

Relationship between the ESPQT and the thermal phase transition in the Dicke model

P. Pérez-Fernández

Departamento de Física Aplicada III, Universidad de Sevilla, Sevilla, Spain
In collaboration with A. Relaño



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- **Quantum phase transitions** (QPT's) describe the change in the ground state wave function of a many particle system due to quantum fluctuations.
- An **excited state quantum phase transition** (ESQPT) is similar to a QPT but affecting to excited states. (M. A. Caprio, P. Cejnar and F. Iachello, Ann. Phys. (NY) **323**, 1106 (2008))
- It has been suggested that precursors of QPT's can be observed in finite-size mesoscopic systems, although the concepts of phase transition and critical points have been defined, strictly speaking, for macroscopic systems.

The Dicke model displays several phase transitions:

- **A Thermal Phase Transition.** (G. Comer Duncan, Phys. Rev. A **9**, 418 (1974).)
- **A Quantum Phase Transition.** (C. Emery and T. Brandes, Phys. Rev. E **67** 066203 (2003); C. Emery and T. Brandes, Phys. Rev. Lett. **90** 044101 (2003).)
- **An Excited State Quantum Phase Transition.** (PPF, A. Relaño, J. M. Arias, P. Cejnar, J. Dukelsky, and J. E. García-Ramos, Phys. Rev. E **83**, 046208 (2011); PPF, A. Relaño, J. M. Arias, P. Cejnar, J. Dukelsky, and J. E. García-Ramos, Phys. Rev. E **83**, 046208 (2011).)

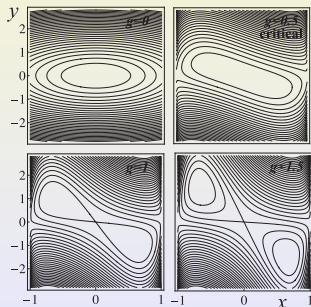
The Dicke model

The Hamiltonian

$$H = \omega_0 J_z + \omega a^\dagger a + \frac{2\lambda}{\sqrt{N}} J_x (a^\dagger + a)$$

There are two conserved quantities, apart from H :

- The parity, $\Pi = \exp(i\pi [j + J_z + a^\dagger a])$. So $\Pi |E_i, \pm\rangle = \pm |E_i, \pm\rangle$.
- The total angular momentum J^2 of the N 1/2-spin particles.



Highly-symmetric Dicke states, $|j = N/2, M\rangle$

Microcanonical ensemble

The density of states is proportional to the size of the phase space available to the system,

$$\rho(E, j) = C \int dq_1 dq_2 dp_1 dp_2 \delta [E - H(q_1, q_2; p_1, p_2)]$$

$$\langle J_z(E, j) \rangle = \frac{1}{\rho(E, j)} \int dq_1 dq_2 dp_1 dp_2 \delta [E - H(q_1, q_2; p_1, p_2)] J_z$$

$$\langle J_x(E, j) \rangle = \frac{1}{\rho(E, j)} \int dq_1 dq_2 dp_1 dp_2 \delta [E - H(q_1, q_2; p_1, p_2)] J_x$$

Canonical ensemble

Considering that the system weakly interacts with a thermal bath which commutes with J^2 , the partition function is given by:

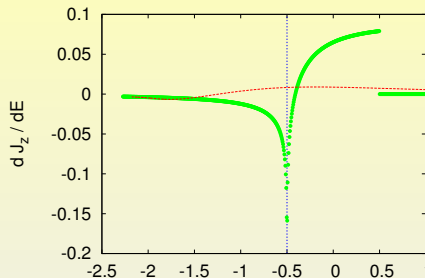
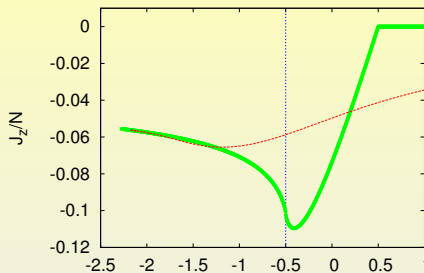
$$Z(N, \beta) = \sqrt{\frac{1}{\pi\beta\omega}} \int_{-\infty}^{\infty} dx \exp \left[-\beta \left(\omega x^2 + \frac{N}{2} \sqrt{\omega_0^2 + \frac{4\lambda^2 x^2}{N}} \right) \right] \\ \times \frac{\exp \left(\beta(N+1) \sqrt{\omega_0^2 + \frac{4\lambda^2 x^2}{N}} \right) - 1}{\exp \left(\beta \sqrt{\omega_0^2 + \frac{4\lambda^2 x^2}{N}} \right) - 1}$$

It has been shown that there is no thermal phase transition for this case. (M. Aparicio Alcalde *et. al.*, Phys. Rev. E **86**, 012101 (2012))

Other thermodynamic results can be obtained from the partition function:

$$\langle E \rangle = -\frac{\partial \log Z}{\partial \beta} \quad \langle J_z \rangle = -\frac{1}{\beta} \frac{\partial \log Z}{\partial \omega_0} \quad \langle J_x \rangle = 0$$

Results for $\omega = \omega_0 = 1$, $\lambda = 3\lambda_c = 1.5$, and $N = 10^5$



- The different ensembles are not equivalent in the thermodynamic limit. This is due to the finite number of (semiclassical) degrees of freedom that the system has in the thermodynamic limit, $N \rightarrow \infty$. (P. Stránský and P. Cejnar, Phys. Lett. A **381**, 984 (2017))

The Hamiltonian

$$H_j = \omega a^\dagger a + \omega_0 J_z + \frac{2\lambda_j^{\text{eff}}}{\sqrt{2j}} J_x (a + a^\dagger)$$

$$\lambda_j^{\text{eff}} = \lambda \sqrt{2j/N}$$

- H_j is formally identical than the one of the highly-symmetric sector, $j = N/2$, but with a different effective coupling λ_j^{eff} .
- Critical λ for the ESQPT:

$$\lambda_c^{(j)} = \sqrt{\frac{N\omega\omega_0}{8j}}$$

- The $j = 0$ sector does not exhibit an ESQPT in any case ($\lambda_c^{(j)} \rightarrow \infty$).

$$\rho(E) = \sum_{j=0}^{N/2} g(N, j) \rho(E, j)$$

$$g(N, j) = \frac{1 + 2j}{1 + j + N/2} \binom{N}{N/2 - j}$$

- We define $x = j/N$ that can be taken as a continuous variable $x \in [0, 1/2]$ in the thermodynamical limit, $N \rightarrow \infty$.

$$\rho(E, N) = \int_0^{1/2} dx g(N, x) \rho(E, Nx)$$

$$\langle J_z(E, N) \rangle = \frac{1}{\rho(E, N)} \int_0^{1/2} dx g(N, x) \rho(E, Nx) J_z(E, Nx)$$

$$\langle J_x(E, N) \rangle = \frac{1}{\rho(E, N)} \int_0^{1/2} dx g(N, x) \rho(E, Nx) J_x(E, Nx)$$

Canonical ensemble

$$Z(N, \beta) = \frac{2^N}{\sqrt{\pi\beta\omega}} \int_{-\infty}^{\infty} dx \exp(-\beta\omega x^2) \left[\cosh \left(\frac{\beta\sqrt{N\omega_0^2 + 16\lambda^2 x^2}}{2\sqrt{N}} \right) \right]^N$$

(G. Comer Duncan, Phys. Rev. A **9**, 418 (1974).)

$$\langle J_\alpha(N, \beta) \rangle = \frac{1}{Z(N, \beta)} \text{Tr} [J_\alpha \exp(-\beta H)]$$

In the thermodynamical limit:

$$\lim_{N \rightarrow \infty} Z(N, \beta) = \sqrt{\frac{2}{\beta |\Psi''(y_0)|}} \exp [N\Psi(y_0)]$$

$$\Psi(y) = -\beta\omega y^2 + \log \left(2 \cosh \left[\frac{\beta\omega_0}{2} \sqrt{1 + \frac{16\lambda^2 y^2}{\omega_0^2}} \right] \right)$$

We find that the partition function becomes nonanalytic at the critical temperature β_c

$$\beta_c = \frac{2}{\omega_0} \tanh^{-1} \left(\frac{\omega\omega_0}{4\lambda^2} \right)$$

- If $\lambda < \lambda_c$, there is no thermal phase transition.
- At $\lambda = \lambda_c$, the phase transition takes place at $T \rightarrow 0$ (QPT).
- If $\lambda > \lambda_c$, there exists a thermal phase transition at a critical temperature $T_c = 1/\beta_c$.

Spontaneous symmetry breaking

- Usual order parameters for the superradiant phase transition: J_z or $a^\dagger a$.
- Those observables do not break the parity symmetry \mathbb{Z}_2 . This symmetry comes from the invariance of H under $J_x \rightarrow -J_x$ and $a \rightarrow -a$.
- $\langle J_x \rangle = 0$ in any eigenstate with well-defined parity.

$$H_\epsilon = \omega a^\dagger a + \omega_0 J_z + \frac{2\lambda}{\sqrt{N}} J_x (a^\dagger + a) + \epsilon J_x$$
$$\epsilon \ll \omega, \omega_0, \lambda$$

- Motivation: to study the behavior of $\langle J_x \rangle$ when crossing the thermal phase transition.

Partition function and observables

$$\lim_{N \rightarrow \infty} Z_\epsilon(N, \beta) = \sqrt{\frac{2}{\beta |\Psi''_\epsilon(y_0)|}} \exp[N\Psi_\epsilon(y_0)]$$

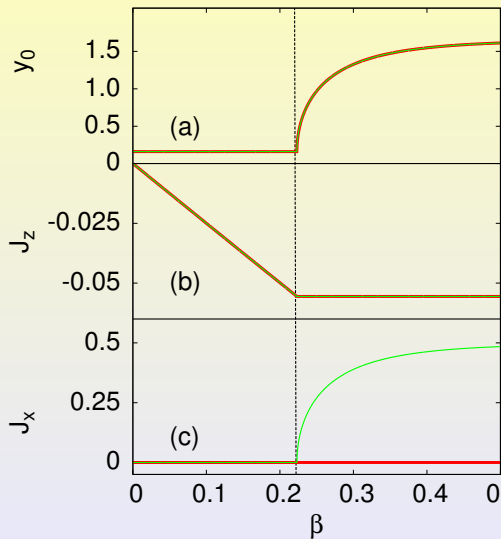
$$\Psi_\epsilon(y) = -\beta\omega y^2 + \log \left(2 \cosh \left[\frac{\beta\omega_0}{2} \sqrt{1 + \left(\frac{\epsilon + 4\lambda y}{\omega_0} \right)^2} \right] \right)$$

$$\langle J_Z \rangle_\epsilon = \frac{1}{\beta} \frac{\partial \log Z_\epsilon}{\partial \omega_0}$$

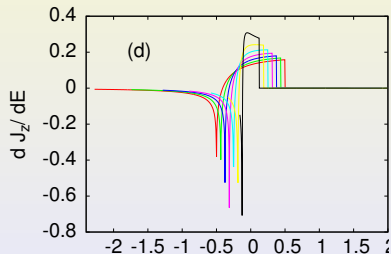
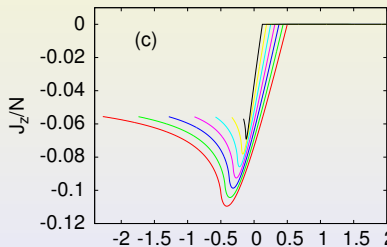
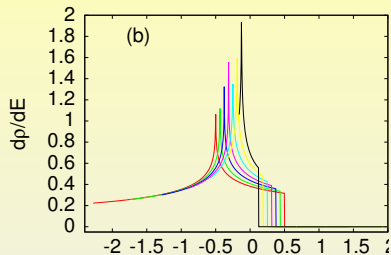
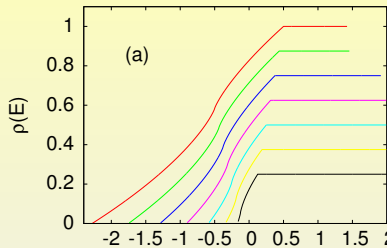
$$\langle J_X \rangle_\epsilon = \frac{1}{\beta} \frac{\partial \log Z_\epsilon}{\partial \epsilon}$$

- We study both observables in the limit $\epsilon \rightarrow 0$.

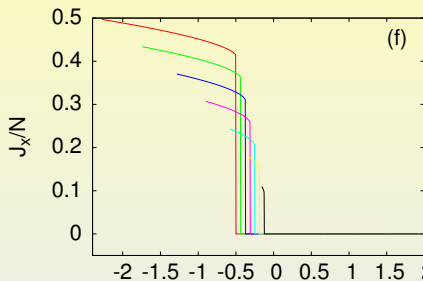
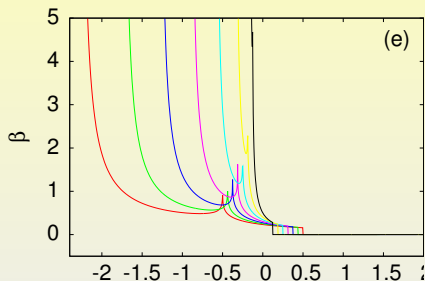
Numerical results



Numerical results for different j -sectors



Numerical results for different j -sectors



- Microcanonical temperature:

$$\beta = \frac{\partial \log \rho(E)}{\partial E}$$

Link between ESQPT and Thermal Phase Transition

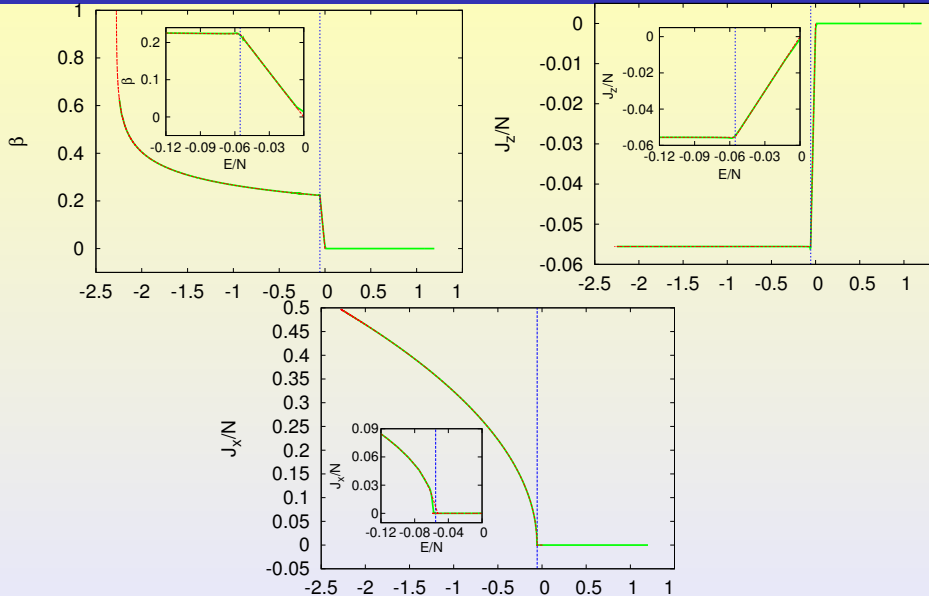
Critical Energy and critical J_z

$$\langle E_c \rangle = - \left. \frac{\partial \log Z}{\partial \beta} \right|_{\beta_c} \quad \langle J_{z,c} \rangle = \left. \frac{1}{\beta} \frac{\partial \log Z}{\partial \omega_0} \right|_{\beta_c}$$

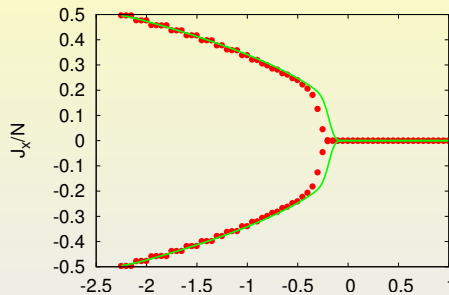
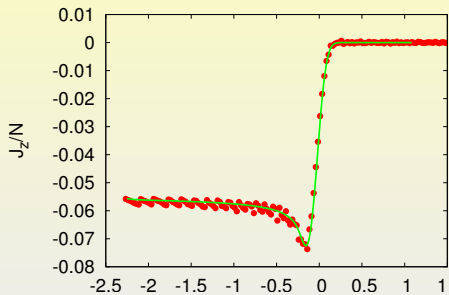
Numerical case: $\omega = \omega_0 = 1$ and $\lambda = 1.5$

$$\begin{aligned} \beta_c &= 0.223144 \\ \langle E_c \rangle / N &= -0.055 \\ \langle J_{z,c} \rangle &= -0.055 = \langle E_c \rangle / N \end{aligned}$$

Numerical results



Exact numerical results for $N = 50$



Summary and conclusions

- We have analyzed the relationship between the thermal phase transition and the ESQPT in the Dicke model when all the j -sectors are taken into account.
- Canonical and microcanonical ensembles are incompatible if we consider just the highly-symmetric representation, i. e. $j = N/2$.
- In order to get a correct description of the thermodynamics properties it is necessary to include all the j -sectors.
- To perform the microcanonical analysis we must adopt a semiclassical approach. For $N = 50$ works pretty well.

- We have shown that each j -sector is equivalent to the one with $j = N/2$, but with a smaller effective coupling strength.
- The main signatures of the ESQPT are ruled out when we collect all the j -sectors.
- $\langle J_x \rangle$ still behaves as an order parameter.
- We have shown that the parity symmetry is spontaneously broken in the thermodynamical limit, if the system is in contact with a thermal bath.

Reference: PPF. and A. Relaño, Phys. Rev. E **96**, 012121 (2017).

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Thank you!