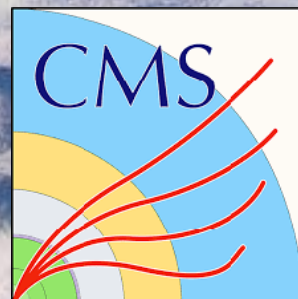


Stefano Zambito, Harvard - O.b.o ATLAS and CMS
A Dive Into Searches Involving Top Quarks



**3rd Generation
SUSY**

**Rare Production
& Decays**

**Dark
Matter**

Top Quark(s)

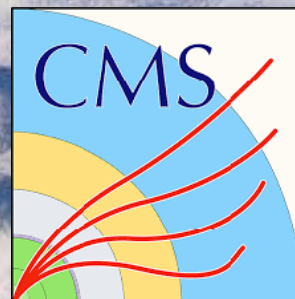
**Vector-like
Quarks**

**Heavy
Resonances**

Stefano Zambito, Harvard - O.b.o ATLAS and CMS
A Dive Into Searches Involving Top Quarks
3rd Generation SUSY & Rare Top Decays

La Thuile, Mar 2nd '18 - In this talk:

- Why t so important in BSM searches?
- Prototype of *stop* search: 1L ATLAS
- Prototype of *stop* search: 0L CMS
- S_{bottom} searches, in a nutshell
- FCNC in t production/decays



Introduction

Why the *top quark* is so interesting - and all the searches involving it?

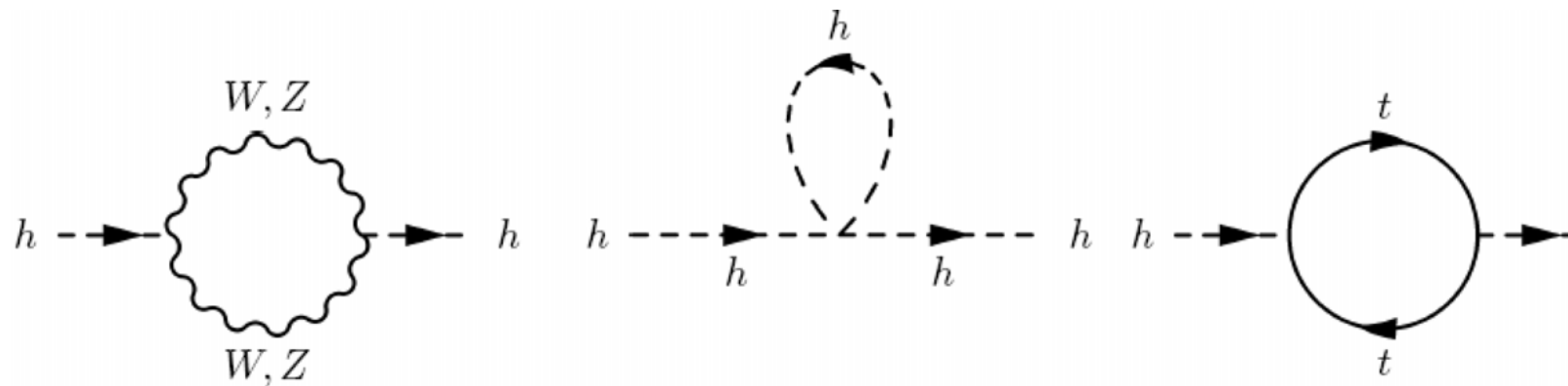
“Massive” and “point-like” at the same time - key source of fundamental information

Heaviest elementary particle discovered so far: mass close to that of gold nucleus!

It decays much faster than timescale for formation of strong bound states

Large Yukawa coupling (close to unity) - main contribution to virtual m_H corrections:

Close connection to *hierarchy problem* (and its *natural* solution?)

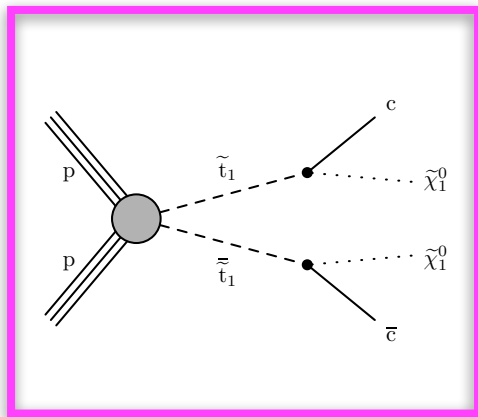


$$\delta m_H^2 = \frac{3G_F}{4\sqrt{2}\pi^2} (2m_W^2 + m_Z^2 + m_H^2 - 4m_t^2) \Lambda^2 \approx -(0.2 \Lambda)^2$$

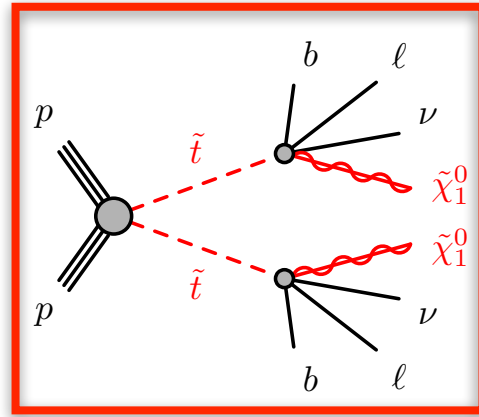
e.g. SUSY's solution: (natural) cancellations given by the top's superpartner, *stop*

Stop: Decays

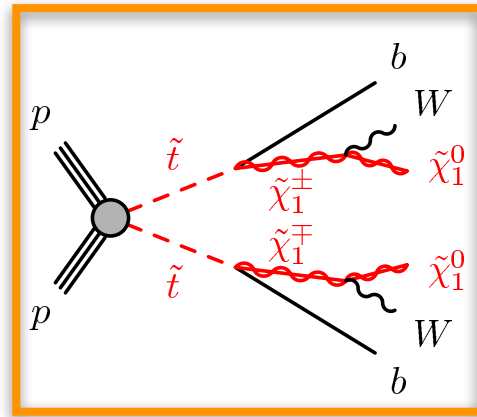
stop-to-charm



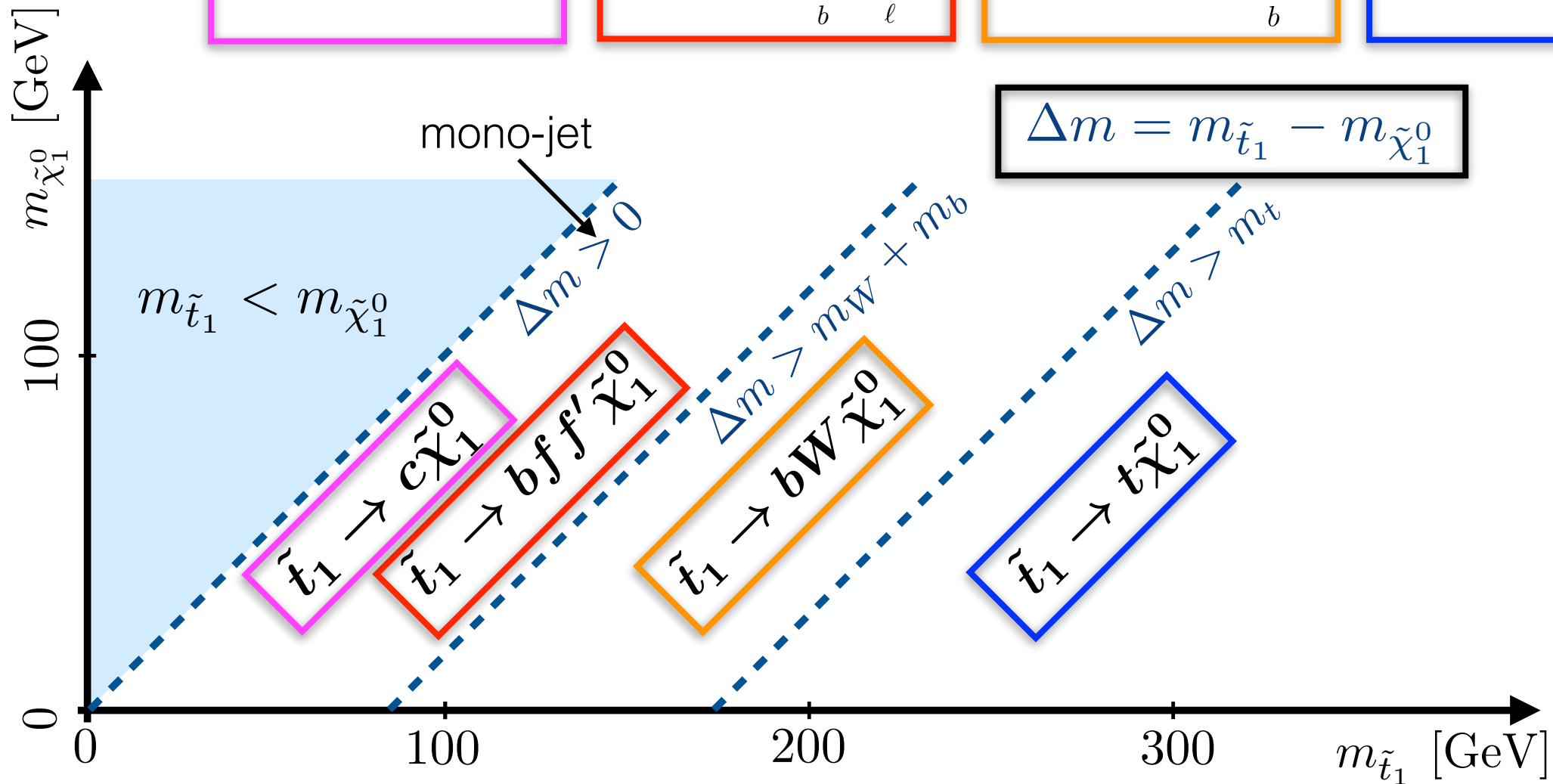
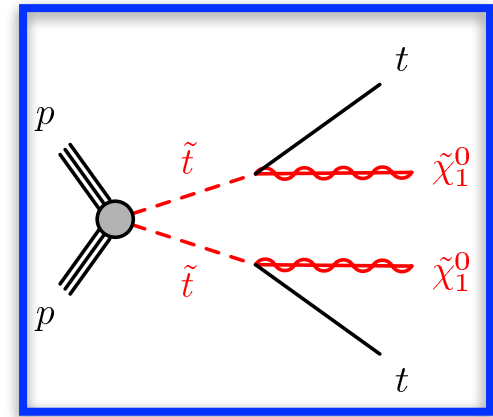
4-body



3-body



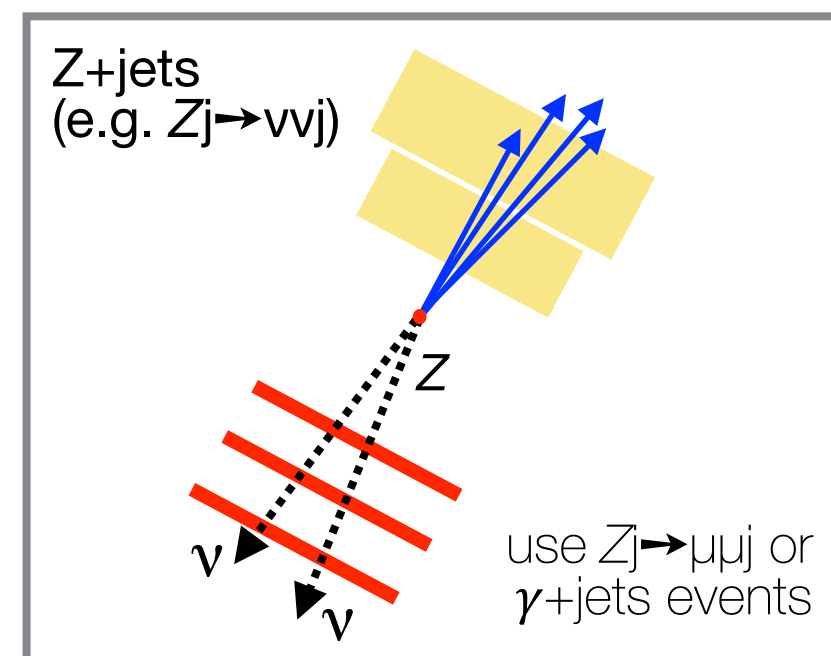
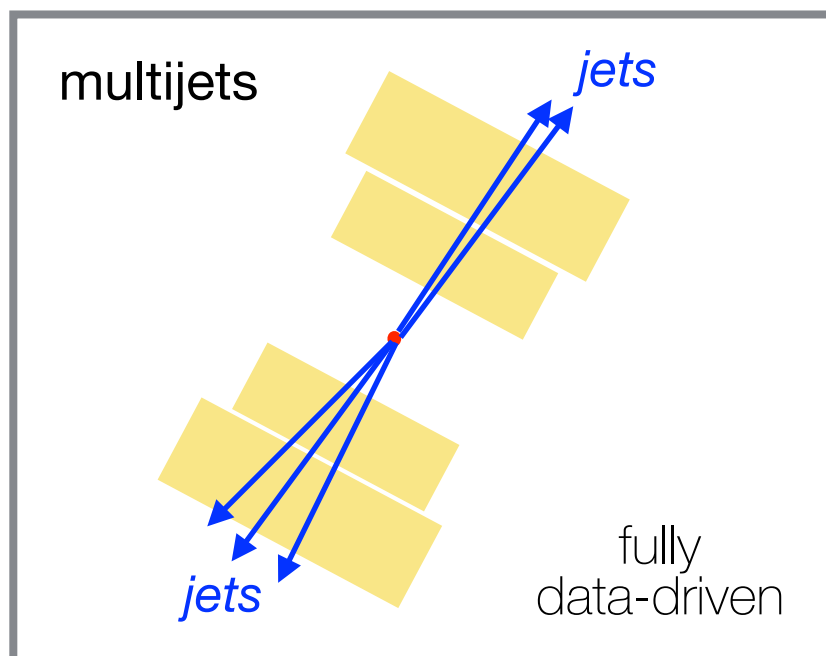
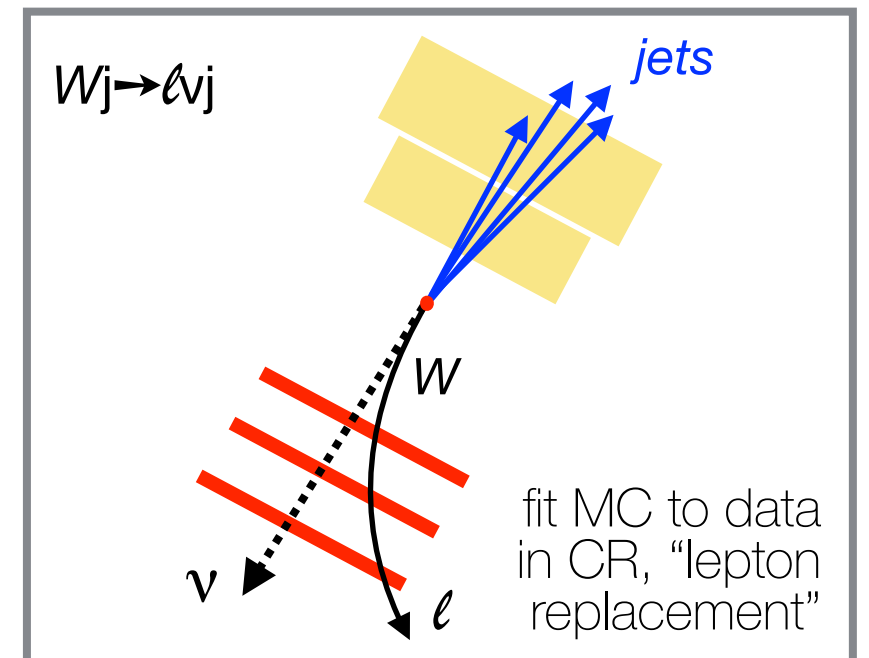
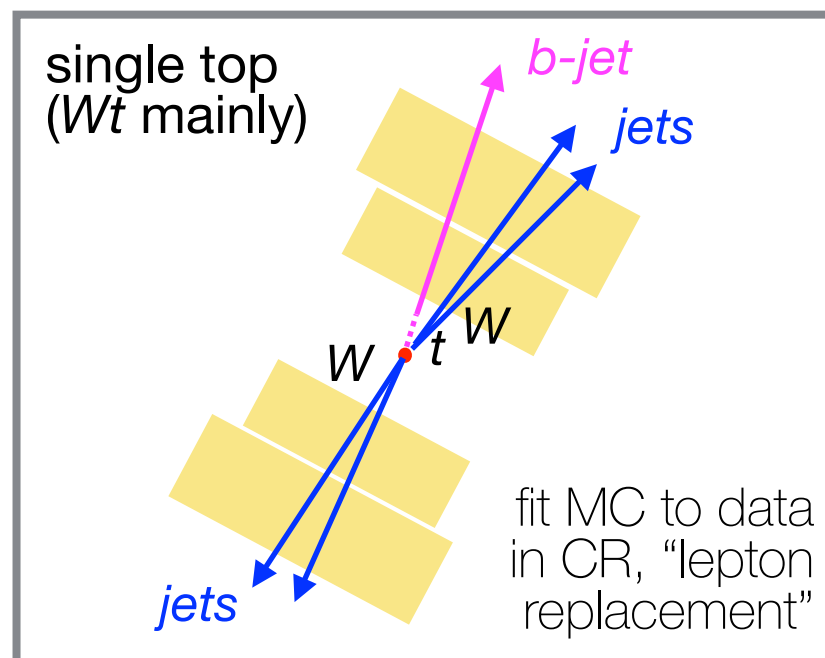
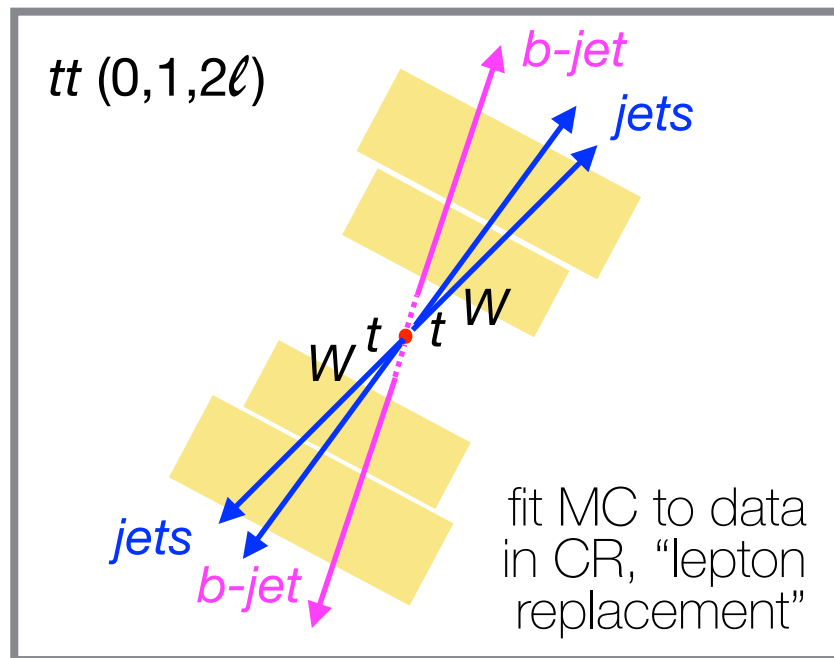
2-body decays



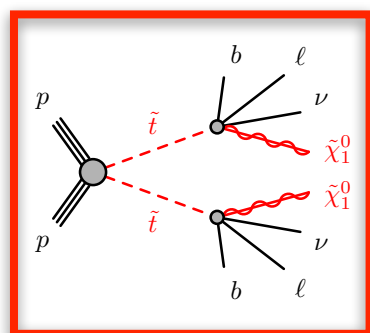
Main Backgrounds

Typical signature involves large \cancel{E}_T , b-jets and 0, 1 or 2 leptons

Control (CR) and validation (VR) regions used to extract / x-check background predictions



Stop 1L, ATLAS: Strategy (I)



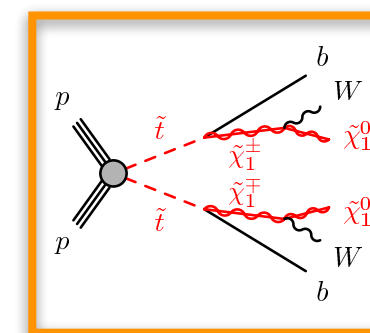
4-body decays

$\cancel{E}_T > 300$ GeV, at least one b-tagged jet

one *soft* lepton

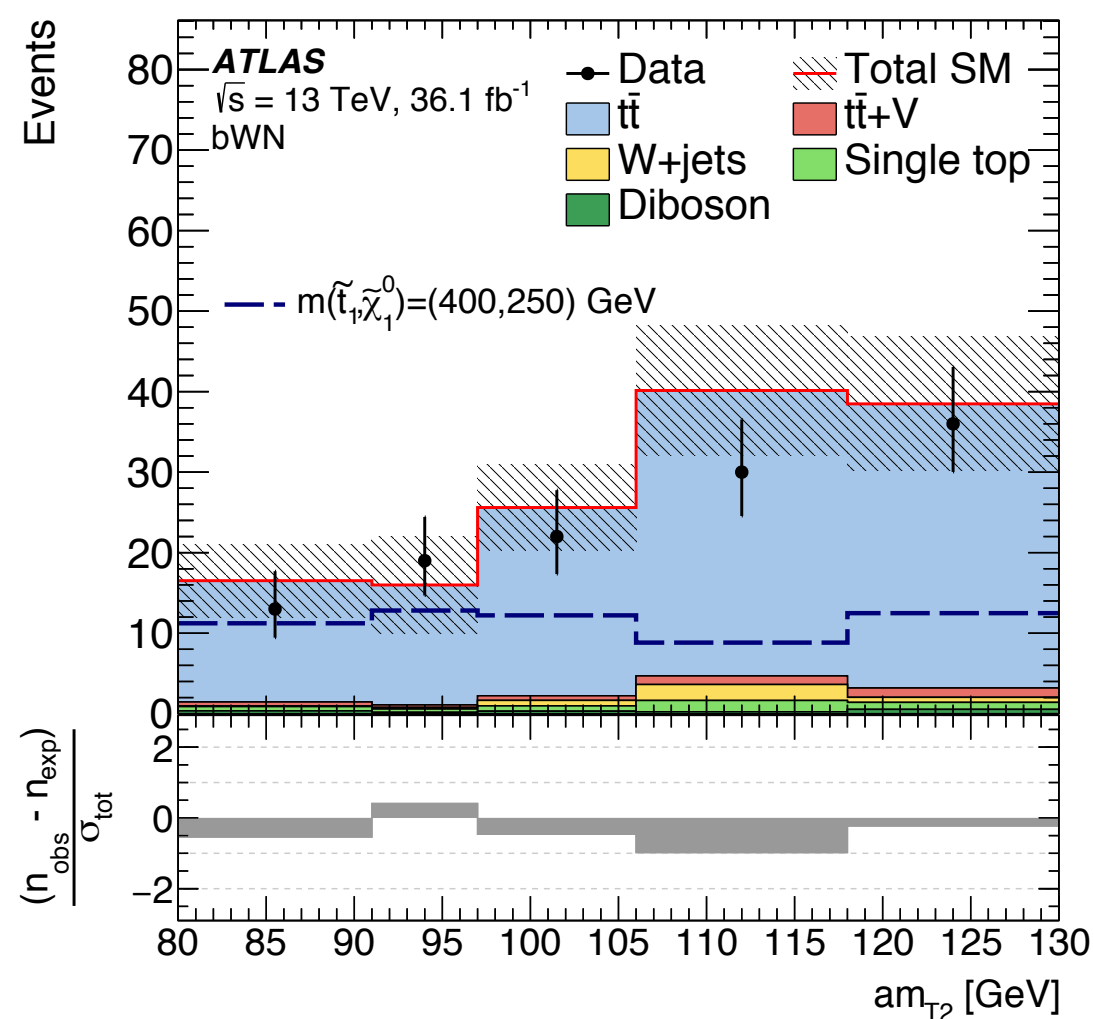
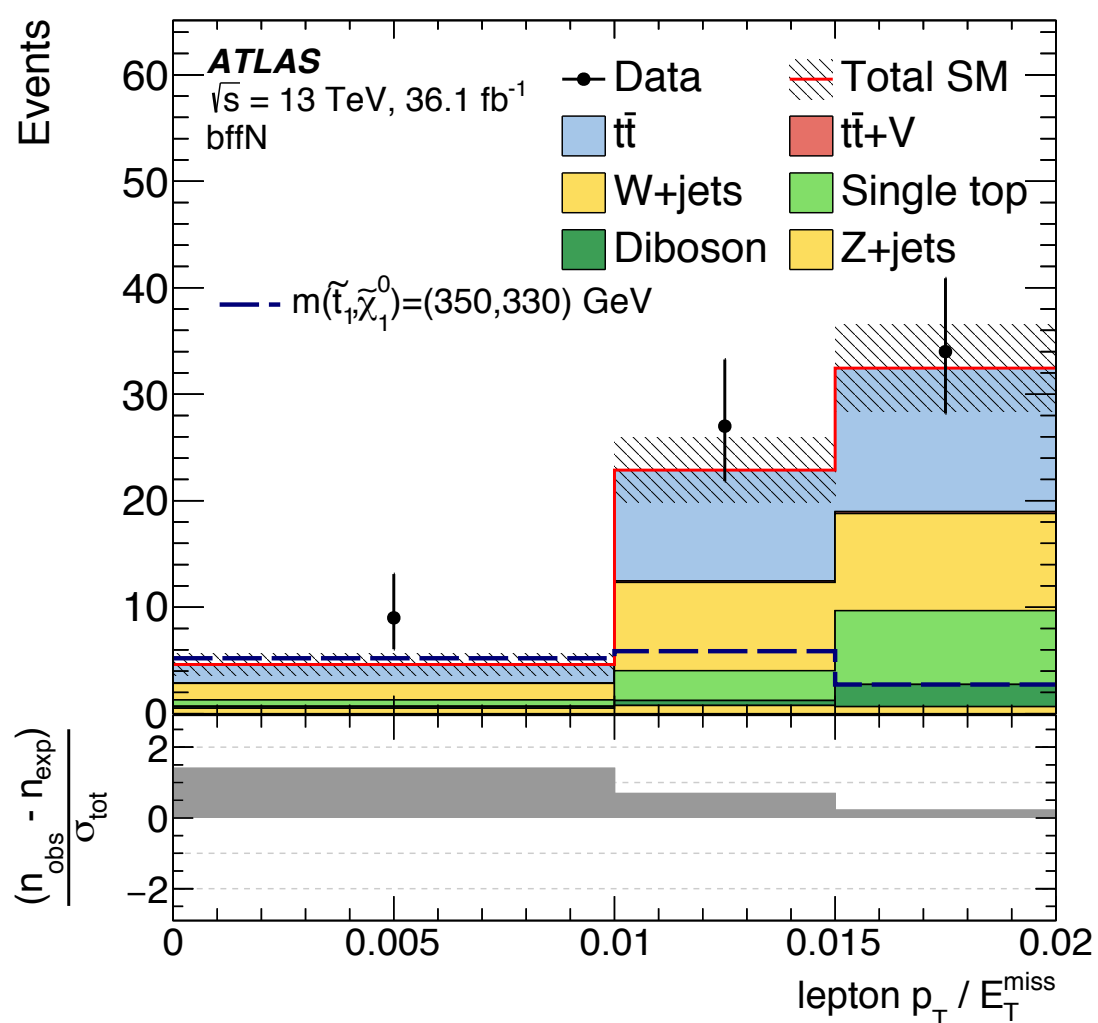
Cut & count selection,
shape fit to $p_{T,lep}/\cancel{E}_T$

3-body decays



one *hard* lepton

Cut & count selection,
shape fit to *asymmetric*- m_{T2} (*)



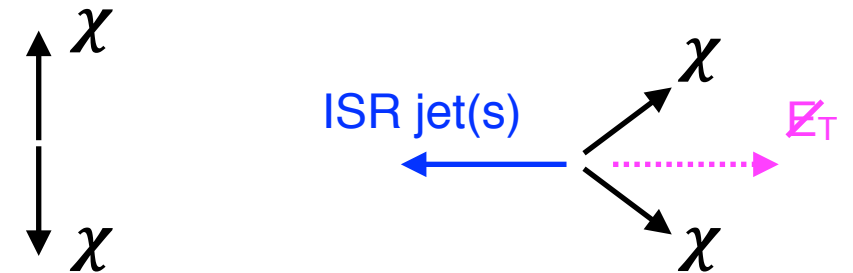
(*) arXiv:1212.1720

Stop 1L, ATLAS: Strategy (II)

Compressed, “diagonal” region

$m_{\tilde{t}} - m_{\tilde{\chi}} \approx m_t$: signal kinematics very close to SM $t\bar{t}$

Need ISR activity to “misalign” $\chi\chi$
and get contribution to \cancel{E}_T



Stop 1L, ATLAS: Strategy (II)

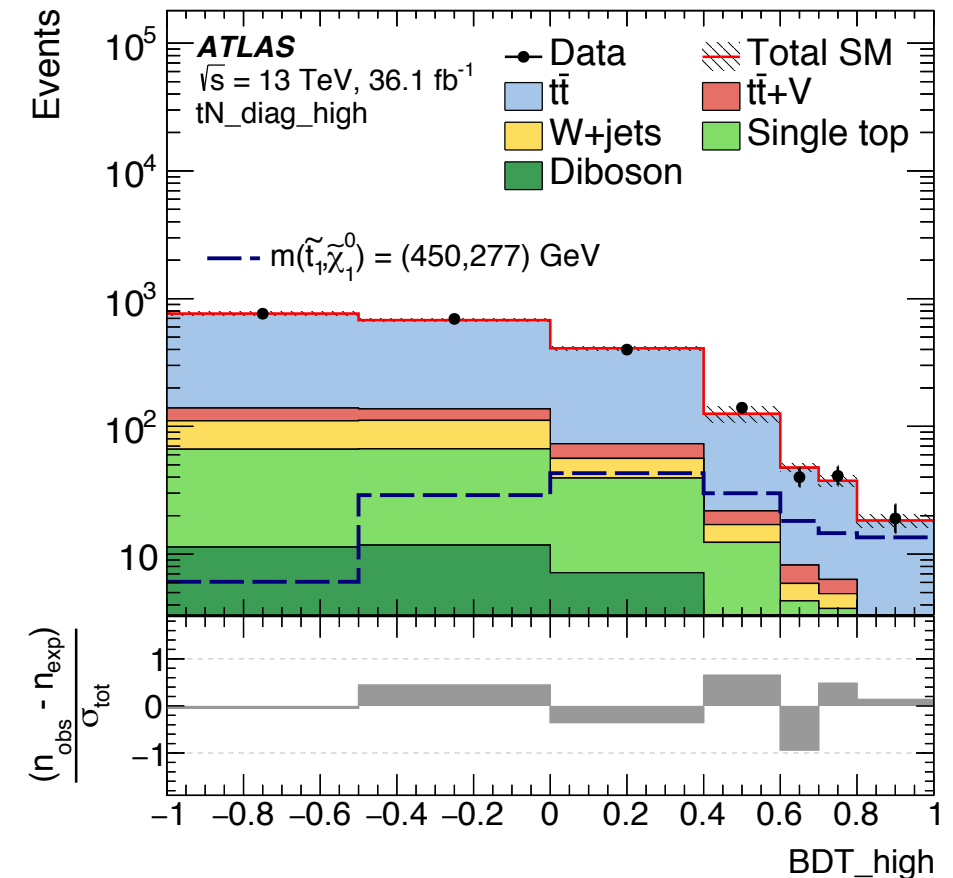
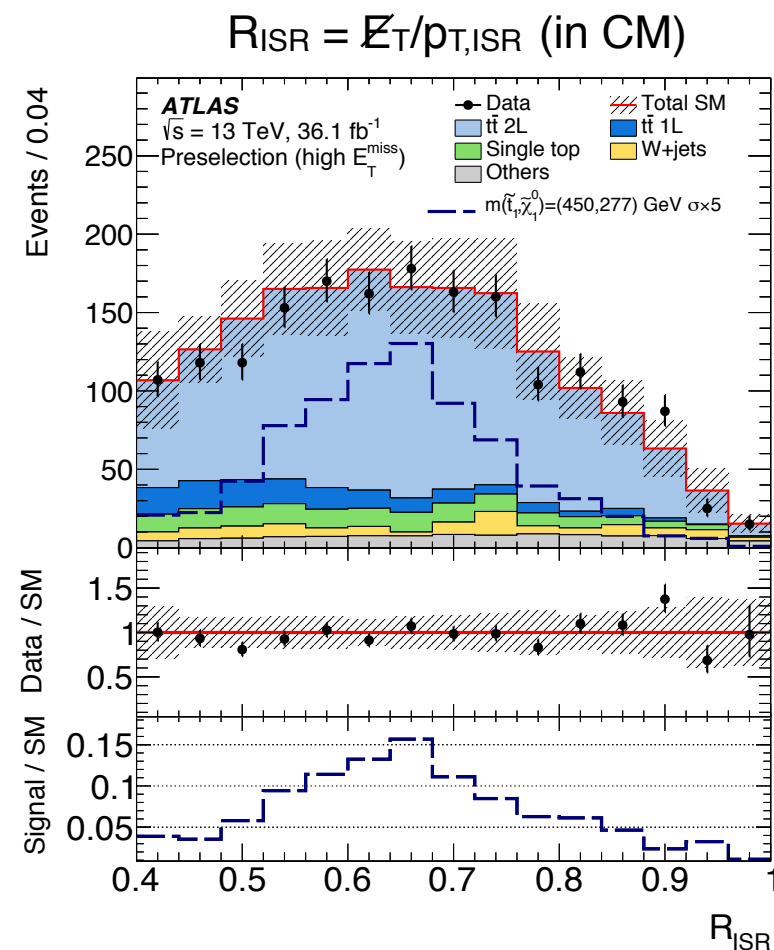
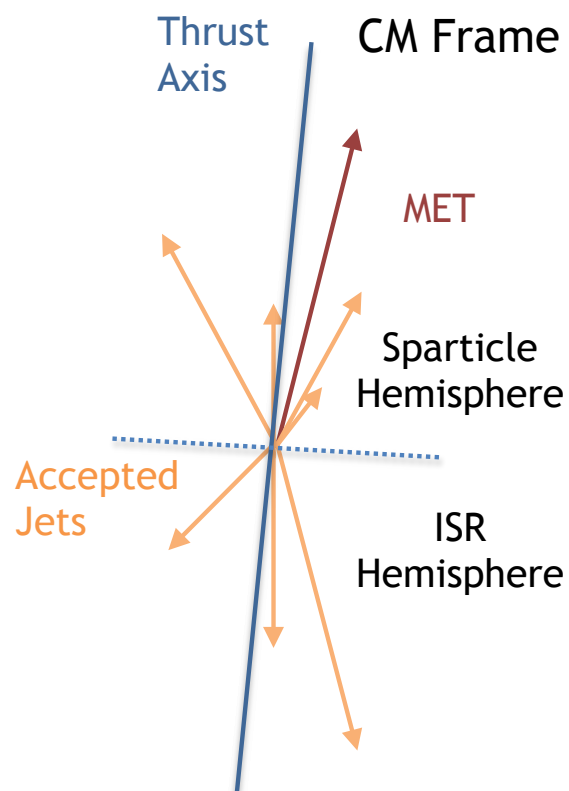
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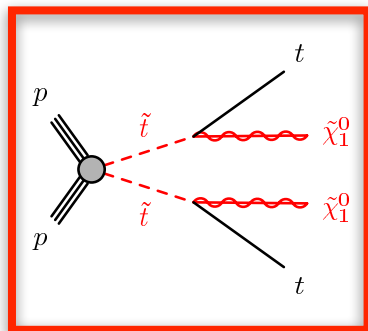
3 BDTs targeting different m_{stop} ,
exploiting correlation between ISR and \cancel{E}_T :

$BDT_{\text{low}}, BDT_{\text{med}}, BDT_{\text{high}}$

Need ISR activity to “misalign” $\chi\chi$
and get contribution to \cancel{E}_T



Stop 1L, ATLAS: Strategy (III)

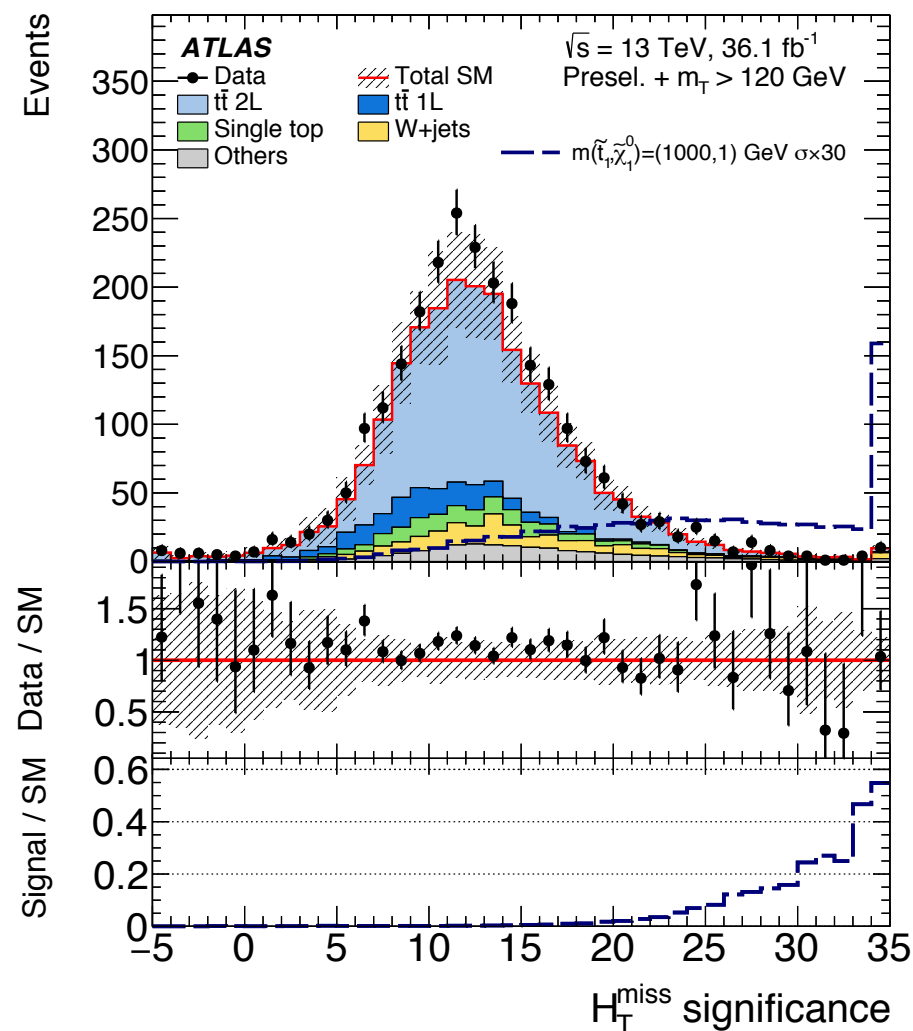
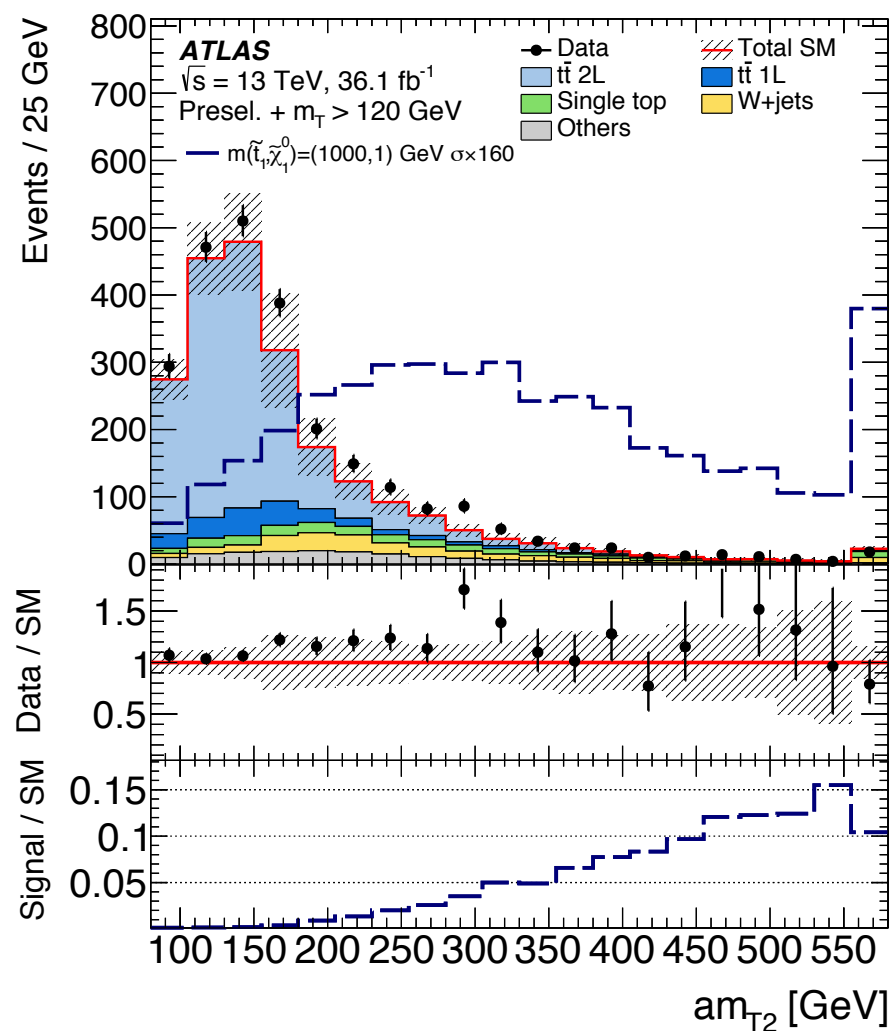


2-body decays

Two signal regions, simple requirements on powerful variables

Targeting, respectively, *intermediate* and *high* stop masses

↳ shape fit in \cancel{E}_T : 5 bins above 250 GeV



Negative vector sum
of all jets momenta

$$H_{T,\text{sig}}^{\text{miss}} = \frac{|\vec{H}_T^{\text{miss}}| - M}{\sigma_{|\vec{H}_T^{\text{miss}}|}}$$

Offset (100 GeV)

Obtained from
per-event jet energy
uncertainties



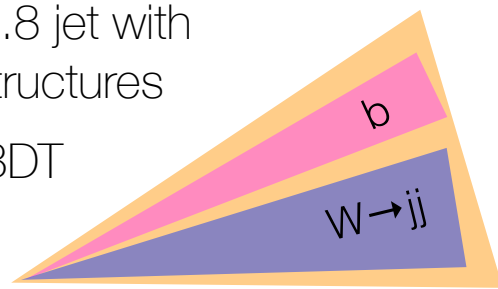
Stop 0L, CMS: Strategy (I)

Reconstructing kinematics of stop decay products key to reject backgrounds

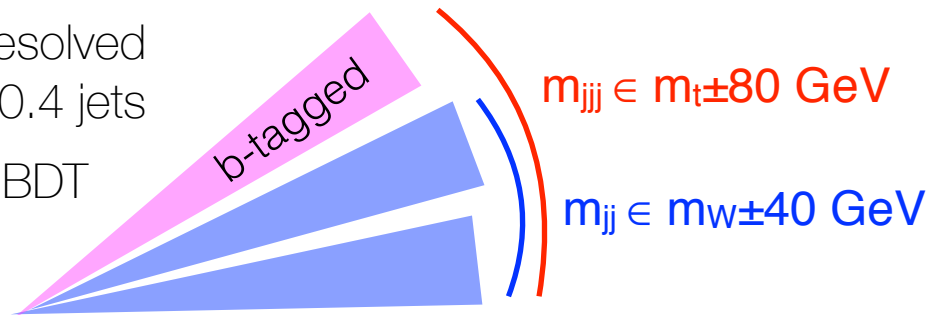
Low Δm ($< m_W$): reconstruct ISR from large-R jets; soft b-tagging via N_{sv} (secondary vertices)

High Δm : reconstruct *hadronic t* and *W* candidates (from stop decays)

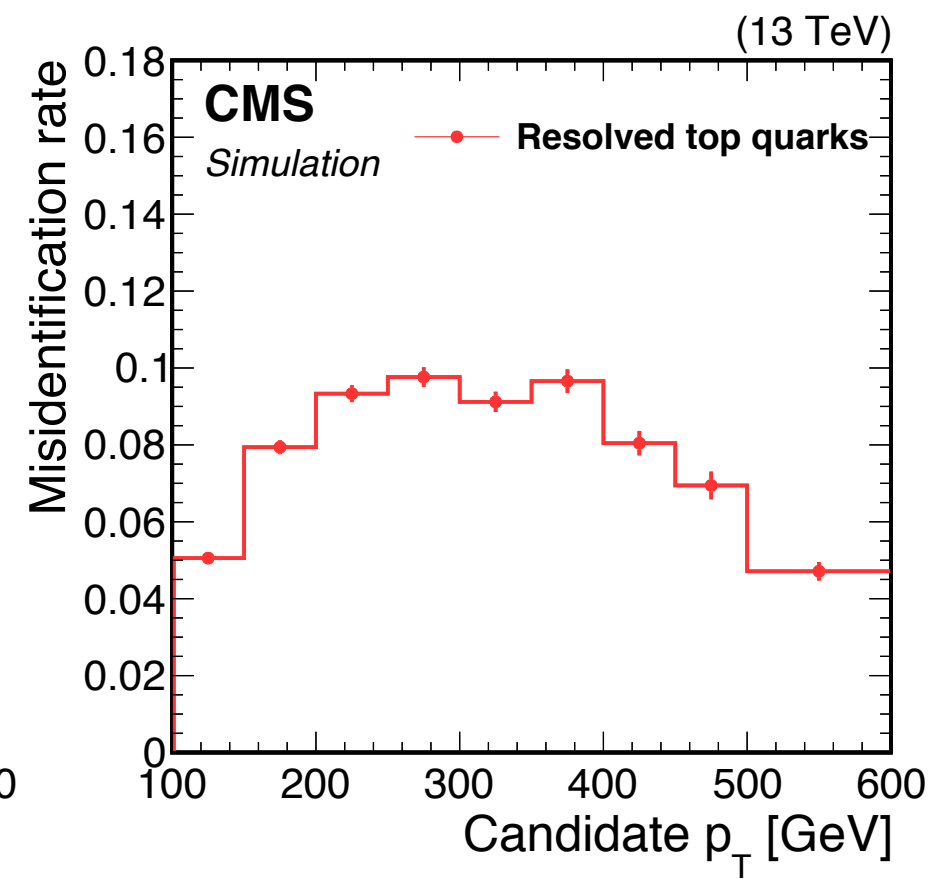
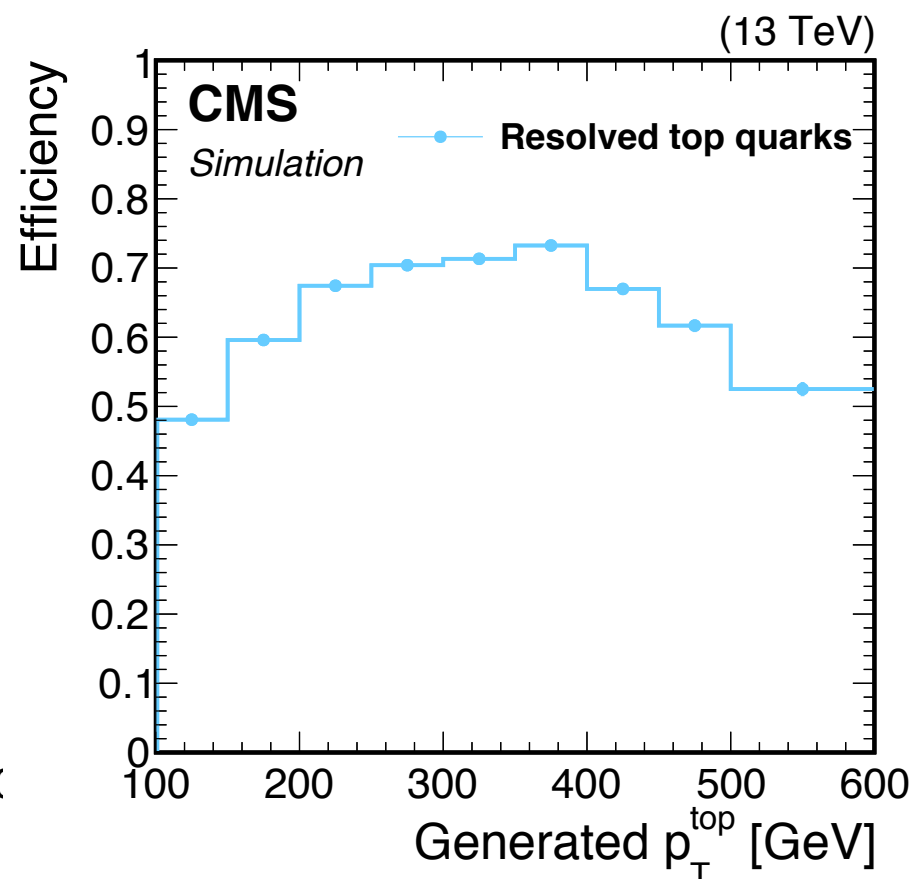
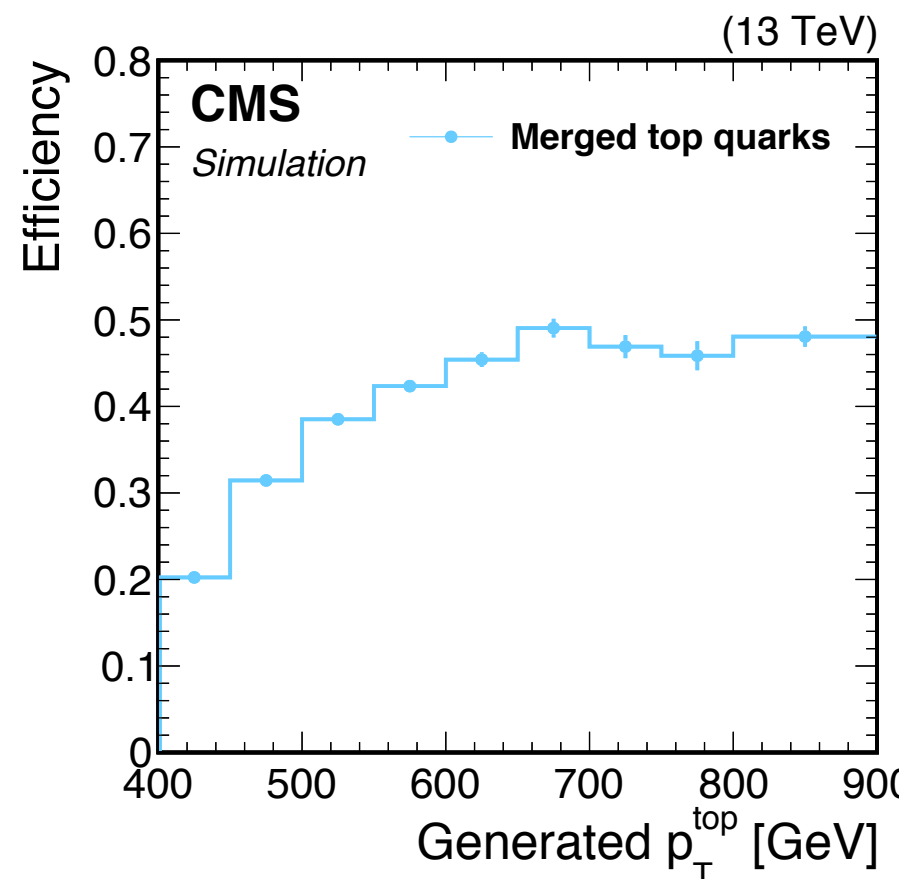
one $R=0.8$ jet with
2 substructures
+ BDT



3 resolved
 $R=0.4$ jets
+ BDT



Simulation corrected to
match *t* and *W* tagging
eff. measured in data



Stop 0L, CMS: Strategy (II)

Trigger on \cancel{E}_T (offline: >250 GeV), veto events with isolated leptons

Low Δm

$N_{\text{jets}} \geq 2$, $N_t = N_W = 0$, $m_T(\cancel{E}_T, \text{b-jet}) < 175$ GeV
 one ISR jet, $p_{T,\text{ISR}} > 300$ GeV, $\Delta\phi(\cancel{E}_T, \text{ISR}) > 2$, ...

53 search regions slicing:

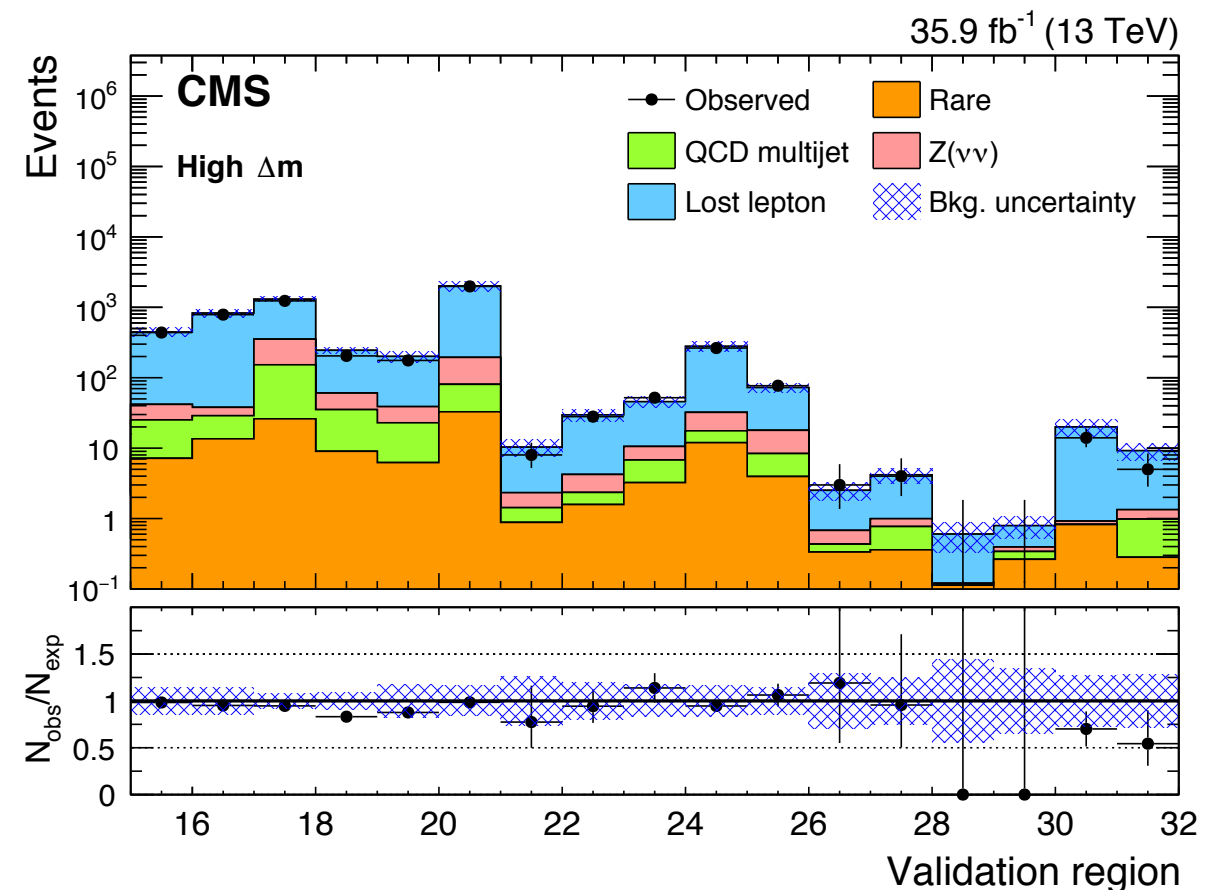
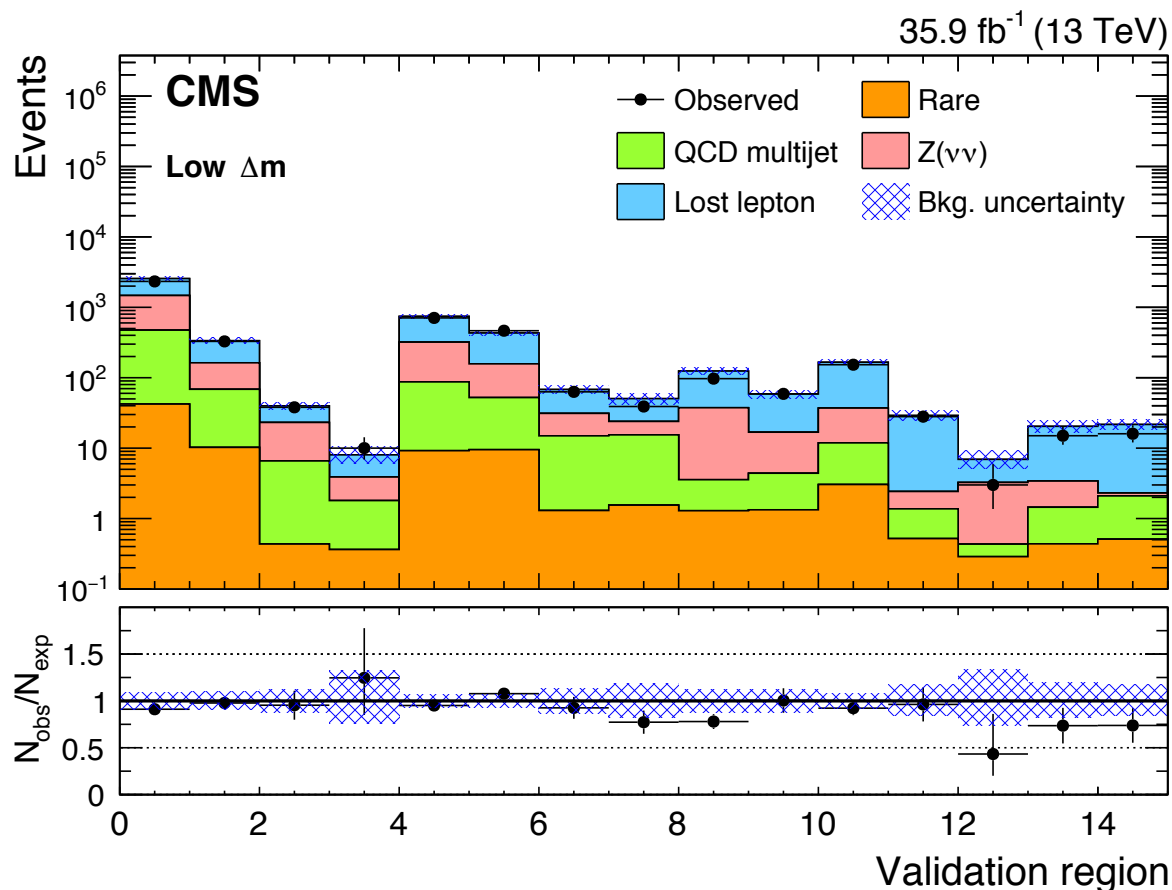
N_{jets} , $N_{\text{b-tag}}$, N_{SV} , $p_{T,\text{ISR}}$, $p_{T,\text{b}}$, \cancel{E}_T

High Δm

$N_{\text{jets}} \geq 5$, $N_{\text{b-tag}} \geq 1$, $\Delta\phi(\cancel{E}_T, j_{1..4}) \geq 0.5$

51 search regions slicing:

N_t , N_W , N_{res} , N_{jets} , $N_{\text{b-tag}}$,
 $m_T(\cancel{E}_T, \text{b-jet})$, \cancel{E}_T

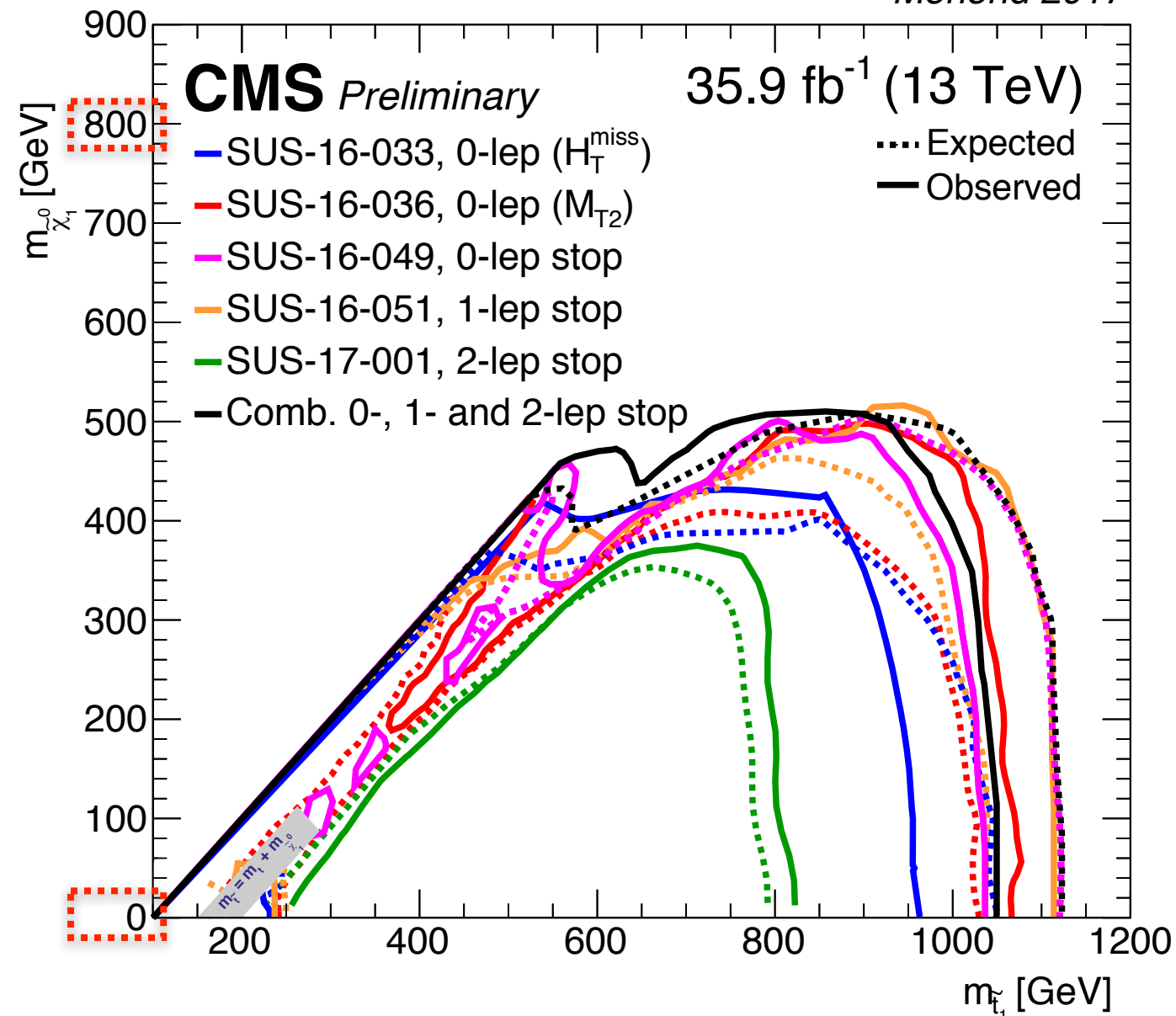
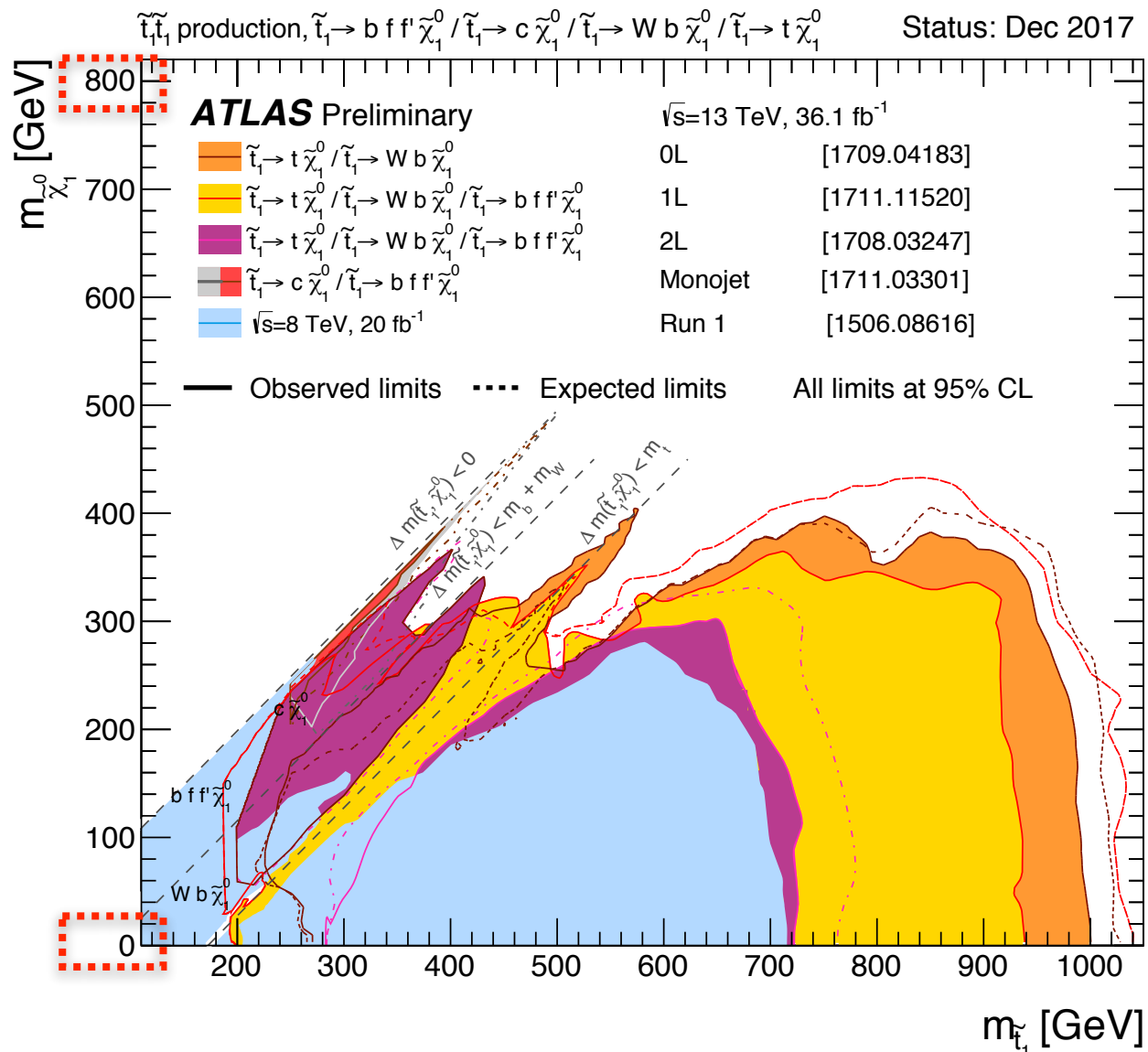


Stop: Exclusion Limits

Simplified models: excluded up to $m_{\tilde{t}} \sim 1.1$ TeV (and up to $m_{\tilde{\chi}_0} \sim 500$ GeV)

However, interesting holes at light stop mass: very challenging region!

Moriond 2017

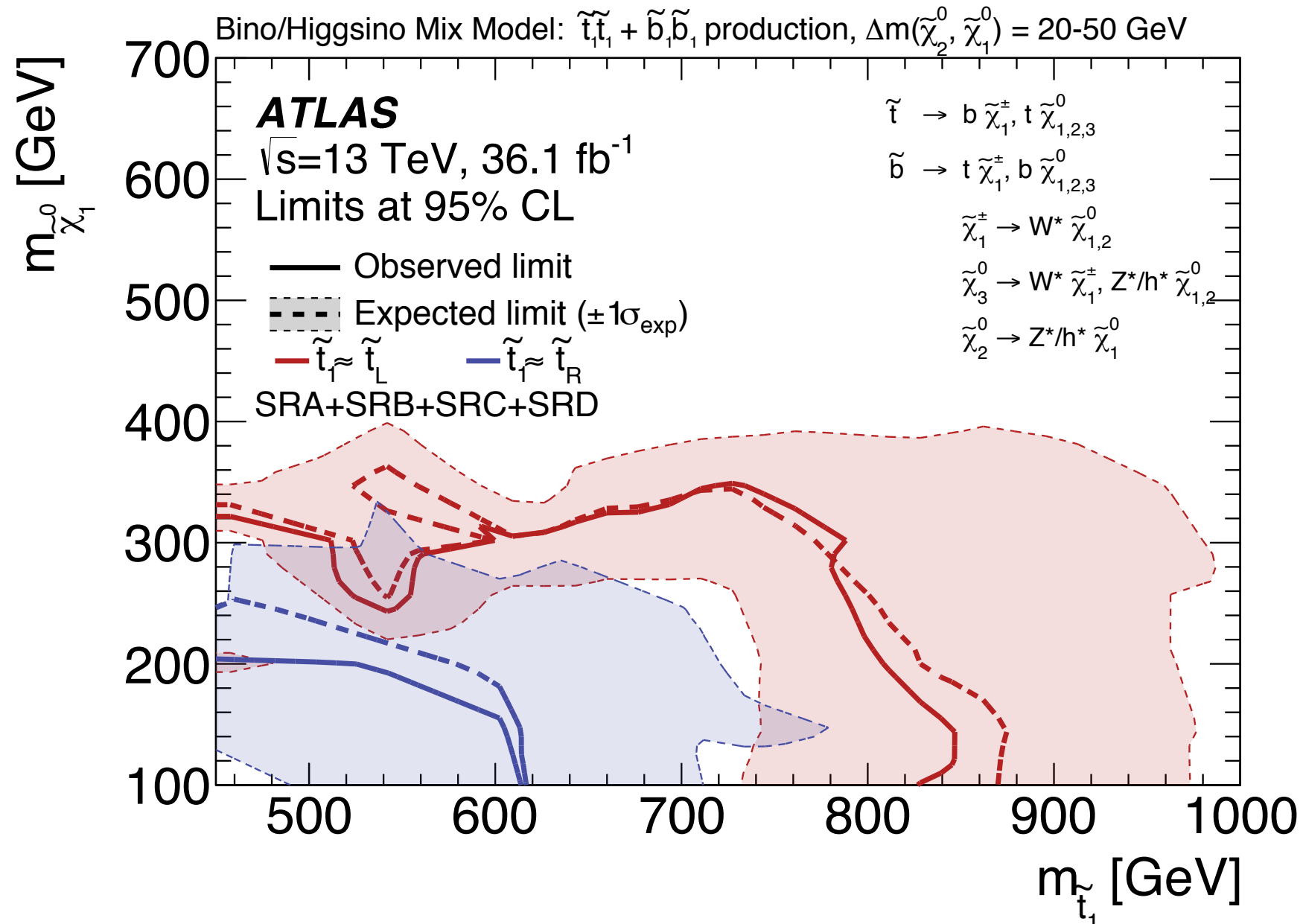


Stop: Exclusion Limits

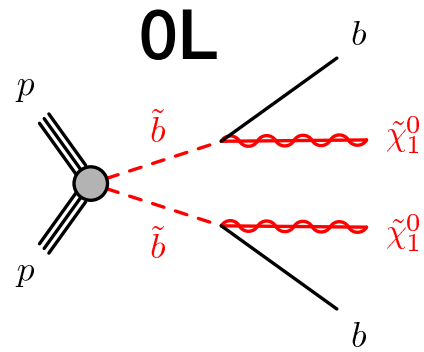
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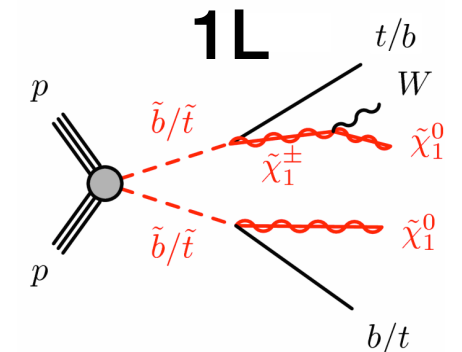
pMSSM-inspired models show weaker limits: $m_{\tilde{t}} \sim 600$ GeV + light LSP allowed



Sbottom: Strategy



\tilde{t}_L and \tilde{b}_L in same weak isospin multiplet
 $\tilde{b}\tilde{b}$ less “jetty” than $\tilde{t}\tilde{t}$ events; harder b-jets
 select events with 2 energetic b-jets and large E_T

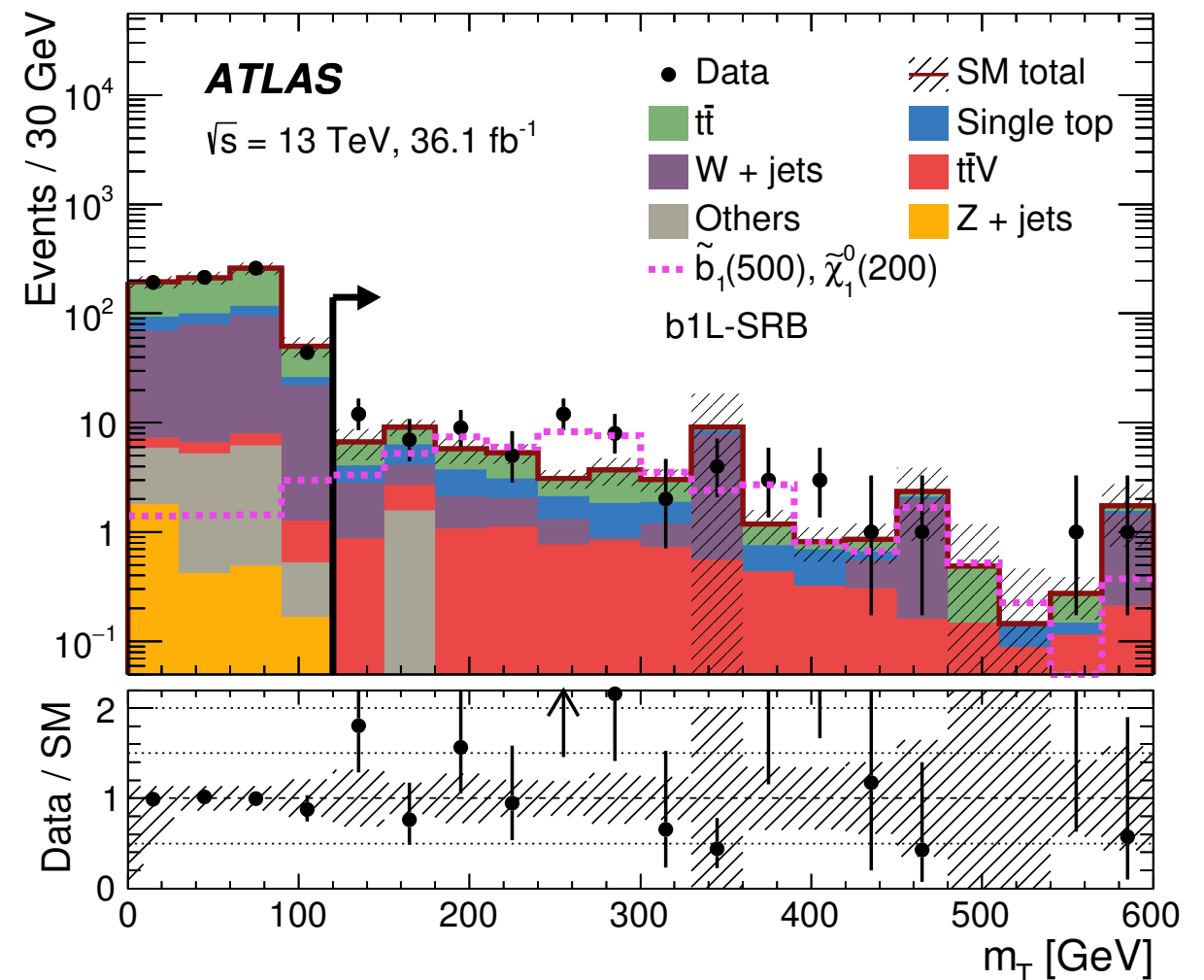
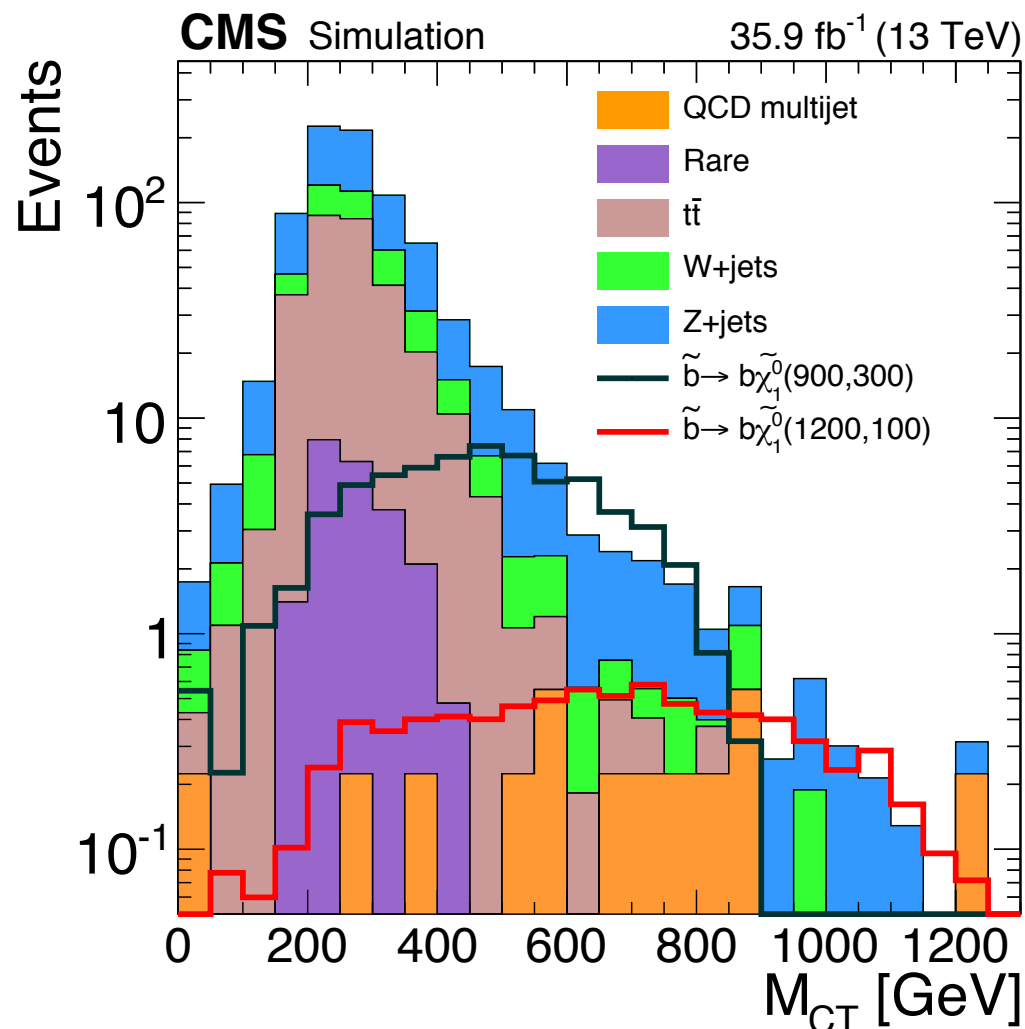


CMS: (soft^{*}) c-/b-tagging

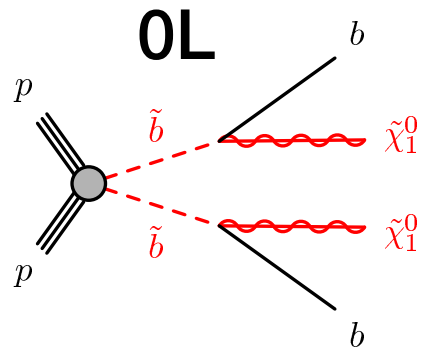
M_{CT} : endpoint at $(m_{\tilde{b}}^2 - m_{\tilde{\chi}^0}^2)/m_{\tilde{b}}$

ATLAS: tailored kinematic variables

$m_T(\ell, E_T)$: endpoint at m_W for $t\bar{t}$ and W +jets

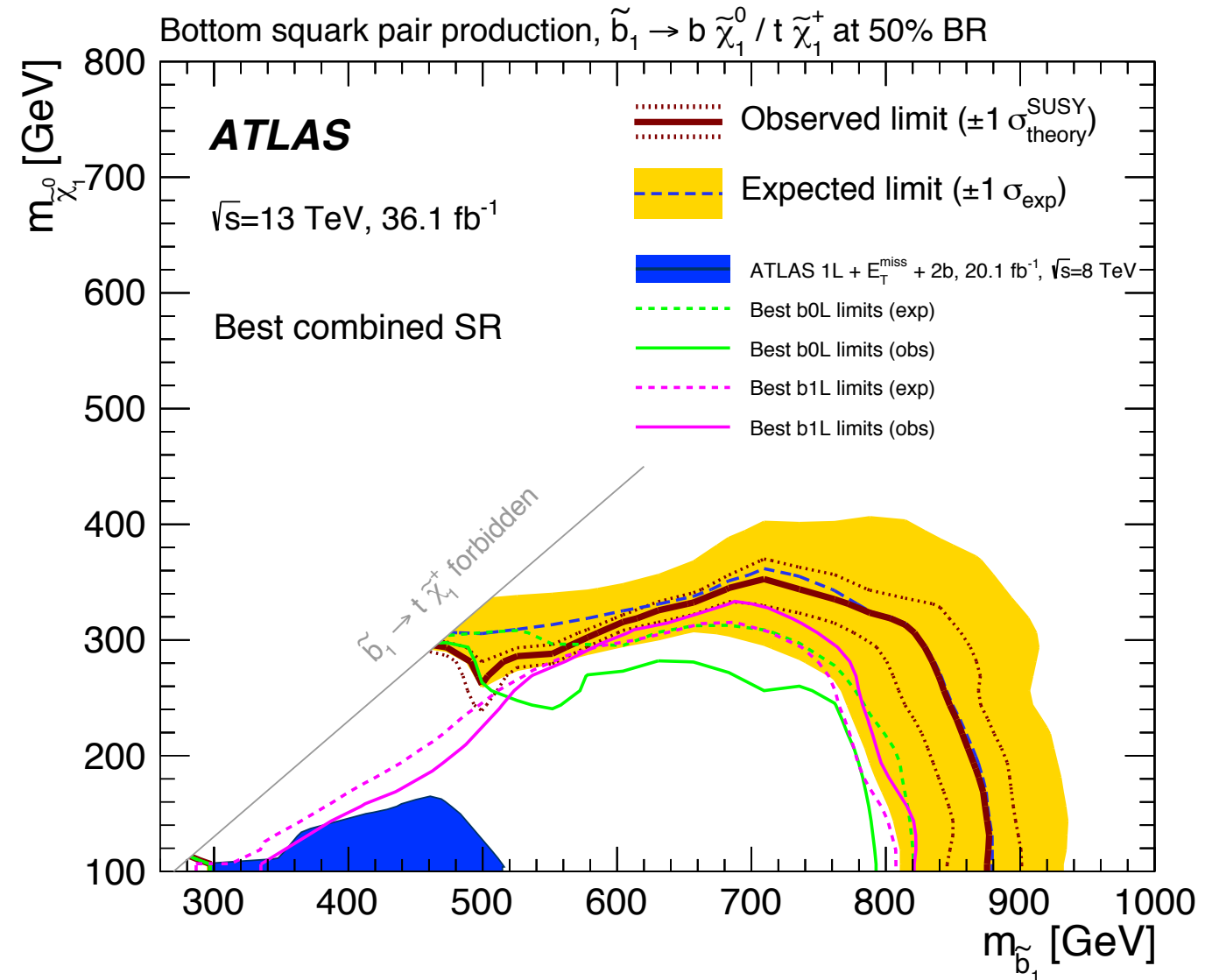
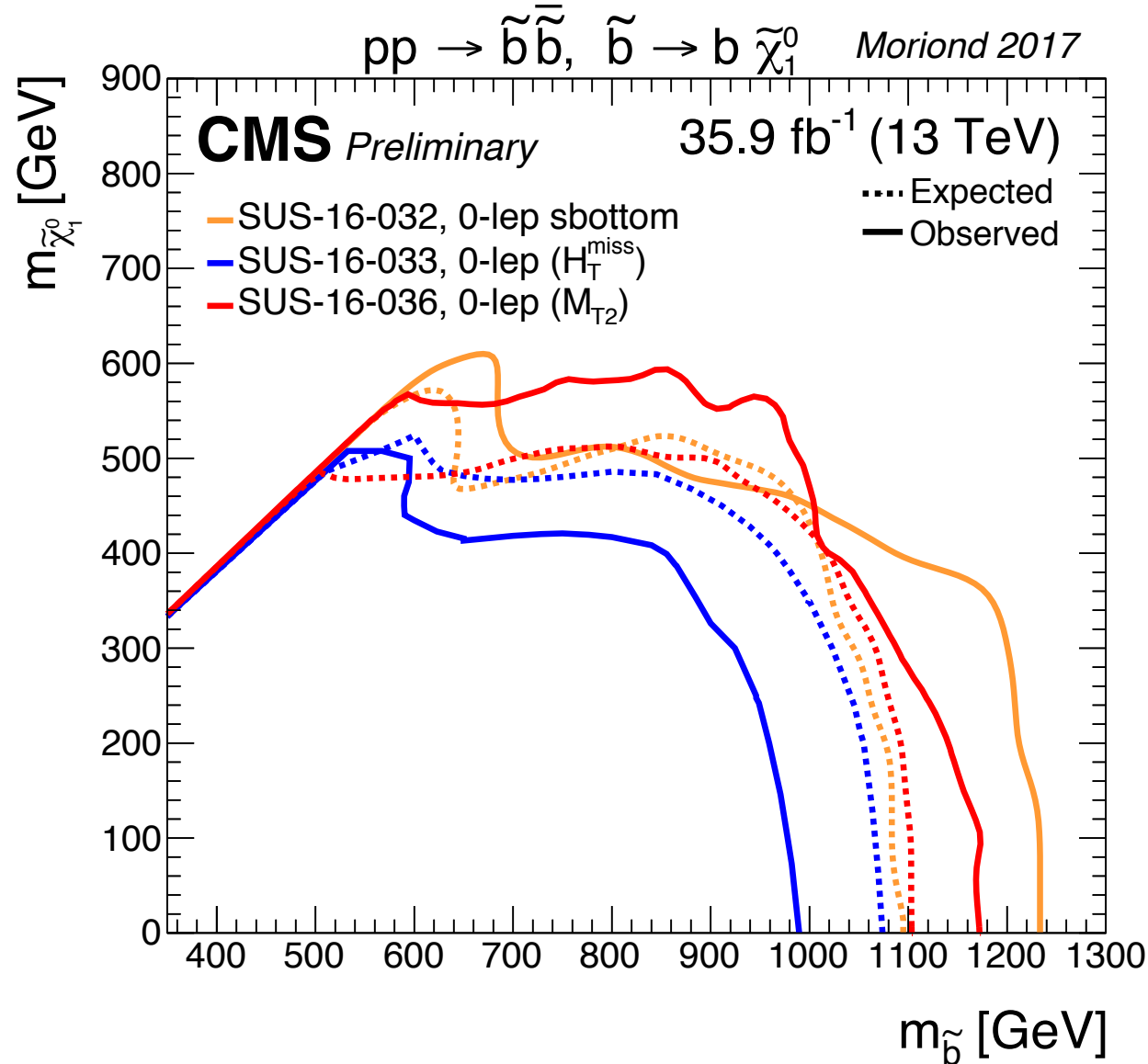
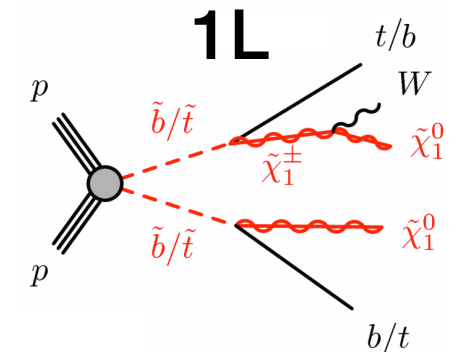


Sbottom: Exclusion Limits



Exclusions reaching $m_{\tilde{b}} \sim 1.25$ TeV, and:

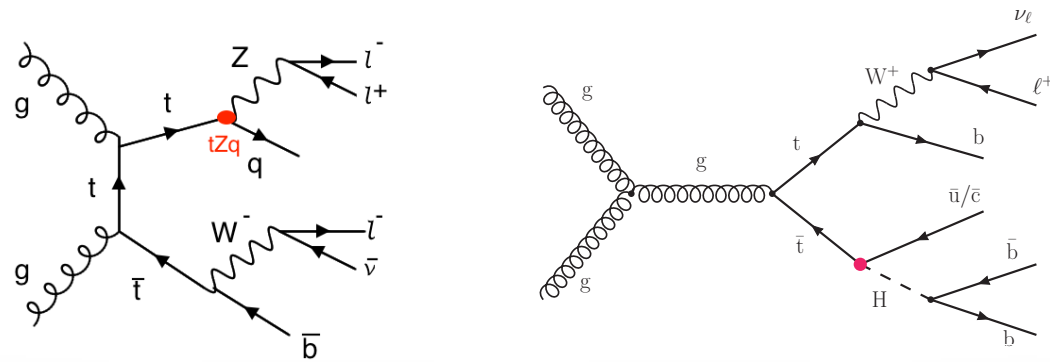
~ 600 GeV in neutralino mass for direct decays
 ~ 350 GeV for decays with intermediate chargino



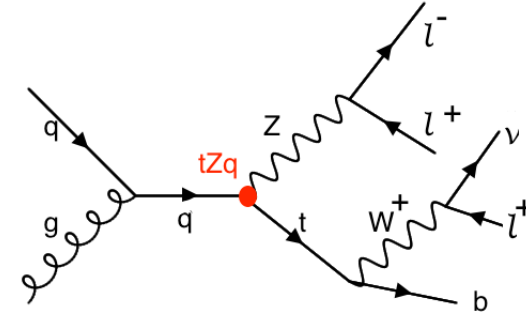
Rare Top Processes/Decays

FCNC highly suppressed in SM \rightarrow enhanced rates signal of new physics

$t\bar{t}$ with FCNC decays (ATLAS+CMS)



FCNC single-top production (CMS)

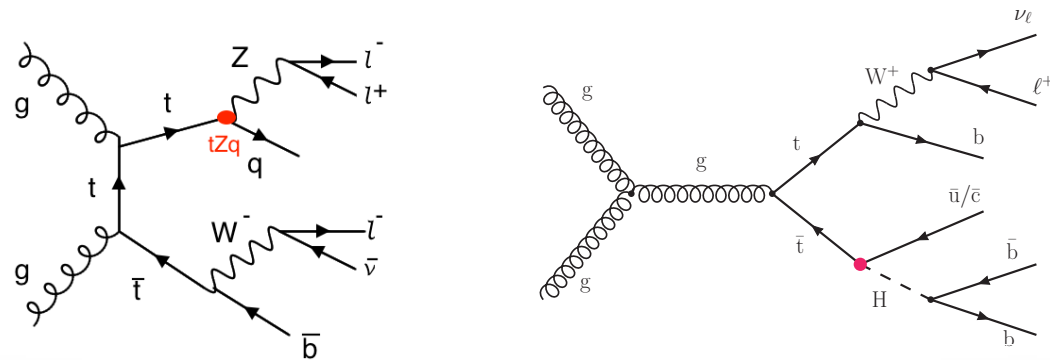


SM: $\mathcal{B}(t \rightarrow qH) \sim 10^{-14}$; $\mathcal{B}(t \rightarrow qZ) \sim 3 \times 10^{-15}$; can go up to $\sim 10^{-3}$ in some BSM scenarios:
 non-minimal H sector, SUSY, warped extra dimensions, composite H models, etc...

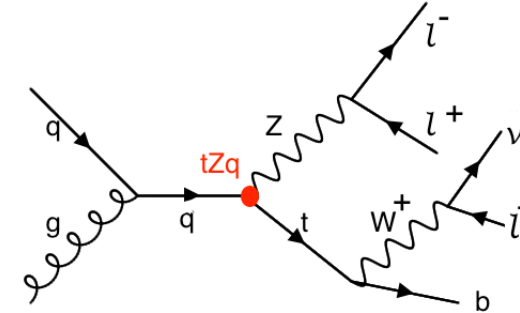
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FCNC tqH vertices (ATLAS: $H \rightarrow \gamma\gamma$, CMS: $H \rightarrow b\bar{b}$)

- \rightarrow Main backgrounds: $\gamma\gamma + \text{jets}$, $t\bar{t}\gamma$ and $V\gamma$ (ATLAS); $t\bar{t}$, single-top (CMS)
- \rightarrow Largest uncertainties: $t\bar{t}$ hard-process generation (ATLAS) and b-tagging (CMS)

FCNC tqZ vertices (3L final state, ATLAS+CMS)

- \rightarrow Main backgrounds: diboson, $t\bar{t}Z$, tZ , $t\bar{t}H$
- \rightarrow Largest uncertainties: background modeling

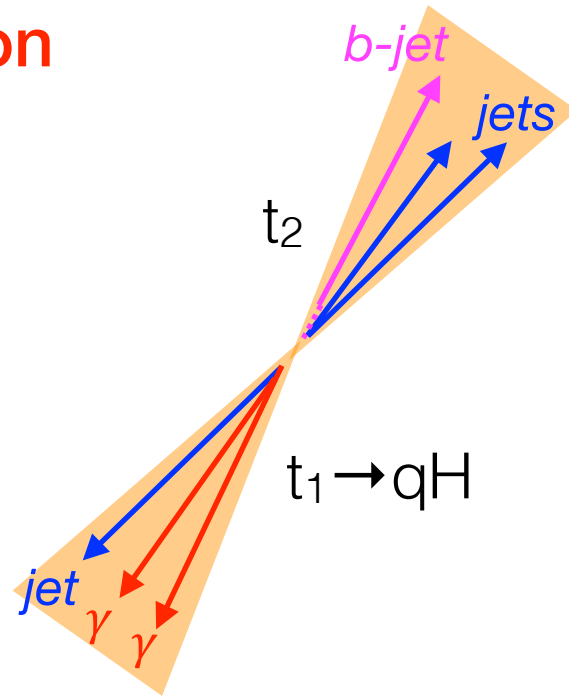
FCNC: $t \rightarrow qH, H \rightarrow \gamma\gamma$

Hadronic $t\bar{t}$ selection

$N_{\text{jets}} \geq 4, N_{b\text{-tag}} \geq 1$
 $152 < m(t_1) < 190 \text{ GeV}$
 $120 < m(t_2) < 220 \text{ GeV}$

Signal from fit to $m(\gamma\gamma)$

Bkg. from sidebands

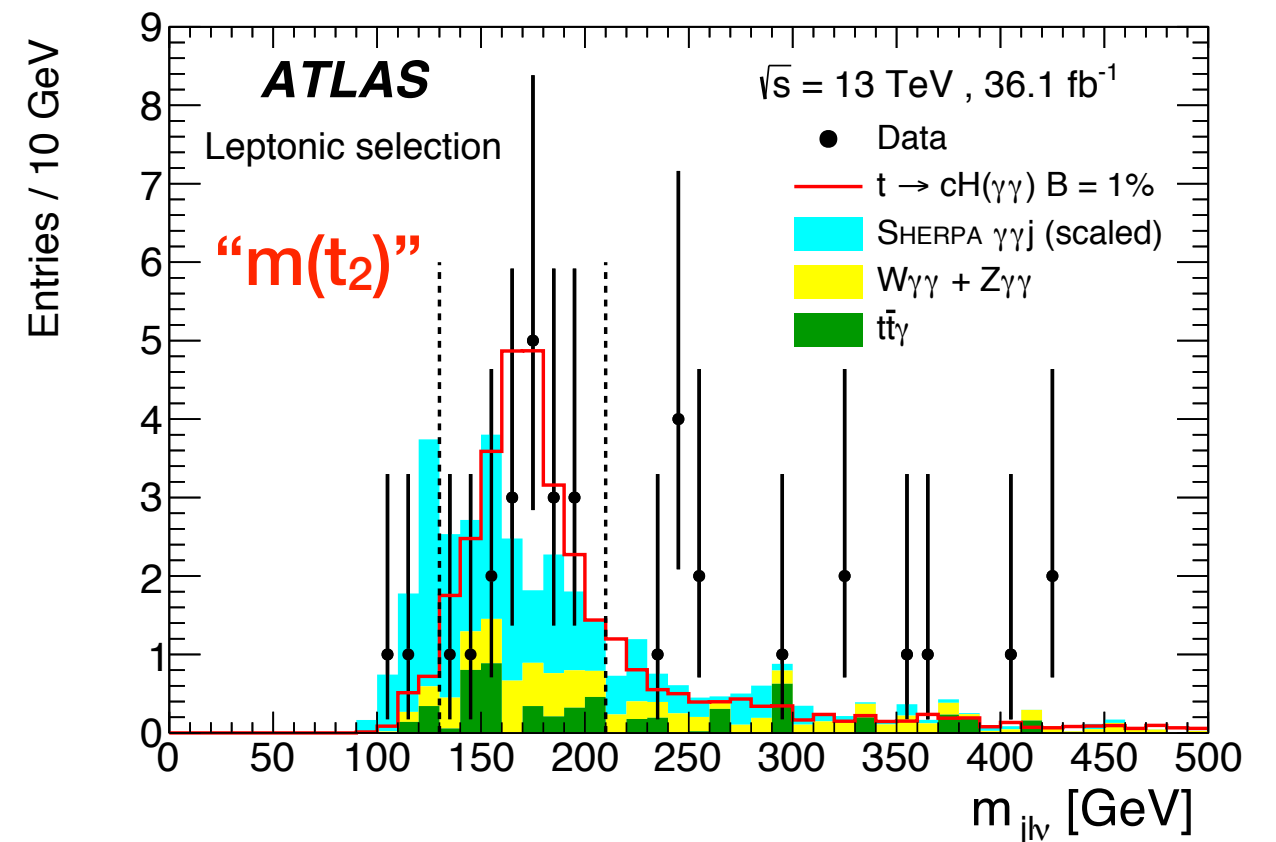
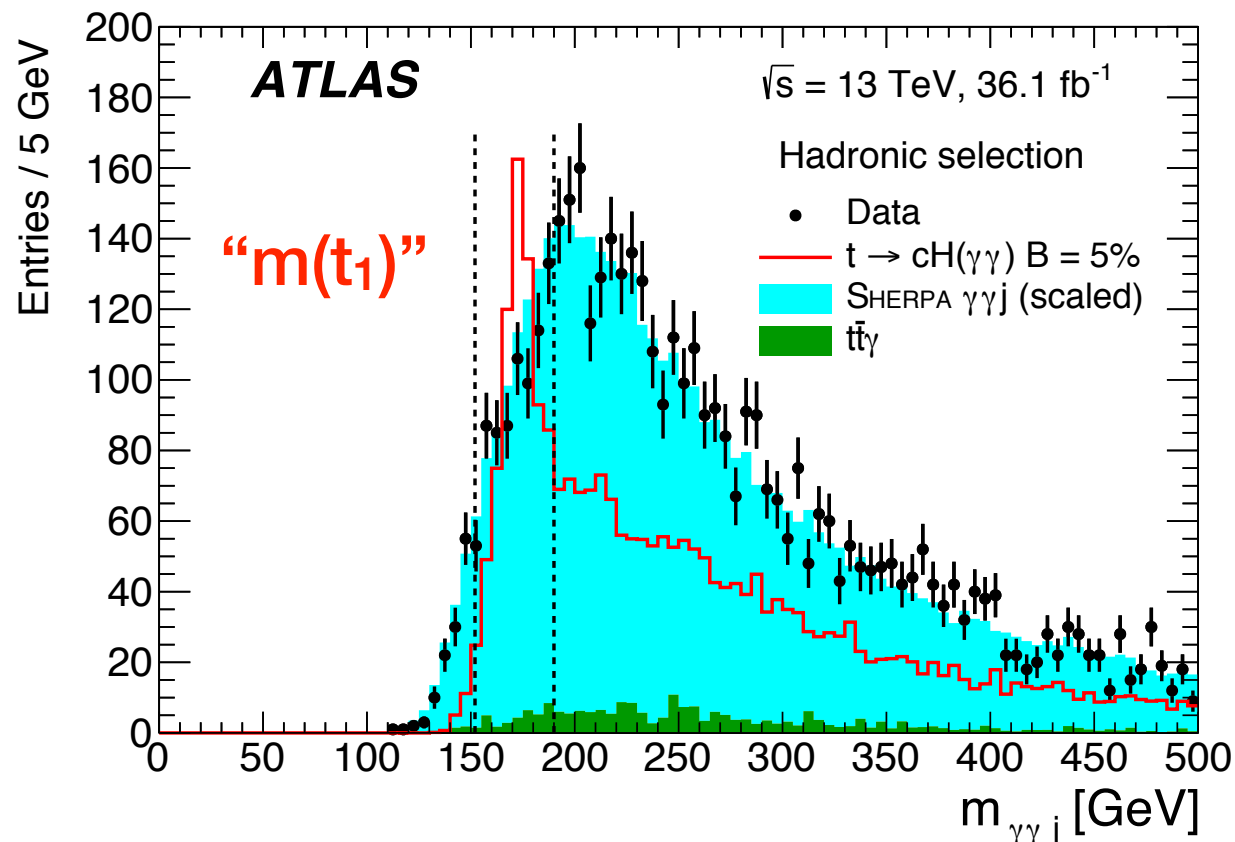
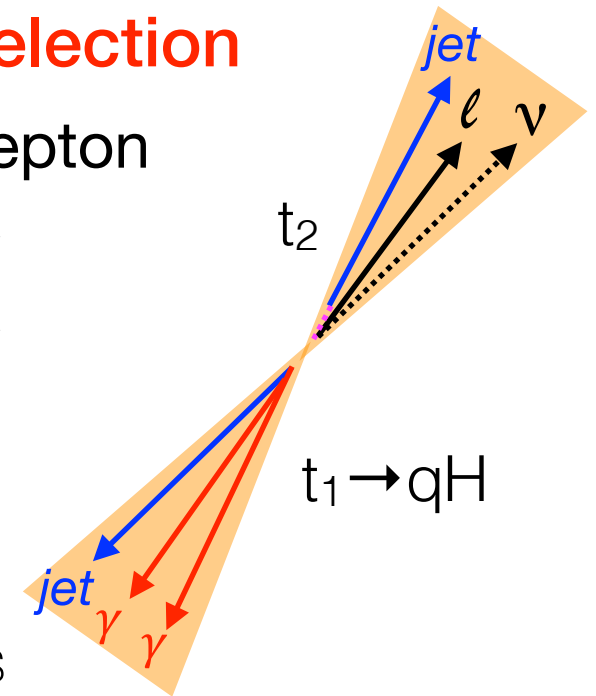


Semileptonic $t\bar{t}$ selection

$N_{\text{jets}} \geq 1, 1 \text{ isolated lepton}$
 $152 < m(t_1) < 190 \text{ GeV}$
 $130 < m(t_2) < 210 \text{ GeV}$

Signal from fit to $m(\gamma\gamma)$

Bkg. from sidebands



FCNC: $t \rightarrow qH$, $H \rightarrow bb$

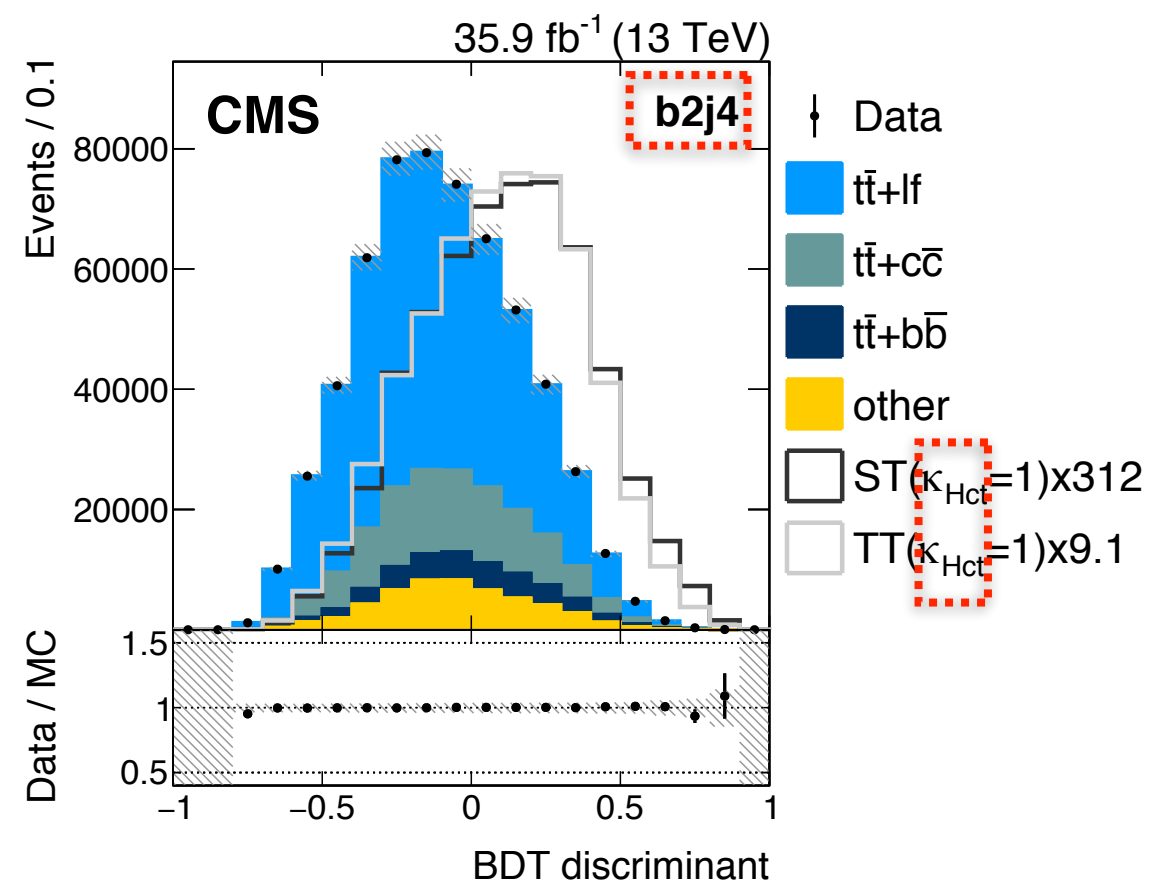
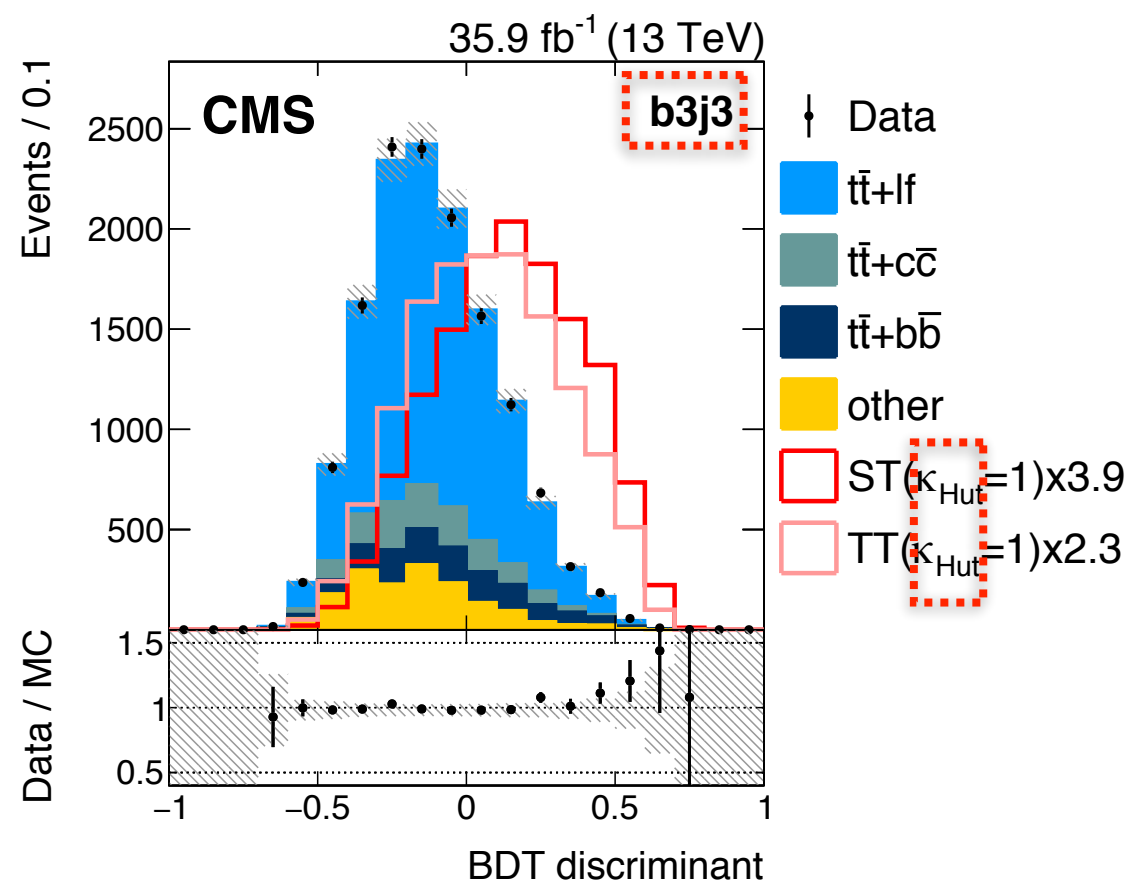
Events with $1L$, $N_{\text{jets}} \geq 3$ and $N_{\text{b-tag}} \geq 2$ are split into 5 $N_{\text{jets}} + N_{\text{b-tag}}$ categories

Full event kinematics reconstruction: all possible lepton, ν and (b-)jets combinations

BDT_{RECO} trained on simulation to select the correct b-jet assignment: 75% success rate

1 BDT per $N_{\text{jets}} + N_{\text{b-tag}}$ category, maximizing S/B separately for $t \rightarrow uH$ and $t \rightarrow cH$

Main variables in training are BDT_{RECO} , lepton charge, b-tagging discriminant score, m_{bb}



FCNC: $t \rightarrow qZ$ (3L Final State)



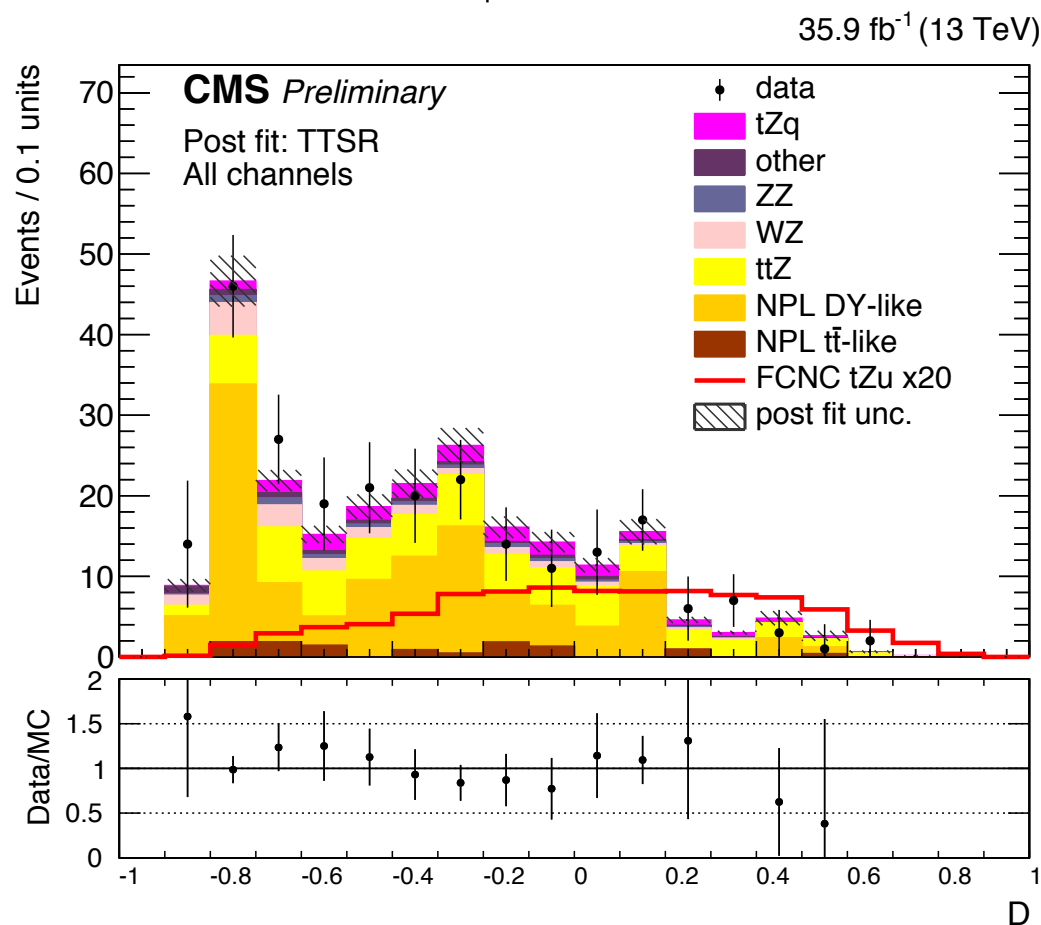
Simultaneous fit to 5 regions

Constrain bkg., isolate signal

Mainly defined by N_{jets} , $N_{\text{b-tag}}$ requirements

WZ control region (WZCR)	single top quark signal region (STSR)	top quark pair signal region (TTSR)	single top quark control region (STCR)	top quark pair control region (TTCR)
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BDT to improve S/B



χ^2 -based $t\bar{t}$ reconstruction



$$\chi^2 = \frac{(m_{ja^l a^l b}^{\text{reco}} - m_{t\text{FCNC}}^{\text{reco}})^2}{\sigma_{t\text{FCNC}}^2} + \frac{(m_{jb^l c^l \nu}^{\text{reco}} - m_{t\text{SM}}^{\text{reco}})^2}{\sigma_{t\text{SM}}^2} + \frac{(m_{lc^l \nu}^{\text{reco}} - m_W)^2}{\sigma_W^2}$$

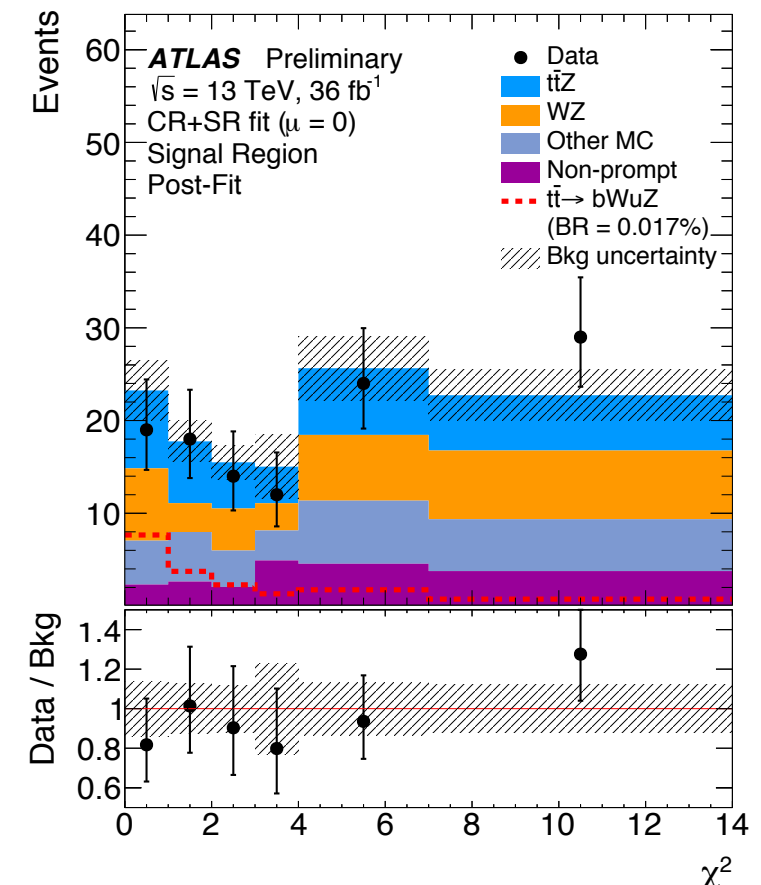
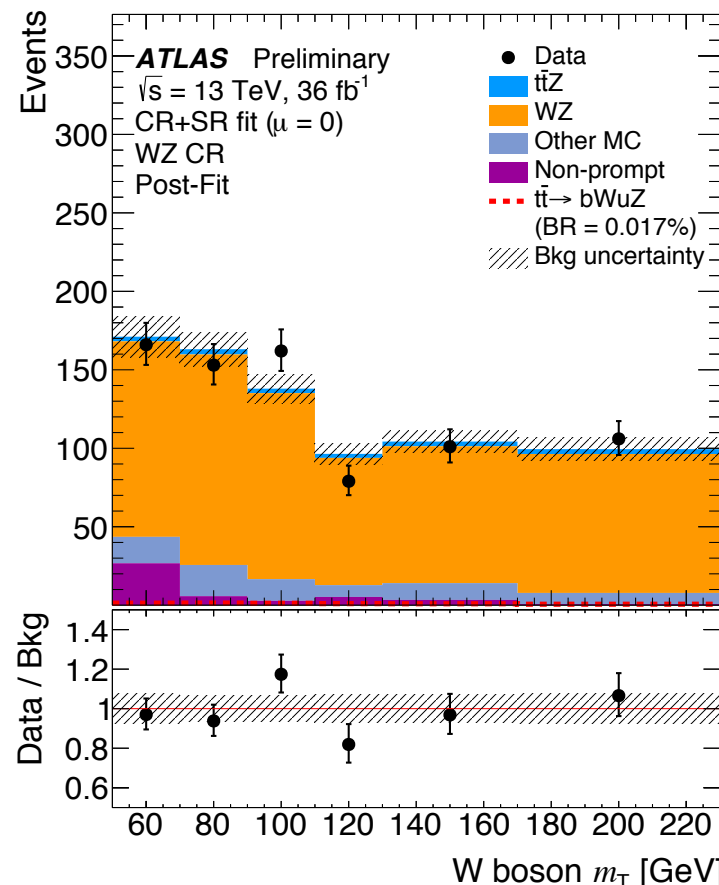
$\square \rightarrow$ from reconstructed simulation

Signal region defined by:

$$|m_{ja^l a^l b}^{\text{reco}} - 172.5 \text{ GeV}| < 40 \text{ GeV}$$

$$|m_{lc^l \nu}^{\text{reco}} - 80.4 \text{ GeV}| < 30 \text{ GeV}$$

$$|m_{jb^l c^l \nu}^{\text{reco}} - 172.5 \text{ GeV}| < 40 \text{ GeV}$$



95% CL Limits



$\mathcal{B}(t \rightarrow uZ) < 0.017$ (0.024) %
 $\mathcal{B}(t \rightarrow cZ) < 0.023$ (0.032) %
 $\mathcal{B}(t \rightarrow uH) < 0.22$ (0.16) %
 $\mathcal{B}(t \rightarrow cH) < 0.24$ (0.17) % $(H \rightarrow \gamma\gamma)$



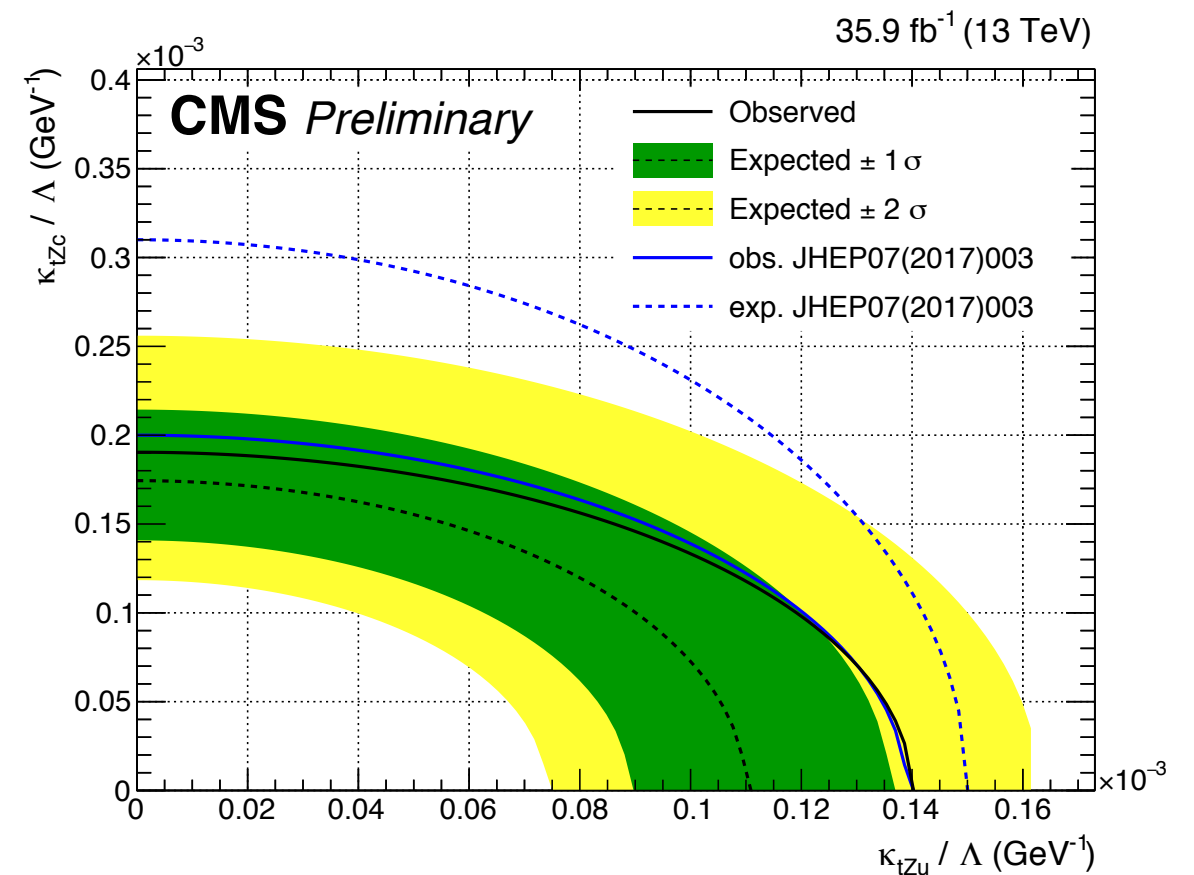
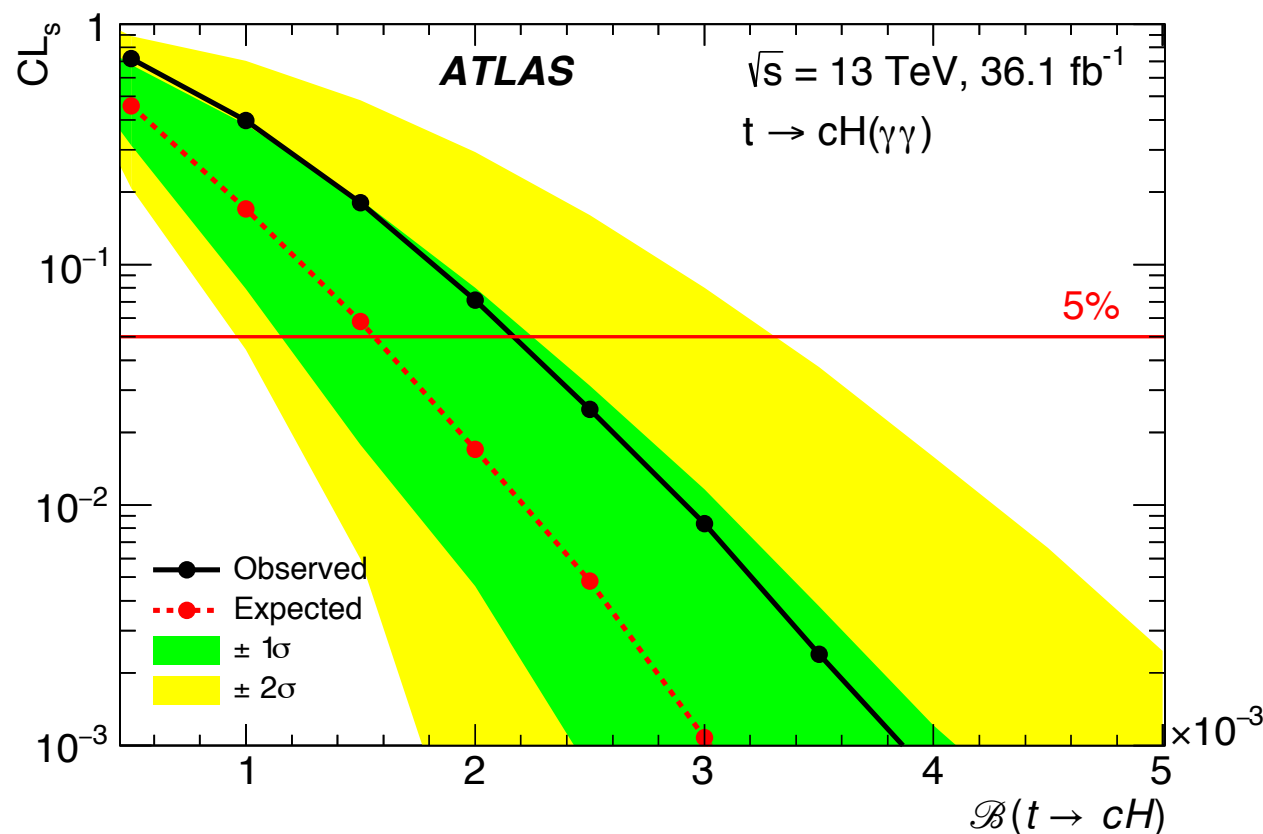
$\mathcal{B}(t \rightarrow uZ) < 0.024$ (0.015) %
 $\mathcal{B}(t \rightarrow cZ) < 0.045$ (0.037) %
 $\mathcal{B}(t \rightarrow uH) < 0.47$ (0.34) %
 $\mathcal{B}(t \rightarrow cH) < 0.47$ (0.44) % $(H \rightarrow bb)$

Limits on off-diagonal Yukawa couplings:

$$\lambda_{tqH} = (1.92 \pm 0.02) \times \sqrt{\mathcal{B}} \Rightarrow \sqrt{\lambda_{tcH}^2 + 0.92\lambda_{tuH}^2} < 0.090$$

Limits on FCNC couplings @ scale Λ :

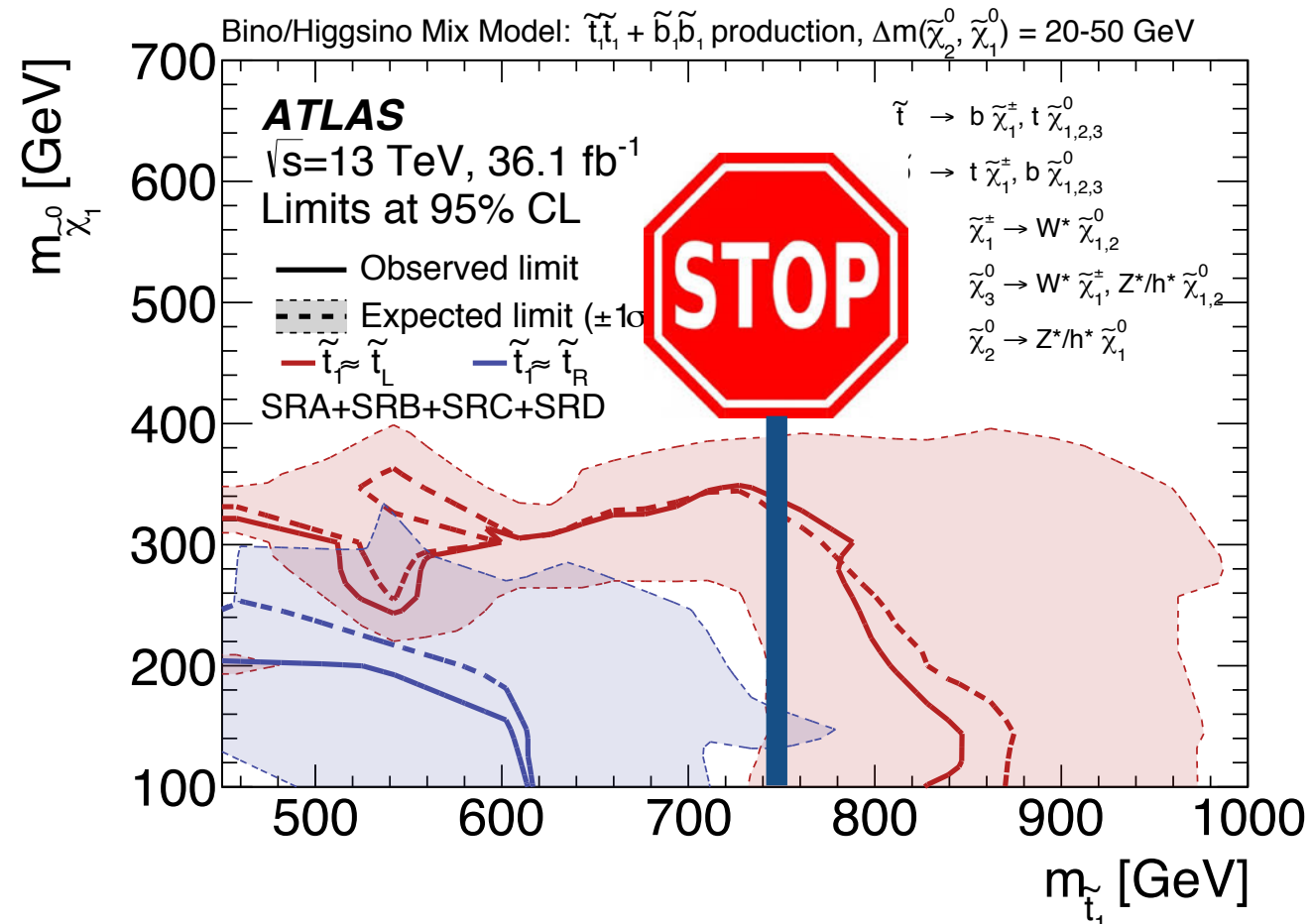
$$\mathcal{L}_{\text{FCNC}}^{tZq} = \sum_{q=u,c} \left[\frac{\sqrt{2}}{4} \frac{g}{\cos\theta_W} \frac{\kappa_{tZq}}{\Lambda} \bar{t} \sigma^{\mu\nu} (f_{Zq}^L P_L + f_{Zq}^R P_R) q Z_{\mu\nu} \right] + h.c.$$



Closing Remarks

Top quark plays a key role in many BSM searches

- Great deal of efforts in ATLAS+CMS to look for t - and b -quark super-partners
 - ↳ a small fraction presented today, through the 0L and 1L workhorses
 - ↳ only stringent limits so far, but we're not yet at the end of the journey...
- Limits on DM+HF models nicely complement direct-detection experiments
- Searches for rare top processes/decays start to show sensitivity for BSM rates

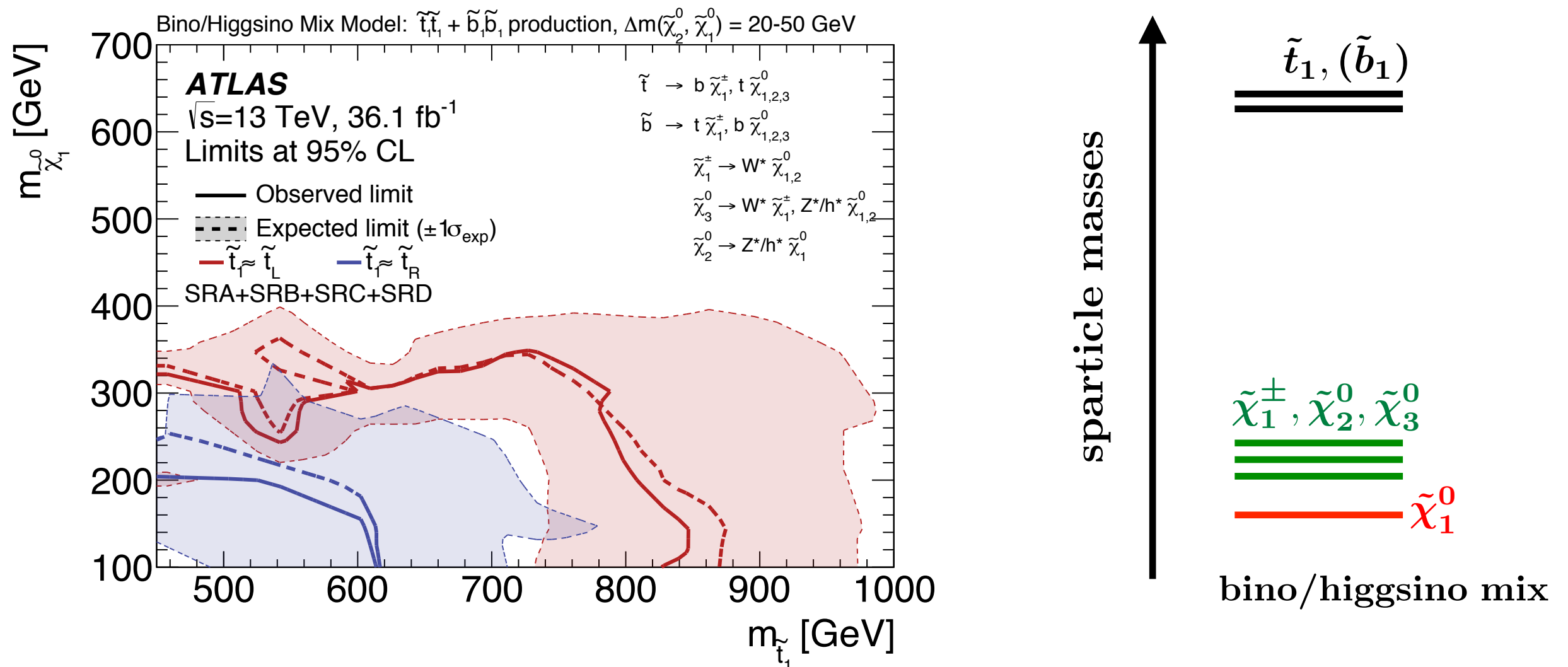


Spares



“Well-Tempered” LSP

The ‘well-tempered neutralino’ [69] scenario seeks to provide a viable dark-matter candidate while simultaneously addressing the problem of naturalness by targeting an LSP that is an admixture of bino and higgsino. The mass spectrum of the electroweakinos (higgsinos and bino) is expected to be slightly compressed, with a typical mass-splitting between the bino and higgsino states of 20–50 GeV. A pMSSM signal model is designed such that only a low level of fine-tuning [70, 71] of the pMSSM parameters is needed and the annihilation rate of neutralinos is consistent with the observed dark-matter relic density⁵ ($0.10 < \Omega h^2 < 0.12$) [72].





Stop 0L, CMS: Strategy (II)

Trigger on \cancel{E}_T (offline: >250 GeV), veto events with isolated leptons

Low Δm

$N_{\text{jets}} \geq 2$, $N_t = N_W = 0$, $m_T(\cancel{E}_T, \text{b-jet}) < 175$ GeV
one ISR jet, $p_{T,\text{ISR}} > 300$ GeV, $\Delta\phi(\cancel{E}_T, \text{ISR}) > 2$, ...

High Δm

$N_{\text{jets}} \geq 5$, $N_{\text{b-tag}} \geq 1$, $\Delta\phi(\cancel{E}_T, j_{1..4}) \geq 0.5$

53 search regions

51 search regions

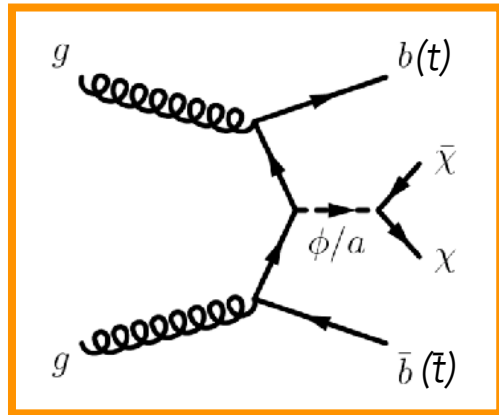
Table 2: Summary of the 53 non-overlapping search regions that mainly target low Δm signal. The low Δm baseline selection is $N_j \geq 2$, $p_T^{\text{miss}} \geq 250$ GeV, no leptons, $N_t = N_W = N_{\text{res}} = 0$, $m_T^b < 175$ GeV (when applicable), $|\Delta\phi(j_1, \vec{p}_T^{\text{miss}})| \geq 0.5$, $|\Delta\phi(j_{2,3}, \vec{p}_T^{\text{miss}})| \geq 0.15$, and an ISR jet with $p_T^{\text{ISR}} \geq 300$ GeV, $|\eta| \leq 2.4$, $|\Delta\phi(j_{\text{ISR}}, \vec{p}_T^{\text{miss}})| \geq 2$, and $S_{\cancel{E}_T} \geq 10 \sqrt{\text{GeV}}$.

N_j	N_b	N_{SV}	p_T^{ISR} [GeV]	p_T^b [GeV]	p_T^{miss} [GeV]
2-5		0			450-550, 550-650, 650-750, ≥ 750
≥ 6	0	0	≥ 500	—	450-550, 550-650, 650-750, ≥ 750
2-5		≥ 1			450-550, 550-650, 650-750, ≥ 750
≥ 6		≥ 1			450-550, 550-650, 650-750, ≥ 750
		0	300-500	20-40	300-400, 400-500, 500-600, ≥ 600
		0	300-500	40-70	300-400, 400-500, 500-600, ≥ 600
≥ 2	1	0	≥ 500	20-40	450-550, 550-650, 650-750, ≥ 750
		0	≥ 500	40-70	450-550, 550-650, 650-750, ≥ 750
		≥ 1	≥ 300	20-40	300-400, 400-500, ≥ 500
≥ 2			300-500	40-80	300-400, 400-500, ≥ 500
≥ 2			300-500	80-140	300-400, 400-500, ≥ 500
≥ 7	≥ 2	≥ 0	300-500	≥ 140	300-400, 400-500, ≥ 500
≥ 2			≥ 500	40-80	450-550, 550-650, ≥ 650
≥ 2			≥ 500	80-140	450-550, 550-650, ≥ 650
≥ 7			≥ 300	≥ 140	450-550, 550-650, ≥ 650

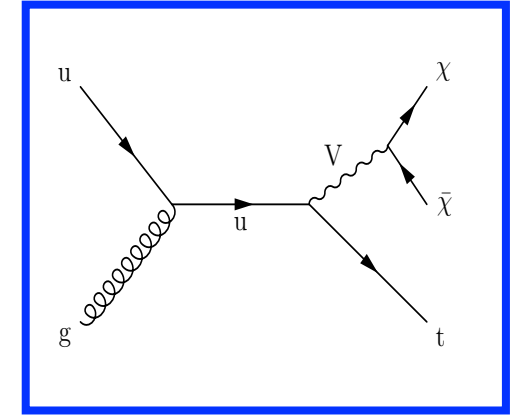
Table 1: Summary of the 51 non-overlapping search regions that mainly target high Δm signal. The high Δm baseline selection is $N_j \geq 5$, $p_T^{\text{miss}} \geq 250$ GeV, no leptons, $N_b \geq 1$, and $\Delta\phi_{1234} \geq 0.5$.

$m_T^b < 175$ GeV						
N_j	N_t	N_W	N_{res}	N_b	p_T^{miss} [GeV]	
≥ 7	≥ 0	≥ 0	≥ 1	1, ≥ 2	250-300, 300-400, 400-500, ≥ 500	
$m_T^b \geq 175$ GeV						
N_j	N_t	N_W	N_{res}	N_b	p_T^{miss} [GeV]	
≥ 7	0	0	0	1, ≥ 2	250-350, 350-450, 450-550, ≥ 550	
	≥ 1	0	0		550-650, ≥ 650	
≥ 5	0	0	≥ 1	1	250-350, 350-450, 450-550, 550-650, ≥ 650	
	≥ 1	≥ 1	0		≥ 550	
	0	≥ 1	≥ 1		250-350, 350-450, 450-550, ≥ 550	
	1	0	0		550-650, ≥ 650	
	0	1	0		250-350, 350-450, 450-550, 550-650, ≥ 650	
	0	0	1		250-350, 350-450, 450-550, 550-650, ≥ 650	
	1	1	0		≥ 550	
≥ 5	0	1	1	≥ 2	250-350, 350-450, 450-550, ≥ 550	
	1	0	1		250-350, 350-450, ≥ 450	
	≥ 2	0	0		≥ 250	
	0	≥ 2	0		≥ 250	
	0	0	≥ 2		≥ 250	

DM+Heavy Flavor(s) (HF)



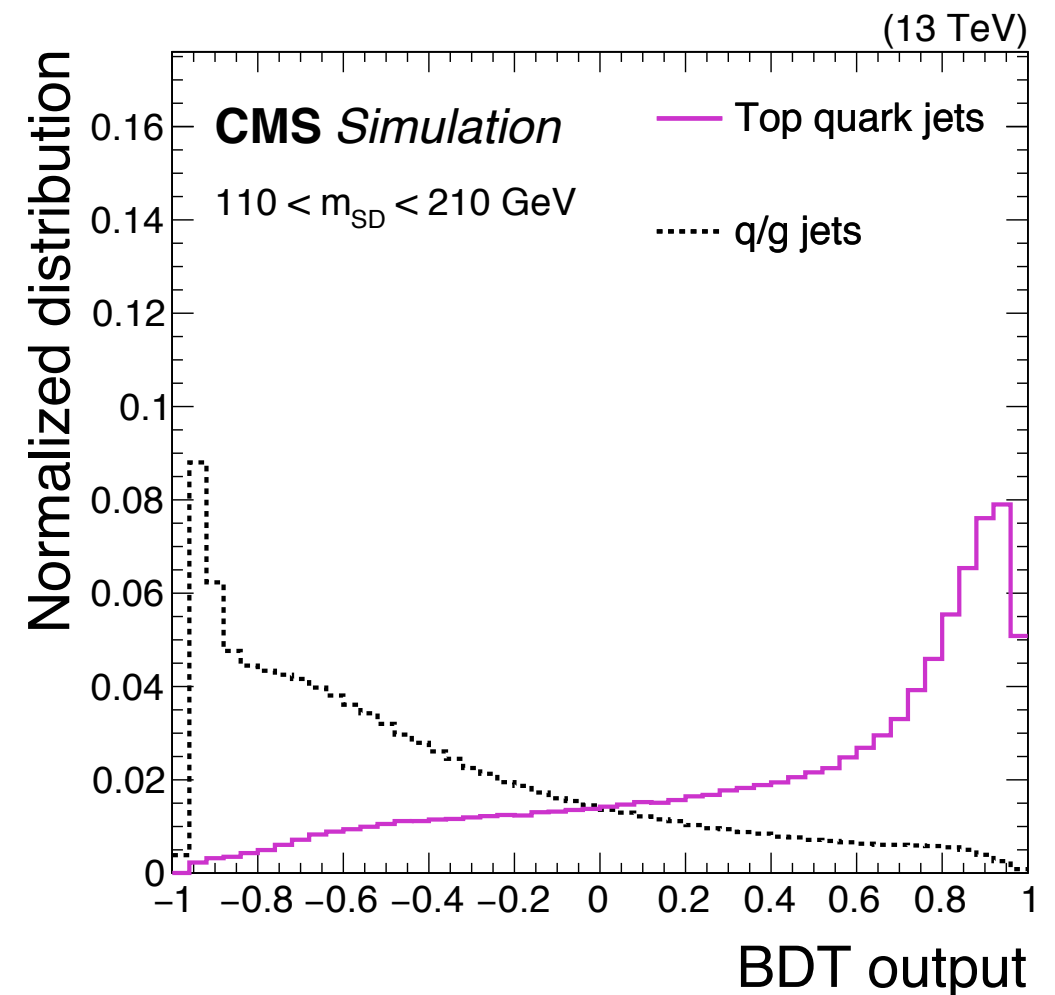
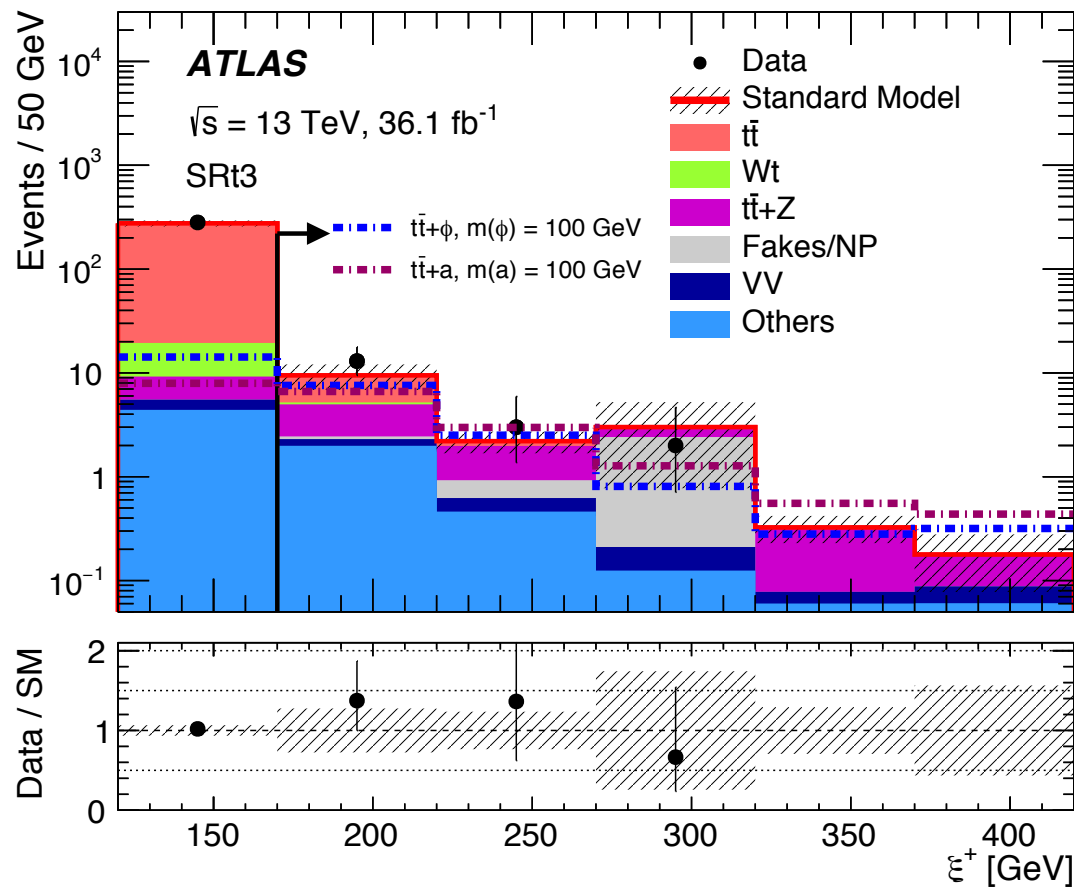
WIMP(s) via mediators: *spin-0* ϕ/a or *vector* V
 Minimal Flavor Violation: Yukawa-type ϕ/a -SM couplings
 Monotop: flavor-violating V -quark couplings



ATLAS: tailored kinematic variables

CMS: exploit top reconstruction

$$\xi^+ = m_{T2}^{\ell\ell} + 0.2 \cdot E_T^{\text{miss}} \quad \text{large tail created by } \chi\chi$$



DM+HF: Limits

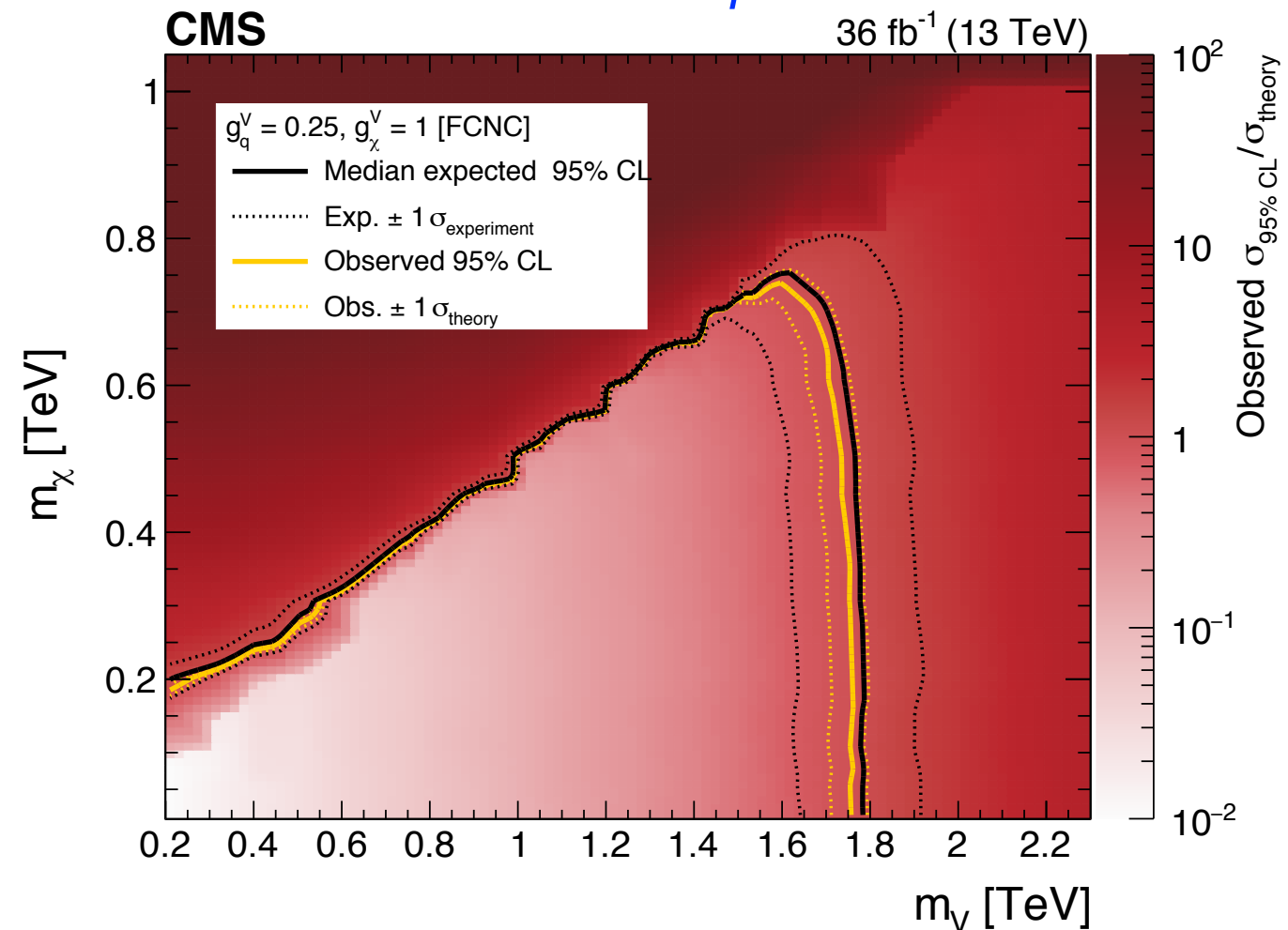
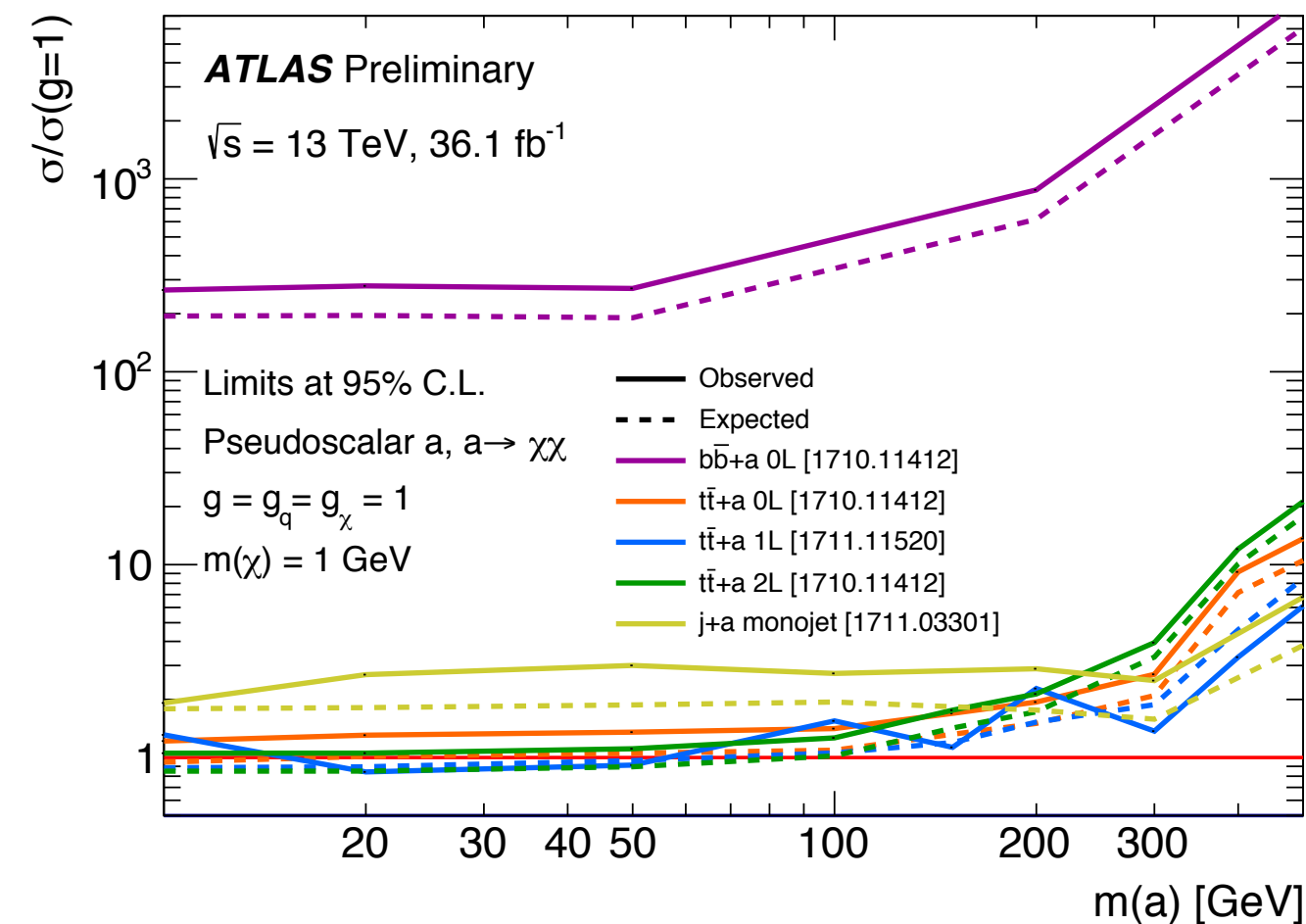
95% CL exclusion limits on Dirac DM and (pseudo)scalar mediators

Scalar mediators ϕ masses excluded between 10-50 GeV ($g=1$, $m(\chi)=1$ GeV)

Vector mediators with flavor-violating couplings excluded up to 1.8 TeV ($g_{q,v}=0.25$, $g_{\chi,v}=1$)

DM + $t\bar{t}$ ($b\bar{b}$)

Monotop



DM+HF: Limits

95% CL exclusion limits on Dirac DM and (pseudo)scalar mediators

Scalar mediators ϕ masses excluded between 10-50 GeV ($g=1$, $m(\chi)=1$ GeV)

Vector mediators with flavor-violating couplings excluded up to 1.8 TeV ($g_{q,v}=0.25$, $g_{\chi,v}=1$)

