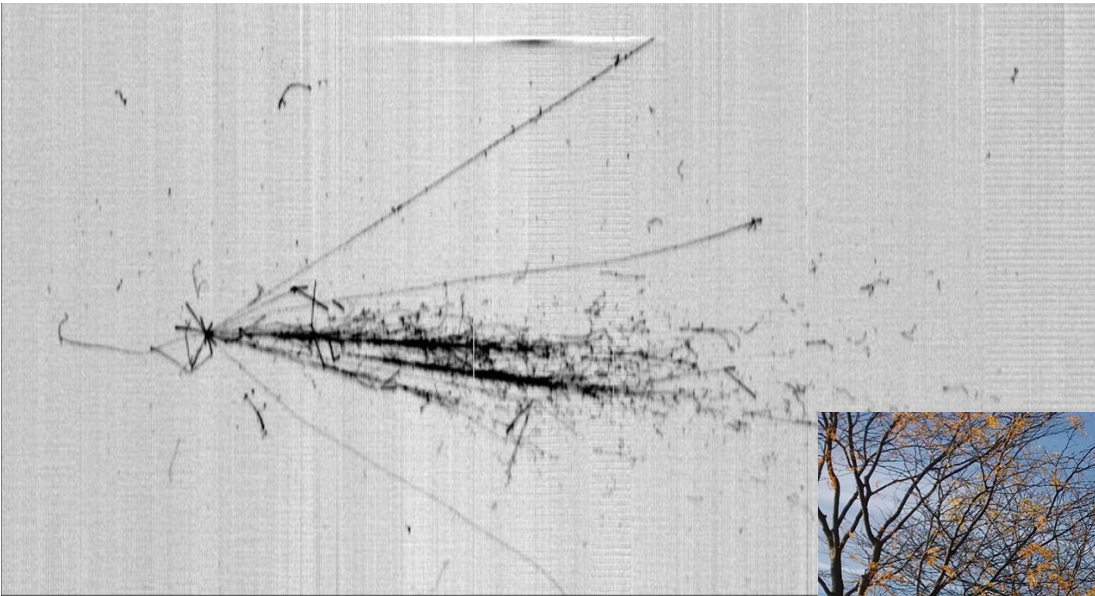


Sterile Neutrino searches with the ICARUS detector

*Christian Farnese
INFN Padova*

*on behalf of the
ICARUS collaboration*



*The 27th International
Workshop on Weak
Interactions and
Neutrinos*

*Bari
June 3-8, 2019*



M. Diwan, A. Timilsina, E. Worcester, M. Worcester

Brookhaven National Laboratory, USA

B. Behera, T. Boone, I. Caro Terrazas, C. Hilgenberg, J. Mueller, M. Mooney, H. Rogers,
D. Warner, R.J. Wilson

Colorado State University, USA

W. F. Badgett, L.F. Bagby, M. Betancourt, K. Biery, S. Brice, J. Brown, G. Cerati, R. Doubnik, A. Fava,
F.G. Garcia, M. Geynisman, S. Hahn, C. James, W. Ketchum, G. Lukhanin, S. Marcocci,
T. Nichols, A. Prosser, R. Rameika, R. Rechenmacher, A. Schukraft, A. Soha, D. Torretta,
P. Wilson, J. Zennamo, M. Zuckerbrot

Fermi National Accelerator Laboratory, USA

D. Cherdack

University of Houston, USA

V. Bellini, C. Petta, C. Suter, F. Tortorici

INFN Sez. di Catania and University, Catania, Italy

C. Rubbia

INFN GSSI, L'Aquila, Italy

C. Vignoli

INFN LNGS, Assergi (AQ), Italy

R. Benocci, M. Bonesini, A. Falcone, M. Torti

INFN Sez. di Milano Bicocca, Milano, Italy

A. Cocco

INFN Sez. di Napoli, Napoli, Italy

A. Braggiotti, S. Centro, C. Farnese, D. Gibin, A. Guglielmi, G. Meng,
F. Pietropaolo, F. Varanini, S. Ventura

INFN Sez. di Padova and University, Padova, Italy

A. Menegolli, C. Montanari, A. Rappoldi, G.L. Raselli, M. Rossella, A. Scaramelli

INFN Sez. di Pavia and University, Pavia, Italy

V. Paolone, H. Su, A. Wickremasinghe

University of Pittsburgh, USA

M. Convery, L. Domine, G. Petrillo, H. Tanaka, K. Terao, Y.T. Tsai, T. Usher

SLAC National Accelerator Laboratory, Stanford, USA

H. Budd, K.S. MacFarland

University of Rochester, USA

J. Asaadi, A. Chatterjee, J. Yu

University of Texas (Arlington), USA

T. Coan

Southern Methodist University (USA)

O. M. Romagnoli

CINVESTAV-IPN (Mexico)



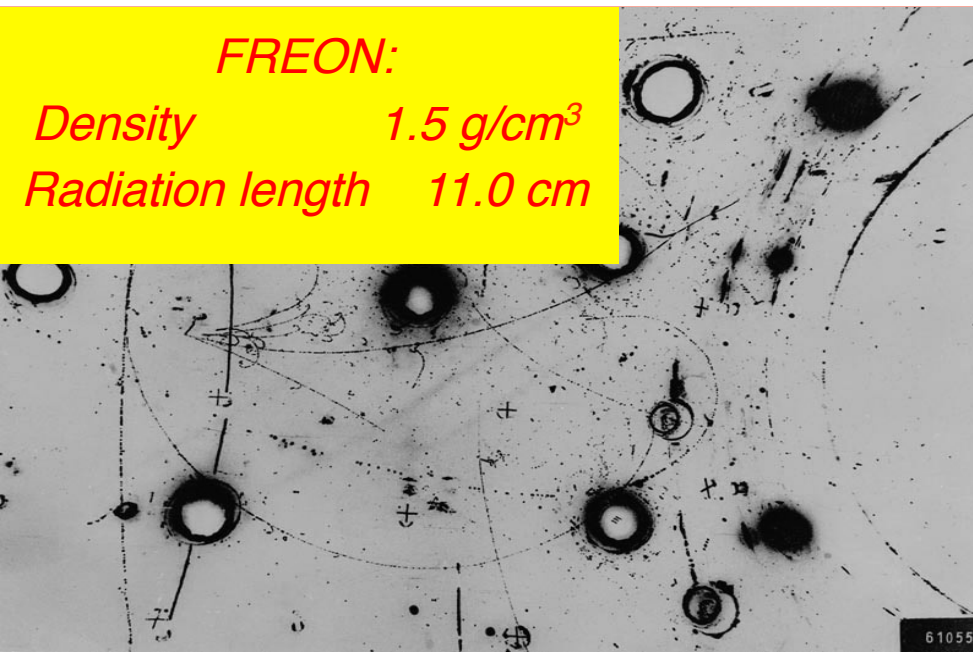
CINVESTAV

- *ICARUS Spokesman: C. Rubbia, GSSI.*
- *7 INFN groups, 9 USA institutions, 1 Mexico institution.*

- LAr-TPCs are ideal detectors for neutrino physics and nucleon decay:
 - 3D reconstruction with high (mm^3) spatial granularity
 - Homogeneous, full-sampling calorimetry for contained particles
 - Scintillation light can provide fast signals for timing/triggering
 - Electrons can drift for several meters (if Argon is sufficiently pure)
 - LAr is dense and cheap: very large masses (ktons) are realistic
- First proposed by C. Rubbia in 1977: long R&D at INFN and CERN culminated in first large-scale experiment: **ICARUS-T600** at LNGS (2010)
- LAr physical parameters very similar to Freon of “classic” bubble chambers:

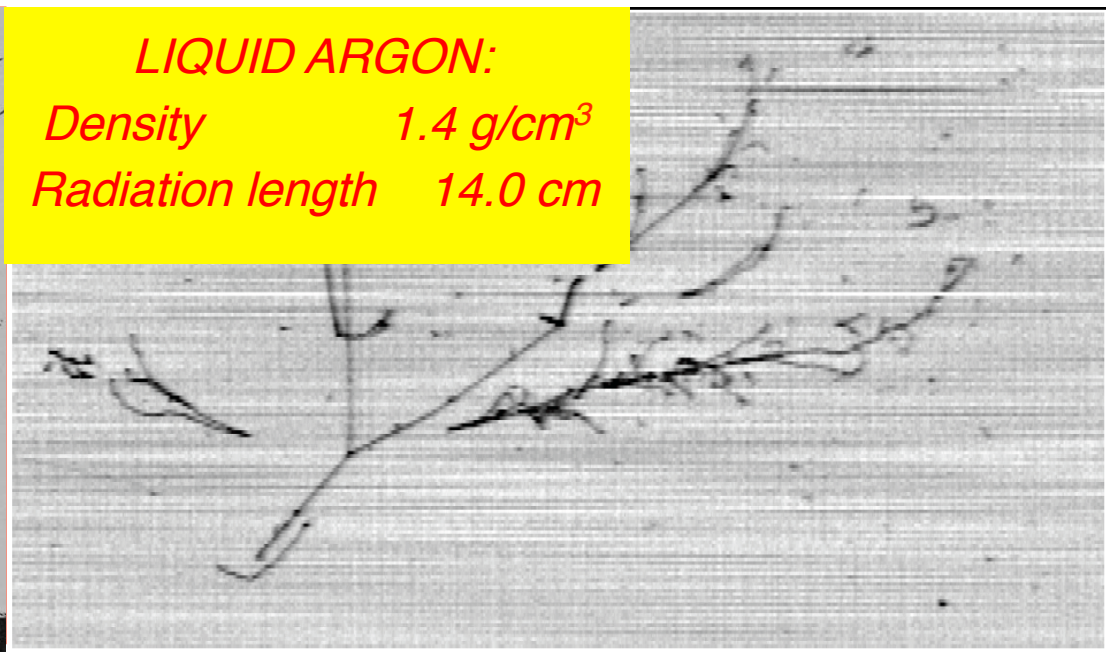
FREON:

Density 1.5 g/cm^3
Radiation length 11.0 cm

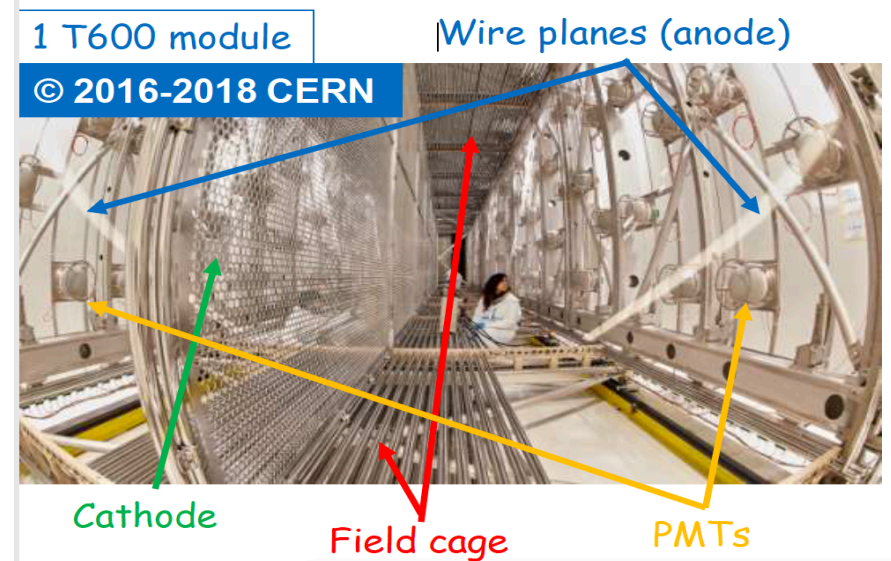
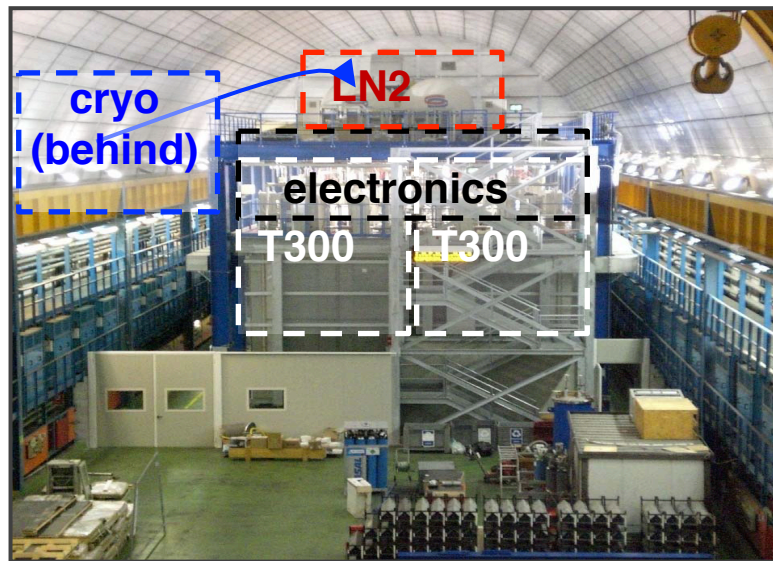


LIQUID ARGON:

Density 1.4 g/cm^3
Radiation length 14.0 cm



- 2 identical modules: each is $19.6 \times 3.6 \times 3.9 \text{ m}^3$, with active mass of 476 t (total 760 t)
- Drift distance 1.5 m and electric field 500 V/cm \rightarrow drift time $\sim 1 \text{ ms}$
- 3 signal wire planes (2 Induction+Collection) with "non-destructive" wire readout
- Pitch and inter-plane distance both 3 mm; 400 ns sampling time; ~ 54000 total channels
- 74 (20+54) 8" PMTs with TPB wavelength-shifter coating



- ICARUS was exposed to CNGS beam and cosmics for 3 years
- Run confirmed expected performance and obtained important physics results
- It proved the maturity of the LAr-TPC technique for large-scale experiments

ICARUS paved the way to the next generation long-baseline project: DUNE

- High electron lifetime: > 7 ms (impurity concentration < 40 ppt) over whole run. Crucial step towards future larger detectors

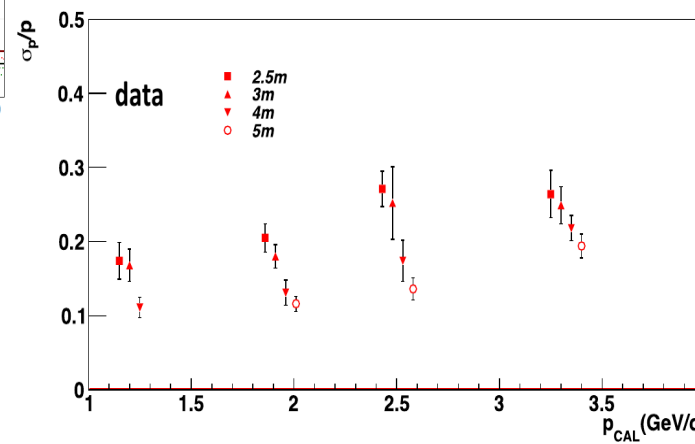
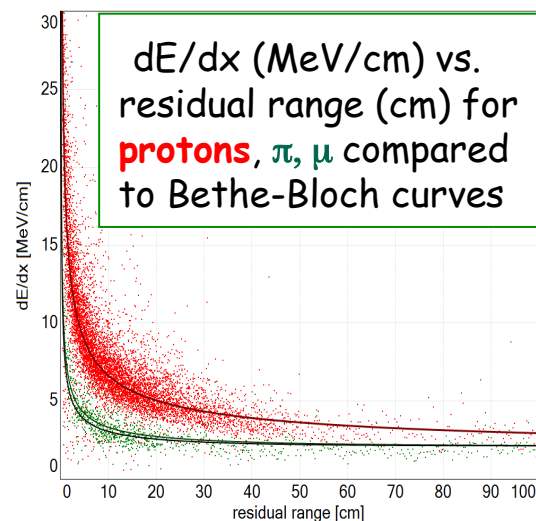
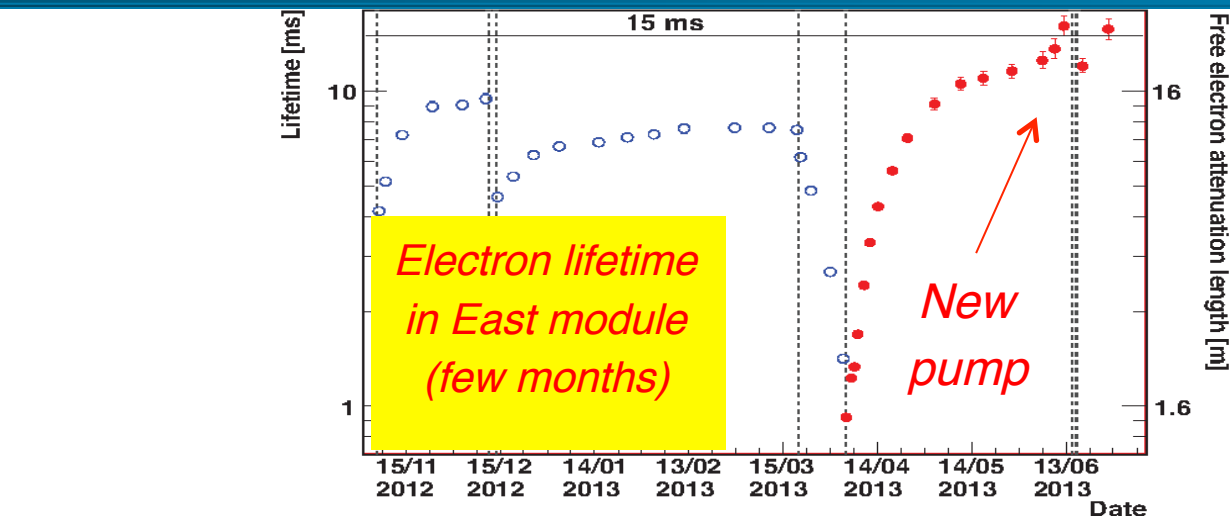
2014 JINST 9 P12006

- Excellent spatial/calorimetric reconstruction. Accurate dE/dx measurement with fine sampling ($0.02X_0$). Particle ID from dE/dx vs. range

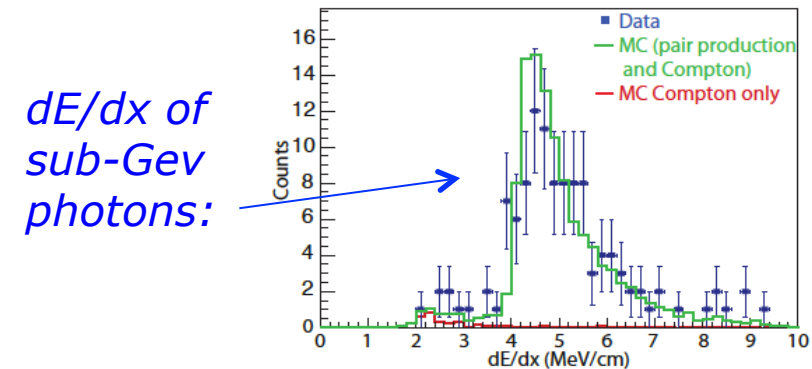
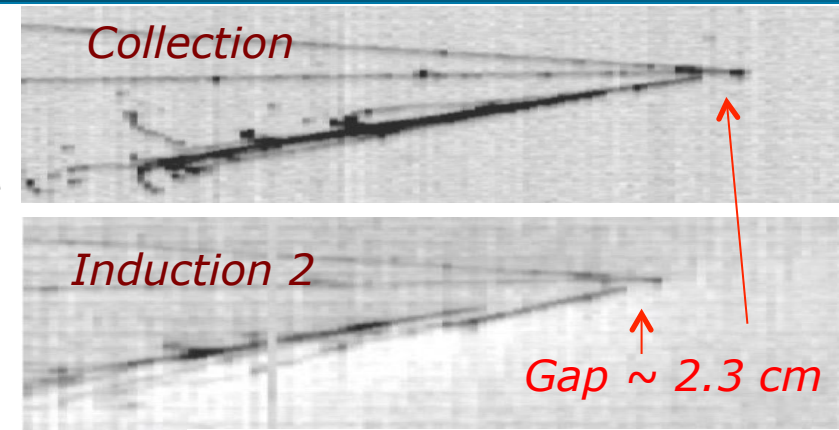
AHEP (2013) 260820

- Momentum of escaping muons measured by multiple Coulomb scattering. Average $\sim 15\%$ resolution on stopping muons ($0.5 \div 5$ GeV/c)

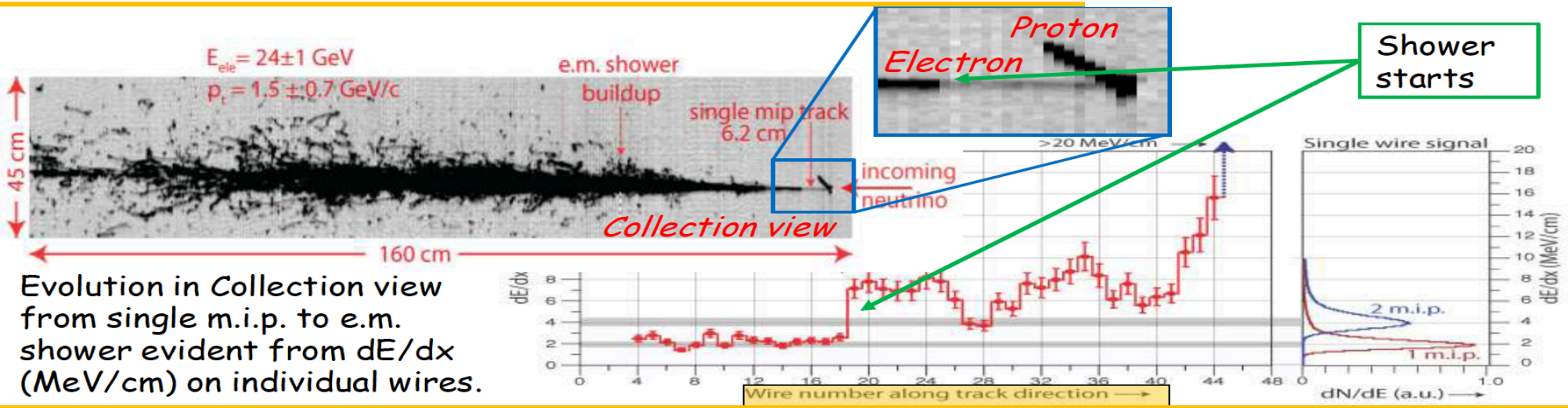
JINST 12P04010



- ν_e CC event (electron-initiated EM showers) separation from NC background with π^0 (γ -initiated showers): crucial for oscillation physics
- LAr-TPC provides 3 handles:
 - Visual identification of γ conversion gap
 - Reconstruction of π^0 invariant mass
 - dE/dx : calorimetric accuracy and fine sampling (2% X_0) allow measuring dE/dx on each wire: single MIP corresponds to an electron.

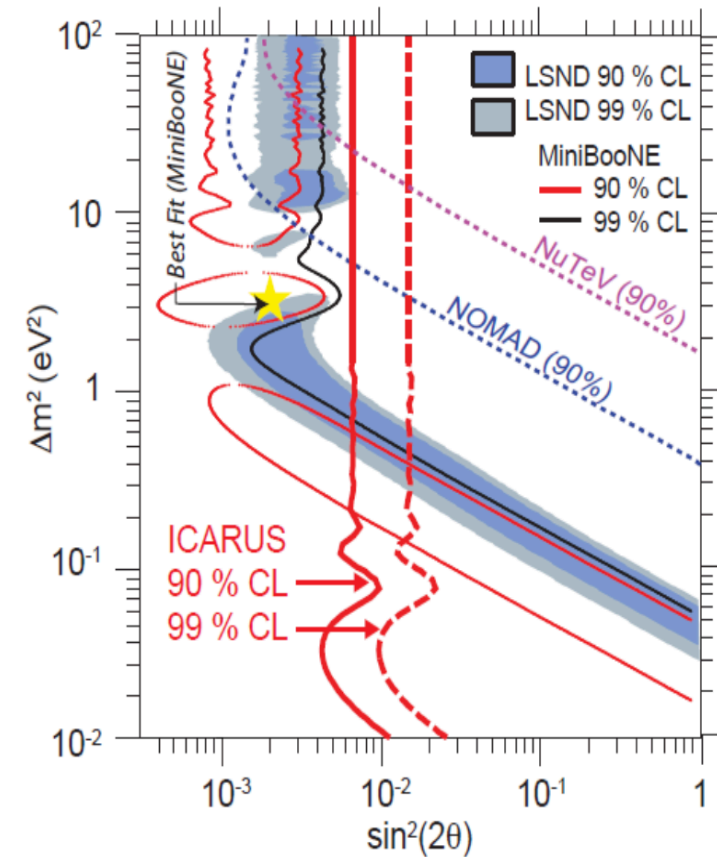


High-energy CNGS ν_e CC interaction:



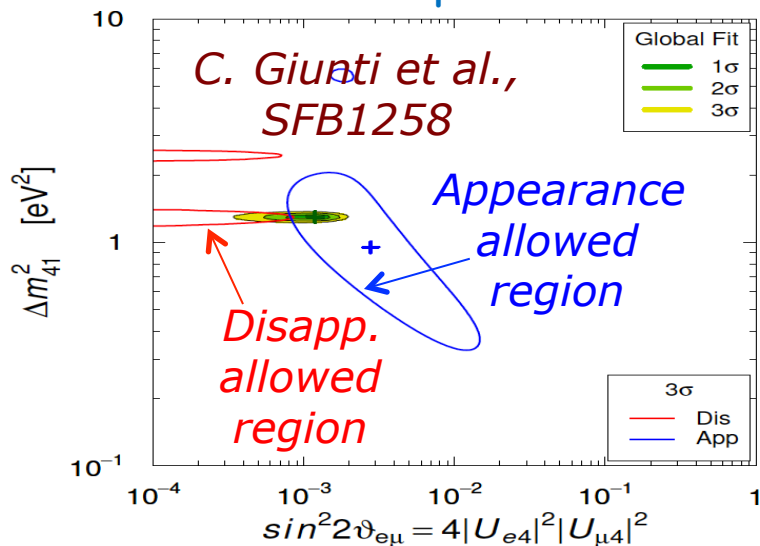
Evolution in Collection view from single m.i.p. to e.m. shower evident from dE/dx (MeV/cm) on individual wires.

- ICARUS searched for sterile ν oscillations through ν_e appearance in the CNGS beam
- $L/E \sim 36 \text{ km/GeV}$, far from LSND value $\sim 1 \text{ km/GeV}$
 \rightarrow "sterile-like" oscillation was averaged out, canceling energy dependence
- $7.9 \cdot 10^{19}$ pots analyzed (~ 2650 ν interactions)
- Expected $\sim 8.5 \pm 1.1$ ν_e background events in absence of anomaly, mostly from intrinsic ν_e beam contamination
- Estimated ν_e identification efficiency $\sim 74\%$ with negligible background from misidentification
- 7 events observed \rightarrow no evidence of oscillation
- Most of LSND allowed region is excluded – except for small area around $\sin^2 2\theta \sim 0.005$, $\Delta m^2 < 1 \text{ eV}^2$
- Similar result by OPERA with same CNGS beam and different detection technique

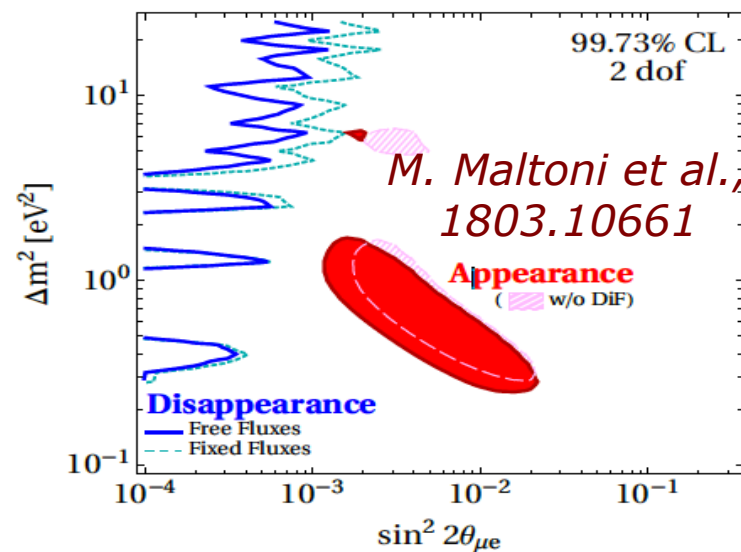


Eur. Phys. J. C
 (2013) 73:2599

- The sterile neutrino scenario is far from understood and needs a definitive clarification
- Some "anomalies" from accelerators (LSND), reactor, neutrino sources, point out to flavour transitions in the $\Delta m^2 \sim 1 \text{ eV}^2$ range
- However, no evidence of oscillations in ν_μ disappearance data (MINOS, IceCube)
- Tension between ν_e appearance and ν_μ disappearance results. **Measuring both channels with the same experiment will help disentangle**



Combined analyses



- A comparison between far/near detector is crucial for any accelerator experiment, with a better control of backgrounds and systematics

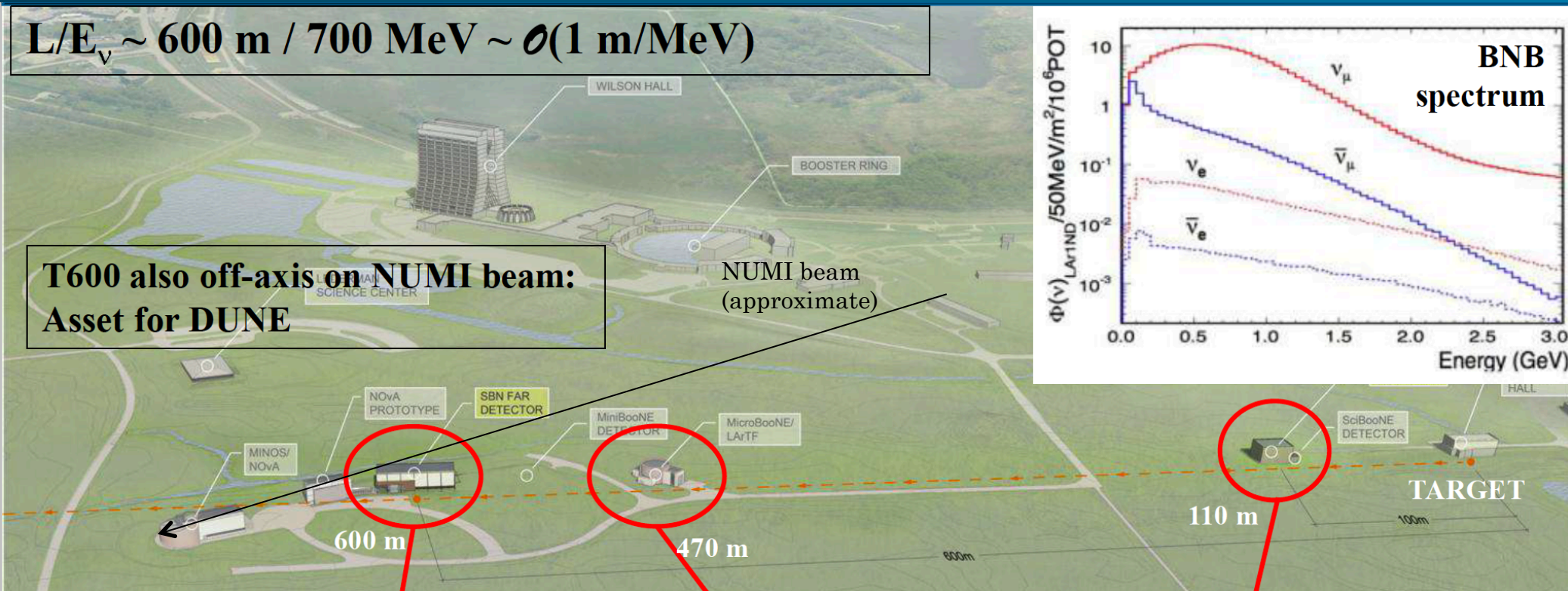
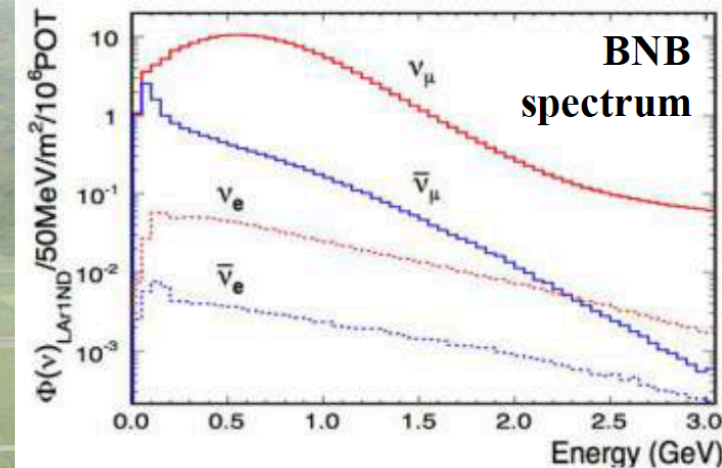
SBN satisfies these requirements: it could have a crucial role in solving the sterile neutrino puzzle!

The SBN project

10

$$L/E_\nu \sim 600 \text{ m} / 700 \text{ MeV} \sim \mathcal{O}(1 \text{ m/MeV})$$

**T600 also off-axis on NUMI beam:
Asset for DUNE**



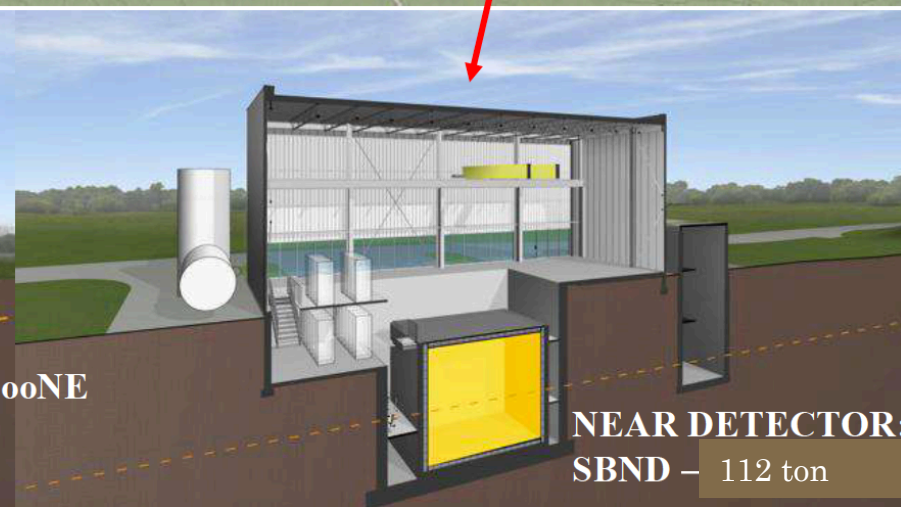
ICARUS T600

FAR DETECTOR:
T600 – 476 ton

ICARUS

MicroBooNE
89 ton

NEAR DETECTOR:
SBND – 112 ton

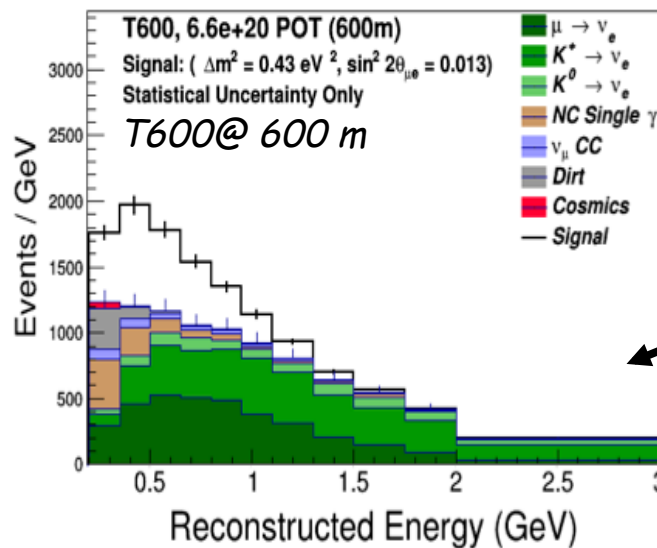
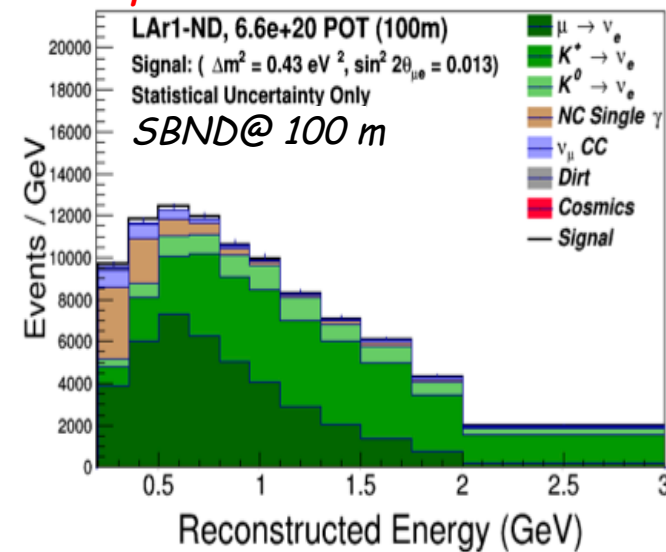


SBN Sterile neutrino search at FNAL Booster ν beamline ¹¹

- The experiment will exploit 3 LAr-TPCs exposed to the FNAL **B**ooster **N**eutrino **B**eam, with only $\sim 0.5\%$ ν_e contamination, at different distances from target:
SBND, **MicroBooNE** and **ICARUS** at **110**, **470**, and **600** meters respectively
- The experiment is expected to clarify the sterile anomaly by precisely/independently measuring **both** ν_e appearance and ν_μ disappearance
- Using the same detector technology for all the 3 detectors will greatly reduce the systematic errors: **SBND** (near detector) will provide the “initial” beam composition and spectrum
- The great ν_e identification capability of LAr-TPC will help reduce the NC background
- During SBN operations, ICARUS will also collect ~ 2 GeV neutrinos from **NuMI** (**N**eutrino **M**ain **I**njector) Off-Axis beam. This will be an asset for the future long-baseline project:
 - ν interaction cross-section measurements and identification/reconstruction studies
 - In particular, a large ν_e component with ~ 3 GeV energy (in the DUNE range)

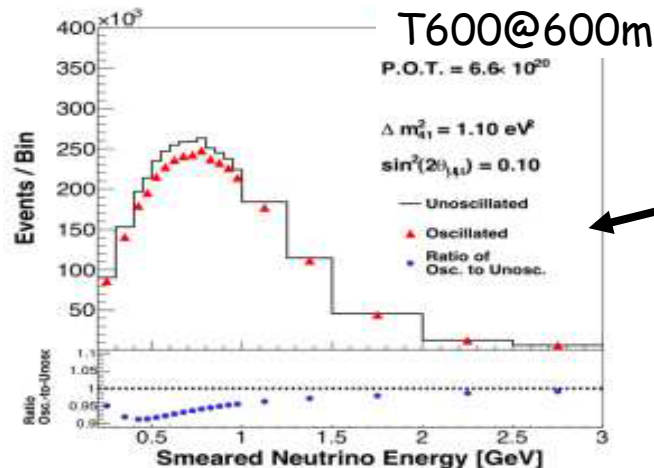
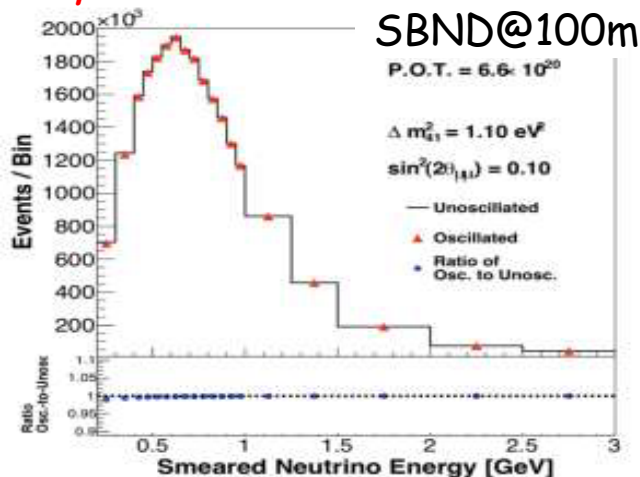
SBN spectra and sensitivities for 3 years (6.6 10^{20} pot) 12

ν_e spectra (oscillation signal + backgrounds),
Example for $\sin^2 2\theta = 0.013$ $\Delta m^2 = 0.43 \text{ eV}^2$

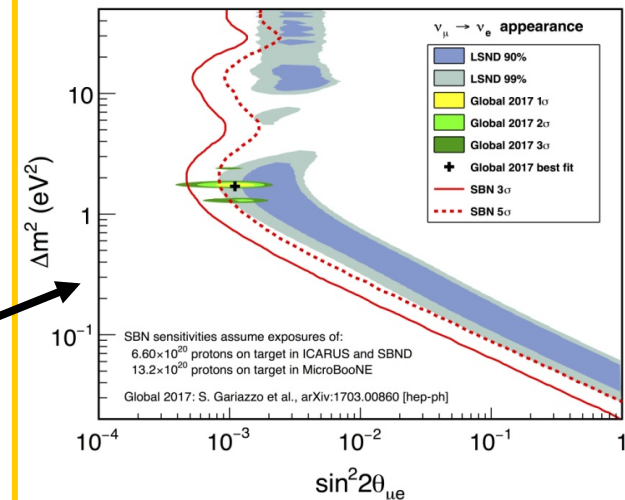


In absence of oscillations, spectra should be ~identical

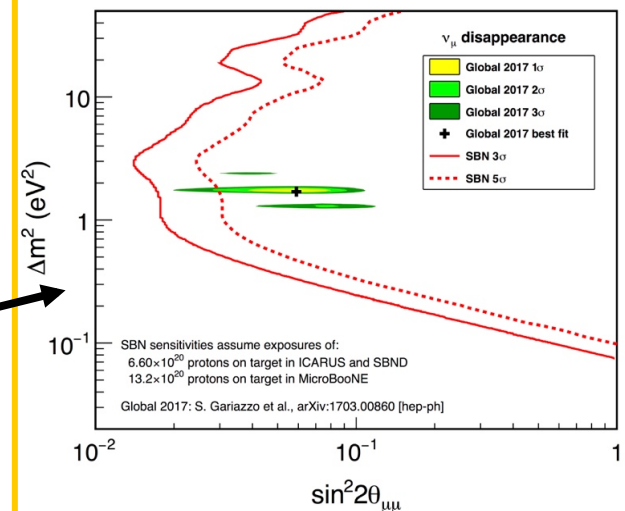
ν_μ spectra (oscillation modulation) for 3 years (6.6 10^{20} pot)
Example for $\sin^2 2\theta = 0.01$ $\Delta m^2 = 1.10 \text{ eV}^2$



ν_e appearance: LSND 99% CL
region covered at 5σ level

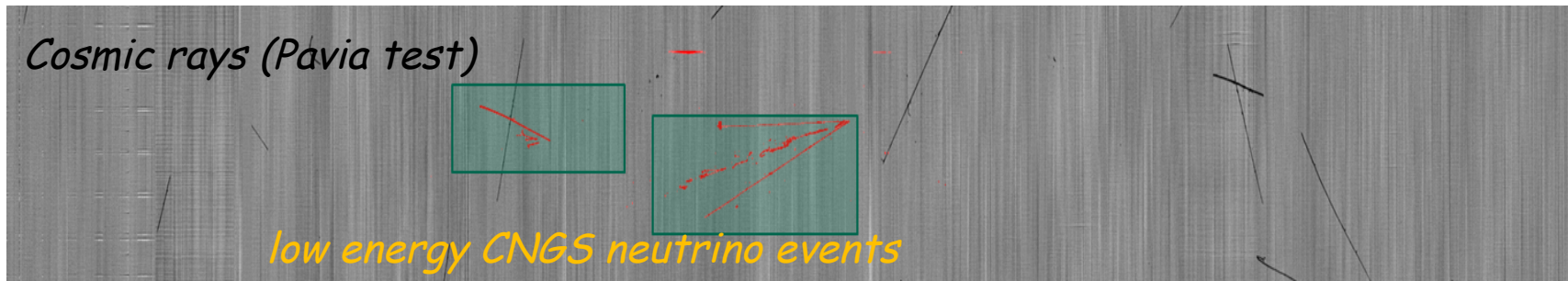


3-5 σ ν_μ disapp. SBN sensitiv.



ICARUS at FNAL is facing a more challenging experimental condition than at LNGS, requiring the recognition of $O(10^6)$ ν interactions amongst 11 KHz of cosmic rays.

- A 3 m concrete overburden will remove contribution from charged hadrons/ γ 's.
- $\sim 11 \mu$ tracks will hit the T600 in 1 ms TPC drift window: associated γ 's represent a serious background source for ν_e search since e 's produced via Compton scatt./ pair prod. can mimic a genuine ν_e CC.



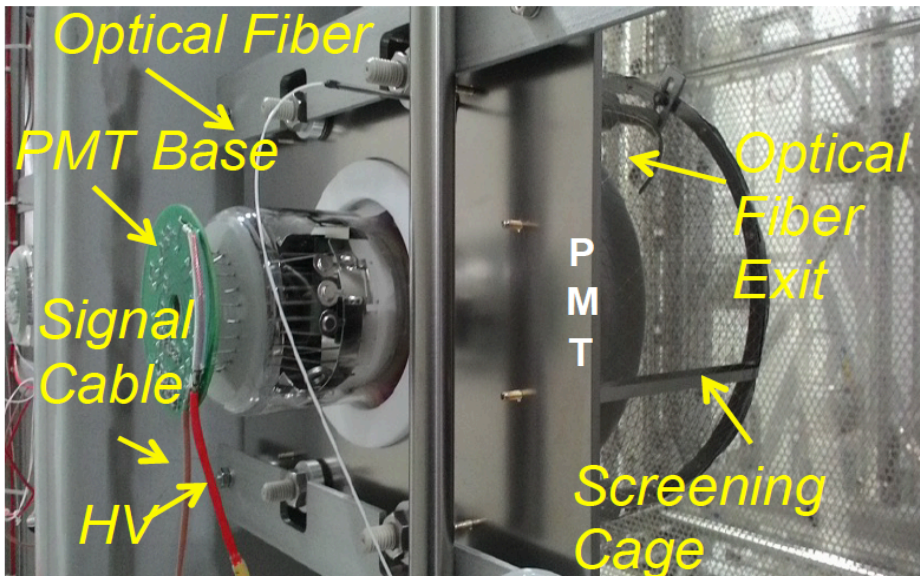
- To face new experimental conditions, T600 underwent an intensive overhauling at CERN in the [Neutrino Platform](#) framework from 2015 to 2017, before shipping to US.
- Several technology developments were introduced *while maintaining the already achieved performance at LNGS run*:
 - new cold vessels, with a purely passive insulation;
 - renovated LAr cryogenics/purification equipment;
 - improvement of the cathode planarity
 - upgrade of the PMT system: higher granularity and ns time resolution
 - new faster, higher-performance read-out electronics;

In shallow depth operation, the light collection system will allow to:

- Precisely identify the **time of occurrence (t_0)** of any ionizing event in the TPC
- Determine the event **rough topology** for selection purposes
- Generate a **trigger signal for read-out**

ICARUS@SBN exploits 90 PMTs per TPC (5% coverage, 15 phe/MeV) that provides:

- Sensitivity to low energy events (~ 100 MeV)
- Good spatial resolution (≤ 50 cm)
- \approx ns timing resolution
- Possible cosmics identification by PMT space/time pattern



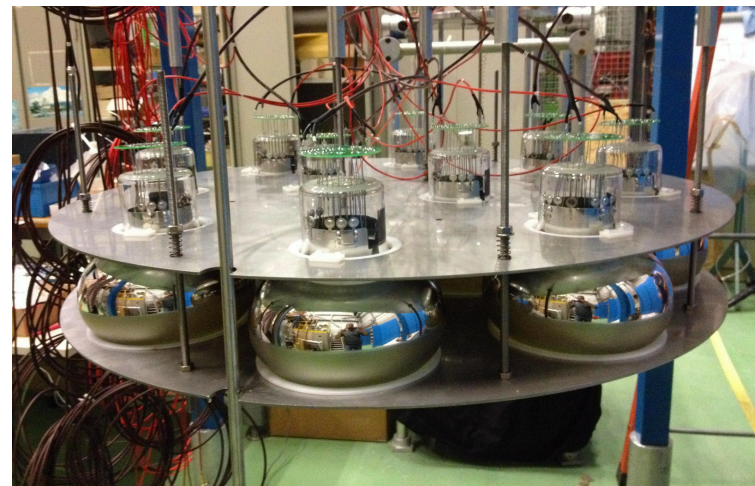
Timing/gain equalization will be performed with laser pulses

$\lambda = 405$ nm

FWHM < 100 ps

peak power ~ 400 mW

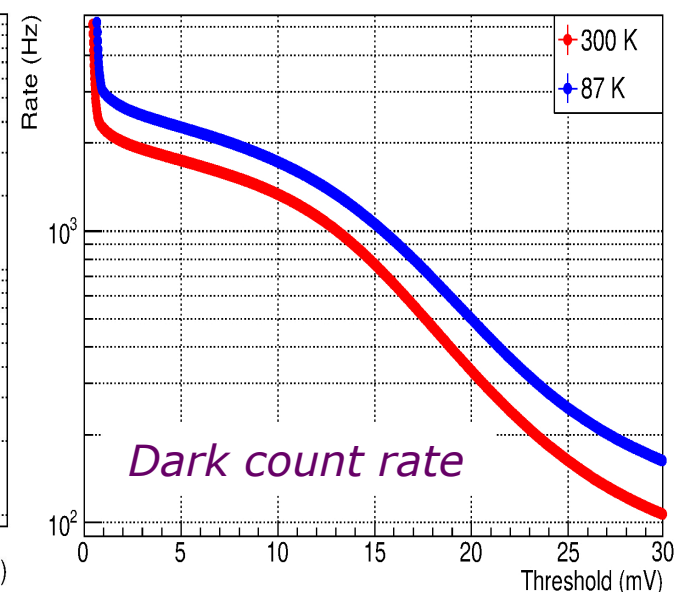
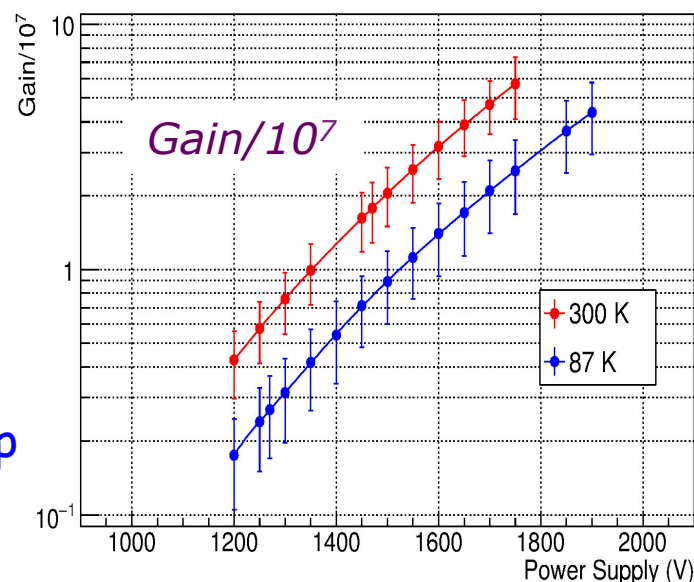
- All PMTs tested at room temperature in a dedicated dark room at CERN
- A subset of 60 PMTs tested immersed in LAr to compare the PMT performance in cryogenic environment to room temperature
- All PMTs illuminated with laser light pulses



PMTs were characterized individually at 300K and 87K:

- Gain
- Dark count rate
- Peak/valley ratio
- Uniformity of photocathode response

The gain reduction in LAr w.r.t. room temperature (up to a factor 10) will be compensated by a ~ 100 V increase in power supply voltage



ICARUS electronics at LNGS was based on:

- “warm” low-noise front-end amplifier
- Multiplexed 10-bit ADC
- Digital VME module for local storage, data compression, trigger information

Performances proved adequate for track reconstruction and muon momentum measurement (Multiple Coulomb Scattering)

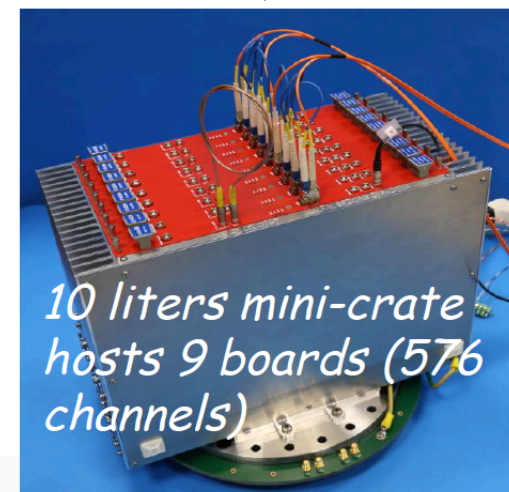
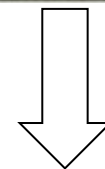
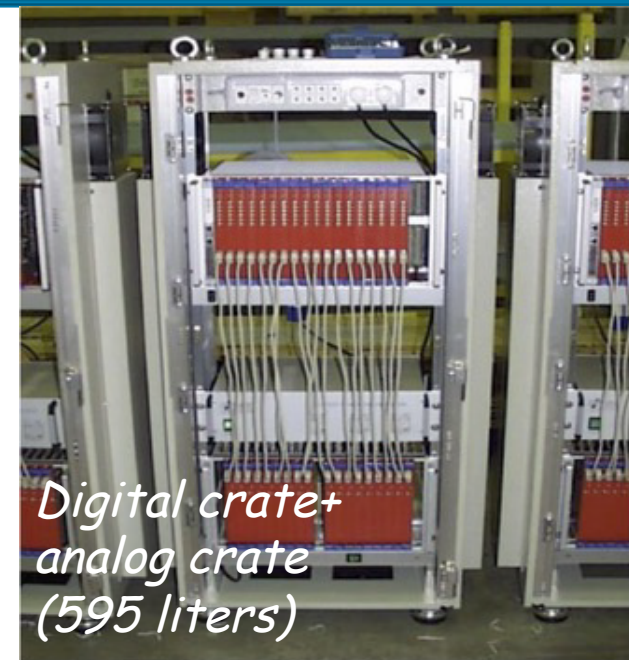
$(S/N)_{m.i.p.} \sim 7$ in Collection, resolution $\sigma_y \sim 0.7$ mm along drift

However, in view of the SBN program, some components were modernized and improved:

- Serial 12-bit ADC, fully synchronous in the whole detector
→ ~20% improvement in muon momentum resolution via MCS
- Serial bus architecture increases transmission rate to Gbit/s
- More compact layout: both analog+digital electronics hosted on a single flange

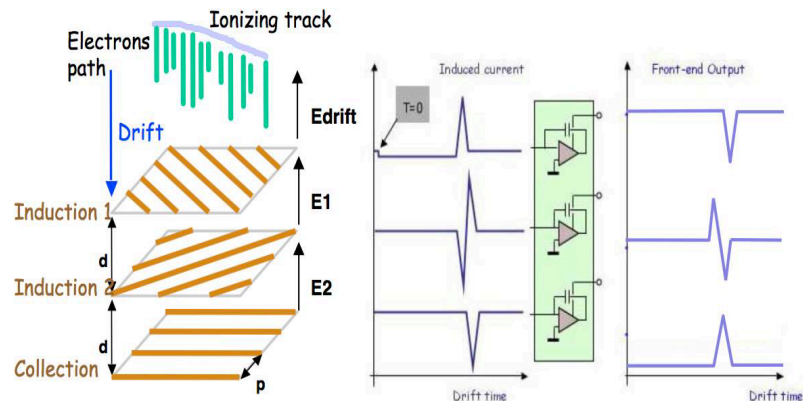
New electronics extensively tested on a 50-liter TPC@CERN

JINST 13 (2018) P12007



The analog front-end shaping was also modified:

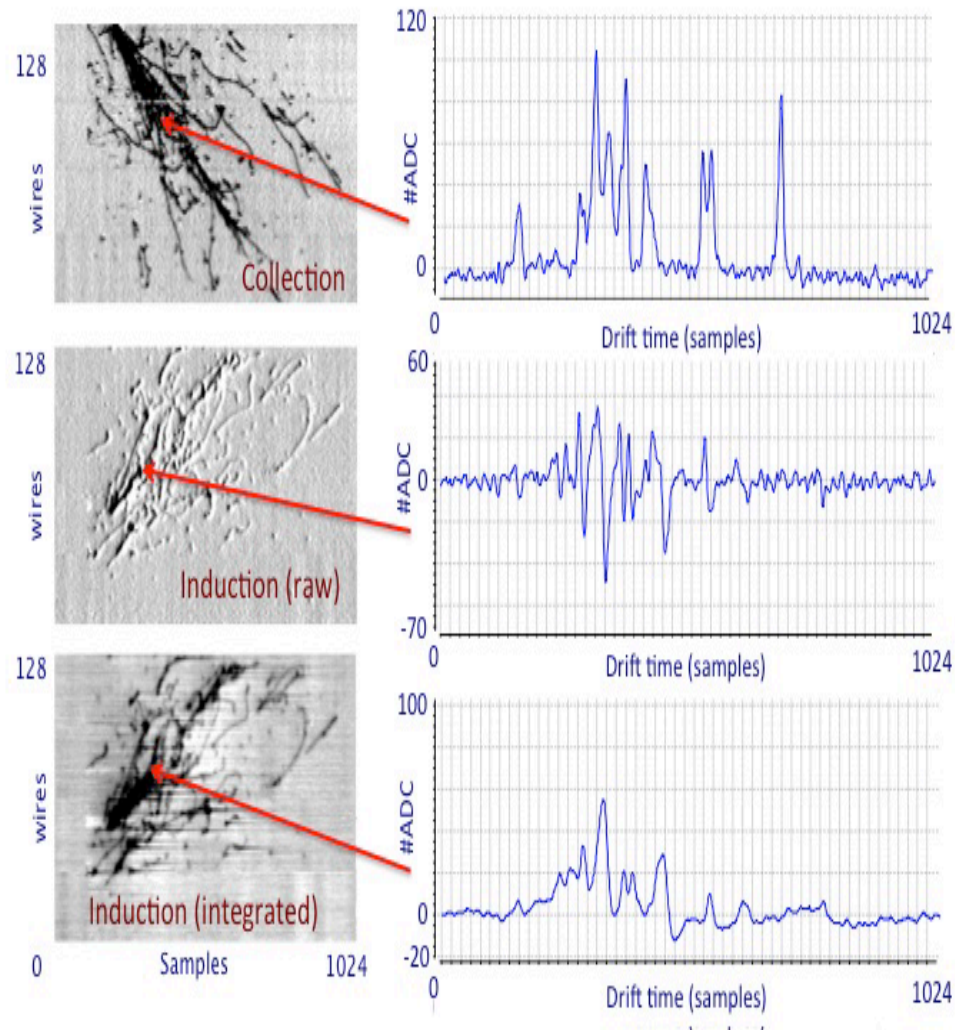
- Lower noise ~ 1200 e- equivalent ($\sim 20\%$ S/N improvement w.r.t. LNGS electronics)
- Shorter shaping time (~ 1.5 μ s for all planes) matching electron transit time between planes
- Drastic reduction of undershoot after large signals: better description of crowded vertex region



In particular, Induction 2 signal keeps bipolar shape (unlike in old front-end):

- Possible off-line integration with suitable LF filtering
- Allows calorimetric measurement in this plane too (with ~ 2 worse resolution than Collection)
- May improve ν_e identification efficiency by $\sim 20\%$

Tests on 50-liter TPC at CERN:

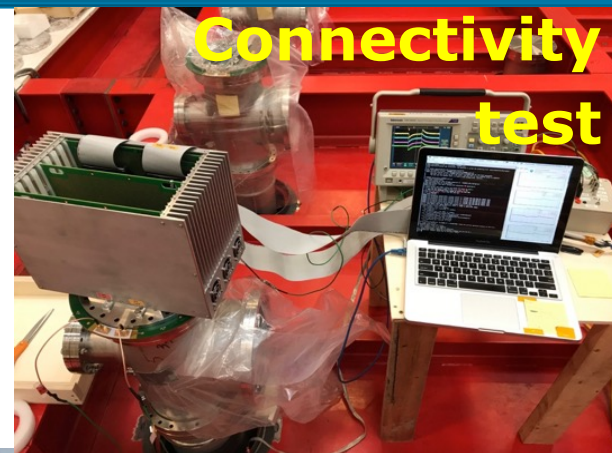


- T600 installed inside warm vessel in August 2018
- Installation of TPC/PMT feedthrough flanges and connectivity tests, completed by February 2019
- Leak tightness tests completed
- Top cold shields and top CRT support installed

Feed-throughs installed on top



Connectivity test



Cryogenics installation



- PMT electronics and trigger being tested at cryogenic temperatures at CERN
- Installation of proximity cryogenics started in February
- Side CRT installation also ongoing
- Director's Review in December 2018 recognized the great progress of SBN

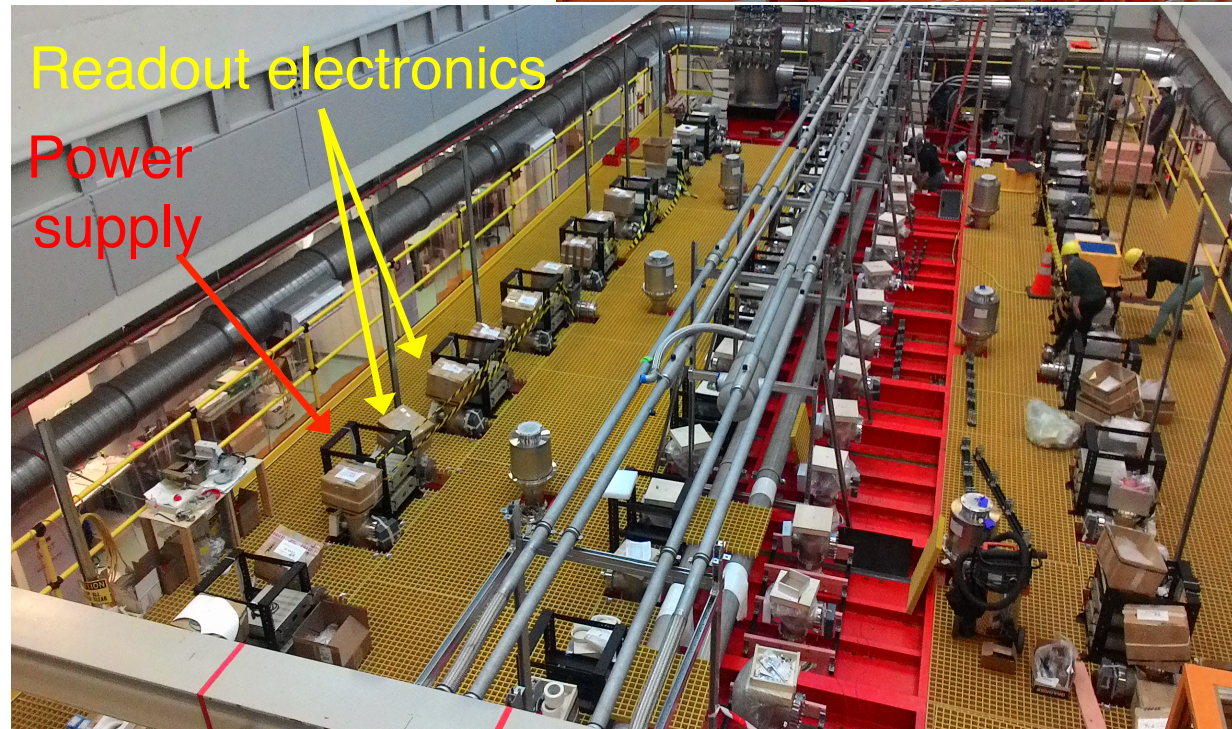
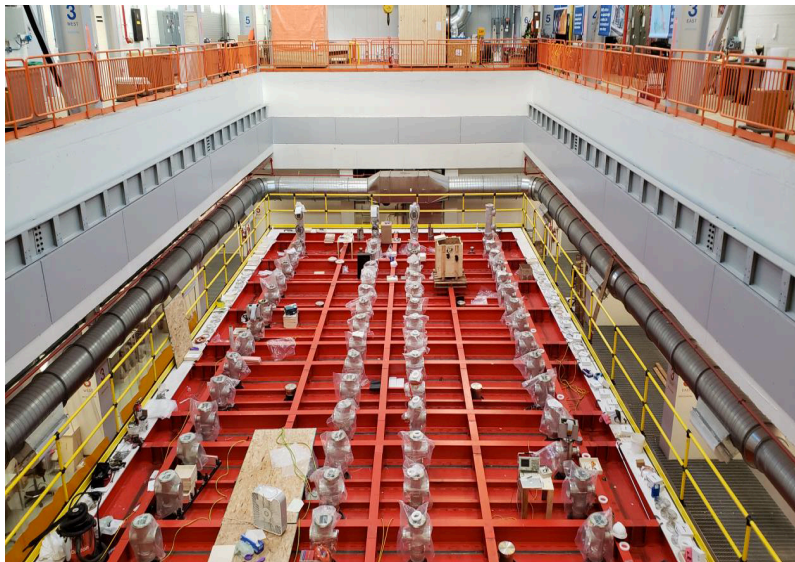


*Placement of
ICARUS
(August 2018)*

*Chimneys installation
(October 2018)*

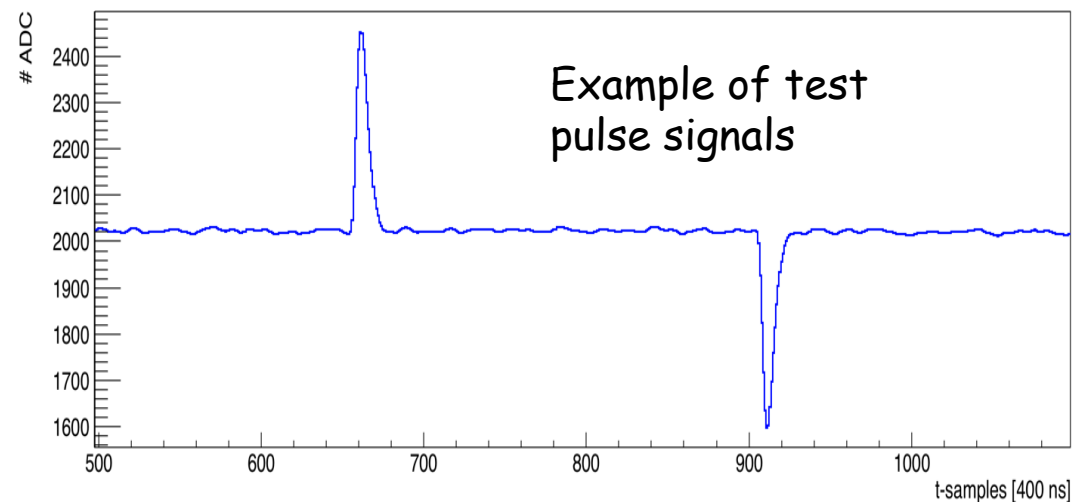
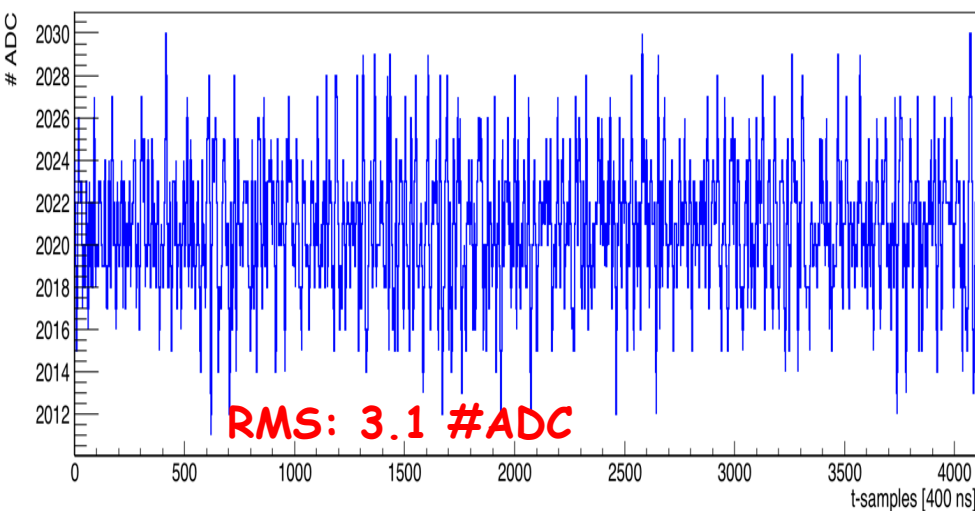


*Feedthrough installation
(December 2018)*



Installing the readout electronics (May 2019)

- All the feedthrough flanges and the mini-crates with the TPC wire read-out electronics (576 channels + optical links) has been installed
- A test of the full readout chain, from wires to DAQ, has been performed in April/May for all the mini-crates
 - Allowed to check readout and set baseline for future noise monitoring
 - Noise measured on random triggers and test pulses
 - Noise RMS ~ 1700 e $^-$, not too far from ~ 1200 e $^-$ measured in CERN 50-liter setup: grounding conditions were still far from optimal



*The successful readout test confirms
the good performance of the full TPC electronics!*

- Surrounds the cryostat with two layers of plastic scintillators: 1100 m²
- Tags incident cosmic or beam-induced muons with high efficiency (95%) giving spatial and timing coordinates of the track entry point
- Reconstructed CRT hits are matched to activity in the LAr volume
- Few ns time resolution allows measuring direction of incoming/outgoing particle propagation via time of flight

TOP:

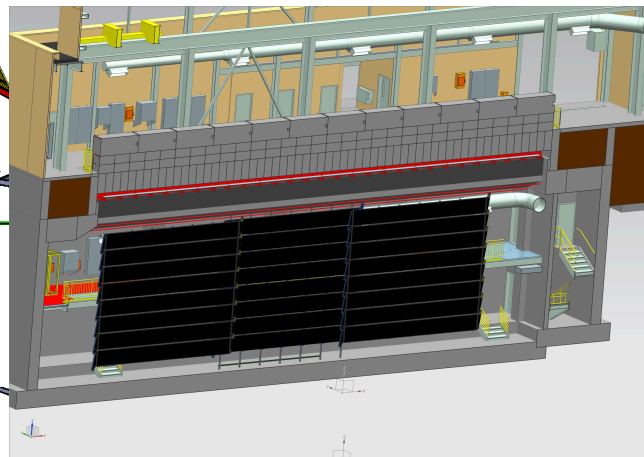
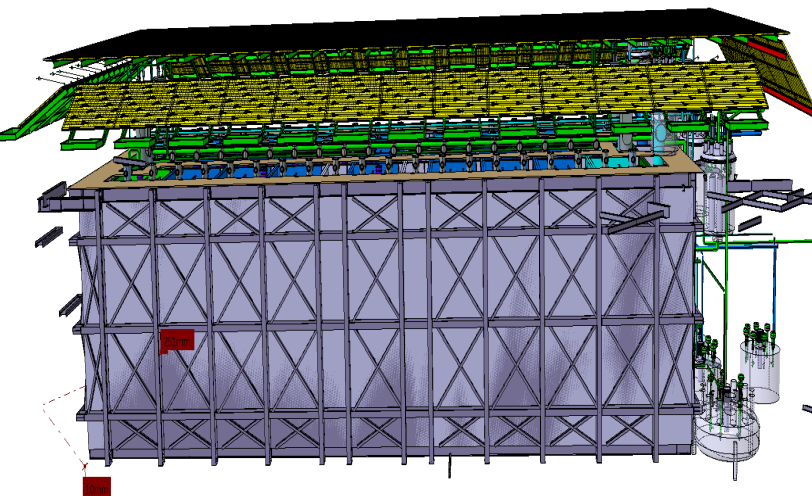
*~ 400 m²: roof+angled parts
Will catch ~80% cosmic ms
2 strip layers (X+Y)
SiPM readout*

SIDES:

*~ 500 m² on four sides
Old MINOS veto modules
parallel strips
SiPM readout*

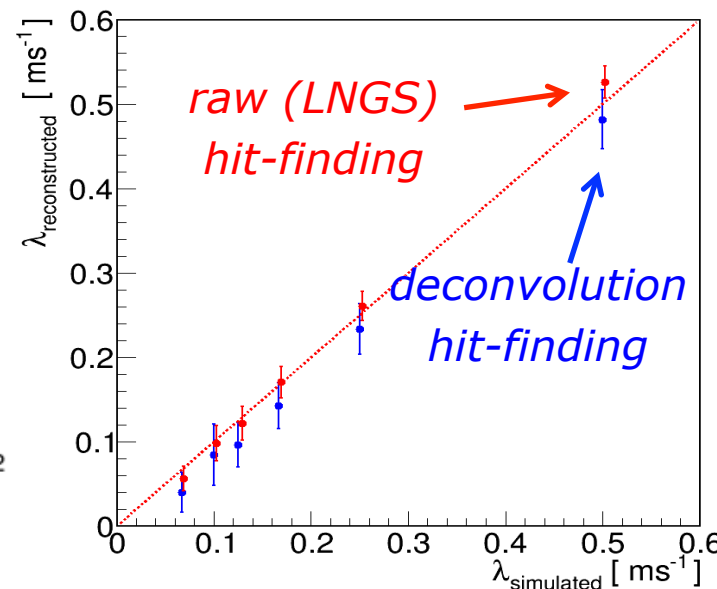
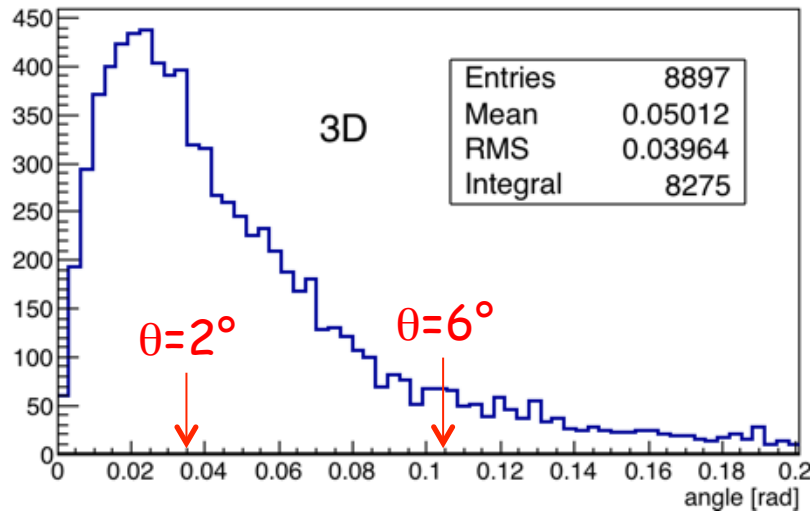
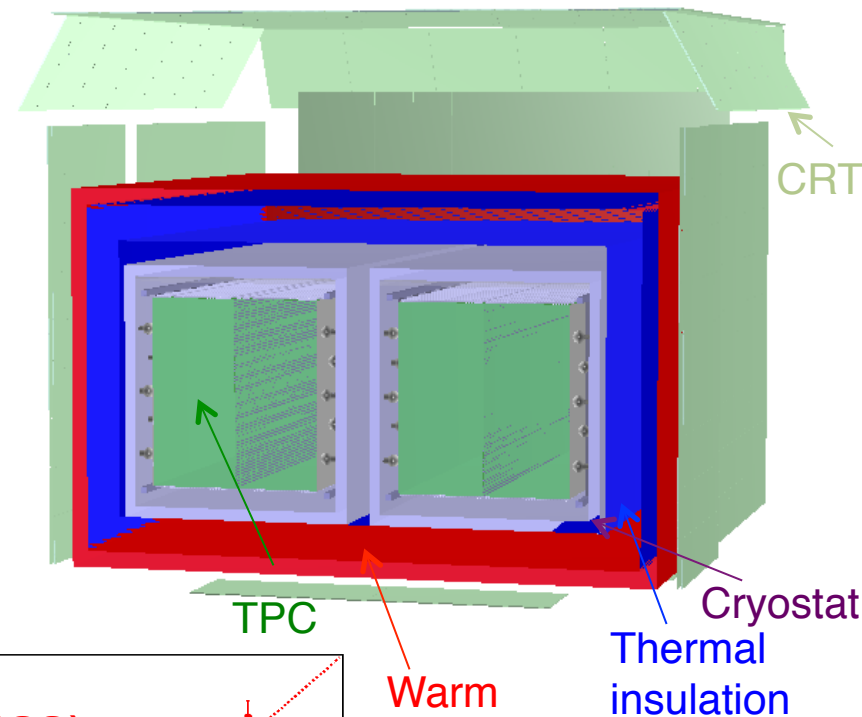
BOTTOM:

*~ 200 m², already installed
D-Chooz veto modules
2 parallel layers
PMT readout*



- A detailed understanding of detector-related systematics and their correlation across near/far detectors **will be crucial** to SBN physics
- **Common reconstruction tools** and oscillation analysis are therefore fundamental
- ICARUS joined the **LArSoft** framework: mutual sharing of algorithms and tools and cross-check between different reconstruction approaches
- Full simulation performed with realistic geometry and signals from all sub-detectors (TPC,PMT,CRT)

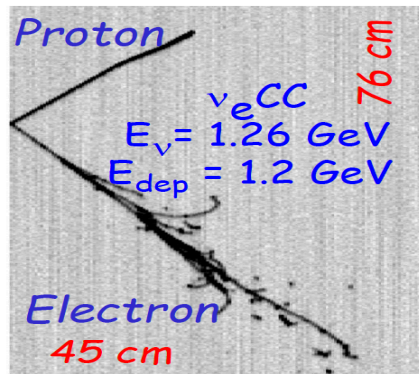
Scheme of detector geometry



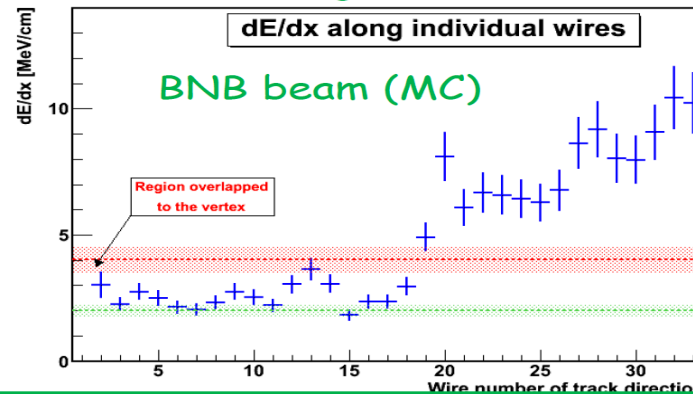
electron lifetime
(reco vs. sim)

angle between sim/reco direction
for EM showers

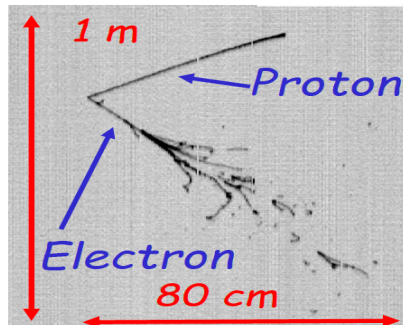
- ICARUS at LNGS was also exposed to atmospheric neutrinos (exposure ~ 0.74 kt year)
first observation of atmospheric neutrinos with a LAr-TPC
- 14 events found ($8 \nu_e \text{ CC} + 6 \nu_\mu \text{ CC}$) vs. 18 expected (taking into account: triggering, filtering and scanning efficiencies)
- Very good benchmark for the forthcoming SBN experiment: similar energy/features.
Useful to develop filtering and reconstruction tools

 ν_e


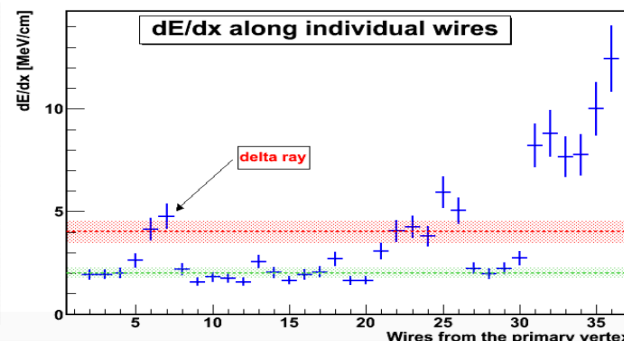
MC SBN $\nu_e \text{CC}$ interactions



- very alike to typical atmospheric $\nu_e \text{CC}$ events @ LNGS (below)
- Similar results hold for $\nu_\mu \text{CC}$ interactions

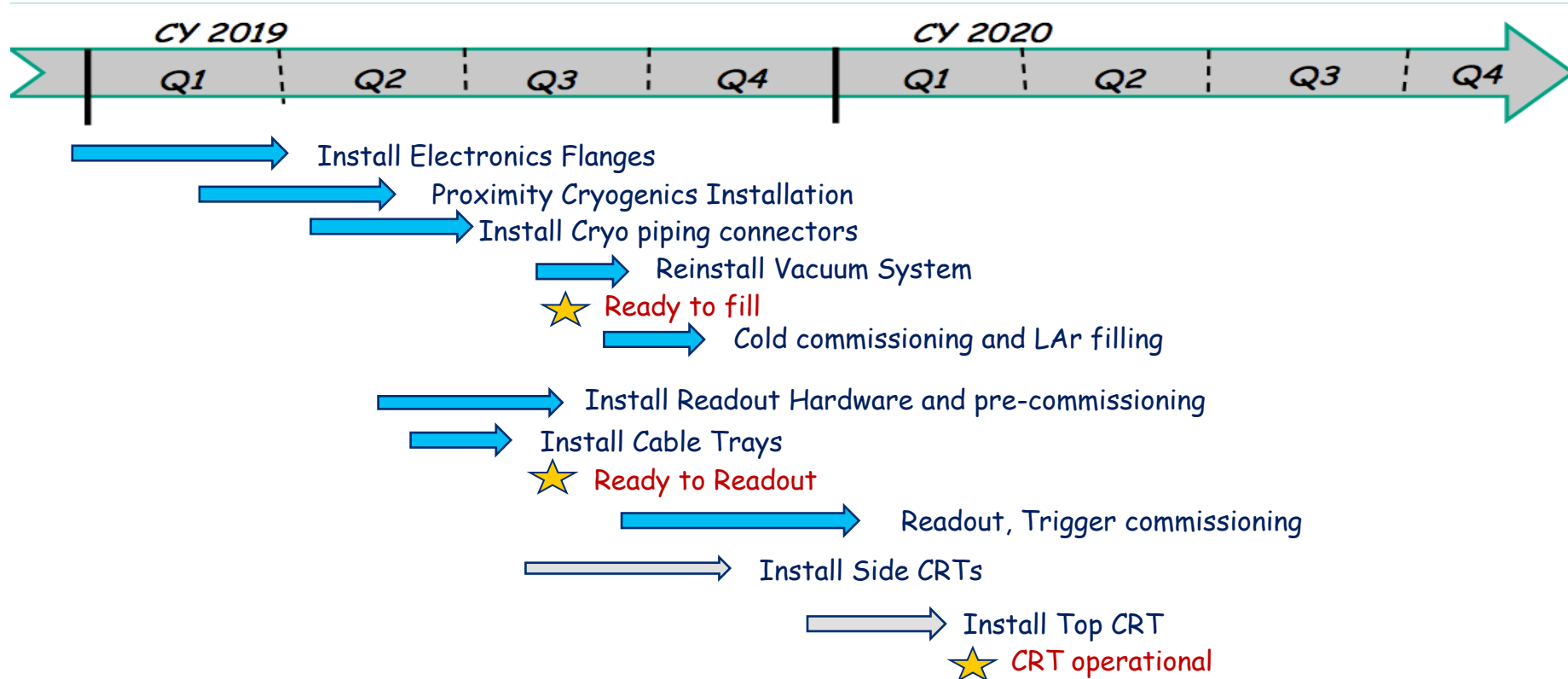
 ν_e


LNGS ν_e ATMOSPHERIC EVENT



- Quasi-elastic $\nu_e \text{CC}$
 $E_{\text{Dep}} = 0.9 \text{ GeV}$.
- Proton identified by dE/dx .
- Electron identified by single m.i.p. before showering

- TPC/trigger electronics installation to be completed and tested by spring 2019
- PMT electronics installation also to be completed during the spring
- ICARUS expected to be ready to fill by August
- After cryogenics commissioning, cool down and filling, ICARUS T600 should be operational in the last quarter of 2019
- Commissioning of CRT, DAQ, trigger and slow controls will follow
- Data-taking for physics is expected by the end of this year



- The ICARUS-T600 successful 3-year run at LNGS proved that LAr-TPC technology is mature and ready for large-scale neutrino physics experiments
- ICARUS searched for LSND-like anomaly via ν_e appearance in the CNGS beam. The negative result constrained significantly the allowed parameter region
- The SBN project at FNAL is expected to clarify the sterile neutrino puzzle, by looking at both appearance and disappearance channels with three LAr-TPCs
- After an extensive refurbishing, ICARUS is being installed as the SBN far detector at FNAL. Data taking expected in 2019, near detector in 2021
- ICARUS will see first neutrinos by the end of this year !



Thank you