

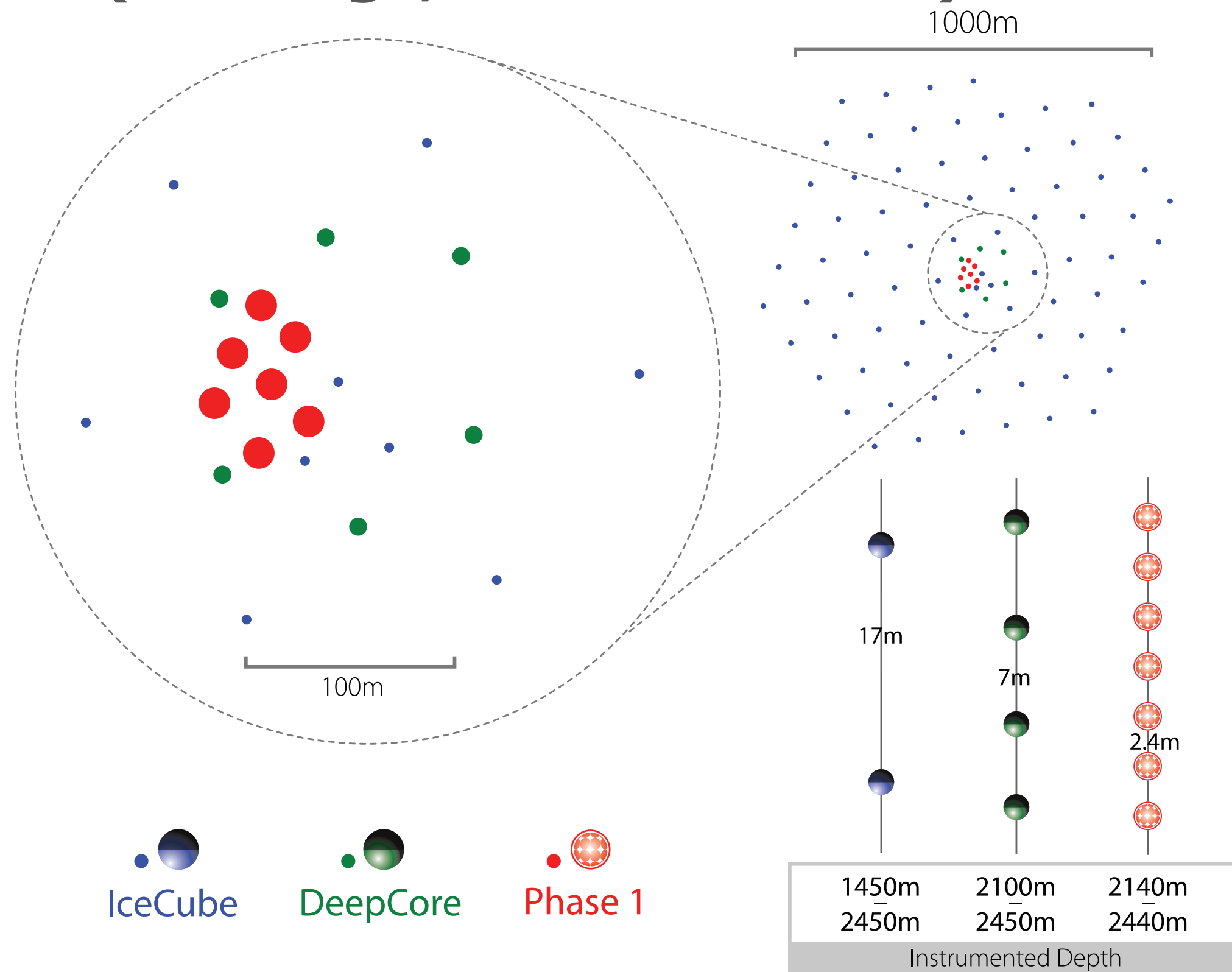
# A multi-PMT optical module for the South Pole

Alexander Kappes for the IceCube Collaboration  
NEPTUNE Workshop  
Naples, 20. July 2018

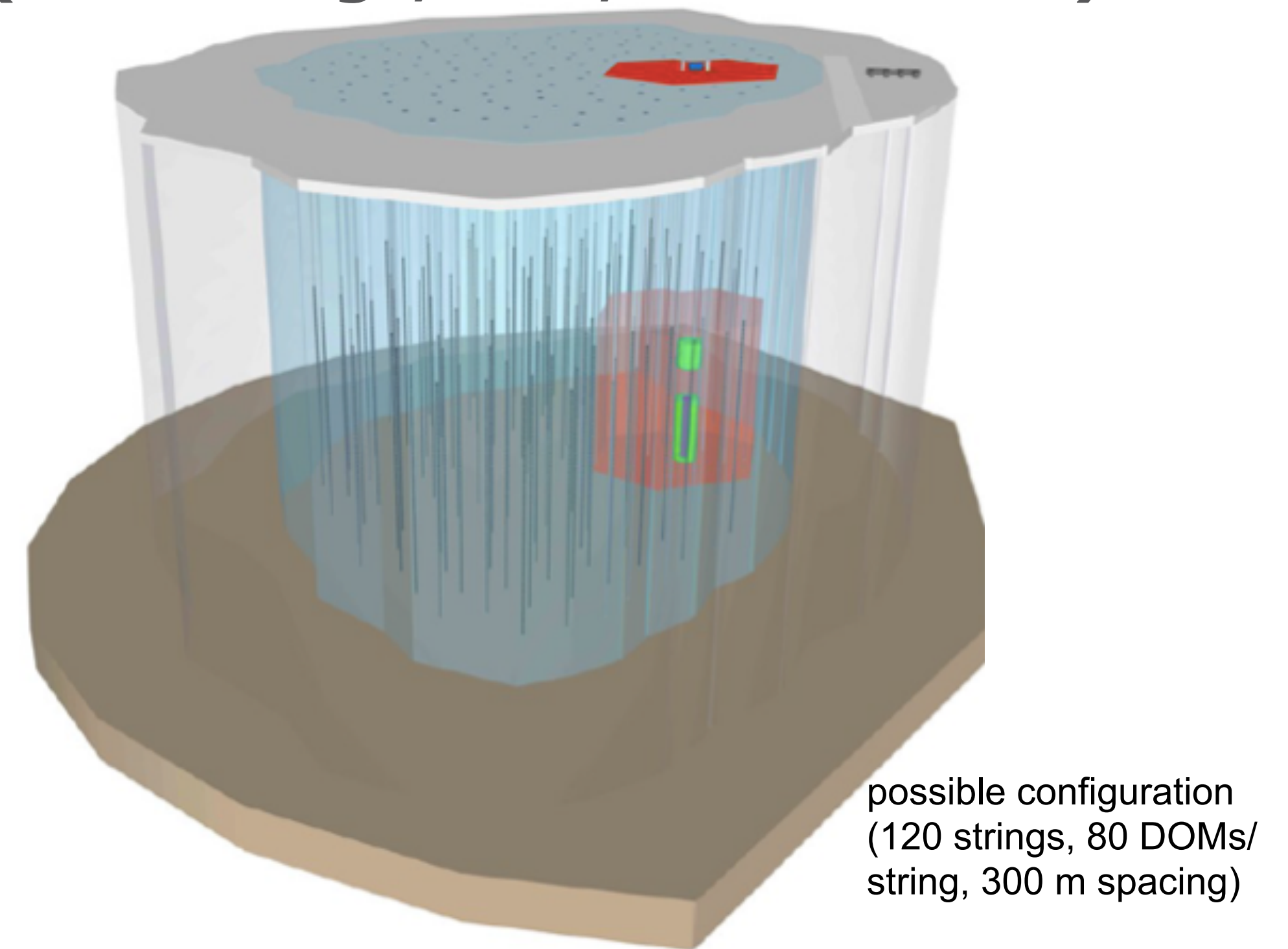


# Detector plans for the South Pole

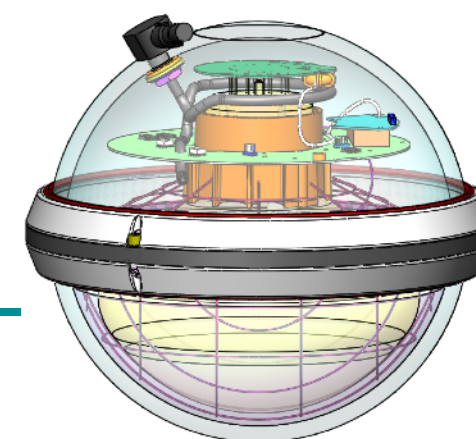
## IceCube Upgrade (7 strings, ~900 modules)



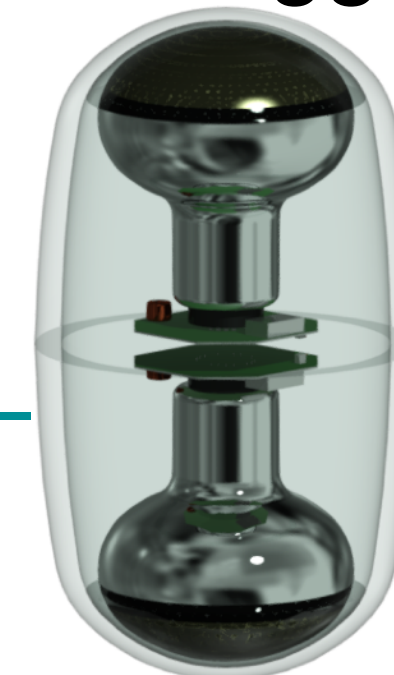
## IceCube-Gen2 (120 strings, ~10,000 modules)



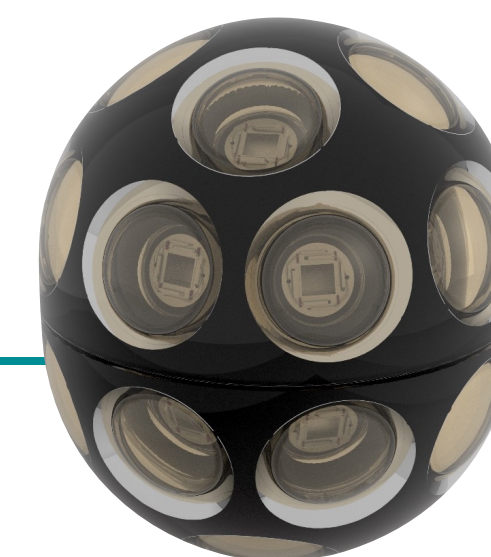
Gen2 DOM



D-Egg



mDOM



WOM





# Challenges for optical modules at the South Pole

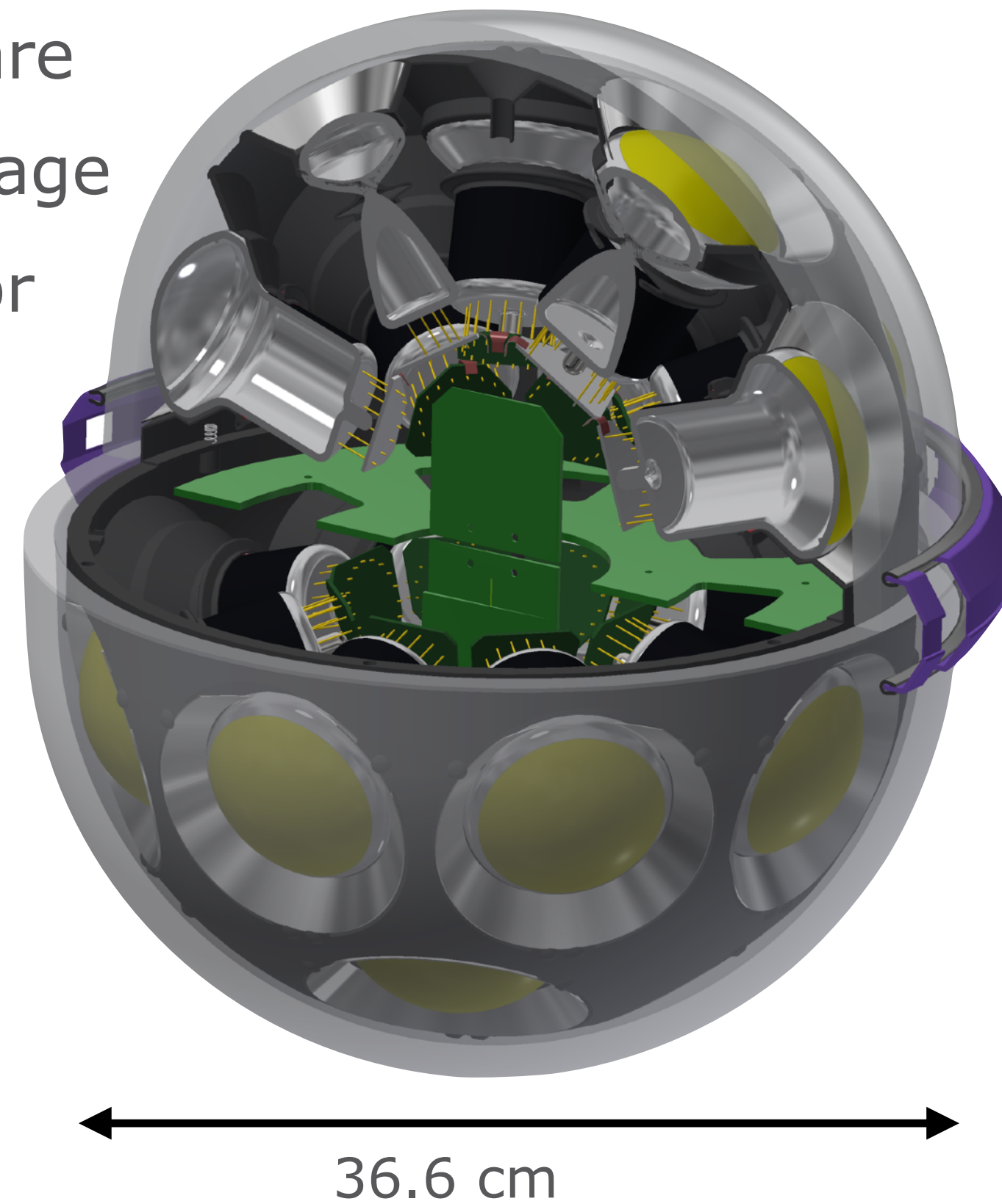
- Have to withstand up to 700 bar pressure during freeze-in
- Have to operate at  $-40^{\circ}\text{C}$
- Tight space constraints inside module  
(outer diameter limited to  $< 14''$  by max. bore hole diameter)
- Tight power constraints ( $< 3\text{--}4$  W per module)
- Limited data bandwidth (copper cables for data transfer)
- High reliability over  $>10$  years (no repairs possible)



## mDOM baseline option for IceCube-Upgrade

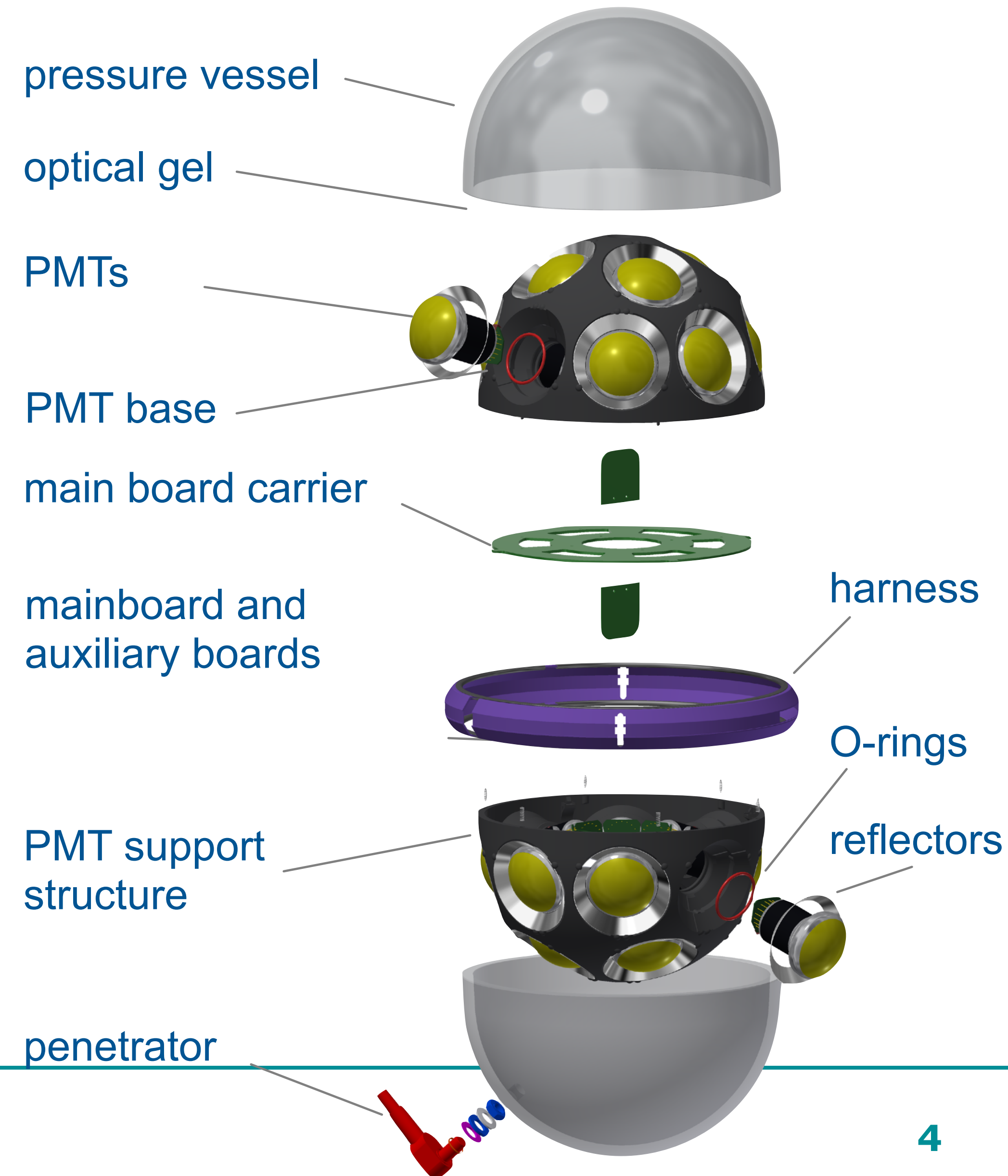
### Advantages of multi-PMT optical modules

- increased photocathode area
- uniform solid angle coverage
- local coincidences, e.g. for background suppression
- information on photon arrival direction
- improved photon counting



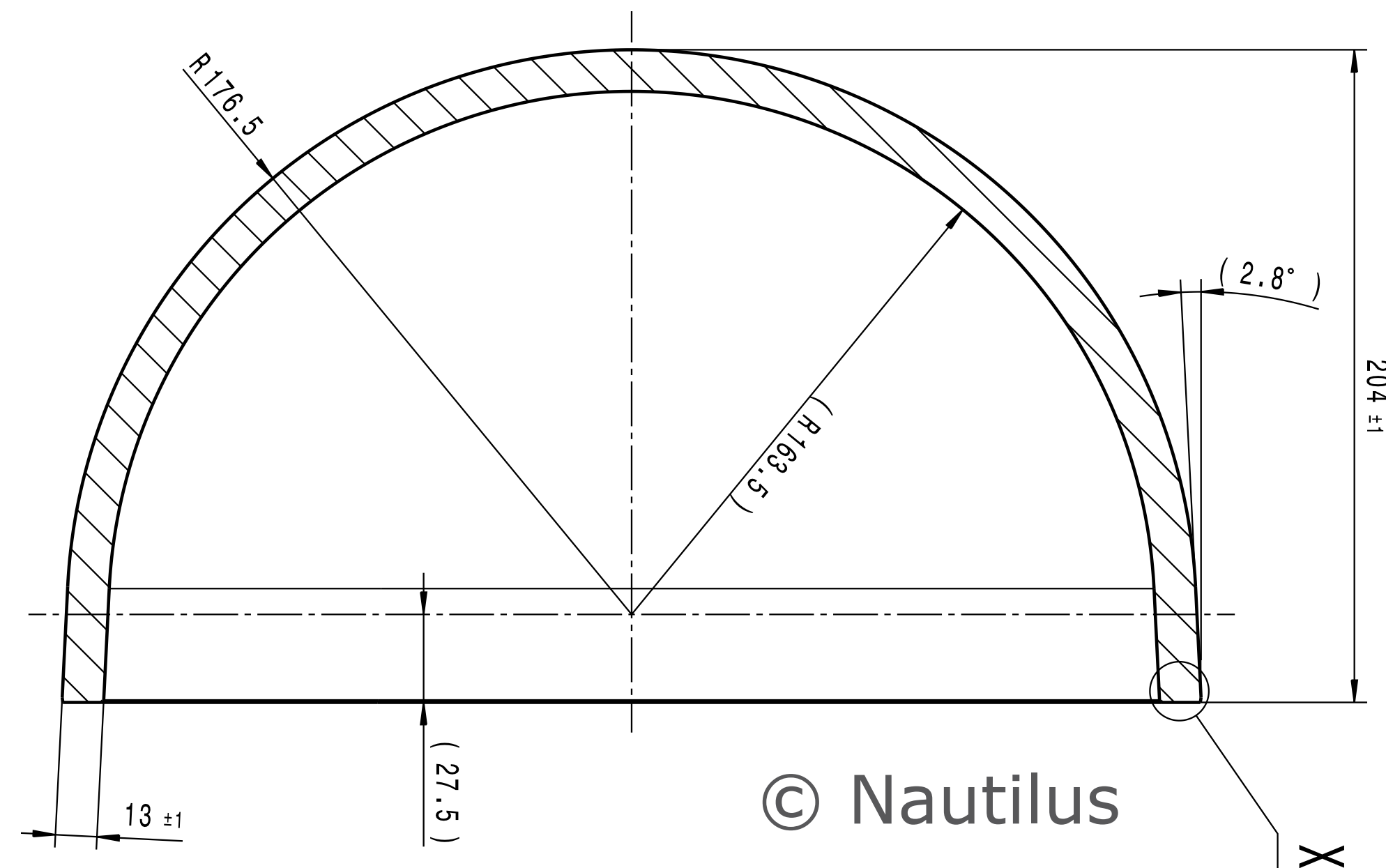
41.1 cm

## mDOM overview

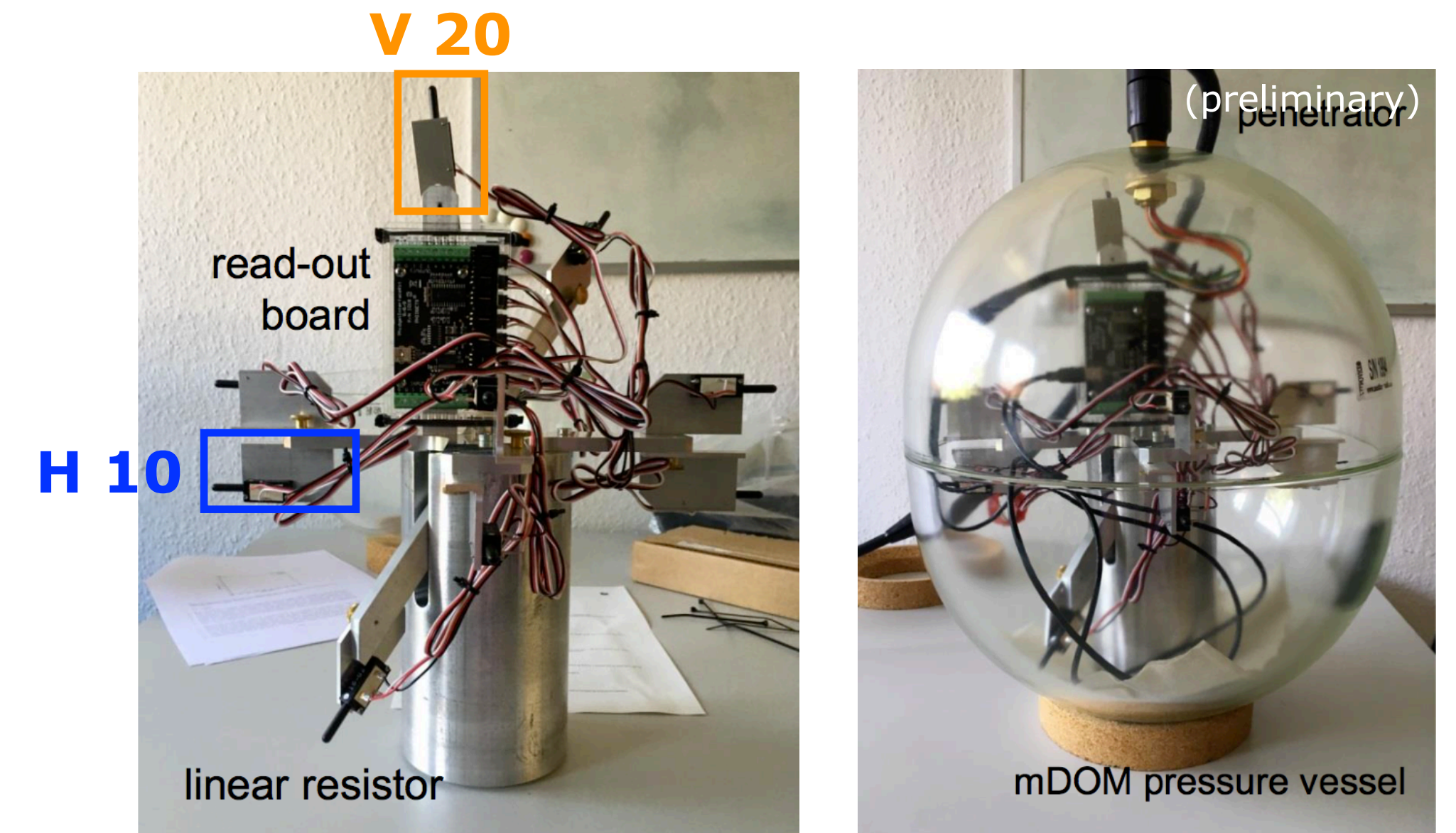
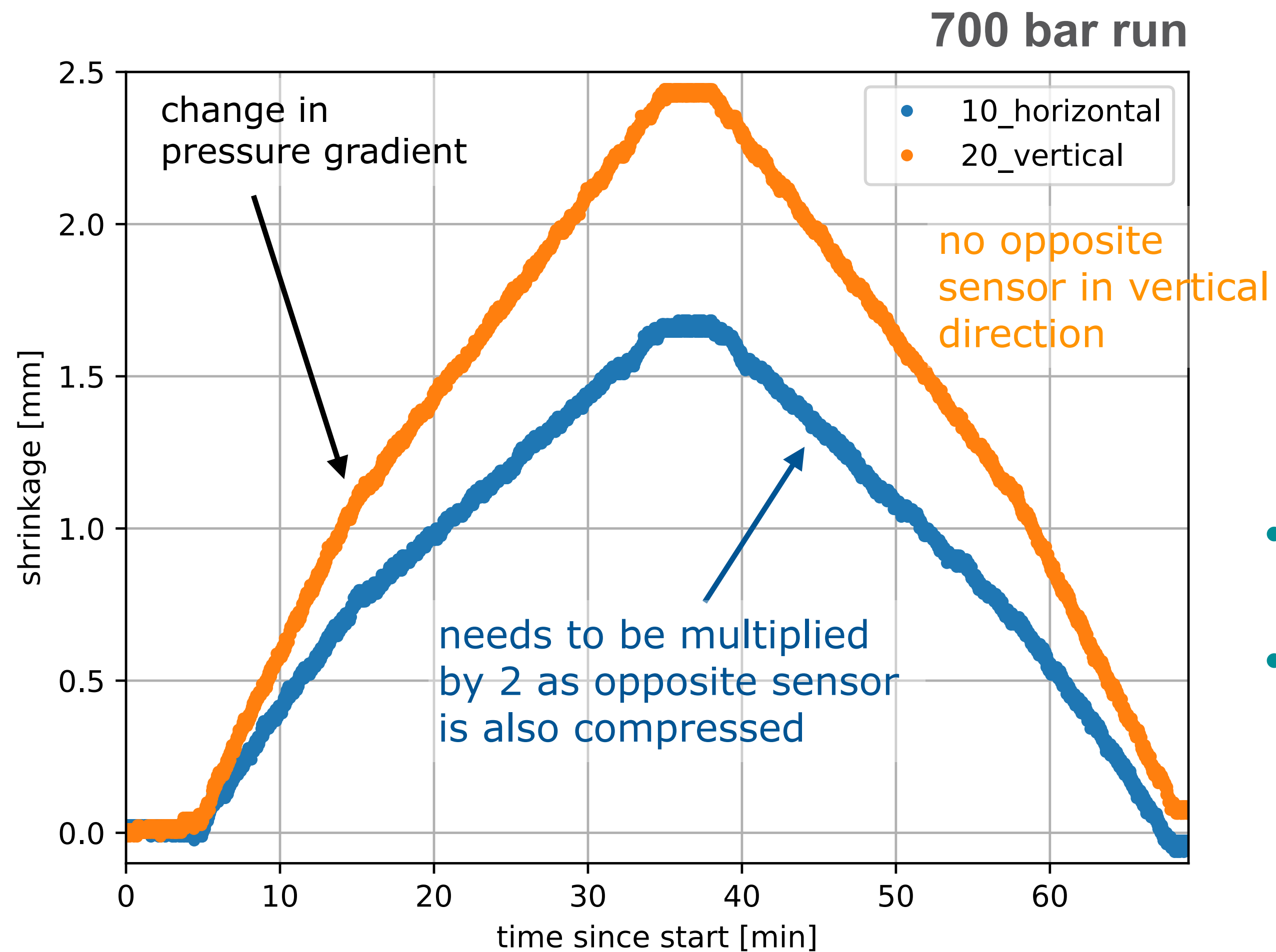




- Two spherical half vessels with 14" diameter and 27.5mm cylindrical extension at equator
  - Glass type: borosilicate glass (total weight 13 kg)
  - Glass thickness: 14 mm
- Developed and manufactured by Nautilus







- Deformation is reversible and follows external pressure linearly
- Maximal deformation agrees well with calculations using Lamé formula at 700 bar
  - spherical vessel: 2.0 mm on diameter expected → 2.5 mm measured
  - cylindrical vessel: 4.6 mm on diameter expected → 3.2 mm measured



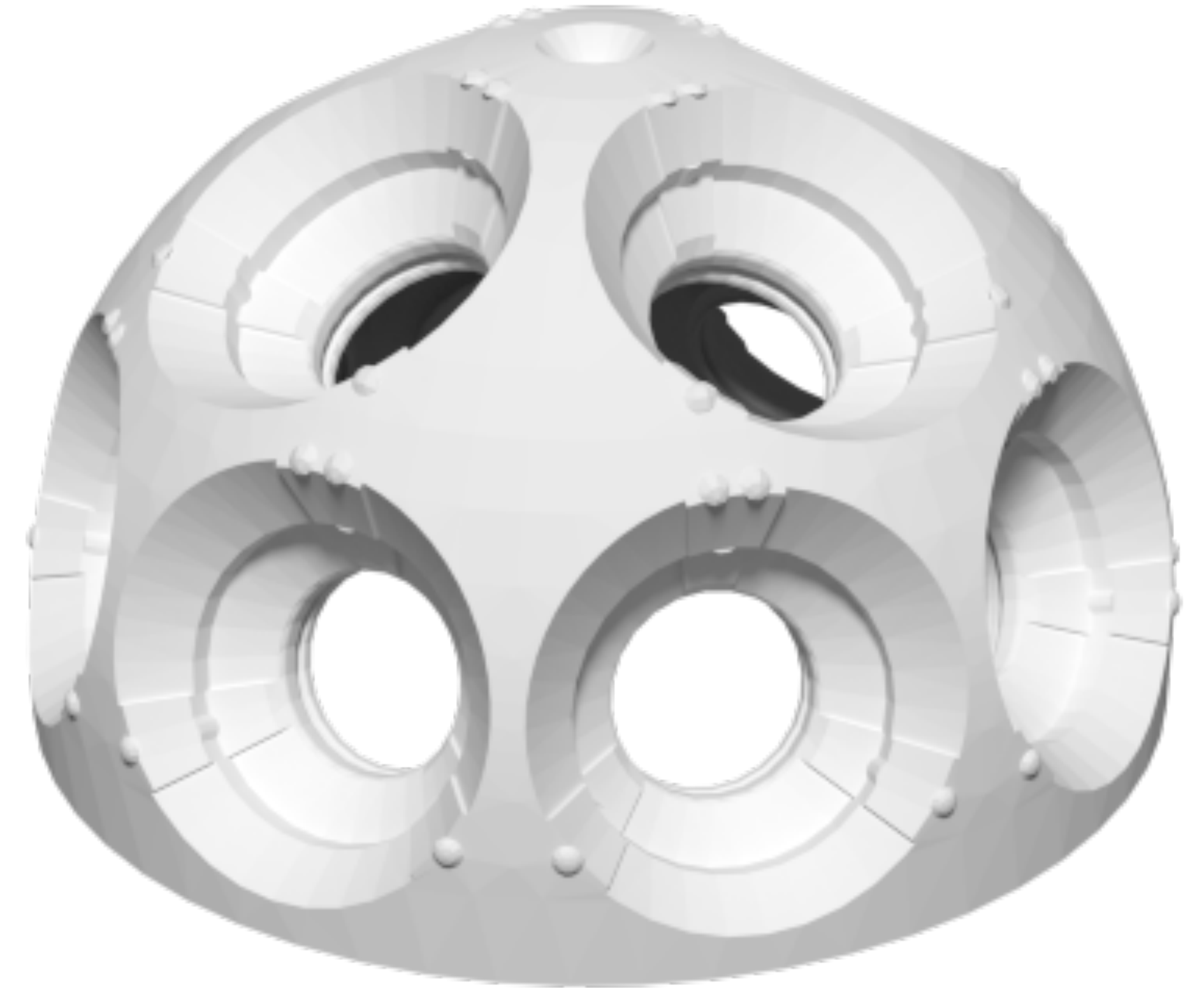
# PMT support structure (I)

Currently 3d printed from polyamide via laser sintering

- Advantages
  - allows realization of complex structures
  - modifications possible on short timescales
- Disadvantages
  - expensive in mass production ( $\sim 400$  EUR per half)
  - long production time ( $\sim 2$  days including cooling)  
→ production capacity sufficient?

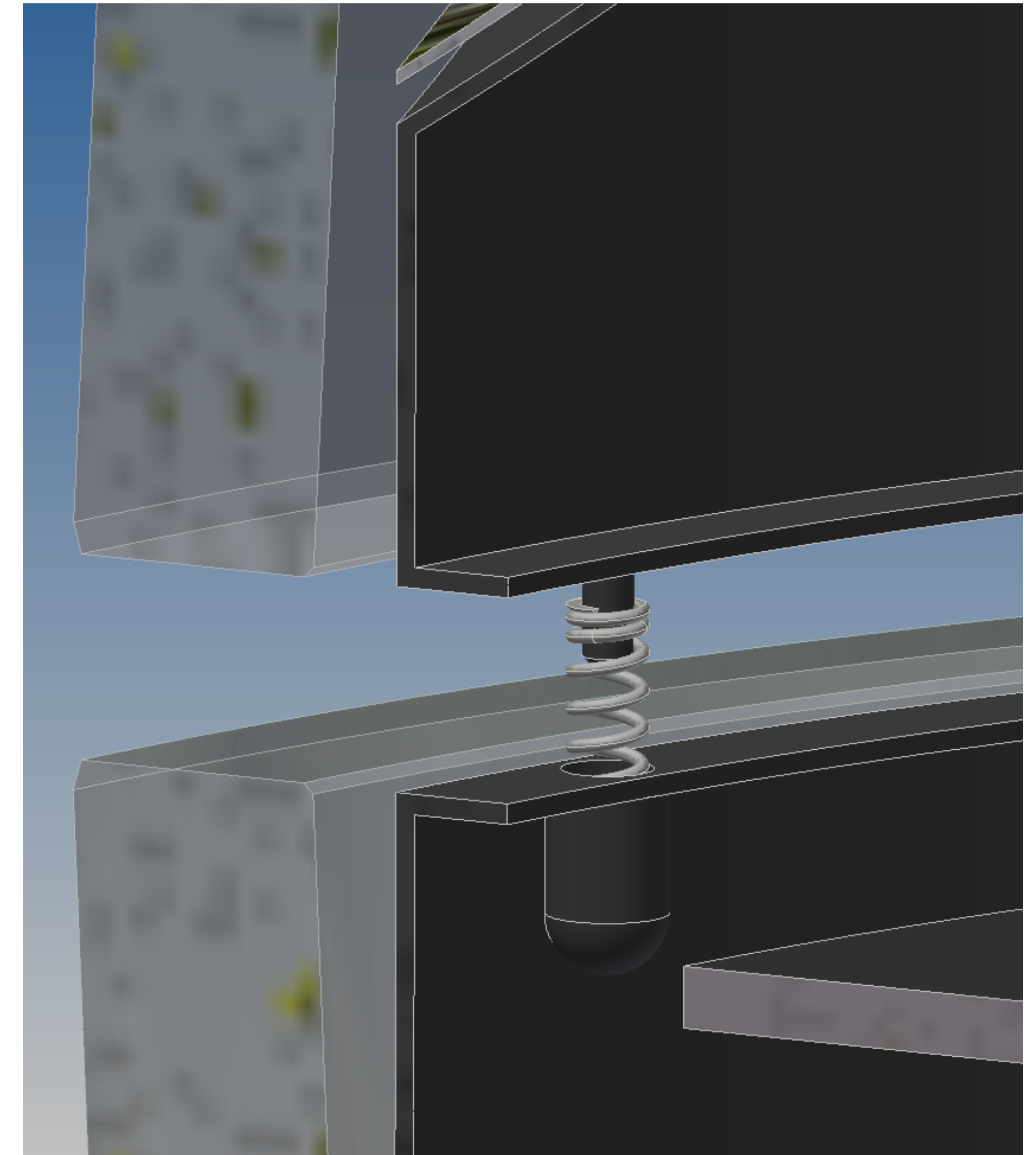
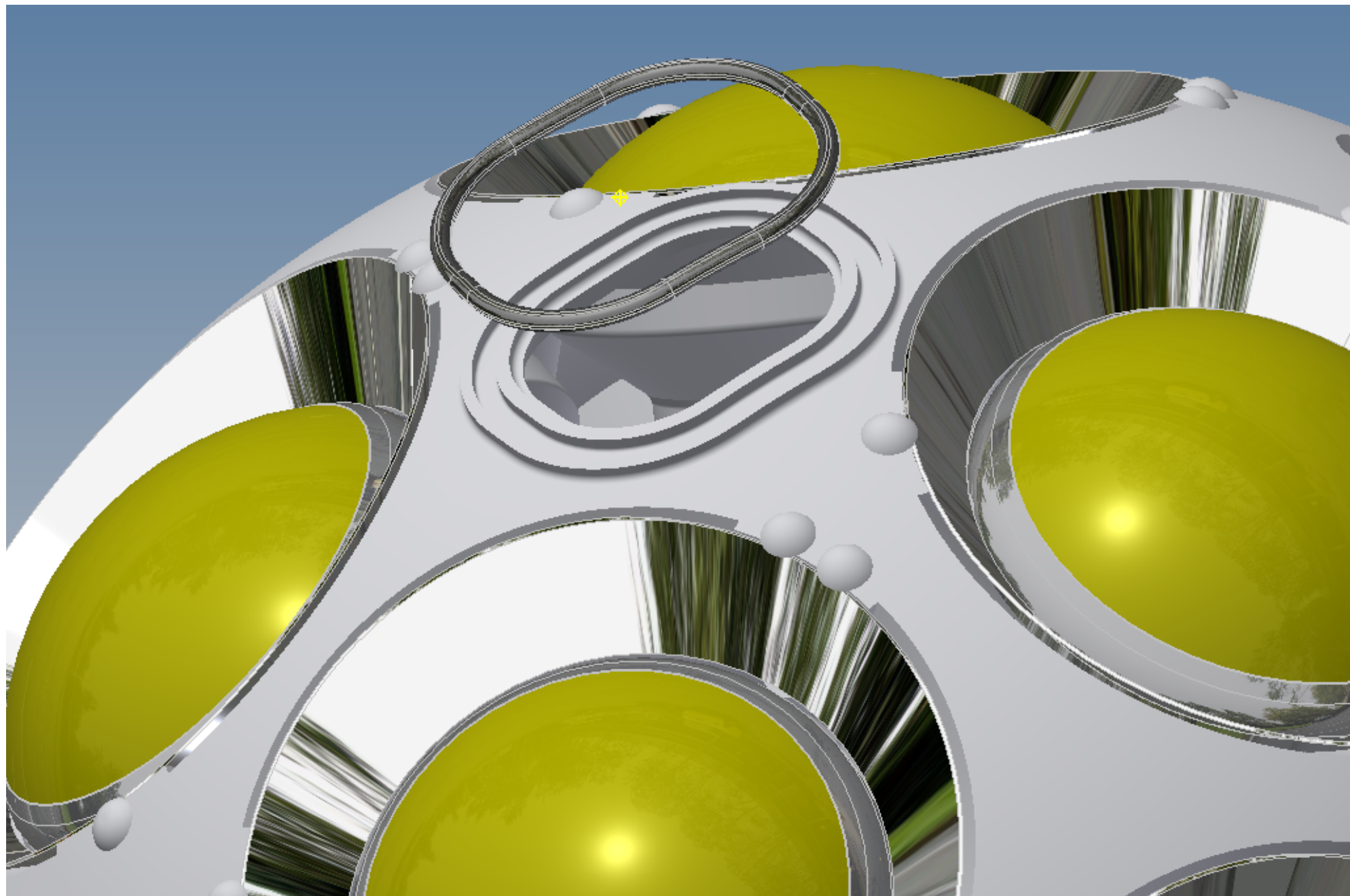
Alternative: Injection molding

- Disadvantages
  - half-sphere structure and PMT cups have to be produced separately and assembled afterwards
  - price for tools high ( $\sim 70$  kEUR)
- Advantages
  - Low price for large quantities
  - Much higher production capacity



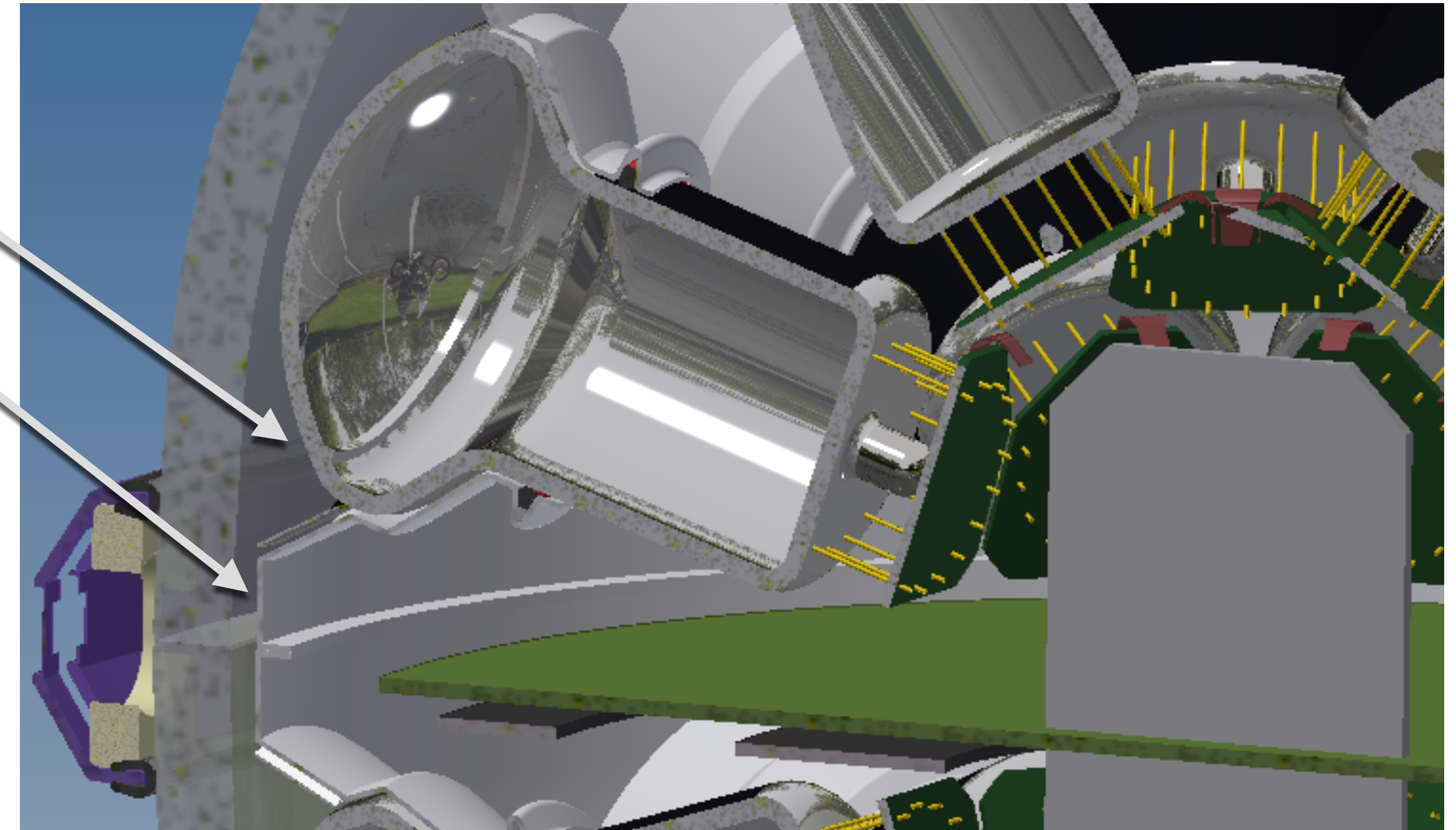
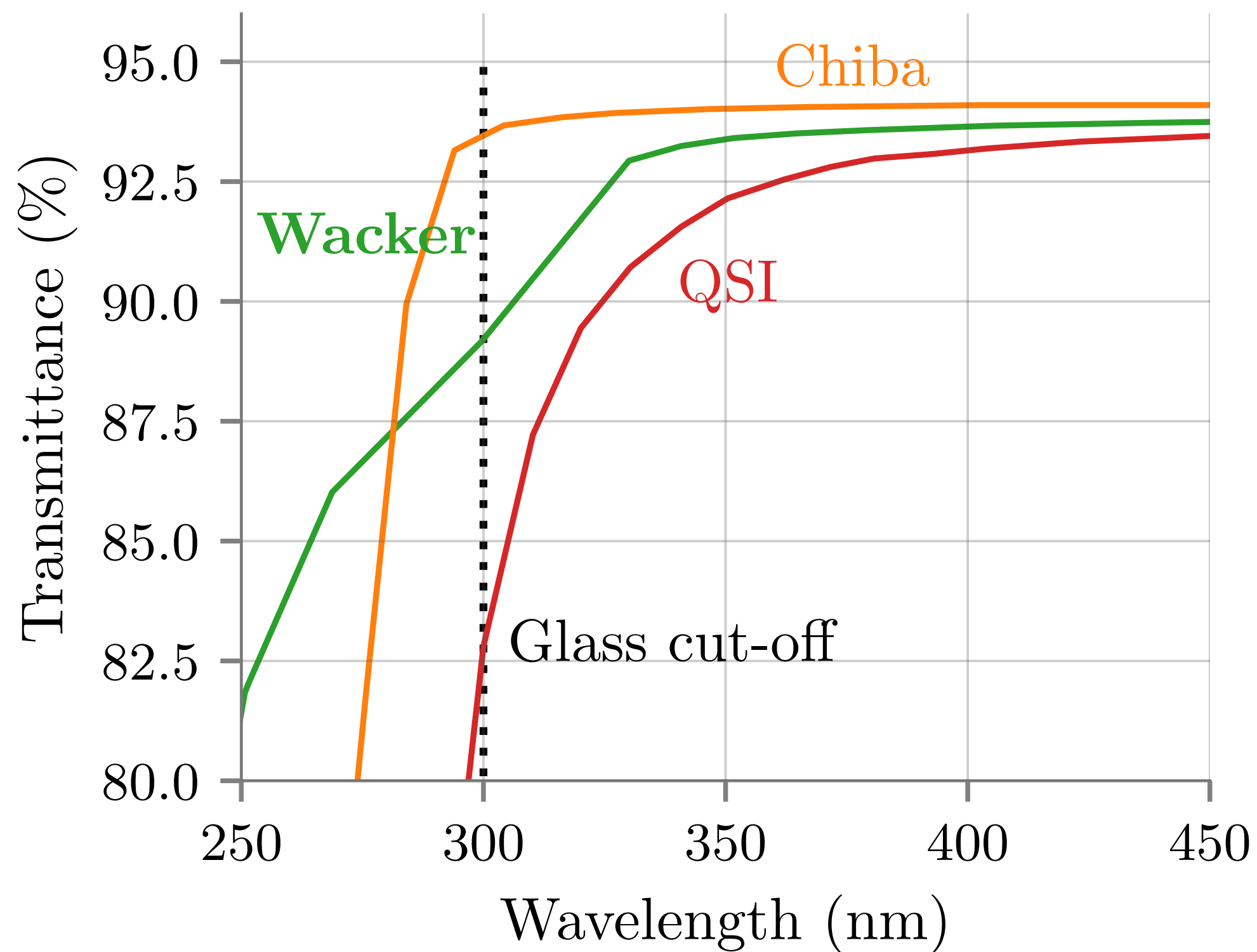
## PMT support structure (II)

- Weight of upper PMTs pulls on PMT support structure  
→ spring mechanisms to counteract gel detachment
- Hole for penetrator needs to be sealed against optical gel  
→ O-ring on support structure surface (needs to be tested)





- Fills gap between PMT support structure / PMTs and pressure vessel
- Transmission properties vary significantly between brands

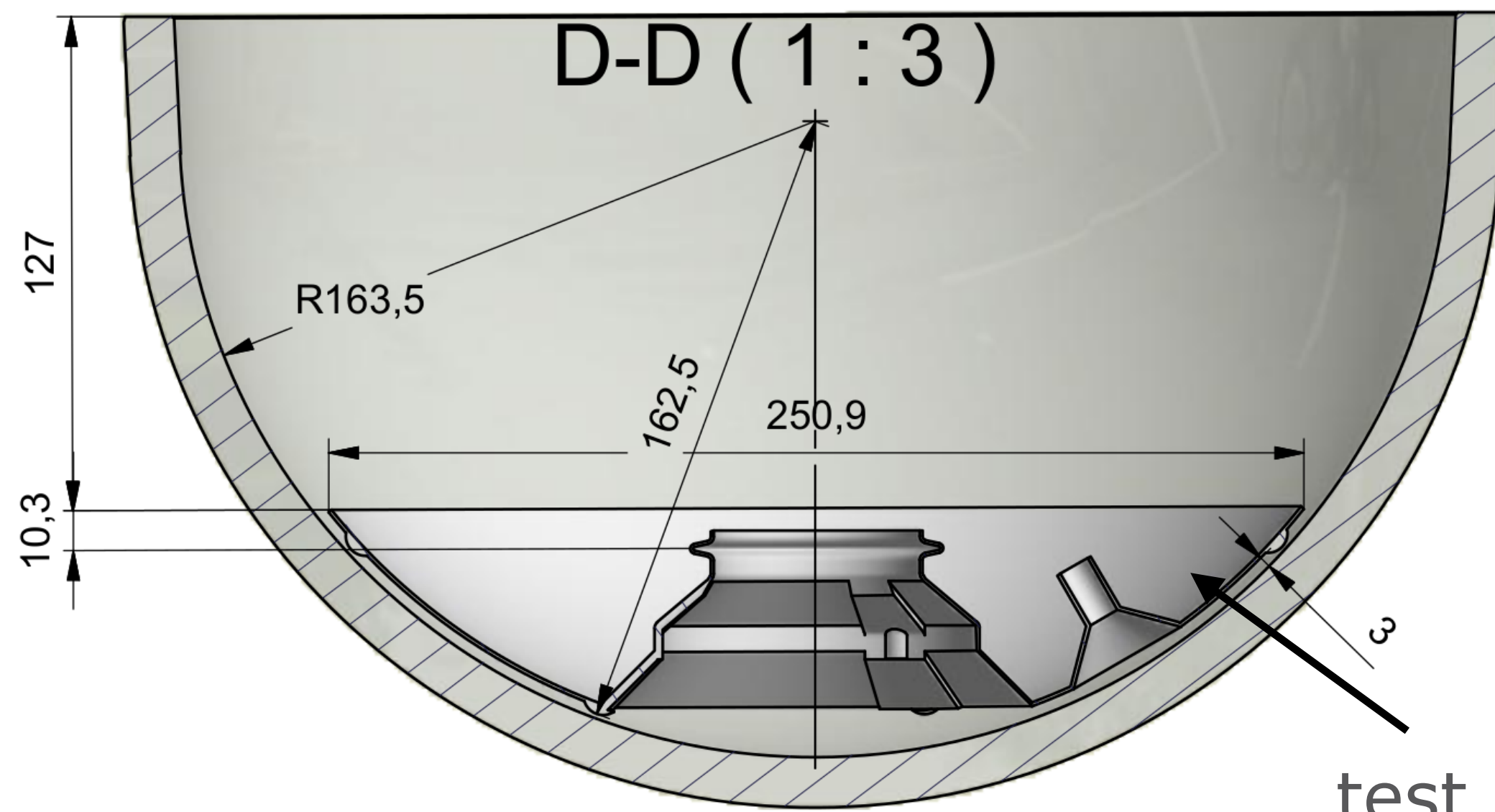


- Initially Wacker SilGel 612 → crystallizes at  $-45^{\circ}\text{C}$  into a hard and opaque state
- Switched to QGel 900 from QSI (gel used in IceCube DOMs)



# Optical gel – detachment tests

- Upper half of PMT support structure pulls with about 1.5 kg (PMTs + bases) at gel (part of force will be compensated by springs between vessel halves)
  - pulling with 2 kg at test support structure over several days shows no indication of detachment
  - tests with closed vessel under pressure will follow





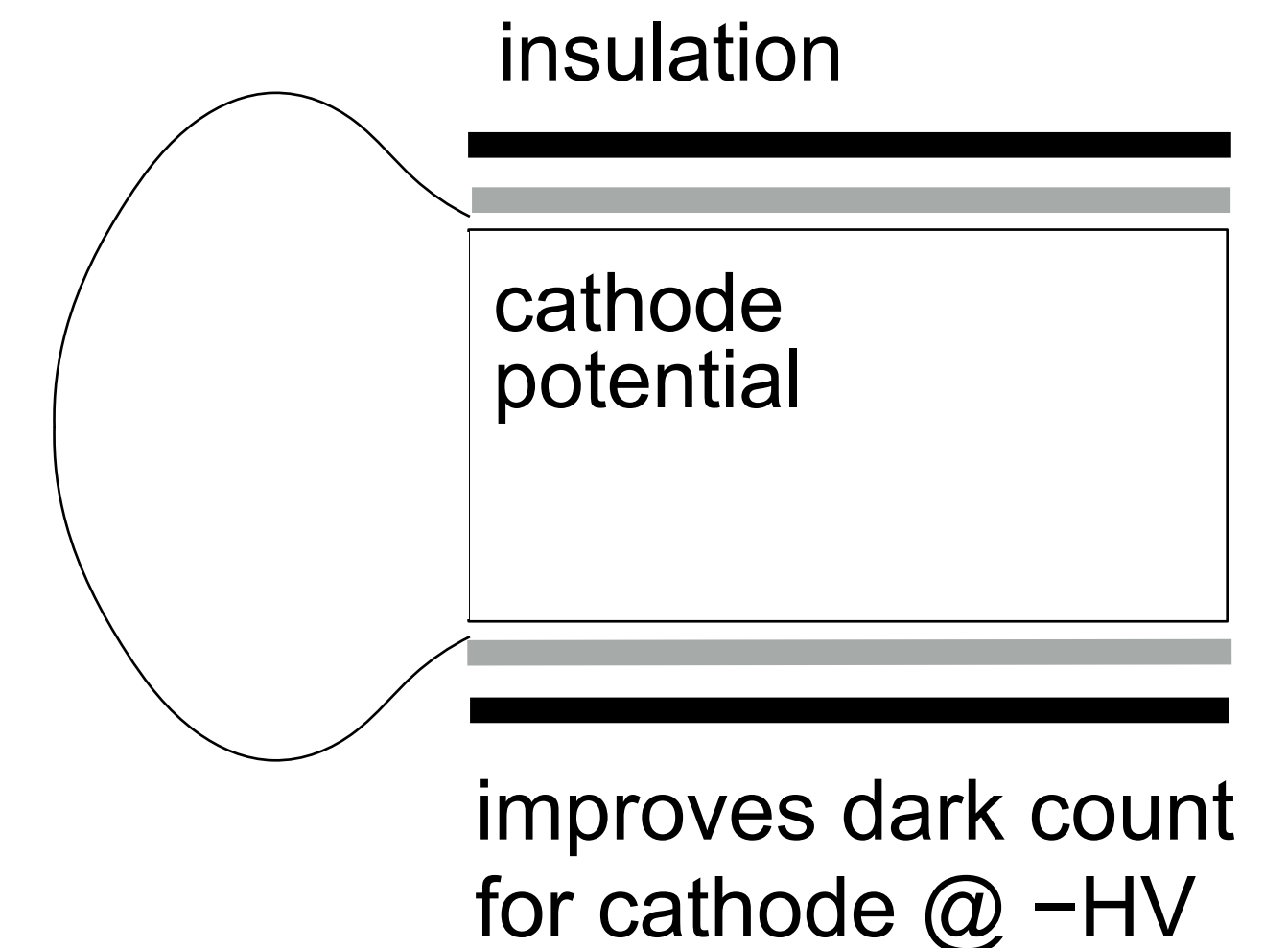
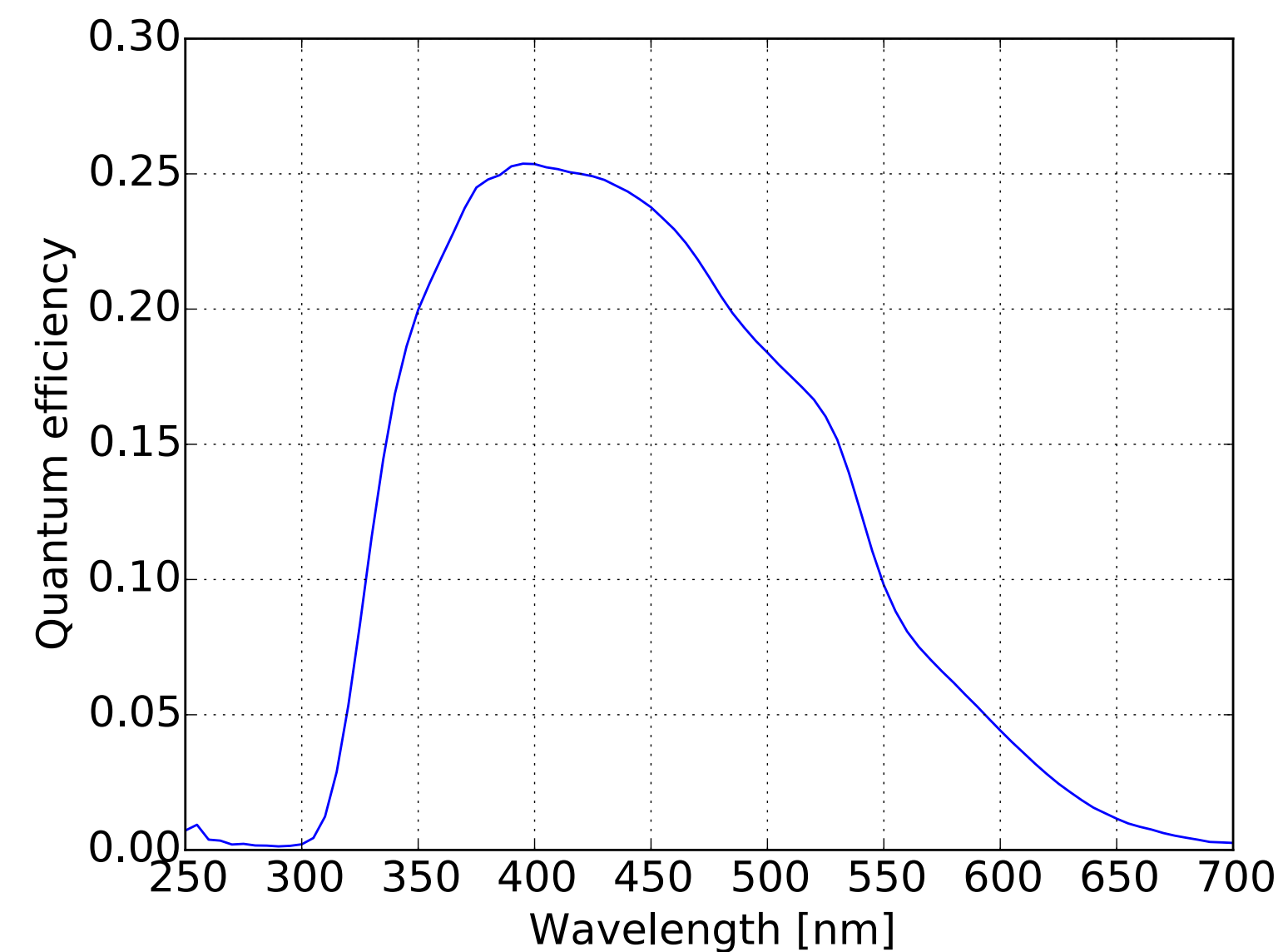
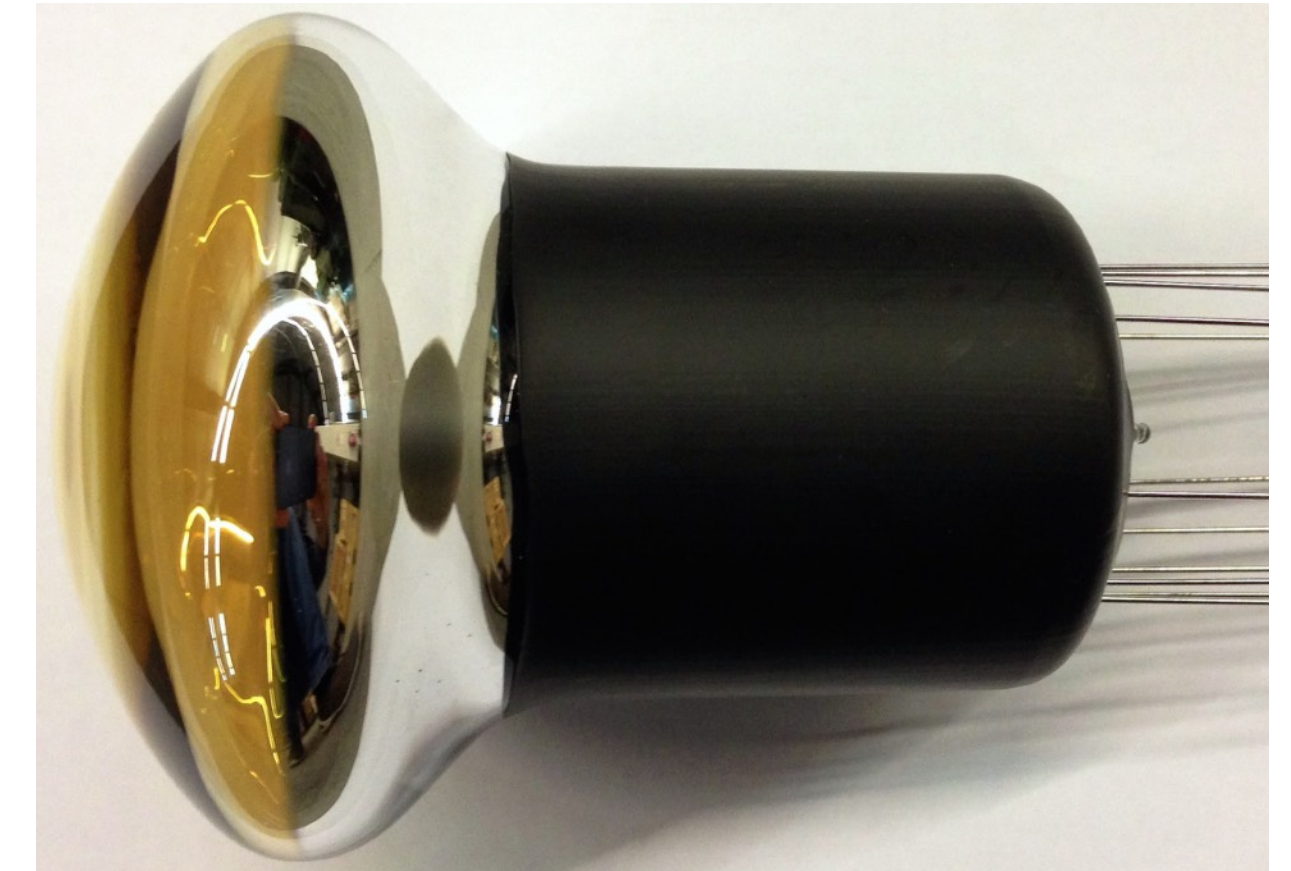
# Photomultiplier

Plan to operate with negative HV at photocathode

For prototype development: Hamamatsu 12199-02 HA MOD

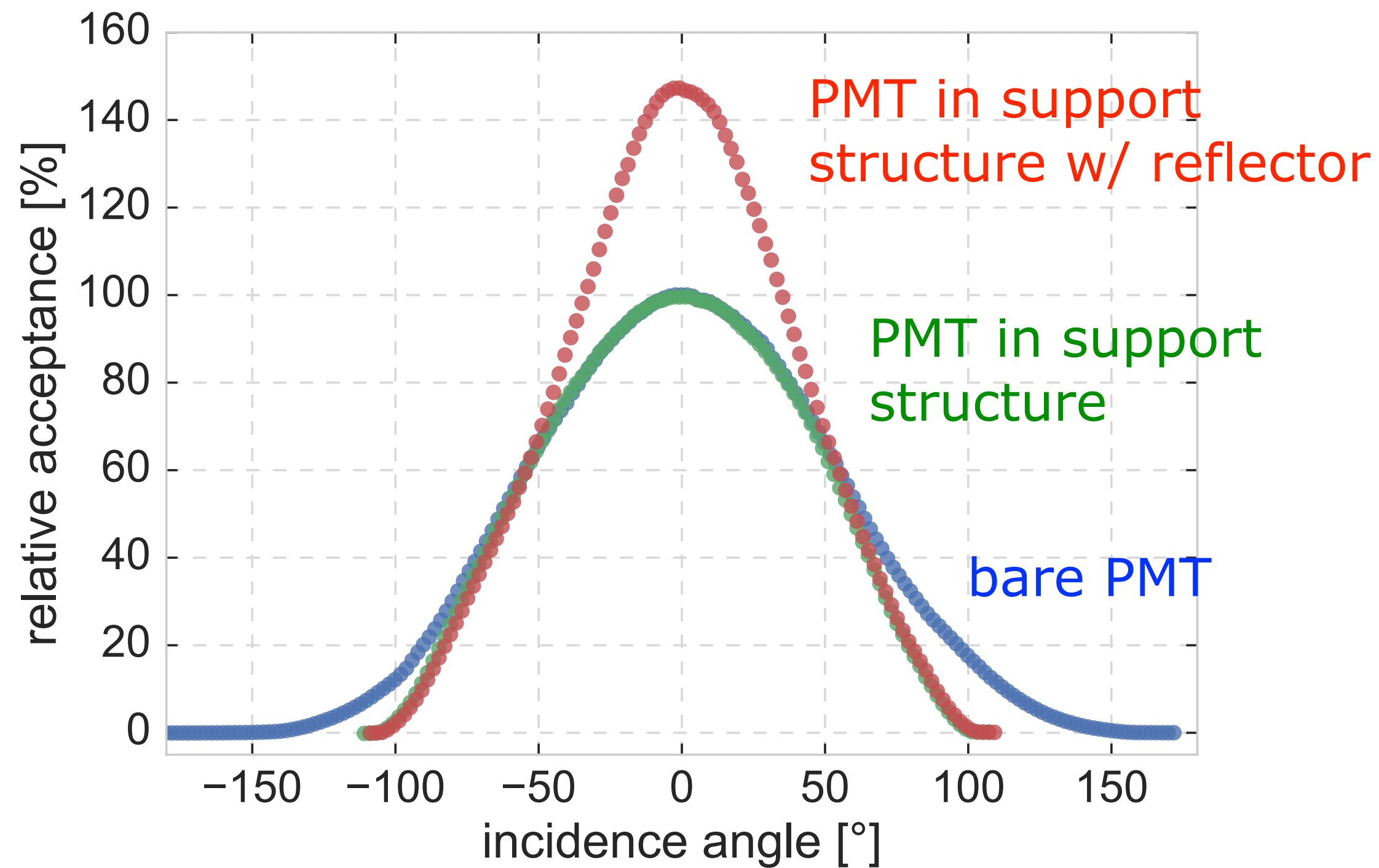
- Modified version which is 5 mm shorter and has HA coating
- HA coating puts glass outside photocathode area on HV thereby reducing dark-noise rate due to electrons hitting glass from inside
- PMT characteristics
  - diameter 80 mm (cathode >72 mm)
  - length 93mm
  - gain  $\sim 3 \times 10^6$  @  $\sim 900$  V
  - TTS (FWHM) =  $\sim 3.5$  ns
  - typical quantum efficiency curve (25% @ 400 nm)
- Alternative (3.5") PMTs under consideration (HZC, ETEL)

Hamamatsu R12199-02 HA

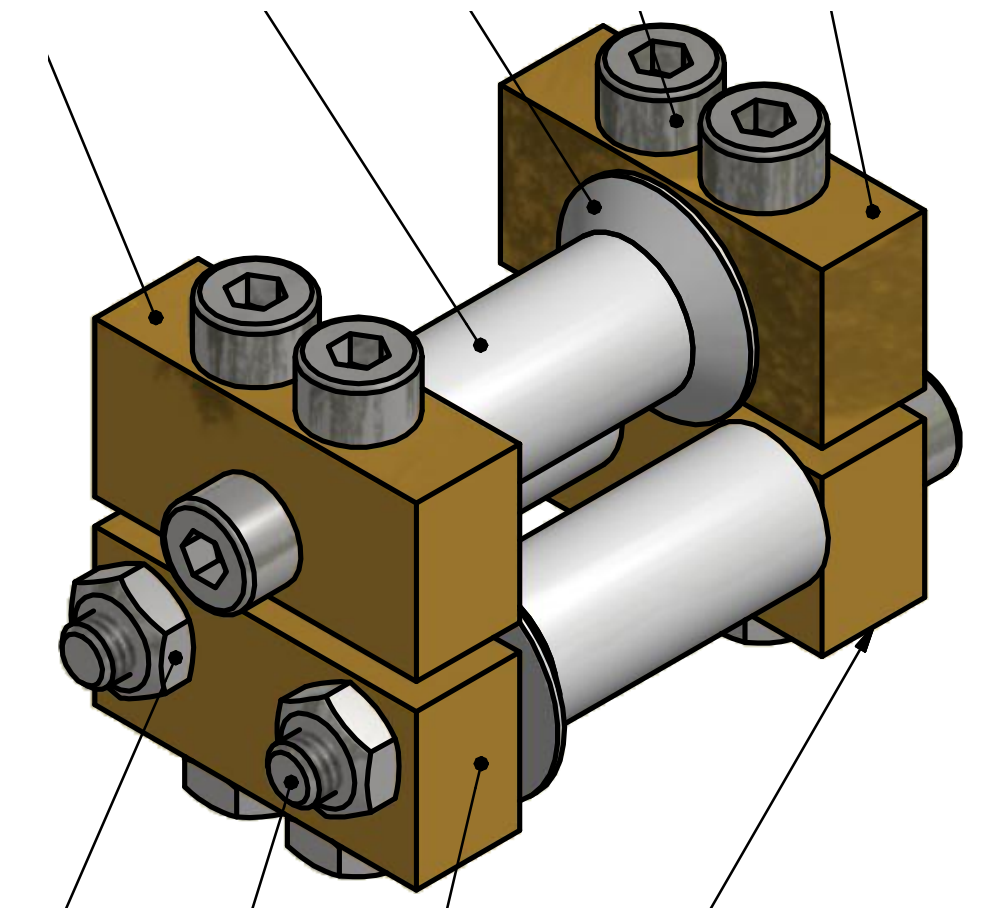




- Reflector increases photon-collection area and directionality
- Laser-cut from coated aluminum sheet (Almecco V95)
- Bent by simple hand-held device



Reflector with PMT in test support structure



Bending tool



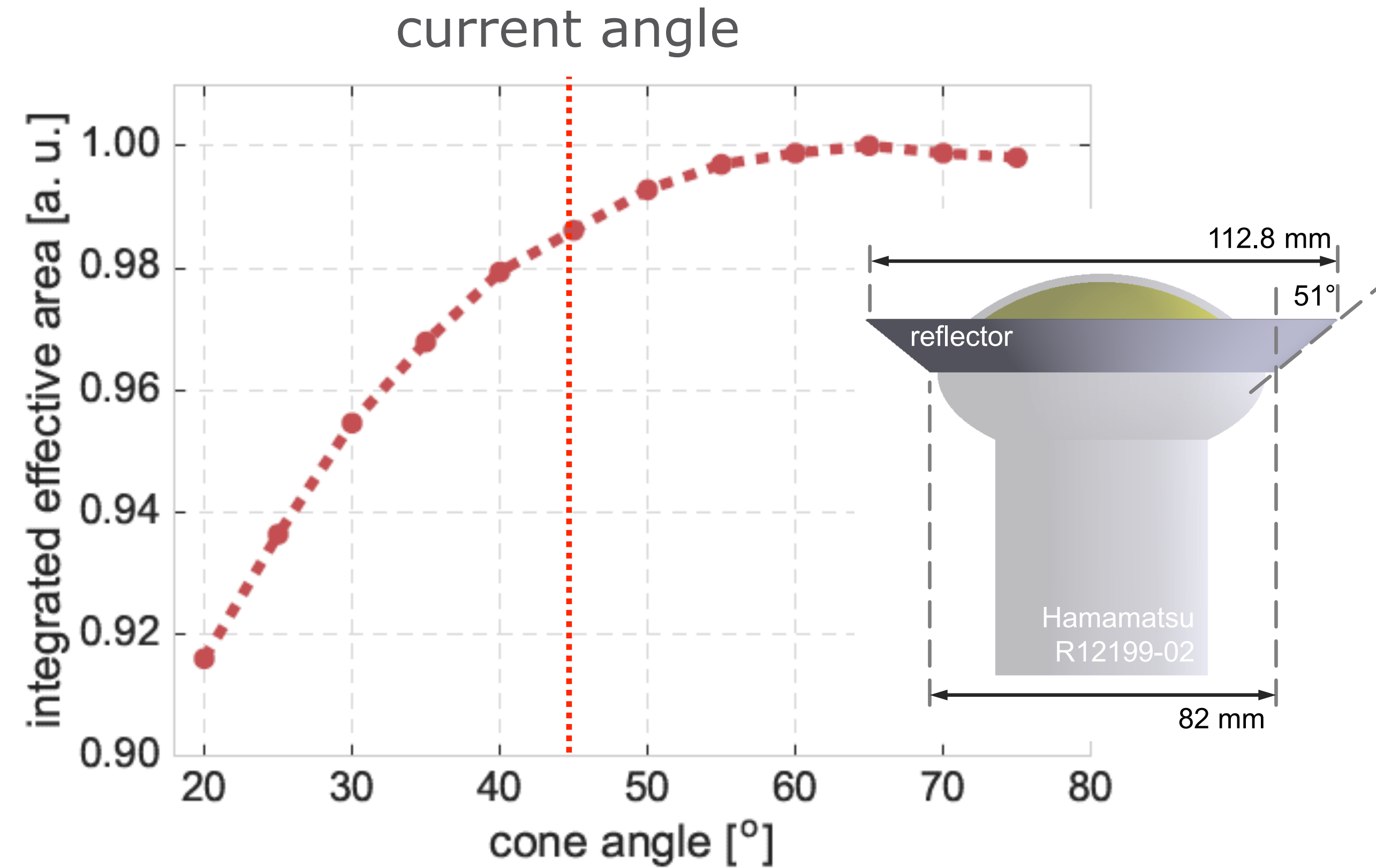
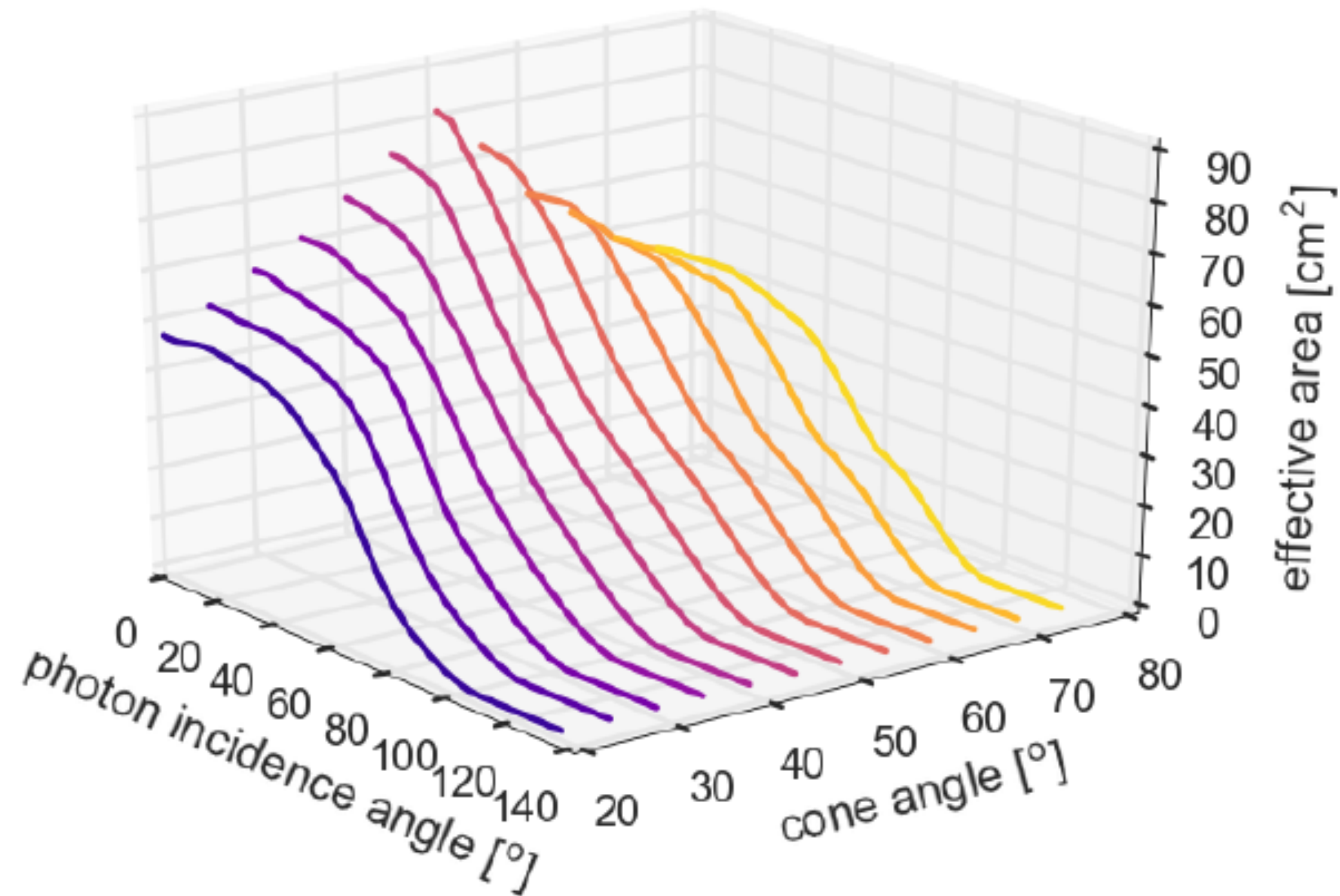
Bended reflector



# Reflector-angle optimization

- Optimal directional sensitivity for reflector angle of  $51^\circ$  from GEANT4 simulation (currently using  $45^\circ$  to increase spacing between reflectors)

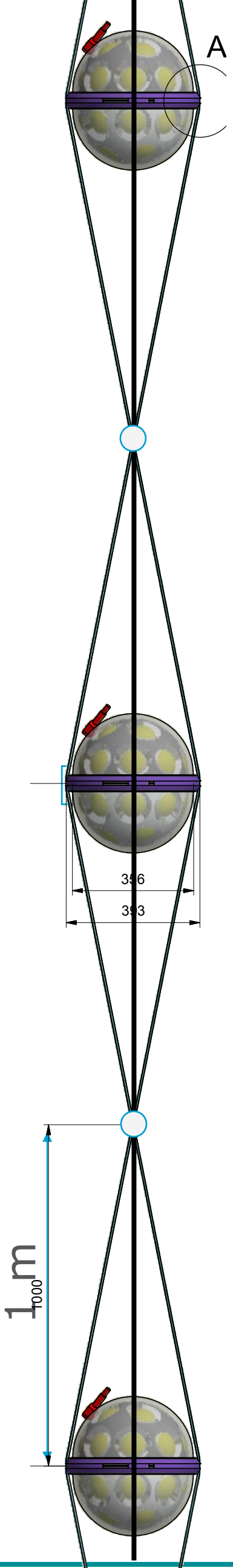
GEANT4 simulation



# Harness design

Based on proven IceCube design

- Major differences
  - 4 cables instead of 3
  - narrower waistband (45 mm vs. 64 mm)
- Prototype available for initial tests



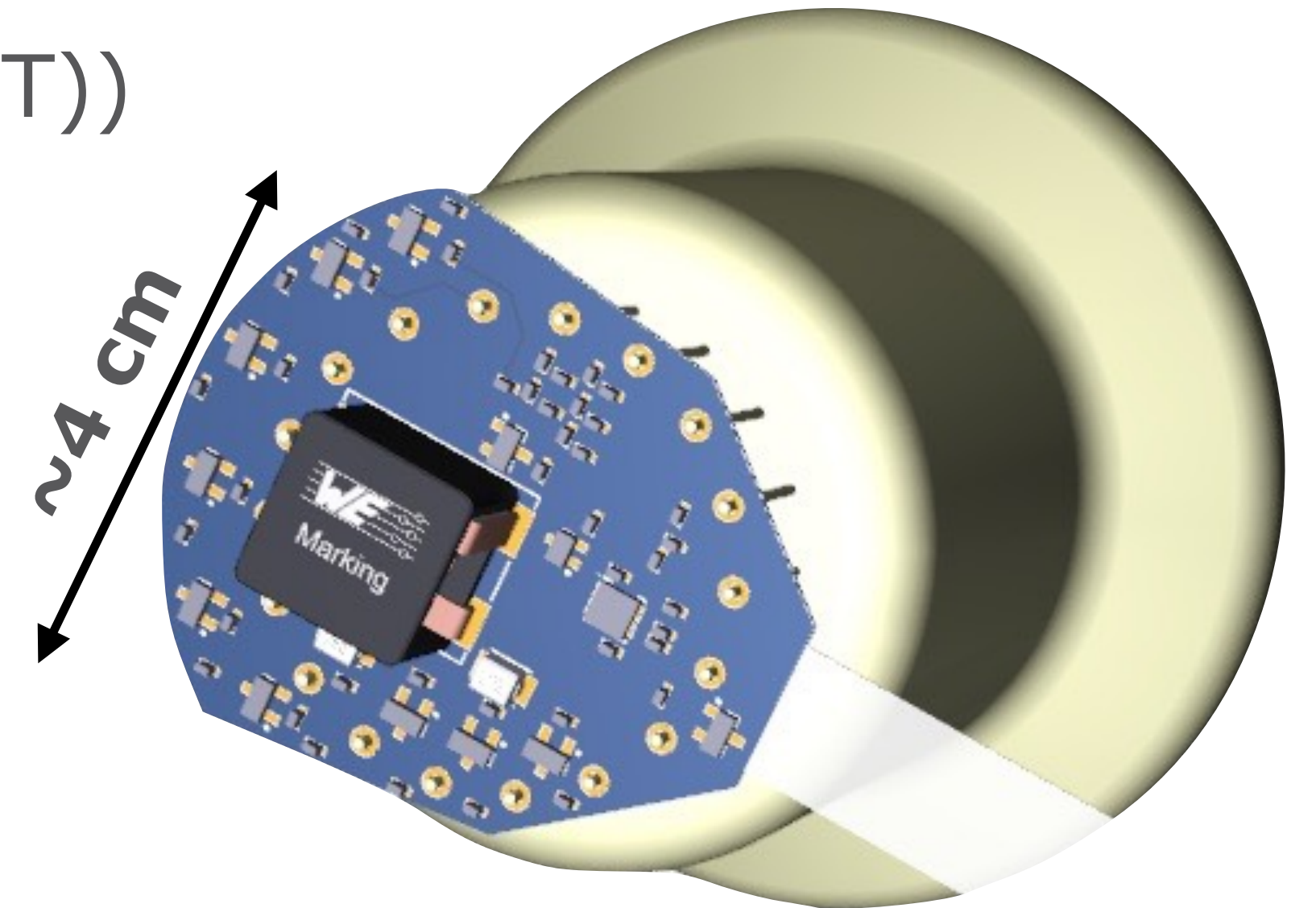


# Electronics



## General requirements / constraints for readout and HV

- Sampling of also complex (not scaled single pe) PMT waveforms
- Low power consumption (total  $\approx 60$  mW per channel (PMT))
- Low sensitivity to interference signals (cross talk)
- Low footprint if placed on PMT base
- High reliability



**Remark:** modular design of common electronics components (communication, timing calibration etc.) with well-defined interfaces  
→ used in all module designs together with module-specific components

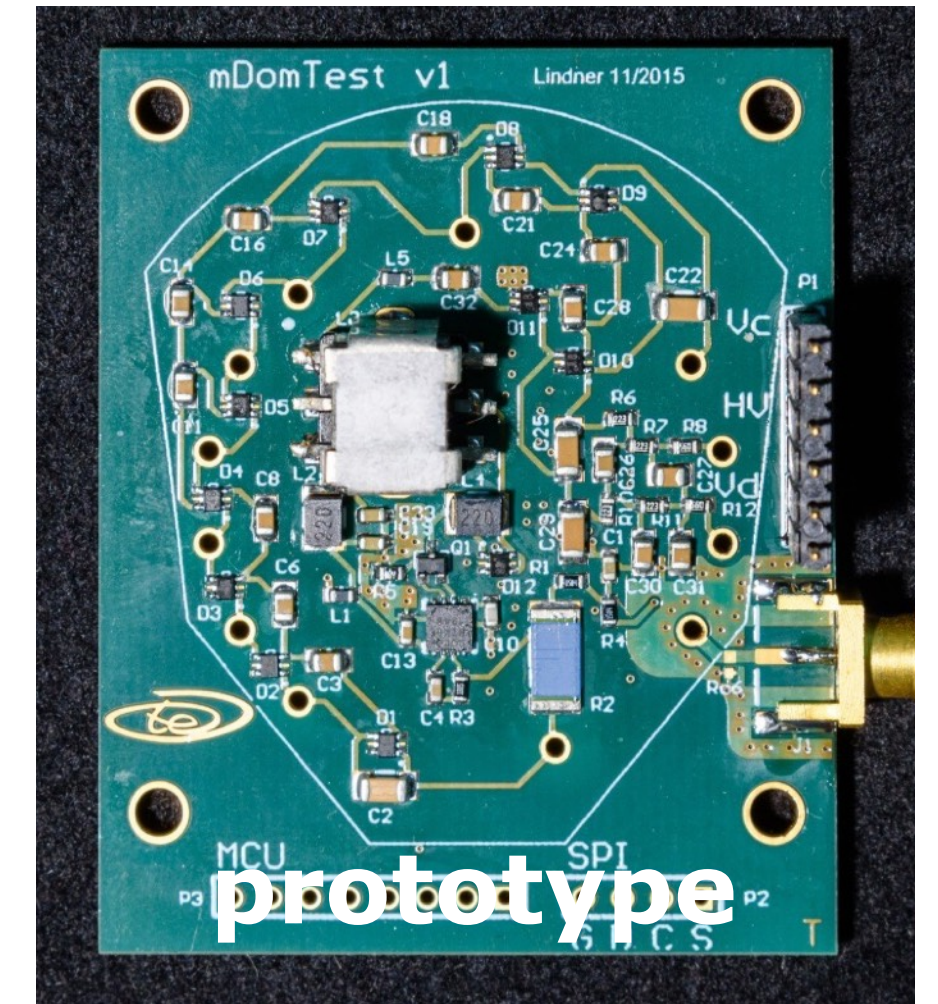


# HV generation — Cockcroft-Walton base

Generation of HV on PMT base via Cockcroft-Walton circuitry  
(based on design by Nikhef for KM3NeT, low power consumption)

Design specifications

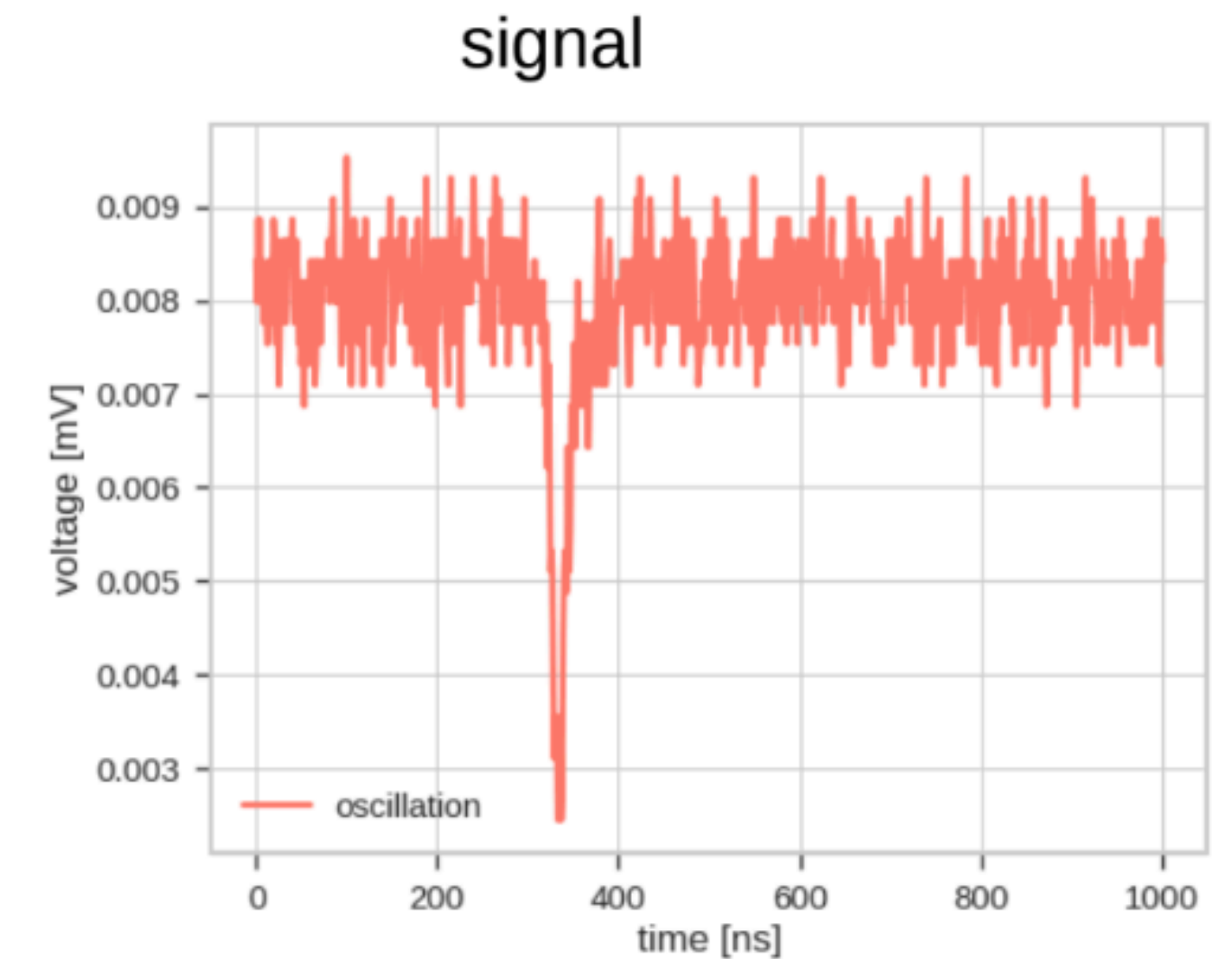
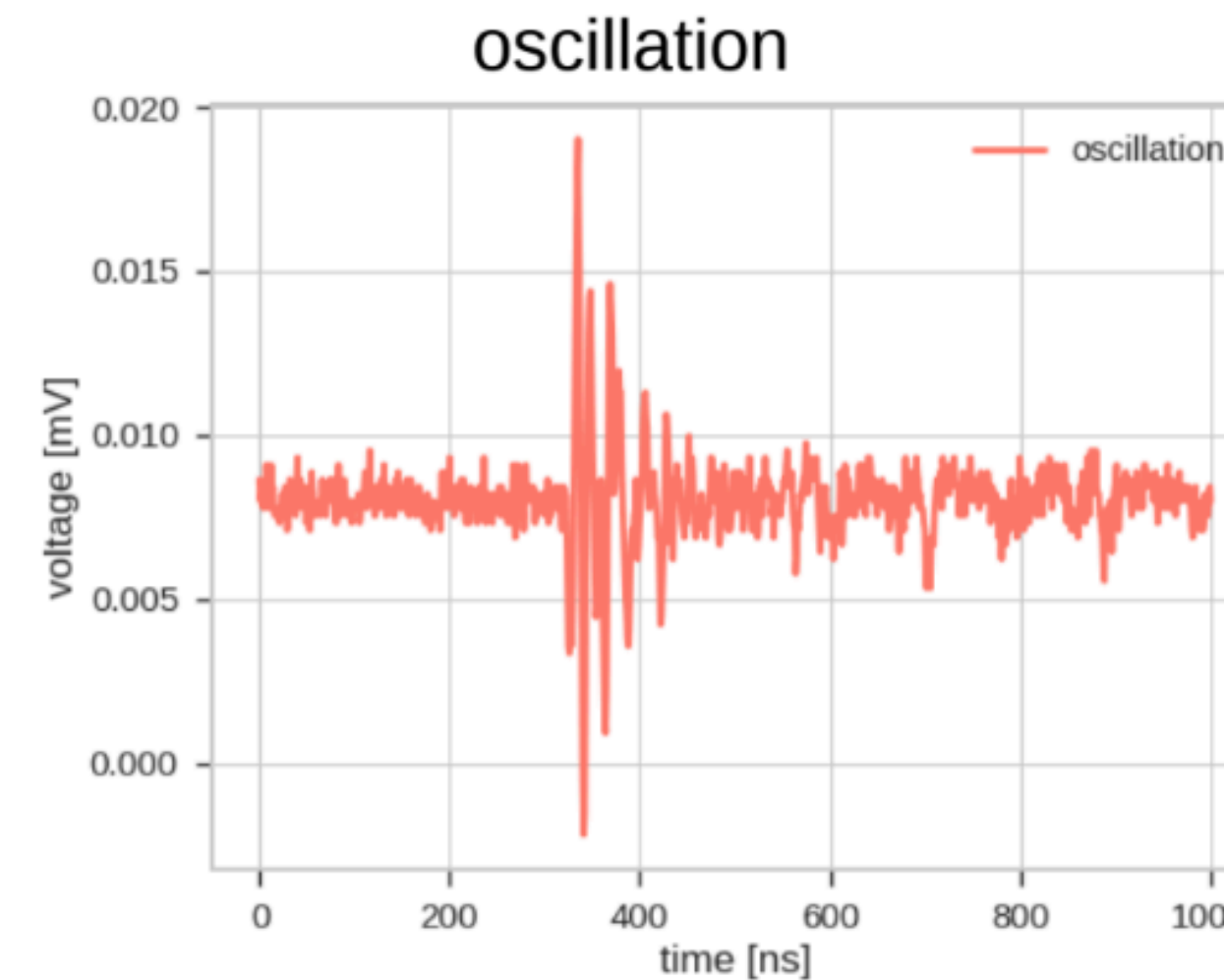
- Power consumption < 4mW
- Output linearity up to 200 p.e.



LTE University Erlangen-Nürnberg

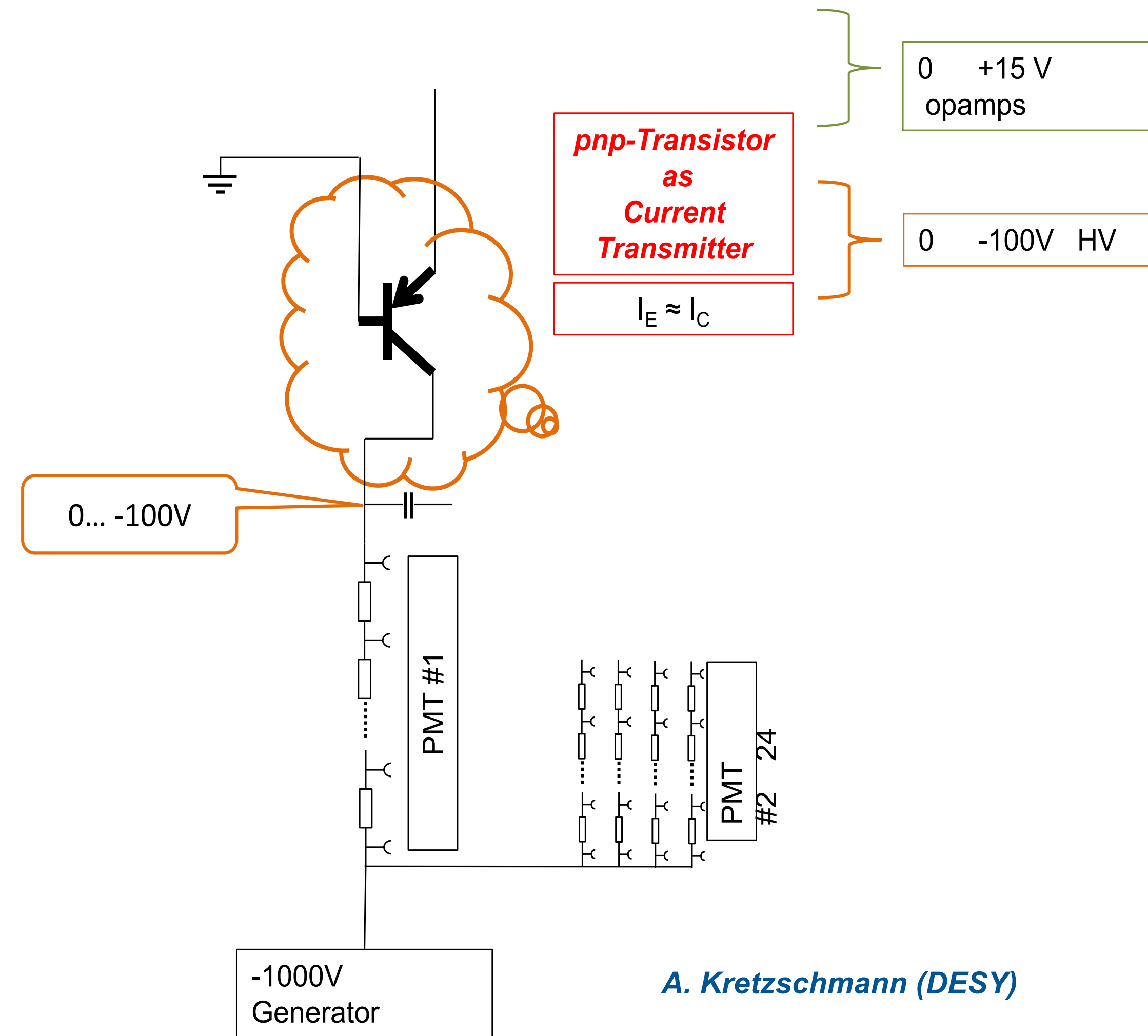
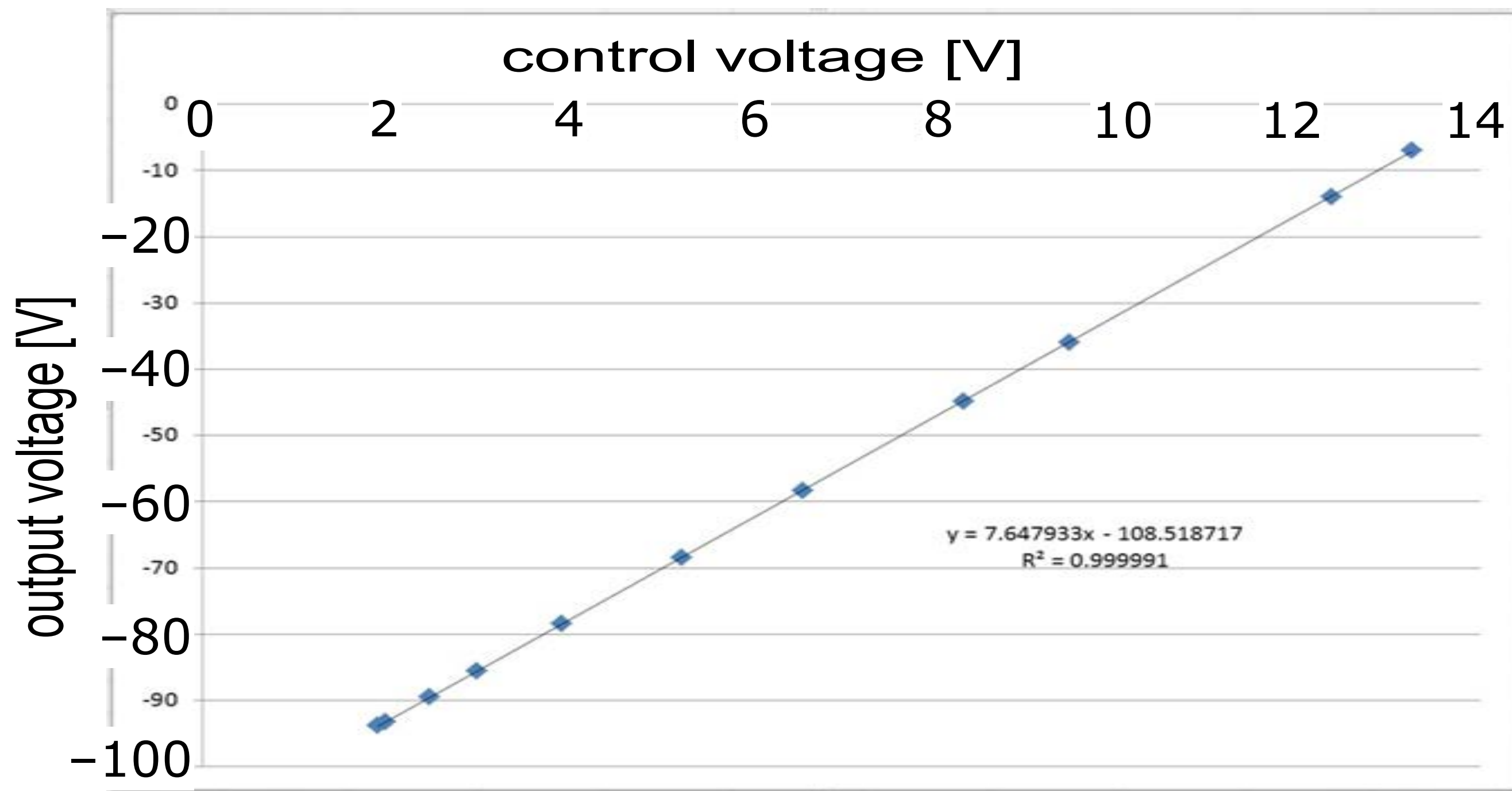
Tests reveal

- Ringing (150-200 MHz) at edges of capacitor reload signal
- Worse at low temperatures
- Cannot filter without significant distortion of real photon signal



# HV generation – alternative design

- Central generation on mainboard
- Adjustable and switchable for each PMT individually
- Resistive voltage divider on PMT base
- Prototype power consumption: 1140 mW per module, plan to reduce to 740 mW / module



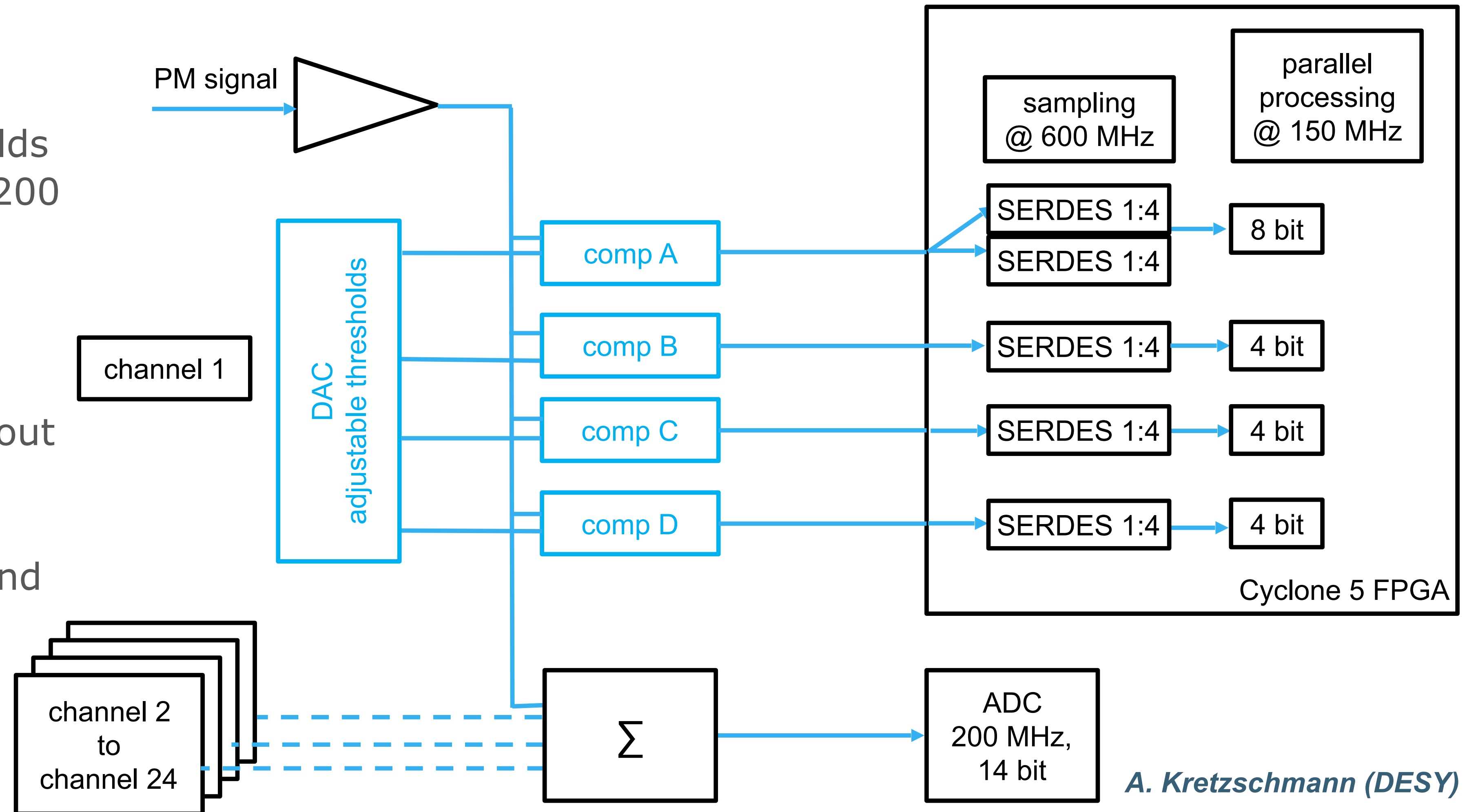


# Front-end – 4-comparator (ToT) readout

## Features

- 24 channels
- 4 programmable thresholds per PMT, sampled with 1200 (600) MS/s
- analog sum of all PMT signals sampled with 200 MS/s ADC
- no deadtime during readout
- double pulse resolution around 10..12 ns
- power consumption around 5 W per mDOM

Tradeoff between power and bandwidth



A. Kretzschmann (DESY)



Provides large number of comparators while remaining low in power consumption

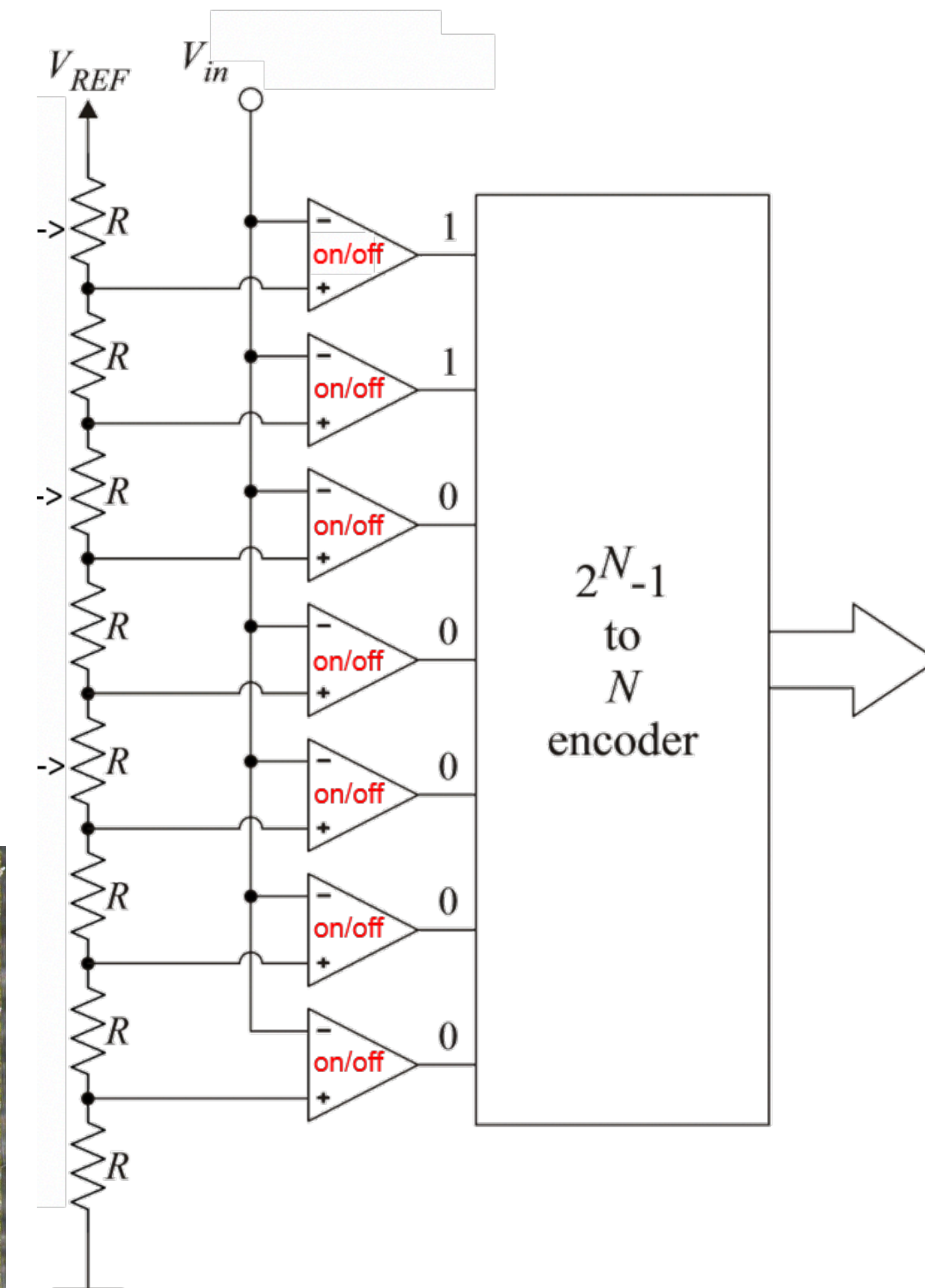
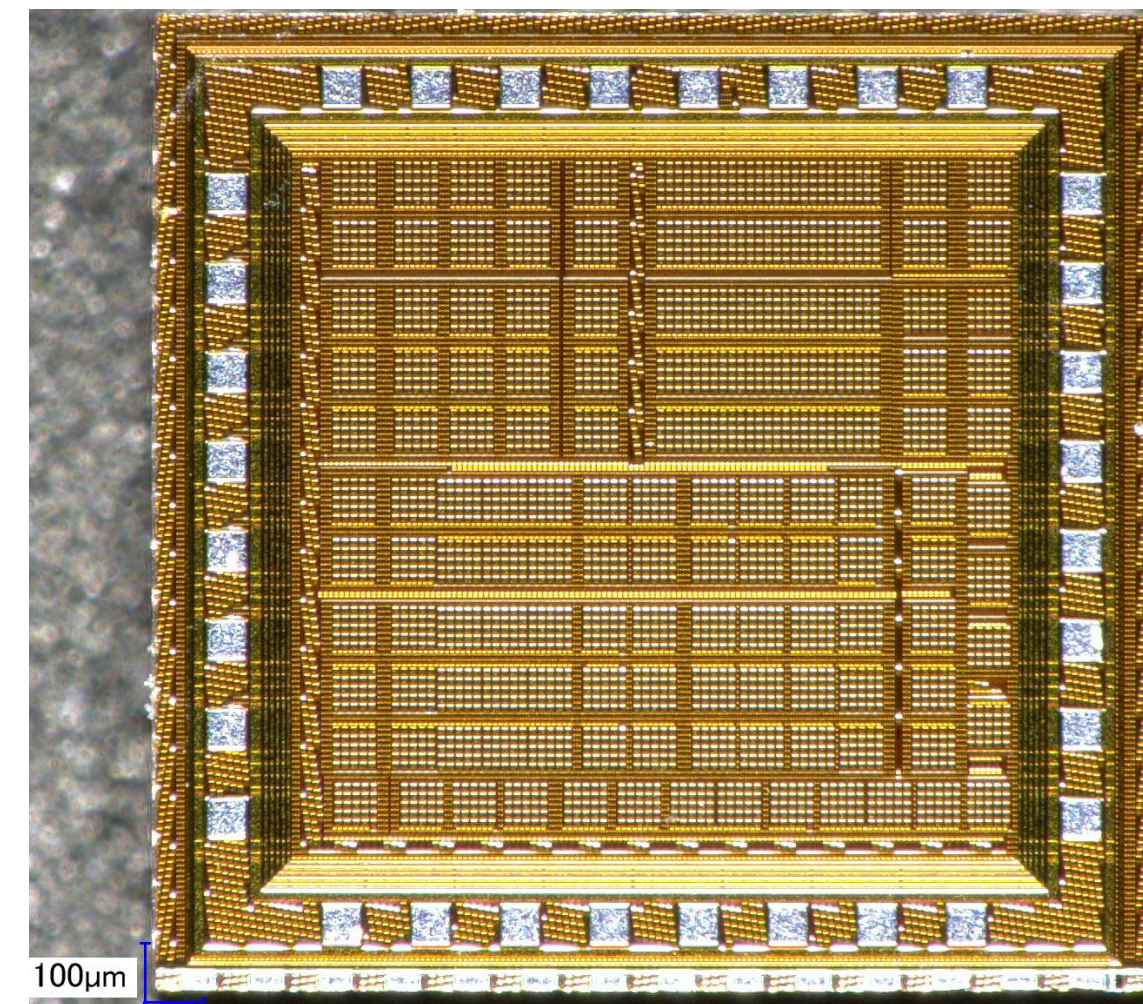
High-level ASIC specification

- 8 bit (256) equally spaced reference resistor chain → dynamic range  $\sim 64$  pe if lowest level at 0.25 pe
- 6 bit (63) comparators (can be freely assigned to resistor levels prior to production)
- Internal reference frequency up to 500 MHz
- Power consumption  $< 40$  mW

Status

- First prototypes available (5 bit comparators + 6 bit resistor chain)
- Currently under testing

picture first of prototype



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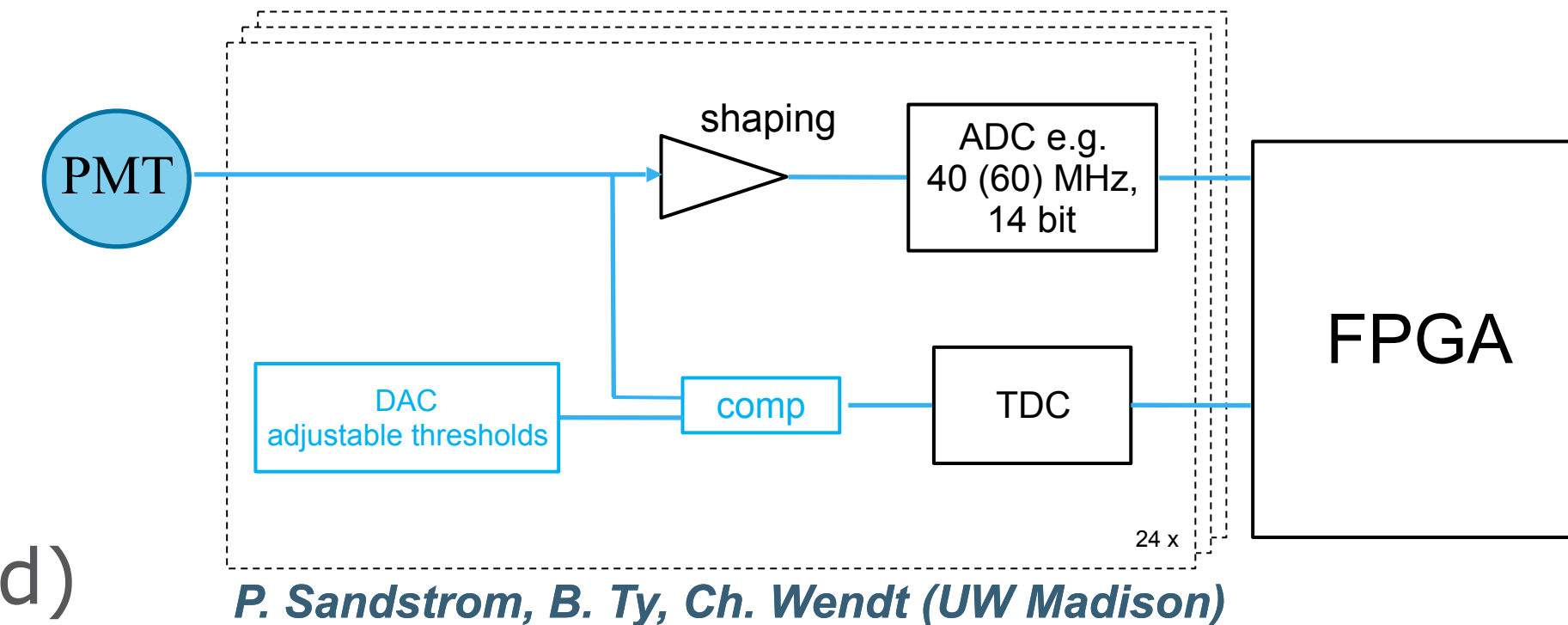


# Alternative readout designs under investigation

ToT readout (in particular 4-ToT version) might not deliver sufficient resolution for double pulses and/or complex waveforms (depends also on bandwidth of pre-amplifier and power constraints)

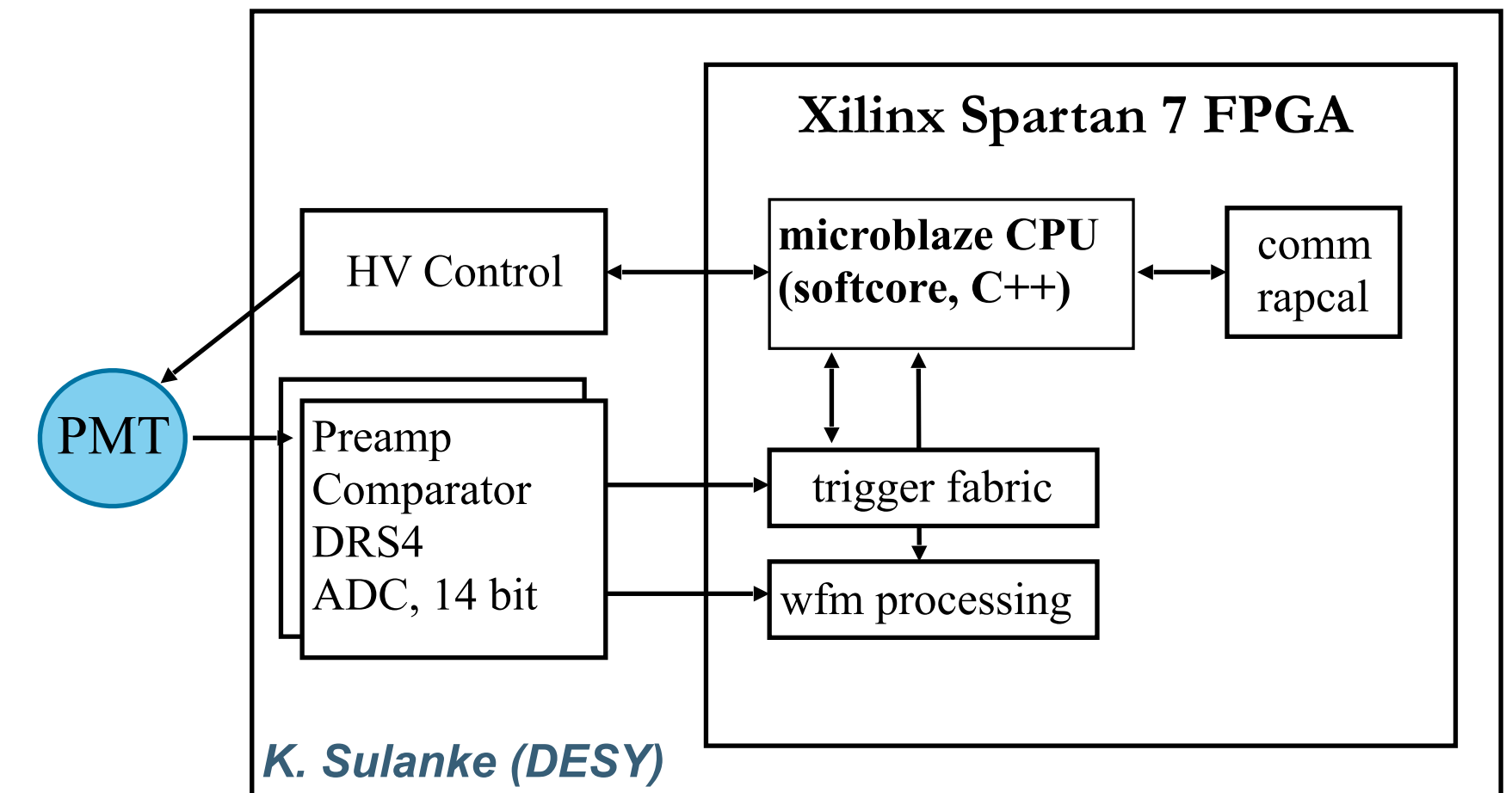
## Slow ADC combined with precise leading edge time

- Pro
  - individual waveforms for each PMT
  - good charge and leading edge resolution
- Con
  - limited double pulse resolution (depends on ADC sampling speed)



## Fast waveform sampling with DRS4

- Pro
  - High-resolution waveforms
  - Reasonable power consumption
  - Full waveforms for each PMT
- Con
  - Deadtime
  - Groups of eight PMTs tied to simultaneous readout



## Summary and outlook

- A multi-PMT optical module is being developed for deployment in the deep ice at the South Pole for future IceCube extensions
- Harsh environmental conditions and available infrastructure pose stringent limits on module parameters like size, power consumption and reliability
- Mechanical design well advanced → optimizations towards final design
- Several options for readout under evaluation → find best compromise between power consumption and precision of PMT waveform sampling in view of physics case

### Rough timeline

- ▶ end of 2018: demonstrator
- ▶ end of 2019: final design
- ▶ 2020—2021: production
- ▶ 2022/23: deployment

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