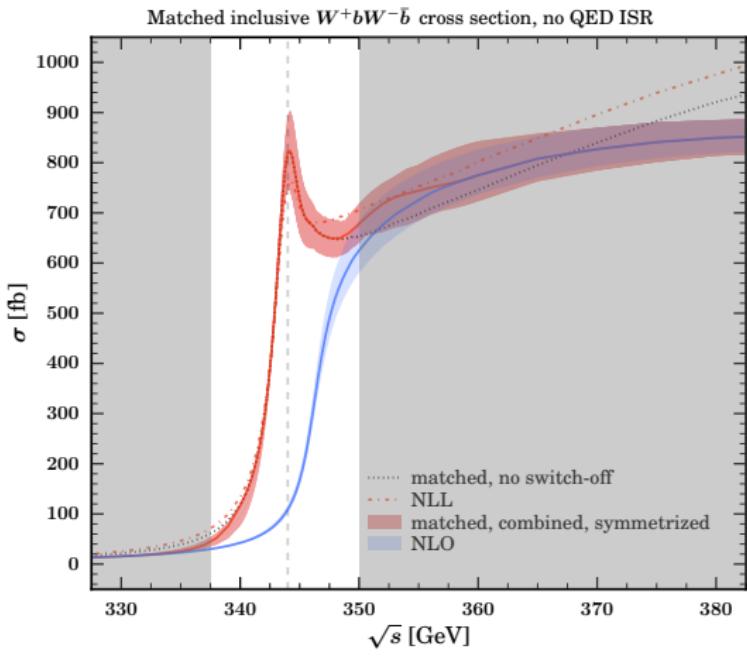
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AEC  
ALBERT EINSTEIN CENTER  
FOR FUNDAMENTAL PHYSICS

# Top threshold scan

## Motivation

Consider the top threshold region of the  $e^+e^- \rightarrow W^+W^-\bar{b}bX$  cross section:



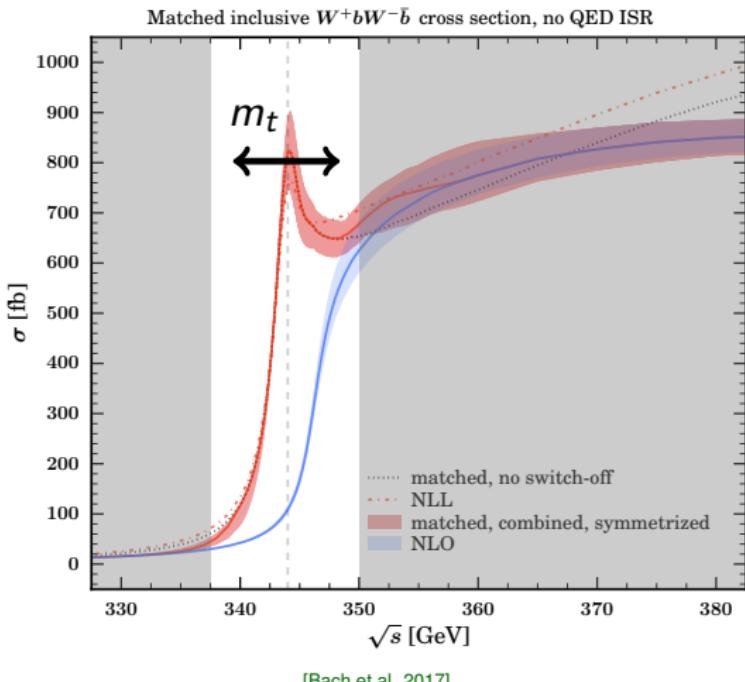
[Bach et al. 2017]

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- Sensitive to  $\Gamma_t, \alpha_s, y_t$

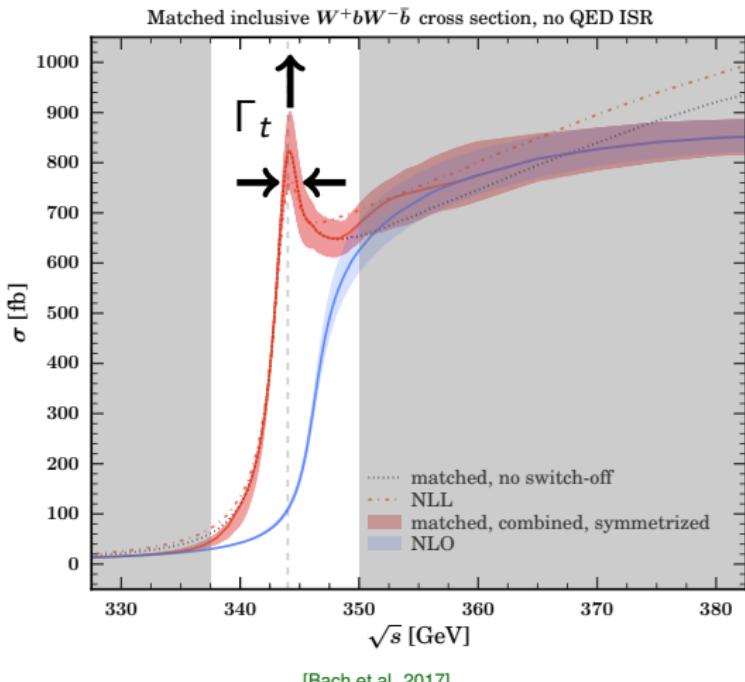


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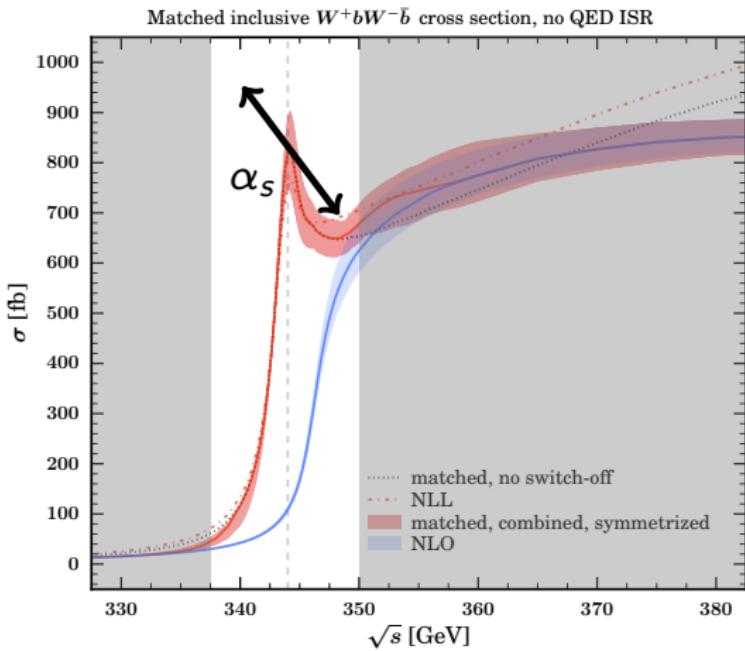
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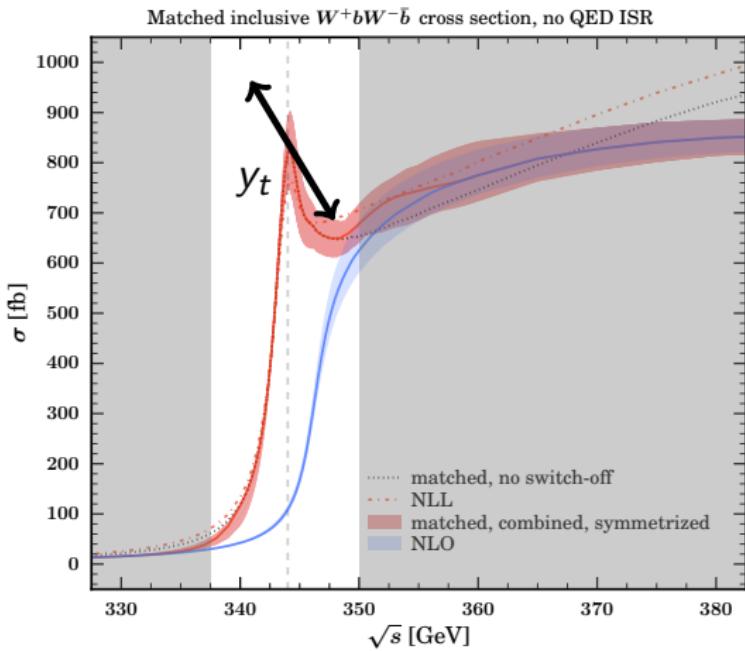
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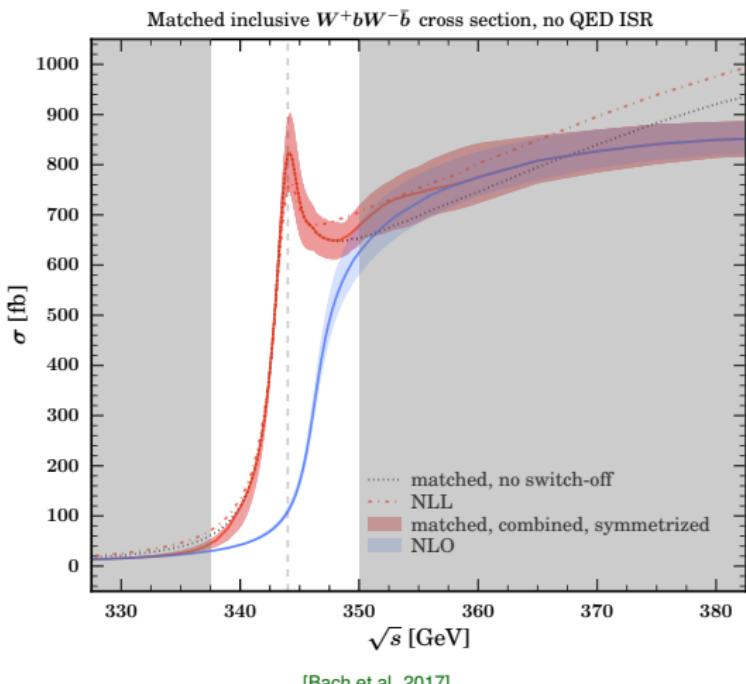
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Requires very precise theory predictions:

- Known at NNNLO in QCD, scale uncertainty of 3%

[Beneke et al. 2015]

- NLO non-QCD up to 15%
- NNLO non-QCD and  $N^3\text{LO}$   
Higgs effects in this talk



# Top quarks near threshold

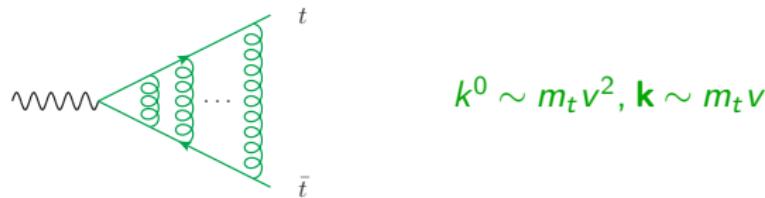
## Relevant scales and Coulomb effects

Near threshold tops are non-relativistic with velocity  $v \sim \alpha_s$

- Multiple scales are relevant:

hard	$m_t$	top mass
soft	$m_t v$	momentum
ultrasoft	$m_t v^2$	energy

- Coulomb singularities  $(\alpha_s/v)^n$  from  $n$  exchanges of potential gluons



- Conventional perturbation theory in  $\alpha_s$  fails
- Coulomb singularities must be resummed to all orders
- Done with potential non-relativistic QCD (PNRQCD)

[Pineda, Soto 1998; Beneke, Signer, Smirnov 1999; Brambilla, Pineda, Soto, Vairo 2000; Beneke, Kyo, Schuller 2013 ]

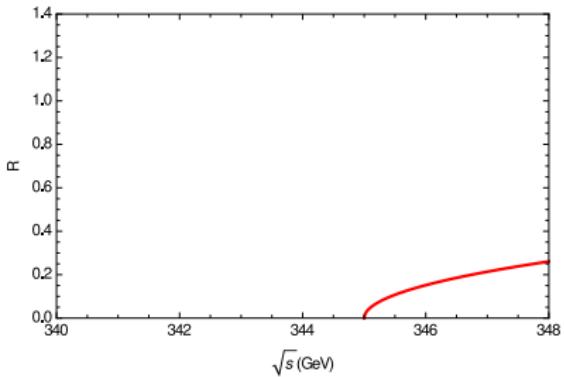
# QCD cross section

Born approximation

Normalized cross section:

$$R(s) = \frac{\sigma(e^+ e^- \rightarrow t\bar{t}X)}{\sigma(e^+ e^- \rightarrow \mu^+ \mu^-)} = 12\pi e_t^2 f(s) \operatorname{Im} [\Pi^{(\nu)}(s)]$$

Born cross section:



# QCD cross section

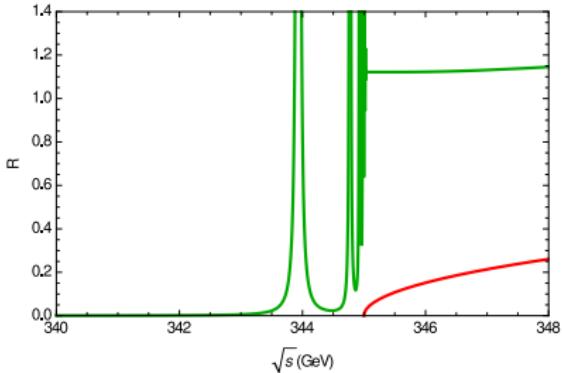
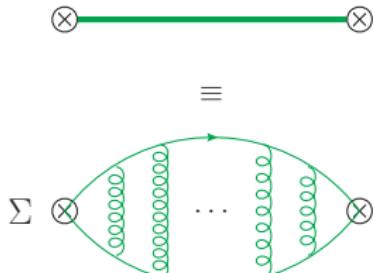
Resummed cross section at LO

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Resummed cross section at LO:

$$\Gamma_t = 0$$



# QCD cross section

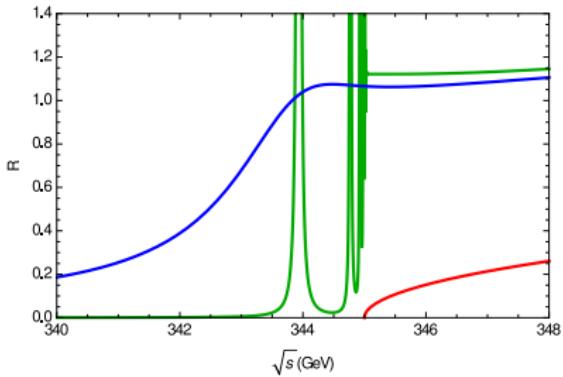
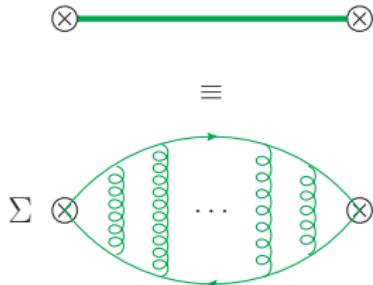
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Resummed cross section at LO:

$$\Gamma_t \neq 0$$



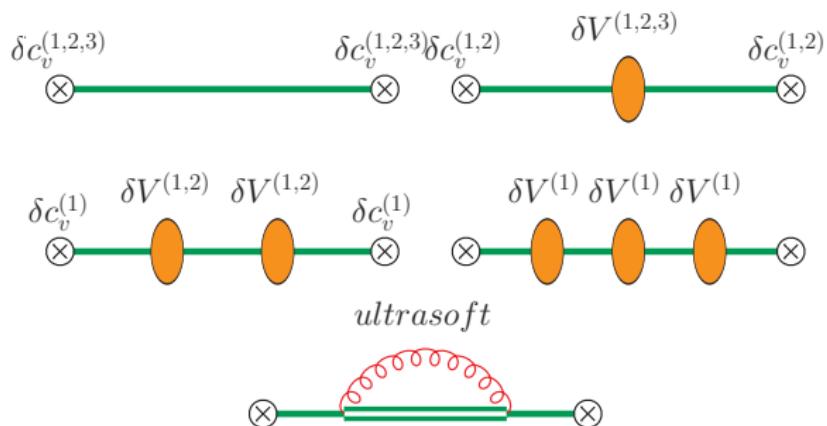
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Resummed cross section at NNNLO

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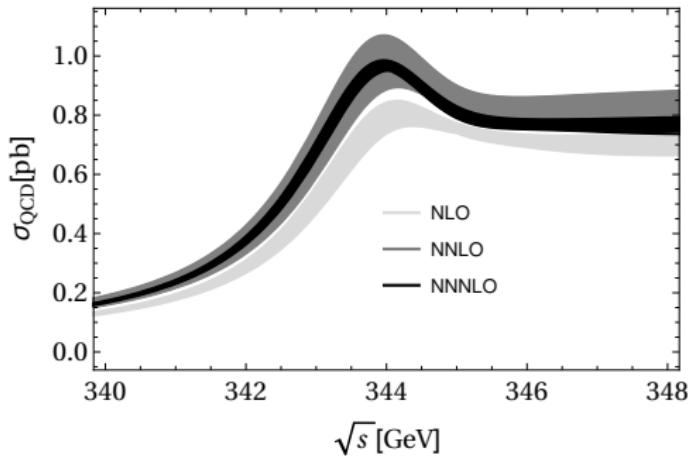
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Resummed cross section at NNNLO:



# QCD cross section

## Resummed cross section at NNNLO



- NNNLO S-wave  
[Beneke, Kiyo, Marquard, Penin, Pichlum, Steinhauser 2015]
- NLO P-wave [Beneke, Pichlum, TR 2013]
- QQbar\_Threshold code  
[Beneke, Kiyo, Maier, Pichlum 2016;  
Beneke, Maier, TR, Ruiz-Femenia 2017]

- Stabilization of perturbative expansion at NNNLO
- 3% uncertainty due to scale variation from 50 to 350 GeV
- Similar conclusions at NNLL (5% uncertainty) [Hoang, Stahlhofen 2013]

# Non-QCD effects

## Top-quark decay width

$$\mathcal{L}_{\text{bilinear}} = \psi^\dagger \left[ i\partial^0 + \frac{\vec{\partial}^2}{2m_t} + \frac{i\Gamma_t}{2} + \frac{(\vec{\partial}^2 + im_t\Gamma_t)^2}{8m_t^3} + \dots \right] \psi + \text{anti-quark}$$

- $\psi^\dagger \frac{i\Gamma_t}{2} \psi$ : same order as kinetic term, shifts  $E \rightarrow E + i\Gamma_t$  ( $E = \sqrt{s} - 2m_t$ )  
 Causes divergences at NNLO:  $\sigma \supset \sigma_0 \text{Im} \left[ \frac{E}{\epsilon} \right] \rightarrow \sigma_0 \text{Im} \left[ \frac{E+i\Gamma_t}{\epsilon} \right]$

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- $\bar{\psi}^\dagger \frac{i\Gamma_t \vec{\partial}^2}{4m_t^2} \psi$ : time dilatation, reduces toponium width  $\Gamma_n = 2\Gamma_t - \frac{\Gamma_t \alpha_s^2 C_F}{4n^2} + \dots$

Non-Hermitian Hamiltonian  $H \Rightarrow$  eigenstates do not form a basis

$$H|n\rangle = \mathcal{E}_n |n\rangle, \quad H^\dagger |\tilde{m}\rangle = \tilde{\mathcal{E}}_m |\tilde{m}\rangle, \quad \tilde{\mathcal{E}}_n = \mathcal{E}_n^* = (E_n - i\Gamma_n/2)^*$$

$\uparrow$   
 exponentially  
 decaying states

$\uparrow$   
 exponentially  
 growing states

$\langle n | \tilde{m} \rangle = \delta_{nm}$

Non-relativistic Green function:  $G(E) = \langle \vec{0} | \hat{G}(E) | \vec{0} \rangle = \oint_n \frac{\psi_n(\vec{0}) \psi_n^*(\vec{0})}{\mathcal{E}_n - E}$

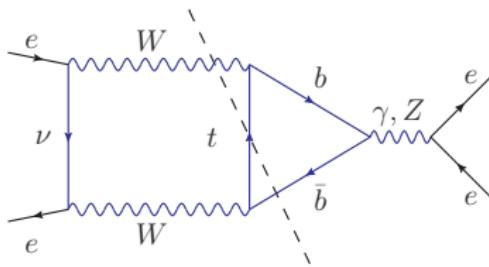
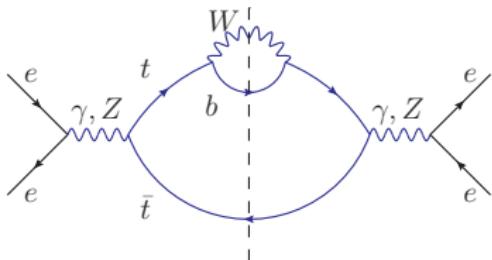
# Non-QCD effects

## Non-resonant contributions

The physical final state is  $W^+W^-\bar{b}bX$

- $\Gamma_t \sim m_t \alpha \sim m_t \alpha_s^2$  is not suppressed with respect to the **ultrasoft** scale
- Narrow width approximation is unphysical!
- Top decay modifies cross section in non-perturbative way (smearing of toponium resonances)

Top instability implies existence of contributions to the cross section from **hard subgraphs** that connect to the initial and final state



# Non-QCD effects

## Effective theory setup

Contributions can be organized systematically within Unstable Particle Effective Theory [Beneke, Chapovsky, Signer, Zanderighi 2003-4]

$$\sigma(s) \sim \text{Im} \left\{ \sum_{k,l} C^{(k)} C^{(l)} \int d^4x \langle e^- e^+ | T[i\mathcal{O}^{(k)\dagger}(0) i\mathcal{O}^{(l)}(x)] | e^- e^+ \rangle_{\text{EFT}} \right.$$

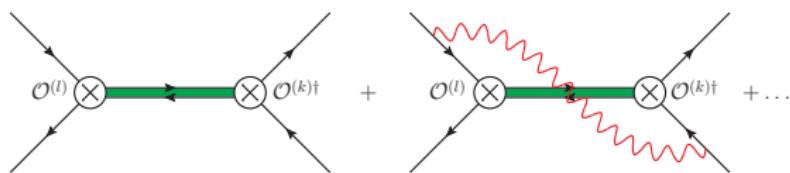
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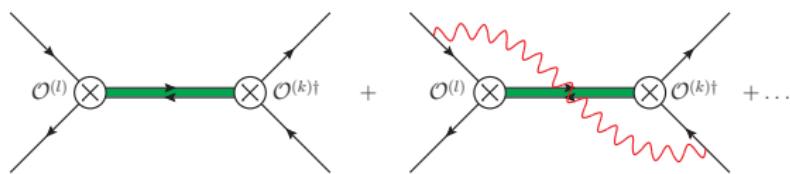
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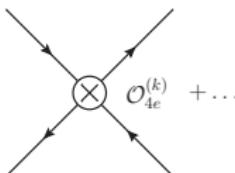
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Non-resonant contribution from  $W^+ W^- b\bar{b}$  production in hard process

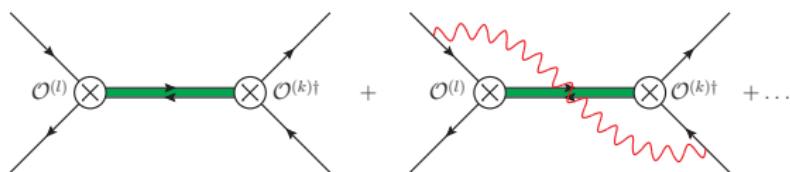


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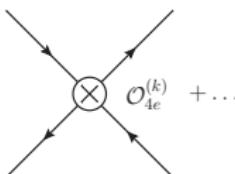
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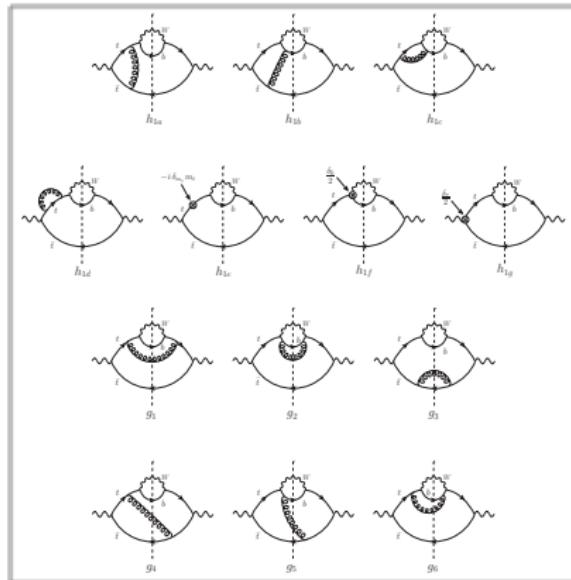


Both parts contain spurious divergences! Only the sum is finite. Calculations must be done in the same regularization scheme.

# NNLO non-resonant contribution

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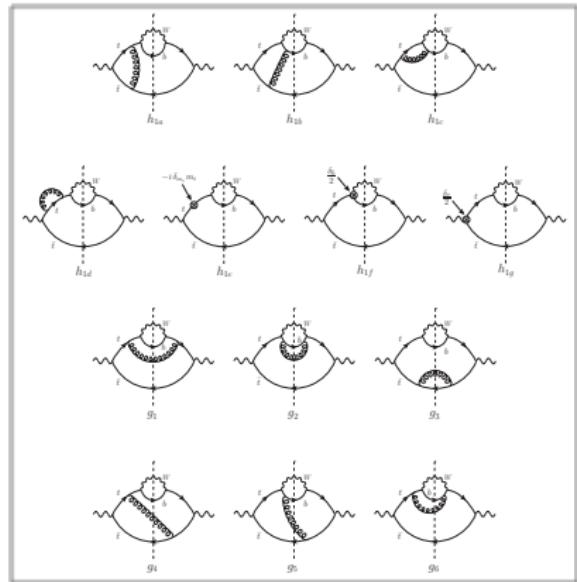
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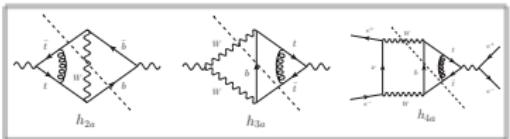
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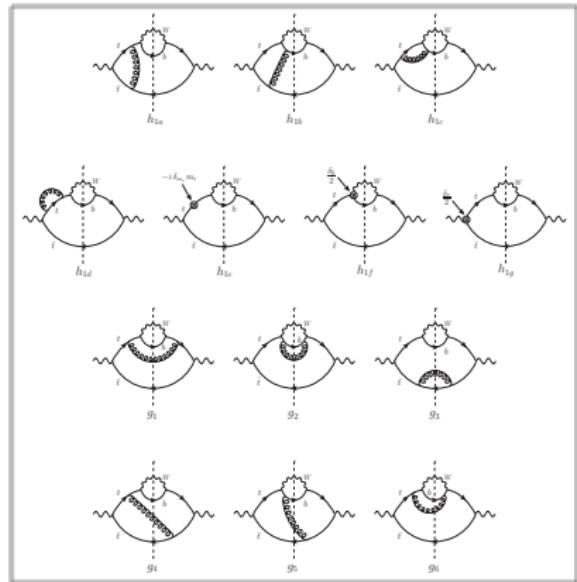
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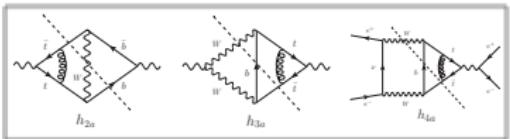
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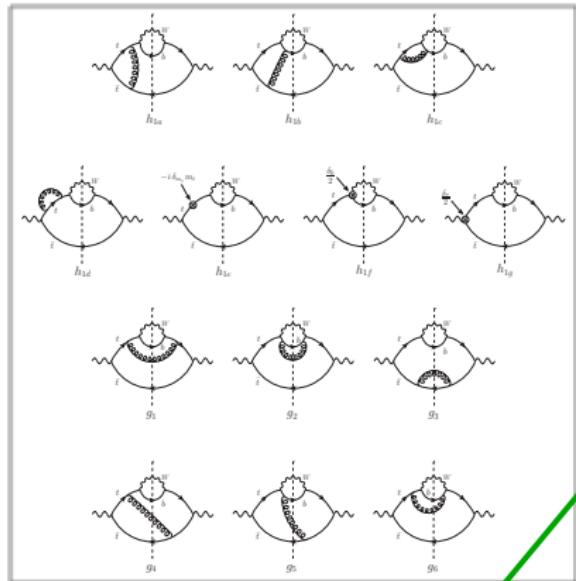
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+ O(100) endpoint finite diagrams  
(not drawn)

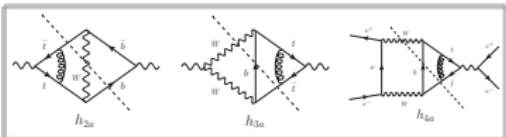
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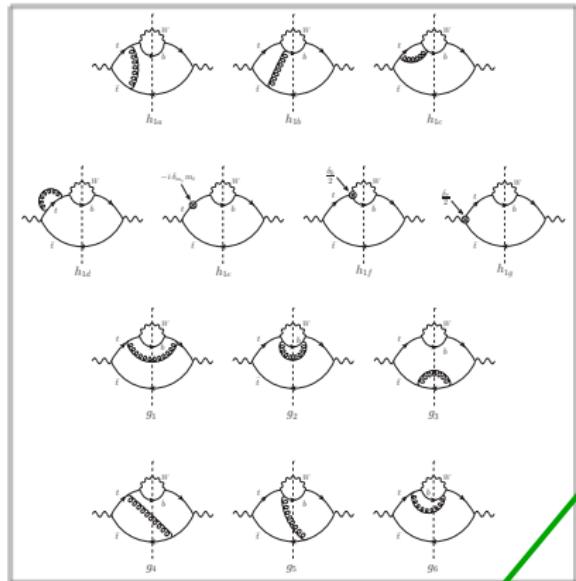
cancel with  
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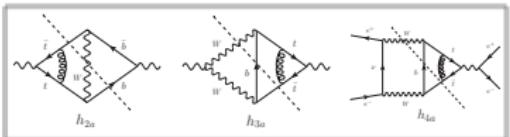
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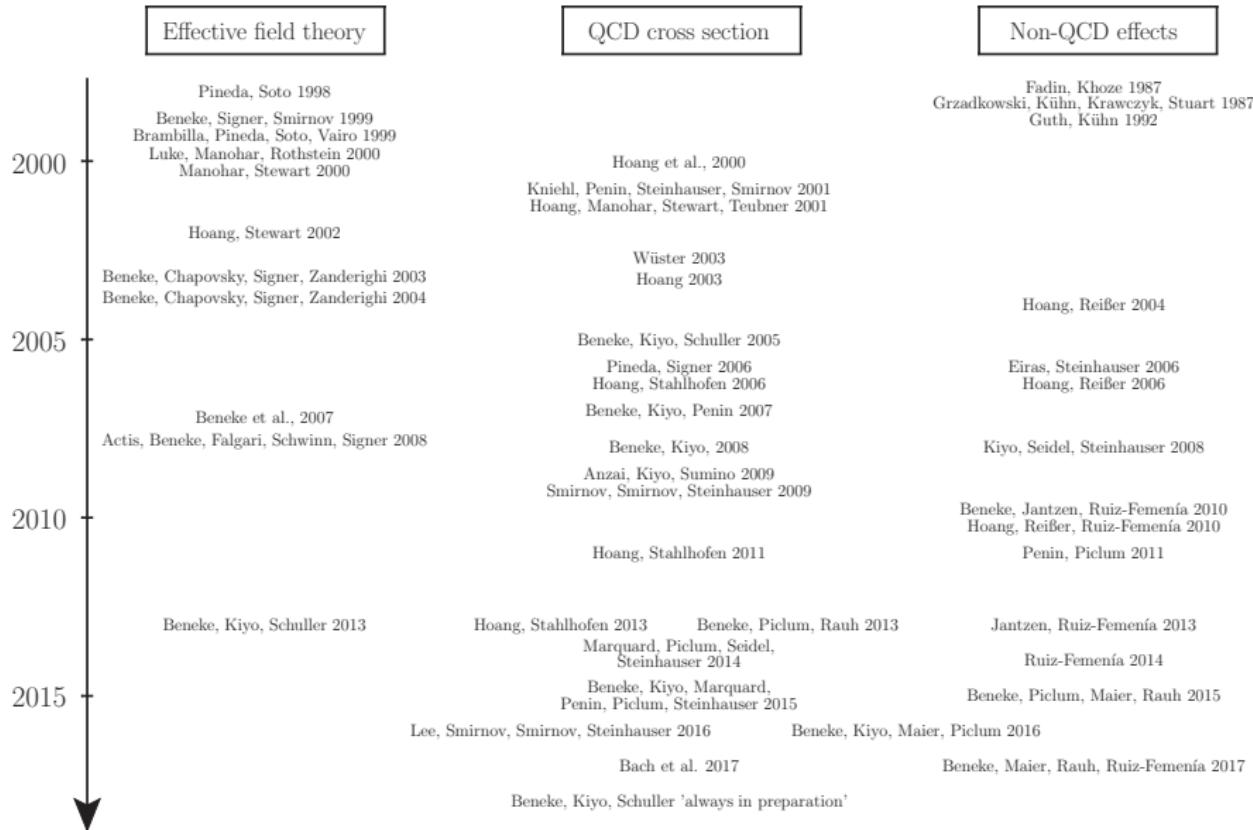
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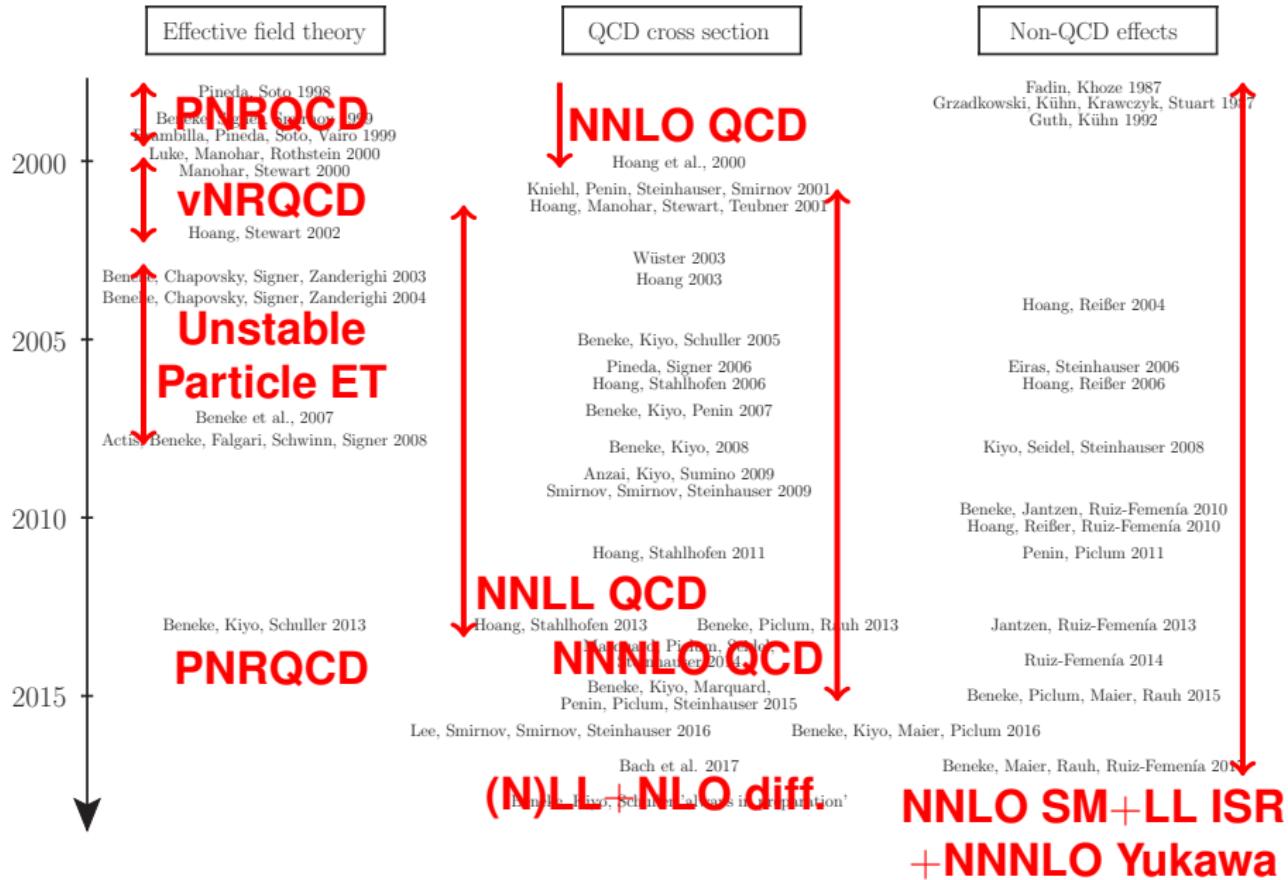
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# Work beyond NNLO QCD



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Implementation of NNNLO QCD + NNLO SM + LL ISR + NNNLO Yukawa results is available on [HEPForge](#)

- [Home](#)
- [Download](#)
- [Documentation](#)
  - [Version 2](#)
  - [Version 1](#)
- [Changelog](#)

## QQbar\_threshold

`QQbar_threshold` computes the top-quark pair production cross section near threshold in electron-positron annihilation at NNNLO in resummed non-relativistic perturbation theory [1, 2]. It includes Higgs, QED, electroweak and non-resonant corrections at various accuracies and a consistent implementation of initial-state radiation. Details can be found in

- M. Beneke, Y. Kiyo, A. Maier, and J. Piclum  
*Near-threshold production of heavy quarks with QQbar\_threshold*  
*Comput. Phys. Commun.* **209** (2016) 96–115, [arXiv:1605.03010 \[hep-ph\]](#)
- M. Beneke, A. Maier, T. Rauh, and P. Ruiz-Femenia  
*Non-resonant and electroweak NNLO correction to the  $e^+ e^-$  top anti-top threshold*  
[arXiv:1711.10429 \[hep-ph\]](#)

Please cite these (and possibly other articles, where the theoretical input was first computed) when `QQbar_threshold` is used for published work.

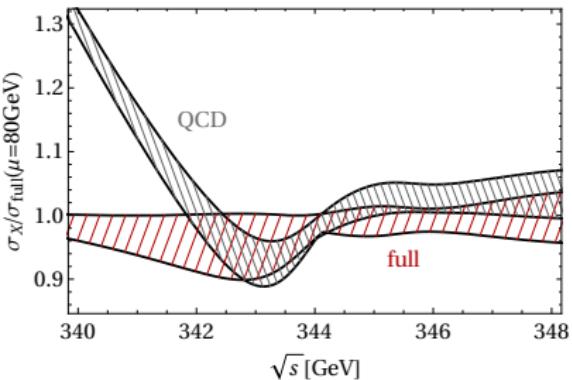
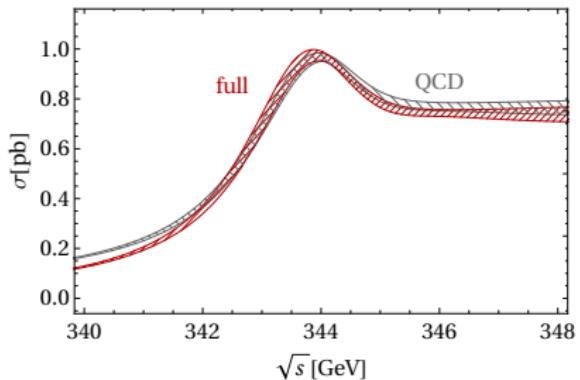
The functionality of the package can also be used to compute the bound state energies and residues of bottomonium S-wave states and high moments of the bottom production cross section at NNNLO, including the continuum (see M. Beneke, A. Maier, J. Piclum, T. Rauh, *Nucl.Phys.* **B891** (2015) 42–72, [arXiv:1411.3132 \[hep-ph\]](#)).

`QQbar_threshold` is written in C++ and Wolfram Language. It can be used as a C++ library or through a Mathematica interface.

For questions, comments, and bug reports write to [qqbarthreshold@projects.hepforge.org](mailto:qqbarthreshold@projects.hepforge.org).

# Non-QCD effects

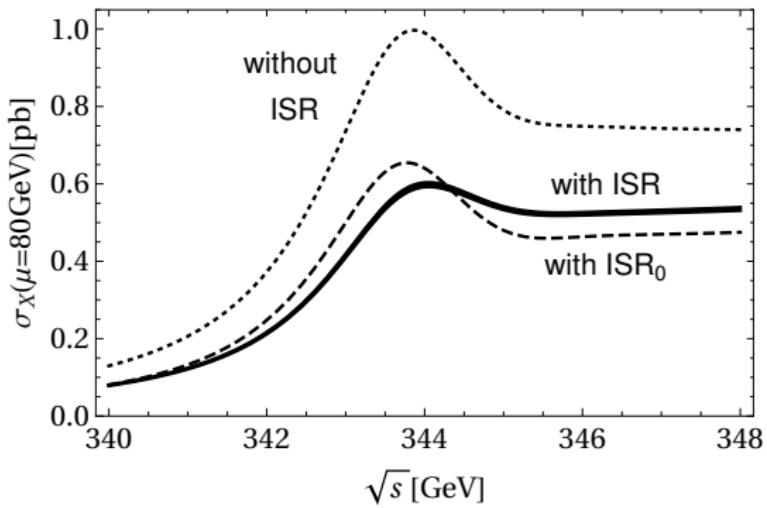
NNLO SM and NNNLO Yukawa contributions



- Uncertainty due to renormalization scale variation between 50 GeV and 350 GeV
- Effects significantly larger than QCD uncertainty
- Shape changes particularly in the important region at and below threshold

# Non-QCD effects

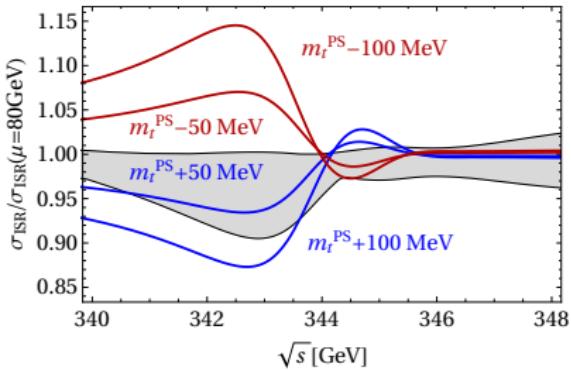
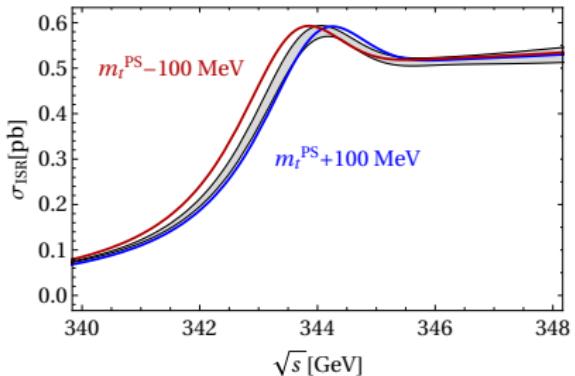
## Initial state radiation



- ISR reduces cross section by 30-45 %
- Band is envelope of different LL accurate implementations
- NLL precision is a must for a lepton collider (not just for ttbar)

# Determination of SM parameters

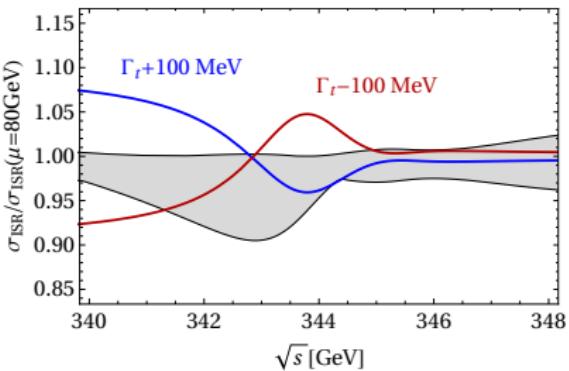
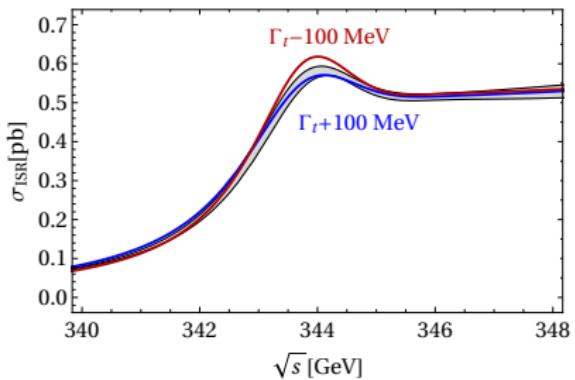
## Top-quark mass



- Estimate theory uncertainty by determining what parameter shift is needed to obtain curves outside the scale variation band
- Naive expectation:  $\delta m_t^{\text{PS}} \approx 40 \text{ MeV}$
- Full simulation: [Simon 2016]
  - theory uncertainty:  $\delta m_t^{\text{PS}} \approx 40 \text{ MeV}$
  - Statistical uncertainty (CLIC):  $\delta m_t^{\text{PS}} = 21 \text{ MeV}$

# Determination of SM parameters

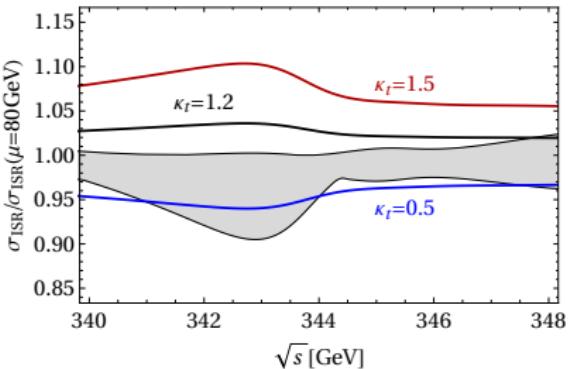
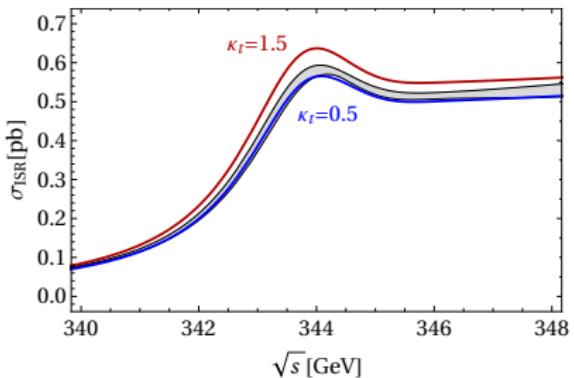
## Top-quark width



- Estimate theory uncertainty by determining what parameter shift is needed to obtain curves outside the scale variation band
- Naive expectation:  $\delta \Gamma_t \approx 60 \text{ MeV}$

# Determination of SM parameters

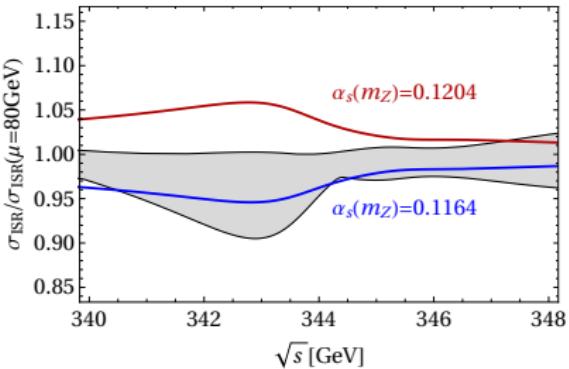
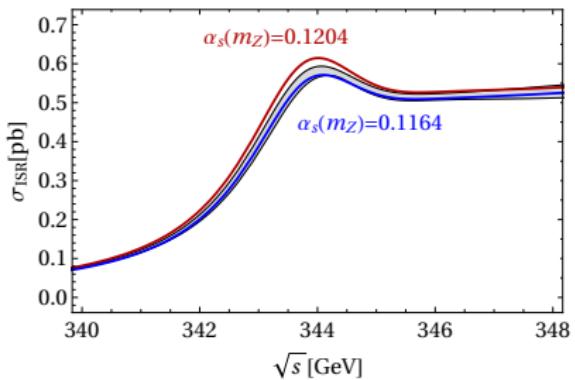
## Top-quark Yukawa coupling



- Estimate theory uncertainty by determining what parameter shift is needed to obtain curves outside the scale variation band
- Naive expectation:  $\delta \kappa_t \approx {}^{+20}_{-25} \%$

# Determination of SM parameters

## Strong coupling



- Estimate theory uncertainty by determining what parameter shift is needed to obtain curves outside the scale variation band
- Naive expectation:  $\delta\alpha_s \approx 0.0015$

## Summary

- Determination of several SM parameters possible from scan of the total  $e^+e^- \rightarrow W^+W^-bbX$  cross section near the top threshold
- NNNLO QCD + NNLO SM + LL ISR + NNNLO Yukawa prediction known and available in QQbar\_Threshold
- Theoretical uncertainty of 2-5% (energy-dependent)
- Expected theoretical uncertainties for parameters:

$$\begin{array}{ll} \delta m_t^{\text{PS}} \approx 40 \text{ MeV} & \delta \Gamma_t \approx 60 \text{ MeV} \\ \delta \kappa_t \approx {}^{+20}_{-25} \% & \delta \alpha_s \approx 0.0015 \end{array}$$

# Power counting

$$\alpha_{\text{EW}} \sim \alpha_t \equiv \frac{\lambda_t^2}{4\pi} \sim \alpha_s^2 \sim v^2,$$

$$\sigma_{\text{QCD only}} \sim \alpha_{\text{EW}}^2 v \sum_{k=0}^{\infty} \left( \frac{\alpha_s}{v} \right)^k \times \begin{cases} 1 & \text{LO} \\ \alpha_s, v & \text{NLO} \\ \alpha_s^2, \alpha_s v, v^2 & \text{NNLO} \\ \alpha_s^3, \alpha_s^2 v, \alpha_s v^2, v^3 & \text{NNNLO} \end{cases}$$

$$\sigma \sim \alpha_{\text{EW}}^2 v \sum_{k=0}^{\infty} \left( \frac{\alpha_s}{v} \right)^k \times \begin{cases} \frac{\alpha_{\text{em}}}{v} & \text{NLO} \\ \left( \frac{\alpha_{\text{em}}}{v} \right)^2, \frac{\alpha_{\text{em}}}{v} \times \{\alpha_s, v\}, \alpha_{\text{EW}}, \sqrt{\alpha_{\text{EW}} \alpha_t}, \alpha_t & \text{NNLO} \\ \left( \frac{\alpha_{\text{em}}}{v} \right)^3, \left( \frac{\alpha_{\text{em}}}{v} \right)^2 \times \{\alpha_s, v\}, \frac{\alpha_{\text{em}}}{v} \times \{\alpha_s^2, \alpha_s v, v^2, \sqrt{\alpha_{\text{EW}} \alpha_t}\}, \\ \alpha_t \times \left\{ \frac{\alpha_{\text{em}}}{v}, \alpha_s, v \right\}, \dots & \text{NNNLO} \end{cases}$$

$$+ \alpha_{\text{EW}}^2 \times \begin{cases} \alpha_{\text{EW}} & \text{NLO} \\ \alpha_{\text{EW}} \alpha_s & \text{NNLO} \\ \dots & \text{NNNLO} \end{cases}$$

# Organization of the calculation

Split cross section into three separately finite parts (I), (II) and (III):

$$\sigma^{\text{NNLO}} = \underbrace{\left[ \sigma_{\text{sq}} + \sigma_{\text{res, rest}} \right]}_{(I)} + \underbrace{\left[ \sigma_{\text{int}}^{(\text{EP div})} + \sigma_{C_{\text{Abs,bare}}^{(k)}} \right]}_{(II)} + \underbrace{\left[ \sigma_{\text{int}}^{(\text{EP fin})} + \sigma_{\text{aut}} \right]}_{(III)}.$$

- (I): computational scheme for 'squared contribution' fixed by existing QCD results (Dim reg with NDR for  $\gamma^5$ )
- (II): Use freedom of scheme choice to simplify calculation (some parts done in four dimensions)
- (III): Endpoint finite part of 'interference contribution' must be computed consistent with MadGraph

# Divergence structure

		UV finite	IR finite	EP finite
(I)	$\sigma_{\text{sq}}$	✓	✓	✓
	$\sigma_{\text{sq}}^{(h_1a, \dots, h_1g)}$	✓	✓	-
	$\sigma_{\text{sq}}^{(g_1, \dots, g_6)}$	✓	-	-
	$\sigma_{\text{sq}}$	✓	-	★
	$\sigma_{\text{res, rest}}$	✓	✓	-
	$\sigma_{\text{QCD}}$	✓	✓	-
	$\sigma_{\text{P-wave}}$	✓	✓	-
	$\sigma_H$	✓	✓	✓
	$\sigma_{\delta V_{\text{QED}}}$	✓	✓	✓
	$\sigma_\Gamma$	✓	✓	-
	$\sigma_{C_{\text{EW}}^{(k)}}$	✓	✓	✓
	$\sigma_{C_t^{(k)}}$	✓	✓	-
	$\sigma_{\text{IS}}^{\text{Abs}, Z_t}$	✓	✓	✓
(II)		✓	✓	✓
	$\sigma_{\text{int}}^{(\text{EP div})}$	✓	✓	-
	$\sigma_{C_{\text{bare}}^{(k)}}$	✓	✓	-
(III)		✓	✓	✓
	$\sigma_{\text{int}}^{(\text{EP fin})}$	-	✓	✓
	$\sigma_{\text{aut}}$	-	✓	✓

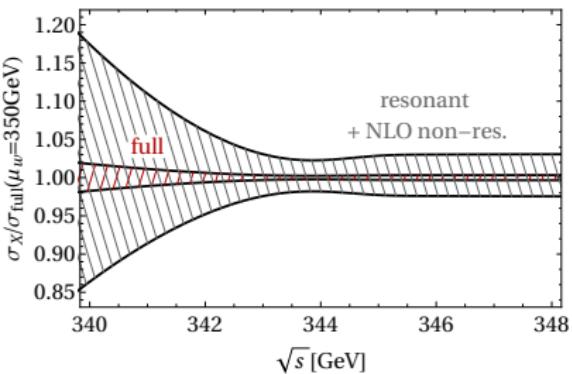
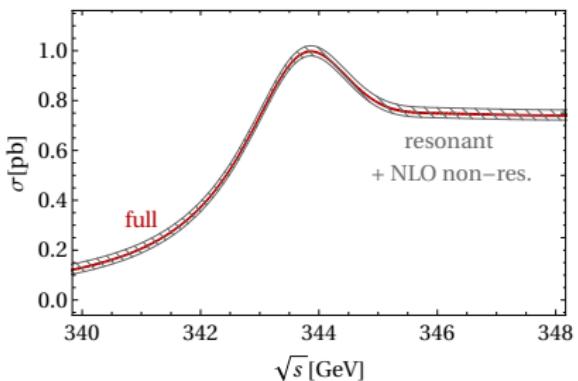
# Dependence on $\mu_w$ scale

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Regularizing width-related/endpoint divergences dimensionally splits some of the large logarithms by introducing the scale  $\mu_w$

$$\sigma_{\text{full}} \supset \ln v = \underbrace{\ln \frac{\mu_w}{m_t}}_{\subset \sigma_{\text{non-res}}} + \underbrace{\ln \frac{m_t v}{\mu_w}}_{\subset \sigma_{\text{res}}}.$$

The dependence on  $\mu_w$  cancels exactly at a given order.



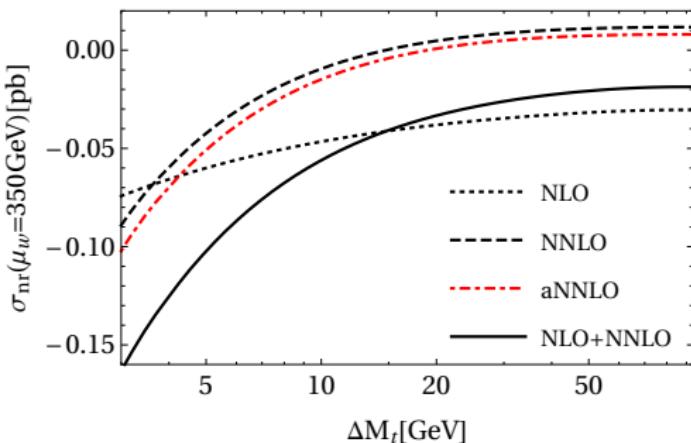
We choose a central scale of  $\mu_w = 350 \text{ GeV}$  to minimize the unknown logarithms from the NNNLO non-resonant part.

## Invariant mass cut

Consider "loose" invariant mass cuts

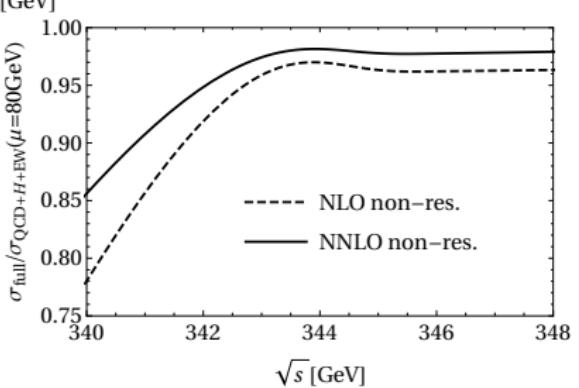
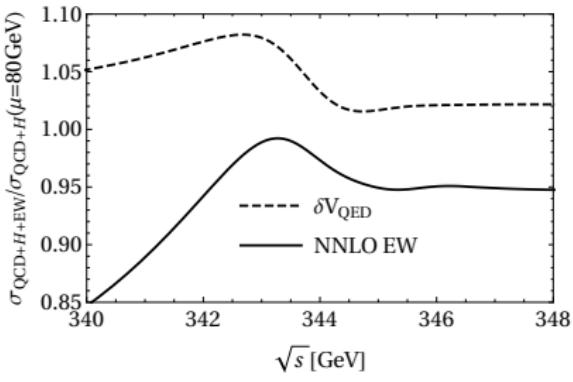
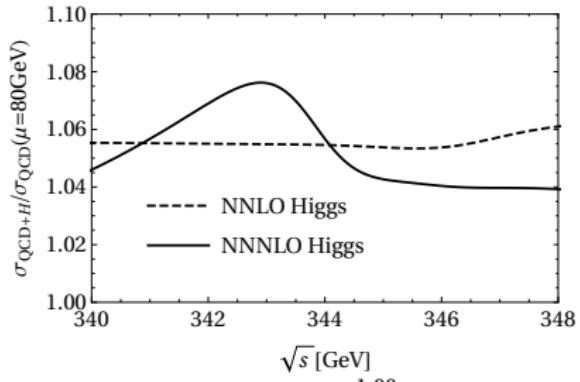
$$(m_t - \Delta M_t)^2 \leq p_{t,\bar{t}}^2 \leq (m_t + \Delta M_t)^2,$$

with  $\Delta M_t \gg \Gamma_t$ . Since the off-shellness in the resonant part is parametrically of the order  $\Gamma_t$  they only affect the non-resonant part:



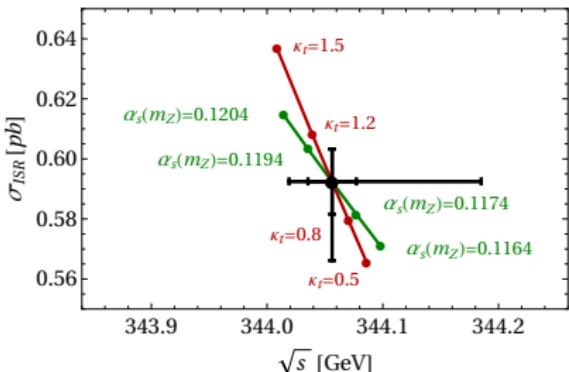
# Non-QCD effects

## Individual contributions



# Determination of SM parameters

Correlation between top Yukawa and strong coupling



Peak height and width

- Estimate theory uncertainty by determining what parameter shift is needed to obtain curves outside the scale variation band
- Naive expectation:  $\delta\kappa_t \approx_{-25}^{+20} \%$  and  $\delta\alpha_s \approx 0.0015$
- Effects from variation of Yukawa coupling and strong coupling very similar
- Need full simulation to see how well they can be disentangled