



9th **Quantum** **Phase** **Transitions** in Nuclei and Many-Body Systems

Breaking and restoration of rotational symmetry in the spectrum of α -conjugate nuclei on the lattice

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Motivation

We investigate **rotational symmetry breaking** in the low-energy spectra of

light α -conjugate nuclei: ^8Be , ^{12}C , ^{16}O , ...

on a cubic lattice. In particular, we aim at

- ♣ identifying lattice eigenstates in terms of $\text{SO}(3)$ irreps
 \implies [Phys. Lett. B 114, 147-151 \(1982\)](#), [PRL 103, 261001 \(2009\)](#)
- ♣ exploring the dependence of physical observables on spacing and size
 \implies [PR D 90, 034507 \(2014\)](#), [PR D 92, 014506 \(2015\)](#)
- ♣ testing techniques for the suppression of discretization artifacts
 \implies Symanzik improvement scheme [Lect. Notes in Phys. 788 \(2010\)](#)

Applications

Nuclear Lattice EFT: ab initio nuclear structure [PRL 104, 142501 \(2010\)](#), [PRL 106, 192501 \(2011\)](#), [PRL 112, 102501 \(2014\)](#), [PRL 117, 132501 \(2016\)](#)
 and scattering [Nature 528, 111-114 \(2015\)](#)

The Hamiltonian of the system

The macroscopic α -cluster model¹ of B. Lu et al. [PR D 90, 034507 \(2014\)](#) is adopted
 \Rightarrow nuclei are decomposed into M structureless α -particles

$$H = -\frac{\hbar^2}{2m_\alpha} \sum_{i=1}^M \nabla_i^2 + \sum_{i>j=1}^M [V_C(\mathbf{r}_{ij}) + V_{AB}(\mathbf{r}_{ij})] + \sum_{i>j>k=1}^M V_T(\mathbf{r}_{ij}, \mathbf{r}_{ik}, \mathbf{r}_{jk})$$

with $r_{ij} = |\mathbf{r}_i - \mathbf{r}_j|$. The potentials are of the type

Erf-Coulomb²

$$\frac{4e^2}{4\pi\epsilon_0} \frac{1}{r_{ij}} \text{erf}\left(\frac{\sqrt{3}r_{ij}}{2R_\alpha}\right)$$

with $R_\alpha = 1.44$ fm
 rms radius of the ^4He
 NB: Erf *adsorbs* the
 singularity at $r = 0$

Ali-Bodmer²

$$V_a f e^{-\eta_a^2 r_{ij}^2} + V_r e^{-\eta_r^2 r_{ij}^2}$$

with $\eta_r^{-1} = 1.89036$ fm,
 $V_r = 353.508$ MeV
 and $\eta_a^{-1} = 2.29358$ fm,
 $V_a = -216.346$ MeV,
 auxiliary param. $f = 1$

Gaussian

$$V_0 e^{-\lambda(r_{ij}^2 + r_{ik}^2 + r_{jk}^2)}$$

with $\lambda = 0.00506$ fm⁻²,
 $V_0 = -4.41$ MeV for ^{12}C ³
 s.t. $E_{g.s.} = -\Delta E_{\text{Hoyle}}$
 and $V_0 = -11.91$ MeV for ^{16}O ⁴
 s.t. $E_{g.s.} = -\Delta E_{4\alpha}$

¹G.S. et al. JP G 43, 8 (2016), ²NP 80, 99-112 (1966)., ³Z. Physik A 290, 93-105 (1979)., ⁴G.S. (2017)

The lattice environment

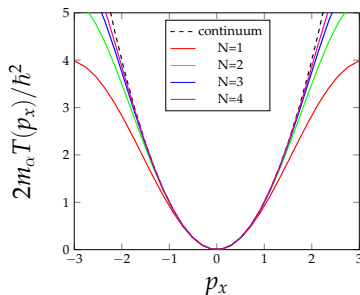
The configuration space in relative d.o.f. of an M – *body* physical system into a cubic lattice reduces to

$$\mathbb{R}^{3M-3} \longrightarrow L^{3M-3}$$

where: $L \implies$ number of points per dimension (\equiv **lattice size**)
 $a \implies$ **lattice spacing**

Consequences: **discretization effects**

- 1 the action of differential operators is represented via finite differences:
 \implies Symanzik improvement scheme
- 2 breaking of Galilean invariance
- 3 breaking of continuous translational invariance (free-particle case)



The lattice environment

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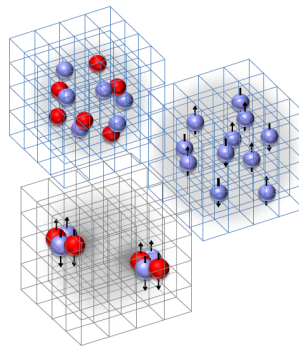
$$\mathbb{R}^{3M-3} \longrightarrow L^{3M-3}$$

where: $L \implies$ number of points per dimension (\equiv **lattice size**)
 $a \implies$ **lattice spacing**

and **finite-volume effects**
 on physical observables

With periodic boundary conditions:

- ① configuration space becomes isomorphic to a torus in $3M - 3$ -dimensions
- ② lattice momenta become $\mathbf{p} = \hbar \frac{2\pi \mathbf{n}}{La}$ where \mathbf{n} is a vector of integers



Symmetries

On the lattice SO(3) symmetry reduces to the invariance under the **cubic group** \mathcal{O} .

Accordingly

«Only eight [five: A_1, A_2, E, T_1, T_2] different possibilities exist for rotational classification of states on a cubic lattice. So, the question arises: how do these correspond to the angular momentum states in the continuum? [...] To be sure of higher spin assignments and mass predictions it seems necessary to follow all the relevant irreps simultaneously to the continuum limit. »

R.C. Johnson, Phys. Lett. B 114, 147-151, (1982).

SO(3) to \mathcal{O} irreps decomposition

$$D^0 = A_1, D^1 = T_1$$

$$D^2 = E \oplus T_2$$

$$D^3 = A_2 \oplus T_1 \oplus T_2$$

$$D^4 = A_1 \oplus E \oplus T_1 \oplus T_2$$

$$D^5 = E \oplus T_1 \oplus T_1 \oplus T_2$$

$$D^6 = A_1 \oplus A_2 \oplus E \oplus T_1 \oplus T_2 \oplus T_2$$

Symmetries

Degenerate states belonging to the same \mathcal{O} irrep can be labeled with the irreps I_z of the cyclic group \mathcal{C}_4 , generated by an order-three element of \mathcal{O} (e.g. $\mathcal{R}_z^{\pi/2}$):

$$\begin{array}{ccc} \boxed{\begin{array}{cc} \text{SO}(3) & \supset & \text{SO}(2) \\ \downarrow & & \downarrow \\ l & & m, \end{array}} & \Rightarrow & \boxed{\begin{array}{cc} \mathcal{O} & \supset & \mathcal{C}_4 \\ \downarrow & & \downarrow \\ \Gamma & & I_z, \end{array}} \end{array}$$

Conversely, the discrete symmetries of the Hamiltonian are preserved:

time reversal, parity, exchange symmetry

Applications

Within an iterative approach for the diagonalization of \mathcal{H} , the states belonging to an irrep Γ of a point group \mathcal{G} can be extracted applying the projector

$$P_{\Gamma} = \sum_{g \in \mathcal{G}} \chi_{\Gamma}(g) D(g)$$

where $D(g)$ is a representation of dimension $3M - 3$ for the operation $g \in \mathcal{G}$

Finite-volume energy corrections

LO finite-volume energy corrections for relative two-body states with angular momentum ℓ and belonging to the Γ irrep of \mathcal{O} [PRL 107, 112011 \(2011\)](#)

$$\Delta E_B^{(\ell, \Gamma)} = \alpha \left(\frac{1}{\kappa L} \right) |\gamma|^2 \frac{e^{-\kappa L}}{\mu L} + \mathcal{O} \left(e^{-\sqrt{2}\kappa L} \right)$$

with γ the asymptotic normalization, κ the binding momentum and $\alpha(x)$ a polynomial:

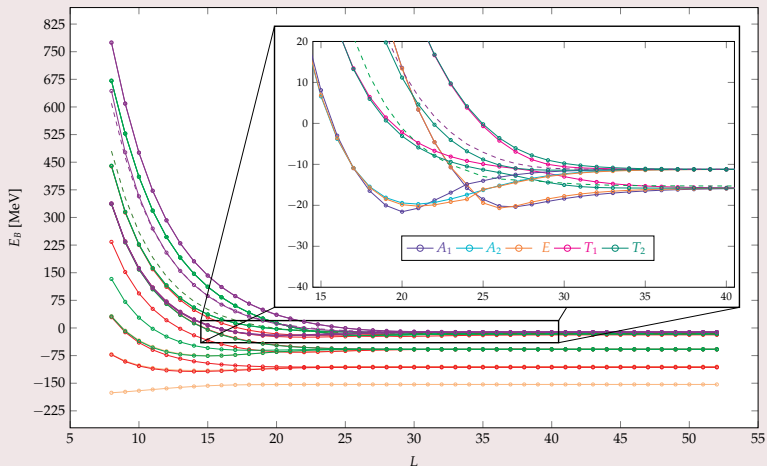
ℓ	Γ	$\alpha(x)$
0	A_1^+	-3
1	T_1^-	$+3$
2	T_2^+	$30x + 135x^2 + 315x^3 + 315x^4$
	E^+	$-\frac{1}{2}(15 + 90x + 405x^2 + 945x^3 + 945x^4)$
3	A_2^-	$315x^2 + 2835x^3 + 122285x^4 + 28350x^5 + 28350x^6$
	T_2^-	$-\frac{1}{2}(105x + 945x^2 + 5355x^3 + 19530x^4 + 42525x^5 + 42525x^6)$
	T_1^-	$-\frac{1}{2}(14 + 105x + 735x^2 + 3465x^3 + 11340x^4 + 23625x^5 + 23625x^6)$

Although no analytic LO FVEC formula for the three-body case exists, results for zero-range potentials [PRL 114, 091602 \(2015\)](#) and the asymptotic (\equiv large L) behaviour are available [Phys. Lett. B 779, 9-15 \(2018\)](#).

The low-energy ^8Be spectrum

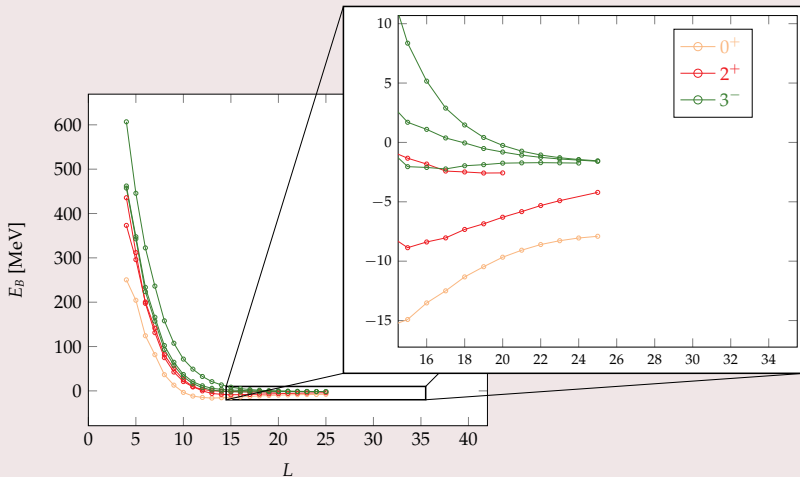
Increasing the parameter V_a of V_{AB} , a set of bosonic bound states can be analyzed.

$f=2.5, a = 0.25$ fm



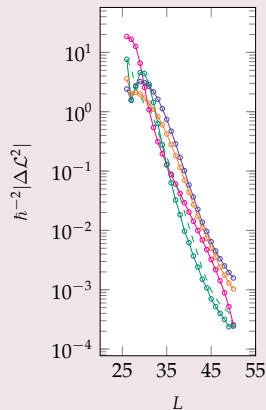
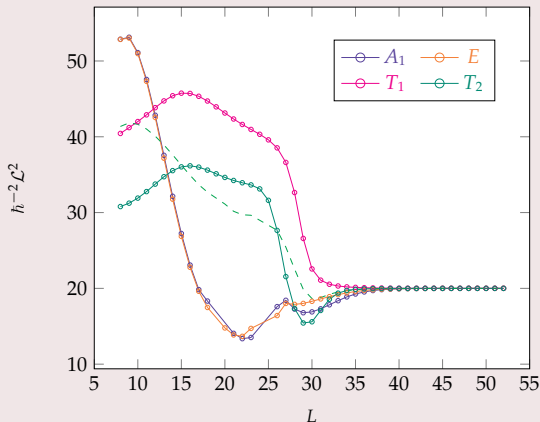
The low-energy ^{12}C spectrum

$f=1.0, a = 0.50$ fm (in progress)



The low-energy ${}^8\text{Be}$ spectrum: the 4_2^+ multiplet

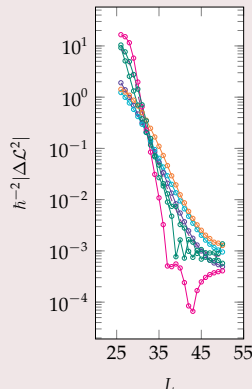
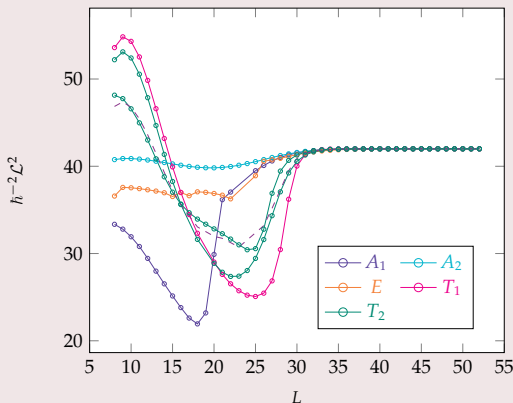
$f = 2.5, a = 0.25 \text{ fm}$



Remark: for $L \gtrsim 25$ $|\Delta \mathcal{L}^2| \propto \exp(m_\kappa L)$ with $m_\kappa < 0$

The low-energy ${}^8\text{Be}$ spectrum: the 6_1^+ multiplet

$f = 2.5, a = 0.25$ fm

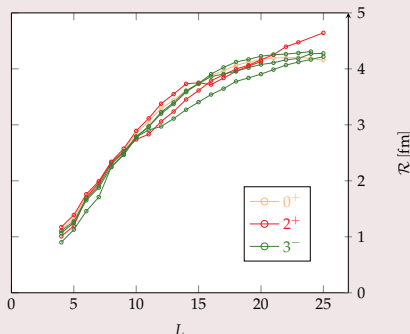
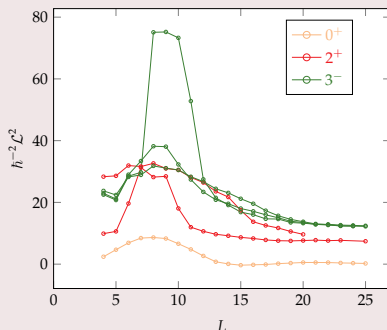


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The low-energy ^{12}C spectrum

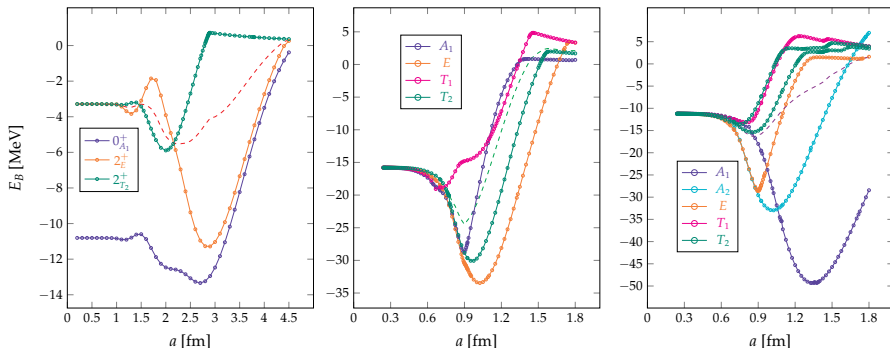
As a consequence of the isotropy of the potentials, the nucleus has an **equilateral triangular** equilibrium configuration, i.e. $\langle r_{12} \rangle = \langle r_{23} \rangle = \langle r_{13} \rangle \equiv \mathcal{R}$.

$f = 1.0, a = 0.50$ fm (in progress)



Discretization effects on energy

Unlike finite-volume effects, the dominant behaviour of discretization corrections on energy, $\Delta E_B(a)$, is unknown.



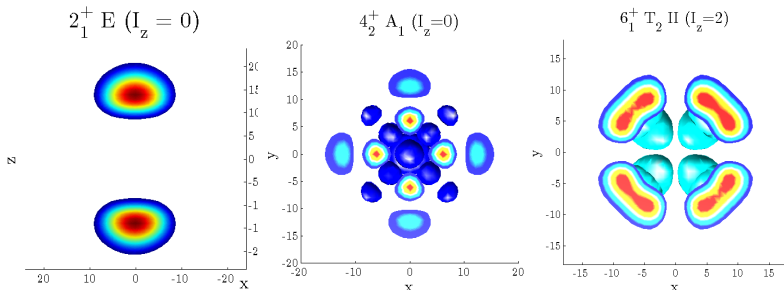
Nevertheless: some extrema of $E_B(a)$ can be associated to the maxima of the probability density function corresponding to the given energy eigenstate.

NB: If the primary maxima of the pdf lie at distance d^* w.r.t. the origin, the most probable $\alpha - \alpha$ separation \mathcal{R}^* is given by d^*

Discretization effects on energy

If the all pdf maxima are absolute and lie along the coordinate axes, \exists a value of a s.t. all the maxima of the pdf are included in the cubic lattice.

In particular: for $a = d^* \implies E_B(a)$ is minimized and if $|\Psi_B^{\text{Max}}|^2 \gg |\Psi_B(\mathbf{r})|^2$ where $|\mathbf{r}| = nd^*$ and $n \geq 2 \implies \langle \mathcal{R} \rangle \approx d^*$ and $\langle V \rangle$ is approximately minimized



✓ conditions fulfilled

✗ secondary maxima

✗ maxima off the axes

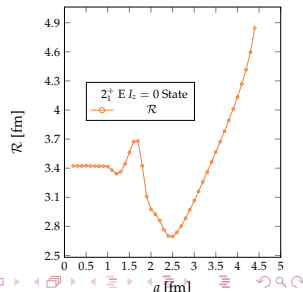
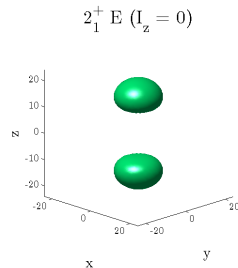
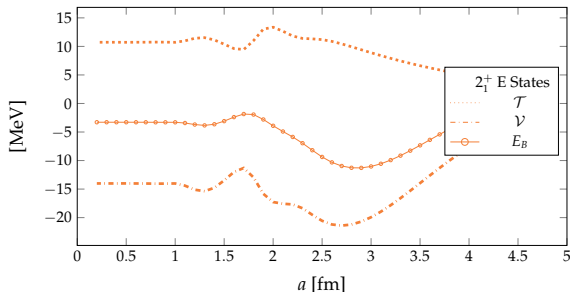
Discretization on ^8Be : the 2_1^+ E states

$I_z = 0$ Pdf: two principal maxima along the z axis, located at a distance $d^* = 2.83$ fm from the origin.

$\Rightarrow E_B(a)$ minima are, then, predicted to lie at

$$a = \frac{d^*}{n} \text{ with } n \geq 1, \text{ i.e. } a \approx 2.83, 1.42, 0.94, \dots$$

In practice: two E_B minima at $a \approx 1.36$ and 2.85 fm are observed



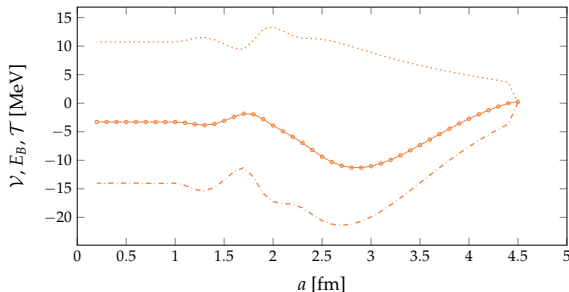
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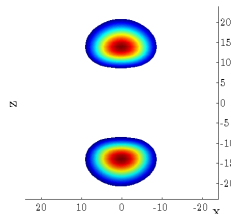
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$2_1^+ E (I_z = 0)$



In addition:

$$\begin{aligned} V &\approx -21.21 \text{ MeV @ } a = d^* \\ V^{\min} &\approx -21.40 \text{ MeV @ } a \approx 2.70 \text{ fm} \\ &\text{and} \\ \mathcal{R} &\approx 2.88 \text{ fm @ } a = d^* \\ \mathcal{R}^{\min} &\approx 2.70 \text{ fm @ } a \approx 2.50 \text{ fm} \end{aligned}$$

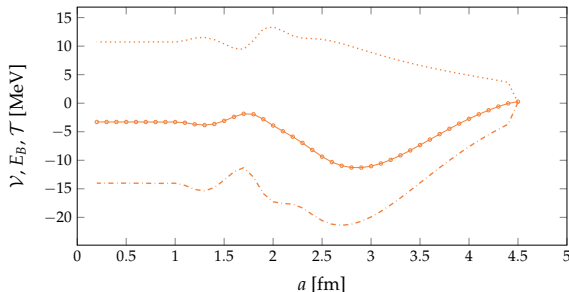
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$I_z = 2 \text{ Pdf}$: 4 principal maxima on the x and y axes, located at a distance $d^* = 2.83$ fm from the origin.

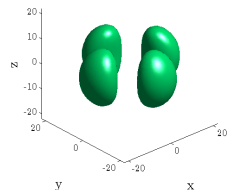
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$2_1^+ E (I_z = 2)$



Still:

$$\mathcal{V} \approx -21.21 \text{ MeV} @ a = d^*$$

$$\mathcal{V}^{min} \approx -21.40 \text{ MeV} @ a \approx 2.70 \text{ fm}$$

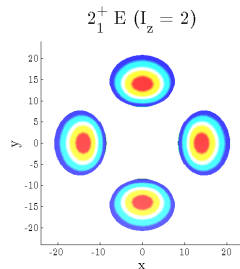
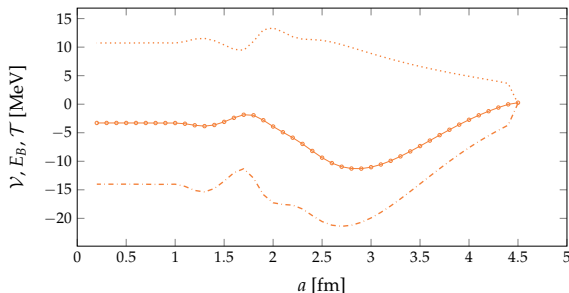
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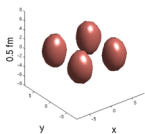
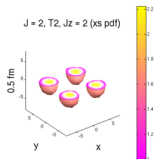
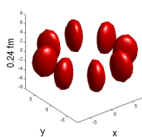
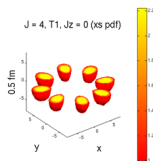
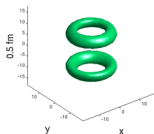
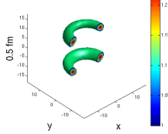
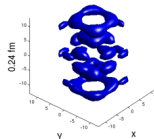
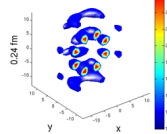
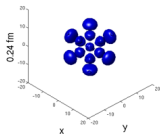
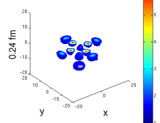
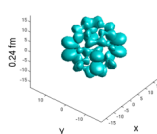
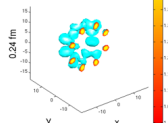


Still:

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Other low-energy ^8Be wavefunctions

 $J = 2, T_2, J_z = 2$ (pdf) $J = 2, T_2, J_z = 2$ (xs pdf) $J = 4, T_1, J_z = 0$ (pdf) $J = 4, T_1, J_z = 0$ (xs pdf) $J = 2, T_2, J_z = 3$ (pdf) $J = 2, T_2, J_z = 3$ (xs pdf) $J = 4, T_1, J_z = 1$ (pdf) $J = 4, T_1, J_z = 1$ (xs pdf) $J = 4, A_1, J_z = 0$ (pdf) $J = 4, A_1, J_z = 0$ (xs pdf) $J = 6, T_1, J_z = 1$ (pdf) $J = 6, T_1, J_z = 1$ (xs pdf)

Conclusions & Outlook

The macroscopic α -cluster model in [PR D 90, 034507 \(2014\)](#) has been applied to the ^8Be and ^{12}C on the lattice. A fully-parallel method based on the power iteration has been adopted for the diagonalization of the Hamiltonian, allowing for

- ① the reconstruction of a larger part of the low-energy spectrum of the two nuclei, made possible by the application of projectors;

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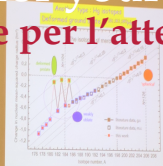
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Perspectives and hints

- ♠ Extension of the analysis to the $^{16}\text{O} \Rightarrow$ adaption of the present MPI diagonalization code to GPU calculations;
- ♠ Derivation of an analytical formula for the leading order FV energy corrections for bound states in presence of a Coulomb-type potential.

Thanks for your attention!
Grazie per l'attenzione!



Rotational Symmetry

On the lattice 3-dim rotational symmetry reduces to a subgroup of $SO(3)$, the cubic group \mathcal{O} . A process of descent in symmetry takes place: $\alpha = x; y; z$

continuum, ∞ – volume : $SO(3) \implies [H, L^2] = 0, [H, L_\alpha] = 0$

\Downarrow

continuum, finite volume : $\mathcal{O} \subset SO(3) \implies [H, L^2] = 0, [H, L_\alpha] \neq 0$

\Downarrow

discrete, finite volume : $\mathcal{O} \subset SO(3) \implies [\mathcal{H}, \mathcal{L}^2] \neq 0, [\mathcal{H}, \mathcal{L}_\alpha] \neq 0$

Accordingly

«Only eight [five: A_1, A_2, E, T_1, T_2] different possibilities exist for rotational classification of states on a cubic lattice. So, the question arises: how do these correspond to the angular momentum states in the continuum? [...] To be sure of higher spin assignments and mass predictions it seems necessary to follow all the relevant irreps simultaneously to the continuum limit. »

R.C. Johnson, Phys. Lett. B 114, 147-151, (1982).

Discretization on ^8Be : the $2_1^+ T_2$ states

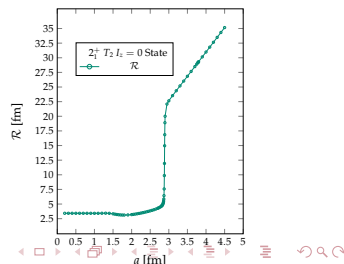
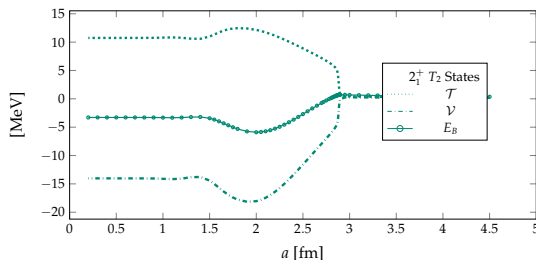
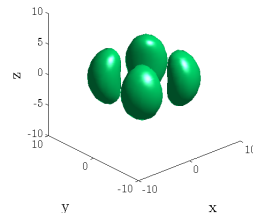
$I_z = 2 \text{ Pdf}$: four principal maxima in the intersection betw. the $z = 0$ plane and the $x = \pm y$ planes, s.t. $d^* = 2.83 \text{ fm}$.

$\Rightarrow E_B(a)$ minima are, then, predicted to lie at

$$a = \frac{\sqrt{2} d^*}{2} \frac{1}{n} \text{ with } n \geq 1, \text{ i.e. } a \approx 2.02, 1.01, 0.67, \dots$$

In practice: two E_B minima at $a \approx 1.05$ and 2.02 fm are observed

$2_1^+ T_2 (I_z=2)$



Discretization on ^8Be : the $2_1^+ T_2$ states

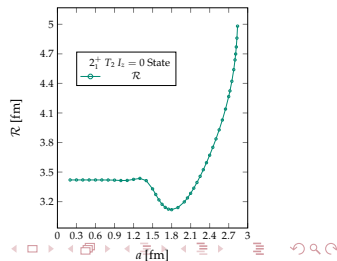
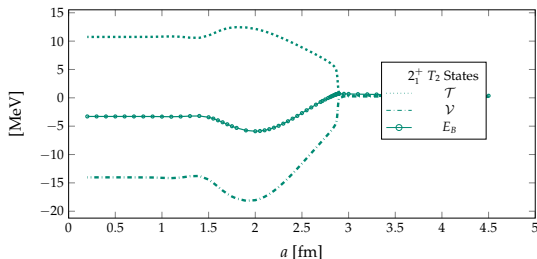
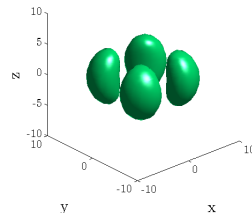
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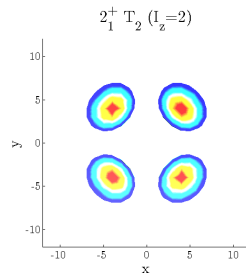
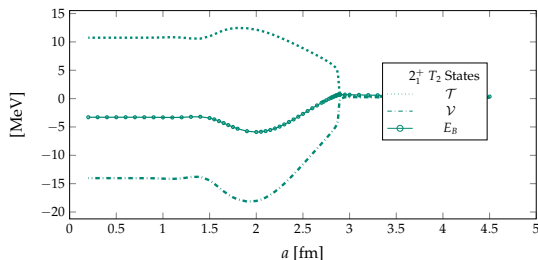
Discretization on ^8Be : the $2_1^+ T_2$ states

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In practice: two E_B minima at $a \approx 1.05$ and 2.02 fm are observed



In addition:

$$\mathcal{V} \approx -5.43 \text{ MeV} @ a = d^*$$

$$\mathcal{V}^{\min} \approx -18.05 \text{ MeV} @ a \approx 1.15 \text{ fm}$$

and

$$\mathcal{R} \approx 4.86 \text{ fm} @ a = d^*$$

$$\mathcal{R}^{\min} \approx 3.11 \text{ fm} @ a \approx 1.78 \text{ fm}$$

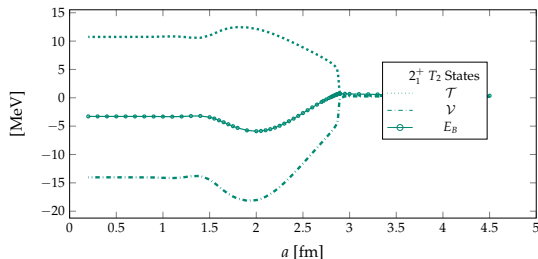
Discretization on ^8Be : the $2_1^+ T_2$ states

$I_z = 1, 3$ Pdf: 2 circles of principal maxima about the z axis,
located at a distance $d^* = 2.83$ fm from the origin.

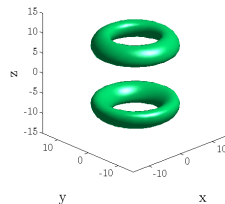
$\Rightarrow E_B(a)$ minima are, then, predicted to lie at

$$a = \frac{\sqrt{2}}{2} \frac{d^*}{n} \text{ with } n \geq 1, \text{ i.e. } a \approx 2.02, 1.01, 0.67, \dots$$

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$2_1^+ T_2 (I_z=1,3)$



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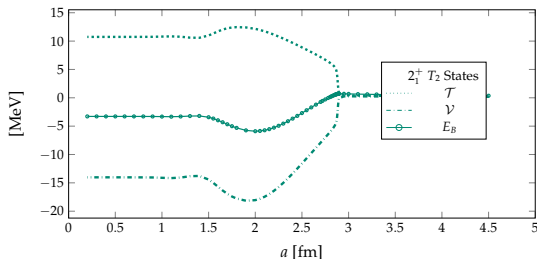
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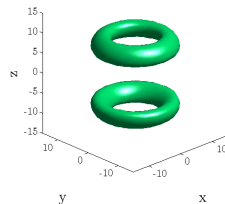
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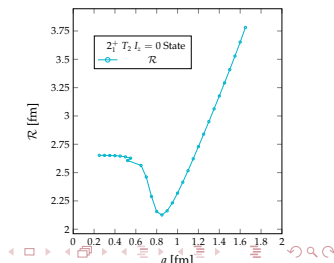
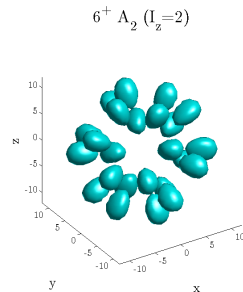
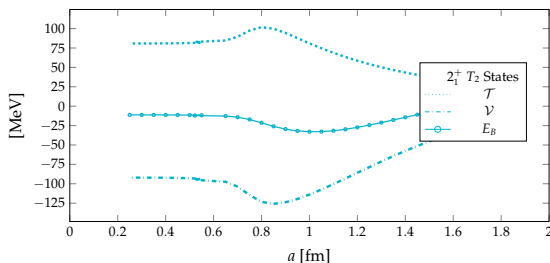
$$\mathcal{V}^{\min} \approx -18.05 \text{ MeV @ } a \approx 1.15 \text{ fm}$$

Discretization on ^8Be : the $6_1^+ A_2$ state

$I_z = 2$ Pdf: four equidistant couples of principal maxima separated by an angle $\gamma \approx 34.2^\circ$ and located at a distance $d^* \approx 2.31$ fm from the origin in the x, y and $z = 0$ planes.



The 24 maxima cannot be included on the lattice



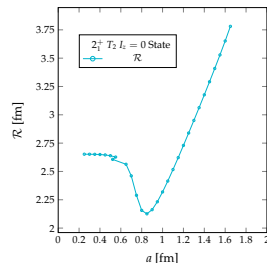
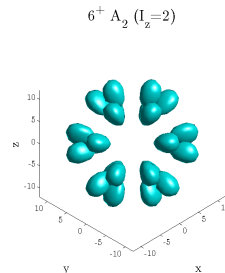
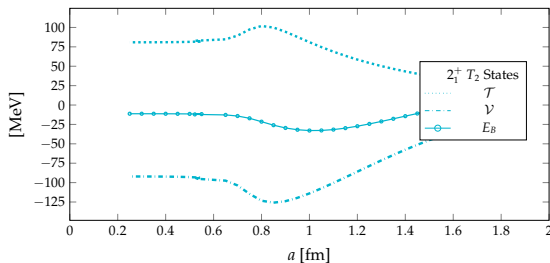
Discretization on ^8Be : the $6_1^+ A_2$ state

Considering the inclusion conditions of a couple of maxima in the 1st quadrant of the xy plane ($n \geq 1$):

$$a_x = \frac{d^*}{n} \cos\left(\frac{\pi}{4} - \frac{\gamma}{2}\right), \text{ i.e. } a_y \approx 2.04, 1.02, 0.68\dots$$

$$a_y = \frac{d^*}{n} \sin\left(\frac{\pi}{4} - \frac{\gamma}{2}\right), \text{ i.e. } a_y \approx 1.08, 0.54, 0.36\dots$$

In practice: an E_B minimum at $a \approx 1.03$ fm is observed !



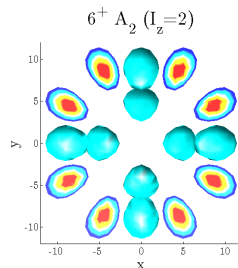
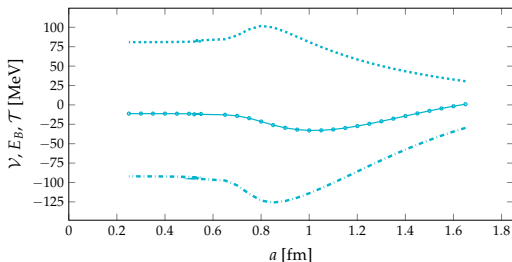
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In practice: an E_B minimum at $a \approx 1.03$ fm is observed !



In addition:

$\mathcal{V} \approx 0.0$ MeV @ $a = d^*$ (unbound)

$\mathcal{V}^{\min} \approx -125.85$ MeV @ $a \approx 0.85$ fm

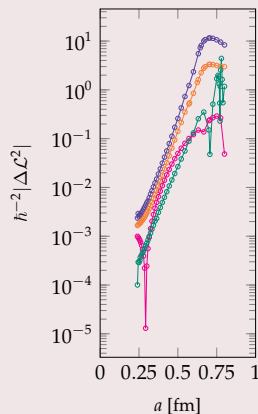
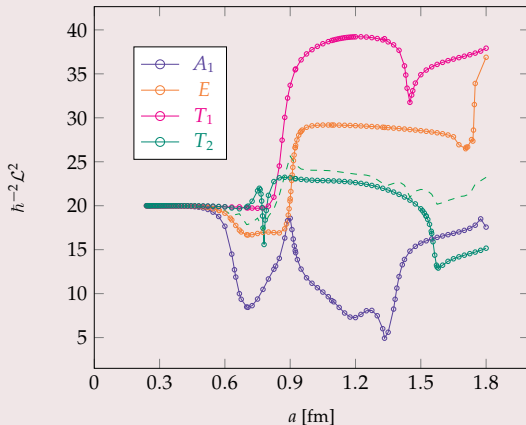
and

$\mathcal{R} \gg \mathcal{R}^{\min}$ @ $a = d^*$

$\mathcal{R}^{\min} \approx 2.13$ fm @ $a \approx 0.85$ fm

The low-energy ^8Be spectrum: the 4_2^+ multiplet

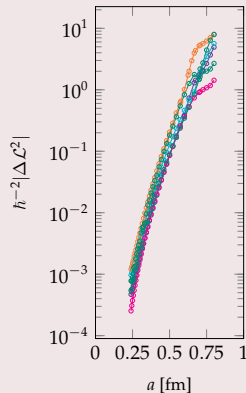
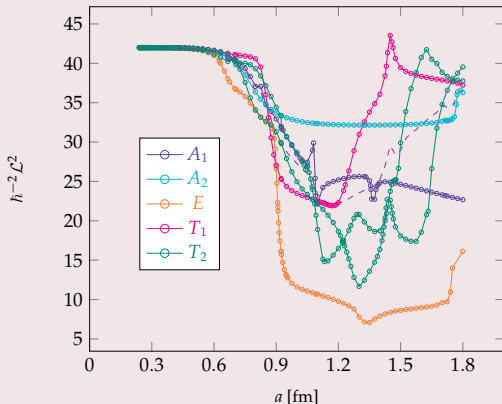
$f = 2.5, a = 0.25 \text{ fm}$



Remark: for $a \lesssim 0.80 \text{ fm}$ $|\Delta \mathcal{L}^2| \propto \exp(c_\kappa a)$ with $c_\kappa > 0$

The low-energy ${}^8\text{Be}$ spectrum: the 6_1^+ multiplet

$f = 2.5, a = 0.25$ fm



Remark: for $a \lesssim 0.80$ fm $|\Delta \mathcal{L}^2| \propto \exp(c_\kappa a)$ with $c_\kappa > 0$