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ALORIMETRI FASE 2

CÉRN

Performance e impatto sulla fisica



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Physics in Phase2

- Ingredients to pursue searches and precision SM measurements
 - boosted topologies => increase granularity for reconstruction/ID of collimated objects
 - forward boosted production => good performance at high η coverage/reconstruction/ID/ resolution + complement tracker upgrade ($|\eta|$ <4 and reduced material budget)
 - exploit VBF production => jet reco/ID also at trigger level

• High luminosity

- high pileup => need clever ideas to select good events in harsh HL-LHC environment
- higher rate => refurbish triggers (hardware and software) to profit from more data
- high radiation => rad hard technologies

- Overall CMS upgrade plan to achieve the goal
 - => tracker + muon chambers + precision timing (see previous talks)
 - calorimetry: discussed here

CMS

The calorimetry upgrade program

- Physics requirements for operation at high luminosity drive the upgrade choices
 => Maintain Run2 performance also at HL-LHC + improve (where possible)
- Assure radiation hardness of components
 - => replace damaged detectors (EE + ES + HCAL endcaps)
 - => **operate EB colder** to reduce APD noise (18 °C to 9 °C, option for 6 °C)
 - => SiPMs in HCAL barrel to replace HPDs
- Account for high demanding L1: 12.5µs latency and 750kHz rate
 => new on-detector and off-detector electronics
- Exploit precision timing
- Increase granularity

• Phase2 detector upgrade, both a challenge and an opportunity



ECAL BARREL for Phase2

• New electronics and operation at lower temperature (same crystals, same APDs)



- Main element is the upgrade of the electronics: FE and VFE
- Phase1: VFE preamplifiers provide shaping of signal & digitization
 => Replacement with trans-impedance-amplifiers (TIA)
 - Digital design focused on achieving optimal time resolution
 - Two gain ranges (G1,G10) & 2 TeV dynamic range with 50 MeV LSB
- Phase1: FE providing TPG with 5x5 crystals granularity
 => FE moved off-detector
- Baseline for upgrade studies: adopt same reconstruction as in Phase1
 > optimisation needed for PU mitigation and integration in particle-flow





APD spikes in EB

- Phase1: VFE preamplifiers provide shaping of signal & digitization
- Opportunity to improve the spike rejection online and offline
 => rate from APD spikes already critical during current operations
- Projection at 200PU with Phase1 VFE electronics (43ns shaping time)
 - rate above max threshold for $E_{\rm T}>20GeV$
 - rate dominated by "spikes", scintillation (genuine signal) contribution at permill level





(new VFE) faster shaping time

- 20ns shaping time for **spike rejection**
 - exploit intrinsic difference peaking time between APD and scintillation

new discrimination based on pulse shape





• E_T>10GeV full efficiency and rate from spike < few Hz (above few MHz before upgrade)





(new VFE) increas



- x4 increase (160MHz) in readout sampling to allow **precision timing**
 - thanks also to reduced shaping time



160MHz enough to preserve precision timing

 measurements at test beam using prototype TIA
 C ≈20ps and N ≈ 6ns/(S/N)
 where N ~7 with 200PU found in simulation

30ps resolution at S/N = 250, @HL-LHC





precision timing for EB

- Precision limited by noise contribution => expect lower S/N with radiation
 - larger APD noise
 - lower crystal transparency

30ps resolution at S/N = 250

- 20GeV beginning (noise ≈100MeV)
- 50GeV end (noise ≈200MeV)



• Impact of precision timing,

=> in H $\gamma\gamma$ help to triangulate the vertex in high PU

Contribution from EB only

- => useful for high $\Delta \eta$
- => limited otherwise
- 4D vertex for $\Delta\eta$ <0.8
- hermetic coverage



(see previous dedicated talk)

(new FE) increased granularity to L1

- Phase1: FE providing TPG with 5x5 crystals granularity
- Opportunity to increase the granularity at L1 to the crystal level
 - 61200 crystals in EB, [0.0174x0.0174] vs [0.087x0.087]
 - => better isolation and position resolution for track-calo matching (track trigger)
 - => topological spike tagging available at L1
- L1 decision from EG+track trigger
 - EG inputs: pT > 1GeV crystal to seed cluster $3\eta x 5\Phi$ + isolation in E_{cluster}/E_{27x27}
 - shower shapes in the 3x5 core crystals
- Performance based on single crystal information with electron gun



-HO



Hadron barrel for Phase2

- Replace light detector HPD with SiPM
 - data taken in early 2017 suggest that signal loss in hadron calorimeters is from radiation damage of HPD rather then scintillator
- **ÀCAL** MAGNET COIL SiPM: high gain, higher S/N wrt HPD (SiPM S/N≈4.5 for single photoelectron) => possible segmentation in the barrel HCAL-HB - reduced response of individual tiles (better tolerance of rad CMS Phase-2 Simulation **CMS** Phase-2 Simulation 14 TeV 14 TeV - can mitigate individual 🗐 p_{T}^{GEN} HCAL non-aged - HCAL non-aged (high eta in particular) **HCAL** aged HCAL aged $\sigma(p_T/p_T^{GEN})/\langle p_{T'}$ **HCAL+SiPM** aged **HCAL+SiPM** aged Anti-k_T, R=0.4 PF Anti-k_T, R=0.4 PF GEN 0<nGEN<0.5 0.5<ŋ^{GEN}<1.3 μ=0 μ**=0** J(p_/ 4500/fb 4500/fb • Longitudinal segmentat 0.1 0.1 => useful for particle-flc - improved tracking for h 0 - shower profile, help in (200 100 1000 2000 100 200 1000 2000 40 40 p_GEN (GeV) (GeV) - help pileup mitigation

Endcap calorimeters for Phase2

High Granularity Calorimeter: fine grain for a 3D shower reconstruction

=> Silicon/scintillator sampling calorimeter, including both em and had parts

Key Parameters:

- HGCAL covers 1.5 < η < 3.0
- Full system maintained at -30°C
- ~600m² of silicon sensors
- ~500m² of scintillators
- 6M Si channels, ~22000 Si modules
- Power at end of HL-LHC: ~110 kW per endcap

Active Elements:

- Hexagonal modules based on Si sensors in CE-E and high-radiation regions of CE-H
- Scintillating tiles with SiPM readout in low-radiation regions of CE-H
- High granularity against congestion
 - to help "features" extraction
 - suited for pf and imaging reconstruction

Silicon for radiation hardness (and granularity)

 radiation level similar to that experienced in the inner tracker



Silicon for high granularity

• **longitudinal** => can be made in thin layers + **transversal** => can be shaped in small pads

• Hexagon shape choice

- cut from Si circular wafers => to save material and cost
- geometry more natural to describe shower process
- increase difficulty for readout electronics, due to non-standard shape

Example here 128 channels Si sensors (6" wafer) 200µm depleted region, 1cm² cell-size

- Baseline choice:
 - 192 (or 432) channels from 8" wafers, p-type

| Active | Cell | Cell | Bulk | Expected |
|-----------|--------------------|-------------|----------|---------------------------|
| thickness | size | capacitance | polarity | radiation fluence |
| (µm) | (cm ²) | (pF) | | (n_{eq}/cm^2) |
| 300 | 1.18 | 44 | p / (n) | $1-5	imes 10^{14}$ |
| 200 | 1.18 | 65 | р | $0.5 - 2.5 	imes 10^{15}$ |
| 120 | 0.52 | 48 | р | $0.2-1	imes10^{16}$ |

| Si thickness (μ m) | 300 | 200 | 120 |
|---|--------------------|----------------------|--------------------|
| Area (m ²) | 245 | 181 | 72 |
| Largest lifetime dose (Mrad) | 3 | 20 | 100 |
| Largest lifetime fluence (n_{eq}/cm^2) | 6×10^{14} | 2.5×10^{15} | 1×10^{16} |
| Largest outer radius (cm) | ~ 180 | ~ 100 | ~ 70 |
| Smallest inner radius (cm) | ~ 100 | \sim 70 | ~ 35 |
| Cell size (cm ²) | 1.18 | 1.18 | 0.52 |
| Initial <i>S</i> / <i>N</i> for MIP | 11 | 6 | 4.5 |
| Smallest $S/N(MIP)$ after 3000 fb ⁻¹ | 4.7 | 2.3 | 2.2 |
| | | | |

• Cell size

- physics performance considerations as the lateral spread of the showers
- constraints imposed to keep the cell capacitance within a reasonable range
 - => guarantee ability to calibrate detector throughout its life







Advantage of high granularity

- Enhanced pattern recognition "imaging-calorimeter"
 - Good separation of nearby showers



14GeV pT photons at η 2.4 [80GeV] with ΔR 0.05 separation

- Radial containment for photon shower
 - ~68% energy within 2.8cm around layer 15
 - spatial resolution < 1cm in first layers (at OPU)



at high PU

- Energy deposition from pileup 200 is ~200GeV E_T per unit area
 => mandatory to exploit granularity and segmentation
- Test reconstruction potential with calorimeter alone
 - Jet reconstructed with anti-kT on recHits

=> *development for coming years*

- quark jets vs PU jets (mix gluon and soft jets clustered together)



Longitudinal segmentation helpful against pileup, also granularity



balance is needed between integration of pileup energy

Indication to exploit a dynamic definition of R, layer dependent

useful also for L1 (see later)

 $\sigma(t)$ (ns) = $\frac{A}{S/N} \oplus C$

Si 100µm and 200µm

10²

Q (fC) ^{10³}

Si 300um



Precision timing

 Single cell performance (HGCROC + Si cell), from electronics simulation shows A = 5ns, C = 20ps



10-

 10^{-2}

1

• Precision timing for showers, exploiting hit multiplicity

- Study based on the hits within $\rho{<}2\text{cm}$ from shower axis
 - photon: 100% efficiency, σt ≤ 20ps for pT≥ 2GeV
 => electromagnetic component ≈ 30% for hadron showers
 - K^0_L : efficiency > 90%, $\sigma t \le 30$ ps for pT > 5GeV



selections:

12fC threshold

10

- consider events non interacting in
- the tracker volume
- require ≥ 3hits
 with time per shower





Reconstruction

• Major impact on the detector performance: new detector = new reconstruction

=> need is to separate individual particles in high pile-up environment

- Opportunity to develop/tune algorithms that best exploit the high level of information
- Some highlights, by using the calorimeter information on its own





3D imaging clustering*

- Algorithm best suited for the high granularity offered by the HGCal
- Current development in 2 steps:
 - builds 2d-clusters on each layer
 based on the energy-density
 of the cells (energy and distance)
 - associate 2d-clusters aligned along the shower axis over different layers



- Extendable to more than two dimensions:
 - direct 3D exploit full spatial correlation of the shower development
 - direct 3D + timing

* inspired by: [A. Rodriguez, A. Laio, "Clustering by fast search and find of density peaks", Science 344 (6191), 1492-1496. (June 26, 2014)]

p_{_} (GeV)



3D imaging clustering

• Good performance for electromagnetic showers



HGCAL G4 standalone

benefit of fine granularity

p_ (GeV)

HGCAL G4 standalone

• Further collection of 3D lumpy clusters from hadronic showers





Trigger primitives L1

• 2-stage structure for TPG in off-detector BE dedicated boards

=> inputs from 14 layers from CE-E and all from CE-H readout for TPG

- 1st => 2D cluster + η - ϕ E_T maps for E_T ≥2MIP_T
- 2nd => 3D cluster E_T≥1GeV sent to L1 correlator [1-400GeV full range, 100MeV precision]
 + extra cluster-variables for ID and energy corrections (length, start layer, maxE layer, width)
- TPG delivers primitives to the central L1 correlator

=> aim at 3D clustering running in FPGAs (*full development for coming years*)

– currently in place for e/ $\!\gamma$ trigger, missing for jet trigger

• HGCAL-only performance for e/γ trigger (3D clustering on FPGA)



with L1 correlator





=> Segmentation and gra.

- PUjetID [Iso(0.1,0.4) + $E_{10layers}/E_{jet}$]





PU 140 and anti-k_T on recHits





Reconstruction vs performance

- Good potential for improved performance with upgrade elements (timing, granularity)
 => shown by calo-only based reconstruction (previous slides)
- Important to tune particle-flow reconstruction

=> physics performance can get maximum profit from the upgrade

- true also for L1: high granularity expected to help L1 correlator with improved track-calo matching, isolation, particle ID
 - => VBF production tag (critic for calo-only at 200PU)
 - => help MET and HT triggers, that are then exploited to trigger soft lepton...

• Reconstruction for the Phase2 detector is not optimal, several key points missing:

- tuned clustering in EB against pileup, clustering for hadron showers in HGCAL
- exploit timing EB and HGCAL , exploit segmentation in HB
- calibration of electromagnetic and hadronic objects
- pf reconstruction for best track-calo-muon (timing) matching
- Physics performance studies *very preliminary* (checks ongoing)
 => potential performance is satisfactory for physics



- Vertex ID in high PU: benefit from timing (and VBF tag production)
- Un-tuned clustering: consider 3x3 to minimize PU $c_{\mu}^{0.22}$ $\gamma + PU 200$ => Run2 improved Myy resolution with MVA from r = 26 mm



0.0.



expect same performance for $\gamma\gamma$ pairs in HGCAL



 Clear benefit for HH->γγbb, together with improved performance on b tag/acceptan

very preliminary



b tagging

• Main impact from tracker performance

Improved particle-flow reconstruction can bring further gain



=> particle-flow reconstruction currently used for HGCAL TDR studies

- ideal track-cluster matching + realistic merging of clusters

- indication that the ingredients to improve the performance are available
- Clear benefit for HH-> $\gamma\gamma$ bb
- Benefit also for HH->bbbb and for VH, ttH







Н->тт

CMS

Tagging boosted topologies

- High granularity helps to identify boosted W,Z,H and top from ordinary gluon/quark initiated jets
 - soft QCD radiation removed from the jet before calculating its invariant mass
 - n subjet axis within a fat jet





In summary

- Granularity/segmentation and precision timing are expected to give a major improvement to objects reconstruction and ID, in 200PU
- Positive indications of detector performance
 - as obtained from preliminary reconstruction
- Improved particle-flow can bring major gain to physics performance
 - => all the ingredients are there
 - => the pf reconstruction (not fully in place) is definitely worth investing
- Phase2 calorimeters contribute to potential improved L1 trigger performance
 - => improve and extent calo algorithms to provide the highest level of information to the L1 correlator
 - => most of the tasks in charge of the L1 correlator

• Very interesting phase to develop creative new ideas

=> fundamental for (calorimeter performance) Physics results in 10years of HL-LHC





- TDR for Phase2 CMS detectors
 - HGCAL
 - barrel calorimeters
 - tracker

- Performance studies within the CMG-HGCAL group
- Performance studies within the UPSG group
- DISCLAIMER: many plots are just preliminary and/or not the most updated



BACKUP



Silicon for radiation hardness

- Silicon can sustain high radiation levels
 - Fluence at η=3 in HGCAL ~ same as pixel inner layer
 => profit from extensive R&D in the past 20 years
 for Trackers and Pixels
 - complementary studies for neutrons irradiation up to 10^{16} n/cm^2
 - Fluence dominated
 - by charged hadrons in the tracker,
 - while by neutrons in the HGCAL





 Radiation effects are well understood and reproducible and can be partly mitigated by low T operation (-30 °C for full HGCAL)

Longitudinal segmentation





Longitudinal segmentation

- Mixed scintillator-silicon geometry to guarantee calibration with MIPs throughout its life
- Plastic scintillator tiles used in low radiation area, with cell size function of R:
 - to maximize signal at highest radiation where SiPM noise is bigger
 - match the EB 5° cells and 4 cm² trigger cells in the Silicon HGCAL
 - guarantee Silicon coverage for $|\eta| > 2.4$



| | C el m L'11 e Lo m | C: | C: | |
|---|--|--------------------------------------|----------------------|---|
| | Scintillator | 51 | 51 | |
| Sensor thickness | 3 mm | 300 µm | 200 µm | |
| Area (m ²) | 480 | 71 | 15 | |
| Largest lifetime dose (Mrad) | < 0.3 | 30 | 100 | |
| Largest lifetime fluence (n_{eq}/cm^2) | 8×10 ³ | 6×10^{14} | 2.5×10^{15} | |
| Largest outer radius (cm) | Status of EK+HE R235 | HE Reco | i mechar | nics a second |
| Smallest inner radius (cm) | Upgrade TP meeting On behalf of the GED working team 900 H/H/ 26/11/14 | $^{\scriptscriptstyle m is}\sim 80$ | ~ 45 | |
| Cell size (cm ²) | $2 \times 2 t^{2611/14}$ | 1.18 | 1.18 | - |
| | 5.5 	imes 5.5 | | | 9=1.4 |
| Initial S/N for MIP | ≫ 5 | 11 | 6 | p=1.48 |
| Smallest $S/N(MIP)$ after 3000 fb ⁻¹ | 5 | 4.7 | 2.3 | |
| | | | | n = 1.6 |
| | | | | p=1.8 |
| | | | 🏹 | 9-20 |
| | | | | <i>q</i> =21 <i>q</i> =22 |
| | | | | 9-24 p-24 |
| | | | FH Laver 9 | p=27 $p=2.0$ BH Layor 2 |

Scintillator readout with SiPMs coupled directly to scintillating tiles
 => same SiPM as for Barrel HCAL upgrade => profit from experience and tests

HGCAL beam test

• 2016 campaign: test Si (200µm) performance with electron showers





• Response and resolution for quark jets (small degradation, but compatible for gluon jets)





Muon ID (2 < $|\eta|$ < 2.8)

- Match track propagation with signal in 1 or 1+6 cells
 - 0.5 < charge per cell < 3MIP and summed charge per layer < 3MIP
 - ask for a minimum of consecutive layers in BH
- Study with muons pT > 5GeV and plateau efficiency 97% (99% from tracking efficiency)

 results solid against readout threshold (0.5MIP to 0.75MIP) and S/N with aged detector



Effect of slow neutrons evaluated with simulation and calculation with first principle
 => found negligible (≈ few permill probability)



Tracker material budget





EB VFE and FE





ECAL energy resolution

• Energy resolution with upgraded detector





EB election - ^{10²} Proceeding (GeV) rformance

Same performance as in Run2 at high pT
 => deficit for electrons at low pT, due to un-tuned reconstruction



• Resolution for single photons

| * V | | | | | |
|---|-------------------------------------|---|------|--|--|
| Detector conditions | Photon category | $\frac{\sigma_{\rm eff}(E)/E}{p_{\rm T}^{\gamma} = 50{\rm GeV} p_{\rm T}^{\gamma} = 100{\rm GeV}}$ | | | |
| Bildup 200 200 fb ⁻¹ againg | $E3 \times 3$, unconverted photons | 1.8% | 1.5% | | |
| Flieup 200, 300 lb ageing | max15, all photons | 2.5% | 1.6% | | |
| Bildup 200 1000 fb ^{-1} againg | E3 \times 3, unconverted photons | 2.1% | 1.6% | | |
| Flieup 200, 1000 lb ageing | max15, all photons | 2.7% | 1.7% | | |
| $Piloup 200, 2000 fb^{-1} againg$ | $E3 \times 3$, unconverted photons | 3.0% | 2.2% | | |
| Flieup 200, 3000 lb ageilig | max15, all photons | 4.8% | 2.5% | | |
| Piloup 200 4500 fb^{-1} agoing | E3 \times 3, unconverted photons | 3.9% | 2.8% | | |
| Theup 200, 4000 lb ageing | max15, all photons | 6.0% | 3.6% | | |



Vtx efficiency

• Gain at low pT, improved particle-flow (reject fakes) in particular at high eta



b/c tagging efficiency

• Improved efficiency with PU and at low pT, in particular in the very forward region





HH->yybb (EB-TDR)

- Account for pileup to worsen the isolation efficiency, for both signal and background
 - a reduction of 2.3% in identification efficiency for prompt photons applied in the barrel
 - a 10% reduction has been applied in the endcaps
- b tagging efficiency from 69% to 74% per jet => increase of the signal efficiency by 15%, as well as of VH, ttH and bbH backgrounds
- The $M\gamma\gamma$ observable allows to separate the signal from non-resonant background but not form resonant single H boson background
- The Mjj observable improves the separation between single H and HH signal

