

Isospin-symmetry breaking in nuclear structure

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Plan of the talk

Isospin-symmetry breaking (ISB) in nuclear structure

- I Introduction
 - Experimental evidence
 - ISB in the NN interaction and light nuclei
- II Many-body approach (Shell Model) with effective charge-dependent interactions
 - Phenomenological versus microscopic description
 - Isobaric multiplet splitting
- III Isospin-forbidden transitions and isospin mixing (β -delayed proton emission)
- IV ISB correction to superallowed $0^+ \rightarrow 0^+$ β -decay
- V Applications to astrophysical issues

I. Introduction

Isospin symmetry

$$\psi_p(\vec{r}) = \psi(\vec{r}) \begin{pmatrix} 0 \\ 1 \end{pmatrix}, \quad \psi_n(\vec{r}) = \psi(\vec{r}) \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$
$$[\hat{t}_i, \hat{t}_j] = i\epsilon_{ijk} \hat{t}_k, \quad [\hat{\mathbf{t}}^2, \hat{t}_i] = 0$$

Experimental evidence on isospin-symmetry breaking (ISB)

- Splittings of isobaric multiplets
- Isospin-forbidden processes (isospin-forbidden Fermi β -decay, $E1$ -transitions in $N = Z$ nuclei, isospin-forbidden nucleon(s) emission, etc)

Importance of an accurate description of the isospin-symmetry breaking

- Structure and decay modes of proton-rich nuclei and nuclei along $N = Z$ line
- ISB corrections to superallowed Fermi $0^+ \rightarrow 0^+$ β -decay for the tests of the Standard Model (CVC test and unitarity of the CKM matrix)
- Astrophysics applications (masses or proton-rich nuclei and p-capture reactions)

Isospin-symmetry breaking

Sources of the isospin-symmetry breaking in nuclei:

- Coulomb interaction between protons (V_{pp}^{Coul})
- $M_p - M_n \approx 1.3$ MeV and charge-dependent forces of nuclear origin

Experimental evidence on charge-dependent NN forces

- NN scattering length in the 1S_0 channel

$$a_{nn} - a_{pp} = 1.65 \pm 0.60 \text{ fm} \quad \textit{Charge-symmetry breaking (CSB)}$$

$$\frac{1}{2}(a_{nn} + a_{pp}) - a_{np} = 5.6 \pm 0.6 \text{ fm} \quad \textit{Charge-independence breaking (CIB)}$$

- ^3H and ^3He binding energy difference

$$\Delta BE_{exp} \approx 764 \text{ keV} \quad \Delta BE_{th}^{Coul} \approx (680 \pm 30) \text{ keV}$$

- Nolen-Schiffer anomaly: the Coulomb interaction cannot reproduce observed difference of binding energies of mirror nuclei

J.A. Nolen, J.P. Schiffer, ARNS 19, 471 (1969)

Charge-dependent NN forces

Classification of two-nucleon forces (*E.M. Henley, G.A. Miller, 1979*):

- Class I: $V_I = \alpha + \beta \hat{\mathbf{t}}(1) \cdot \hat{\mathbf{t}}(2)$
- Class II: $V_{II} = \alpha \hat{t}_3(1) \hat{t}_3(2)$
- Class III: $V_{III} = \alpha (\hat{t}_3(1) + \hat{t}_3(2))$
- Class IV: $V_{IV} = \alpha (\hat{t}_3(1) - \hat{t}_3(2)) + \beta [\hat{\mathbf{t}}(1) \times \hat{\mathbf{t}}(2)]_3$

$$V_I > V_{II} > V_{III} > V_{IV}$$

Theory of ISB NN forces

- Meson-exchange models

G.A. Miller et al, Phys. Rep. 194, 1 (1990); R. Machleidt, PRC64, 024001 (2001), ...

- χ EFT (ISB NN and $3N$ forces)

U. van Kolck, J.L. Friar, E. Epelbaum, U.-G. Meissner, ...

see for review E. Epelbaum et al, RMP81, 1773 (2009)

Light nuclei

GFMC with CD interactions (AV18 + IL7) for ^3H - ^3He , $A = 7, 8$, isospin-mixing in ^8Be

e.g., R.B. Wiringa, S. Pastore, S.C. Pieper, G.A. Miller, PRC88, 044333 (2013)

II. Many-body models with INC Hamiltonians

- Shell model (from 60's ...)

W.E. Ormand, B.A. Brown et al 1985 –; S. Nakamura, K. Muto, T. Oda (1994); A.P. Zuker, S.M. Lenzi, M.A. Bentley et al, 2001 – 2018; K. Kaneko et al, 2010 – 2018; Y.H. Lam, N. Smirnova, E. Caurier, 2013 – 2018.

- No-core shell model

E. Caurier, P. Navratil et al, 2002, ...

- Gamow shell-model, SMEC

N. Michel, W. Nazarewicz, M. Ploszajczak, PRC82 (2010), ...

- HF + Tamm-Dankoff, RPA, HTDA

I. Hamamoto, H. Sagawa, N. V. Giai, J. Dobaczewski, T. Suzuki, G. Colo, J. Le Bloas, N. Auerbach et al, 1993 – 2018

- Relativistic RPA

H. Liang, N. V. Giai, J. Meng, 2009 –

- J -projected and T -projected DFT with ISB terms

W. Satula, J. Dobaczewski et al, 2009 – 2018, P. Baczyk et al, PLB778, 178 (2018)

- VAP technique on the HFB basis

A. Petrovici et al, 2008 – 2018

- Isovector giant monopole resonance

G. Colo et al (1993); N. Auerbach, Phys. Rep. 98, 273 (1983); PRC (2009)

- ...

Isospin-symmetry breaking in the shell model

Isospin-invariant shell model: $[\hat{H}, \hat{\mathbf{T}}^2] = [\hat{H}, \hat{T}_i] = 0$

$$\hat{H}\Psi_{TT_z} \equiv (\hat{H}_0 + \hat{V}_0)\Psi_{TT_z} = E_T\Psi_{TT_z}, \quad \hat{H}_0\Phi_{TT_z} = E_0\Phi_{TT_z}$$

$$\Psi_{TT_z} = \sum_k C_{T_k} \Phi_{TT_z k} \quad \Rightarrow \quad \langle \Phi_k | \hat{H} | \Phi_I \rangle \quad \Rightarrow \quad \{E_T, C_{T_k}\}$$

Isospin-nonconserving (INC) term from *classes II - III* forces only

$$\hat{V}_{INC} = \hat{V}_C + \hat{V}_{CD} = \sum_{k=0,1,2} \hat{V}^{(k)}$$

$$\hat{V}^{(0)} = (v_{pp} + v_{nn} + v_{np}^{T=1})/3$$

$$\hat{V}^{(1)} = v_{pp} - v_{nn}$$

$$\epsilon_i = \epsilon_i^p - \epsilon_i^n$$

$$\hat{V}^{(2)} = (v_{pp} + v_{nn})/2 - v_{np}^{T=1}$$

In first order perturbation theory:

$$\langle \Psi_{TT_z} | \hat{V}_{INC} | \Psi_{TT_z} \rangle = E^{(0)}(\alpha, T) + E^{(1)}(\alpha, T)T_z + E^{(2)}(\alpha, T) [3T_z^2 - T(T+1)]$$

Isobaric-multiplet mass equation – IMME (E.P. Wigner, 1957):

$$M(\alpha, T, T_z) = a(\alpha, T) + b(\alpha, T)T_z + c(\alpha, T)T_z^2$$

$$\hat{H}_{INC}\Psi(\alpha_p, \alpha_n) \equiv (\hat{H}_0 + \hat{V}_0 + \hat{V}_{INC})\Psi(\alpha_p, \alpha_n) = E\Psi(\alpha_p, \alpha_n)$$

How to construct charge-dependent Hamiltonian \hat{H}_{INC} ?

- **Phenomenological** effective charge-dependent part (V_{INC}) is added to a well-known isospin-invariant Hamiltonian

W.E. Ormand, B.A. Brown, NPA490, 1 (1989)

S. Nakamura, K. Muto, T. Oda, NPA575, 1 (1994)

Y.H. Lam, N.A. Smirnova, E. Courier, PRC87 (2013)

A.P. Zuker, S.M. Lenzi, et al, PRL89 (2002)

K. Kaneko, Y. Sun, T. Mizusaki, S. Tazaki, PRL110, 172505 (2013), ...

- **Microscopic** effective charge-dependent interaction from NN ($+3N$) force

J.D. Holt, J. Menendez, A. Schwenk, PRL110, 022502 (2013)

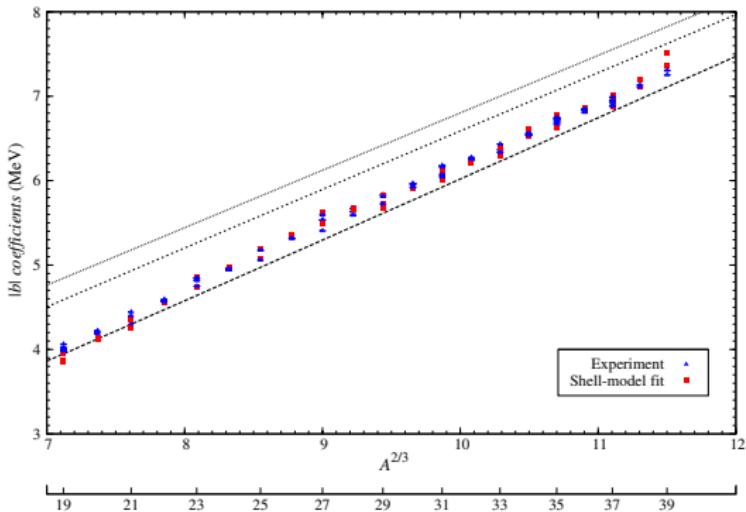
W.E. Ormand, B.A. Brown, M. Hjorth-Jensen, PRC96, 024323 (2017)

N.A. Smirnova, B.R. Barrett et al, in preparation

b coefficients in the sd shell ($v_{pp} - v_{nn}$)

USDA/USDB (Brown, Richter, 2006)

plus V_C , V_{CD} , $\tilde{\epsilon}_i \rightarrow$ 5 parameters Y.H. Lam, Smirnova, Caurier, PRC87 (2013)



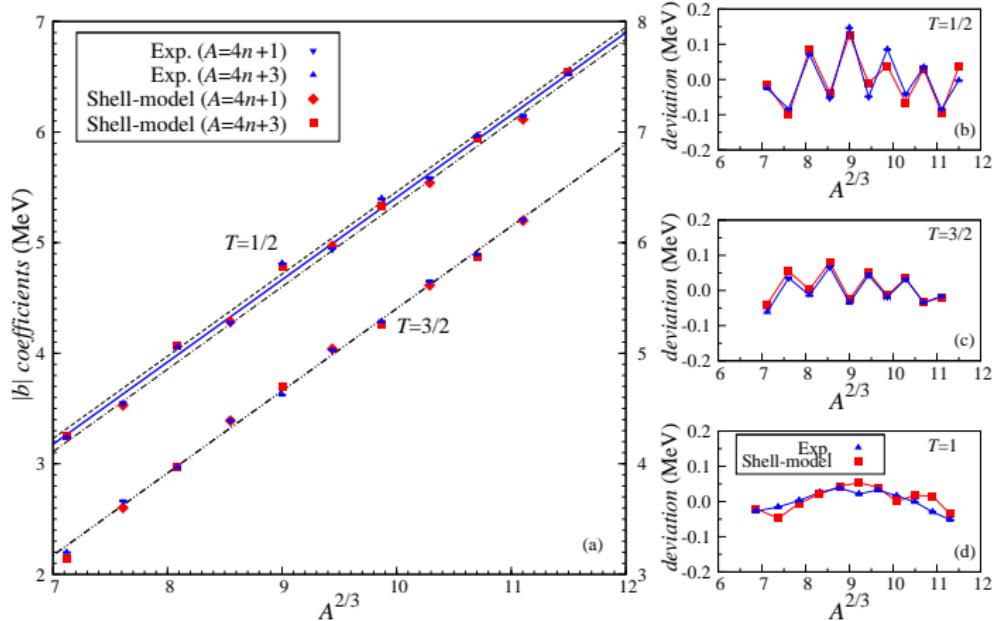
Comparison with uniformly charged sphere and Möller, Nix, ADNDT39 (1988)

- 81 data points ($T = 1/2, 1, 3/2, 2$): rms ≈ 32 keV
- experimental IMME database: Y.H. Lam et al ADNDT99, 680 (2013)

Staggering of the sd -shell b -coefficients

Theory: Y.H. Lam, N. Smirnova, E. Caurier, 2013

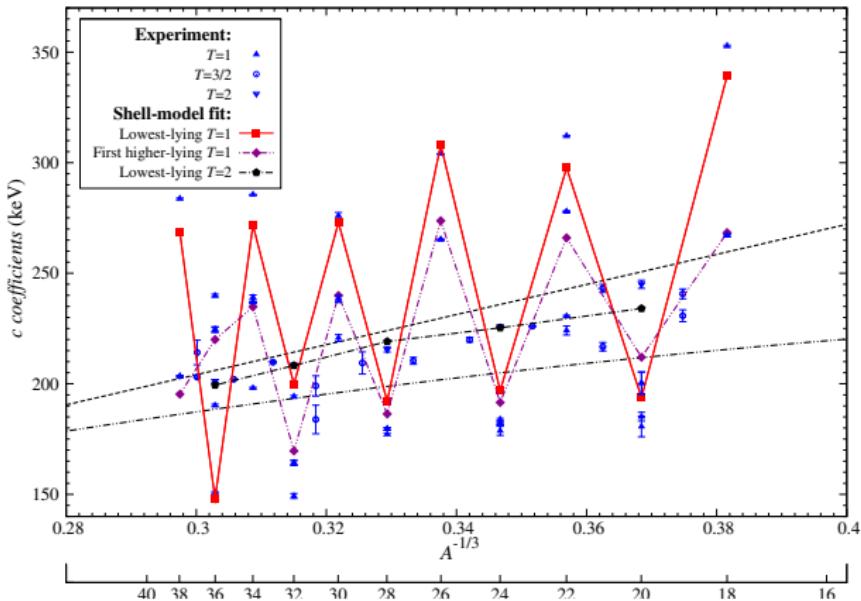
Data: Y.H. Lam et al, ADNDT99, 2013; M. MacCormick, G. Audi, NPA925, 2014



c-coefficients in the *sd* shell ($v_{pp} + v_{nn} - 2v_{pn}$)

Theory (shell model): *Y.H. Lam, N. Smirnova, E. Caurier, PRC87 (2013)*

Data: *Y.H. Lam et al, ADNDT99, (2013); M. MacCormick, G. Audi, NPA925, (2014)*



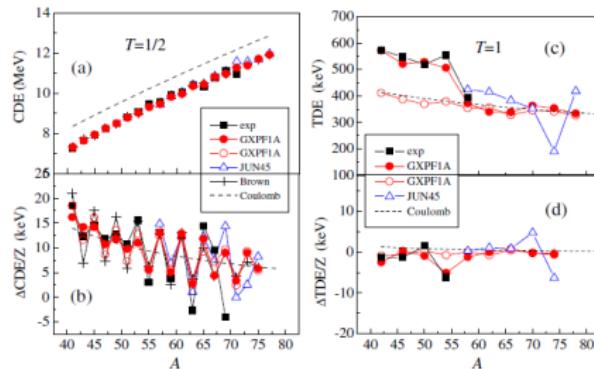
- 2-parameter fit on 51 data points ($T = 1, 3/2, 2$): rms ≈ 10 keV
- Black dashed line: $c = \frac{3e^2}{5r_0} A^{-1/3}$; double-dot-dashed line: *Möller, Nix, ADNDT39, 1988*

Mirror and triplet displacement energies in the pf and $pf_{5/2}g_{9/2}$ shell-model space

K. Kaneko, Y. Sun, T. Mizusaki, S. Tazaki, PRL110, 172505 (2013)

Details

- GXPF1A and JUN45 (charge-independent)
- Theoretical ISPE
- \hat{V}_C (Coulomb)
- \hat{V}_{INC} : $J = 0$ nn, pp, np matrix elements in $f_{7/2}$
- b and c coefficients as a function of A



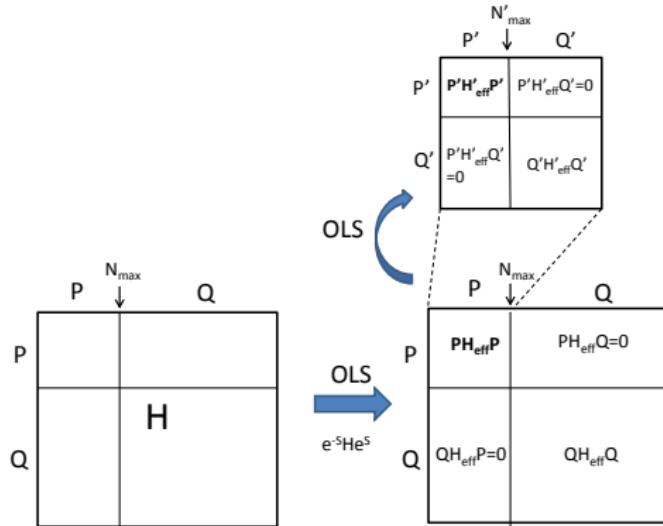
Applications for astrophysics

Prediction of masses of heavy nuclei along $N = Z$ and mapping of the proton drip-line

W.E. Ormand, PRC53, 214 (1996); PRC55, 2407 (1997); J. Cole, PRC54 (1996), ...

Ab-initio effective interaction from the NCSM

$$H = \sum_{i < j=1}^A \frac{(\vec{p}_i - \vec{p}_j)^2}{2Am} + \sum_{i < j=1}^A V_{ij}^{NN}$$



Flow

- NCSM for ^{18}F at $N_{\text{max}} = 4$ with V_{eff} (OLS)
- \tilde{H}'_{eff} for ^{18}F at $N'_{\text{max}} = 0$ (OLS)
- NCSM for ^{16}O (core energy)
- NCSM $^{17}\text{O}, ^{17}\text{F}$ (one-body terms)
- Single-particle energies and $T = 0, 1$ TBMEs in sd shell

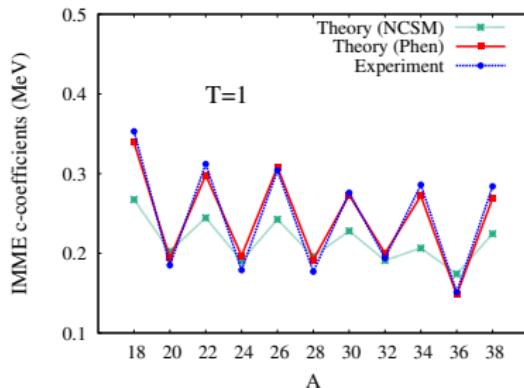
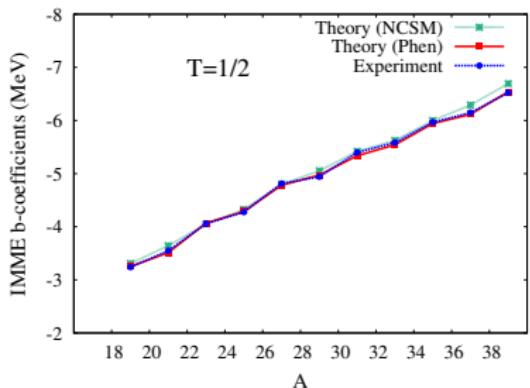
S. Okubo, Progr. Theor. Phys. 12 (1954); K. Suzuki, S.Y.Lee, Progr. Theor. Phys. 68 (1980)

E. Dikmen, A.F. Lisetskiy, B.R. Barrett et al, PRC91, 064301 (2015)

Ab-initio effective interaction from the NCSM

Present results obtained from the NCSM

- Daejeon16 *NN* potential (based on SRG-evolved chiral N3LO)
A.M. Shirokov, I.J. Shin, Y. Kim, P. Maris, M. Sosonkina, J.P. Vary, PLB761, 81 (2016)
- $N_{max} = 4$ and $\hbar\Omega=14$ MeV
- $^{18}\text{O}, ^{18}\text{F}, ^{18}\text{Ne} \Rightarrow \text{pp}, \text{nn}, \text{pn}$ ($T = 0, 1$) TBMEs in *sd* shell (charge-dependent)



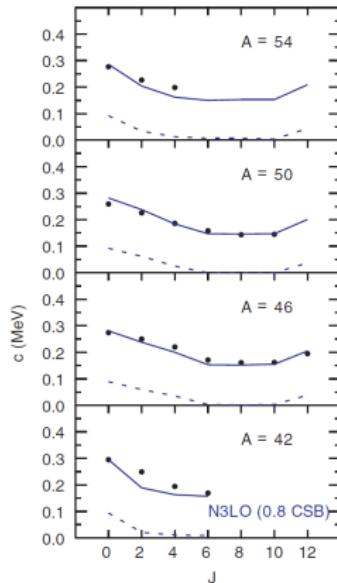
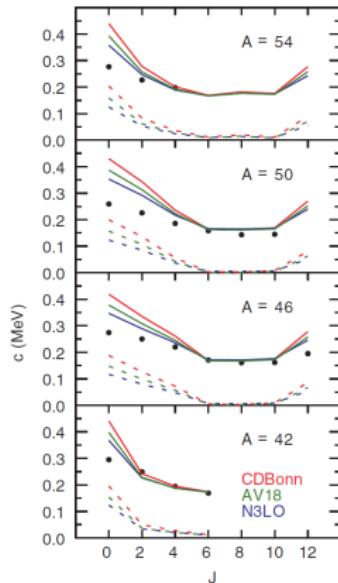
- *b*-coefficients: rms (NCSM) ≈ 86 keV, rms (phen) ≈ 30 keV
- *c*-coefficients: rms (NCSM) ≈ 51 keV, rms (phen) ≈ 11 keV

Microscopic effective CD forces in the *pf* shell

W.E. Ormand, B.A. Brown, M. Hjorth-Jensen, PRC96, 024323 (2017)

Details

- CD-Bonn, AV18, N³LO NN potentials (with CSB and CIB forces)
- G-matrix
- V_{eff} for *pf* shell obtained within many-body perturbation theory (to 3rd order)
- Coulomb interaction is added (except for AV18)
- c coefficients as a function of J



Outcome

Overprediction of c coefficients !

Similar conclusions from MEDs in $A = 54$ studies: A. Gadea et al, PRL97, 152501 (2006)

Mirror and triplet energy differences (MED and TED)

MEDs and TEDs as a function of J

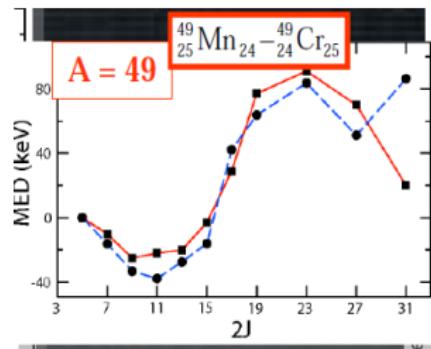
$$MED(J) = E_{J,T_z=T}^* - E_{J,T_z=-T}^*$$

$$TED(J) = E_{J,T_z=T}^* + E_{J,T_z=-T}^* - 2E_{J,T_z=0}^* \quad (T=1)$$

Detailed information on change with J

- pair alignment
- nuclear radii (deformation)
- V_{INC} : $J=0$ (isotensor) and $J=2$ (isovector) matrix elements

A.P. Zuker, S.M. Lenzi, M.A. Bentley et al;
S.M. Lenzi, M.A. Bentley, *PPNP59*, 497 (2007)
K. Kaneko et al, *PRC89*, 031302 (2014); ...



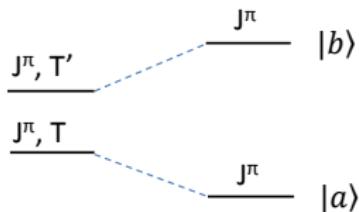
Courtesy of S. Lenzi

Neutron skin from MED in $^{23}\text{Na}-^{23}\text{Mg}$

$$\Delta r_{\nu\pi} = \sqrt{\langle r_\nu^2 \rangle} - \sqrt{\langle r_\pi^2 \rangle} = \frac{\zeta(N-Z)}{A} e^{g/Z}$$

A. Boso et al, *PRL121*, 032502 (2018)

III. Isospin impurities and mixing matrix element



$$|a\rangle = \sqrt{1 - \alpha^2}|T\rangle + \alpha|T'\rangle$$

$$|b\rangle = \sqrt{1 - \alpha^2}|T'\rangle - \alpha|T\rangle$$

$$\alpha^2 \sim \frac{\langle T|V_{INC}|T'\rangle^2}{(\Delta E)^2}$$

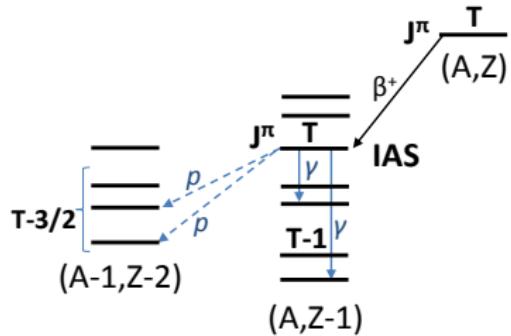
- Shell-model predictions of α^2 are hampered by an imprecise ΔE knowledge.
⇒ **Isospin-mixing matrix element!**
- $|\langle T|V_{INC}|T'\rangle|$ varies from 0 to about ~ 150 keV.
- Contributions from both Coulomb and INC terms
S. Nakamura, K. Muto, T. Oda, NPA575, 1 (1994)
- Complications due to many-level mixing

Isospin-forbidden processes and isospin mixing

Experimental signatures

- **Fermi β -decay:** $(J^\pi, T) \rightarrow (J^\pi, T \pm 1)$,
Fermi β -decay between non-analogue 0^+ states: $(0^\pi, T) \rightarrow (0^\pi, T \pm 1)$
depletion/splitting of the Fermi strength \Rightarrow *Model-independent way*
*E. Hagberg et al, PRL73, 396 (1994); P. Schuurmans et al, NPA672, 89 (2000);
N. Severijns et al, PRC71, 064310 (2005); ...*
- Electromagnetic probes ($E1$ transitions in $N = Z$ nuclei, mirror transitions, ...)
*E. Farnea et al, PLB551, 56 (2003); A. Lisetskiy et al, PRL89, 012502 (2002);
N.S. Pattabiraman et al, PRC78, 024301 (2008); A. Corsi et al, PRC84, 041304 (2011);
S. Ceruti, F. Camera, A. Bracco et al, PRL115, 222502 (2015)*
- Isospin-forbidden particle (p , $2p$, α , ...) emission
*B. Blank, M.J.G. Borge, PPNP60, 403 (2008)
N. Smirnova, B. Blank et al, PRC93, 044305 (2016); PRC95, 054301 (2017)*
- Search for higher order terms in T_z in the IMME
A. Signoracci, B.A. Brown, PRC84 (2011); A.T. Gallant et al, PRL113, 082501 (2014); ...

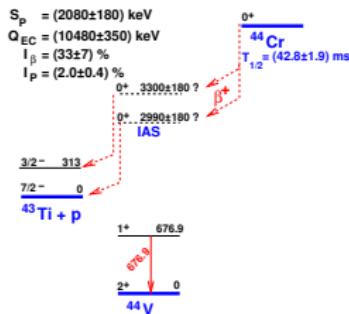
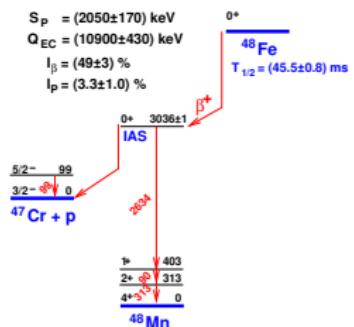
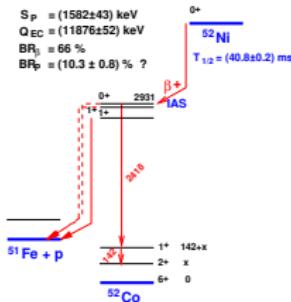
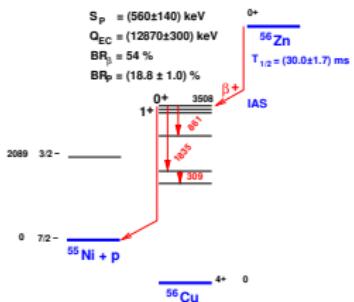
β -delayed $p\gamma$ -emission and isospin-mixing



$$I_p(\text{IAS}) / I_\gamma(\text{IAS})$$

Can we use this data to extract the amount of the isospin-mixing in the IAS ?

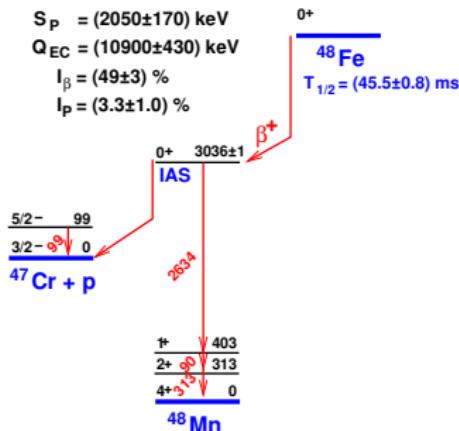
β -delayed $p\gamma$ emission: experimental examples



C. Dossat et al, NPA792, 18 (2007); B. Blank, M. Borge, PPNP60 (2008)

S. Orrigo et al, PRL112 (2014); S. Orrigo et al, PRC93 (2016); X. Xu et al, PRL117 (2016), ...

β -delayed $p\gamma$ -emission and isospin-mixing



$$\frac{I_p^{IAS}}{I_\gamma^{IAS}} = \frac{\Gamma_p^{IAS}}{\Gamma_\gamma^{IAS}}$$

$$I_\gamma^{IAS} = I_\beta^{IAS} - I_p^{IAS}$$

$$\Gamma_p^{IAS} = \theta^2 \Gamma_{sp}^{IAS}$$

$$\Rightarrow \theta_{exp}^2 = \frac{\Gamma_\gamma^{IAS}}{\Gamma_{sp}^{IAS}} \times \frac{I_p^{IAS}}{I_\gamma^{IAS}}$$

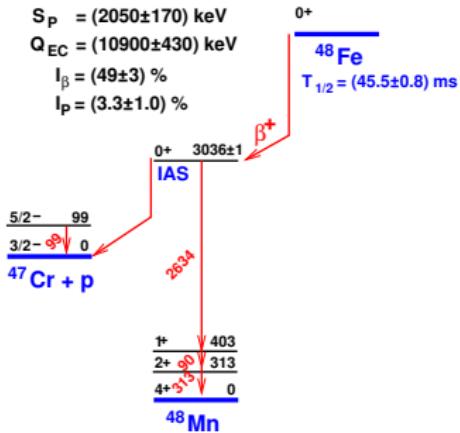
- Shell model: Γ_γ^{IAS}
- WS potential: Γ_{sp}^{IAS}

If a two-level mixing is valid:

$$\Rightarrow \alpha_{exp}^2 = \frac{\Gamma_\gamma^{IAS}}{\theta_{T-1}^2 \Gamma_{sp}^{IAS}} \times \frac{I_p^{IAS}}{I_\gamma^{IAS}}$$

N. Smirnova, B. Blank, B.A. Brown, W.A.Richter et al, PRC95, 054301 (2017)

Decay of ^{48}Fe



	Exp	cd-GXPF1A	cd-KB3G
$T_{1/2} (\text{ms})$	45.5(8)	45.2(5)	47.4(6)
$E^{IAS} (\text{keV})$	3036(1)	3039	2921
$\Gamma_\gamma (\text{eV})$		0.56	0.52
$B_P (\%)$	14.4(7)	13.5	13.5

0^+ , $T = 2$, IAS, is the lowest 0^+ state in ^{48}Mn .

$$\theta_{exp}^2 = 3.9(7) \times 10^{-3}$$

$$\theta_{T=1}^2 = 0.19$$

$$\alpha_{exp}^2 = 2.1(4)\%$$

$\Gamma_{sp}^{th} = 15(2) \text{ eV}$

N. Smirnova, B. Blank, B. A. Brown et al, PRC95, 054301 (2017).

IV. Superallowed $0^+ \rightarrow 0^+$ beta decay

Absolute Ft value

$$Ft^{0^+ \rightarrow 0^+} \equiv ft^{0^+ \rightarrow 0^+} (1 + \delta'_R)(1 + \delta_{NS} - \delta_C) = \frac{K}{|M_F^0|^2 G_V^2 (1 + \Delta_R)}$$

14 best known emitters (ft -value known with a precision $\lesssim 0.4\%$):

^{10}C , ^{14}O , ^{22}Mg , ^{26m}Al , ^{34}Cl , ^{34}Ar , ^{38m}K , ^{38}Ca , ^{42}Sc , ^{46}V , ^{50}Mn , ^{54}Co , ^{62}Ga , ^{74}Rb

J.C. Hardy, I.S. Towner, PRC91, 025501 (2015)

Radiative corrections

$$\Delta_R^V = (2.361 \pm 0.038)\%$$

$$\delta'_R \sim (1.50 \pm \sim 0.12)\%$$

$$|\delta_{NS}| \lesssim 0.3\%$$

Nuclear-structure correction

$$|M_F|^2 = |M_F^0|^2 (1 - \delta_C)$$

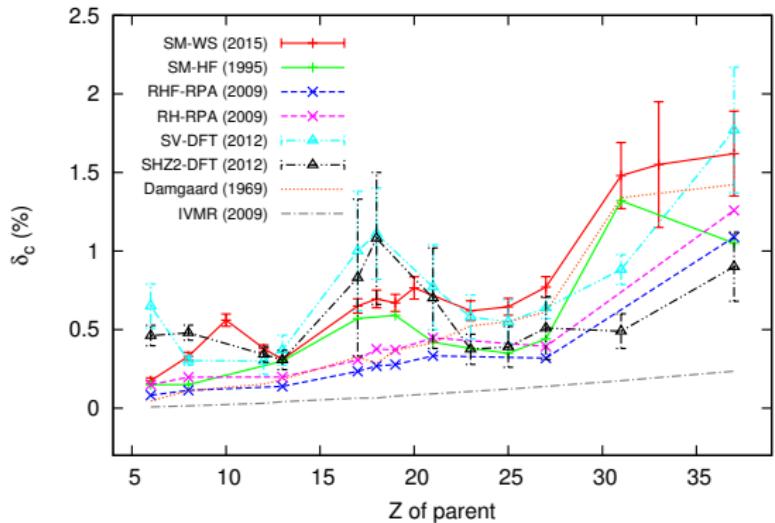
$$|M_F^0|^2 = T(T+1) - T_{zi} T_{zf}$$

$$\delta_C \approx 0.1 - 2.0\%$$

A. Sirlin, W.J. Marciano,
W. Jaus, G. Rasche

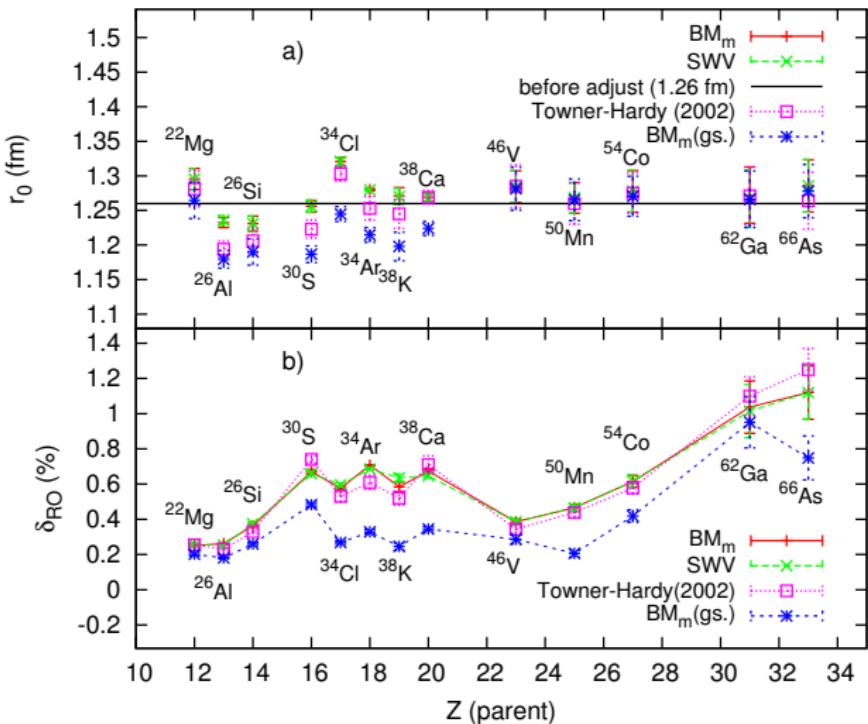
δ_C — large ambiguities from various theoretical models

Present status of δ_C from various models

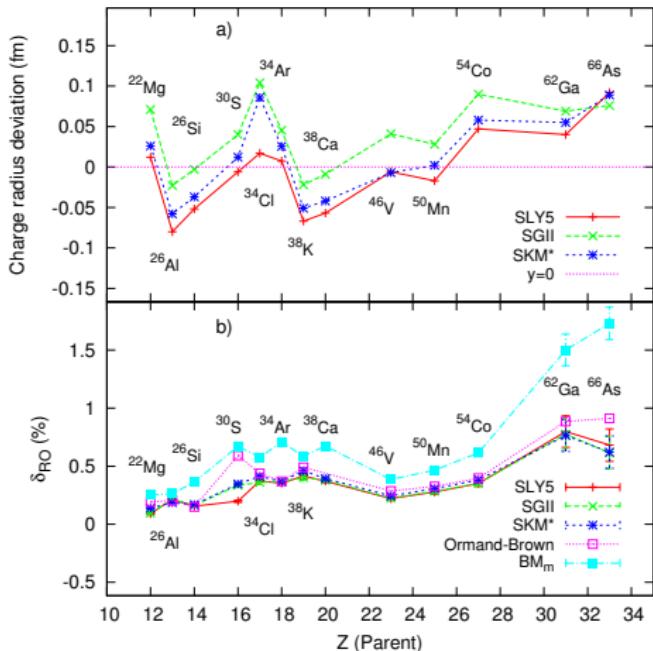


- Damgaard Model (*J. Damgaard*)
- Shell Model (INC) + WS (*I.S. Towner, J.C. Hardy*)
- Shell Model (INC) + HF (*W.E. Ormand, B.A. Brown*)
- JT-projected DFT (*W. Satula et al*)
- RHF-RPA and RH-RPA (*R. Liang et al*)
- Isovector Giant Monopole Resonance (*N. Auerbach*)

Results δ_{RO} from WS potential



HF potential adjusted



L. Xayavong, N.Smirnova, M. Bender, K. Bennaceur, *Acta Phys. Pol. B10, 285 (2017)*.

V. Radiative p-capture reactions in stellar environment

Explosive hydrogen burning and proton capture reactions on $A > 20$ nuclei:

- Classical O-Ne novae (0.1-0.4 GK) \Rightarrow nucleosynthesis near $N = Z$ line ($A \lesssim 40$)
- X-ray bursts ($\lesssim 2$ GK) \Rightarrow nucleosynthesis up to proton drip-line ($A \lesssim 100$)
rp-process

Proton capture reactions on radioactive $A > 20$ nuclei

$Q \lesssim 5$ MeV \Rightarrow contribution of isolated resonances

$$N_A \langle \sigma v \rangle_r = 1.540 \times 10^{11} (\mu T_9)^{-3/2} \omega_\gamma \exp\left(\frac{-11.605 E_r}{T_9}\right) \text{ cm}^3 \text{ s}^{-1} \text{ mol}^{-1}$$

$$\omega_\gamma = \frac{2J_f + 1}{2(2J_i + 1)} \frac{\Gamma_p \Gamma_\gamma}{\Gamma_p + \Gamma_\gamma}$$

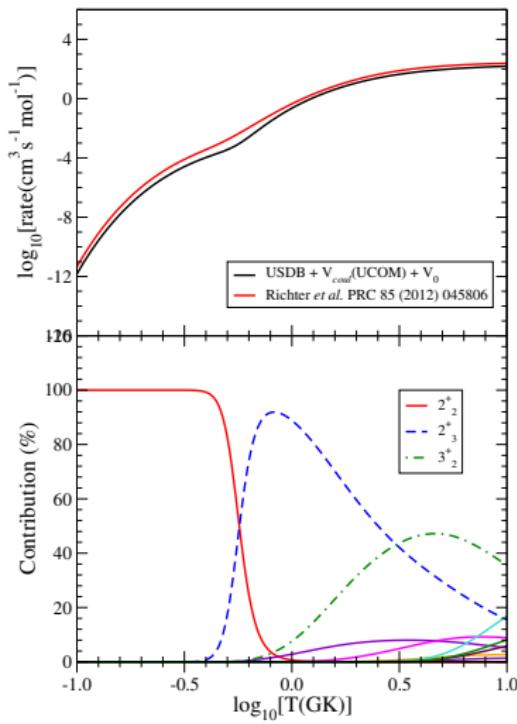
- Not enough experimental data \Rightarrow Estimations: from mirror systems, when available
- Theoretical input (shell model): E_r , Γ_γ and Γ_p

H. Herndl et al, PRC52, 1078 (1995); W. A. Richter, B. A. Brown, A. Signoracci, M. Wiescher, PRC83, 065803 (2011); ...

$^{35}\text{Ar}(\text{p},\gamma)^{36}\text{K}$ reaction rate

Y. H. Lam et al (in preparation)

E_r , ω_γ in ^{36}K are evaluated via
 c -coefficients V_{INC}



Summary and Perspectives

- **Phenomenological** INC shell-model Hamiltonian: robust description
- Importance of the Thomas-Ehrman shift!
 $^{24}\text{Al}(p, \gamma)^{25}\text{Si}$
B. Longfellow et al, PRC97, 054307 (2018)
- **Microscopic description:** in progress
- Experimental data on ISB phenomena are welcome.

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