

# ° Topics in Neutrino Cosmology



Бруно Понтекорво

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Gianpiero Mangano  
INFN Naples, Italy

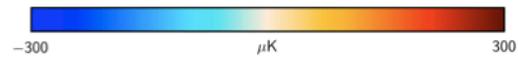
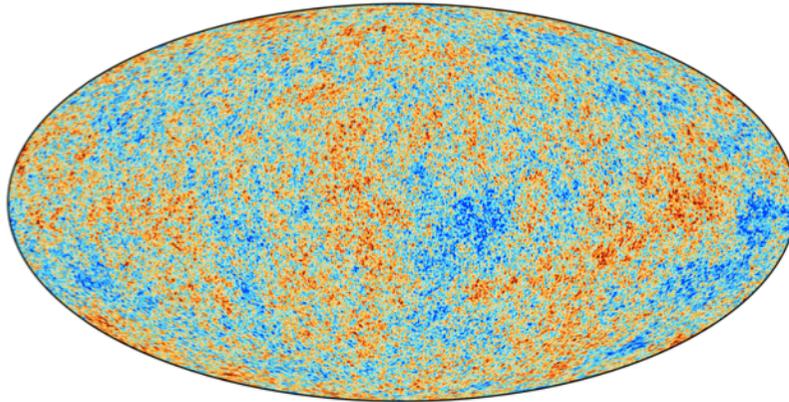


## Two approaches:

1. Ask what cosmology can do for neutrinos!  
(particle physicists?)
2. Ask what neutrinos can do for cosmology!  
(cosmologists?)

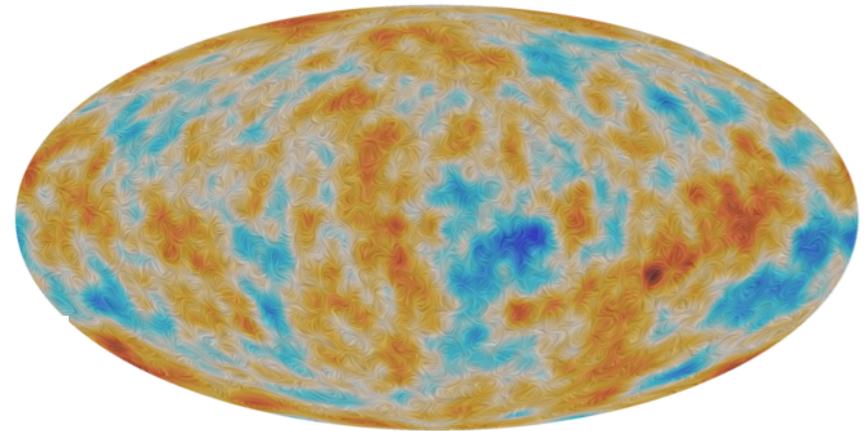
# Planck 2015

Temperature

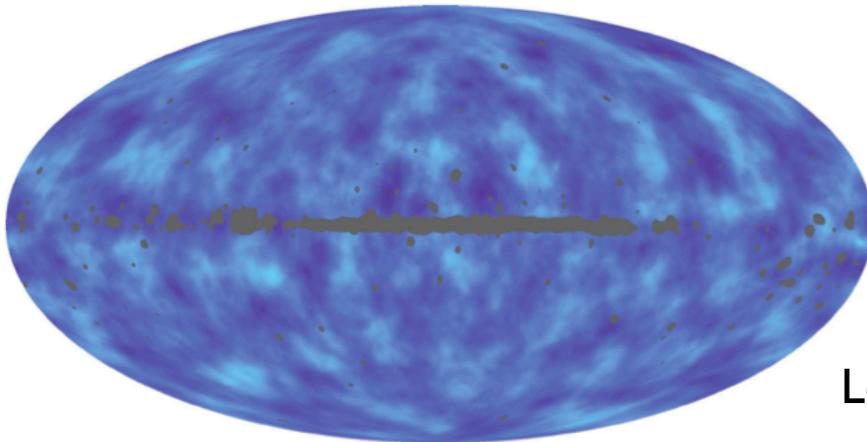


Temperature

Polarization



Polarization



Lensing Potential



# Neutrino properties from cosmology: overview

## Neutrinos impact expansion history:

Extremely high T regime (above EW scale) (Leptogenesis)

Majorana vs. Dirac, see-saw mechanism, high scale physics (Leptogenesis)

High T regime ( $\approx$  MeV):

weak + gravitational effects (BBN)

observables: phase space density (in particular  $\nu_e$  distribution), non standard interactions, chemical potentials, number of species (active, sterile)



Intermediate T regime (eV):

gravitational effects including perturbations (CMB)

observables: phase space density, non standard interactions, mass scale, number of species

Low T regime ( $< \text{eV}$ ):

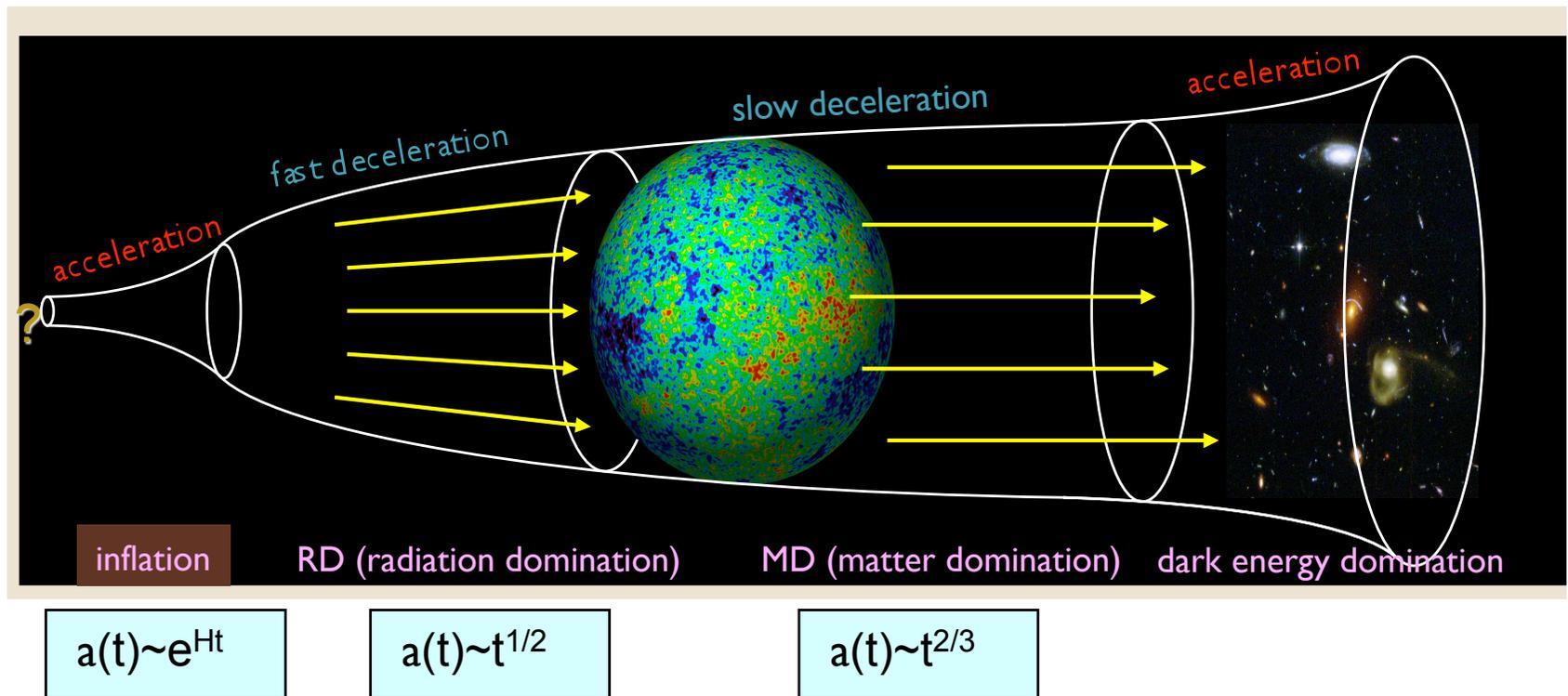
gravitational effects including perturbations (LSS)

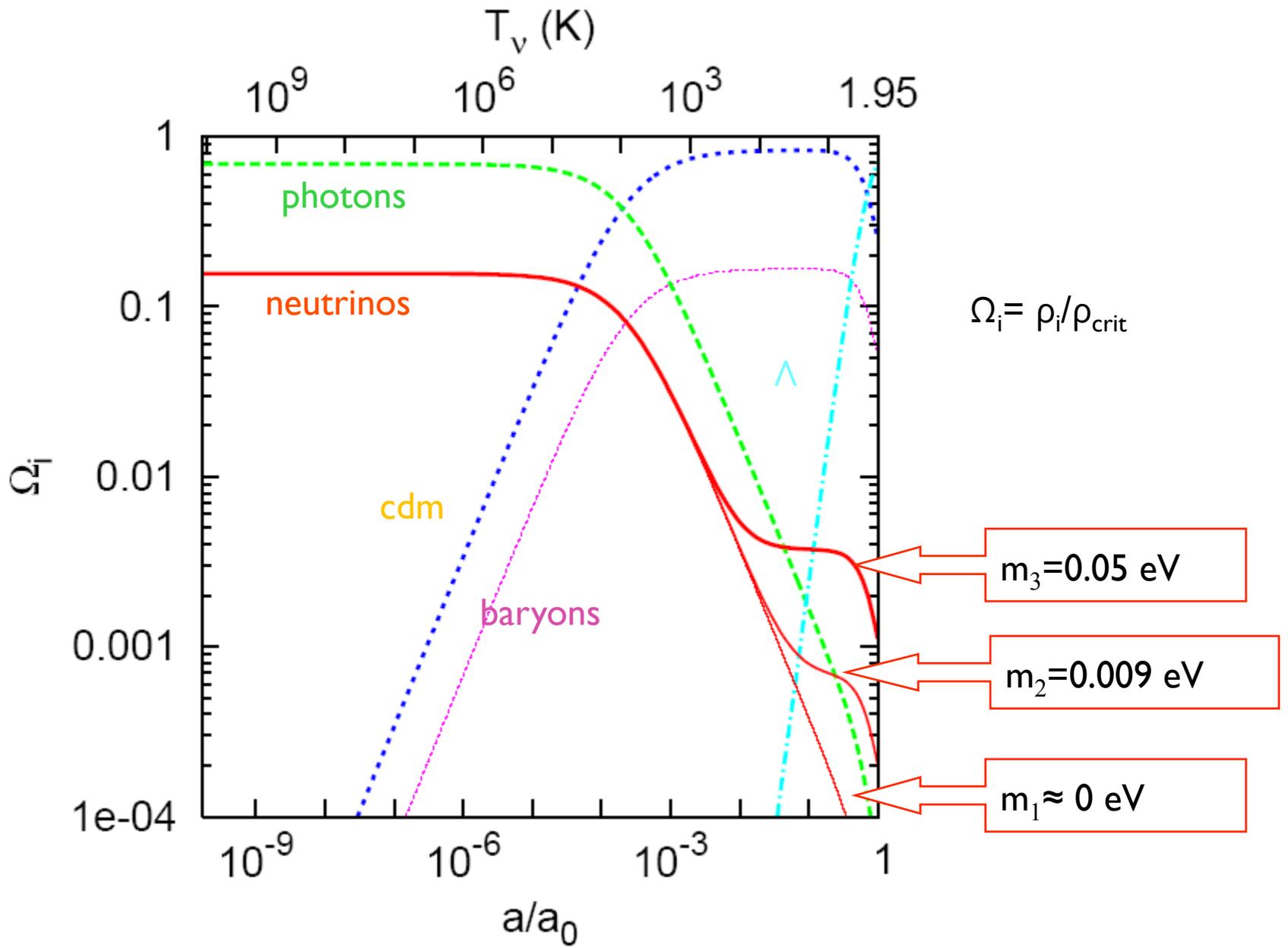
observables: phase space density, non standard interactions, mass scale

Extremely low T regime (today): mass scale, local density  
(CNB direct detection)

# Evolution of the Universe

$$\frac{\ddot{a}}{a} = -\frac{4\pi G}{3}(\rho + 3p)$$





# The Cosmic Neutrino Background

Neutrinos decoupled at  $T \sim \text{MeV}$ , keeping a spectrum as that of a relativistic species

$$f_\nu(p, T) = \frac{1}{e^{p/T_\nu} + 1}$$

$$n_\nu = \int \frac{d^3 p}{(2\pi)^3} f_\nu(p, T_\nu) = \frac{3}{11} n_\gamma = \frac{6\zeta(3)}{11\pi^2} T_{CMB}^3 \approx 112 \text{ cm}^{-3}$$

$$\rho_{\nu_i} = \int \sqrt{p^2 + m_{\nu_i}^2} \frac{d^3 p}{(2\pi)^3} f_\nu(p, T_\nu) \rightarrow \begin{cases} \frac{7\pi^2}{120} \left(\frac{4}{11}\right)^{4/3} T_{CMB}^4 & \text{Massless} \\ & \Omega_\nu h^2 = 1.7 \times 10^{-5} \\ m_{\nu_i} n_\nu & \Omega_\nu h^2 = \frac{\sum_i m_i}{94.1 \text{ eV}} \end{cases}$$

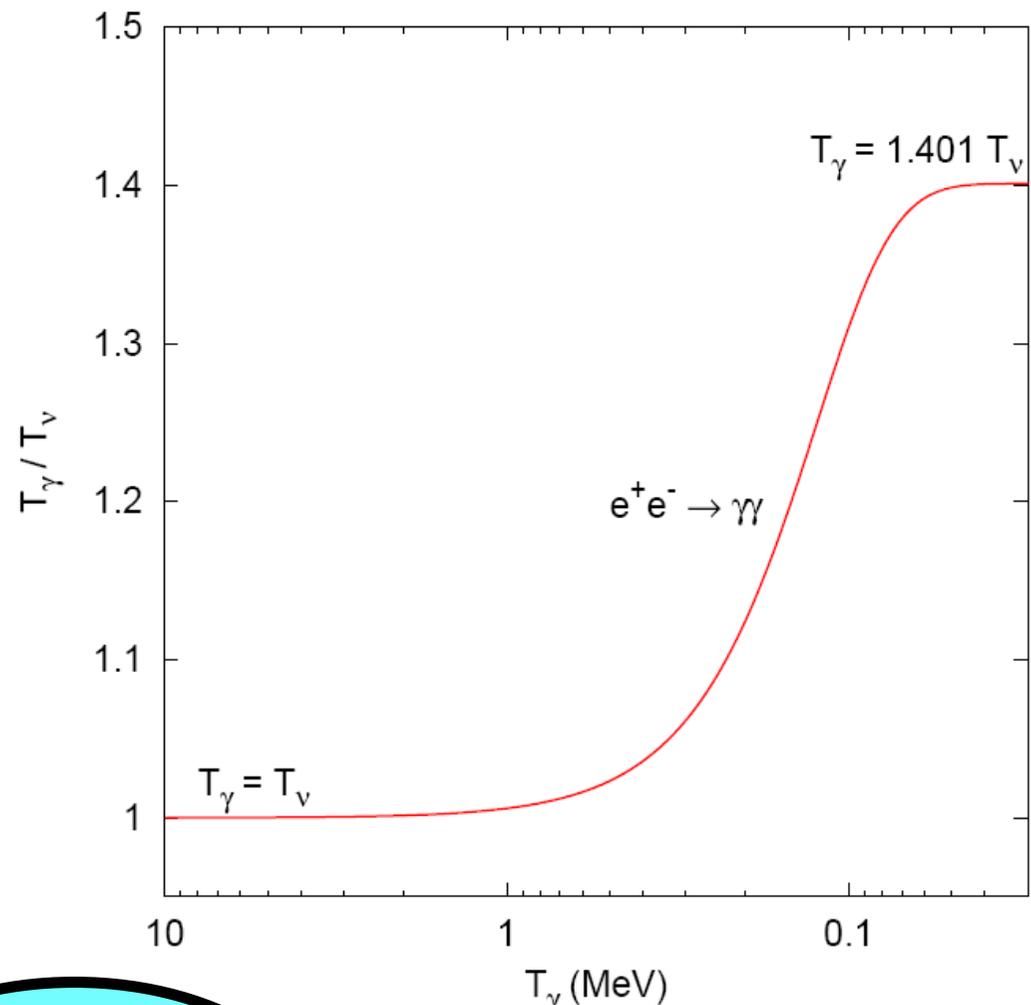
Massive  $m_\nu \gg T$

# Neutrino and Photon (CMB) temperatures

At  $T \sim m_e$ , electron-positron pairs annihilate

$$e^+ e^- \rightarrow \gamma\gamma$$

heating photons but not the decoupled neutrinos



from entropy conservation

$$\frac{T_\gamma}{T_\nu} = \left(\frac{11}{4}\right)^{1/3}$$

$$f_\nu(p, T) = \frac{1}{e^{p/T_\nu} + 1}$$

# Relativistic particles in the Universe

At  $T < m_e$ , the radiation content of the Universe is

$$\rho_r = \rho_\gamma + \rho_\nu = \frac{\pi^2}{15} T_\gamma^4 + 3 \times \frac{7}{8} \times \frac{\pi^2}{15} T_\nu^4 = \left[ 1 + \frac{7}{8} \left( \frac{4}{11} \right)^{4/3} \right] \rho_\gamma$$

$$\rho_r = \rho_\gamma + \rho_\nu = \left[ 1 + \frac{7}{8} \left( \frac{4}{11} \right)^{4/3} N_{\text{eff}} \right] \rho_\gamma$$

# Extra relativistic particles

- Extra radiation can be:

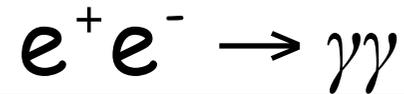
scalars, pseudoscalars, sterile neutrinos (totally or partially thermalized, bulk), neutrinos in very low-energy reheating scenarios, relativistic decay products of heavy particles...

- Particular cases: relic neutrino asymmetries, sterile  $\nu$ 's

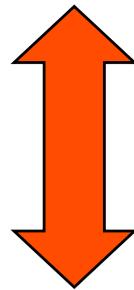
Actually  $N_{\text{eff}}$  is slightly larger than 3 for standard active neutrinos

# Non-instantaneous neutrino decoupling

At  $T \sim m_e$ ,  $e^+e^-$  pairs annihilate heating photons



But, since  $T_{\text{dec}}(\nu)$  is close to  $m_e$ , neutrinos share a  
small part of the entropy release



$$f_\nu = f_{\text{FD}}(p, T_\nu) [1 + \delta f(p)]$$

# Neutrino oscillations in the Early Universe

Neutrino oscillations are effective when medium effects get small enough

Compare oscillation term with effective potentials (see Exercise VI) and neglecting large neutrino asymmetries (see later)

$$(i\partial_t - Hp\partial_p) \rho = \left[ \frac{M^2}{p} - \frac{8\sqrt{2}G_F}{M_W^2} p\rho_{e\pm} + \frac{\sqrt{2}G_F}{3M_Z^2} (\rho_\nu - \bar{\rho}_\nu) - \frac{8\sqrt{2}G_F}{M_Z^2} p\rho_{\nu,\bar{\nu}}, \rho \right] + C(\rho)$$

with  $\rho$  the neutrino density matrix (similarly for antineutrinos)

$$\langle a_b^+(p) a_a(p') \rangle = (2\pi)^3 \delta^3(p - p') \rho_{ab}$$

$\rho$  description to account for scatterings AND oscillations

# Results

	$T_{fin}^\gamma / T_0^\gamma$	$\delta\rho_{\nu e}(\%)$	$\delta\rho_{\nu\mu}(\%)$	$\delta\rho_{\nu\tau}(\%)$	$N_{\text{eff}}$
Instantaneous decoupling	1.40102	0	0	0	3
<b>SM</b>	1.3978	0.94	0.43	0.43	3.045
<b>+3v mixing (<math>\theta_{13}=0</math>)</b>	1.3978	0.73	0.52	0.52	3.045
<b>+3v mixing (<math>\sin^2\theta_{13}=0.02</math>)</b>	1.3978	0.72	0.54	0.52	3.045

# Neutrino asymmetries

neutrino distribution with a flavour dependent chemical potential

$$f_a(p, T) = \frac{1}{e^{p/T_v - \xi_a} + 1}$$

Total lepton asymmetry expected quite small in (standard) leptogenesis

$$\sum_a \eta_a = \sum_a \frac{n_a - n_{\bar{a}}}{n_\gamma} = \sum_a \frac{1}{12\zeta(3)} \left( \pi^2 \xi_a + \xi_a^3 \right) \approx \frac{n_B}{n_\gamma} \eta_B = 6 \times 10^{-10}$$

unless leptogenesis takes place well below the EW breaking scale

$$\exp(-M_W(T)/g^2 T) \ll 1$$

but for each flavour in principle they could be large!

The role of oscillation!

# BBN: Creation of light elements

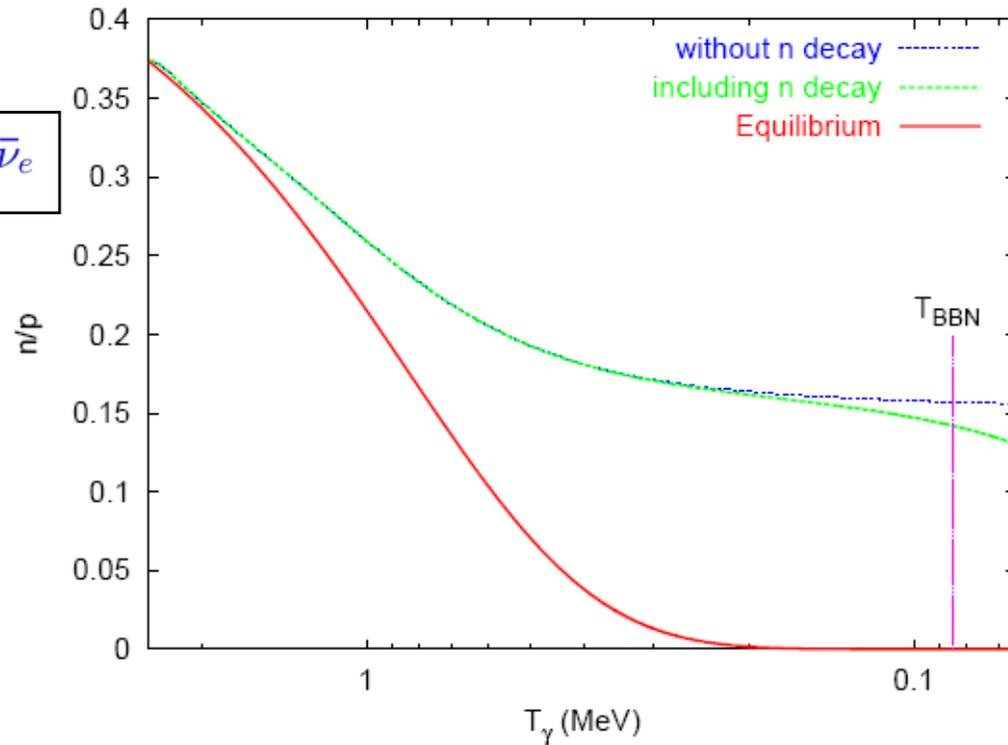
Range of temperatures: from few to 0.01 MeV

$$t \simeq 0,74 \left( \frac{\text{MeV}}{T} \right)^2 \text{ sec}$$

Phase I: few – 0.8 MeV  
n-p reactions



n/p freezing and  
neutron decay



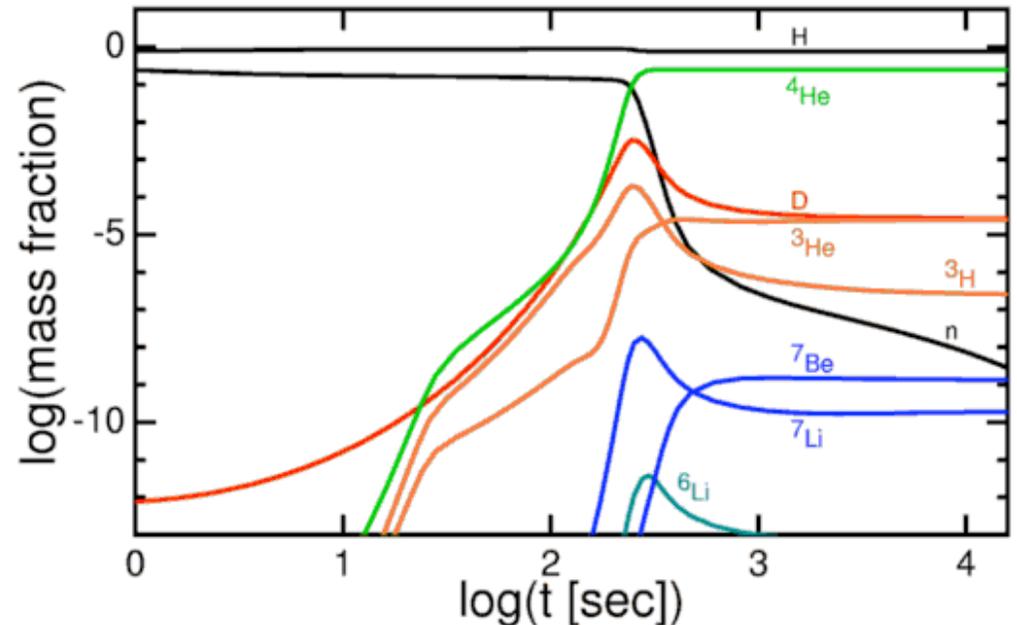
$$\left( \frac{n}{p} \right)_{eq} \simeq \exp \left( -\frac{m_n - m_p}{T_\gamma} \right) = \exp \left( -\frac{1,293 \text{ MeV}}{T_\gamma} \right)$$

Freezing of weak rates and so of n/p ratio

$$G_F^2 T_{\text{fr}}^5 = H(T_{\text{fr}}) \approx (8 \pi G_N g T_{\text{fr}}^4/3)^{1/2}$$

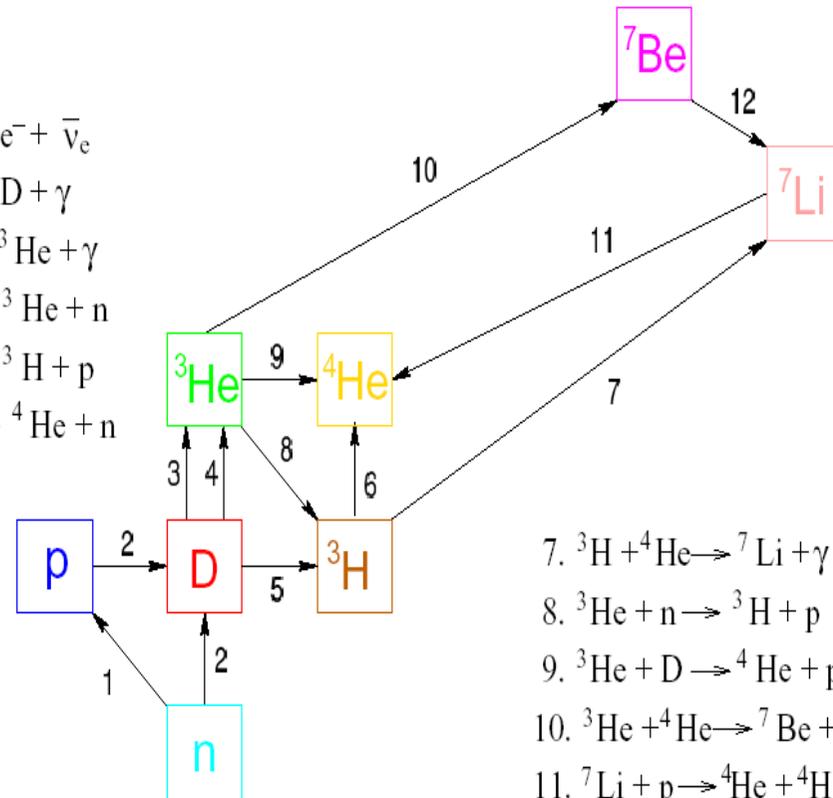
$$n/p = \exp[(-M_n - M_p)/T_{\text{fr}}] \exp[-(t(T_D) - t(T_{\text{fr}}))/\tau_n] \approx 1/7$$

phase II  ${}^2\text{H}$  forms at  $T_D \sim 0.08$  MeV;  
Photodisintegration prevents  
earlier formation for  
temperatures closer  
to nuclear binding energies



Phase III: 700 - 30 keV  
 Formation of light nuclei  
 starting from D

1.  $n \rightarrow p + e^- + \bar{\nu}_e$
2.  $p + n \rightarrow D + \gamma$
3.  $D + p \rightarrow {}^3\text{He} + \gamma$
4.  $D + D \rightarrow {}^3\text{He} + n$
5.  $D + D \rightarrow {}^3\text{H} + p$
6.  ${}^3\text{H} + D \rightarrow {}^4\text{He} + n$



7.  ${}^3\text{H} + {}^4\text{He} \rightarrow {}^7\text{Li} + \gamma$
8.  ${}^3\text{He} + n \rightarrow {}^3\text{H} + p$
9.  ${}^3\text{He} + D \rightarrow {}^4\text{He} + p$
10.  ${}^3\text{He} + {}^4\text{He} \rightarrow {}^7\text{Be} + \gamma$
11.  ${}^7\text{Li} + p \rightarrow {}^4\text{He} + {}^4\text{He}$
12.  ${}^7\text{Be} + n \rightarrow {}^7\text{Li} + p$

## Weak rates:

- radiative corrections  $O(\alpha)$
- finite nucleon mass  $O(T/M_N)$
- plasma effects  $O(\alpha T/m_e)$
- neutrino decoupling  $O(G_F^2 T^3 m_{Pl})$

$$N_{\text{eff}} = 3.045$$

Main uncertainty: neutron lifetime

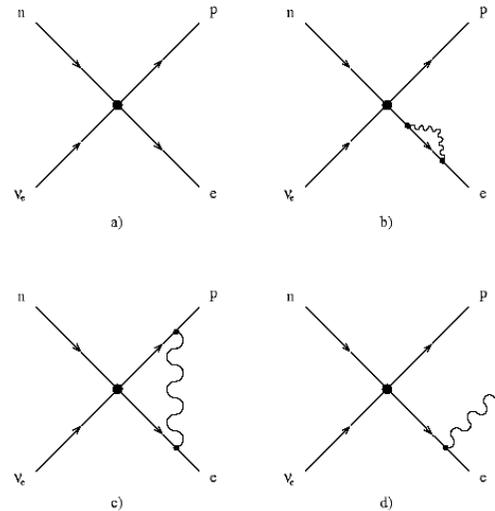
$$\tau_n = 885.6 \pm 0.8 \text{ sec (old PDG mean)}$$

$$\tau_n = 878.5 \pm 0.8 \text{ sec (Serebrov et al 2005)}$$

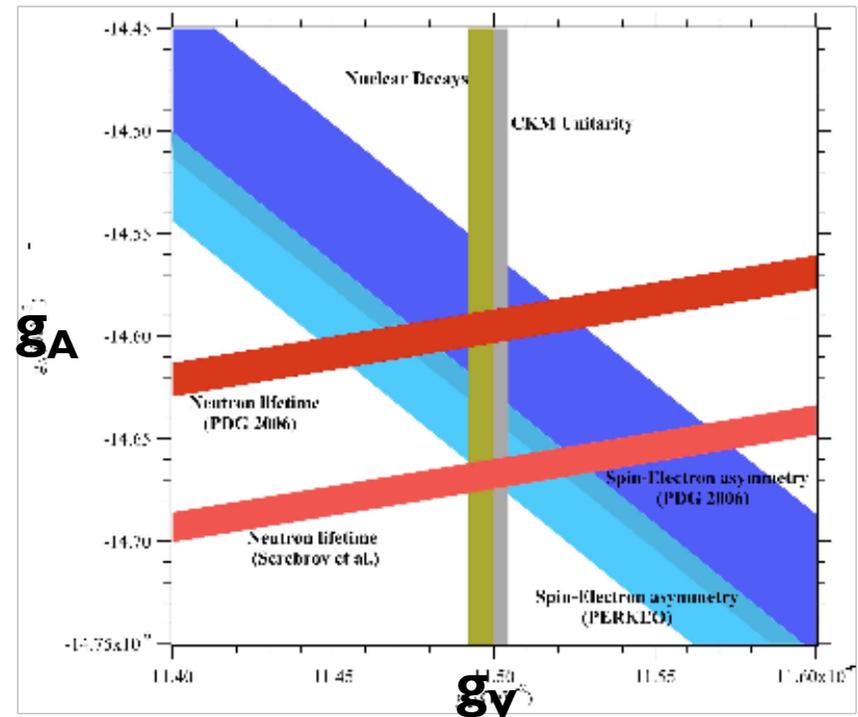
Presently:

$$\tau_n = 880.2 \pm 1.0 \text{ sec (PDG)}$$

$^4\text{He}$  mass fraction  $Y_P$  linearly increases  
with  $\tau_n$ : 0.246 - 0.249

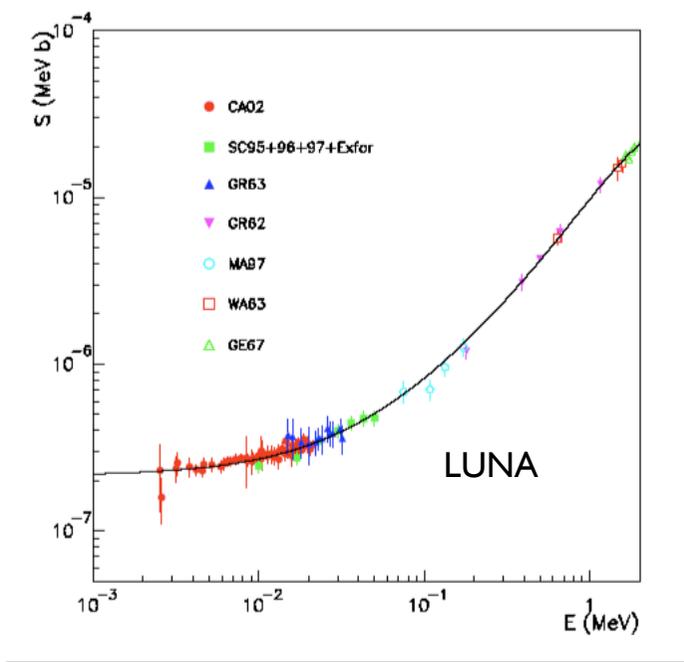


Nico & Snow 2006

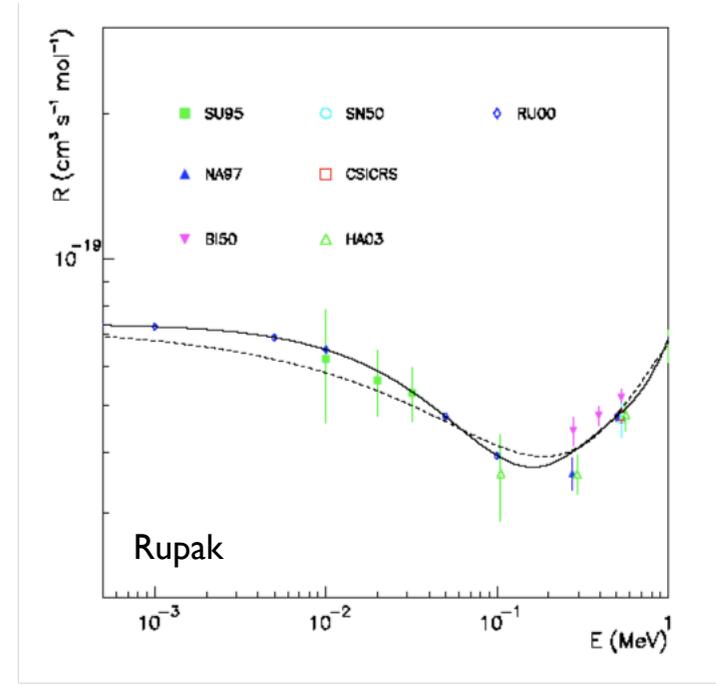


# Nuclear rates:

main input from experiments  
 low energy range ( $10^2$  KeV)  
 major improvement: underground  
 measurements (e.g. LUNA at LNGS)



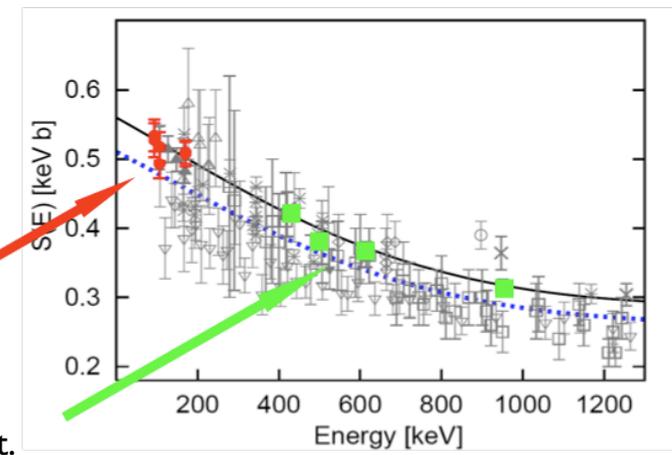
$^2\text{H}(p,\gamma)^3\text{He}$



$n(p,\gamma)^2\text{H}$

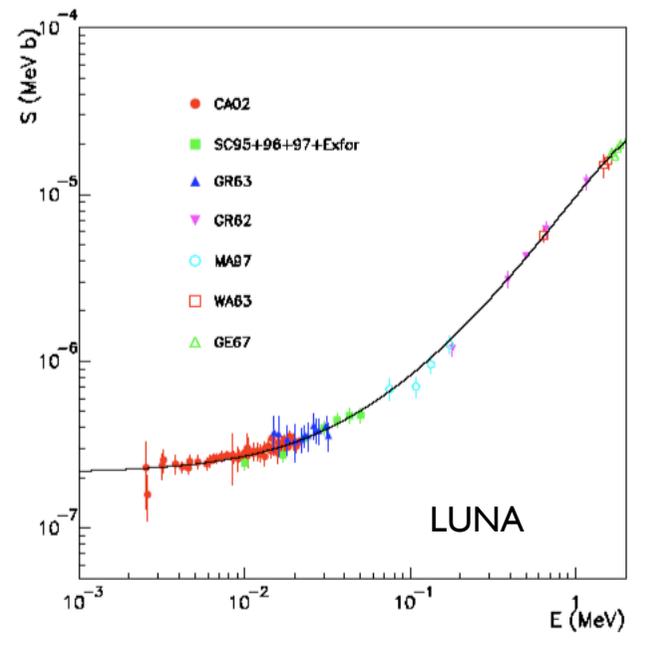
LUNA

Weitzmann Inst.



$^3\text{He}(\alpha,\gamma)^7\text{Be}$

Nuclear rates: for  $d(p,\gamma) {}^3\text{He}$  also available ab initio calculations (Viviani et al 2000 PRC, Marcucci et al 2005 PRC, ..., Marcucci et al 2016 PRL)



${}^2\text{H}(p,\gamma){}^3\text{He}$

Larger cross section than present data fit (Adelberger et al, 2011, Rev. Mod. Phys.)

$$R = \langle S \rangle_{\text{TH}} / \langle S \rangle_{\text{exp}} > 1!$$

Important to check experimentally this result!  
LUNA 2018?

$d(\alpha,\gamma) {}^6\text{Li}$  crucial for  ${}^6\text{Li}$  production, see later



non minimal models:

extra radiation  $g = 5.5 + 7 N_{\text{eff}}/4$

boosts the expansion rate  $H$

$$\xi_i = \mu_i/T \quad i = e, \mu, \tau$$

boosts the expansion rate  $H$

change chemical equilibrium of  $n/p$  ( $\nu_e$ )



# DATA

The quest for primordiality

- ◆ Observations in systems negligibly contaminated by stellar evolution (e.g. high redshift);
- ◆ Careful account for galactic chemical evolution.

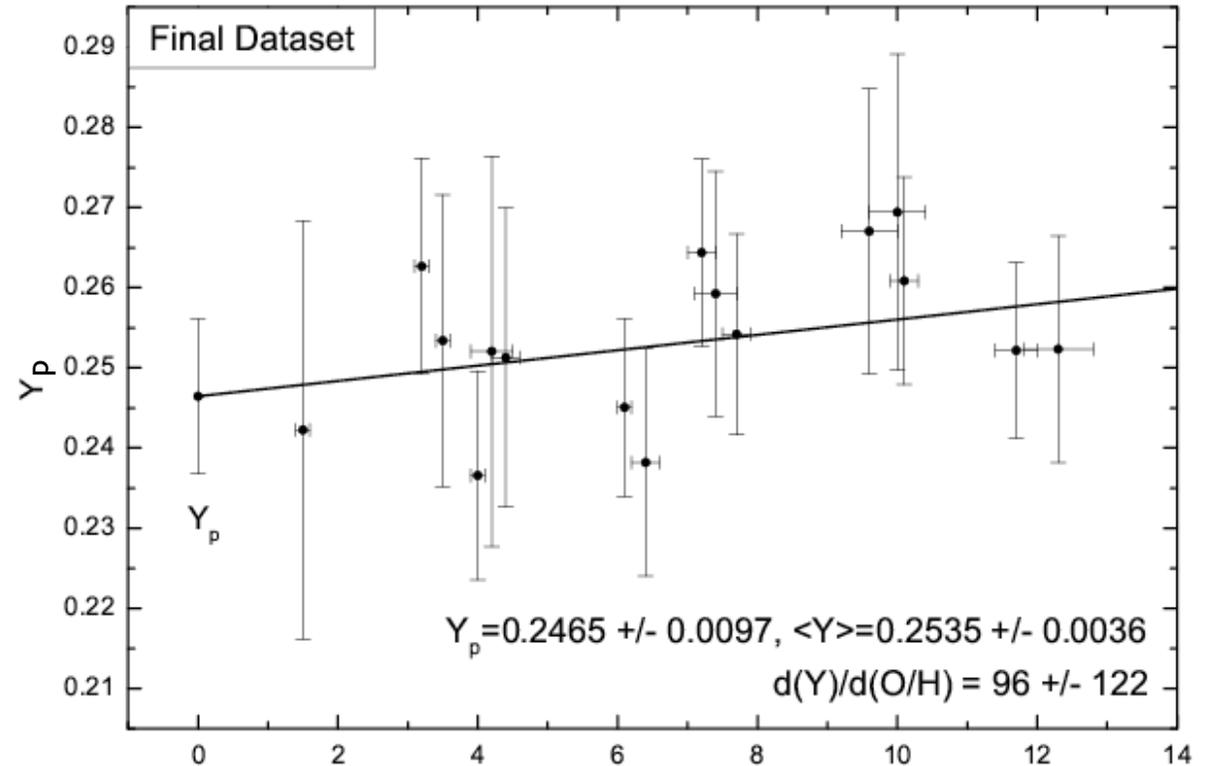
# He recombination lines in ionized H<sub>II</sub> regions in BCG & regression to zero metallicity. Small statistical error but large systematics

Recent analyses:

Izotov & Thuan 2014

Aver, Olive

& Skillmann 2015



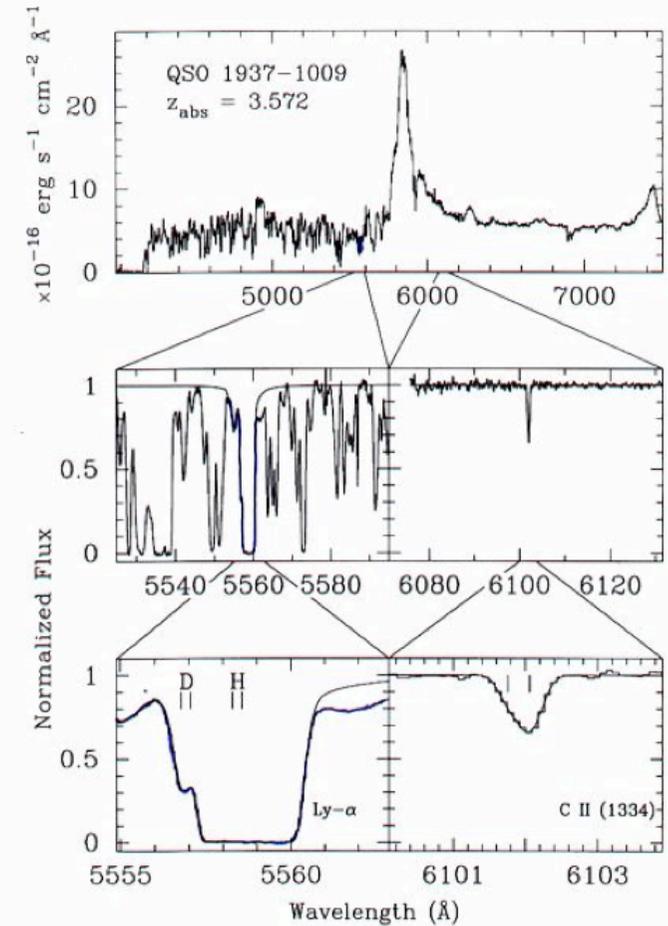
$$Y_p = 4 n_{4\text{He}}/n_B \approx 2n_n/(n_n+n_p) = 2(n/p)/(n/p+1)$$

Aver, Olive & Skillmann 2015

$^2\text{H}$  measures baryon fraction.  
Quite good agreement with  
Planck determination:

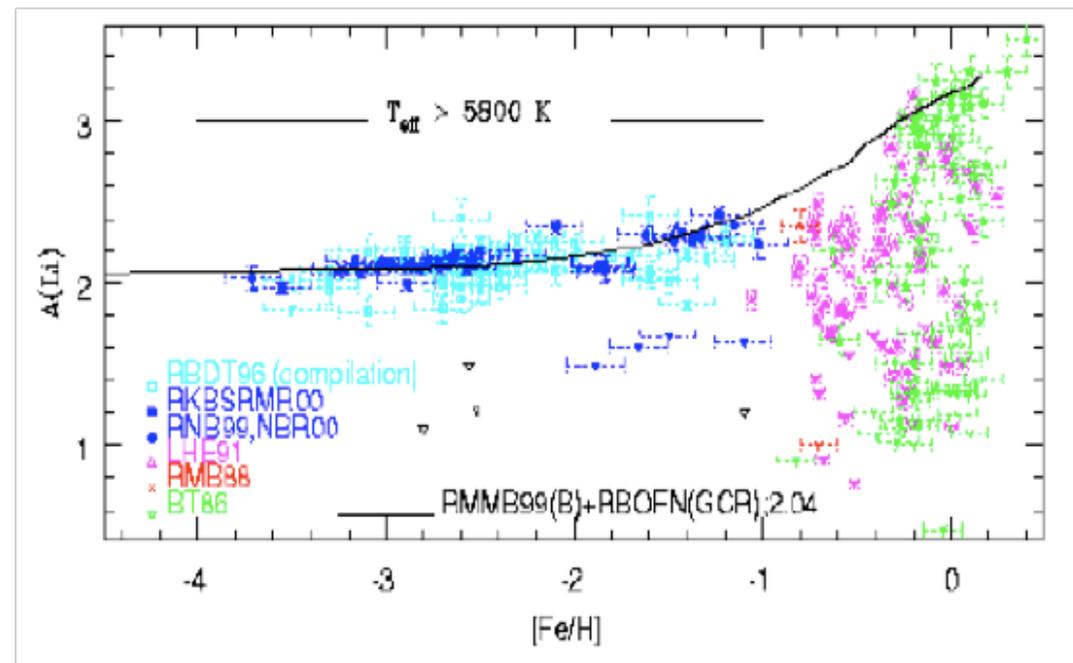
$$\Omega_b h^2 = 0.02225 \pm 0.00032$$

Observations: absorption lines in  
clouds of light from high redshift  
background QSO



${}^7\text{Li}$  (and  ${}^6\text{Li}$ ) still a puzzle.

Spite plateau in metal poor dwarfs questioned



# MINIMAL SCENARIO: ALL FIXED!

$$\Omega_b h^2 = 0.0223 \pm 0.0002$$

$$Y_p = 0.2467 \pm 0.0001 \pm 0.0003$$

$$^2H/H = 2.60 \pm 0.03 \pm 0.07$$

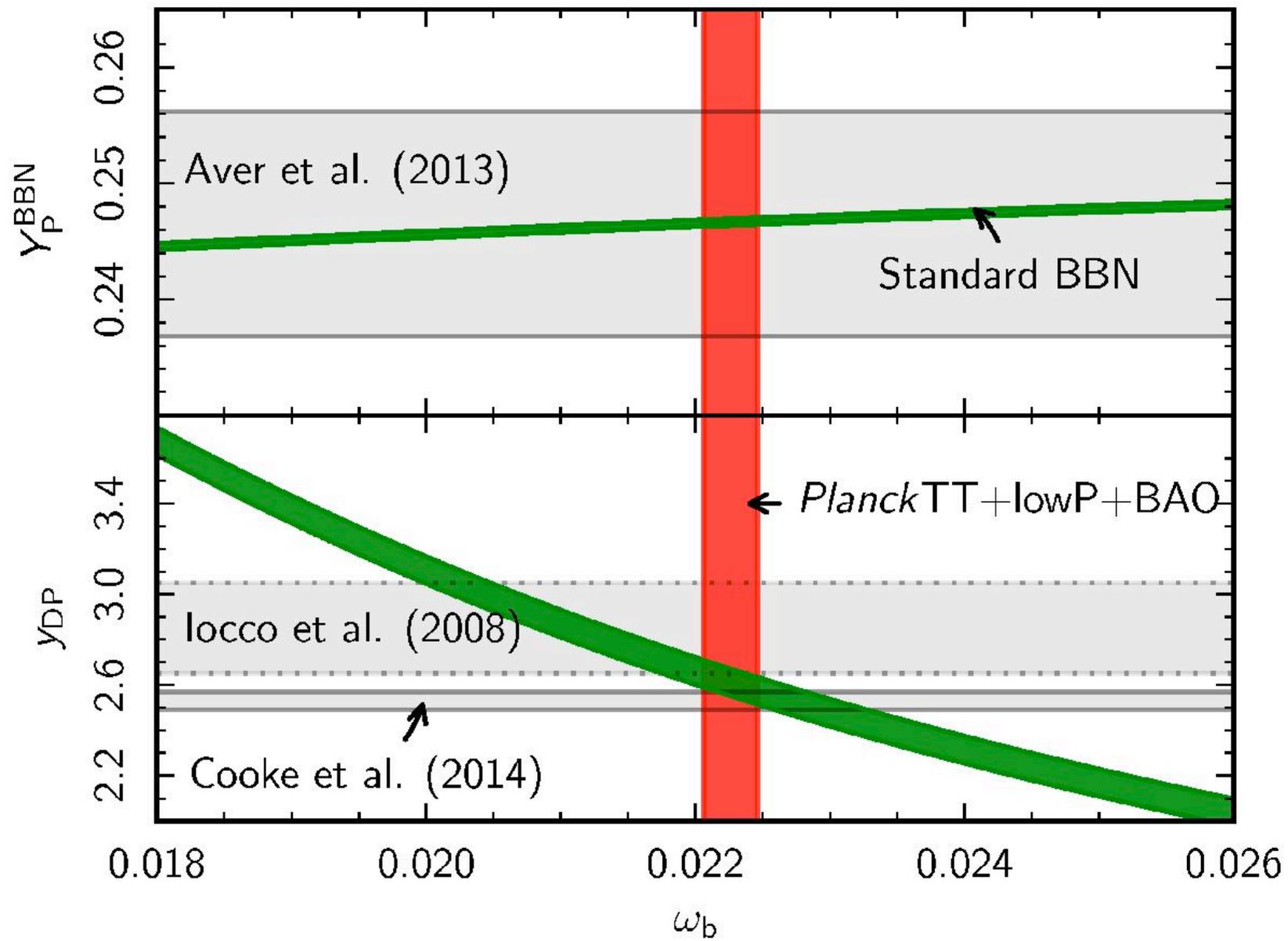
PLANCK 2015

EXP:

$$Y_p = 0.2551 \pm 0.0022 !!!$$

$$Y_p = 0.2449 \pm 0.0040 !$$

$$^2H/H(10^{-5}) = 2.55 \pm 0.03 !!$$



PLANCK 2015

# Extra neutrinos

For several cosmological observables, all in a single parameter

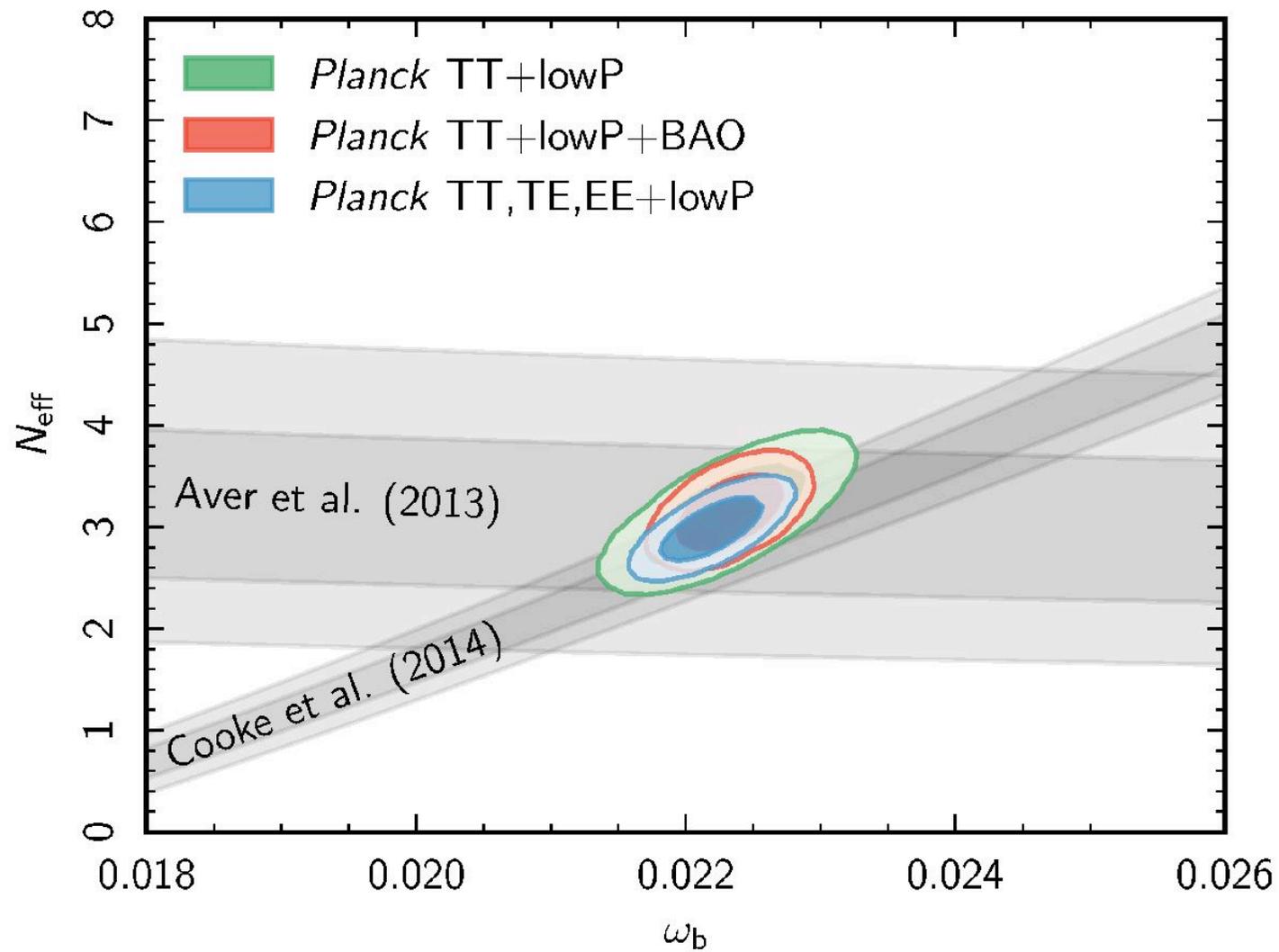
$$\rho_{rad} = \left( 1 + \frac{7}{8} \left( \frac{4}{11} \right)^{4/3} N_{eff} \right) \frac{\pi^2}{15} T_\gamma^4$$



Instantaneous  $\nu$  decoupling value for  $T_\nu / T_\gamma$

CMB and BBN scrutinize different “mass” scales!

# Planck 2015





What could it be this putative extra radiation?

Sterile neutrinos?

Successful picture of 3-active neutrino mixing in terms of 2 mass differences and 3 mixing angles.

Few parameters describe a lot of data: solar  $\nu$  flux, atmospheric  $\nu$ 's, accelerator  $\nu$  beams!

Yet, few anomalies (2-3  $\sigma$ ) :

- 1) LSND-MiniBooNE (short baseline exp's);
- 2) Reactor anomaly;
- 3) Gallium anomaly.

For large mixing angles sterile neutrino too much produced ( $N_{\text{eff}} = 1$ )

The standard case, after Planck 2013

$$N_{\text{eff}} < 3.30 \pm 0.27$$

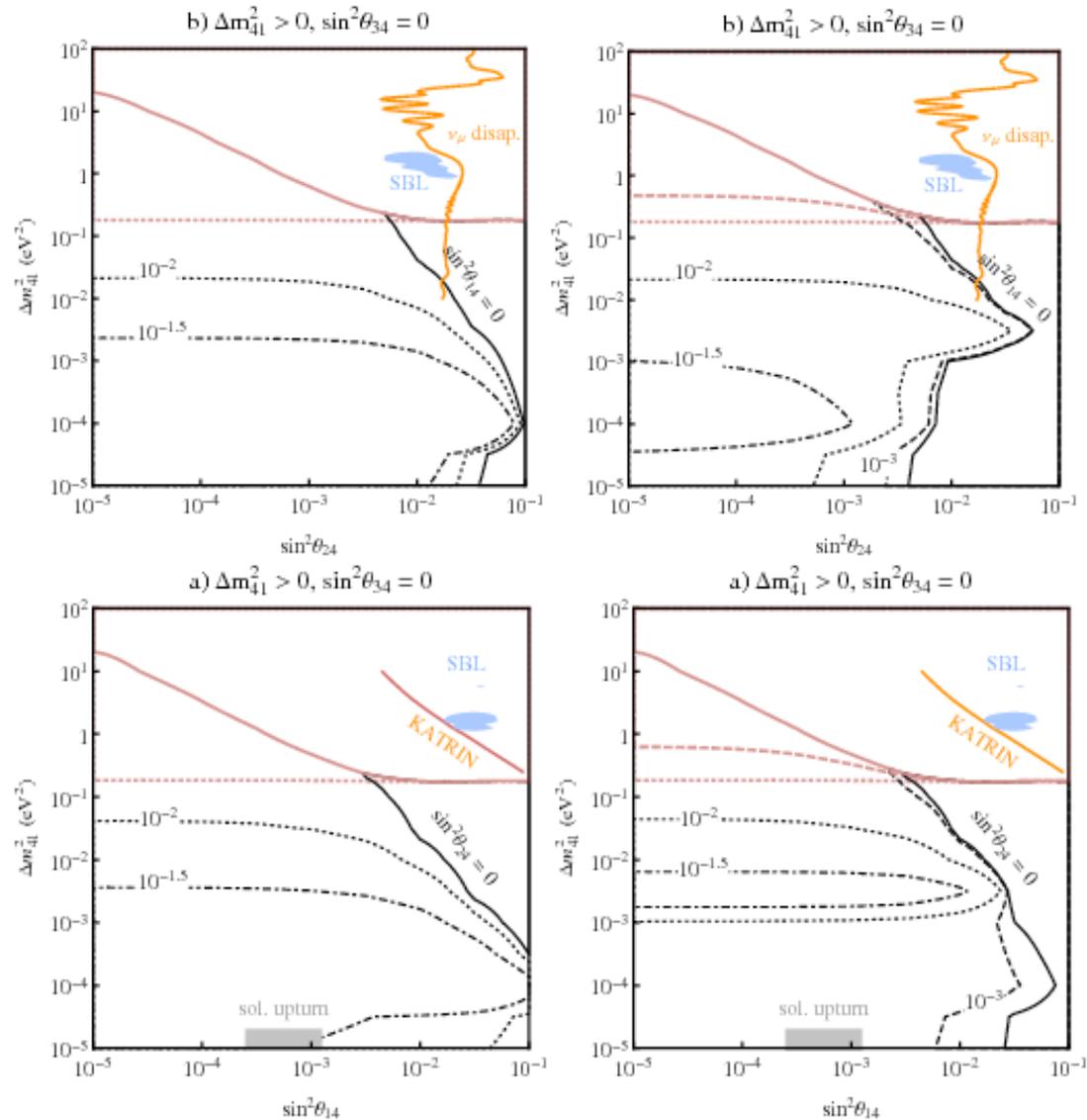
$$m_s < 0.38 \text{ eV}$$

New Planck analysis 2015 even stronger!

(Planck XIII 2015)

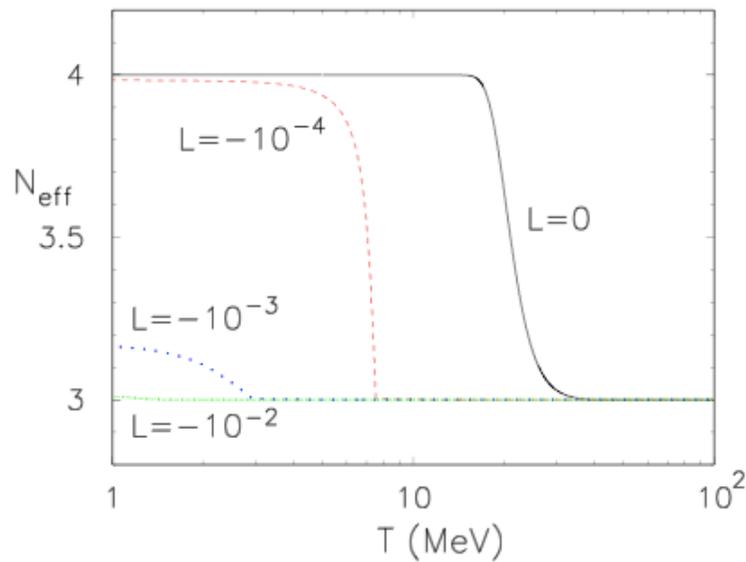
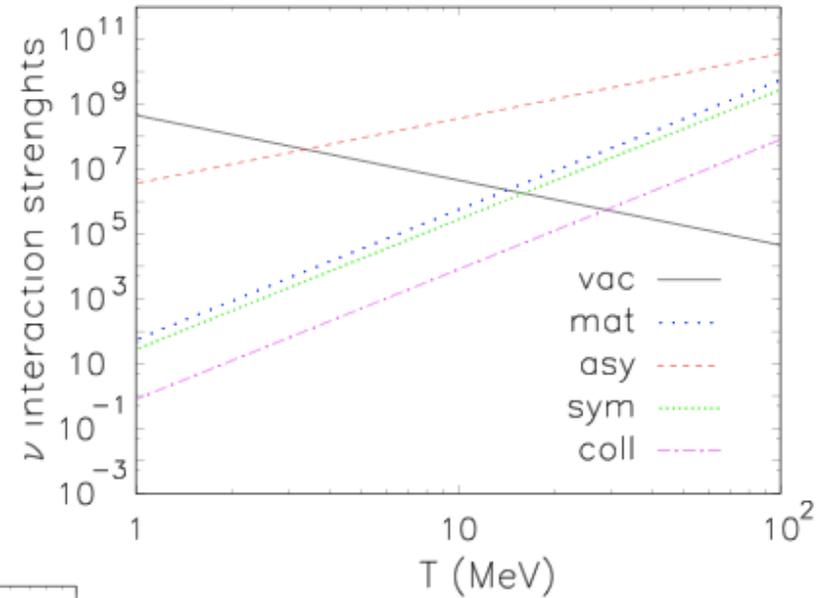
$$N_{\text{eff}} = 3.04 \pm 0.22$$

$$m_s < 0.38 \text{ eV}$$



# Lepton asymmetry suppresses sterile production

$$V = \sqrt{2} G_F L_\nu$$



Possible way out?

active neutrino large ( $> 10^{-3}$ ) chemical potential,  
but then  $\nu_e$  distortion

sterile neutrino “secret interactions” ?

$$g_X \bar{\nu}_s \gamma^\mu X_\mu \nu_s$$

Fermi type lagrangian term with coupling  $G_X^2$  and a  
sterile potential term linear in  $G_X$

$$V_s = -\sqrt{2} G_X \frac{8p}{3M_X^2} \rho_s$$

“small”  $G_X (< 10^4 G_F)$  problem with BBN

“large”  $G_X (> 10^5 G_F)$  problem with  $N_{\text{eff}}$  (smaller  
than 3 and neutrino mass bounds from CMB)

# Bounds on non standard neutrino interactions

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{SM}} + \sum_{\alpha, \beta} \mathcal{L}_{\text{NSI}}^{\alpha\beta}$$

$$\mathcal{L}_{\text{NSI}}^{\alpha\beta} = -2\sqrt{2}G_F \sum_P \varepsilon_{\alpha\beta}^P (\bar{\nu}_\alpha \gamma^\mu L \nu_\beta) (\bar{e} \gamma_\mu P e)$$

New effective interactions between electron and neutrinos

$$\mathcal{L}_{\text{SM}} = -2\sqrt{2}G_F \left\{ (\bar{\nu}_e \gamma^\mu L \nu_e) (\bar{e} \gamma_\mu L e) + \sum_{P, \alpha} g_P (\bar{\nu}_\alpha \gamma^\mu L \nu_\alpha) (\bar{e} \gamma_\mu P e) \right\}$$

$$P = L, R = (1 \mp \gamma_5)/2$$

$$g_L = -\frac{1}{2} + \sin^2 \theta_W \text{ and } g_R = \sin^2 \theta_W$$

# Electron-Neutrino NSI

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{SM}} + \sum_{\alpha, \beta} \mathcal{L}_{\text{NSI}}^{\alpha\beta}$$

$$\mathcal{L}_{\text{NSI}}^{\alpha\beta} = -2\sqrt{2}G_F \sum_P \varepsilon_{\alpha\beta}^P (\bar{\nu}_\alpha \gamma^\mu L \nu_\beta) (\bar{e} \gamma_\mu P e)$$

Breaking of Lepton universality ( $\alpha=\beta$ )

Flavour-changing ( $\alpha \neq \beta$ )

$$\varepsilon_{\alpha\beta}^{L,R}$$

Limits from scattering experiments,  
LEP data, solar vs Kamland data...

# Results

	$T_{fin}^\gamma / T_0^\gamma$	$\delta\rho_{\nu e}(\%)$	$\delta\rho_{\nu\mu}(\%)$	$\delta\rho_{\nu\tau}(\%)$	$N_{\text{eff}}$
Instantaneous decoupling	1.40102	0	0	0	3
$\varepsilon_{ee}^L = 4.0$ $\varepsilon_{ee}^R = 4.0$	1.3812	9.47	3.83	3.83	3.357

Very large NSI parameters,  
FAR from allowed regions

# Results

	$T_{fin}^\gamma / T_0^\gamma$	$\delta\rho_{\nu e}(\%)$	$\delta\rho_{\nu\mu}(\%)$	$\delta\rho_{\nu\tau}(\%)$	$N_{\text{eff}}$
Instantaneous decoupling	1.40102	0	0	0	3
$\varepsilon_{ee}^L = 0.12$ $\varepsilon_{ee}^R = -1.58$ $\varepsilon_{\tau\tau}^L = -0.5$ $\varepsilon_{\tau\tau}^R = 0.5$ $\varepsilon_{e\tau}^L = -0.85$ $\varepsilon_{e\tau}^R = 0.38$	1.3937	2.21	1.66	0.52	3.120

Large NSI parameters, still allowed by present lab data



# Neutrino properties from CMB and LSS

LSS: neutrino mass scale (free streaming and suppression of perturbation growth on scales smaller than free streaming length)

CMB:  $N_{\text{eff}}$  and neutrino mass scale (gravitational lensing)

# Direct laboratory bounds on $m_\nu$

Searching for non-zero neutrino mass in laboratory experiments

- **Tritium beta decay**: measurements of endpoint energy



$$m(\nu_e) < 2.2 \text{ eV (95\% CL) Mainz}$$

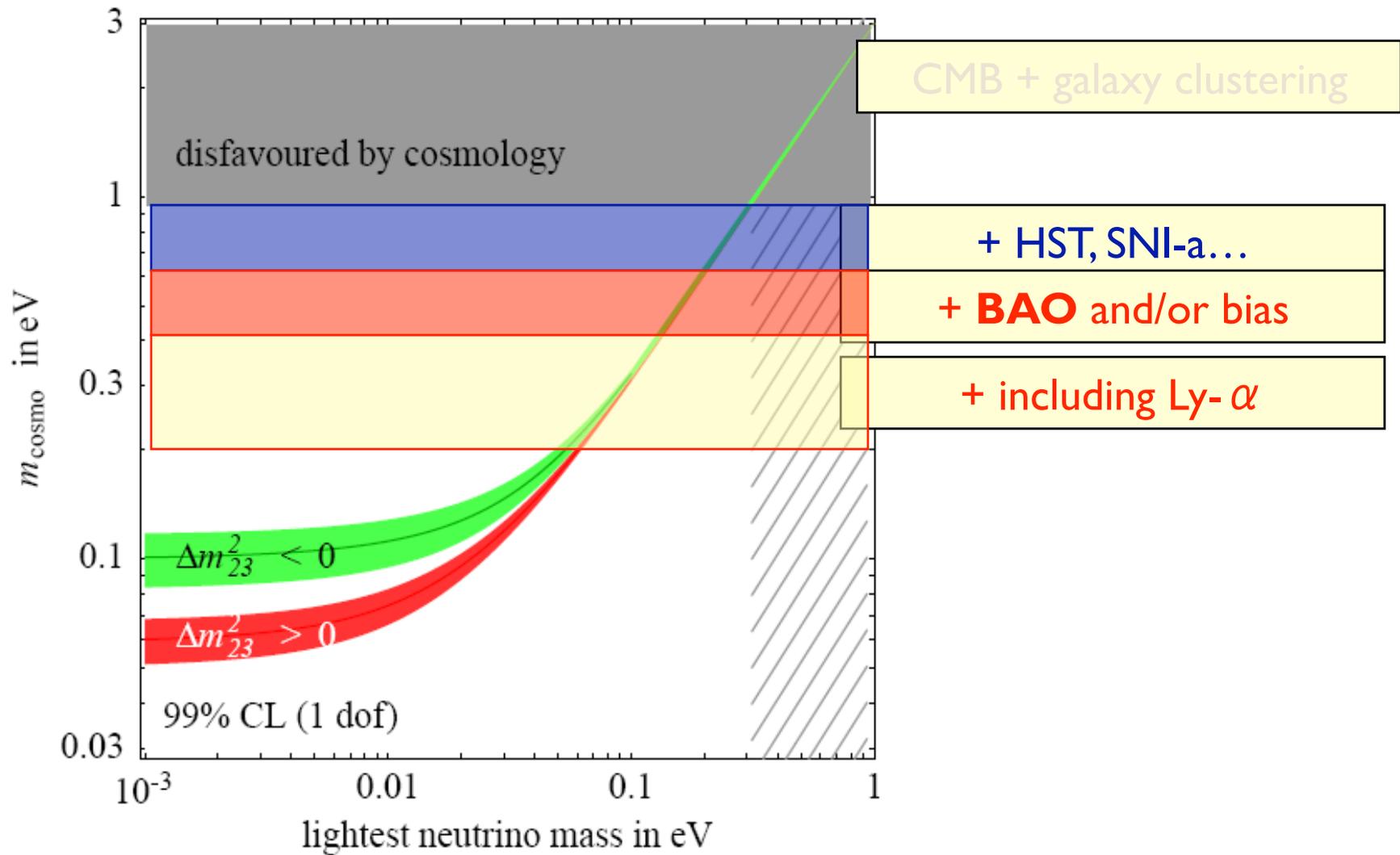
Future experiments (KATRIN)  $m(\nu_e) \sim 0.2\text{-}0.3 \text{ eV}$

- **Neutrinoless double beta decay**: if Majorana neutrinos



experiments with  ${}^{76}\text{Ge}$  and other isotopes:  $|m_{ee}| < 0.4h_N \text{ eV}$

# Neutrino masses in 3-neutrino schemes



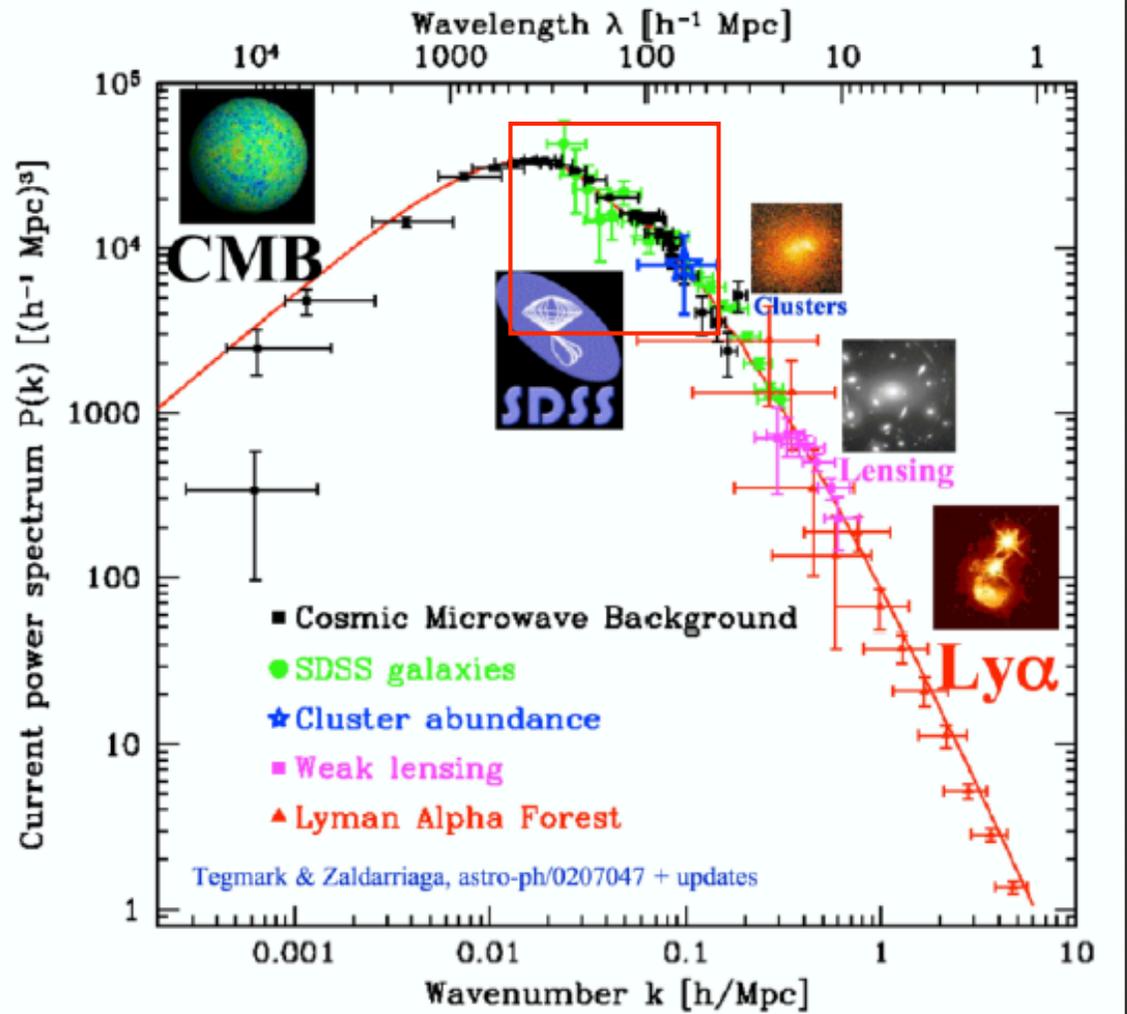
# Power Spectrum of density fluctuations

Field of density  
Fluctuations

$$\delta(x) = \frac{\delta\rho(x)}{\bar{\rho}}$$



Max Tegmark  
Univ. of Pennsylvania  
max@physics.upenn.edu  
TAUP 2003  
September 5, 2003



# Neutrinos as Hot Dark Matter

Massive Neutrinos can still be subdominant DM: limits on  $m_\nu$  from Structure Formation (combined with other cosmological data)

- Effect of Massive Neutrinos: suppression of Power at small scales

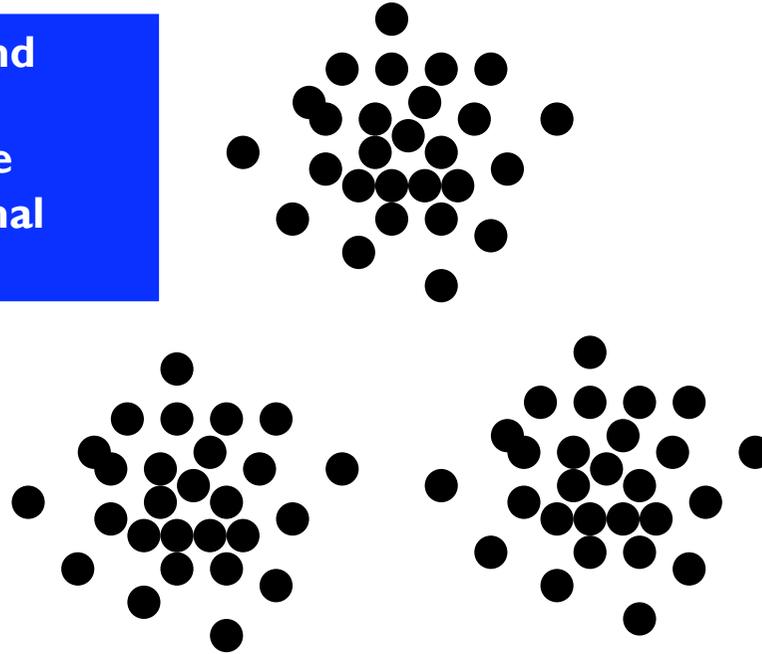
The small-scale suppression is given by

$$\left(\frac{\Delta P}{P}\right) \approx -8 \frac{\Omega_\nu}{\Omega_m} \approx -0.8 \left(\frac{m_\nu}{1 \text{ eV}}\right) \left(\frac{0.1 N}{\Omega_m h^2}\right)$$

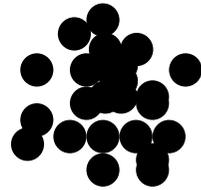
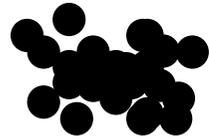
$f_\nu$

# Structure formation after equality

baryons and  
CDM  
experience  
gravitational  
clustering



baryons and  
CDM  
experience  
gravitational  
clustering

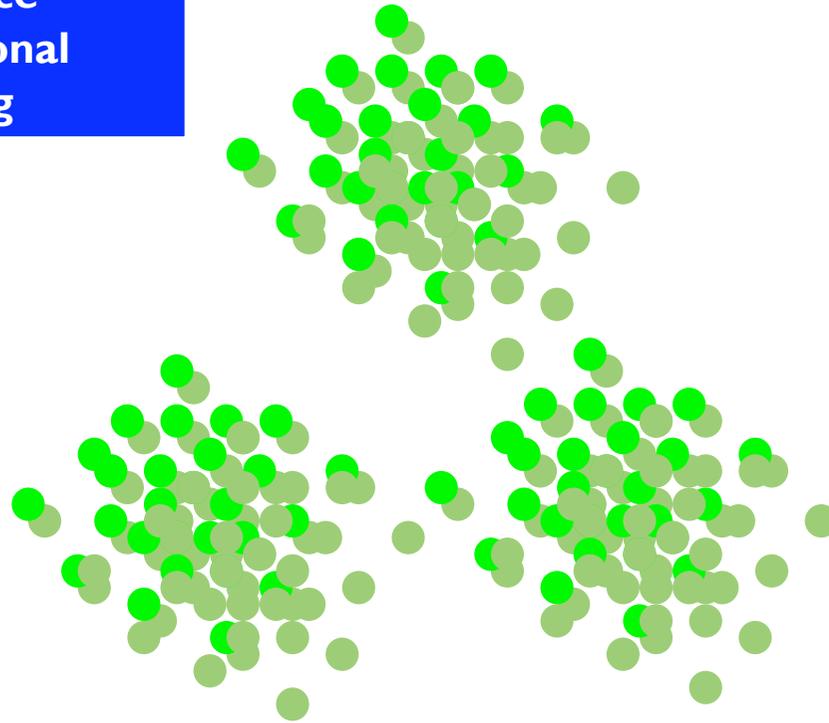


growth of  $\delta\rho/\rho$  (k,t) fixed by  
« gravity vs. expansion » balance

$$\Rightarrow \delta\rho/\rho \propto a$$



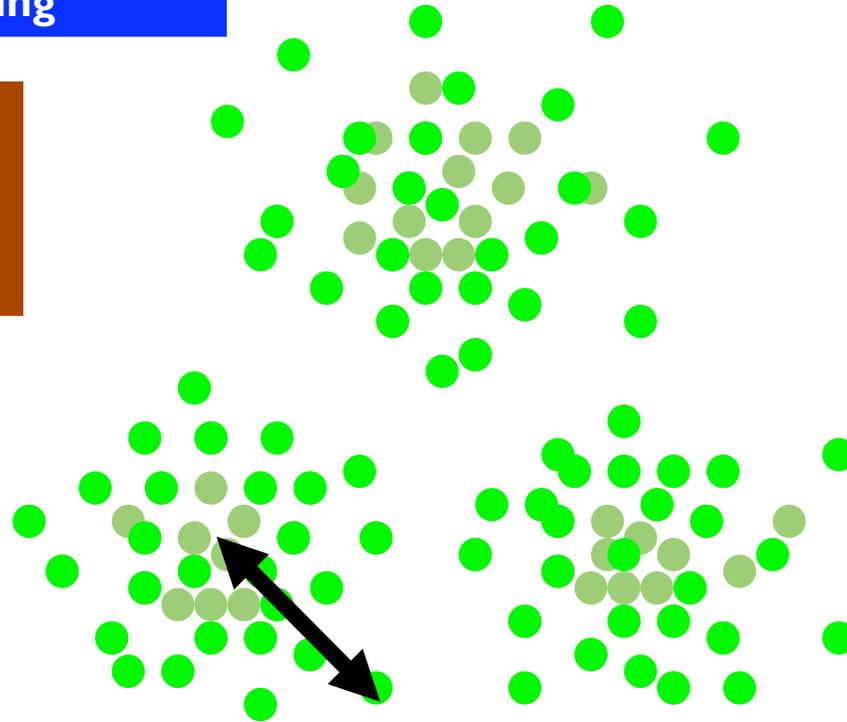
baryons and  
CDM  
experience  
gravitational  
clustering



neutrinos  
experience  
free-streaming  
with  
 $v = c$  or  $\langle p \rangle / m$

baryons and  
CDM  
experience  
gravitational  
clustering

baryon and CDM  
experience  
gravitational  
clustering



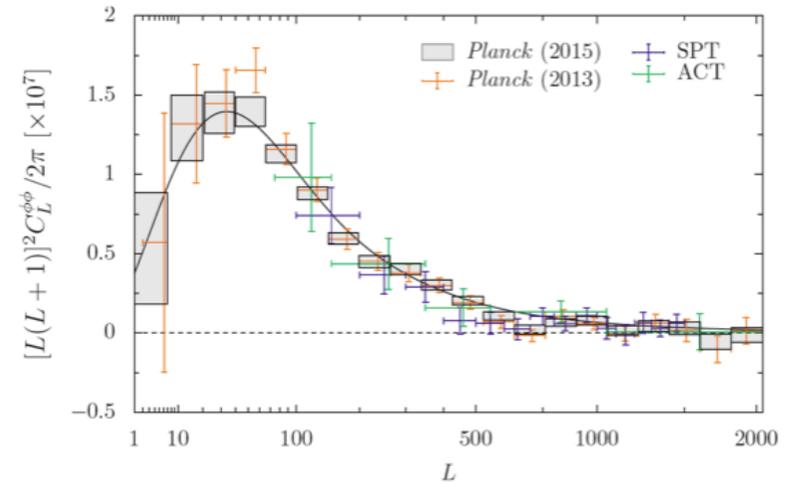
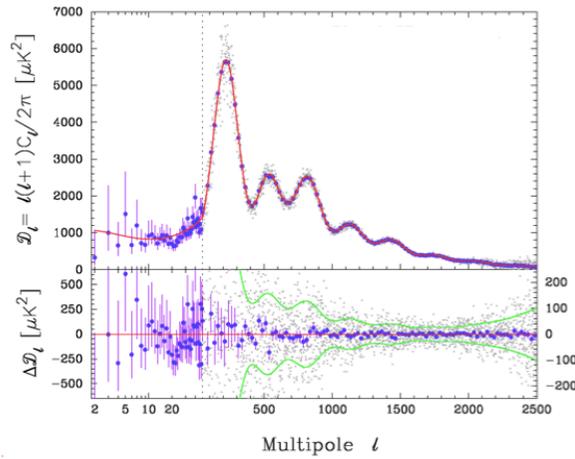
neutrinos  
experience  
free-streaming  
with  
 $v = c$  or  $\langle p \rangle / m$

neutrinos cannot cluster below their diffusion length

$$\lambda = \int v dt/a < \int c dt/a$$

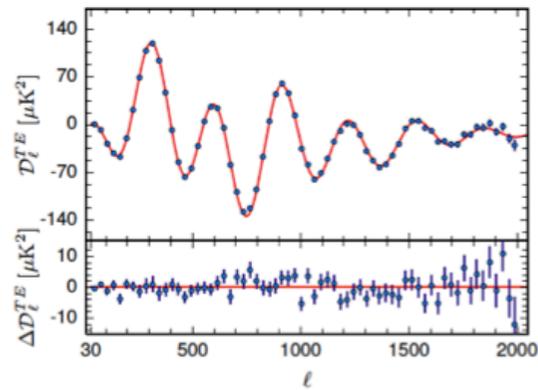
# Planck 2015

Planck 2015 TT-spectrum

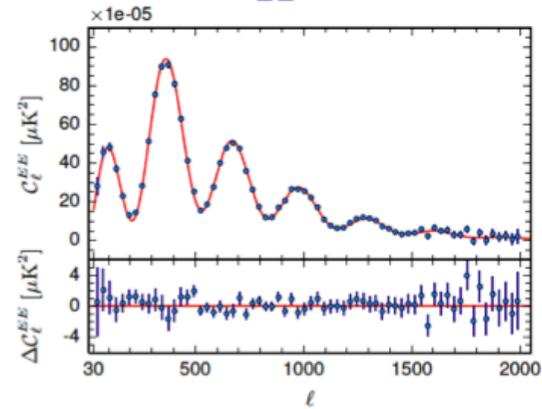


Planck 2015

TE



EE



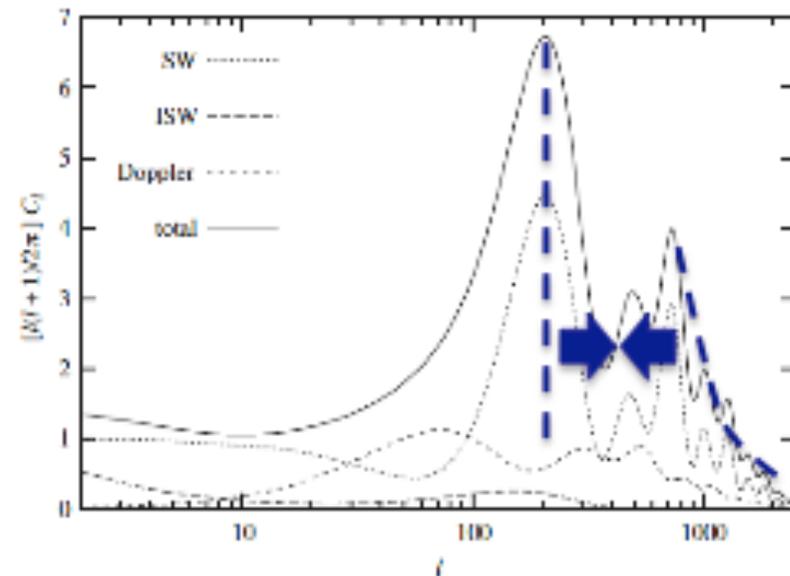
# Are there neutrinos in the universe?

CMB

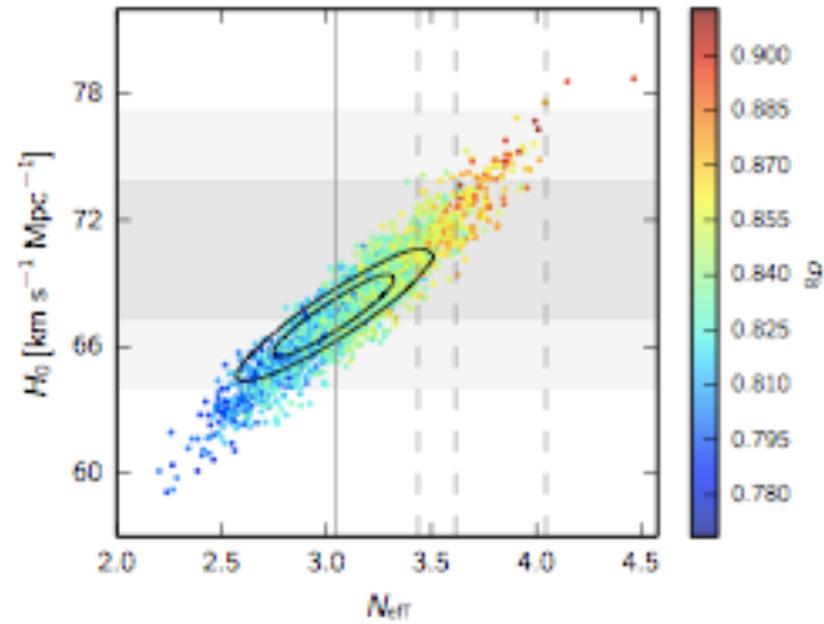
fixing the angular scale of acoustic peaks and  $z_{\text{eq}}$ , a larger amount of dark radiation (and a larger  $H_0$ ) gives a higher expansion speed, a shorter age of the universe  $T$  at recombination.

Diffusion length  $\approx \sqrt{T}$   
(Brownian motion)

Sound horizon  $\approx T$



J. Lesgourgues, Planck 2014, Ferrara

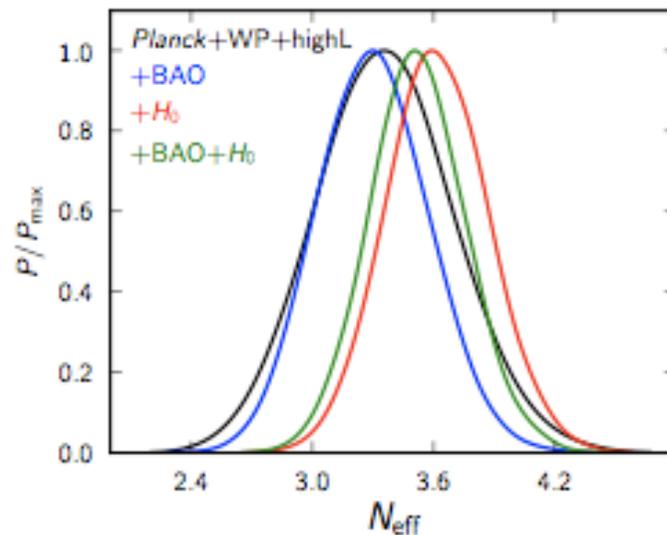


Planck 2015 results, XIII

$N_{\text{eff}} > 0$  at  $10 \sigma$

# How many of them? (the long tail of $N_{\text{eff}}$ )

Planck 2013 : a narrower 95 % C.L. range for  $N_{\text{eff}}$ ,  
but still inconclusive.  $H_0$  problem:

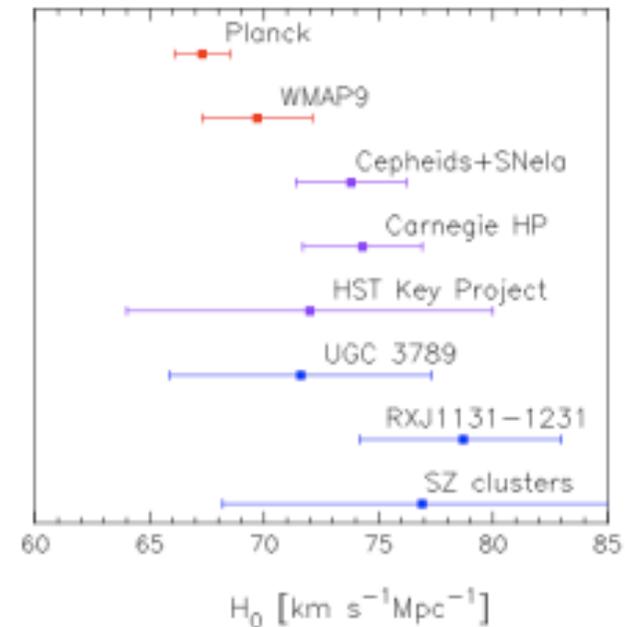


$3.4 \pm 0.7$

$3.3 \pm 0.5$

$3.6 \pm 0.5$

$3.5 \pm 0.5$



Ade et al. 2013  
(Planck XVI)

Planck 2015 :

$$N_{\text{eff}} = 3.13 \pm 0.32 \quad \text{Planck TT+lowP};$$

$$N_{\text{eff}} = 3.15 \pm 0.23 \quad \text{Planck TT+lowP+BAO};$$

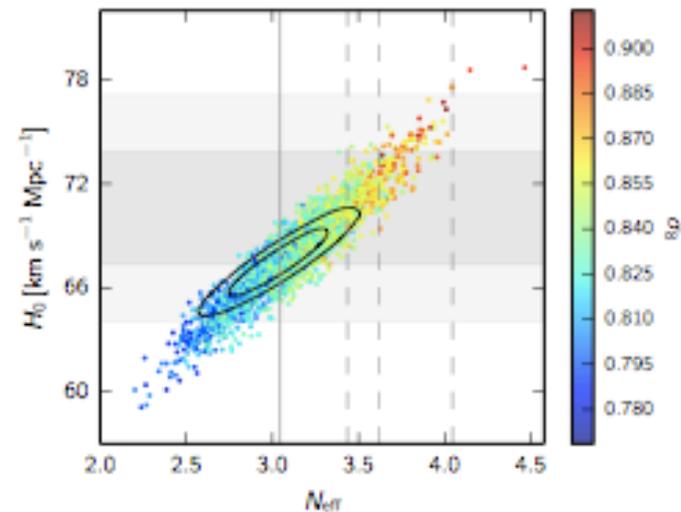
$$N_{\text{eff}} = 2.99 \pm 0.20 \quad \text{Planck TT, TE, EE+lowP};$$

$$N_{\text{eff}} = 3.04 \pm 0.18 \quad \text{Planck TT, TE, EE+lowP+BAO}.$$

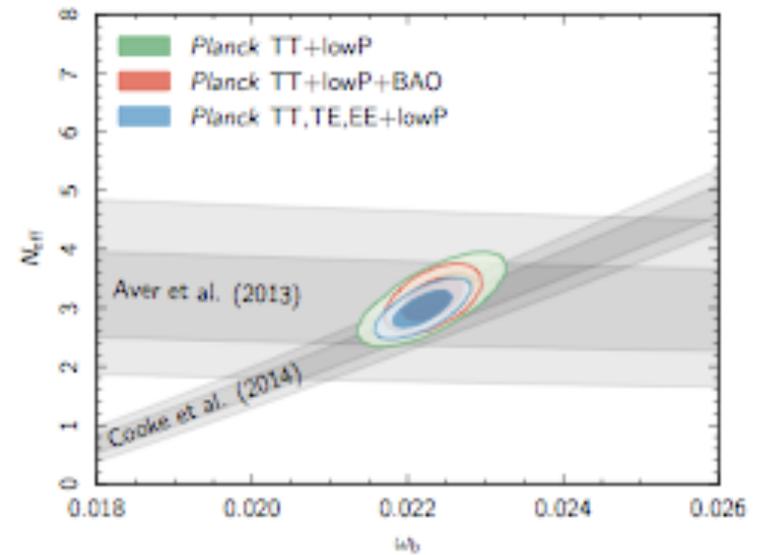
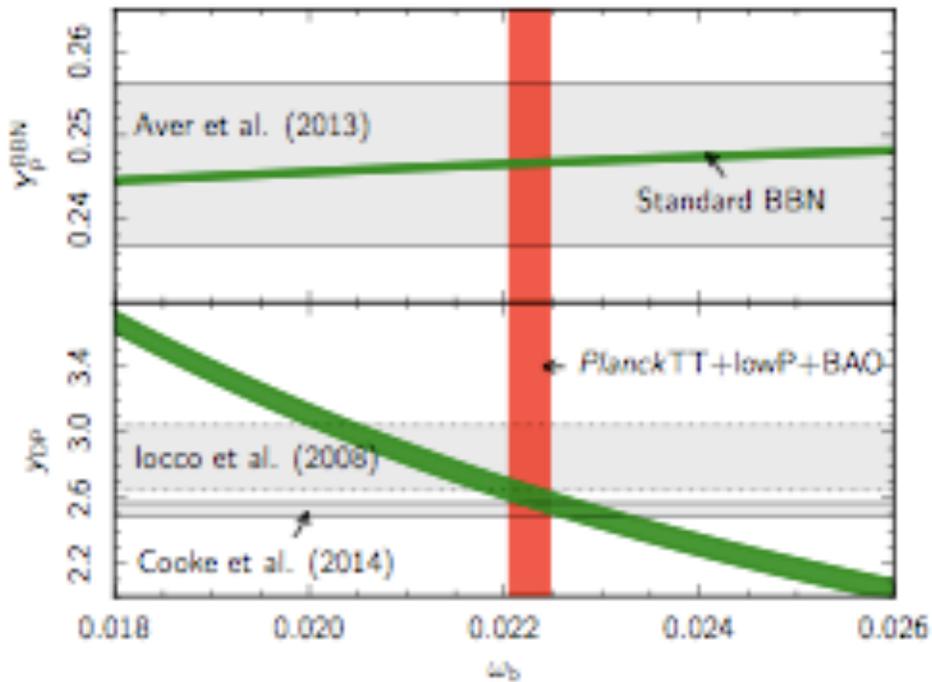
Standard expectation (3.045)

Caveat: discrepancy with SNIa  
value of  $H_0$  at  $2.2 \sigma$  level

$$\sigma_8 \approx 0.83$$



# CMB and BBN are quite consistent



$N_{\text{eff}}$  free

Planck 2015 results, XIII



# Neutrino mass from CMB

CMB:

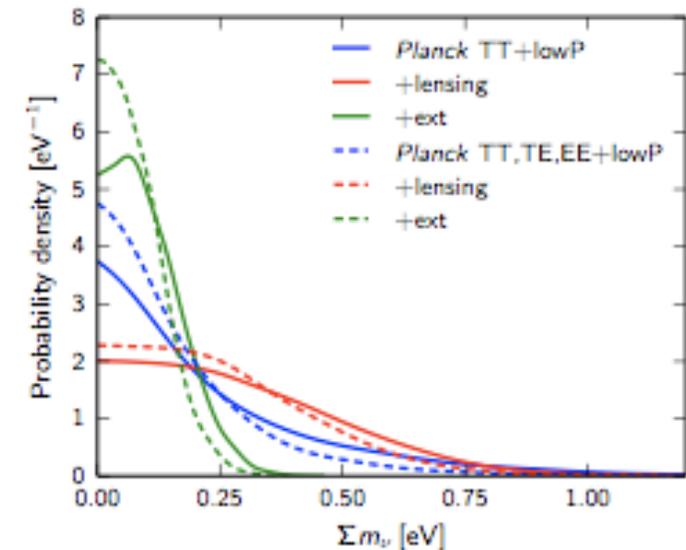
For the expected mass range the main effect is around the first acoustic peak due to the early integrated Sachs-Wolfe (ISW) effect;

Planck: gravitational lensing. Increasing neutrino mass, increases the expansion rate at  $z > 1$  and so suppresses clustering on scales smaller than the horizon size at the nonrelativistic transition (Kaplinghat et al. 2003 ; Lesgourgues et al. 2006 ).  
Suppression of the CMB lensing potential.

Total neutrino mass also affects the angular-diameter distance to last scattering, and can be constrained through the angular scale of the first acoustic peak. Degenerate with  $\Omega_\Lambda$  (and so the derived  $H_0$ )

Including BAO constraint is much tighter:

$$\begin{aligned} \sum m_\nu &< 0.72 \text{ eV} && \text{Planck TT+lowP;} \\ \sum m_\nu &< 0.21 \text{ eV} && \text{Planck TT+lowP+BAO;} \\ \sum m_\nu &< 0.49 \text{ eV} && \text{Planck TT, TE, EE+lowP;} \\ \sum m_\nu &< 0.17 \text{ eV} && \text{Planck TT, TE, EE+lowP+BAO.} \end{aligned}$$





# (keV) sterile neutrinos as warm dark matter

viable candidate as warm dark matter: not hot (decoupled when relativistic) neither cold (massive particles such as WIMP's).

## Bounds

1)  $m_s > 0.4$  keV (Tremain-Gunn): since they're fermions their local density cannot exceed the thermal Fermi degenerate gas density

Non thermal production! Otherwise too much energy density!

$$\rho_s > 45 \text{ keV cm}^{-3}$$

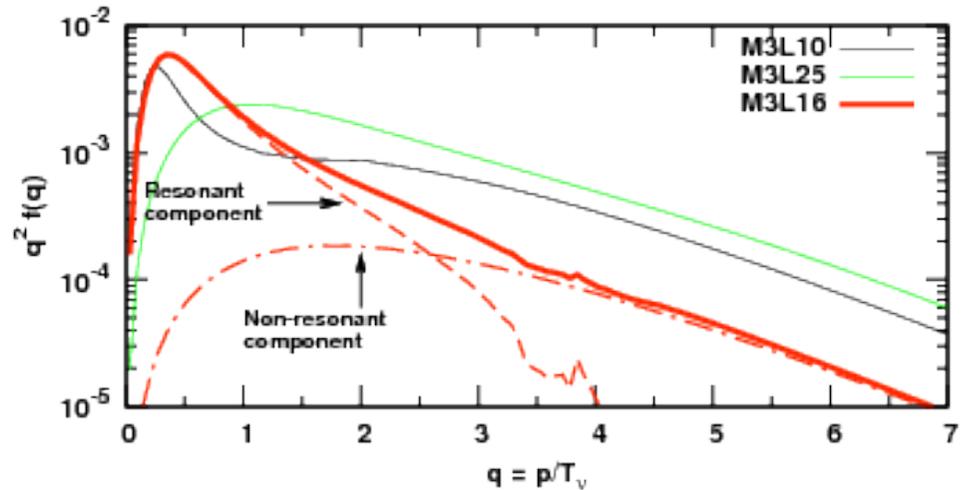
$$\rho_{cr} = 10.5 h^2 \text{ keV cm}^{-3}$$

## Production via oscillations:

Resonant mode: a large active neutrino asymmetry can give a resonant matter effect

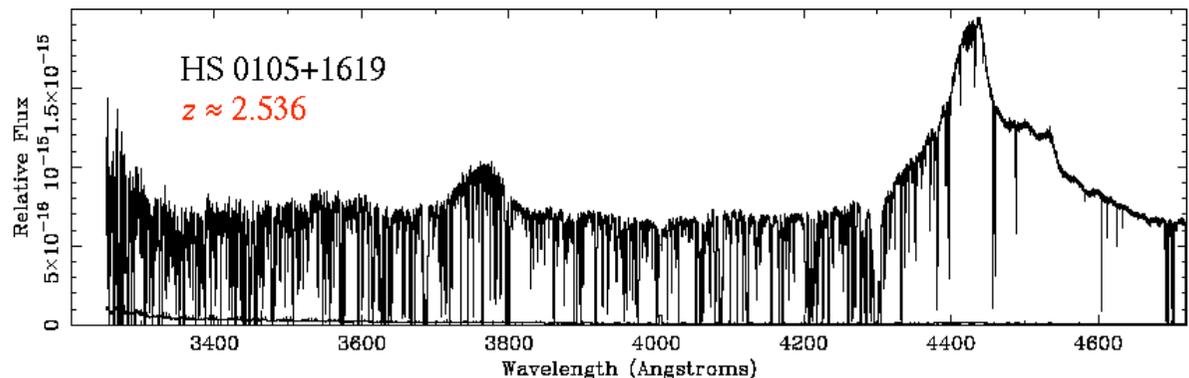
Non resonant mode:  
lower sterile density.

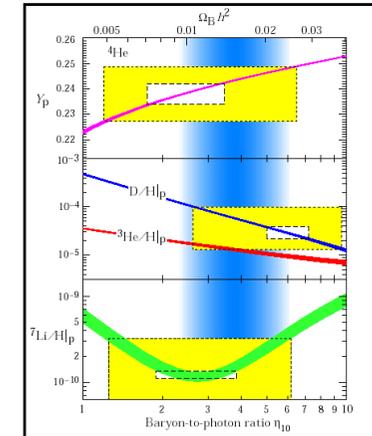
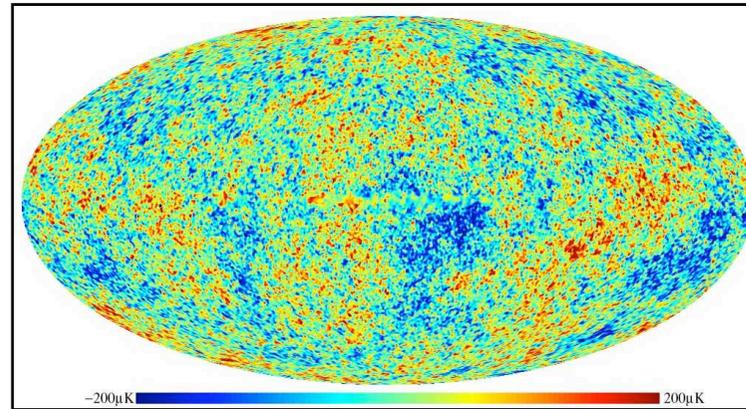
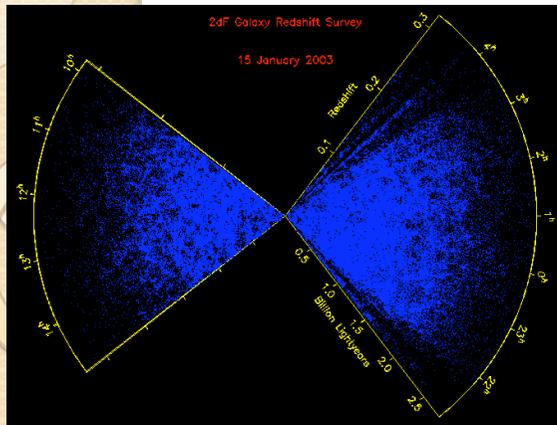
2) X ray signal from  $\nu_s \rightarrow \nu + \gamma$   
 $m_s < 50$  keV



3) bounds from LSS. For warm dark matter the free streaming length is smaller: suppression of structure at a smaller scale with respect to hot dark matter: Ly  $\alpha$  forest

$m_s > 2$  keV



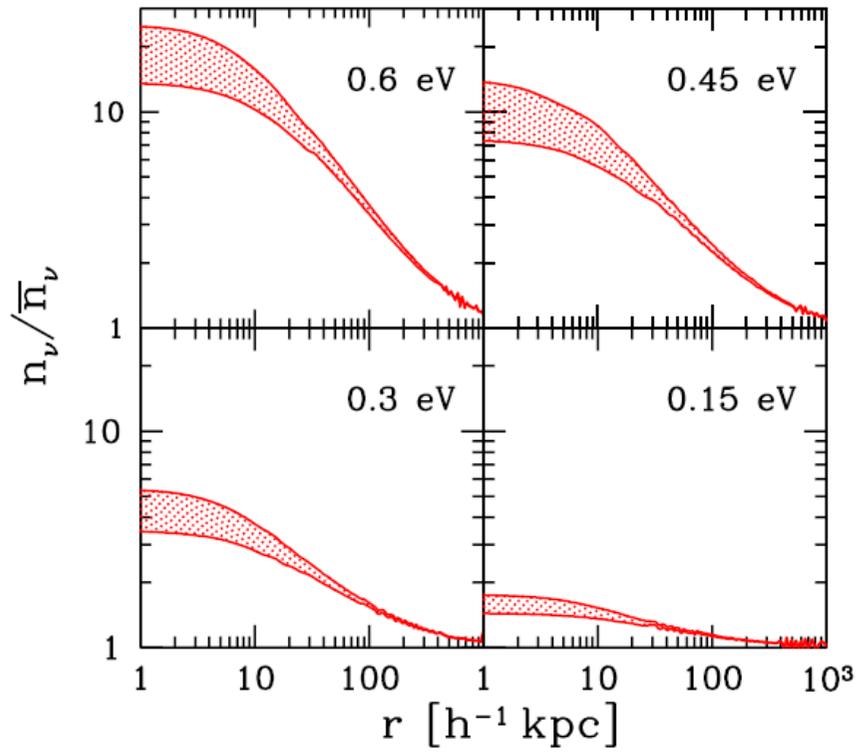


Several indirect effects of the neutrino background on cosmological observables

Informations on neutrino properties: mass oscillations, extra relativistic species, lifetime, magnetic moments,.....

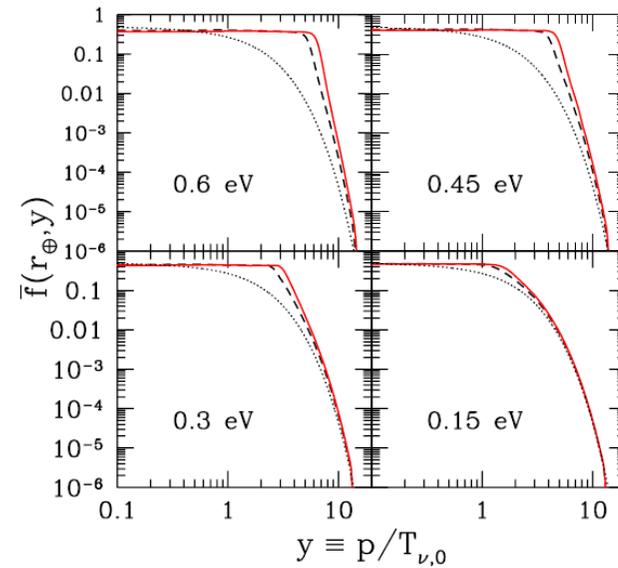
## DIRECT OBSERVATION?

Tritium! See review of other approaches in S. Gariazzo talk



**Milky Way (10<sup>12</sup> M<sub>sun</sub>)**

**Ringwald and Wong '04**



**@ Earth**

# A '62 paper by S. Weinberg and $\nu$ chemical potential

PHYSICAL REVIEW

VOLUME 128, NUMBER 3

NOVEMBER 1, 1962

## Universal Neutrino Degeneracy

STEVEN WEINBERG\*

*Imperial College of Science and Technology, London, England*

(Received March 22, 1962)

**In the original idea a large neutrino chemical potential produces a distortion of the electron (positron) spectrum near the endpoint energy**

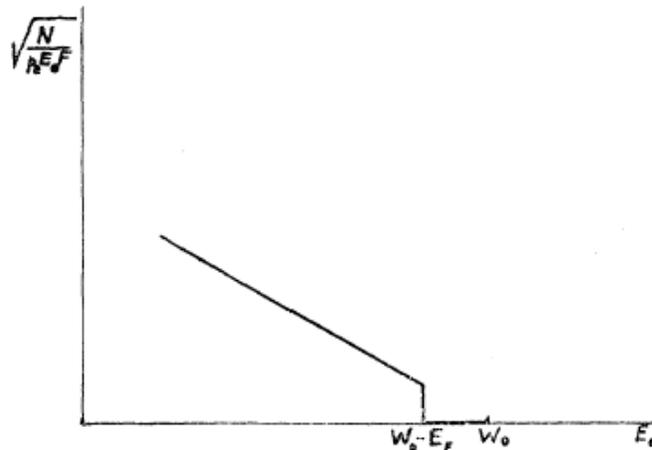


FIG. 1. Shape of the upper end of an allowed Kurie plot to be expected in a  $\beta^+$  decay if neutrinos are degenerate up to energy  $E_F$ , or in a  $\beta^-$  decay if antineutrinos are degenerate.

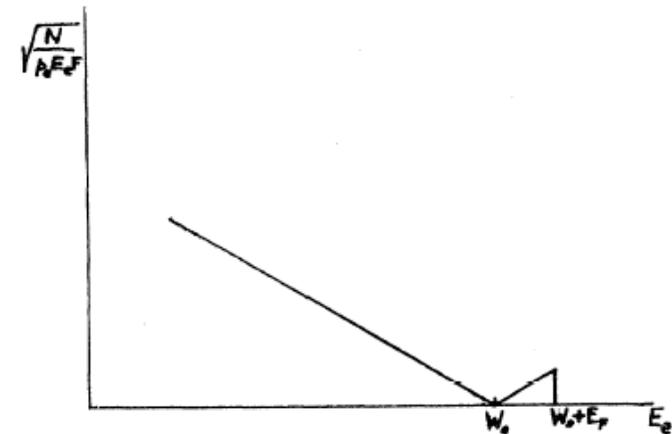
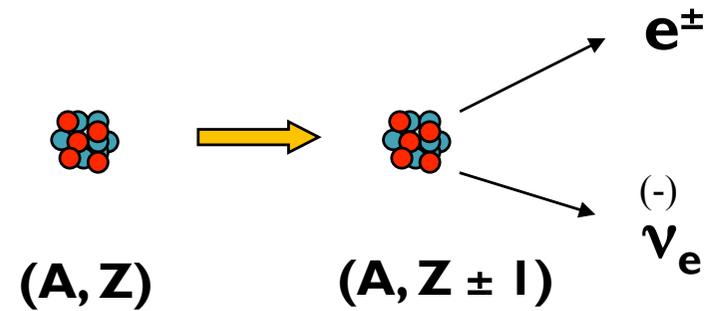


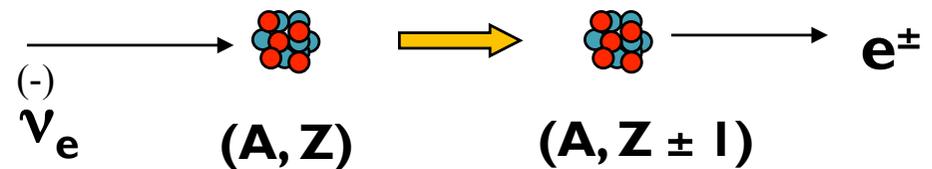
FIG. 2. Shape of the upper end of an allowed Kurie plot to be expected in a  $\beta^-$  decay if neutrinos are degenerate up to energy  $E_F$ , or in a  $\beta^+$  decay if antineutrinos are degenerate.

# Massive neutrinos and neutrino capture on beta decaying nuclei

**Beta decay**



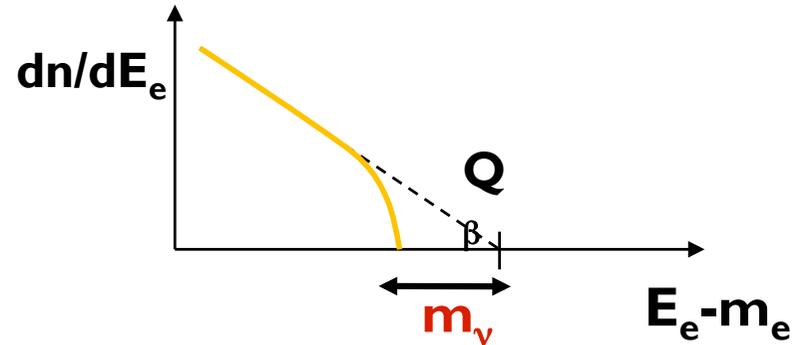
**Neutrino Capture on a Beta Decaying Nucleus**



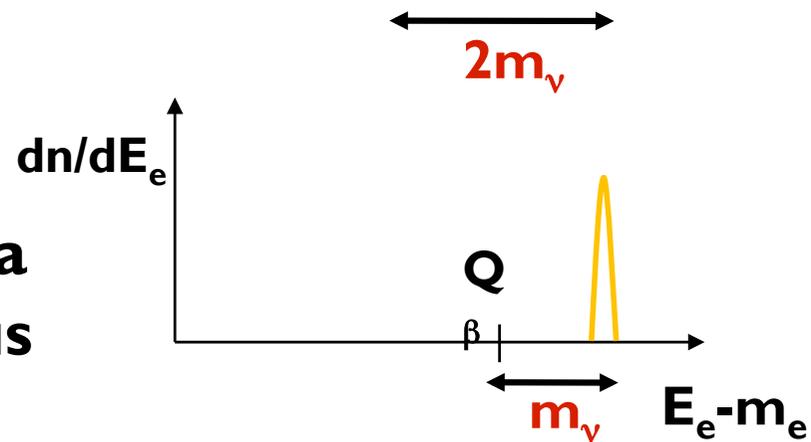
**This process has no energy threshold !**

Today we know that  $\nu$  are **NOT** degenerate but are **massive !!**

**Beta decay**



**Neutrino Capture on a Beta Decaying Nucleus**



A  $2 m_\nu$  gap in the electron spectrum centered around  $Q_\beta$



**Two issues:**

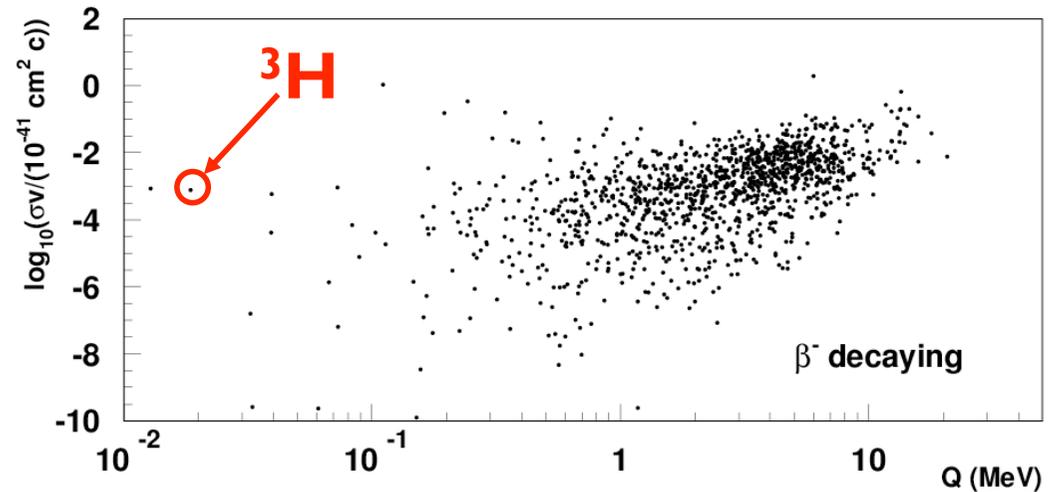
**Rate**

**Background**

# NCB Cross Section Evaluation

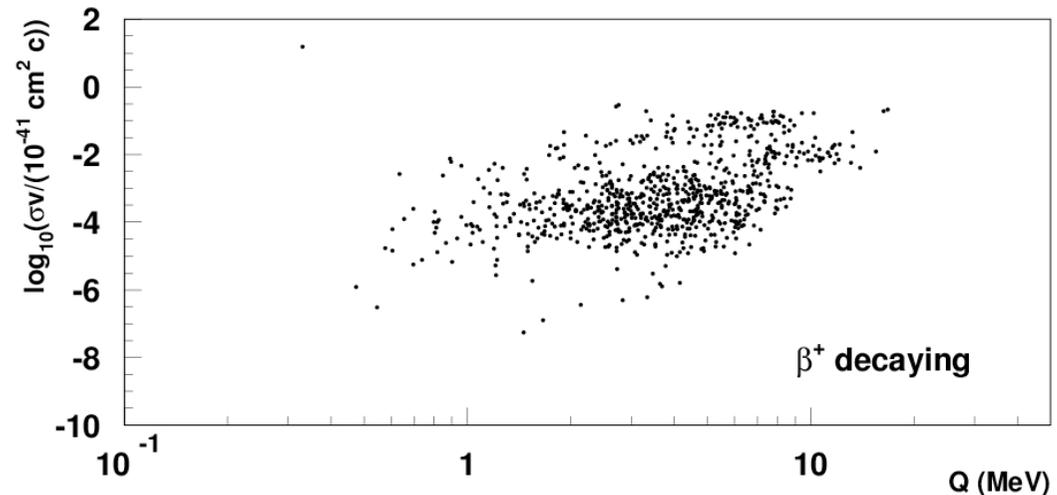
using measured values of  $Q_\beta$  and  $t_{1/2}$

1272  $\beta^-$  decays



A, Cocco et  
al, 2007

799  $\beta^+$  decays



**Beta decaying nuclei having  $\text{BR}(\beta^\pm) > 5\%$   
selected from 14543 decays listed in the ENSDF database**

# NCB Cross Section Evaluation

## specific cases

Isotope	$Q_\beta$ (keV)	Half-life (sec)	$\sigma_{\text{NCB}}(v_\nu/c)$ ( $10^{-41} \text{ cm}^2$ )
$^{10}\text{C}$	885.87	1320.99	$5.36 \times 10^{-3}$
$^{14}\text{O}$	1891.8	71.152	$1.49 \times 10^{-2}$
$^{26\text{m}}\text{Al}$	3210.55	6.3502	$3.54 \times 10^{-2}$
$^{34}\text{Cl}$	4469.78	1.5280	$5.90 \times 10^{-2}$
$^{38\text{m}}\text{K}$	5022.4	0.92512	$7.03 \times 10^{-2}$
$^{42}\text{Sc}$	5403.63	0.68143	$7.76 \times 10^{-2}$
$^{46}\text{V}$	6028.71	0.42299	$9.17 \times 10^{-2}$
$^{50}\text{Mn}$	6610.43	0.28371	$1.05 \times 10^{-1}$
$^{54}\text{Co}$	7220.6	0.19350	$1.20 \times 10^{-1}$

**Superaligned  $0^+ \rightarrow 0^+$  decays**  
**used for CVC hypothesis testing**  
**(very precise measure of  $Q_\beta$  and  $t_{1/2}$ )**

Isotope	Decay	$Q$ (keV)	Half-life (sec)	$\sigma_{\text{NCB}}(v_\nu/c)$ ( $10^{-41} \text{ cm}^2$ )
$^3\text{H}$	$\beta^-$	18.591	$3.8878 \times 10^8$	$7.84 \times 10^{-4}$
$^{63}\text{Ni}$	$\beta^-$	66.945	$3.1588 \times 10^9$	$1.38 \times 10^{-6}$
$^{93}\text{Zr}$	$\beta^-$	60.63	$4.952 \times 10^{13}$	$2.39 \times 10^{-10}$
$^{106}\text{Ru}$	$\beta^-$	39.4	$3.2278 \times 10^7$	$5.88 \times 10^{-4}$
$^{107}\text{Pd}$	$\beta^-$	33	$2.0512 \times 10^{14}$	$2.58 \times 10^{-10}$
$^{187}\text{Re}$	$\beta^-$	2.64	$1.3727 \times 10^{18}$	$4.32 \times 10^{-11}$
$^{11}\text{C}$	$\beta^+$	960.2	$1.226 \times 10^3$	$4.66 \times 10^{-3}$
$^{13}\text{N}$	$\beta^+$	1198.5	$5.99 \times 10^2$	$5.3 \times 10^{-3}$
$^{15}\text{O}$	$\beta^+$	1732	$1.224 \times 10^2$	$9.75 \times 10^{-3}$
$^{18}\text{F}$	$\beta^+$	633.5	$6.809 \times 10^3$	$2.63 \times 10^{-3}$
$^{22}\text{Na}$	$\beta^+$	545.6	$9.07 \times 10^7$	$3.04 \times 10^{-7}$
$^{45}\text{Ti}$	$\beta^+$	1040.4	$1.307 \times 10^4$	$3.87 \times 10^{-4}$

**Nuclei having the highest product**  
 $\sigma_{\text{NCB}} t_{1/2}$

## The cosmological relic neutrino capture rate

$$\lambda_\nu = \int \sigma_{\text{NCB}} v_\nu \frac{1}{\exp(p_\nu/T_\nu) + 1} \frac{d^3 p_\nu}{(2\pi)^3}$$

$$T_\nu = 1.7 \cdot 10^{-4} \text{ eV}$$

$$2.85 \cdot 10^{-2} \frac{\sigma_{\text{NCB}} v_\nu / c}{10^{-45} \text{ cm}^2} \text{ yr}^{-1} \text{ mol}^{-1}$$

# Relic Neutrino Detection

## signal to background ratio

The ratio between capture ( $\lambda_\nu$ ) and beta decay rate ( $\lambda_\beta$ ) is obtained using the previous expressions

$$\frac{\lambda_\nu}{\lambda_\beta} = \frac{2\pi^2 n_\nu}{A}$$

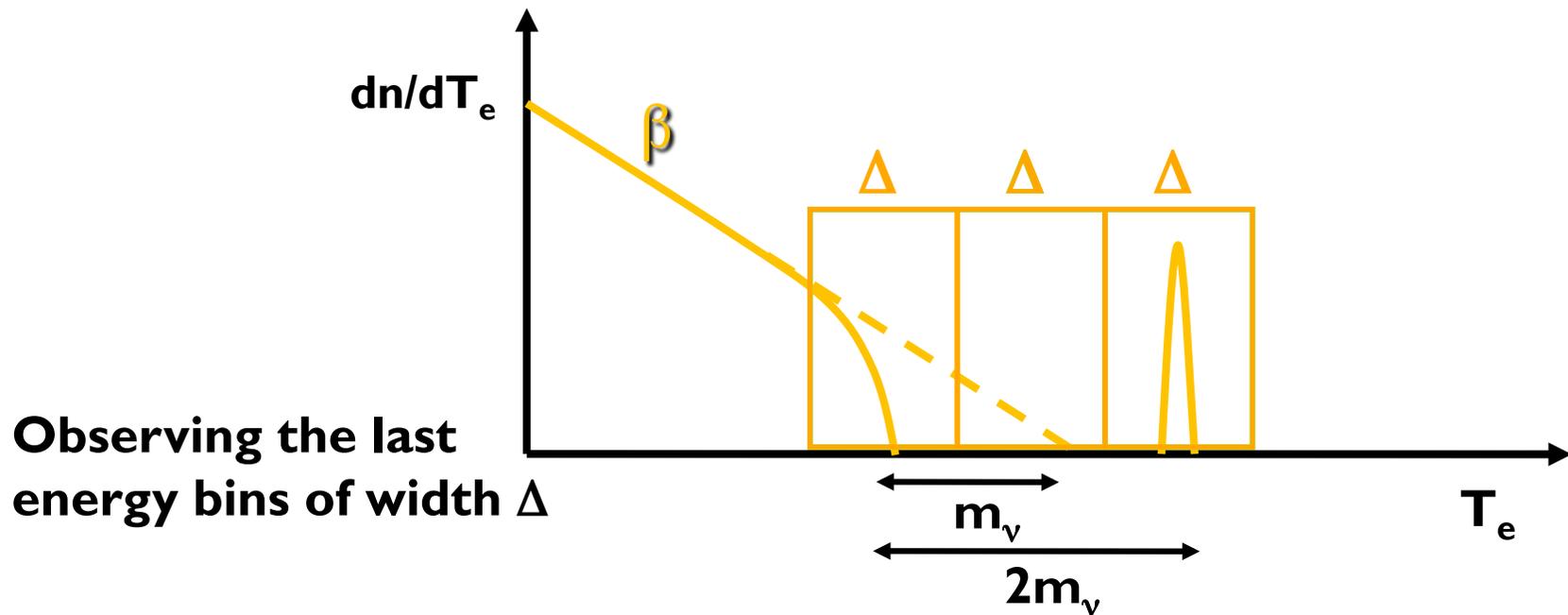
In the case of Tritium:

$$\lambda_\nu(^3\text{H}) = 0.66 \cdot 10^{-23} \lambda_\beta(^3\text{H})$$

Taking into account the beta decays occurring in the last bin of width  $\Delta$  at the spectrum end-point we have that

$$\frac{\lambda_\nu}{\lambda_\beta(\Delta)} = \frac{9}{2} \zeta(3) \left( \frac{T_\nu}{\Delta} \right)^3 \frac{1}{(1 + 2m_\nu/\Delta)^{3/2}} \sim 10^{-10}$$

## signal to background ratio



$$\frac{S}{B} = \frac{9}{2} \zeta(3) \left( \frac{T_\nu}{\Delta} \right)^3 \frac{1}{(1 + 2m_\nu/\Delta)^{3/2}} \left[ \frac{1}{\sqrt{2\pi}} \int_{\frac{2m_\nu}{\Delta} - \frac{1}{2}}^{\frac{2m_\nu}{\Delta} + \frac{1}{2}} e^{-x^2/2} dx \right]^{-1}$$

where the last term is the probability for a beta decay electron at the endpoint to be measured beyond the  $2m_\nu$  gap

**It works for  $\Delta < m_\nu$**

## Discovery potential

**As an example, given a neutrino mass of 0.7 eV and an energy resolution at the beta decay endpoint of 0.2 eV a signal to background ratio of 3 is obtained**

**In the case of 100 g mass target of Tritium it would take one and a half year to observe a  $5\sigma$  effect**

**In case of neutrino gravitational clustering we expect a significant signal enhancement**

$m_\nu$ (eV)	FD (events yr <sup>-1</sup> )	NFW (events yr <sup>-1</sup> )	MW (events yr <sup>-1</sup> )
0.6	7.5	90	150
0.3	7.5	23	33
0.15	7.5	10	12

FD = Fermi-Dirac NFW= Navarro, Frenk and White MW=Milky Way (Ringwald, Wong)

# KATRIN

## Karlsruhe Tritium Neutrino Experiment

Aim at direct neutrino mass measurement through the study of the  ${}^3\text{H}$  endpoint ( $Q_\beta = 18.59 \text{ keV}$ ,  $t_{1/2} = 12.32 \text{ years}$ )

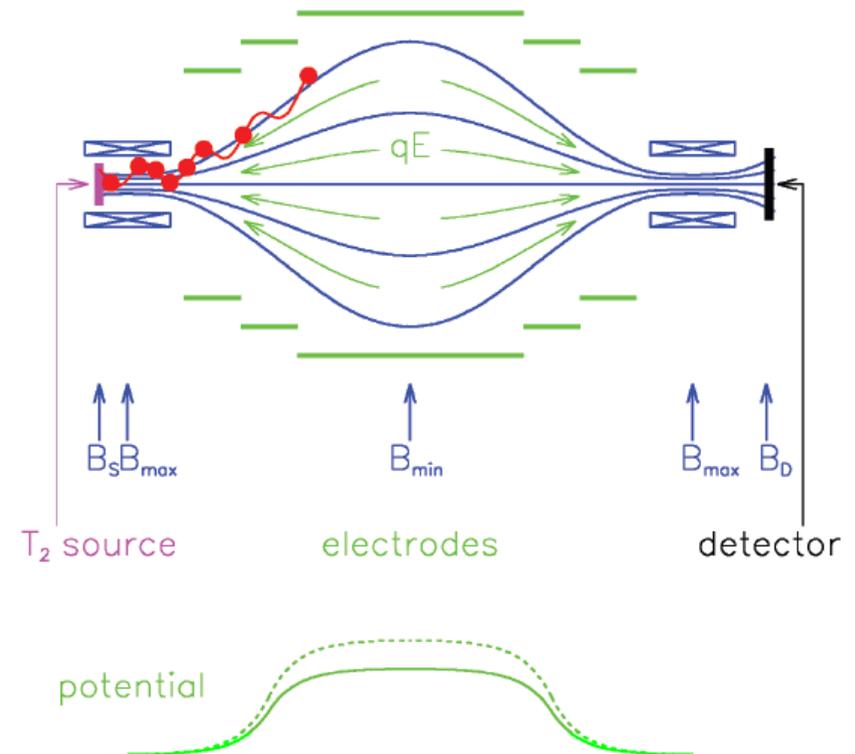
Phase I:

Energy resolution: 0.93 eV

Tritium mass:  $\sim 0.1 \text{ mg}$

Noise level 10 mHz

Sensitivity to  $\nu_e$  mass: 0.2 eV



**Magnetic Adiabatic Collimator + Electrostatic filter**

# PTOLEMY

Development of a Relic Neutrino Detection Experiment at PTOLEMY:  
Princeton Tritium Observatory for Light, Early-Universe, Massive-Neutrino Yield

S. Betts<sup>1</sup>, W. R. Blanchard<sup>1</sup>, R. H. Carnevale<sup>1</sup>, C. Chang<sup>2</sup>, C. Chen<sup>3</sup>, S. Chidzik<sup>3</sup>, L. Ciebiera<sup>1</sup>, P. Cloessner<sup>4</sup>, A. Cocco<sup>5</sup>, A. Cohen<sup>1</sup>, J. Dong<sup>1</sup>, R. Klemmer<sup>3</sup>, M. Komor<sup>3</sup>, C. Gentile<sup>1</sup>, B. Harrop<sup>3</sup>, A. Hopkins<sup>1</sup>, N. Jarosik<sup>3</sup>, G. Mangano<sup>5</sup>, M. Messina<sup>6</sup>, B. Osherson<sup>3</sup>, Y. Raitses<sup>1</sup>, W. Sands<sup>3</sup>, M. Schaefer<sup>1</sup>, J. Taylor<sup>1</sup>, C. G. Tully<sup>3</sup>, R. Woolley<sup>1</sup>, and A. Zwicker<sup>1</sup>

