



# WIN2019

The 27th International Workshop on Weak Interactions and Neutrinos

FRANCESCA DI LODOVICO  
QUEEN MARY UNIVERSITY OF LONDON

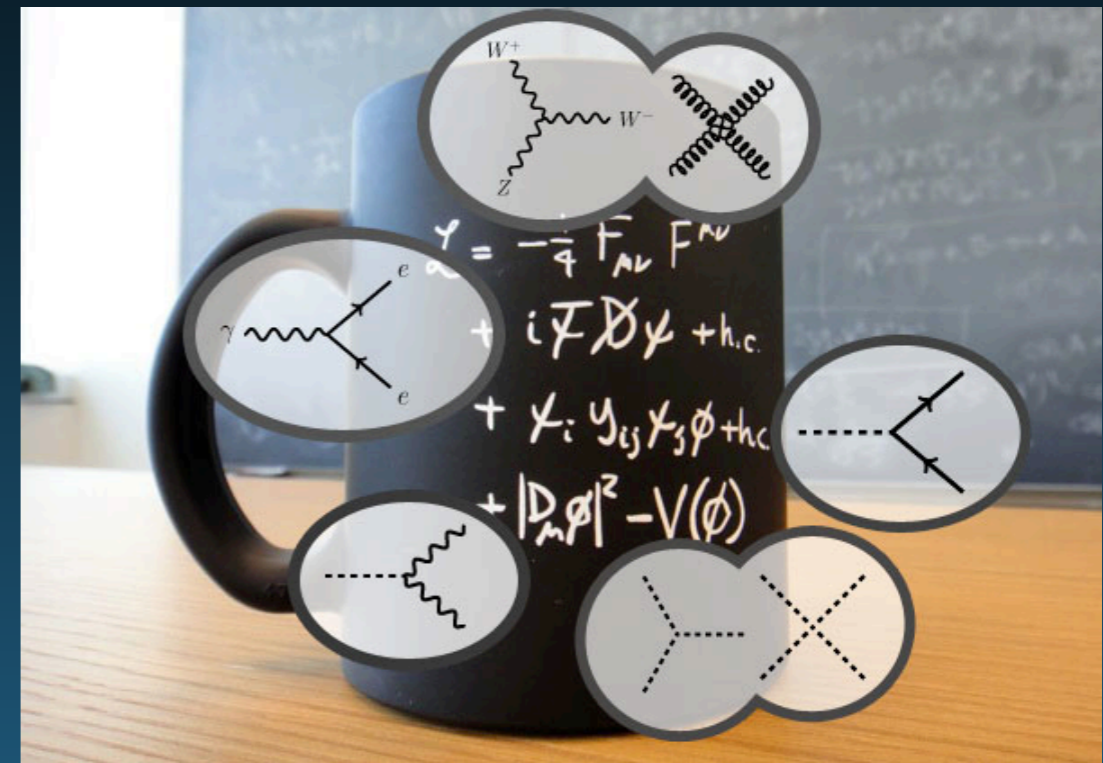
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# NEW FRONTIERS IN THE PROTON DECAY SEARCH

- ▶ Conservation of baryon number is observed in Nature, but no compelling reason for it
- ▶ Baryon number conservation formulated by: Weyl (1929), Stueckelberg (1938), Wigner (1949), Lee & Yang (1950) to explain stability of matter.

<sup>9</sup> It is conceivable, for instance, that a conservation law for the number of heavy particles (protons and neutrons) is responsible for the stability of the protons in the same way as the conservation law for charges is responsible for the stability of the electron. Without the conservation law in question, the proton could disintegrate, under emission of a light quantum, into a positron, just as the electron could disintegrate, were it not for the conservation law for the electric charge, into a light quantum and a neutrino. The Gedanken experiment

Conserved in the Standard Model\*

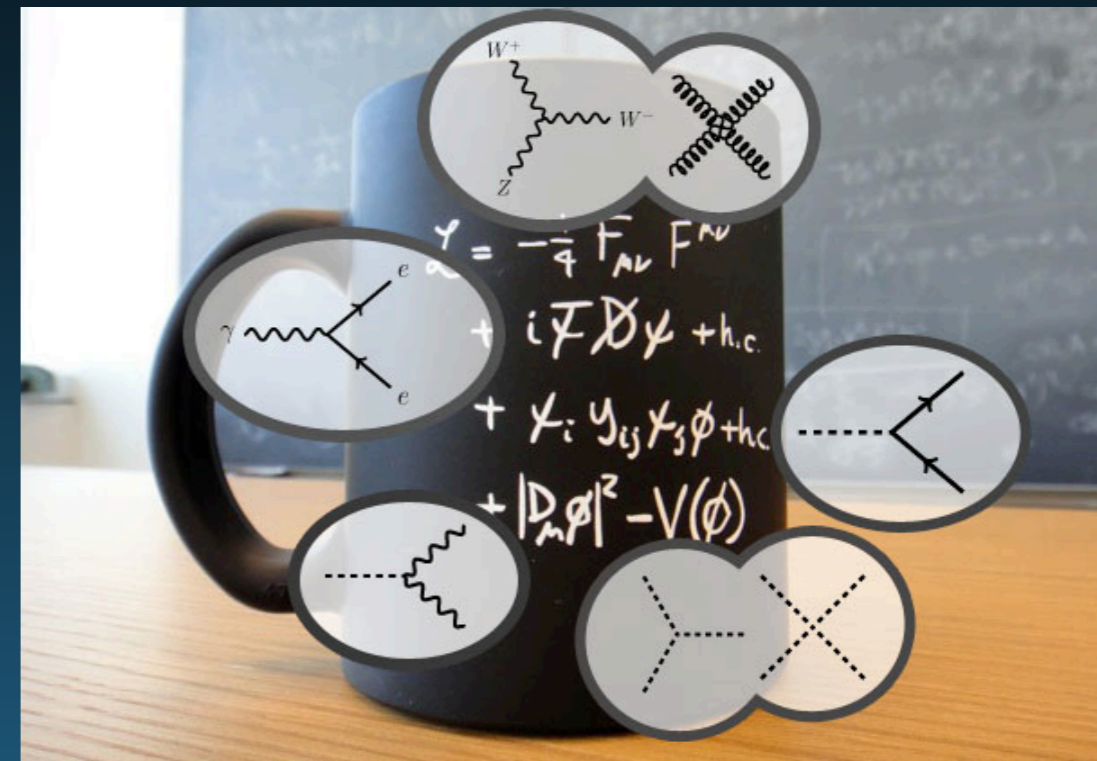


\*imperfectly due to anomalies, but with irrelevantly long lifeLmes

but the Standard Model is incomplete ...

- ▶ Matter-antimatter asymmetry requires baryon number violation (BNV)
- ▶ **BNV**: anticipated for baryon asymmetry (Sakharov Condition #1)
- ▶ There are well-motivated theories, such as Grand Unified Theories (GUTs) that suggest proton decay may exist and be observable
  - Make specific predictions for decay modes, lifetimes, branching ratios
  - Unify strong, weak, and EM forces into a single underlying force at high energies

## The Standard Model is incomplete



- ▶ Standard Model's  $SU(3) \times SU(2) \times U(1)$  is embedded within a larger gauge group
- ▶ Fundamental forces are low energy manifestations of a unified force
- Can neatly explain many of the puzzling things observed in Nature that are not currently explained by the Standard Model
  - ▶ Quantization of electric charge
  - ▶ Quantum numbers of quarks and leptons
  - ▶ ...

## Unity of All Elementary-Particle Forces

Howard Georgi\* and S. L. Glashow

*Lyman Laboratory of Physics, Harvard University, Cambridge, Massachusetts 02138*

(Received 10 January 1974)

Strong, electromagnetic, and weak forces are conjectured to arise from a single fundamental interaction based on the gauge group SU(5).

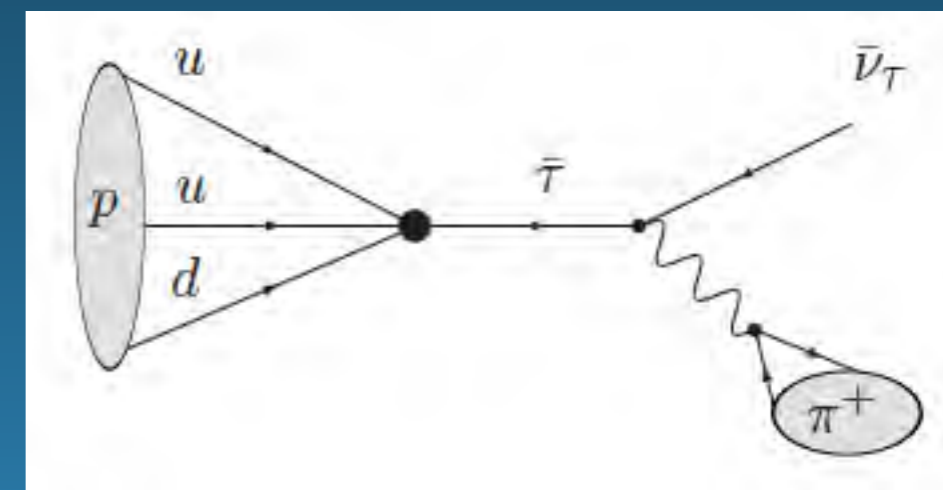
It makes just one easily testable prediction,  $\sin^2\theta_w = \frac{3}{8}$ . It also predicts that the proton decays—but with an unknown and adjustable rate.

- ▶ Had some nice consequences
  - (charge quantization, unified coupling,...)
- ▶ but clearly did not get everything right
  - (value of weak mixing angle, also predicted massless neutrinos and magnetic monopoles)

Category	Example	Branching fraction	Experiment
Z decays	$Z \rightarrow p e$	$< 1.8 \times 10^{-6}$	OPAL
tau decays	$\tau \rightarrow p\bar{b} \gamma$	$< 10^{-5} - 10^{-7}$	LHCb, CLEO, Belle
Heavy meson decay	$B^0 \rightarrow \Lambda^0 e^+$	$< 10^{-5} - 10^{-8}$	CLEO, BaBar
Heavy baryon decay	$\Lambda^0 \rightarrow \pi^- e^+$	$< 10^{-5} - 10^{-7}$	CLAS
Top quark	$t\bar{b} \rightarrow b u e^-$	$< 10^{-3}$	CMS

Table from E. Learn (BNV, 2017)

- ▶ Marciano (1995): some of these processes may be better constrained by nucleon decay.
- ▶ **Nucleon decay is the most constraining**

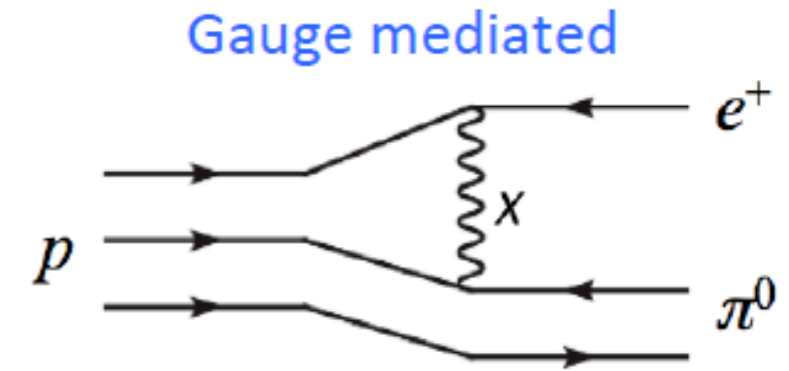
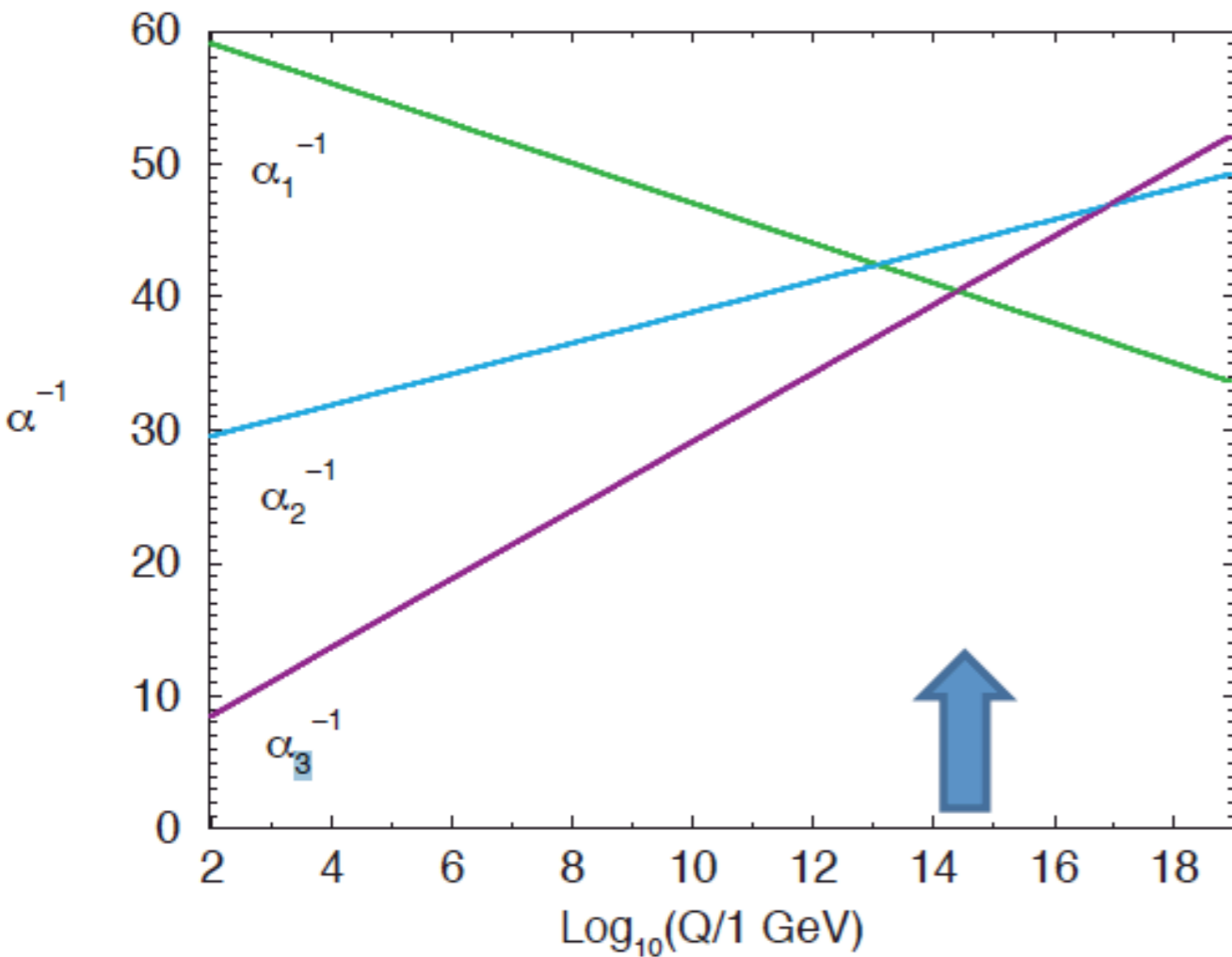


Hou, Nagashima, Soddu hep-ph/0509006

- ▶ Assume the Standard Model,  $SU(3) \otimes SU(2) \otimes U(1)$ , is part of a larger symmetry group, e.g.  $SU(5)$ :

$$\bar{5} = \begin{pmatrix} \bar{d}_g \\ \bar{d}_r \\ \bar{d}_b \\ e^- \\ -\nu_e \end{pmatrix}_L \quad 10 = \begin{pmatrix} 0 & \bar{u}_b & -\bar{u}_r & -u_g & -d_g \\ & 0 & \bar{u}_g & -u_r & d_r \\ & & 0 & -u_b & -d_b \\ & & & 0 & -e^+ \\ & & & & 0 \end{pmatrix}_L \quad 24 = \begin{pmatrix} G_{11} - \frac{2B}{\sqrt{30}} & G_{12} & G_{13} & \bar{X}_1 & \bar{Y}_1 \\ G_{21} & G_{22} - \frac{2B}{\sqrt{30}} & G_{23} & \bar{X}_2 & \bar{Y}_2 \\ G_{31} & G_{32} & G_{33} - \frac{2B}{\sqrt{30}} & \bar{X}_3 & \bar{Y}_3 \\ \hline X_1 & X_2 & X_3 & \frac{W^3}{\sqrt{2}} + \frac{3B}{\sqrt{30}} & W^+ \\ Y_1 & Y_2 & Y_3 & W^- & -\frac{W^3}{\sqrt{2}} + \frac{3B}{\sqrt{30}} \end{pmatrix}$$

- ▶ Consequences:
  - Single (unified) coupling
  - Charge quantization:  $Q_d = Q_e/3, Q_u = -2Q_d \Rightarrow Q_p = -Q_e$
  - **New gauge interactions (X, Y bosons)  $\Rightarrow$  proton decay**
  - Other predictions of  $SU(5)$ : magnetic monopoles, value of weak mixing angle (poor), massless neutrinos (oops!)
  - There are other groups, e.g.  $SO(10)$  that accommodate massive neutrinos

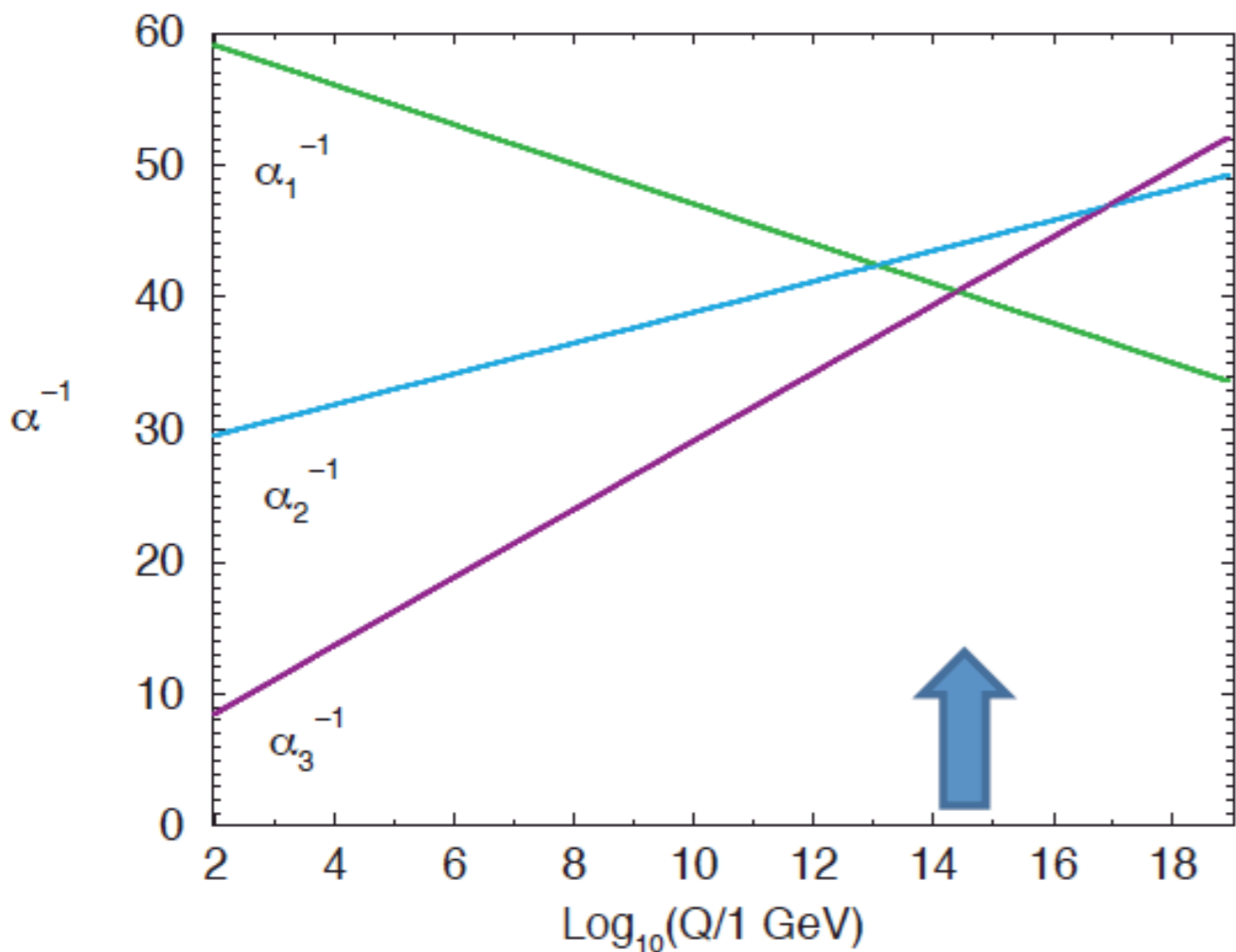


$$\tau = \frac{M_X^4}{\alpha m_p^2}$$

$$\tau(e^+ \pi^0) = 4.5 \times 10^{29 \pm 1.7} \text{ years (predicted)}$$

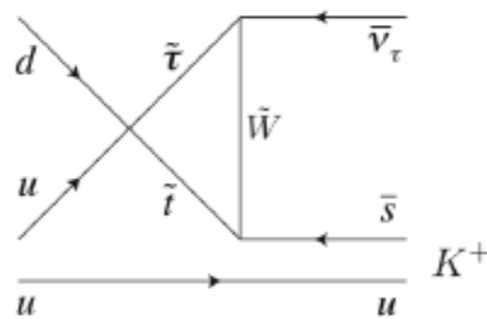
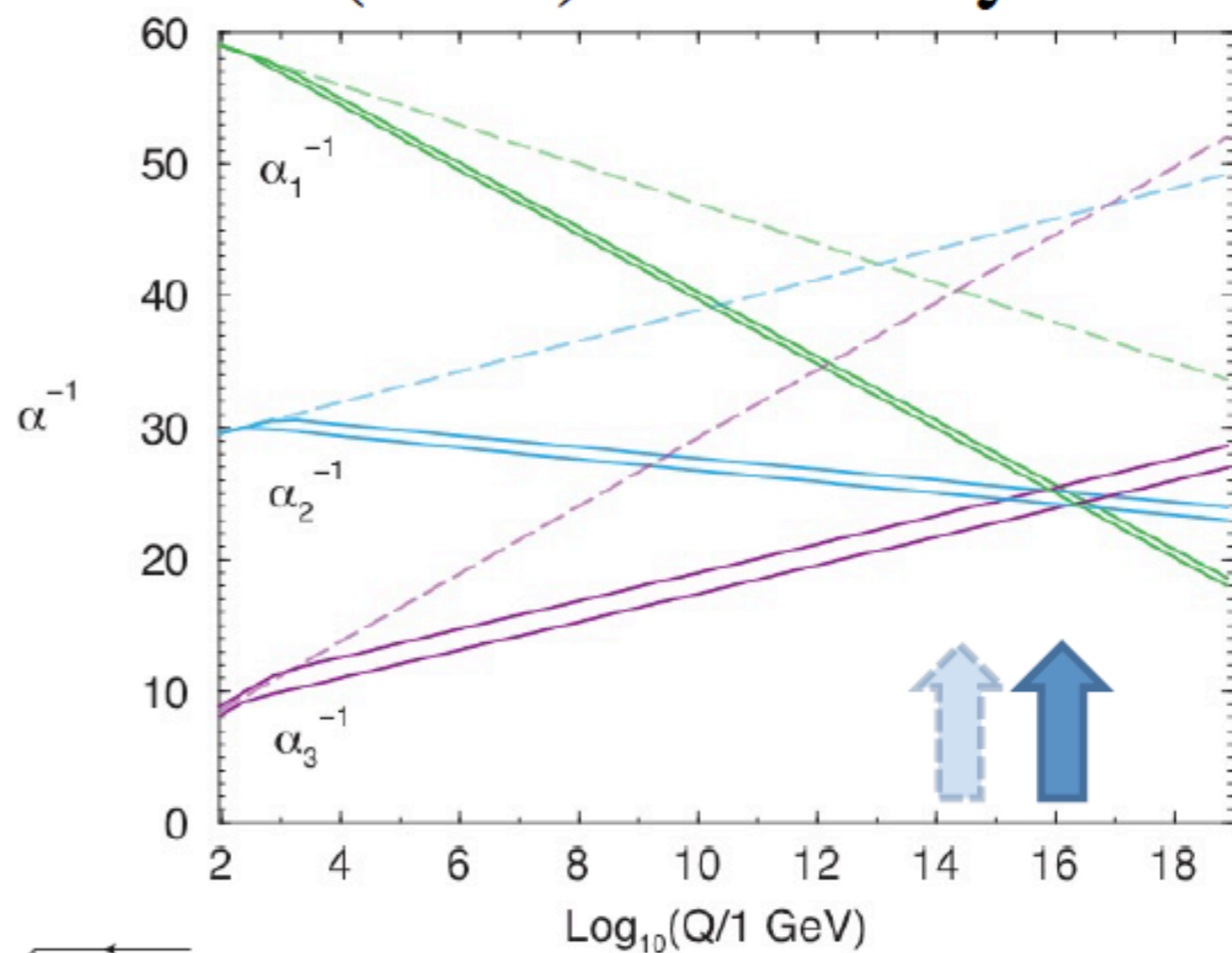
$$\tau(e^+ \pi^0) > 5.5 \times 10^{32} \text{ years (IMB/1990)}$$

- ▶ Minimal SU(5) was ruled out long ago by Kamiokande and IMB measurements, but minimal SUSY SU(5) still viable and there are the kaon and other modes to search for.



Unification scale pushed up ✓

$$\tau(e^+ \pi^0) \approx 10^{35-38} \text{ years}$$

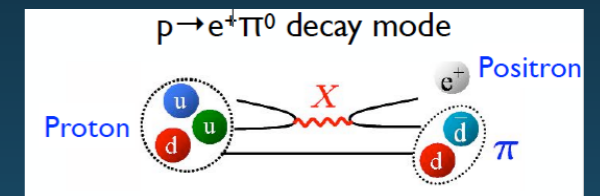


But new modes now present (D=5)

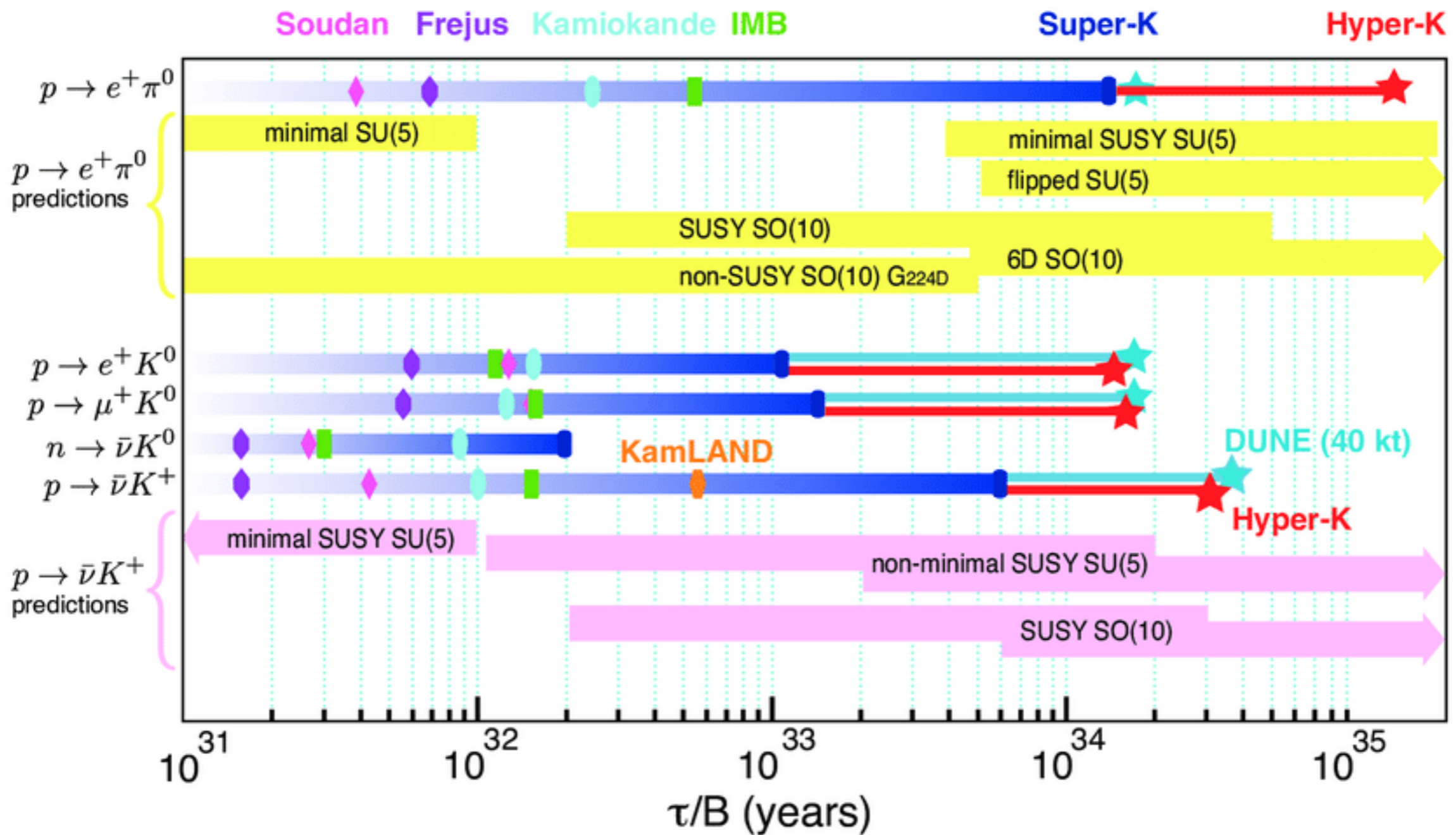
$$\tau(\bar{\nu} K^+) \approx 10^{29-35} \text{ years}$$



- ▶ Nucleon decay can occur via a direct transition from quark into lepton
  - Forbidden in the Standard Model
  - → Clear evidence of beyond the standard model if it's observed
- ▶ Various types of models exist
  - Supersymmetric & non-SUSY, different gauge groups (SU(5), SO(10), ...)
- ▶ Lifetime predictions within those models are not precise
  - several orders of magnitude uncertainty
- ▶ Typically two proton decay modes are used as "benchmarks" for models:
  - $p \rightarrow e^+ \pi^0$  (mediated by a new heavy gauge boson)
  - $p \rightarrow \bar{\nu} K^+$  (supersymmetric dimension-5 operators)
- ▶ BUT, many other modes are also allowed, and since we don't know which model (if any) is correct, it is important to search for as many modes as possible
  - Beyond  $e^+ \pi^0$  and  $\bar{\nu} K^+$ 
    - ➔ Conserve B-L ( $p \rightarrow$  antilepton + meson)
    - ➔ Conserve B+L ( $p \rightarrow \mu^- \pi^+ K^+$  and many others)
    - ➔  $\Delta B = 2$  (neutron  $\leftrightarrow$  anti-neutron oscillation, dinucleon decay)
    - ➔ 3-body decays ( $p \rightarrow e^+ \nu \nu$ )
    - ➔ Invisible decays ( $n \rightarrow \nu \nu \nu$ )
    - ➔ ...
- ▶ Even if no signal is seen, limits constrain the theories
- ▶ **Nucleon decay search an unique prove for GUT and physics in very high energy**

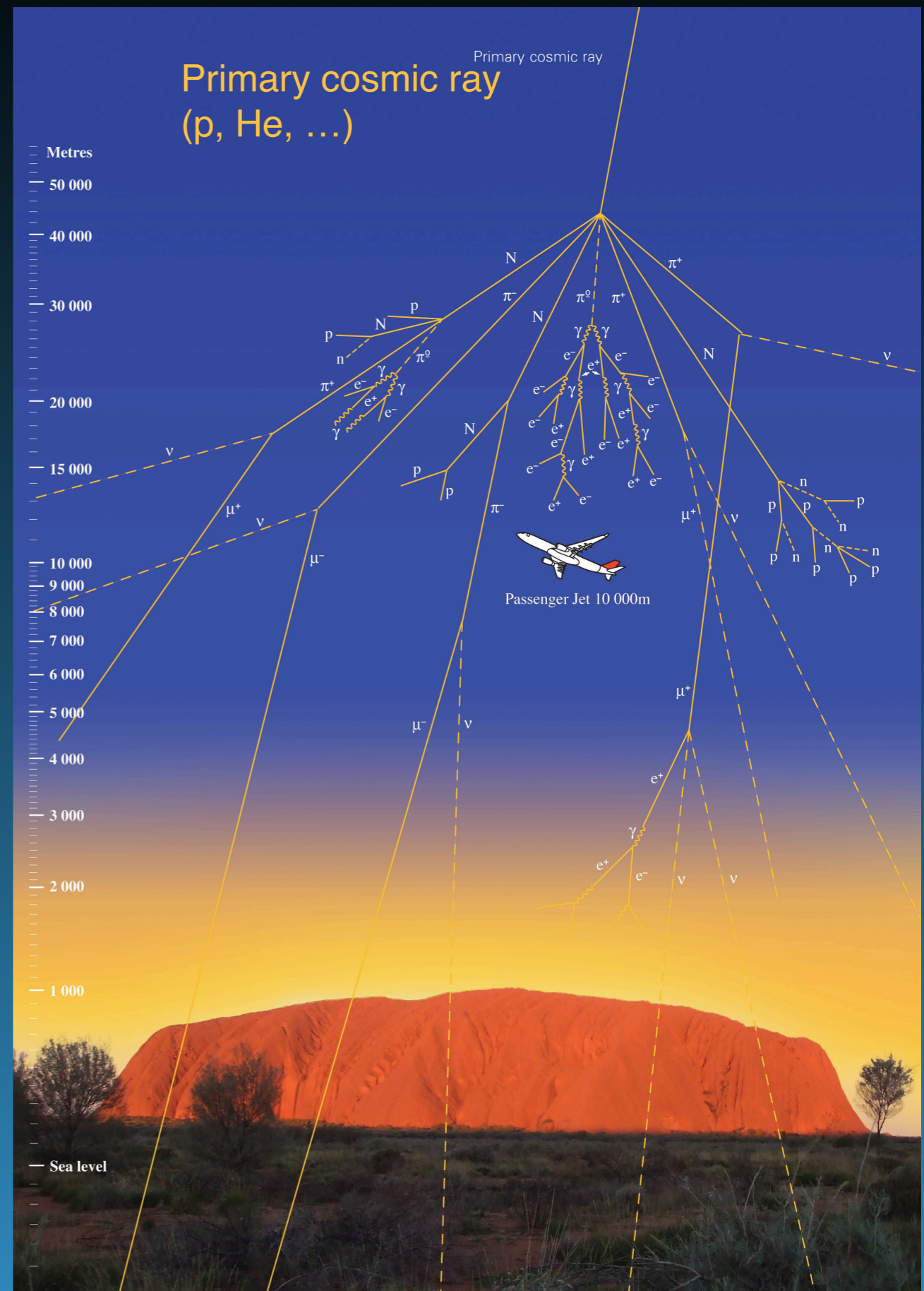
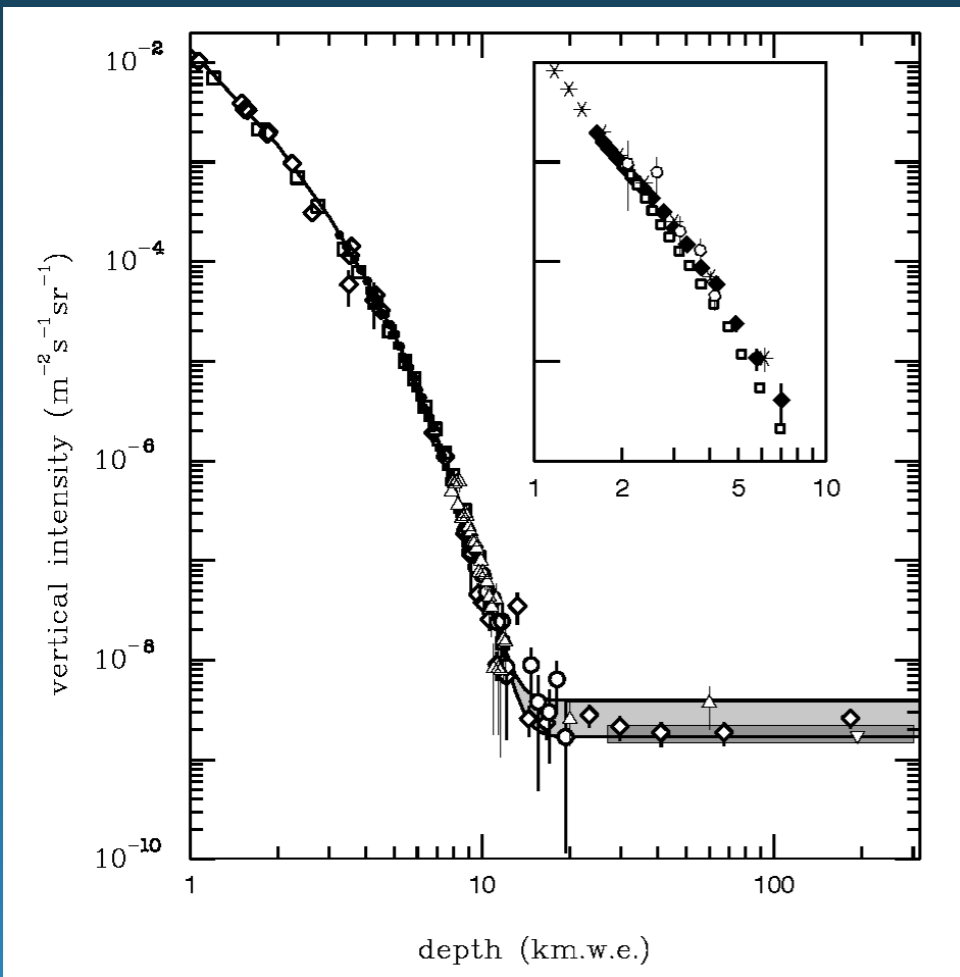


# EXPERIMENTAL LIMITS CONSTRAIN THEORETICAL MODELS



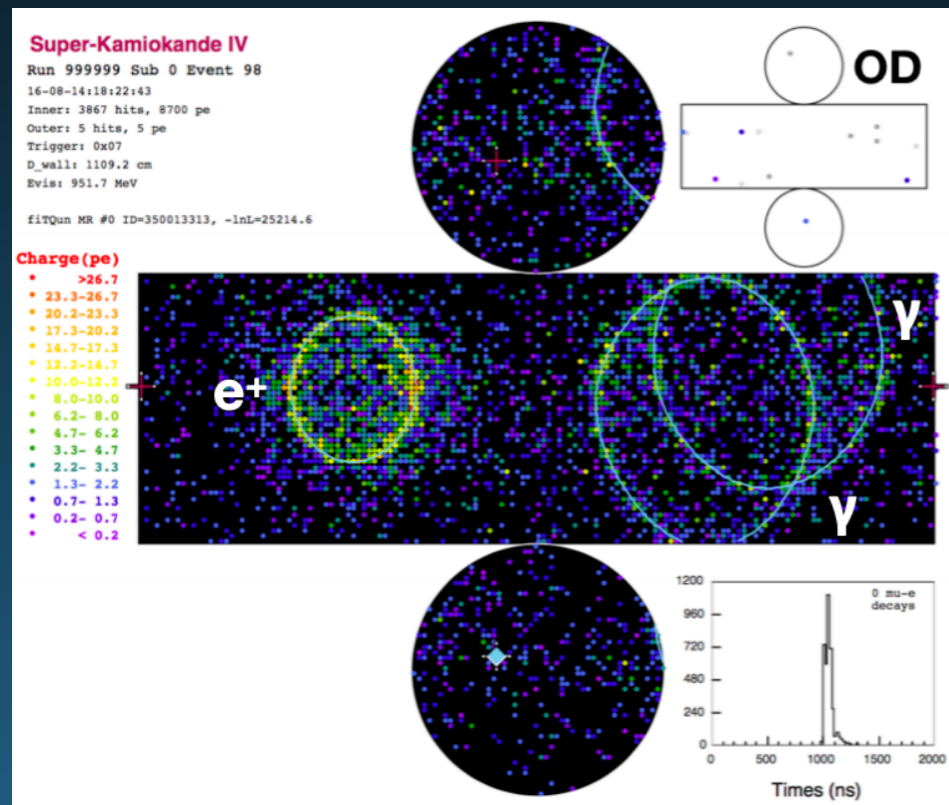
- ▶ Neutrino experiments are an ideal place to search for proton decay & other BNV
  - ➔ Underground to attenuate cosmic rays
  - ➔ Very big, to collect large statistics (neutrino interaction cross sections  $\sim 10^{-38} \text{ cm}^{-2}$ )

Neutrino-induced muons (from atmospheric neutrinos)



## Water Cherenkov Detectors

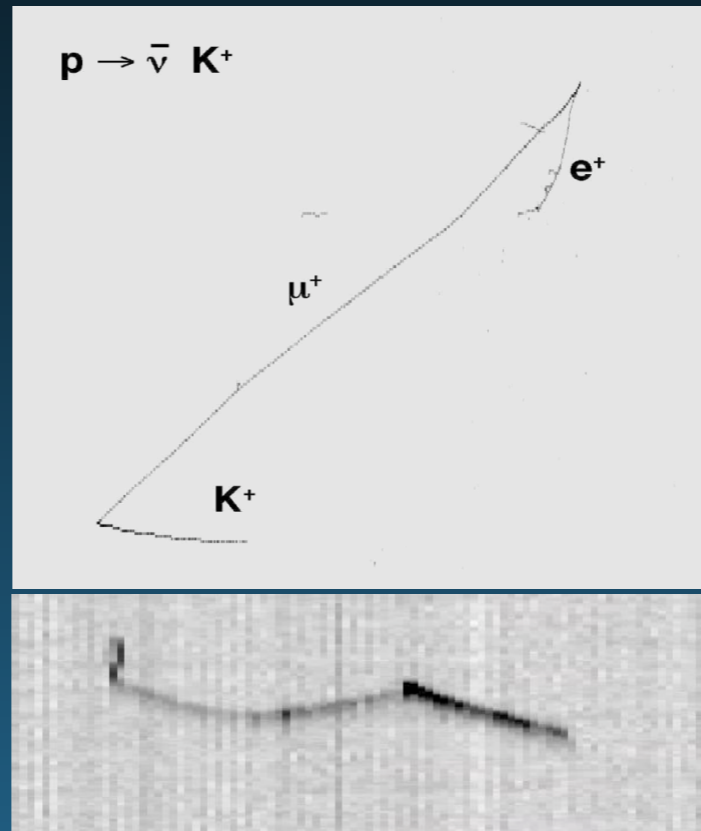
- ▶ Super-Kamiokande
- ▶ Hyper-Kamiokande



- ▶ Most massive - superior for  $e^+\pi^0$
- ▶ Broad search capability
- ▶ Less kaons below Cherenkov threshold

## Liquid Argon TPC

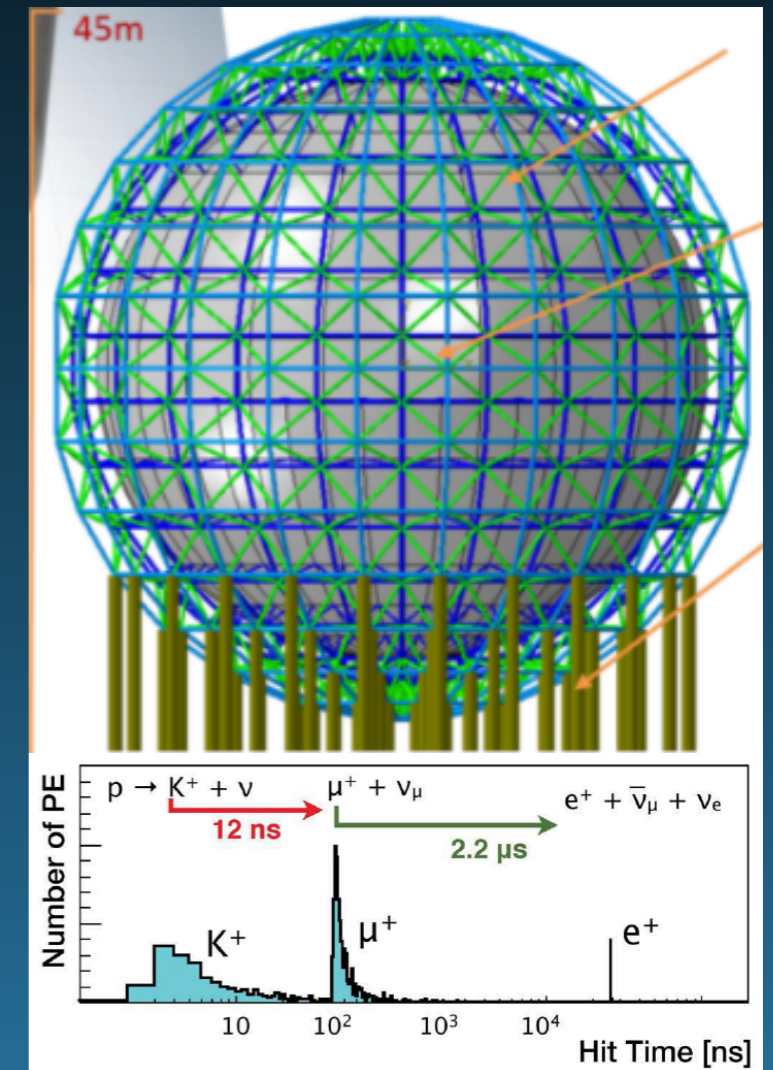
- ▶ DUNE



- ▶ Fine grained detail
- ▶ Visible kaon track
- ▶ Heavy nucleus, no free protons

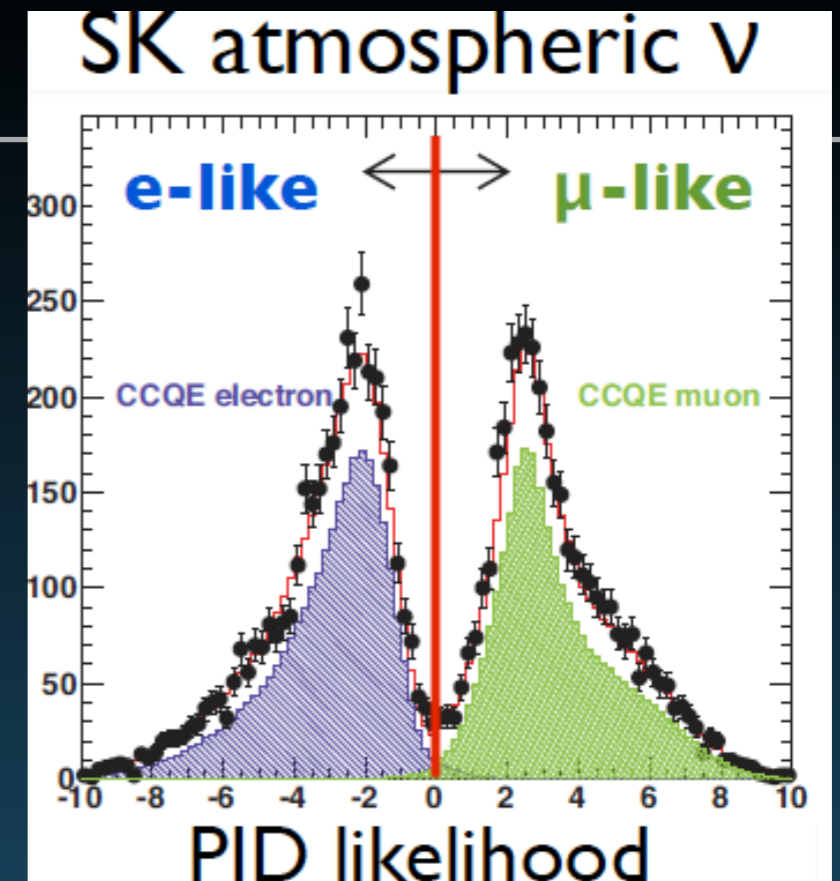
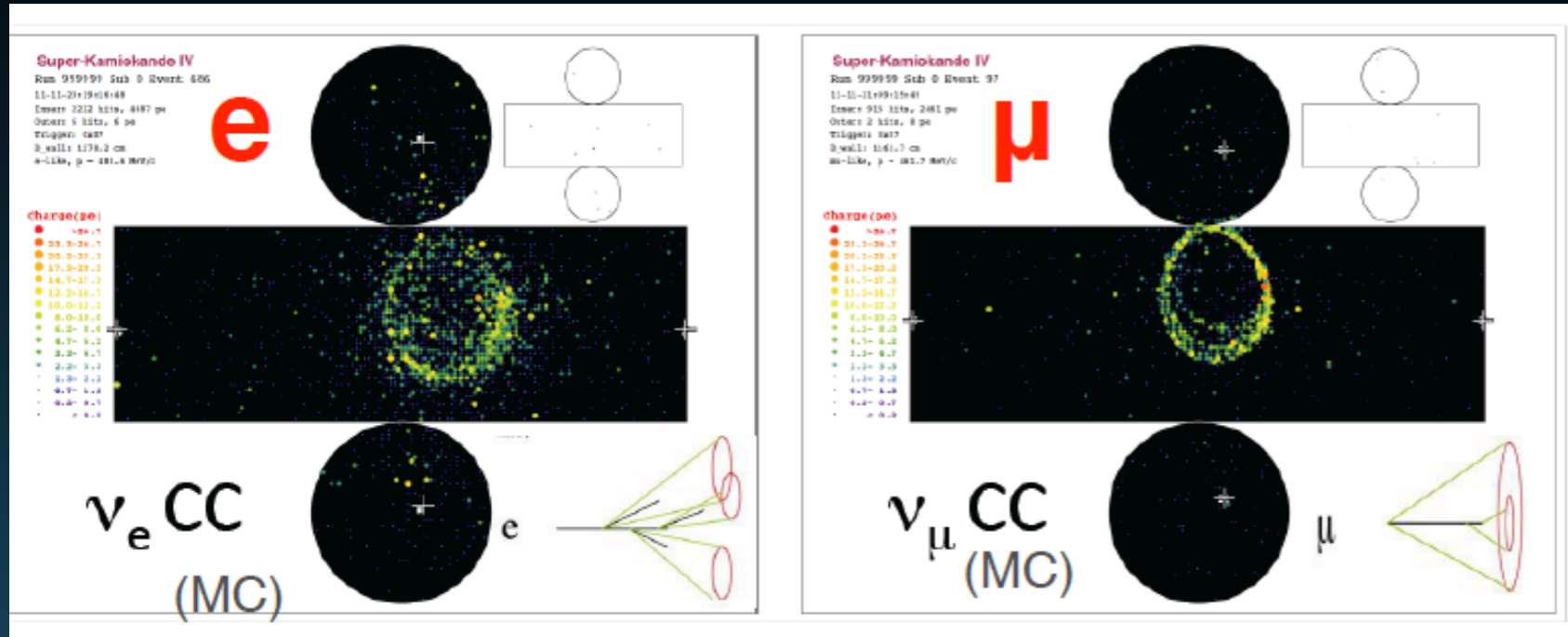
## Liquid Scintillator

- ▶ KamLAND
- ▶ JUNO
- ▶ THEIA



- ▶ Clean timing signature
- ▶ Specialize in charged kaon (also invisible mode)

# WATER CH. DETECTOR FOR P-DECAYS

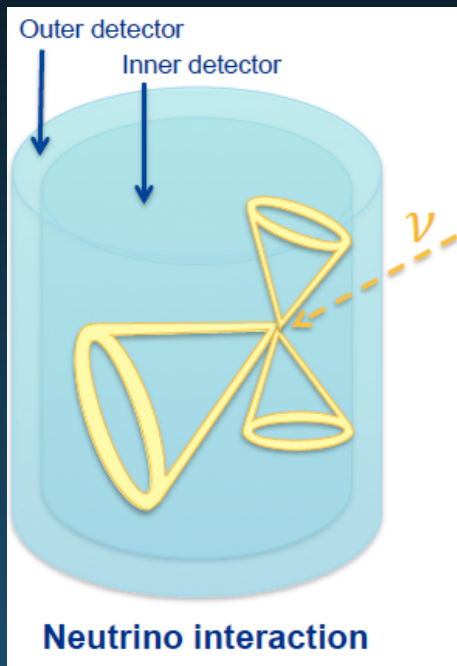


- ▶ High mass is possible (Super-K 22kton, Hyper-K 190kton  $\sim 8\times$ Super-K)
  - $p \rightarrow e^+ \pi^0$ ,  $\bar{\nu} K^+$ , and more can be searched with high sensitivities
- ▶ Excellent & well-proven performance
  - Good ring-imaging capability at  $\sim 1$  GeV
  - Excellent particle ID (e or  $\mu$ ) capability  $> 99\%$
  - Energy resolution for e and  $\mu \sim 3\%$
- ▶ Free protons are available
  - No Fermi motion, nuclear effect
  - High efficiency & good S/N separation

## Neutrino Interaction

## Proton Decay

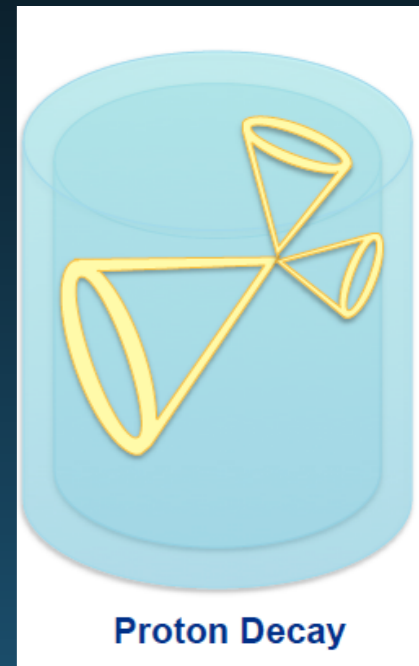
## Similar ?



Invisible neutrino enters and interact with proton or neutron of H<sub>2</sub>O. Exiting particles make Cherenkov rings.

Proton or bound neutron of H<sub>2</sub>O decays. Exiting particles make Cherenkov rings.

Yes



A very wide energy range, It goes from ~10's of MeVs to many TeVs

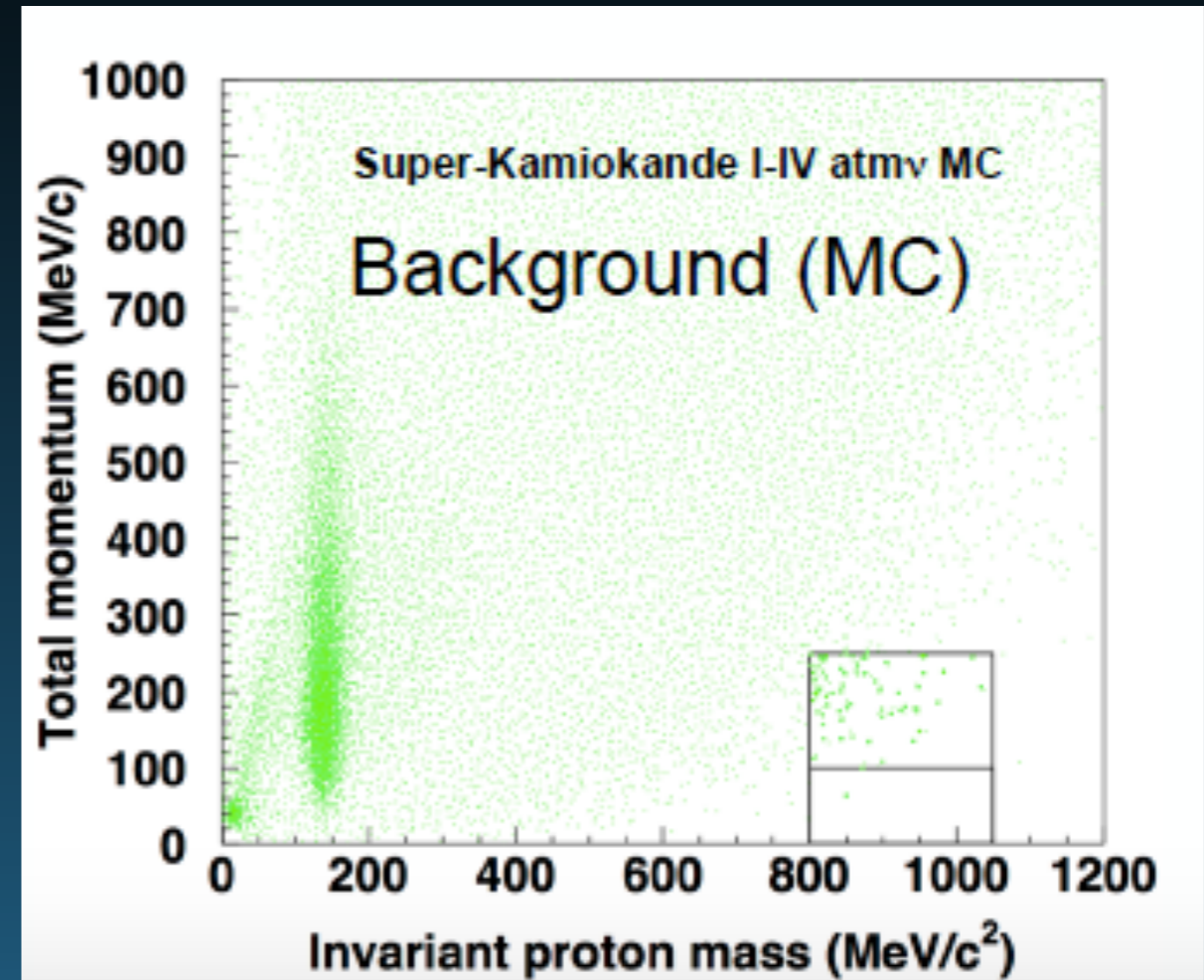
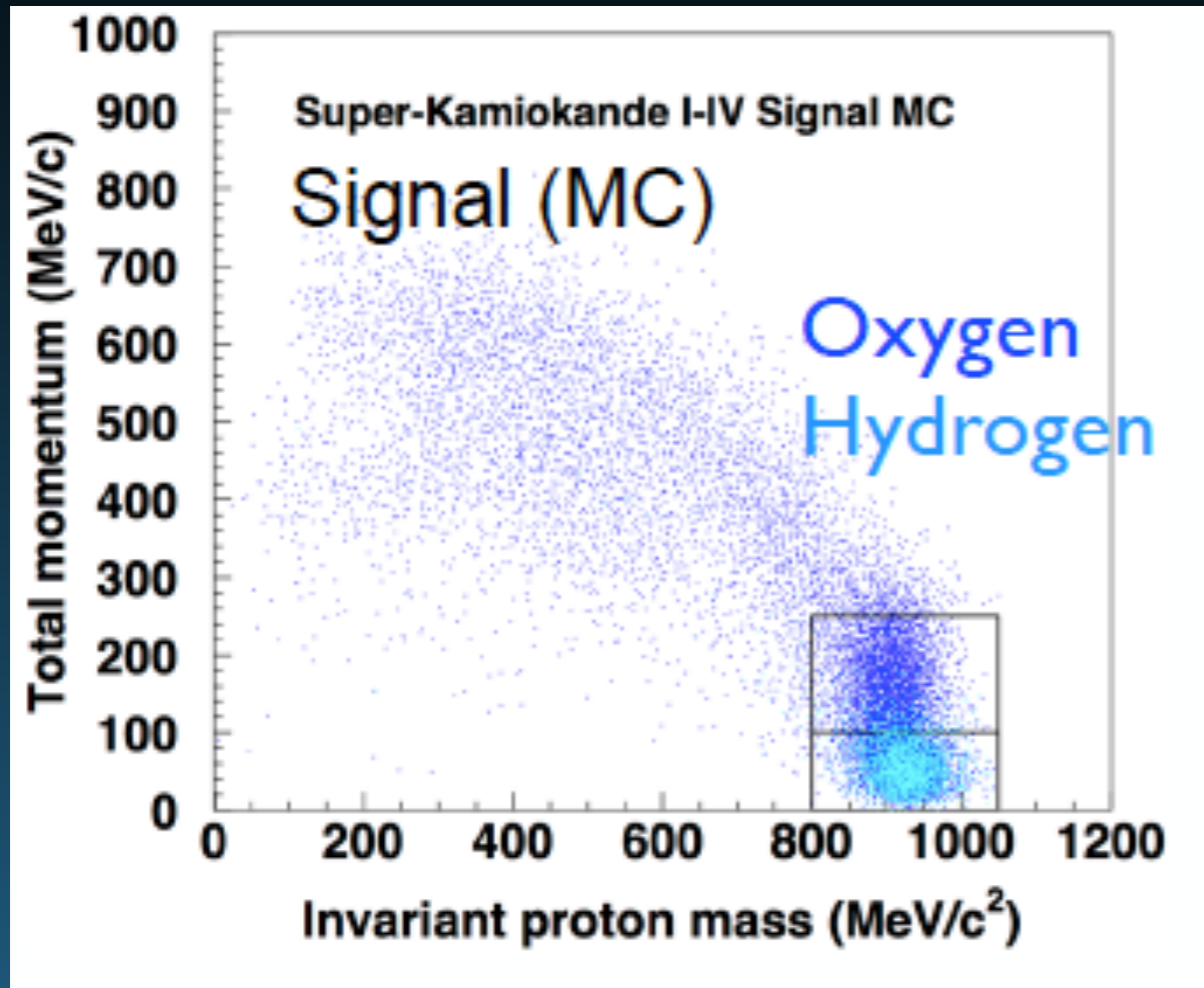
~1 GeV (mass of decaying proton or neutron)

Sometimes

A wide range of net momenta

Net momentum of outgoing particles should be near 0 (up to the Fermi momentum inside nucleus & correlations)

Sometimes



- ▶ Fully contained
- ▶ Fiducial volume
- ▶ 2 or 3 rings
- ▶ All rings are EM showers
- ▶  $\pi^0$  mass 85-185 MeV/c<sub>2</sub>

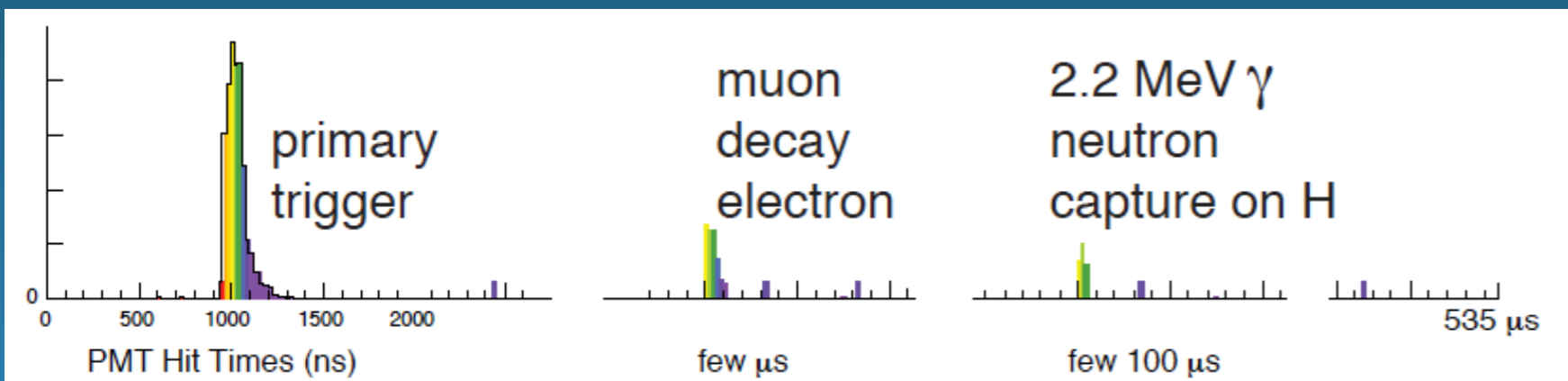
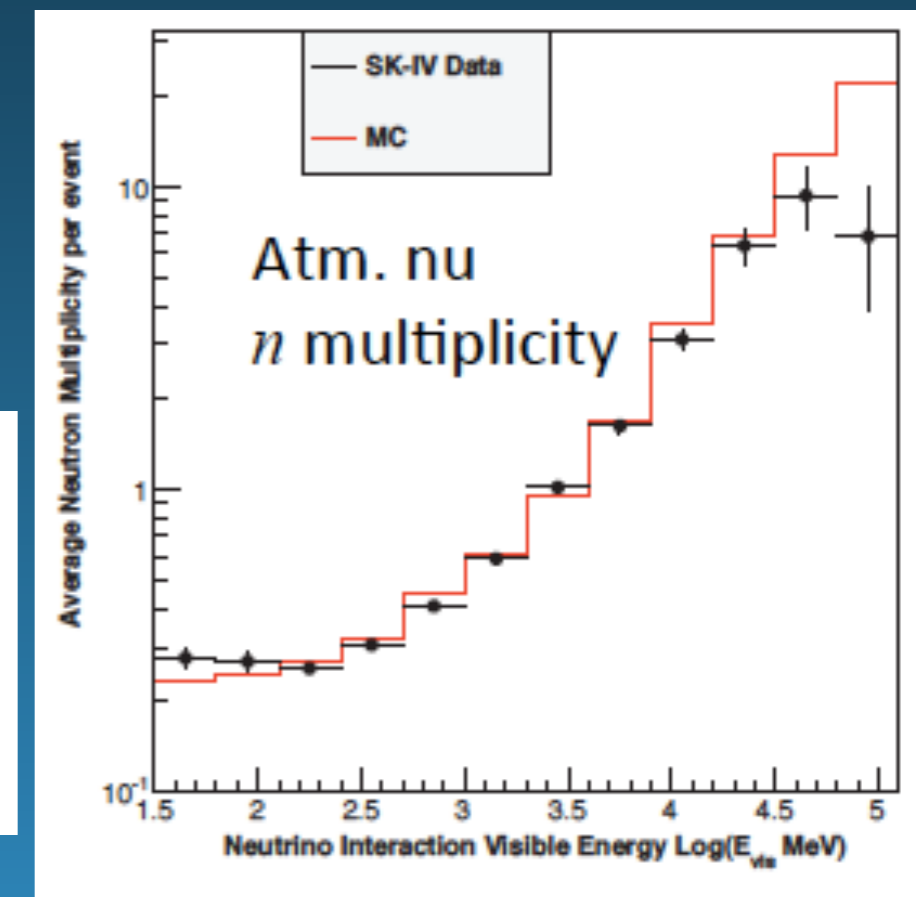
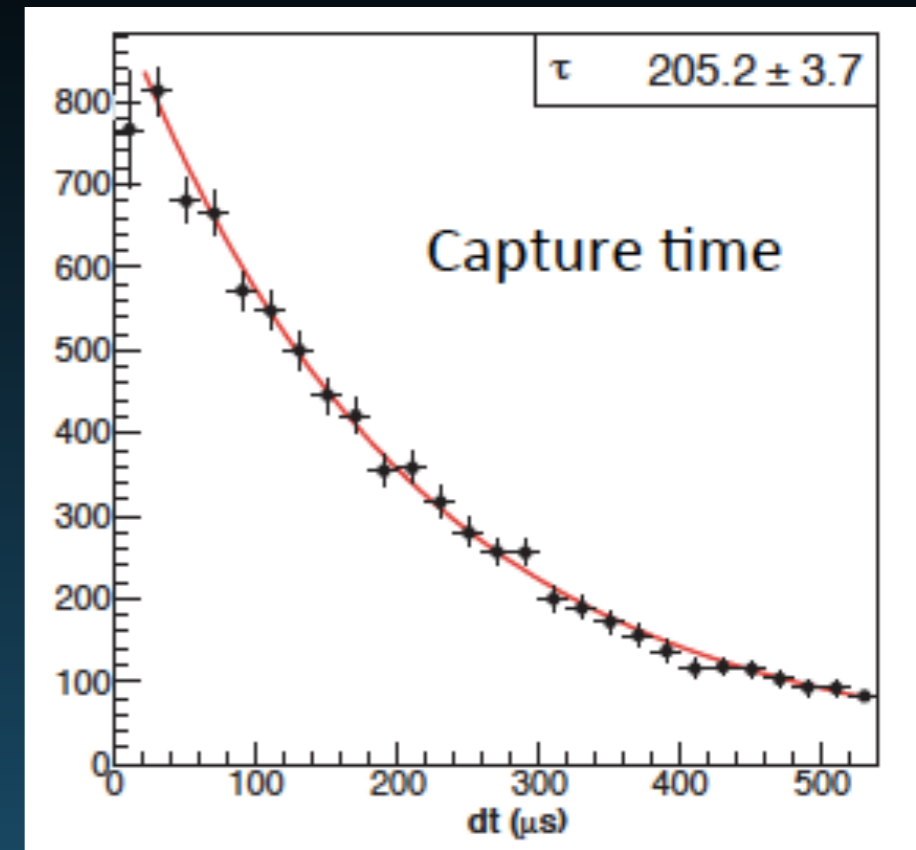
- ▶ No  $\mu$ -decay electrons
- ▶ Mass range 800-1050 MeV/c<sub>2</sub>
- ▶ Net momentum < 250 MeV/c
- ▶ SK-IV only: veto event if n-capture

▶ Background for proton decay search:

- Atmospheric neutrino; CC- $\pi$  production
- Background rate prediction confirmed with data from K2K-1KT Č detector
- Background under control

▶ **Atmospheric neutrino background is frequently accompanied by neutron production**

- ▶ Detection efficiency = 20.5% Can increase to ~90% with capture on Gd
- ▶ SK-Gd construction in 2018

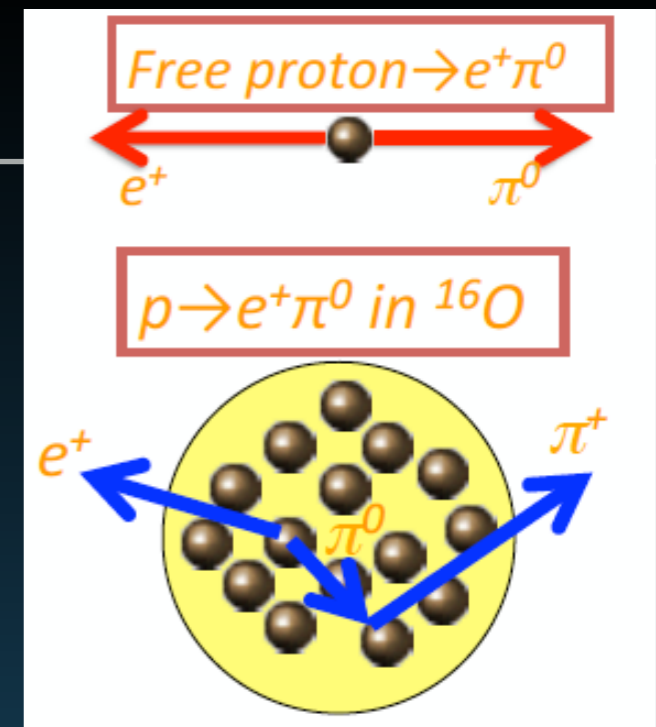




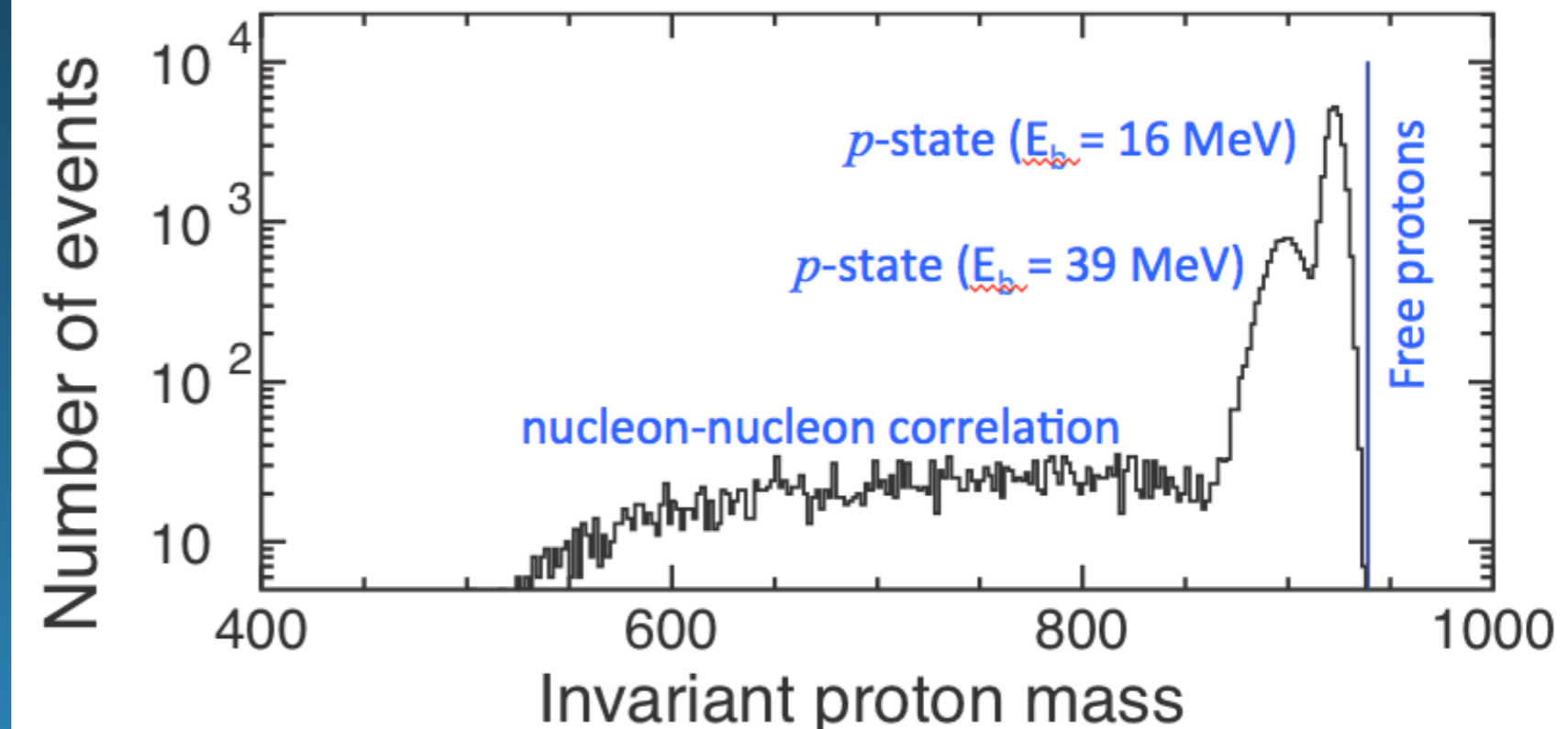
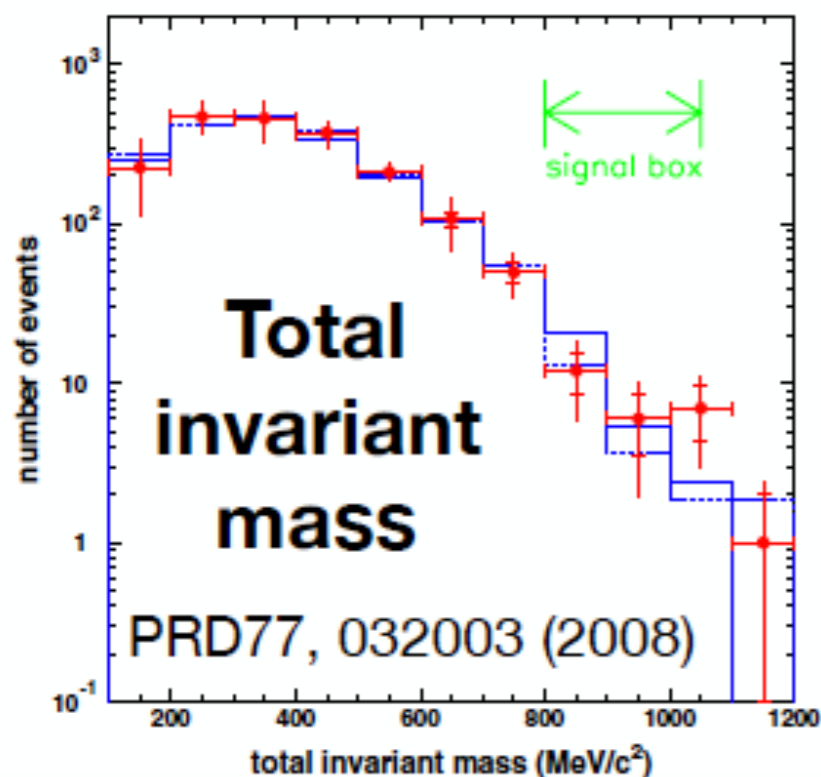
# SIGNAL & BACKGROUND

## ► Signal: $p \rightarrow e^+ \pi^0$

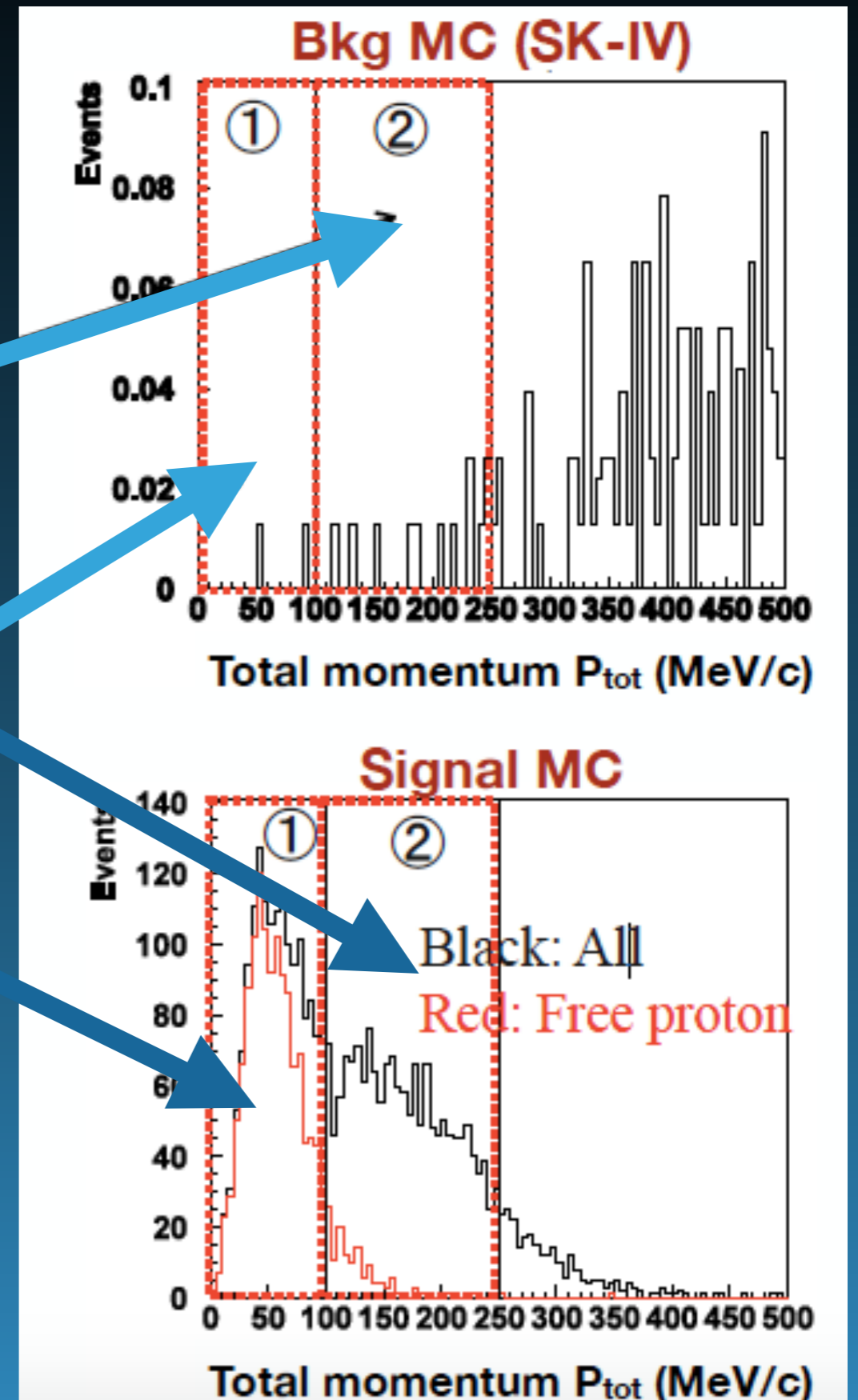
- One of major causes of signal efficiency loss is due to final state interaction (FSI) in the target nucleus of  $\pi^0$  from proton decay
- An advantage of water Č detector is to have 'free proton' target
- cf.  $p \rightarrow e^+ \pi^0$  signal selection efficiency:
  - in oxygen: ~40%,
  - in hydrogen: 80+% (SK-IV)



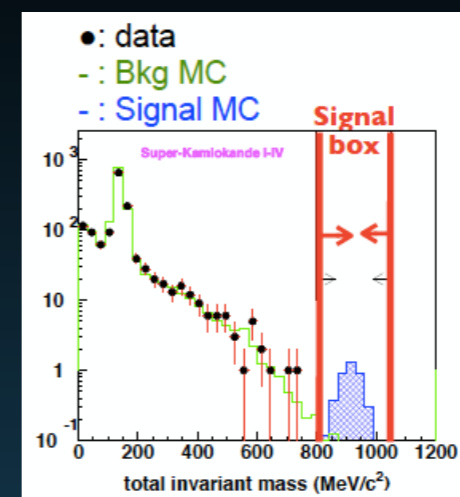
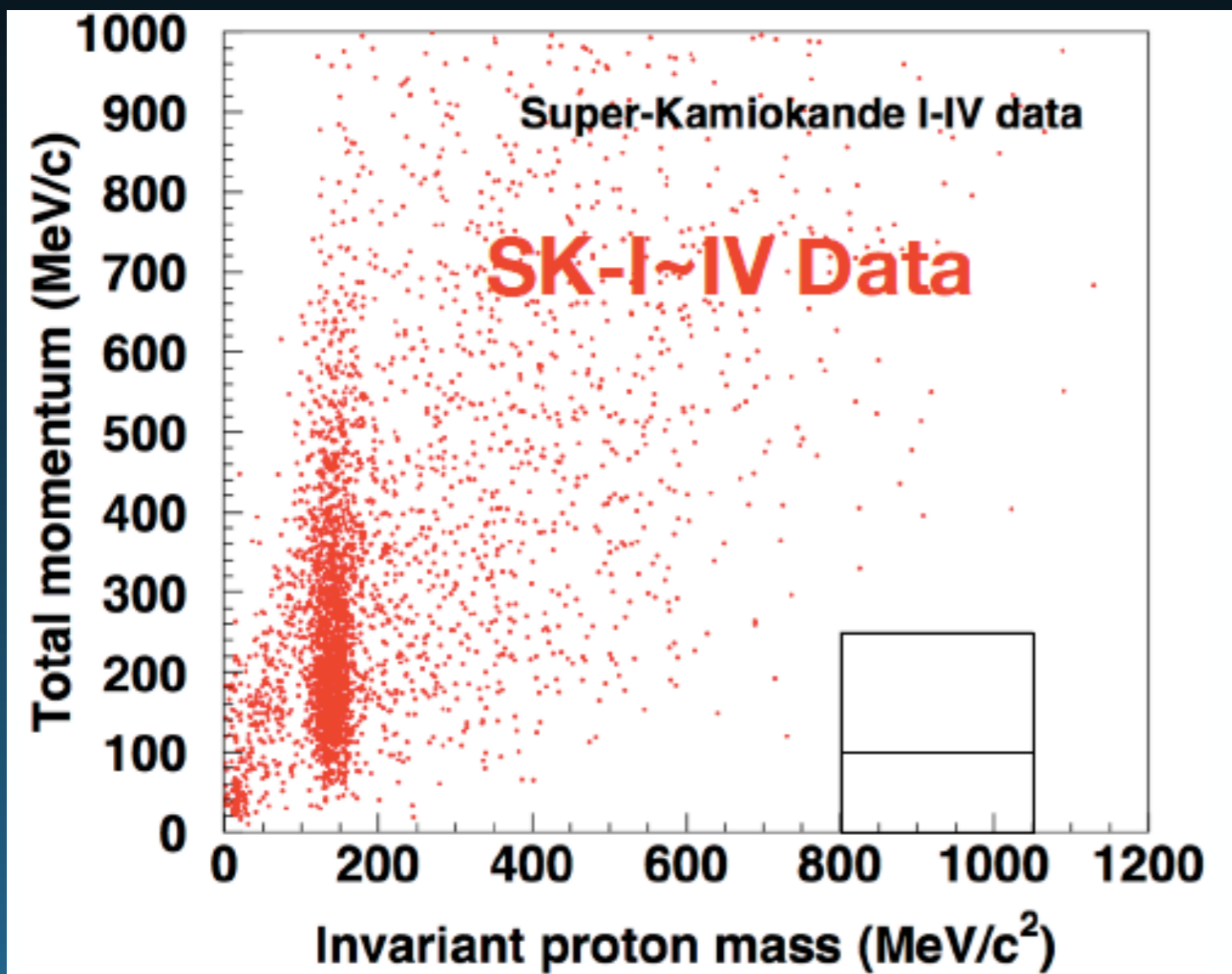
ex.  $\pi^0$  from PDK interacts with nucleons in the target nucleus and loose original kinematics (ex. momentum) and/or modified charge



- ▶ **Divide the signal box into two with  $P_{\text{tot}}$  to enhance the discovery potential**
- ▶ **Upper  $P_{\text{tot}}$  (100~250 MeV/c)**
  - Atm- $\nu$  background tail
  - Proton decay in  $^{16}\text{O}$  dominant
- ▶ **Lower  $P_{\text{tot}}$  (<100 MeV/c)**
  - ~1/10 smaller bkg compared to the upper  $P_{\text{tot}}$
  - Free proton decay dominant
  - Accurate reconstruction of proton decay signature (less FSI) and lower background



# $P \rightarrow e^+ \pi^0$ : SIGNAL & BACKGROUND



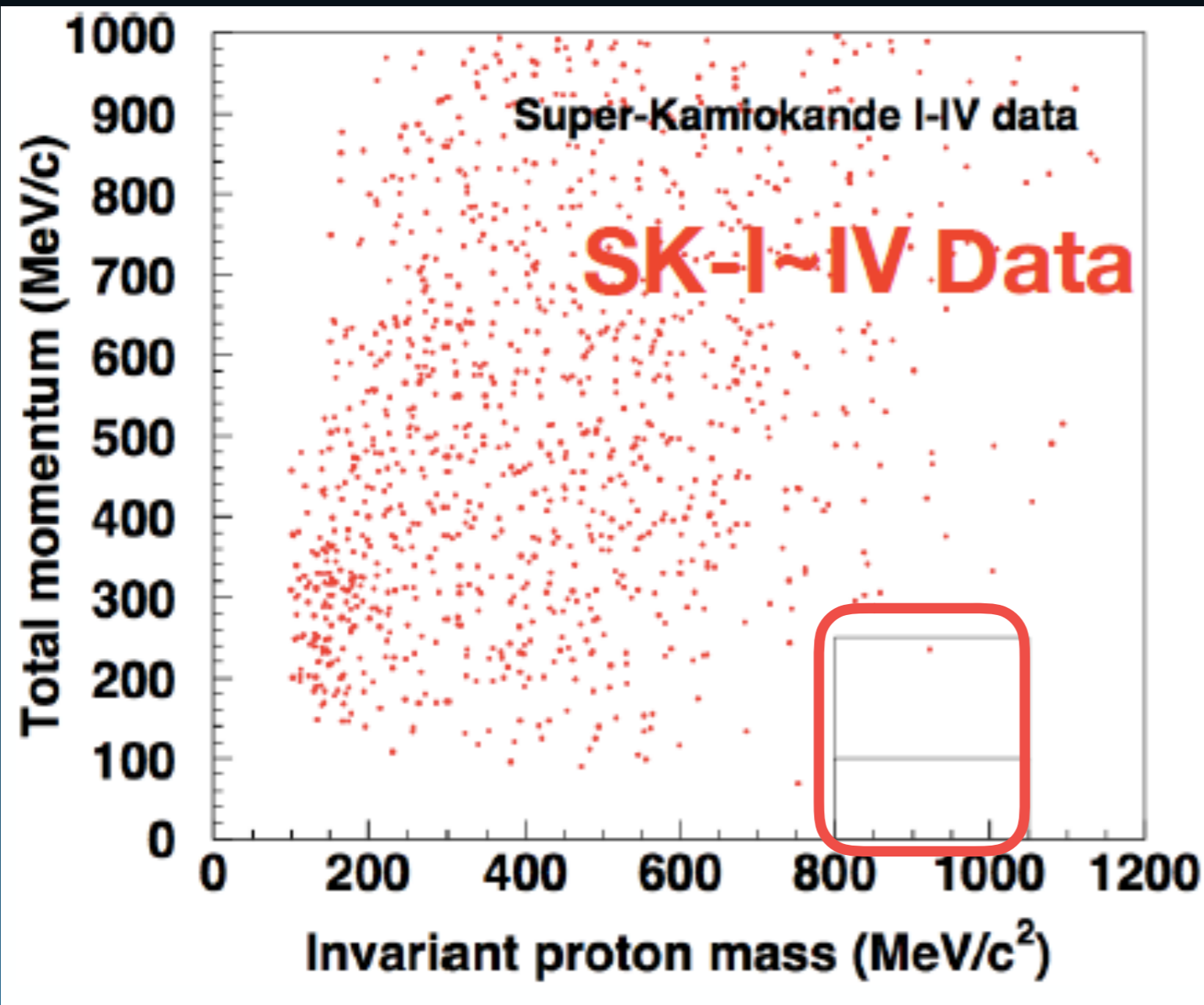
- ▶ Expected bkg contamination in signal region for entire SK period (SK-I~IV):
  - Lower  $P_{\text{tot}}$ : 0.07 events
  - Upper  $P_{\text{tot}}$ : 0.54 events
- ▶ Found no events in the signal box

- ▶ Lifetime limit at 90% C.L. with 365 kt·years (SK-I~IV) exposure:

$\tau/\text{Br} > 2.0 \times 10^{34}$  years [preliminary]

- ▶ Most stringent constraint

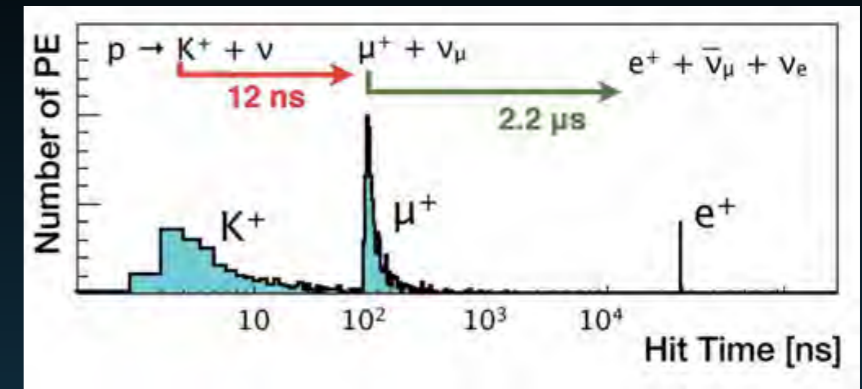
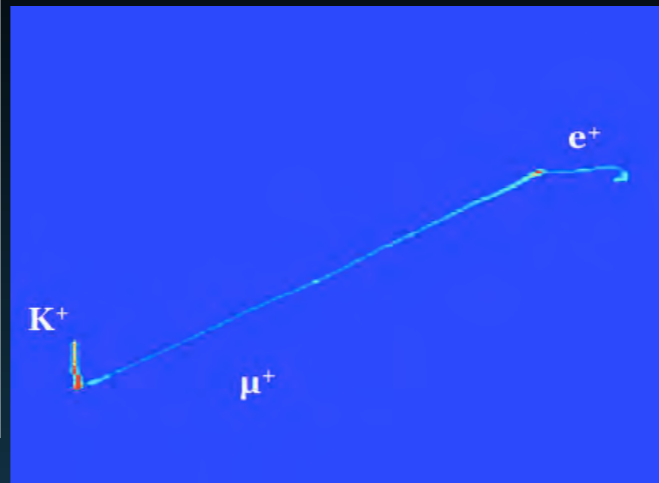
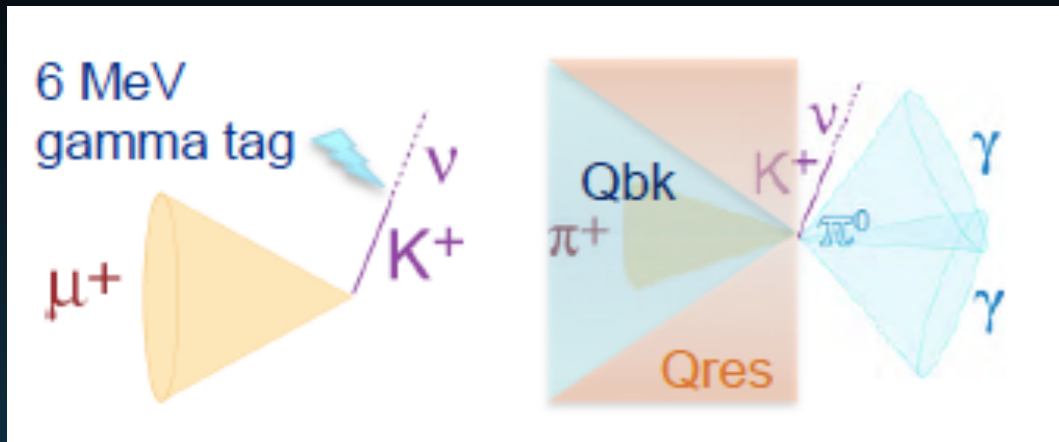
# $P \rightarrow \mu^+ \pi^0$ : RESULTS



- ▶ Spirit of the event selection is similar to  $p \rightarrow e^+ \pi^0$  mode but requires 1  $\mu$ -like ring
- ▶ Expected bkg contamination in signal region for entire SK period (SK-I~IV):
  - Lower  $P_{\text{tot}}$ : 0.07 events
  - Upper  $P_{\text{tot}}$ : 0.65 events
- ▶ Found 1 evt in upper signal box
- ▶ It's not obvious data excess compared to expected bkg
- ▶ See PRD95, 012004 (2017)

- ▶ Lifetime limit at 90% C.L. with 365 kt·years (SK-I~IV) exposure:

$$\tau/\text{Br} > 1.2 \times 10^{34} \text{ years [preliminary]}$$



In scintillator detectors:

- ▶ Fast and precise timing capability allows detection of signals from each of the subsequent particles in the decay chain
- ▶ -Both the prompt and delayed signals have well defined energy spectra

powerful background rejection

In Cherenkov detectors:

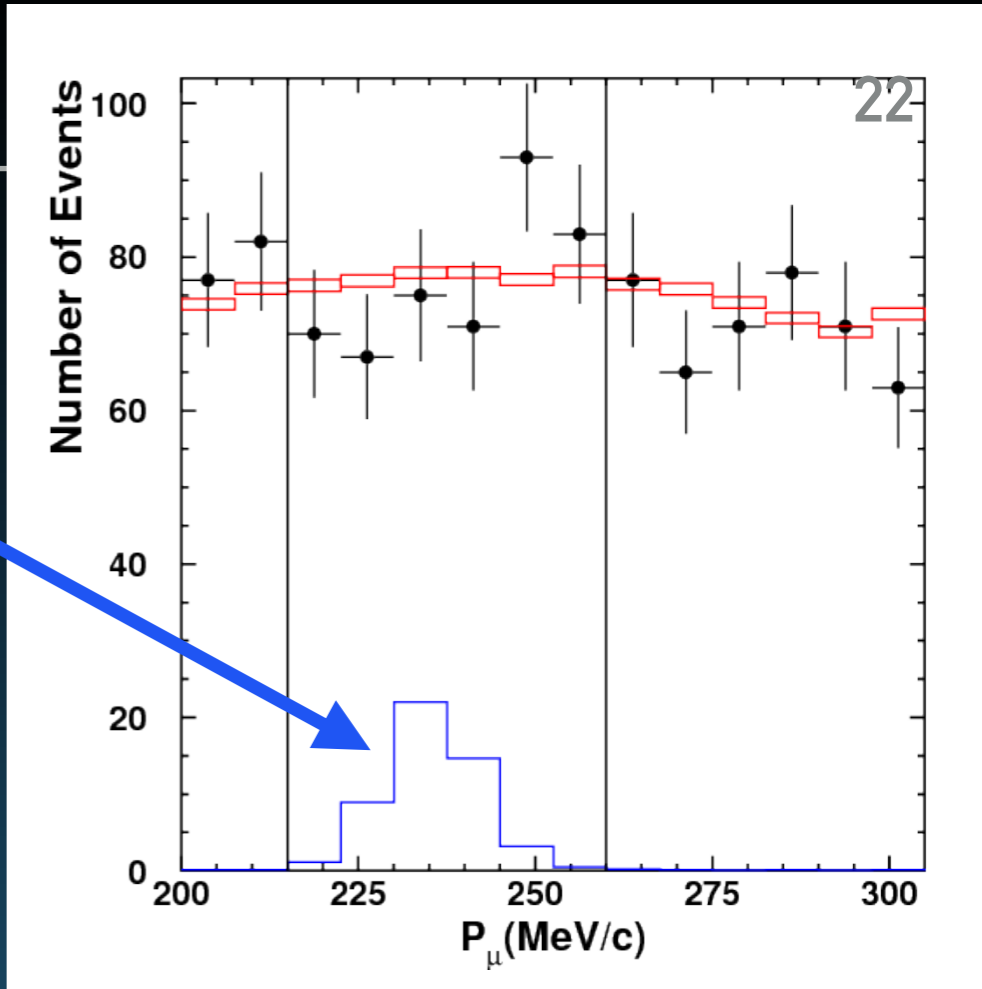
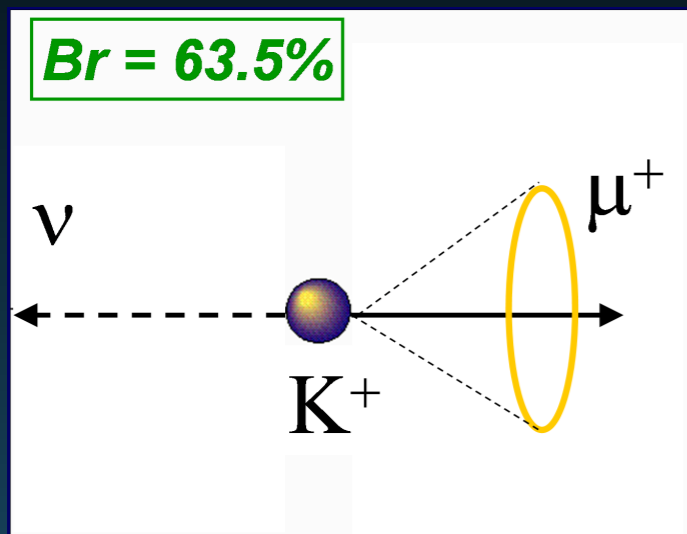
- ▶ Look for de-excitation gamma in time with non showering (muon) ring to identify events with leptonic decay mode of kaon (kaon ring, kaon momentum is 340 MeV/c, is below Cherenkov threshold of 749 MeV/c) [ $K^+$  leptonic decay]
- ▶ Also perform search for hadronic decay mode of kaon, looking for  $\pi^+$  ring in backward direction of 2 showering rings from  $\pi^0$  decay [ $K^+$  hadronic decay]

In LArTPC detectors:

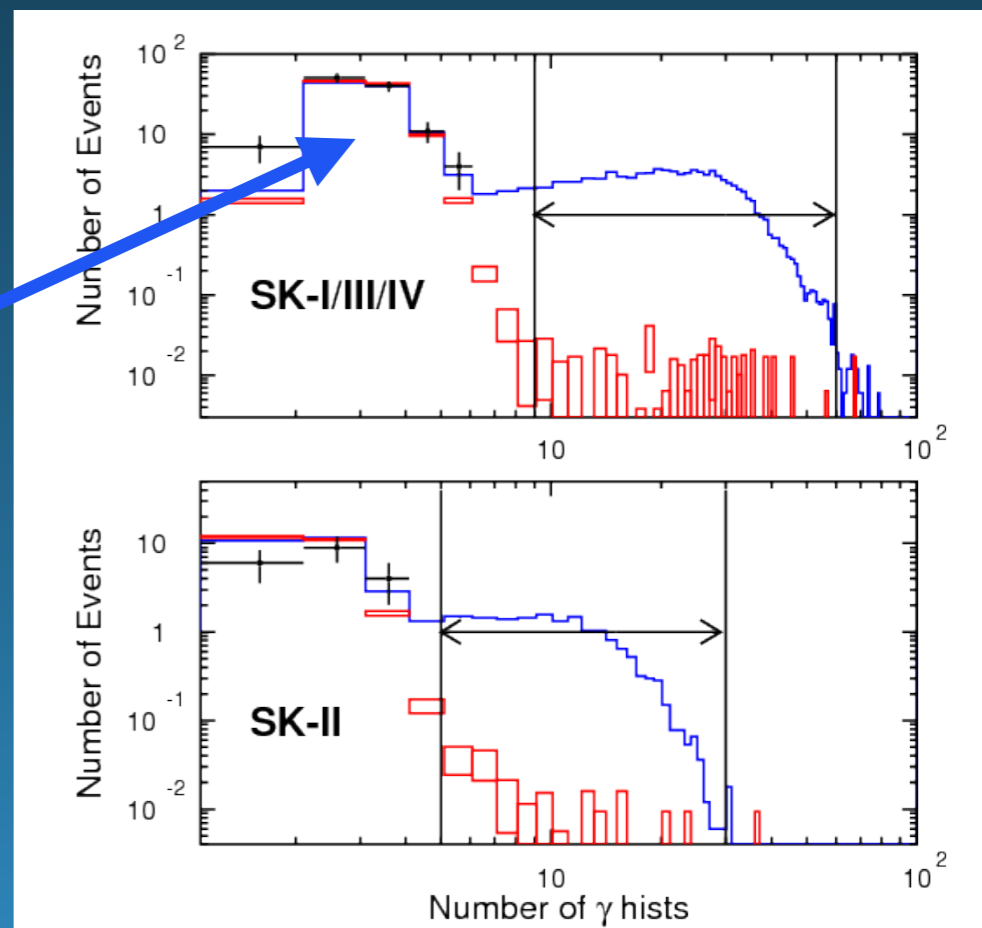
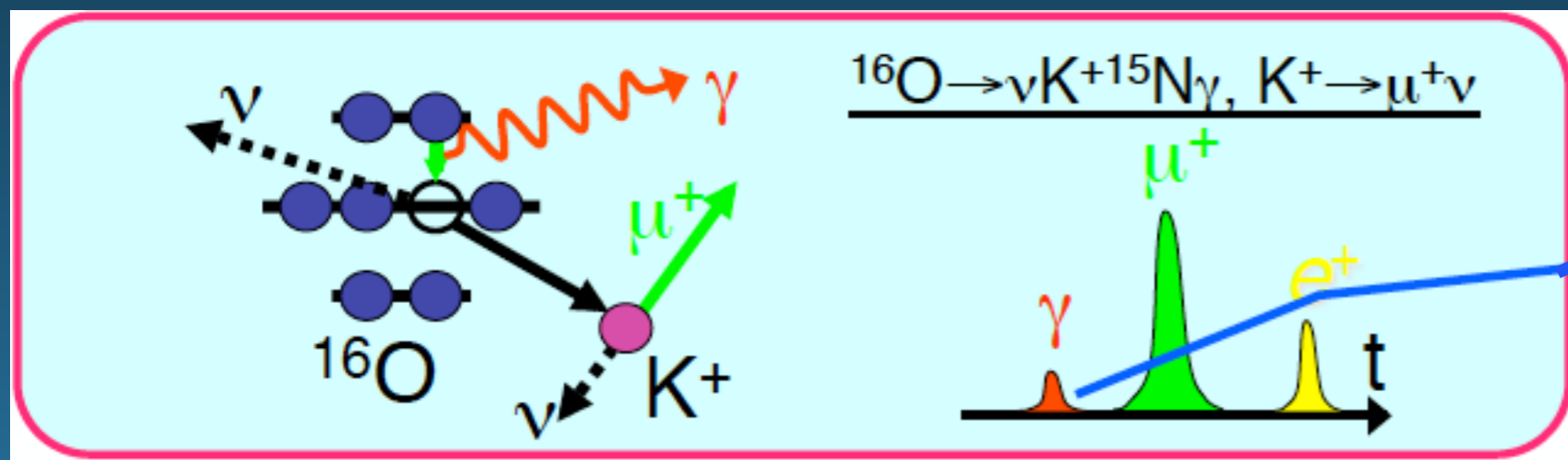
- ▶ No detection threshold problem
- ▶ Use  $dE/dx$  to identify stopping kaon & decay products

# SEARCH FOR $P \rightarrow \bar{\nu} K^+$ [K<sup>+</sup> LEPTONIC DECAY]

- Search for mono-energetic (236 MeV/c)  $\mu$

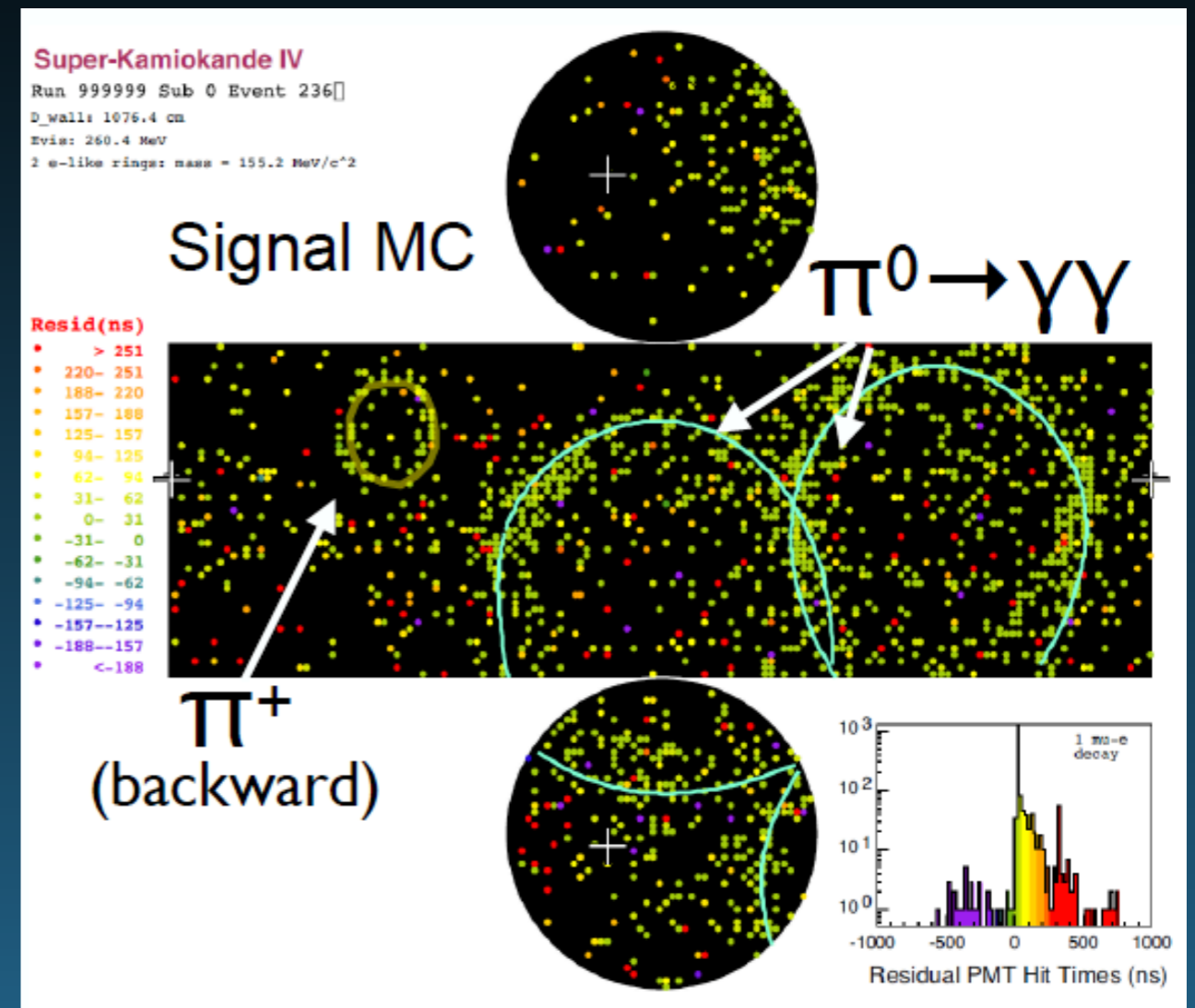
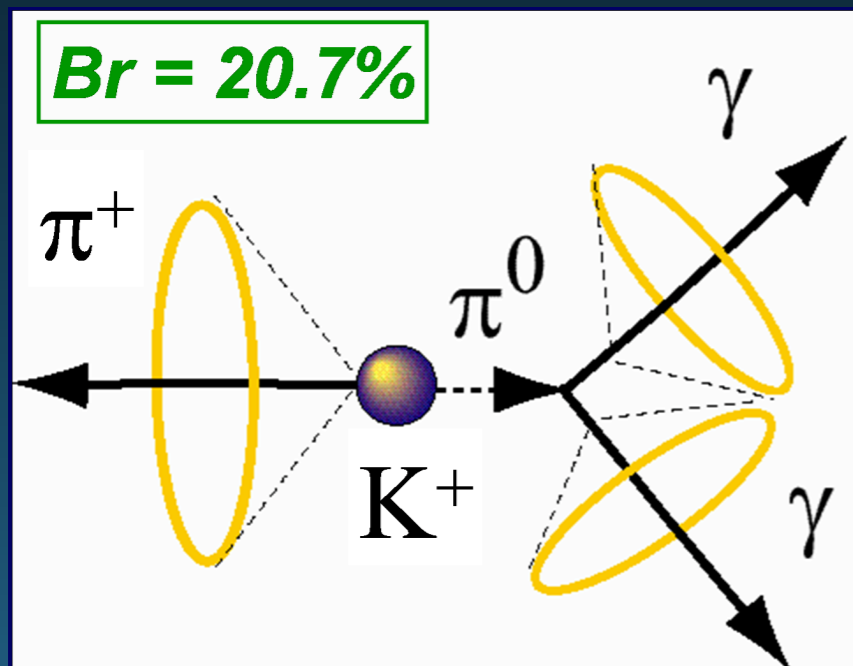


- De-excitation  $\gamma$  (6.3 MeV) +  $\mu$  decay



- Proton decays in  $^{16}\text{O} \rightarrow$  Excited nucleus ( $^{15}\text{N}^*$ ) emits 6.3 MeV  $\gamma$ -ray (~40% probability)
- $\rightarrow \gamma, \mu$  and Michel-e from  $\mu$ -decay triple coincidence largely reduce the bkg contamination

- ▶  $K^+ \rightarrow \pi^+ \pi^0$ :  $\pi^+$  and  $\pi^0$  run back-to-back with 205 MeV/c ( $\pi^+$  Č threshold 156 MeV/c)



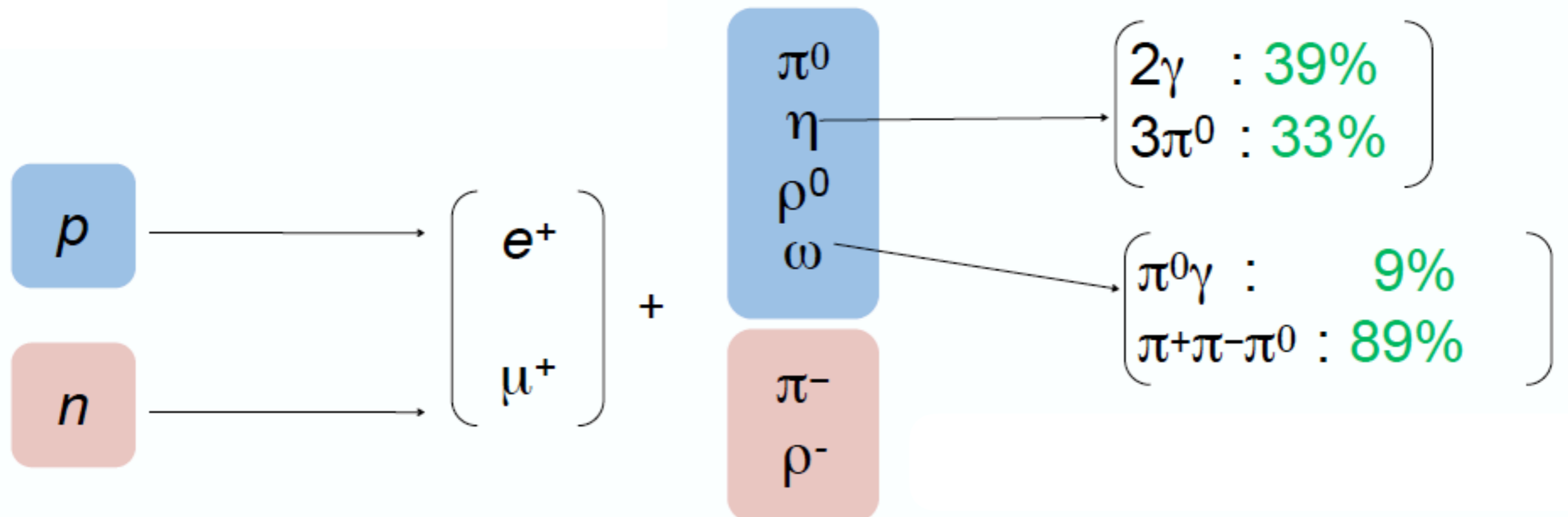
## RESULTS

- ▶ Found no evidence of  $p \rightarrow \bar{\nu} K^+$
- ▶ Lifetime limit combining all search methods:
  - ➔  $\tau/Br > 8.2 \times 10^{33}$  years [preliminary] at 90% C.L. with 365 kt·years (SK-I~IV)

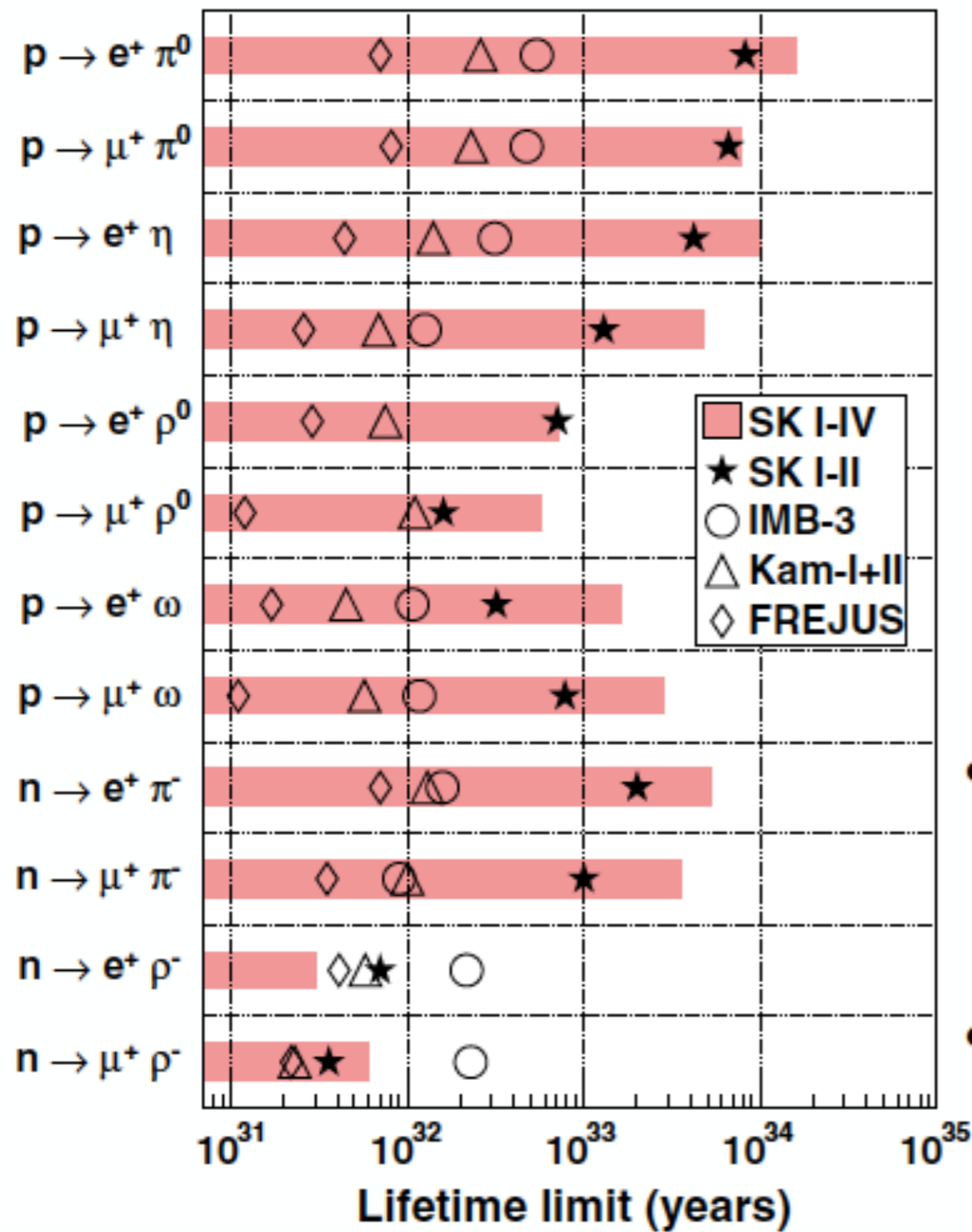
Theoretical Predictions on Branching Ratio:

channels	Buccella et al. (1989)
$p \rightarrow e^+ \pi^0$	30.0%
$p \rightarrow e^+ \eta$	12.9%
$p \rightarrow e^+ \rho^0$	1.8%
$p \rightarrow e^+ \omega$	14.4%

- ▶ Several decay modes consist of charged lepton and meson:
  - $[e^+ / \mu^+] + [\eta, \rho, \omega, \dots]$
- ▶ These decay mode can have a similar branching ratio to  $p \rightarrow e^+ / \mu^+ + \pi^0$
- ▶ Search for nucleon decay for many decay modes:







Modes	Background (events)	Candidate (events)	Probability (%)	Lifetime Limit ( $\times 10^{33}$ years) at 90% CL
$p \rightarrow e^+ \eta$	$0.78 \pm 0.30$	0	...	10.
$p \rightarrow \mu^+ \eta$	$0.85 \pm 0.23$	2	20.9	4.7
$p \rightarrow e^+ \rho^0$	$0.64 \pm 0.17$	2	13.5	0.72
$p \rightarrow \mu^+ \rho^0$	$1.30 \pm 0.33$	1	72.7	0.57
$p \rightarrow e^+ \omega$	$1.35 \pm 0.43$	1	74.1	1.6
$p \rightarrow \mu^+ \omega$	$1.09 \pm 0.52$	0	...	2.8
$n \rightarrow e^+ \pi^-$	$0.41 \pm 0.13$	0	...	5.3
$n \rightarrow \mu^+ \pi^-$	$0.77 \pm 0.20$	1	53.7	3.5
$n \rightarrow e^+ \rho^-$	$0.87 \pm 0.26$	4	1.2	0.03
$n \rightarrow \mu^+ \rho^-$	$0.96 \pm 0.28$	1	61.7	0.06
total	8.6	12	15.7	...

- ▶ No obvious data excess with SK 365 kt·year exposure (SK-I~IV)
- ▶ Lifetime limits reach to  $\geq 10^{33}$  yrs for many of decay modes

▶ Motivated by minimal SUSY SO(10) (e.g. PLB587, 105 (2004).)

▶  $n \rightarrow \nu \pi^0$

- detection efficiency = 49%(SK-I), 44%(SK-II), 49%(SK-III)

- $\tau_{p \rightarrow \nu \pi^0} > 1.1 \times 10^{33}$  years @ 90%C.L.

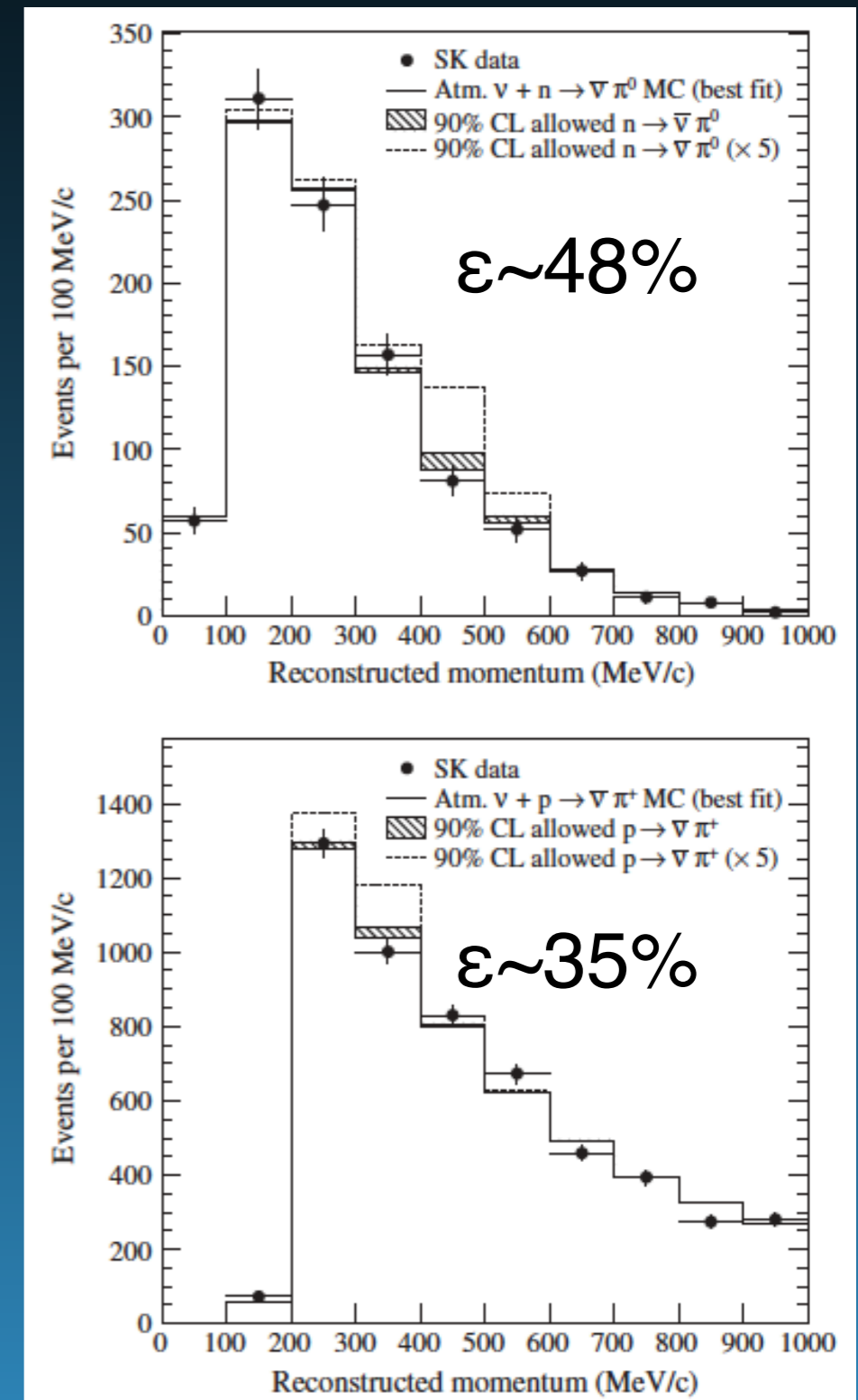
▶  $p \rightarrow \nu \pi^+$

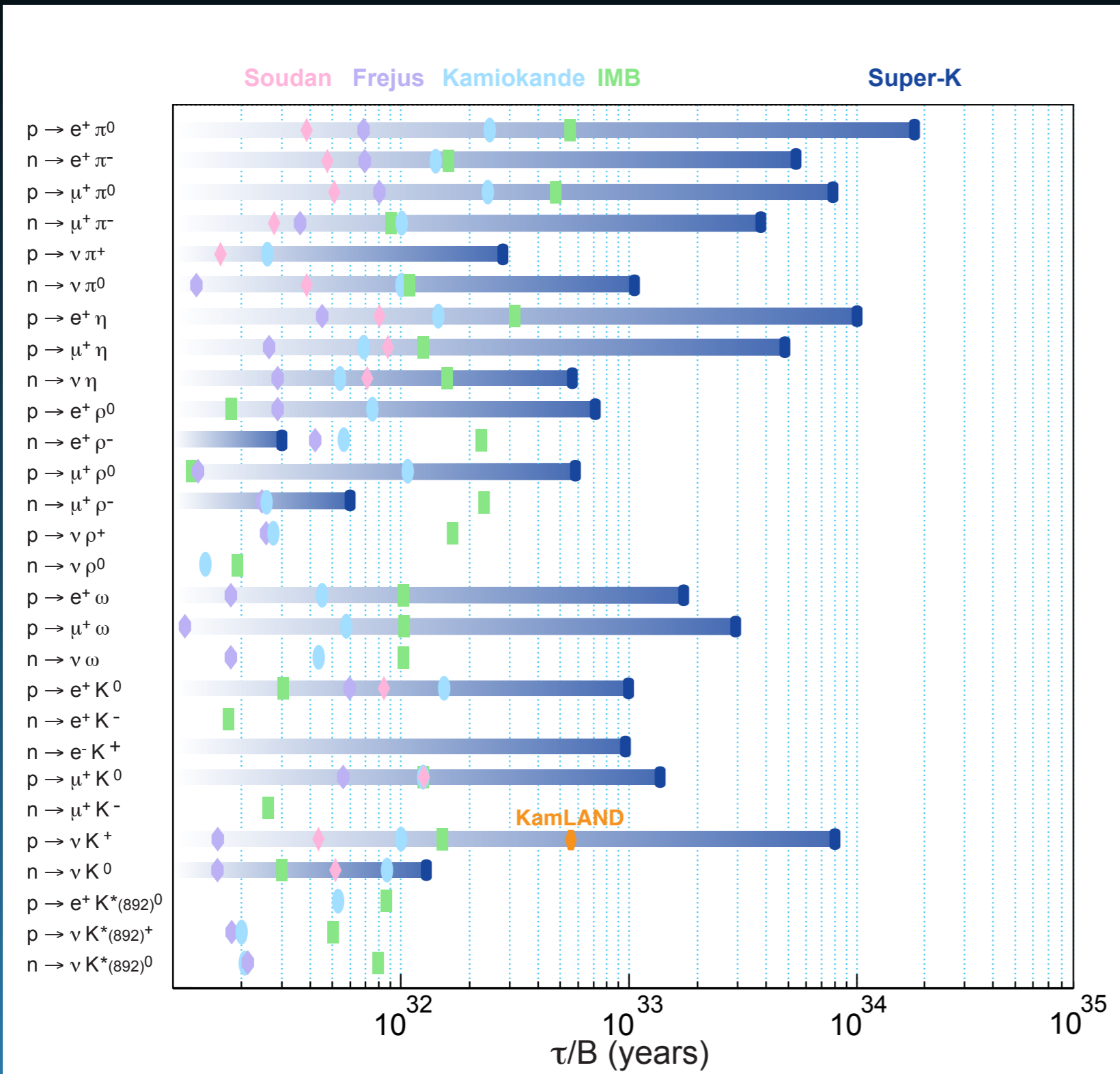
- detection efficiency = 35%(SK-I), 35%(SK-II), 36%(SK-III)

- $\tau_{p \rightarrow \nu \pi^+} > 3.9 \times 10^{32}$  years @ 90%C.L.

▶ almost ruled out the prediction by PLB587, 105 (2004):

- $\tau_{p \rightarrow \nu \pi^0} = 2\tau_{p \rightarrow \nu \pi^+} < 5.7 - 13 \times 10^{32}$  years @ 90%C.L.





E. Kearns

Lepton+ meson

Conserves (B-L)

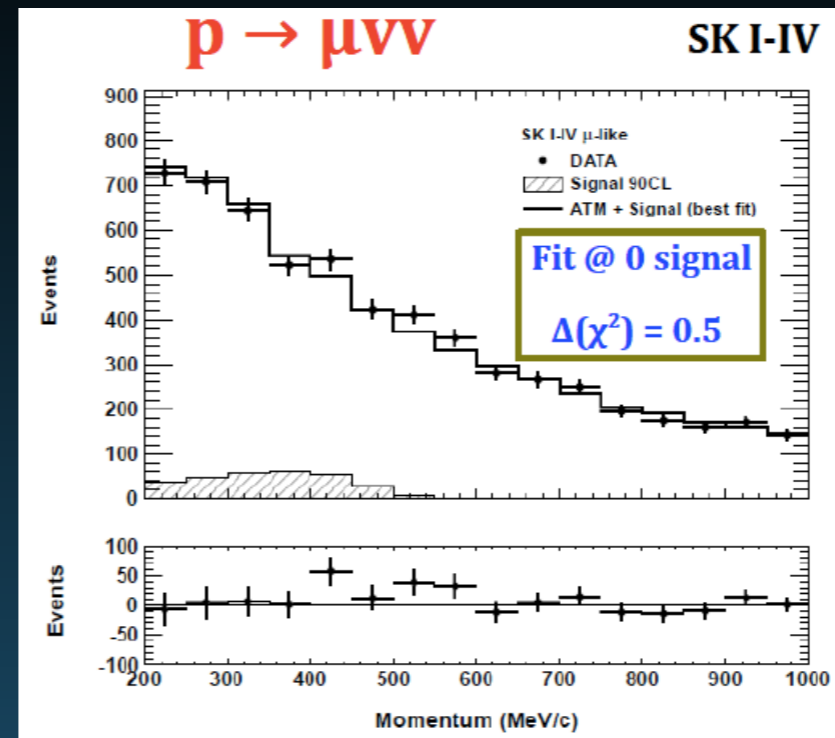
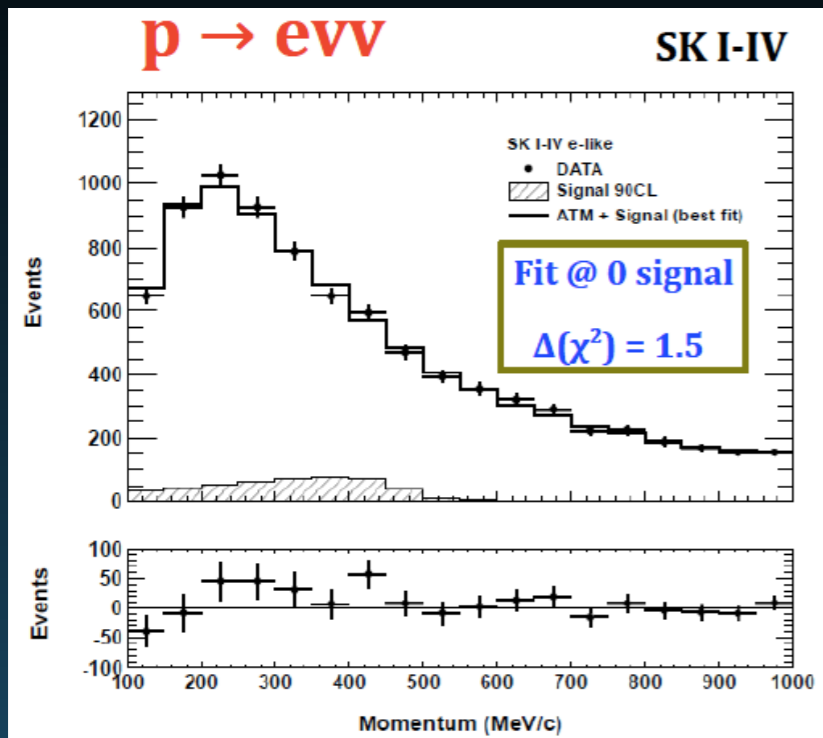


Non-strange mesons

Strange mesons



# TEST OF EXCESS IN $e/\mu$ SPECTRUM



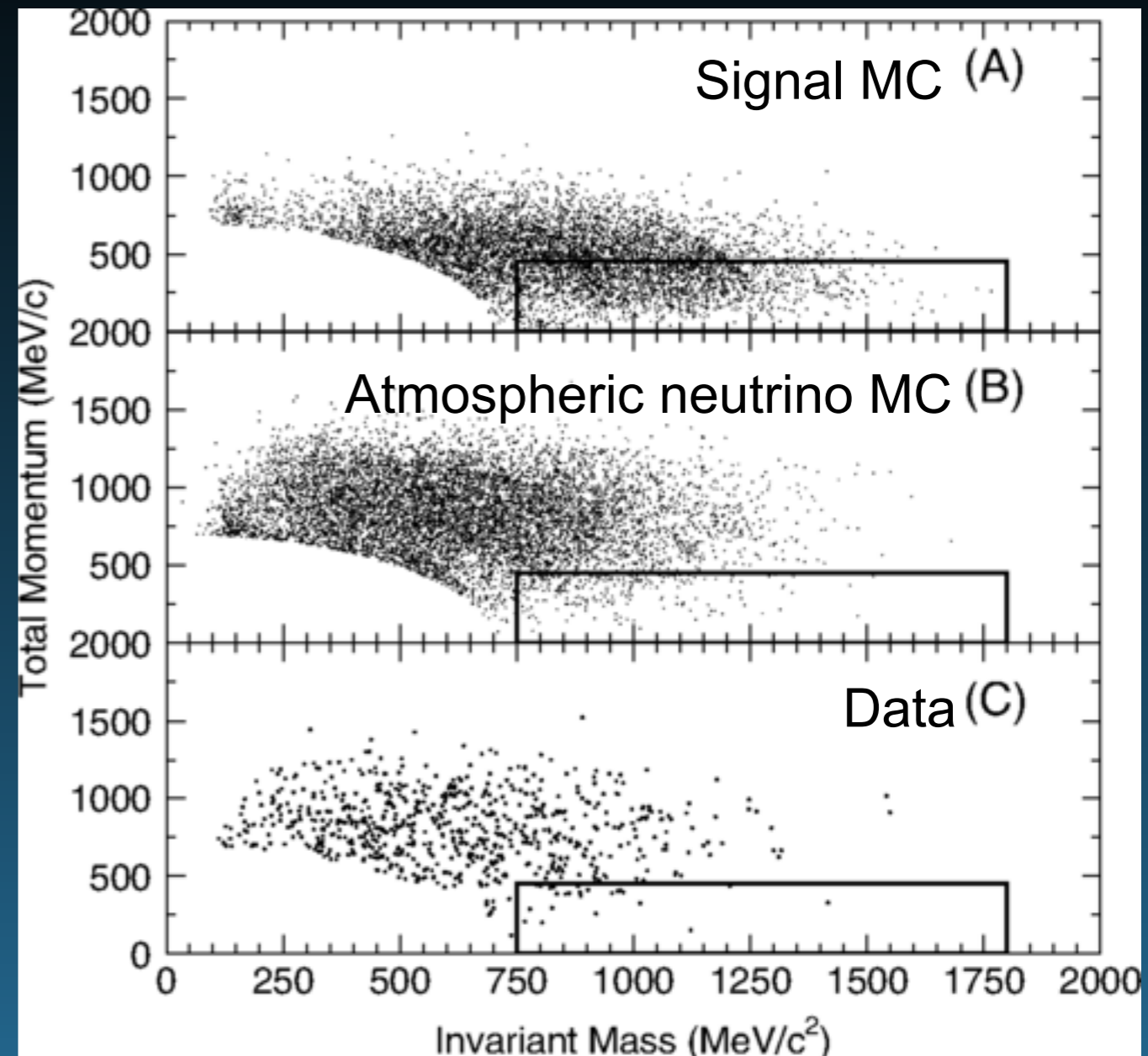
[PRL113,101801(2014)]

Mode	SK I-IV Sensitivity (years)	SK I-IV Limit (years)	PDG Limit (years)
$p \rightarrow e^+ \nu\nu$	$2.7 \cdot 10^{32}$	$1.7 \cdot 10^{32}$	$1.7 \cdot 10^{31}$
$p \rightarrow \mu^+ \nu\nu$	$2.5 \cdot 10^{32}$	$2.2 \cdot 10^{32}$	$2.1 \cdot 10^{31}$

Mode	SK I-IV Sensitivity (years)	SK I-IV Limit (years)	PDG Limit (years)
$p \rightarrow e^+ X$	$7.9 \cdot 10^{32}$	$7.9 \cdot 10^{32}$	—
$p \rightarrow \mu^+ X$	$7.7 \cdot 10^{32}$	$4.1 \cdot 10^{32}$	—
$n \rightarrow \nu\gamma$	$5.8 \cdot 10^{32}$	$5.5 \cdot 10^{32}$	$2.8 \cdot 10^{31}$
$np \rightarrow e^+ \nu$	$9.9 \cdot 10^{31}$	$2.6 \cdot 10^{32}$	$2.8 \cdot 10^{30}$
$np \rightarrow \mu^+ \nu$	$1.1 \cdot 10^{32}$	$2.2 \cdot 10^{32}$	$1.6 \cdot 10^{30}$
$np \rightarrow \tau^+ \nu$	$1.1 \cdot 10^{31}$	$2.9 \cdot 10^{31}$	—

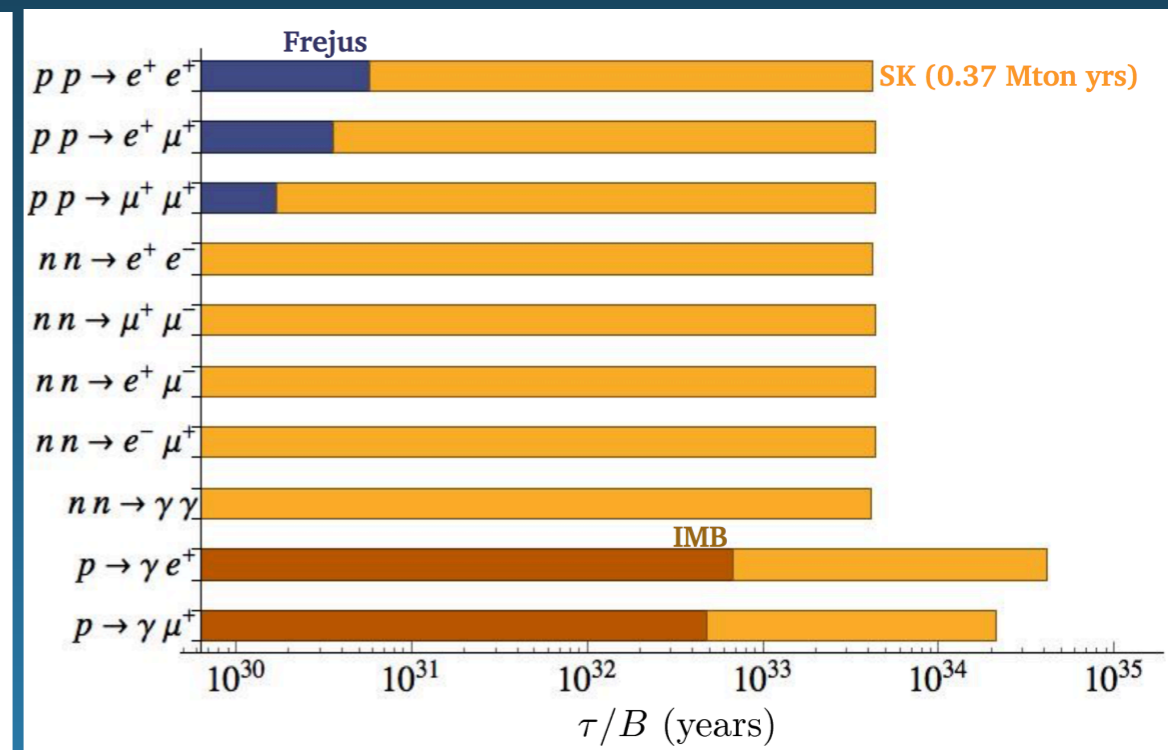
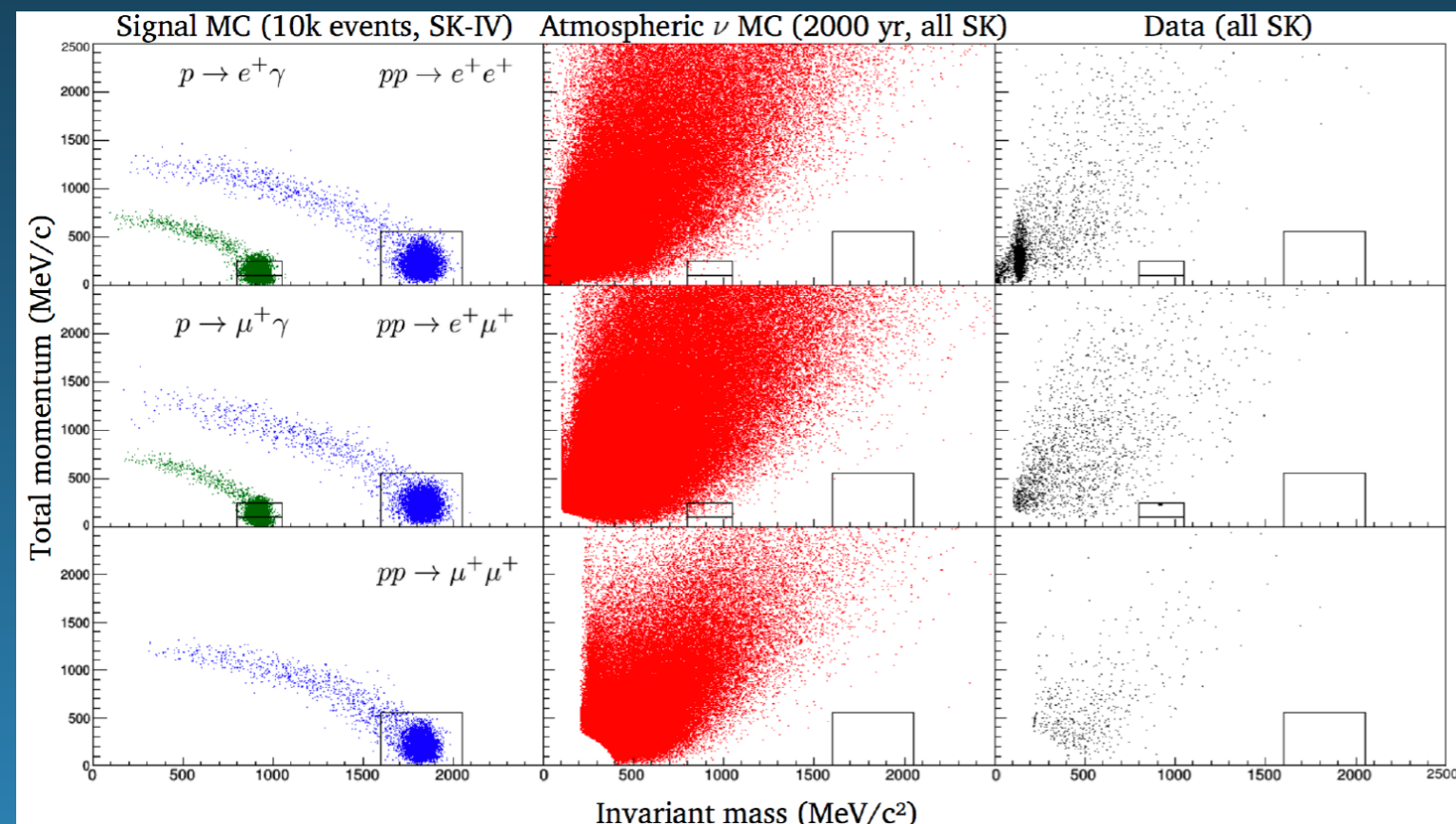
Preliminary

- ▶  $\Delta B=\Delta(B-L)=2$ , might be relevant for the matter asymmetry in the Universe.
- ▶ Look for multiple pions from  $n\bar{n}$  annihilation
- ▶  $n-\bar{n}$  oscillation in  $^{16}\text{O}$ 
  - detection efficiency = 12.1%
  - atmospheric  $\nu$  BG = 24.1 events in 92kton x years (Super-K-I)
  - observed signal = 24 events
  - $\tau(^{16}\text{O}) > 1.9 \times 10^{32}$  years @ 90% C.L.
  - $\rightarrow \tau(\text{free}) > 2.7 \times 10^8$  sec



- ▶  $\geq 2$  Cherenkov rings
- ▶  $700 < \text{Visible Energy} < 1300 \text{ MeV}/c^2$
- ▶  $750 < M_{\text{tot}} < 1800 \text{ MeV}/c^2$
- ▶  $P_{\text{tot}} < 450 \text{ MeV}/c$

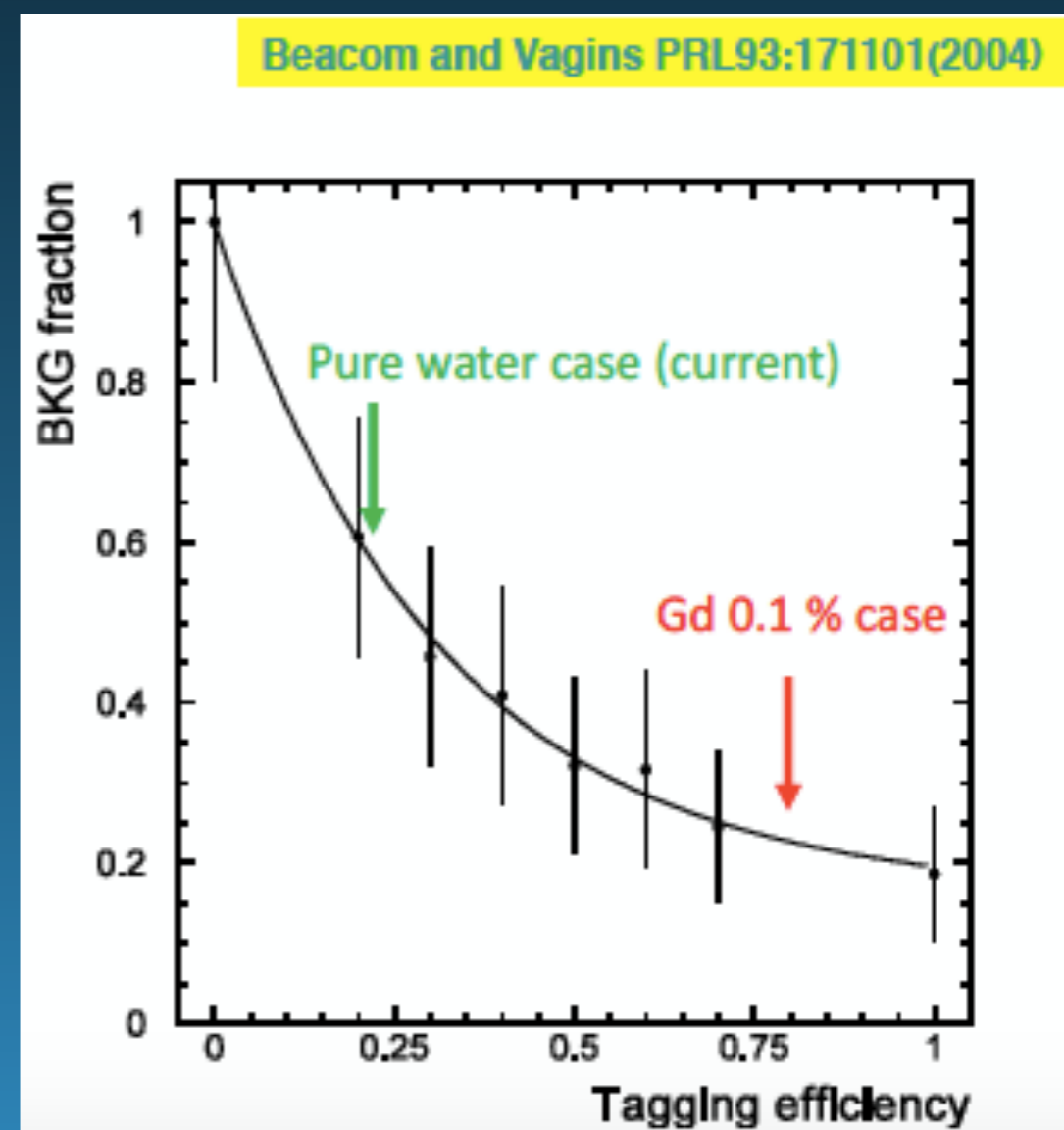
- ▶ Search for di-nucleon decay with only leptons or  $\gamma$ 's in final state
  - $pp \rightarrow e^+e^+$ ,  $nn \rightarrow e^+e^-$ ,  $nn \rightarrow \gamma\gamma$ ,  $pp \rightarrow e^+\mu^+$ ,  $nn \rightarrow e^\pm\mu^\mp$ ,  $pp \rightarrow \mu^+\mu^+$ ,  $nn \rightarrow \mu^+\mu^-$ , and  $p \rightarrow e^+\gamma$ ,  $\mu^+\gamma$
- ▶ 5 out of 8 di-nucleon decay modes are  $\Delta(B-L)=-2$
- ▶ Experimentally very clean (low bkg) and high signal efficiency:  $\sim 80\%$
- ▶ **No evidence of nucleon decay**
- ▶ Lifetime limits improved by order of magnitudes from previous limits



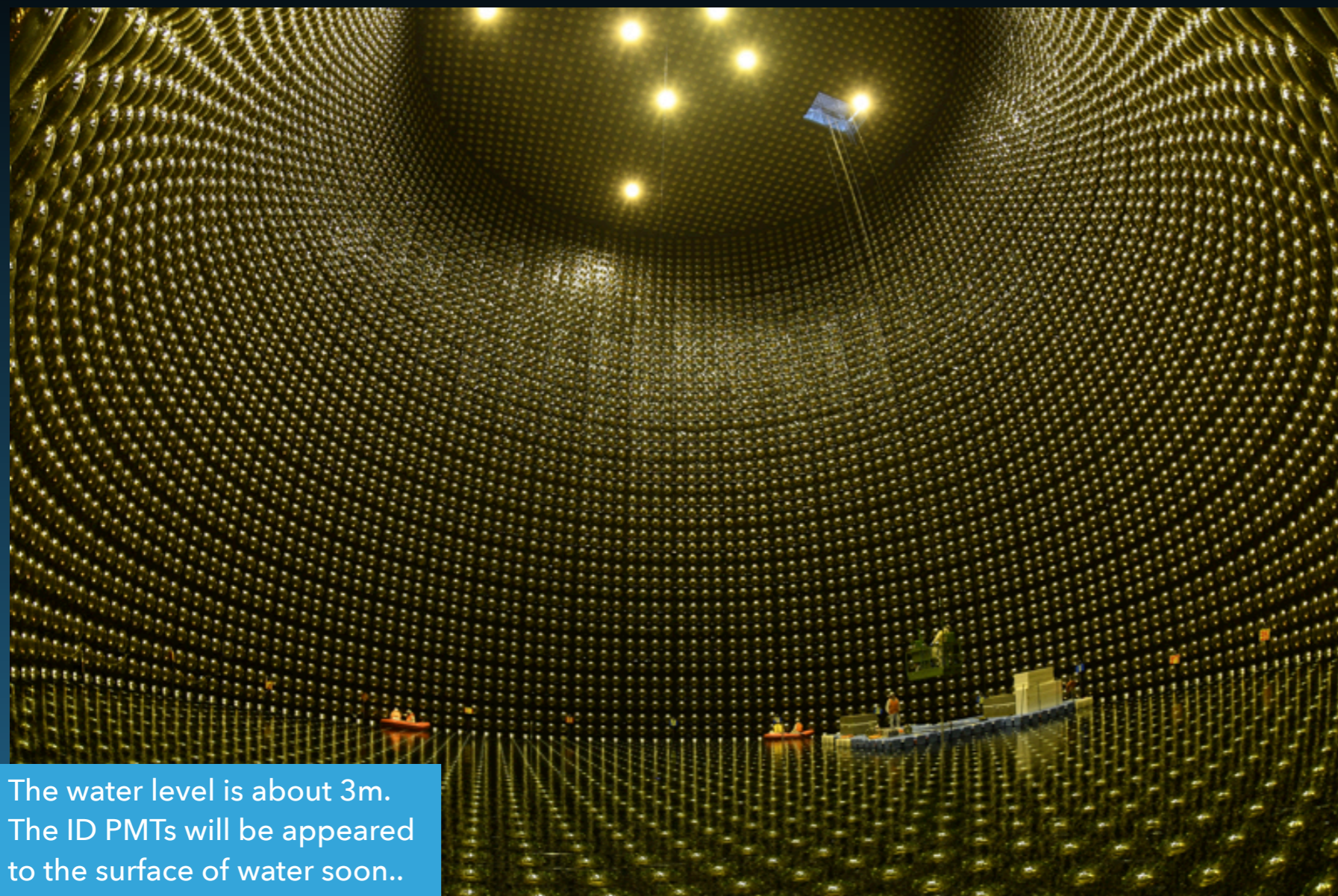
- ▶ 2018: Tank refurbishment work to be ready for loading Gadolinium
- ▶ 2020(TBD): Neutron capture 50% (0.01%with Gd) → 202X: 90% (0.1% with Gd)
- ▶ Discovery of supernova relic neutrinos (anti- $\nu_e$ ) by coincidence of  $e^+ + \gamma$ s

For proton decay searches

- ▶ Further BG rate reduction by a factor of 2 for  $p \rightarrow e^+ \pi^0$
- ▶ Better (cleaner) searches can be performed.
- ▶ It provides opportunity to study neutron production by beam/atmospheric neutrinos for future proton decay search program.



# SK-GD REFURBISHMENT (SUMMER 2018)



The water level is about 3m. The ID PMTs will be appeared to the surface of water soon..



Replacement work of inner detector PMTs.



The gondola lift in the inner detector



Measurement of magnetic field in the inner detector.

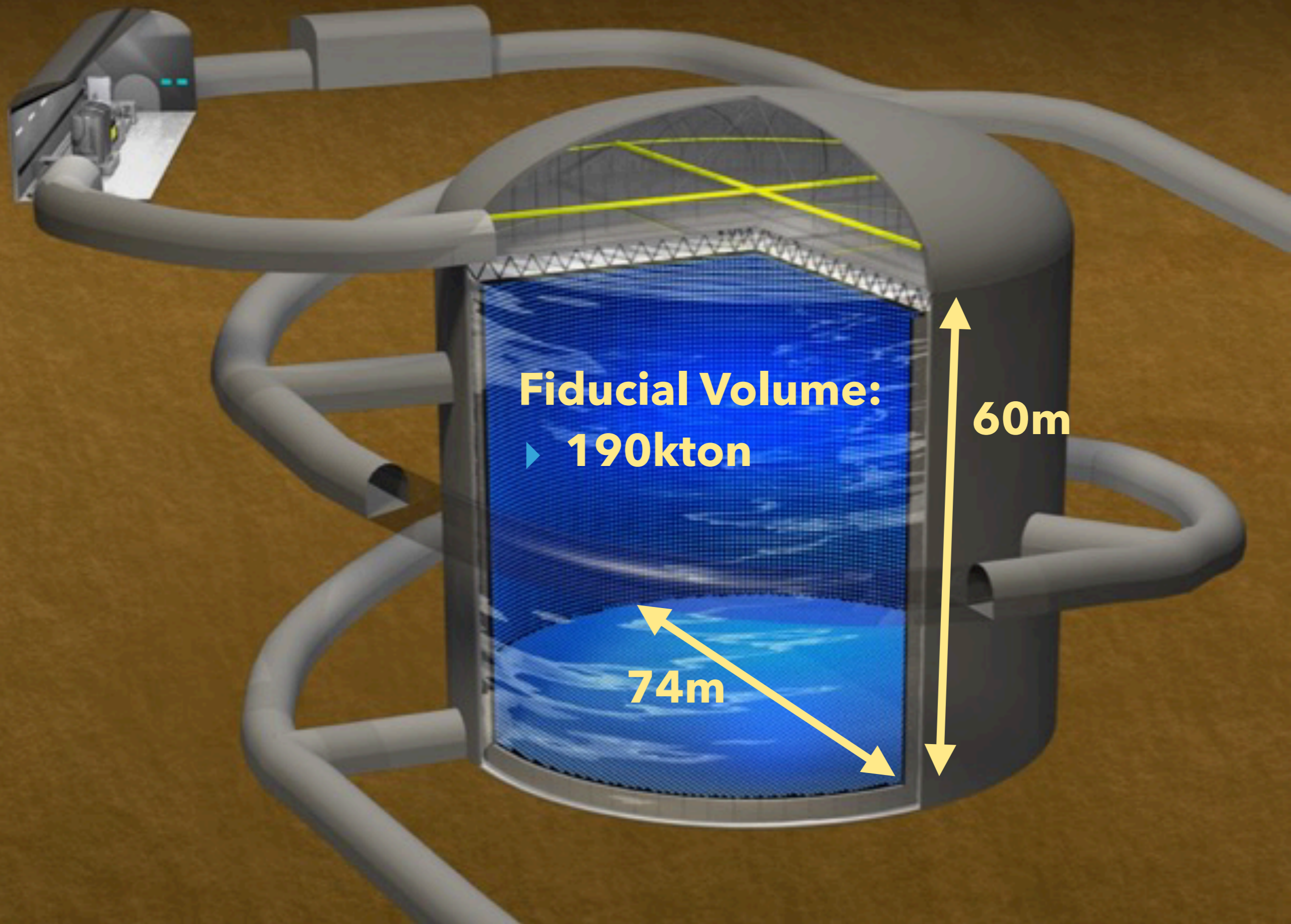


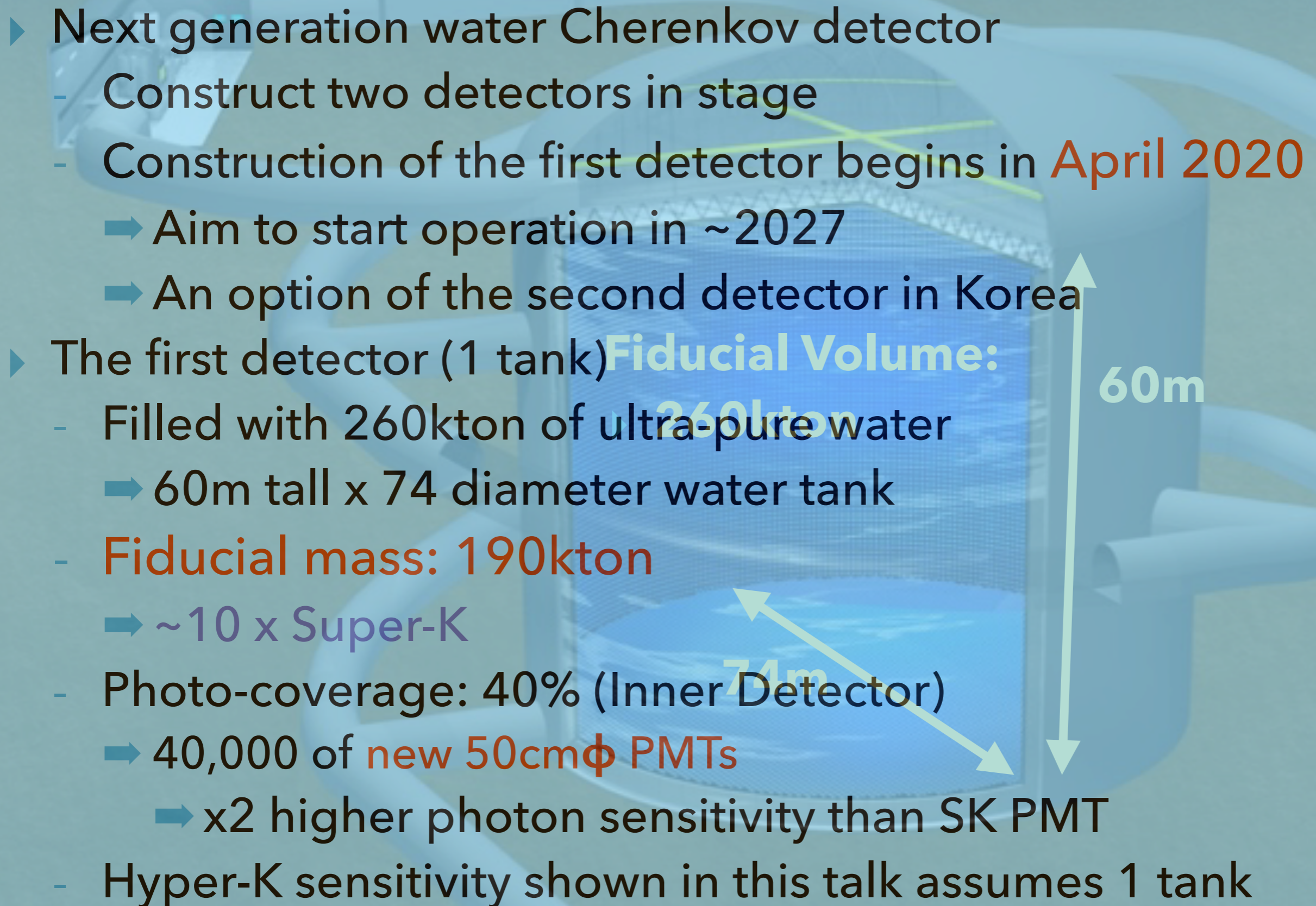
Works in the outer detector. The outer detector is about 2m wide..



All the water of the tank is drained



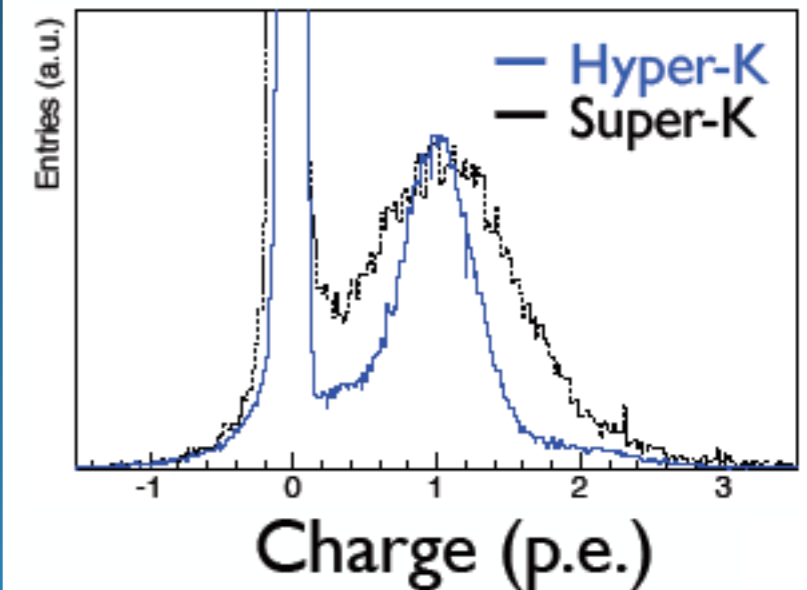
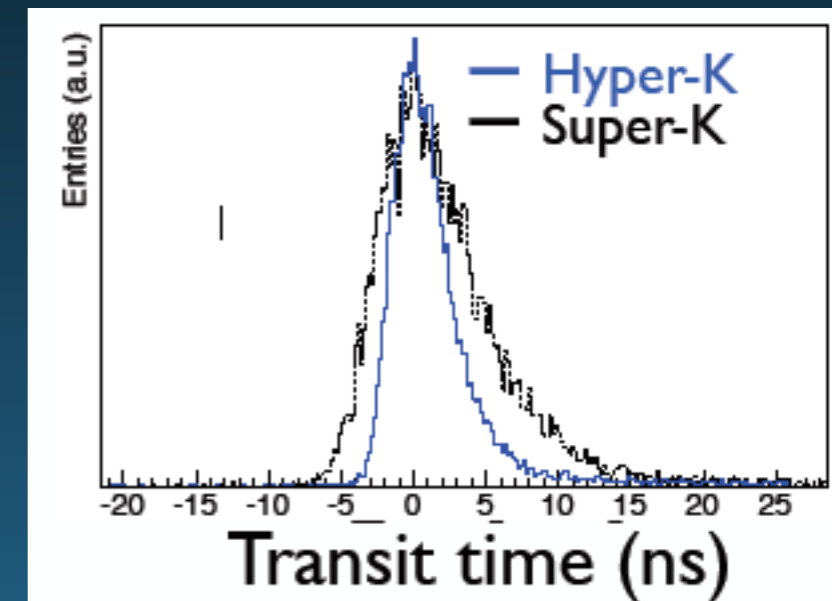
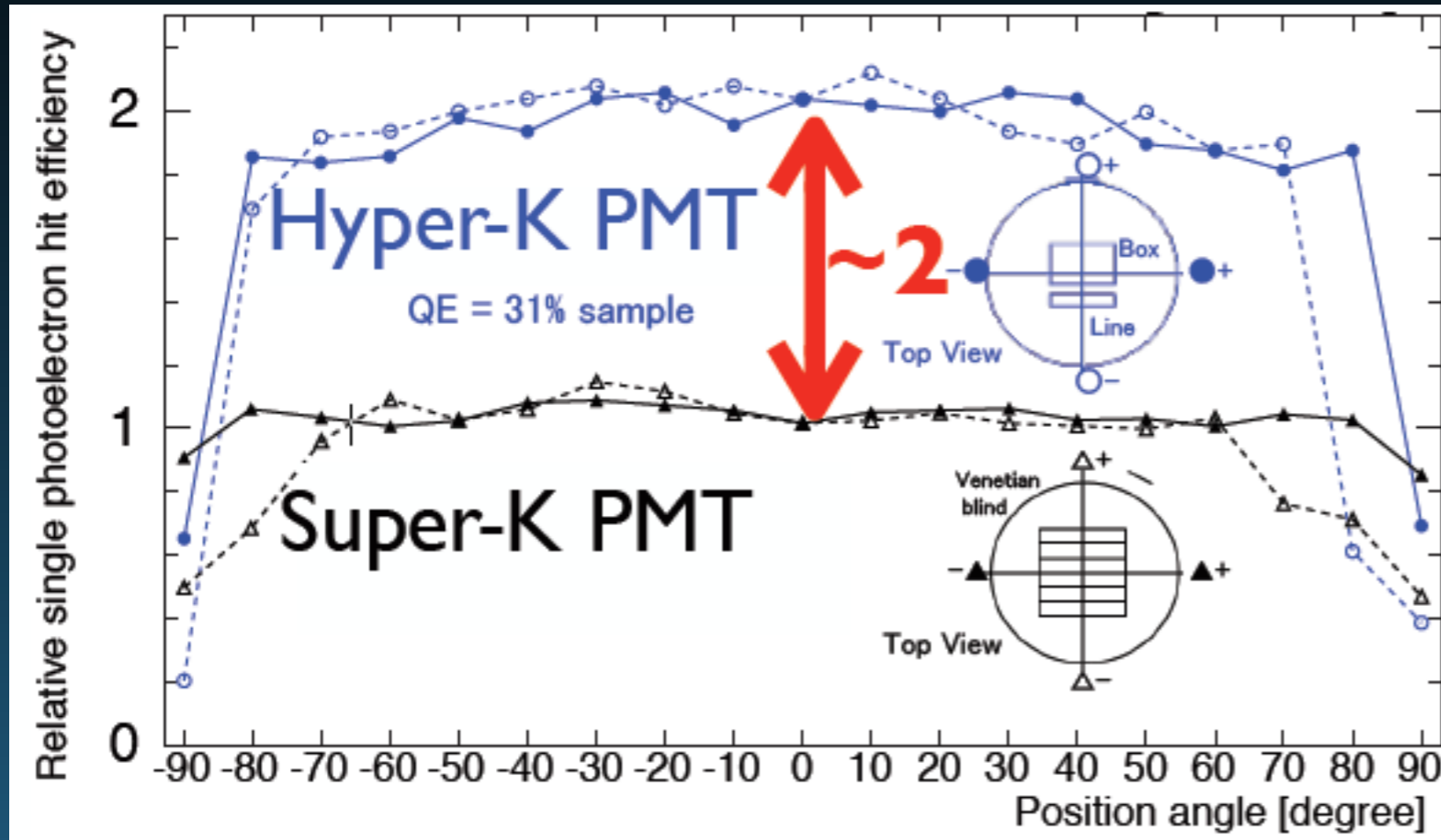


- ▶ Next generation water Cherenkov detector
    - Construct two detectors in stage
    - Construction of the first detector begins in **April 2020**
      - ➔ Aim to start operation in ~2027
      - ➔ An option of the second detector in Korea
  - ▶ The first detector (1 tank) **Fiducial Volume:**
    - Filled with 260kton of ultra-pure water
      - ➔ 60m tall x 74 diameter water tank
    - **Fiducial mass: 190kton**
      - ➔ ~10 x Super-K
    - Photo-coverage: 40% (Inner Detector)
      - ➔ 40,000 of **new 50cm $\phi$  PMTs**
        - ➔ x2 higher photon sensitivity than SK PMT
    - Hyper-K sensitivity shown in this talk assumes 1 tank
- 

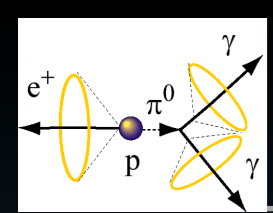
# NEW 50CM $\Phi$ PMT FOR HYPER-K

Box&Line dynode PMT<sup>35</sup>

## Photo-detection Efficiency (1P.E.)



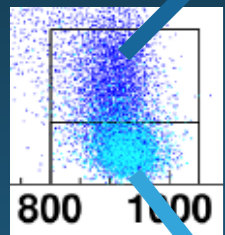
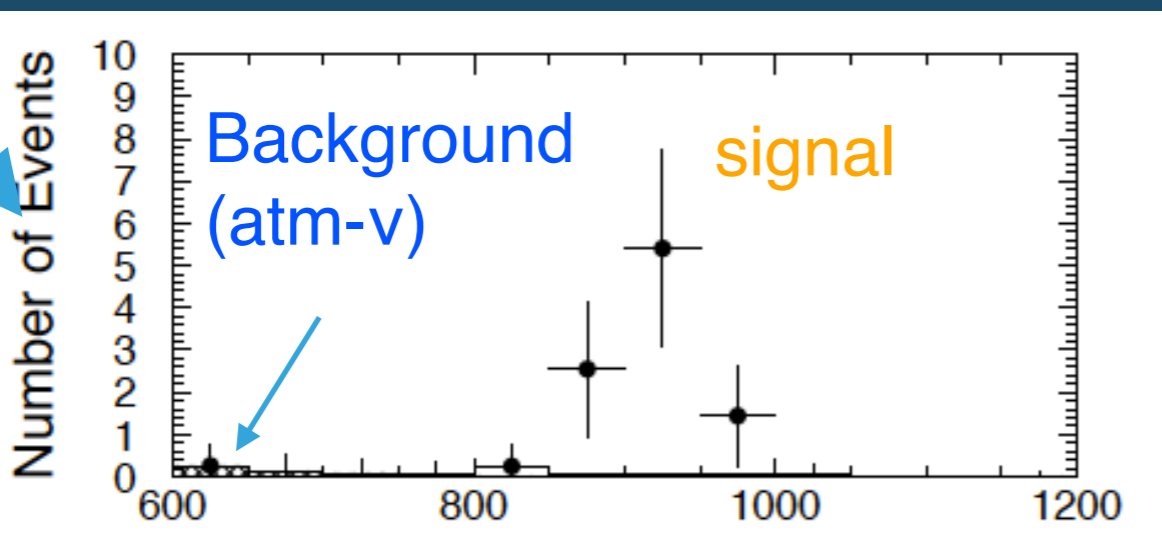
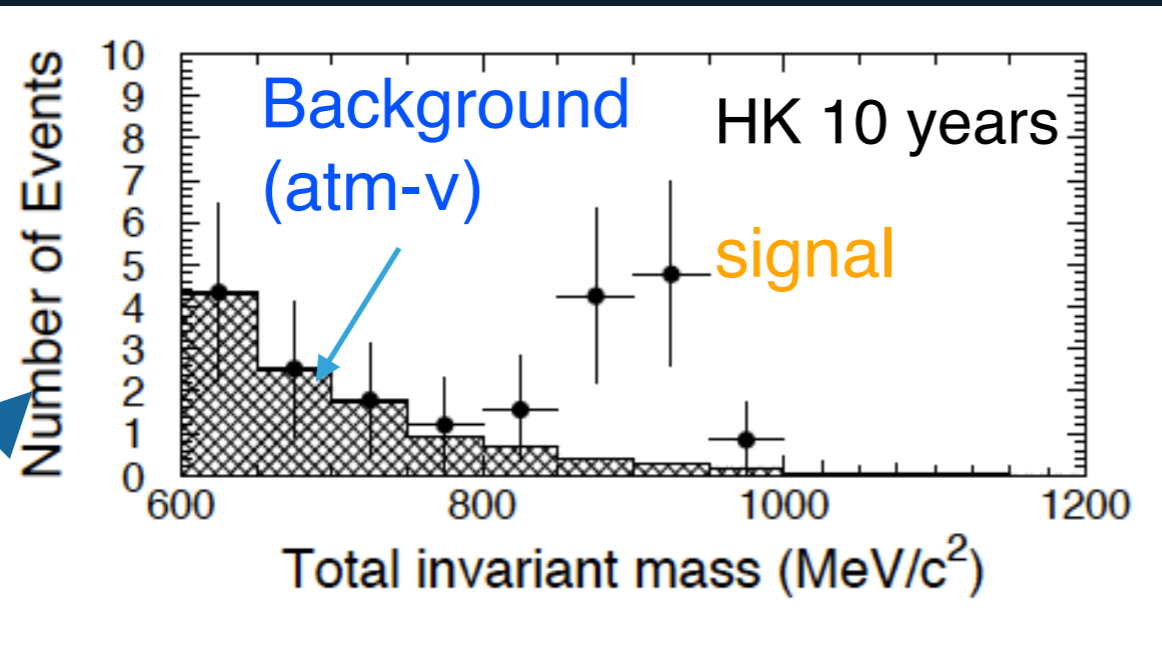
- ▶ Twice better photo-detection efficiency than SK PMTs
- ▶ Timing resolution (TTS): 1.1 ns
  - cf. SK PMT: 2.1 ns



# HYPER-K: $P \rightarrow e^+ \pi^0$

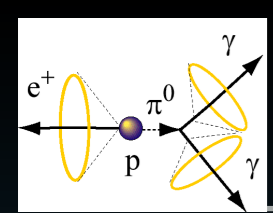
$e^+$

Assume  $\tau/\text{Br}=1.7 \times 10^{34} \text{y}$  (SK 90%CL limit)

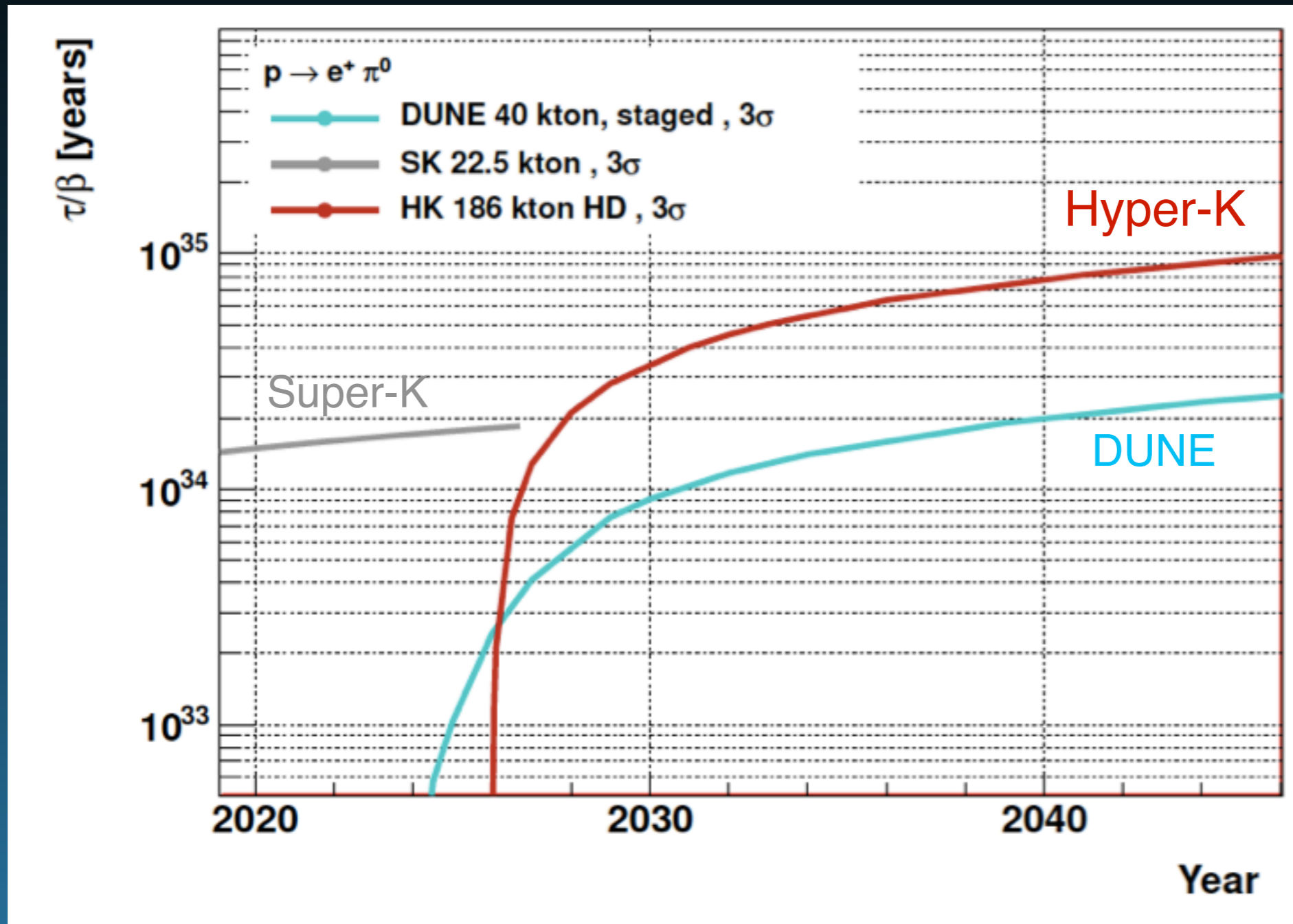


- ▶ “Background free” search thanks to the new photo-sensors (ex. n-tag eff:  $\sim 20\%$  at SK  $\rightarrow \sim 70\%$  at HK) (0.06 bkg events / Mt·year)
- ▶  $\sim 9\sigma$  discovery potential if nucleon lifetime at the current SK limit ( $\tau/\text{Br}=1.7 \times 10^{34} \text{yrs}$ )

	$p_{\text{tot}} < 100 \text{ MeV/c}$		$100 < p_{\text{tot}} < 250 \text{ MeV/c}$	
	Sig. $\epsilon(\%)$	Bkg (/ Mtyr)	Sig. $\epsilon(\%)$	Bkg (/ Mtyr)
HK	18.7	0.06	19.4	0.62

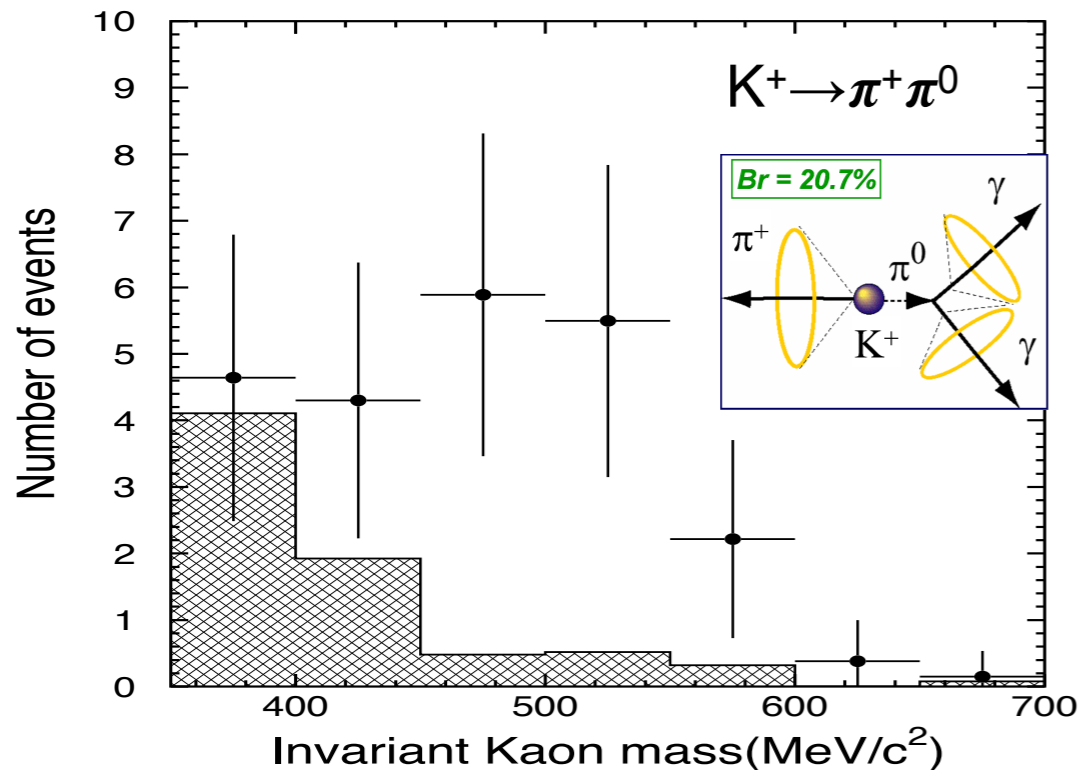
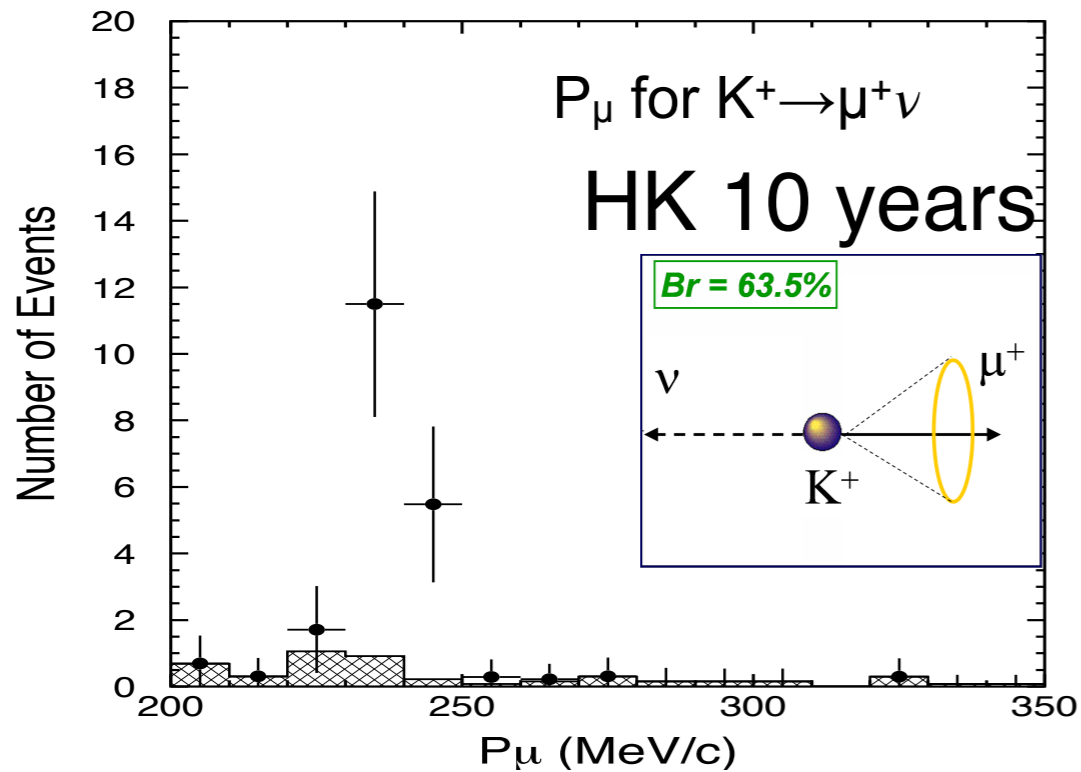


# HYPER-K: $P \rightarrow e^+ \pi^0$



Hyper-K reaches to  $10^{35}$  yrs with  $3\sigma$  discovery sensitivity

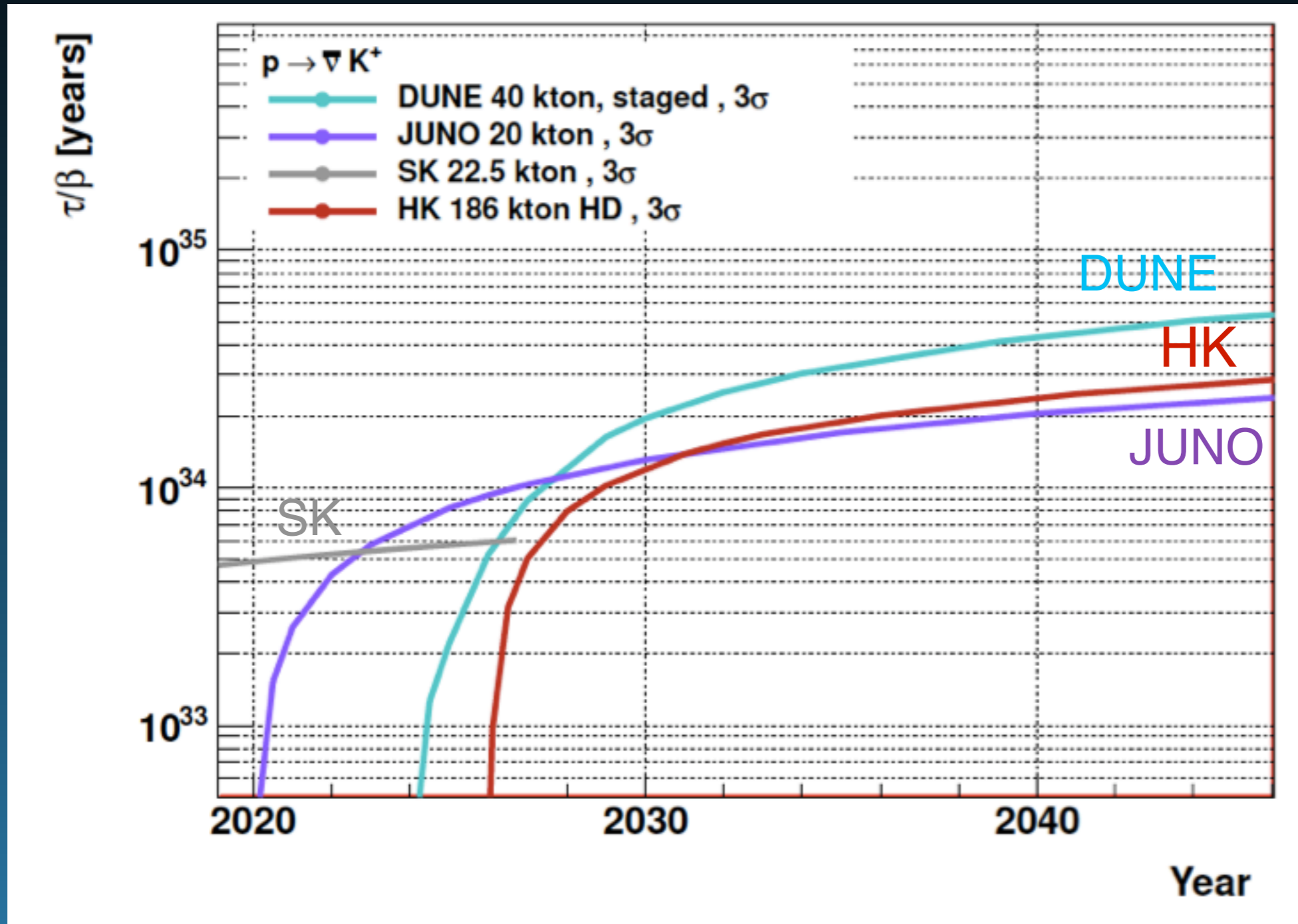
$\tau_p = 6.6 \times 10^{33}$  years



- ▶  $K^+ \rightarrow \mu^+ \nu$  (Br: 64%):
  - 236 MeV/c  $\mu^+$
  - ▶ de-excitation  $\gamma$  from  $^{15}\text{O}^*$  (6 MeV  $\gamma$ )
- ▶  $K^+ \rightarrow \pi^+ \pi^0$  (Br: 21%):
  - 205 MeV/c  $\pi^0$  &  $\pi^+$

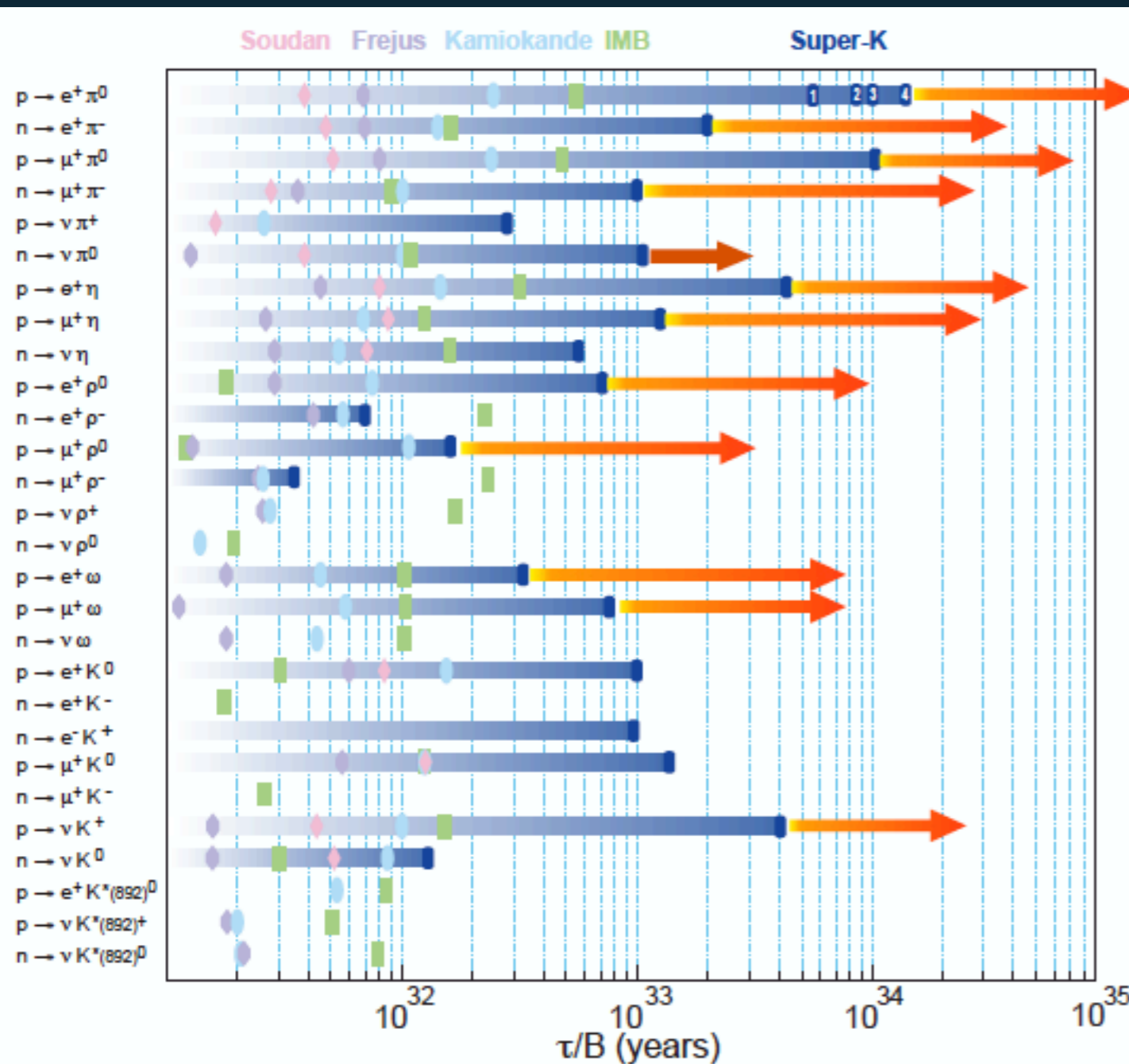
	prompt- $\gamma$ & $K^+ \rightarrow \mu^+ \nu$		$K^+ \rightarrow \pi^+ \pi^0$	
	Sig. $\epsilon(\%)$	Bkg (/ Mtyr)	Sig. $\epsilon(\%)$	Bkg (/ Mtyr)
HK	12.7	0.9	10.8	0.7

### 3 $\sigma$ discovery sensitivity



- ▶ HK 3 $\sigma$  discovery potential reaches  $3 \times 10^{34}$  years

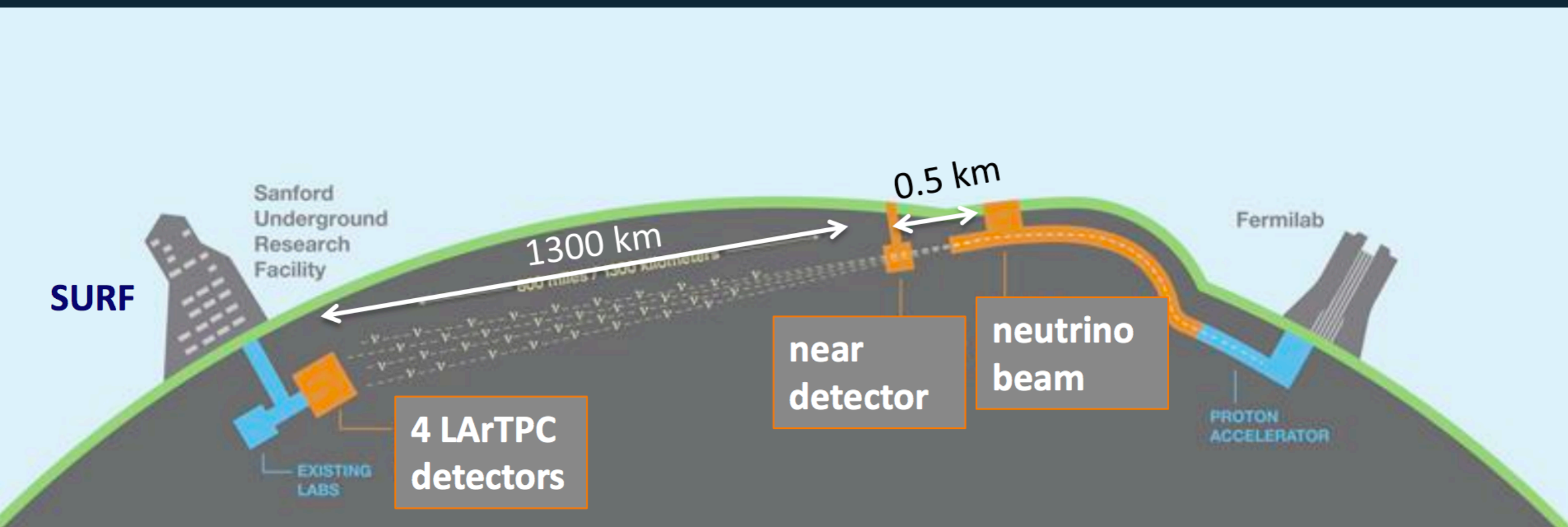
- ▶ Improvements in many decay modes by a factor  $\sim 10$ 
  - Open for many decay modes
- ▶ **Hyper-K has a large potential for discovery**



- ▶  $p \rightarrow e^+ + \pi^0$ 
  - ▶  $\tau_{\text{proton}}/\text{Br} > 1 \times 10^{35}$  years @90%CL
  - ▶ 5Mton×years (9 Hyper-K years)
- ▶  $p, n \rightarrow (e^+, \mu^+) + (\pi, \rho, \omega, \eta)$ 
  - ▶  $O(10^{34-35})$  years
- ▶ *SUSY favored*  $p \rightarrow \nu + K^+$ 
  - ▶  $3 \times 10^{34}$  years
- ▶  $K^0$  modes,  $\nu\pi^0, \nu\pi^+$  possible
- ▶ Others
  - ▶ (B-L) violated modes
  - ▶ radiative decays  $p \rightarrow e^+\gamma, \mu^+\gamma$
  - ▶ neutron-antineutron oscillations ( $|\Delta B|=2$ )
  - ▶ di-nucleon decays ( $|\Delta B|=2$ )
    - ▶  $pp \rightarrow XX..., nn \rightarrow XX...$

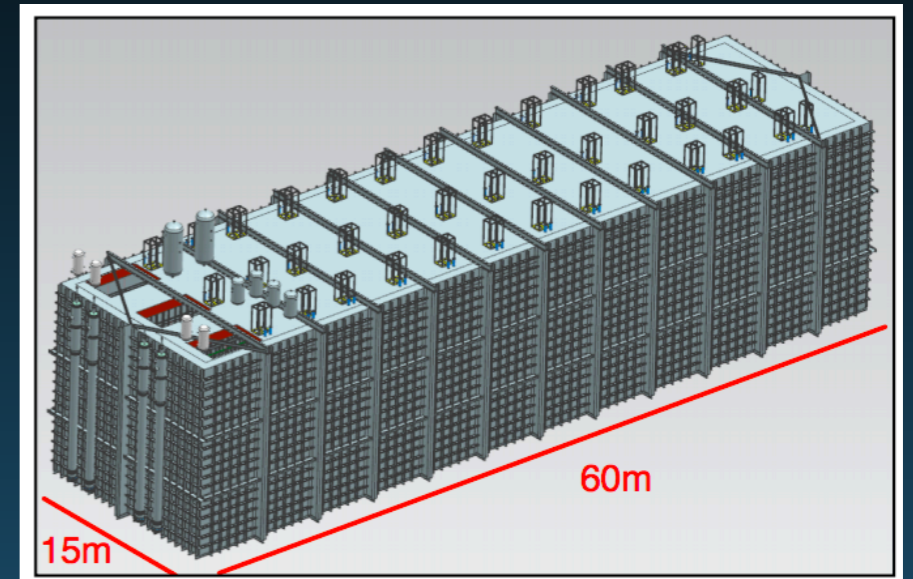
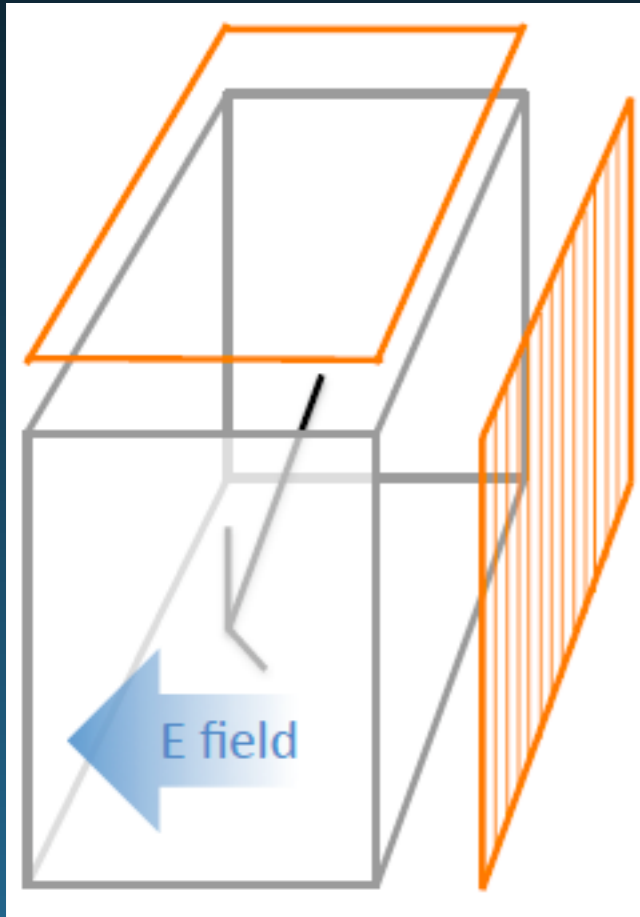


- ▶ DUNE will employ a large-mass liquid argon time projection chamber (LArTPC) detector, deep underground in a low cosmogenic background environment

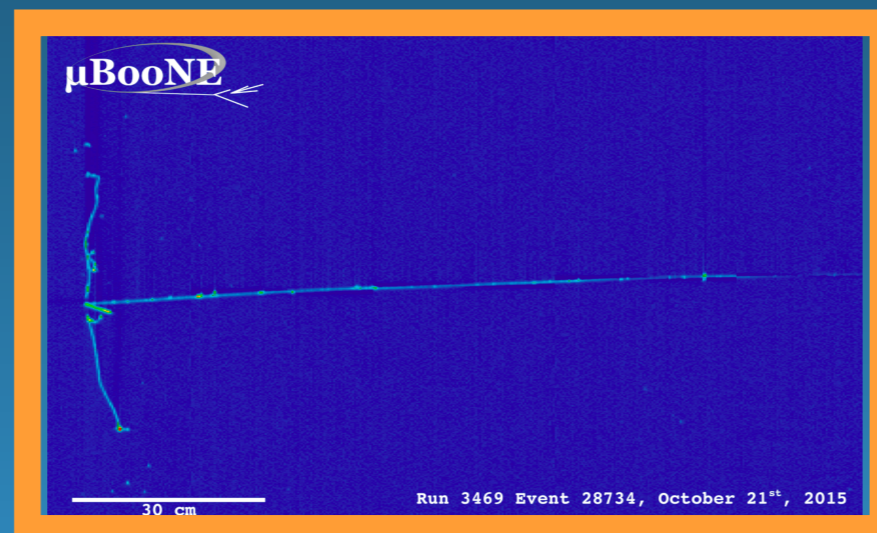


- ▶ Each LArTPC sub-detector: 10kton fiducial mass; 4850ft (1.5km) underground. Staged detector construction: first sub-detector operational in 2024; subsequent ones 1/year.

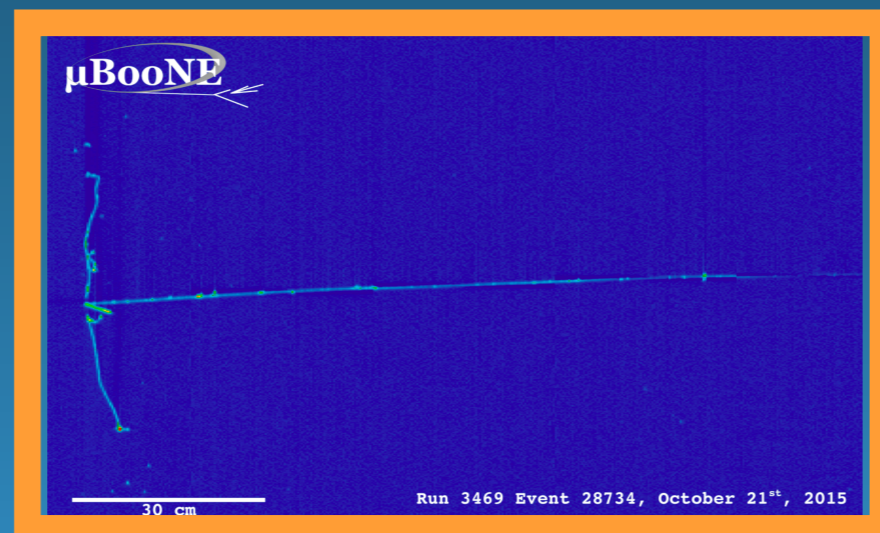
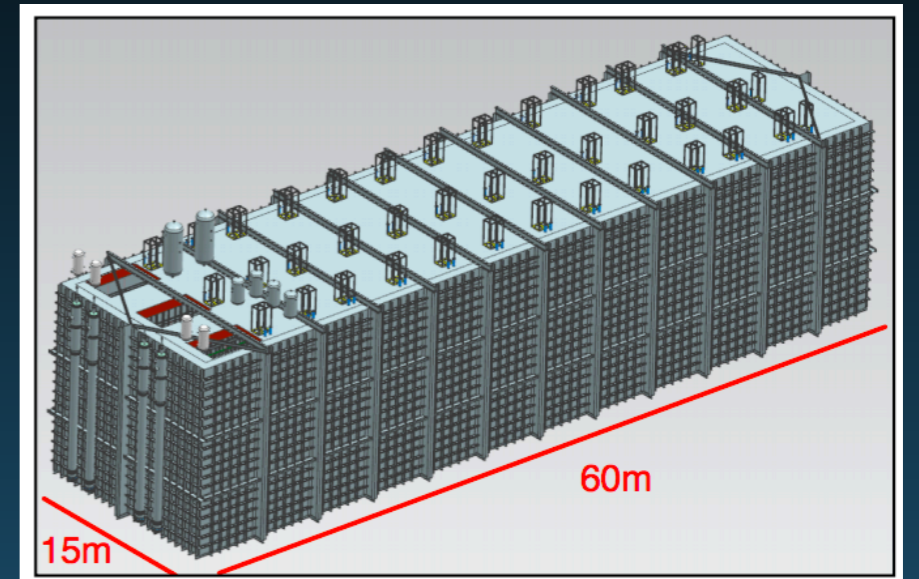
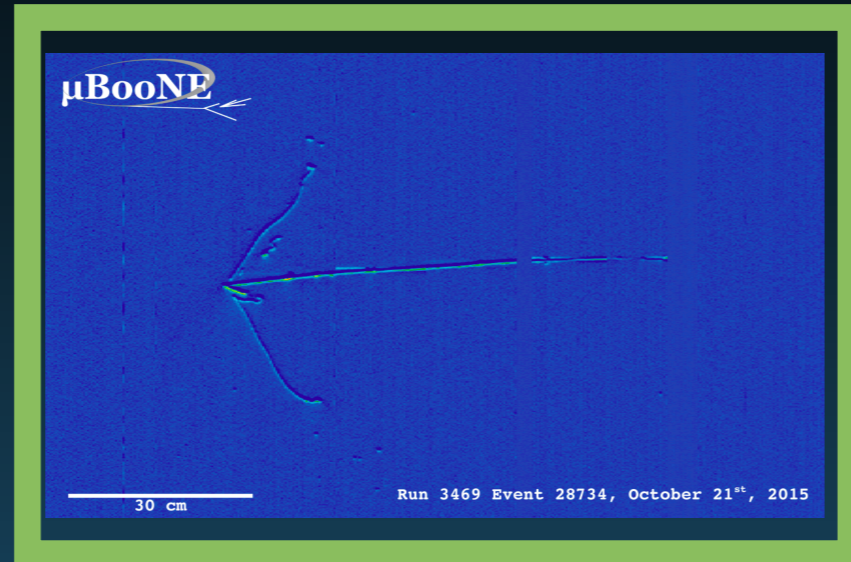
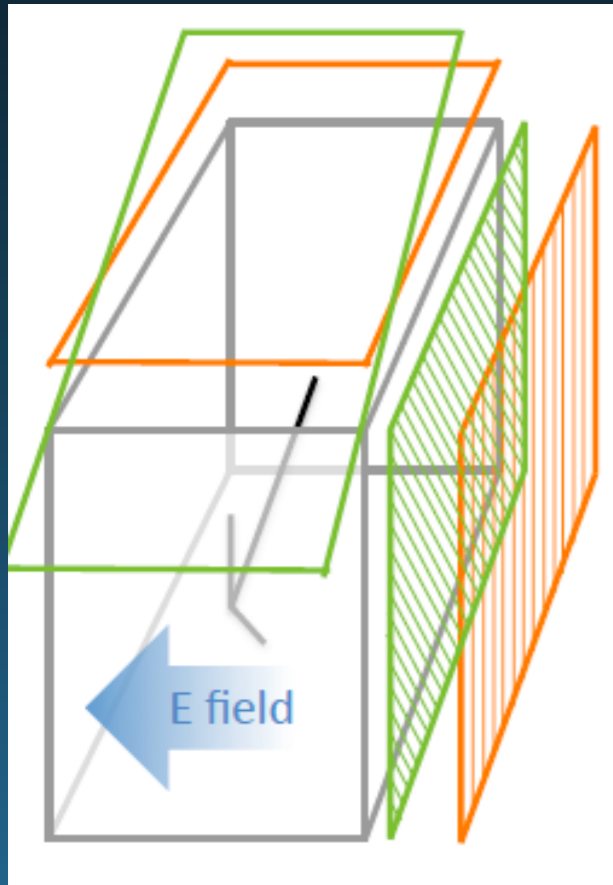
- ▶ Example for DUNE "single-phase" design: Each 10kton sub-detector consists of 300 LArTPC "cells":



- ▶ Local ionization  $dE/dx$  recorded with sub-mm spatial resolution; can resolve minimum-ionizing particles (MIPs) to few overlapping protons based on local ionization energy deposition.

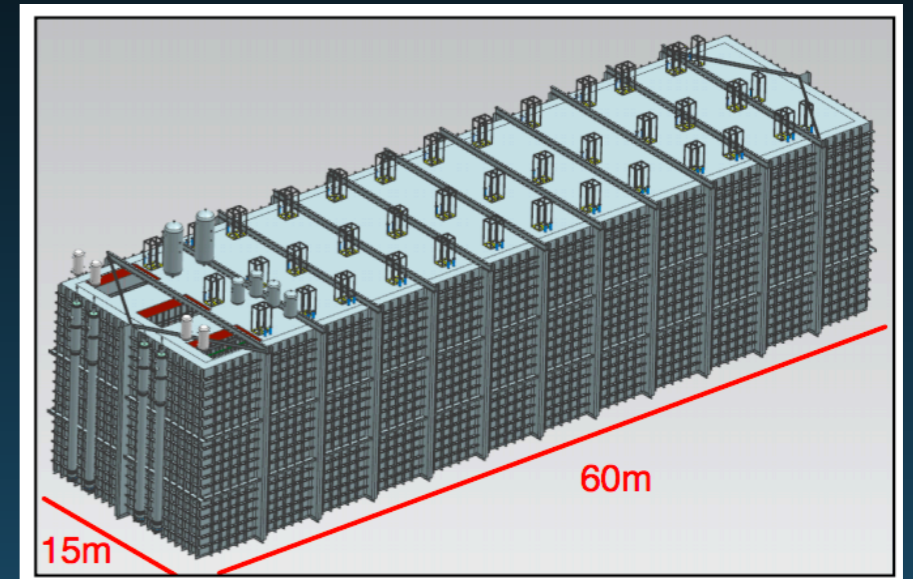
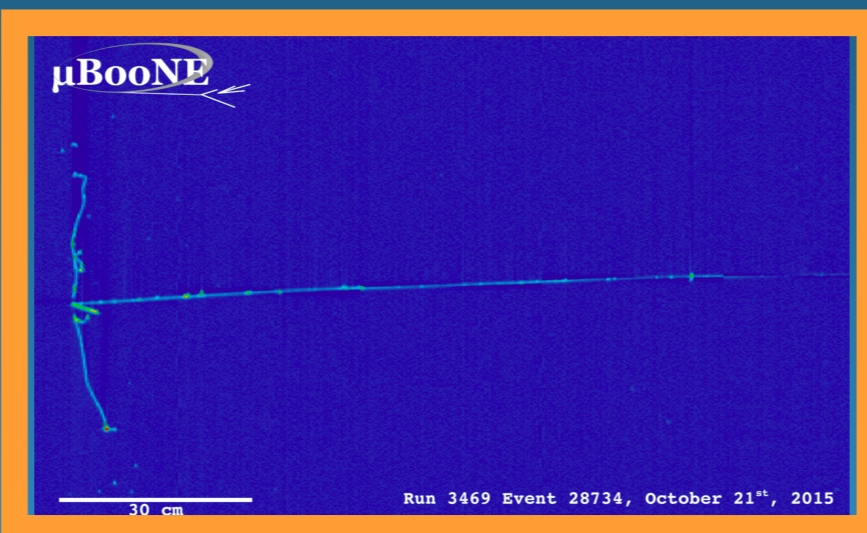
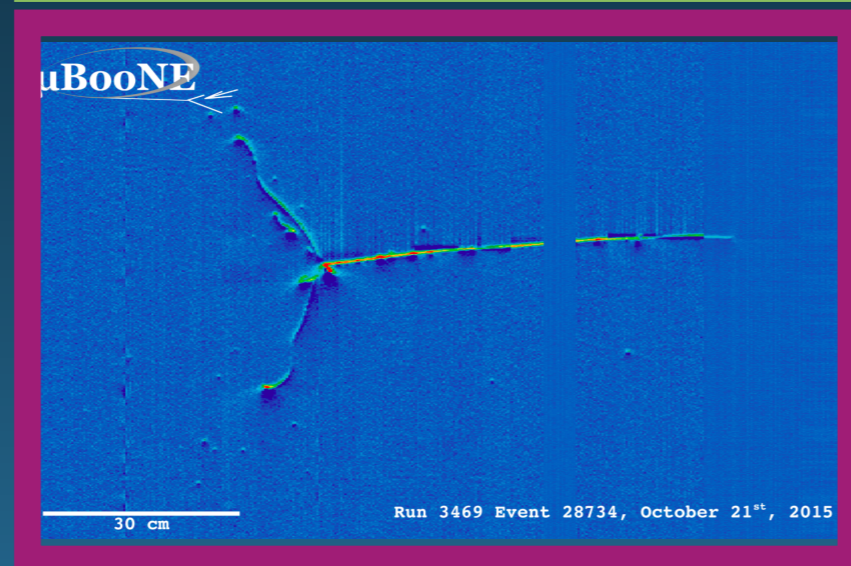
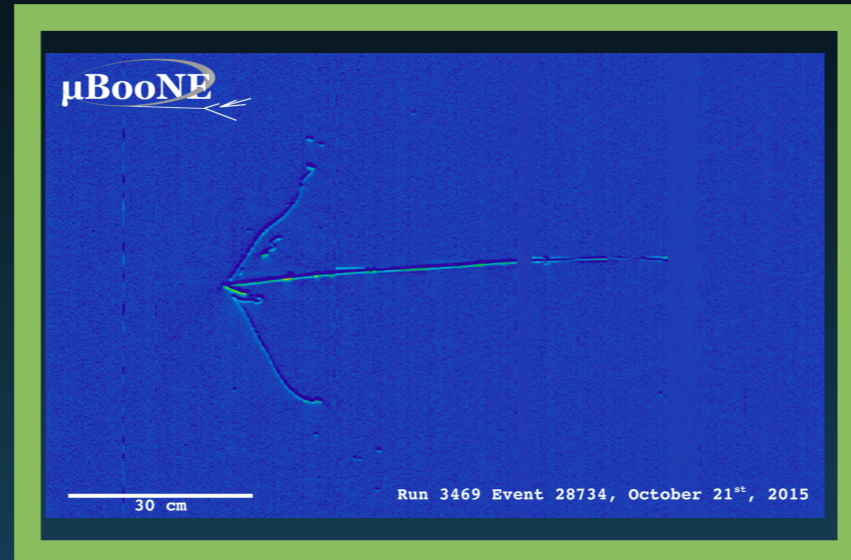
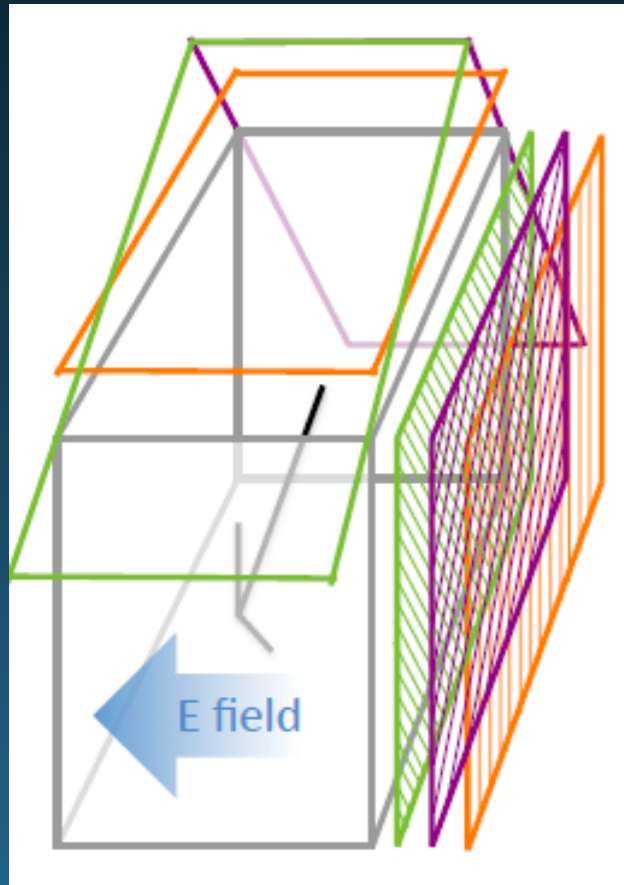


- ▶ Example for DUNE "single-phase" design: Each 10kton sub-detector consists of 300 LArTPC "cells":

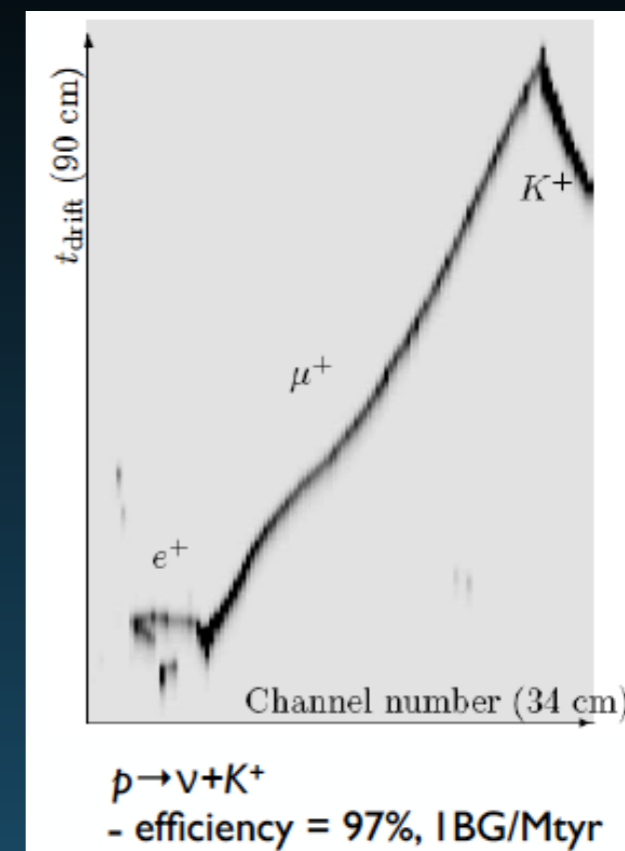
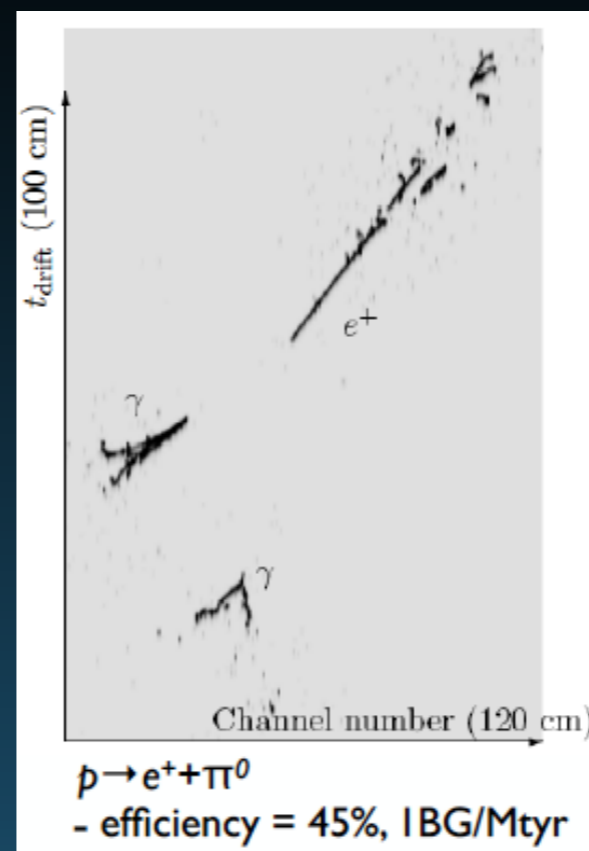
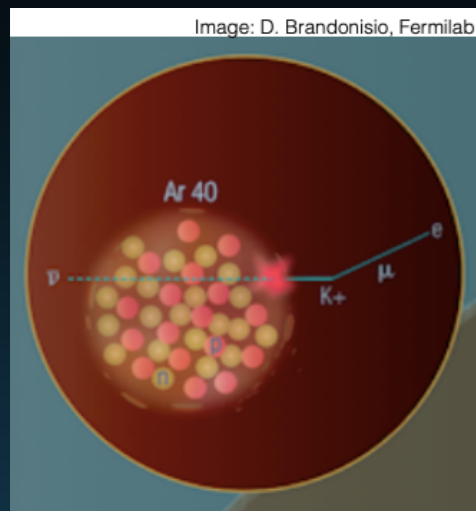


- ▶ Local ionization  $dE/dx$  recorded with sub-mm spatial resolution; can resolve minimum-ionizing particles (MIPs) to few overlapping protons based on local ionization energy deposition.

- ▶ Example for DUNE "single-phase" design: Each 10kton sub-detector consists of 300 LArTPC "cells":

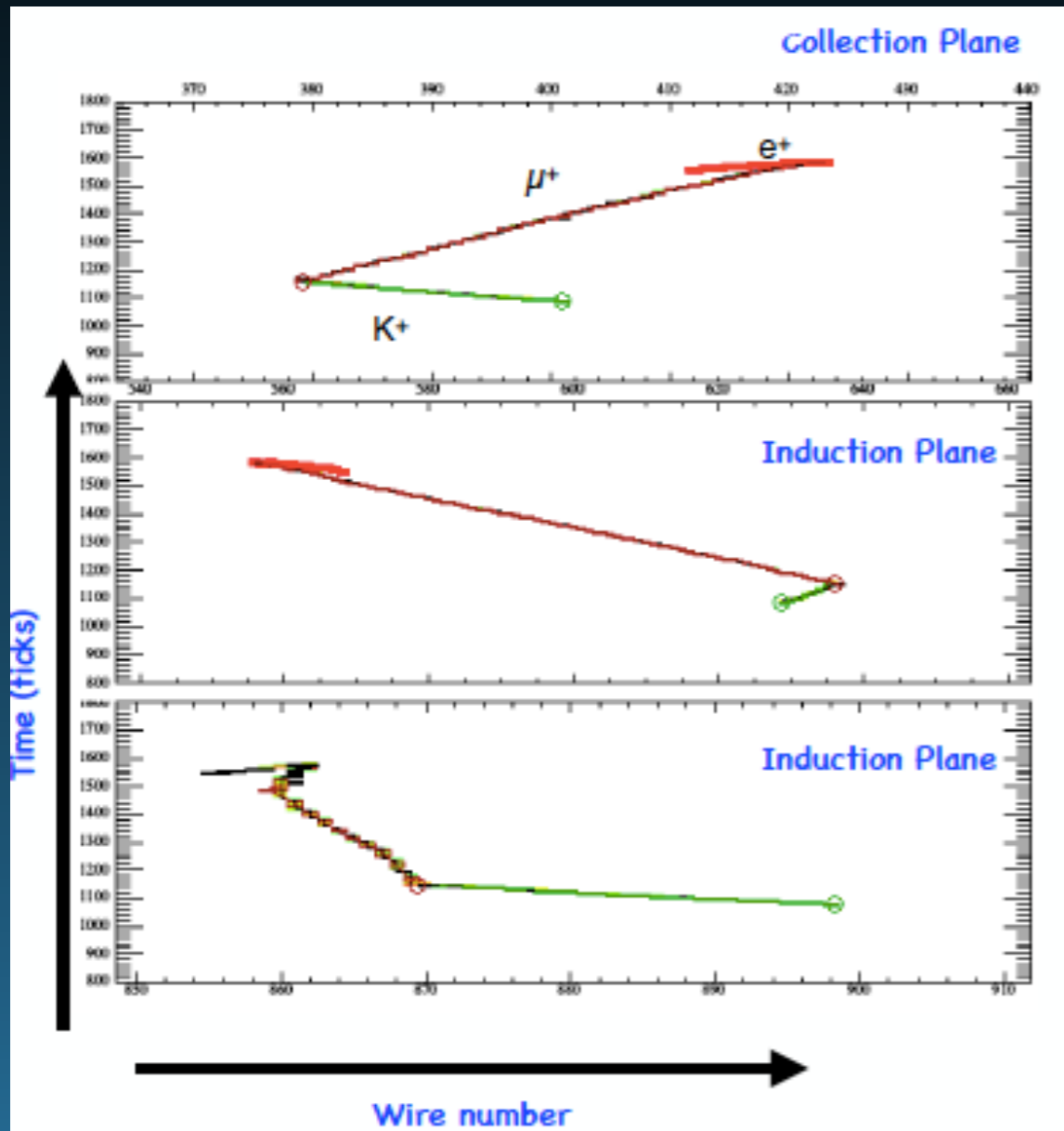


- ▶ Local ionization  $dE/dx$  recorded with sub-mm spatial resolution; can resolve minimum-ionizing particles (MIPs) to few overlapping protons based on local ionization energy deposition.

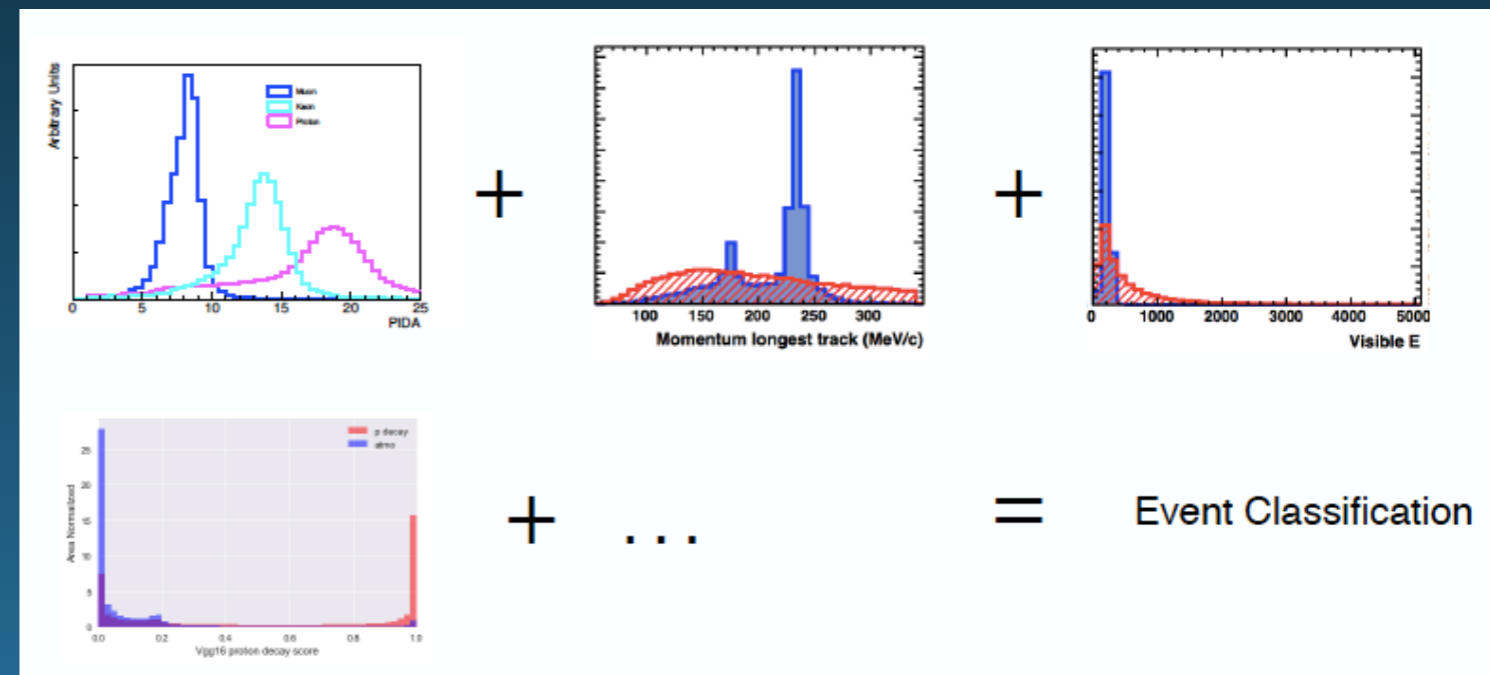


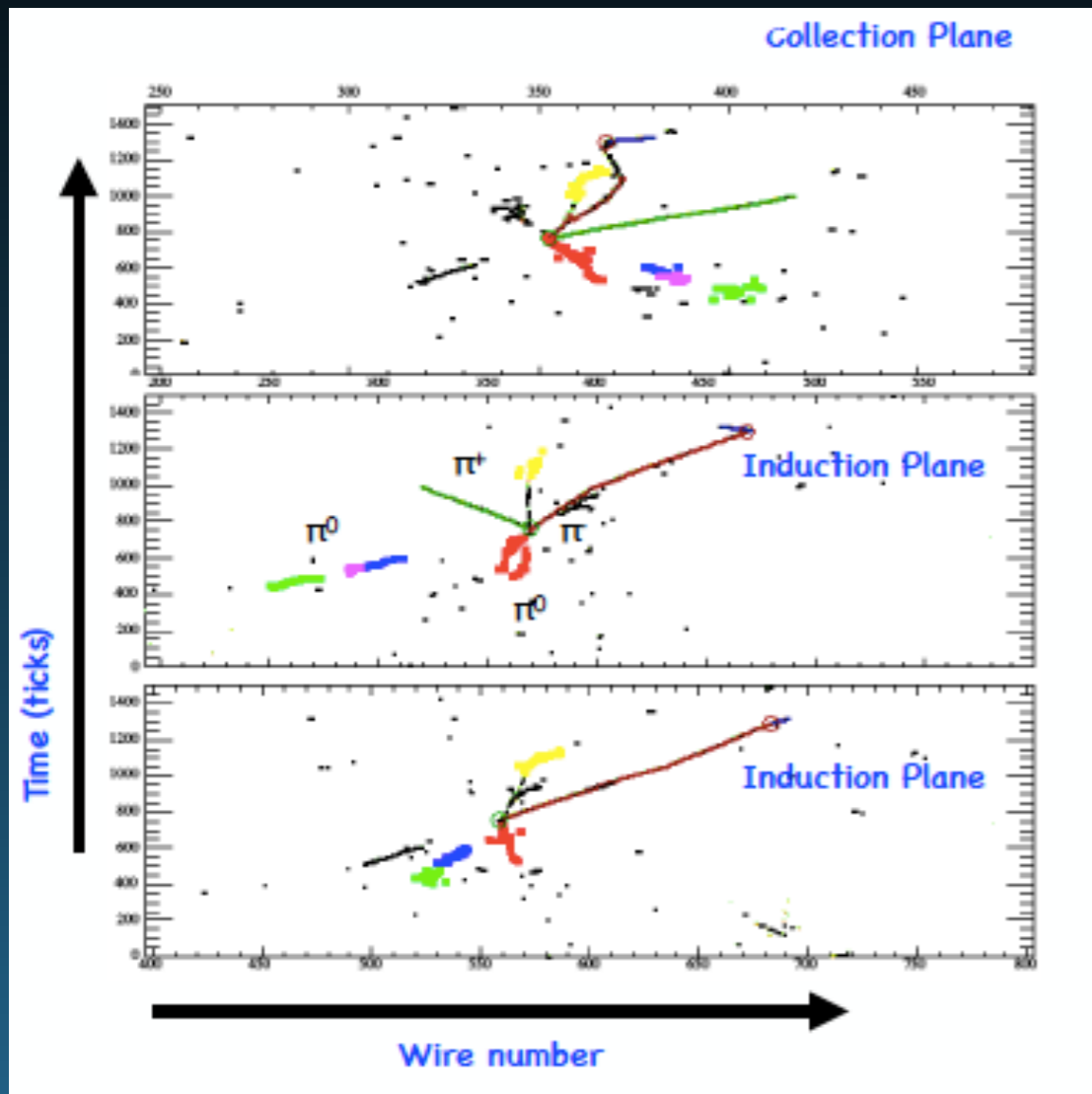
- ▶  $K^+$  track by higher ionization density with high efficiency.
- ▶ Single-event discovery could be possible.
- ▶ Clean search for neutron-antineutron oscillation ( $\Delta B=2$ ) and other modes for which significant BG for water Cherenkov detectors
- ▶ Simulation and automatic reconstruction under development

Decay Mode	Water Cherenkov		Liquid Argon TPC	
	Efficiency	Background	Efficiency	Background
$p \rightarrow K^+ \bar{\nu}$	19%	4	97%	1
$p \rightarrow K^0 \mu^+$	10%	8	47%	< 2
$p \rightarrow K^+ \mu^- \pi^+$			97%	1
$n \rightarrow K^+ e^-$	10%	3	96%	< 2
$n \rightarrow e^+ \pi^-$	19%	2	44%	0.8

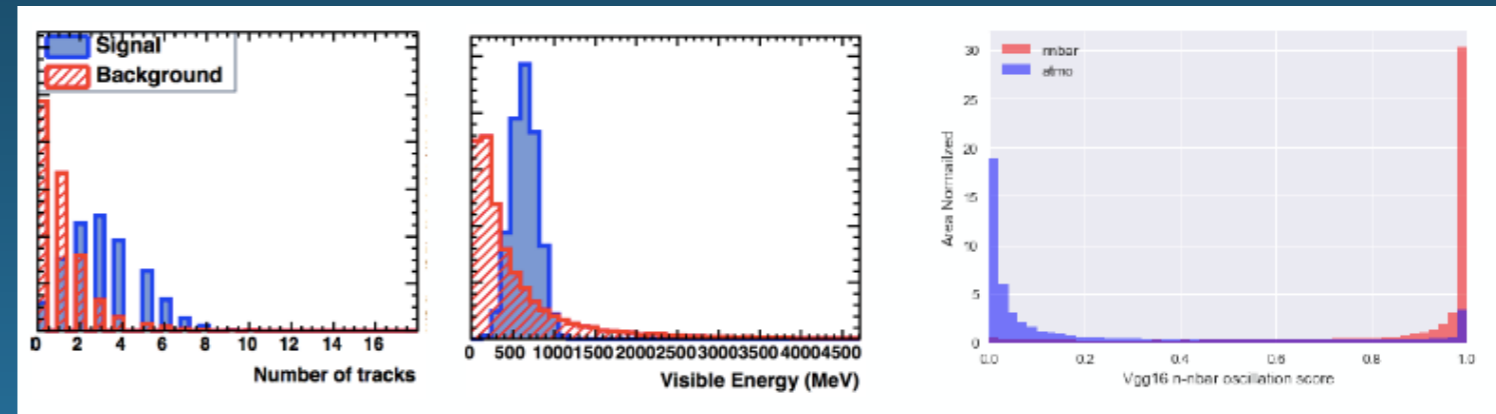


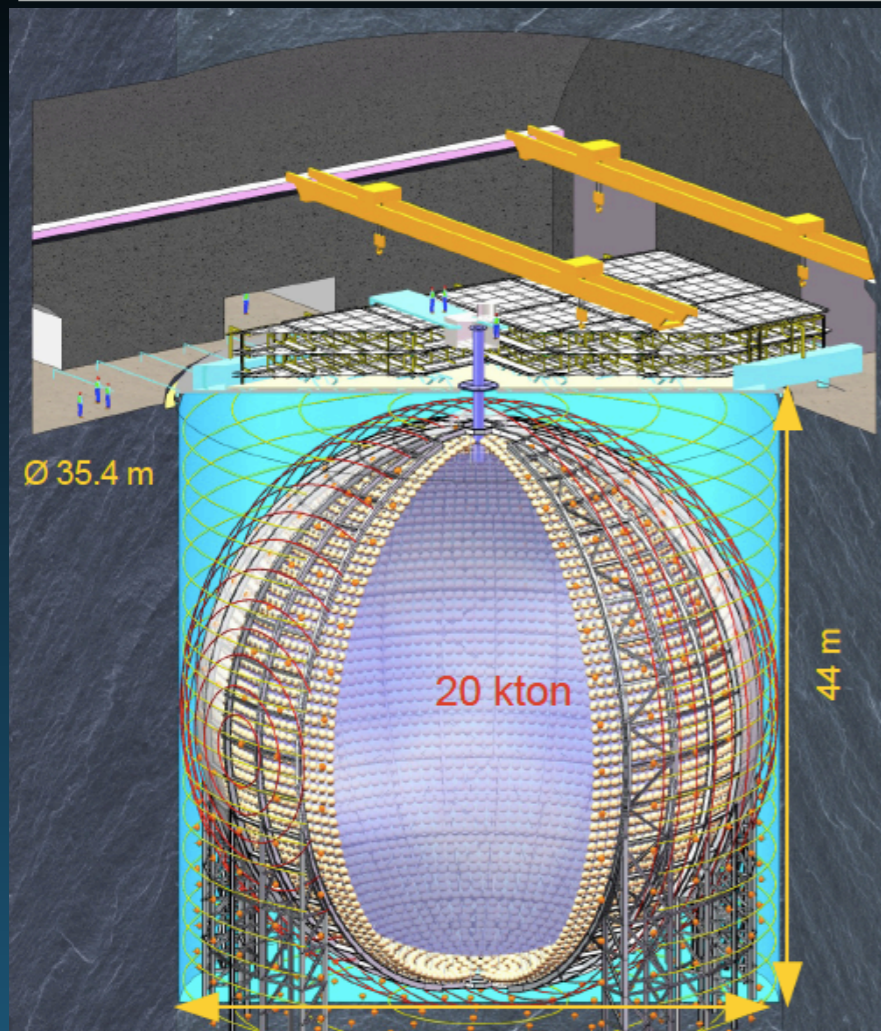
- ▶ Particle ID via dE/dx
- ▶ Multi-variable Analysis (Boosted Decision Tree) for nucleon decay signatures



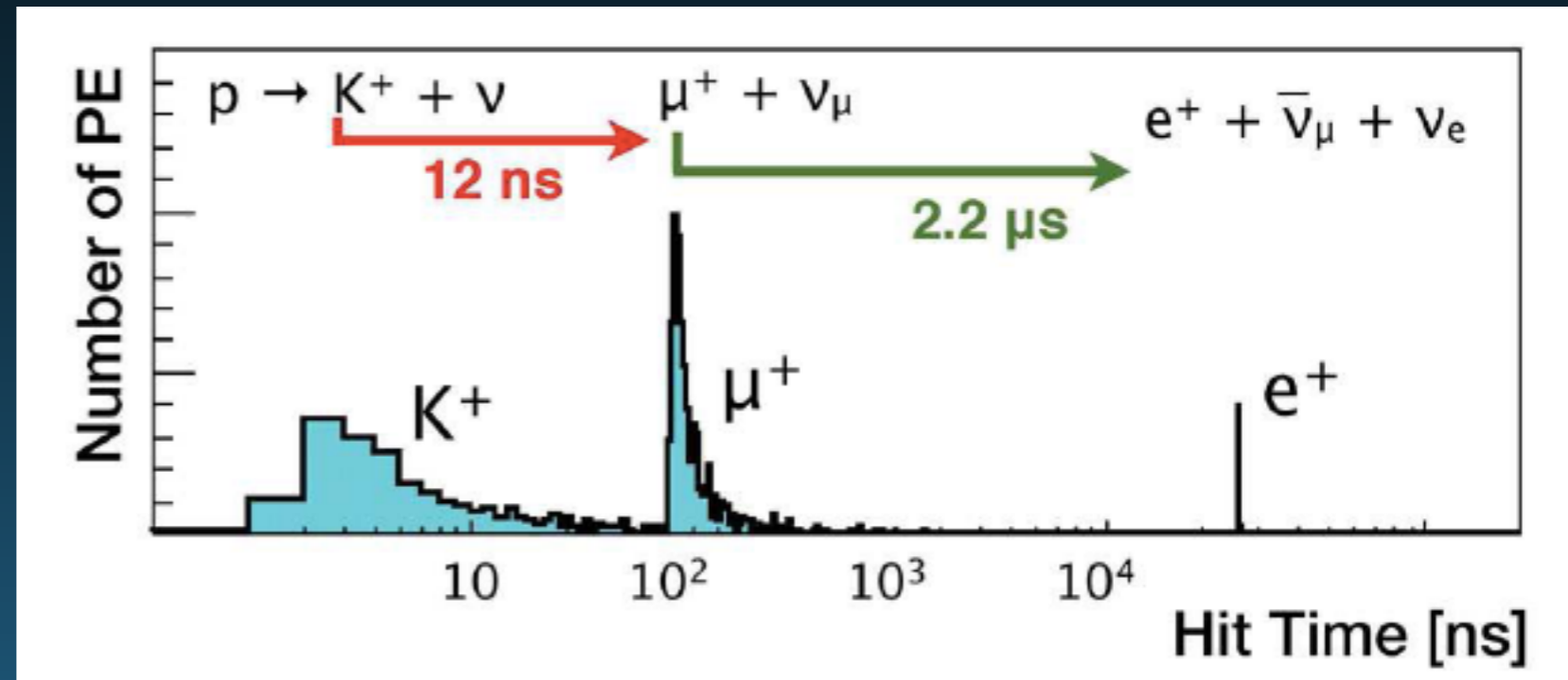


- ▶ Image Classification
- ▶ Standard reconstruction
  - track & vertex multiplicity
  - PID
  - visible energy ...
- ▶ Multi-variable Analysis (Boosted Decision Tree)





- ▶ 20 kiloton liquid scintillator
- ▶ Starting data taking in 2021



- ▶ Triple coincidence of  $K^+ \rightarrow \mu^+ \rightarrow e^+$  with well-defined time constant of (12 nsec, 2.2 μsec) and particle energies
- ▶ Signal efficiency = 64% (pulse shape cut+energy cut+decay positron cut)
- ▶ Estimated backgrounds = 0.5 evt./ 10 years
- ▶  $\tau / \text{Br}(p \rightarrow \bar{\nu} K^+) = 1.9 \times 10^{34}$  years assuming zero candidates



We are in an exciting era because large neutrino detectors (JUNO, DUNE, Hyper-K) are planned to start operation near future. They are also good proton decay detectors!

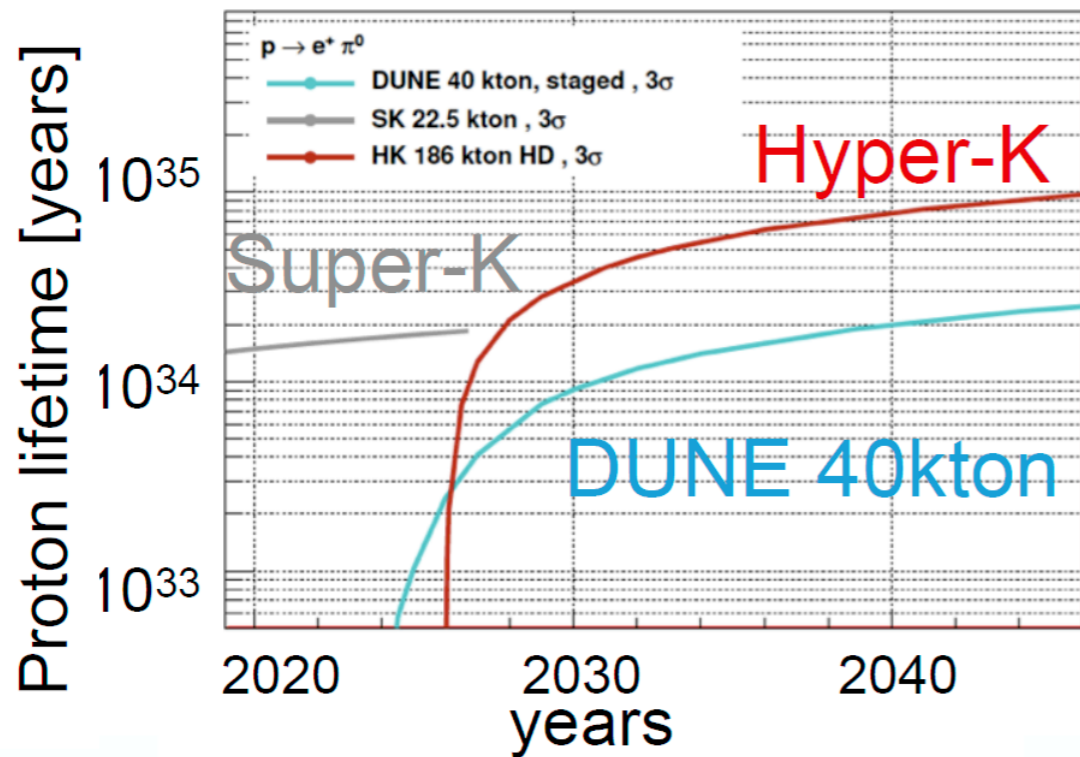
2

## SENSITIVITIES OF FUTURE EXPERIMENTS

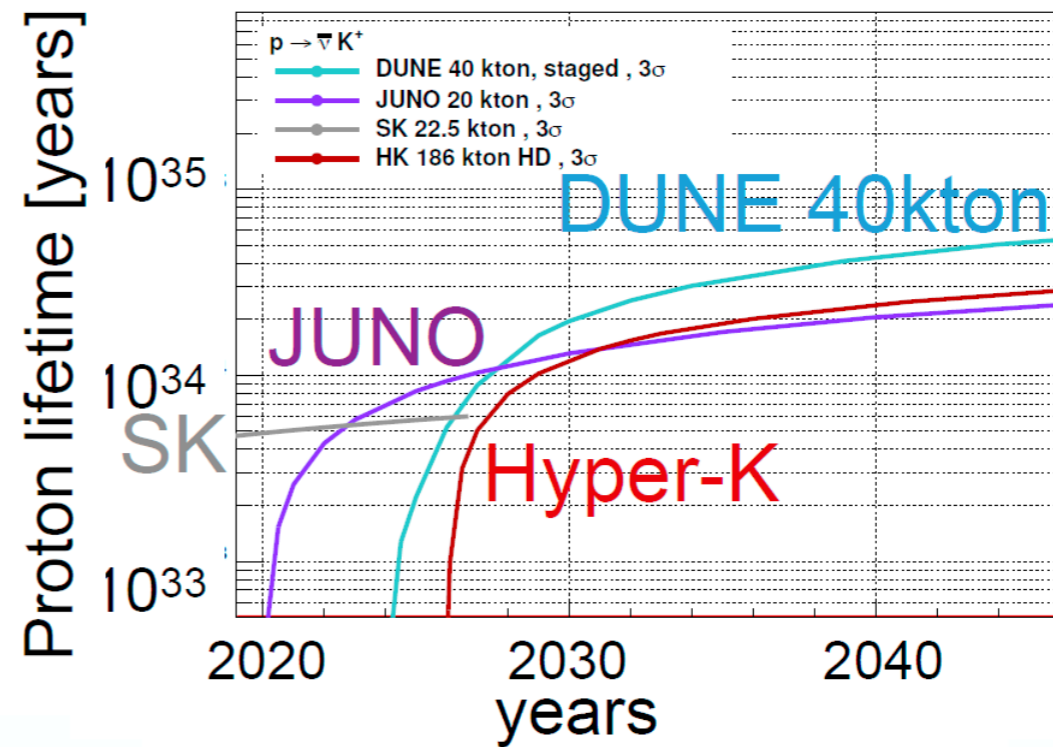
	Hyper-K 190 kton		DUNE 40 kton		JUNO 20 ton	
	Eff. (%)	BG (/Mt y)	Eff. (%)	BG (/Mt y)	Eff. (%)	BG (/Mt y)
$e^+\pi^0$	40	0.7	45	1	-	-
$\bar{\nu}K^+$	24	1.6	97	1	64	2.5
	arXiv:1805.04163		JHEP0704(2007)041; arXiv:1512.06148		arXiv:1507.05613	

- ▶ For modes with **Kaons**, DUNE and JUNO can benefit from K identification and expected to have better S/N than water.
- ▶ For modes of “**charged lepton plus mesons**” like  $p \rightarrow e^+\pi^0$ , Hyper-K sensitivities are better by high mass.

$p \rightarrow e^+ \pi^0$   $3\sigma$  discovery



$p \rightarrow \bar{\nu} K^+$   $3\sigma$  discovery



(Lines for DUNE and JUNO experiment have been generated based on numbers in the literature.)

$3\sigma$  discovery potential will reach:

- ▶  $1 \times 10^{35}$  years for  $p \rightarrow e^+ \pi^0$
- ▶  $5 \times 10^{34}$  years for  $p \rightarrow \bar{\nu} K^+$