



B anomalies at LHCb

Marta Calvi

Università di Milano Bicocca and INFN, Milano
on behalf of the LHCb Collaboration

FCCP, Capri Italy, 2017-09-07

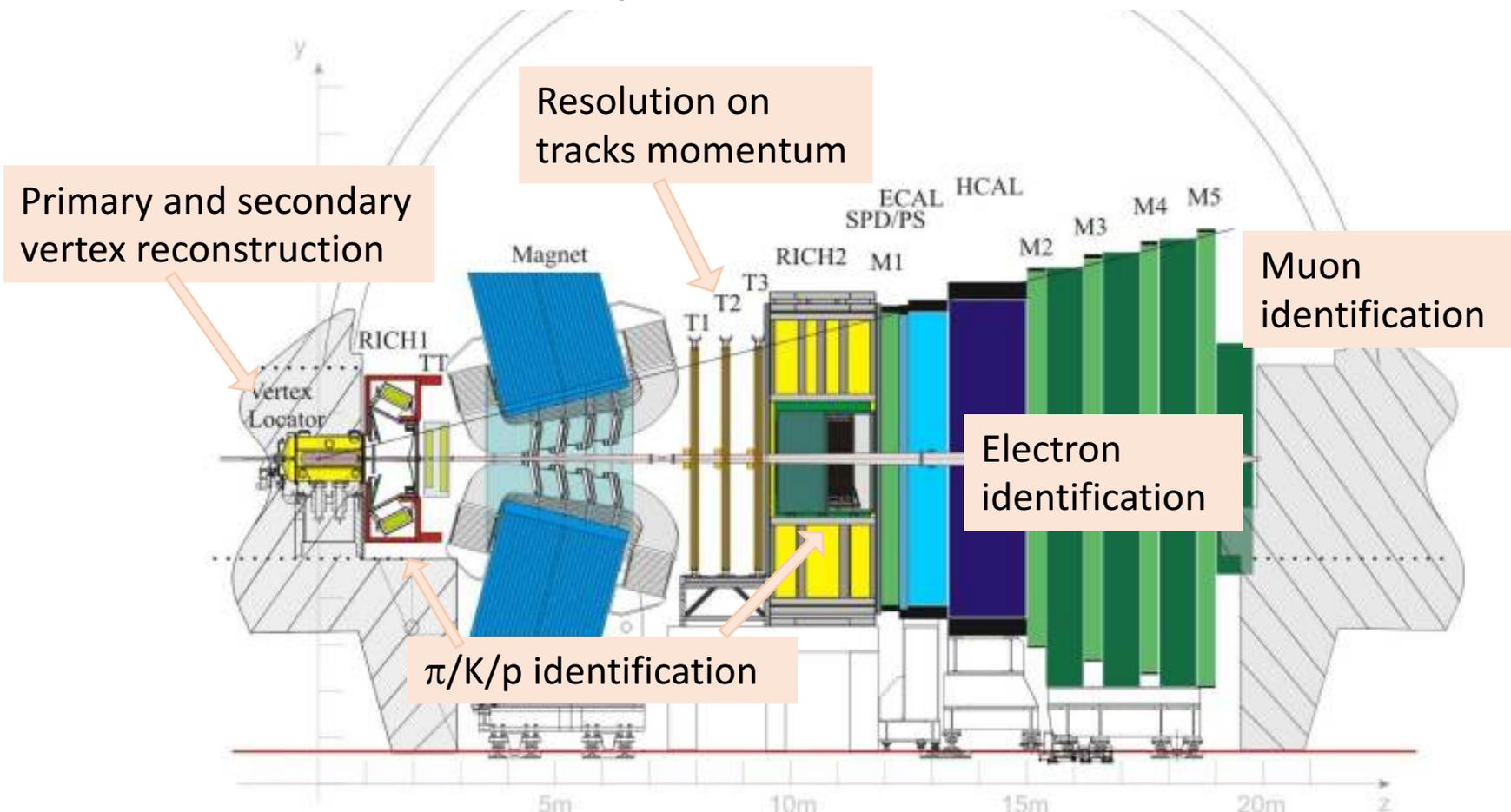
Anomalies: what's about?

- Flavour physics is a low-energy test bench for indirect observation of new interactions or particles up to energy scales higher than current LHC reach.
- In the Standard Model, lepton flavour universality (LFU) is an accidental symmetry, broken only by the Yukawa interactions.
- New Physics can couple differently to different lepton families.
- Recently few deviations from SM emerged in semileptonic B decays
 - $b \rightarrow c$ transitions, charged current tree level in the SM, high rates $O(\%)$
 - $b \rightarrow s$ transitions, FCNC loop, GIM and CKM suppressed in the SM, rates $O(10^{-6})$
- Main test variables are ratios of decay rates that minimize both theoretical and experimental uncertainties

Outline

- Introduction
- Tests of Lepton Flavour Universality in $b \rightarrow c \tau \nu$ decays
 - $R(D^*)$ with $\tau \rightarrow \mu \nu \nu$
 - $R(D^*)$ with $\tau \rightarrow \pi \pi \pi \nu$ **new**
- Tests of Lepton Flavour Universality in $b \rightarrow s l^+ l^-$ decays
 - $R(K^*)$ **new**
 - $R(K)$
- Other measurements in $b \rightarrow s l^+ l^-$
- Conclusion

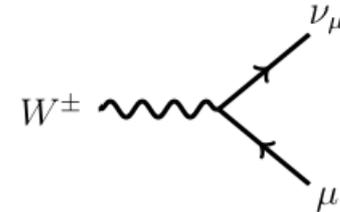
- Forward spectrometer $2 < \eta < 5$ at LHC, with excellent performance on



- 3 fb^{-1} pp collision collected at $\sqrt{s}=7,8 \text{ TeV}$ in Run1 \rightarrow used for this talk.
 $> 2.8 \text{ fb}^{-1}$ already collected at $\sqrt{s}=13 \text{ TeV}$ in Run2.

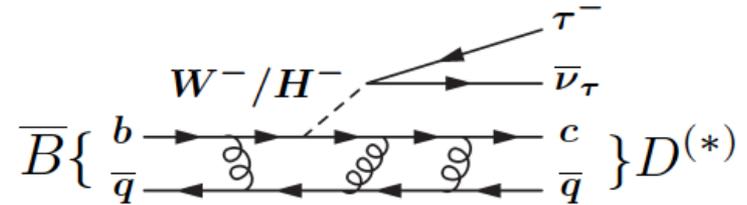
Lepton Flavour Universality

- Semileptonic decays of b hadrons, are mediated by a W boson with universal coupling to leptons.
- Differences for decays with electrons, muons or taus should originate only from their different masses. Any further deviation is a key signature of physics processes beyond the SM.
- Measurements of the Z and W couplings to leptons, mainly constrained by LEP and SLC experiments, are all compatible with LFU.
 - Except for a 2.8σ difference between the measurement of the branching fraction of the $W \rightarrow \tau^+ \nu_\tau$ decay with respect to $W \rightarrow \mu^+ \nu_\mu$ and $W \rightarrow e^+ \nu_e$ decays.
- LFU can be violated in many SM extensions with mass-dependent couplings, such as models with an extended Higgs sector, or leptoquarks.



LFU in $R(D^{(*)})$

$$R(D^{(*)}) = \frac{\mathcal{B}(B \rightarrow D^{(*)} \tau \nu)}{\mathcal{B}(B \rightarrow D^{(*)} \ell \nu)}$$



- The SM prediction for $R(D^*)$ has an uncertainty $O(\%)$, uncertainties due to hadronic effects cancel to a large extent.
- Deviations from SM predictions observed in some measurements at B factories.

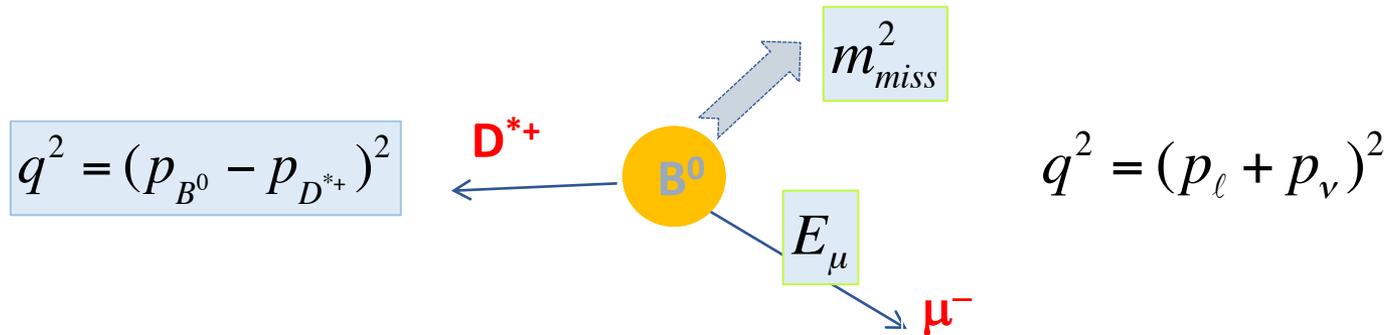
At LHCb

- B momentum unknown in production from pp collisions (mainly $gg \rightarrow b\bar{b}$) at LHC
- Missing momentum of neutrinos not measured.
- Large statistics from high $pp \rightarrow b\bar{b}$ cross section at LHC.
- B direction can be determined by vector from primary to B vertex.

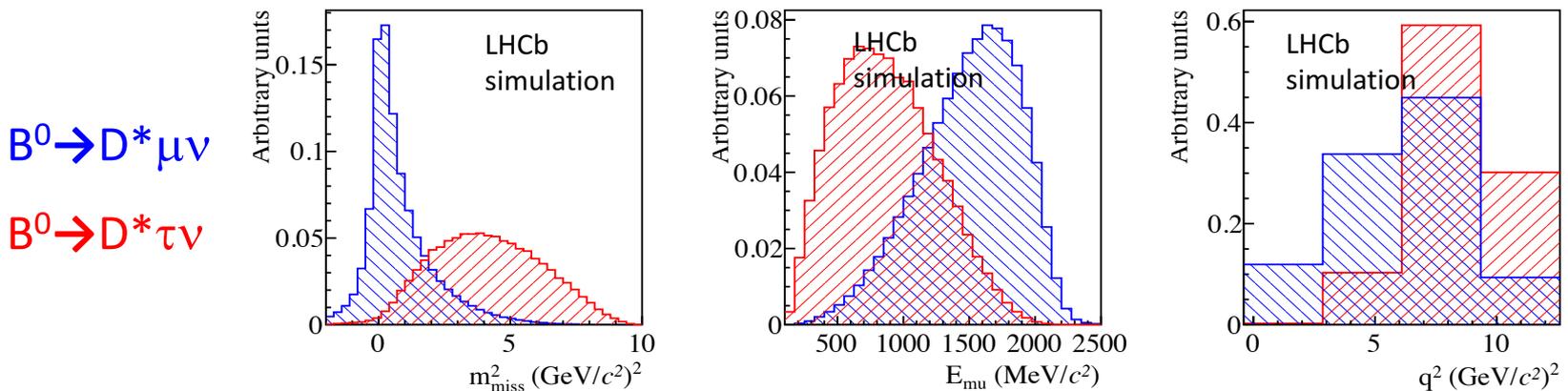
R(D^{*}) from $\tau^+ \rightarrow \mu^+ \nu_\mu \nu_\tau$

PRL 115, 11804 (2015)

- $B^0 \rightarrow D^* \tau \nu$ separated from $B^0 \rightarrow D^* \mu \nu$ exploiting differences in 3 key kinematic variables computed in the B rest frame.



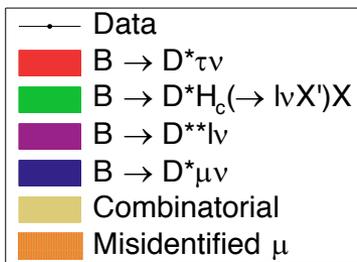
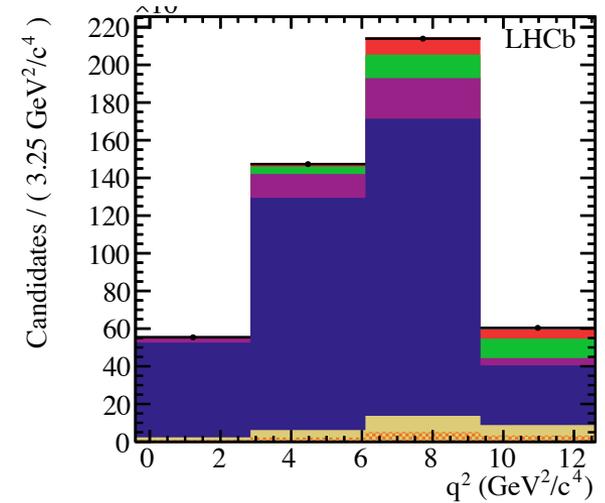
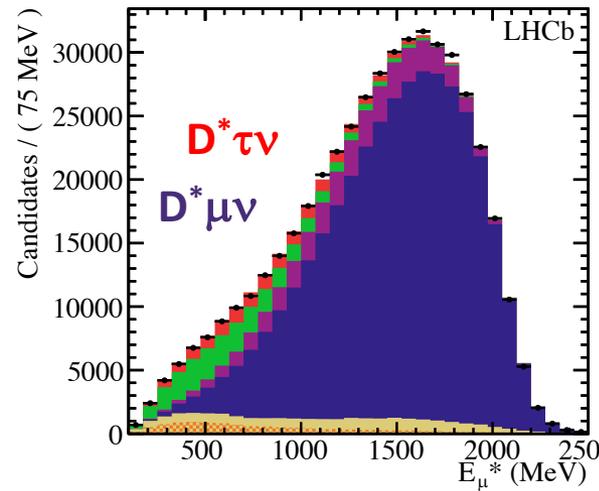
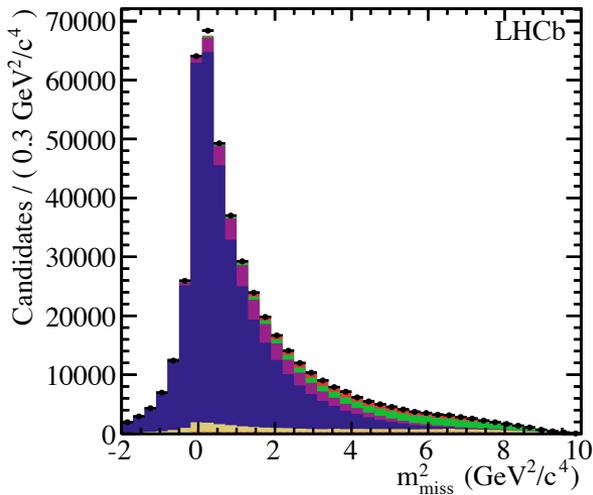
- B^0 boost along beam direction approximated with boost of the visible system $\sim 18\%$ resolution sufficient for good separation.



$R(D^*)$ from $\tau \rightarrow \mu \nu \nu$

PRL 115, 11804 (2015)

- ML fit to m_{miss}^2 , E_μ , q^2 distributions with 3D templates representing $B^0 \rightarrow D^* \tau \nu$, $B^0 \rightarrow D^* \mu \nu$ and background sources.
 - Templates derived from simulation and data, validated with separate fits on data control samples.



Background from

- semileptonic decays with excited charm states “ D^{**} ” $\sim 12\%$
- Double charm decays $B \rightarrow D^{*+} H_c X$; $H_c \rightarrow \mu^- \nu X'$ $\sim 6-8\%$
- Combinatorial and misidentified hadrons

$R(D^*)$ from $\tau \rightarrow \mu \nu \nu$

PRL 115, 11804 (2015)

$$R(D^*) = 0.336 \pm 0.027 \text{ (stat)} \pm 0.030 \text{ (syst)}$$

- 2.1 σ higher than SM $R(D^*)^{\text{SM}} = 0.252 \pm 0.003$

Model uncertainties	Absolute size ($\times 10^{-2}$)
Simulated sample size	2.0
Misidentified μ template shape	1.6
$\bar{B}^0 \rightarrow D^{*+}(\tau^-/\mu^-)\bar{\nu}$ form factors	0.6
$\bar{B} \rightarrow D^{*+}H_c(\rightarrow \mu\nu X')X$ shape corrections	0.5
$\mathcal{B}(\bar{B} \rightarrow D^{**}\tau^-\bar{\nu}_\tau)/\mathcal{B}(\bar{B} \rightarrow D^{**}\mu^-\bar{\nu}_\mu)$	0.5
$\bar{B} \rightarrow D^{**}(\rightarrow D^*\pi\pi)\mu\nu$ shape corrections	0.4
Corrections to simulation	0.4
Combinatorial background shape	0.3
$\bar{B} \rightarrow D^{**}(\rightarrow D^{*+}\pi)\mu^-\bar{\nu}_\mu$ form factors	0.3
$\bar{B} \rightarrow D^{*+}(D_s \rightarrow \tau\nu)X$ fraction	0.1
Total model uncertainty	2.8
Normalization uncertainties	Absolute size ($\times 10^{-2}$)
Simulated sample size	0.6
Hardware trigger efficiency	0.6
Particle identification efficiencies	0.3
Form-factors	0.2
$\mathcal{B}(\tau^- \rightarrow \mu^-\bar{\nu}_\mu\nu_\tau)$	< 0.1
Total normalization uncertainty	0.9
Total systematic uncertainty	3.0

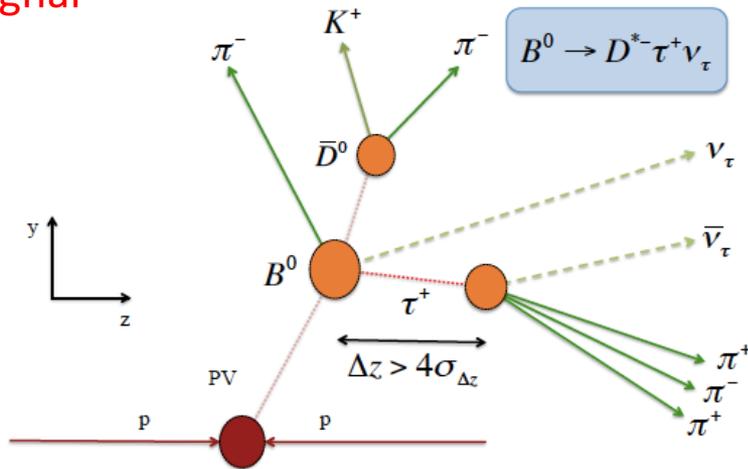
Background
modelling;
depends on control
sample size

R(D^*) from $\tau^+ \rightarrow \pi^+ \pi^- \pi^+ (\pi^0)$

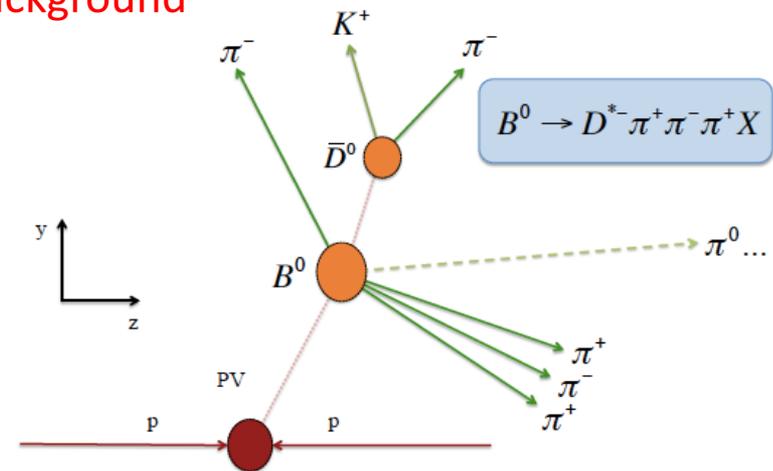
arXiv:1708.08856
submitted to PRL

- 3-prong hadronic tau decays, data sample complementary to the $\tau \rightarrow \mu \nu \nu$ sample.

Signal



Background



- Zero background from semileptonic decays.
- Suppress hadronic background exploiting tau lifetime and structure of tau decay
 - $O(10^{-3})$ reduction of $D^* \pi \pi \pi X$ requiring minimum tau flight distance.
 - Train BDT against double charm $B \rightarrow D^* D_{(s)} X$ decays.
 - Extensive studies performed in data control samples.

R(D^{*}) from $\tau^+ \rightarrow \pi^+ \pi^- \pi^+ (\pi^0)$

arXiv:1708.08856
submitted to PRL

- Experimental systematic uncertainty reduced normalizing to a decay with a very similar final state

$$\mathcal{K}(D^{*-}) \equiv \frac{\mathcal{B}(B^0 \rightarrow D^{*-} \tau^+ \nu_\tau)}{\mathcal{B}(B^0 \rightarrow D^{*-} 3\pi)} = \frac{N_{\text{sig}}}{N_{\text{norm}}} \frac{\varepsilon_{\text{norm}}}{\varepsilon_{\text{sig}}} \frac{1}{\mathcal{B}(\tau^+ \rightarrow 3\pi(\pi^0) \bar{\nu}_\tau)}$$

- Derive R(D^{*}) by dividing by well known semimuonic $B^0 \rightarrow D^{*-} \mu^+ \nu_\mu$ branching fraction

$$\mathcal{R}(D^{*-}) = \mathcal{K}(D^{*-}) \times \mathcal{B}(B^0 \rightarrow D^{*-} 3\pi) / \mathcal{B}(B^0 \rightarrow D^{*-} \mu^+ \nu_\mu)$$

- Measure

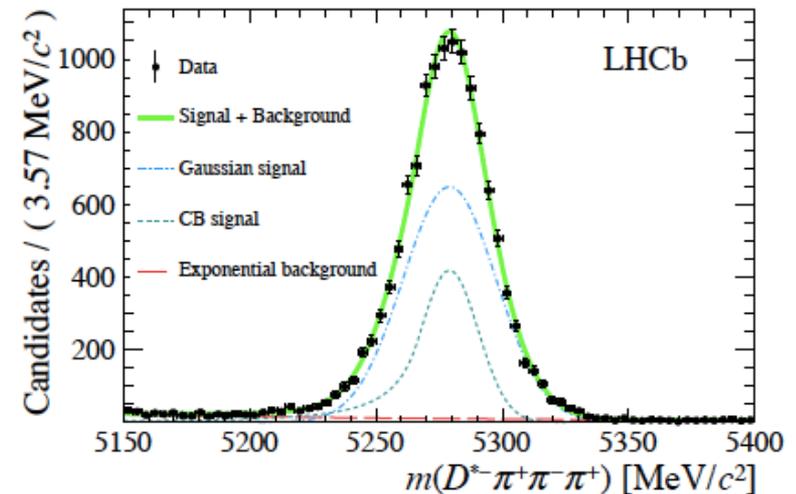
$B^0 \rightarrow D^{*-} \tau \nu$ and $B^0 \rightarrow D^{*-} \pi \pi \pi$ event yields.

- External inputs

$$\mathcal{B}(B^0 \rightarrow D^{*-} 3\pi) = (7.21 \pm 0.29) \times 10^{-3}$$

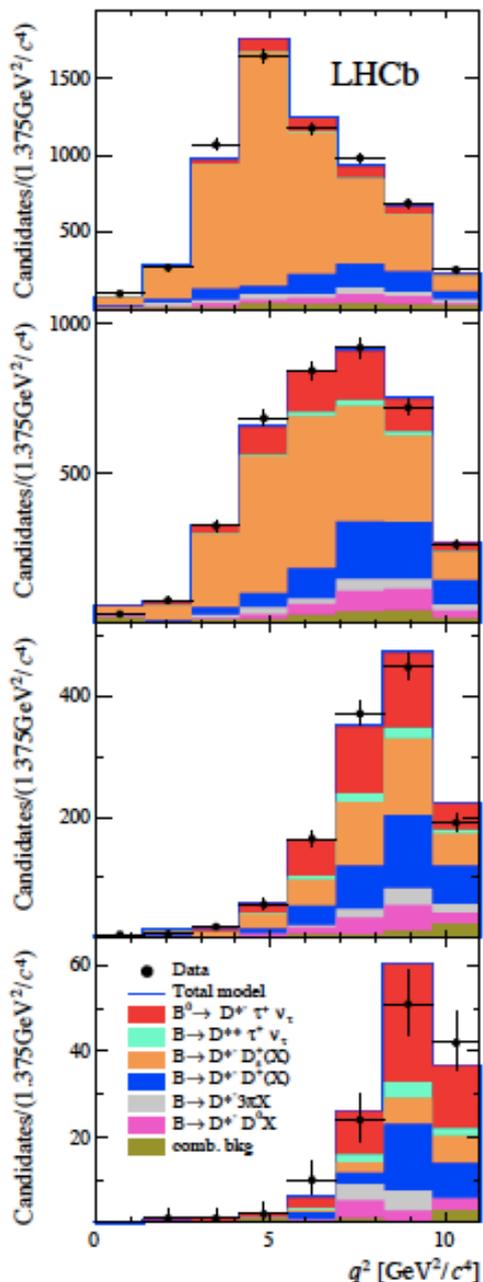
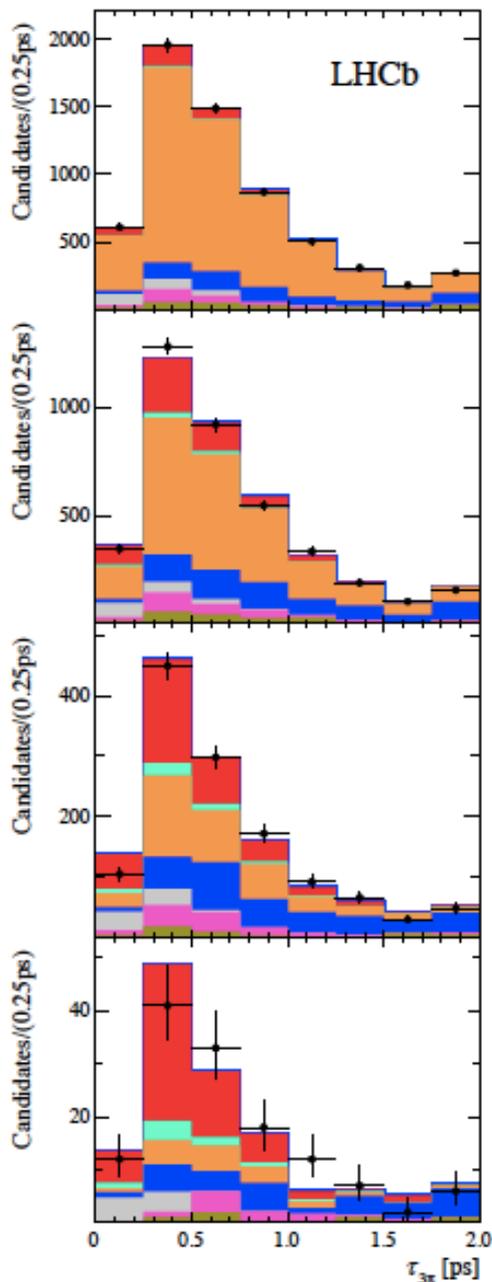
$$\mathcal{B}(B^0 \rightarrow D^{*-} \mu^+ \nu_\mu) = (4.88 \pm 0.10) \times 10^{-2}$$

(PDG, HFLAV)



$$N_{\text{norm}} = 17\,660 \pm 160$$

BDT output



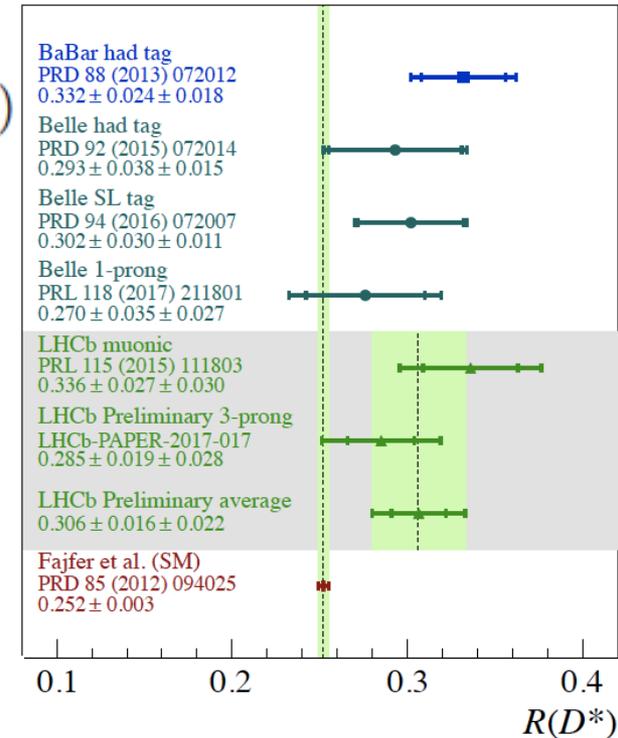
- 3D templates representing $B^0 \rightarrow D^* \tau \nu$, and background sources.
- ML fit to q^2 and τ decay-time distributions in 4 bins of the BDT output.

R(D^{*}) from $\tau^+ \rightarrow \pi^+ \pi^- \pi^+ (\pi^0)$

arXiv:1708.08856
submitted to PRL

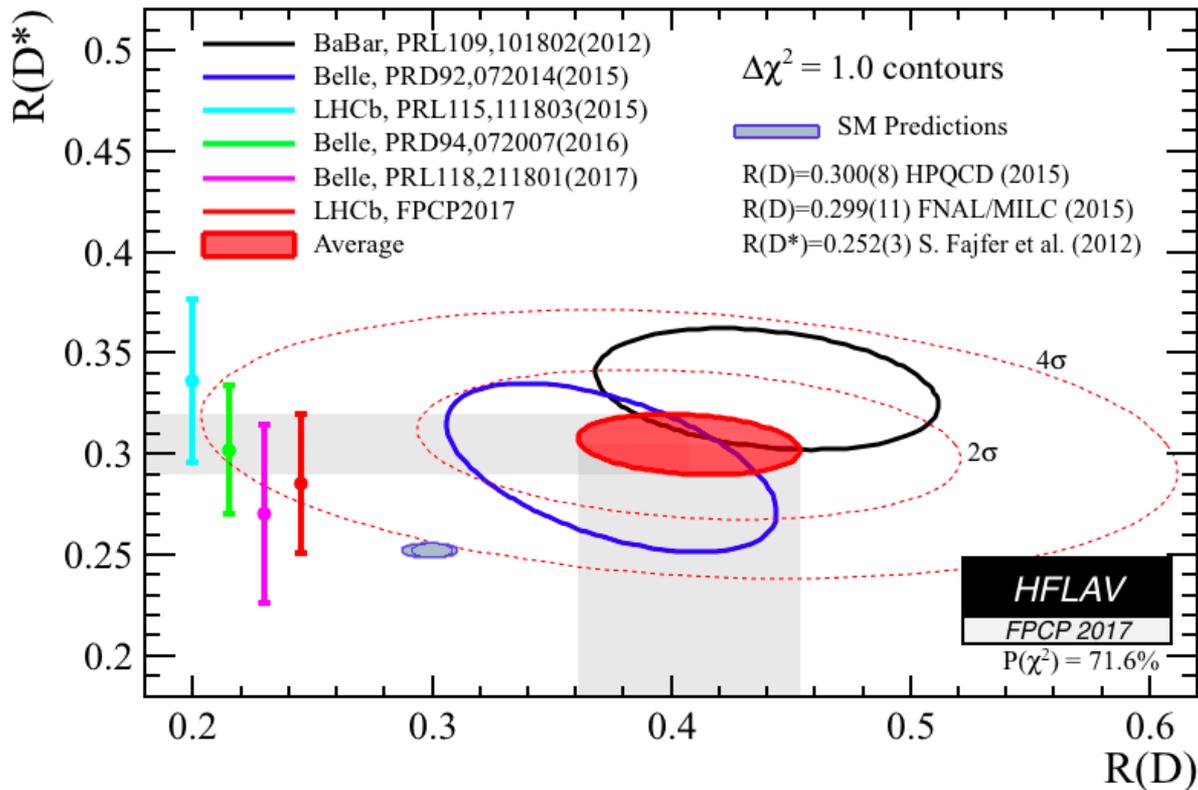
$$\mathcal{R}(D^{*-}) = 0.283 \pm 0.019 \text{ (stat)} \pm 0.025 \text{ (syst)} \pm 0.013 \text{ (ext)}$$

- Compatible with the muonic results, with previous measurements and with SM expectation.
- **LHCb combination [FPCP 2017]**
 $\mathcal{R}(D^*) = 0.306 \pm 0.016 \pm 0.022$
2.1 σ above the SM

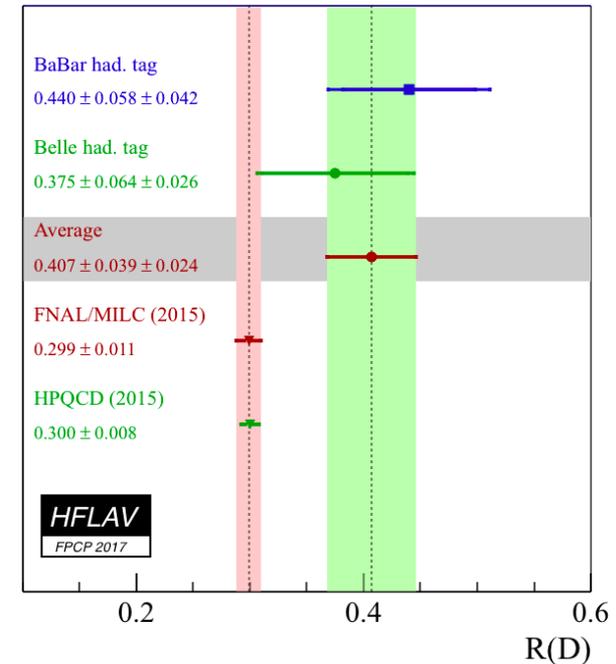


Source Systematics in $\mathcal{R}(D^*)$ from $\tau \rightarrow \pi\pi\pi$	$\delta\mathcal{R}(D^{*-})/\mathcal{R}(D^{*-})[\%]$
Simulated sample size	4.7
Empty bins in templates	1.3
Signal decay model	1.8
$D^{**}\tau\nu$ and $D_s^{**}\tau\nu$ feeddowns	2.7
$D_s^+ \rightarrow 3\pi X$ decay model	2.5
$B \rightarrow D^{*-}D_s^+X$, $B \rightarrow D^{*-}D^+X$, $B \rightarrow D^{*-}D^0X$ backgrounds	3.9
Combinatorial background	0.7
$B \rightarrow D^{*-}3\pi X$ background	2.8
Efficiency ratio	3.9
Total uncertainty	8.9

R(D*) and R(D)



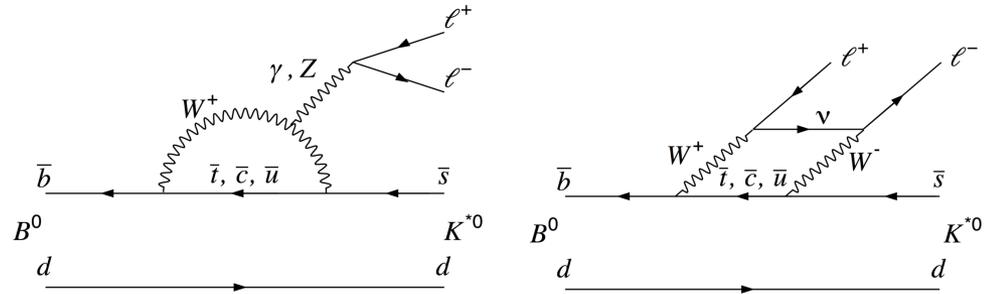
MesUREMENTS OF R(D)



$R(D)$ and $R(D^*)$ exceed the SM predictions by 2.3σ and 3.4σ respectively. The combined difference with the SM predictions corresponds to about 4.1σ .

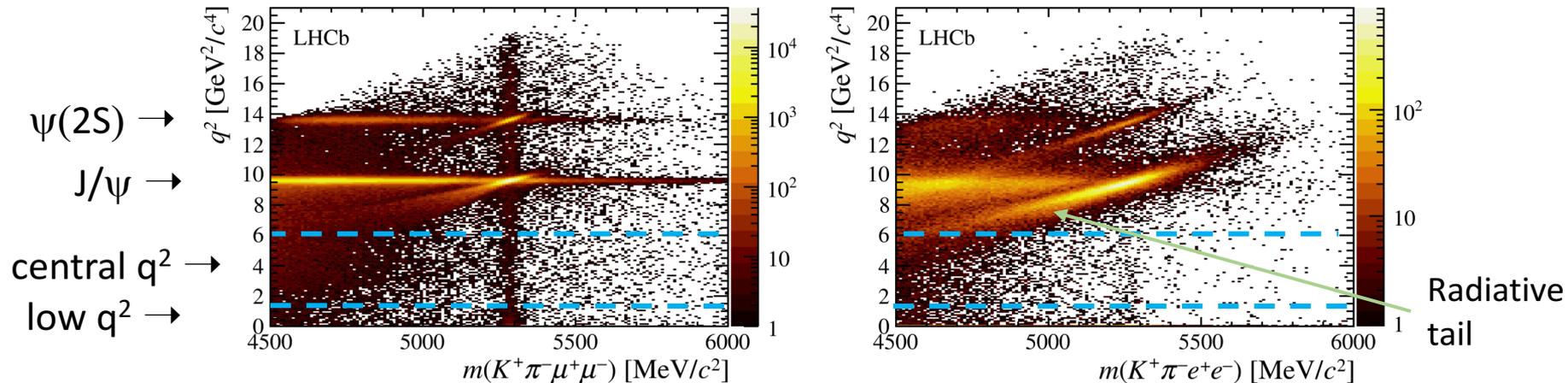
LFU in $R(K^{(*)})$

$$R_{K^{(*)}} = \frac{\mathcal{B}(B \rightarrow K^{(*)} \mu^+ \mu^-)}{\mathcal{B}(B \rightarrow K^{(*)} e^+ e^-)}$$



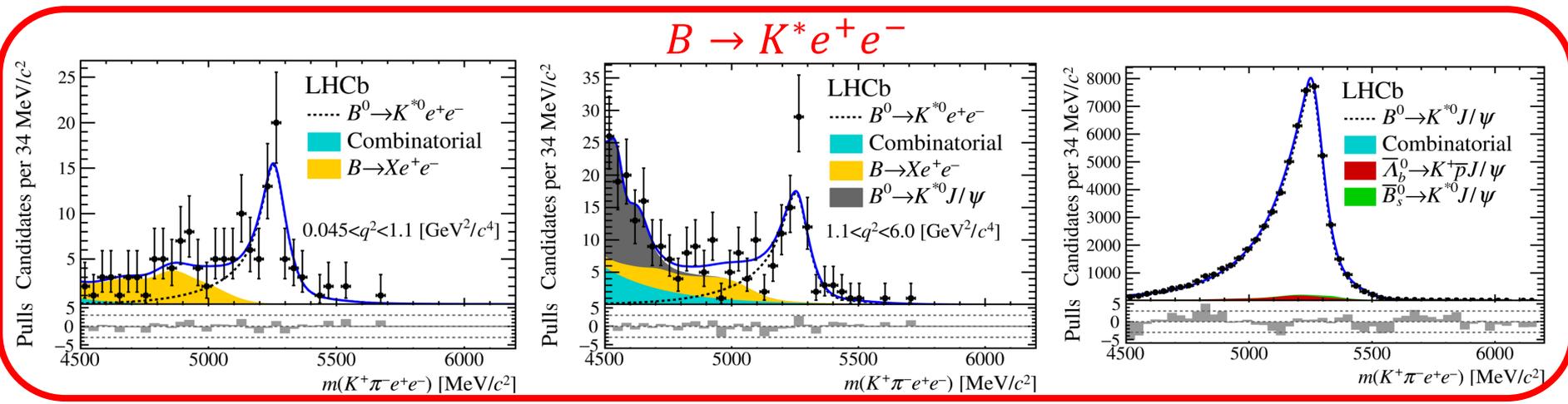
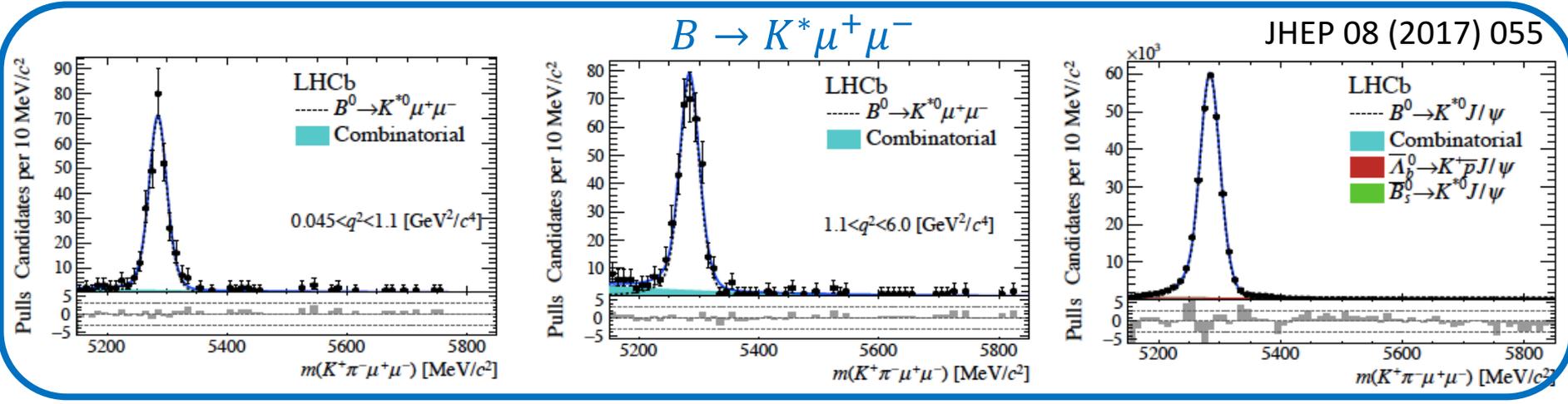
- Measurements performed in different regions of di-lepton invariant mass q^2 , outside charmonium resonances regions, sensitive to different NP contributions.
- Predicted to be close to unity in the SM with uncertainty $O(10^{-3})$, QED effects $O(\%)$ It is not affected by QCD effects (ex: charm loops)
- At e^+e^- colliders operating at the $Y(4S)$ resonance it was measured to be consistent with unity with a precision of 20-50% .
- At LHCb
 - Large statistics from high $pp \rightarrow b\bar{b}$ cross section at LHC
 - Excellent muon reconstruction, lower efficiency and resolution on electrons (bremsstrahlung).

- Electron sample maximized recovering as many as possible photons ($0\gamma, 1\gamma, \geq 2\gamma$)
- Degraded momentum and mass resolution for electrons, reconstructed B mass shifts towards lower values.



- B mass fit in two q^2 regions $[0.045-1.1]$ and $[1.1-6]$ GeV^2/c^4
- Systematic uncertainty due to different experimental efficiencies in reconstruction of muon and electron reduced by measuring a double ratio with the resonant mode

$$R_{K^{*0}} = \frac{\mathcal{B}(B^0 \rightarrow K^{*0} \mu^+ \mu^-)}{\mathcal{B}(B^0 \rightarrow K^{*0} J/\psi (\rightarrow \mu^+ \mu^-))} \bigg/ \frac{\mathcal{B}(B^0 \rightarrow K^{*0} e^+ e^-)}{\mathcal{B}(B^0 \rightarrow K^{*0} J/\psi (\rightarrow e^+ e^-))}$$



Low q^2

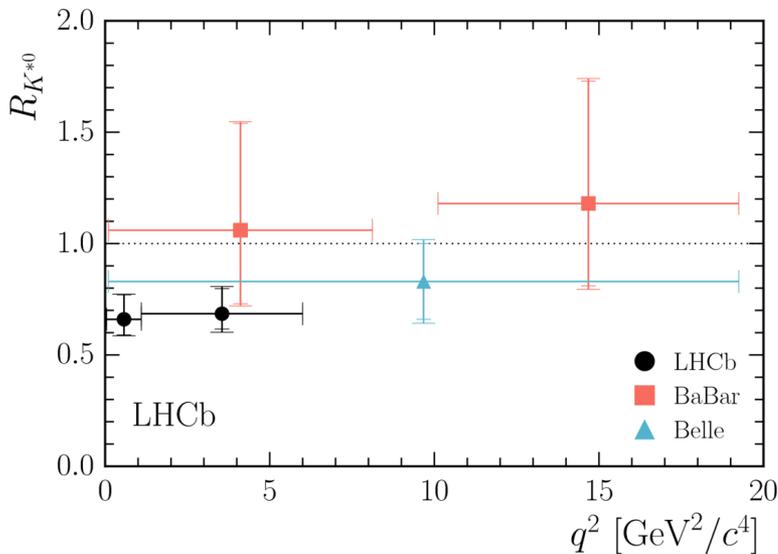
central q^2

J/ψ

- Stringent test: ratio of branching fractions of the muon and electron resonant channels is measured to be $1.043 \pm 0.006 \pm 0.045$, consistent with unity.

$$R_{K^{*0}} = \begin{cases} 0.66 \pm_{-0.07}^{+0.11} (\text{stat}) \pm 0.03 (\text{syst}) & \text{for } 0.045 < q^2 < 1.1 \text{ GeV}^2/c^4 \\ 0.69 \pm_{-0.07}^{+0.11} (\text{stat}) \pm 0.05 (\text{syst}) & \text{for } 1.1 < q^2 < 6.0 \text{ GeV}^2/c^4 \end{cases}$$

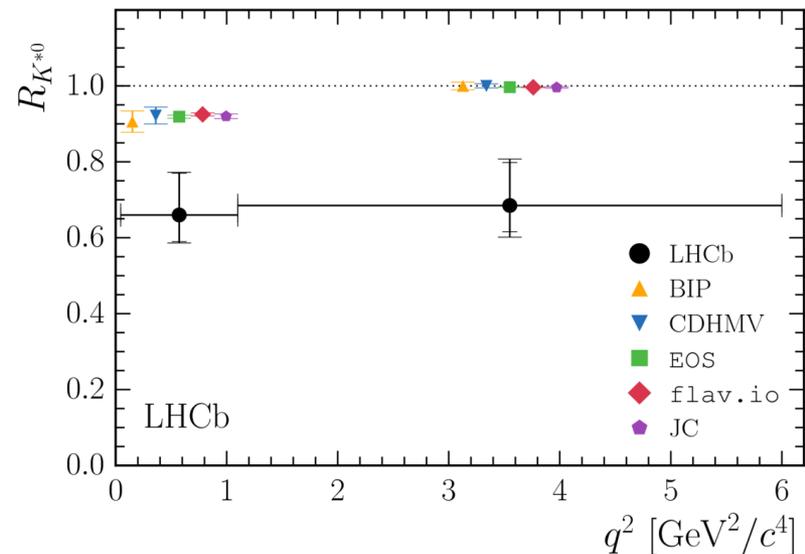
- Most precise measurement to date.



BaBar PRD 86 (2012) 032012

Belle PRL 103 (2009) 171801

- Compatible with SM at 2.1-2.3 σ (low q^2) and 2.4-2.5 σ (intermediate q^2)



▲ BIP

arXiv:1605.07633

▼ CDHMV

arXiv:1510.04239, 1605.03156, 1701.08672

■ EOS

arXiv:1610.08761, <https://eos.github.io>

◆ flav.io

arXiv:1503.05534, 1703.09189, flav-io/flavio

◆ JC

arXiv:1412.3183

- Measure $B(B \rightarrow K\mu^+\mu^-)/B(B \rightarrow Ke^+e^-)$ for q^2 in $[1-6] \text{ GeV}^2/c^4$

$$R_K = 0.745_{-0.074}^{+0.090} (\text{stat}) \pm 0.036 (\text{syst})$$

- Most precise measurement to date.

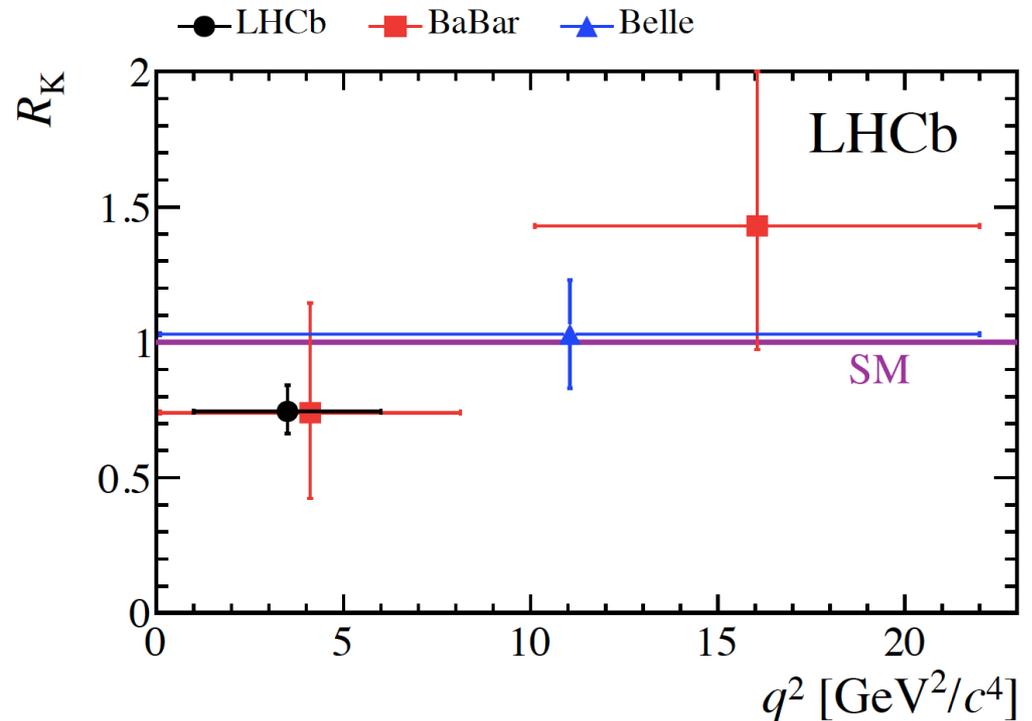
- 2.6 σ from SM prediction

$$R(K)^{\text{SM}} = 1 \pm O(10^{-3})$$

JHEP12(2007)040; PRL111,162002(2013)

BaBar PRD 86 (2012) 032012

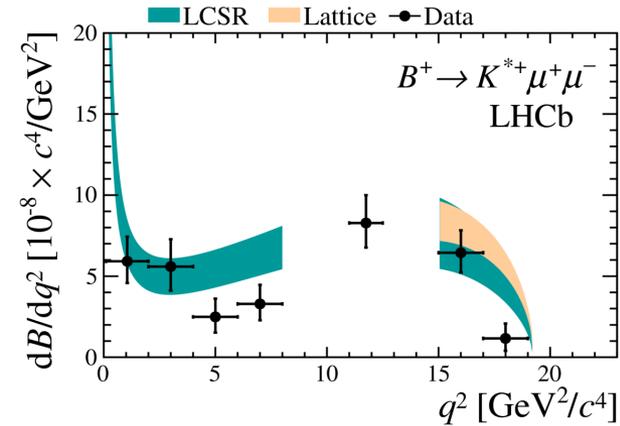
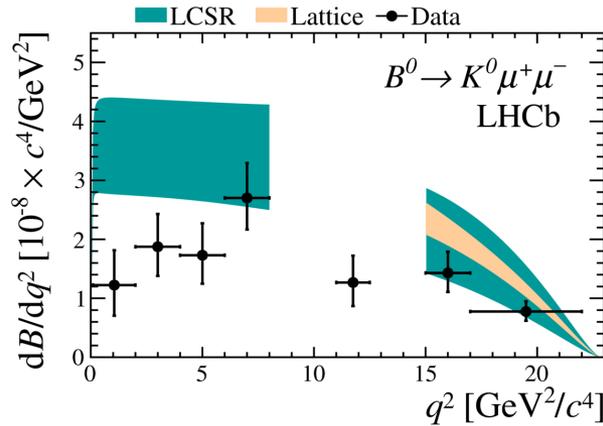
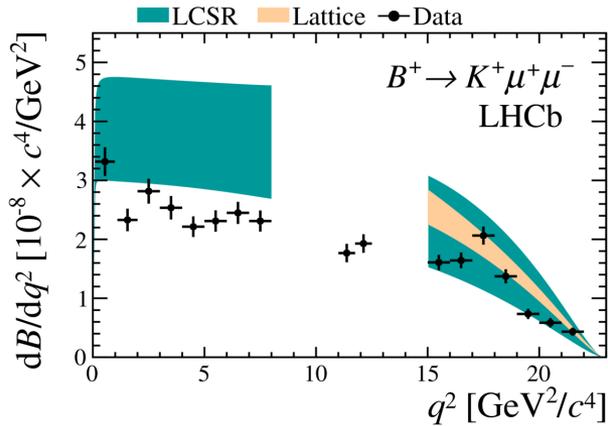
Belle. PRL 103 (2009) 171801



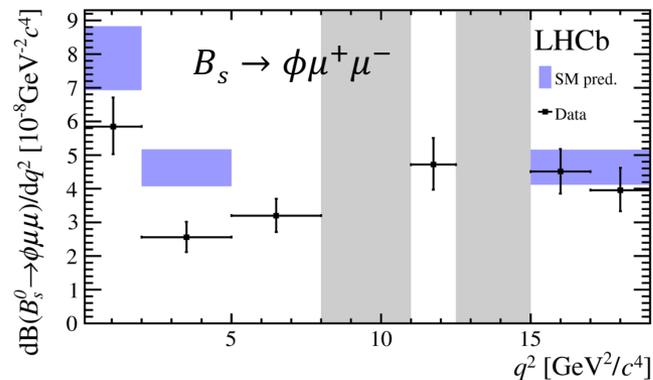
Other $b \rightarrow s |^+ |^-$ results

- Measured BR with muons are consistently lower than SM predictions.

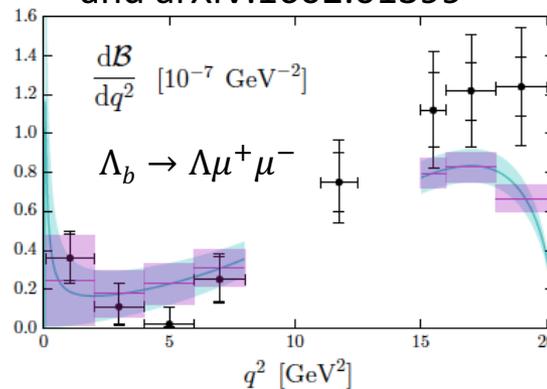
JHEP 06 (2014) 133



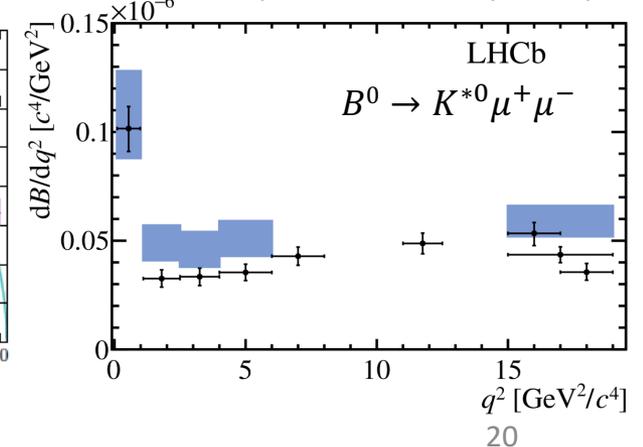
JHEP 09 (2015) 179



JHEP 06 (2015) 115 and arXiv:1602.01399



JHEP11(2016) 047 JHEP04(2017)142

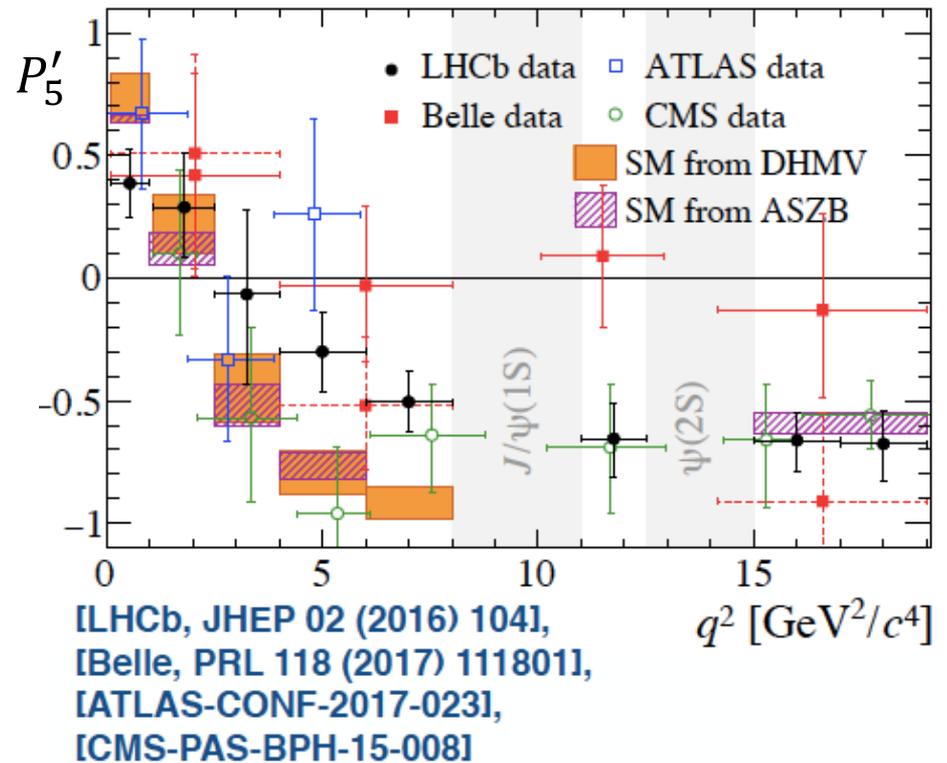


- Global analysis of CP-averaged angular observables in $B^0 \rightarrow K^{*0} \mu^+ \mu^-$ indicate about 3.4σ deviation from SM predictions.

- Ratio of observables almost independent on form-factors

$$P'_5 = S_5 / \sqrt{F_L(1 - F_L)}$$

- Could be explained by an unexpectedly large hadronic effect that changes the SM predictions, also by contributions to the decay from non-SM particles.



Conclusion

- LHCb is completing the analysis of Run 1 data with several measurements in $b \rightarrow c \tau \nu$ and $b \rightarrow s l^+ l^-$ ($l=e, \mu$)
 - New results on $R(D^*)$ with 3-prong hadronic tau decay and $R(K^*)$ with $K^{*0} \rightarrow K \pi$.
- Few deviations from LFU and SM predictions.
 - Can suggest BSM models with new vector or scalar interactions.
- Other decay modes are under study and Run 2 data will be fully exploited.

Examples are $B_c \rightarrow J/\psi \tau \nu$; $\Lambda_b \rightarrow \Lambda_c \mu \nu$; $B \rightarrow D \mu \nu$...
 $R(\phi)$; $P_5'(\mu)$ - $P_5'(e)$...

backup

R(K^{*})

	$B^0 \rightarrow K^{*0} \ell^+ \ell^-$		$B^0 \rightarrow K^{*0} J/\psi (\rightarrow \ell^+ \ell^-)$
	low- q^2	central- q^2	
$\mu^+ \mu^-$	$285 \pm_{-18}^{+18}$	$353 \pm_{-21}^{+21}$	$274416 \pm_{-654}^{+602}$
$e^+ e^-$ (LOE)	$55 \pm_{-8}^{+9}$	$67 \pm_{-10}^{+10}$	$43468 \pm_{-221}^{+222}$
$e^+ e^-$ (LOH)	$13 \pm_{-5}^{+5}$	$19 \pm_{-5}^{+6}$	$3388 \pm_{-61}^{+62}$
$e^+ e^-$ (LOI)	$21 \pm_{-4}^{+5}$	$25 \pm_{-6}^{+7}$	$11505 \pm_{-114}^{+115}$

- Systematics

Trigger category	$\Delta R_{K^{*0}} / R_{K^{*0}}$ [%]					
	low- q^2			central- q^2		
	LOE	LOH	LOI	LOE	LOH	LOI
Corrections to simulation	2.5	4.8	3.9	2.2	4.2	3.4
Trigger	0.1	1.2	0.1	0.2	0.8	0.2
PID	0.2	0.4	0.3	0.2	1.0	0.5
Kinematic selection	2.1	2.1	2.1	2.1	2.1	2.1
Residual background	–	–	–	5.0	5.0	5.0
Mass fits	1.4	2.1	2.5	2.0	0.9	1.0
Bin migration	1.0	1.0	1.0	1.6	1.6	1.6
$r_{J/\psi}$ ratio	1.6	1.4	1.7	0.7	2.1	0.7
Total	4.0	6.1	5.5	6.4	7.5	6.7

$$B^0 \rightarrow K^{*0} \mu^+ \mu^-$$

- CP-averaged angular distribution of the $B^0 \rightarrow K^{*0} \mu^+ \mu^-$ decay and optimized variables that cancels leading form-factor uncertainties

$$\begin{aligned} \frac{1}{d(\Gamma + \bar{\Gamma})/dq^2} \frac{d^4(\Gamma + \bar{\Gamma})}{dq^2 d\vec{\Omega}} = \frac{9}{32\pi} & \left[\frac{3}{4}(1 - F_L) \sin^2 \theta_K + F_L \cos^2 \theta_K \right. \\ & + \frac{1}{4}(1 - F_L) \sin^2 \theta_K \cos 2\theta_l \\ & - F_L \cos^2 \theta_K \cos 2\theta_l + S_3 \sin^2 \theta_K \sin^2 \theta_l \cos 2\phi \\ & + S_4 \sin 2\theta_K \sin 2\theta_l \cos \phi + S_5 \sin 2\theta_K \sin \theta_l \cos \phi \\ & + \frac{4}{3} A_{FB} \sin^2 \theta_K \cos \theta_l + S_7 \sin 2\theta_K \sin \theta_l \sin \phi \\ & \left. + S_8 \sin 2\theta_K \sin 2\theta_l \sin \phi + S_9 \sin^2 \theta_K \sin^2 \theta_l \sin 2\phi \right] \\ P'_{4,5,8} = & \frac{S_{4,5,8}}{\sqrt{F_L(1 - F_L)}} \end{aligned}$$