



Mixing and CP violation in charm

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On behalf of the LHCb collaboration*

Les Rencontres de Physique de la Vallée d'Aoste, La Thuile, Italy, 27/02/2018

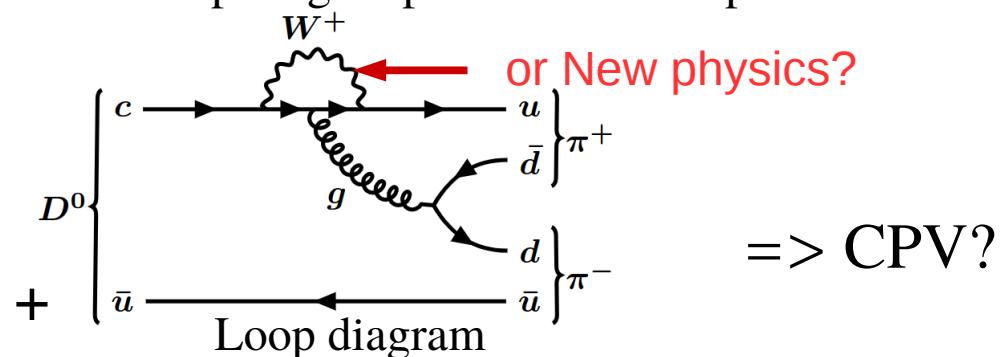
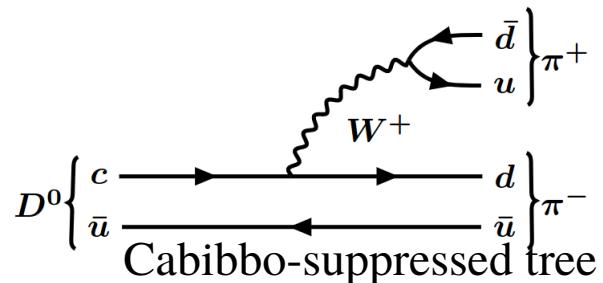


Outline

- Introduction
- Recent results
 - A measurement of the CP asymmetry difference in $\Lambda_c^+ \rightarrow p K^+ K^-$ and $p \pi^+ \pi^-$ decays
[arxiv:1712.07051]
 - Measurement of CP asymmetries in $D^\pm \rightarrow \eta' \pi^\pm$ and $D_s^\pm \rightarrow \eta' \pi^\pm$ decays (Phys. Lett. B 771 (2017) 21-30)
 - Updated determination of D^0 - \bar{D}^0 mixing and CP violation parameters with $D^0 \rightarrow K^+ \pi^-$ decays
[arxiv:1712.03220]
- Conclusion

CP violation and mixing in charm decays

- CP violation (CPV) observed in down-quark sector (kaons, $B_{(s)}$ mesons).
 - Leading order for charm in Standard Model is $(1/m_c) \rightarrow$ non-observation is compatible with expectations.
 - However NP coupling solely to up-type quarks could enhance CPV effects [Phys.Rev.D75:036008,2007].
- In the Standard Model (SM), no CPV in single amplitude processes.
 - Single-Cabibbo suppressed decays have different competing amplitudes \rightarrow CPV possible

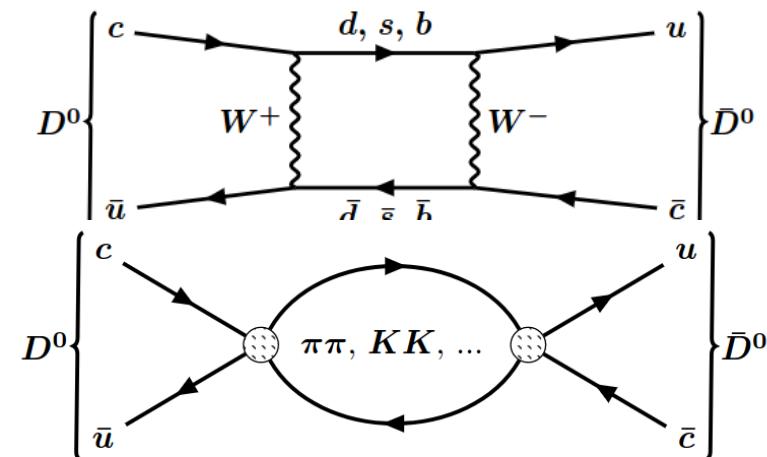


- No CPV seen in charm as of yet.
- Charm mixing is very small in the SM

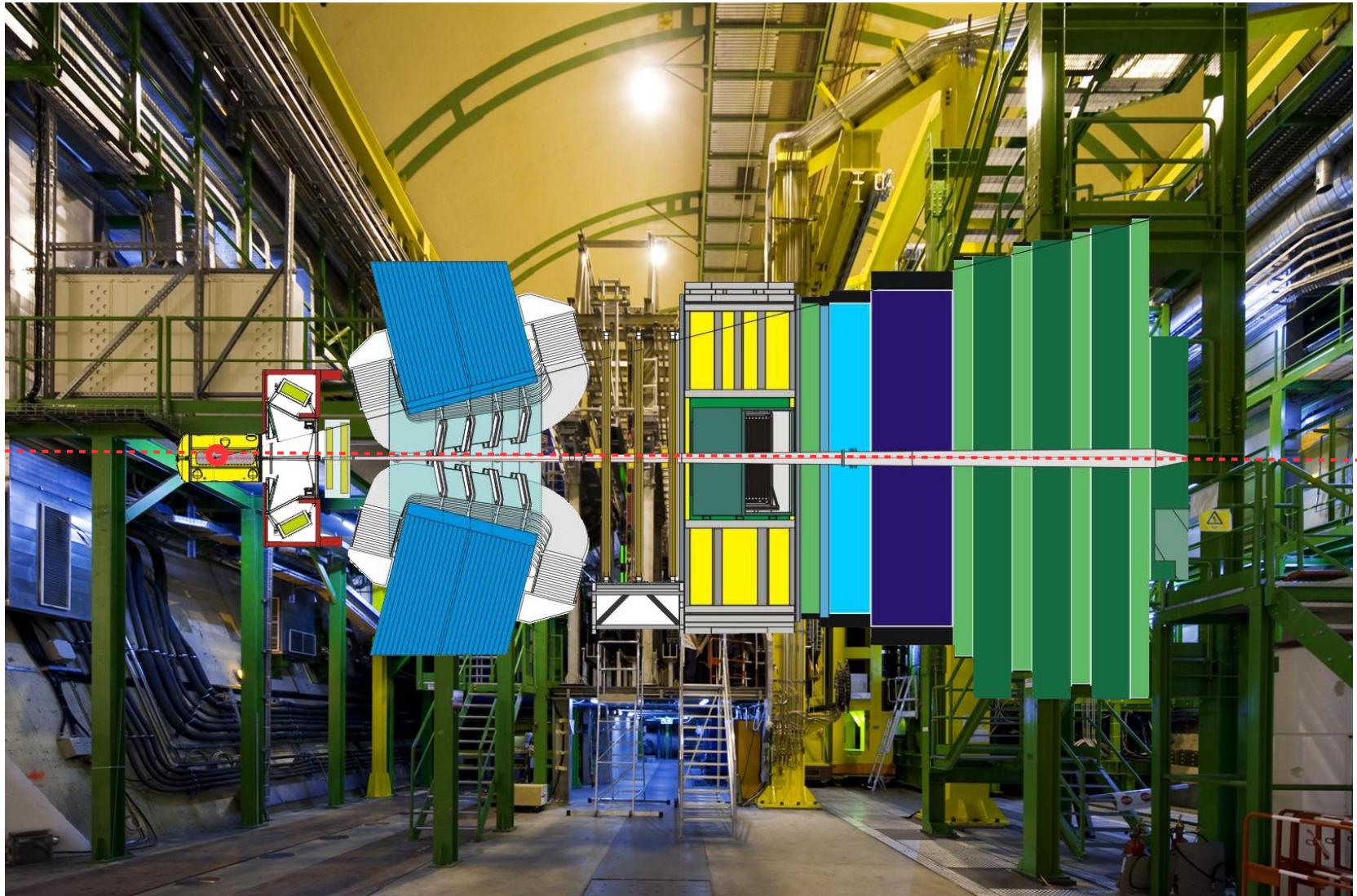
$$x = \Delta m / \Gamma, y = \Delta \Gamma / 2\Gamma$$

$$x = (4.6^{+1.4}_{-1.5}) \times 10^{-3} \text{ and } y = (6.2 \pm 0.8) \times 10^{-3}$$

arXiv:1612.07233 (Eur. Phys. J. C77 (2017) 895)



The LHCb detector



Single-arm forward spectrometer [JINST 3(2008) S08005.]

Recent results on CPV and mixing in charm decays at LHCb

ΔA_{CP} in $\Lambda_c^+ \rightarrow p K^+ K^-$ and $p \pi^+ \pi^-$ decays (Run 1)

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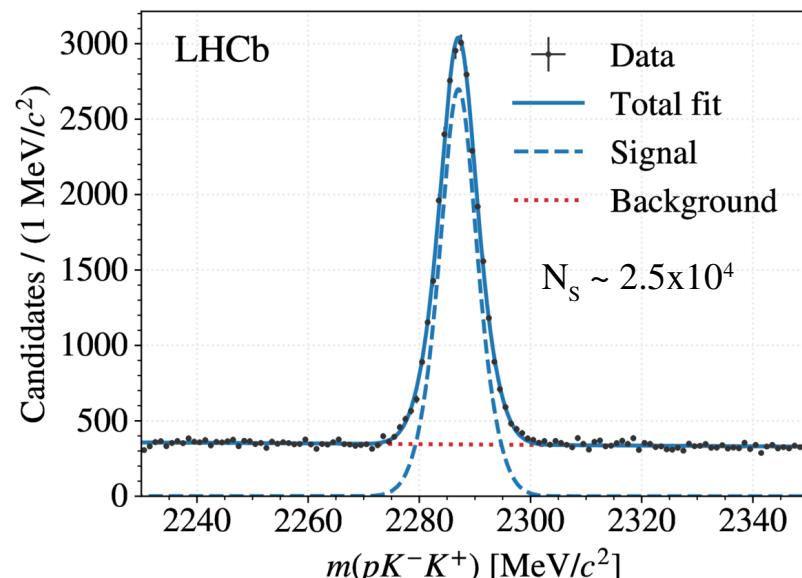
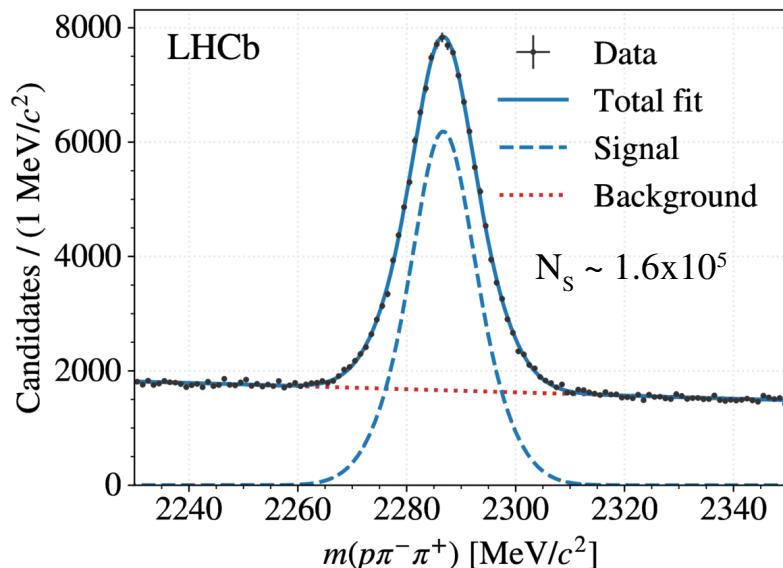
arxiv:1712.07051, submitted to JHEP

- Both modes are selected as part of the $\Lambda_b^0 \rightarrow \Lambda_c^+ \mu^- X$ decay chain.
- We measure A_{raw} as:

$$A_{\text{raw}}(f) = \frac{N(f\mu^-) - N(\bar{f}\mu^+)}{N(f\mu^-) + N(\bar{f}\mu^+)} \text{ related to } A_{CP} \text{ by: } A_{\text{raw}} = A_{CP} + \boxed{A_{\text{detection}} + A_{\text{production}}}$$

Large source of systematic uncertainties

- Measure A_{raw} for two modes and correct for kinematical differences in order to access to ΔA_{CP} .

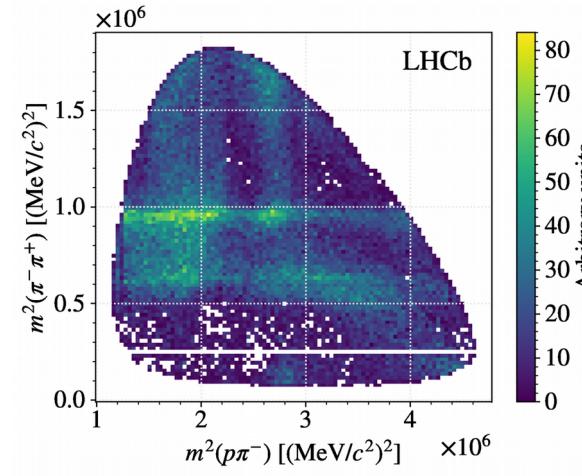
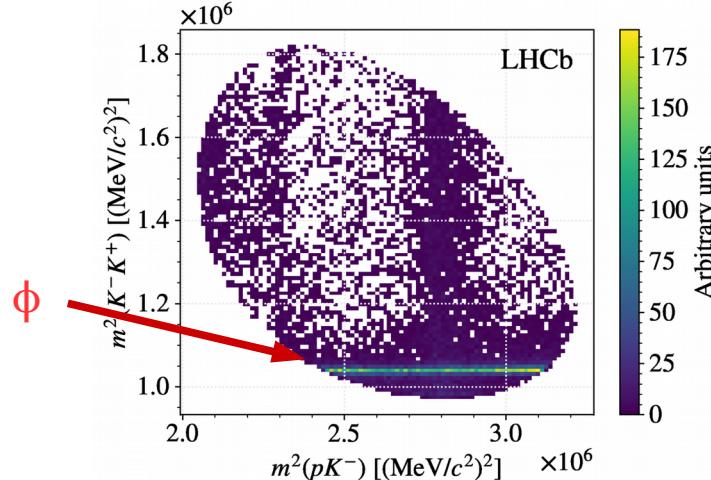


ΔA_{CP} in $\Lambda_c^+ \rightarrow p K^+ K^-$ and $p \pi^+ \pi^-$ decays (Run 1)

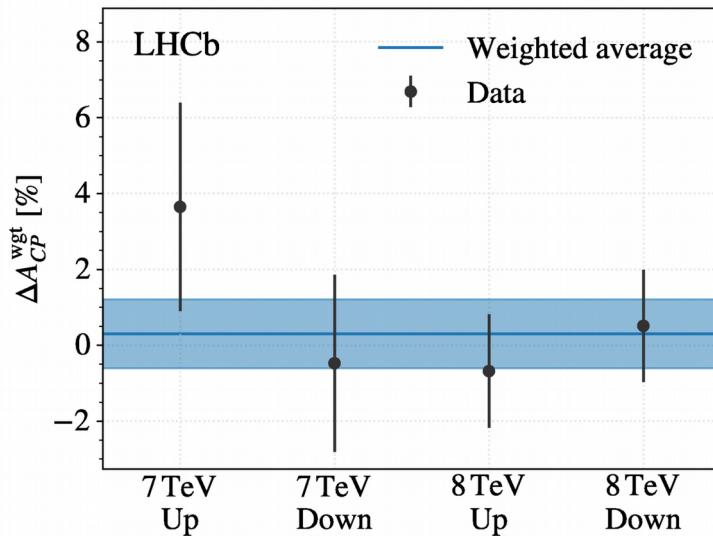
arxiv:1712.07051, submitted to JHEP

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- 5-D efficiency and kinematic differences taken into account.



- Main systematics arise from the size of the simulated samples but measurement is statistically limited.



Source	Uncertainty [%]
Fit signal model	0.20
Fit background model	—
Residual asymmetries	0.10
Limited simulated sample size	0.57
Prompt Λ_c^+	—
Total	0.61

$A_{\text{raw}}(pK^+K^-)$	$(3.72 \pm 0.78)\%$
$A_{\text{raw}}(p\pi^+\pi^-)$	$(3.42 \pm 0.47)\%$
ΔA_{CP}	$(0.30 \pm 0.91 \pm 0.61)\%$

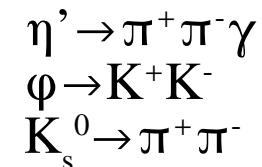
First measurement of CPV parameter in
3-body Λ_c^+ decays!

CPV in $D^\pm \rightarrow \eta' \pi^\pm$ and $D_s^\pm \rightarrow \eta' \pi^\pm$ decays (Run 1)

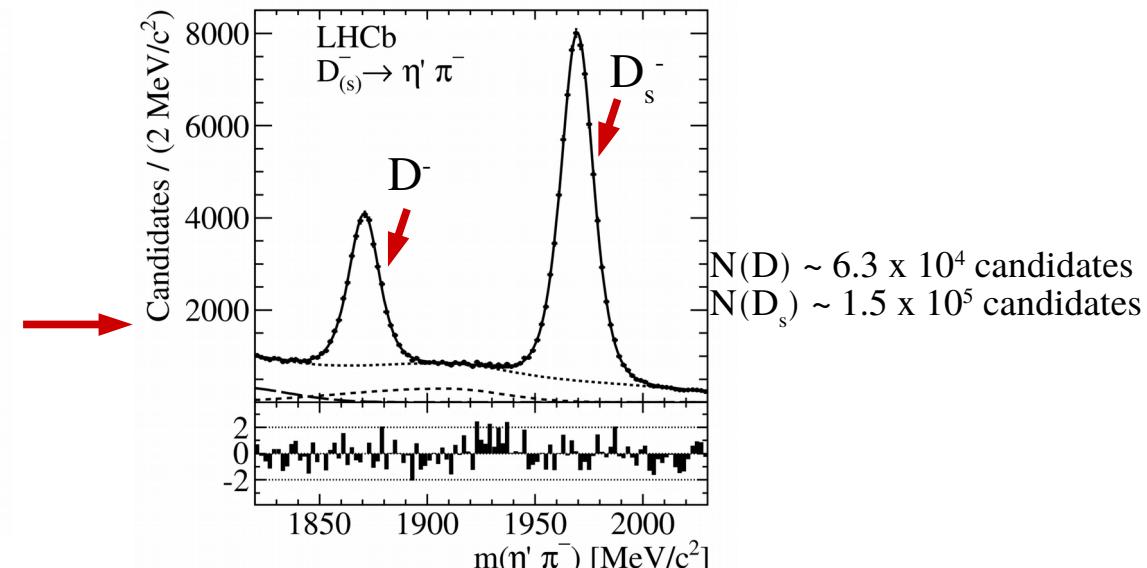
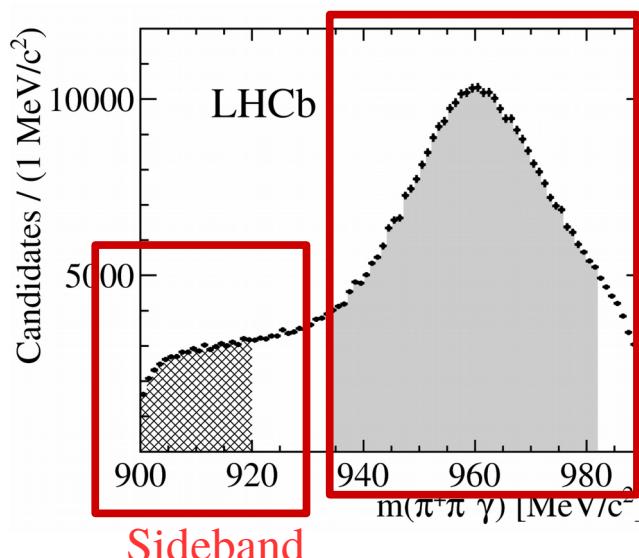
Phys. Lett. B 771 (2017) 21-30

- We measure the difference in A_{CP} between the studied modes and modes where A_{CP} is already measured precisely.

$$\begin{aligned}\Delta A_{CP}(D^\pm \rightarrow \eta' \pi^\pm) &\equiv A_{CP}(D^\pm \rightarrow \eta' \pi^\pm) - A_{CP}(D^\pm \rightarrow K_s^0 \pi^\pm) \\ &= A_{\text{raw}}(D^\pm \rightarrow \eta' \pi^\pm) - A_{\text{raw}}(D^\pm \rightarrow K_s^0 \pi^\pm) + A(\bar{K}^0 - K^0), \\ \Delta A_{CP}(D_s^\pm \rightarrow \eta' \pi^\pm) &\equiv A_{CP}(D_s^\pm \rightarrow \eta' \pi^\pm) - A_{CP}(D_s^\pm \rightarrow \phi \pi^\pm) \\ &= A_{\text{raw}}(D_s^\pm \rightarrow \eta' \pi^\pm) - A_{\text{raw}}(D_s^\pm \rightarrow \phi \pi^\pm).\end{aligned}$$



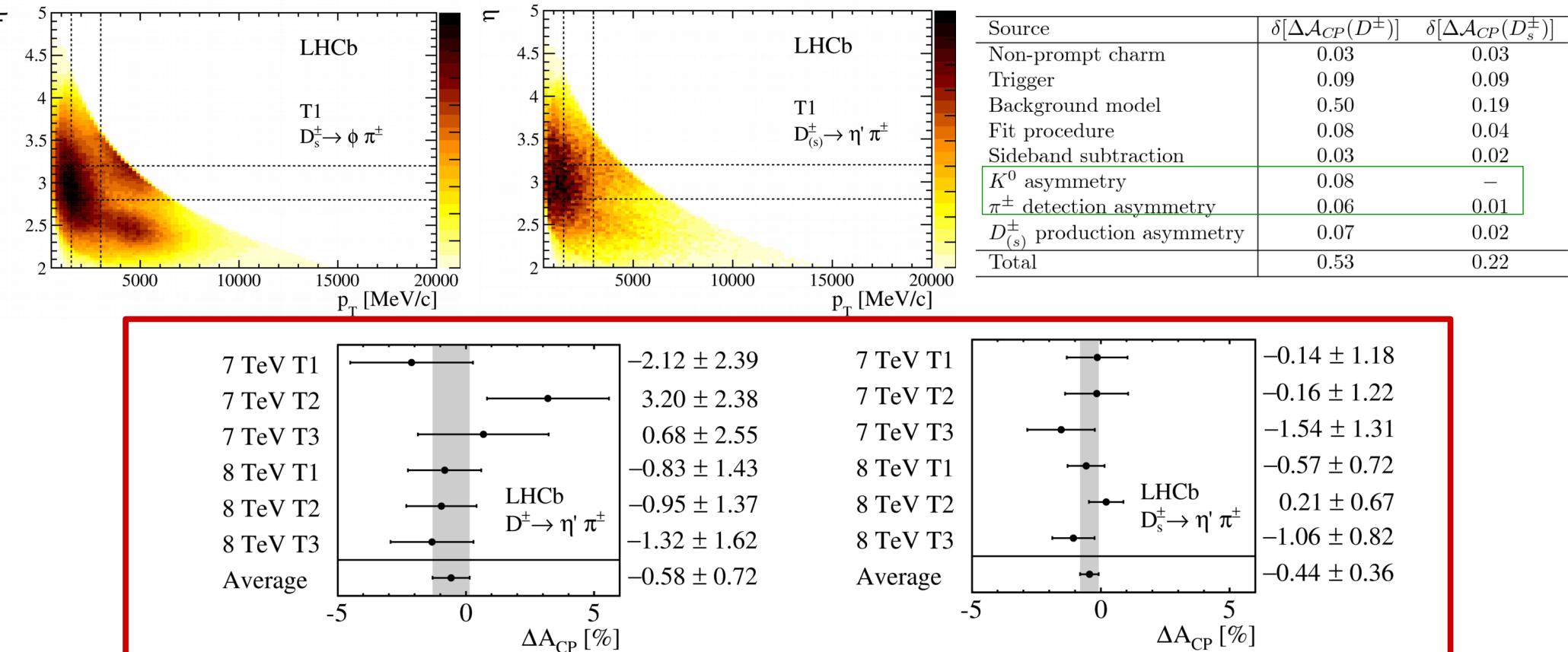
- Three different trigger requirements:
 - Energy deposit in **hadronic** calorimeter from **decay particle** (T1)
 - Energy deposit in **hadronic** calorimeter from **unrelated particle** (T2)
 - Energy deposit in **electromagnetic** calorimeter or **high-pT muon** from **unrelated particle** (T3).



CPV in $D^\pm \rightarrow \eta' \pi^\pm$ and $D_s^\pm \rightarrow \eta' \pi^\pm$ decays (Run 1)

Phys. Lett. B 771 (2017) 21-30

- Fits are performed in nine bins of $(p_T - \eta)$, then A_{raw} are combined using a weighted average.



$$\Delta A_{CP}(D^\pm \rightarrow \eta' \pi^\pm) = (-0.58 \pm 0.72 \pm 0.53)\%,$$

$$\Delta A_{CP}(D_s^\pm \rightarrow \eta' \pi^\pm) = (-0.44 \pm 0.36 \pm 0.22)\%.$$



$$\Delta A_{CP}(D^\pm \rightarrow \eta' \pi^\pm) = (-0.61 \pm 0.72 \pm 0.53 \pm 0.12)\%,$$

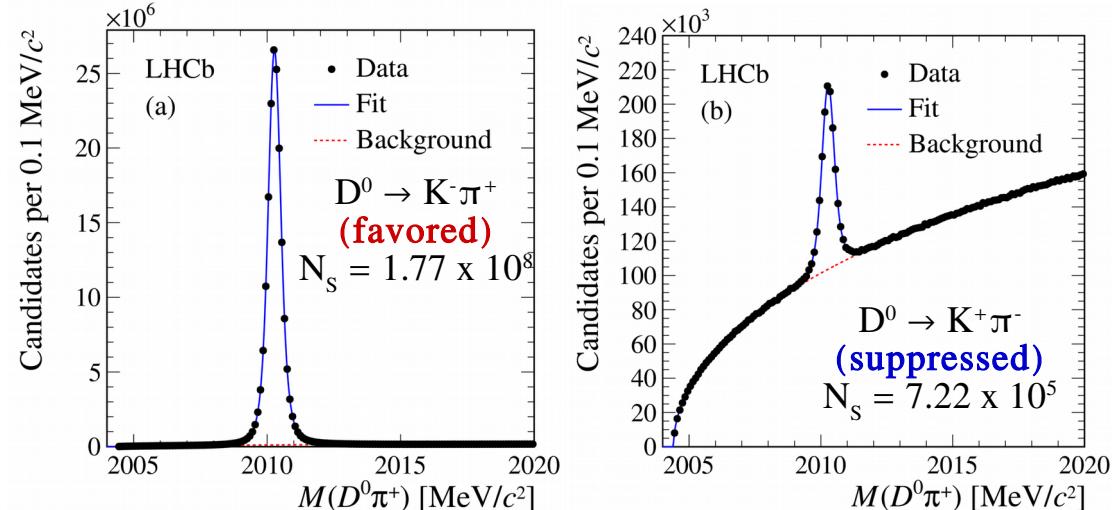
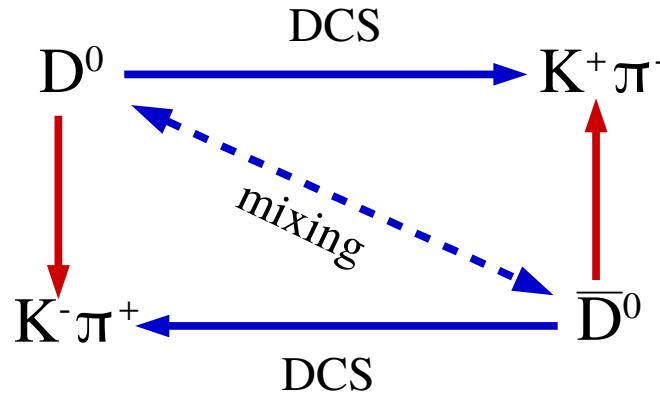
$$\Delta A_{CP}(D_s^\pm \rightarrow \eta' \pi^\pm) = (-0.82 \pm 0.36 \pm 0.22 \pm 0.27)\%,$$

Most precise measurement to date

Charm mixing and CPV with $D^0 \rightarrow K^\pm \pi^\mp$ decays

arxiv:1712.03220, submitted to PRD

- D^0 decay to $K^+\pi^-$ is doubly Cabibbo-suppressed (DCS) \rightarrow interfere with $D^0 \rightarrow \bar{D}^0$ mixing.



- Analysis on Run 1 + 2015 + 2016
- D^0 flavor tagged at production by using D^0 from $D^{*\pm}$
- Ratio of suppressed-to-favored decay rates approximated as:

$$R^\pm(t) = R_D^\pm + \sqrt{R_D^\pm} y'^\pm t + \frac{(x'^\pm)^2 + (y'^\pm)^2}{4} t^2,$$

$+(-)$ refers to the decay from a D^0 (\bar{D}^0).

$x' = x \cos(\delta) + y \sin(\delta)$, $y' = y \cos(\delta) - x \sin(\delta)$,

δ : strong-phase difference between the suppressed and favored amplitudes (CLEO-c, BESIII)

(Phys. Rev. D86 (2012) 112001, Phys. Lett. B734 (2014) 227)

CPV if and only if $(x', y', R_D)^\pm$ are different.

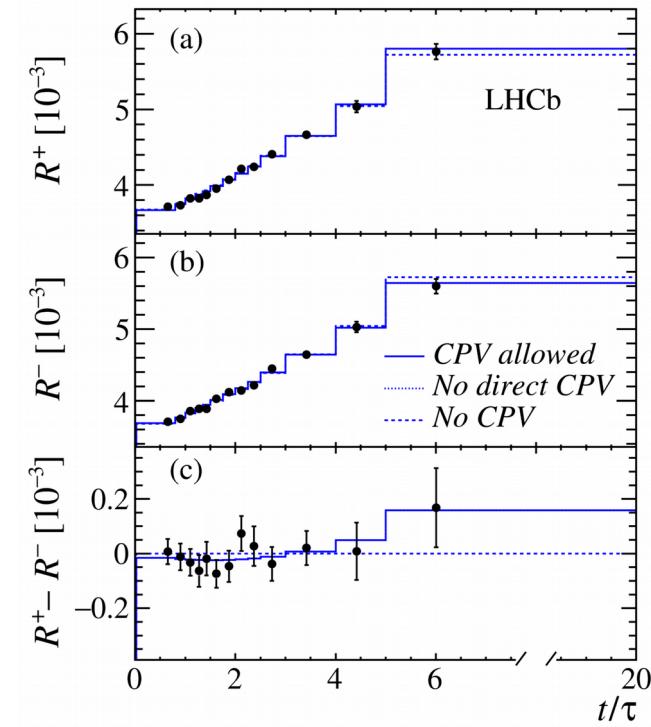
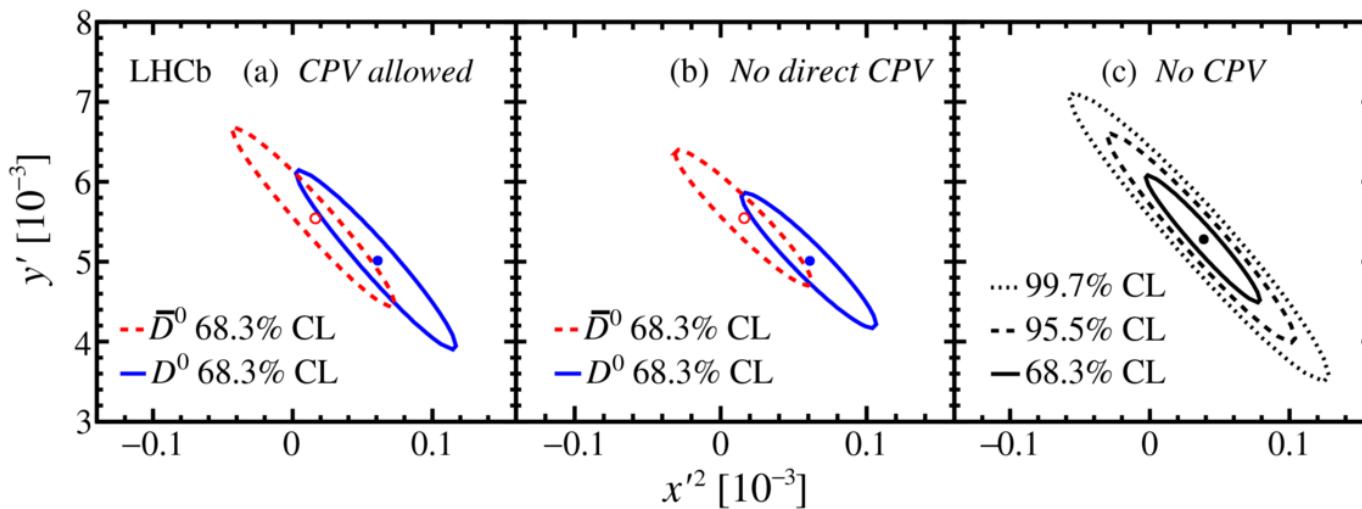
“Indirect” CPV

“Direct” CPV

Charm mixing and CPV with $D^0 \rightarrow K^\pm \pi^\mp$ decays

arxiv:1712.03220, submitted to PRD

- Separated in 13 bins of lifetime.



- Statistical uncertainty dominates, main sources of systematic uncertainties are residual D^{*+} from B mesons and spurious soft pions \rightarrow statistical in nature.

$$x'^2 = (3.9 \pm 2.7) \times 10^{-5}, y' = (5.28 \pm 0.52) \times 10^{-3}$$

$$R_D = (3.454 \pm 0.031) \times 10^{-3}$$

$$A_D = (-0.1 \pm 9.1) \times 10^{-3} \text{ and } 1.00 < |q/p| < 1.35$$

Twice as precise as previous LHCb measurement (Phys. Rev. Lett. 111 (2013))
Most stringent limits to date on charm CPV

Conclusion and prospects

- A lot of activity in Charm physics in LHCb.
 - Could not present all of the recent results, for instance:
 - Measurement of CP asymmetry in $D^0 \rightarrow K^+ K^-$ decays (*Phys. Lett. B 767 (2017), 177-187*)
 - Measurement of the CP violation parameter A_Γ in $D^0 \rightarrow K^+ K^-$ and $D^0 \rightarrow \pi^+ \pi^-$ decays (*Phys. Rev. Lett. 118, 261803 (2017)*)
 - Search for CP violation in the phase space of $D^0 \rightarrow \pi^+ \pi^- \pi^+ \pi^-$ decays (*Phys. Lett. B 769 (2017) 345-356*)
- Wealth of experience from Run 1 analyses → fast and improved analyses.
- Analysis of Run 2 data directly on trigger output! (“turbo” trigger).
- Systematic use of control modes, consistency checks and difference of observables allow to keep systematic uncertainties under control.
 - Despite often huge datasets (order 10^5 - 10^7 signal candidates), presented measurements are all statistically limited.

Stay tuned!

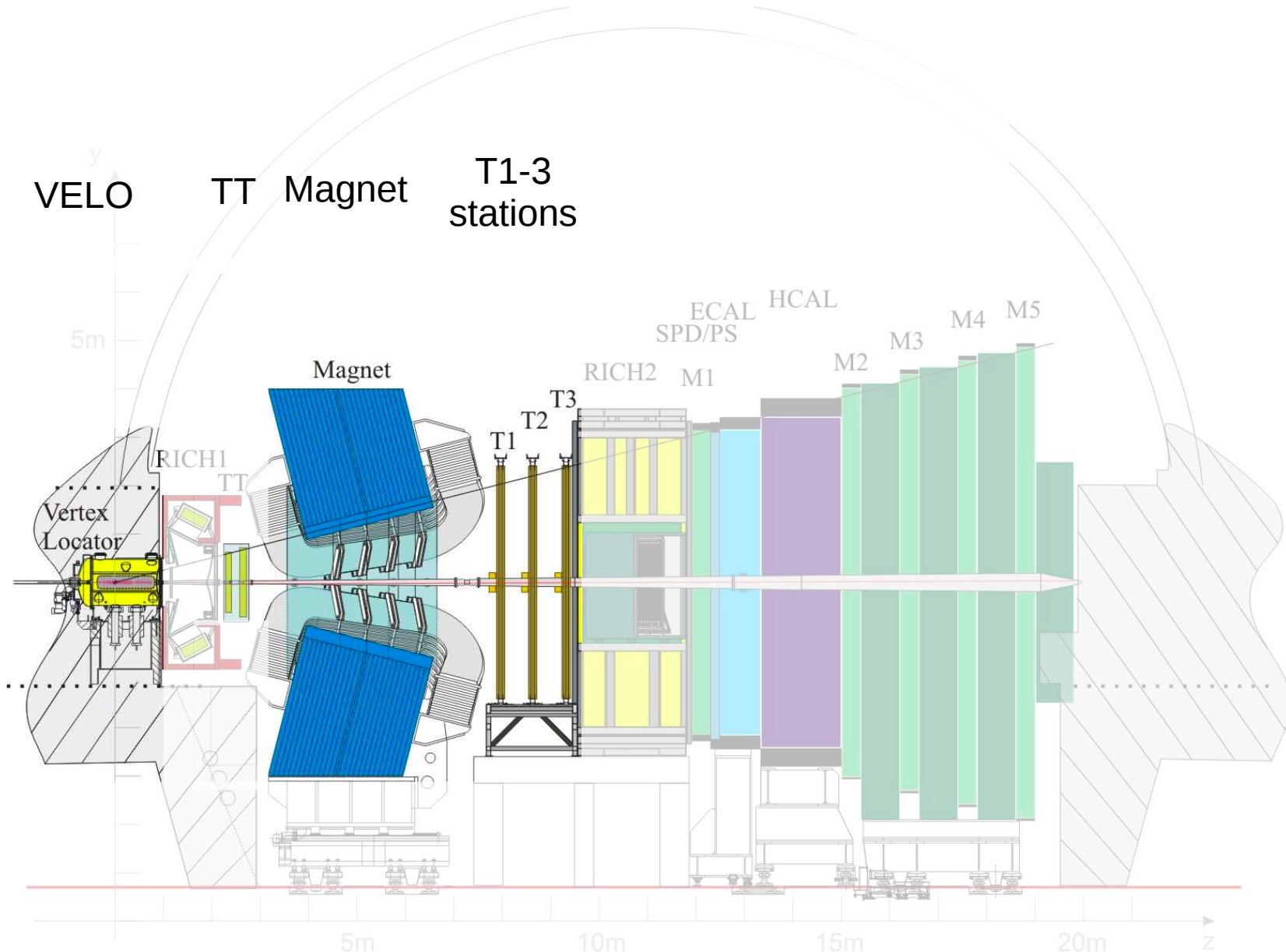
Thank you!

The LHCb detector

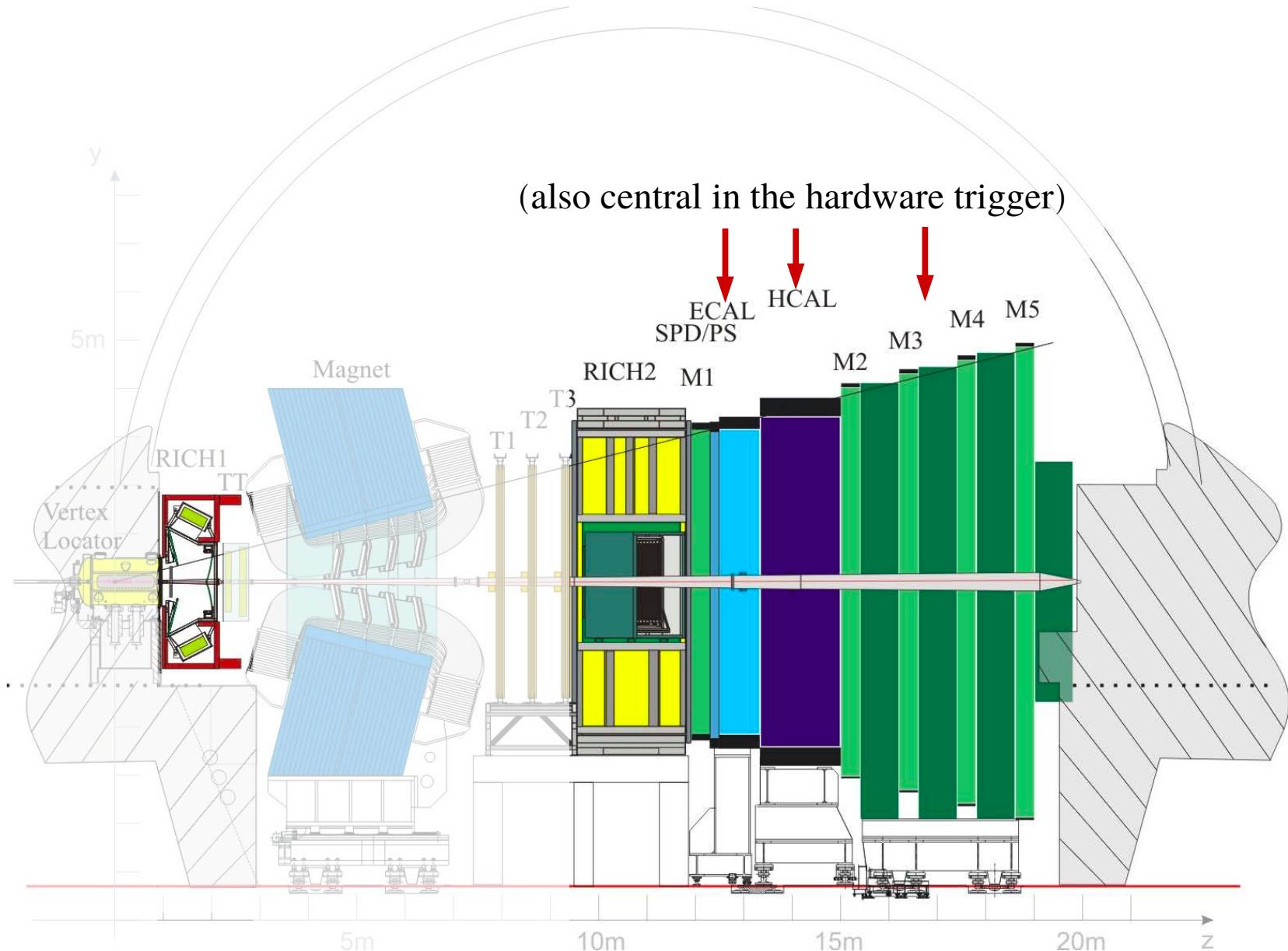
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The LHCb detector: tracking subsystems

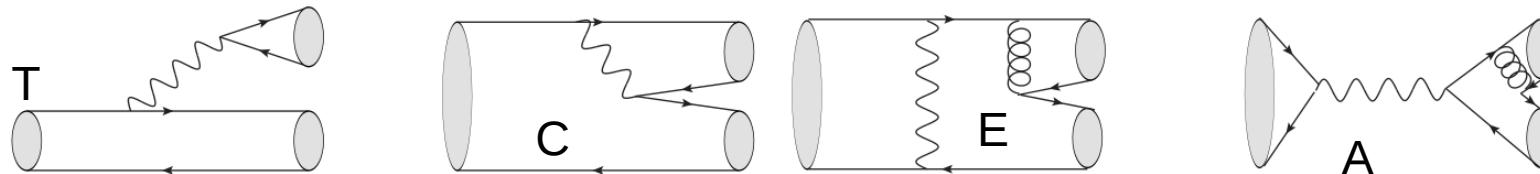


The LHCb detector: particle identification

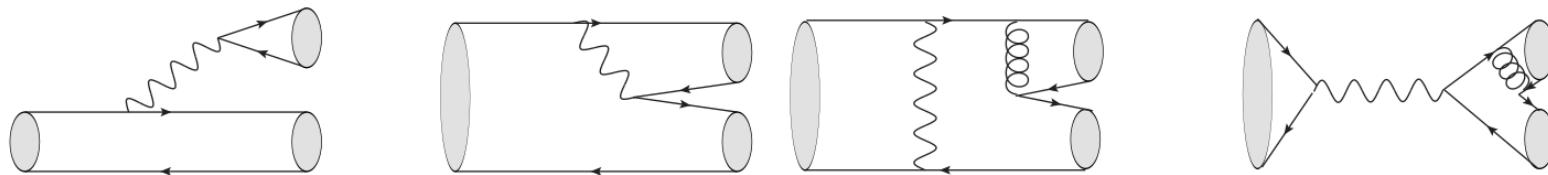


CPV in $D^\pm \rightarrow \eta' \pi^\pm$ and $D_s^\pm \rightarrow \eta' \pi^\pm$ decays (Run 1)

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- Eta or eta': 30% of the BR
- CPV expected at the < 1% level.
- Tree-level:
 - $D_s^+ \rightarrow \eta\pi^+$ (CF): $V_{cs}^* V_{ud}(\sqrt{2}A \cos \phi - T \sin \phi);$
 - $D_s^+ \rightarrow \eta'\pi^+$ (CF): $\boxed{\bullet} D_s^+ \rightarrow \eta'\pi^+$ (CF): $V_{cs}^* V_{ud}(\sqrt{2}A \sin \phi + T \cos \phi);$
 - $D^+ \rightarrow \eta\pi^+$ (SCS): $\frac{1}{\sqrt{2}} V_{cd}^* V_{ud}(T' + C' + 2A') \cos \phi - V_{cs}^* V_{us} C' \sin \phi;$
 - $\bullet D^+ \rightarrow \eta'\pi^+$ (SCS): $\boxed{\bullet} D^+ \rightarrow \eta'\pi^+$ (SCS): $\frac{1}{\sqrt{2}} V_{cd}^* V_{ud}(T' + C' + 2A') \sin \phi + V_{cs}^* V_{us} C' \cos \phi;$
 - $D_s^+ \rightarrow \eta K^+$ (SCS): $V_{cs}^* V_{us} [\frac{1}{\sqrt{2}} A'' \cos \phi - (T'' + C'' + A'') \sin \phi] + \frac{V_{cd}^* V_{ud}}{\sqrt{2}} C'' \cos \phi;$
 - $D_s^+ \rightarrow \eta' K^+$ (SCS): $V_{cs}^* V_{us} [\frac{1}{\sqrt{2}} A'' \cos \phi + (T'' + C'' + A'') \sin \phi] + \frac{V_{cd}^* V_{ud}}{\sqrt{2}} C'' \cos \phi;$
 - $D^+ \rightarrow \eta K^+$ (DCS): $V_{cd}^* V_{us} [\frac{1}{\sqrt{2}} (T''' + A''') \cos \phi - A'''' \sin \phi];$
 - $D^+ \rightarrow \eta' K^+$ (DCS): $V_{cd}^* V_{us} [\frac{1}{\sqrt{2}} (T''' + A''') \sin \phi + A'''' \cos \phi];$



- Signal form: Johnson distributions, tails shared between signals and (p_T -eta) bins

$$f(x; \mu, \sigma, \delta, \gamma) \propto \left[1 + \left(\frac{x - \mu}{\sigma} \right)^2 \right]^{-\frac{1}{2}} \exp \left\{ -\frac{1}{2} \left[\gamma + \delta \sinh^{-1} \left(\frac{x - \mu}{\sigma} \right) \right]^2 \right\}.$$

- Background: 4th order polynomial with parameters Gaussian-constrained by sideband fit.
- Peaking backgrounds: all suppressed except $D_s \rightarrow \phi (\rightarrow \pi^+ \pi^- \pi^0) \pi$, A_{CP} from control sample.
- ACP computed as inverse-variance weighted average over the (p_T -eta) bins.
- Dominant systematic: background model.
 - Background \rightarrow second-order polynomial, ARGUS
 - Fix parameters from sideband, change peaking background contribution
 - Neglected contributions, signal leaking in sidebands, remaining nonresonant ($K^+ K^-$) in control sample
 - Independently assessed by lifting constraints and observing increase of statistical uncertainty.

Charm mixing and CPV with $D^0 \rightarrow K^\pm \pi^\mp$ decays: systematics

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Source	No CP violation		
	R_D [10 ⁻³]	y' [10 ⁻³]	x'^2 [10 ⁻³]
Instrumental asymm.	< 0.001	< 0.01	< 0.001
Peaking background	± 0.003	± 0.04	± 0.002
Secondary D decays	± 0.010	± 0.21	± 0.011
Ghost soft pions	± 0.008	± 0.15	± 0.008
Total syst. uncertainty	± 0.014	± 0.27	± 0.014
Statistical uncertainty	± 0.028	± 0.45	± 0.023

Source	No direct CP violation				
	R_D [10 ⁻³]	y'^+ [10 ⁻³]	y'^- [10 ⁻³]	x'^{2+} [10 ⁻³]	x'^{2-} [10 ⁻³]
Instrumental asymm.	< 0.001	± 0.08	± 0.08	± 0.003	± 0.004
Peaking background	± 0.003	± 0.04	± 0.04	± 0.002	± 0.002
Secondary D decays	± 0.010	± 0.21	± 0.21	± 0.011	± 0.012
Ghost soft pions	± 0.008	± 0.16	± 0.16	± 0.009	± 0.009
Total syst. uncertainty	± 0.014	± 0.29	± 0.29	± 0.016	± 0.016
Statistical uncertainty	± 0.028	± 0.48	± 0.48	± 0.026	± 0.026

Source	Direct and indirect CP violation					
	R_D^+ [10 ⁻³]	R_D^- [10 ⁻³]	y'^+ [10 ⁻³]	y'^- [10 ⁻³]	x'^{2+} [10 ⁻³]	x'^{2-} [10 ⁻³]
Instrumental asymm.	± 0.006	± 0.006	± 0.04	± 0.03	± 0.002	± 0.001
Peaking background	± 0.003	± 0.003	± 0.04	± 0.04	± 0.002	± 0.002
Secondary D decays	± 0.014	± 0.014	± 0.29	± 0.29	± 0.015	± 0.015
Ghost soft pions	± 0.012	± 0.012	± 0.21	± 0.21	± 0.011	± 0.011
Total syst. uncertainty	± 0.020	± 0.020	± 0.38	± 0.38	± 0.019	± 0.020
Statistical uncertainty	± 0.040	± 0.040	± 0.64	± 0.64	± 0.032	± 0.033

Master formula

- What do we mean when we say that “asymmetries are small”?

$$A_{CP}^{\text{Raw}}(f) = \frac{\mathcal{P}(\Lambda_b^0)\epsilon(\mu^-)\epsilon(f)\Gamma(f) - \mathcal{P}(\bar{\Lambda}_b^0)\epsilon(\mu^+)\epsilon(\bar{f})\Gamma(\bar{f})}{\mathcal{P}(\Lambda_b^0)\epsilon(\mu^-)\epsilon(f)\Gamma(f) + \mathcal{P}(\bar{\Lambda}_b^0)\epsilon(\mu^+)\epsilon(\bar{f})\Gamma(\bar{f})},$$

$$A_P^{\Lambda_b^0}(f) = \frac{\mathcal{P}(\Lambda_b^0) - \mathcal{P}(\bar{\Lambda}_b^0)}{\mathcal{P}(\Lambda_b^0) + \mathcal{P}(\bar{\Lambda}_b^0)},$$

$$A_D^\mu(f) = \frac{\epsilon(\mu^-) - \epsilon(\mu^+)}{\epsilon(\mu^-) + \epsilon(\mu^+)},$$

$$A_D^f(f) = \frac{\epsilon(f) - \epsilon(\bar{f})}{\epsilon(f) + \epsilon(\bar{f})}.$$

, using $x = \frac{1}{2}(x + y)(1 + X),$
 $y = \frac{1}{2}(x + y)(1 - X).$

$$A_{CP}^{\text{Raw}}(f) = \frac{A_P^{\Lambda_b^0} A_D^\mu A_D^f + A_P^{\Lambda_b^0} A_D^\mu A_{CP} + A_P^{\Lambda_b^0} A_D^f A_{CP} + A_D^\mu A_D^f A_{CP} + A_P^{\Lambda_b^0} + A_D^\mu + A_D^f + A_{CP}}{1 + A_P^{\Lambda_b^0} A_D^\mu + A_P^{\Lambda_b^0} A_D^f + A_P^{\Lambda_b^0} A_{CP} + A_D^\mu A_D^f + A_D^\mu A_{CP} + A_D^f A_{CP} + A_P^{\Lambda_b^0} A_D^\mu A_D^f A_{CP}}.$$