

Wakefield Acceleration Based on Smith-Purcell Effect in Corrugated Dielectric Capillary

**Alexey Tishchenko^{1,2}, Aleksandr Pomomarenko^{1,2}, Konstantin Lekomtsev³,
Daria Sergeeva^{1,2}, Aleksandr Aryshev⁴, Mikhail Strikhanov¹, Aleksey Lyapin³,
Stiven Boogert³, Nobuhiro Terunuma⁴, Junji Urakawa⁴**

¹National Research Nuclear University “MEPhI”, Moscow

²National Research Center “Kurchatov Institute”, Moscow

³John Adams Institute at Royal Holloway University of London, Egham, UK

⁴High Energy Accelerator Research Organization KEK, Tsukuba, Japan

Outline

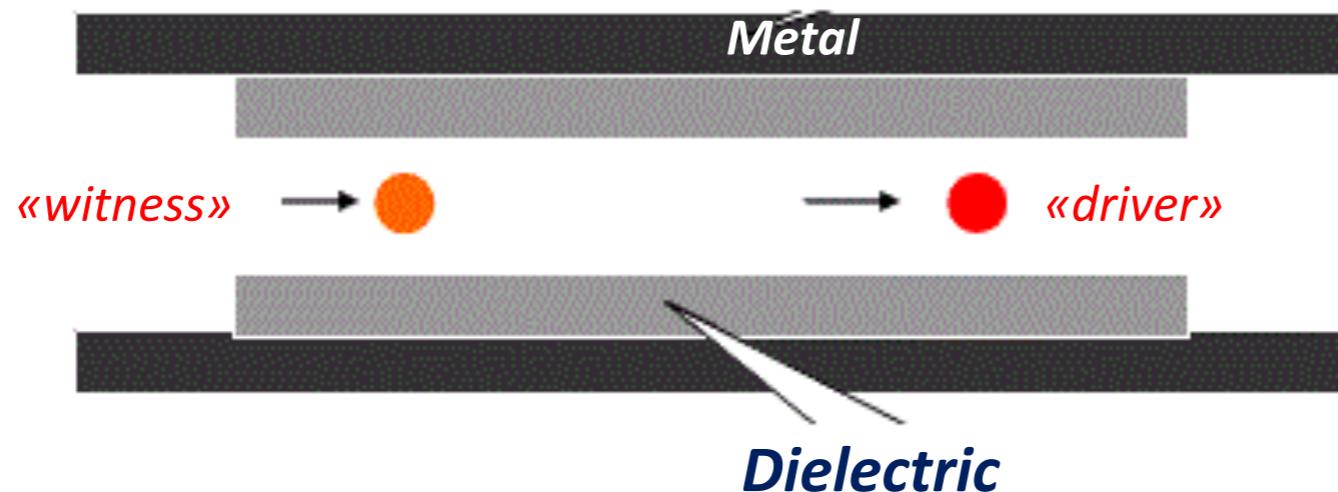
- Motivation
- Layout – capillary with periodical internal radii (**SPR + CR**)
- SPR for wakefield acceleration
 - *Experiment LUCX, KEK (2016-2018)*
 - *Theoretical results*
 - *Vsim simulation (new experiment LUCX - 2018)*
- Summary and plans

Motivation

- There is urgent need to develop **new acceleration techniques capable of exceeding hundred of MeV/m or GeV/m gradients** in order to enable future generations of light sources and high-energy physics experiments
- Gradients in order of **100 MeV/m** have been achieved by conventional techniques
- The enabling acceleration technology must feature **high effective gradient, high efficiency, low fabrication cost, small sizes**
- **Dielectric Wakefield Accelerators (DWA)** - intense relativistic electron beam is accelerated directly into the accelerator structure by high intense wakefields
- **DWAs** typically operate in the terahertz frequency range, which pushes the plasma breakdown threshold for surface electric fields into the multi **GeV/m** range

B.O'Shea et all, Observation of acceleration and deceleration in gigaelectron-voltper-metre gradient dielectric wakefield accelerators, Nat. Commun. 7, 12763 (2016)

Dielectric Wakefield Accelerators (DWA)



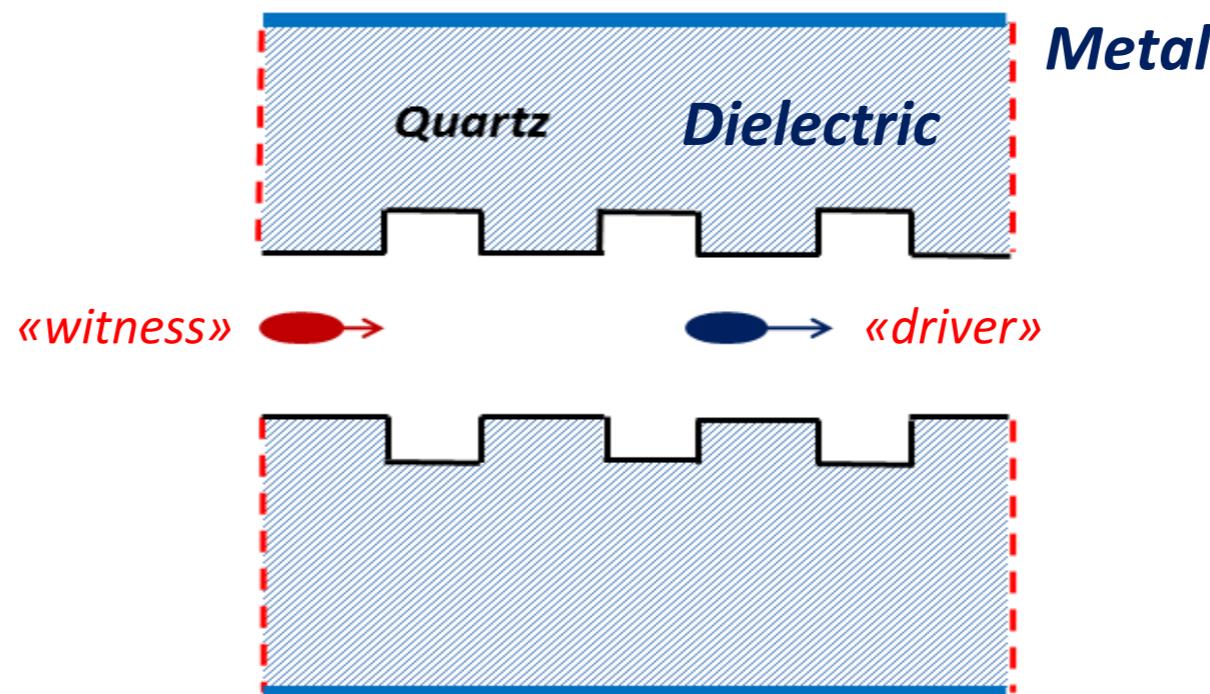
DWA usually based on *Cerenkov radiation* mechanism!

We investigate DWA based on *Smith-Purcell (SPR)*!

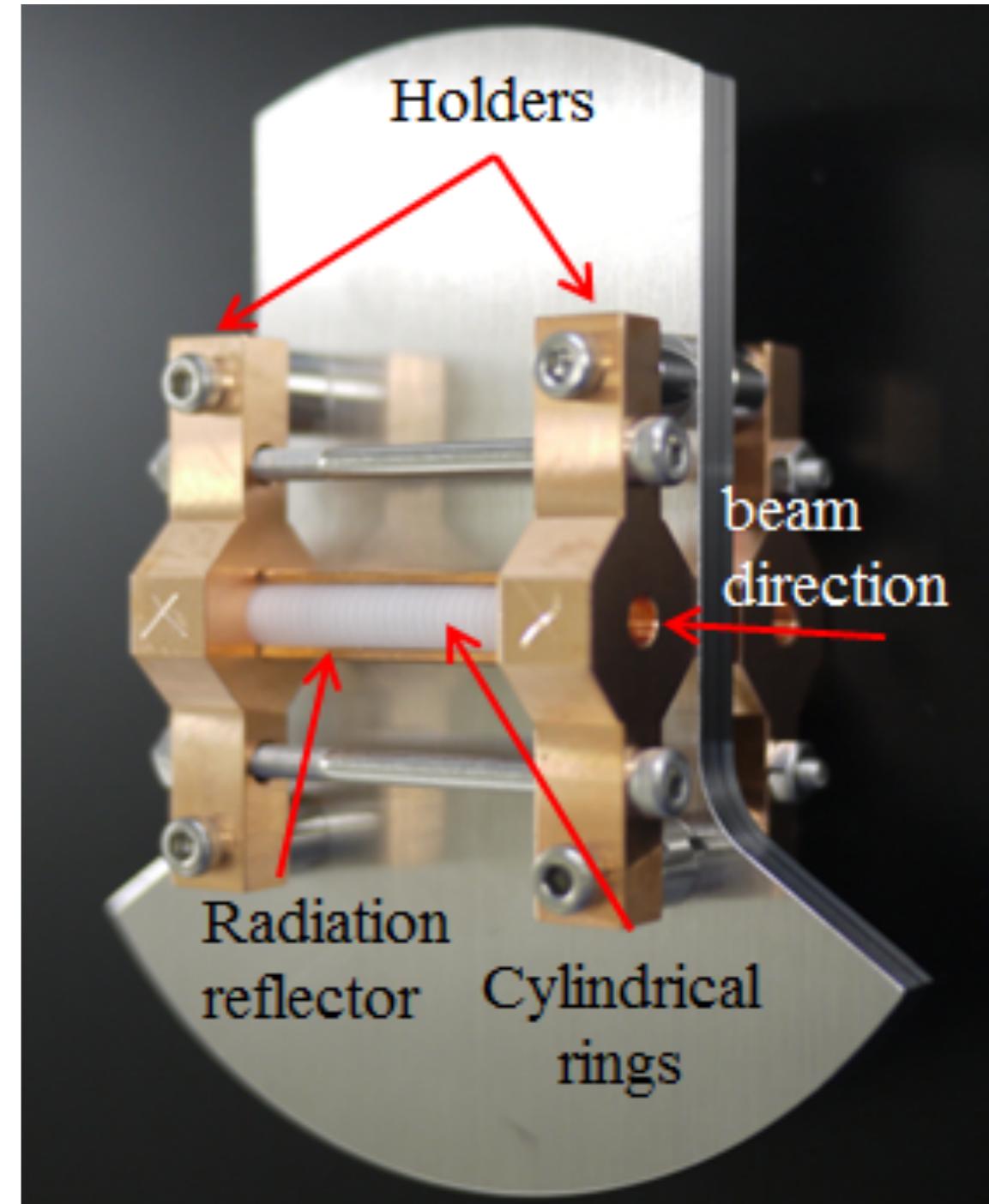
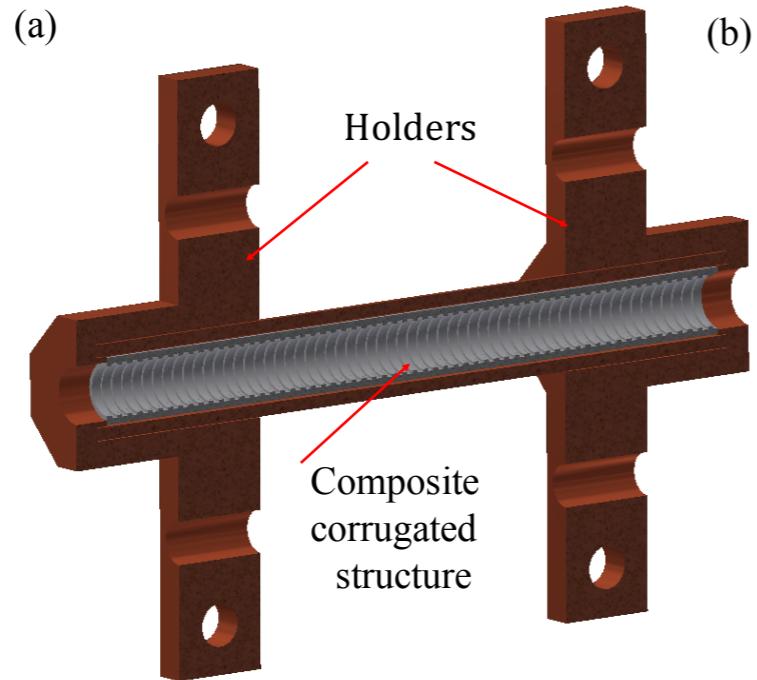
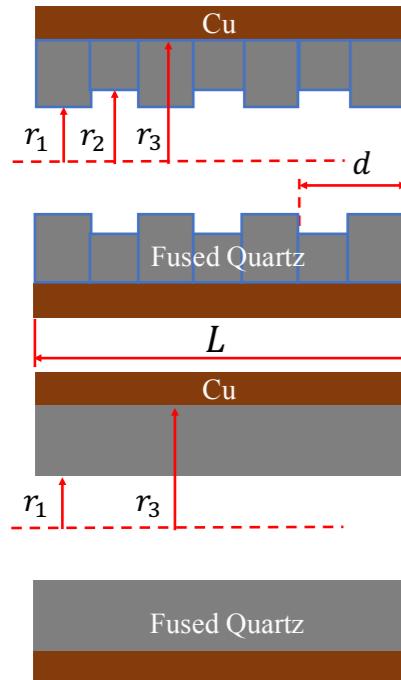
SPR:

$$\frac{d}{\lambda} \left(\cos \theta - (\beta \sqrt{\epsilon})^{-1} \right) = n$$

$$n = 1, 2, 3, \dots, -1, -2$$



Experiment – LUCX, KEK, Japan



| Parameter | Value |
|---------------------------------------------------|----------------------------------|
| Drive bunch charge Q_{dr} | 20 pC |
| Witness bunch charge Q_{w} | $\approx Q_{\text{dr}}/3$ |
| Drive bunch $\sigma_{\text{transv}}^{\text{dr}}$ | 230 μm |
| Witness bunch $\sigma_{\text{transv}}^{\text{w}}$ | 90 μm |
| Drive bunch $\sigma_{\text{long}}^{\text{dr}}$ | 0.5 ps (0.15 mm) |
| Witness bunch $\sigma_{\text{long}}^{\text{w}}$ | 0.35 ps (0.105 mm) |
| Repetition rate, max | 3.13 bunch/s |
| Normalised emittance, typical | $1.5 \times 1.5 \text{ mm mrad}$ |
| Drive-to-Witness separation | 1.6 – 3.4 mm |
| Capillary length, L | 60 mm |
| Capillary material | Fused Quartz |
| $r_1; r_2; r_3$ | 2; 2.2; 2.7 mm |
| Corrugation period, d | 1 mm |

Experiment №1 – LUCX, KEK, Japan

Sub-THz radiation from dielectric capillaries with reflectors

K. Lekomtsev^{a,*}, A. Aryshev^b, A.A. Tishchenko^c, M. Shevelev^b, A.A. Ponomarenko^c, P. Karataev^a, N. Terunuma^{b,d}, J. Urakawa^b

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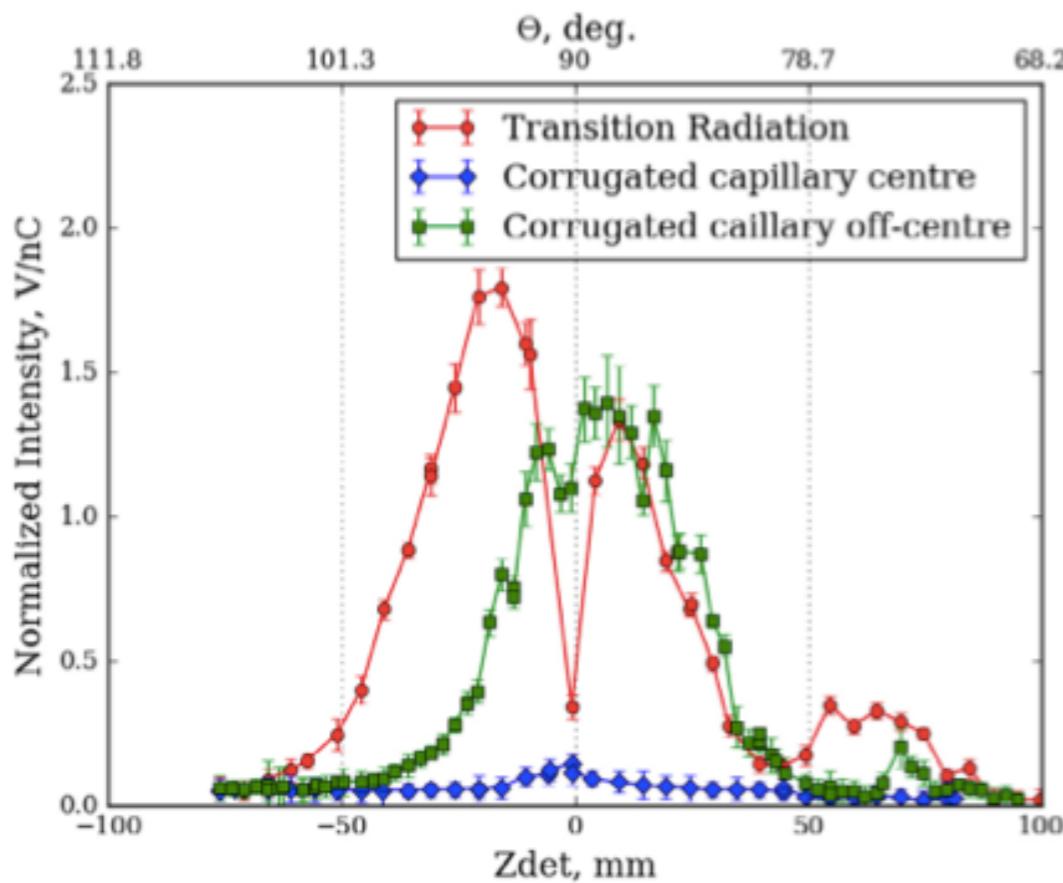


Fig. 2. Crosscheck with Transition Radiation.

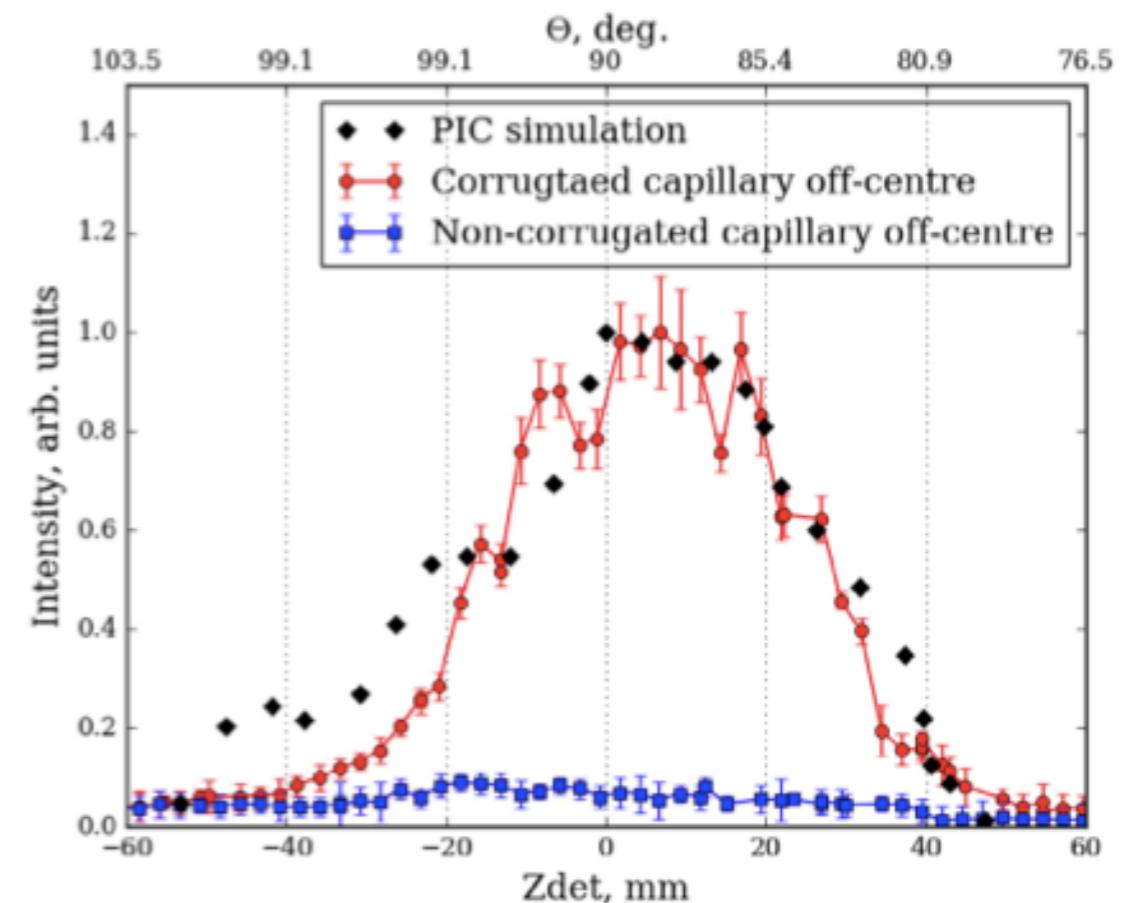


Fig. 3. Radiation distribution along axis z. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

Experiment №2 – LUCX, KEK, Japan

PHYSICAL REVIEW ACCELERATORS AND BEAMS 21, 051301 (2018)

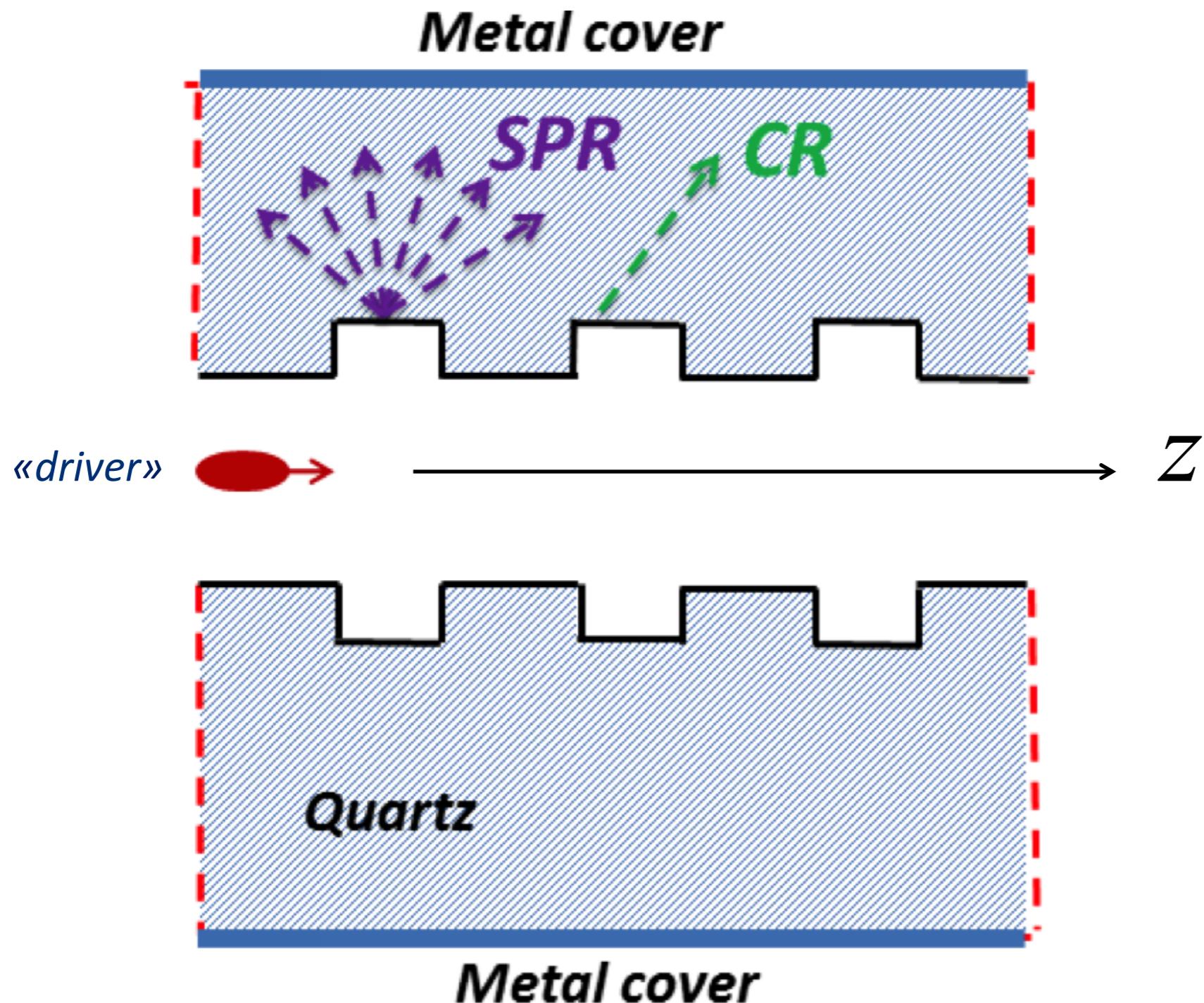
Driver-witness electron beam acceleration in dielectric mm-scale capillaries

K. Lekomtsev,^{1,*} A. Aryshev,^{2,3} A. A. Tishchenko,^{4,5} M. Shevelev,⁶ A. Lyapin,¹ S. Boogert,¹
P. Karataev,¹ N. Terunuma,^{2,3} and J. Urakawa²

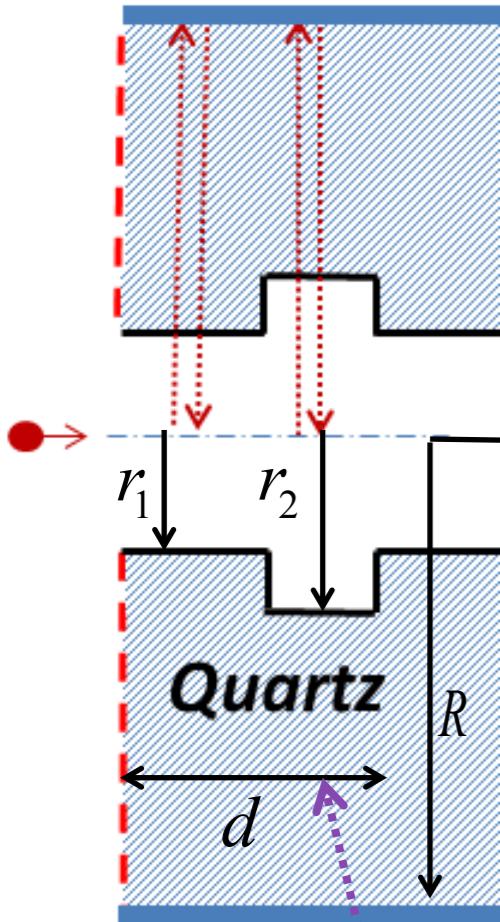
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| Corrugation period, d | 1 mm |

Acceleration
170 keV/m

Layout №1 – E(r,t)



Theoretical result №1 – $E_1(r, \omega)$



I.A.P. Potylitsyn, M.I. Ryazanov, M.N. Strikhanov, A.A. Tishchenko, *Diffraction Radiation from Relativistic Particles*, Springer-Verlag, 2010

Smith-Purcell

$$E_1(r, t) = \int_{-\infty}^{\infty} d\omega e^{-i\omega t} E_1(r, \omega)$$

$$k = \frac{2\pi}{\lambda} \sqrt{\epsilon}$$

$$F_1(r) = -k r J_1(k r) K_0\left(\frac{k}{\gamma\beta} r\right) + \frac{k}{\gamma\beta} r J_0(k r) K_1\left(\frac{k}{\gamma\beta} r\right)$$

$$F_2(r) = k r J_0(k r) K_1\left(\frac{k}{\gamma\beta} r\right) + \frac{k}{\gamma\beta\sqrt{\epsilon}} r J_1(k r) K_0\left(\frac{k}{\gamma\beta} r\right)$$

$$E_1(r, \omega) = i \frac{e(2\pi)^3}{\beta^{-1}} \frac{1 - e^{-ik\beta^{-1}dN}}{1 - e^{ik\beta^{-1}d}} \times$$

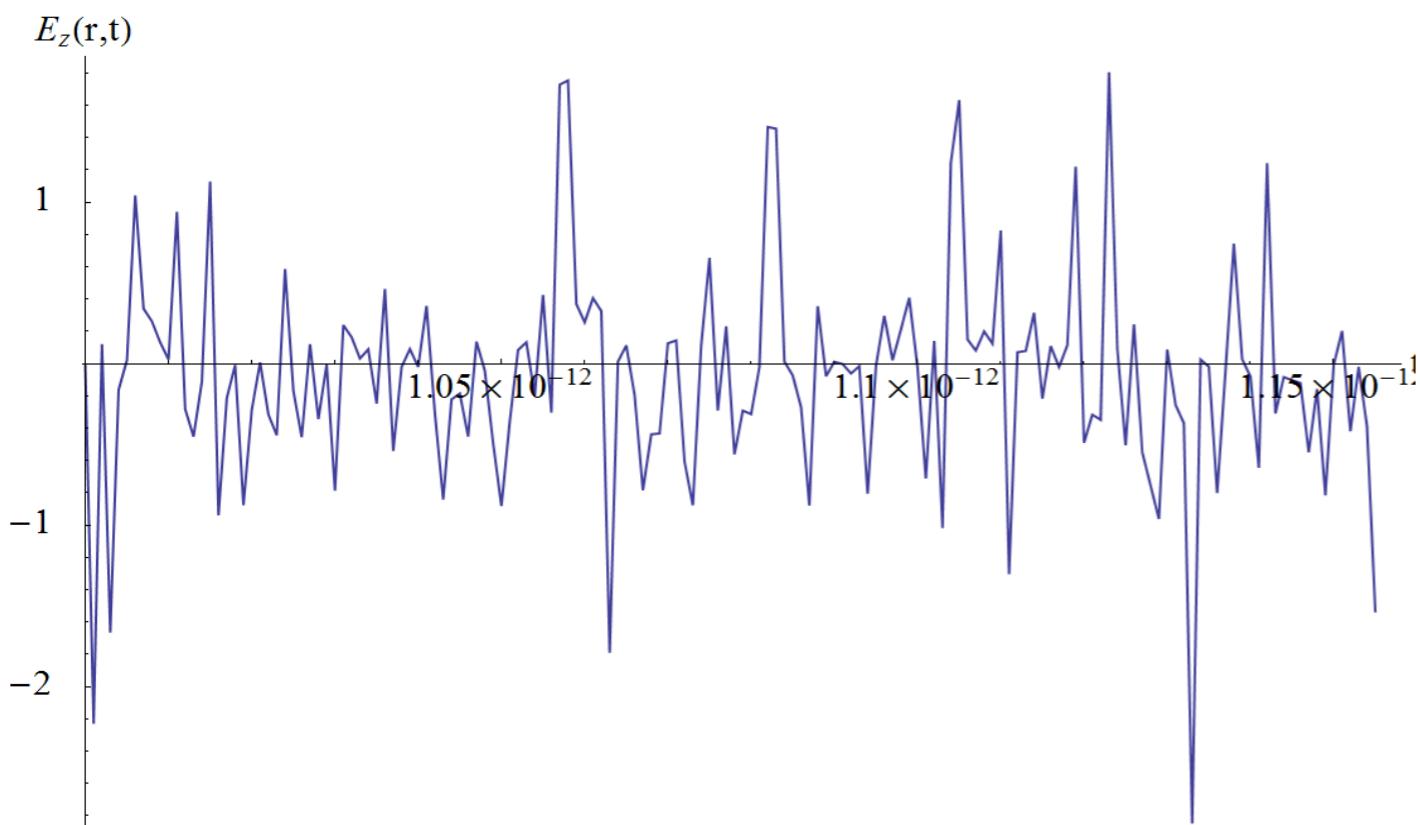
$$\times \mathbf{e}_z \left(\left(e^{-ik\beta^{-1}l} - 1 \right) (F_1(r_1) - F_1(R)) + \left(e^{-ik\beta^{-1}d} - e^{-ik\beta^{-1}l} \right) (F_1(r_2) - F_1(R)) \right) \times$$

$$\times e^{-ik(R-r_2)} \left(e^{-ikd} \sum_n \theta(z - nd) \theta(-z + nd + l) + \sum_n \theta(z - nd - l) \theta(-z + (n+1)d) \right)$$

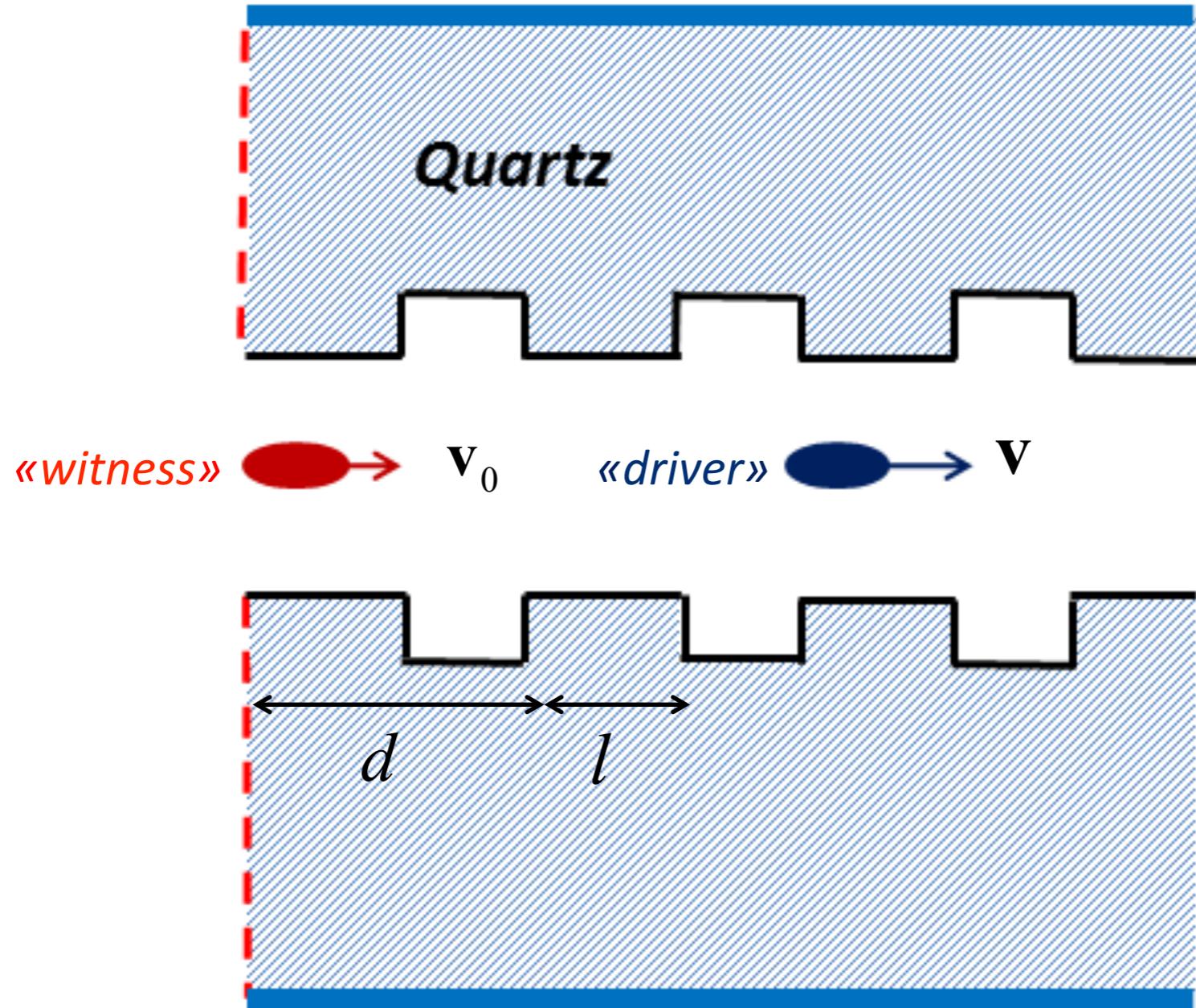
Theoretical result №1 – $\mathbf{E}_1(\mathbf{r}, t)$

$\lambda = 0.3 \text{ mm}$
 $l = 1 \text{ mm}$
 $r_1 = 1 \text{ mm}$
 $R = 2 \text{ mm}$
 $d = 2l$
 $a = 0.2 \text{ mm}$
 $N = 10$
 $\gamma = 16$

$$\begin{aligned}
\mathbf{E}_1(r, t) = & i \frac{e(2\pi)^3}{\beta^{-1}} \mathbf{e}_z \int_{-\infty}^{+\infty} d\omega e^{-i\omega t} \sum_{n'} e^{-2\pi i \frac{\omega}{c} \beta^{-1} d n'} e^{-2\pi i \frac{\omega}{c} (R - r_2)} \\
& \times \left((e^{-i k \beta^{-1} l} - 1)(F_1(r_1) - F_1(R)) + (e^{-i k \beta^{-1} d} - e^{-i k \beta^{-1} l})(F_1(r_2) - F_1(R)) \right) \times \\
& \times \left(e^{-i k a} \sum_n \theta(z - nd) \theta(-z + nd + l) + \sum_n \theta(z - nd - l) \theta(-z + (n+1)d) \right)
\end{aligned}$$



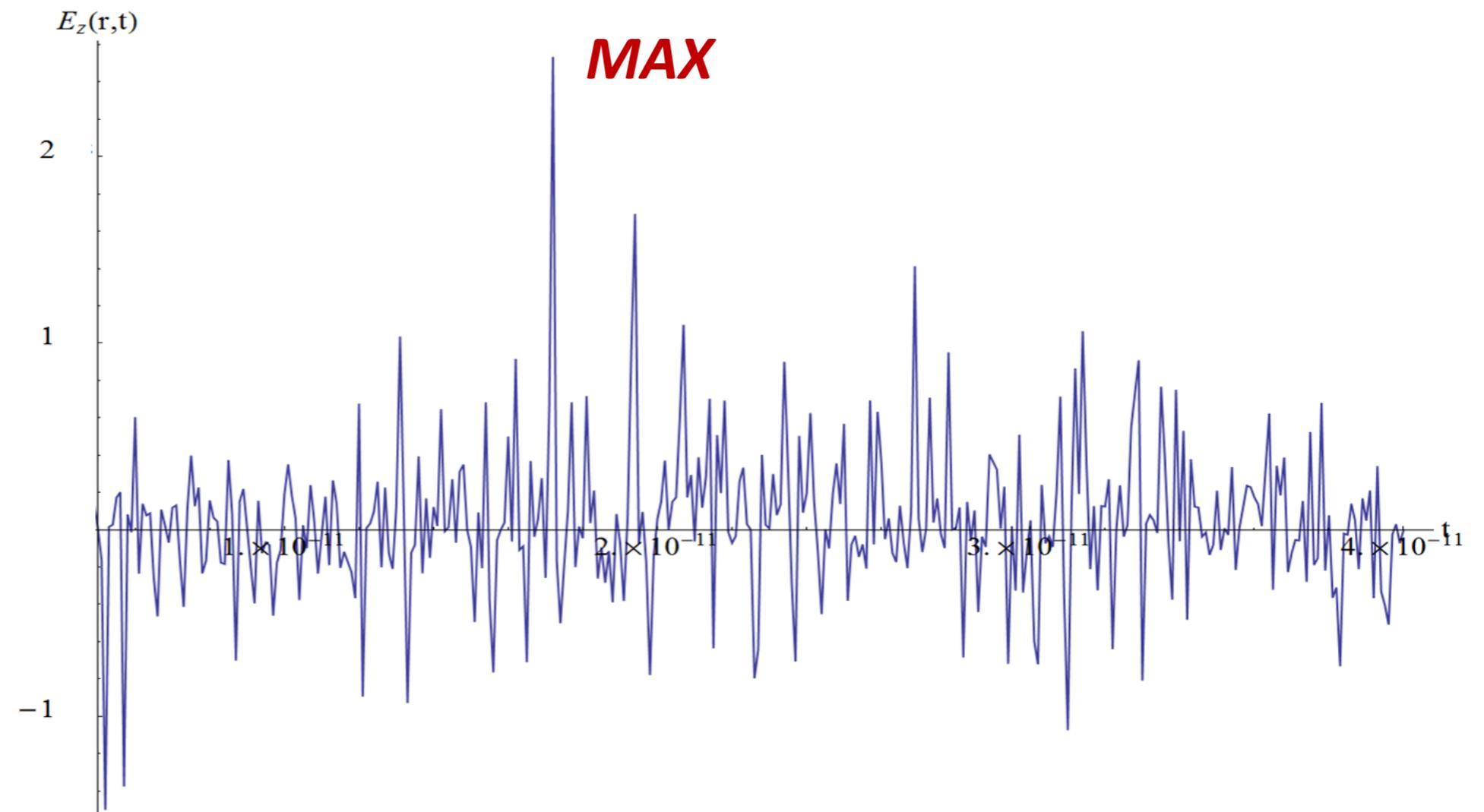
Layout №2 – wakefield acceleration



Theoretical result №2 – $\mathbf{E}_2(\mathbf{r}, t)$

$$\mathbf{E}_2(r, t) = i \frac{e(2\pi)^3}{\beta^{-1}} \mathbf{e}_z \int_{-\infty}^{+\infty} d\omega e^{-i\omega t} \sum_{n'} e^{-2\pi i \frac{\omega}{c} \beta^{-1} d n'} e^{-2\pi i \frac{\omega}{c} (R - r_2)} \times \\ \times \left((e^{-ik\beta^{-1}l} - 1)(F_1(r_1) - F_1(R)) + (e^{-ik\beta^{-1}d} - e^{-ik\beta^{-1}l})(F_1(r_2) - F_1(R)) \right) \times \\ \times (e^{-ik a} \theta(v_0 t) \theta(-v_0 t + l) + \theta(v_0 t - l) \theta(-v_0 t + 2d))$$

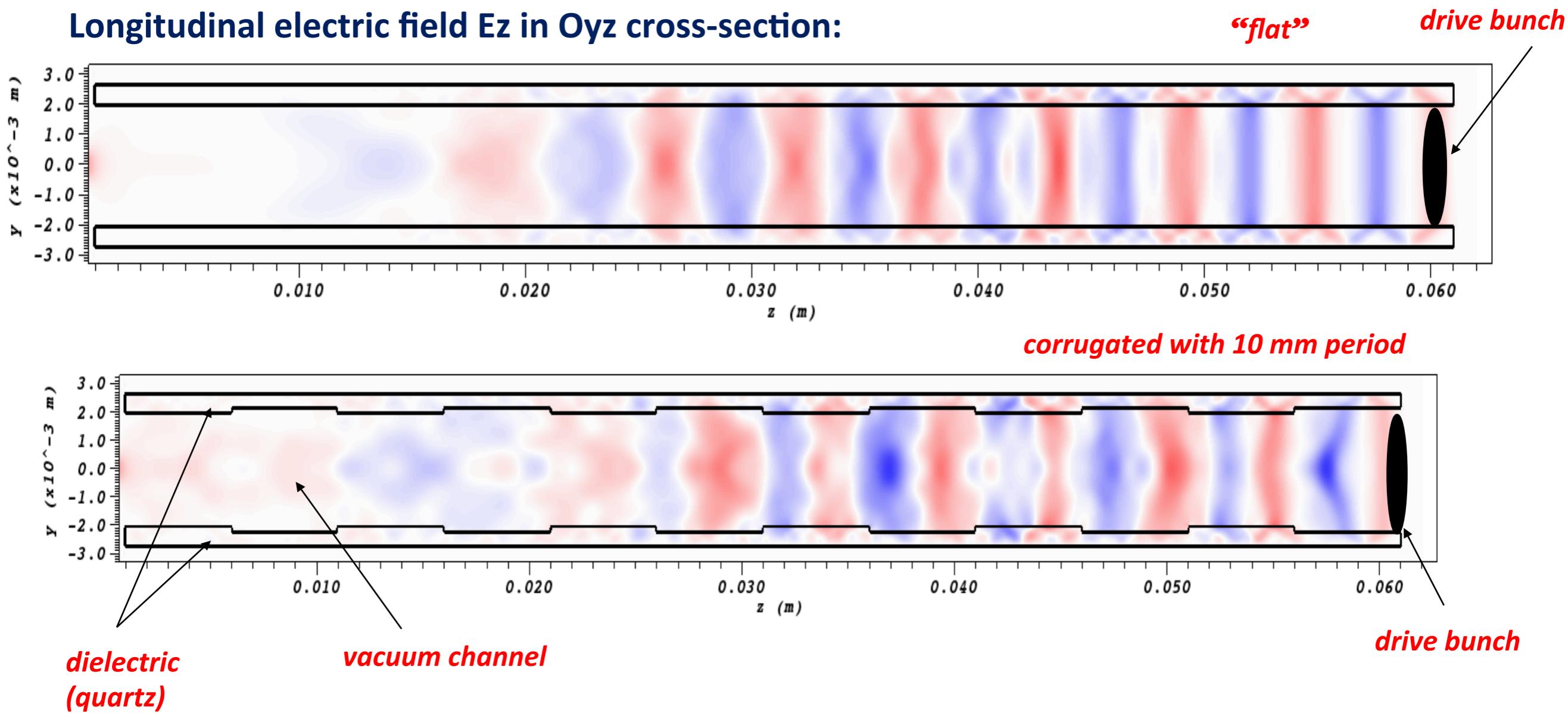
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 $R = 2 \text{ mm}$
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 $a = 0.2 \text{ mm}$
 $N = 10$
 $\gamma = 16$



VSim simulations

- 3D simulations of the electric field in corrugated and flat capillary were performed in VSim (VORPAL physics engine).
- Electron beam was simulated as a Gaussian charge density distribution on-axis, no macro-particles in the calculation domain.
- Dielectric losses were not taken into account.

Longitudinal electric field Ez in Oyz cross-section:



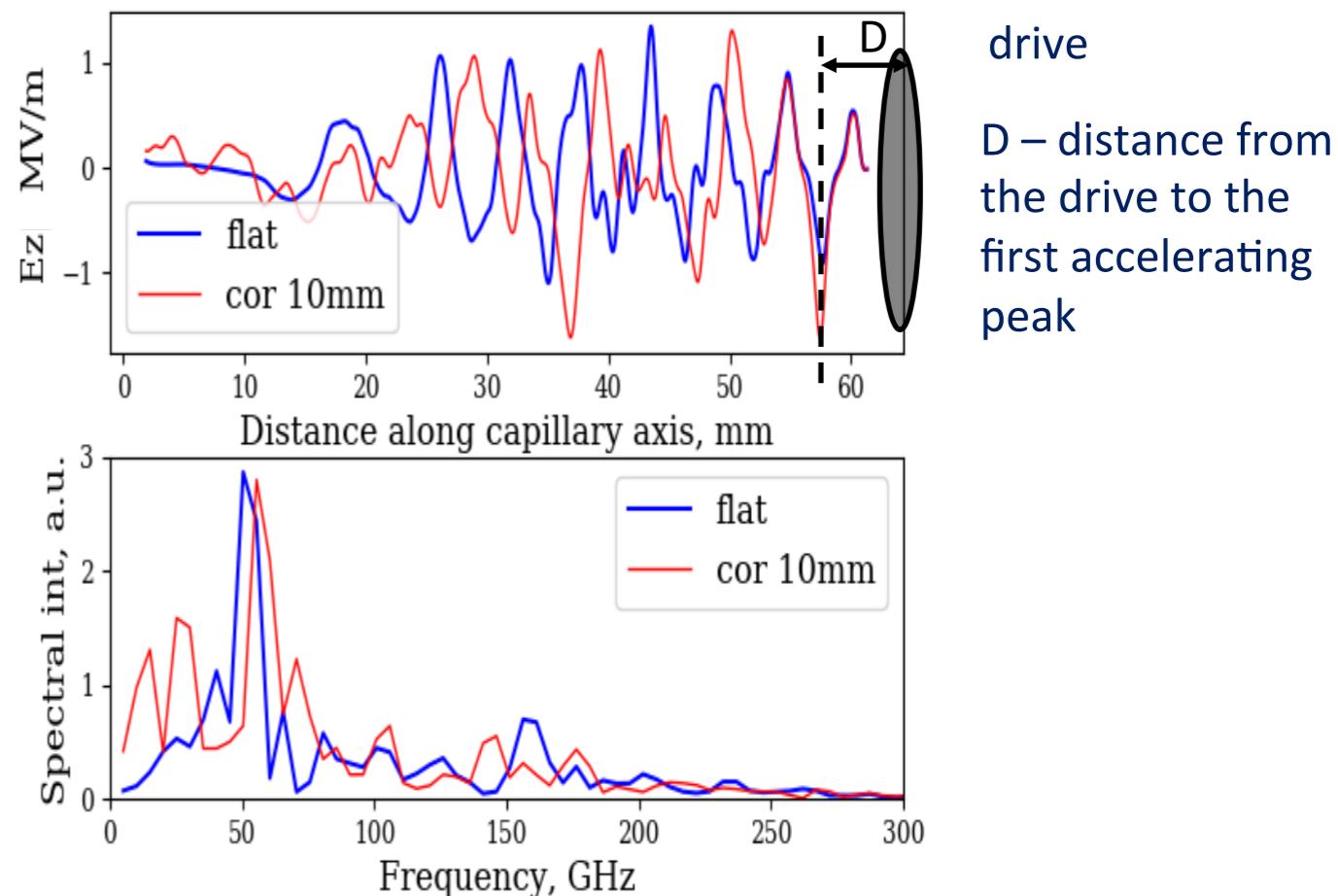
VSim simulations. Single drive case.

LUCX beam parameters:

| No of drives | Charge | σ_z |
|--------------|--------------------|---------------------------|
| 1 | 100 pC | 0.3 mm |
| 2 | 33; 100 pC | 0.2; 0.3 mm |
| 3 | 33; 66; 100 pC | 0.2; 0.25; 0.3 mm |
| 4 | 25; 50; 75; 100 pC | 0.2; 0.233; 0.266; 0.3 mm |

On-axis longitudinal field in flat and corrugated capillary with 10 mm period

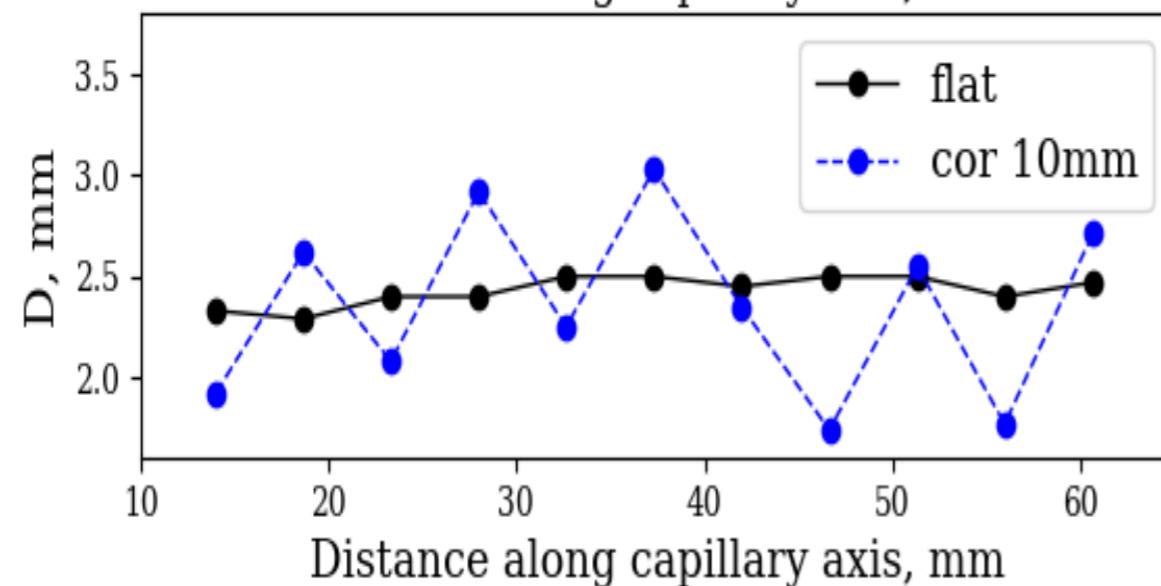
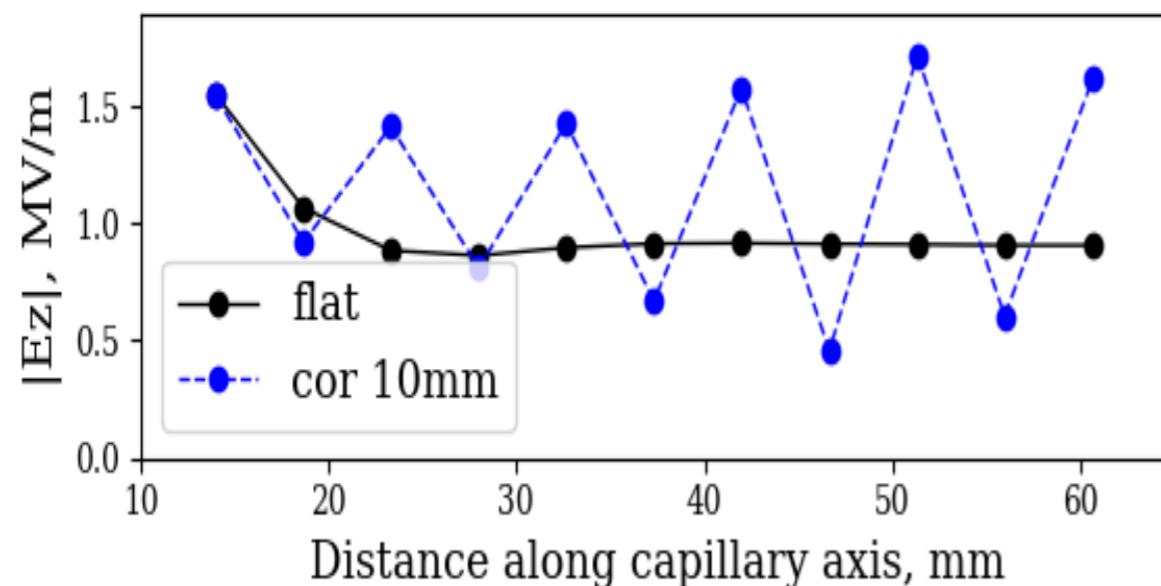
Shift of main accelerating mode **from 50 GHz to 55 GHz**
in corrugated capillary with 10 mm period



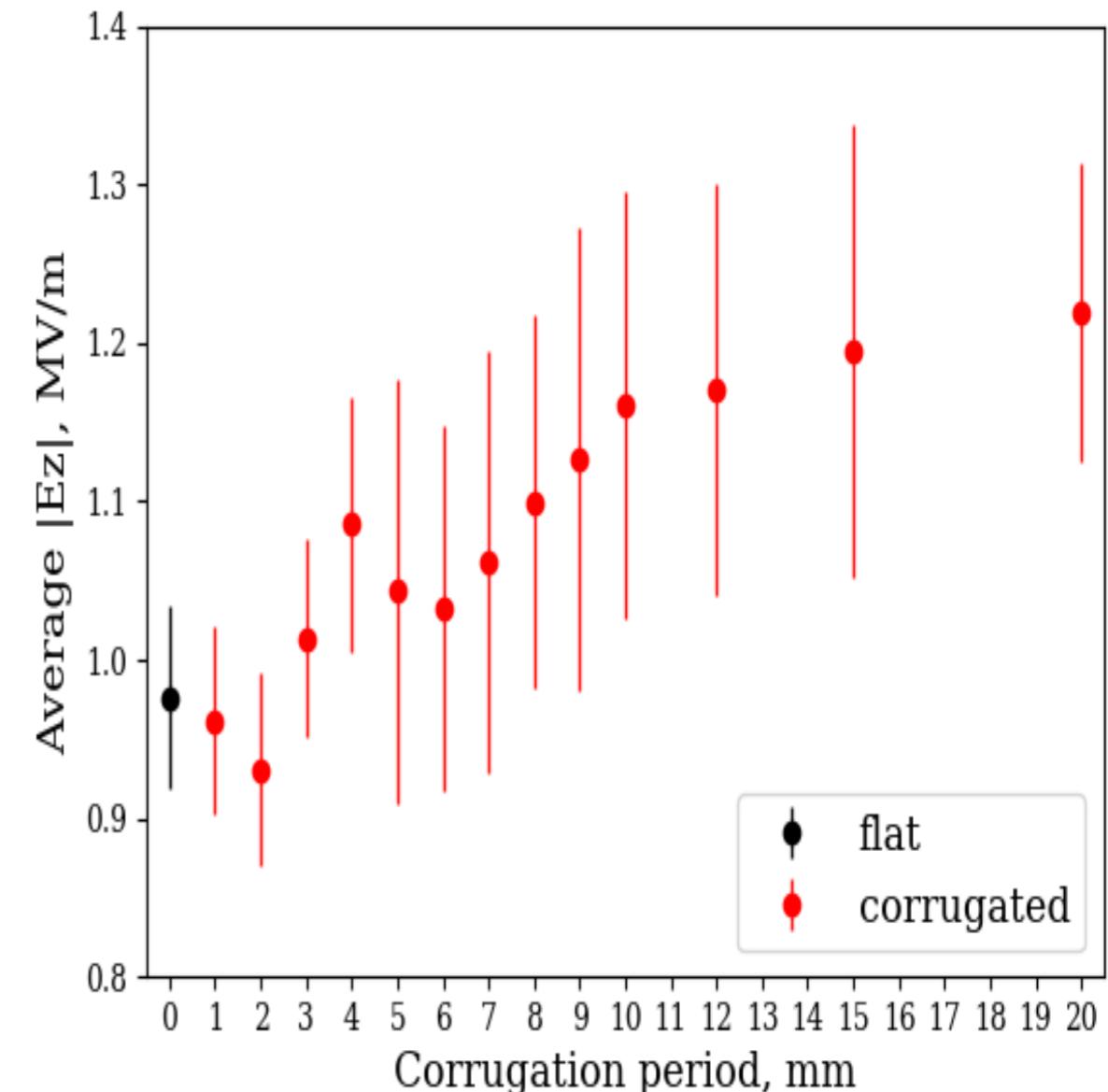
K.Lekomtcev et al., Driver-witness electron beam acceleration in dielectric mm-scale capillaries, PHYSICAL REVIEW ACCELERATORS AND BEAMS 21, 051301 (2018)

VSim simulations for 1-st acceleration peak

*Electric field at **first accelerating peak** as a function of distance along capillary*



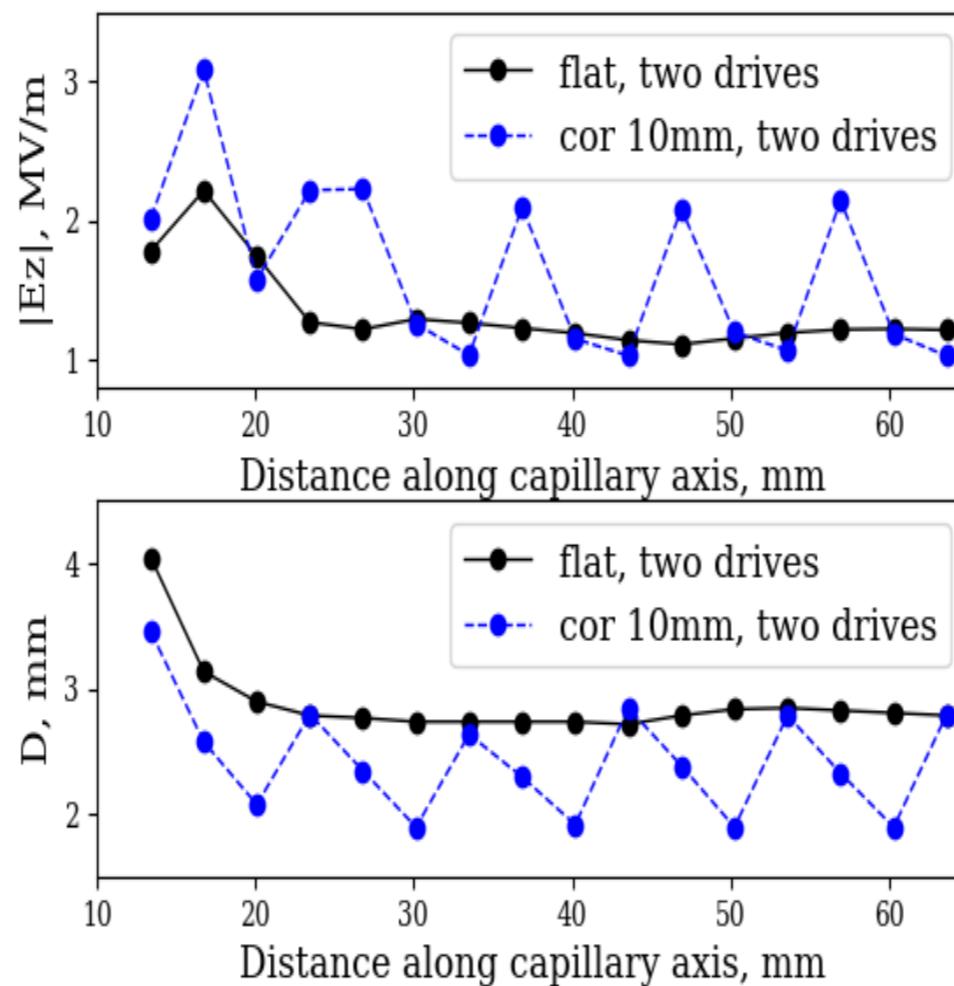
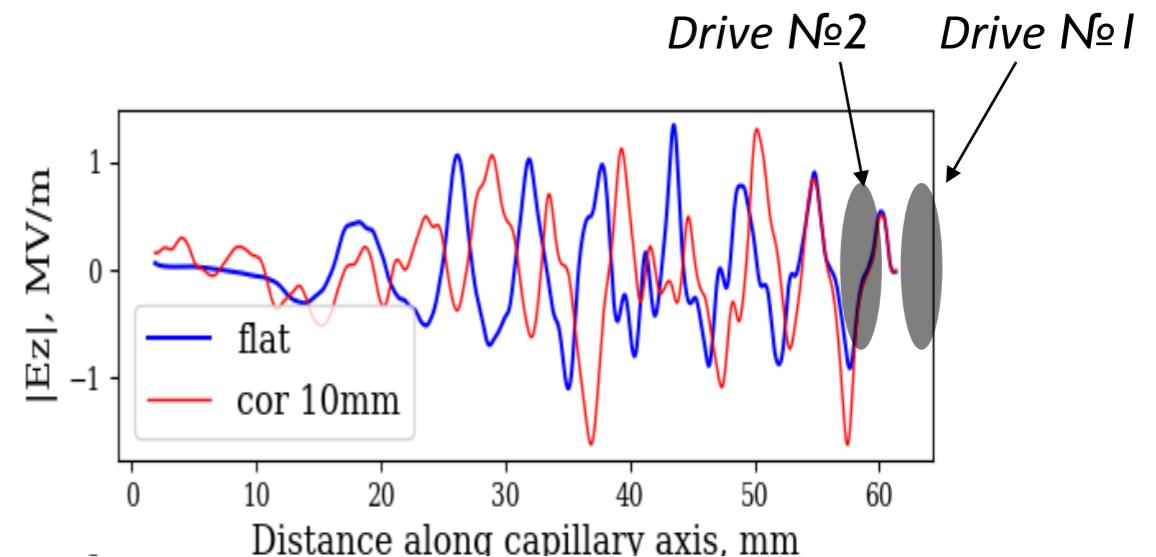
Average accelerating field along capillary as a function of corrugation period



Distance D as function of distance along capillary

VSim simulations. Multi-drive case.

- Simulations were performed for **equidistant** bunch trains.
- 2nd, 3rd and 4th** bunches **were positioned at accelerating phases of on-axis longitudinal field.**
- Bunch separation was **5.8 mm** for flat and **5.4 mm** for corrugated capillary with **10 mm** period.

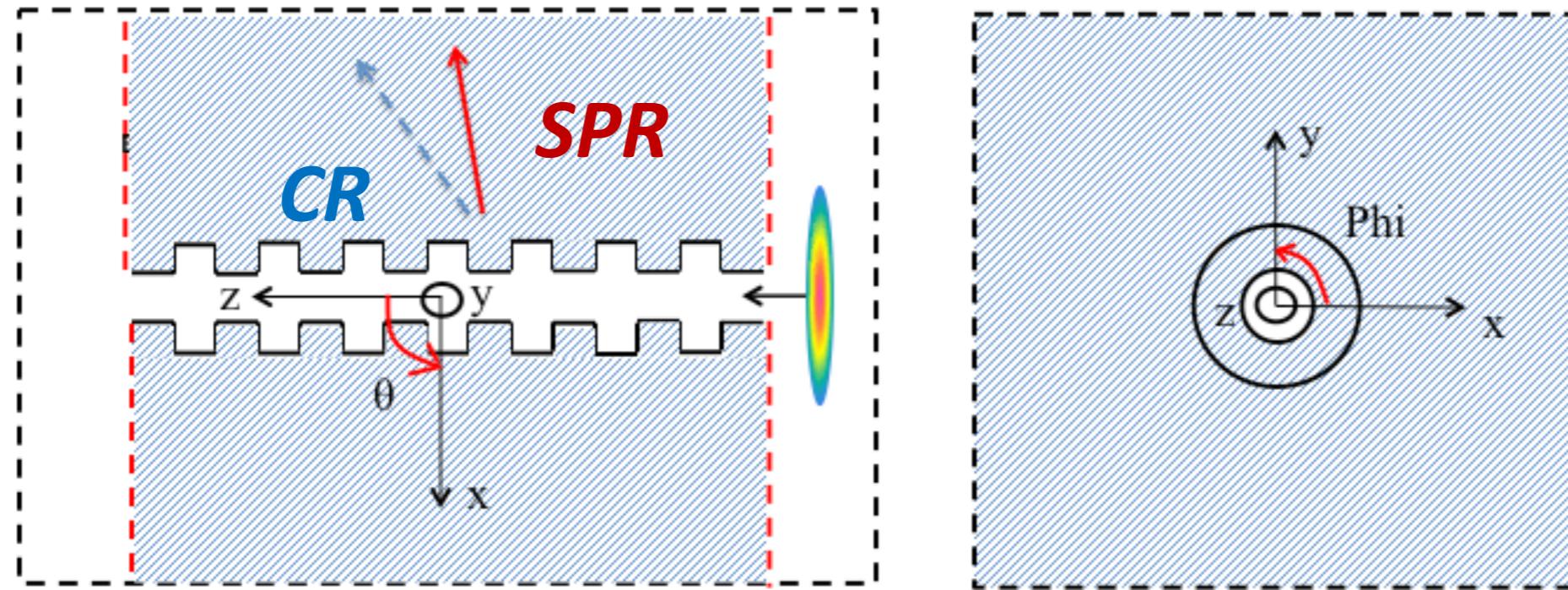


Summary and plans

- For a **single drive** simulation showed **18.9% increase** in the accelerating field for the corrugation period 10mm with **stability of the first accelerating peak 2.36 ± 0.13 mm**, in terms of distance to the preceding drive bunch
- For a ramped **two-drive train** simulations showed **22.9% increase** in the accelerating field for the corrugation period 10mm with stability of the first accelerating peak of **2.43 ± 0.11 mm**
- Two drives have stabilizing effect on the phase of the accelerating field. As shown the RMS errors of the **phase stability reduced** from 0.13 mm (1 drive) to 0.11 mm (2 drives)
- **Higher relative increase of the accelerating field can be achieved by using larger corrugation period and bunch trains with variable bunch-to-bunch separation**
- **Comparison between simulation and theory**

Thank you for your attention!

Capillary with periodic radius



SPR as source of powerful THz radiation – SPR > CR!

A.A. Ponomarenko, M.I. Ryazanov, M.N. Strikhanov, A.A. Tishchenko, *Terahertz Radiation from Electrons Moving through a Waveguide with Variable Radius, based on Smith-Purcell and Cherenkov Mechanisms, Nucl. Instr. and Meth. B 309, 223-225 (2013).*

A.A. Ponomarenko, K.V. Lekomtsev, A.A. Tishchenko, M.N. Strikhanov, J. Urakawa, *CST simulation of THz radiation from a channel with periodically variable radius, Nucl. Instr. and Meth. B 355, 160-163 (2015).*

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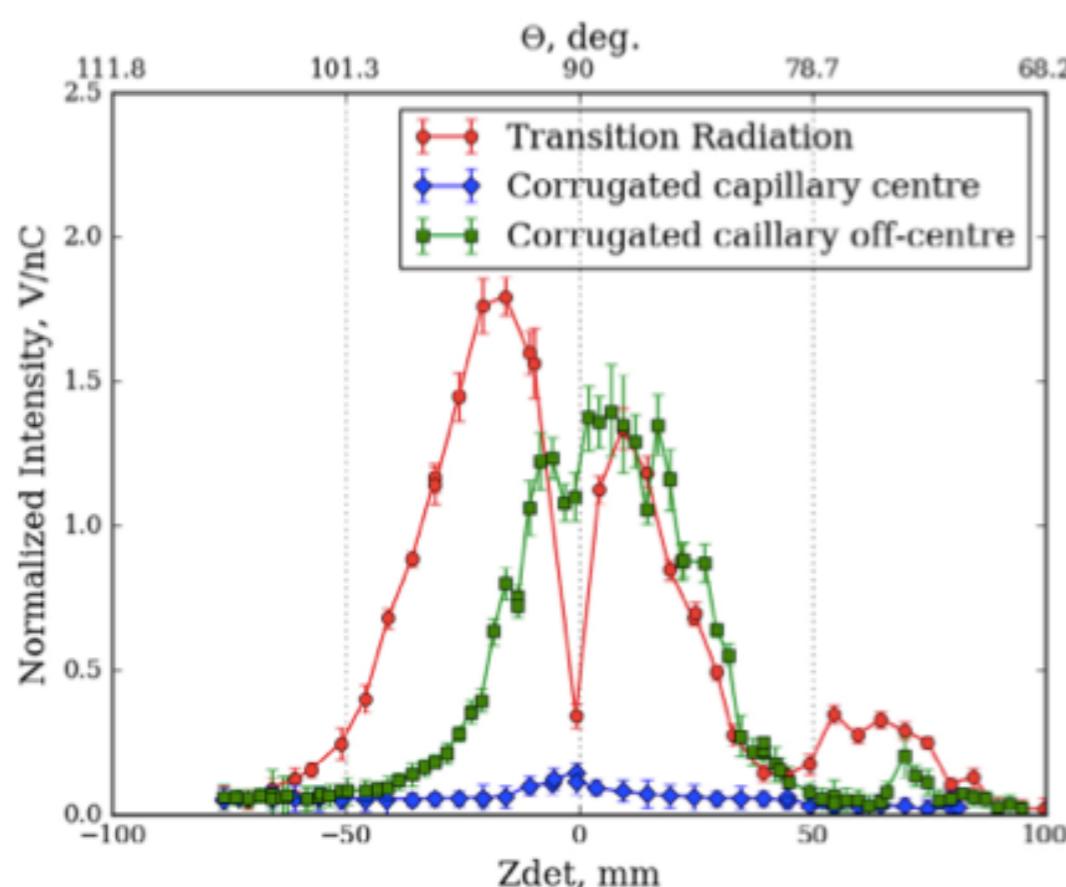


Fig. 2. Crosscheck with Transition Radiation.

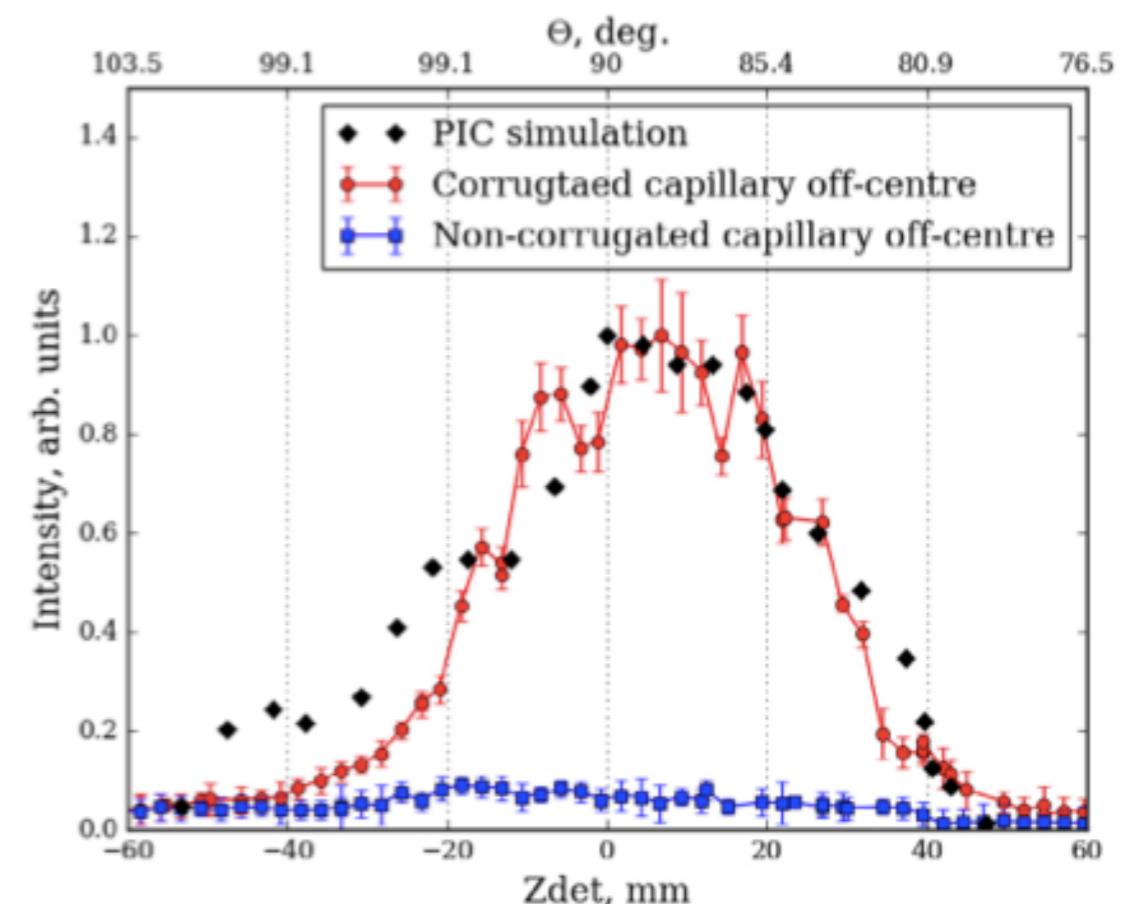


Fig. 3. Radiation distribution along axis z. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

Discussions and plans

- Some preliminary theoretical results for accelerator field

Nearest plans:

- Comparison with computer simulation (CST)
- More detailed investigation of accelerated motion

Driver-witness electron beam acceleration in dielectric mm-scale capillaries

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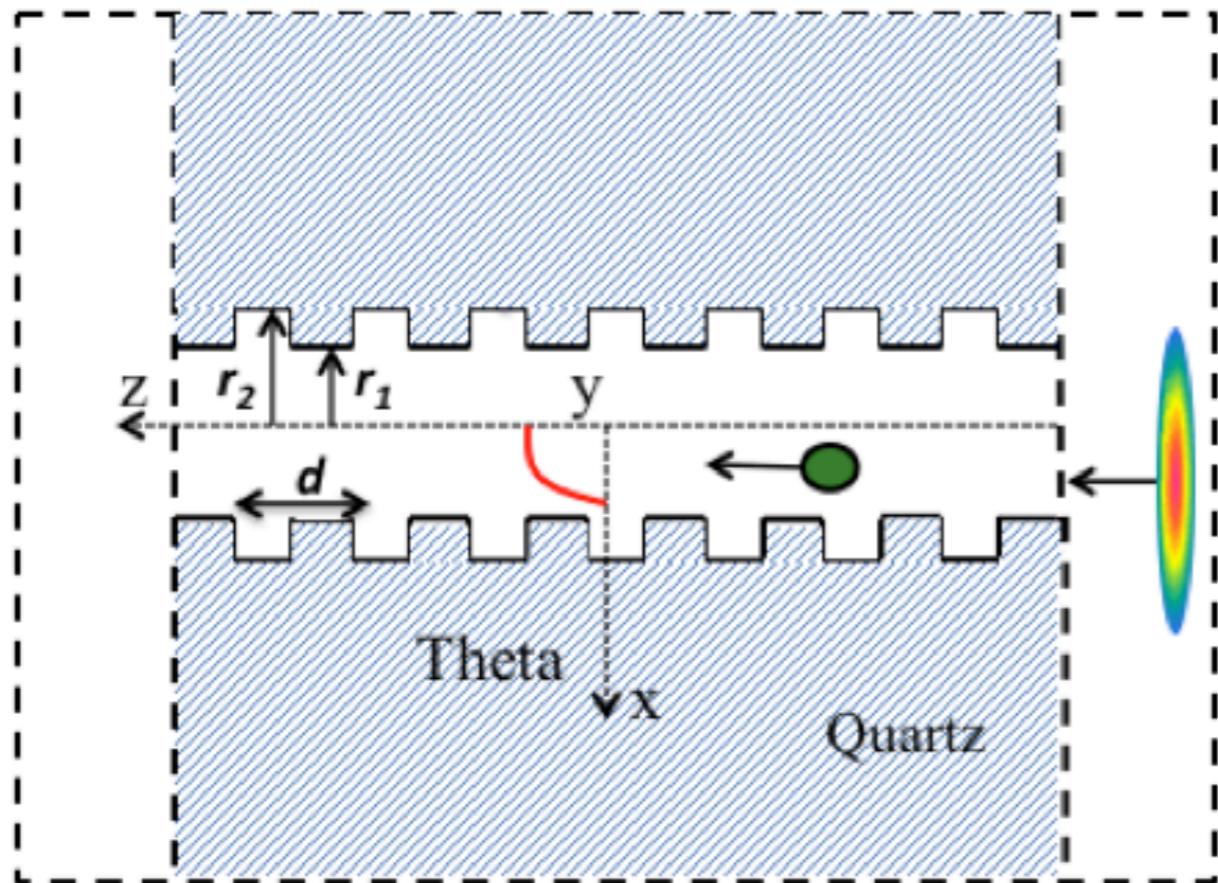
⁵*National Research Center Kurchatov Institute, Akademika Kurchatova pl. 1,
Moscow 123182, Russian Federation*

⁶*Tomsk Polytechnic University, Institute of Physics and Technology,
Lenin Avenue 30, Tomsk 634050, Russian Federation*

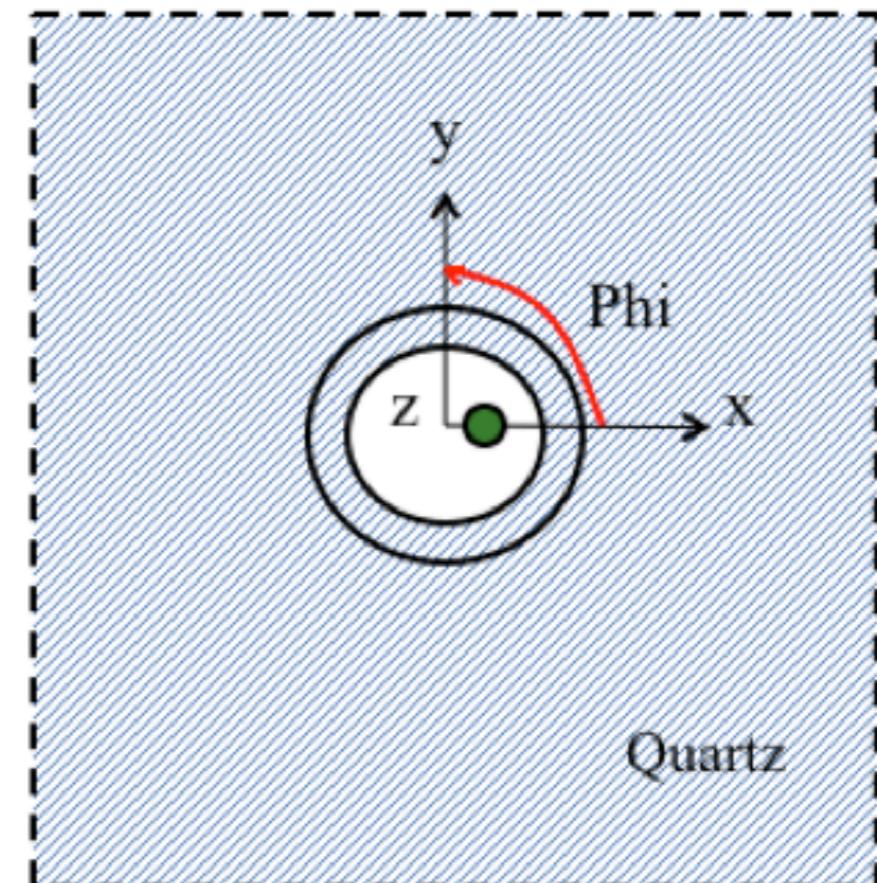
- MultiGeV/m gradients (charging the dielectric surface by halo vs space charge effect, longitudinal modes)

Non-central propagation

Top view (cross - section)



Front view



$$b \ll r$$

A.A. Ponomarenko, A.A. Tishchenko, M.N. Strikhanov, THz polarization radiation from electrons passing corrugated dielectric tube under non-central propagation, Nucl. Instr. and Meth. B 400 (2017)

Theoretical background - analysis

$$\frac{d^2W}{d\Omega d\omega} = \frac{4 e^2 \gamma^2 (\varepsilon - 1)^2}{(2\pi)^2 c \sqrt{\varepsilon} (1 + \gamma^2 \beta^2 \varepsilon \sin^2 \theta)^2} \times \frac{\sin^2 \left(\frac{1}{2} k \varphi d N \right)}{\sin^2 \left(\frac{1}{2} k \varphi d \right)} \times \left(N_e + (N_e - 1) \frac{1}{\pi^2} e^{-\frac{k^2}{2} \left(r_b^2 + (\beta \sqrt{\varepsilon})^{-1} \frac{l_b^2}{4} \right)} \right) \times$$

$$\times \frac{\left| \cos \theta \gamma^{-1} ((e^{-ik\varphi l} - 1) F_1(r_1) + (e^{-ik\varphi d} - e^{-ik\varphi l}) F_1(r_2)) + \sin \theta ((e^{-ik\varphi l} - 1) F_2(r_1) + (e^{-ik\varphi d} - e^{-ik\varphi l}) F_2(r_2)) \right|^2}{\left(\cos \theta - (\beta \sqrt{\varepsilon})^{-1} \right)^2}$$

↓

$$\frac{\sin^2 \left(\frac{k}{2} \left(\cos \theta - (\beta \sqrt{\varepsilon})^{-1} \right) d N \right)}{\sin^2 \left(\frac{k}{2} \left(\cos \theta - (\beta \sqrt{\varepsilon})^{-1} \right) d \right)} \Rightarrow \frac{d}{\lambda} (-\sqrt{\varepsilon} + 1) \leq n \leq \frac{d}{\lambda} (\sqrt{\varepsilon} + 1)$$

$F_1(r) = -kr \cos \theta J_1(kr \cos \theta) K_0 \left(\frac{k}{\gamma \beta \sqrt{\varepsilon}} r \right) + \frac{k}{\gamma \beta \sqrt{\varepsilon}} r J_0(kr \cos \theta) K_1 \left(\frac{k}{\gamma \beta \sqrt{\varepsilon}} r \right)$

$F_2(r) = kr \cos \theta J_0(kr \cos \theta) K_1 \left(\frac{k}{\gamma \beta \sqrt{\varepsilon}} r \right) + \frac{k}{\gamma \beta \sqrt{\varepsilon}} r J_1(kr \cos \theta) K_0 \left(\frac{k}{\gamma \beta \sqrt{\varepsilon}} r \right)$

$\varphi = \cos \theta - (\beta \sqrt{\varepsilon})^{-1}$

↓

$\cos \theta = (\beta \sqrt{\varepsilon})^{-1}$

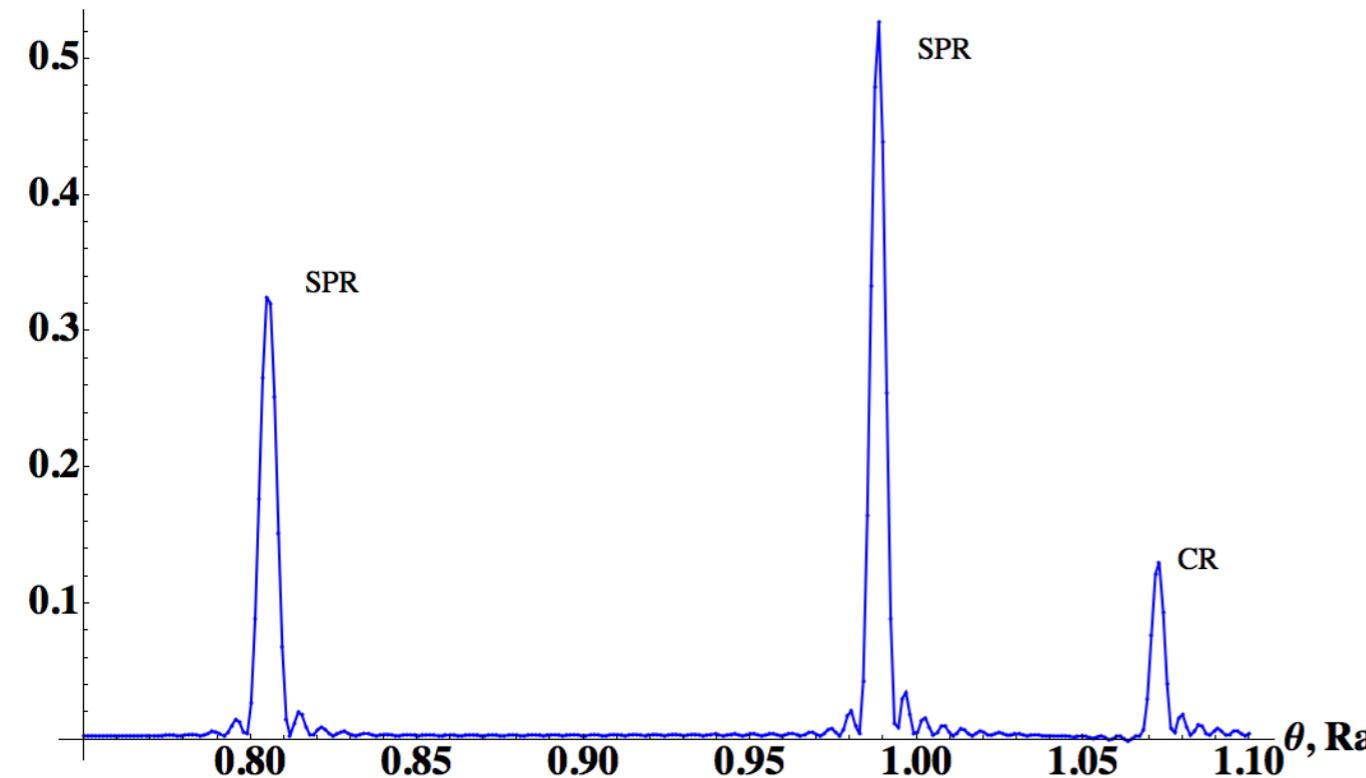
A.A. Ponomarenko, M.I. Ryazanov, M.N. Strikhanov, A.A. Tishchenko, Terahertz Radiation from Electrons Moving through a Waveguide with Variable Radius, based on Smith-Purcell and Cherenkov Mechanisms, Nucl. Instr. and Meth. B 309, 223-225 (2013).

$$\begin{aligned}
\frac{d^2W}{d\Omega d\hbar\omega} = \frac{d^2W_0}{d\Omega d\hbar\omega} + \frac{1}{137} \frac{\gamma^2 (\varepsilon - 1)^2}{(2\pi)^2 \sqrt{\varepsilon}} \frac{\sin^2\left(\frac{1}{2}k\tilde{\varphi}dN\right)}{\sin^2\left(\frac{1}{2}k\tilde{\varphi}d\right)} \frac{\sin(k\tilde{\varphi}(d-l)) + \sin(k\tilde{\varphi}l) - \sin(k\tilde{\varphi}d)}{\tilde{\varphi}^2} \times \\
\times 2b \left\{ (\sin\theta F_{12} + \cos\theta F_{22})(\chi_1 + \eta_1) - (\sin\theta F_{11} + \cos\theta F_{21})(\chi_2 + \eta_2) \right\}
\end{aligned}$$

$$\begin{aligned}
\chi_i(r_i, R) = & \left(\frac{k}{\beta\gamma\sqrt{\varepsilon}} \right)^2 \cos\theta \cos\varphi' (1 + \gamma^2 \beta^2 \varepsilon \sin^2\theta) \int_{r_i}^R dr r \left(\cos^2\varphi' J_0(kr \sin\theta) - \frac{\cos 2\varphi'}{kr \sin\theta} J_1(kr \sin\theta) \right) \times \\
& \times \left(\frac{k}{2\beta\gamma\sqrt{\varepsilon}} \left(K_0\left(\frac{k}{\beta\gamma\sqrt{\varepsilon}}r\right) + K_2\left(\frac{k}{\beta\gamma\sqrt{\varepsilon}}r\right) \right) - \frac{K_1\left(\frac{k}{\beta\gamma\sqrt{\varepsilon}}r\right)}{r} \right) + \frac{J_0(kr \sin\theta) K_1\left(\frac{k}{\beta\gamma\sqrt{\varepsilon}}\delta r\right)}{r} \\
\tilde{\varphi} = & \cos\theta - \left(\beta\sqrt{\varepsilon} \right)^{-1} \\
\eta_i(r_i, R) = & \left(\frac{k}{\beta\gamma\sqrt{\varepsilon}} \right)^3 \frac{\gamma^{-1}}{2} \sin\theta \cos\varphi' (1 + \gamma^2 \beta^2 \varepsilon \sin^2\theta) \int_{r_i}^R dr r J_1(kr \sin\theta) K_1\left(\frac{k}{\beta\gamma\sqrt{\varepsilon}}r\right)
\end{aligned}$$

$$\begin{aligned}
F_{1i}(r) = & -kr_i \sin\theta J_1(kr_i \sin\theta) K_0\left(\frac{k}{\gamma\beta\sqrt{\varepsilon}}r_i\right) + \frac{k}{\gamma\beta\sqrt{\varepsilon}}r_i J_0(kr_i \sin\theta) K_1\left(\frac{k}{\gamma\beta\sqrt{\varepsilon}}r_i\right) \\
F_{2i}(r) = & kr_i \sin\theta J_0(kr_i \sin\theta) K_1\left(\frac{k}{\gamma\beta\sqrt{\varepsilon}}r_i\right) + \frac{k}{\gamma\beta\sqrt{\varepsilon}}r_i J_1(kr_i \sin\theta) K_0\left(\frac{k}{\gamma\beta\sqrt{\varepsilon}}r_i\right)
\end{aligned}$$

$$\frac{d^2 W}{d\Omega d\hbar\omega}$$

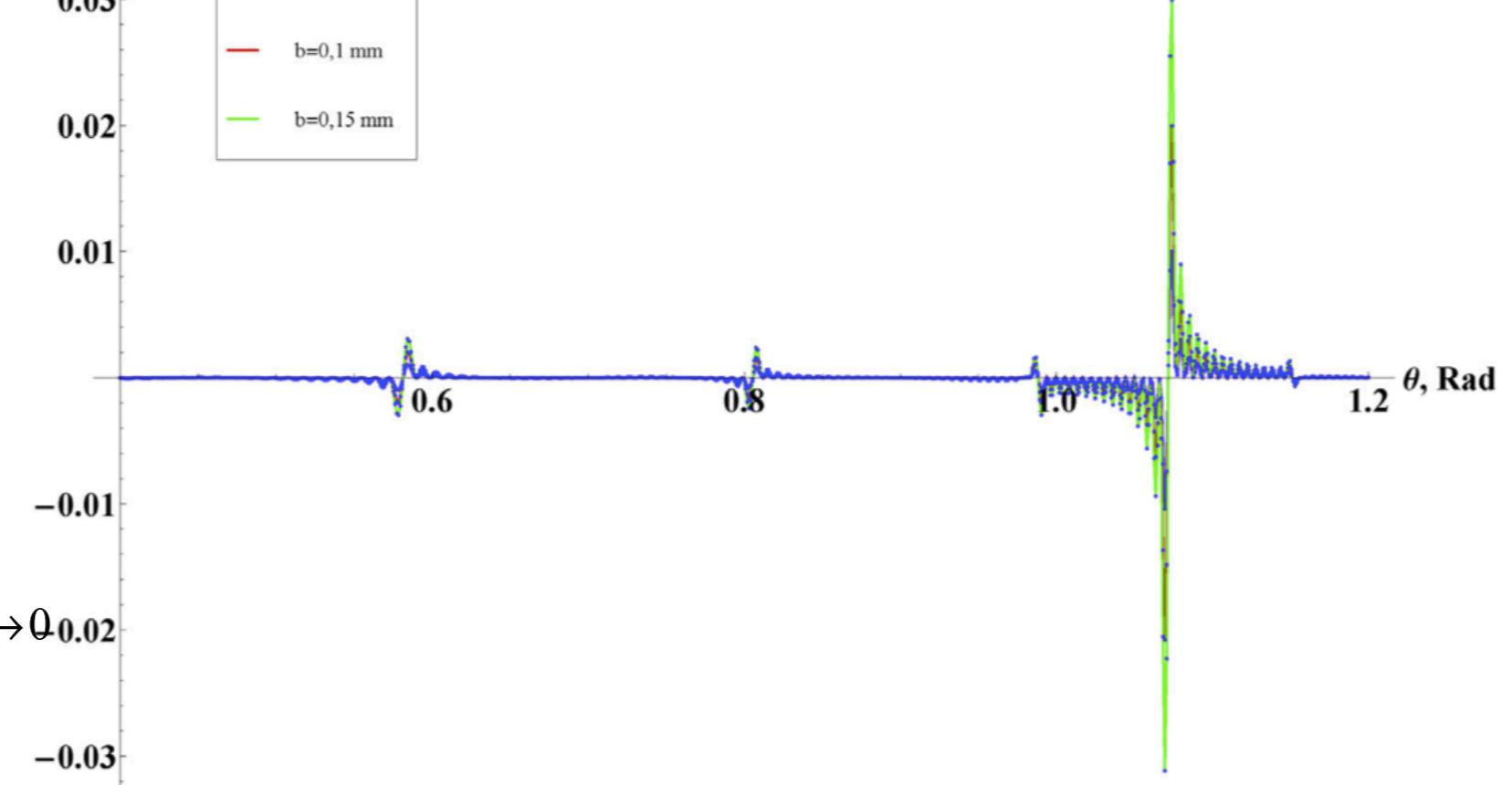


SPR – “ b ”

CR – “ b^2 “

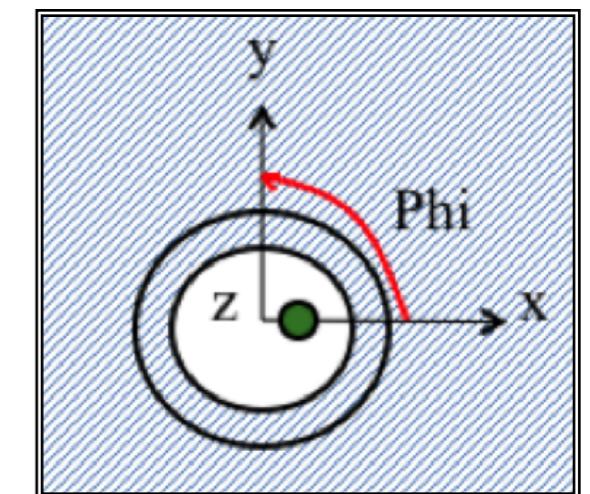
$$\frac{d^2 W_b}{d\Omega d\hbar\omega}$$

- $b=0,05 \text{ mm}$
- $b=0,1 \text{ mm}$
- $b=0,15 \text{ mm}$

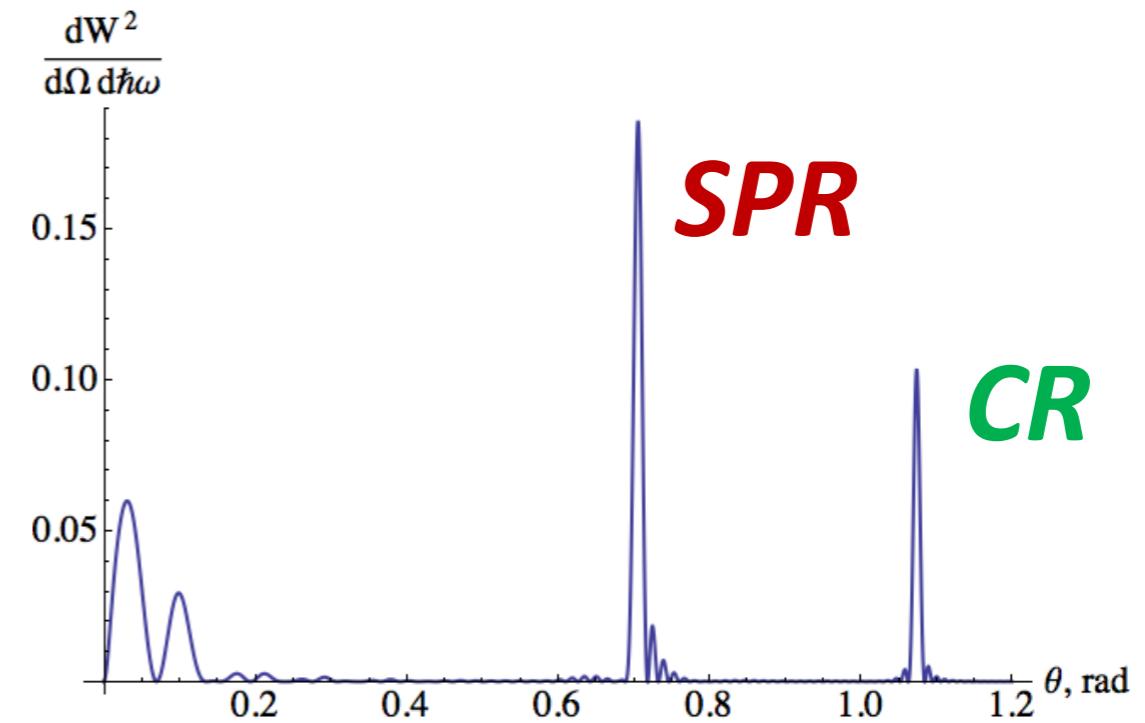
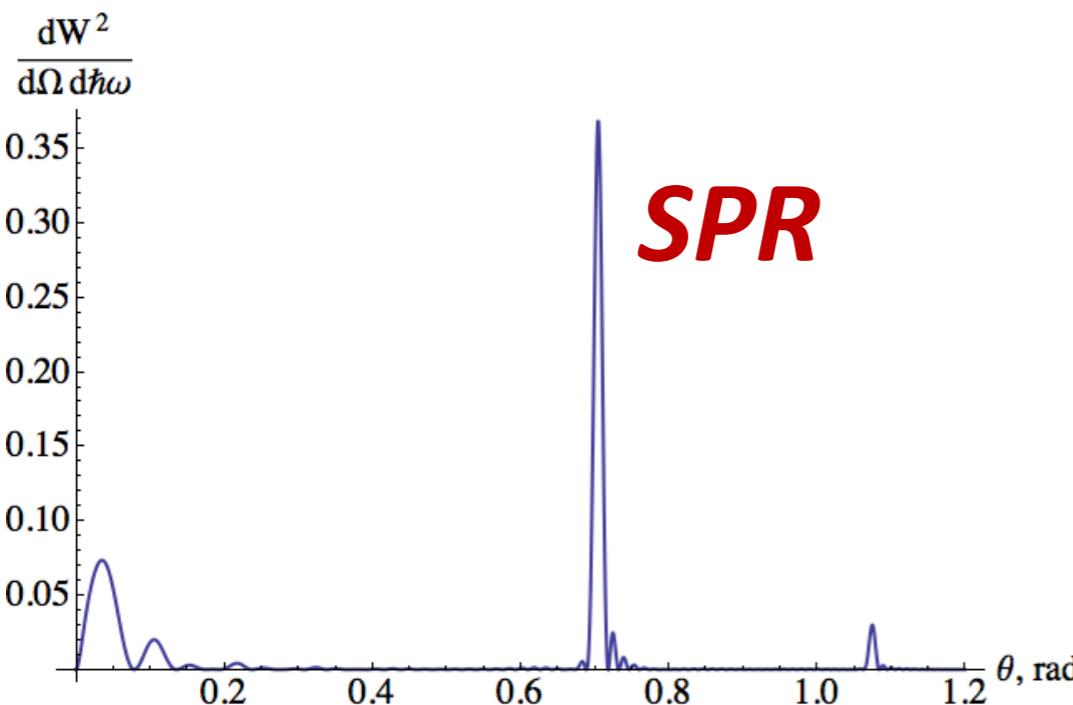


$$\frac{\sin(k \tilde{\varphi}(d-l)) + \sin(k \tilde{\varphi} l) - \sin(k \tilde{\varphi} d)}{\tilde{\varphi}^2} \xrightarrow[\tilde{\varphi} \rightarrow 0]{} 0$$

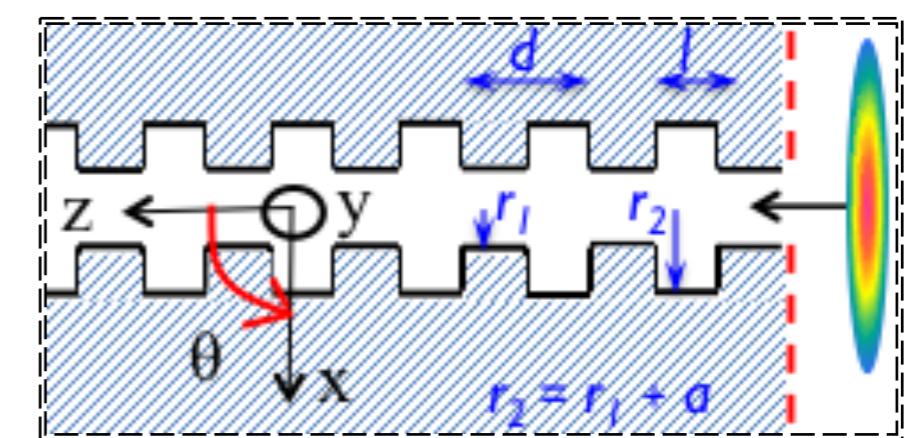
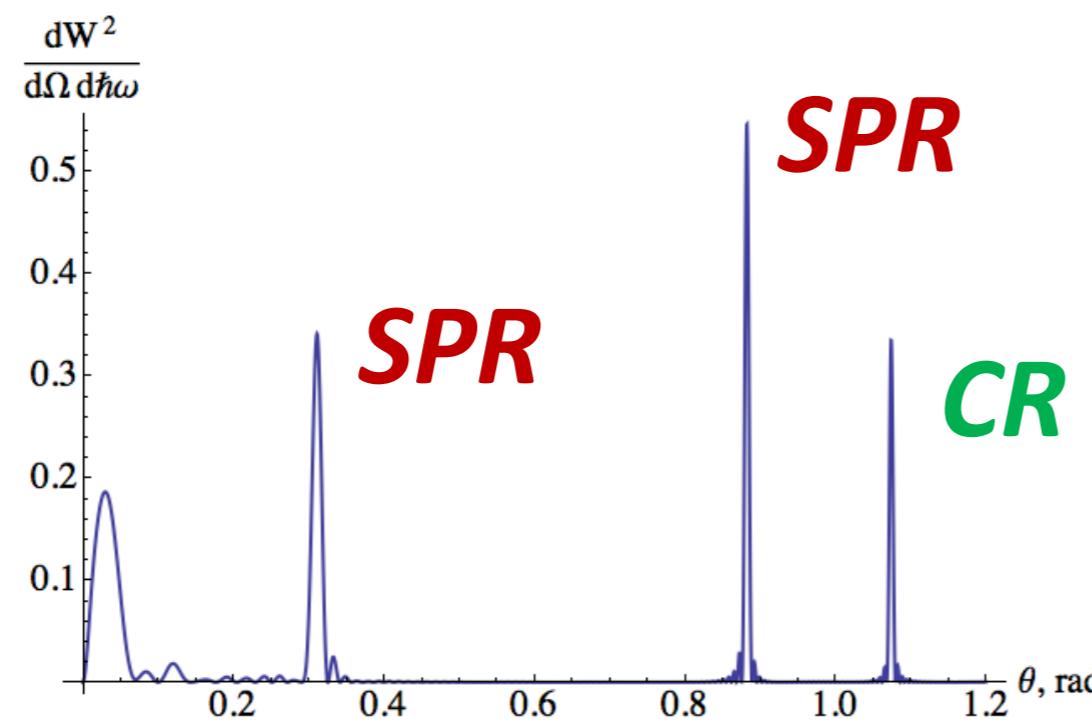
$$\tilde{\varphi} = \cos \theta - (\beta \sqrt{\varepsilon})^{-1}$$



Theoretical background - analysis



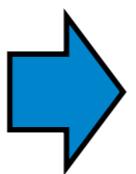
$\lambda = 0.6 \text{ mm}$
 $d = 2l$
 $a = 0.1 \text{ mm}$
 $N = 60$
 $\gamma = 16$



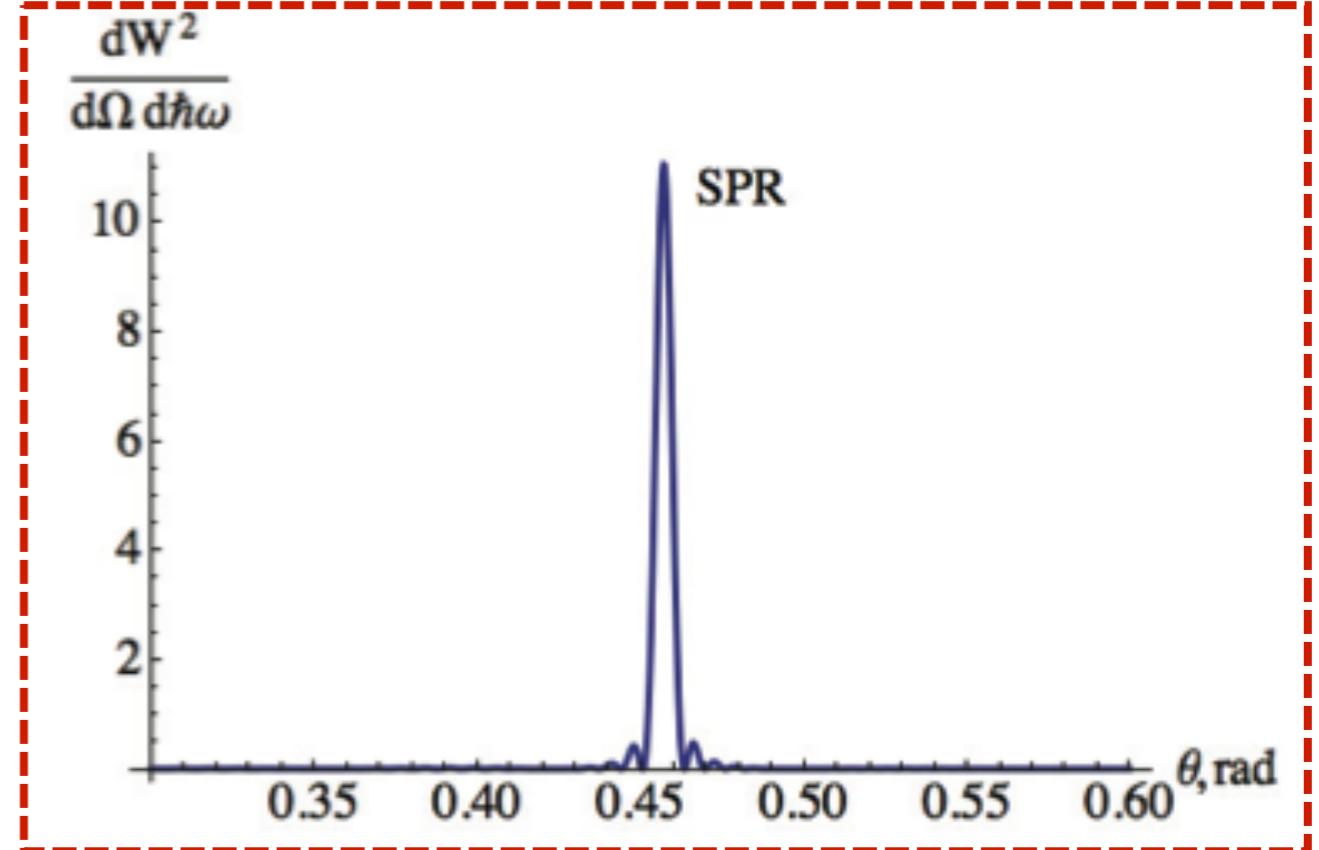
Numerical optimization

$$f = F(x_1, \dots, x_i)$$

$$\left. \begin{array}{l} x_1 \in [a_1, a_2] \\ \cdot \\ x_i \in [a_{i1}, a_{i2}] \end{array} \right\} i = 5 \div 10$$

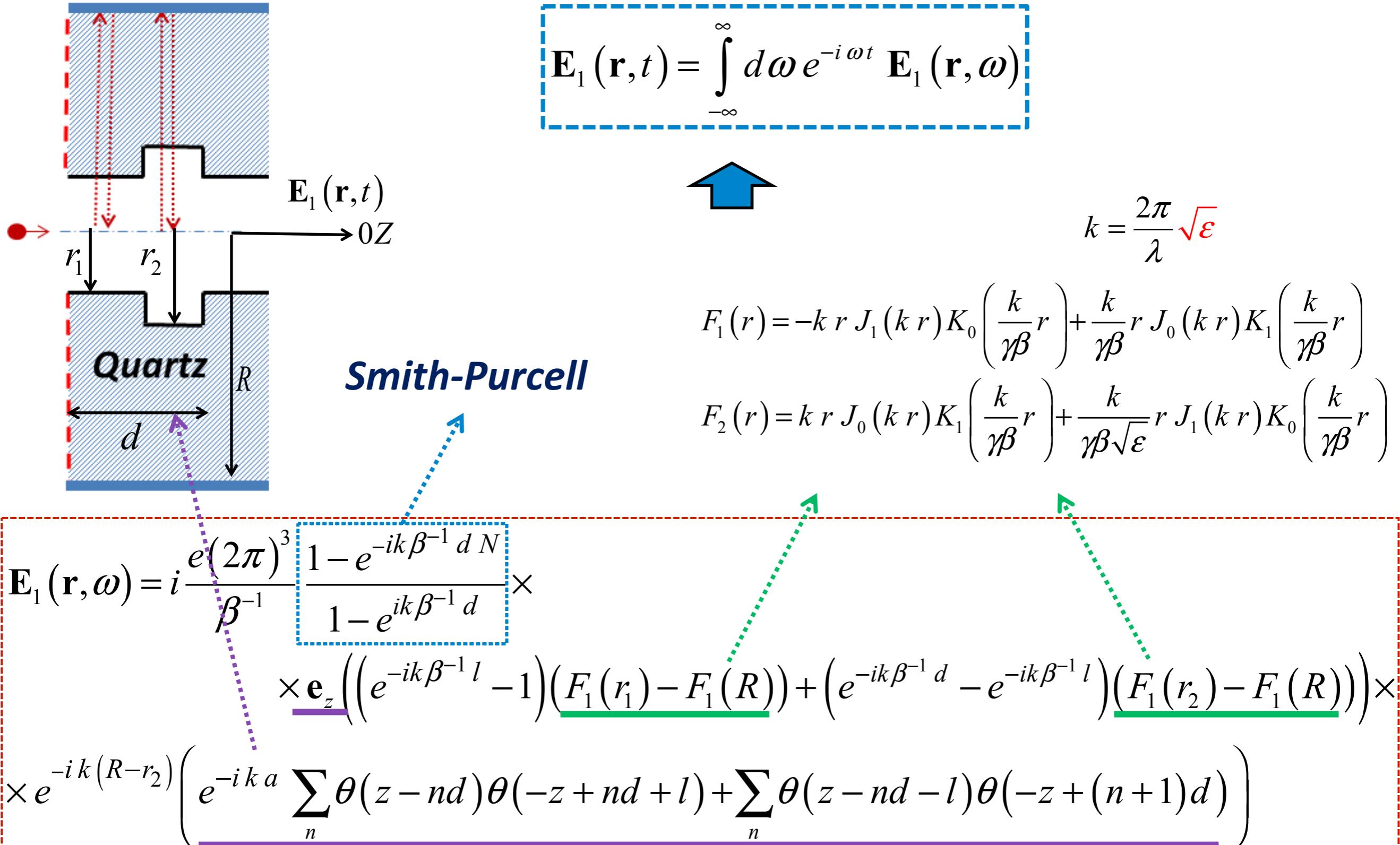


$$\left\{ x_{1 \text{ optimal}}, \dots, x_{i \text{ optimal}} \right\}$$



A.A. Ponomarenko, V.M. Sukharev, A.A. Tishchenko, M.N. Strikhanov, Numerical optimization of THz source based on interaction between an electron beam and a dielectric target, Physics Procedia (2015)

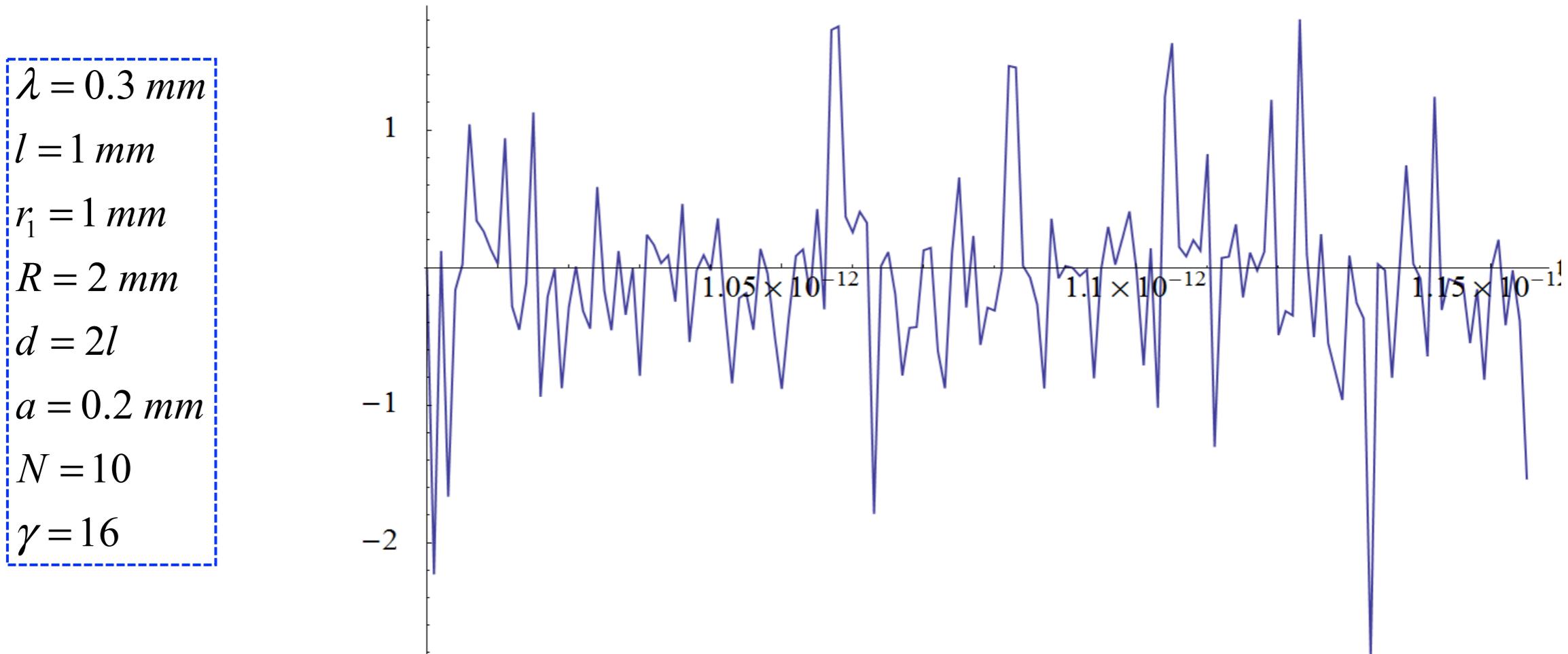
Theoretical result №1 – $E_1(r, \omega)$



Theoretical result №1 – $E_1(r,t)$

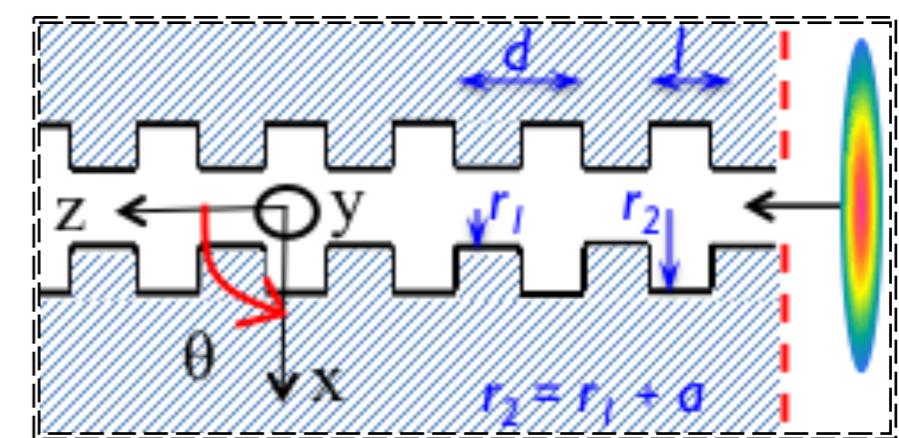
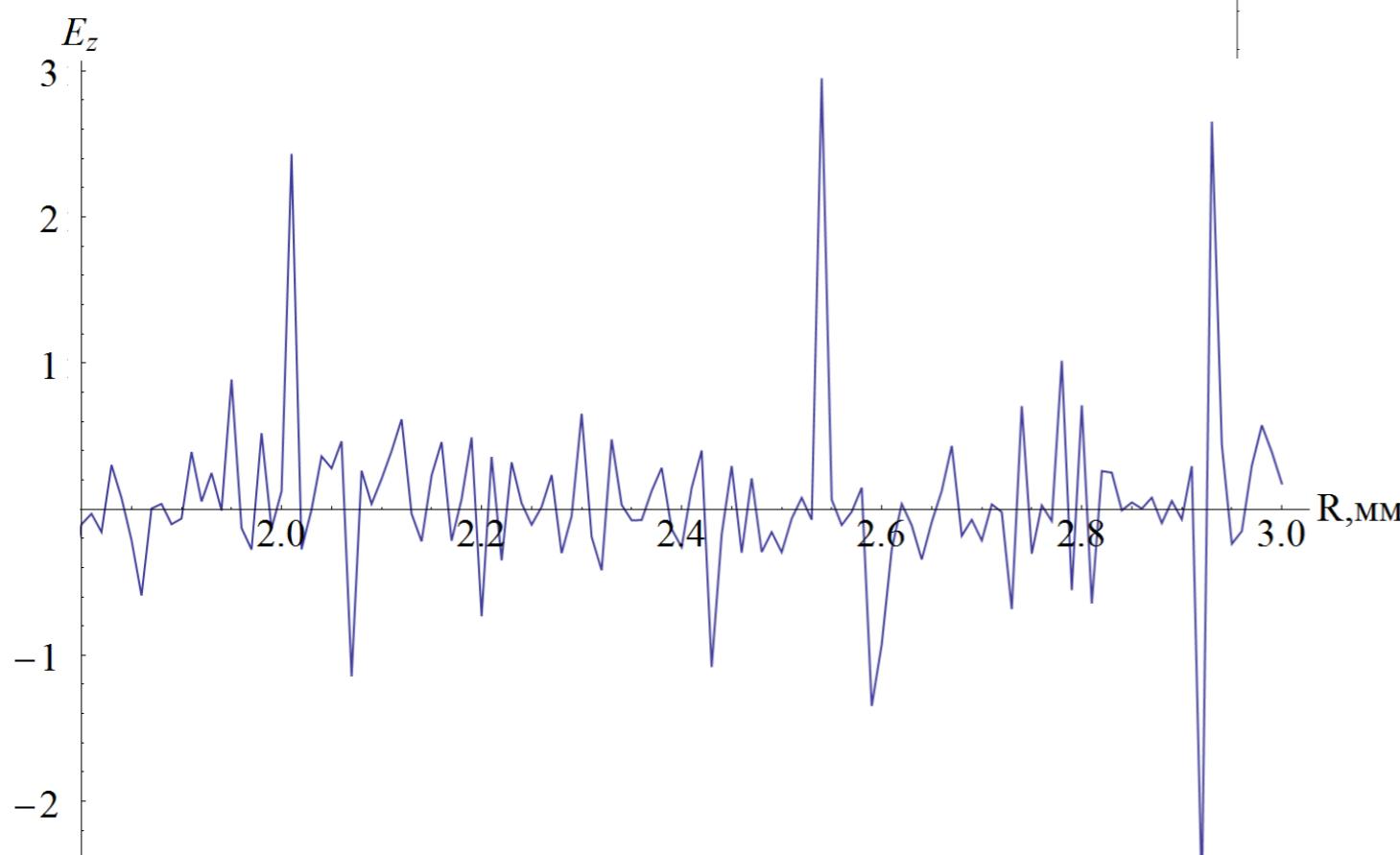
$$\begin{aligned}
 E_1(r,t) = & i \frac{e(2\pi)^3}{\beta^{-1}} e_z \int_{-\infty}^{+\infty} d\omega e^{-i\omega t} \sum_{n'} e^{-2\pi i \frac{\omega}{c} \beta^{-1} d n'} e^{-2\pi i \frac{\omega}{c} (R - r_2)} \\
 & \times \left((e^{-i k \beta^{-1} l} - 1)(F_1(r_1) - F_1(R)) + (e^{-i k \beta^{-1} d} - e^{-i k \beta^{-1} l})(F_1(r_2) - F_1(R)) \right) \times \\
 & \times \left(e^{-i k a} \sum_n \theta(z - nd) \theta(-z + nd + l) + \sum_n \theta(z - nd - l) \theta(-z + (n+1)d) \right)
 \end{aligned}$$

$E_z(r,t)$



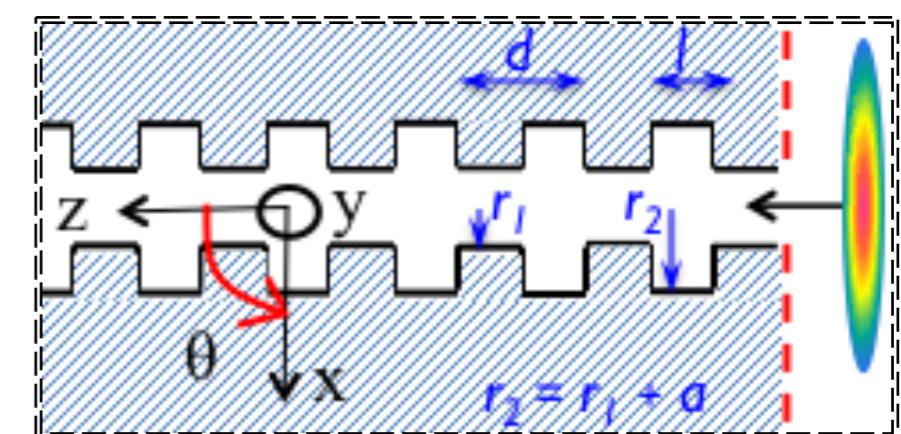
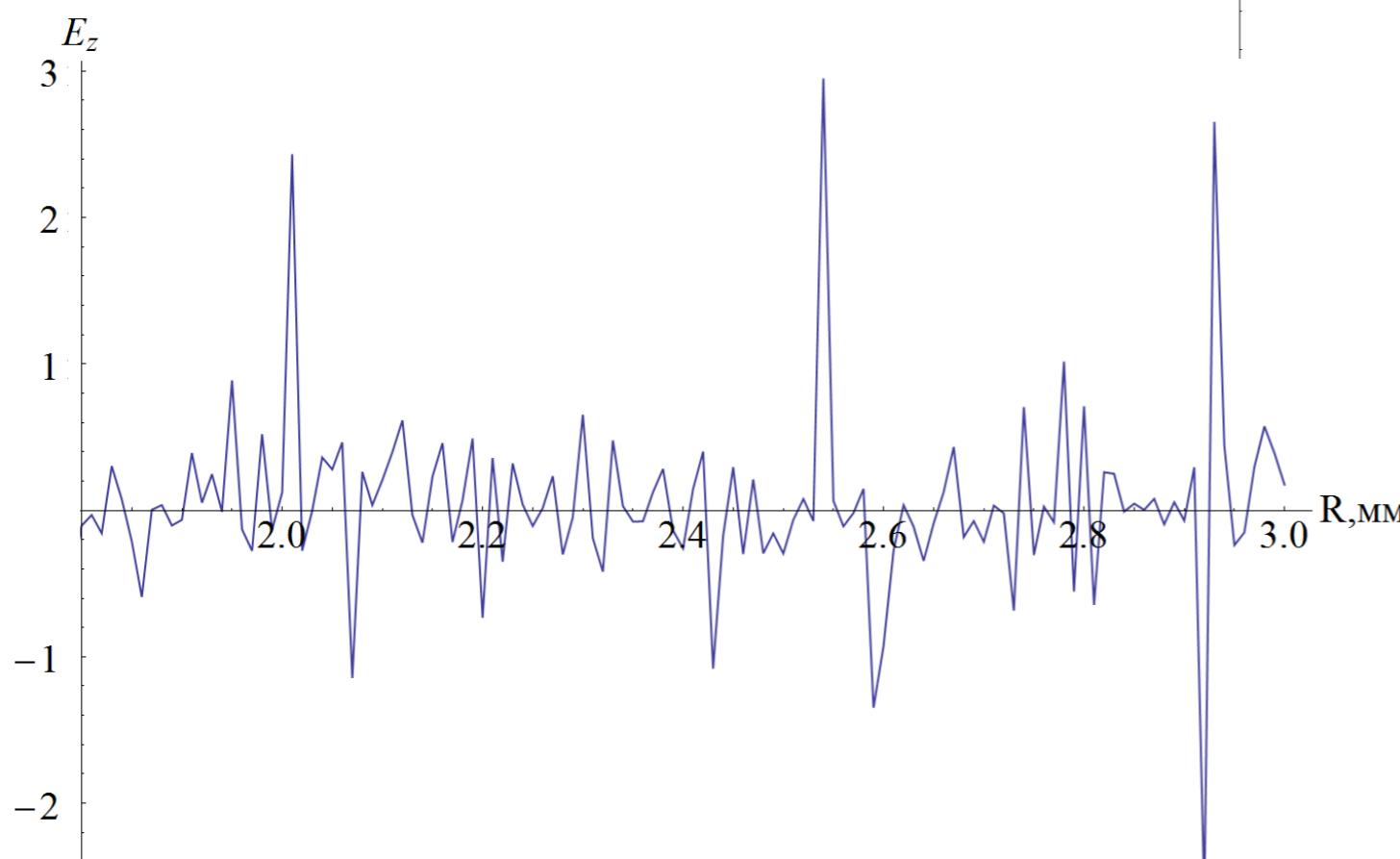
Analysis – $E_z(r)$

$\lambda = 0.3 \text{ mm}$
 $l = 1 \text{ mm}$
 $d = 2l$
 $a = 0.2 \text{ mm}$
 $N = 10$
 $\gamma = 16$



Analysis – $E_z(r)$

$\lambda = 0.3 \text{ mm}$
 $l = 1 \text{ mm}$
 $d = 2l$
 $a = 0.2 \text{ mm}$
 $N = 10$
 $\gamma = 16$



Articles – wakefield acceleration

Radiation of charged particle bunches in corrugated waveguides with small period

A.V. Tyukhtin,^{a,1} V.V. Vorobev,^a E.R. Akhmatova^a and S. Antipov^{b,c}

^aSaint-Petersburg State University,
7/9 Universitetskaya nab., St. Petersburg, 199034, Russia

^bArgonne National Laboratory,
Argonne, IL 60439 U.S.A.

^cEuclid TechLabs LLC,
Solon, OH 44139 U.S.A.

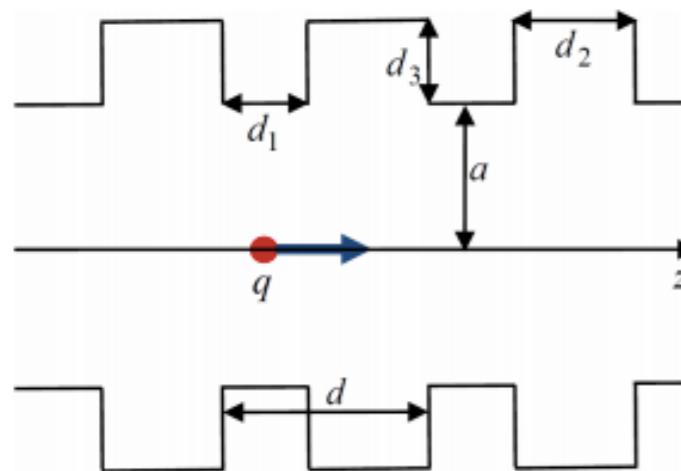
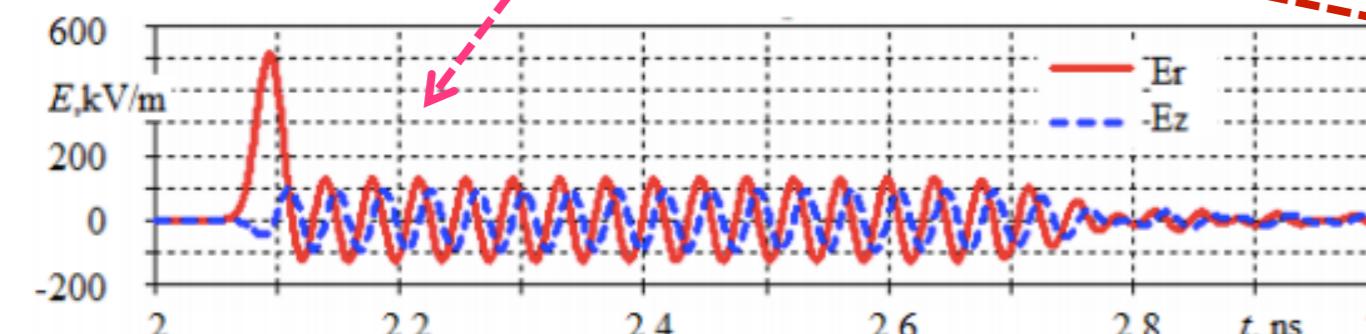


Figure 1. Cross section of the waveguide.

CST simulations



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Radiation of a charge flying in a partially loaded dielectric section of a waveguide

Aleksandra A. Grigoreva,¹ Andrey V. Tyukhtin,¹ Viktor V. Vorobev,¹
Sergey N. Galyamin,¹ and Sergey Antipov²

¹Saint Petersburg State University, 7/9 Universitetskaya nab., St. Petersburg 199034, Russia
²Euclid TechLabs, 365 Remington Blvd, Bolingbrook, Illinois 60440, USA
and Argonne National Laboratory, 9700 S. Cass ave, Lemont, Illinois 60439, USA

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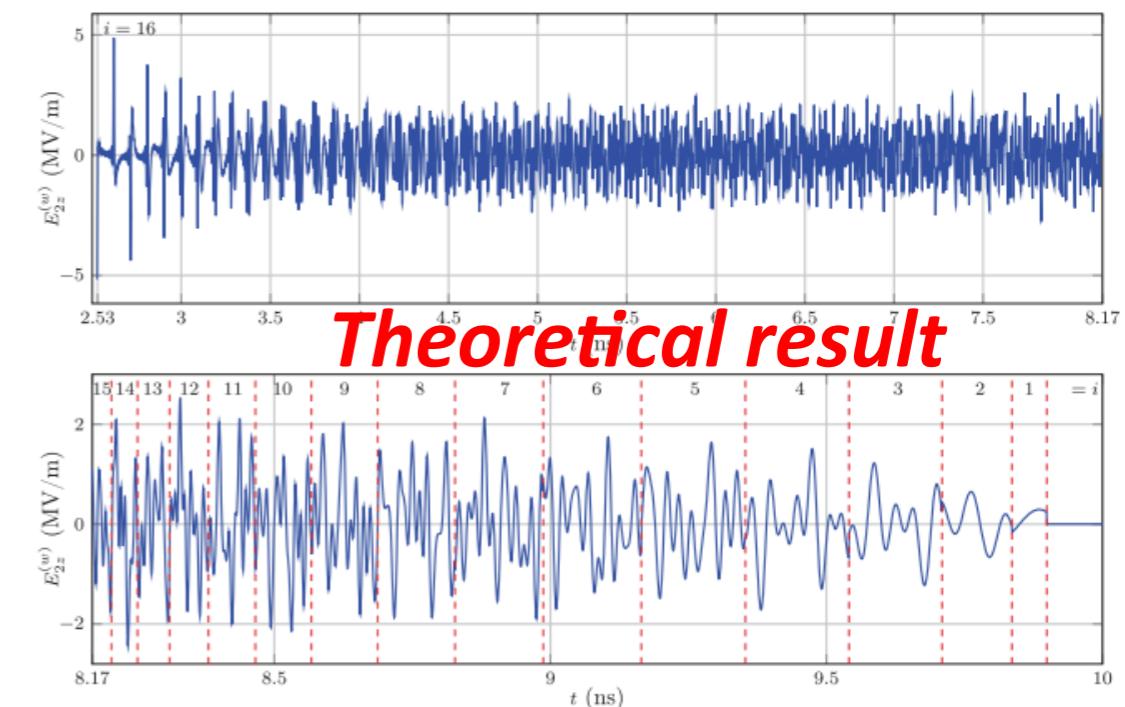


FIG. 2. Dependence of the reduced wakefield longitudinal component $E_{2z}^{(w)}$ on time t at point $r = 0$ cm, $z = 75$ cm (obtained on the basis of analytical results). Waveguide and point charge parameters are $a = 1$ cm, $b = 0.2$ cm, $e_d = 4$, $\mu_d = 1$, $q = 1$ nC, $v = 0.99c$. The number i means the number of Cherenkov modes contributing in a corresponding time interval.

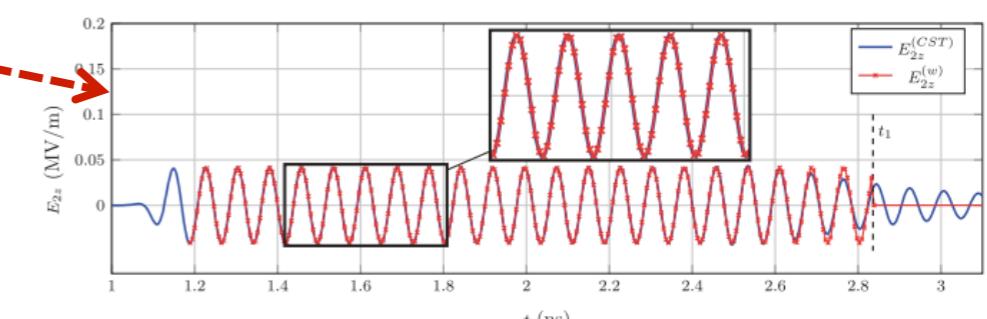


FIG. 4. Dependence of component $E_{2z}^{(CST)}$ of the total field obtained using CST simulation (blue solid line) and reduced wakefield component $E_{2z}^{(w)}$ obtained from analytical investigation (red marked line) on time t at point $r = 0.3$ cm, $z = 30$ cm. Waveguide and bunch parameters are $a = 1$ cm, $b = 0.7$ cm, $e_d = 4$, $\mu_d = 1$, $q = 1$ nC, $\sigma = 0.6$ cm, $v = 0.9c$.