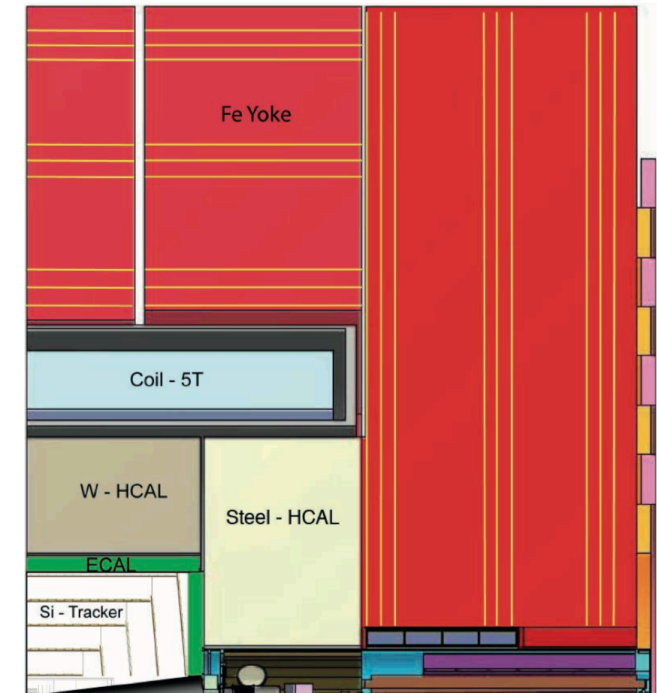
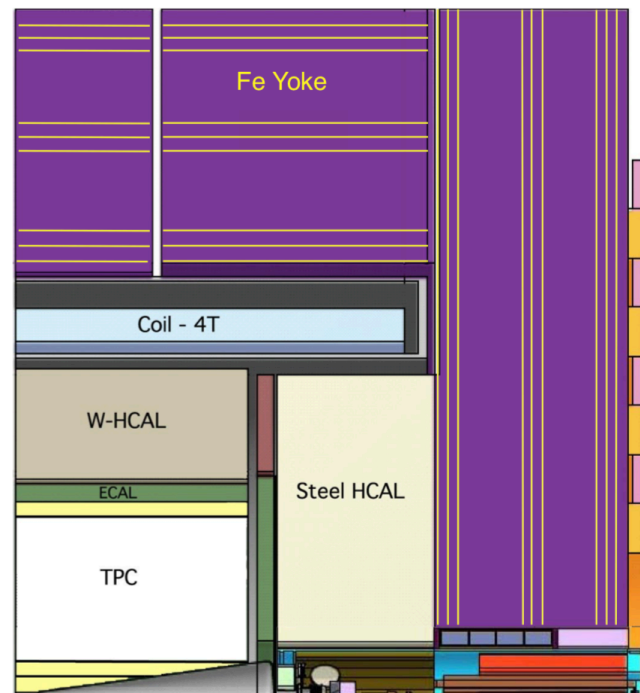


# A silicon vertex and tracking system for CLIC and FCC-ee

Emilia Leogrande (CERN),  
on behalf of the CLICdp and FCC Collaborations

Workshop on the Circular Electron-Positron Collider  
24-26 May 2018, Rome

- CLIC CDR [1]: two detector concepts, both based on ILC concepts
  - CLIC\_ILD = silicon vertex + TPC + silicon layers
  - CLIC\_SiD = all silicon

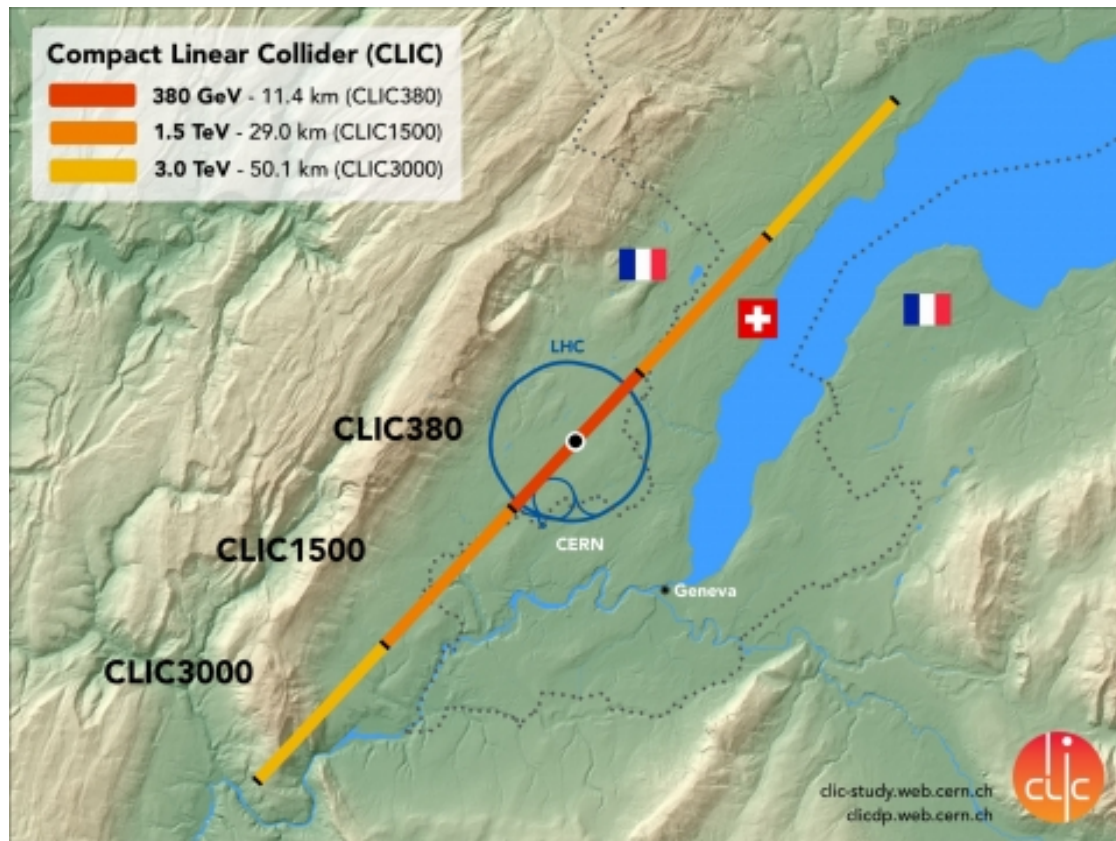


- Post-CDR [2]: *one* all-silicon detector concept **CLICdet**
- Adaptation of the CLICdet to **FCC-ee** experimental conditions and physics requirements: **CLD**

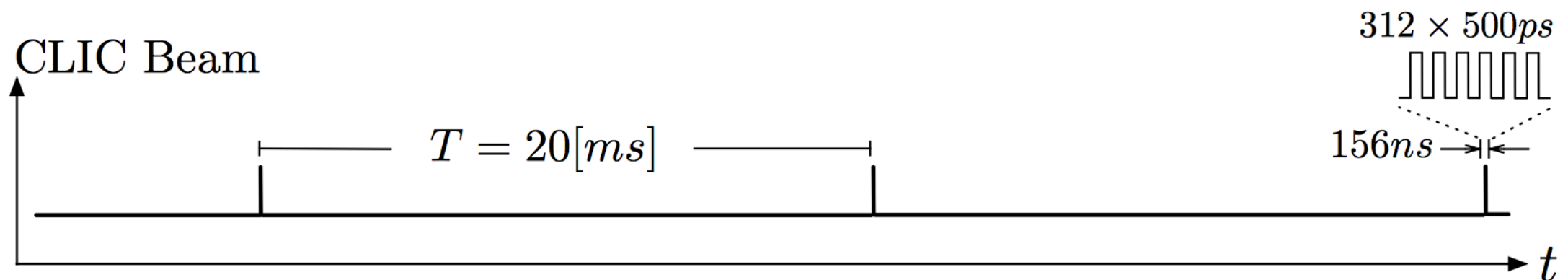
[1] <https://arxiv.org/abs/1202.5940>

[2] <https://cds.cern.ch/record/2254048?ln=it>

- The CLICdet
  - CLIC experimental conditions and beam-beam effects
  - detector design overview
  - vertex and tracker design and performances
- The CLD
  - FCC-ee experimental conditions
  - detector design overview
  - vertex and tracker design and performances
- Technology R&D - work carried out in the CLICdp Collaboration, targeted for CLIC specifications
  - *personal selection* of (sensors + readout) technology considered for the vertex and tracker



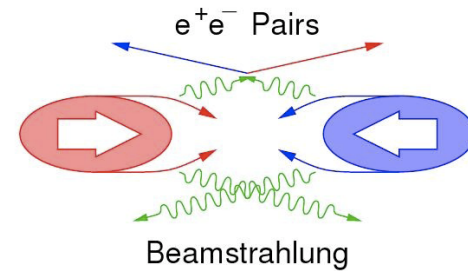
- built in stages: 380 GeV, 1.5 TeV, 3 TeV
- beam structure
  - record data during collision time, *triggerless* readout between bunch trains
  - power pulsing: detector "switched off" between bunch trains
  - crossing angle (20mrad @3TeV) in crab crossing scheme



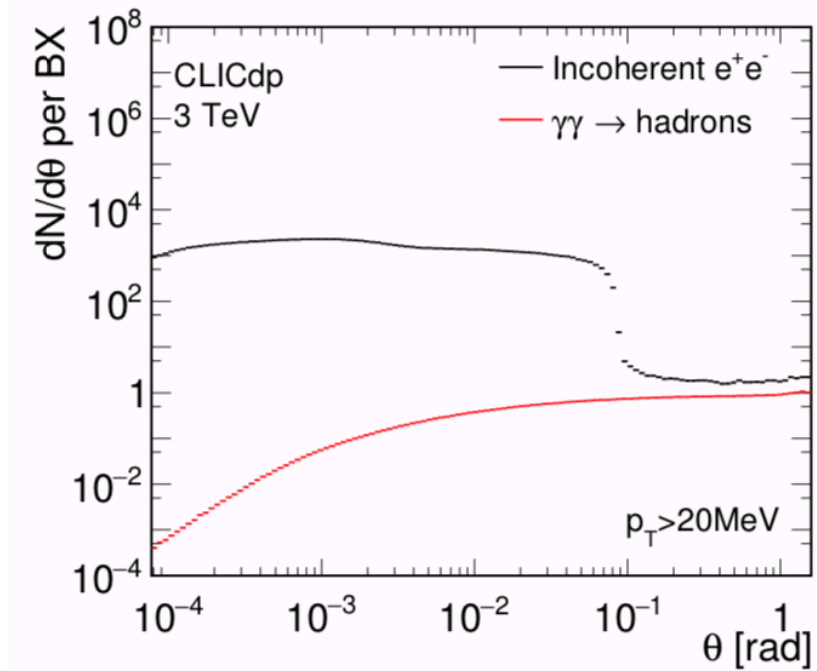
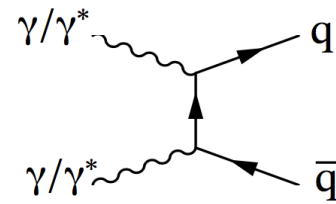


- main source of background from beamsstrahlung photons

- incoherent pairs



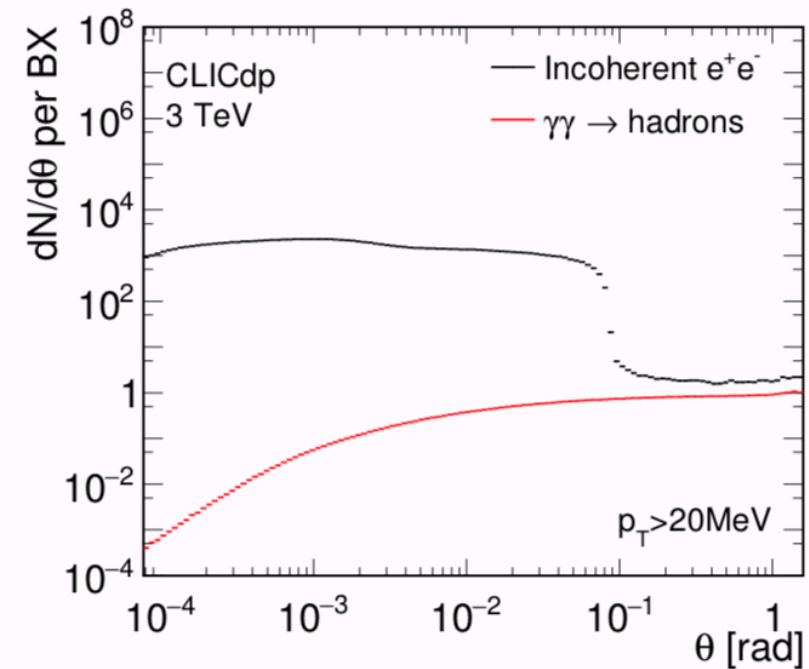
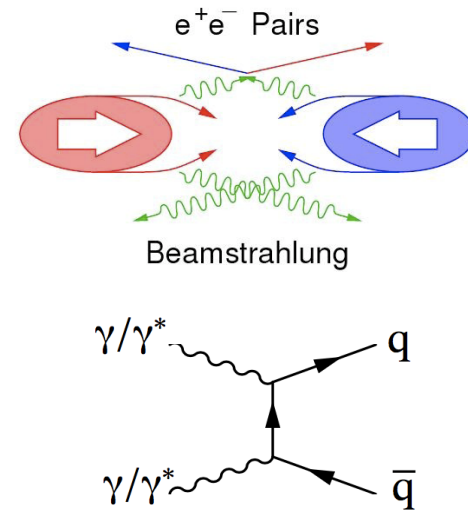
- $\gamma\gamma \rightarrow \text{hadrons}$



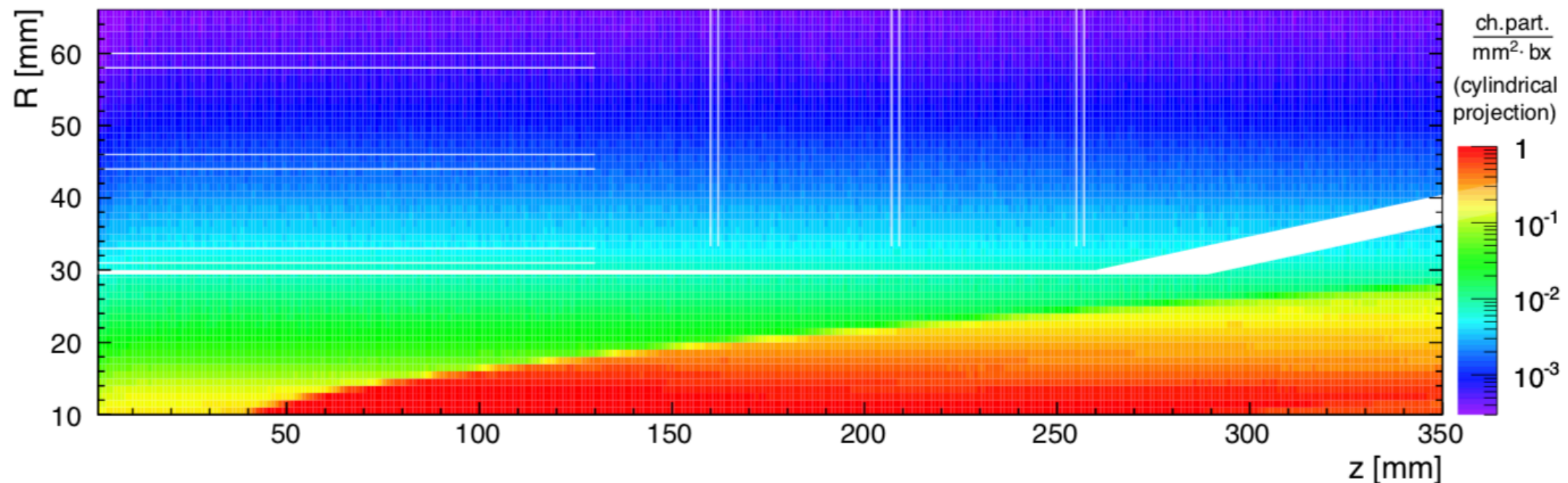
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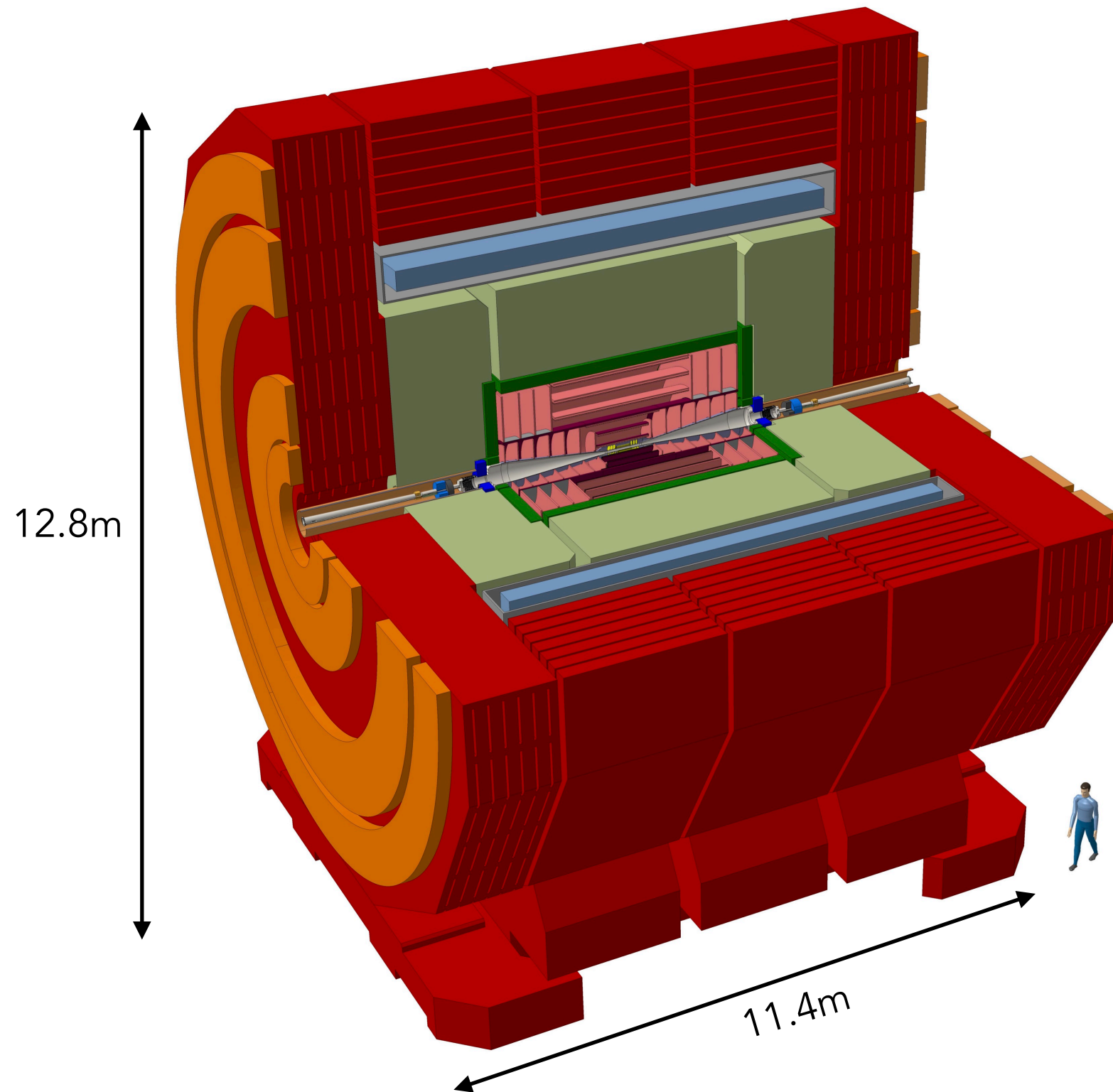


- Large flux of low-momentum particles from incoherent pairs limits the inner radius of the vertex detector



# The CLICdet

optimized for the 3 TeV



- Tracking system

- Vertex
- Tracker

- Calorimeters

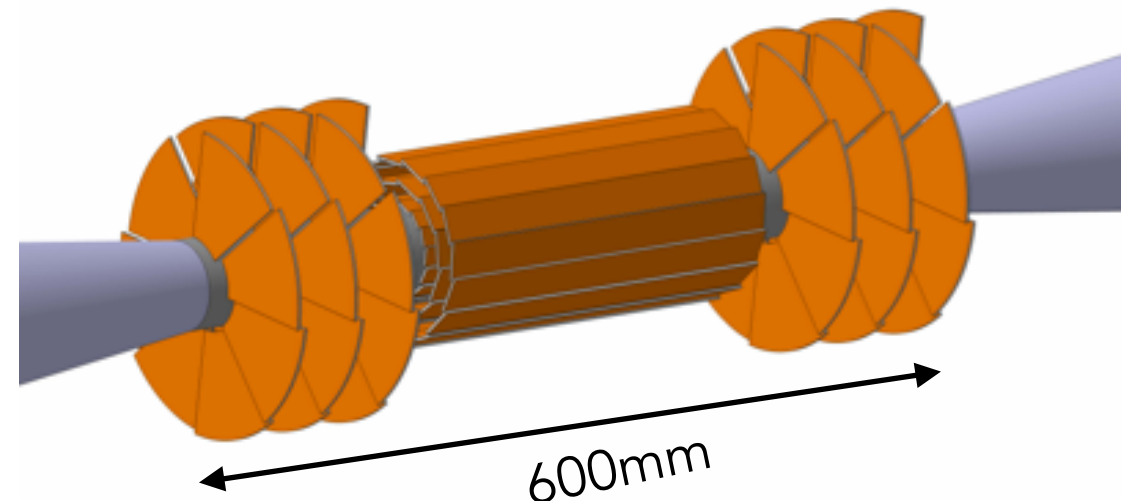
- ECal
- HCal

- Superconducting solenoid 4T

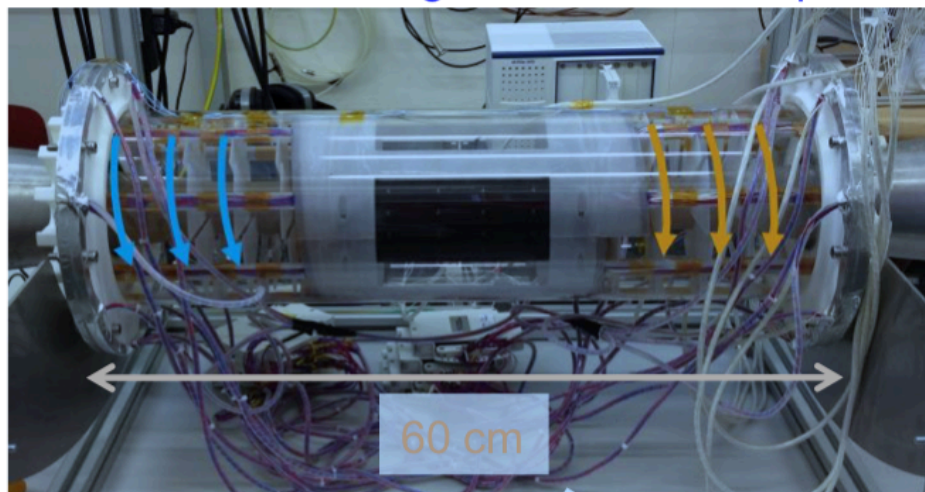
- Return yoke + muon ID system

# The vertex detector (I)

- 3 double layers in the barrel
  - radius from 31\*\* to 58 mm
  - \*\*limited by occupancy from pairs
- 3 double-layered forward disks
  - shaped in spirals to allow for air cooling (power-pulsed detector)
  - 50 mW/cm<sup>2</sup> achievable with power pulsing



1:1 scale air cooling thermal test setup

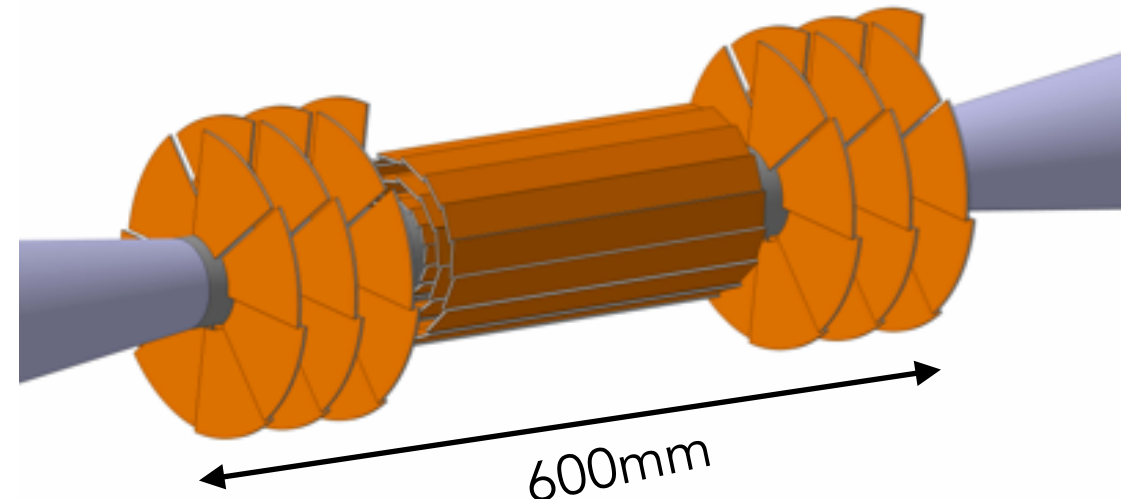


<https://cds.cern.ch/record/2138963?ln=it>

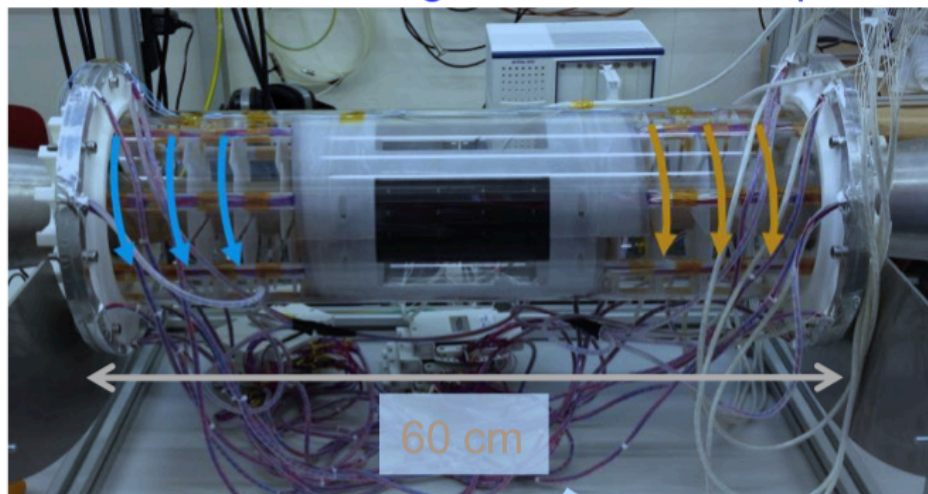


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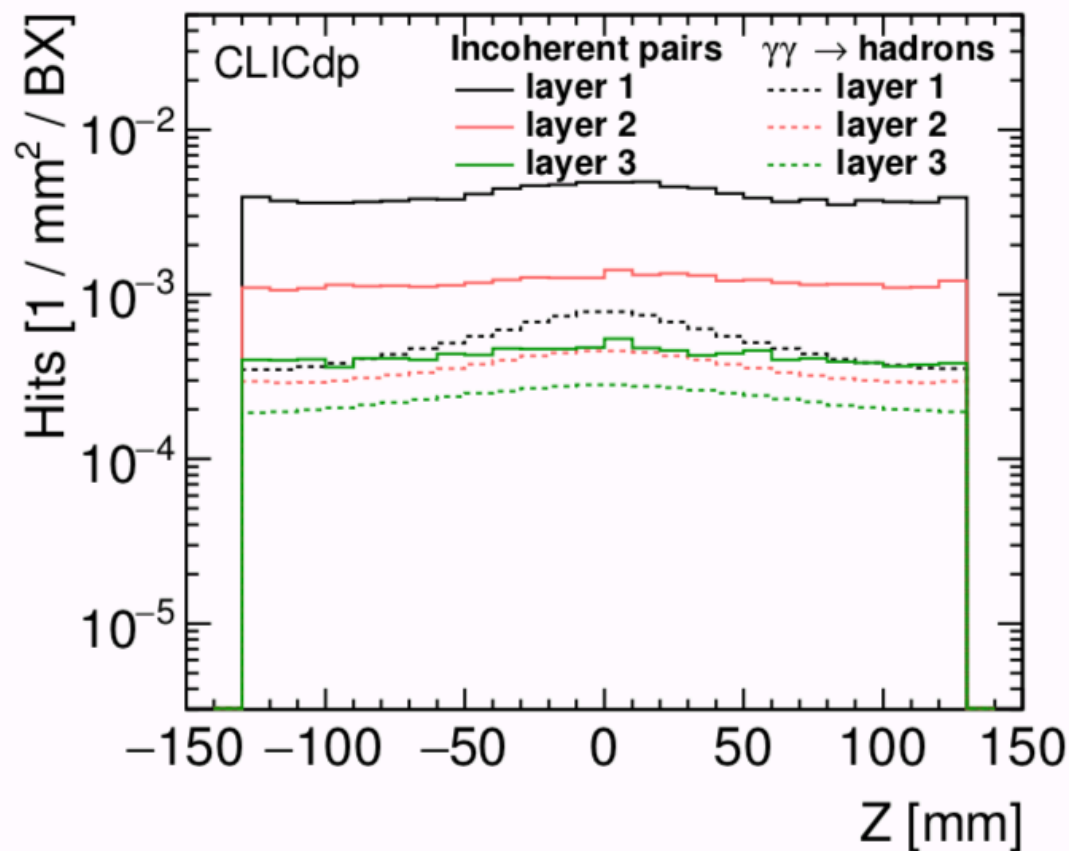
- low material budget
  - 50  $\mu\text{m}$ -thick sensors
  - 0.4%  $X_0$  per double layer (0.2%  $X_0$  per layer)
- total sensitive area = 0.84 m<sup>2</sup>

<https://cds.cern.ch/record/2138963?ln=it>

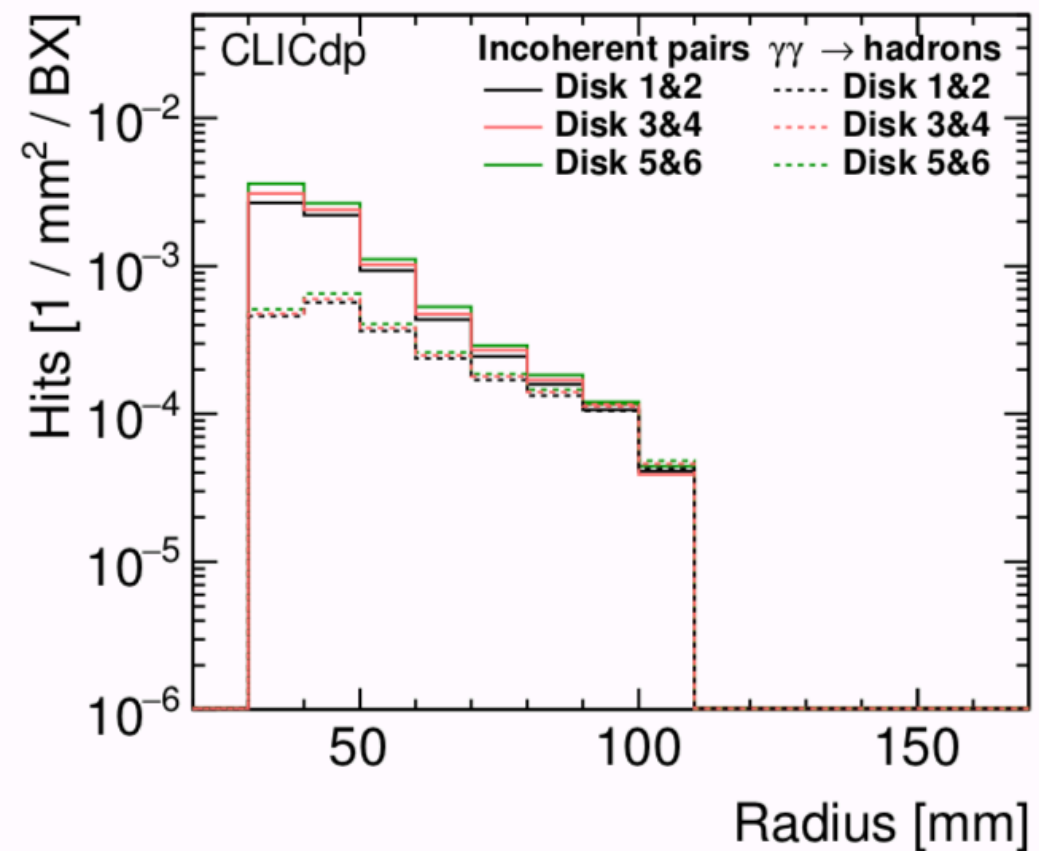


# The vertex detector (II)

- pixel sizes  $25 \times 25 \mu\text{m}^2$ 
  - with analog readout => required single point resolution of  $3 \mu\text{m}$
  - time resolution of  $\sim 5 \text{ ns}$
  - max desired occupancy of 3%



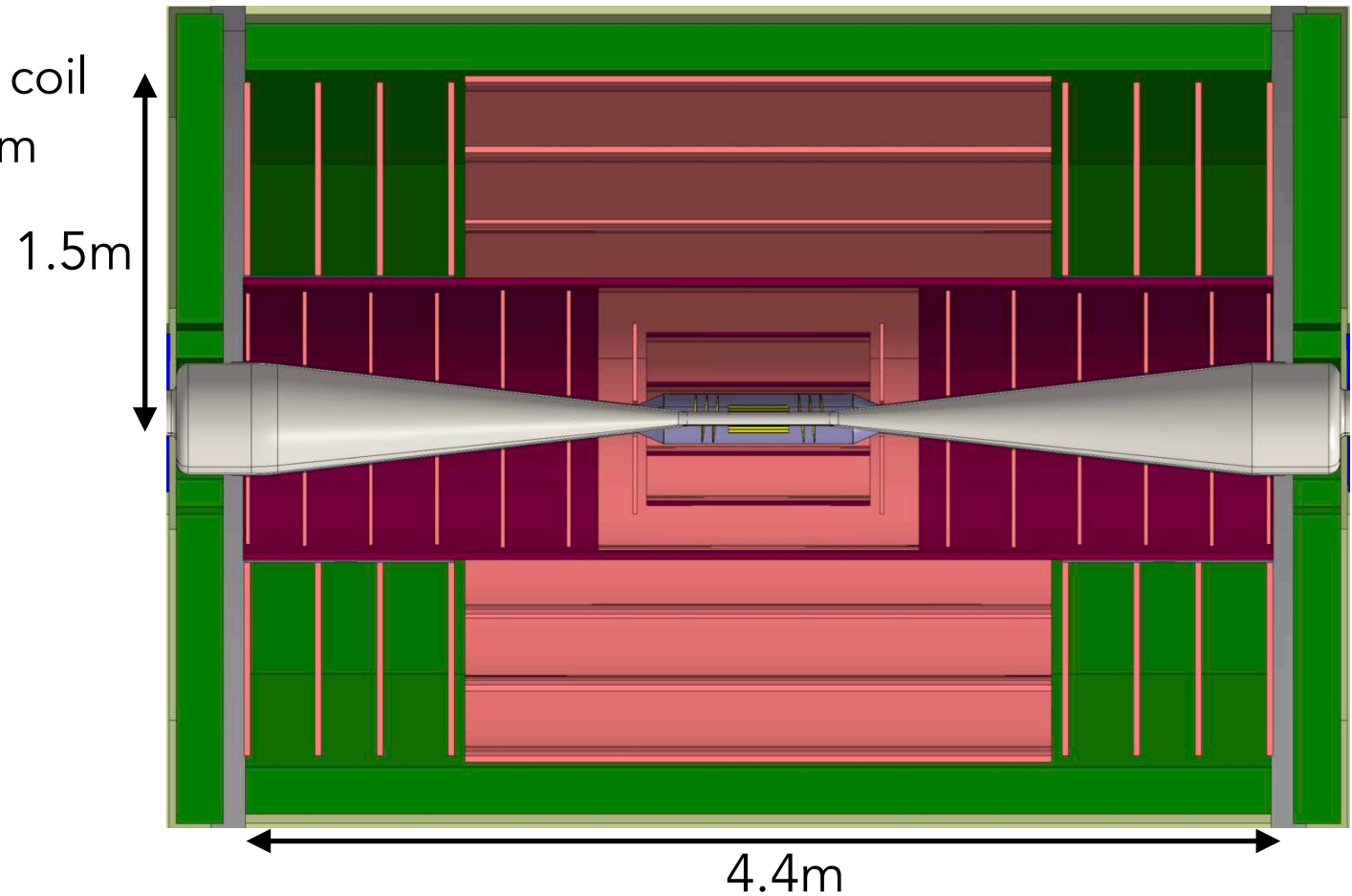
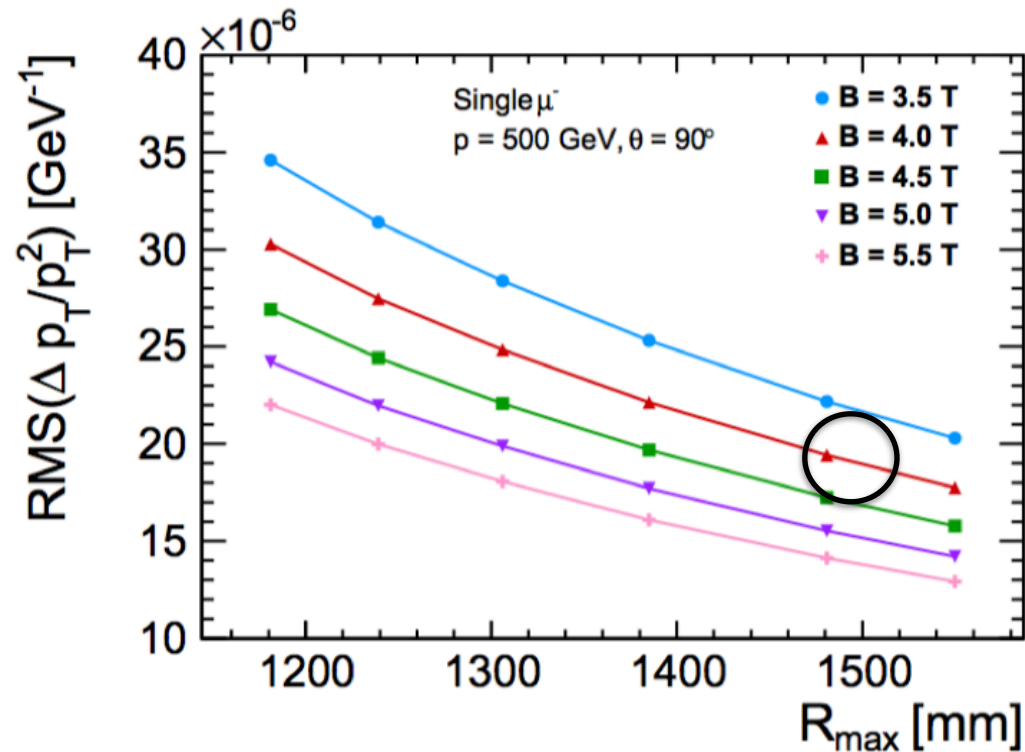
(a) Vertex barrel hit density



(b) Vertex disks hit density

# The tracker (I)

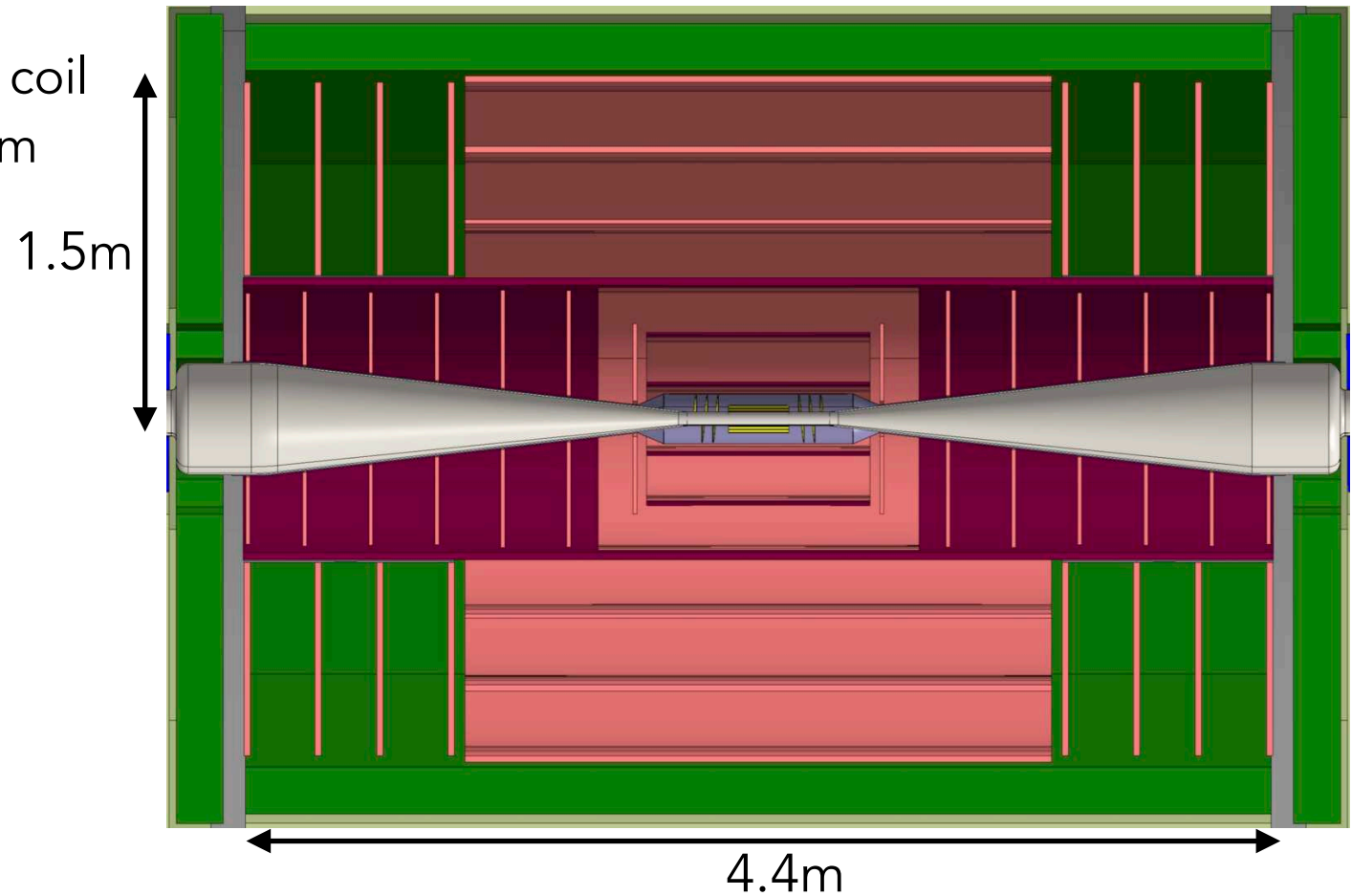
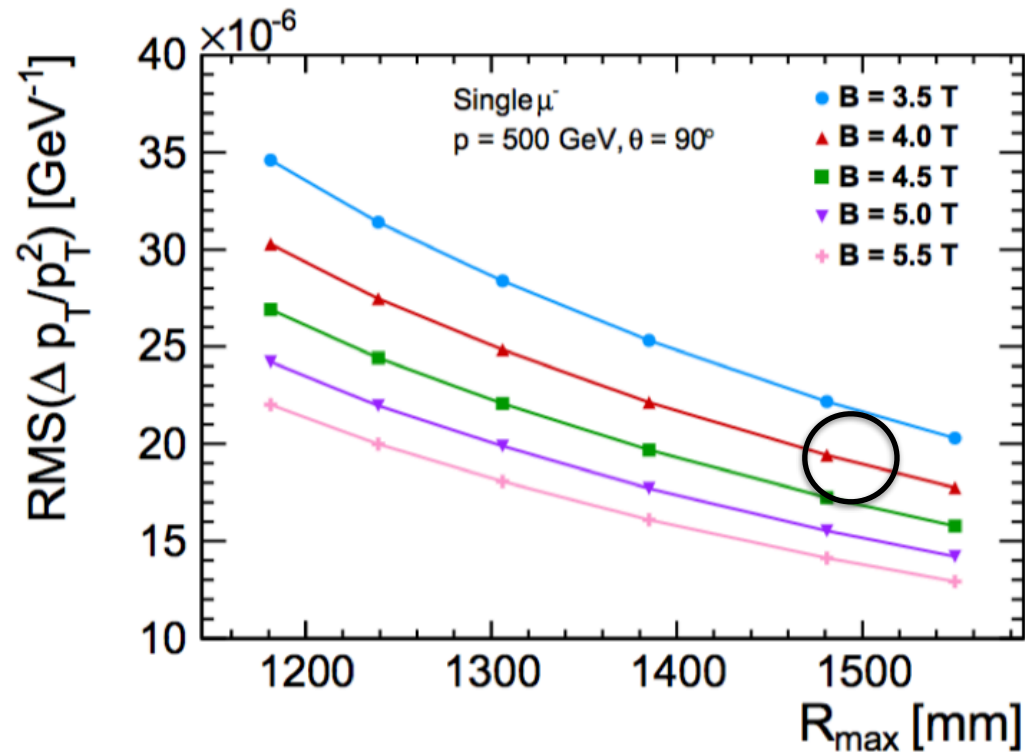
- $p_T$ -resolution goal  $2 \times 10^{-5} \text{ GeV}^{-1}$
- Trade-off between achievable B field at given coil radius and outer tracker radius  $\Rightarrow R_{\text{max}} = 1.5 \text{ m}$



- inner tracker
  - 3 barrel layers + 7 forward disks per side
- outer tracker
  - 3 barrel layers + 4 forward disks per side
- carbon fibre support structure
  - mechanical stability, integration

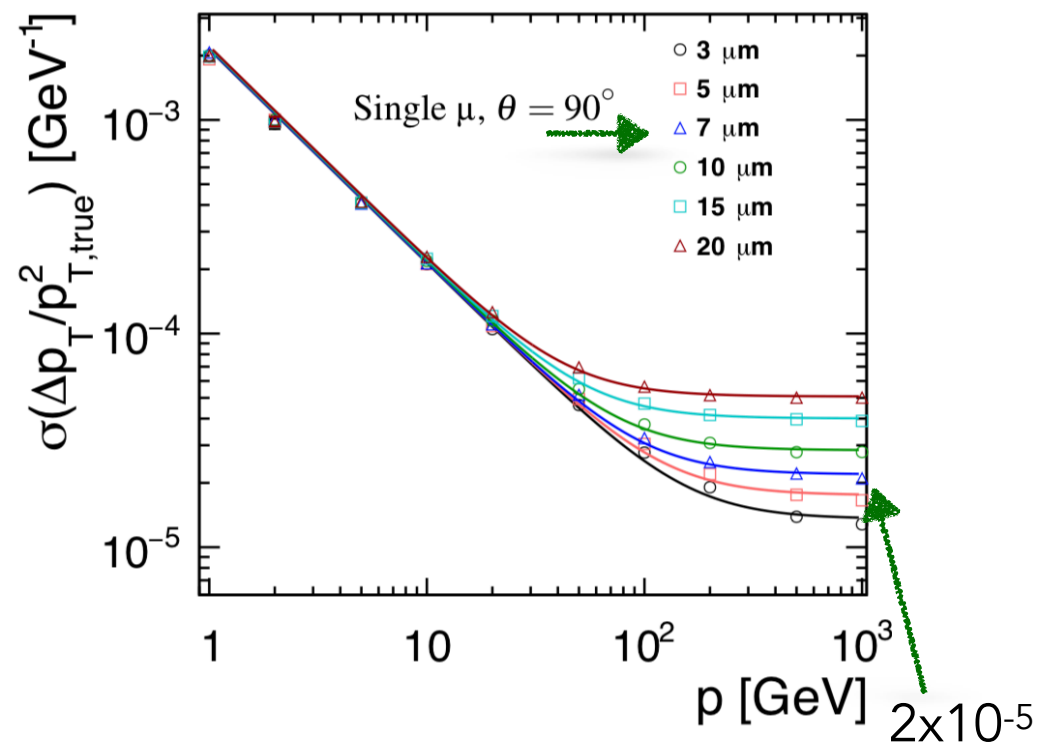
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- inner tracker
  - 3 barrel layers + 7 forward disks per side
- outer tracker
  - 3 barrel layers + 4 forward disks per side
- carbon fibre support structure
  - mechanical stability, integration
- material budget
  - 200  $\mu\text{m}$ -thick sensors
  - 1%  $X_0$  per tracker layer
  - 2.5%  $X_0$  main support tube + cables
- total sensitive area = 137  $\text{m}^2$

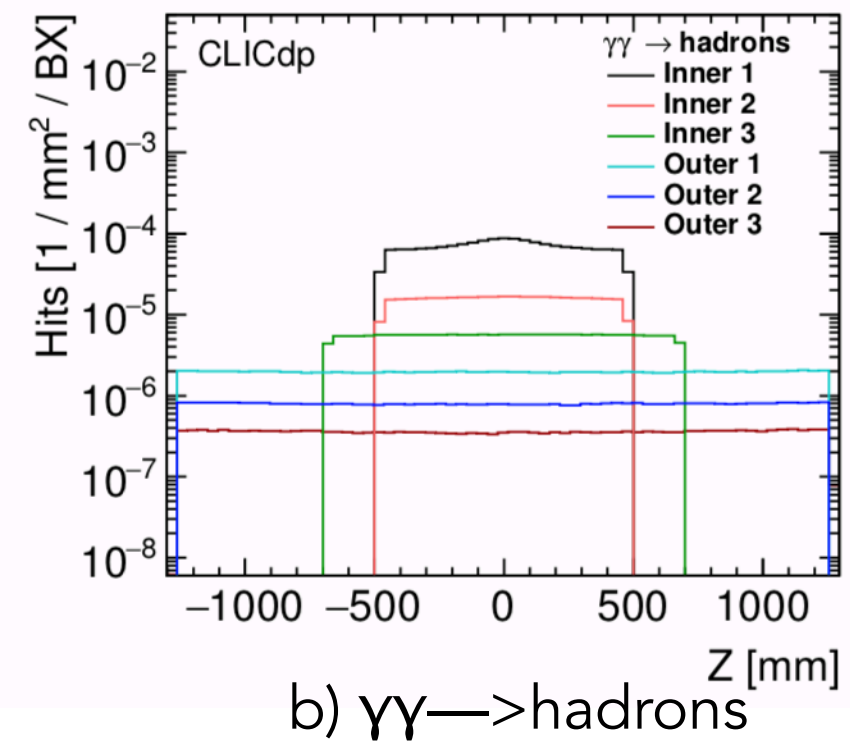
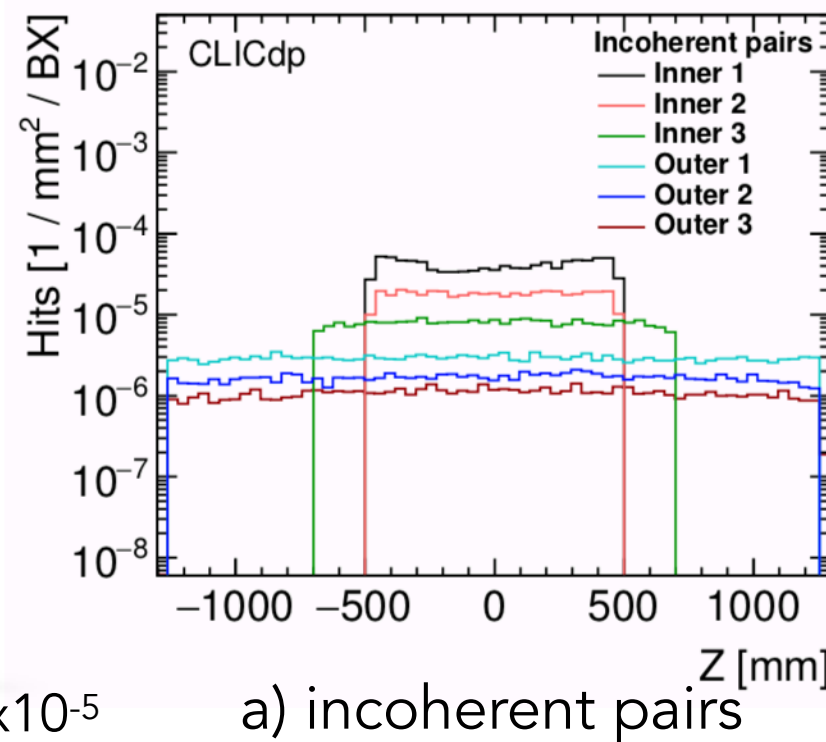
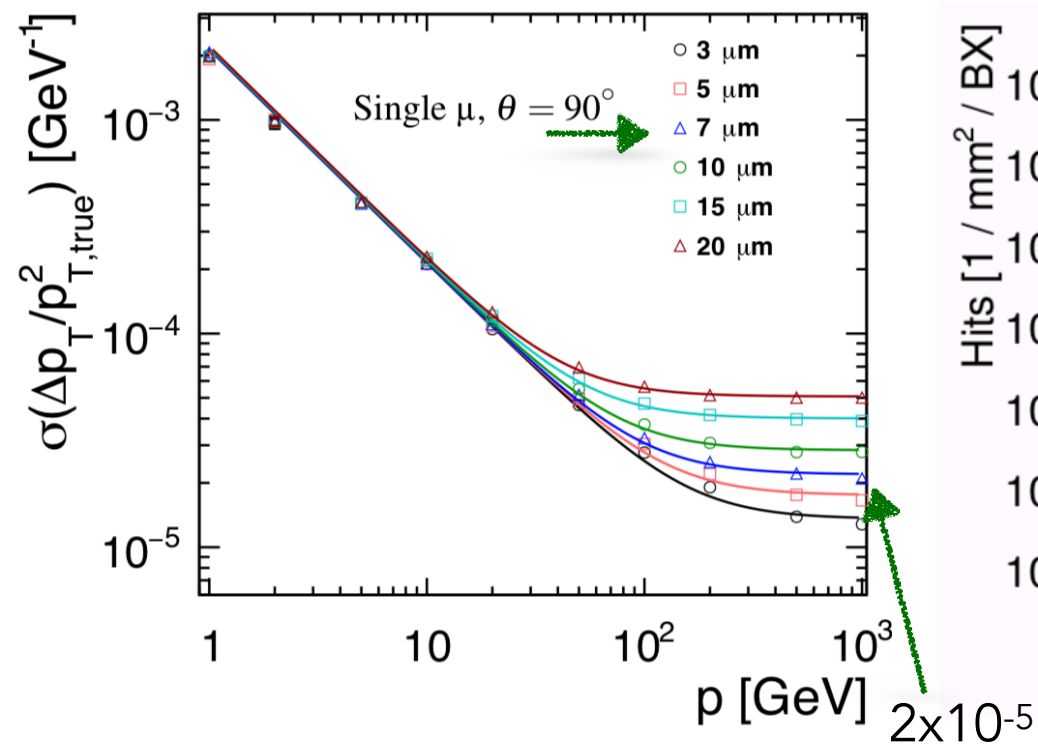
- only first inner tracker disk pixelated for pattern recognition needs
- strixel (short strips/ long pixels) sizes  $30 \times 300 \mu\text{m}^2$ 
  - with analog readout => required single point resolution in  $(R, \varphi)$  of  $7 \mu\text{m}$
  - time resolution of  $\sim 5 \text{ ns}$



<https://cdsweb.cern.ch/record/2261066/files/CLICdp-Note-2017-002.pdf>

# The tracker (II)

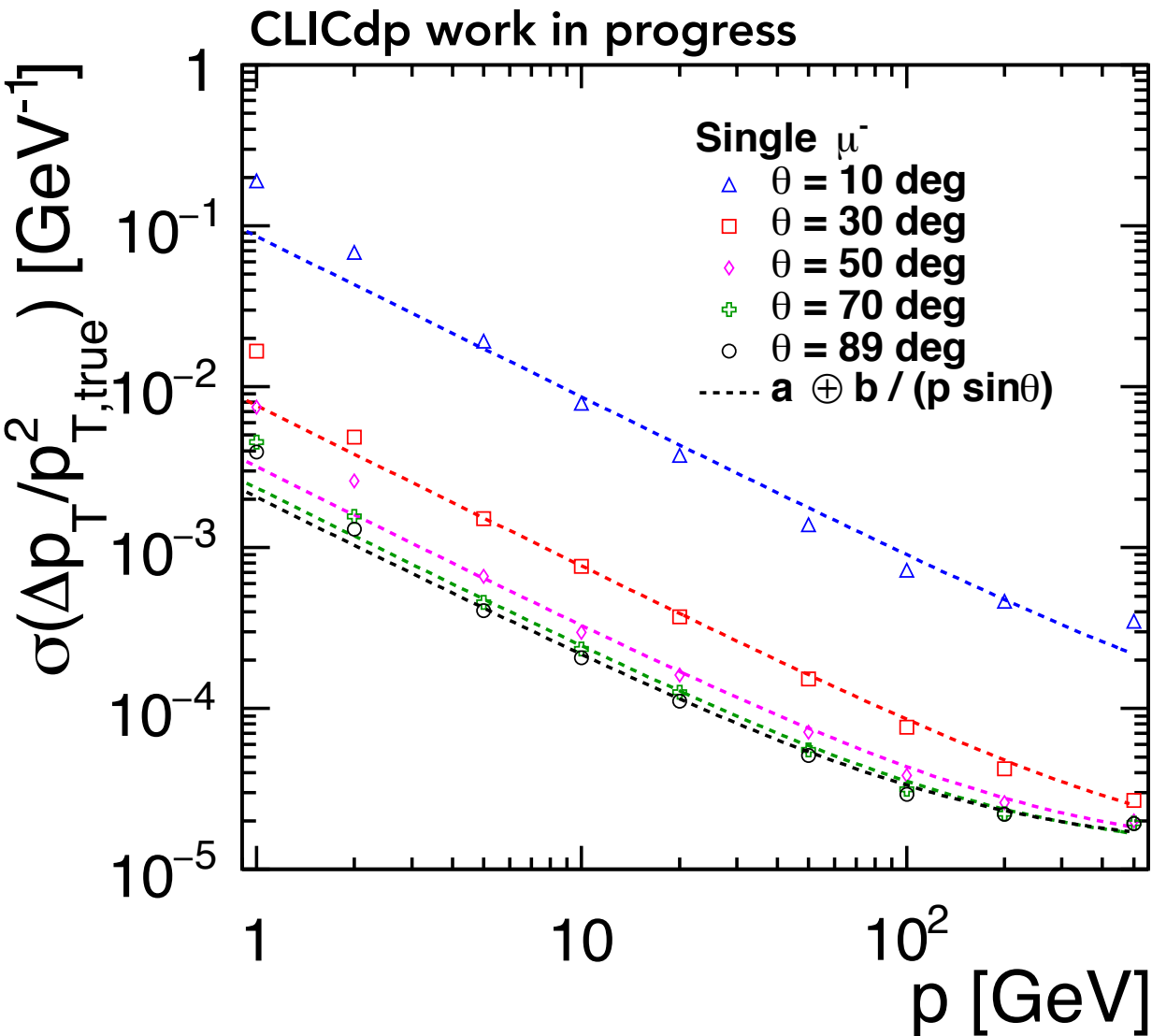
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  - with analog readout => required single point resolution in  $(R, \varphi)$  of  $7 \mu\text{m}$
  - time resolution of  $\sim 5 \text{ ns}$
  - occupancy lower than vertex detector [see below for barrel]



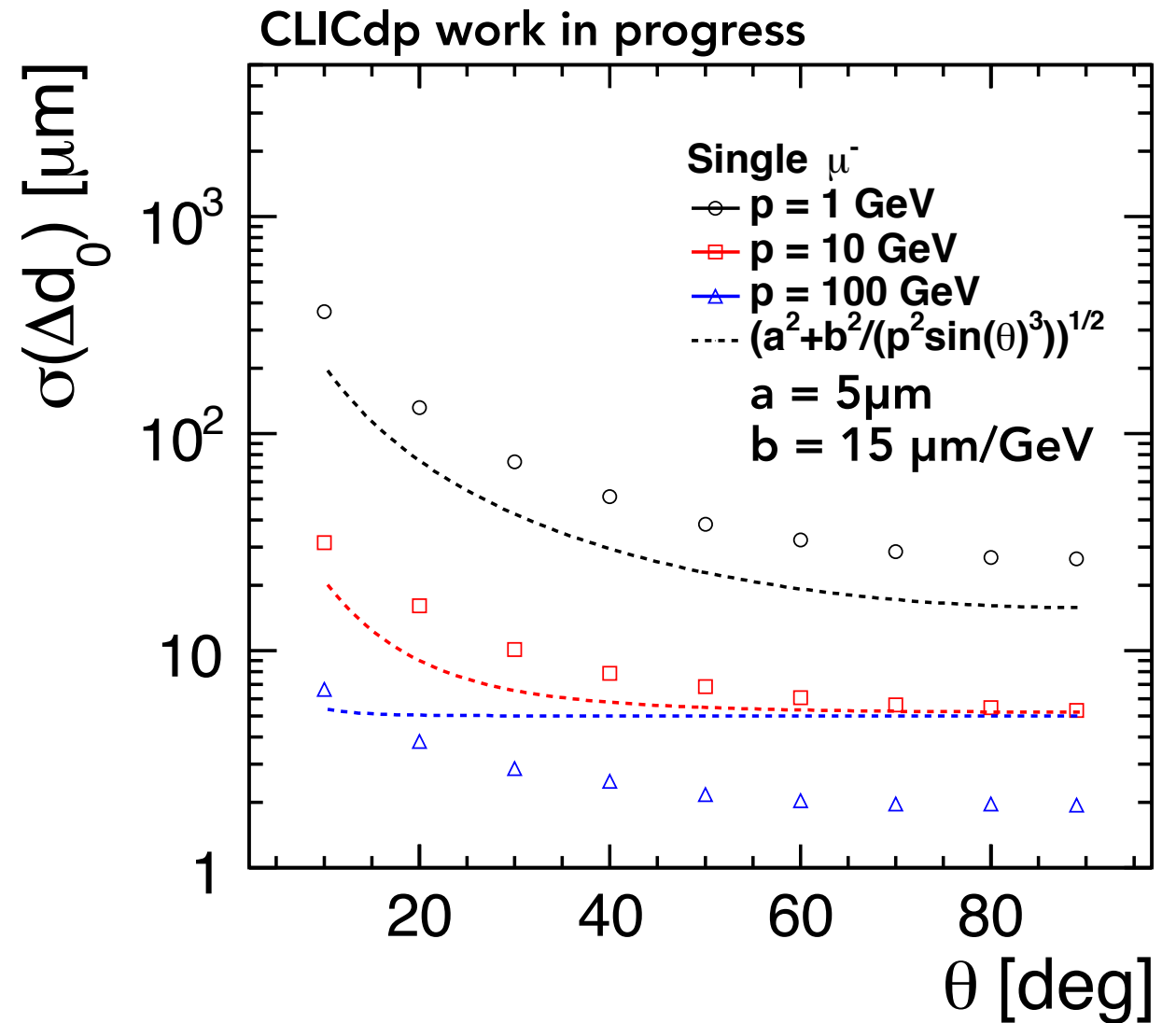
<https://cdsweb.cern.ch/record/2261066/files/CLICdp-Note-2017-002.pdf>



- Resolution =  $\sigma$  of the Gaussian fit of the (reco-true) distributions

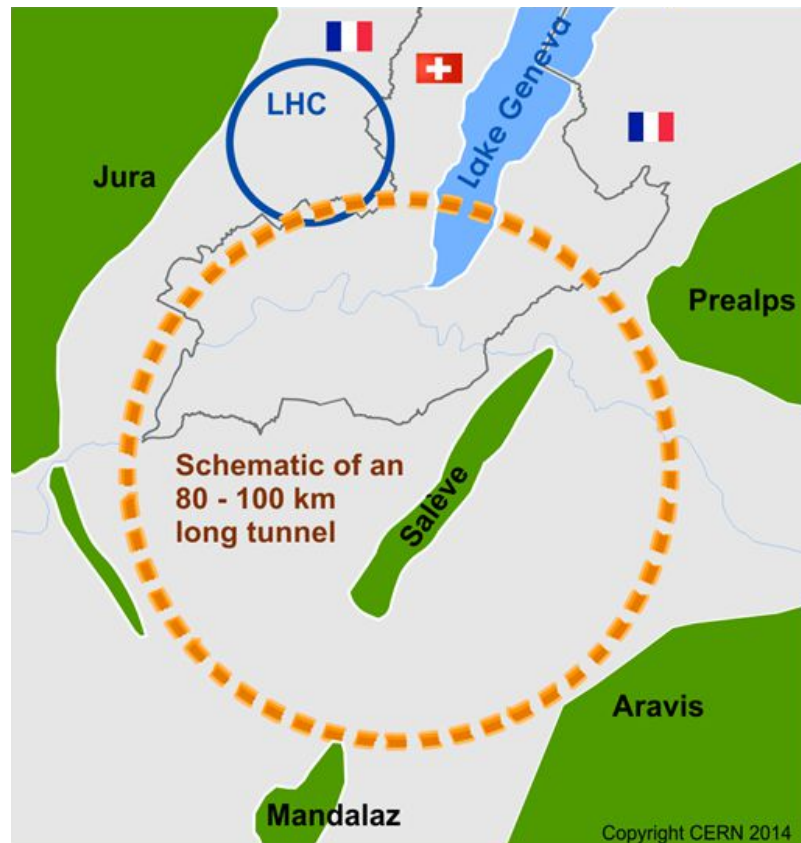


Achieved **transverse momentum resolution** goal of  $2 \times 10^{-5} \text{ GeV}^{-1}$  for high-energy muons in the barrel

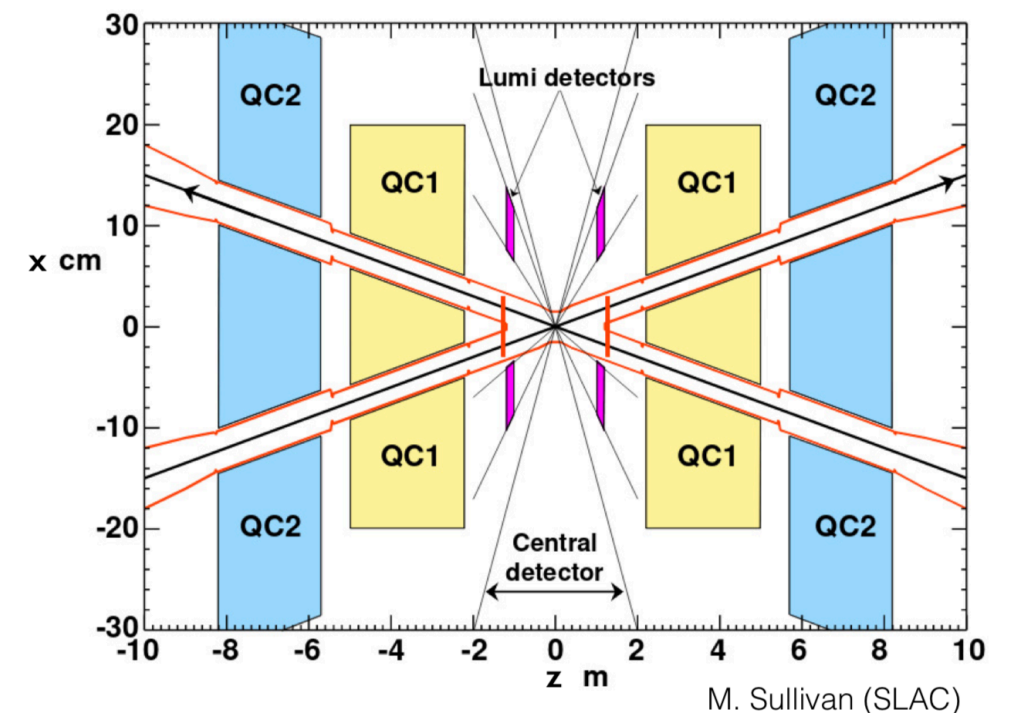
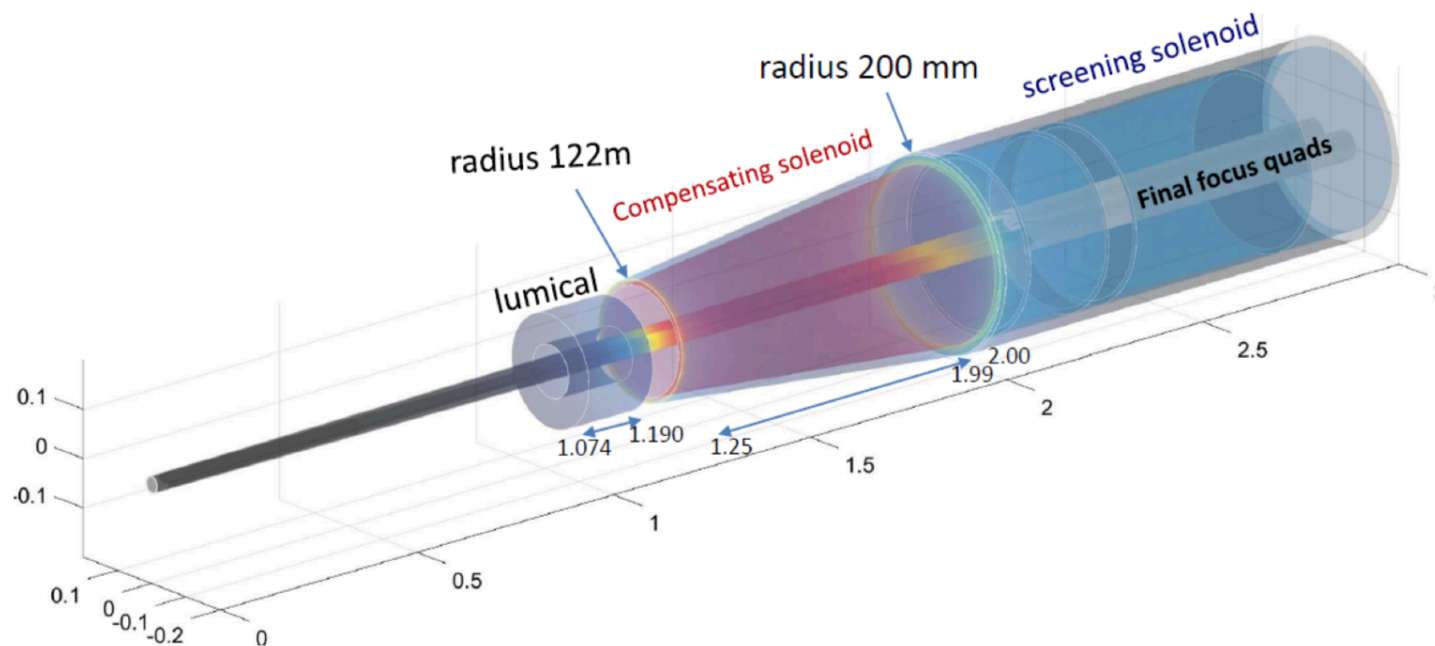


Achieved desired **impact parameter resolution**:

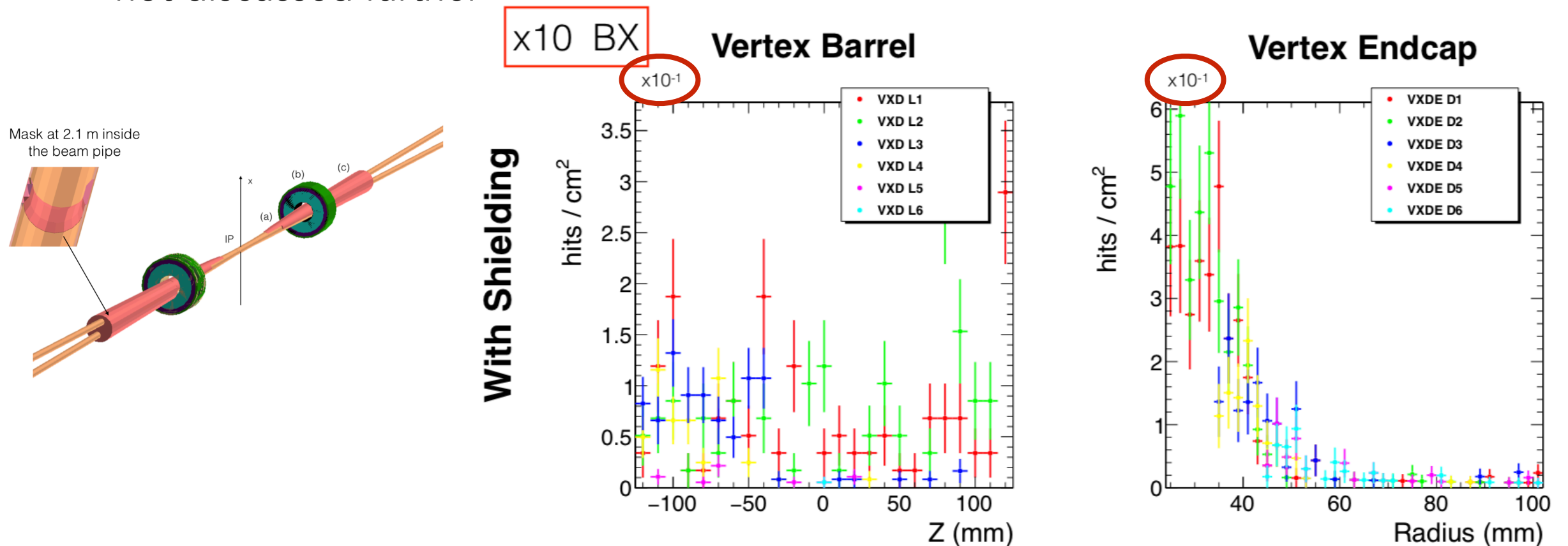
- $d_0$  for high-energy muons well below the high-momentum limit of  $5 \mu\text{m}$



- energy-staged scenario (91.2 - 365 GeV)
- interaction region
  - crossing angle of 30 mrad in crab-waist scheme
  - beampipe radius of 15 mm
  - Machine Detector Interface elements and LumiCal assumed inside a cone of 150 mrad
  - maximum detector solenoid field of 2 T due to beam/luminosity constraints



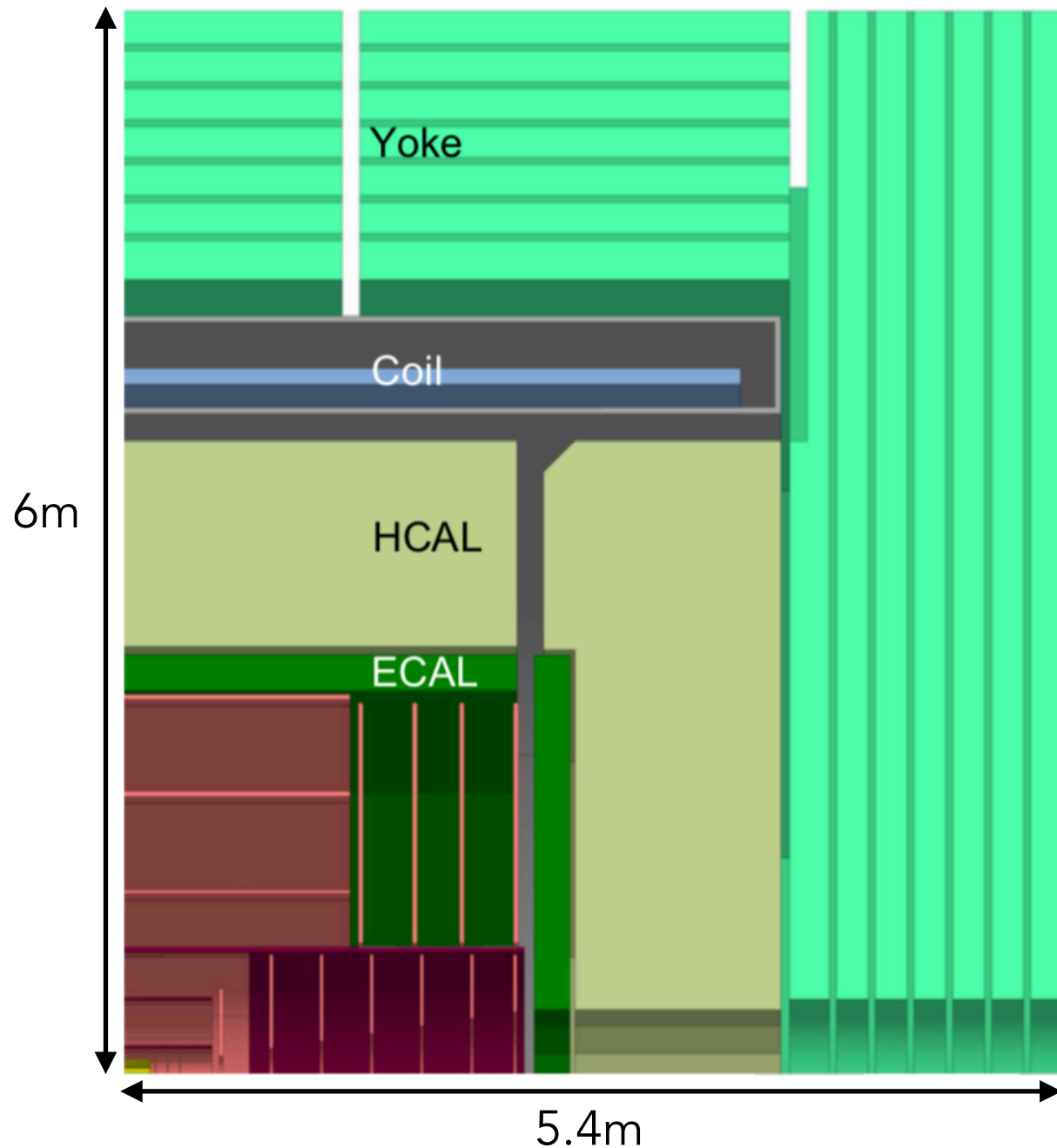
- main sources:
  - incoherent pairs
    - effects on the detector discussed later in this talk
  - synchrotron radiation
    - tungsten masks on beampipe limit the SR photons reaching the detector
    - not discussed further



[https://indico.cern.ch/event/656491/contributions/2939123/attachments/1629676/2597213/SR\\_backgrounds\\_FCCweek\\_18\\_.pdf](https://indico.cern.ch/event/656491/contributions/2939123/attachments/1629676/2597213/SR_backgrounds_FCCweek_18_.pdf)

# The CLD

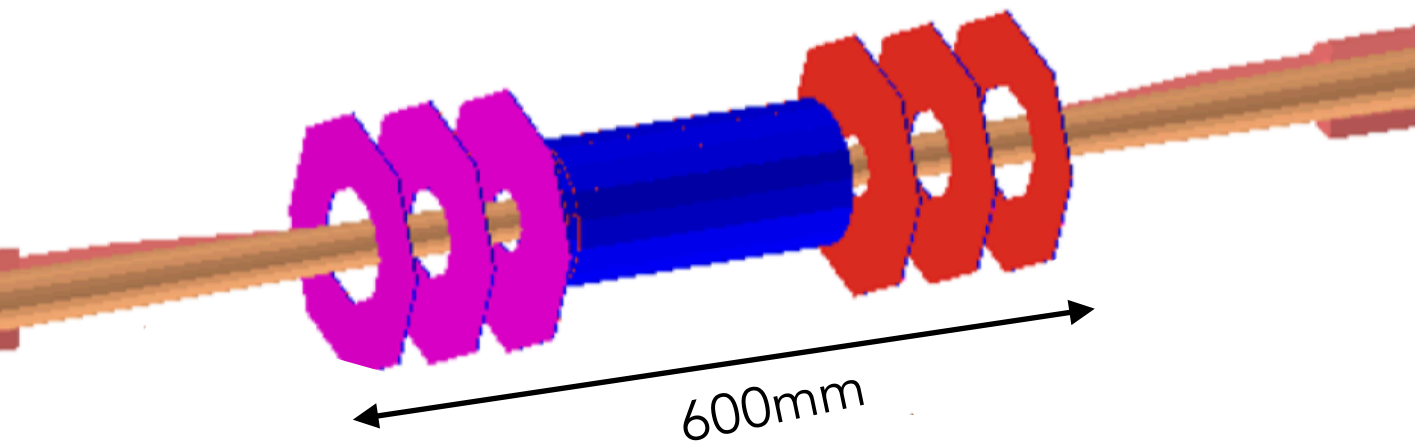
CLICdet adapted to the FCC-ee conditions



Concept	CLICdet	CLD
Vertex inner radius [mm]	31	17
Tracker technology	Silicon	Silicon
Tracker half length [m]	2.2	2.2
Tracker outer radius [m]	1.5	2.1
Inner tracker support cylinder radius [m]	0.575	0.675
ECAL absorber	W	W
ECAL $X_0$	22	22
ECAL barrel $r_{\min}$ [m]	1.5	2.15
ECAL barrel $\Delta r$ [mm]	202	202
ECAL endcap $z_{\min}$ [m]	2.31	2.31
ECAL endcap $\Delta z$ [mm]	202	202
HCAL absorber	Fe	Fe
HCAL $\lambda_t$	7.5	5.5
HCAL barrel $r_{\min}$ [m]	1.74	2.40
HCAL barrel $\Delta r$ [mm]	1590	1166
HCAL endcap $z_{\min}$ [m]	2.4	2.4
HCAL endcap $\Delta z$ [mm]	1590	1166
Solenoid field [T]	4	2
Solenoid bore radius [m]	3.5	3.7
Solenoid length [m]	8.3	7.4
Overall height [m]	12.9	12.0
Overall length [m]	11.4	7.5
Overall weight [t]	8100	TBC

# The vertex detector

- 3 double layers in the barrel
  - radius from 17 to 57 mm
- 3 double-layered disks in the forward

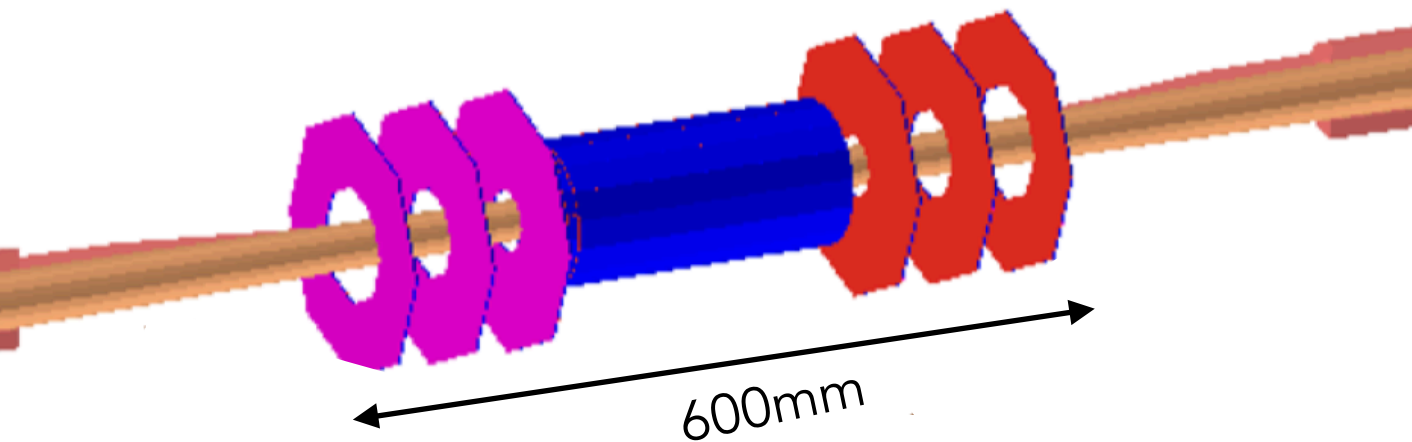


- low material budget
  - 50  $\mu\text{m}$  -thick sensors
  - 0.6%  $X_0$  per double layer  
[0.2%  $X_0$  per layer like CLICdet + 0.1%  $X_0$  per layer (for ALICE-like cooling)]
- total sensitive area = 0.35 m<sup>2</sup>



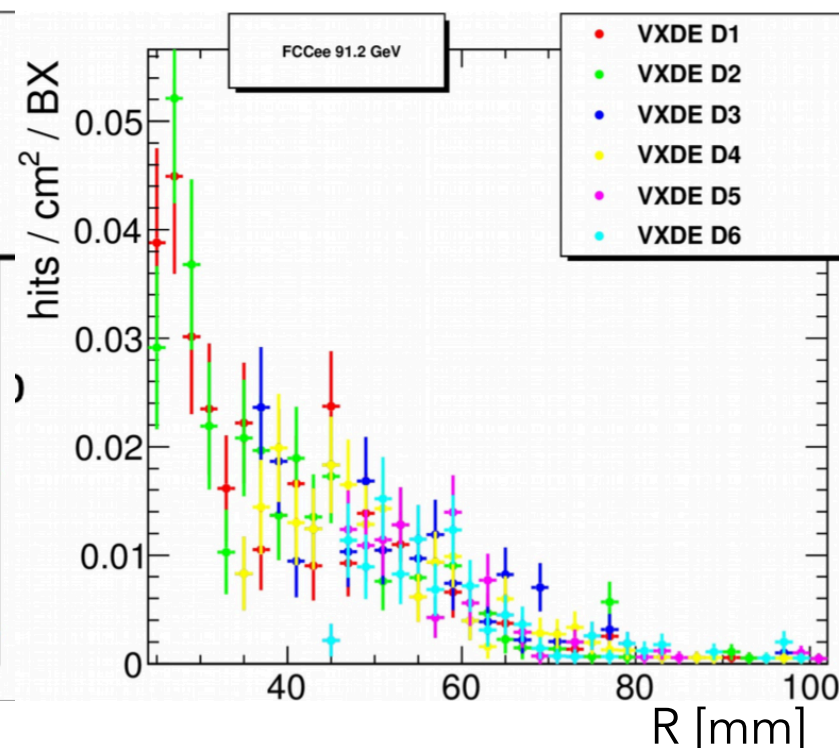
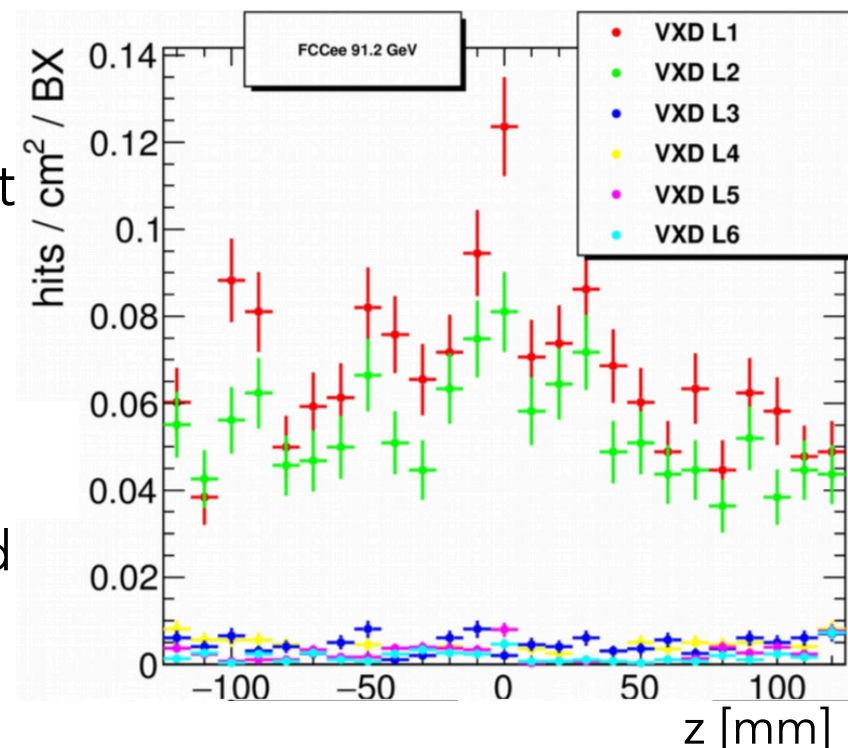
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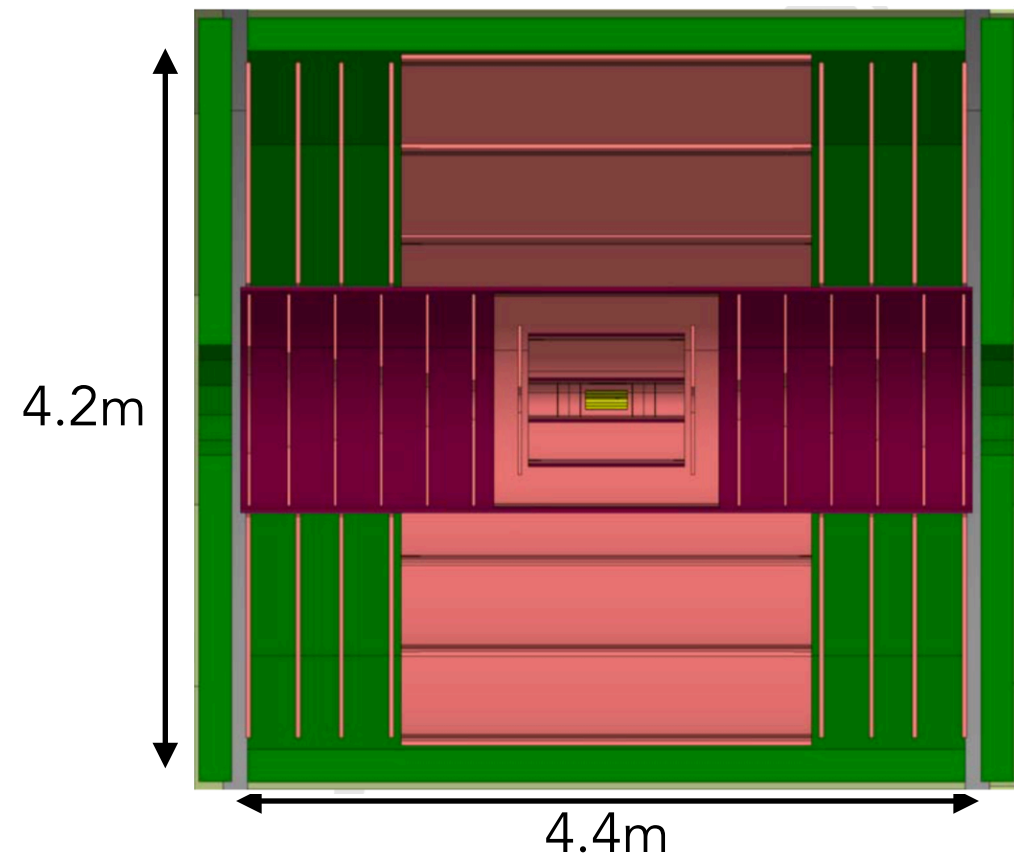
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- total sensitive area = 0.35 m<sup>2</sup>

- pixel sizes 25x25  $\mu\text{m}^2$  from CLICdet
  - with analog readout => required single point resolution of 3  $\mu\text{m}$  (CLICdet)
  - occupancy results confirm the need for water cooling



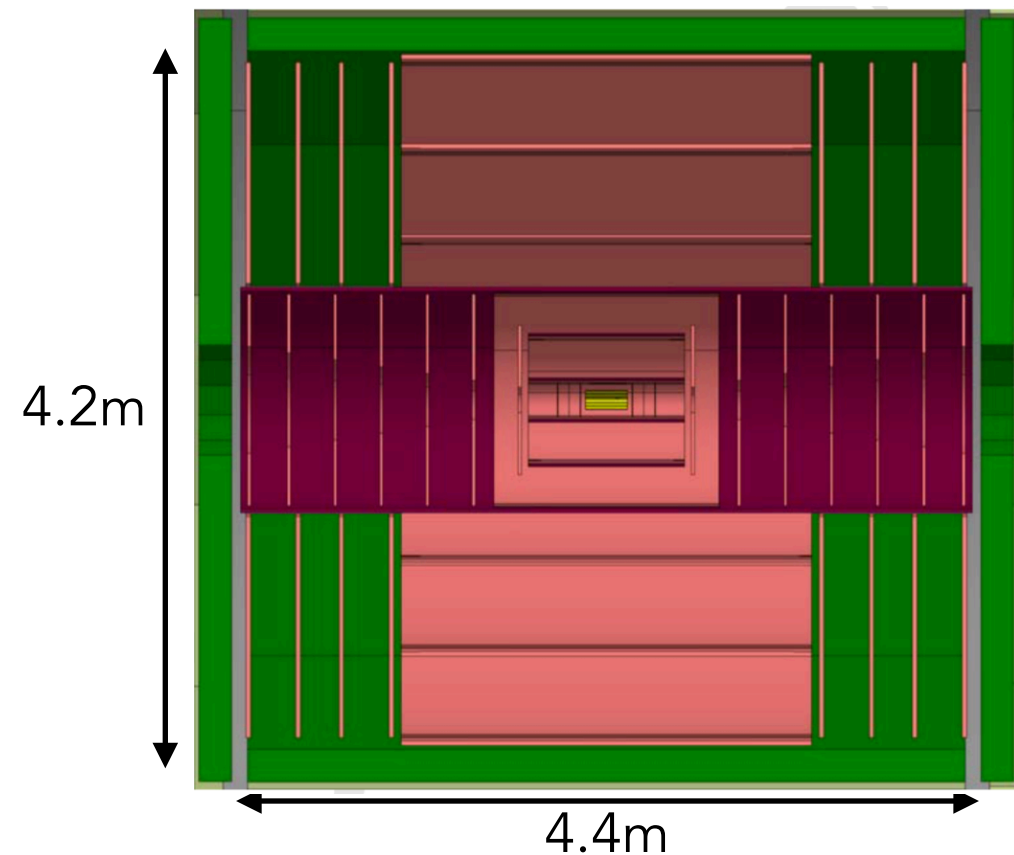
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# The tracker



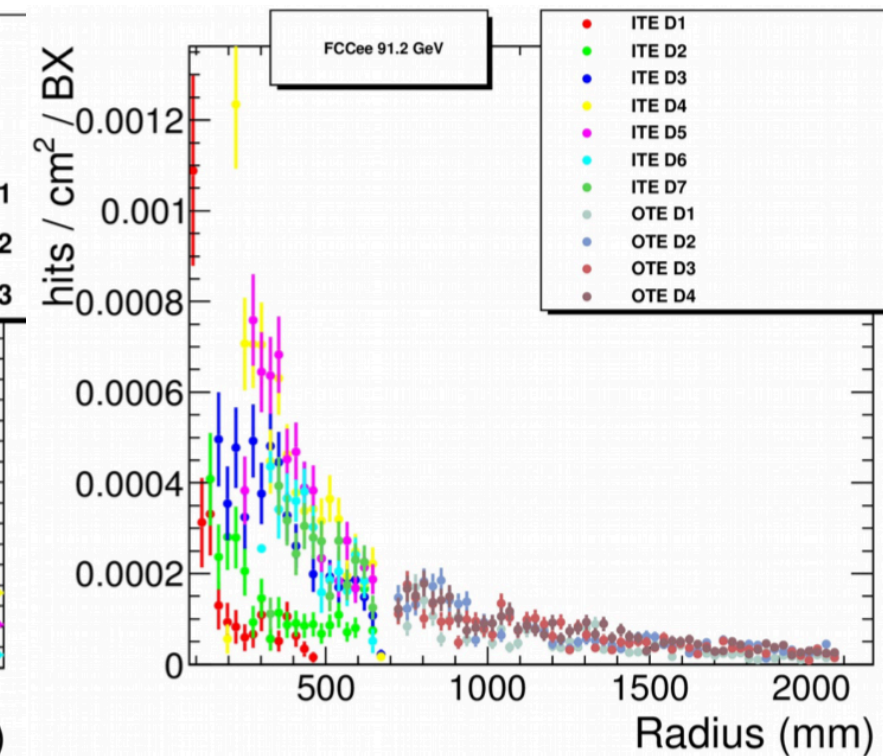
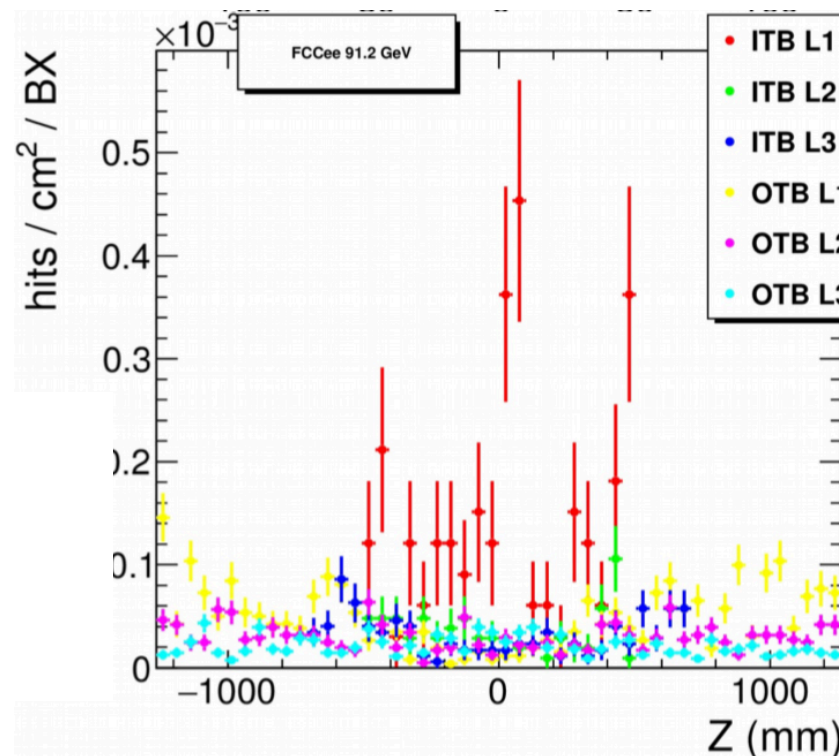
- inner tracker
  - 3 barrel layers + 7 forward disks per side
- outer tracker
  - 3 barrel layers + 4 forward disks per side
- material budget (200  $\mu\text{m}$  -thick sensors)
  - 1%  $X_0$  per tracker layer
  - 2.5%  $X_0$  main support + cables
- total sensitive area = 195.6  $\text{m}^2$

# The tracker



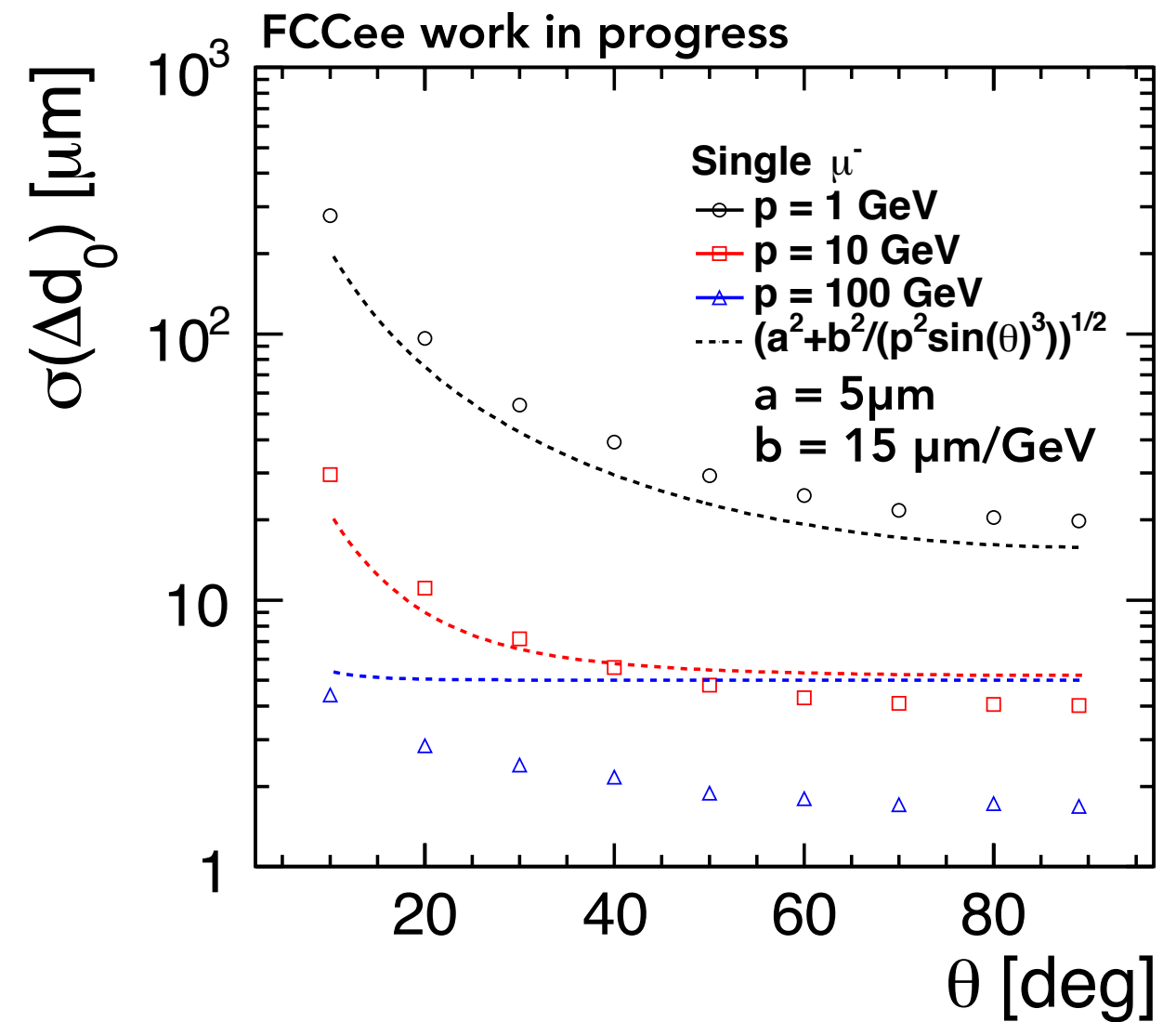
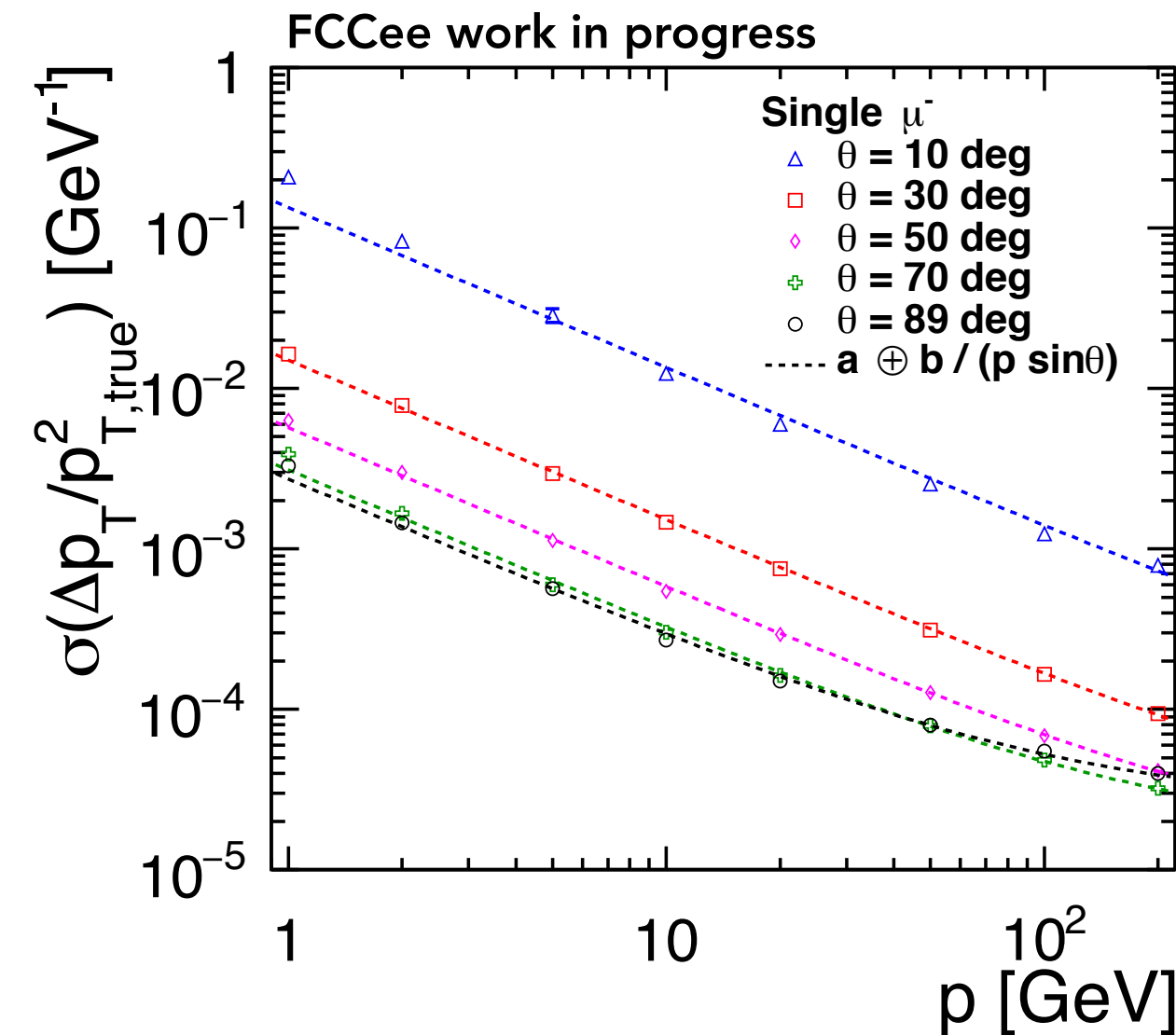
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- total sensitive area = 195.6  $\text{m}^2$

- only first inner tracker disk pixelated (from CLICdet)
- strixel sizes  $30 \times 300 \mu\text{m}^2$ 
  - with analog readout => required single point resolution in  $(R, \varphi)$  of  $7 \mu\text{m}$
- occupancy results confirm the need for water cooling



[https://indico.cern.ch/event/656491/contributions/2939124/attachments/1629649/2597052/Pairs\\_voutsis.pdf](https://indico.cern.ch/event/656491/contributions/2939124/attachments/1629649/2597052/Pairs_voutsis.pdf)

- Resolution =  $\sigma$  of the Gaussian fit of the (reco-true) distributions



Achieved **transverse momentum resolution** of  $3\text{-}4 \times 10^{-5} \text{ GeV}^{-1}$  for high-energy muons in the barrel (highest data point under investigation)

Achieved **impact parameter resolution** below  $1 \mu\text{m}$  for high-energy muons in the barrel (well below the high momentum limit of  $5 \mu\text{m}$ )



# Technology R&D



- Broad R&D program carried out within the CLICdp Collaboration with CLIC as a target
- motivated by stringent requirements for the CLIC vertex and tracker
- profits from some CLIC-related features, such as low-duty cycle
  - this affects mostly the powering and the readout data rates
- In this talk: a personal selection (more in the backup)





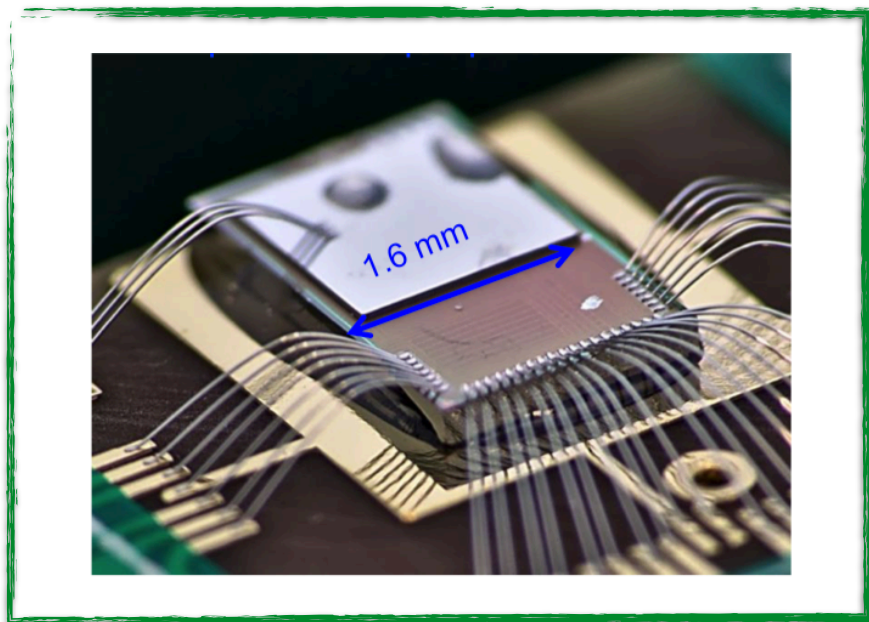
# Technology R&D



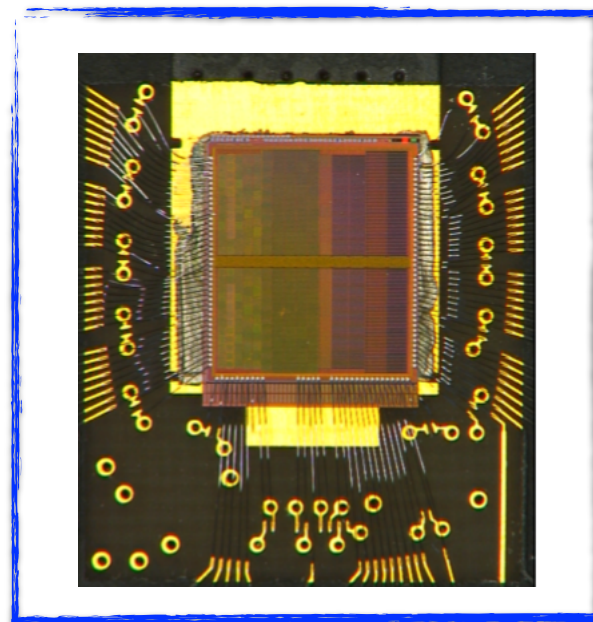
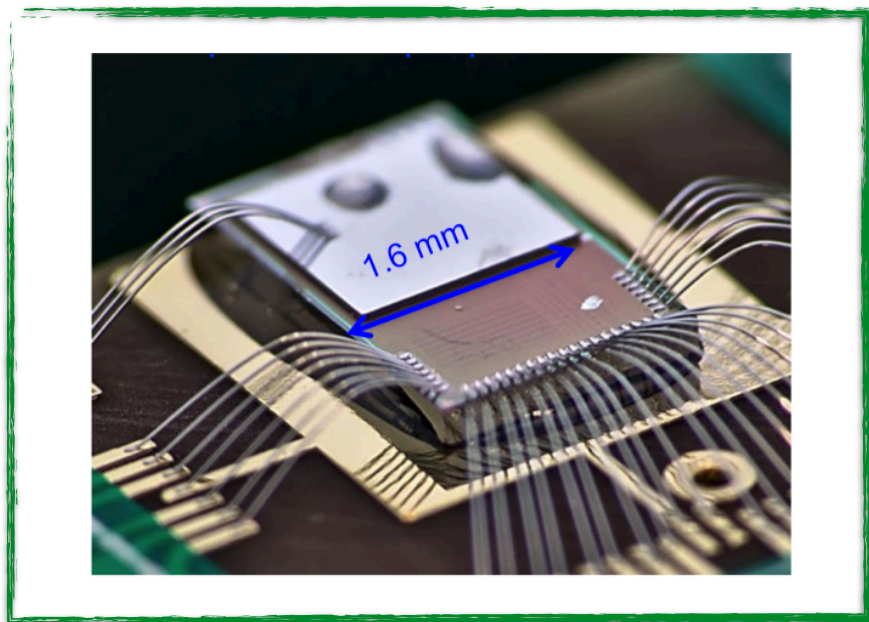
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Sensor + readout technology	Currently considered for
Bump-bonded Hybrid planar sensors	Vertex
Capacitively coupled HV-CMOS sensors	Vertex
Monolithic HV-CMOS sensors	Tracker
Monolithic HR-CMOS sensor	Tracker
Monolithic SOI sensors	Vertex, Tracker

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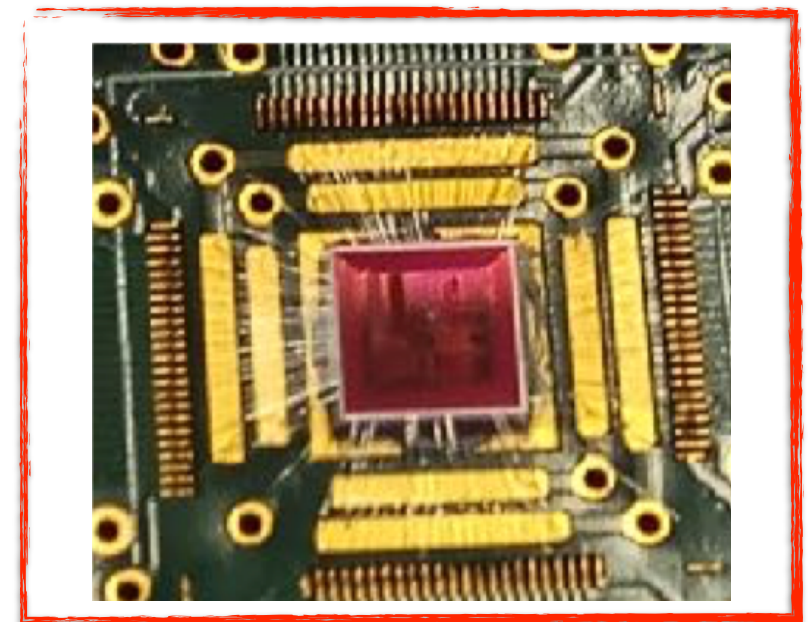
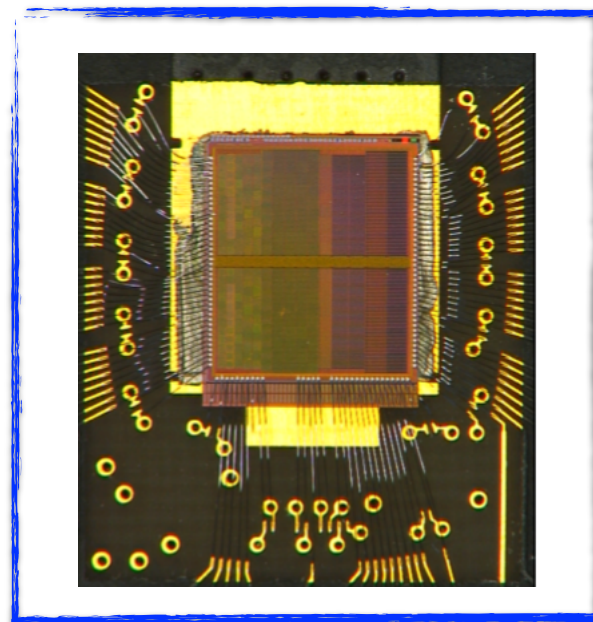
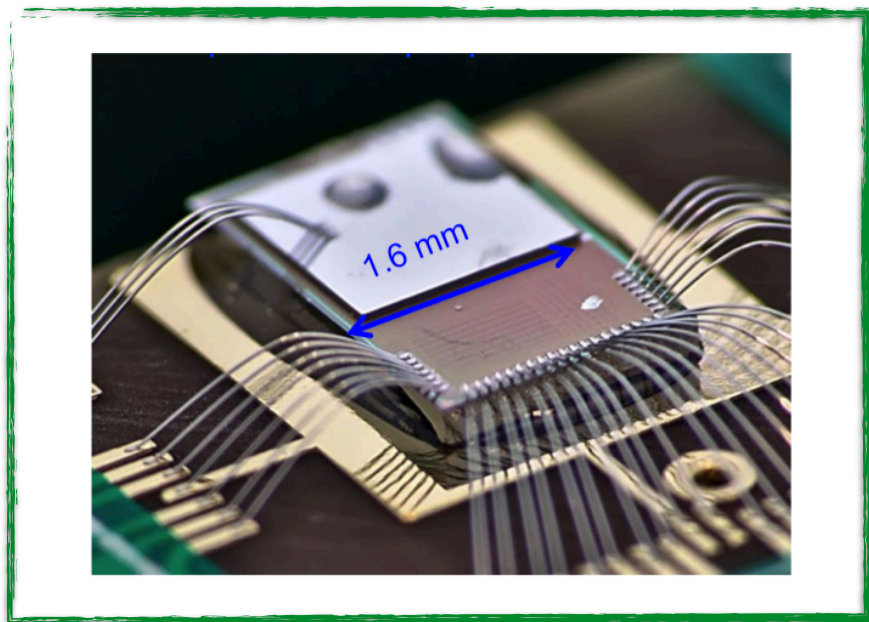


Sensor + readout technology	Currently considered for
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Capacitively coupled HV-CMOS sensors	Vertex
Monolithic HV-CMOS sensors	Tracker
Monolithic HR-CMOS sensor	Tracker
Monolithic SOI sensors	Vertex, Tracker





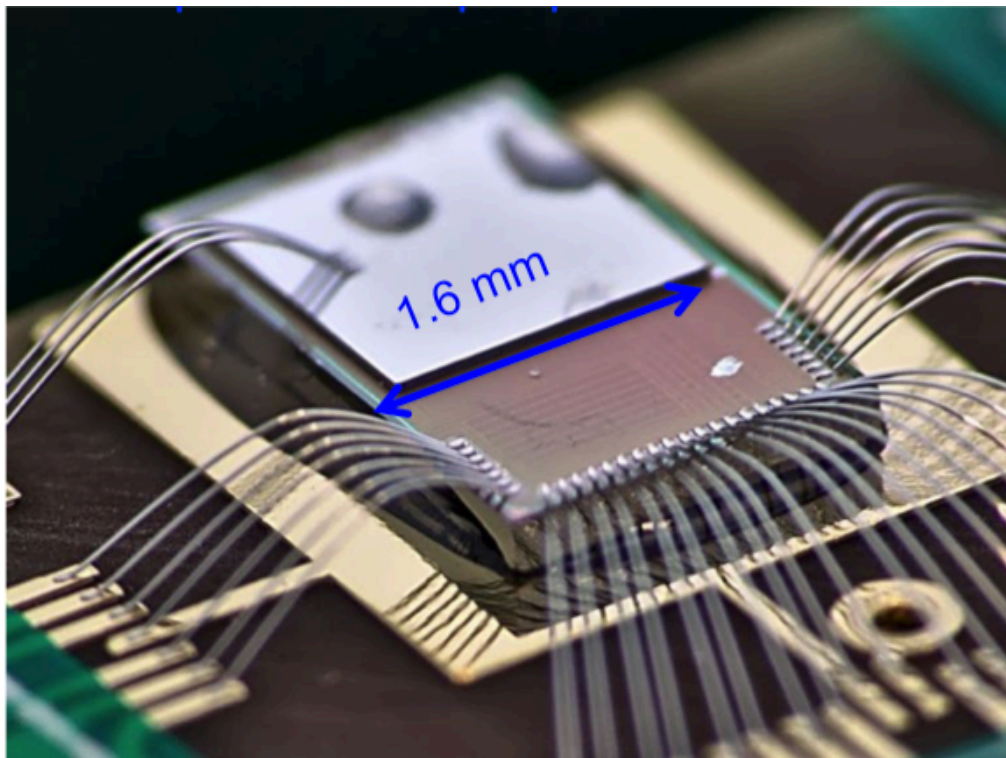
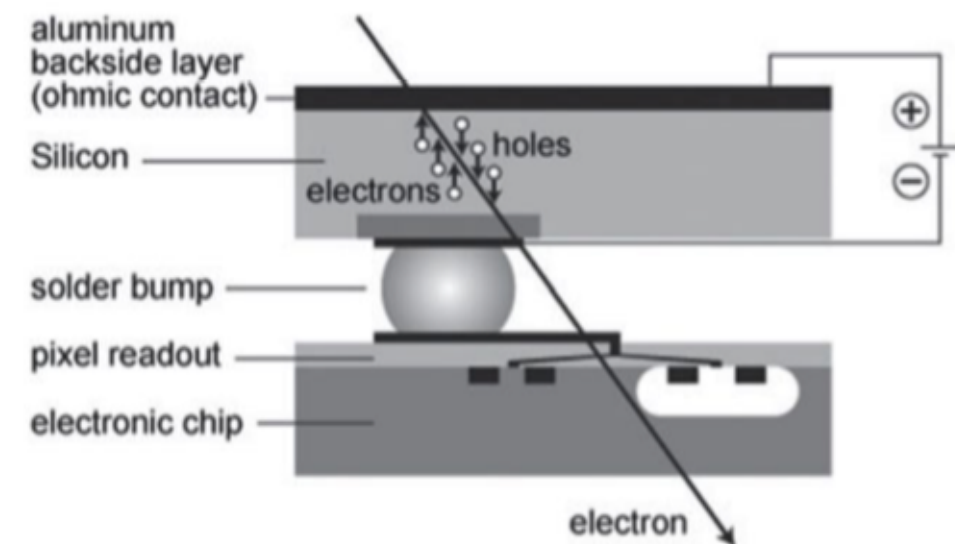
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Monolithic HV-CMOS sensors	Tracker
→ Monolithic HR-CMOS sensor	Tracker
→ Monolithic SOI sensors	Vertex, Tracker





## Bump-bonded hybrid planar sensor

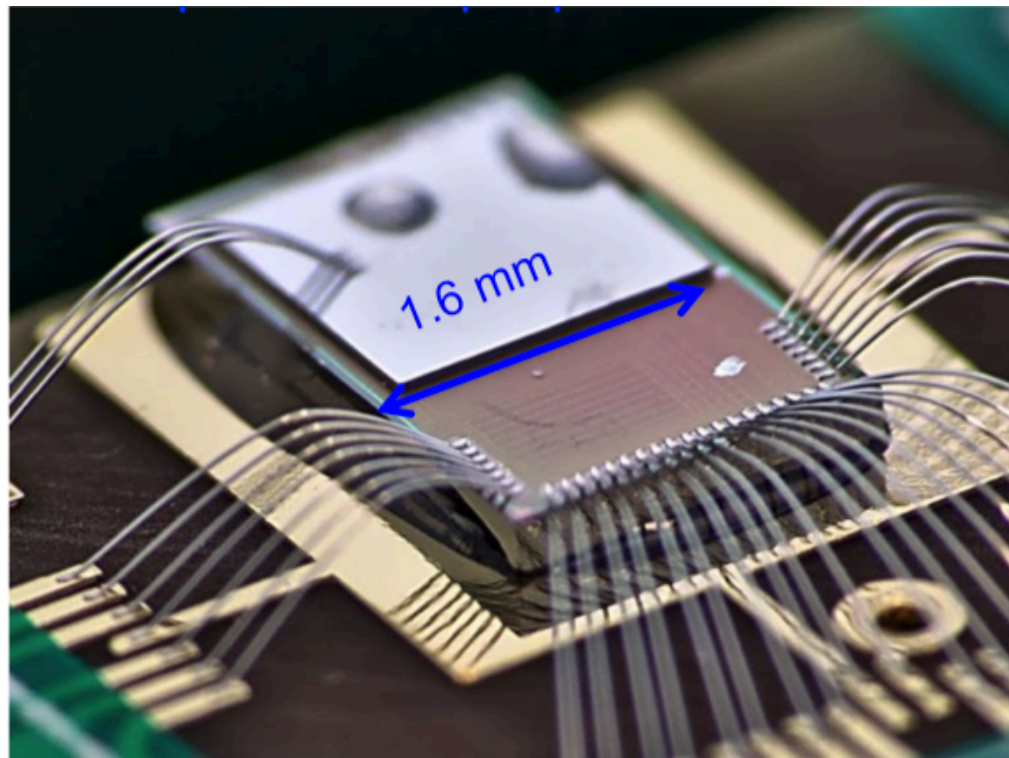
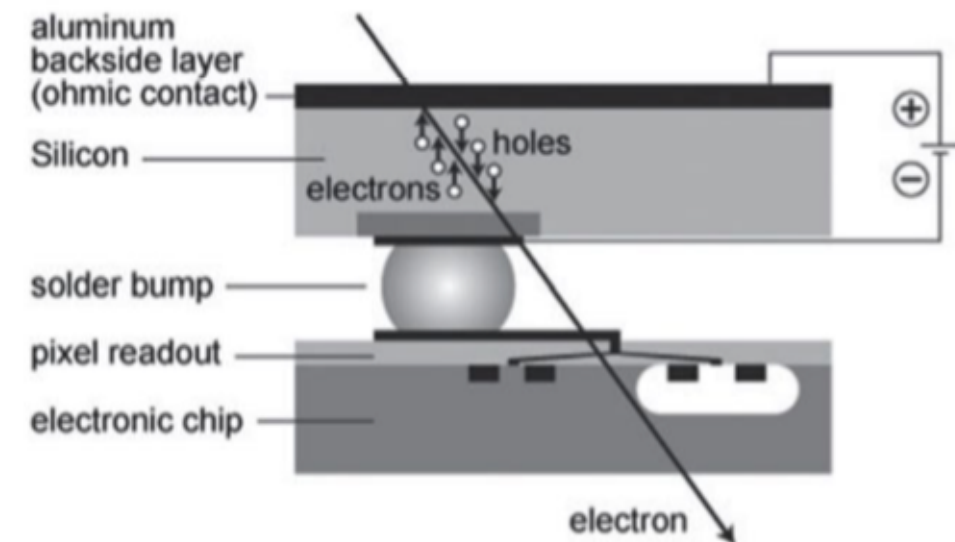
- planar pixel sensors bump-bonded to readout ASICs
- CLICpix/CLICpix2 prototype readout ASICs with in-pixel time and charge measurement,  $25 \times 25 \mu\text{m}^2$  pitch, implemented in 65 nm process
- **~100%** efficiency, **few ns** timing,  **$\sigma_{\text{SP}} \sim 7 \mu\text{m}$**
- ongoing work to reduce  $\sigma_{\text{SP}}$ : ELAD sensors with enhanced charge sharing



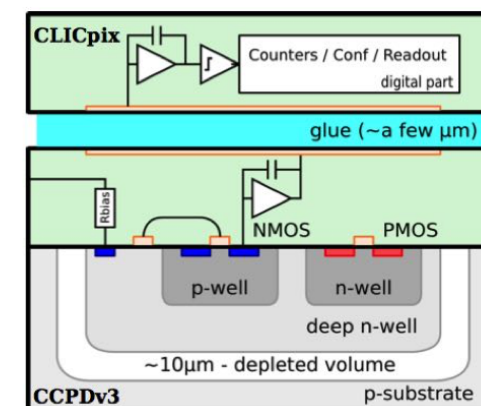
<https://cds.cern.ch/record/2264891/files/CLICdp-Conf-2017-010.pdf>

## Bump-bonded hybrid planar sensor

- planar pixel sensors bump-bonded to readout ASICs
- CLICpix/CLICpix2 prototype readout ASICs with in-pixel time and charge measurement,  $25 \times 25 \mu\text{m}^2$  pitch, implemented in 65 nm process
- **~100%** efficiency, **few ns** timing,  **$\sigma_{\text{SP}} \sim 7 \mu\text{m}$**
- ongoing work to reduce  $\sigma_{\text{SP}}$ : ELAD sensors with enhanced charge sharing



- alternative to bump-bonded sensors:  
**capacitively coupled HV-CMOS sensors**
  - thin glue layer replaces costly small-pitch bump bonds
  - **~90-100%** efficiency, **few ns** timing,  **$\sigma_{\text{SP}} \sim 6 \mu\text{m}$**

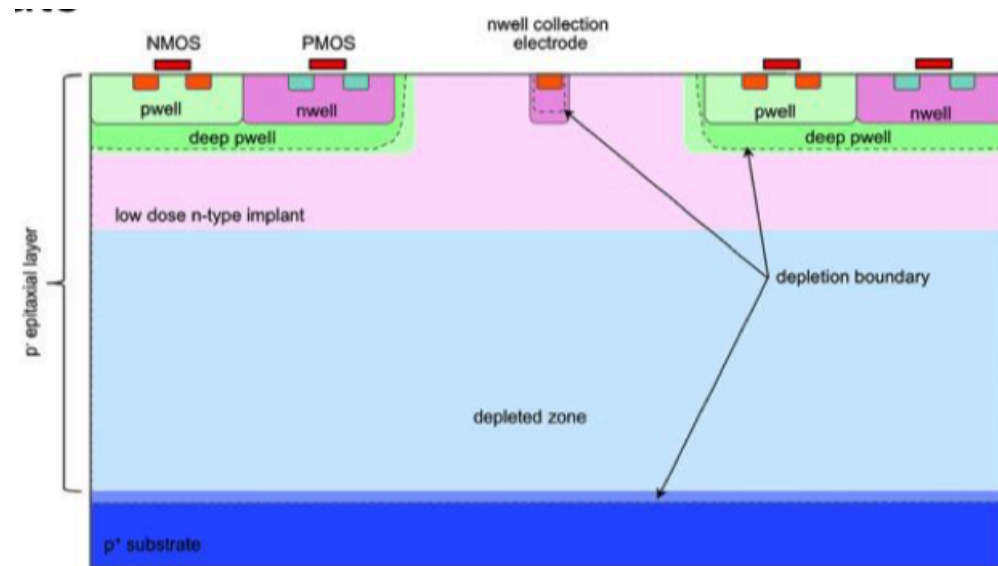


<https://cds.cern.ch/record/2264891/files/CLICdp-Conf-2017-010.pdf>

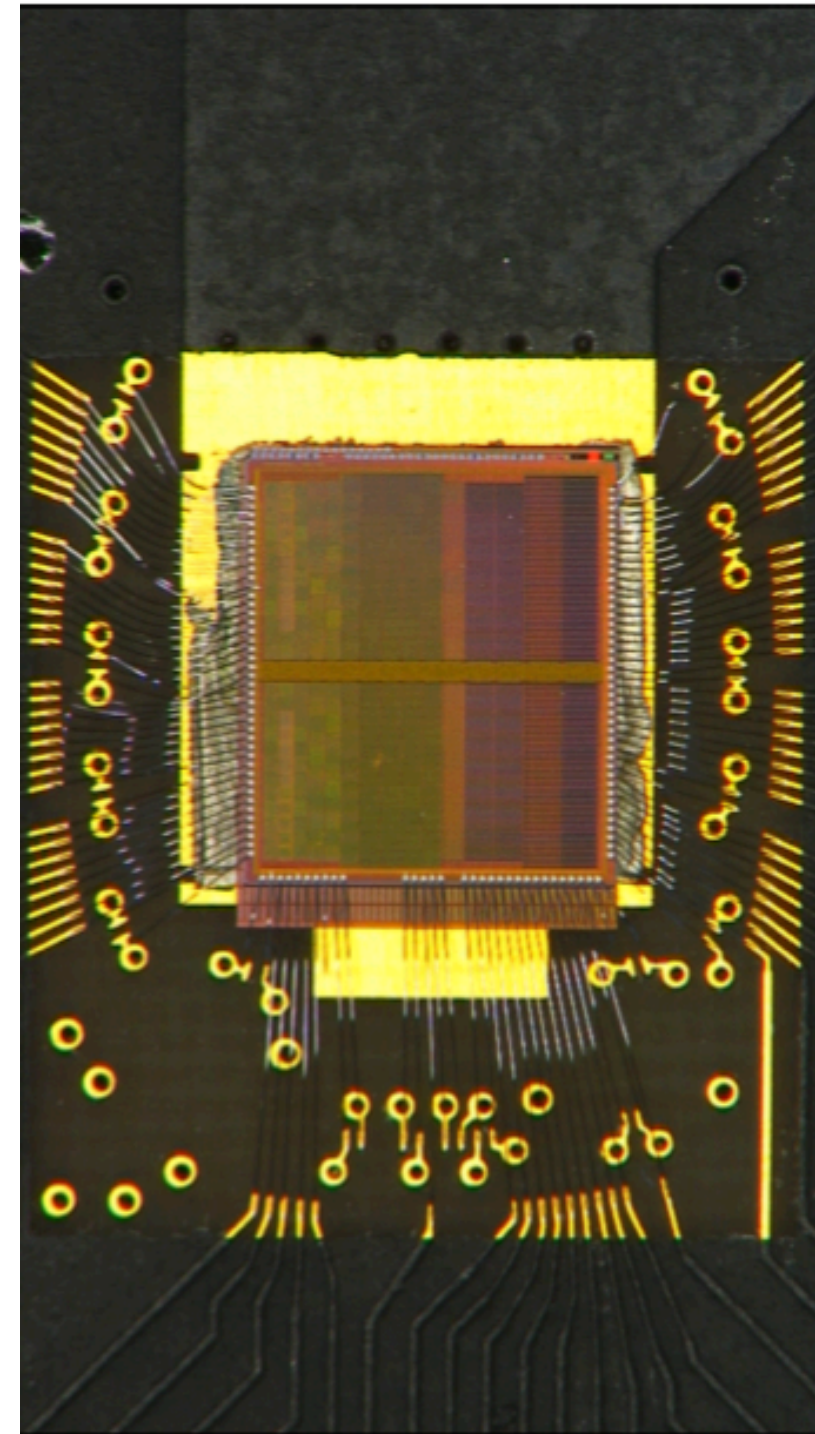


# Technology R&D for tracker

## Integrated HR-CMOS sensors



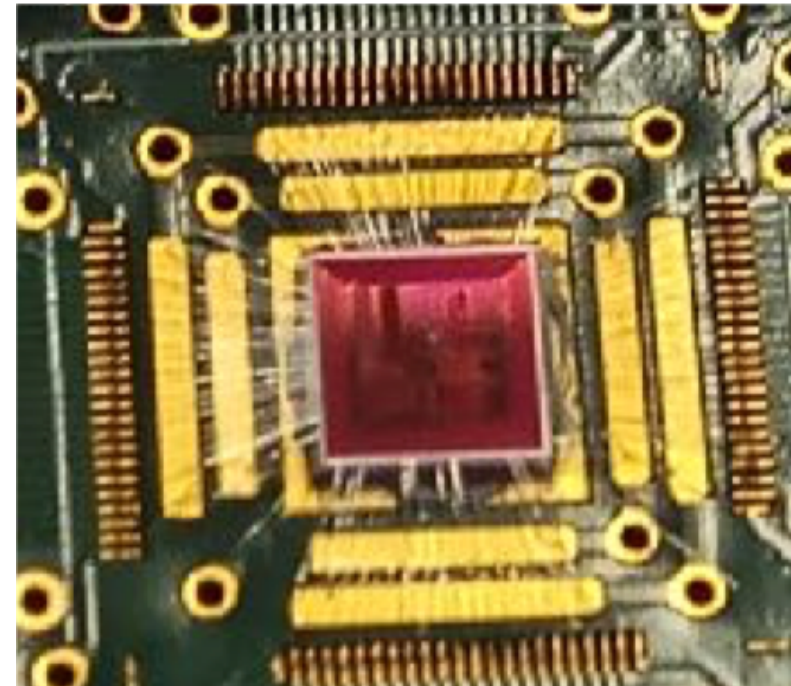
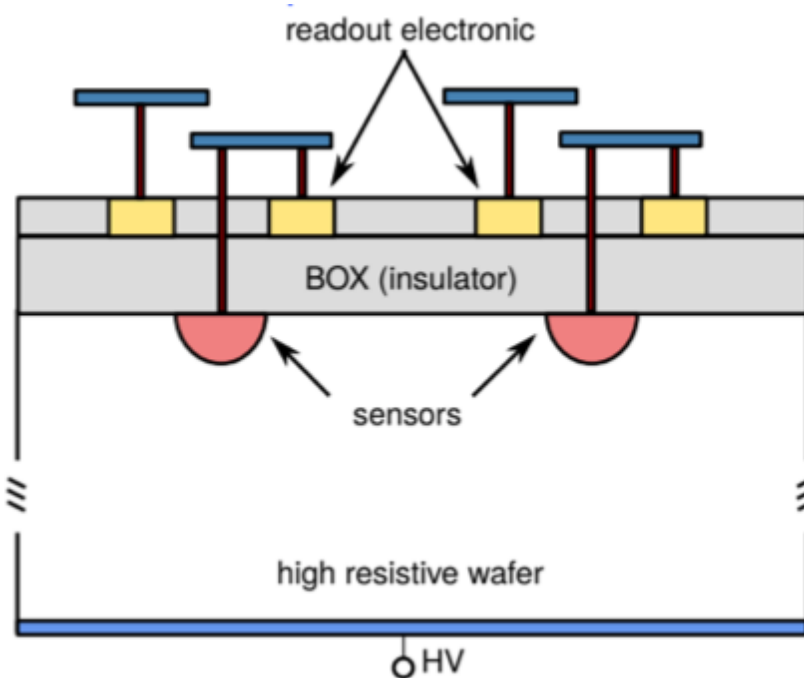
- integrated CMOS sensor on High-Resistivity substrate
- tests with INVESTIGATOR analog prototype chip in TowerJazz 180 nm HR-CMOS process (ALICE development): 20x20 - 50x50  $\mu\text{m}^2$  pitch
  - for 28x28  $\mu\text{m}^2$ , with external readout:  
 $\sim 99.3\%$  efficiency,  $< 5 \text{ ns}$  timing,  $\sigma_{\text{SP}} \sim 4 \mu\text{m}$
- ongoing work to design *fully integrated* CLICTD chip: 30x300  $\mu\text{m}^2$  pitch, be thinned to 50-100  $\mu\text{m}$
- plan to use smaller feature size processes in the future  
 $\Rightarrow$  to become an option for the vertex detector as well



<http://cds.cern.ch/record/2284145/files/>

# Technology R&D for vtx & trk

## Monolithic SOI sensors



- Silicon-On-Insulator (SOI): sensor and electronics integrated on single wafer with high-resistivity substrate
- Cracow SOI test chip in 200 nm LAPIS SOI process
  - for 500  $\mu\text{m}$  thick sensors and 30x30  $\mu\text{m}^2$  pitch  
=> **>99%** efficiency,  **$\sigma_{\text{SP}} \sim 4.5 \mu\text{m}$**
- ongoing work is the production of CLICPS vertex test chip, *targeted to Linear Collider* vertex requirements: 20x20  $\mu\text{m}^2$  pitch, snapshot readout of analog time and charge measurement,  $\geq 100 \mu\text{m}$  thickness
- promising option for tracker and also for stringent vertex requirements

<http://cds.cern.ch/record/2310056>

- The **CLICdet** design features an all silicon vertex + tracker system that meets the CLIC physics goals and experimental constraints
- The **CLD** detector has been adapted from the CLICdet to the FCC-ee experimental conditions
- Both detectors are implemented and validated in **full simulation** in iLCSoft
  - LCIO, DD4hep, Marlin, iLCDirac
- A broad **R&D program** is ongoing in the CLICdp Collaboration to push the available technologies to meet the stringent requirements of CLICdet
  - to be followed-up for the case of FCC-ee continuous collisions and different backgrounds

**Thanks to everyone who provided material for this talk**



## CLIC CDR $\Rightarrow$ detector requirements

★ momentum resolution:

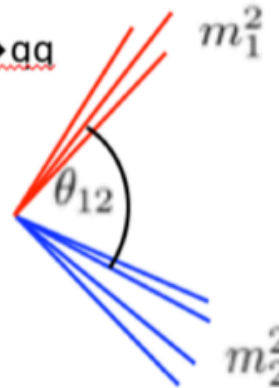
e.g. HZ,  $g_{H\mu\mu}$ , Smuon endpoint

$$\sigma_{p_T}/p_T^2 \sim 2 \times 10^{-5} \text{ GeV}^{-1}$$

★ jet energy resolution:

e.g. W/Z/H di-jet mass separation, ZH with  $Z \rightarrow q\bar{q}$

$$\frac{\sigma_E}{E} \sim 3.5 - 5 \% \quad (\text{for high-E jets, light quarks})$$



★ impact parameter resolution:

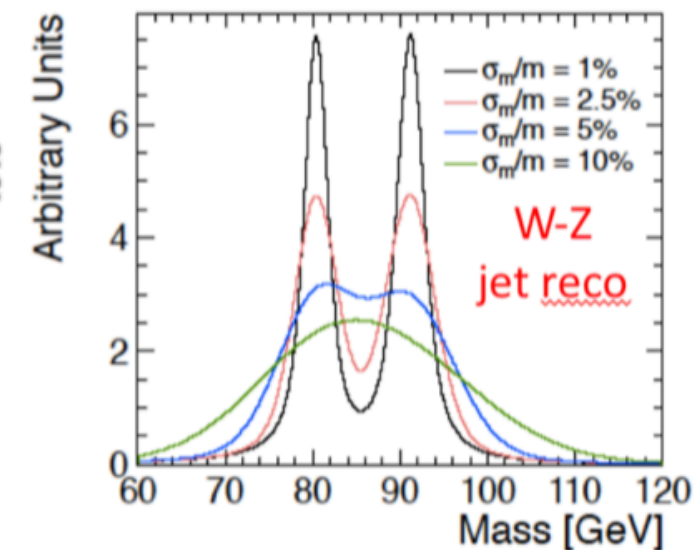
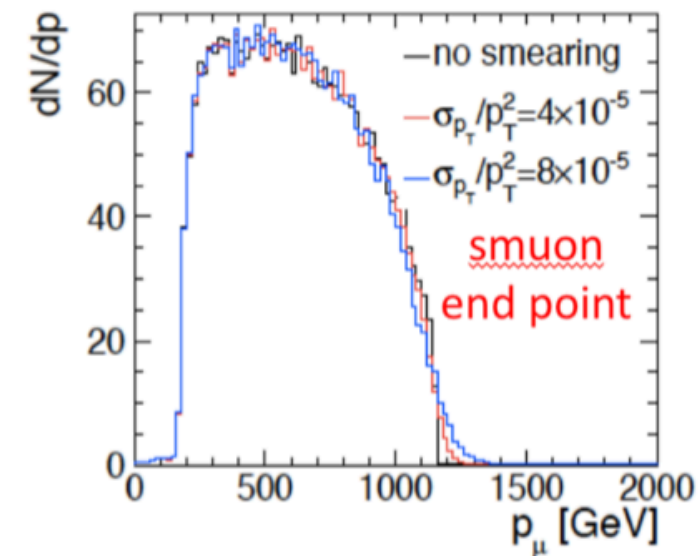
e.g. c/b-tagging, Higgs BR

$$\sigma_{r\phi} = 5 \oplus 15/(p[\text{GeV}] \sin^{\frac{3}{2}} \theta) \mu\text{m}$$

★ angular coverage, very forward electron tagging

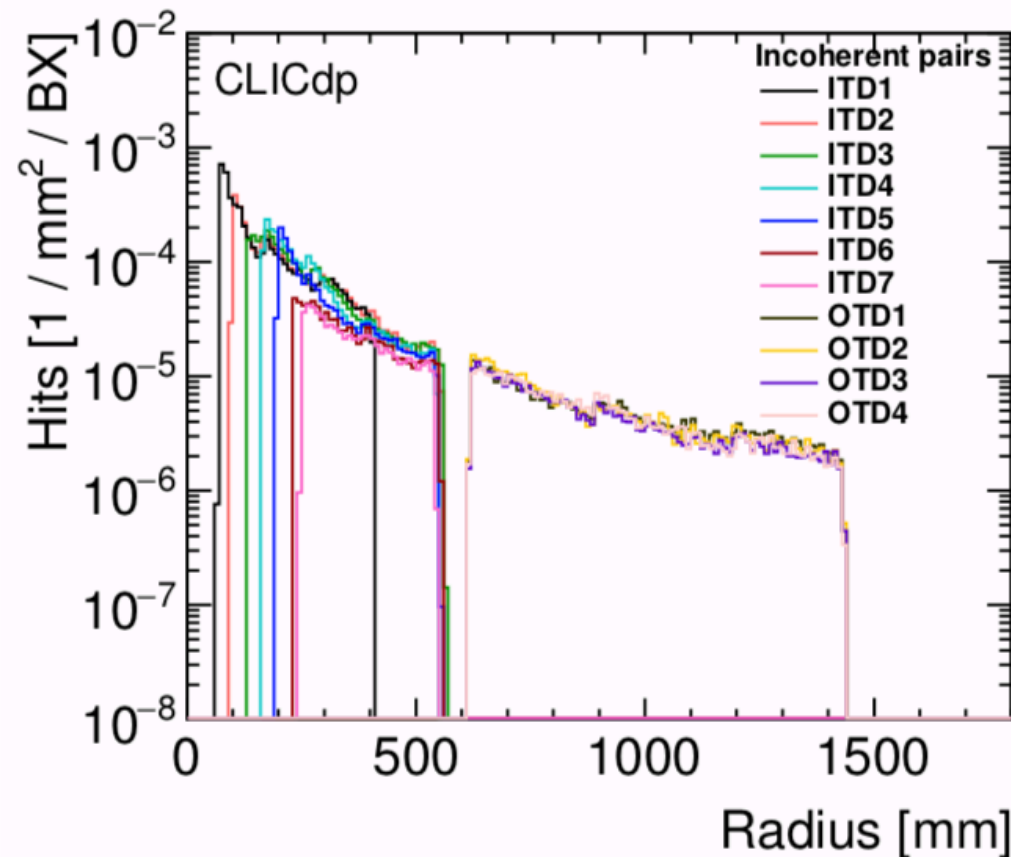
+ requirements from experimental conditions

- Small cell sizes for low cell occupancies in general and for Particle-Flow Analysis
- Accurate hit timing (1 ns in calorimeters,  $\sim 5$  ns in trackers)

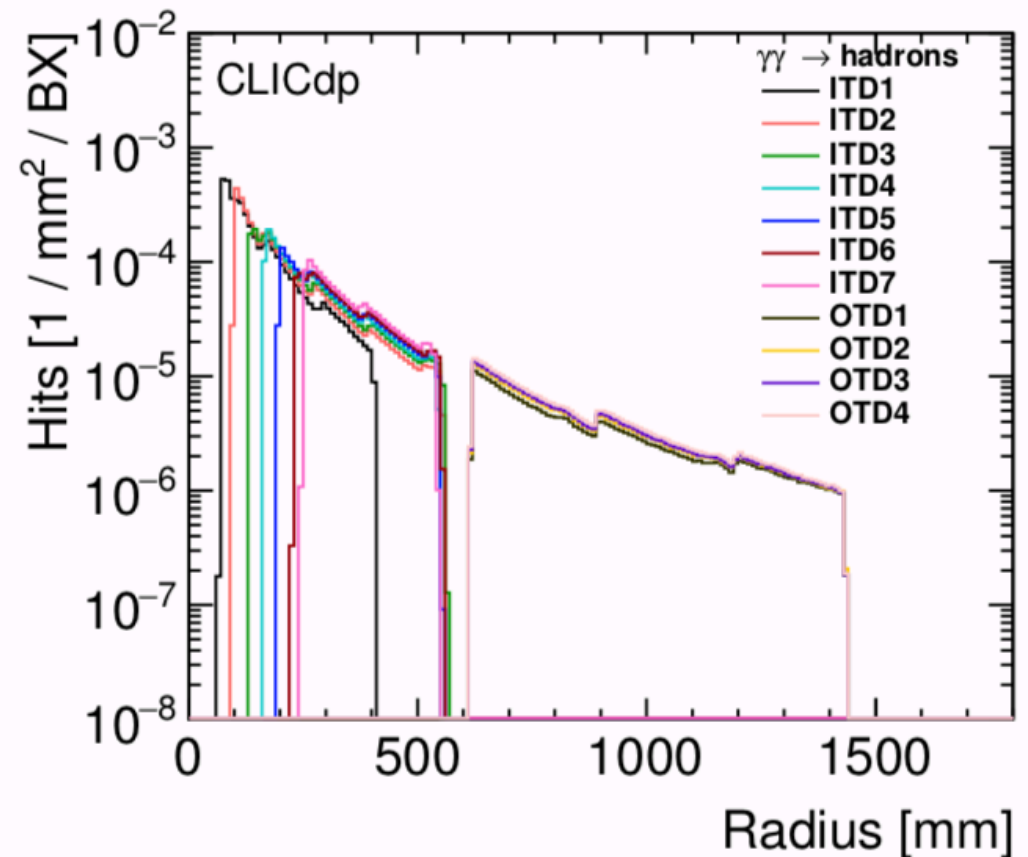


# Extra material

## CLICdet tracker endcap hit density



(a) Tracker disks hit density



(b) Tracker disks hit density

Figure 19: Distribution of the hit densities per bunch crossing in the tracker disks from incoherent electron–positron pairs (left) and  $\gamma\gamma \rightarrow \text{hadrons}$  (right) at 3 TeV. Safety factors are not included.

## Hybrid planar sensor technology

- Planar pixel sensors **bump-bonded** to r/o ASICs
- Considered for vertex detector

### Status:

- Comprehensive thin-sensor studies with slim-edge and active-edge sensors (**50-300  $\mu\text{m}$**  thickness) on **Timepix/Timepix3** readout ASICs
- **CLICpix/CLICpix2** prototype r/o ASICs with in-pixel **time** and **energy** measurement, **25x25  $\mu\text{m}^2$**  pitch, implemented in 65 nm TSMC process, including **full 12" wafer** from RD53 prototype run
- Single-chip **bump-bonding** with 25  $\mu\text{m}$  pitch
- **~100%** efficiency, **few ns** timing,  **$\sigma_{\text{SP}} \sim 7 \mu\text{m}$**

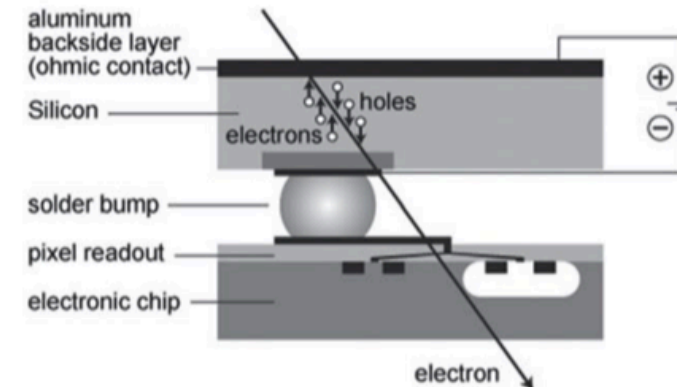
### Ongoing work:

- Validation of new single-chip **bump-bonding** process
- Beam tests for CLICpix2 **planar-sensor assemblies**
- Reduce  $\sigma_{\text{SP}}$ : **ELAD** sensors with **enhanced charge sharing**

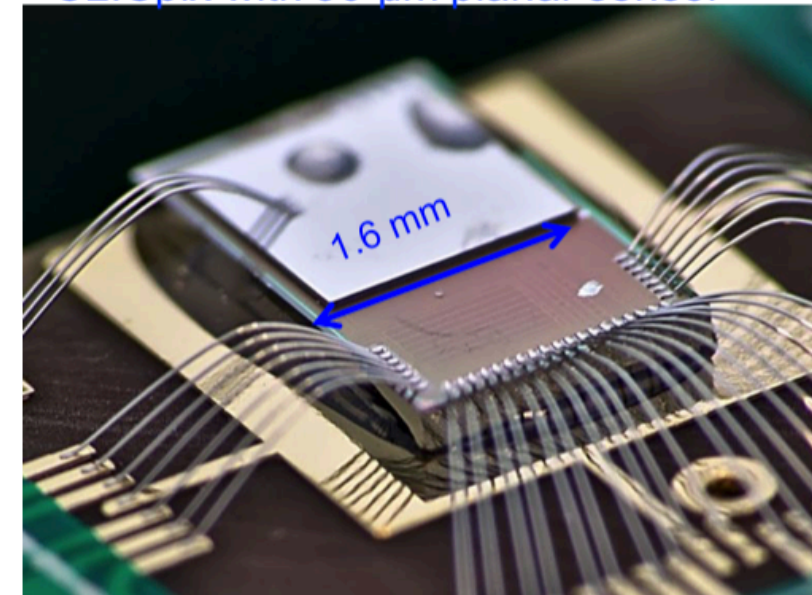
### Future developments:

- Even smaller pixels (**28 nm** process technology), lower detection threshold
- New **hybridisation** methods: Cu pillars, Indium, Anisotropic Conductive Film, ...
- Module/stave building studies

Hybrid pixel detector



CLICpix with 50  $\mu\text{m}$  planar sensor



CLICdp-Conf-2017-010



## Capacitively coupled HV-CMOS sensors

- Active High-Voltage (HV) CMOS sensors, **large fill factor**: electronics inside charge-collection well, depletion through HV
- **Capacitive coupling** to r/o ASICs  
→ thin glue layer replaces costly small-pitch bump bonds
- Considered for vertex detector

### Results:

- Two generations of active sensors (**CCPDv3**, **C3PD**) in AMS 180 nm HV-CMOS process, **10-1000 Ohm cm** substrates,  $25 \times 25 \mu\text{m}^2$  pitch
- Glue assemblies with CLICpix/CLICpix2: **~90-100%** efficiency, **few ns** timing,  $\sigma_{\text{SP}} \sim 6 \mu\text{m}$
- Finite-element **simulation** of capacitive coupling

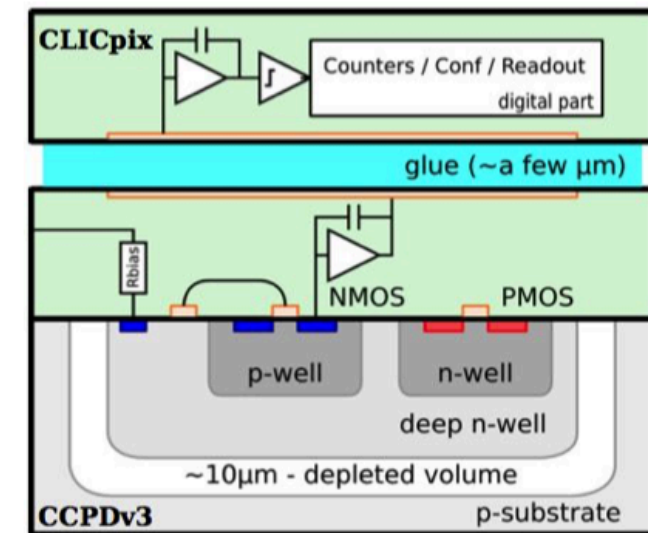
### Ongoing work:

- Evaluation of sensors with **high-resistivity** substrates
- Optimization of **gluing** process (uniformity, reproducibility)
- **Simulation** of the entire transfer chain

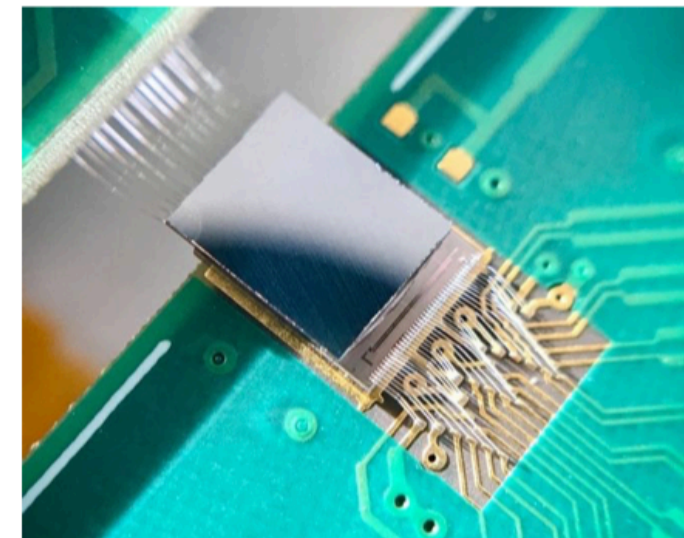
### Future developments:

- Module concept? (difficult!)
- A/C coupling at the fab (wafer) level + TSV
- A/C coupled passive CMOS sensors?

### Capacitively Coupled Pixel Detector



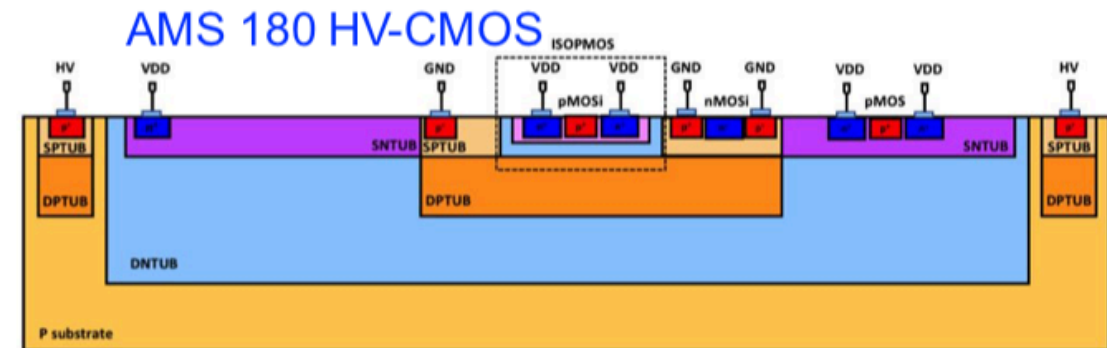
### C3PD/CLICpix2 glue assembly



NIM A 823 (2016) 1-8;  
JINST 12 P09012 (2017)

## Monolithic HV-CMOS sensors

- Active **HV-CMOS** sensors with fully integrated readout
- Considered for tracker



### Results:

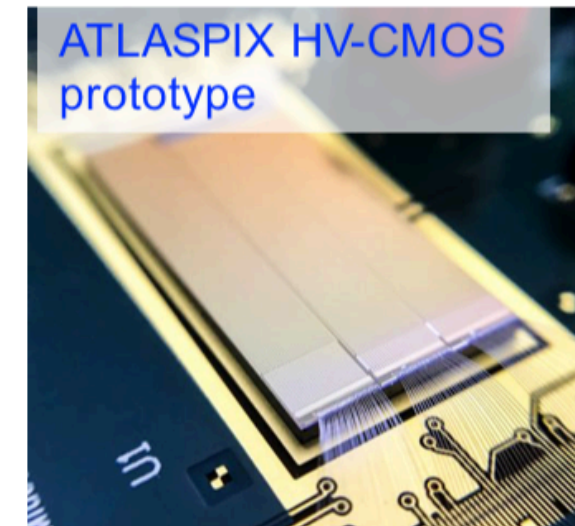
- **ATLASPIX** prototypes in AMS 180 nm HV-CMOS process:  $40 \times 120 \mu\text{m}^2$  pitch, data-driven column-drain readout
- 99.5% efficiency,  $\sigma_t \sim 16\text{-}20 \text{ ns}$ ,  $\sigma_{sp} \sim 13 \mu\text{m}$   
(almost no charge sharing; timing limited by r/o system)
- Similar developments with LFoundry HV-CMOS process

### Ongoing work:

- **Beam tests** of ATLASPIX with improved readout system (timing, lower threshold, power consumption tests)

### Future developments:

- Adapt pixel layout to CLIC requirements ( $\sim 30 \mu\text{m}$  pixel width)
- Improve digital design: **power pulsing**
- Reduce periphery area?





# Extra material

## Integrated HR-CMOS sensors

- Integrated CMOS sensors on **High-Resistivity** (HR) substrate
- **Small fill factor**: electronics outside charge-collection well
- Considered for tracker

### Results:

- Tests with **INVESTIGATOR** analog prototype chip in TowerJazz 180 nm HR-CMOS process (ALICE development), 20x20 - 50x50  $\mu\text{m}^2$  pitch
- For 28x28  $\mu\text{m}^2$  pitch, external readout:  
99.3% efficiency,  $\sigma_t < 5$  ns,  $\sigma_{SP} \sim 4$   $\mu\text{m}$

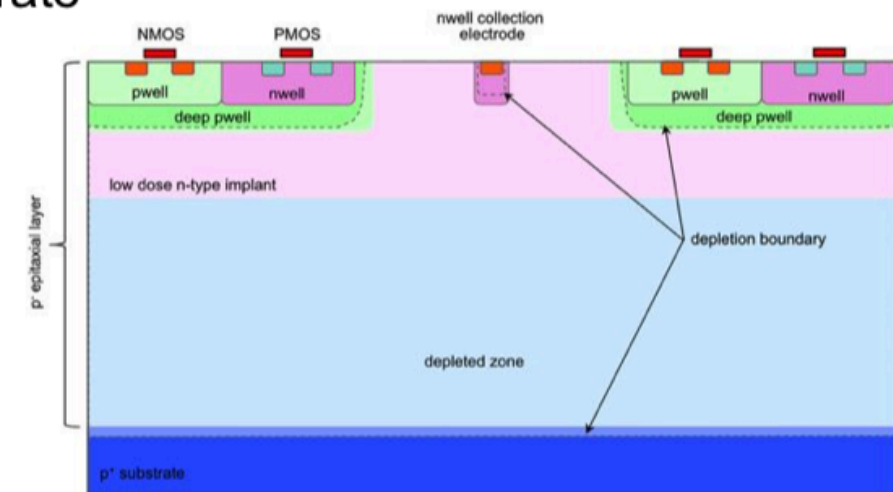
### Ongoing work:

- Design of fully integrated **CLICTD** chip:  
30 x 300  $\mu\text{m}^2$  pitch, segmented electrodes, in-pixel time + charge measurement

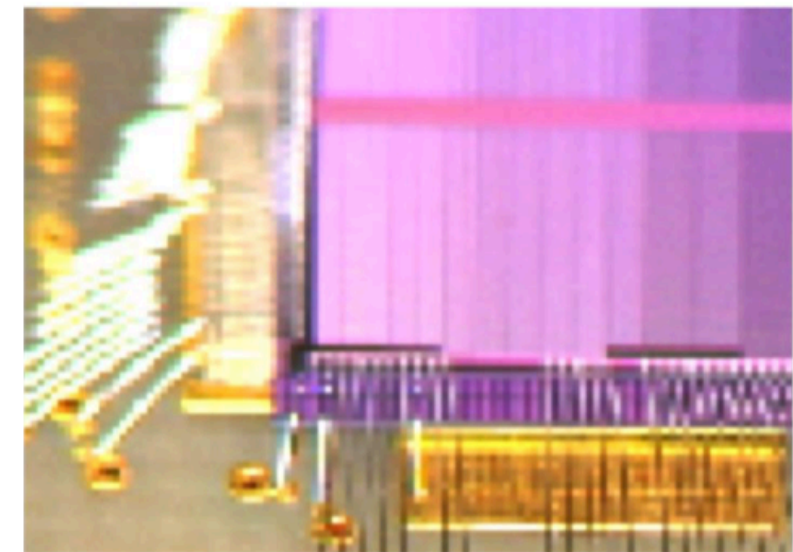
### Future plans:

- **Thinning** to 100  $\mu\text{m}$
- Larger prototypes
- Further process optimization / smaller feature size?  
→ could also become an option for the vertex detector

### HR-CMOS process



### INVESTIGATOR HR-CMOS test chip



CLICdp-Note-2017-004

# Extra material

## Monolithic SOI sensors

- Silicon-On-Insulator (**SOI**): Sensor and electronics integrated on single wafer with high-resistivity substrate, separated by insulation oxide layer + buried p-wells,
- Considered for vertex and tracker

### Results:

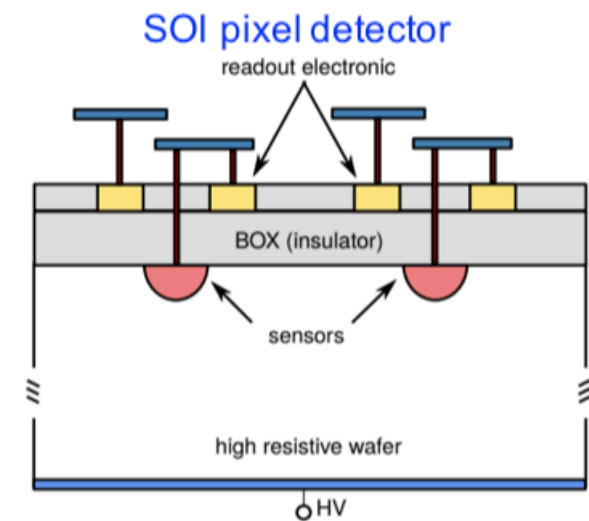
- Cracow SOI test chip in 200 nm LAPIS SOI process, with various geometries and technology parameters:  $\geq 30 \times 30 \mu\text{m}^2$  pitch, single SOI and double SOI, different r/o schemes
- Test results for 500  $\mu\text{m}$  thickness,  $30 \times 30 \mu\text{m}^2$  pitch, rolling-shutter r/o: **>99% efficiency,  $\sigma_{\text{SP}} \sim 4.5 \mu\text{m}$**

### Ongoing work:

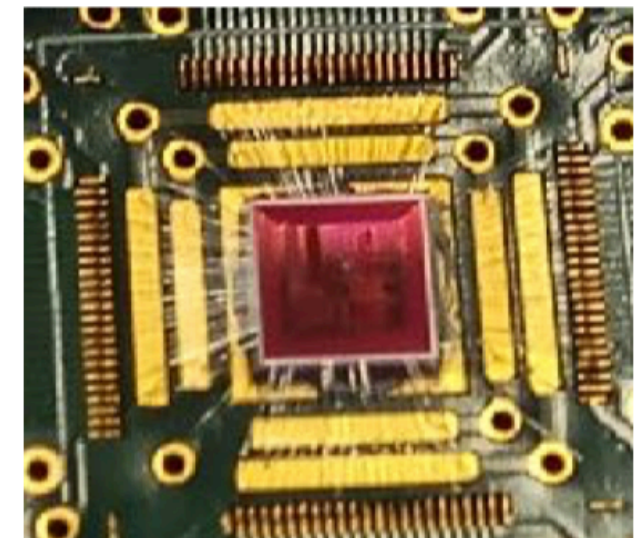
- Production of **CLIPS** vertex test chip, targeted to LC vertex requirements:  $20 \times 20 \mu\text{m}^2$  pitch, **snapshot r/o** of analog time and charge measurement,  $\geq 100 \mu\text{m}$  thickness
- Analysis of first-generation prototype test-beam data
- Development of readout system for CLIPS

### Future plans:

- Larger chips, improved readout



Cracow SOI test chip



CLICdp-Pub-2018-001