FLAVOR ANOMALIES AND STERILE NEUTRINOS

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BASED ON: HEP-PH 1804.04642 WITH ADMIR GRELJO, DEAN ROBINSON, JURE ZUPAN HEP-PH 1806.XXXXX WITH DEAN ROBINSON, JURE ZUPAN

R(D^(*)) ANOMALY

For the past 5 years, persistent, signals of lepton flavor universality violation in the ratios

$$R(D^{(*)}) \equiv \frac{\Gamma[B \to D^{(*)}\tau\nu_{\tau}]}{\Gamma[B \to D^{(*)}I\nu]}, \qquad I = \mu, \ e.$$

 $[D^{(*)} = \overline{c}q \text{ is a scalar (vector) meson}]$





from D. Robinson



see talk this morning by C. Fitzpatrick

R(D^(*)) ANOMALY

requires new physics that couples bctv at a level comparable to SM

several constraints:

enhanced $B_c \rightarrow \tau \nu$ decay rate

- modified differential distributions due to interference effects
 - additional interactions due to SU(2) nature of v

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THIS TALK

consider the possibility that the $R(D^{(*)})$ signal arises due to **NP coupling to right-handed (sterile) neutrinos** N_R instead of the SM neutrinos

N_R OPERATORS FOR R(D^(*))

Dim-6 operators involving N_R

$$Q_{\rm SR} = \epsilon_{ab} \left(\bar{Q}_L^a d_R \right) \left(\bar{L}_L^b N_R \right), \qquad \qquad Q_{\rm SL} = \left(\bar{u}_R Q_L^a \right) \left(\bar{L}_L^a N_R \right), Q_{\rm T} = \epsilon_{ab} \left(\bar{Q}_L^a \sigma^{\mu\nu} d_R \right) \left(\bar{L}_L^b \sigma_{\mu\nu} N_R \right), \qquad \qquad Q_{\rm VR} = \left(\bar{u}_R \gamma^{\mu} d_R \right) \left(\bar{\ell}_R \gamma_{\mu} N_R \right)$$

After electroweak symmetry breaking

 $\mathcal{O}_{SR} = (\bar{c}_L b_R) (\bar{\tau}_L N_R), \qquad \qquad \mathcal{O}_{SL} = (\bar{c}_R b_L) (\bar{\tau}_L N_R), \\ \mathcal{O}_{VR} = (\bar{c}_R \gamma^{\mu} b_R) (\bar{\tau}_R \gamma_{\mu} N_R), \qquad \qquad \mathcal{O}_{T} = (\bar{c}_L \sigma^{\mu\nu} b_R) (\bar{\tau}_L \sigma_{\mu\nu} N_R)$

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{eff}}^{\text{SM}} + \frac{1}{\Lambda_{\text{eff}}^2} \sum_i c_i \mathcal{O}_i \qquad \Lambda_{\text{eff}} = \left(2\sqrt{2}G_F V_{cb}\right)^{-1/2} \simeq 0.87 \left[\frac{40 \times 10^{-3}}{V_{cb}}\right]^{1/2} \text{TeV}$$

UV COMPLETIONS

$$\mathcal{O}_{\rm SR} = \left(\bar{c}_L b_R\right) \left(\bar{\tau}_L N_R\right),$$
$$\mathcal{O}_{\rm VR} = \left(\bar{c}_R \gamma^\mu b_R\right) \left(\bar{\tau}_R \gamma_\mu N_R\right)$$

$$\mathcal{O}_{\rm SL} = (\bar{c}_R b_L) (\bar{\tau}_L N_R),$$
$$\mathcal{O}_{\rm T} = (\bar{c}_L \sigma^{\mu\nu} b_R) (\bar{\tau}_L \sigma_{\mu\nu} N_R)$$

	mediator	irrep	$\delta \mathcal{L}_{ ext{int}}$	WCs
vector	W'_{μ}	$(1,1)_1$	$g'(c_q \bar{u}_R W' d_R + c_N \bar{\ell}_R W' N_R) \qquad c_{\rm VR}$	
scalar	Φ	$(1,2)_{1/2}$	$y_u \bar{u}_R Q_L \epsilon \Phi + y_d \bar{d}_R Q_L \Phi^\dagger + y_N \bar{N}_R L_L \epsilon \Phi$	$c_{ m SL}, \ \ c_{ m SR}$
ark_S	U_1^{μ}	$(3,1)_{2/3}$	$ \left(\alpha_{LQ} \bar{L}_L \gamma_\mu Q_L + \alpha_{\ell d} \bar{\ell}_R \gamma_\mu d_R \right) U_1^{\mu \dagger} + \alpha_{uN} \left(\bar{u}_R \gamma_\mu N_R \right) U_1^{\mu} $	$c_{ m SL}, \ \ c_{ m VR}$
oqu,	$ ilde{R}_2$	$(3,2)_{1/6}$	$\alpha_{Ld} (\bar{L}_L d_R) \epsilon \tilde{R}_2^{\dagger} + \alpha_{QN} (\bar{Q}_L N_R) \tilde{R}_2$	$c_{\rm SR} = 4c_{\rm T}$
lepi	S_1	$(\bar{3},1)_{1/3}$	$z_u(\bar{U}_R^c\ell_R)S_1 + z_d(\bar{d}_R^cN_R)S_1 + z_Q(\bar{Q}_L^c\epsilon L_L)S_1$	$c_{\rm VR},$ $c_{\rm SR} = -4c_{\rm T}$

R(D^(*)) CONTRIBUTIONS







2

10

0.5

0

 $\cos \theta_{D\ell}$

-0.5

1.2

1

0.8

0.6

0.4

0.2

0

-1

 $\frac{1}{\Gamma}\frac{d\Gamma}{d\cos\theta_{D\ell}}$

FITS AND CONSTRAINTS



NP corrections and form factor fits based on

Z. Ligeti, M. Papucci, and D. J. Robinson, JHEP 01, 083 (2017), 1610.02045

F. U. Bernlochner, Z. Ligeti, M. Papucci, and D. J. Robinson, Phys. Rev. D95, 115008 (2017), 1703.05330

BEST FIT VALUES

	Model	WCs	Best Fit	
	W'	$c_{ m VR}$	± 0.46	
	$ ilde{R}_2$	$c_{\rm SR} = 4c_{\rm T}$	± 0.44	
	Φ	$\{c_{ m SR},c_{ m SL}\}$	$\{\pm 1.50, \mp 0.84\}$	excluded by Br(B _c $\rightarrow \tau \nu$)
_			$\{\pm 0.84, \mp 1.50\}$	
	II.	$\begin{cases} C_{\rm MD} & C_{\rm OI} \end{cases}$	$\{\pm 0.45, \mp 0.93\}$	
		(CVR, CSL)	$\{\pm 0.42, \pm 0.24\}$	
	S_1	$\{c_{\rm VR}, c_{\rm SR} = -4c_{\rm T}\}$	$\{\pm 0.55, \mp 0.21\}$	

NEW PHYSICS

• new mediators (W', R_2 , U_1 , S_1):

look for other (more direct) evidence at the LHC - can couple to other SM particles and carry other signatures

- sterile neutrino N_R effects and signals





neutrino mass contribution at 2 loop

sterile neutrino decay

[Note: NO free parameters once R(D^(*)) fixed!]

• flavor structure of the underlying theory crucial:

determines couplings to other SM particles, controls constraints/signatures

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NEXT: study these in more detail in the W' model

THE W' (3221) MODEL

gauge group $\mathcal{G} = SU(3)_c \times SU(2)_L \times SU(2)_V \times U(1)'$ $SU(2)_V \times U(1)' \to U(1)_Y$

Field	$SU(3)_c$	$SU(2)_L$	$SU(2)_V$	U(1)'				
SM-like chiral fermions								
$q_L^{\prime i}$	3	2	1	1/6				
$\ell_L'^i$	1	2	1	-1/2				
$u_R'^i$	3	1	1	2/3				
$d_R'^i$	3	1	1	-1/3				
$e_R'^i$	1	1	1	-1				
$ u_R'^i$	1	1	1	0				
Extra vector-like fermions								
$Q_{L,R}^{\prime i}$	3	1	2	1/6				
$L_{L,R}^{\prime i}$	1	1	2	-1/2				
Scalars								
Н	1	2	1	1/2				
H_V	1	1	2	1/2				

W' talks to SM fermions only via mixing with vector-like fermions. Can appropriately engineer this mixing so that W' talks significantly only to (right-handed) b,c,τ

THE NEUTRINO SECTOR

basis $(\nu'_L, \nu'^c_R, N'_L, N'^c_R)$

$$\mathcal{M}_{\nu} = \begin{pmatrix} 0 & \frac{y_{\nu}v_{\rm EW}}{\sqrt{2}} & 0 & 0 \\ \frac{y_{\nu}v_{\rm EW}}{\sqrt{2}} & \mu & \frac{\lambda_{\nu}v_{V}}{\sqrt{2}} & 0 \\ 0 & \frac{\lambda_{\nu}v_{V}}{\sqrt{2}} & 0 & M_{L} \\ 0 & 0 & M_{L} & 0 \end{pmatrix}$$

$$\begin{aligned} N_R^c &= \cos \theta_N \nu_R'^c - \sin \theta_N N_{R'}'^c \\ \text{esponsible for anomaly} \end{aligned} \quad \tan \theta_N = (\lambda_\nu v_V) / (\sqrt{2}M_L) \\ M_{N_R} \approx \mu \left(M_L / M_{N'} \right)^2 \quad (\mu \ll M_L, \lambda_\nu v_V) \end{aligned}$$

vectorlike states give pseudo-Dirac state of mass $M_{N'} \equiv M_L \sqrt{1 + \tan^2 \theta_N}$ split by O(µ)

The Yukawa couplings y_v can be appropriately chosen such that $y_v v_{EW} \ll \mu$ and the SM neutrinos get the right masses via a low scale type-I seesaw

GAUGE BOSON (W',Z') CONSTRAINTS



dashed blue (red): contours of Z'(W') masses

NEUTRINO MASSES

Dirac mass at 2 loops T_R L' N' V' V' V' V' D' b_R V_L V_L V_L

$$m_D \sim \frac{g^2 V_{cb}}{512\pi^4} \frac{C_{23,3}}{\Lambda_{\text{eff}}^2} m_b m_c m_\tau \approx \mathcal{O}(10^{-3}) \,\text{eV}$$

[For other mediators that couple to left-handed instead of right-handed SM fermions, this contribution can be big and requires cancellations with tree-level Yukawa mass terms for consistency]

STERILE NEUTRINO COSMOLOGY

produced in the early Universe via the same interaction that gives $R(D^{(*)})$

relativistic freezeout: unsuppressed relic density

STERILE NEUTRINO ... DARK MATTER?

RELIC ABUNDANCE:

- overabundant due to relativistic freezeout: need to dilute relic density.
- entropy dilution from additional (heavier) sterile neutrinos (~GeV) that

decay late (just before BBN) can do this

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LIFETIME: $\Gamma_{N_R \to \nu \gamma} \simeq \frac{\alpha}{32 \pi^8} V_{cb}^2 \frac{G_F^2 m_{\tau}^2 m_b^2 m_c^2 m_{N_R}^3}{(\Lambda_{\text{eff}}^2 / C_{23,3})^2}$ $\simeq 10^{-49} \left(\frac{m_{N_R}}{\text{keV}}\right)^3 \text{GeV}.$

• lifetime $\sim 10^{25} (m_{N_R}/\text{keV})^{-3} \text{ s}$



- gamma ray constraint on DM lifetime: $\mathcal{O}(10^{26-28})s$ in the keV-MeV window

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- longer lifetime than age of Universe, but cannot be all of DM
- can be a small fraction of DM, with detectable gamma ray signals

STERILE NEUTRINO AS DARK RADIATION

If light (~ eV), N_R is relativistic at BBN/CMB decoupling, and can contribute

 $\Delta N_{\rm eff} \approx \mathcal{O}(0.1)$

detectable with CMB-S4

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ADDITIONAL STERILE NEUTRINOS

The theory potentially contains additional light v_R (multiple generations, entropy dilution, low scale seesaw...)

not as strongly coupled to W_R, but can still be produced at experiments cosmology requires short (< 1s) lifetime: displaced vertices! signal for MATHUSLA / FASER / CODEX-b

SUMMARY

persistent anomalies in measurements of R(D^(*)) at several experiments

could arise from couplings to sterile neutrinos. many UV completions possible

measurable deviations in kinematic distributions of events possible

predicts heavier mediator particles - LHC can look for them!

exotic sterile neutrino phenomenology:

relic sterile neutrinos can give **measurable dark radiation** or **small fraction of** dark matter that can possibly give gamma ray signals.

additional sterile neutrinos in the sector can give more exotic direct signals (displaced decays)