

Understanding the x-ray emission spectrum after excitation with a source of x-rays: from theory to experiment

Jorge E. Fernández^{1,2}

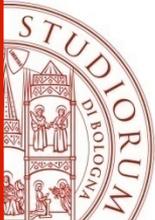
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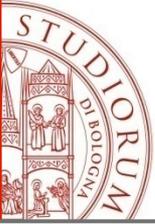
Outline

- Description of x-ray emission
- Detector modification
 - Pulse pile-up.
 - Detector response function.



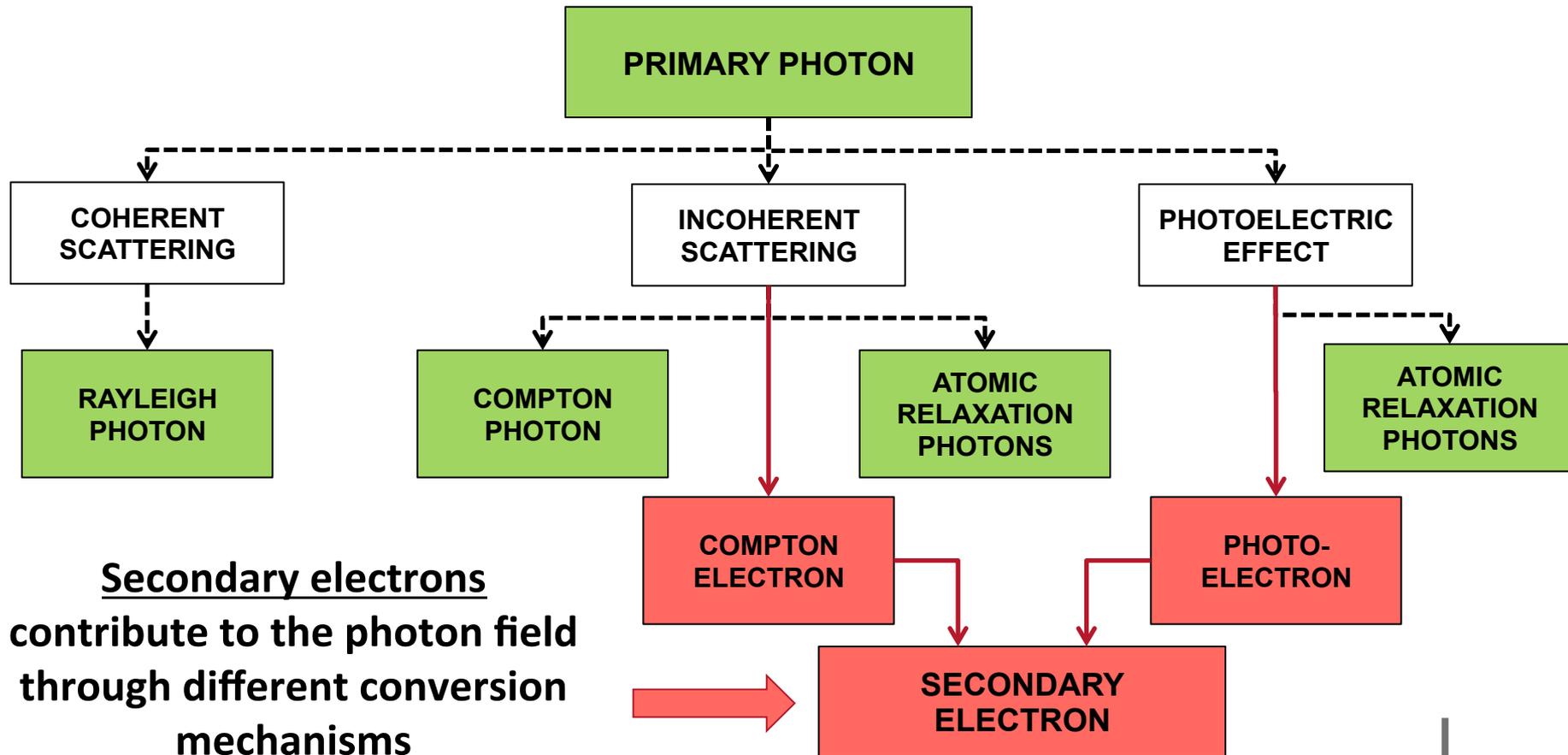
MULTIPLE SCATTERING IN X-RAY SPECTROMETRY

- X-rays penetrate deeply into the matter, and, in a thick medium, give place to a phenomenon known as **multiple scattering** (i.e, multiple collisions).
- Multiple scattering **characterizes** the radiation field in a thick medium
- Multiple scattering models describe the influence of the **prevailing interactions** in the x-ray regime to describe the radiation field.
- The emitted X-ray fluorescence spectrum is easily obtained from the radiation field, and is **strongly modified by multiple scattering**.
- Another important factor to characterize the radiation field is the **effect of the polarization**.



Scheme of X-ray interaction mechanisms

The full description of the radiation field requires the modeling of **coupled photon-electron transport**



Secondary electrons contribute to the photon field through different conversion mechanisms



Multiple scattering is usually described using the Boltzmann transport model

The **photon interactions** are depicted with the **interaction kernels** k_i

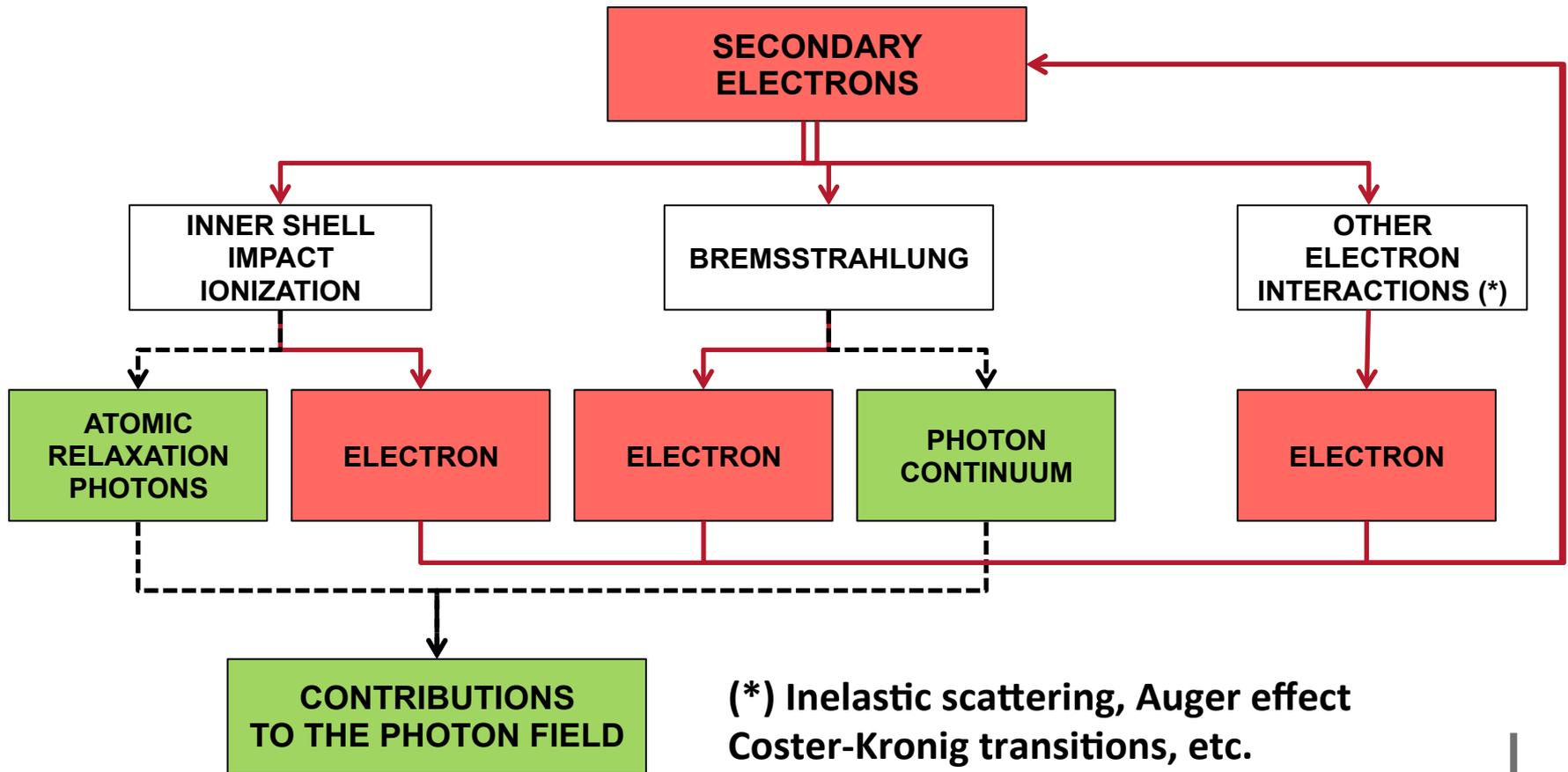
$$\eta \frac{\partial}{\partial z} f(z, \vec{\omega}, E) = -\mu(E) f(z, \vec{\omega}, E) + \sum_i^{\text{all interactions}} \int_0^\infty \left(\int_{4\pi} \cup(z) k_i(\vec{\omega}', E', \vec{\omega}, E) f(z, \vec{\omega}', E') d\omega' \right) dE' + S(z, \vec{\omega}, E)$$

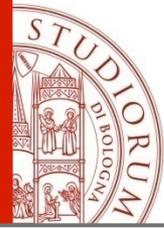
Not all the radiative contributions involved in an X-ray transport process are **considered by the Boltzmann model** and by the used interaction kernels k_i



X-ray production mechanisms from coupling terms

The full description of the radiation field requires the modeling of **coupled photon-electron transport**





MS is better described using the **modified** Boltzmann transport model

The Boltzmann transport model has been recently modified to include the **electron-photon** contributions

$$\left\{ \begin{array}{l} \eta \partial / \partial z f_{\uparrow p}(z, \omega, E) = -\mu_{\uparrow p}(E) f_{\uparrow p}(z, \omega, E) + \sum_i \uparrow \text{all photon} \\ \text{interactions} \int_0^{\infty} \int (4\pi \uparrow \dots \cup(z) k \downarrow i \uparrow p \rightarrow p(\omega \uparrow', E \uparrow', \omega, E) f_{\uparrow p}(z, \omega \uparrow', E \uparrow') d\omega \uparrow') d \\ E \uparrow' + \sum_j \uparrow \text{all coupling terms} \int_0^{\infty} \int (4\pi \uparrow \dots \cup(z) k \downarrow j \uparrow e \rightarrow p(\omega \uparrow', E \uparrow', \omega, E) f_{\uparrow p}(z, \omega \\ \uparrow', E \uparrow') d\omega \uparrow') dE \uparrow' + S_{\uparrow p}(z, \omega, E) \end{array} \right.$$

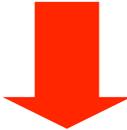




We need to include also the polarization WHY POLARIZATION?

Polarization state  **wave nature of photons**

**By considering polarization we improve the model
of photon diffusion**


**a good approximation in many cases, but not for
phenomena that are influenced by their wave
properties**

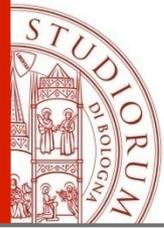


PHOTON DIFFUSION IS DESCRIBED BY A “VECTOR” TRANSPORT EQUATION (THE 1-D EQUATION IS SHOWN HERE)

$$\left\{ \eta \frac{\partial}{\partial z} f_p(z, \omega, E) = -\mu_p(E) f_p(z, \omega, E) + \sum_i \text{all photon interactions} \int_0^\infty \int_{4\pi} U(z) H_{i \rightarrow p}(\omega', E', \omega, E) f_p(z, \omega', E') d\omega' dE' + \sum_j \text{all coupling terms} \int_0^\infty \int_{4\pi} U(z) H_{j \rightarrow p}(\omega', E', \omega, E) f_p(z, \omega', E') d\omega' dE' + S_p(z, \omega, E) \right.$$

where

$$\bar{f} = \begin{bmatrix} I(z, \bar{\omega}, \lambda) \\ Q(z, \bar{\omega}, \lambda) \\ U(z, \bar{\omega}, \lambda) \\ V(z, \bar{\omega}, \lambda) \end{bmatrix}$$



VECTOR TRANSPORT EQUATION (CONT.)

where

$$H^{(S)}(\bar{\omega}, \lambda, \bar{\omega}', \lambda') = L^{(S)}(\pi - \Psi) K^{(S)}(\bar{\omega}, \lambda, \bar{\omega}', \lambda') L^{(S)}(-\Psi')$$

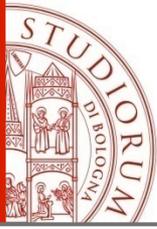
$H^{(S)}$ = kernel matrix in the meridian plane of reference

$K^{(S)}$ = scattering matrix in the scattering plane of reference



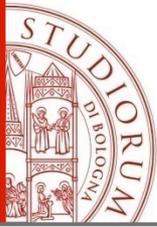
IMPORTANT PROPERTIES OF THE “VECTOR” TRANSPORT EQUATION

- Describes the evolution of the **full polarization state** (not only the intensity of the beam)
- Is **linear** (for the Stokes representation)
- Requires the **simultaneous solution** of the whole set of transport equations
- **Cannot be transformed in a scalar equation !!** (due to the coupling in the scattering term)



TWO RELEVANT ASPECTS

- A collision **always** changes the polarization state
- The **angular distribution** for scattered unpolarized and polarized photons is **very different**



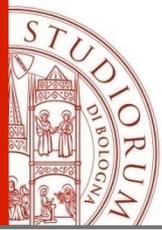
MCSHAPE

MCSHAPE is a Monte Carlo code developed at the University of Bologna which can simulate the diffusion of photons with arbitrary polarization state and has the unique feature of describing the evolution of the polarization state along the interactions with the atoms.

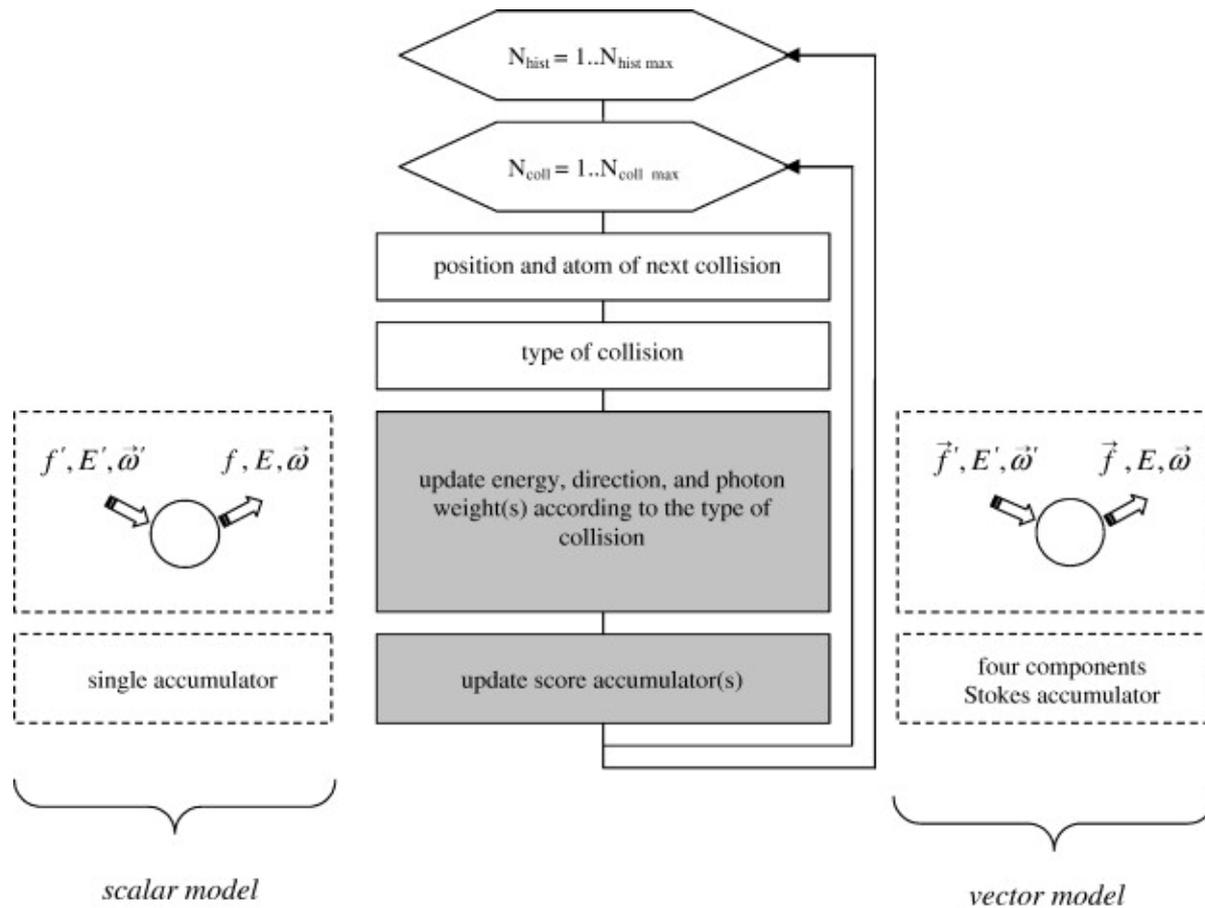
The adopted transport model is derived from the so called Boltzmann-Chandrasekhar 'vector' transport equation. The polarization state of the photons is described by using the Stokes parameters I, Q, U and V, having the dimension of intensities and containing the physical information about the polarization state.

This code simulates the propagation in heterogeneous media of photons injected by either polarized (i.e., synchrotron) or unpolarized sources (x-ray tubes).

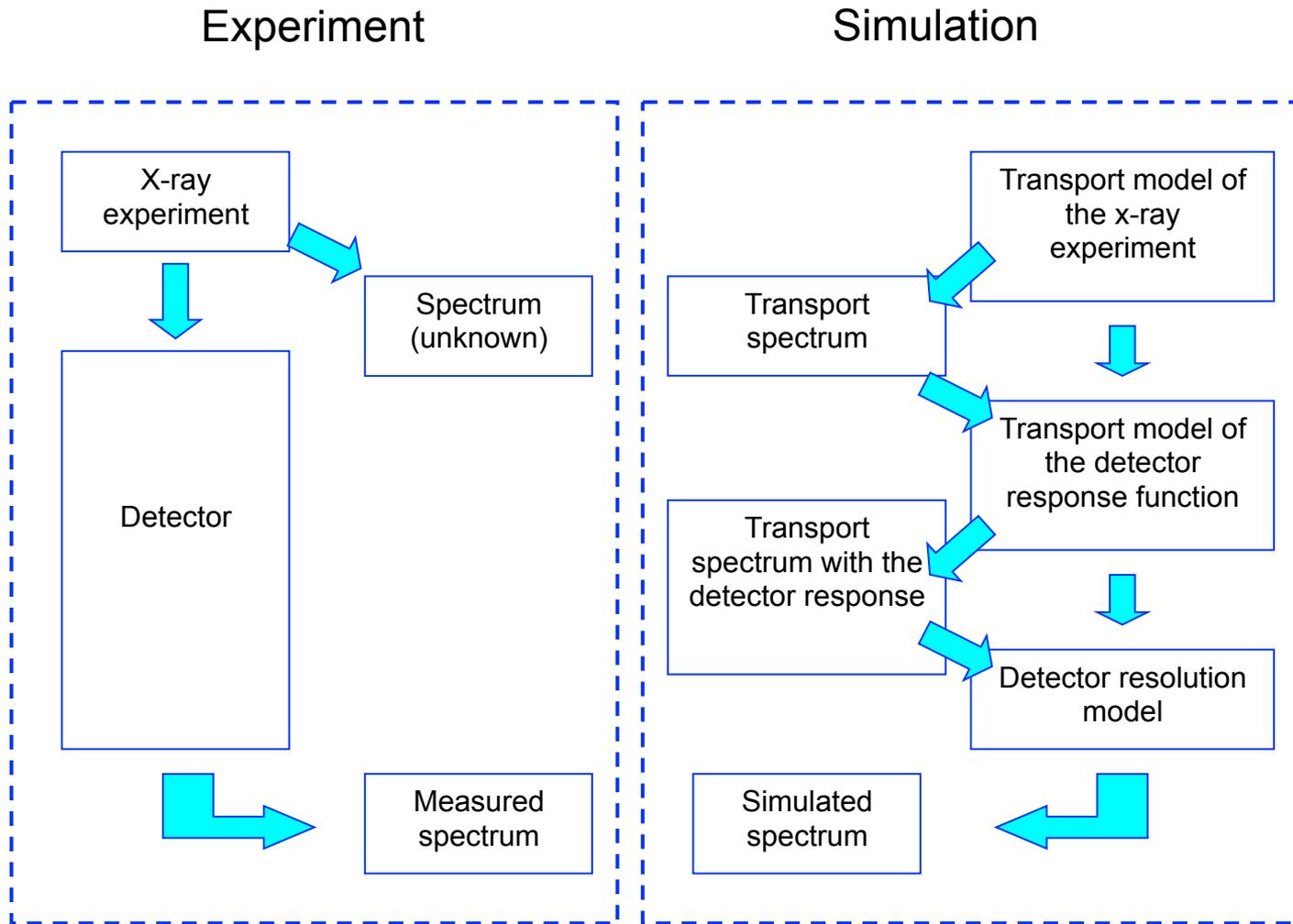
- Website: <http://shape.ing.unibo.it>



Differences between the computational structures of scalar and vector MC models

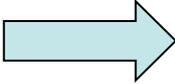


Schematic diagram of a simulation with MCSHAPE, compared with the experimental steps for a spectrum measurement.



Outline

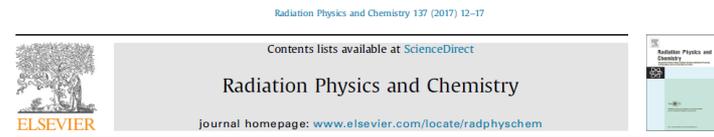
- **Detector modification**

- 
- Pulse pile-up.
 - Detector response function.



Multi-shape pulse pile-up correction: The MCPPU code

Lorenzo Sabbatucci, Viviana Scot, Jorge E. Fernandez*



First principles pulse pile-up balance equation and fast deterministic solution

Lorenzo Sabbatucci^a, Jorge E. Fernández^{a,b,*}

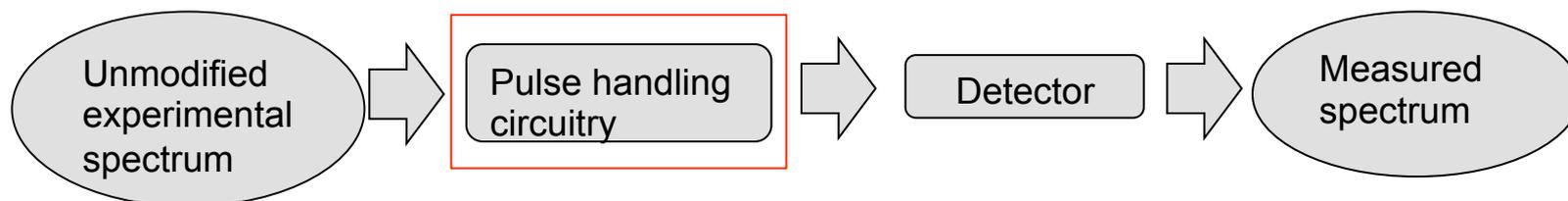
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L. Sabbatucci, Viviana Scot, J.E. Fernandez: Multi shape pulse pile-up correction: the MCPPU code. Radiat. Phys. Chem. 104,(2014) 372-375

L. Sabbatucci, J.E. Fernandez: First principles Pulse Pile-Up balance equation and fast deterministic solution, Radiat. Phys. Chem. 137 (2017) 12-17

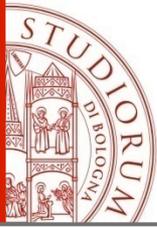
Pulse Pile-Up problem



- Radiation emission is a process **randomly spaced in time**. At high counts many pulses have time gap much smaller than their width, then **pile-up** effects occur.

Pulse pile-up has three consequences:

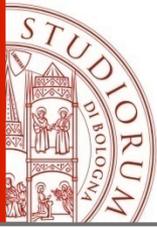
- **Loss of counts**: the sum of the pulses is detected instead of the separate pulses
 - Piled-up pulses are distributed at **wrong energies**
 - The **whole spectrum is distorted** since the lost pulses are not collected at the proper energies
-
- Modern detectors usually comprise **built-in electronics** which **partially reduce pile-up effects** either by limiting the pulse tail (i.e. Rise Time Discrimination, RTD) and/or by using additional rejection circuits.



Monte Carlo Approach: The code MCPPU

- MCPPU (Monte Carlo Pulse Pile-Up) is a **general purpose** code to perform **post-processing pile-up** correction on spectra obtained with different detectors.
- The code can correct pile-up distortions for spectra collected **with or without electronic reduction circuitry**.
- It is based on a modified version of the **Monte Carlo algorithm** developed originally by Gardner and Lee, Adv. X-ray Anal. 41 (1999) 941–950 and by Guo, Lee and Gardner, NIMA 531 (2004) 520-529.
- **All orders of pile-up** are taken into account.
- It allows the **use of the pulse shape of the detector** introduced by the user by means of an external text file.
- **MCPPU automatically identify the dead time of the counting system** to use in the pile-up recovery.
- MCPPU presents an **user-friendly graphical interface**.

L. Sabbatucci, V. Scot, J. E. Fernandez: Multi-shape pulse pile-up correction: the MCPPU code. Radiat. Phys. Chem. 104 (2014) 372–375.



Deterministic approach: code DRPPU

- It was derived a **balance equation based on first principles** assuming:
 1. an **ideal MCA** of infinitesimal energy bins width,
 2. only **second order PPU**,
 3. a **rectangular** pulse shape.

$$y(E) = [1 - 2\lambda\tau \exp(-\lambda\tau)]h(E) + N_t \lambda\tau \exp(-\lambda\tau) \int \bar{h}(E_1) \bar{h}(E - E_1) dE_1$$

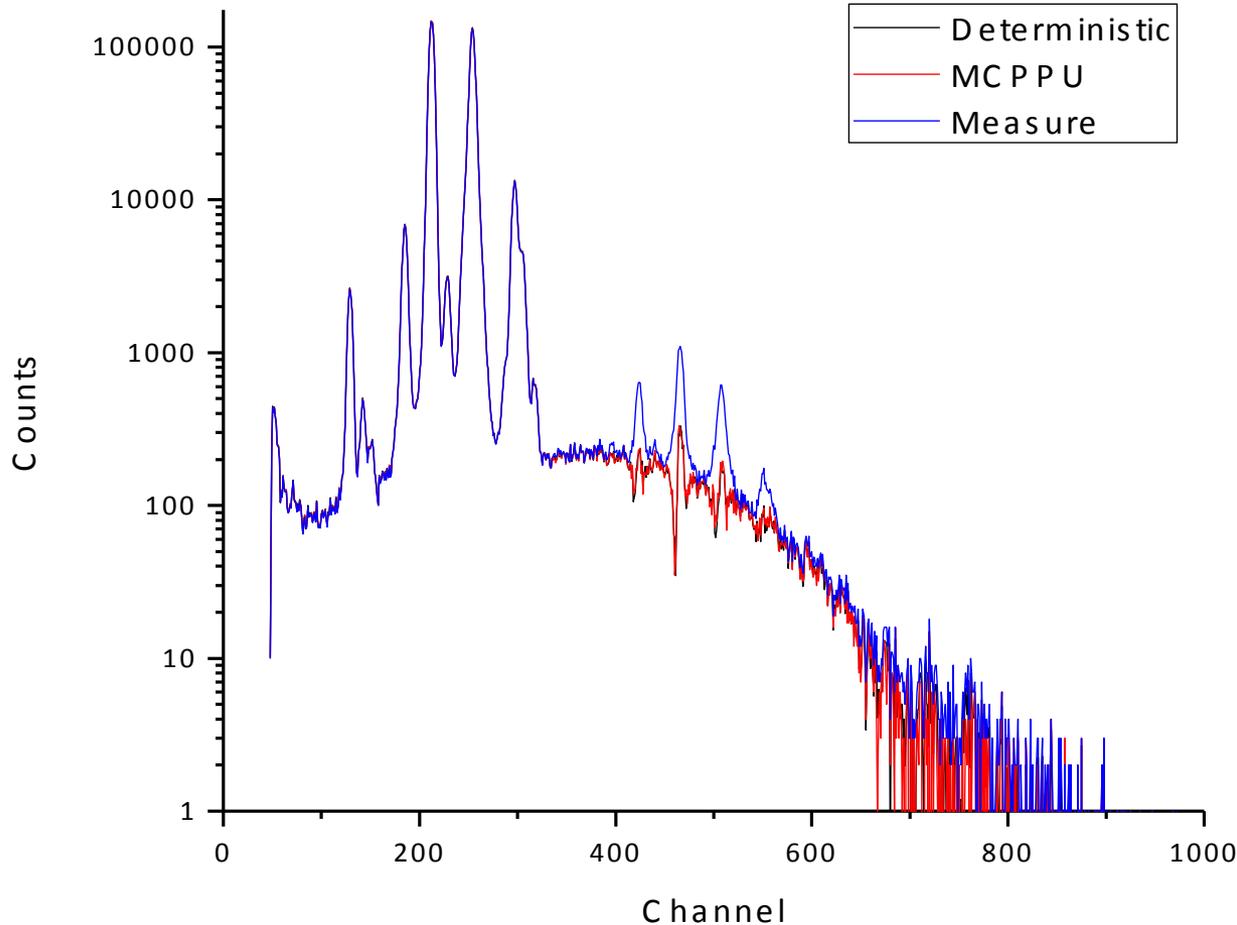
- The solution of this equation is found using the following iterative strategy:

$$\omega(E) = -ax^{(i)}(E) + b \int x^{(i)}(E_1) x^{(i-1)}(E - E_1) dE_1$$
$$x^{(0)}(E) = \omega(E)$$

Where we define:

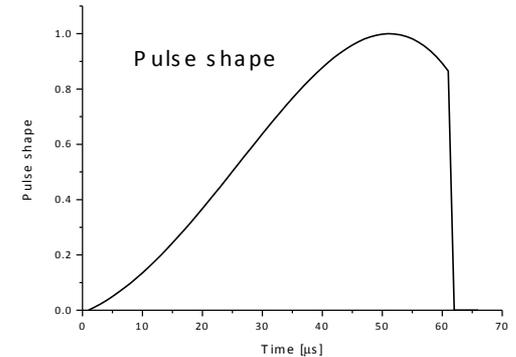
$$\omega(E) = \bar{y}(E) / N_t$$
$$b = \lambda\tau \exp(-\lambda\tau)$$
$$a = 1 - 2b$$
$$N_t = \frac{\int y(E) dE}{1 - b}$$

Pb sample



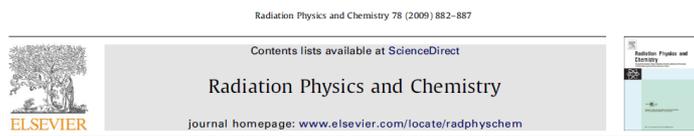
W X-ray tube operating at 35 kV
SSD Detector (Si): Amptek
XR-100CR
Electronic rejection circuitry and
RTD active

Live time: 600 s
Total counts: 2291631
Count rate: 3819.39
Dead time: $2.5e-6$ s



Outline

- **Detector modification:**
 - Pulse pile-up.
 - Detector response function.



Simulation of the detector response function with the code MCSHAPE

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Research article

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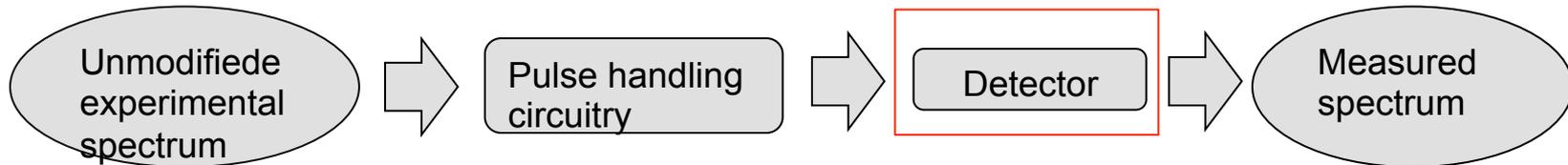
A modeling tool for detector resolution and incomplete charge collection[†]

Jorge E. Fernández, Viviana Scot and Lorenzo Sabbatucci*

J.E. Fernandez, Viviana Scot: Simulation of the detector response function with the code MCSHAPE. Radiat. Phys. Chem. 78,(2009) 882-887

J.E. Fernandez, Viviana Scot, L. Sabbatucci: A modeling tool for detector resolution and incomplete charge collection. X-ray Spectrometry 44 (2015) 177-182

Detector Response



(Detector influence on radiation measures)

The measured spectrum is given by the following convolution product:

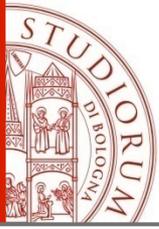
$$I_{measured}(E) = \int R(E', E) \phi(E') I(E') dE'$$

Where

$R(E', E)$ is the **response function**

$\phi(E')$ is the **detector efficiency**

$I(E')$ is the **original spectrum**

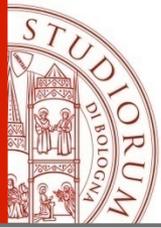


Model of detector response

$$R(E_0, E) = \int Q(E'', E_0) G(E'', E) dE''$$

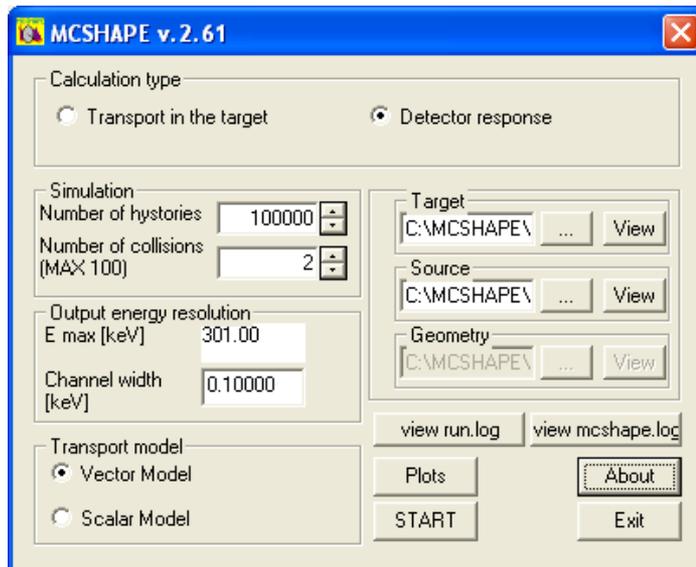
$Q(E'', E_0)$ is the **energy deposition** spectrum

$G(E'', E)$ is the **detector resolution**

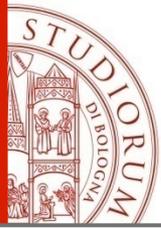


Energy deposition spectrum

- Is built by computing the **escape spectrum distribution**
- In a first approximation its integral is **normalized (really is not because of the Rayleigh scattering)**
- It can be calculated using a MC code



**MCSHAPE
Computes
the energy
deposition
spectrum**



What is computed with MCSHAPE?

$$\begin{aligned}
I_{\text{measured}}(E) &= \int R(E', E) \varphi(E') I(E') dE' \\
&= \int \left(\int Q(E'', E') G(E'', E) dE'' \right) \varphi(E') I(E') dE' \\
&= \int \left(\int Q(E'', E') \varphi(E') I(E') dE' \right) G(E'', E) dE'' \\
&\quad \text{computed by MCSHAPE v2.61} \\
&\quad \text{computed by postprocessor RESOLUTION}
\end{aligned}$$

J..E. Fernandez, V. Scot : Simulation of the detector response function with the code MCSHAPE, Radiation Physics and Chemistry 78 (2009) 882–887.

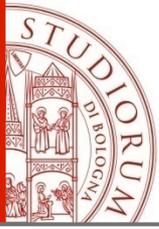


Detector resolution

- In a first approximation it is described by a normalized Gaussian

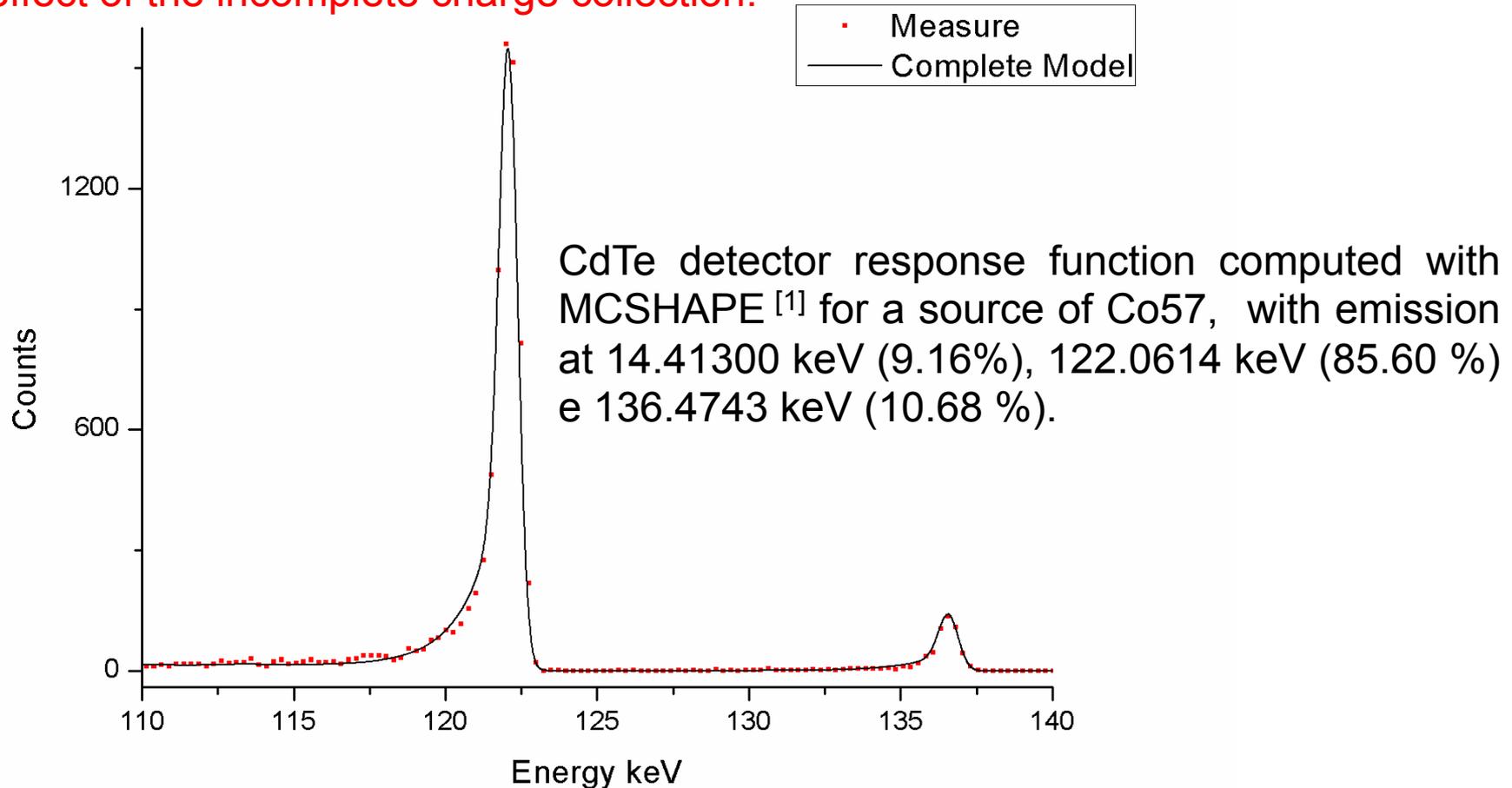
$$G(E_0, E) = \frac{0.9395}{FWHM(E_0)} \exp \left\{ -2.773 \frac{(E_0 - E)^2}{FWHM^2(E_0)} \right\}$$

- the FWHM is a function of energy

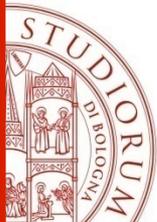


RESOLUTION: CdTe response function

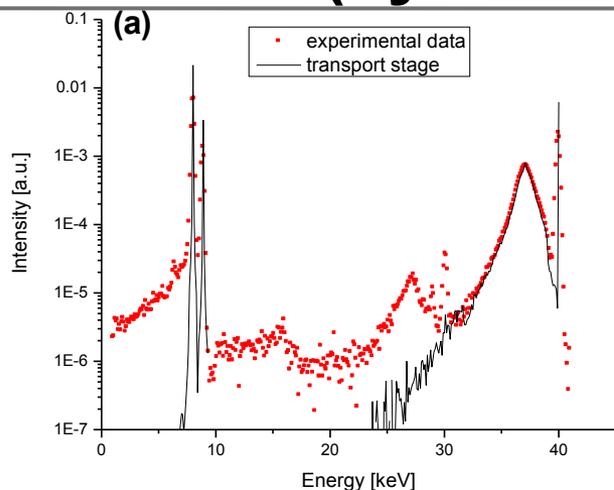
The **new version of RESOLUTION** allows also, for a solid state detector, to introduce the **effect of the incomplete charge collection**.



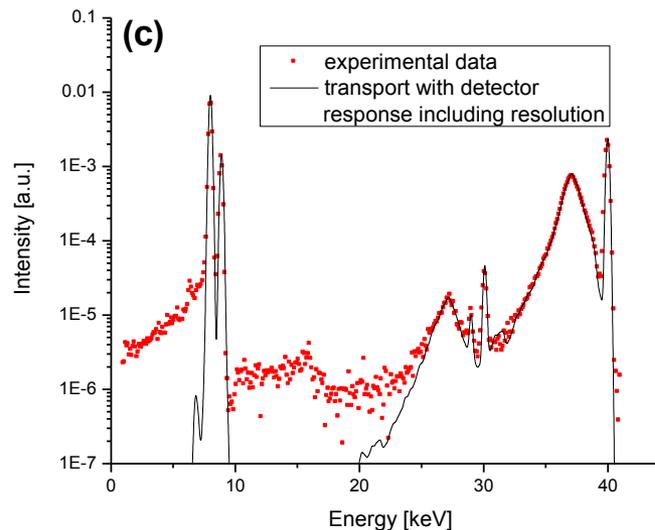
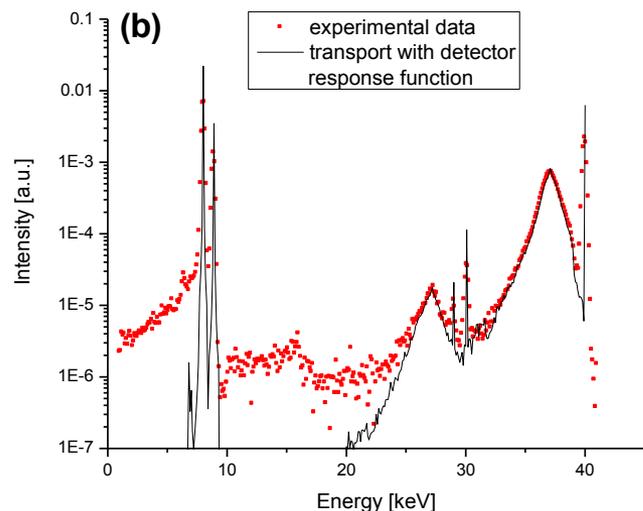
J. E. Fernández, V. Scot, L. Sabbatucci: A modeling tool for detector resolution and incomplete charge collection, X-ray Spectrom. 44 (2015) 177-182.



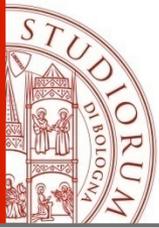
Comparison with experimental data (synchrotron experiment)



- Sample: Cu
- Energy: 40 keV
- Linearly polarized source with polarization degree $P = 0.885$
- Scattering angle: 90°

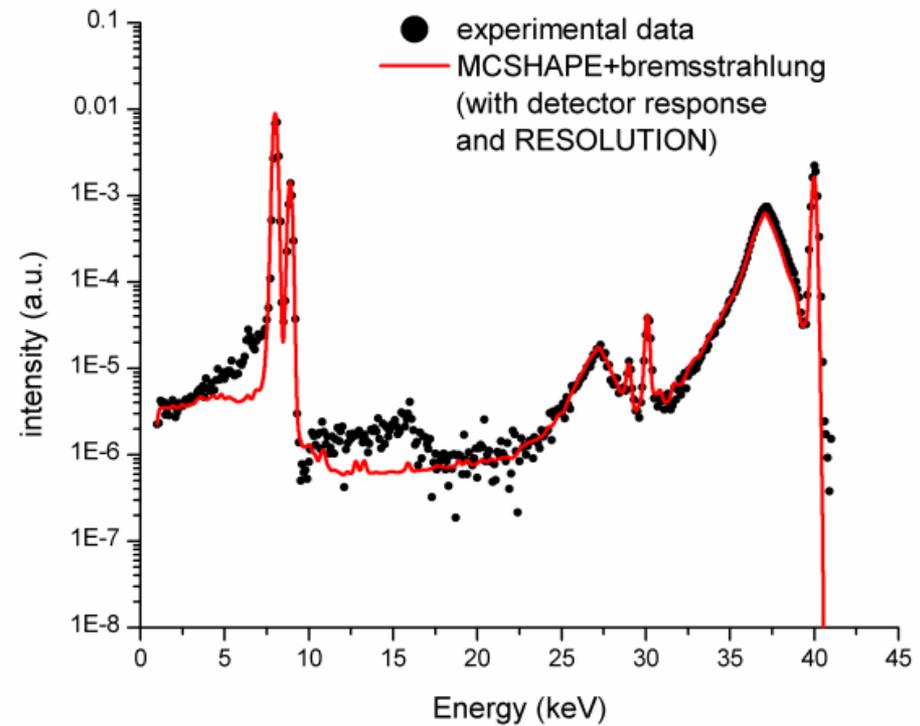
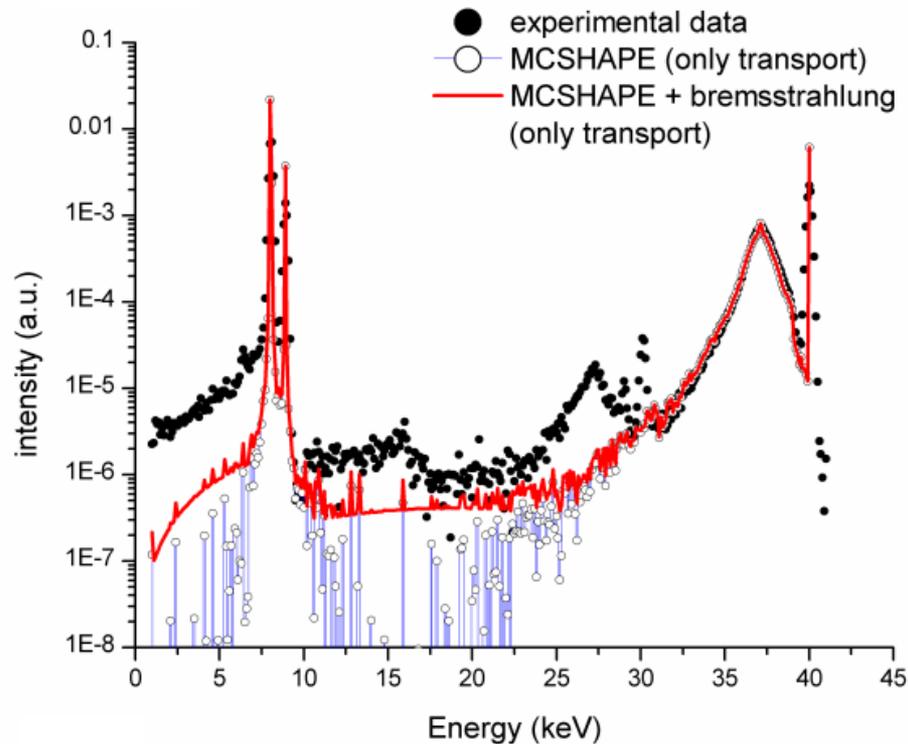


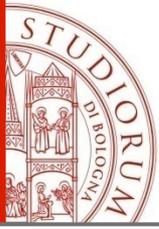
[1] Fernandez, J.E. and Scot, V. (2009), Simulation of the detector response function with the code MCSHAPE. Rad. Phys. Chem. 78(10):882-887



Comparison with experimental data (synchrotron experiment)

Comparison between the experimental data and the simulation of the transport in the target performed with the MCSHAPE^[1] **with and without bremsstrahlung contribution**





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Thank you for your attention!

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