



# Mixing and CP violation in charm

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*On behalf of the LHCb collaboration*

*Les Rencontres de Physique de la Vallée d'Aoste, La Thuile, Italy, 27/02/2018*

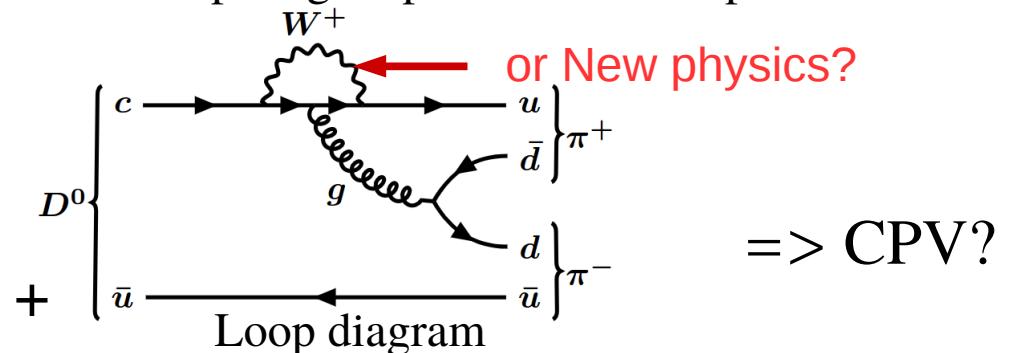
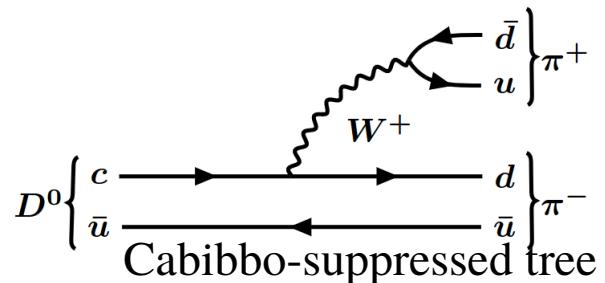


# Outline

- Introduction
- Recent results
  - A measurement of the CP asymmetry difference in  $\Lambda_c^+ \rightarrow p K^+ K^-$  and  $p \pi^+ \pi^-$  decays  
[arxiv:1712.07051]
  - Measurement of CP asymmetries in  $D^\pm \rightarrow \eta' \pi^\pm$  and  $D_s^\pm \rightarrow \eta' \pi^\pm$  decays (Phys. Lett. B 771 (2017) 21-30)
  - Updated determination of  $D^0$ - $\bar{D}^0$  mixing and  $CP$  violation parameters with  $D^0 \rightarrow K^+ \pi^-$  decays  
[arxiv:1712.03220]
- Conclusion

# CP violation and mixing in charm decays

- CP violation (CPV) observed in down-quark sector (kaons,  $B_{(s)}$  mesons).
  - Leading order for charm in Standard Model is  $(1/m_c) \rightarrow$  non-observation is compatible with expectations.
  - However NP coupling solely to up-type quarks could enhance CPV effects.
- In the Standard Model (SM), no CPV in single amplitude processes.
  - Single-Cabibbo suppressed decays have different competing amplitudes  $\rightarrow$  CPV possible

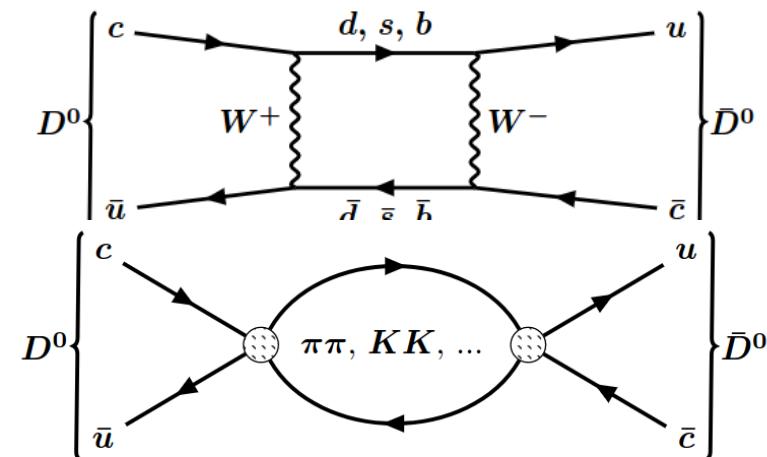


- No CPV seen in charm as of yet.
- Charm mixing is very small in the SM

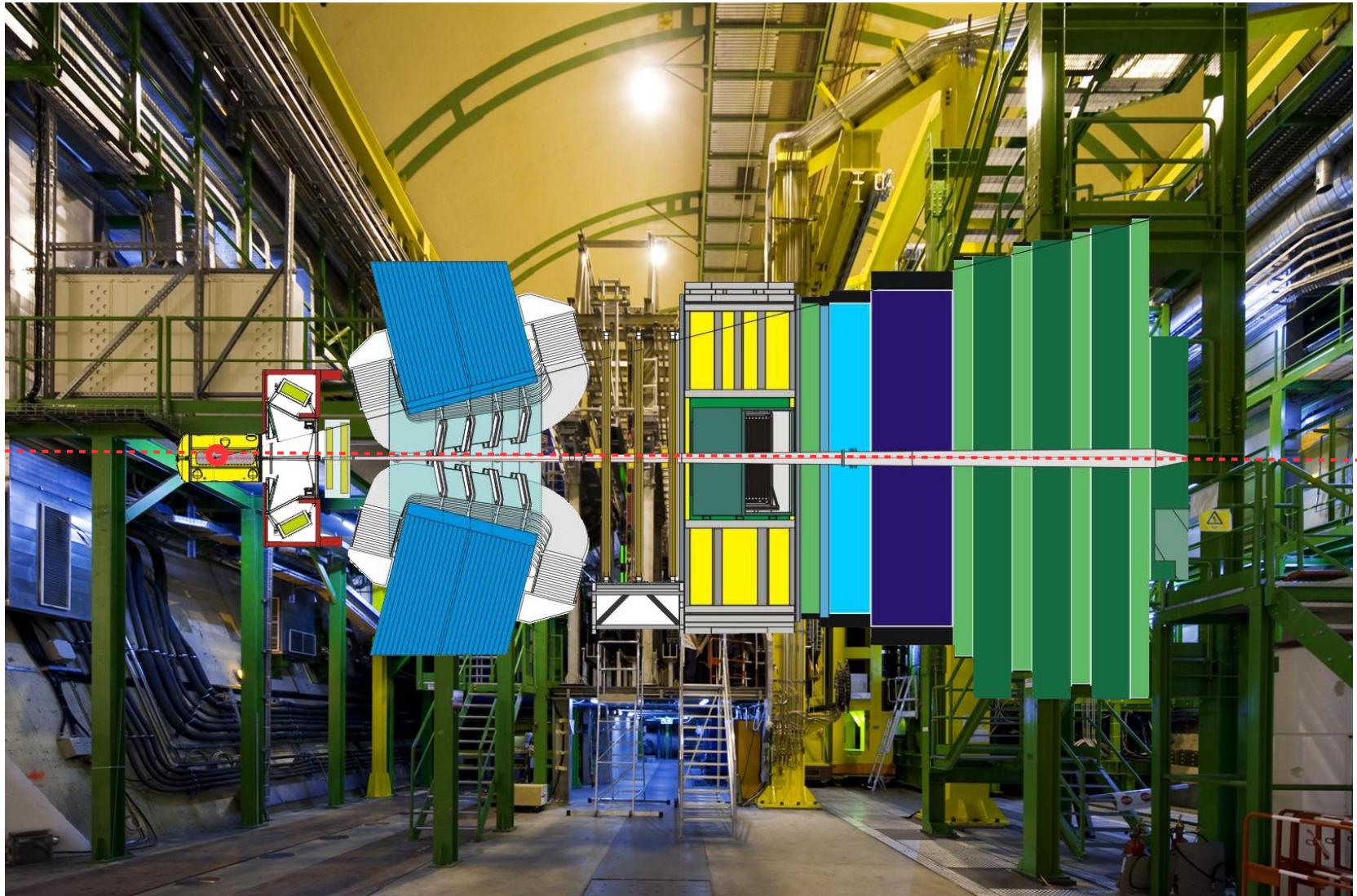
$$x = \Delta m / \Gamma, y = \Delta \Gamma / 2\Gamma$$

$$x = (4.6^{+1.4}_{-1.5}) \times 10^{-3} \text{ and } y = (6.2 \pm 0.8) \times 10^{-3}$$

arXiv:1612.07233 (Eur. Phys. J. C77 (2017) 895)



# The LHCb detector



**Single-arm forward spectrometer [JINST 3(2008) S08005.]**

# Recent results on CPV and mixing in charm decays at LHCb

# $\Delta A_{CP}$ in $\Lambda_c^+ \rightarrow p K^+ K^-$ and $p \pi^+ \pi^-$ decays (Run 1)

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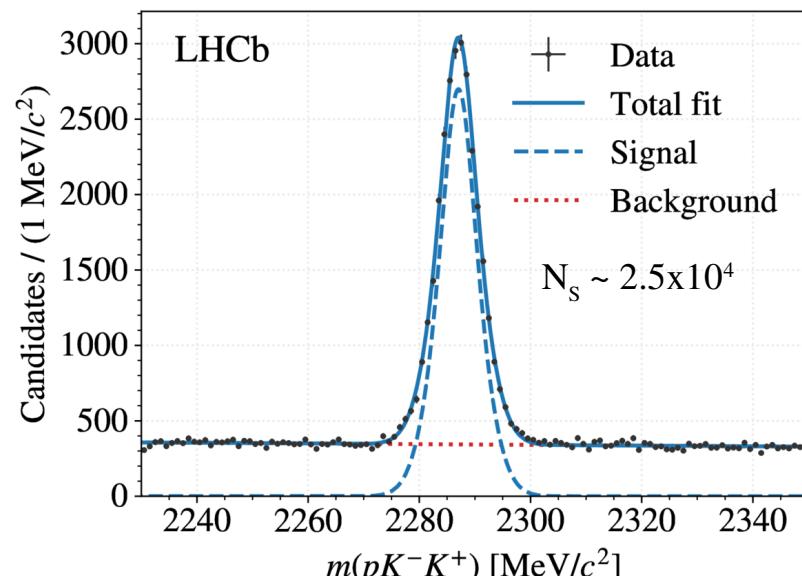
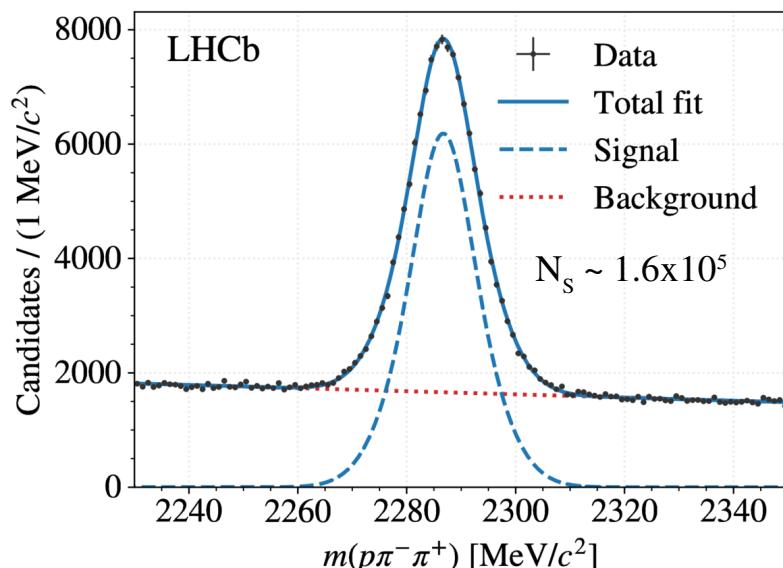
arxiv:1712.07051, submitted to JHEP

- Both modes are selected as part of the  $\Lambda_b^0 \rightarrow \Lambda_c^+ \mu^- X$  decay chain.
- We measure  $A_{\text{raw}}$  as:

$$A_{\text{raw}}(f) = \frac{N(f\mu^-) - N(\bar{f}\mu^+)}{N(f\mu^-) + N(\bar{f}\mu^+)} \text{ related to } A_{CP} \text{ by: } A_{\text{raw}} = A_{CP} + \boxed{A_{\text{detection}} + A_{\text{production}}}$$

Large source of systematic uncertainties

- Measure  $A_{\text{raw}}$  for two modes and correct for kinematical differences in order to access to  $\Delta A_{CP}$ .

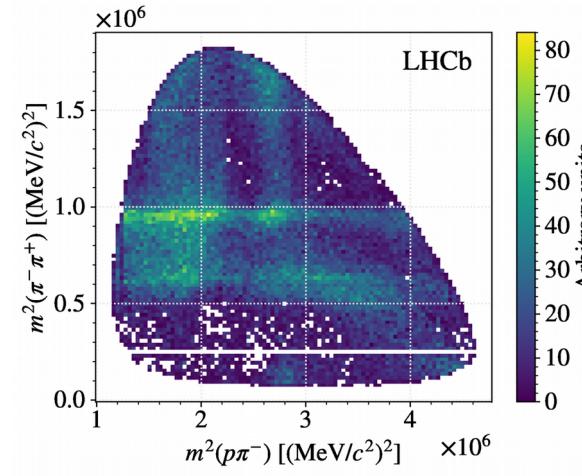
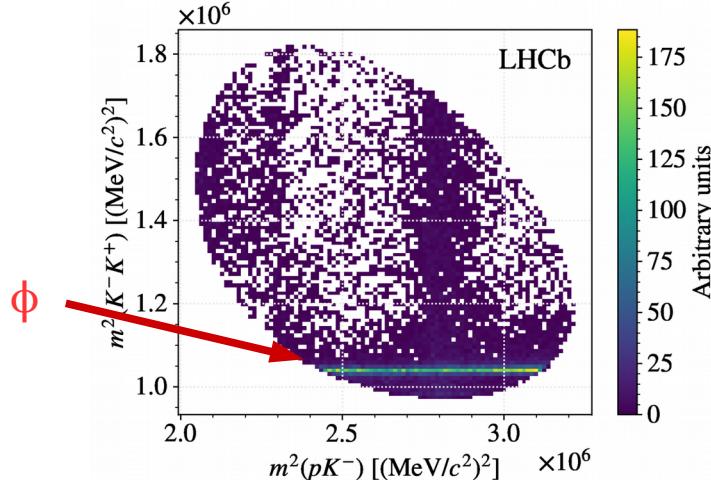


# $\Delta A_{CP}$ in $\Lambda_c^+ \rightarrow p K^+ K^-$ and $p \pi^+ \pi^-$ decays (Run 1)

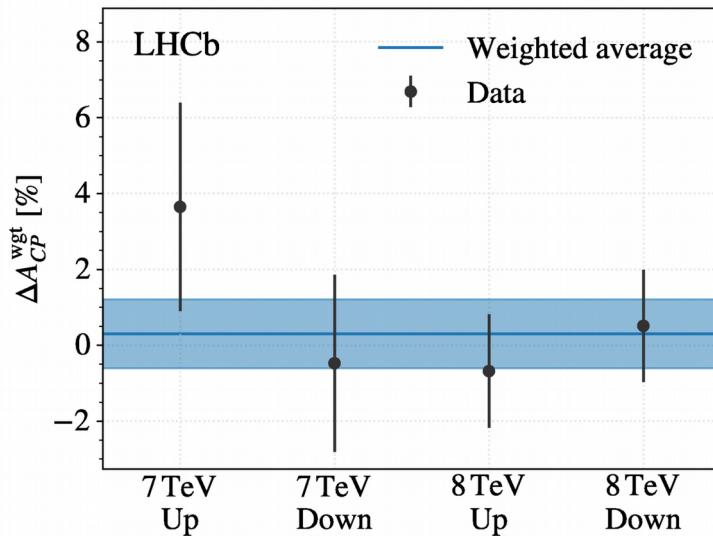
arxiv:1712.07051, submitted to JHEP

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- 5-D efficiency and kinematic differences taken into account.



- Main systematics arise from the size of the simulated samples but measurement is statistically limited.



Source	Uncertainty [%]
Fit signal model	0.20
Fit background model	—
Residual asymmetries	0.10
Limited simulated sample size	0.57
Prompt $\Lambda_c^+$	—
Total	0.61

$A_{raw}(pK^+K^-)$	$(3.72 \pm 0.78)\%$
$A_{raw}(p\pi^+\pi^-)$	$(3.42 \pm 0.47)\%$
$\Delta A_{CP}$	$(0.30 \pm 0.91 \pm 0.61)\%$

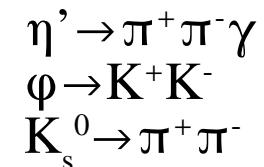
First measurement of CPV parameter in  
3-body  $\Lambda_c^+$  decays!

# CPV in $D^\pm \rightarrow \eta' \pi^\pm$ and $D_s^\pm \rightarrow \eta' \pi^\pm$ decays (Run 1)

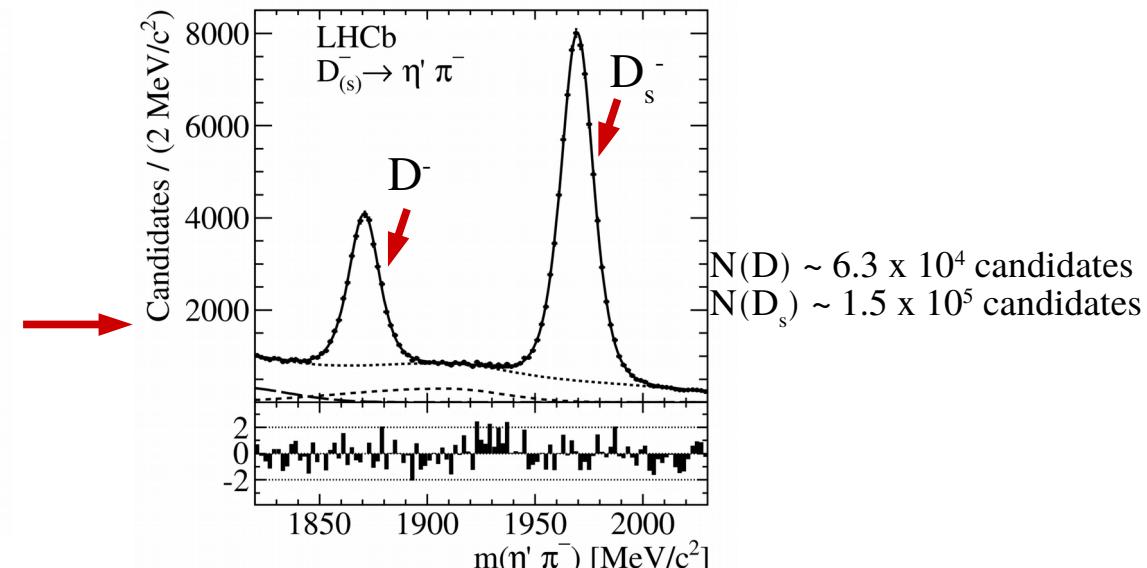
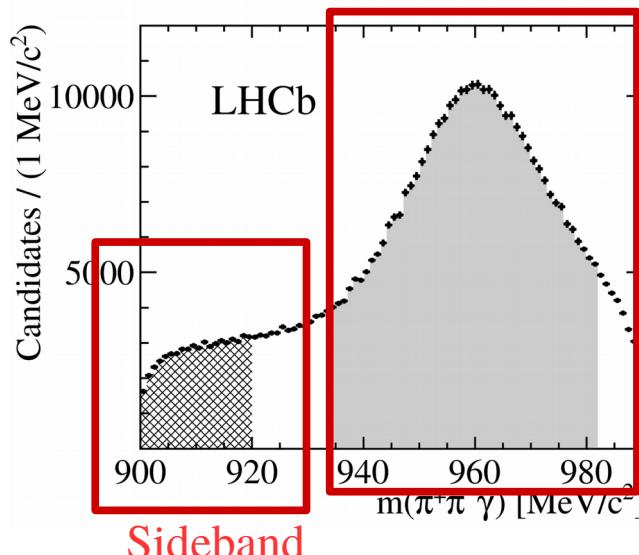
Phys. Lett. B 771 (2017) 21-30

- We measure the difference in  $A_{CP}$  between the studied modes and modes where  $A_{CP}$  is already measured precisely.

$$\begin{aligned}\Delta A_{CP}(D^\pm \rightarrow \eta' \pi^\pm) &\equiv A_{CP}(D^\pm \rightarrow \eta' \pi^\pm) - A_{CP}(D^\pm \rightarrow K_s^0 \pi^\pm) \\ &= A_{\text{raw}}(D^\pm \rightarrow \eta' \pi^\pm) - A_{\text{raw}}(D^\pm \rightarrow K_s^0 \pi^\pm) + A(\bar{K}^0 - K^0), \\ \Delta A_{CP}(D_s^\pm \rightarrow \eta' \pi^\pm) &\equiv A_{CP}(D_s^\pm \rightarrow \eta' \pi^\pm) - A_{CP}(D_s^\pm \rightarrow \phi \pi^\pm) \\ &= A_{\text{raw}}(D_s^\pm \rightarrow \eta' \pi^\pm) - A_{\text{raw}}(D_s^\pm \rightarrow \phi \pi^\pm).\end{aligned}$$



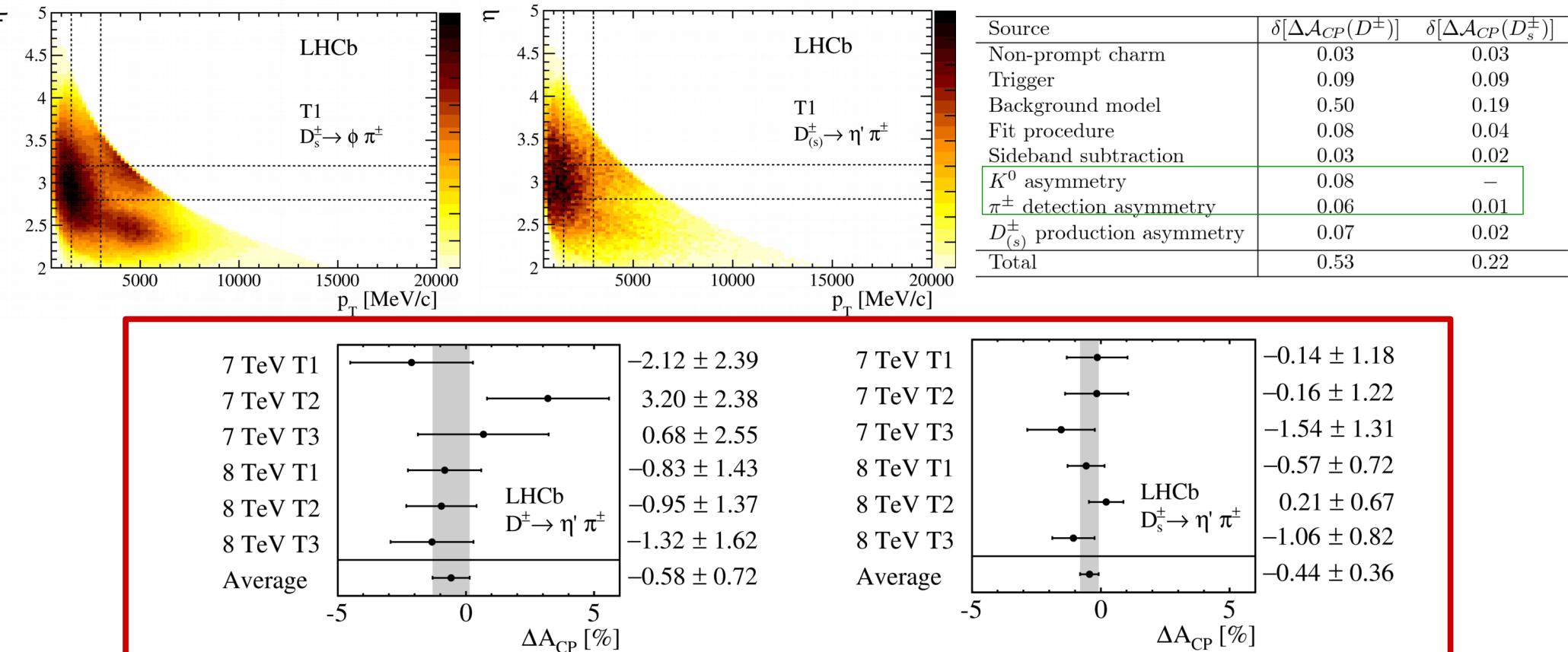
- Three different trigger requirements:
  - Energy deposit in **hadronic** calorimeter from **decay particle** (T1)
  - Energy deposit in **hadronic** calorimeter from **unrelated particle** (T2)
  - Energy deposit in **electromagnetic** calorimeter or **high-pT muon** from **unrelated particle** (T3).



# CPV in $D^\pm \rightarrow \eta' \pi^\pm$ and $D_s^\pm \rightarrow \eta' \pi^\pm$ decays (Run 1)

Phys. Lett. B 771 (2017) 21-30

- Fits are performed in nine bins of  $(p_T - \eta)$ , then  $A_{\text{raw}}$  are combined using a weighted average.



$$\Delta A_{CP}(D^\pm \rightarrow \eta' \pi^\pm) = (-0.58 \pm 0.72 \pm 0.53)\%,$$

$$\Delta A_{CP}(D_s^\pm \rightarrow \eta' \pi^\pm) = (-0.44 \pm 0.36 \pm 0.22)\%.$$



$$\Delta A_{CP}(D^\pm \rightarrow \eta' \pi^\pm) = (-0.61 \pm 0.72 \pm 0.53 \pm 0.12)\%,$$

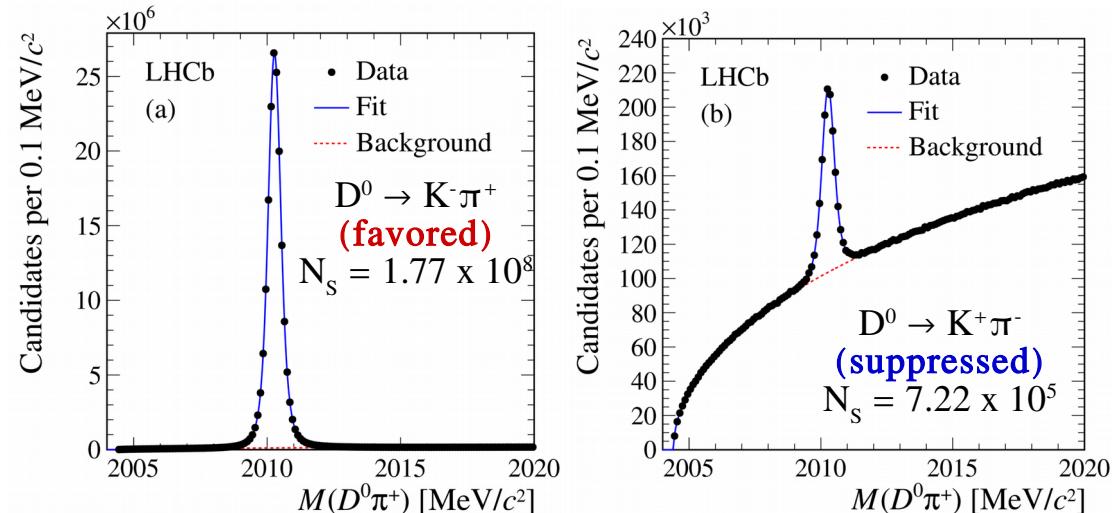
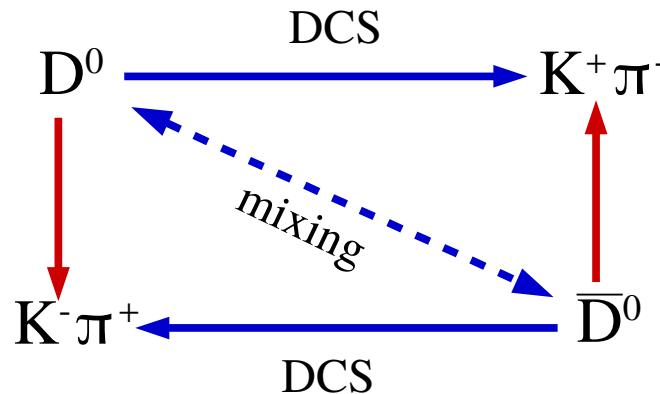
$$\Delta A_{CP}(D_s^\pm \rightarrow \eta' \pi^\pm) = (-0.82 \pm 0.36 \pm 0.22 \pm 0.27)\%,$$

Most precise measurement to date

# Charm mixing and CPV with $D^0 \rightarrow K^\pm \pi^\mp$ decays

arxiv:1712.03220, submitted to PRD

- $D^0$  decay to  $K^+\pi^-$  is doubly Cabibbo-suppressed (DCS)  $\rightarrow$  interfere with  $D^0 \rightarrow \bar{D}^0$  mixing.



- Analysis on Run 1 + 2015 + 2016
- $D^0$  flavor tagged at production by using  $D^0$  from  $D^{*\pm}$
- Ratio of suppressed-to-favored decay rates approximated as:

$$R^\pm(t) = R_D^\pm + \sqrt{R_D^\pm} y'^\pm t + \frac{(x'^\pm)^2 + (y'^\pm)^2}{4} t^2,$$

+(-) refers to the decay from a  $D^0$  ( $\bar{D}^0$ ).

$x' = x\cos(\delta) + y\sin(\delta)$ ,  $y' = y\cos(\delta) - x\sin(\delta)$ ,

$\delta$ : strong-phase difference between the suppressed and favored amplitudes (CLEO-c, BESIII)

(Phys. Rev. D86 (2012) 112001, Phys. Lett. B734 (2014) 227)

CPV if and only if  $(x', y', R_D)^\pm$  are different.

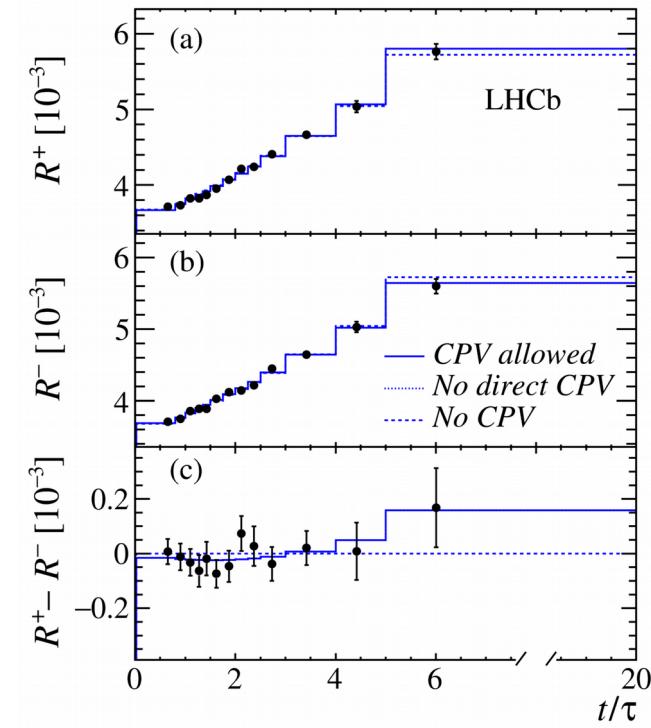
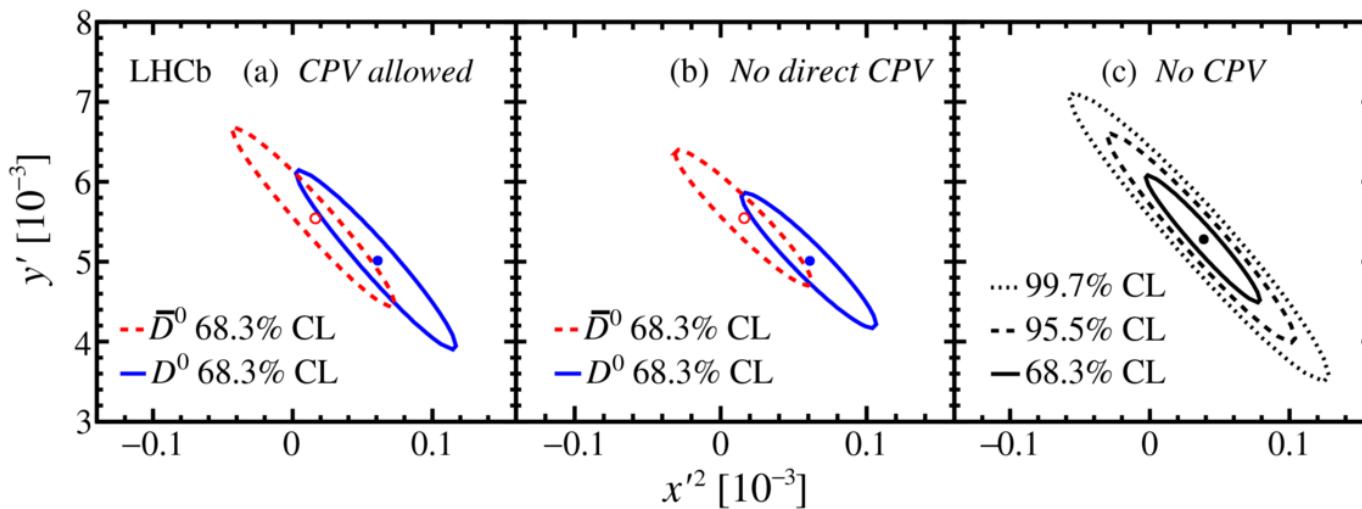
“Indirect” CPV

“Direct” CPV

# Charm mixing and CPV with $D^0 \rightarrow K^\pm \pi^\mp$ decays

arxiv:1712.03220, submitted to PRD

- Separated in 13 bins of lifetime.



- Statistical uncertainty dominates, main sources of systematic uncertainties are residual  $D^{*+}$  from B mesons and spurious soft pions  $\rightarrow$  statistical in nature.

$$x'^2 = (3.9 \pm 2.7) \times 10^{-5}, y' = (5.28 \pm 0.52) \times 10^{-3}$$

$$R_D = (3.454 \pm 0.031) \times 10^{-3}$$

$$A_D = (-0.1 \pm 9.1) \times 10^{-3} \text{ and } 1.00 < |q/p| < 1.35$$

Twice as precise as previous LHCb measurement (Phys. Rev. Lett. 111 (2013))  
Most stringent limits to date on charm CPV

# Conclusion and prospects

- A lot of activity in Charm physics in LHCb.
  - Could not present all of the recent results, for instance:
  - Measurement of CP asymmetry in  $D^0 \rightarrow K^+ K^-$  decays (*Phys. Lett. B 767 (2017), 177-187*)
  - Measurement of the CP violation parameter  $A_\Gamma$  in  $D^0 \rightarrow K^+ K^-$  and  $D^0 \rightarrow \pi^+ \pi^-$  decays (*Phys. Rev. Lett. 118, 261803 (2017)*)
  - Search for CP violation in the phase space of  $D^0 \rightarrow \pi^+ \pi^- \pi^+ \pi^-$  decays (*Phys. Lett. B 769 (2017) 345-356*)
- Wealth of experience from Run 1 analyses → fast and improved analyses.
- Analysis of Run 2 data directly on trigger output! (“turbo” trigger).
- Systematic use of control modes, consistency checks and difference of observables allow to keep systematic uncertainties under control.
  - Despite often huge datasets (order  $10^5$ - $10^7$  signal candidates), presented measurements are all statistically limited.

Stay tuned!

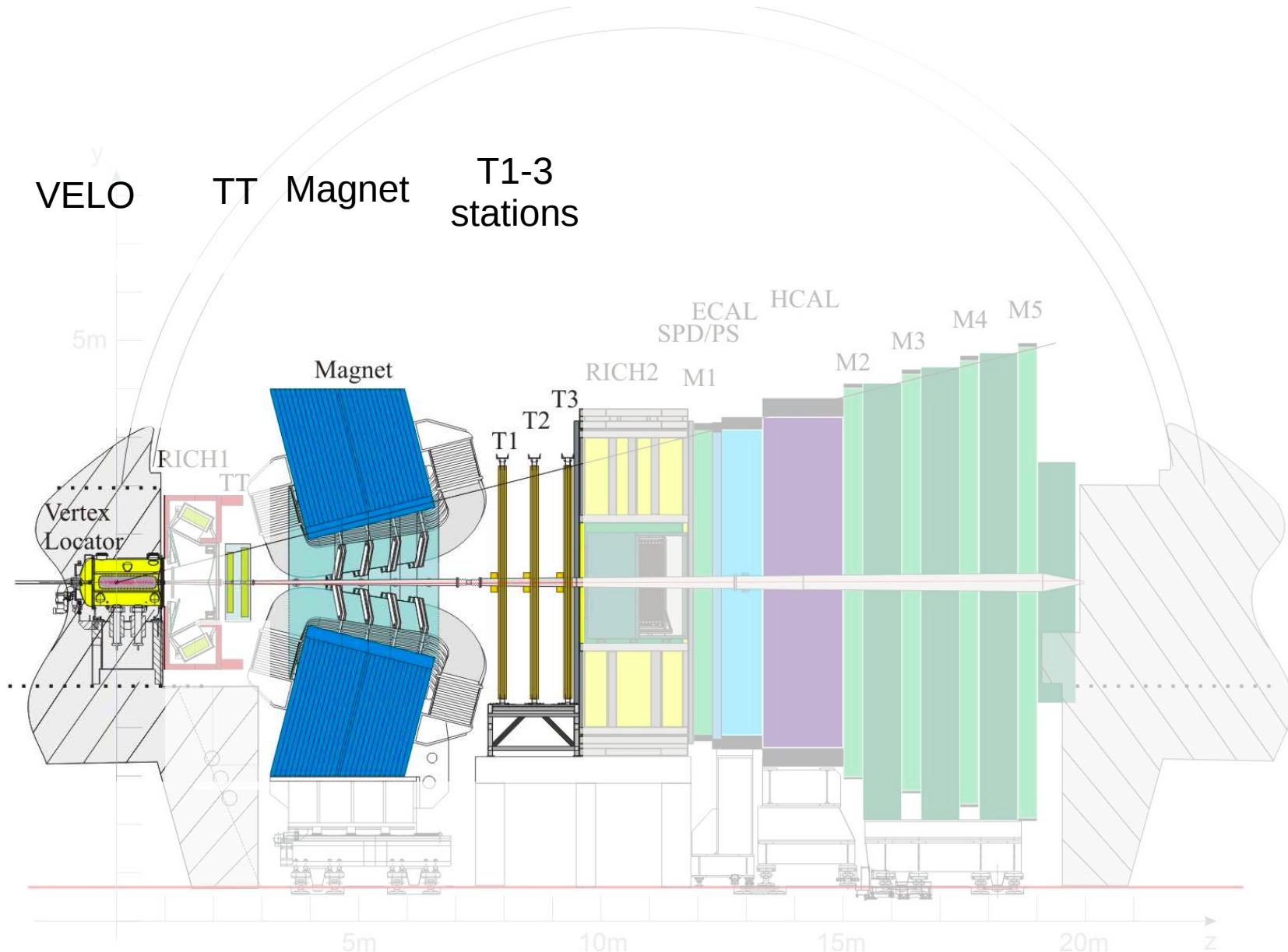
# Thank you!

# The LHCb detector

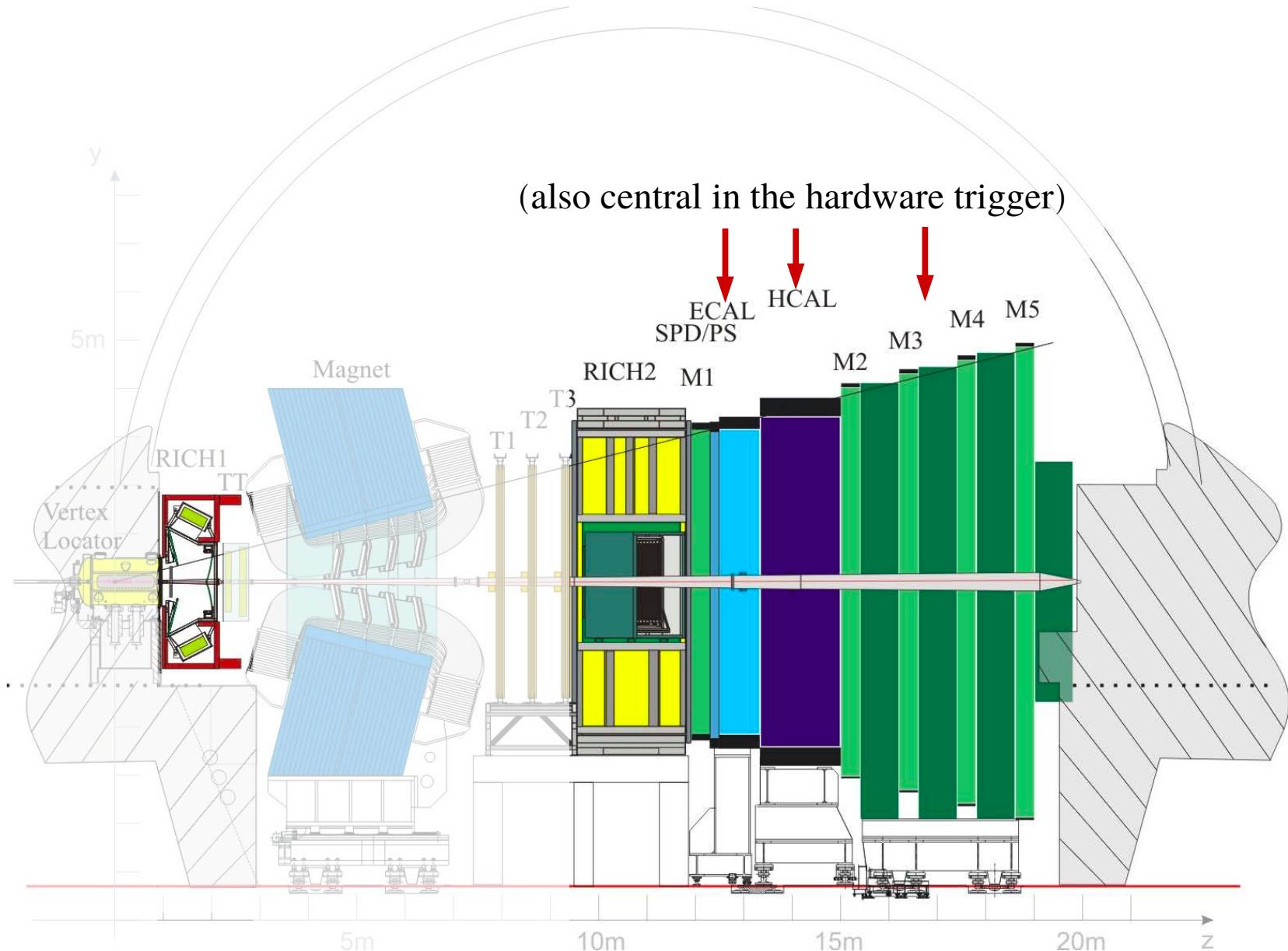
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# The LHCb detector: tracking subsystems

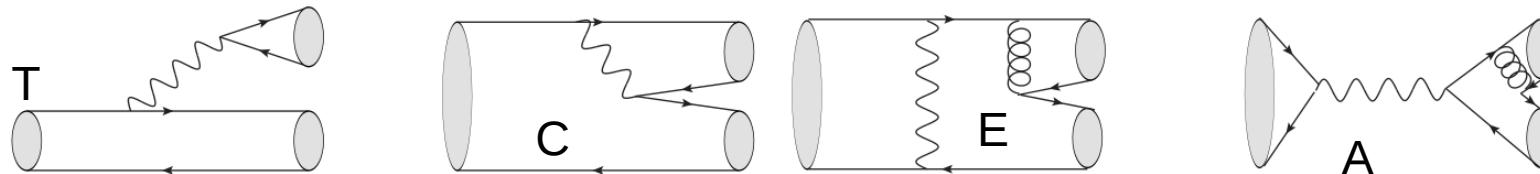


# The LHCb detector: particle identification

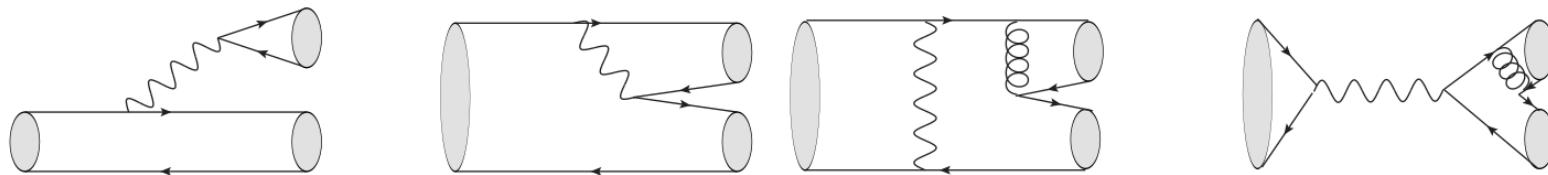


# CPV in $D^\pm \rightarrow \eta' \pi^\pm$ and $D_s^\pm \rightarrow \eta' \pi^\pm$ decays (Run 1)

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- Eta or eta': 30% of the BR
- CPV expected at the < 1% level.
- Tree-level:
  - $D_s^+ \rightarrow \eta\pi^+$  (CF):  $V_{cs}^* V_{ud}(\sqrt{2}A \cos \phi - T \sin \phi);$
  - $D_s^+ \rightarrow \eta'\pi^+$  (CF):  $\boxed{\bullet} D_s^+ \rightarrow \eta'\pi^+$  (CF):  $V_{cs}^* V_{ud}(\sqrt{2}A \sin \phi + T \cos \phi);$
  - $D^+ \rightarrow \eta\pi^+$  (SCS):  $\frac{1}{\sqrt{2}} V_{cd}^* V_{ud}(T' + C' + 2A') \cos \phi - V_{cs}^* V_{us} C' \sin \phi;$
  - $\bullet D^+ \rightarrow \eta'\pi^+$  (SCS):  $\boxed{\bullet} D^+ \rightarrow \eta'\pi^+$  (SCS):  $\frac{1}{\sqrt{2}} V_{cd}^* V_{ud}(T' + C' + 2A') \sin \phi + V_{cs}^* V_{us} C' \cos \phi;$
  - $D_s^+ \rightarrow \eta K^+$  (SCS):  $V_{cs}^* V_{us} [\frac{1}{\sqrt{2}} A'' \cos \phi - (T'' + C'' + A'') \sin \phi] + \frac{V_{cd}^* V_{ud}}{\sqrt{2}} C'' \cos \phi;$
  - $D_s^+ \rightarrow \eta' K^+$  (SCS):  $V_{cs}^* V_{us} [\frac{1}{\sqrt{2}} A'' \cos \phi + (T'' + C'' + A'') \sin \phi] + \frac{V_{cd}^* V_{ud}}{\sqrt{2}} C'' \cos \phi;$
  - $D^+ \rightarrow \eta K^+$  (DCS):  $V_{cd}^* V_{us} [\frac{1}{\sqrt{2}} (T''' + A''') \cos \phi - A'''' \sin \phi];$
  - $D^+ \rightarrow \eta' K^+$  (DCS):  $V_{cd}^* V_{us} [\frac{1}{\sqrt{2}} (T''' + A''') \sin \phi + A'''' \cos \phi];$



- Signal form: Johnson distributions, tails shared between signals and ( $p_T$ -eta) bins

$$f(x; \mu, \sigma, \delta, \gamma) \propto \left[ 1 + \left( \frac{x - \mu}{\sigma} \right)^2 \right]^{-\frac{1}{2}} \exp \left\{ -\frac{1}{2} \left[ \gamma + \delta \sinh^{-1} \left( \frac{x - \mu}{\sigma} \right) \right]^2 \right\}.$$

- Background: 4<sup>th</sup> order polynomial with parameters Gaussian-constrained by sideband fit.
- Peaking backgrounds: all suppressed except  $D_s \rightarrow \phi (\rightarrow \pi^+ \pi^- \pi^0) \pi$ ,  $A_{CP}$  from control sample.
- ACP computed as inverse-variance weighted average over the ( $p_T$ -eta) bins.
- Dominant systematic: background model.
  - Background  $\rightarrow$  second-order polynomial, ARGUS
  - Fix parameters from sideband, change peaking background contribution
  - Neglected contributions, signal leaking in sidebands, remaining nonresonant ( $K^+ K^-$ ) in control sample
  - Independently assessed by lifting constraints and observing increase of statistical uncertainty.

# Charm mixing and CPV with $D^0 \rightarrow K^\pm \pi^\mp$ decays: systematics

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Source	No $CP$ violation		
	$R_D$ [ $10^{-3}$ ]	$y'$ [ $10^{-3}$ ]	$x'^2$ [ $10^{-3}$ ]
Instrumental asymm.	< 0.001	< 0.01	< 0.001
Peaking background	$\pm 0.003$	$\pm 0.04$	$\pm 0.002$
Secondary $D$ decays	$\pm 0.010$	$\pm 0.21$	$\pm 0.011$
Ghost soft pions	$\pm 0.008$	$\pm 0.15$	$\pm 0.008$
Total syst. uncertainty	$\pm 0.014$	$\pm 0.27$	$\pm 0.014$
Statistical uncertainty	$\pm 0.028$	$\pm 0.45$	$\pm 0.023$

Source	No direct $CP$ violation				
	$R_D$ [ $10^{-3}$ ]	$y'^+$ [ $10^{-3}$ ]	$y'^-$ [ $10^{-3}$ ]	$x'^{2+}$ [ $10^{-3}$ ]	$x'^{2-}$ [ $10^{-3}$ ]
Instrumental asymm.	< 0.001	$\pm 0.08$	$\pm 0.08$	$\pm 0.003$	$\pm 0.004$
Peaking background	$\pm 0.003$	$\pm 0.04$	$\pm 0.04$	$\pm 0.002$	$\pm 0.002$
Secondary $D$ decays	$\pm 0.010$	$\pm 0.21$	$\pm 0.21$	$\pm 0.011$	$\pm 0.012$
Ghost soft pions	$\pm 0.008$	$\pm 0.16$	$\pm 0.16$	$\pm 0.009$	$\pm 0.009$
Total syst. uncertainty	$\pm 0.014$	$\pm 0.29$	$\pm 0.29$	$\pm 0.016$	$\pm 0.016$
Statistical uncertainty	$\pm 0.028$	$\pm 0.48$	$\pm 0.48$	$\pm 0.026$	$\pm 0.026$

Source	Direct and indirect $CP$ violation					
	$R_D^+$ [ $10^{-3}$ ]	$R_D^-$ [ $10^{-3}$ ]	$y'^+$ [ $10^{-3}$ ]	$y'^-$ [ $10^{-3}$ ]	$x'^{2+}$ [ $10^{-3}$ ]	$x'^{2-}$ [ $10^{-3}$ ]
Instrumental asymm.	$\pm 0.006$	$\pm 0.006$	$\pm 0.04$	$\pm 0.03$	$\pm 0.002$	$\pm 0.001$
Peaking background	$\pm 0.003$	$\pm 0.003$	$\pm 0.04$	$\pm 0.04$	$\pm 0.002$	$\pm 0.002$
Secondary $D$ decays	$\pm 0.014$	$\pm 0.014$	$\pm 0.29$	$\pm 0.29$	$\pm 0.015$	$\pm 0.015$
Ghost soft pions	$\pm 0.012$	$\pm 0.012$	$\pm 0.21$	$\pm 0.21$	$\pm 0.011$	$\pm 0.011$
Total syst. uncertainty	$\pm 0.020$	$\pm 0.020$	$\pm 0.38$	$\pm 0.38$	$\pm 0.019$	$\pm 0.020$
Statistical uncertainty	$\pm 0.040$	$\pm 0.040$	$\pm 0.64$	$\pm 0.64$	$\pm 0.032$	$\pm 0.033$

# Master formula

- What do we mean when we say that “asymmetries are small”?

$$A_{CP}^{\text{Raw}}(f) = \frac{\mathcal{P}(\Lambda_b^0)\epsilon(\mu^-)\epsilon(f)\Gamma(f) - \mathcal{P}(\bar{\Lambda}_b^0)\epsilon(\mu^+)\epsilon(\bar{f})\Gamma(\bar{f})}{\mathcal{P}(\Lambda_b^0)\epsilon(\mu^-)\epsilon(f)\Gamma(f) + \mathcal{P}(\bar{\Lambda}_b^0)\epsilon(\mu^+)\epsilon(\bar{f})\Gamma(\bar{f})},$$

$$A_P^{\Lambda_b^0}(f) = \frac{\mathcal{P}(\Lambda_b^0) - \mathcal{P}(\bar{\Lambda}_b^0)}{\mathcal{P}(\Lambda_b^0) + \mathcal{P}(\bar{\Lambda}_b^0)},$$

$$A_D^\mu(f) = \frac{\epsilon(\mu^-) - \epsilon(\mu^+)}{\epsilon(\mu^-) + \epsilon(\mu^+)},$$

$$A_D^f(f) = \frac{\epsilon(f) - \epsilon(\bar{f})}{\epsilon(f) + \epsilon(\bar{f})}.$$

, using  $x = \frac{1}{2}(x+y)(1+X)$ ,  
 $y = \frac{1}{2}(x+y)(1-X)$ .

$$A_{CP}^{\text{Raw}}(f) = \frac{A_P^{\Lambda_b^0} A_D^\mu A_D^f + A_P^{\Lambda_b^0} A_D^\mu A_{CP} + A_P^{\Lambda_b^0} A_D^f A_{CP} + A_D^\mu A_D^f A_{CP} + A_P^{\Lambda_b^0} + A_D^\mu + A_D^f + A_{CP}}{1 + A_P^{\Lambda_b^0} A_D^\mu + A_P^{\Lambda_b^0} A_D^f + A_P^{\Lambda_b^0} A_{CP} + A_D^\mu A_D^f + A_D^\mu A_{CP} + A_D^f A_{CP} + A_P^{\Lambda_b^0} A_D^\mu A_D^f A_{CP}}.$$