#### Holographic Correlators and the Information Paradox

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based on 1606.01119, 1705.09250, 1710.06820 (with A. Bombini, A. Galliani, E. Moscato, R. Russo)

#### Overview

#### Main goal

Study black hole microstates using string theory and holography

 A b.h. microstate is dual to a "heavy" operator (Δ<sub>H</sub> ~ c) and (in some cases) is described by a 10D classical geometry

 $O_H \Leftrightarrow ds_H^2$ 

- Microstates can be probed by "light" operators  $(\Delta_L \sim O(c^0))$  $\langle \bar{O}_H(\infty)O_L(z)\bar{O}_L(1)O_H(0)\rangle \equiv \langle O_L(z)\bar{O}_L(1)\rangle_{ds^2_L}$
- HHLL correlators can diagnose information loss vs. unitarity

#### The semi-classical picture

- The classical b.h. has a central singularity and a smooth horizon
- For a large b.h. the curvature is small at the horizon and EFT should be valid
- EFT implies that the b.h. emits particles in an entangled state

$$|\psi\rangle_{\text{pair}} = \frac{1}{\sqrt{2}} (|\uparrow\rangle_{\text{in}} |\downarrow\rangle_{\text{out}} - |\downarrow\rangle_{\text{in}} |\uparrow\rangle_{\text{out}})$$

$$\rho_{\text{out}} = \text{Tr}_{\mathcal{H}_{\text{in}}} |\psi\rangle_{\text{pair}} \langle\psi|_{\text{pair}}$$

$$= \frac{1}{2} (|\downarrow\rangle_{\text{out}} \langle\downarrow|_{\text{out}} + |\uparrow\rangle_{\text{out}} \langle\uparrow|_{\text{out}})$$

 When the black hole has completely evaporated the outside radiation is entangled with nothing
 > Violation of unitarity!

## **Correlators and Information Loss**

(Maldacena '01)

The 2-point function in a b.h. background vanishes at large t

 $\langle O(t) \, \overline{O}(0) 
angle_{ ext{b.h.}} \sim e^{-4\pi \Delta T_H t}$ 

with  $T_H$  the b.h. temperature

• This is not what we expect for the correlator in the thermal state in a unitary theory with finite entropy

#### Reminder: how to compute correlators in holography

- $\phi(r, x)$  is the bulk field dual to the CFT operator O(x)
- Solve the e.o.m for  $\phi$  with

$$\phi(r, x) \stackrel{r \to \infty}{\longrightarrow} \delta(x) r^{\Delta - d} + b(x) r^{-\Delta}$$
  
source for  $\overline{O}(0)$ 

The correlator is

$$\langle O(x)\,\overline{O}(0)
angle=b(x)$$

► In the black hole background  $b(t, \vec{x}) \sim e^{i\omega t}$  with  $\omega$  = quasinormal frequency  $\sim i T_H$  $\Rightarrow$  large time decay!

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source for  $\overline{O}(0)$ 

The correlator is

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In the black hole background
 b(t, x) ~ e<sup>iωt</sup> with ω = quasinormal frequency ~ i T<sub>H</sub>
 ⇒ large time decay!

## Correlators in unitary theories

In a unitary theory with finite entropy and hence a discrete spectrum

$$\begin{split} \mathcal{C}_{\beta}(t) &\equiv \langle O(t) \, \overline{O}(0) \rangle_{\beta} = Z_{\beta}^{-1} \mathrm{Tr} \, \left[ e^{-\beta H} O(t) \, \overline{O}(0) \right] \\ &= Z_{\beta}^{-1} \sum_{ij} e^{-\beta E_i} |\langle i | O(0) | j \rangle|^2 e^{i(E_i - E_j)t} \end{split}$$

• The long-time average of the correlator is

$$\lim_{T \to \infty} \frac{1}{T} \int_0^T dt \, |\mathcal{C}_\beta(t)|^2 \sim \frac{Z_{2\beta}}{Z_\beta^2} \sim e^{-S}$$

• Hence  $C_{\beta}(t)$  cannot be exponentially vanishing at late times

Qualitative behaviour of  $C_{\beta}(t)$ 

$$\mathcal{C}_{\beta}(t) = Z_{\beta}^{-1} \sum_{ij} e^{-eta E_i} |\langle i|O(0)|j \rangle|^2 e^{i(E_i - E_j)t}$$

- For t ≪ (E<sub>i</sub> − E<sub>j</sub>)<sup>-1</sup> ~ βe<sup>S</sup> the spectrum can be approximated as continuous: C<sub>β</sub> is the Fourier transform of a function of width ~ β<sup>-1</sup> and hence C<sub>β</sub> ~ e<sup>-t/β</sup>
- For t ~ βS the correlator is of the order of its long-time average e<sup>-S</sup>: it oscillates irregularly and no longer decreases
- For t ~ (E<sub>i</sub> − E<sub>j</sub>)<sup>-1</sup> most of the phases are again of order 1 and hence C<sub>β</sub> ~ O(1)



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## A microscopic BH model: D1-D5-P

- (Strominger, Vafa)
- The simplest BPS black hole with a finite-area horizon is

D1-D5-P on  $\mathbb{R}^{4,1} imes S^1 imes T^4$ 

- We take  $\operatorname{vol}(T^4) \sim \ell_s^4$  and  $R(S^1) \gg \ell_s \Rightarrow 2\mathsf{D} \mathsf{CFT}$
- $\bullet\,$  The b.h. has a "near-horizon" limit:  $\text{AdS}_3\times \mathcal{S}^3\times \mathcal{T}^4$
- We take  $G_N \rightarrow 0$  with  $R_{AdS}$  fixed  $\Rightarrow c = 6n_1n_5 \equiv 6N \rightarrow \infty$
- The CFT has a 20-dim moduli space:
  - $g_s N 
    ightarrow 0$  : free orbifold point  $\iff R_{AdS} \ll \ell_s$
  - $g_s N \gg 1$  : strong coupling point  $\iff R_{AdS} \gg \ell_s$

#### Goal

Understand b.h. microstates at the strong coupling point

#### The D1-D5 CFT

• Symmetries:

(4, 4) SUSY with  $SU(2)_L \times SU(2)_R$  affine R-symmetry

At the orbifold point

sigma model on  $(T^4)^N/S_N$ 

one has free bosons and fermions

 $(\partial X_r^{A\dot{A}}(z), \psi_r^{\alpha A}(z)), \ (\bar{\partial} X_r^{A\dot{A}}(\bar{z}), \tilde{\psi}_r^{\dot{\alpha} A}(\bar{z})) \text{ with } r = 1, \dots, N$ 

 $(\alpha \leftrightarrow SU(2)_L, \dot{\alpha} \leftrightarrow SU(2)_R, A \leftrightarrow SU(2)_1, \dot{A} \leftrightarrow SU(2)_2)$ 

Spectral flow:

$$\mathrm{NS} 
ightarrow \mathrm{R} ~:~ j 
ightarrow j + rac{N}{2} ~,~ h 
ightarrow h + j + rac{N}{4}$$

#### 2-charge states

- States carrying D1-D5 charges are RR ground states: *h* = *h* = <sup>N</sup>/<sub>4</sub>
   Note: *h* ~ *c* ⇒ these are "heavy" operators
- They are constructed from elementary "strands" characterised by winding *w* and SU(2)<sub>L</sub> × SU(2)<sub>R</sub> spin |s⟩



with the constraint  $\sum_i w_i N_i = N$ 

#### A small black hole

 The statistical ensemble of D1-D5 states is described by the "massless BTZ" geometry

$$\frac{ds^2}{R_{AdS}^2} = \frac{dr^2}{r^2} + r^2(-dt^2 + dy^2)$$

- It is a singular geometry with  $A_{Hor} = 0$
- Correlators in this geometry still display information loss

 $\langle {\it O}(t)\overline{{\it O}}(0)
angle_{
m BTZ_0}\sim t^{-\Delta}$ 

## The geometry of microstates

- We can associate a 10D geometry to (coherent superpositions of) RR ground states
- All these geometries are smooth and horizonless
- They are asymptotically AdS<sub>3</sub> × S<sup>3</sup> × T<sup>4</sup> but in the interior AdS<sub>3</sub> and S<sup>3</sup> are non-trivially mixed
- They can be extended to asymptotically flat geometries



 $\mathbb{R}^{4,1} imes S^1 imes T^4$ 

 $AdS_3 \times \textit{S}^3 \times \textit{T}^4$ 

 $r \sim R_{
m Hor}$  no horizon!

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#### A note on 3-charge states

 We know the dual geometries for a class of D1-D5-P states, known as superstrata
 (Bena, SG, Martinec, Russo, Shigemori, Turton, Warner)

$$\prod_{i} \left[ (J_{-1}^{+})^{m_{i}} (L_{-1} - J_{-1}^{3})^{n_{i}} |s_{i}\rangle_{w_{i}} \right]^{N_{i}}$$

Note:  $J_{-1}^+$ ,  $L_{-1} - J_{-1}^3 \xrightarrow{\text{spectral flow}} J_0^+$ ,  $L_{-1}$  generate the global chiral algebra

#### In this talk I will restrict to 2-charge states

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## Example I: maximally rotating ground state

The simplest D1-D5 state is the maximally rotating one



Spectral flow maps this state into the NS vacuum:

$$|+,+\rangle_1^N \xrightarrow{\mathrm{s.f.}} |0\rangle_{NS}$$

 On the gravity side spectral flow is a change of coordinates mixing AdS<sub>3</sub> (t, y) and S<sup>3</sup> (φ, ψ) coordinates

$$\phi \to \phi + \frac{t}{R} \quad , \quad \psi \to \psi + \frac{y}{R}$$

and maps the geometry dual to  $|+,+\rangle_1^N$  into  $AdS_3 \times S^3$ 

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## Example II: conical defects

• A state made of *N*/*k* identical copies of strands of winding *k* 



The non-globally-defined change of coordinates

$$\phi \to \phi + \frac{t}{kR} \quad , \quad \psi \to \psi + \frac{y}{kR}$$

maps the geometry dual to  $|+,+\rangle_k^{N/k}$  into  $AdS_3/\mathbb{Z}_k \times S^3$ 

• Generic states in the 2-charge ensemble have  $k \sim \sqrt{N}$ 

### The light operators

#### We consider

$$O_L^{(1)} = \sum_{r=1}^N \frac{\epsilon_{AB}}{\sqrt{2N}} \psi_r^{-A} \tilde{\psi}_r^{-B} , \ O_L^{(2)} = \sum_{r=1}^N \frac{\epsilon_{AB}}{\sqrt{2N}} \partial X_r^{A\dagger} \bar{\partial} X_r^{B\dagger}$$

O<sub>L</sub><sup>(1)</sup> is an anti-chiral-primary with h<sub>L</sub> = h
<sub>L</sub> = -j<sub>L</sub> = -j
<sub>L</sub> = 1/2
O<sub>L</sub><sup>(2)</sup> is a superdescendant

$$O_L^{(2)} = G_{-1/2}^+ \tilde{G}_{-1/2}^+ O_L^{(1)}$$
 with  $h_L = \bar{h}_L = 1, j_L = \bar{j}_L = 0$ 

• Since  $G|O_H
angle= ilde{G}|O_H
angle=0$ , correlators satisfy the Ward identity

$$\langle O_{H}|O_{L}^{(2)}\overline{O}_{L}^{(2)}|O_{H}\rangle = \partial\bar{\partial}\left[|z|\langle O_{H}|O_{L}^{(1)}\overline{O}_{L}^{(1)}|O_{H}\rangle\right]$$

## The light operators: gravity picture

• 
$$O_L^{(2)}$$
 is dual to a 6D minimally coupled scalar

•  $O_L^{(1)}$  is dual to a scalar coupled to a 2-form in 6D

Solving the wave equation for  $O_L^{(2)}$  is much simpler than for  $O_L^{(1)}$ 

In the following we will use the notation:

 $\mathcal{C}_{H}^{(i)}(z,ar{z})\equiv \langlear{O}_{H}(\infty)O_{L}^{(i)}(z,ar{z})ar{O}_{L}^{(i)}(1)O_{H}(0)
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angle$ 

Correlators in conical defects:  $|O_H\rangle = (|++\rangle_k)^{N/k}$ 

$$\mathcal{C}_{k}^{(1)}(z, \bar{z}) = rac{k^{-1}}{|z||1-z|^{2}} rac{1-|z|^{2}}{1-|z|^{rac{2}{k}}}$$

- The result is the same both in gravity and in the orbifold CFT
- The only operators in the OPE  $O_L^{(1)}\overline{O}_L^{(1)}$  that have a non-zero vev in the state  $(|++\rangle_k)^{N/k}$  are  $J^3$ -descendants of the identity

$$O_L^{(1)}(z)\overline{O}_L^{(1)}(1) = rac{1}{|1-z|^2} \Big[ 1 + (1-z)J^3 + (1-ar{z}) ilde{J}^3 + \dots \Big]$$

 In this case the correlator is completely determined by the chiral algebra and thus it is protected Late time behaviour of  $C_k^{(1)}$ 

• Using  $z = e^{i(\tau+\sigma)}$ ,  $\bar{z} = e^{i(\tau-\sigma)}$  with  $\tau = t/R$ ,  $\sigma = y/R$ 

$$C_k^{(1)} \sim \frac{e^{-i\tau}\sin\tau}{\cos\tau - \cos\sigma} \frac{k^{-1}}{1 - e^{2i\tau/k}}$$

• For large  $\tau$  ( $\tau \gtrsim k$ )  $C_k^{(1)}$  is an oscillating function of  $\tau$  $\Rightarrow$  no information loss!

• For  $\tau$  not so large ( $\tau \ll k$ )

$$\mathcal{C}_k^{(1)} \sim \tau^{-1}$$

 $\Rightarrow$  non-unitary b.h. behaviour

• Note that for typical states  $k \sim \sqrt{N} \sim S$  as expected

## A more generic state



- In the large N limit the sum is dominated by  $p \sim B^2$
- The dual geometry is a deformation of AdS<sub>3</sub> × S<sup>3</sup> and cannot be mapped into AdS<sub>3</sub> × S<sup>3</sup> by any change of coordinates
- The deformation is essentially controlled by one scalar  $w \sim C^{(0)}$

$$w = b \frac{a}{\sqrt{r^2 + a^2}} \sin \theta \cos \phi$$
  
with  $a = a_0 \frac{A}{\sqrt{N}}$ ,  $\frac{b}{\sqrt{2}} = a_0 \frac{B}{\sqrt{N}}$ ,  $a_0 = \frac{\sqrt{Q_1 Q_5}}{B}$ 

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## A dynamical correlator: $|O_H\rangle = |s\rangle_B$

#### Free CFT

$$\mathcal{C}_B^{(1)} = \frac{1}{|z||1-z|^2} + \frac{B^2}{2N} \frac{|z|^2 + |1-z|^2 - 1}{|z||1-z|^2} + \frac{A^2 B^2}{N} \left(1 - \frac{1}{N}\right) \frac{1}{|z|}$$

#### Gravity

$$\mathcal{C}_{B}^{(1)} = \frac{a}{a_{0}}e^{-i\tau}\sum_{l\in\mathbb{Z}}e^{il\sigma}\sum_{n=1}^{\infty}\frac{\exp\left[-i\frac{a}{a_{0}}\sqrt{(|l|+2n)^{2}+\frac{b^{2}l^{2}}{2a^{2}}\tau}\right]}{\sqrt{1+\frac{b^{2}}{2a^{2}}\frac{l^{2}}{(|l|+2n)^{2}}}} + N\frac{b^{2}}{2a_{0}^{2}}e^{-i\tau}$$

# Properties of $C_B^{(1)}$

- For  $b^2/a^2 \ll 1$  we can compute independently the correlators with  $O_L^{(1)}$  and  $O_L^{(2)}$  and check the Ward identity
- Non-BPS multiparticle operators contribute to  $C_B^{(1)}$

$$O_{L}^{(1)}(z)\overline{O}_{L}^{(1)}(1) = \sum_{n,\ell} (1-z)^{n+\ell-1} (1-\overline{z})^{\ell-1} : O_{L}^{(1)} \partial^{n+\ell} \overline{\partial}^{\ell} \overline{O}_{L}^{(1)} : + \dots$$

 $\Rightarrow$  the correlator is non-protected

In the light-cone limit z̄ → 1 (τ → σ) only the L<sub>-n</sub> and J<sup>3</sup><sub>-n</sub> descendants of the identity can contribute at strong coupling. Indeed the gravity correlator tends to

$$\mathcal{C}_B^{(1)} \sim \frac{1}{1-\bar{z}} \frac{\alpha \, z^{-1/2}}{1-z^{\alpha}} \quad \text{with} \quad \alpha = \frac{a^2}{a_0^2}$$

which is the affine block of the identity at large c (F

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# Late time behaviour of $\mathcal{C}_{B}^{(1)}$

When a ≪ a₀ the microstate geometry reduces to massless BTZ
 In this limit the C<sup>(1)</sup><sub>B</sub> series is dominated by terms with n ≫ <sup>a₀</sup><sub>2a</sub>|I|

$$\mathcal{C}_{B}^{(1)} \sim e^{-i\tau} \left[ rac{1}{1 - e^{i(\sigma - \tau)}} + rac{1}{1 - e^{-i(\sigma + \tau)}} - 1 
ight] rac{rac{a}{a_{0}}}{1 - e^{-2irac{a}{a_{0}} au}}$$

For τ ≪ <sup>a<sub>0</sub></sup>/<sub>a</sub> one recovers the BTZ behaviour C<sup>(1)</sup><sub>B</sub> ~ τ<sup>-1</sup>
 For τ ≥ <sup>a<sub>0</sub></sup>/<sub>a</sub> C<sup>(1)</sup><sub>B</sub> oscillates and stops decreasing with τ

No information loss even for non-protected correlators!

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#### No information loss even for non-protected correlators!

## Connection with LLLL correlators?

• Our computation does not use Witten diagrams:  $O_H \rightarrow ds_H^2$ 



• Witten diagrams in *AdS*<sub>3</sub> are subtle ...

(D'Hoker, Freedman, Rastelli)

- When  $b \ll a_0$  the state  $|O_H\rangle$ , spectrally flowed to the NS sector, is "light":  $h_{NS} = \frac{N}{4} \frac{b^2}{a_0^2} \ll N$
- In this limit HHLL correlators reduce to LLLL ones?
- No! There is an order of limits problem:
  - HHLL: take  $N \to \infty$  with  $b^2/N$  fixed, and then  $b^2/N \ll 1$
  - LLLL: take  $N \rightarrow \infty$  with *b* fixed and small

#### An overview of the fuzzball program

- We know families of smooth horizonless geometries with the same charges as 2, 3 (and 4)-charge black holes
- We can link these geometries to states of the D1-D5 CFT that is dual to the Strominger-Vafa black hole

#### Fuzzballs represent black hole microstates

- In some limits the microstate geometries are indistinguishable from the black hole if probed for a short time
- For sufficiently long times the microstate geometries deviate significantly from the black hole and produce correlators that are consistent with unitarity

#### Fuzzballs: open problems

- 2-charge: formally we know all the states but the sugra description becomes unreliable for typical ones (Chen, Michel, Polchinski, Puhm)
- 3-charge: the known geometris capture a parametrically small fraction of the entropy
- It is possible that most of the 3-charge states ("pure Higgs states") do not admit a description in supergravity

(Bena, Berkooz, de Boer, El-Showk, Van den Bleeken; Sen)

 If sugra probes cannot distinguish typical states from the black hole, which tools do we have to describe them?