

Shell Model, Level Density and Phase Transitions

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In collaboration with

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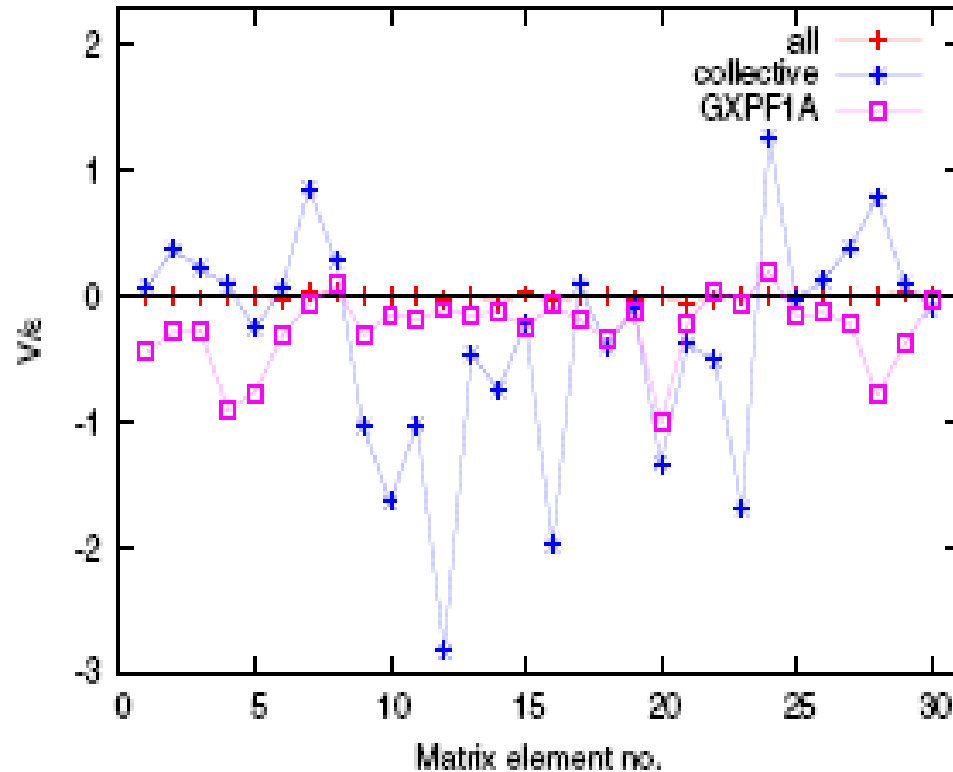
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Antonio Renzaglia

Alex Berlaga

Work in progress

Strong interaction 4.0



Matrix elements

9-12: pf mixing,

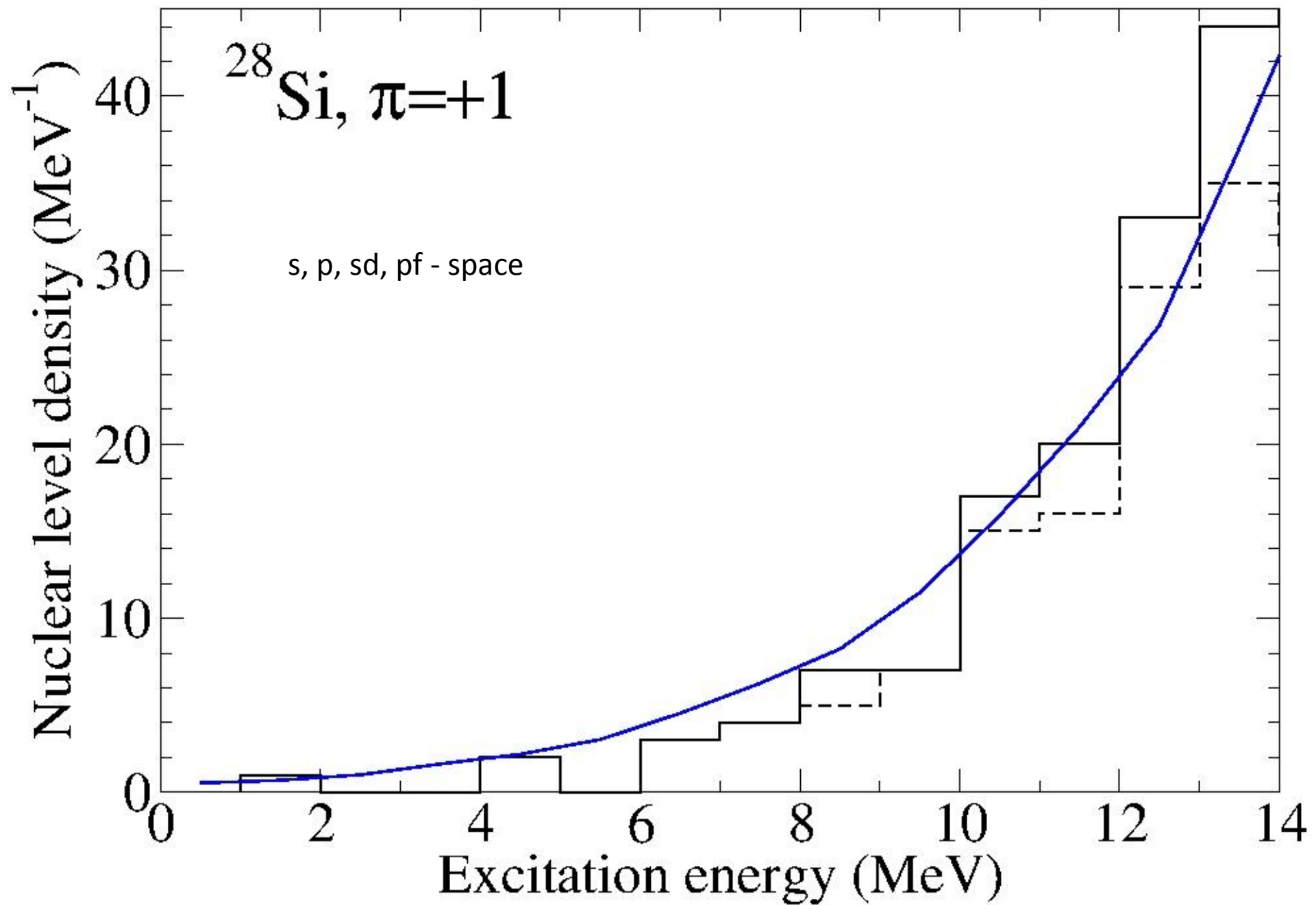
16 : quadrupole pair transfer,

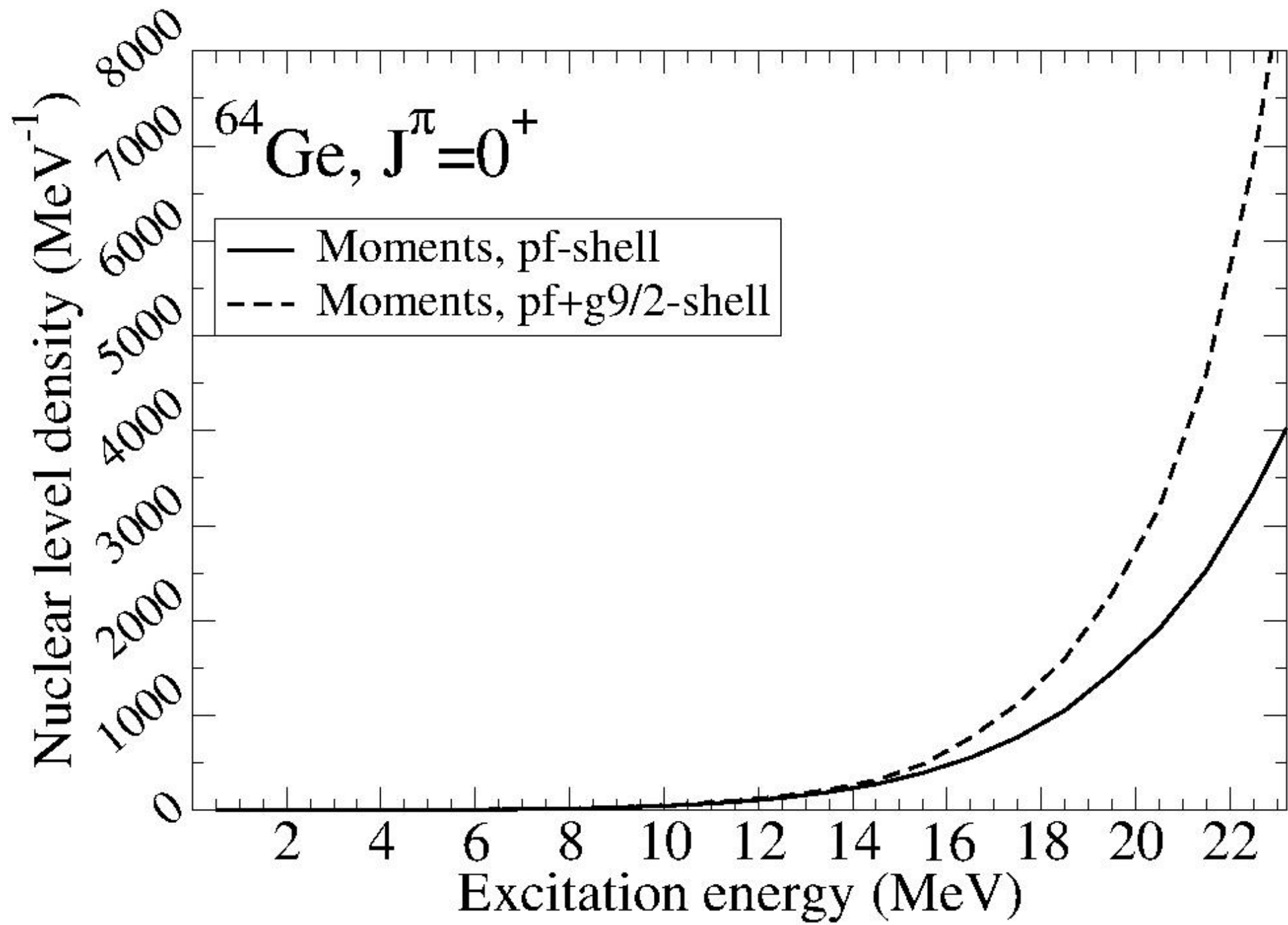
20-24: quadrupole-quadrupole forces

in particle-hole channel = formation of the mean field

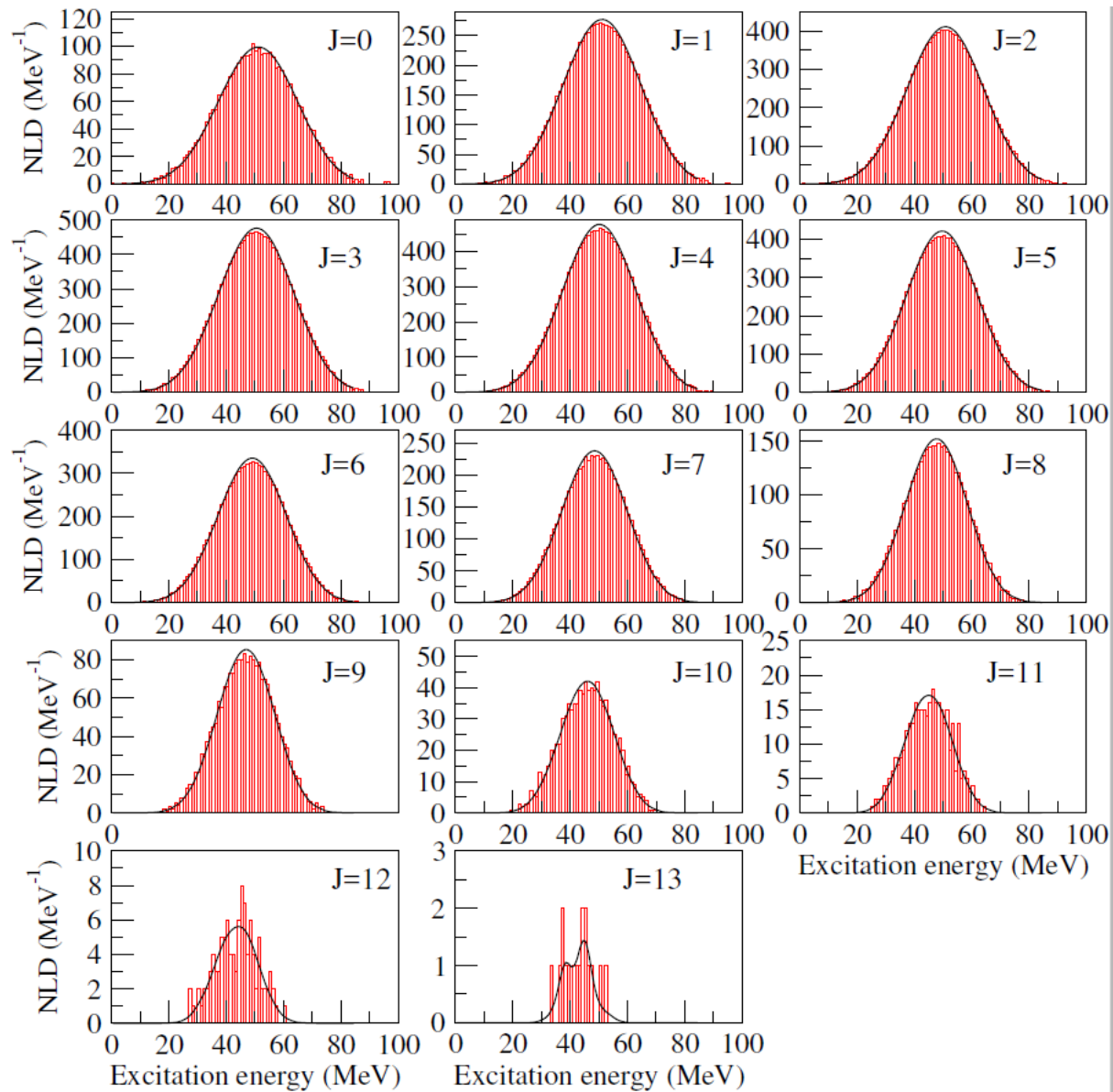
	$\langle j_1 j_2 V j_3 j_4 \rangle (JT)$	Full average	Prolate average
1	$\langle ff V ff \rangle (10)$	0.021	0.078
2	$\langle ff V ff \rangle (30)$	0.012	0.374
3	$\langle ff V ff \rangle (50)$	-0.007	0.227
4	$\langle ff V ff \rangle (70)$	0.007	0.089
5	$\langle ff V ff \rangle (01)$	0.008	-0.252
6	$\langle ff V ff \rangle (21)$	-0.020	0.062
7	$\langle ff V ff \rangle (41)$	0.026	0.869
8	$\langle ff V ff \rangle (61)$	0.034	0.282
9	$\langle ff V pf \rangle (30)$	0.004	-1.033
10	$\langle ff V pf \rangle (50)$	0.022	-1.630
11	$\langle ff V pf \rangle (21)$	0.006	-1.010
12	$\langle ff V pf \rangle (41)$	-0.010	-2.826
13	$\langle ff V pp \rangle (10)$	0.014	-0.451
14	$\langle ff V pp \rangle (30)$	-0.043	-0.739
15	$\langle ff V pp \rangle (01)$	0.025	-0.223
16	$\langle ff V pp \rangle (21)$	-0.036	-1.977
17	$\langle pf V pf \rangle (20)$	0.007	0.088
18	$\langle pf V pf \rangle (30)$	0.010	-0.393
19	$\langle pf V pf \rangle (40)$	-0.018	-0.092
20	$\langle pf V pf \rangle (50)$	0.004	-1.328
21	$\langle pf V pf \rangle (21)$	-0.052	-0.376
22	$\langle pf V pf \rangle (31)$	-0.019	-0.507
23	$\langle pf V pf \rangle (41)$	0.011	-1.685
24	$\langle pf V pf \rangle (51)$	-0.003	1.276
25	$\langle pf V pp \rangle (30)$	0.007	-0.023
26	$\langle pf V pp \rangle (21)$	0.014	0.133
27	$\langle pp V pp \rangle (10)$	0.003	0.400
28	$\langle pp V pp \rangle (30)$	0.003	0.779
29	$\langle pp V pp \rangle (01)$	0.054	0.102
30	$\langle pp V pp \rangle (21)$	0.005	-0.092

Large fluctuations of non-extensive nature (the same for 10 000 and 100 000 realizations)





R.Sen'kov, V.Z.
PRC 93 (2016)

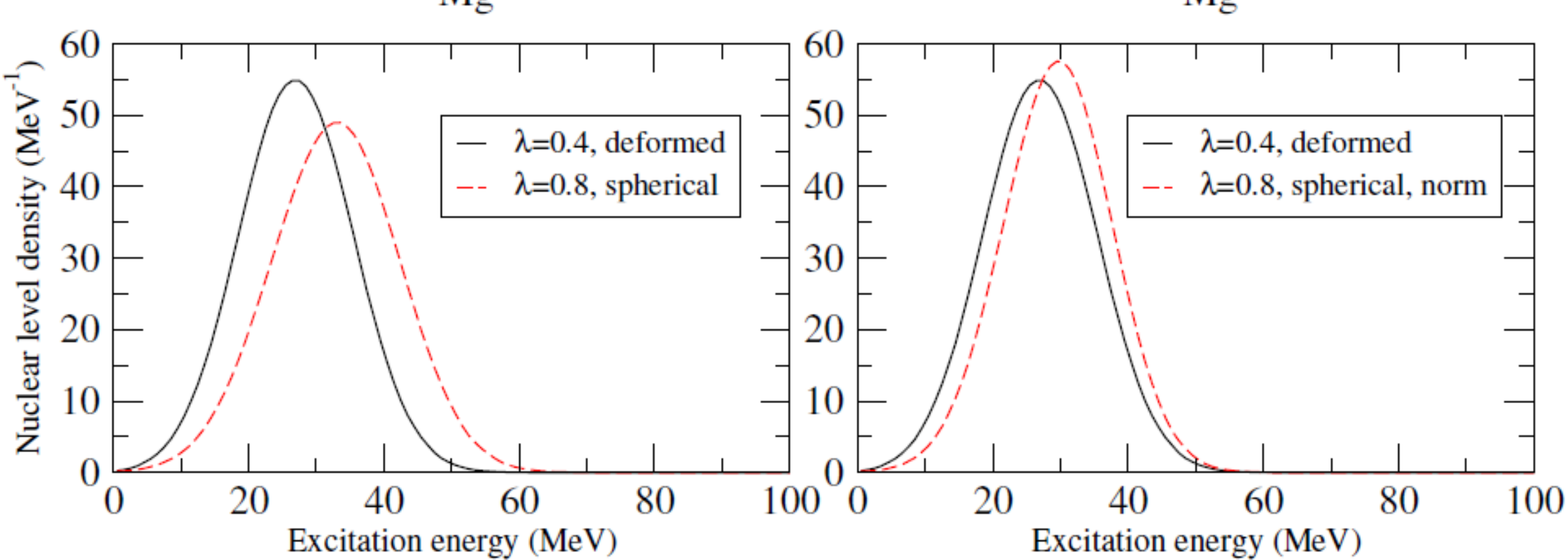


**Generic shape
(Gaussian)**

**Level density for different
classes of states for ^{28}Si**

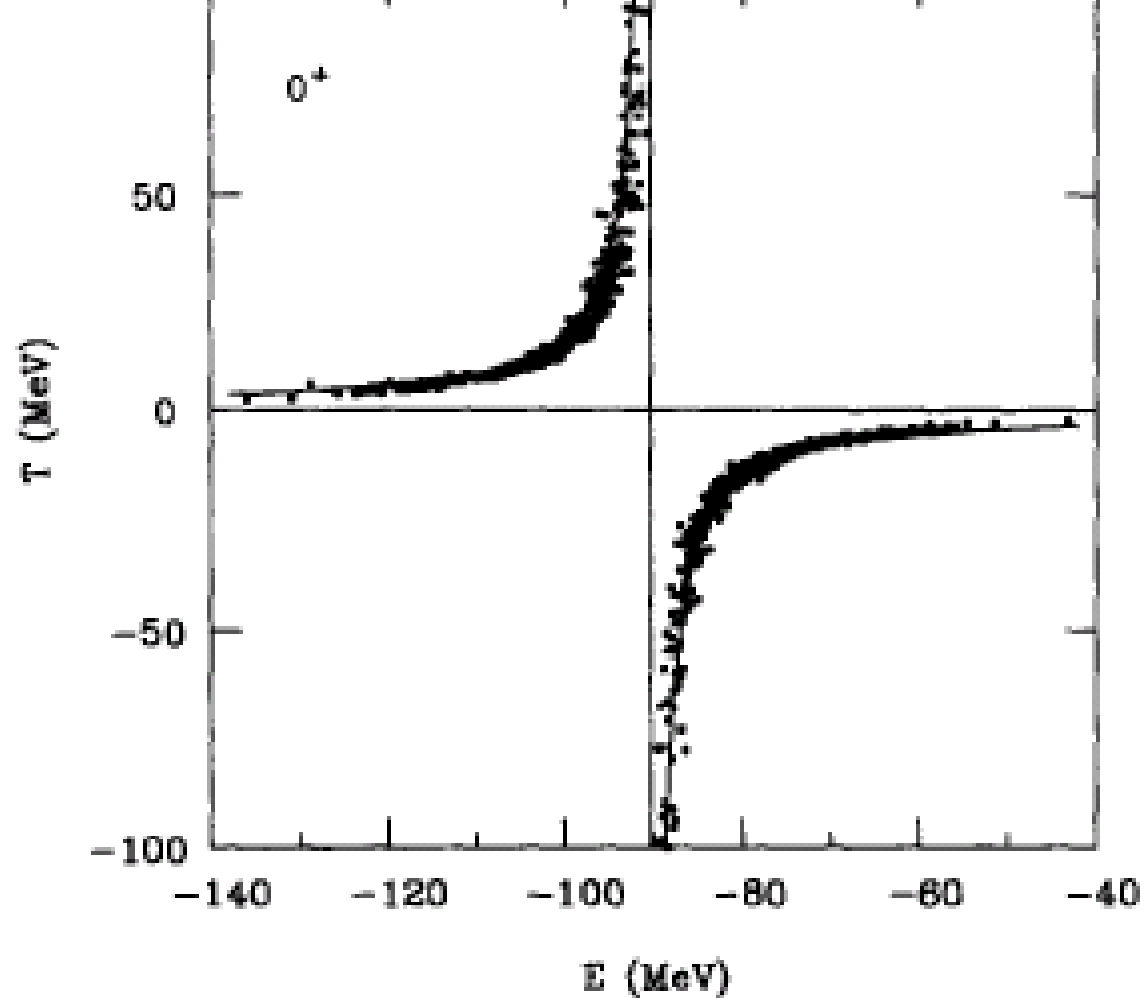
Full agreement between
exact shell model
and moments method

Problems: truncated orbital space,
only positive parity
in sd-model, ...



Level density (0+) on two sides of deformation shape transition

/"collective enhancement"/



Gaussian level density

CENTROID E_0

WIDTH σE

Microcanonical temperature

$$T_{\text{th}} = \sigma_E^2 / (E_0 - E)$$

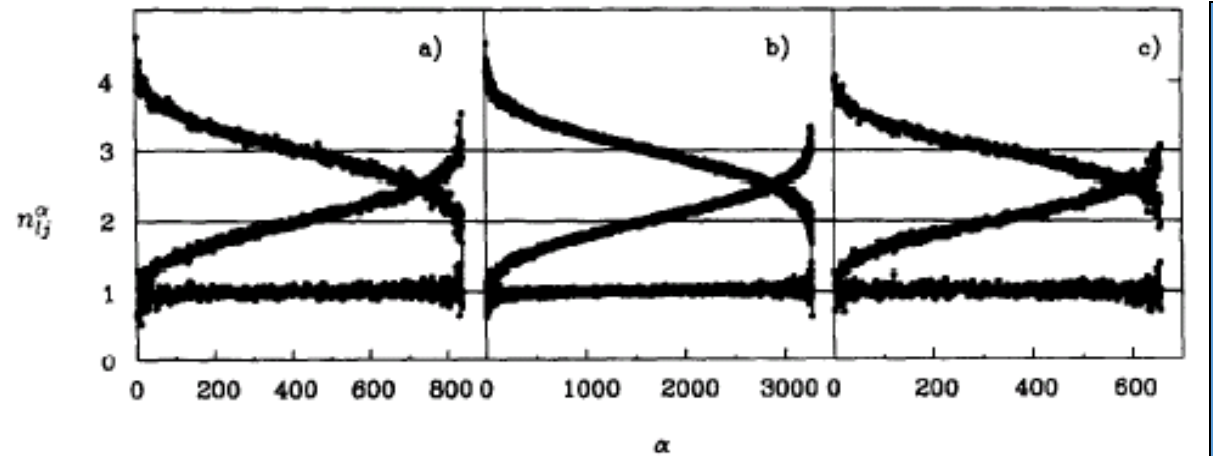
839 states (^{28}Si) $J=0$

EFFECTIVE TEMPERATURE of INDIVIDUAL STATES

From occupation numbers in the shell model solution (dots)

From thermodynamic entropy defined by level density (lines)

d5/2, d3/2, s1/2



28 Si

$J=0$

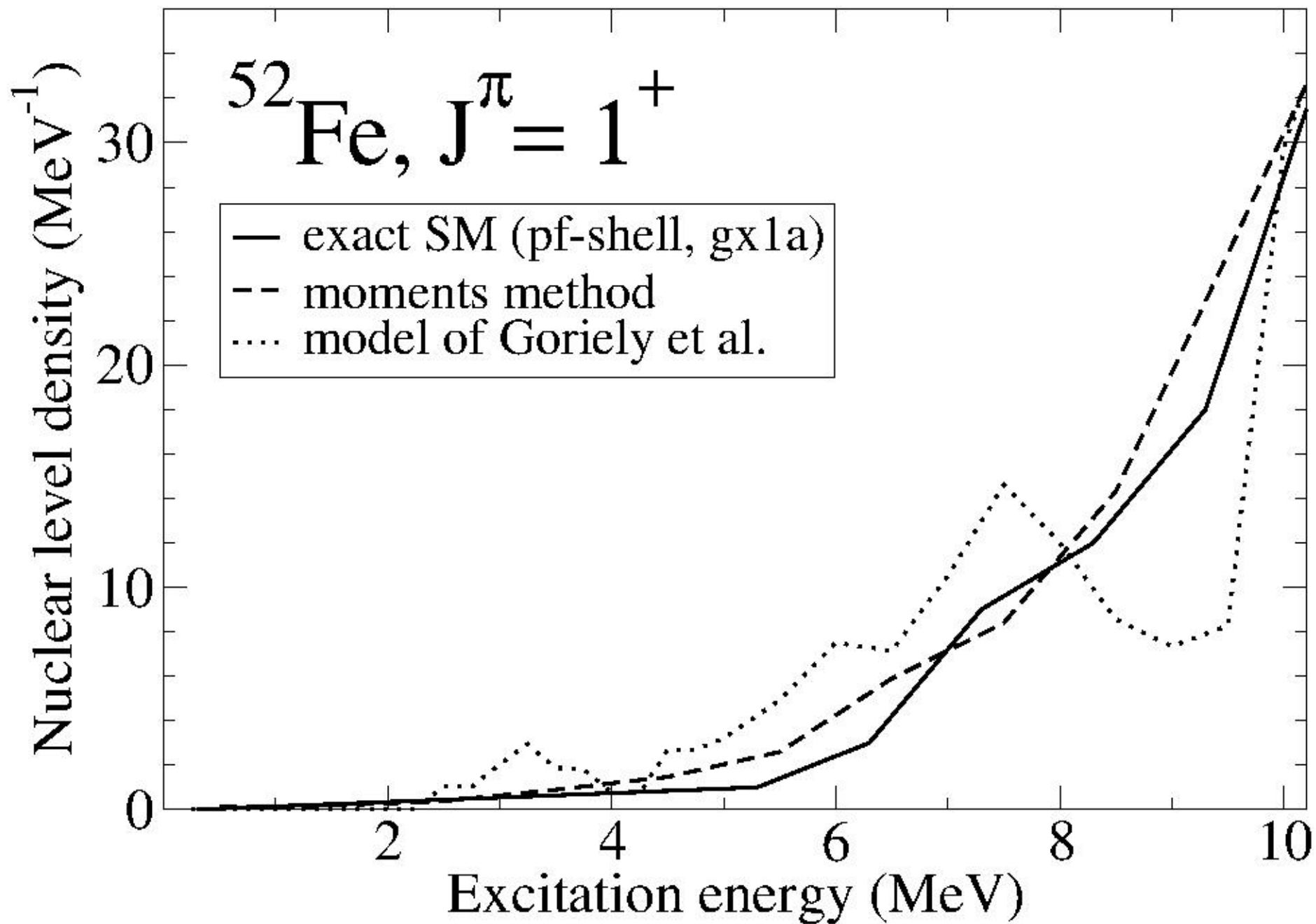
$J=2$

$J=9$

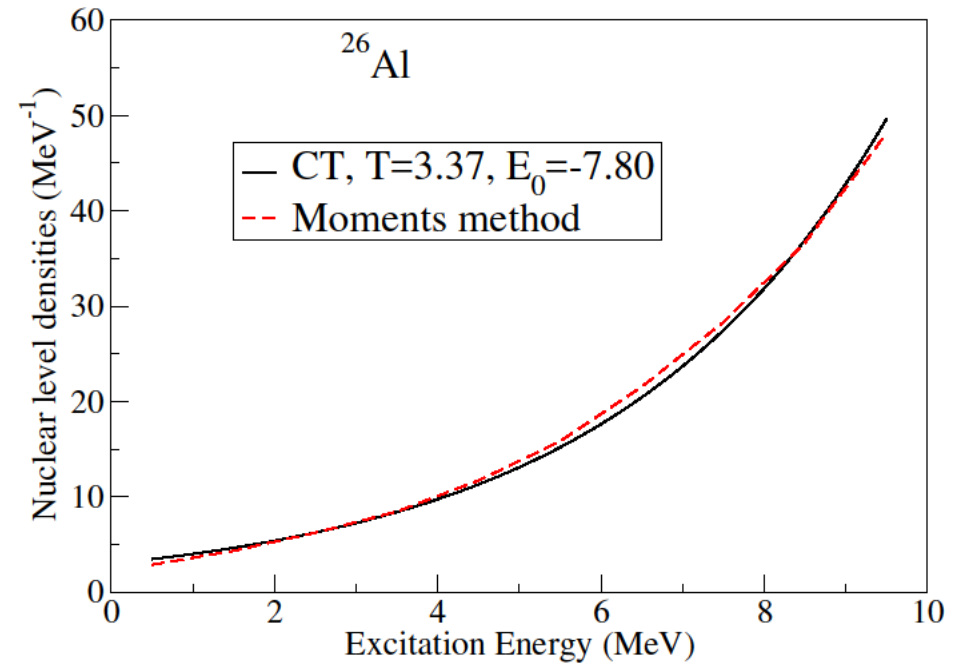
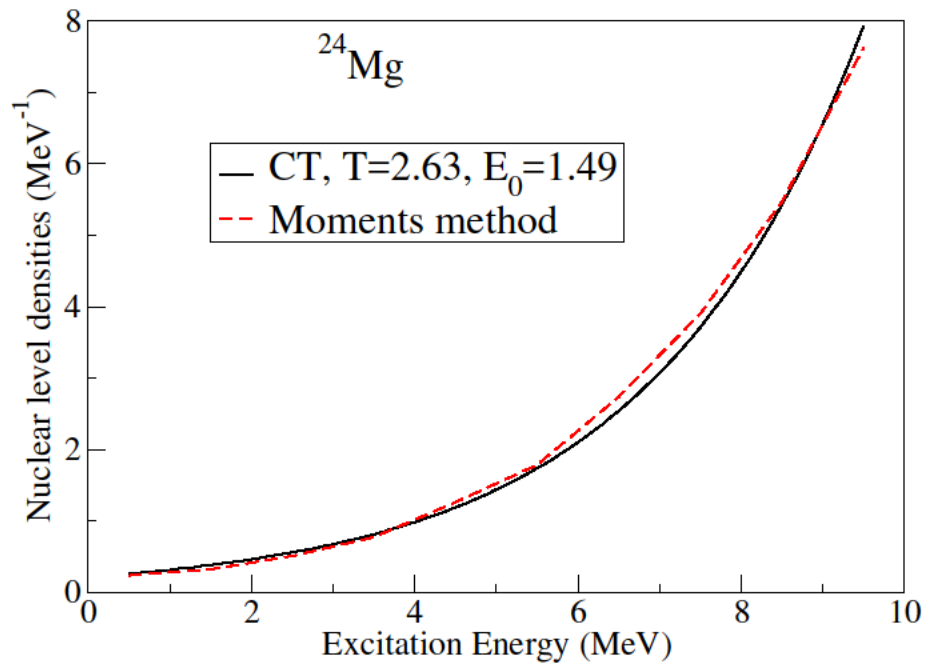
Single – particle occupation numbers

Thermodynamic behavior
identical in all symmetry classes

FERMI-LIQUID PICTURE



**Role of
incoherent
processes
(collision-like)**



Level density and “constant temperature” fit

L.D.(E) = (const) exp[E/T] – melting pairing?

Partition function = Trace{exp[-H/T(t-d)]} diverges at T > T(t-d)

$$T_{t-d} = \left(\frac{\partial S}{\partial E} \right)^{-1} = T \left(1 - e^{-E/T} \right)$$

Cumulative level number

$$N(E) = \exp(S),$$

Entropy $S(E) = \ln(N)$

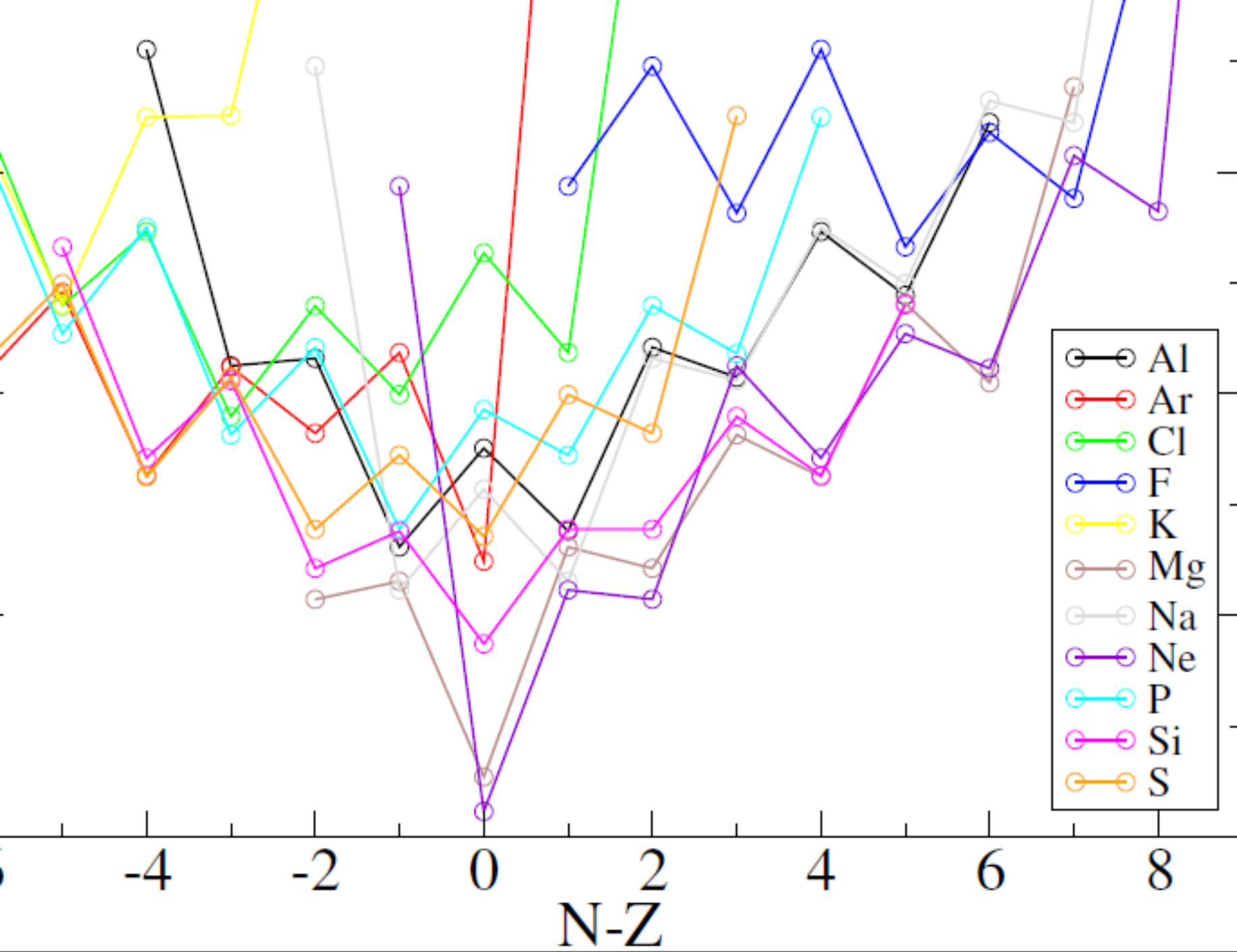
Thermodynamic temperature

$$T(t-d) = 1/[dS/dE] = T[1 - \exp(-E/T)]$$

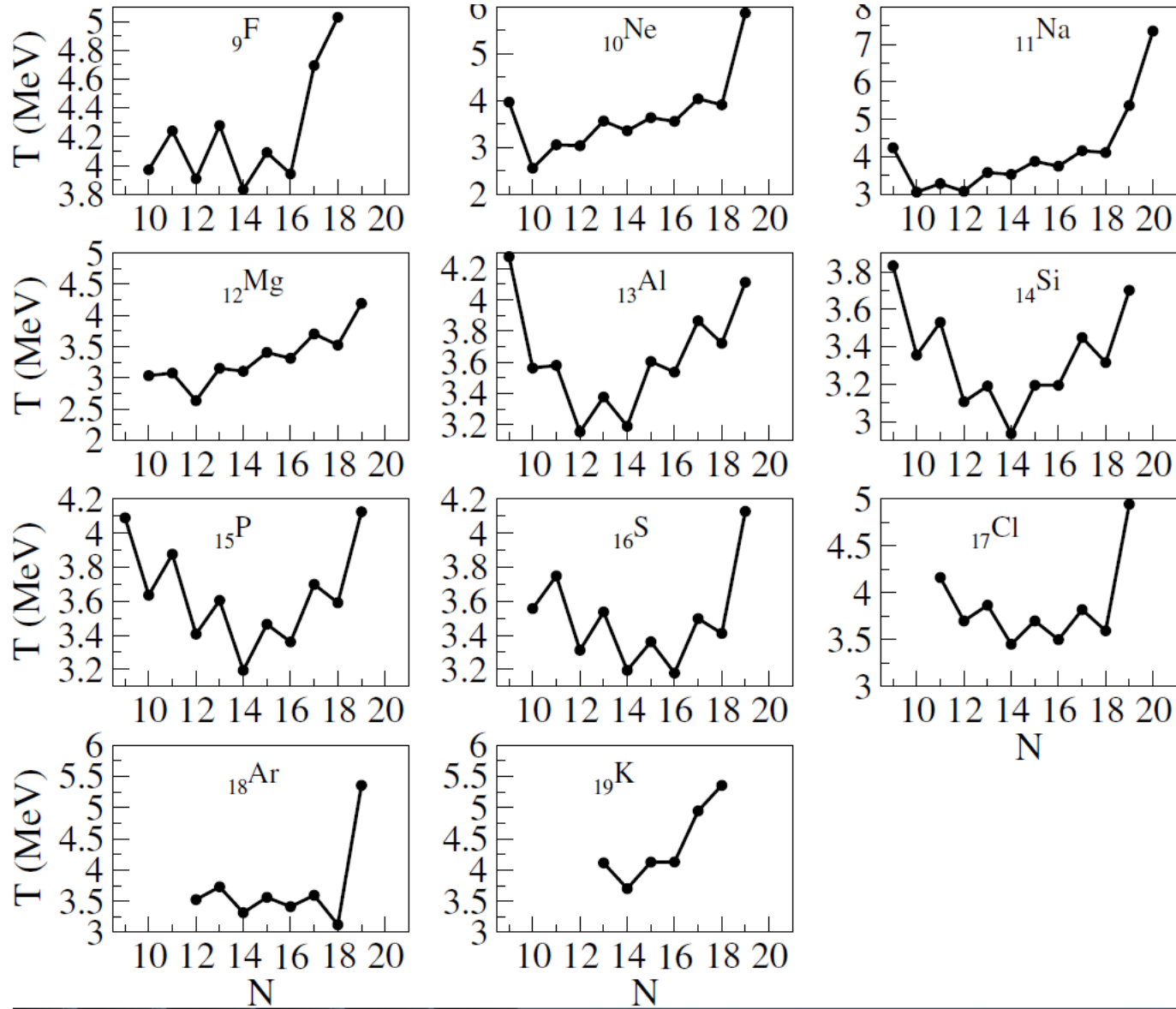
Parameter T is *limiting temperature*

(*Hagedorn temperature* in particle physics)

Pairing phase transition? (Moretto) - Chaotization



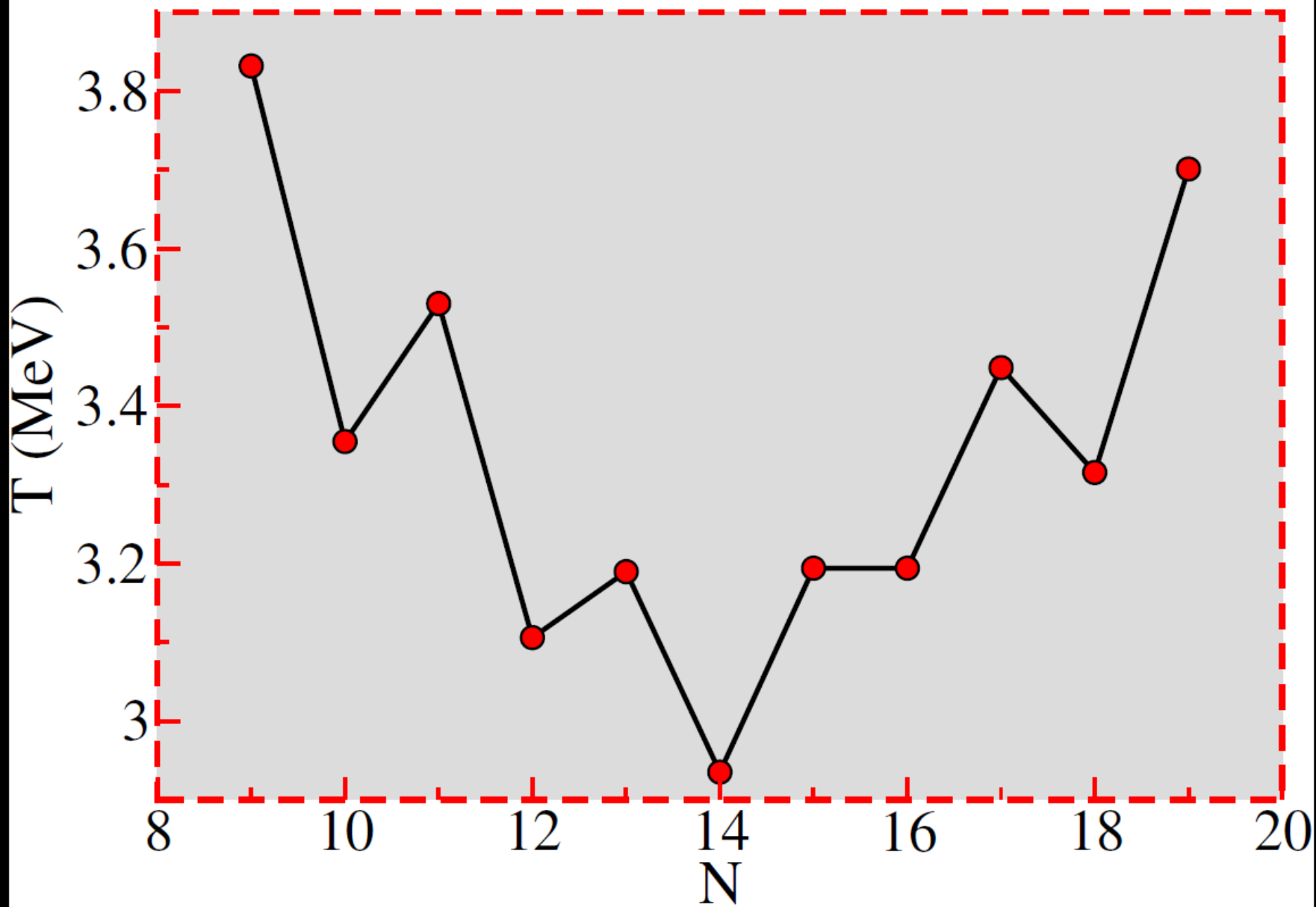
Effective temperature for the level density at low energy (up to 6 - 8 Mev)
Even-odd staggering
Clear minima in the vicinity of N=Z

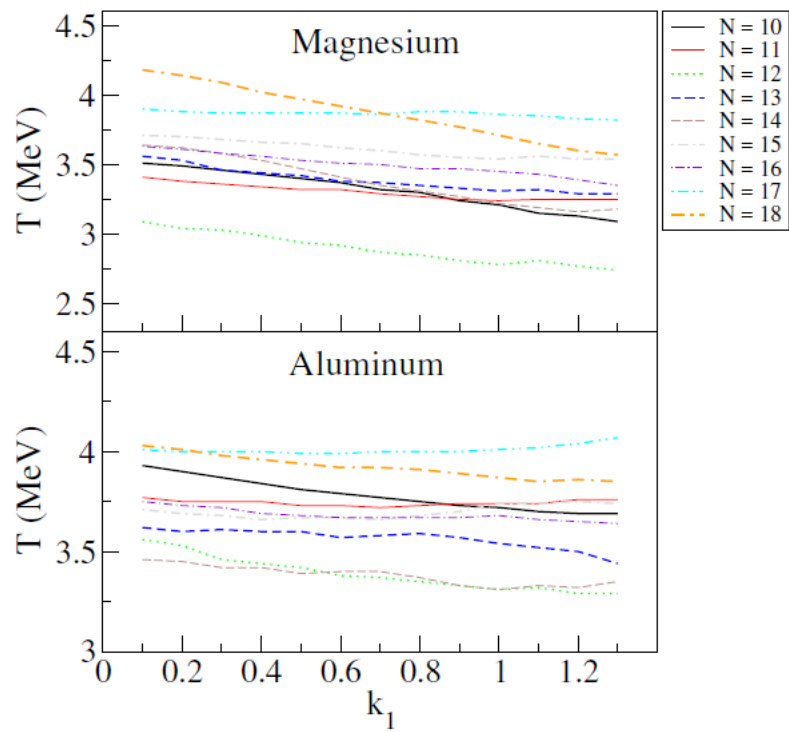


Effective temperature **T**

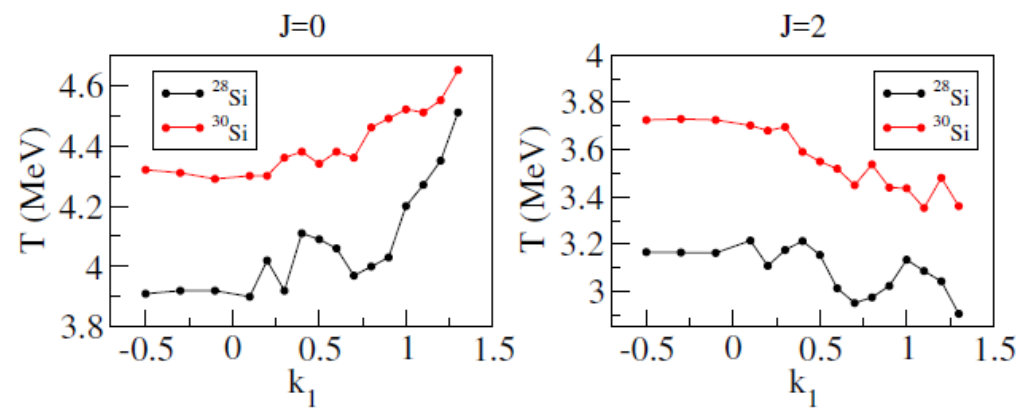
for **(sd)** - nuclei

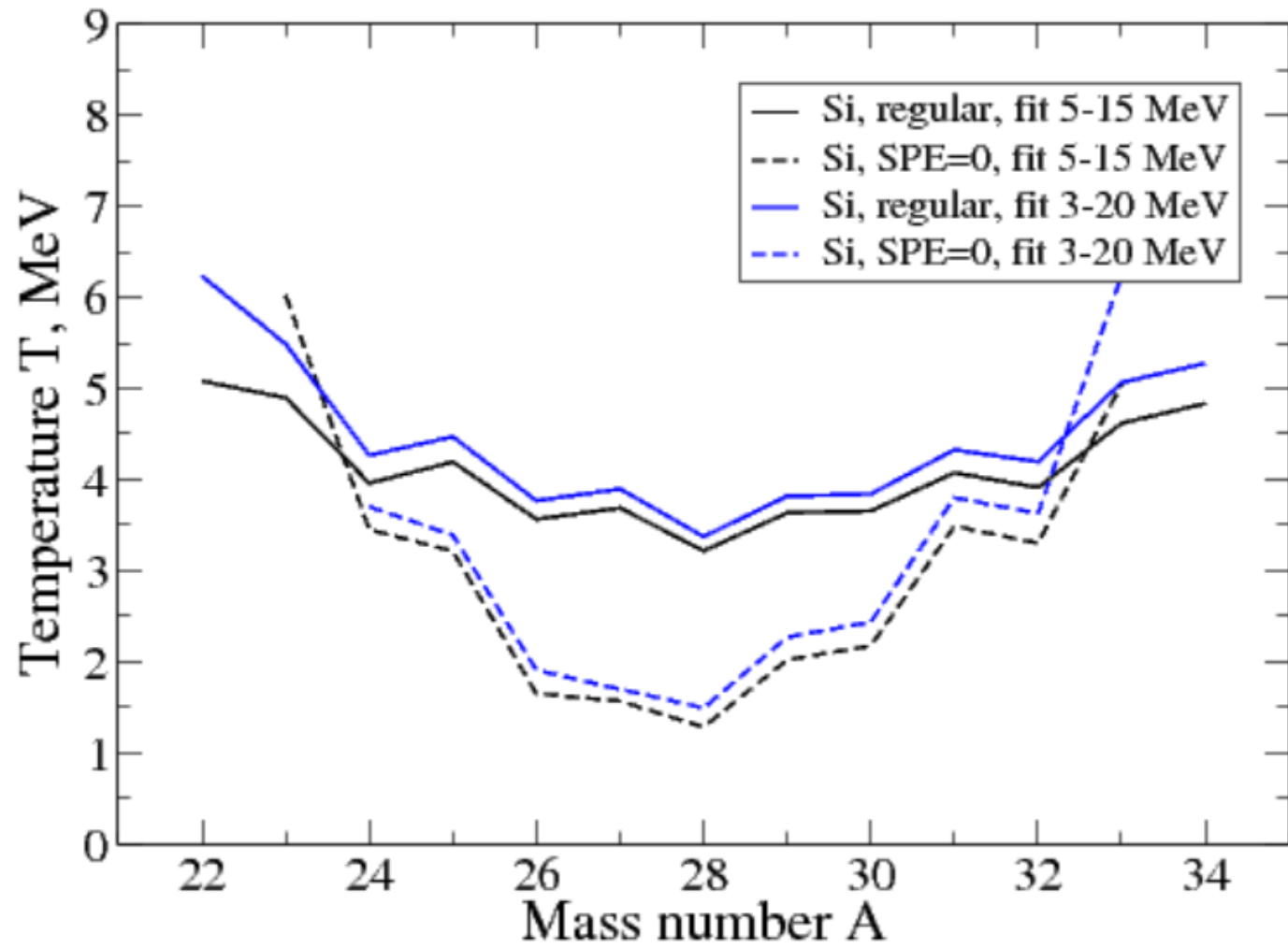
● ${}_{14}\text{Si}$



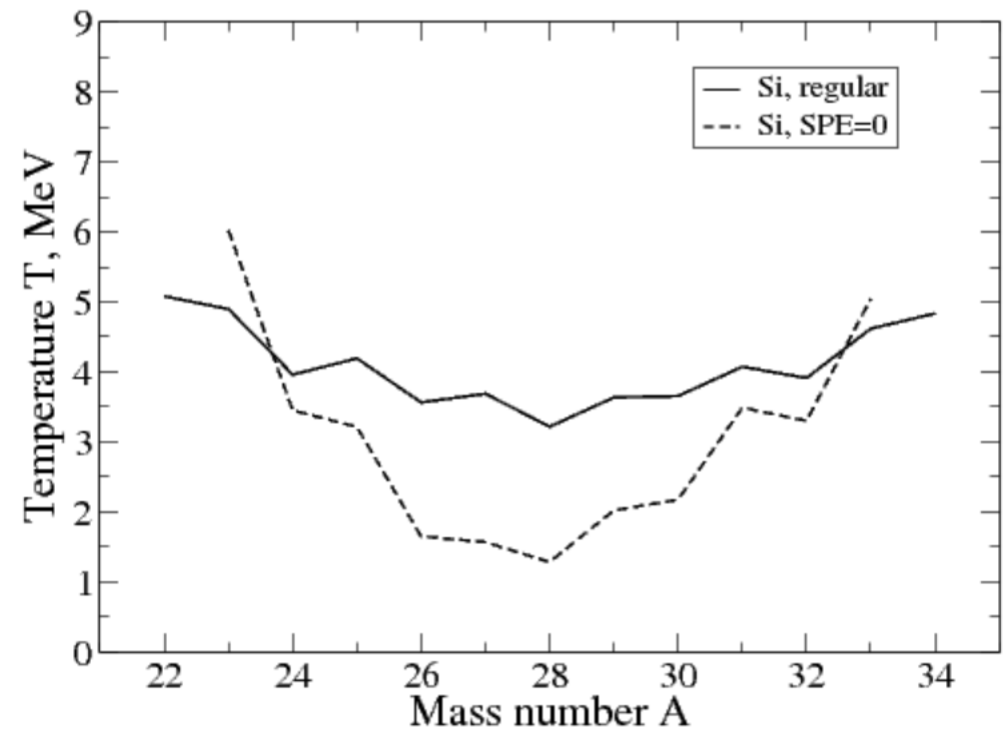
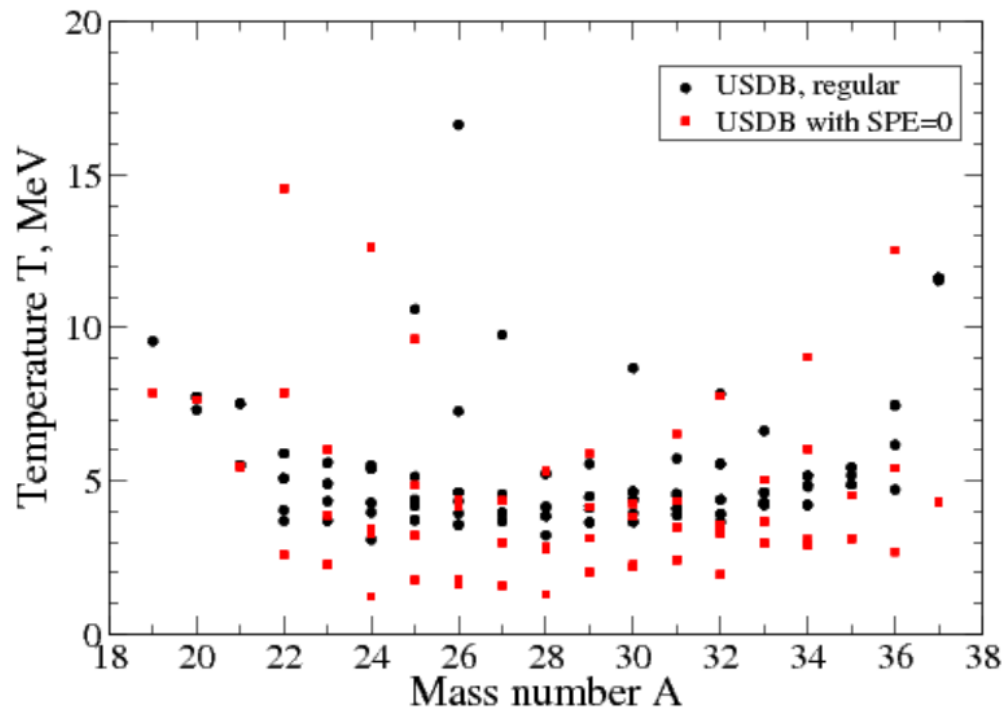


Eliminating pairing interaction

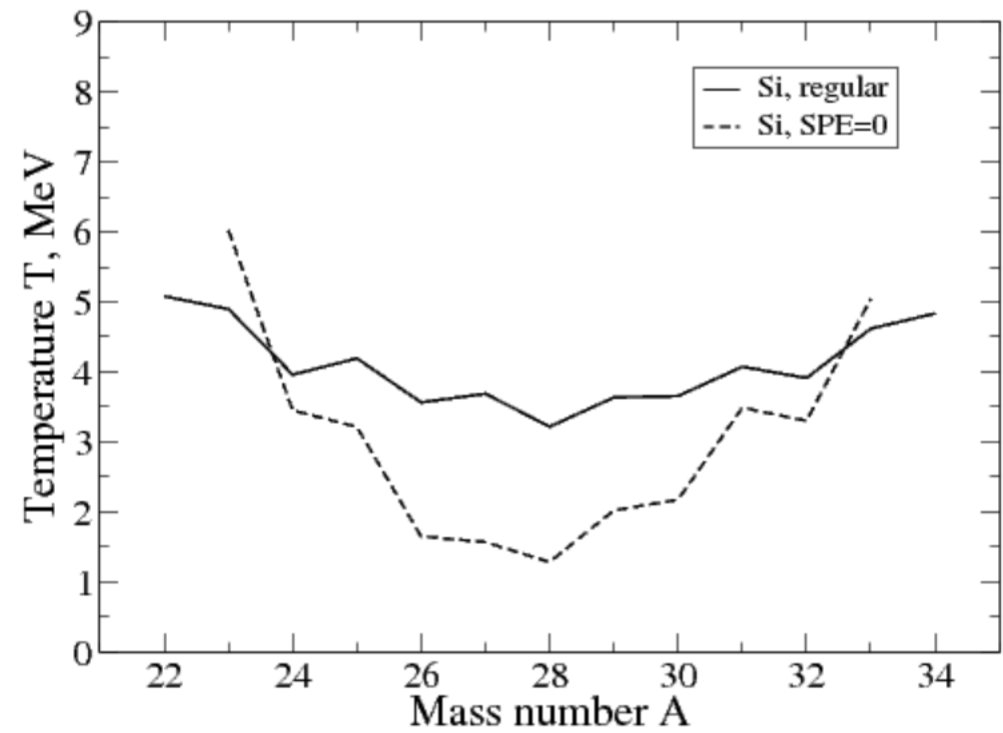
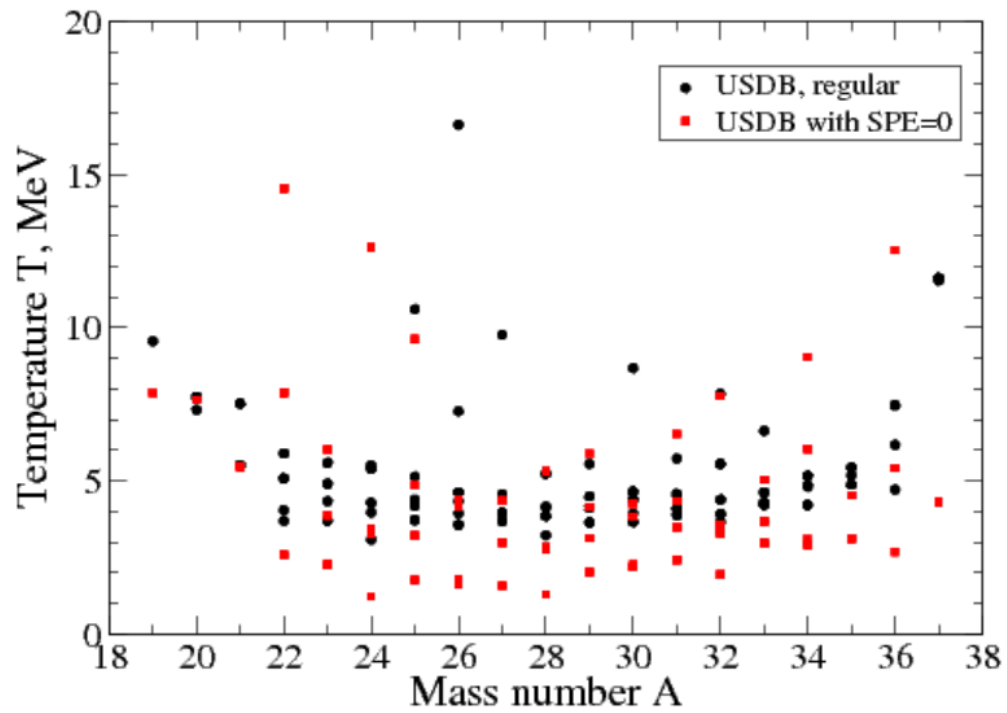




Sensitivity to the fit interval



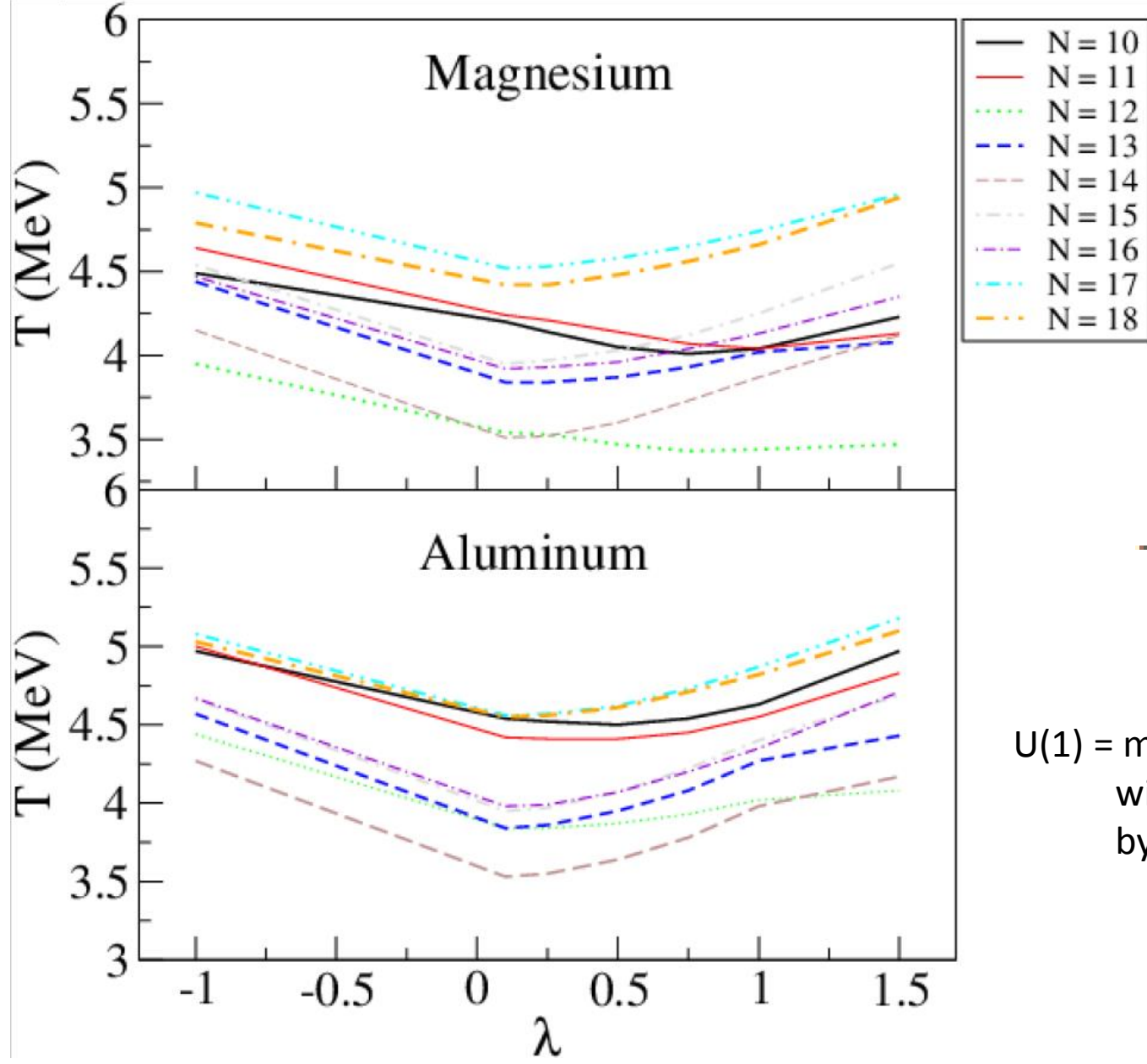
Degenerate single-particle levels – smaller T (faster chaotization)



Degenerate single-particle levels – smaller T (faster chaotization)

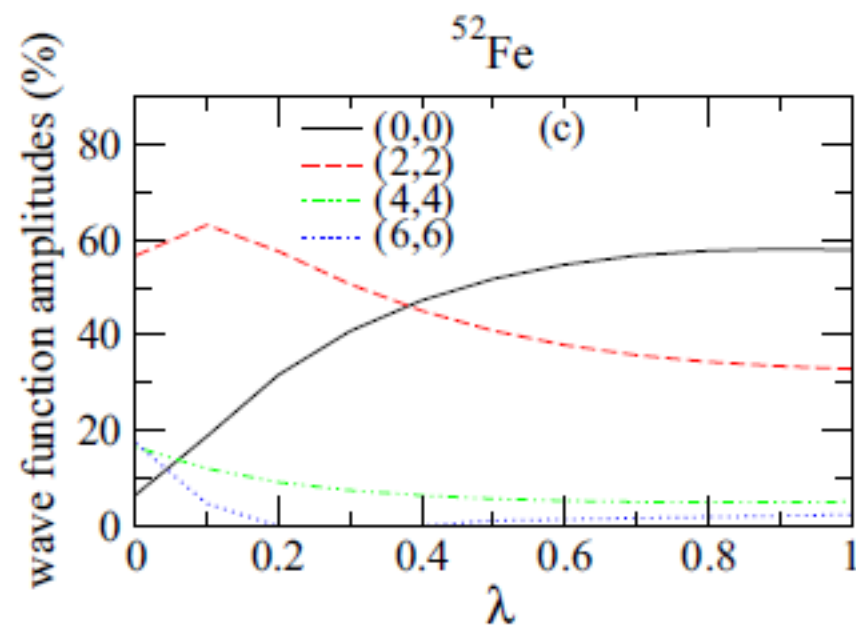
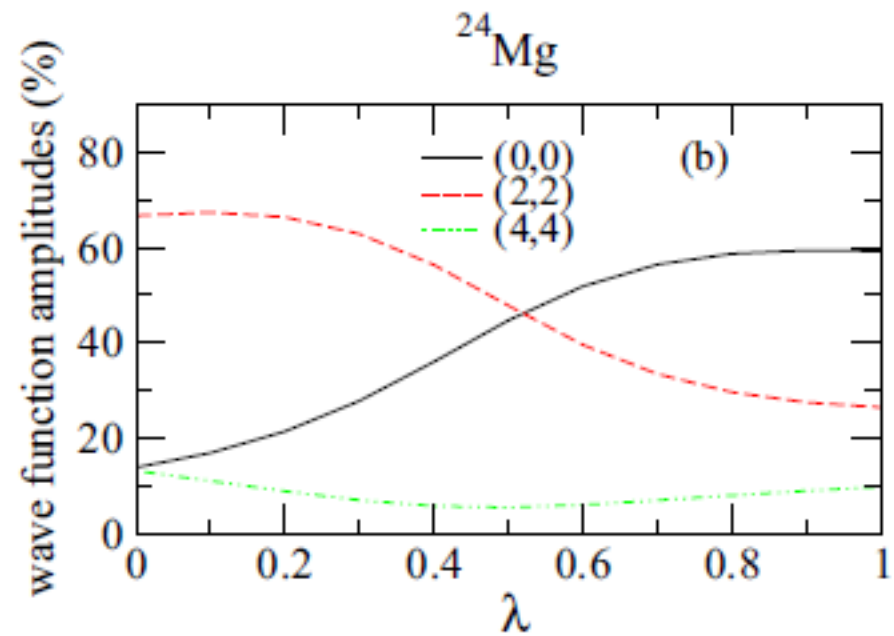
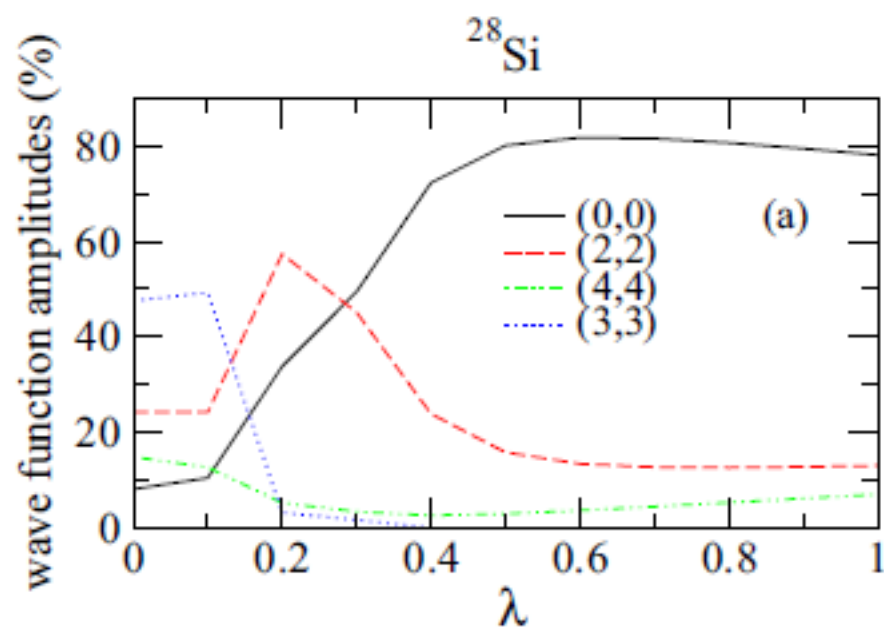
ARTIFICIAL NOISE AS THEORETICAL TOOL

- * *Add random noise to the dynamics*
- * *Construct the density matrix by averaging for any individual wave function*
- * *Calculate the corresponding entropy*
 - *measurement of sensitivity of eigenstates*
 - *quantum phase transitions*
 - *basis-independent criteria*



$$H = h + \lambda U_1 + U_2.$$

U(1) = matrix elements of the two-body interaction
with change of orbital momentum of one particle
by 2 units (the same parity) – way to deformation



Amplitudes of the ground state wave functions in terms of $[J(p), J(n)]$

Invariant correlational entropy as signature of phase transitions

$$|\alpha(\lambda)\rangle = \sum_k C_k^\alpha(\lambda) |k\rangle. \quad \text{Eigenstates in an arbitrary basis}$$

(Hamiltonian with random parameters)

$$\rho_{kk'}^\alpha(\lambda) = \overline{C_k^\alpha C_{k'}^{\alpha*}}$$

Density matrix of a given state
(averaged over the ensemble)

$$S^\alpha(\lambda) = -\text{Tr}\{\rho^\alpha \ln(\rho^\alpha)\}$$

$$\lambda \in [\lambda, \lambda + \delta]$$

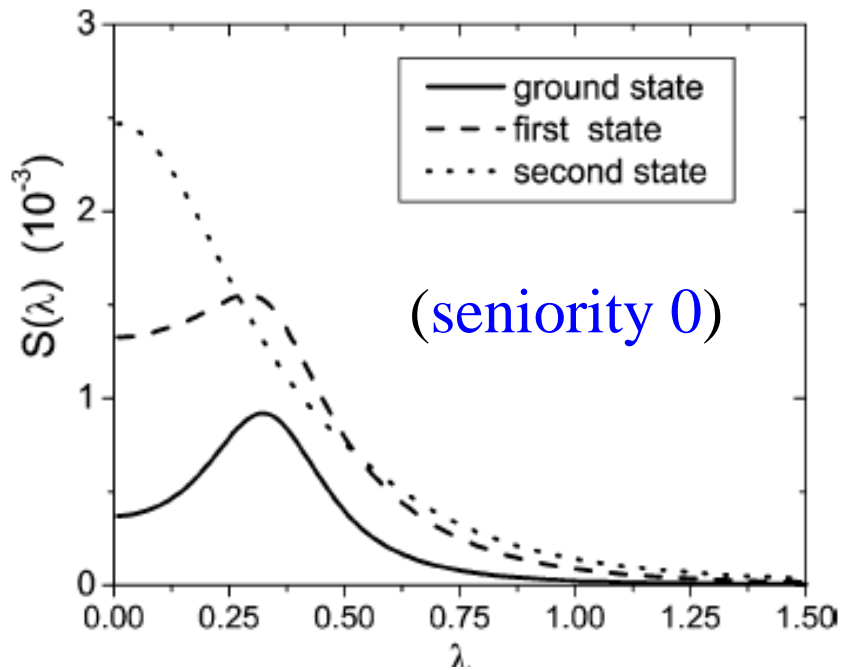
Correlational entropy
has clear maximum
at phase transition
(extreme sensitivity)

Pure state: eigenvalues of the density matrix are **1 (one) and 0 (N-1),**
S=0

Mixed state: between 0 and 1, **S up to ln N**

For two discrete points

$$r_{\pm}^\alpha = \frac{(1 \pm |\langle \alpha(\lambda) | \alpha(\lambda') \rangle|)}{2}$$



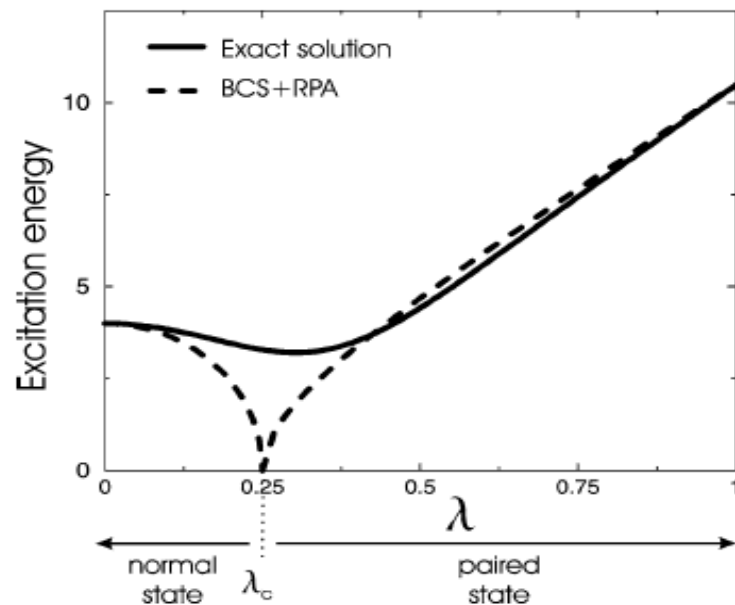
Model of two levels with pair transfer

Capacity **16 + 16, N=16**

Critical value 0.3

(in BCS $\frac{1}{4}$)

Averaging interval 0.01



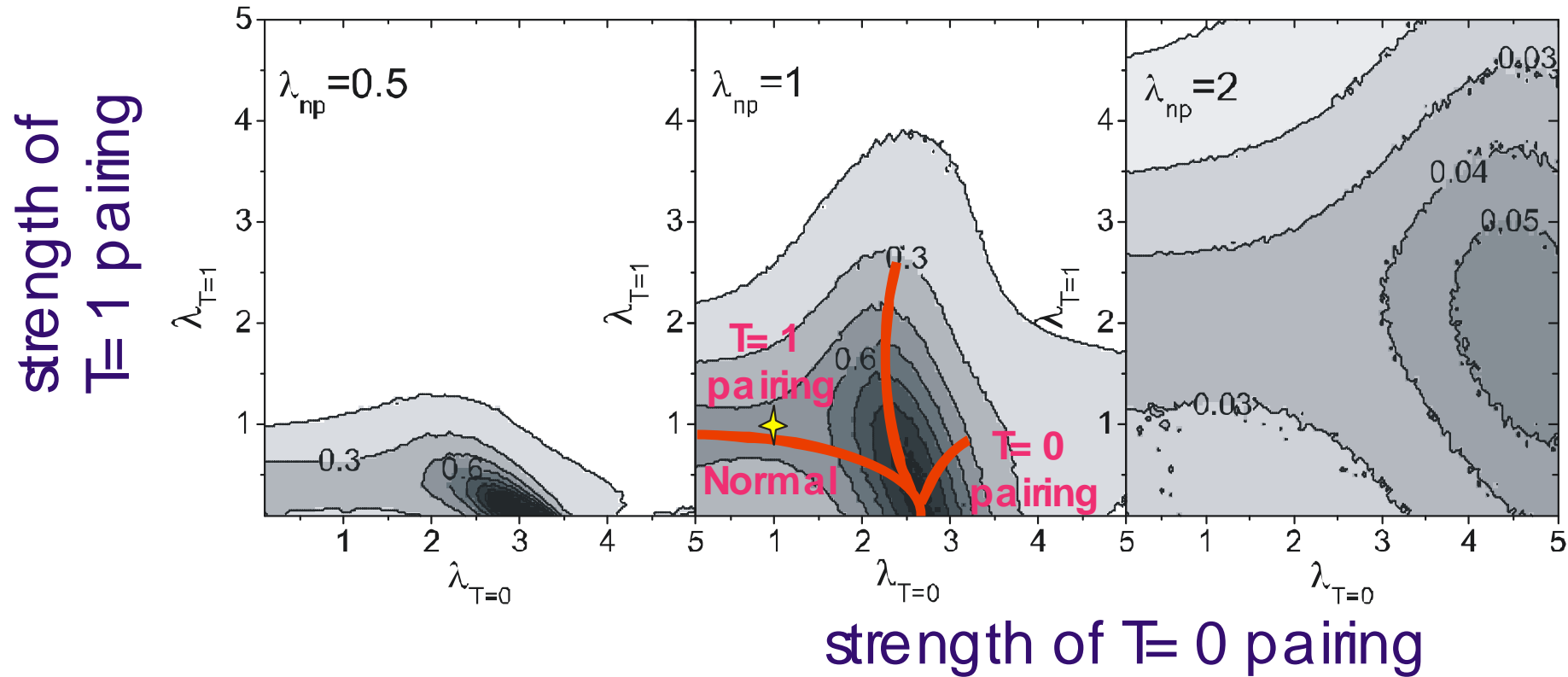
**First excited state
“pair vibration”**

**No instability in
the exact solution**

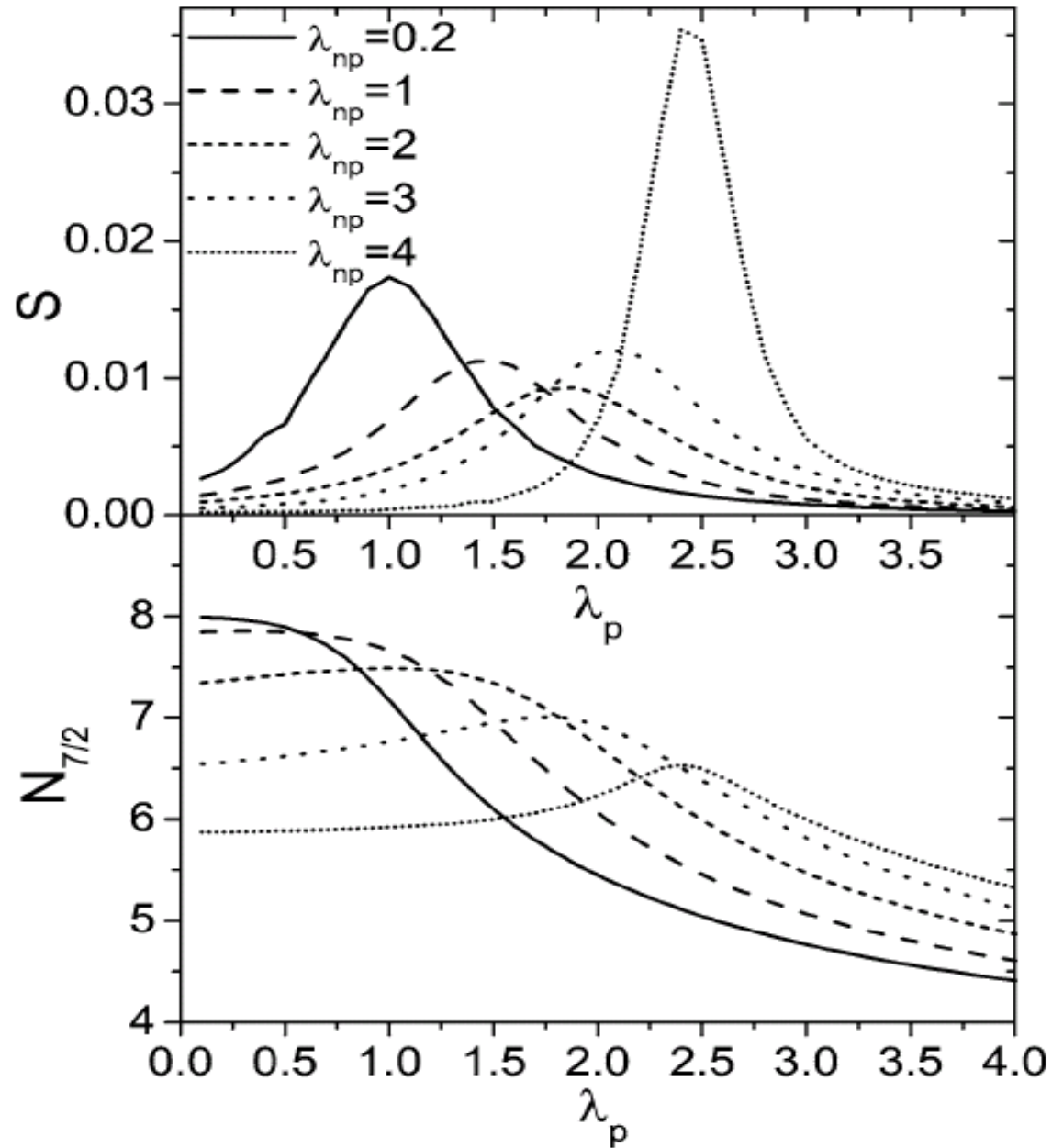
Softening at the same point 0.3

^{24}Mg phase diagram

✦ realistic nucleus



Contour plot of invariant correlational entropy showing a phase diagram as a function of T=1 pairing ($\lambda_{T=1}$) and T=0 pairing ($\lambda_{T=0}$); three plots indicate phase diagram as a function of non-pairing matrix elements (λ_{np}). Realistic case is $\lambda_{T=1} = \lambda_{T=0} = \lambda_{np} = 1$



Shell model ^{48}Ca

Ground state

invariant entropy;

phase transition

depends on

non-pairing

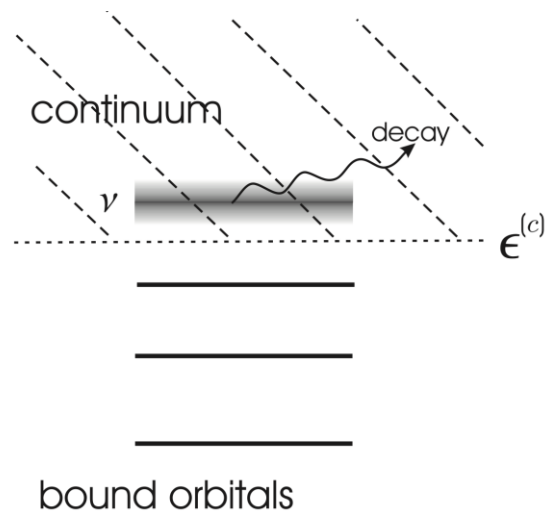
interactions

*Occupancy of
 $f_{7/2}$ shell*

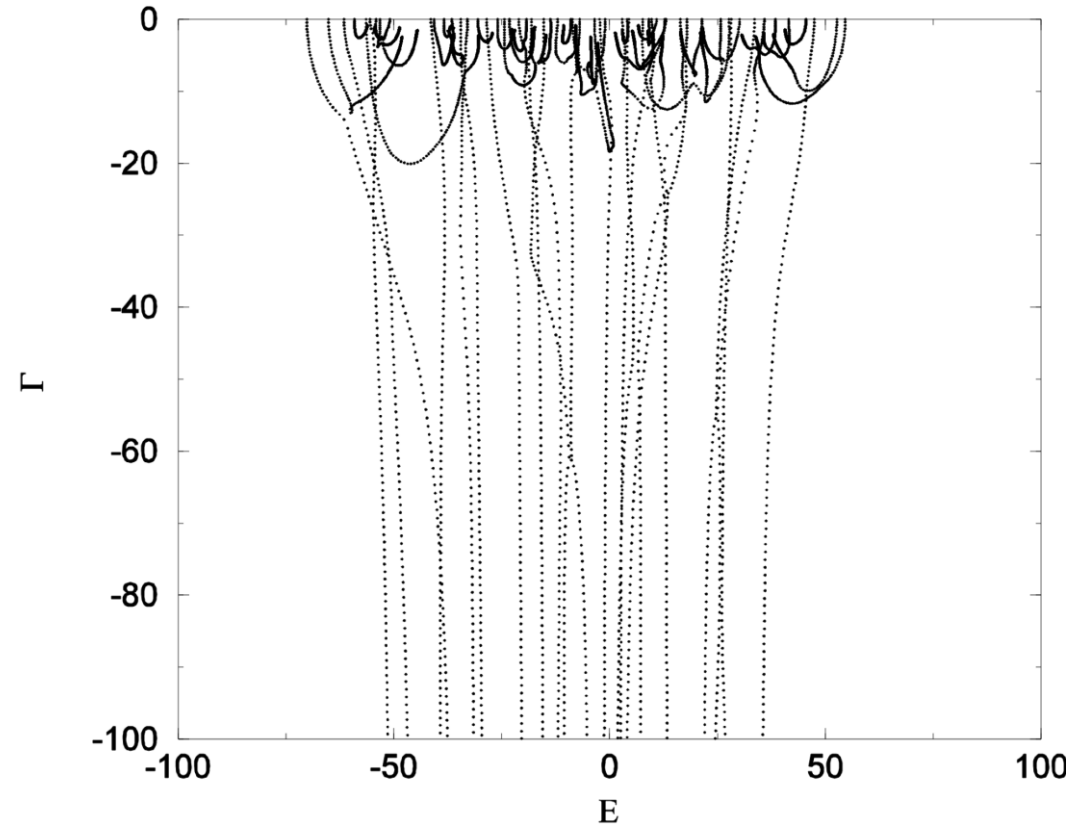
**Correlation energy
 ~ 2 MeV**

Single-particle decay in many-body system

Evolution of complex energies

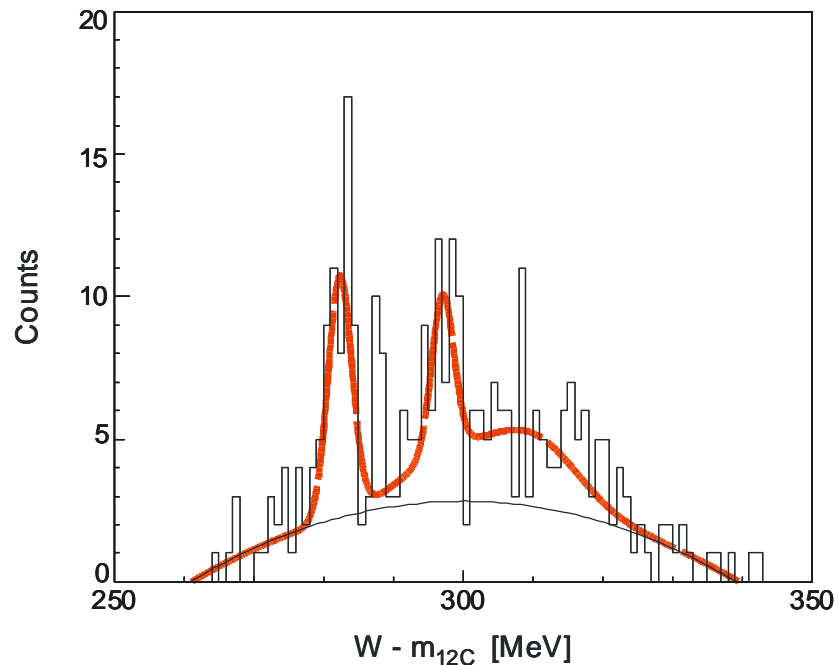


- 8 s.p. levels, 3 particles
- One s.p. level in continuum



Total states $8!/(3! 5!)=56$; states that decay fast $7!/(2! 5!)=21$ – **superradiant** doorways

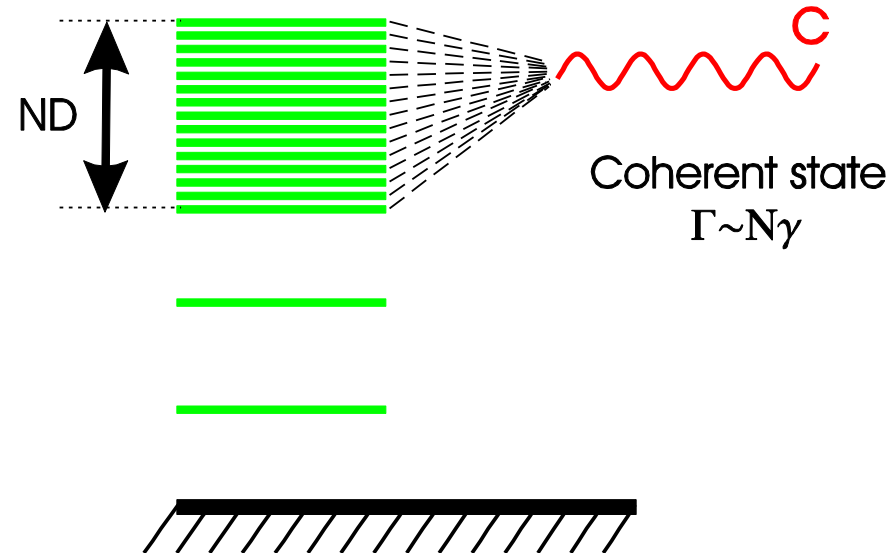
Examples of superradiance



Narrow resonances and broad
superradiant state in ^{12}C
in the region of Δ

Bartsch *et.al.* Eur. Phys. J. A 4, 209 (1999)
N. Auerbach, V.Z. Phys. Lett. B590, 45 (2004)

Mechanism of superradiance
Interaction via continuum
Trapped states - self-organization



- Optics
- Molecules
- Microwave cavities
- Nuclei
- Hadrons
- Quantum computing
- Measurement theory

Strong coupling, $\kappa > 1$

k open channels $\Rightarrow k$ nonzero eigenvalues of W .

Doorway representation:

$$k = 1 \Rightarrow \Gamma_d = \text{Trace } W$$

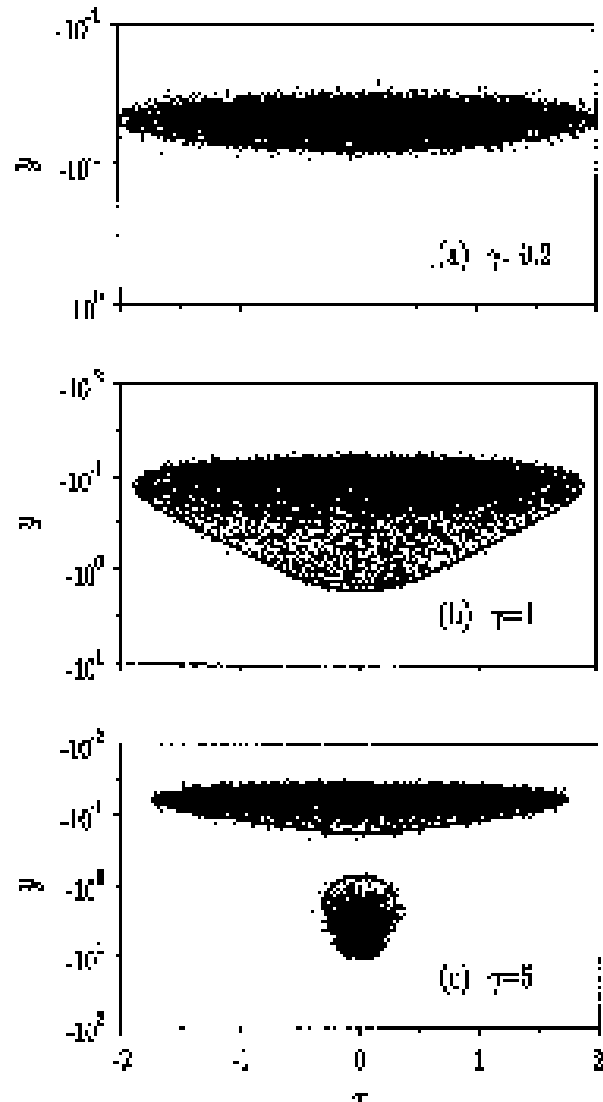
$$\mathcal{H} = \begin{pmatrix} \bar{\epsilon}_1 - (i/2)\Gamma_d & h_2 & h_3 \\ h_2 & \bar{\epsilon}_2 & 0 \\ h_3 & 0 & \bar{\epsilon}_3 \end{pmatrix}$$

Width collectivization:

broad *super-radiant* state $\Gamma_1 \approx \Gamma_d[1 - O(\kappa^{-2})]$,

narrow (*trapped*) states $\Gamma_{2,3} \sim \Gamma_d/[(N-1)\kappa^2]$

Dynamics is determined by alignment
to open decay channels



Super-radiant transition

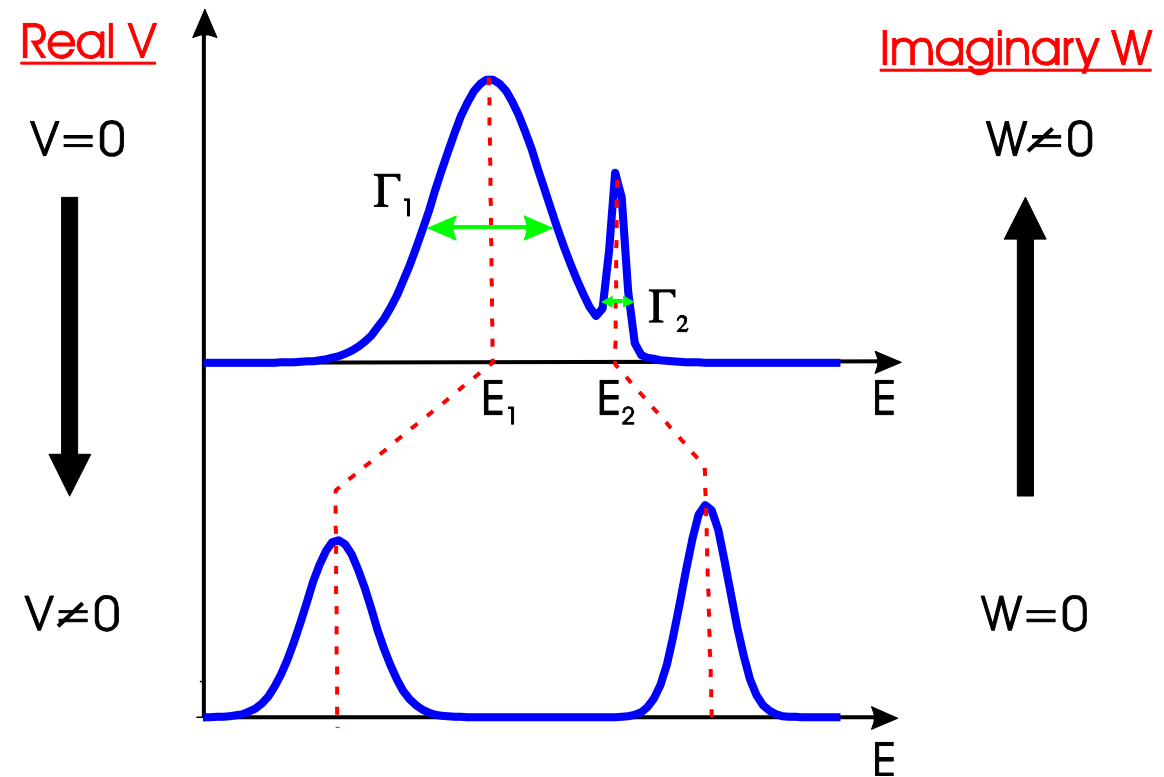
in Random Matrix Ensemble

$N = 1000$, $m = M/N = 0.25$

Interaction between resonances

$$\mathcal{H} = H^0 + V - iW/2$$

- **Real V**
 - Energy repulsion
 - Width attraction
- **Imaginary W**
 - Energy attraction
 - Width repulsion



Interplay of collectivities

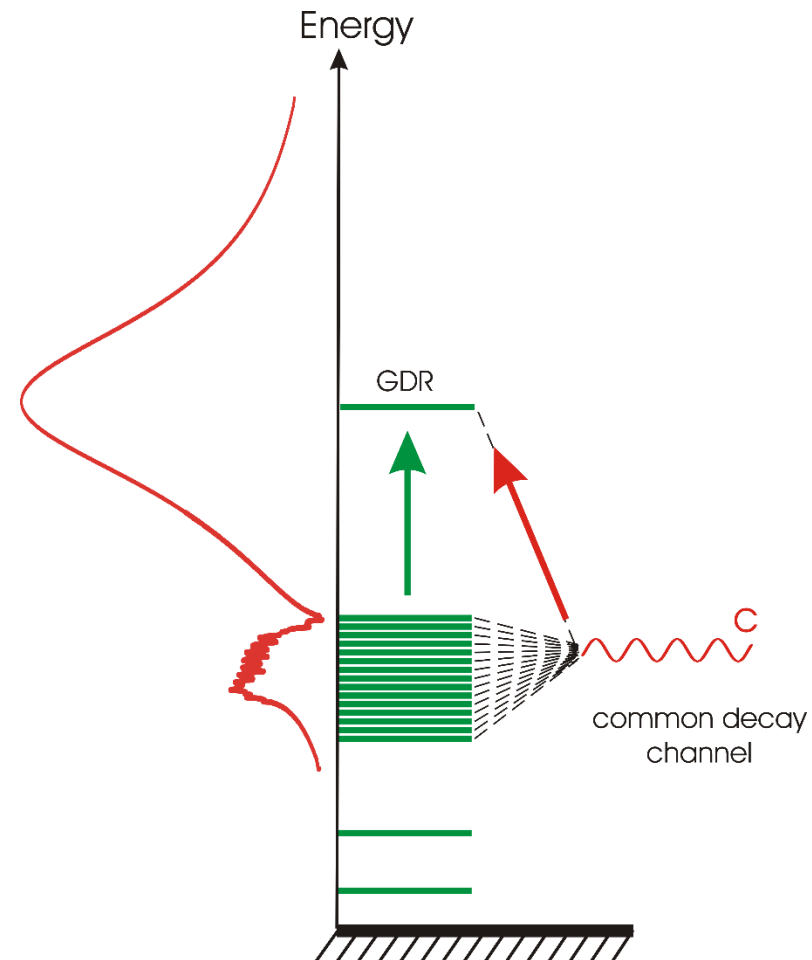
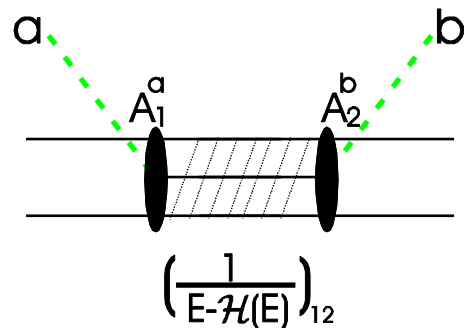
Definitions

- n - labels particle-hole state
- ϵ_n - excitation energy of state n
- d_n - dipole operator
- A_n - decay amplitude of n

Model Hamiltonian

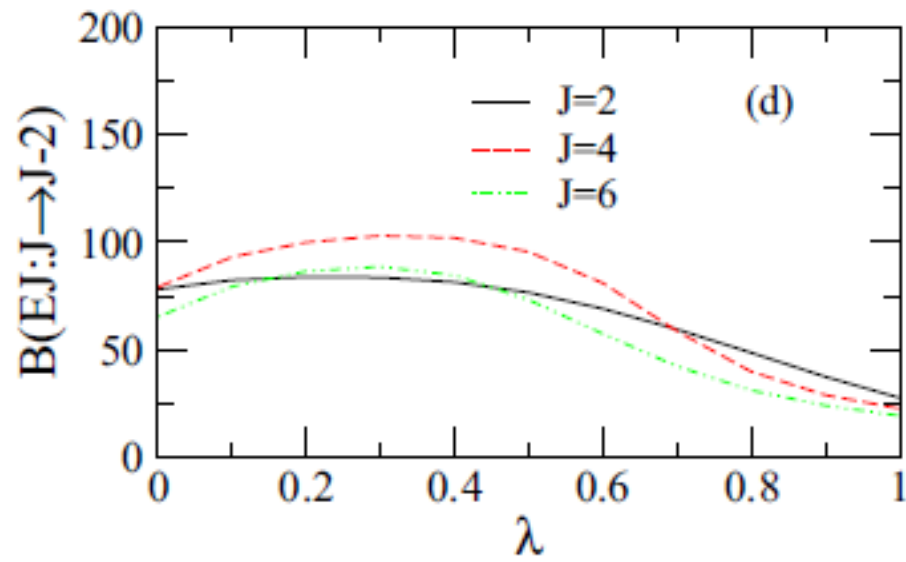
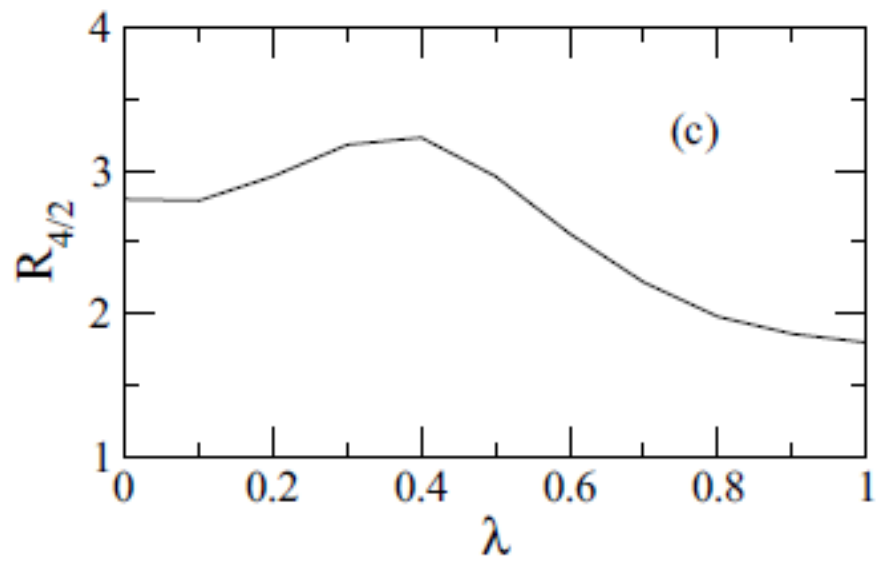
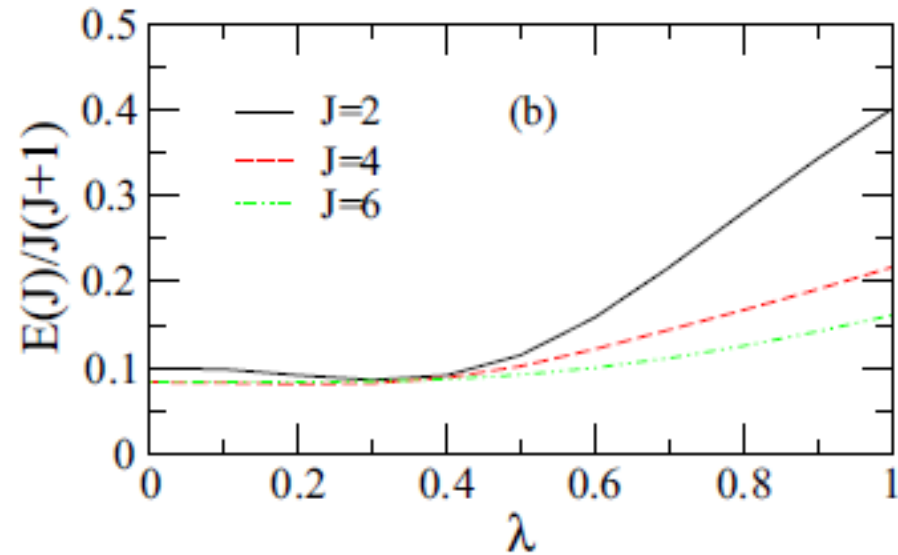
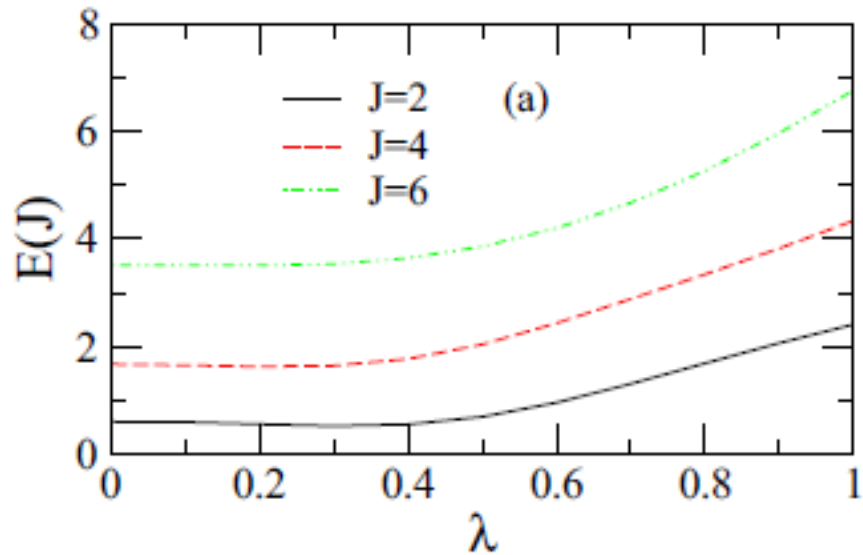
$$\mathcal{H}_{nn'} = \epsilon_n \delta_{nn'} + \lambda d_n d_{n'} - \frac{i}{2} A_n A_{n'}$$

Driving GDR externally (doing scattering)



Everything depends on
angle between multi dimensional vectors

A and **d**



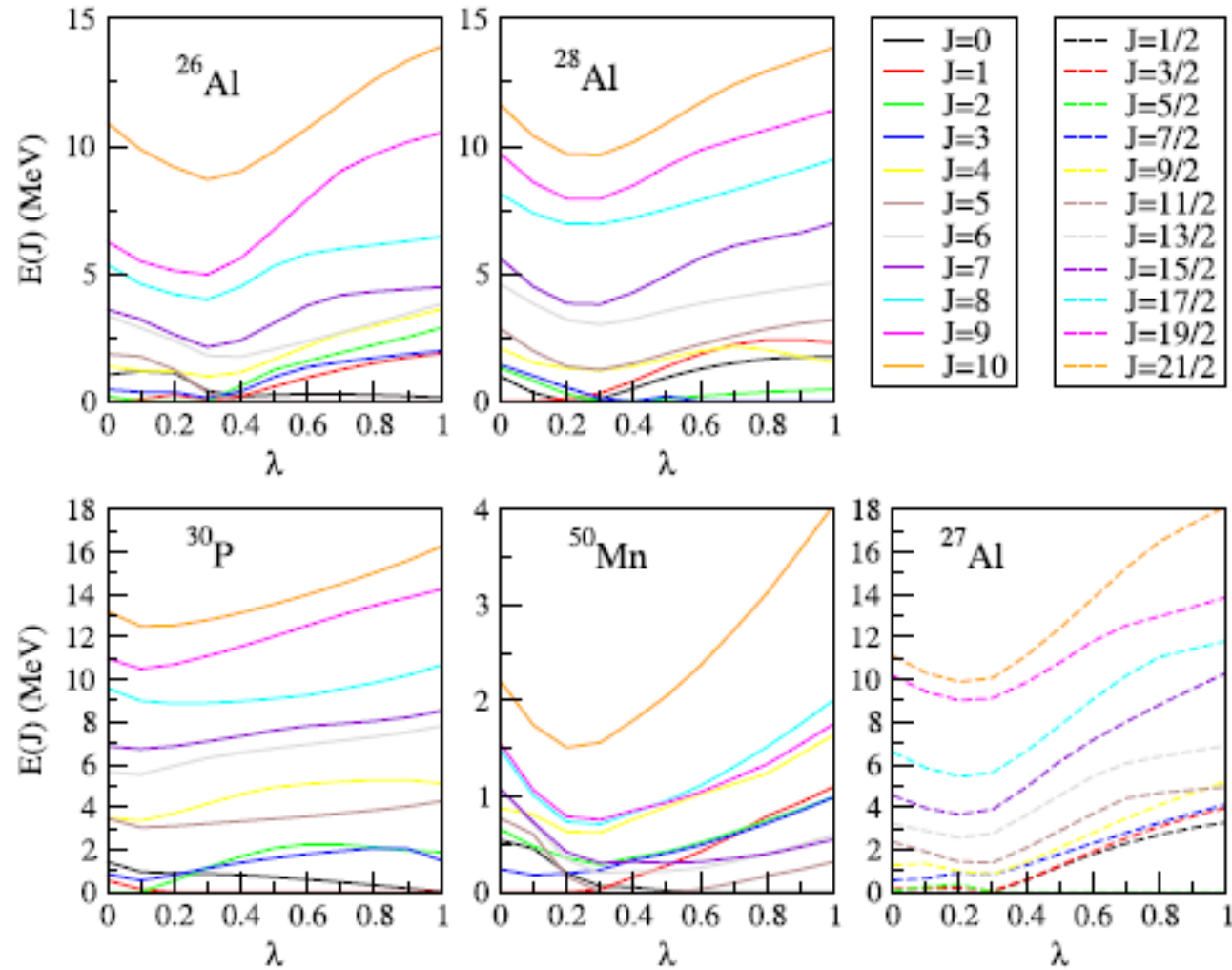
24 Mg

Low-lying levels
in absolute (a)
and rotational (b)
units;

Ratio $E(4)/E(2)$ (c)

Transition rates (d)

$$H = h + (1 - \lambda)V_1 + \lambda V_2$$



$$H = h + (1 - \lambda)V_1 + \lambda V_2,$$

