

# Fragmentation Functions

## experimental overview

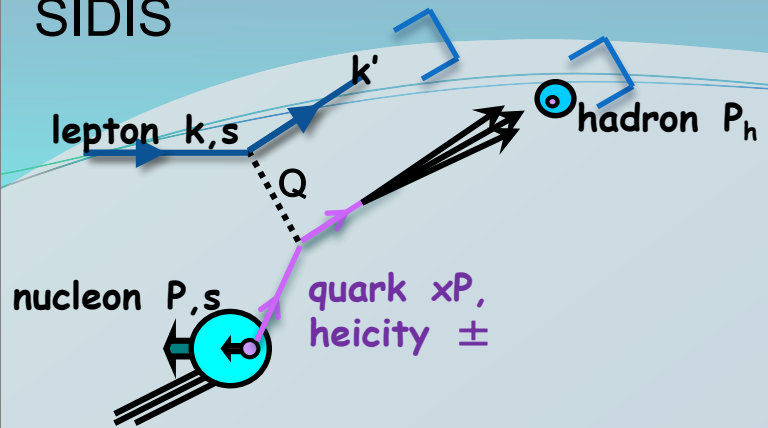
**Fragmentation workshop,  
Lago Maggiore, Feb 19-22, 2018**

**Ralf Seidl (RIKEN)**

# Outline

- Single hadron fragmentation
  - Unpolarized in  $e^+e^-$ , SIDIS and hadron collisions
  - Transverse momentum dependent Fragmentation
  - Transverse quark spin and momentum dependent Fragmentation  $\rightarrow$  Collins FF
  - Transverse hadron spin  $\rightarrow$   $\Lambda$  pol
- Di-hadron fragmentation
  - Unpolarized for mass,  $z$  dependence (or for single hadron FFs in  $e^+e^-$ )
  - Transversely polarized  $\rightarrow$  IFF
- Nuclear Fragmentation

# SIDIS



# Access to FFs

- SIDIS:

$$\sigma^h(x, z, Q^2, P_{h\perp}) \propto \sum_q e_q^2 q(x, k_t, Q^2) D_{1,q}^h(z, p_t, Q^2)$$

- Relies on unpol PDFs
- Parton momentum known at LO
- Flavor structure directly accessible
- Transverse momenta convoluted between FF and PDF

- pp:

$$\sigma^h(P_T) \propto \int_{x_1, x_2, z} \sum_{a, a' \in q, g} f_a(x_1) \otimes f_{a'}(x_2) \otimes \sigma_{aa'} \otimes D_{1,q}^h(z)$$

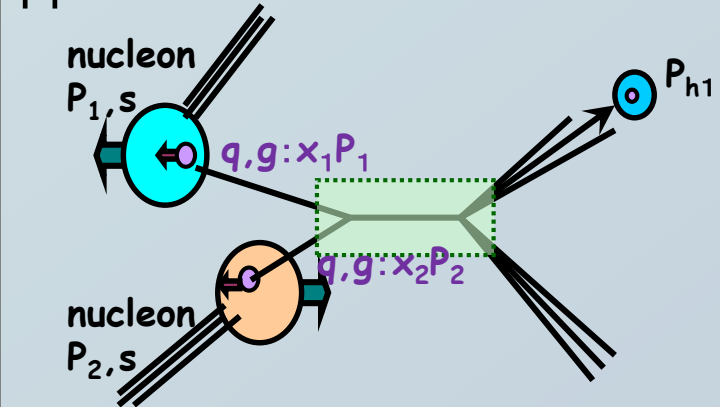
- Relies on unpol PDFs
- leading access to gluon FF
- Parton momenta not directly known

- e+e-:

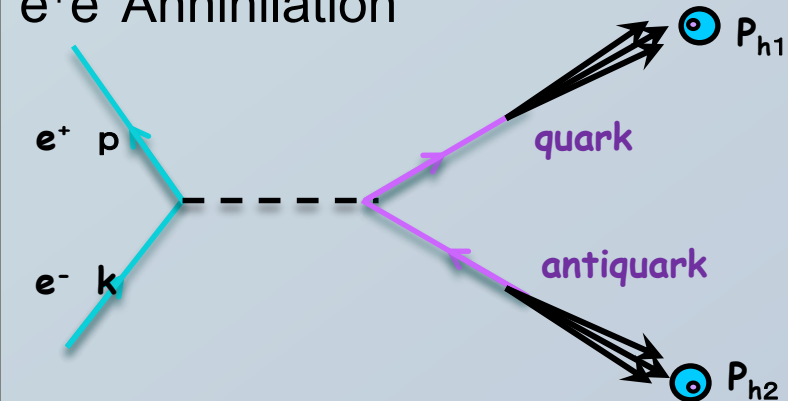
$$\sigma^h(z, Q^2, p_t) \propto \sum_q e_q^2 (D_{1,q}^h(z, p_t, Q^2) + D_{1,\bar{q}}^h(z, p_t, Q^2))$$

- No PDFs necessary
- Clean initial state, parton momentum known at LO
- Flavor structure not directly accessible

# pp collisions



# e+e- Annihilation



# Single hadron fragmentation

$$D_{1,q}^h(z, Q^2)$$

$$D_{1,q}^h(z, k_T, Q^2)$$

$$H_{1,q}^{\perp h}(z, k_T, Q^2) \quad D_{1,q}^{\perp h}(z, k_T, Q^2)$$

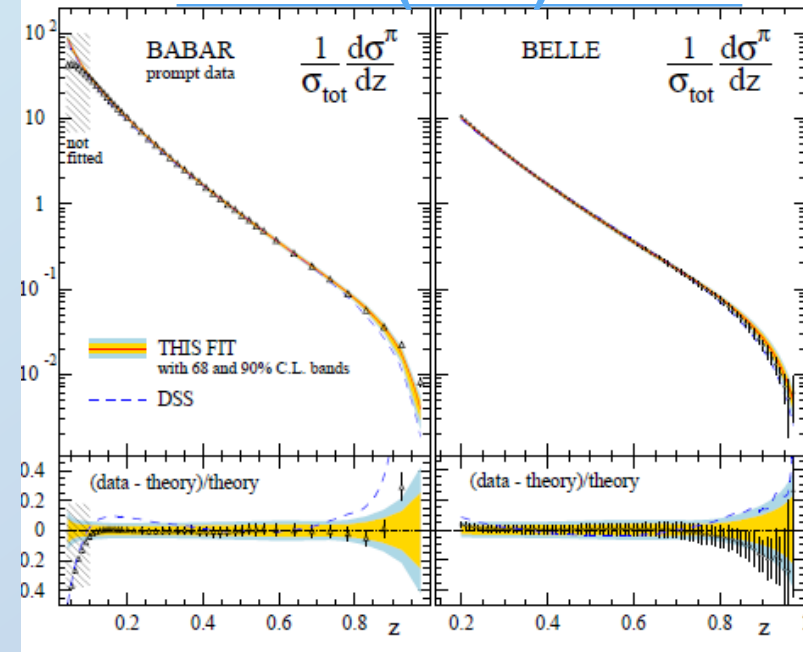
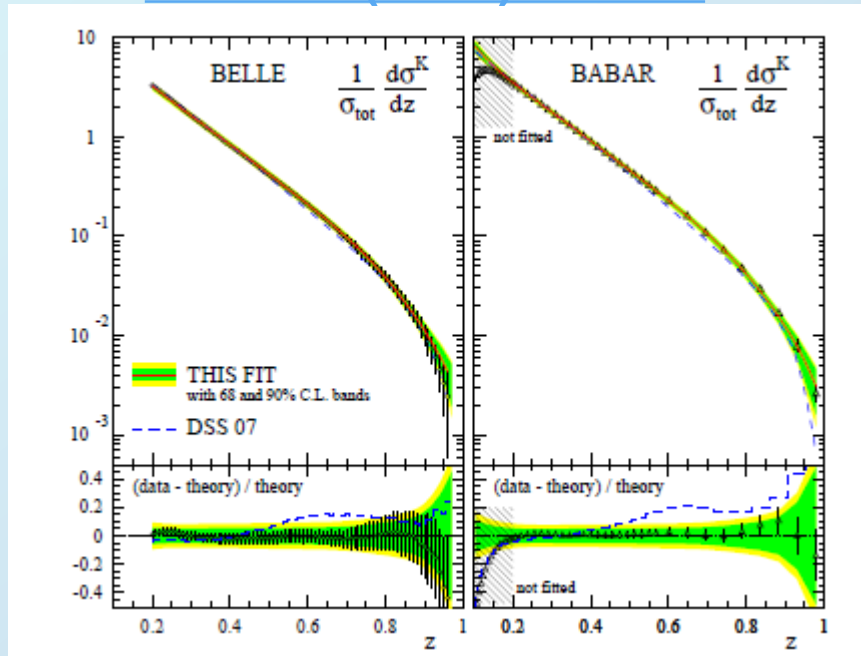
$$H_{1,q}^h(z, Q^2)$$

$$\mathcal{G}_q^h(z, z_h, \omega_J R, j_{\perp}, Q^2)$$

# B factory data ( $Q \sim 10\text{GeV}$ )

Babar: [PRD 88 \(2013\) 032011](#)

Belle: [PRL 111 \(2013\) 062002](#)



- High precision pion and kaon data from both B factory experiments
- Precision up to very high  $z$
- Lever arm to much higher energy ( $Q \sim 20 - 200\text{GeV}$ ) data allows for determination of gluon fragmentation over evolution

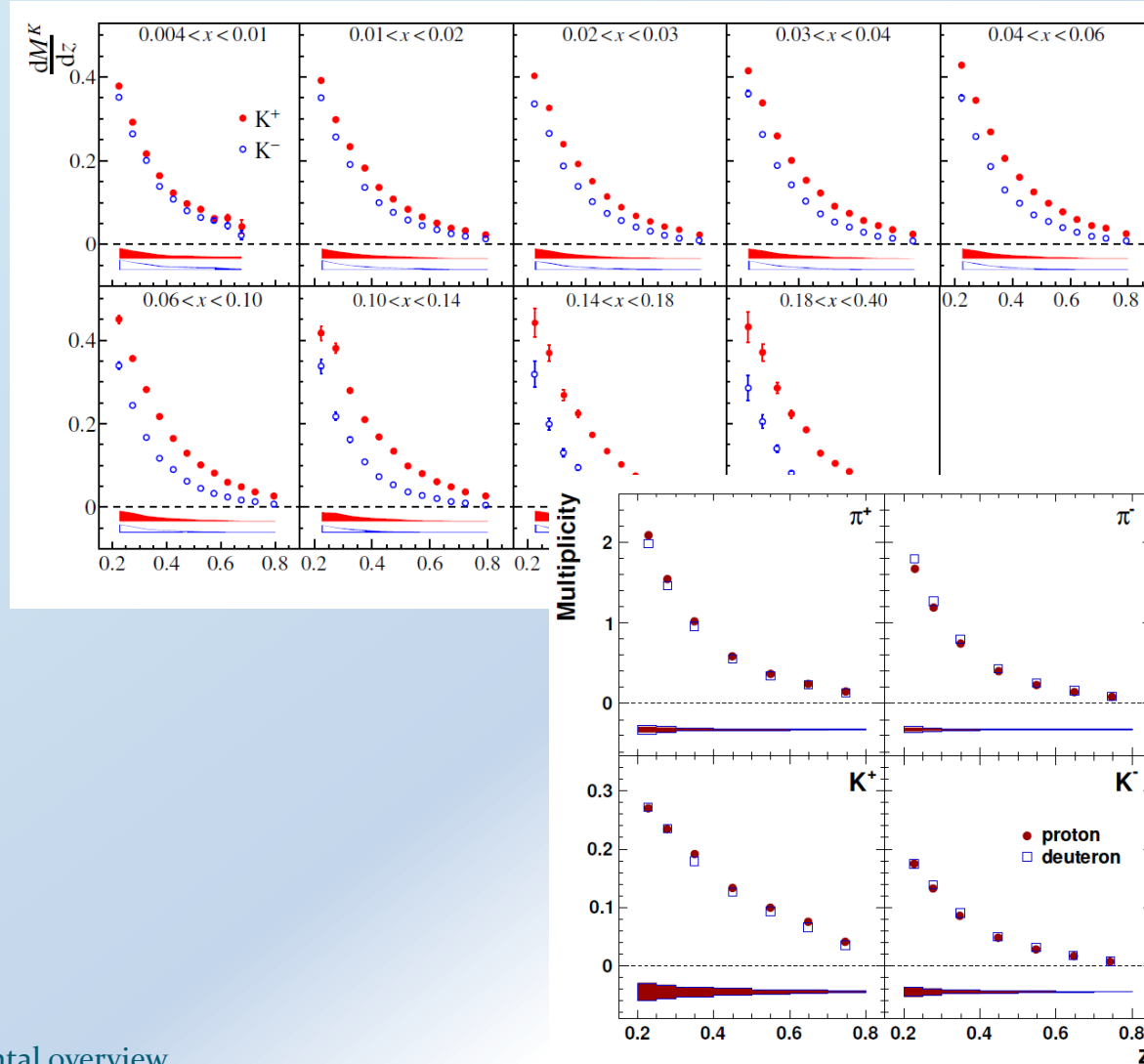
# SIDIS data

COMPASS Kaons: [PLB767 \(2017\) 133-141](#)

COMPASS pions: [PLB764 \(2017\) 1-10](#)

HERMES pi/K: [PRD87 \(2013\) 074029](#)

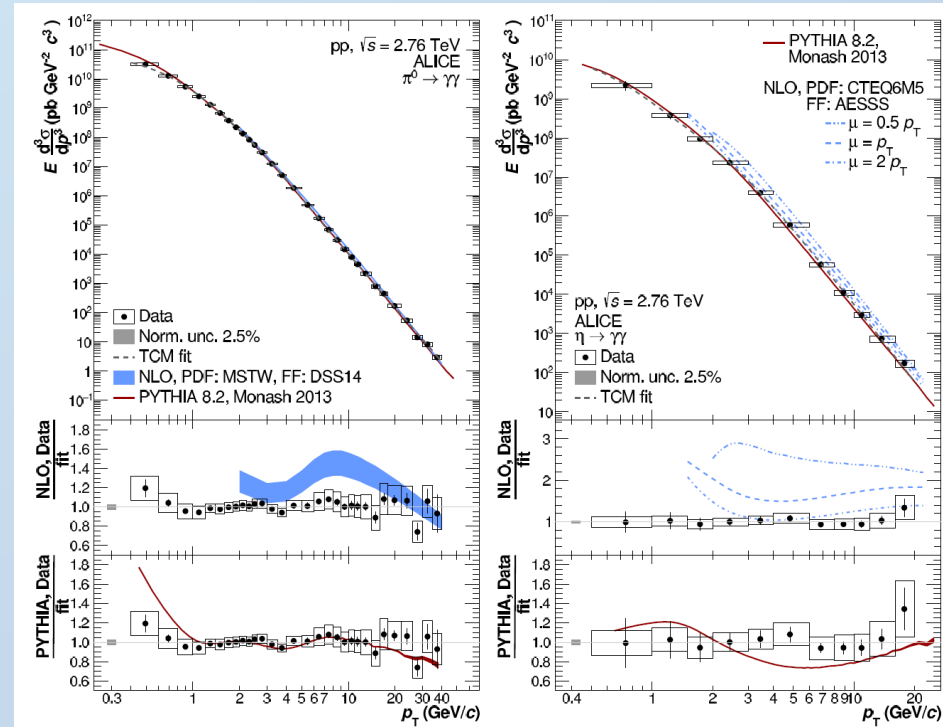
- SIDIS requires unpol PDFs, but generally well known
- Recently published COMPASS data now available
- HERMES data for pions and kaons available already for a while
- Some tension for kaon data between experiments



# LHC single hadron cross sections

- Hadron collider data sensitive to gluon fragmentation as quark-gluon and gluon-gluon hard scattering processes dominating
- At LHC mostly  $\pi^0$  and  $\eta$  data only available (too high energies for charged hadron PID)

ALICE: [EPJ C77 \(2017\) 339](#), [EPJ. C77 \(2017\) 586](#)

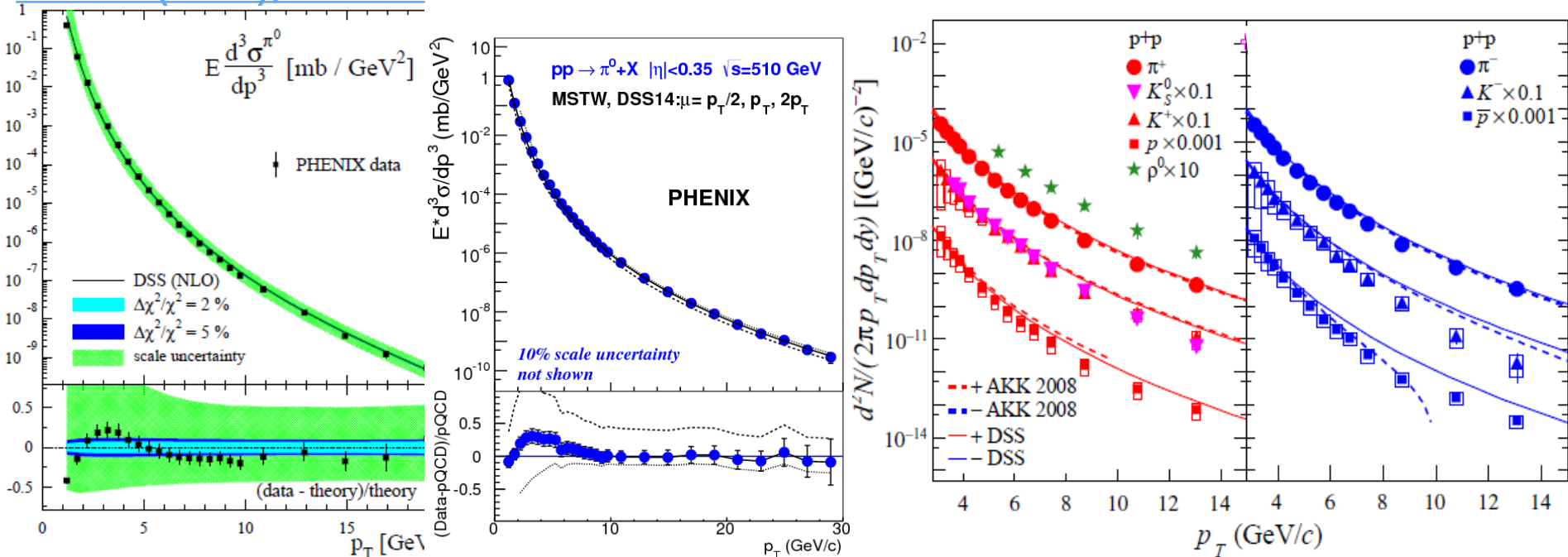


# RHIC cross sections

PRD76 (2007) 051106

PRD93 (2016), 011501

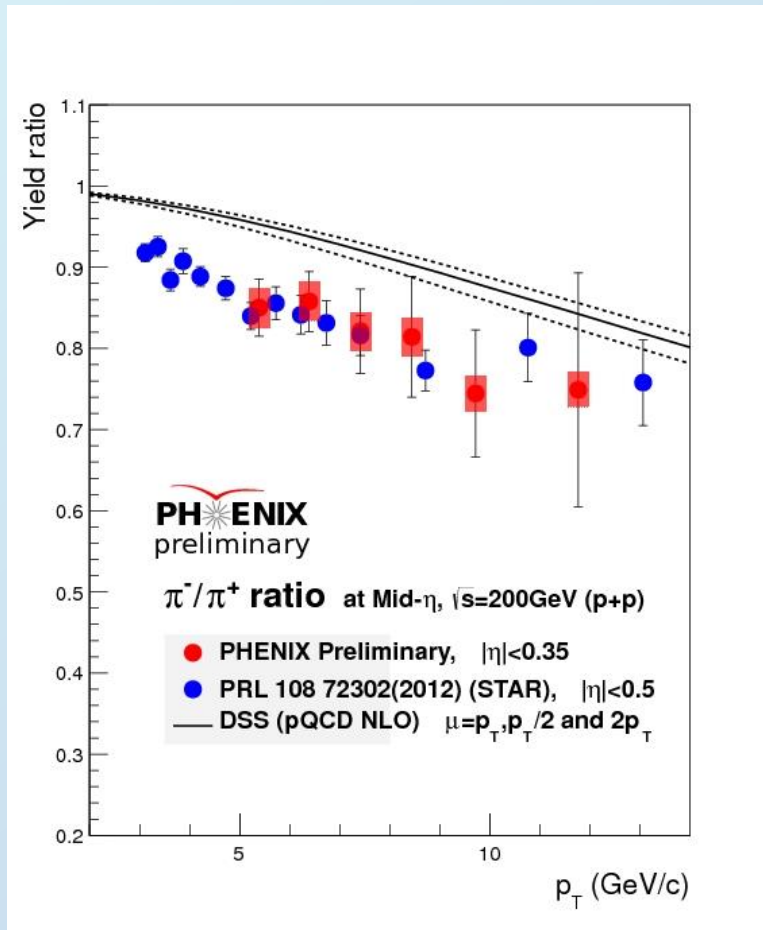
PRL 108 (2012) 072302



- Generally very good agreement of cross sections with NLO calculations
- Most sensitivity to gluon Fragmentation
- Also data at forward rapidities



# Pion cross section charge ratio

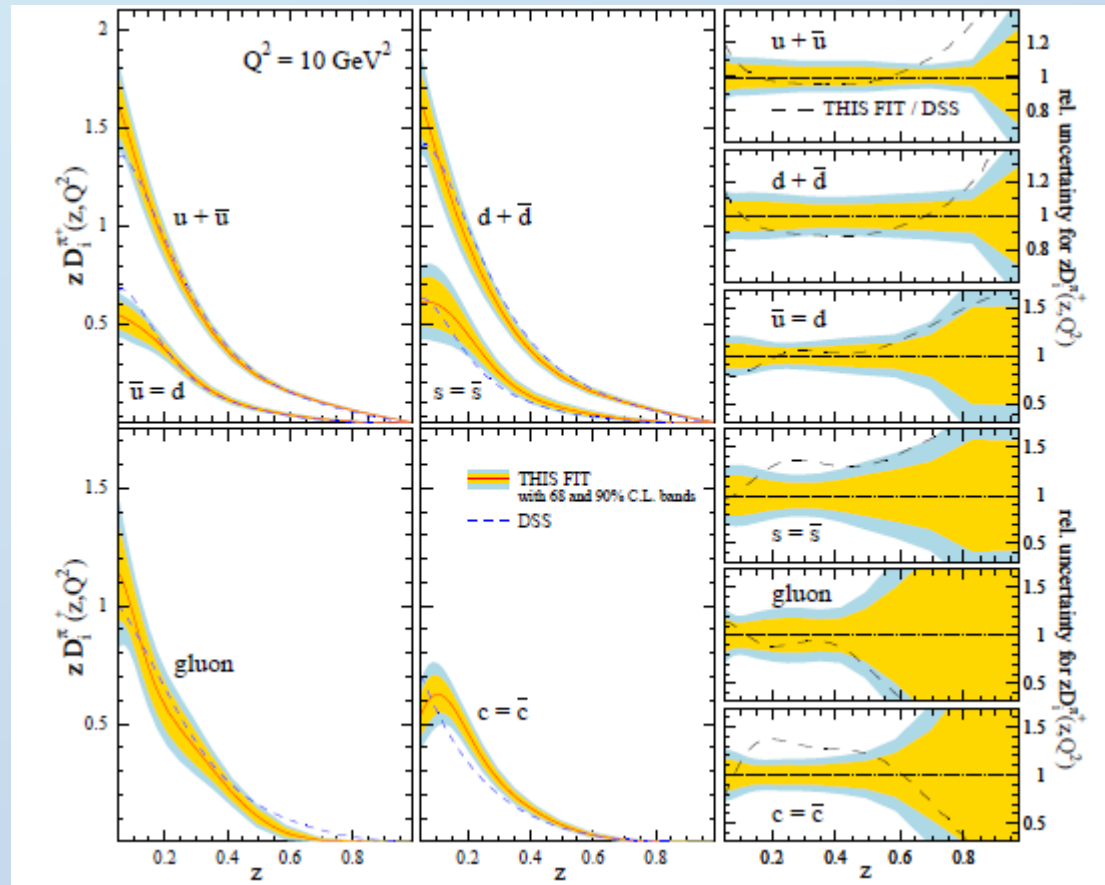


- At low  $P_T$  gluon fragmentation dominates  $\rightarrow$  ratio close to 1
- Data significantly under DSS curves
- Possible explanation by smaller disfavored fragmentation  $u \rightarrow \pi^-$
-

# Pion fragmentation

DSS15: [deFlorian et.al., Phys.Rev. D91 \(2015\) 014035](#)

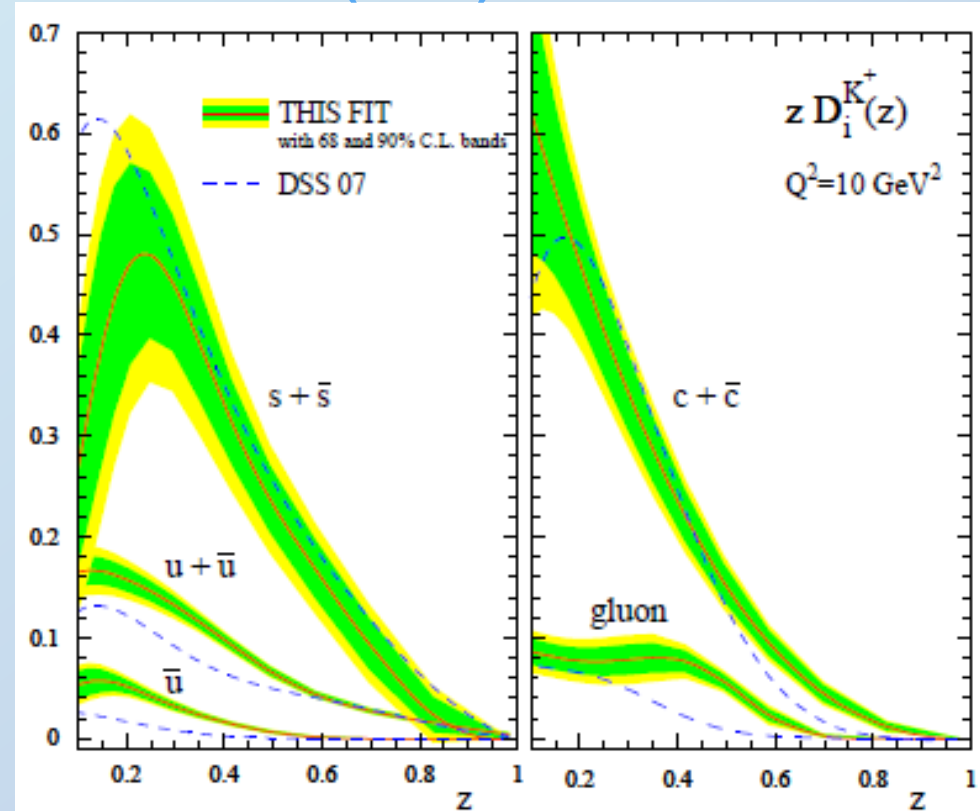
- Light quarks symmetric
- Dominated by favored fragmentation especially at high  $z$
- Gluon substantial but falling off faster than quarks



# Kaon fragmentation

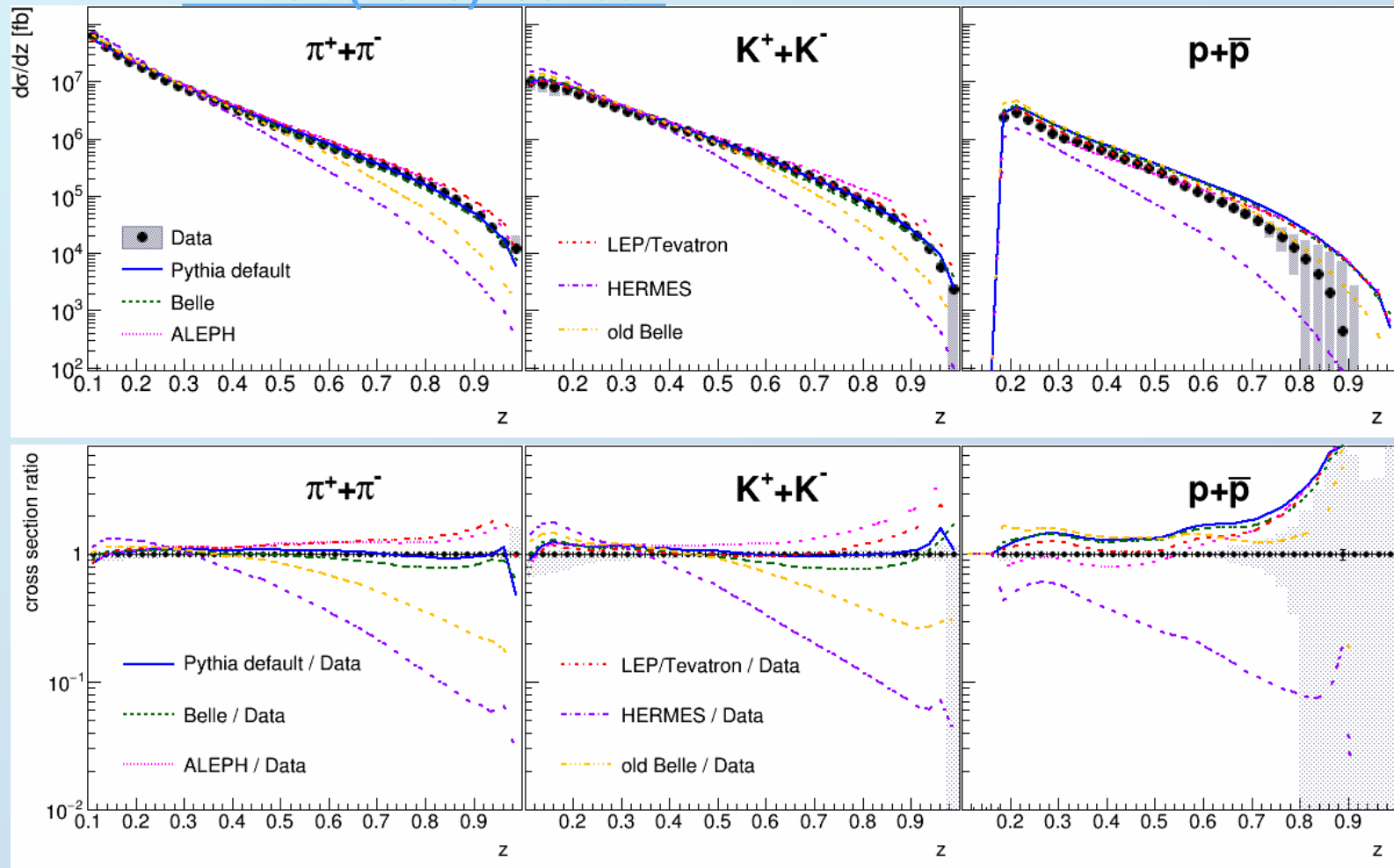
DHESS [PRD 95 \(2017\) 094019](#)

- Strange quarks are dominating kaon fragmentation
- Again likely dominated by favored fragmentation
- At lower  $z$  penalty for producing  $s\bar{s}$  pair in fragmentation ( $u+\bar{u} < s+\bar{s}$ )
- Charm fragmentation comparable (what about weak decays?)



# New addition: single protons

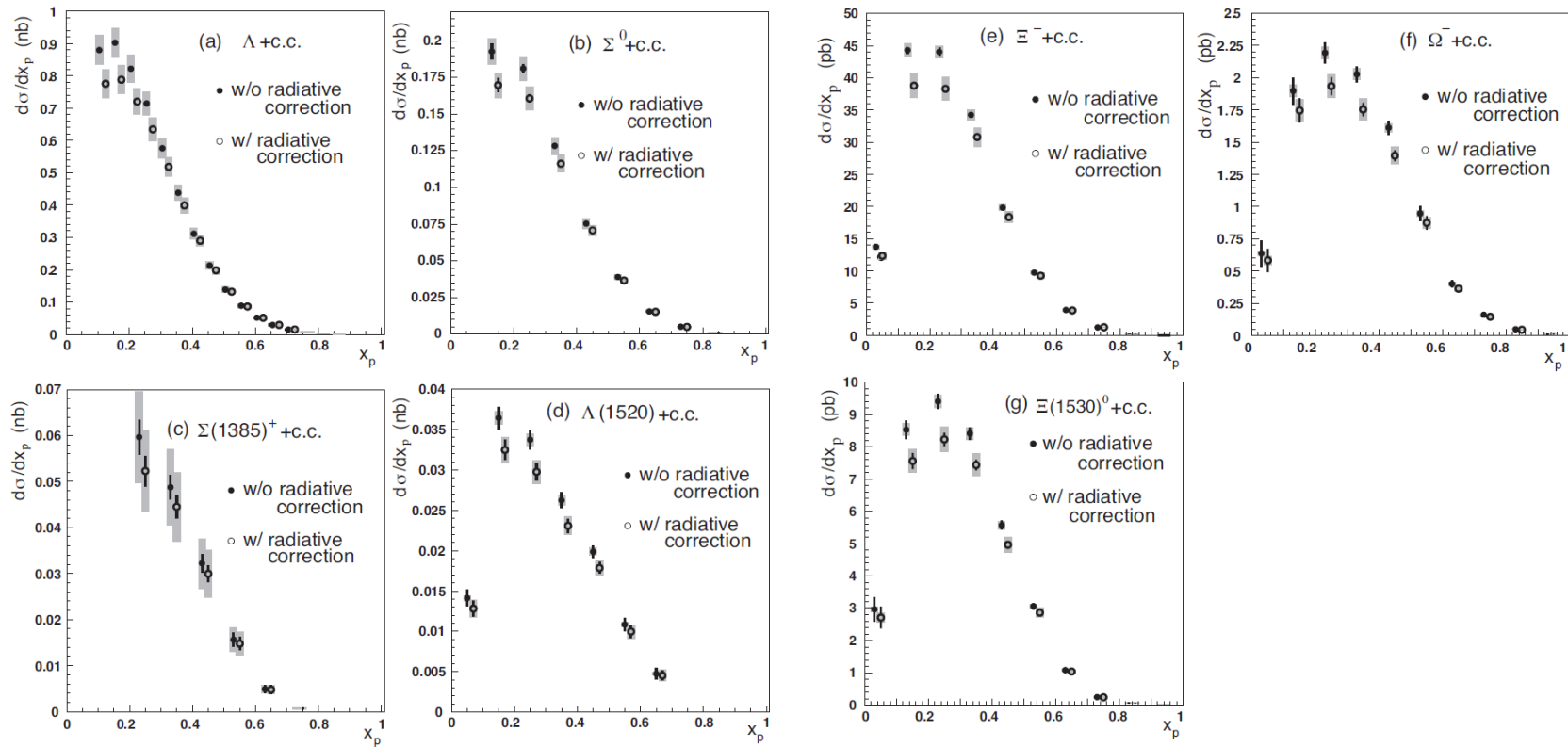
PRD92 (2015) 092007



- Default Pythia and current Belle in good agreement with pions and kaons
- Protons not well described by any tune

# Hyperon Fragmentation

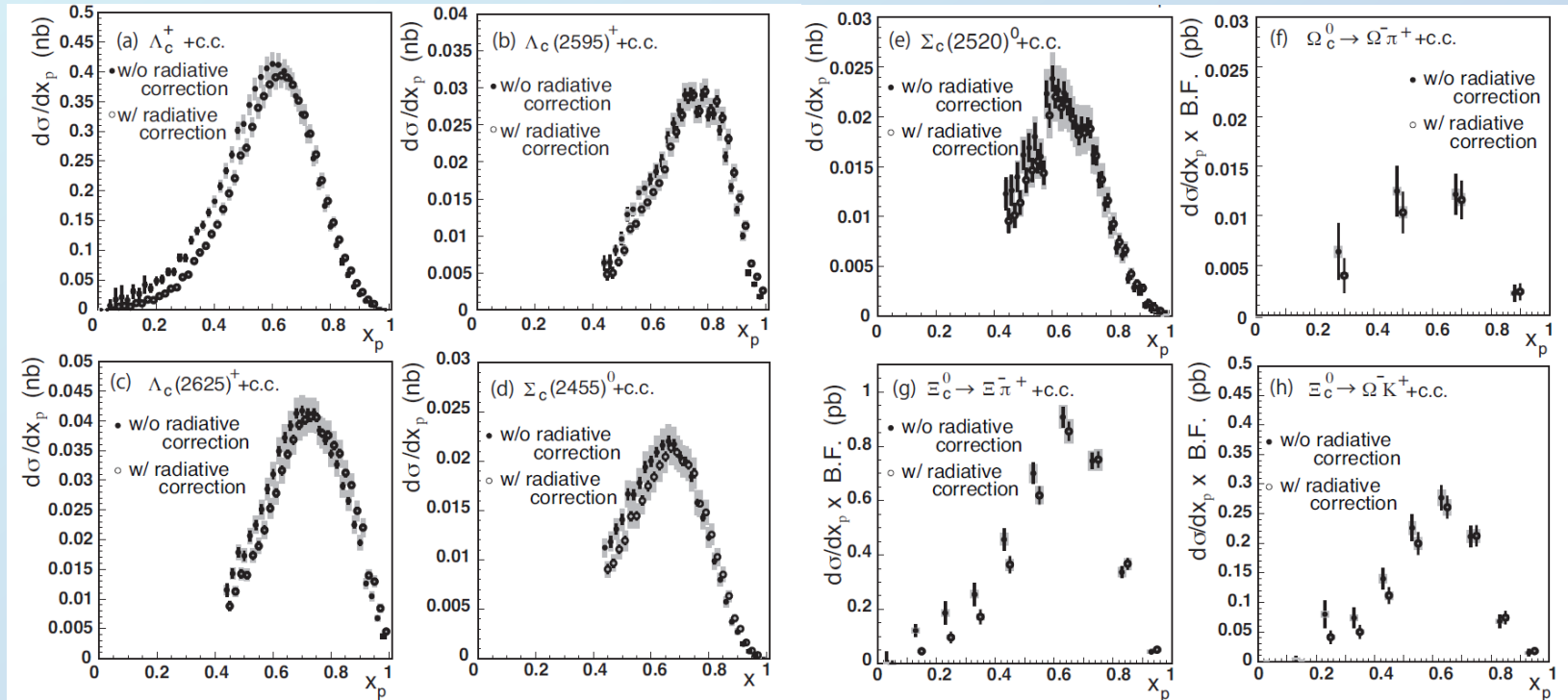
Belle: Niiyama et. al. [arXiv:1706.06791](https://arxiv.org/abs/1706.06791)



Hyperons similar to light hadron fragmentation  $\rightarrow$  peaking at low  $z$  ( $x_p$ )

# Charmed baryon Fragmentation

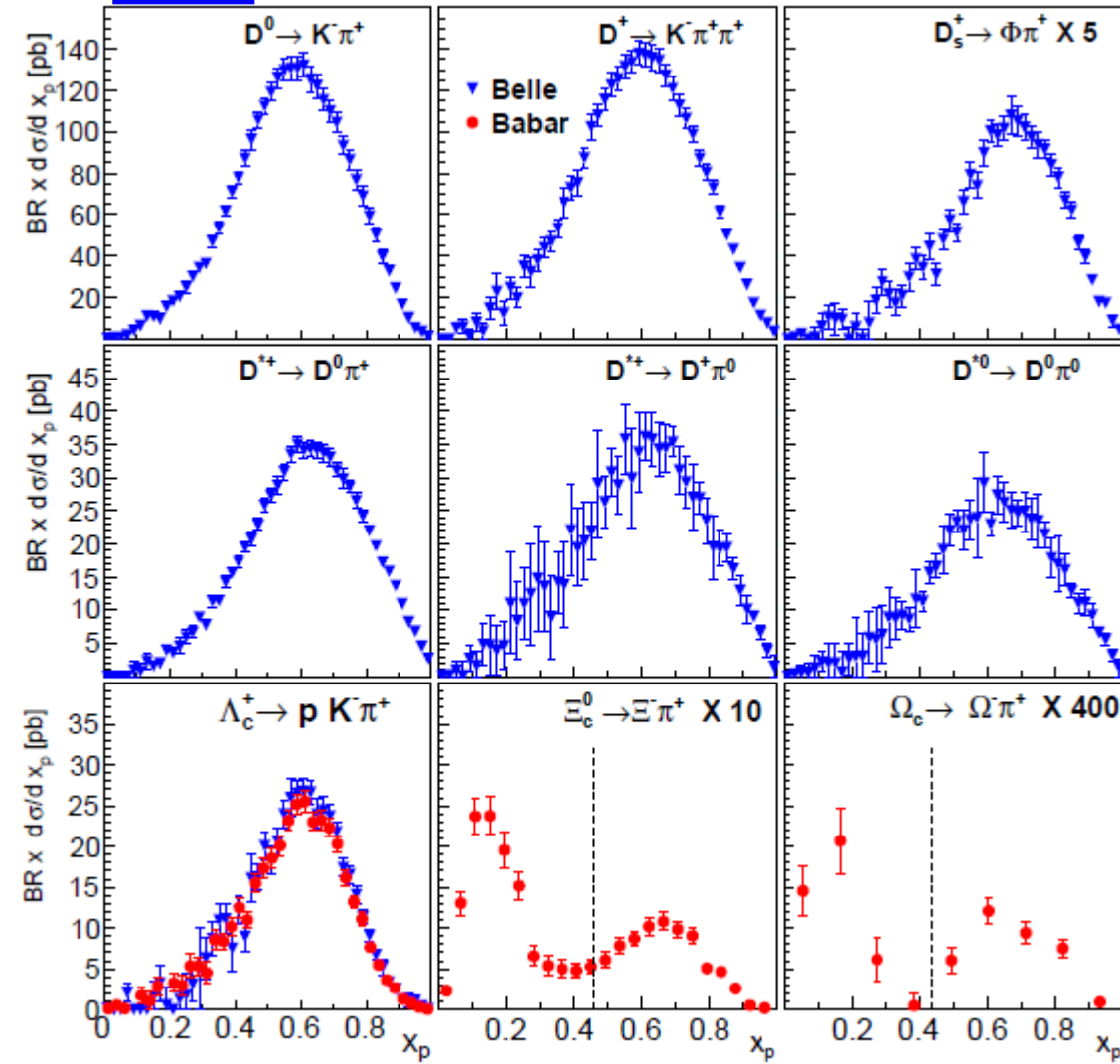
Belle: Niiyama et. Al. [arXiv:1706.06791](https://arxiv.org/abs/1706.06791)



Also  $\Lambda_c$  measurements by:  
BaBar: PRD 75,012003 (2007)  
and Cleo

Charmed hadrons carry large  
fraction of parton momentum

# Charmed Fragmentation



PRL.95, 142003 (2005)(Babar)  
 PRD73, 032002 (2006) (Belle)  
 PRD75, 012003 (2007)(Babar)  
 PRL 99, 062001 (2007)(Babar)

- Heavier particles generally plotted vs normalized momentum  $x_p = \frac{P^h}{P_{max}^h}$
- Unlike light hadrons charmed hadrons contain large fraction of charm quark momentum

# Transverse momentum dependence

Aka un-integrated PDFs and FFs

$$D_{1,q}^{h}(z, Q^2, k_t)$$

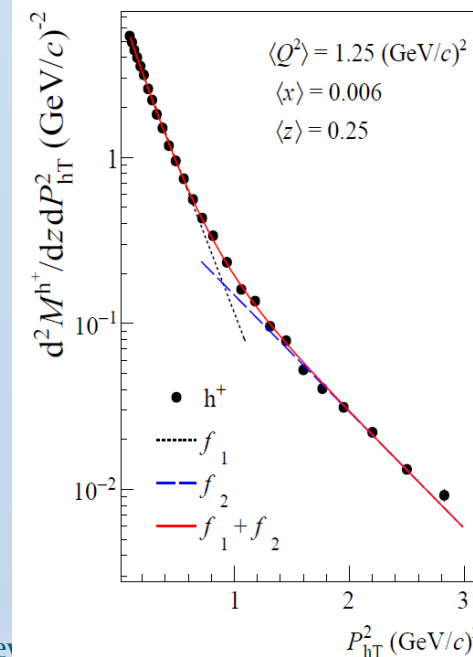
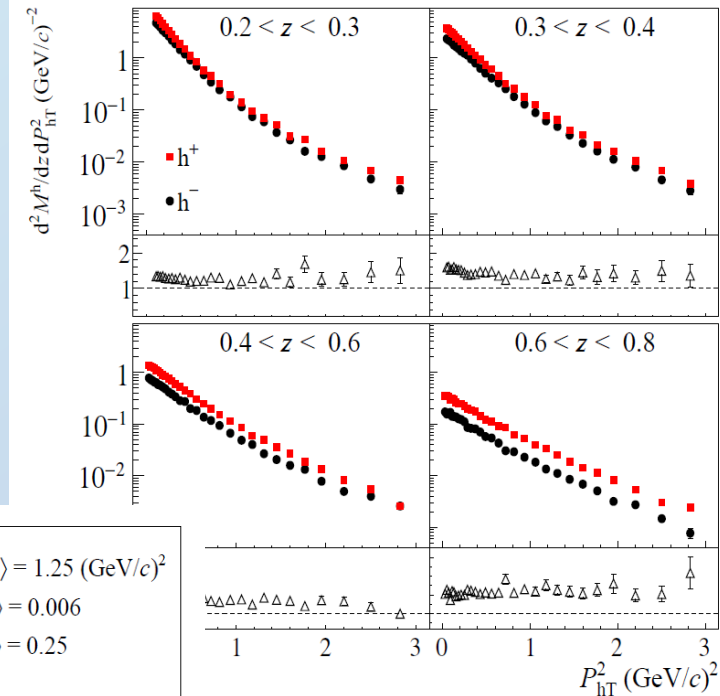


# Transverse momentum dependent fragmentation in SIDIS

COMPASS

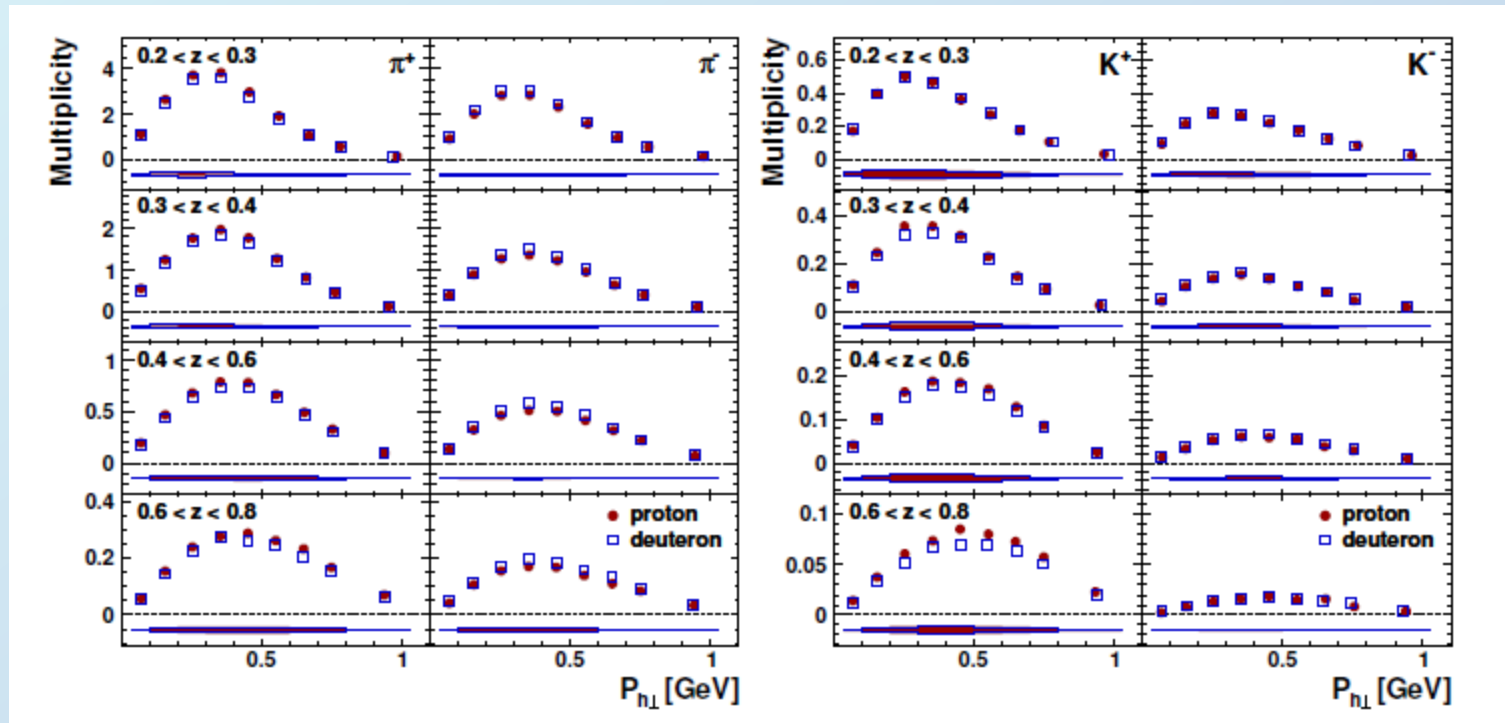
[PRD97 \(2018\) 032006](#)

- Convolution of TMD PDFs and FFs; only  $P_{h\perp}$
- known
- Various recent results from HERMES and COMPASS
- Non-perturbative  $P_{h\perp}$  region can be described by Gaussian, perturbative region needs additional function



# Hermes $P_T$ dependence

Phys.Rev. D87 (2013) 074029



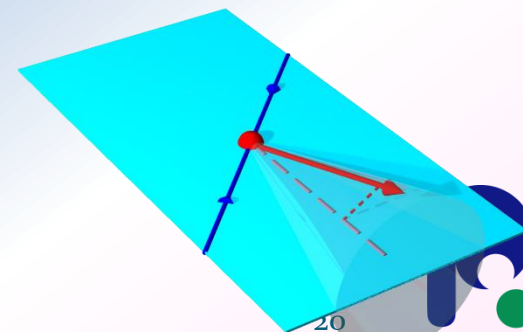
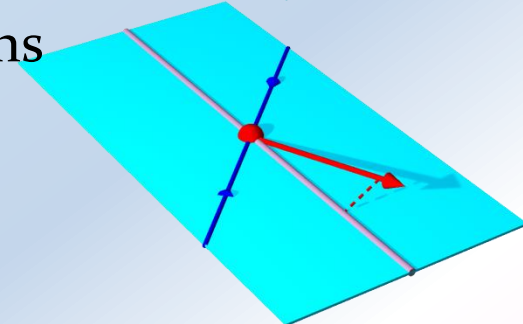
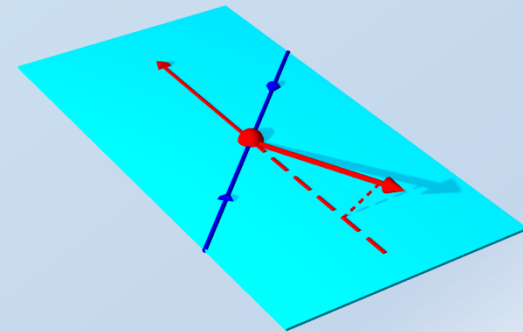
- Width increases with  $z$
- $K^-$  (all sea) wider
- $p$  and  $d$  distributions similar  $\rightarrow$  little favored disfavored difference
- Full 5 dim data available at: <http://hermesmults.appspot.com/>

# Unpol TMD FFs from hadron colliders

- So far “only” Collins results from STAR at 200 and 500 GeV
- Some unpol results from LHC?

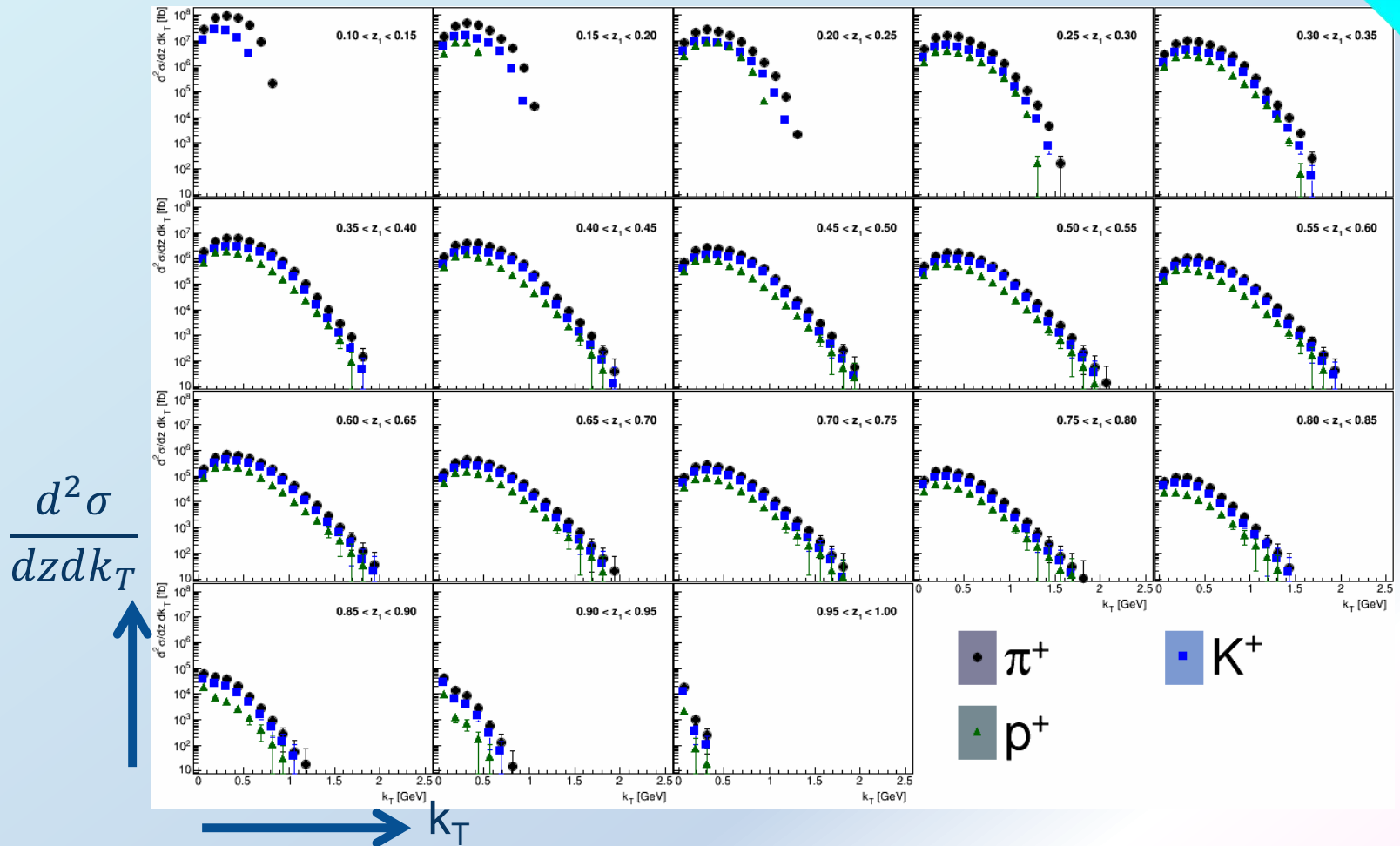
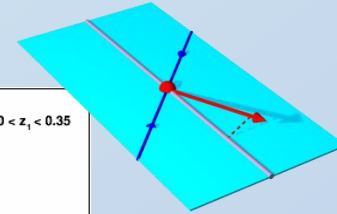
# $K_T$ Dependence of FFs in $e+e-$

- Gain also sensitivity into transverse momentum generated in fragmentation
- Two ways to obtain transverse momentum dependence
  - Traditional **2-hadron** FF
    - use transverse momentum between two hadrons (in opposite hemispheres)
    - Usual convolution of two transverse momenta
  - Single-hadron FF wrt to **Thrust** or jet axis
    - No convolution
    - Need correction for  $q\bar{q}$  axis



# MC sample for various hadrons

## MC simulation



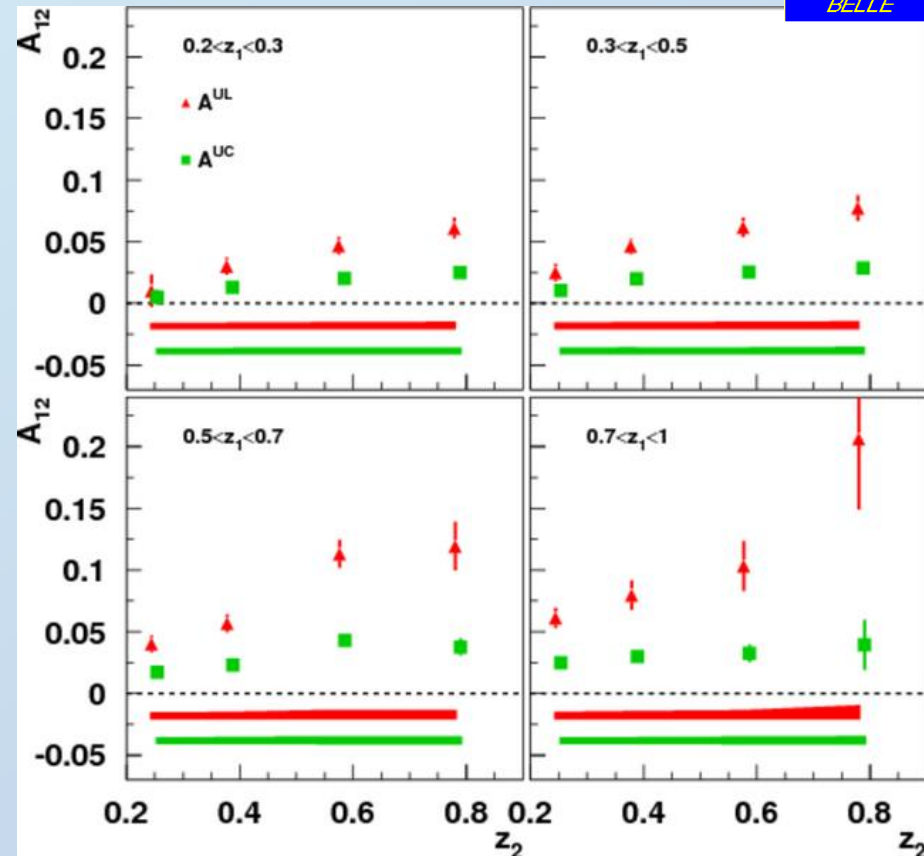
# Spin dependent single hadron fragmentation

$$H_{1,q}^{h,\perp}(z, Q^2, k_t)$$

# Belle Collins asymmetries



- **Red points** :  $\cos(\phi_1 + \phi_2)$  moment of **Unlike** sign pion pairs over **like** sign pion pair ratio :  $A^{UL}$
- **Green points** :  $\cos(\phi_1 + \phi_2)$  moment of **Unlike** sign pion pairs over **any charged** pion pair ratio :  $A^{UC}$
- Collins fragmentation is large effect
- Consistent with SIDIS indication of sign change between favored and disfavored Collins FF

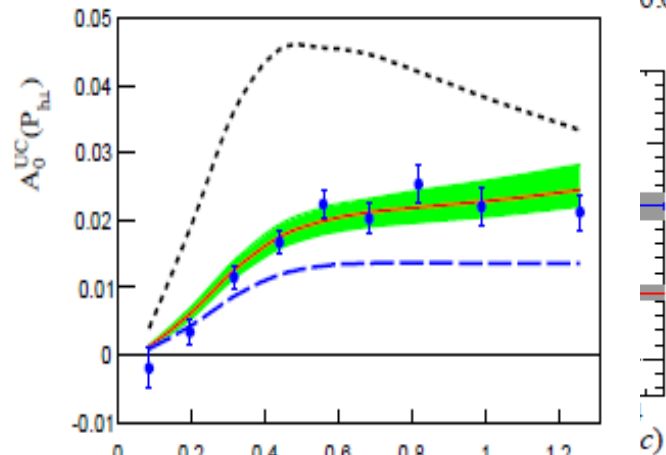
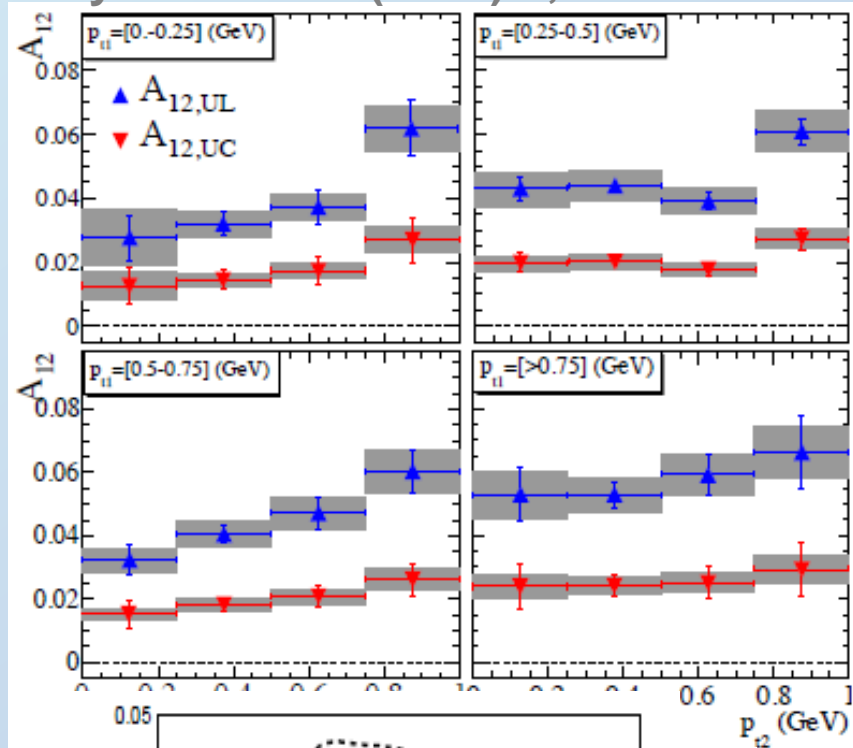


RS et al (Belle), PRL96: 232002  
PRD 78:032011, Erratum D86:039905

# Explicit transverse momentum dependence

Phys.Rev. D90 (2014) 5, 052003

- First explicit transverse momentum dependent extraction for Collins asymmetries (relative to thrust axis\* or second hadron)
- Global Transversity and Collins fit ([PRD93 \(2016\) 014009](#)) able to reproduce the dependence

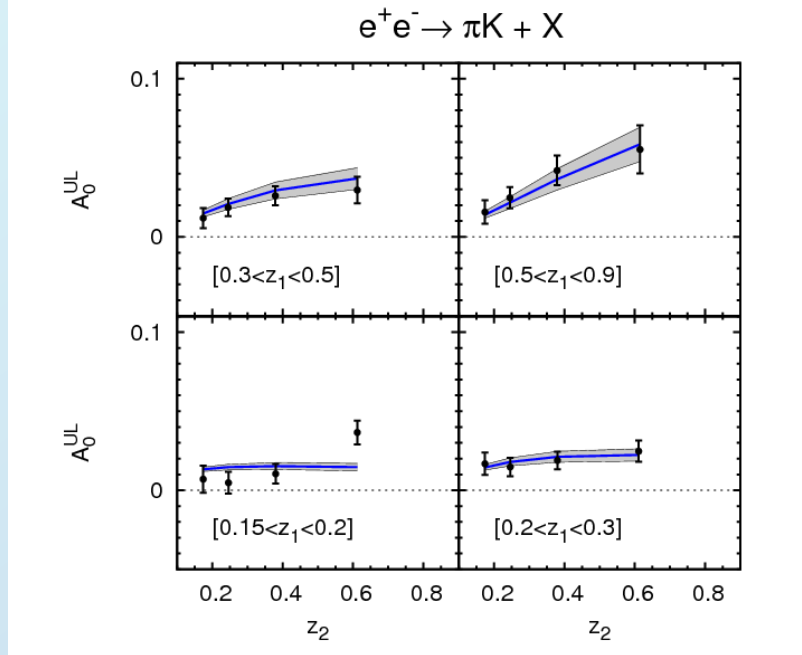




# Quark transversity via Collins: Kaons

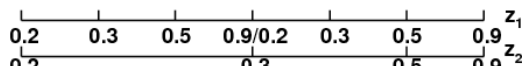
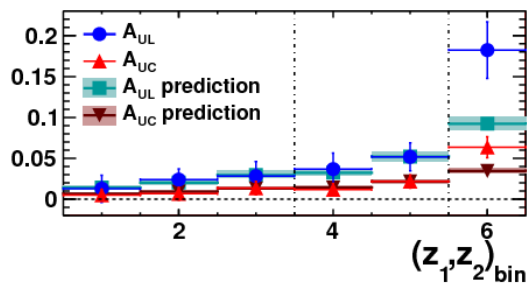
BABAR: [PRD 92 \(2015\) 111101](#)

Anselmino et al: [PRD 93 \(2016\) 034025](#)



- Addition of kaon Collins fragmentation strongly needed for flavor decomposition of quark transversity
- Large amount of potentially participating FFs well described by light and “heavy” favored and disfavored FFs
- Allows inclusion of HERMES and COMPASS kaon asymmetries (+eventually EIC) in fits
- Also: pion Collins at lower scale (BESIII) consistent with TMD evolution
- Also: unpolarized kaon multiplicities from COMPASS

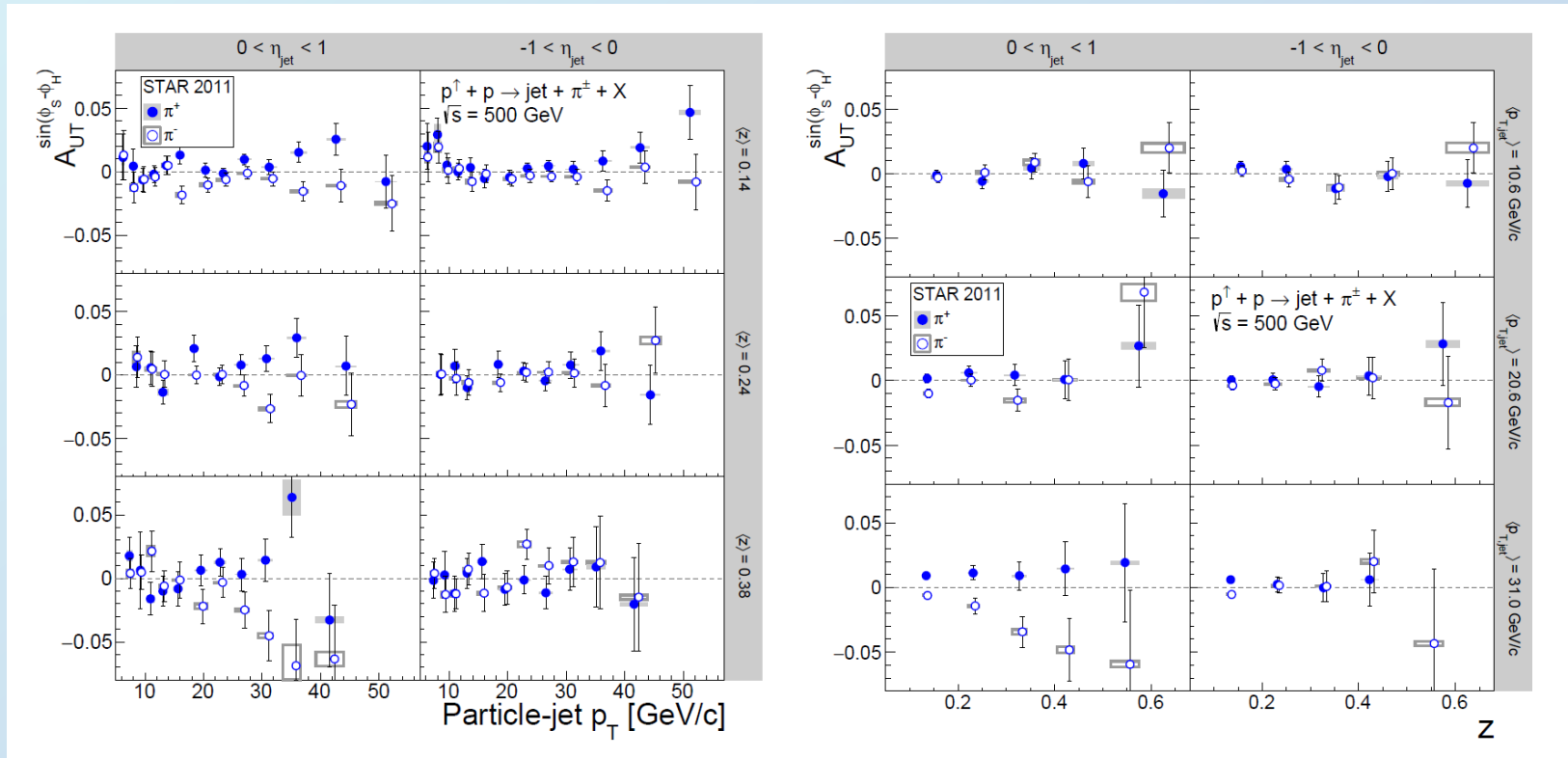
BESIII: [PRL 116 \(2016\) 042001](#)



al overview

# STAR Collins asymmetris

500 GeV: [PRD97 \(2018\) 032004](#)

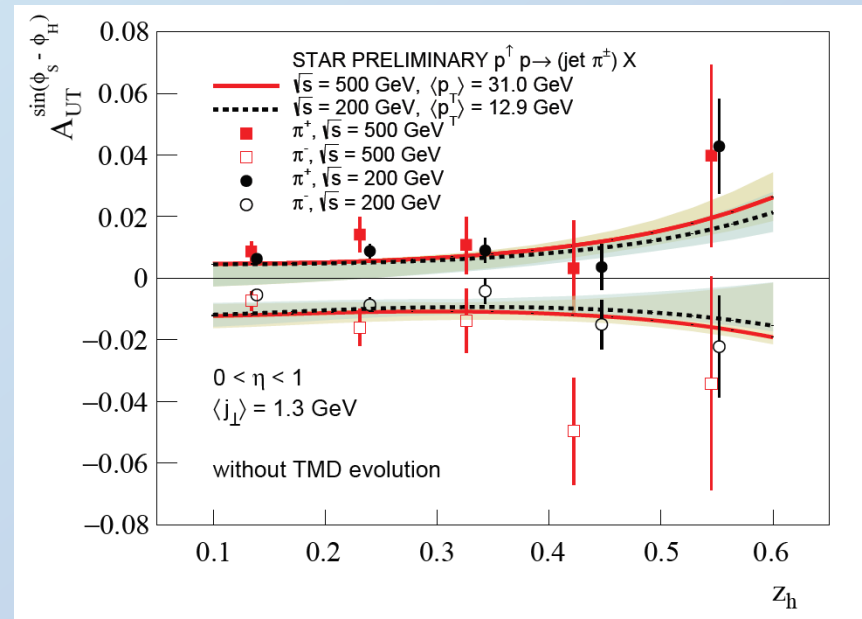


- Little indication of evolution effects

Kang, Prokudin, Ringer, Yuan:

[PLB774 \(2017\) 635-642](#)

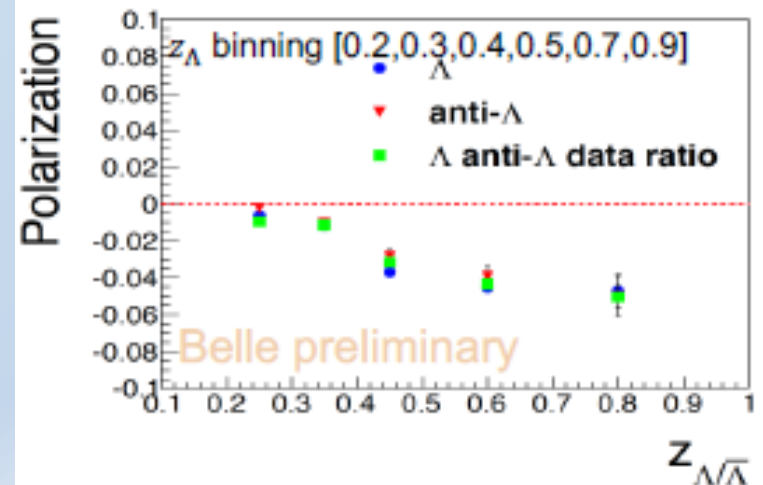
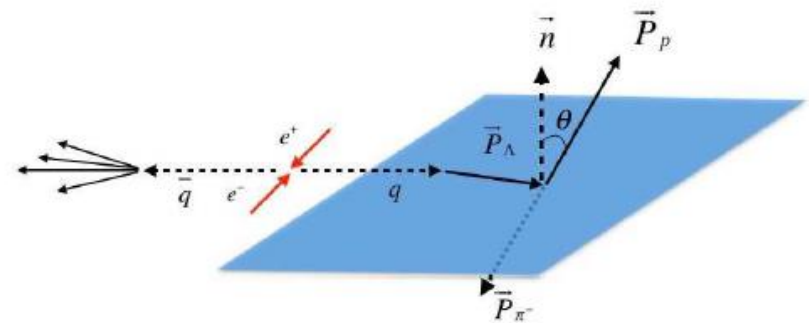
STAR 200: Int.J.Mod.Phys.Conf.Ser. 40 (2016) 1660040



# Single $\Lambda$ polarization measurements

YingHui Guan (Indiana/KEK):  
[arXiv:1611.06648](https://arxiv.org/abs/1611.06648)

- Fragmentation counterpart to the Sivers Function:
  - unpolarized parton fragments into transversely polarized baryon with transverse momentum wrt to parton direction
- Reconstruct  $\Lambda$ , its transverse momentum and polarization



# Spin transfer $D_{TT}$

Jincheng Mei (STAR) at DIS 2017

$$d\Delta_T\sigma^{(p_1 p \rightarrow H_1 X)} \propto \sum_{abcd} \int dx_a dx_b dz \delta f_a(x_a) f_b(x_b) d\Delta_T\sigma^{(a_1 b \rightarrow c_1 d)} \Delta_T D_c^H(z)$$

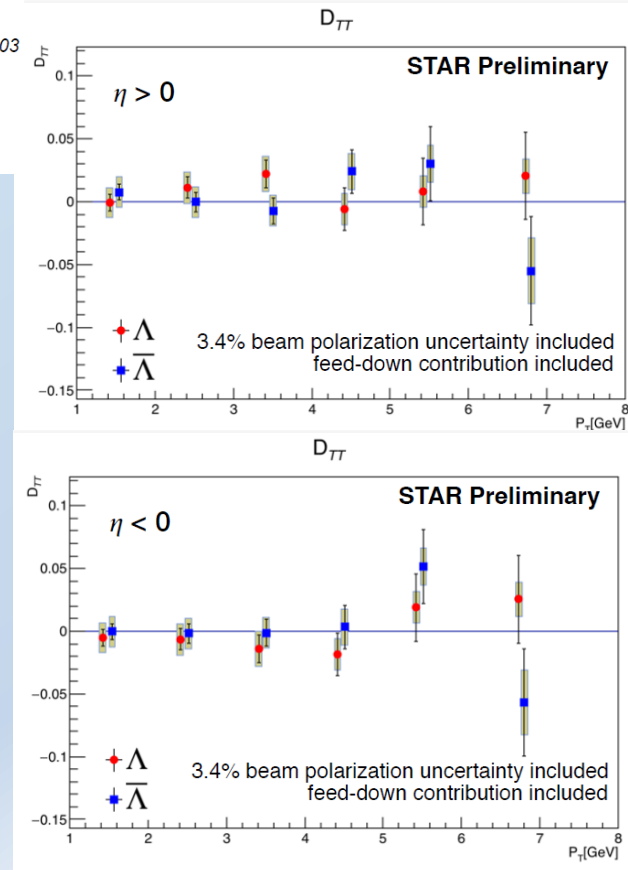
*Xu, Liang, Sichtermann. Phys.Rev. D73 (2006) 077503*

transversity distribution

pQCD calculation

transversely polarized fragmentation function

- Potentially access to transversity via polarized fragmentation function  $H_1$
- Spin transfer is the single spin asymmetry
- At present consistent with zero

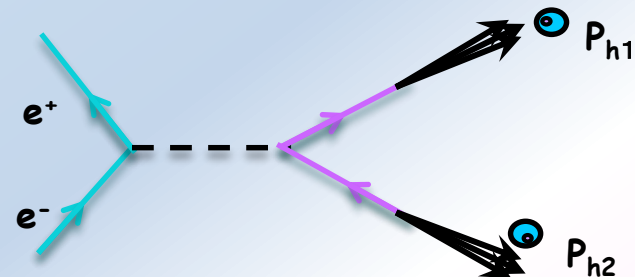
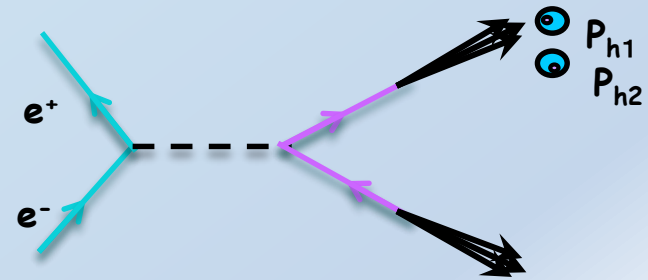


# Di-hadron fragmentation functions

$$D_{1,q}^{h_1 h_2}(z, m, Q^2)$$

$$H_{1,q}^{h_1, h_2, \triangleleft}(z, Q^2, M_h)$$

$$D_{1,q}^h(z_1, Q^2) D_{1,q}^h(z_2, Q^2)$$



# Di-hadrons

In  $e^+e^-$  annihilation:

$$Q = \sqrt{s}$$
$$z = \frac{2E_h}{Q} \approx \frac{E_h}{E_q}$$

- Single inclusive hadron multiplicities ( $e^+e^- \rightarrow hX$ ) sum over all available flavors and quarks and antiquarks:

$$d\sigma(e^+e^- \rightarrow hX)/dz \propto \sum_q e_q^2 (D_{1,q}^h(z, Q^2) + D_{1,\bar{q}}^h(z, Q^2))$$

- Especially distinction between favored (ie  $u \rightarrow \pi^+$ ) and disfavored ( $\bar{u} \rightarrow \pi^+$ ) fragmentation would be important
- Idea: Use di-hadron fragmentation, preferably from opposite hemispheres and access favored and disfavored combinations:

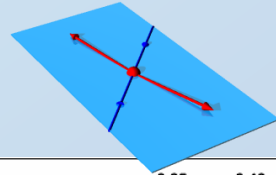
$$u\bar{u} \rightarrow \pi^+ \pi^- X \propto D_{u,fav}^{\pi^+}(z_1, Q^2) \cdot D_{\bar{u},fav}^{\pi^-}(z_2, Q^2) + D_{\bar{u},dis}^{\pi^+}(z_1, Q^2) \cdot D_{u,dis}^{\pi^-}(z_2, Q^2)$$

$$u\bar{u} \rightarrow \pi^+ \pi^+ X \propto D_{u,fav}^{\pi^+}(z_1, Q^2) \cdot D_{\bar{u},dis}^{\pi^+}(z_2, Q^2) + D_{\bar{u},dis}^{\pi^+}(z_1, Q^2) \cdot D_{u,fav}^{\pi^+}(z_2, Q^2)$$

- Also: unpol baseline for interference fragmentation

# Ratios to opposite charge pion pairs

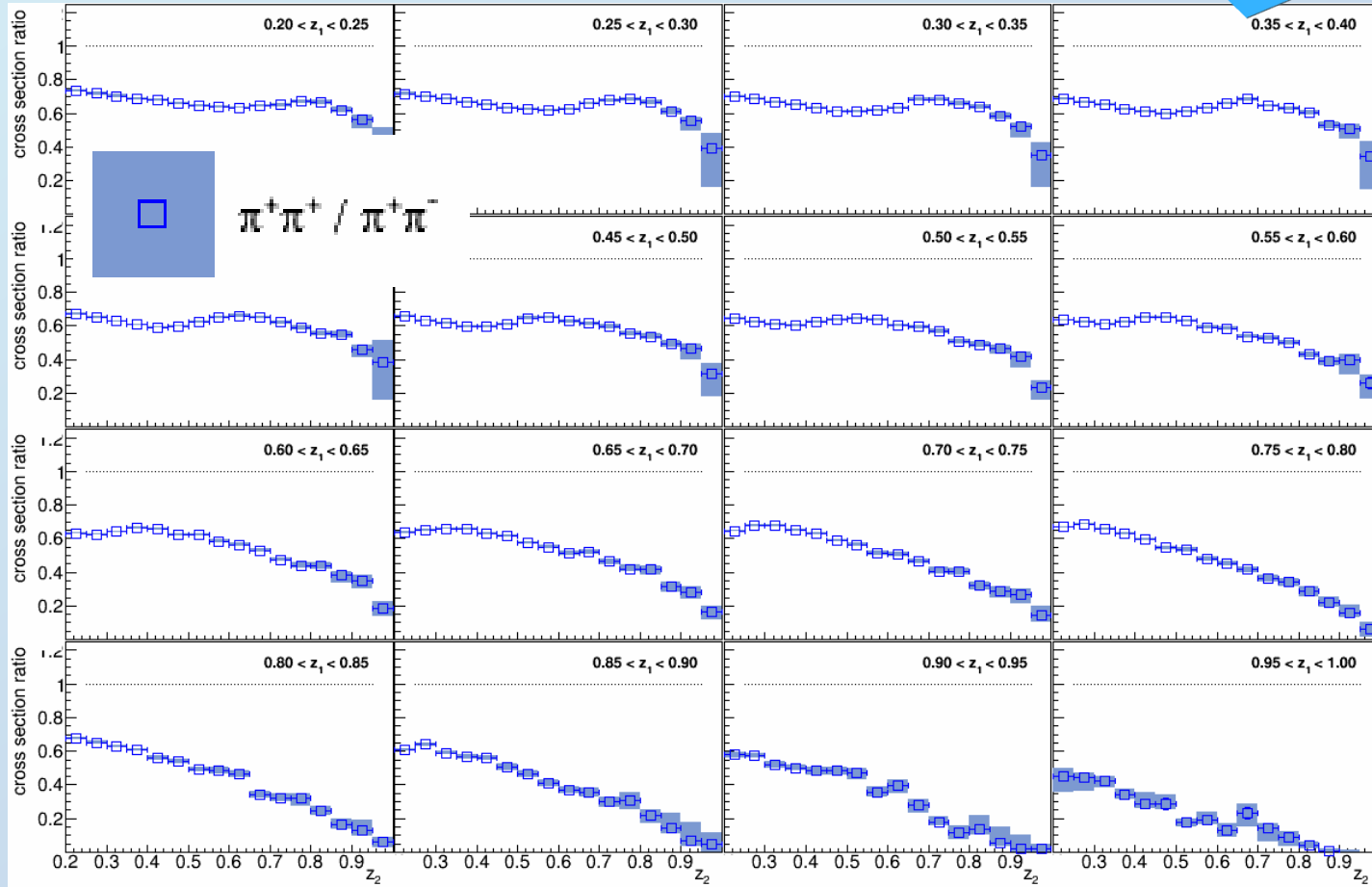
$$R \approx \frac{D_{dis}(z_1)D_{fav}(z_2) + D_{fav}(z_1)D_{dis}(z_2)}{D_{fav}(z_1)D_{fav}(z_2) + D_{dis}(z_1)D_{dis}(z_2)}$$



PRD92 (2015) 092007

$\pi^+\pi^+$  comparable to  $\pi^+\pi^-$  at low  $z$ , decreasing towards high  $z$ :

- Favored and disfavored fragmentation similar at low  $z$
- Disfavored much smaller at high  $z$







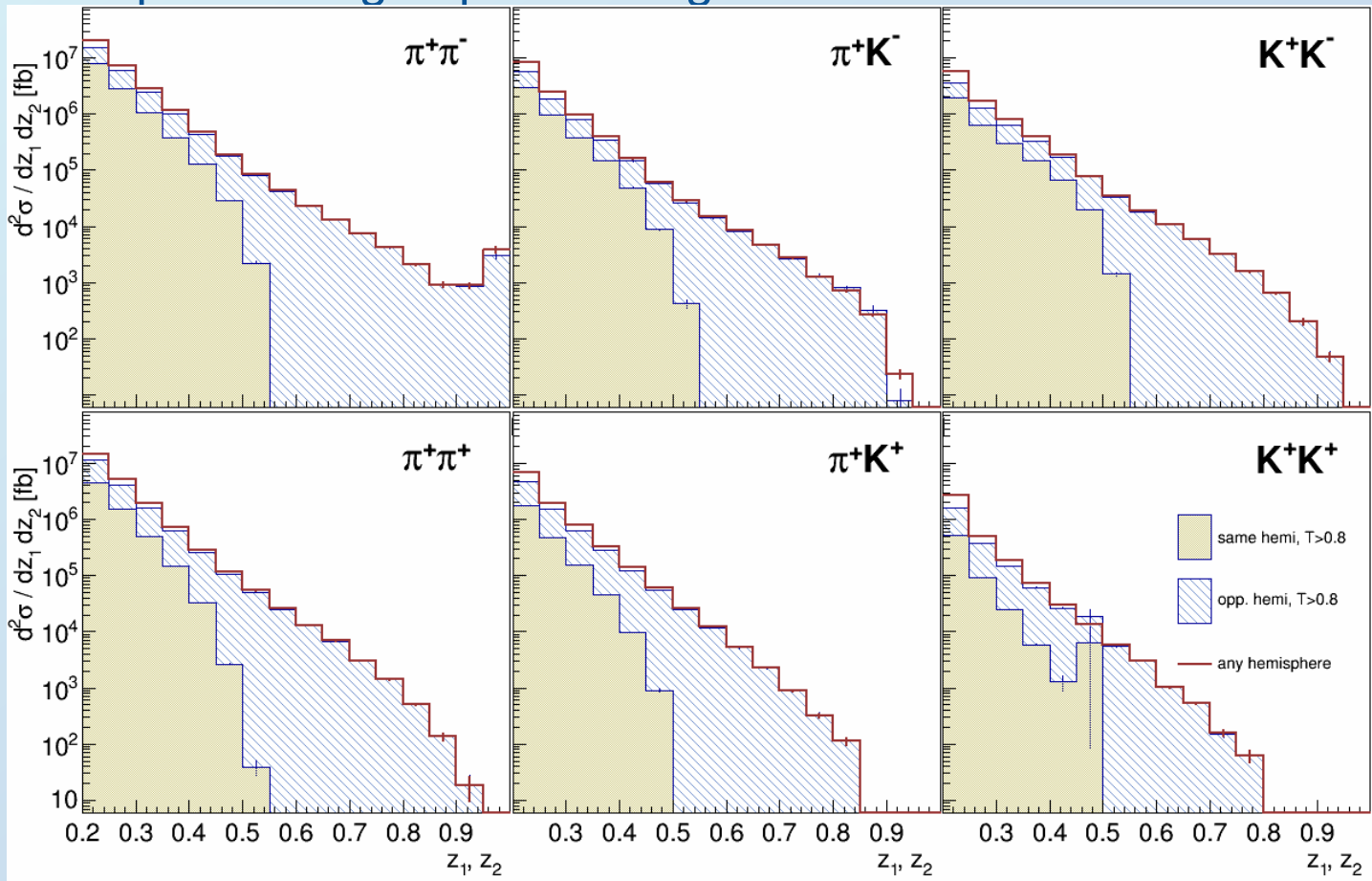
# Hemisphere composition

Same hemisphere contribution drops rapidly: Consistent with LO assumption of

Same hemisphere: single quark  $\rightarrow$  di-hadron FF: ( $z_1+z_2 < 1$ )

Opposite hemisphere: single quark  $\rightarrow$  single hadron FF

Diagonal  
 $z_1, z_2$   
bins

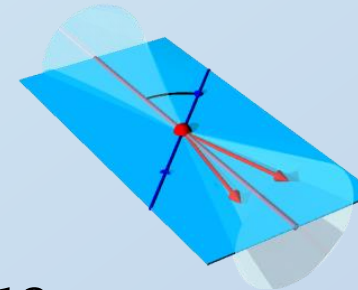


Belle: RS et.al., [PRD92 \(2015\) 092007](#)

Systematic uncertainties not displayed

FF experimental overview

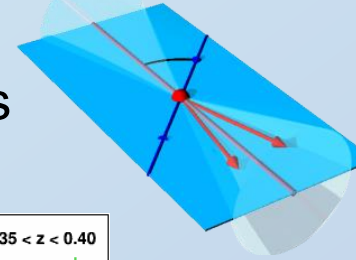
# Explicit di-hadron mass dependence



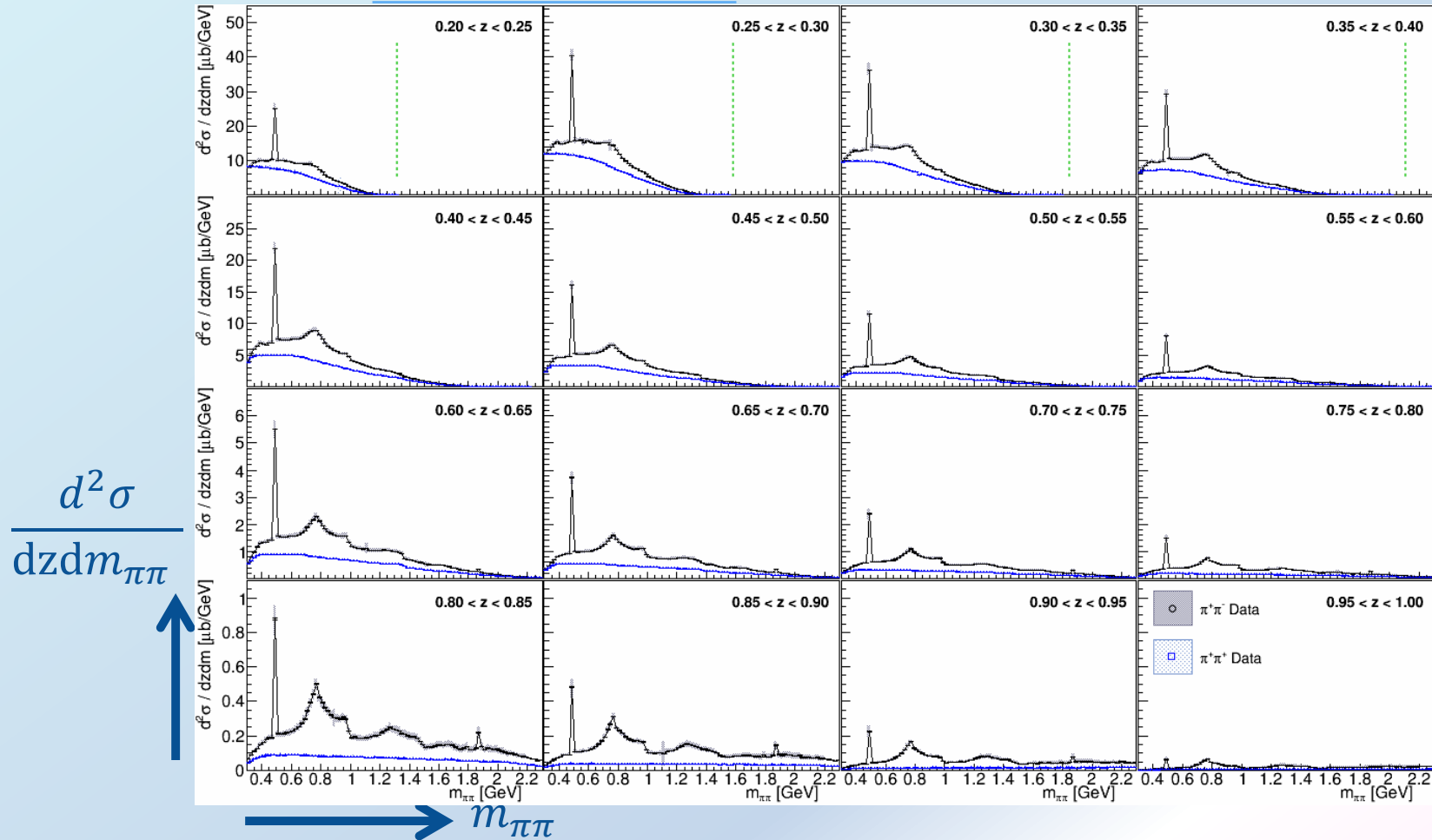
- IFF related asymmetries extracted by Belle in 2011 (PRL107:072004(2011))
  - SIDIS (JHEP 0806 (2008), PLB713 (2012)) and RHIC ([PRL 115 \(2015\) 242501](#)) IFF asymmetries published
  - Global fits currently missing unpolarized di-hadron FF baseline
- Belle to the rescue
- Use same hemisphere di-hadrons for this analysis
  - 16  $z$  bins between 0.2 – 1
  - 100 mass bins between 0.3 – 2.3 GeV

# Di-hadron mass dependence

Similar analysis in same hemisphere and mass – combined  $z$  binning. Important input for IFF based transversity global analysis

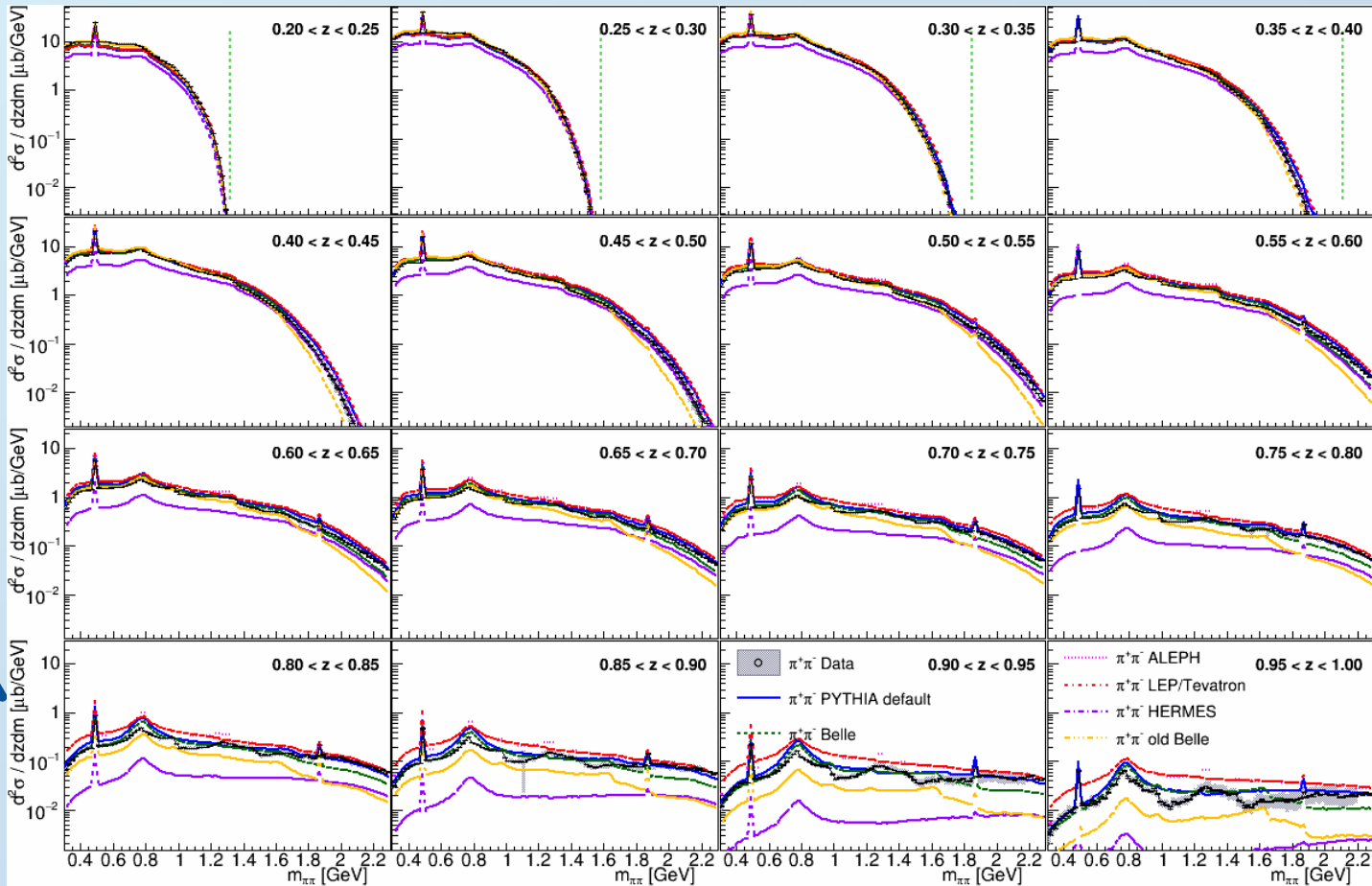


Belle: RS et.al. [arXiv:1706.08348](https://arxiv.org/abs/1706.08348)



# Mass dependence comparisons to Pythia tunes

Magnitude and z dependence reasonable in Pythia 6.4 default,  
 Intermediate mass structure better described by LEP tunes (higher spin mesons)



$\frac{d^2 \sigma}{dz dm_{\pi\pi}}$

↑

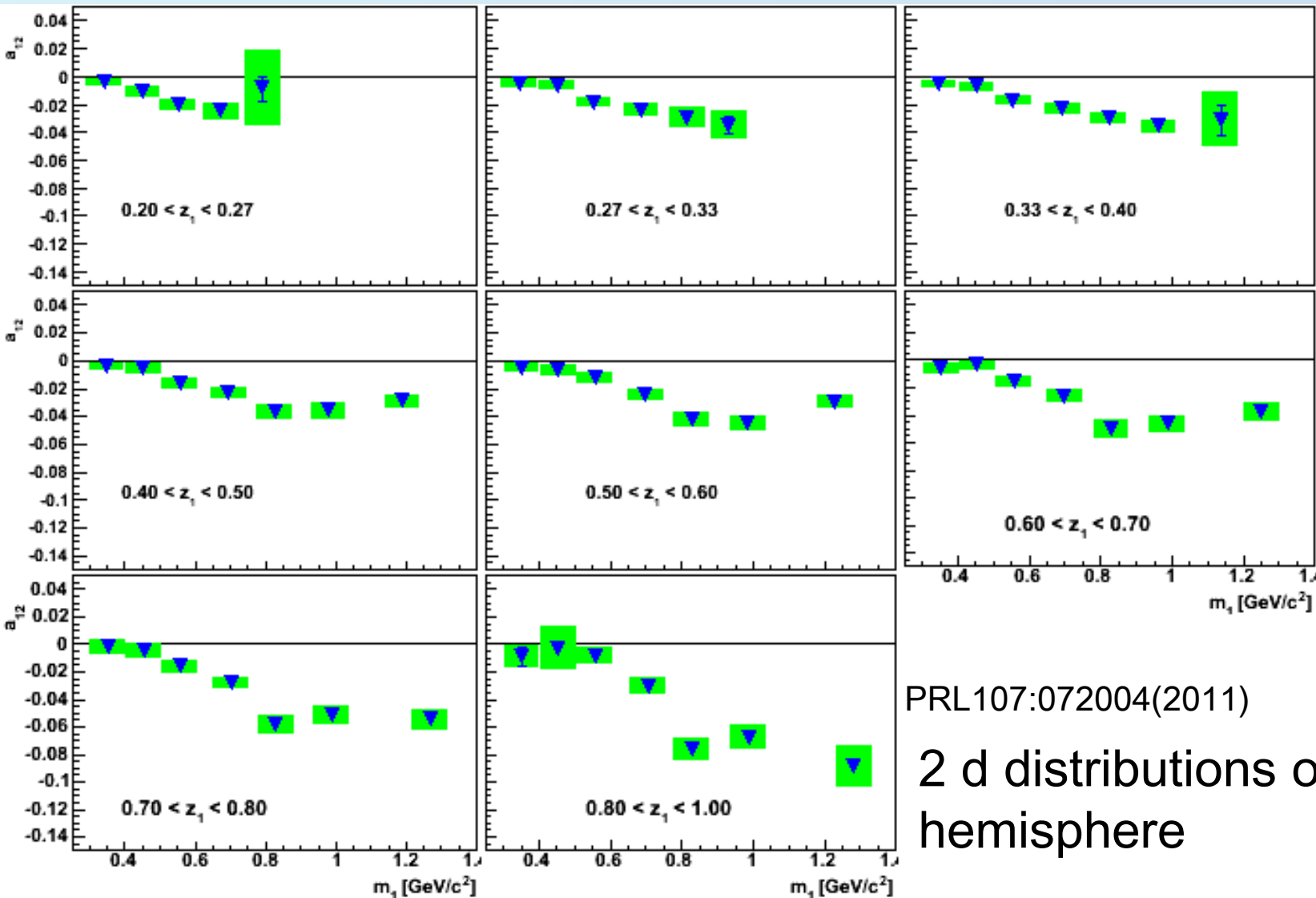
→  $m_{\pi\pi}$

FF experimental overview

# Spin dependent di-hadron fragmentation

$$H_{1,q}^{h_1, h_2, \Delta} (z, Q^2, M_h)$$

# Belle IFF asymmetries: $(z_1 \times m_1)$ Binning

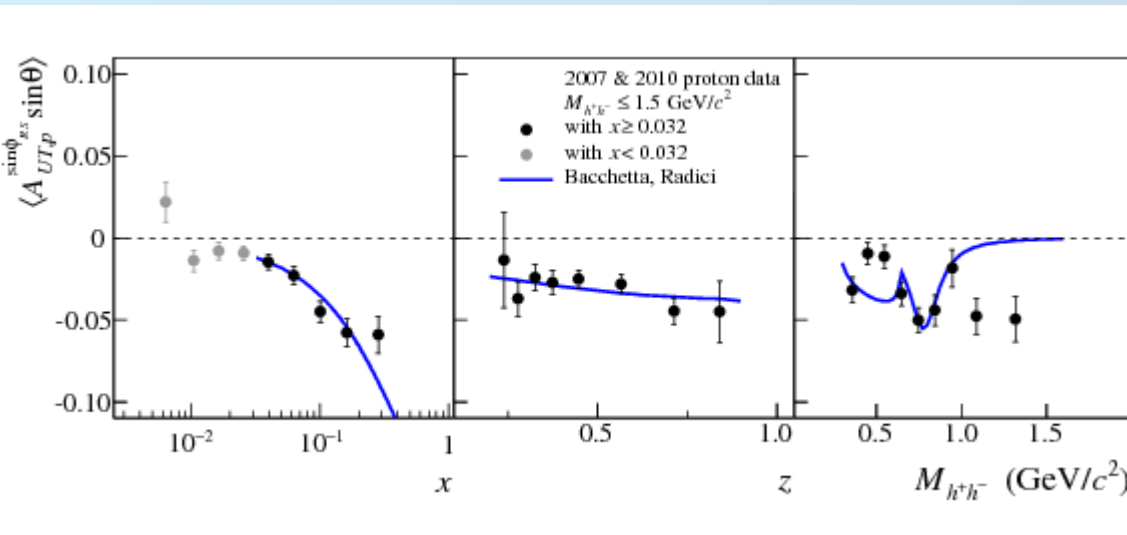


PRL107:072004(2011)

2 d distributions of one hemisphere

# First transversity extraction from HERMES, COMPASS and Belle IFF data

Using Belle IFF and **HERMES** or **COMPASS** to extract transversity compared to Collins FF based global analysis:



- recent IFF analysis and Collins Transversity comparable  
→ CollinsFF evolution weak?
- But many assumptions at this point on unpol DiFFs

Courtoy, Bacchetta, Radici:

Phys.Rev.Lett. 107 (2011) 012001 and [arXiv:1206.1836](https://arxiv.org/abs/1206.1836),

latest update [JHEP 1505 \(2015\) 123](https://arxiv.org/abs/1505.123)

HERMES: JHEP 0806 (2008)

COMPASS: PLB713 (2012), [PLB736 \(2014\) 124](https://arxiv.org/abs/1404.124)

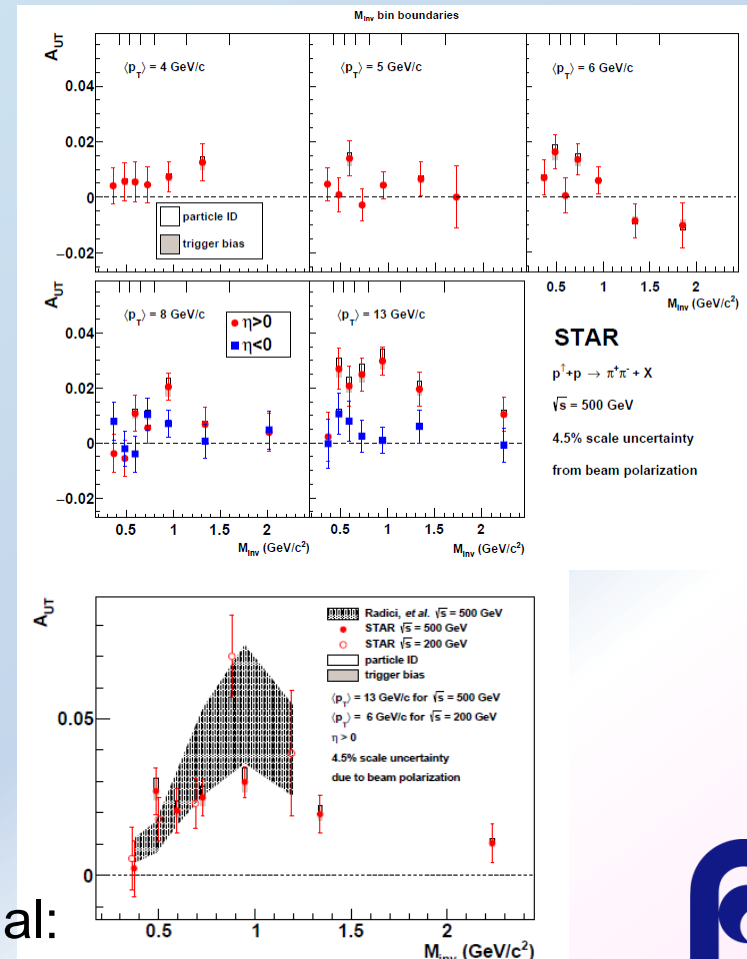
# STAR IFF results

STAR 510 GeV:

<http://arxiv.org/abs/arXiv:1710.10215>

200GeV: [PRL 115 \(2015\) 242501](https://arxiv.org/abs/1505.04771)

- Now both 200 and 510 GeV results finalized
- Both with substantial nonzero effects at:
  - Forward rapidities
  - Higher Pt
  - Masses around 1 GeV
- First theory predictions from SIDIS+Belle consistent with magnitude
  - will help improve transversity uncertainties
  - but gluon DIFFs not well known



Radici et al:

<http://arxiv.org/abs/arXiv:1802.05212>



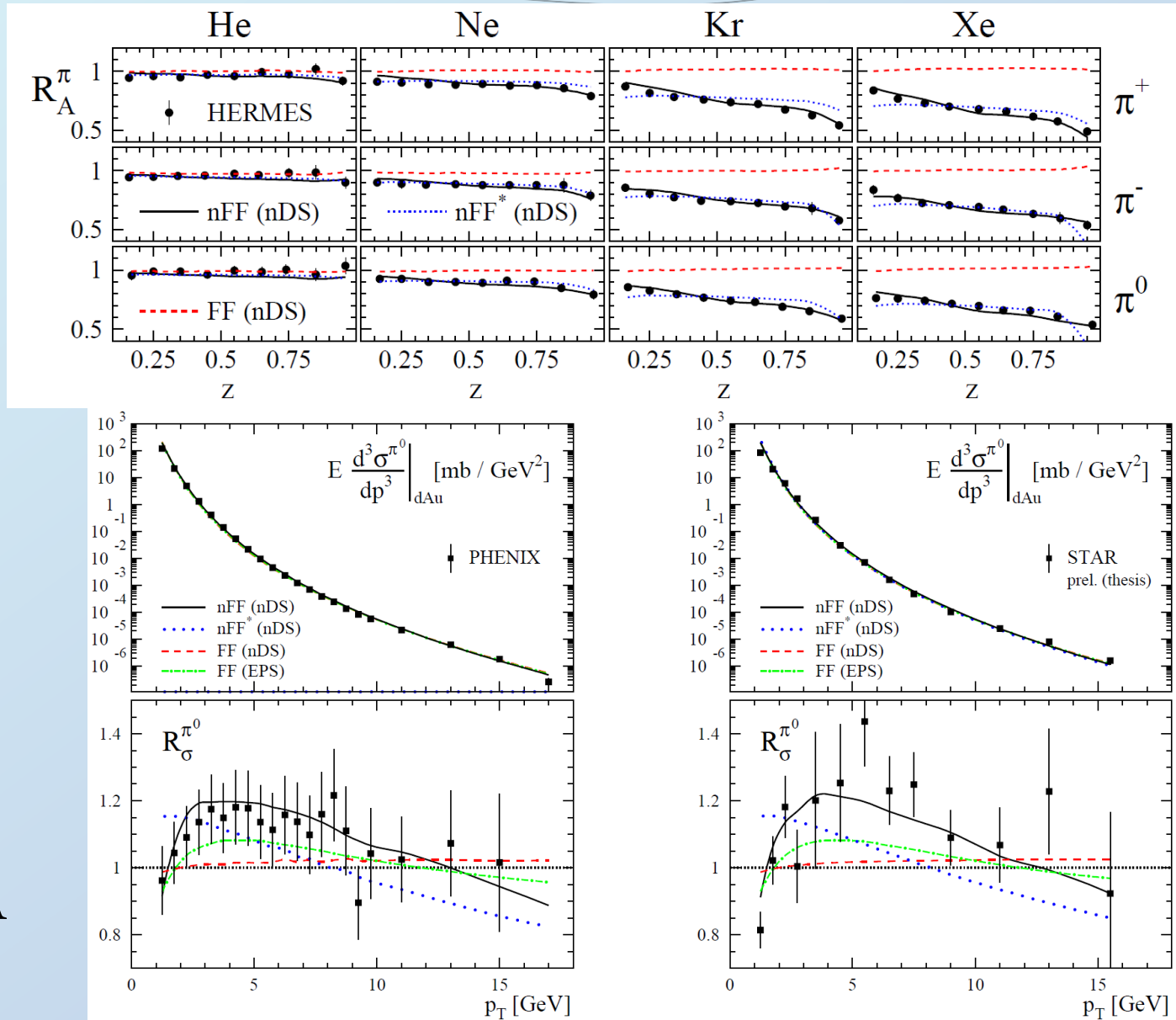


# Nuclear FFs

$$D_{1,q/A}^h(z, Q^2)$$

# nFFs

- Some difficulty disentangling nPDFs and nFFs
- Limited amount of data so far
- More to come from RHIC pA and LHC pPb



# Summary and outlook

- Several finalized single hadron FF related measurements became available in the past several years from SIDIS,  $e+e^-$ , RHIC and LHC
- $K_t$  dependent FFs (pol/unpol) so far only available from SIDIS, soon to get  $e+e^-$  data as well
- Nonzero Lambda polarization!
- Also several other baryon/hyperon/charmed baryon cross sections available now
- STAR Collins and IFF results mostly finalized for older data, more to come