



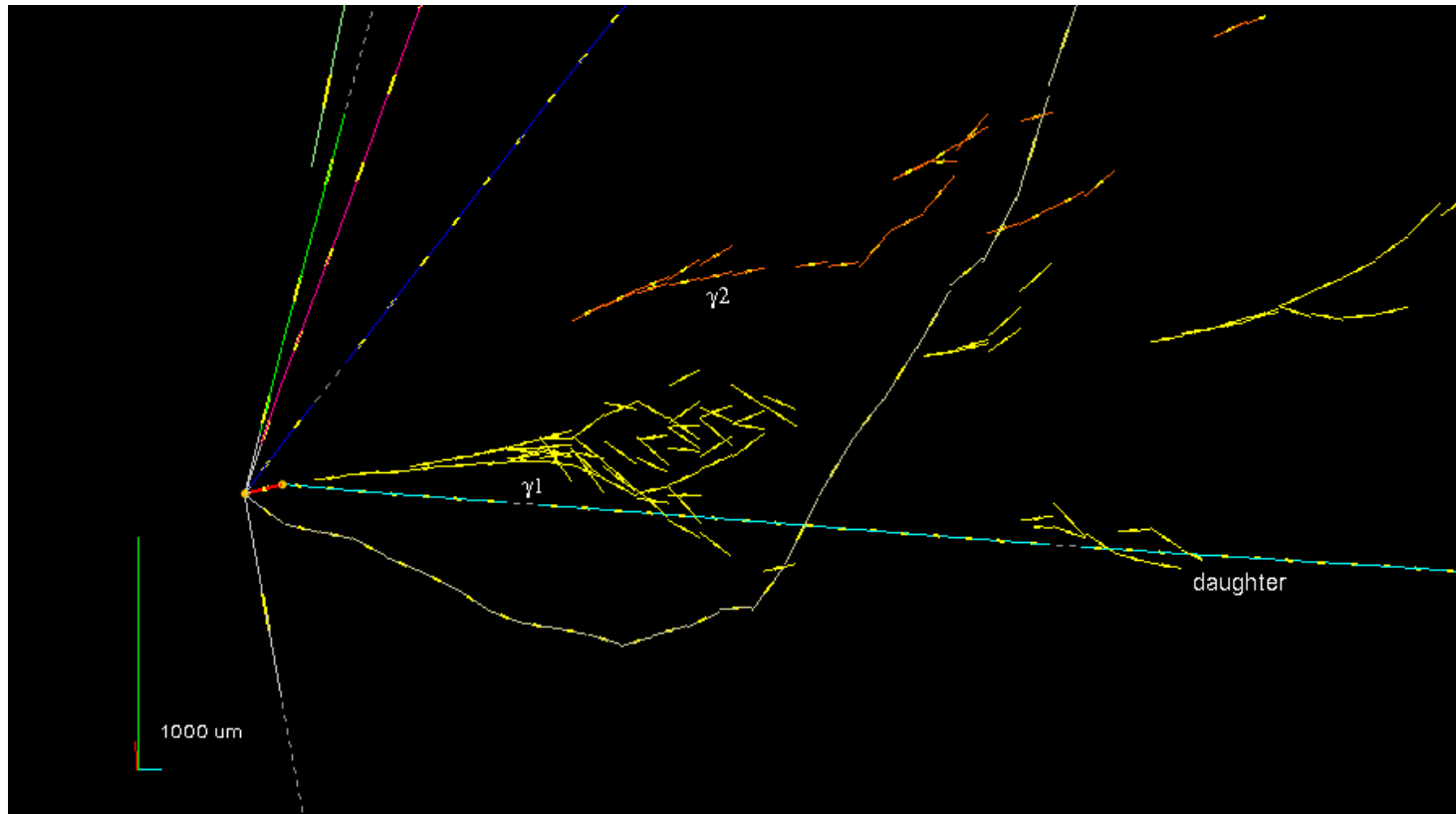
# Results of the OPERA experiment

*Giovanni De Lellis*

*University "Federico II" and INFN Napoli*

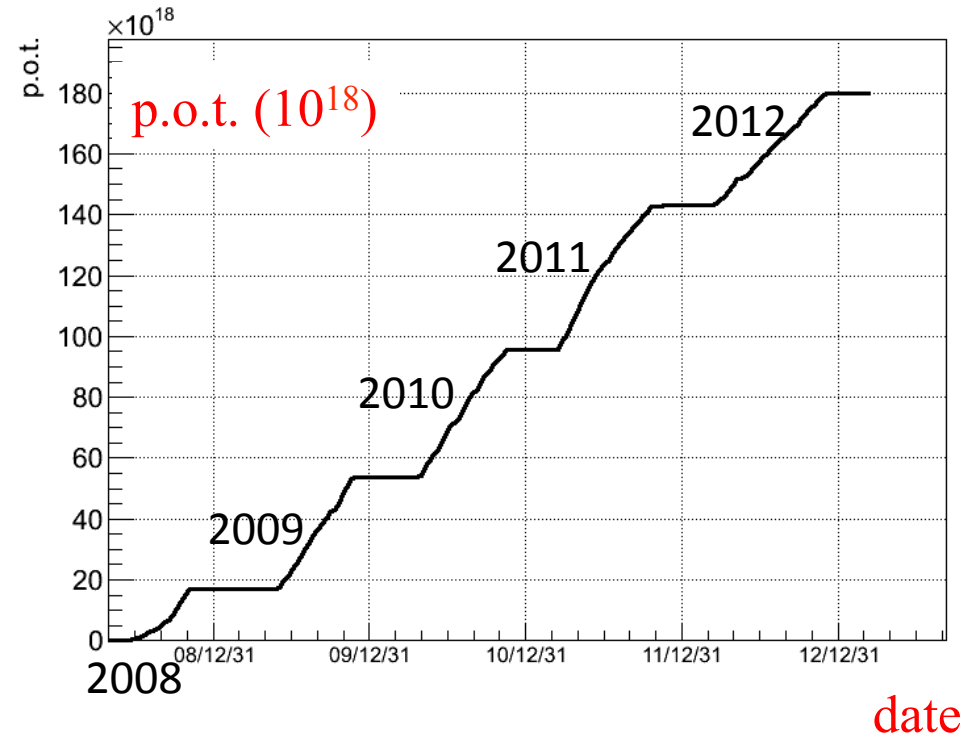
*On behalf of the OPERA Collaboration*

*For the XLVII Meeting of the LNGSC Committee*



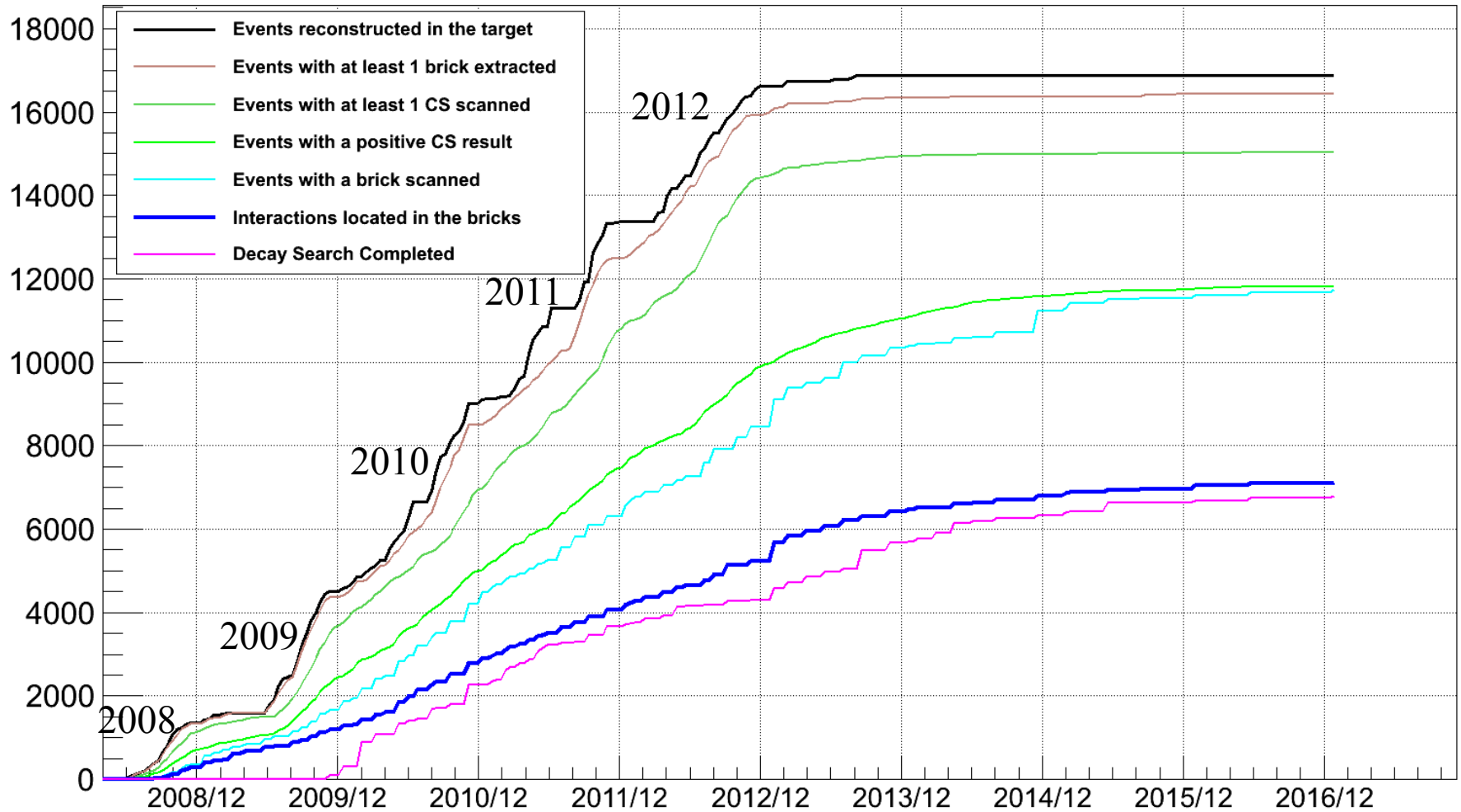
# The CNGS beam along its five years of operation 2008 ÷ 2012

Year	Beam days	P.O.T. ( $10^{19}$ )
2008	123	1.74
2009	155	3.53
2010	187	4.09
2011	243	4.75
2012	257	3.86
<b>Total</b>	<b>965</b>	<b>17.97</b>



# DATA ANALYSIS COMPLETED

Run 2008 → 2012



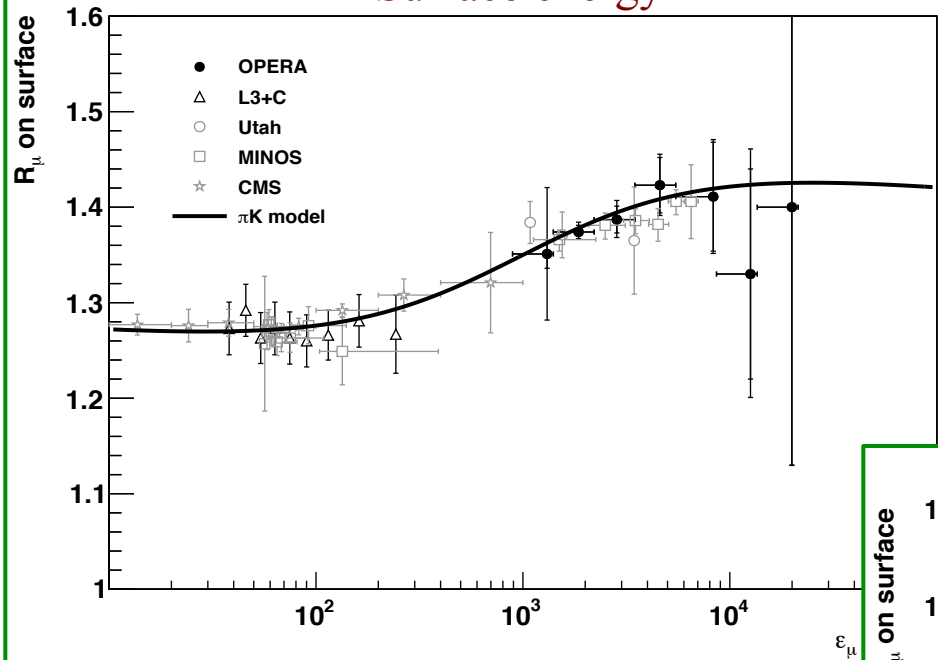
7132 located interactions

6785 decay search

# NON-OSCILLATION PHYSICS

# COSMIC-RAY PHYSICS

Surface energy

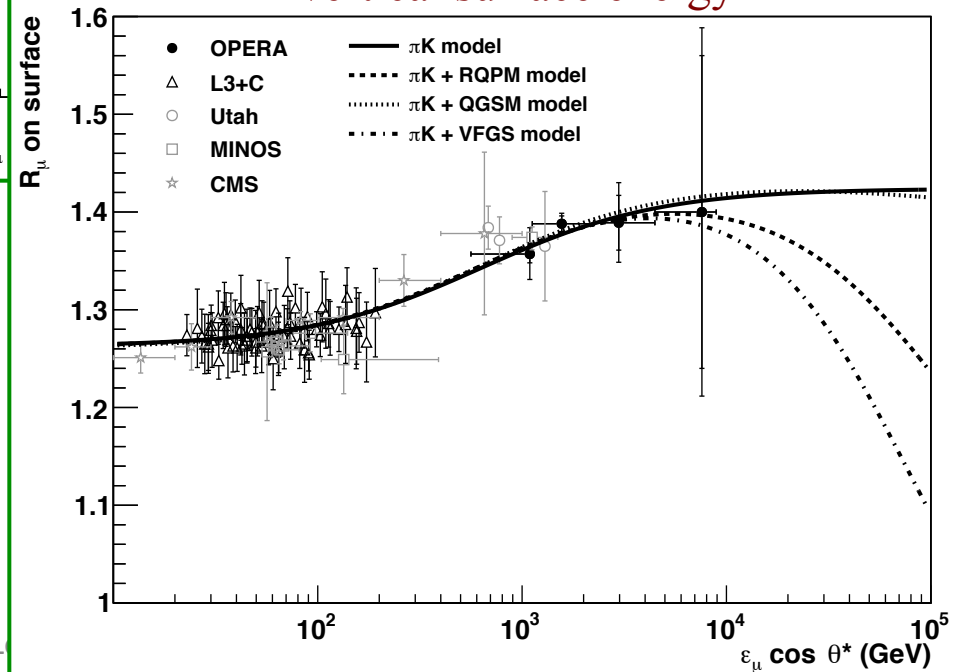


Measurement of TeV atmospheric muon charge ratio

*Eur. Phys. J. C74 (2014) 2933*

$$R_{\mu} \equiv N_{\mu^{+}} / N_{\mu^{-}}$$

Vertical surface energy



# Cosmic-muon rate and temperature dependence

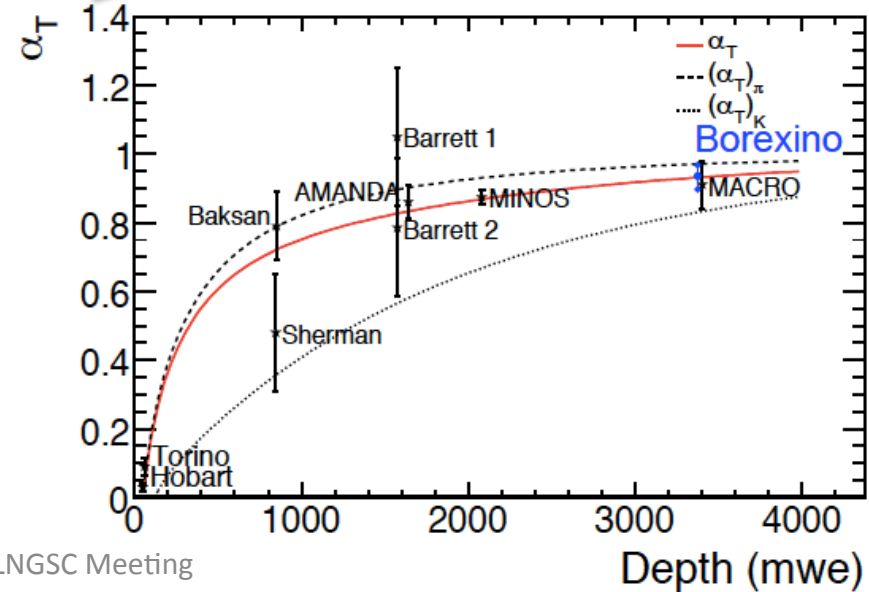
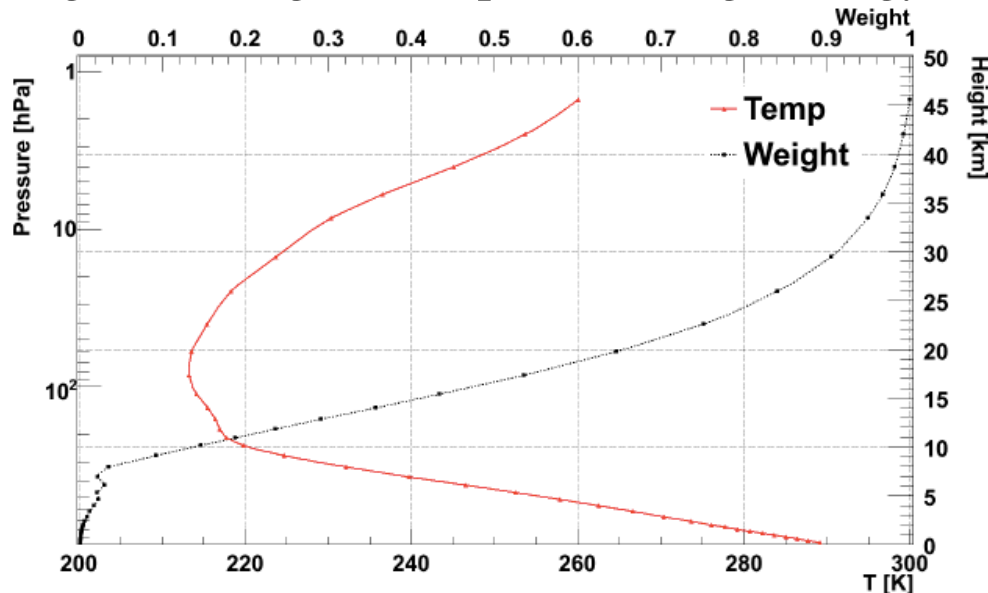
- Gran Sasso underground  $\sim 3800$  m w.e.  $\rightarrow$  Minimum muon energy  $\sim 1.8$  TeV
- Atmospheric temperature increase  $\rightarrow$  density decrease  $\rightarrow$  increase the pion decay rate  $\rightarrow$  muon rate increase

$$I_\mu(t) = I_\mu^0 + \Delta I_\mu = I_\mu^0 + \delta I_\mu \cos \left[ \frac{2\pi}{T} (t - t_0) \right]$$

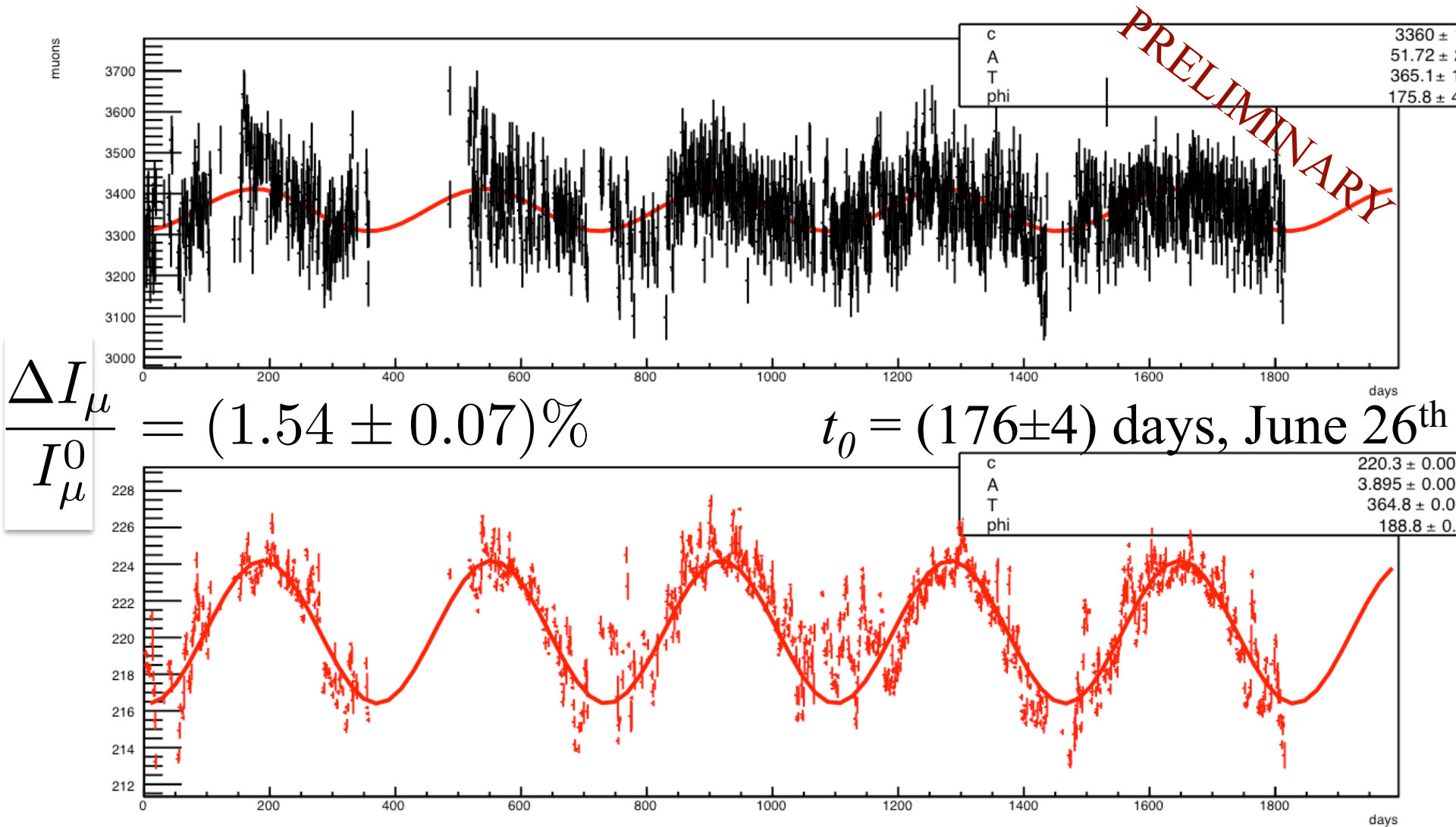
$$T_{eff} = \frac{\int_0^\infty T(x)W(x)dx}{\int_0^\infty W(x)dx}$$

$$\frac{\Delta I_\mu}{I_\mu^0} = \alpha_T \frac{\Delta T_{eff}}{T_{eff}}$$

High W in high atmosphere  $\rightarrow$  high energy muons



# Annual modulation of cosmic-muon rate



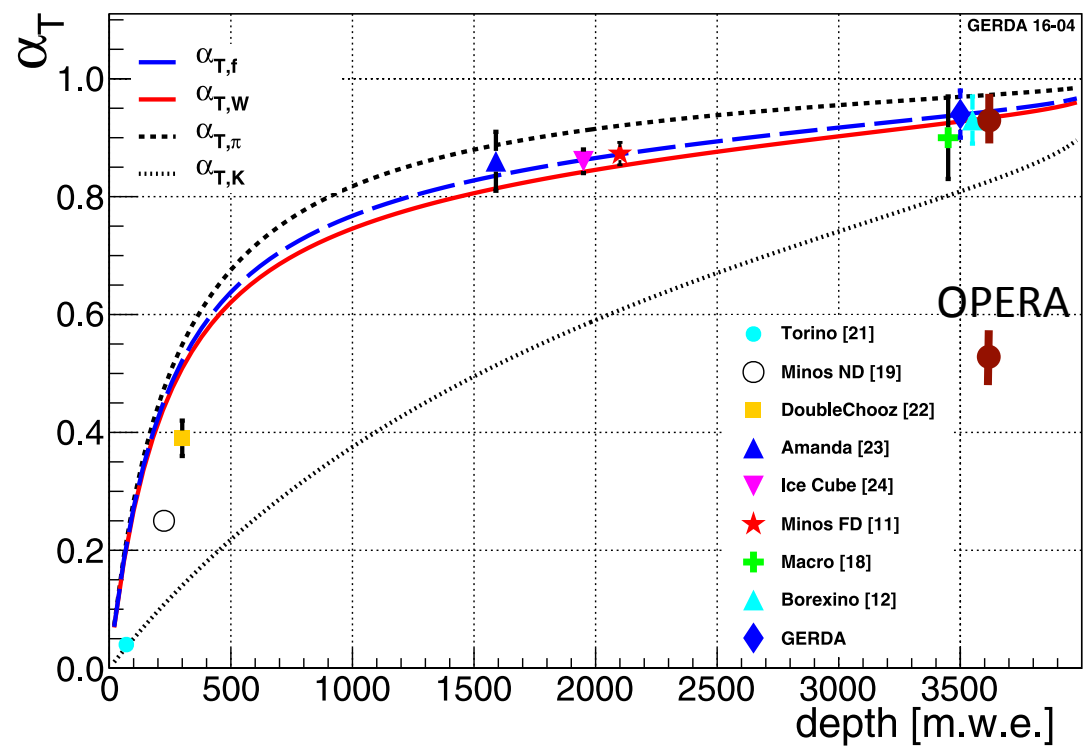
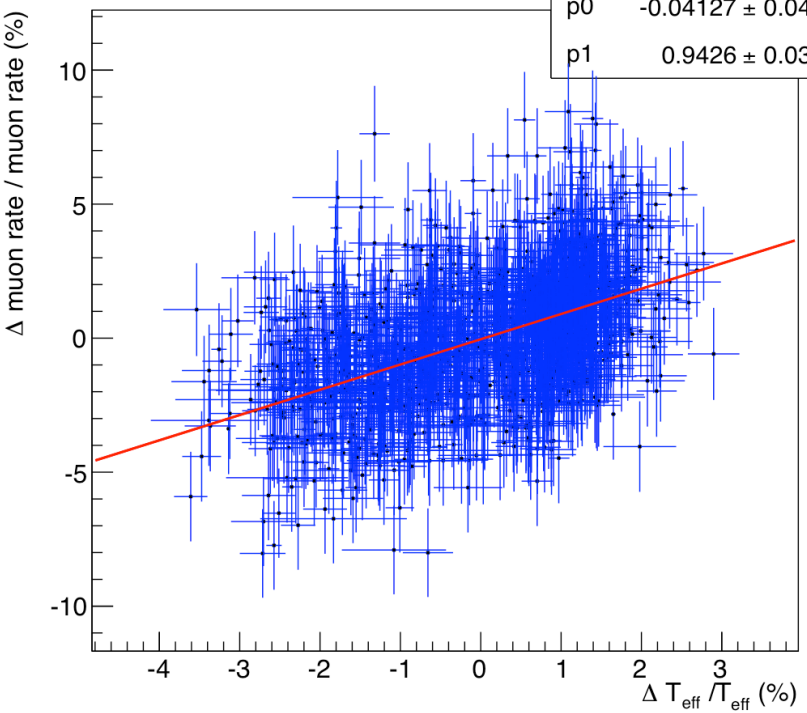
Temperature data by the European Center for Medium-range Weather Forecasts (ECMWF)

# Muon rate vs temperature variations

p0  $-0.04127 \pm 0.04933$   
 p1  $0.9426 \pm 0.03884$

$$\frac{\Delta I_{\mu}}{I_{\mu}^0} = \alpha_T \frac{\Delta T_{\text{eff}}}{T_{\text{eff}}}$$

$$\alpha_T = 0.94 \pm 0.04$$

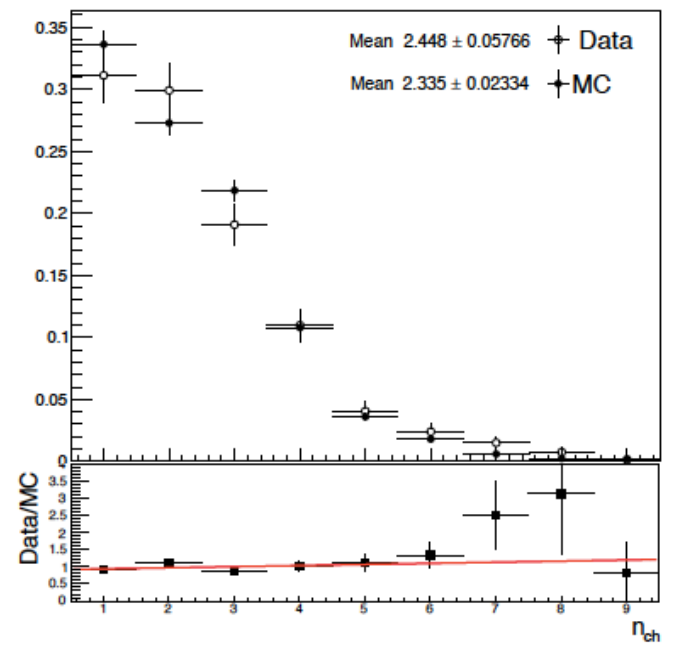
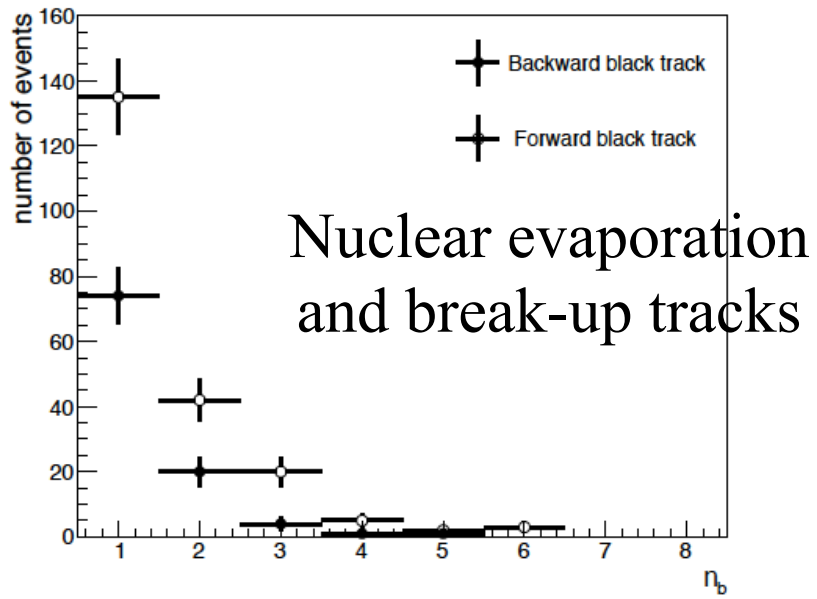
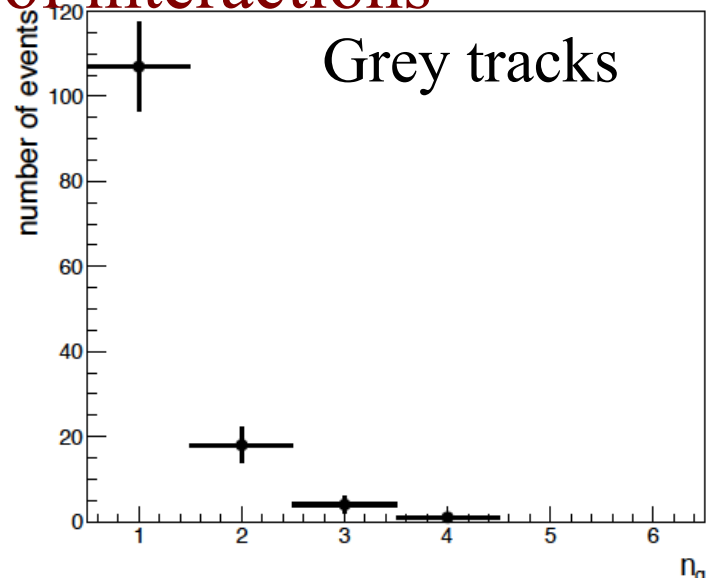
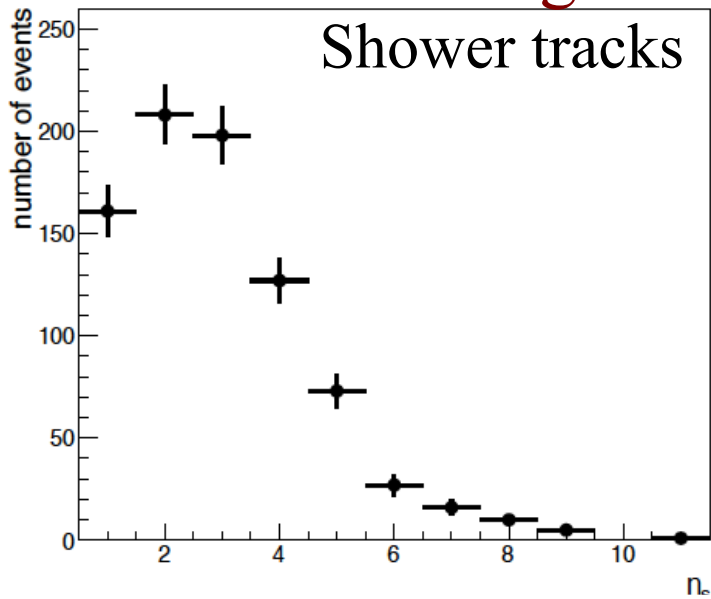


PRELIMINARY

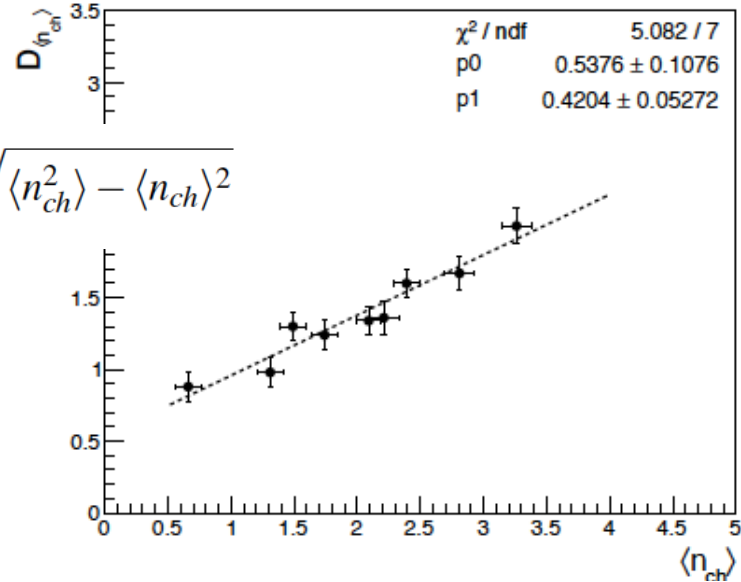
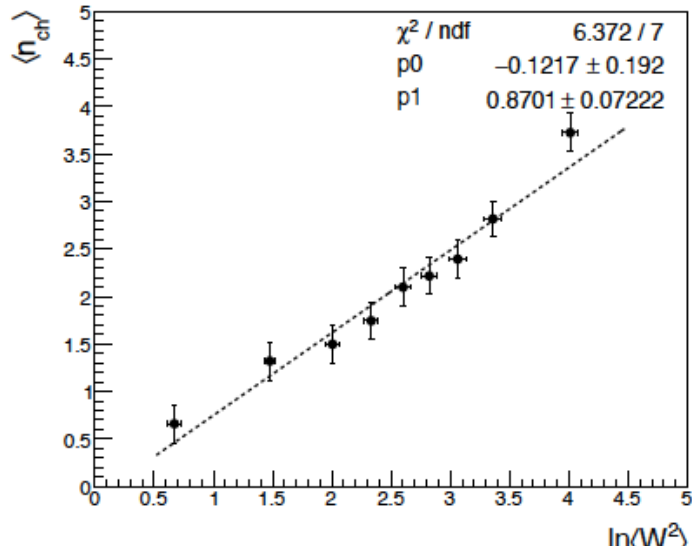


# MULTIPLICITY STUDIES IN NEUTRINO–LEAD SCATTERING

# Track multiplicity distributions reflecting the dynamics of interactions



# Multiplicity features

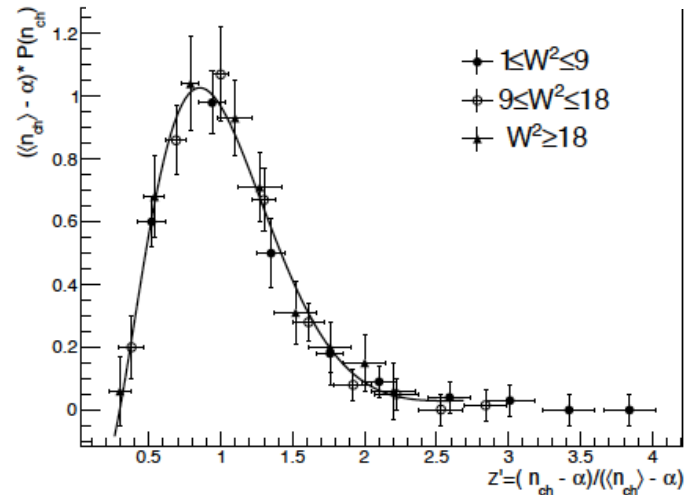


$$D_{ch} = \sqrt{\langle n_{ch}^2 \rangle - \langle n_{ch} \rangle^2}$$

Reaction	$E_\nu$ (GeV)	a	b	Ref.
$\nu_\mu$ -emulsion	40	$0.45 \pm 0.24$	$0.94 \pm 0.08$	[5]
$\nu_\mu$ -emulsion	50	$1.92 \pm 0.68$	$1.19 \pm 0.23$	[6]
$\nu_\mu$ -emulsion	8.7	$1.07 \pm 0.05$	$1.32 \pm 0.11$	[7]
$\nu_\mu$ -Lead	20	$-0.12 \pm 0.19$	$0.87 \pm 0.07$	OPERA

Reaction	A	B	Ref.
$\nu_\mu$ -emulsion	$1.18 \pm 0.17$	$0.20 \pm 0.05$	[5]
$\nu_\mu$ -p	$0.36 \pm 0.03$	$0.36 \pm 0.03$	[4]
$\nu_\mu$ -Lead	$0.53 \pm 0.10$	$0.42 \pm 0.05$	OPERA

## KNO Scaling

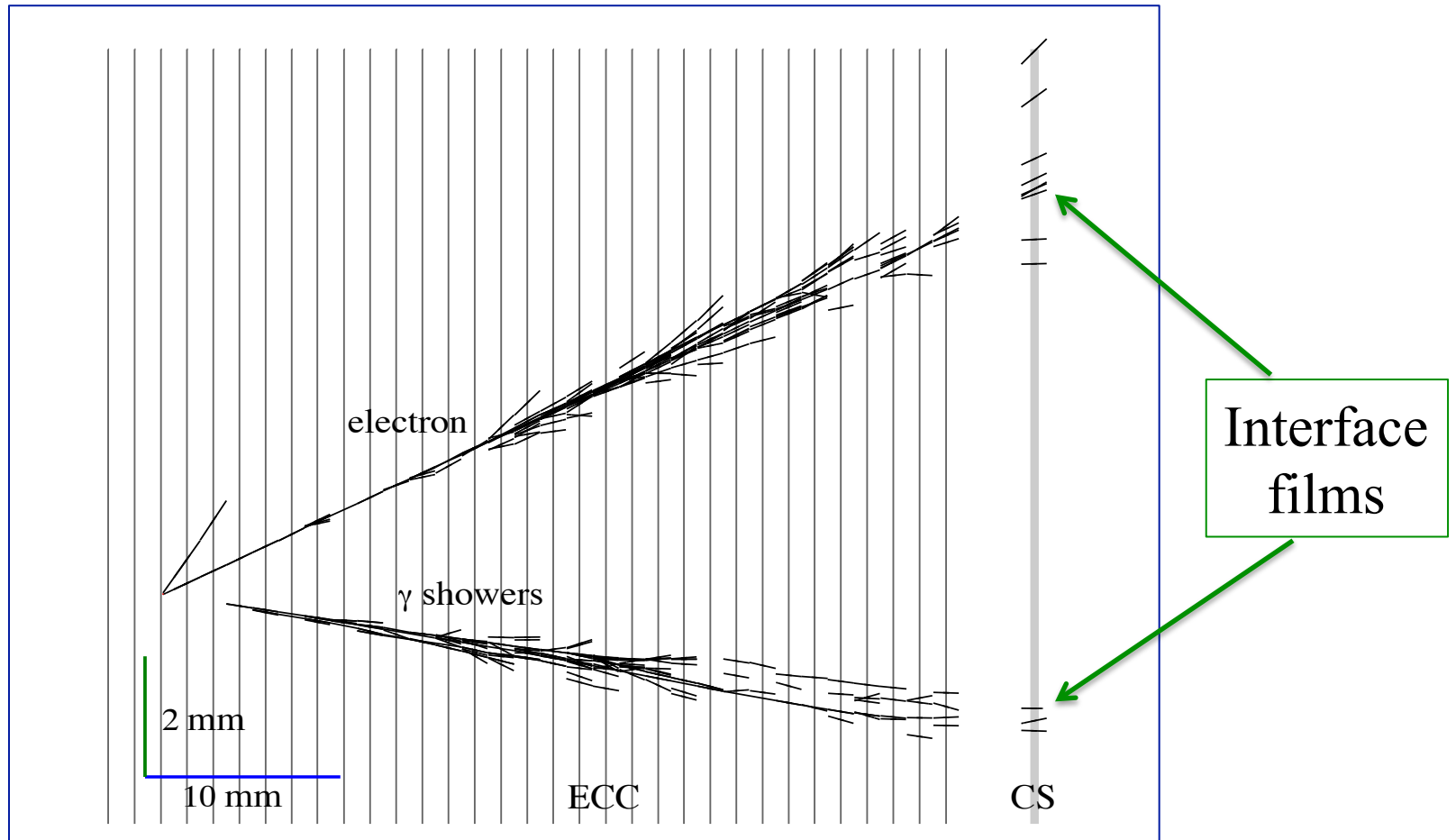


# OSCILLATION PHYSICS

- $\nu_{\mu} \rightarrow \nu_e$  ANALYSIS
- $\nu_{\mu} \rightarrow \nu_{\tau}$  ANALYSIS

# Electron neutrinos

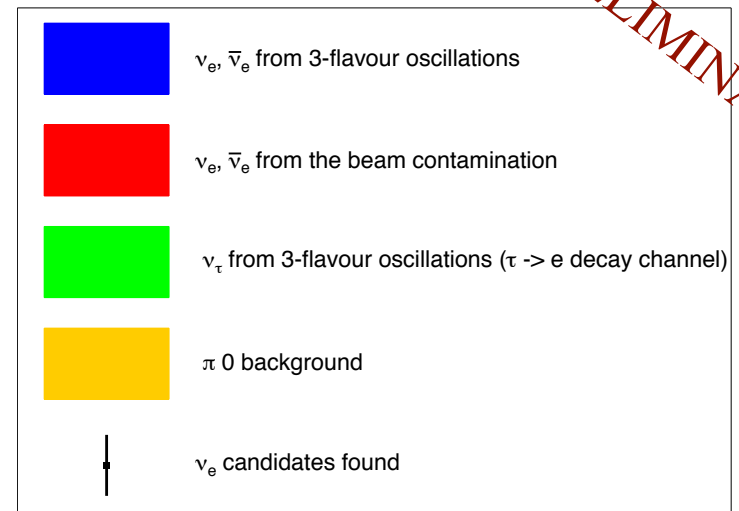
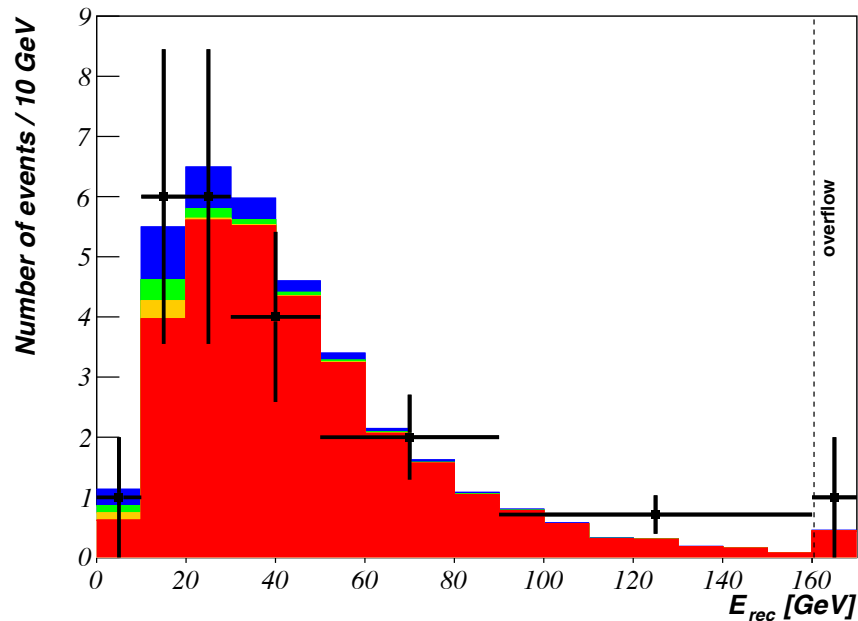
one event with a  $\pi^0$  as seen in the brick



35 candidates in the full data sample

# Electron neutrino energy

Energy cut, GeV	10	20	30	40	50	No cut
$\nu_e, \bar{\nu}_e$ from the beam contamination	0.6	4.6	10.2	15.7	20.0	30.8
$\pi^0$	0.1	0.4	0.5	0.5	0.5	0.5
$\nu_\tau$ from 3-flavour oscillations ( $\tau \rightarrow e$ channel)	0.1	0.5	0.6	0.7	0.8	0.9
Total expected BG	0.8	5.5	11.3	16.9	21.3	32.2
$\nu_e, \bar{\nu}_e$ from 3-flavour oscillations	0.3	1.1	1.8	2.3	2.4	2.7
Expected spectrum in case of 3 flavour oscillations	1.1	6.6	13.1	19.2	23.7	34.9
Data	1	7	13	19	21	35



PRELIMINARY

# STERILE NEUTRINO SEARCH

**3+1 model:** bounds from  $\nu_e$  appearance with profile Likelihood method

$$P_{\nu_\mu \rightarrow \nu_e} = \underbrace{C^2 \sin^2 \Delta_{31}}_{\sim \text{standard oscillation}} + \underbrace{\sin^2 2\theta_{\mu e} \sin^2 \Delta_{41}}_{\text{Exotic oscillation}}$$

Interference term

$$\begin{cases} + 0.5 C \sin 2\theta_{\mu e} \cos \phi_{\mu e} \sin 2\Delta_{31} \sin 2\Delta_{41} \\ - C \sin 2\theta_{\mu e} \sin \phi_{\mu e} \sin^2 \Delta_{31} \sin 2\Delta_{41} \\ + 2 C \sin 2\theta_{\mu e} \cos \phi_{\mu e} \sin^2 \Delta_{31} \sin^2 \Delta_{41} \\ + C \sin 2\theta_{\mu e} \sin \phi_{\mu e} \sin 2\Delta_{31} \sin^2 \Delta_{41} \end{cases}$$

$$C = 2|U_{\mu 3} U_{e 3}^*|$$

$$\Delta_{ij} = \frac{1.27 \Delta m_{ij}^2 L}{E}$$

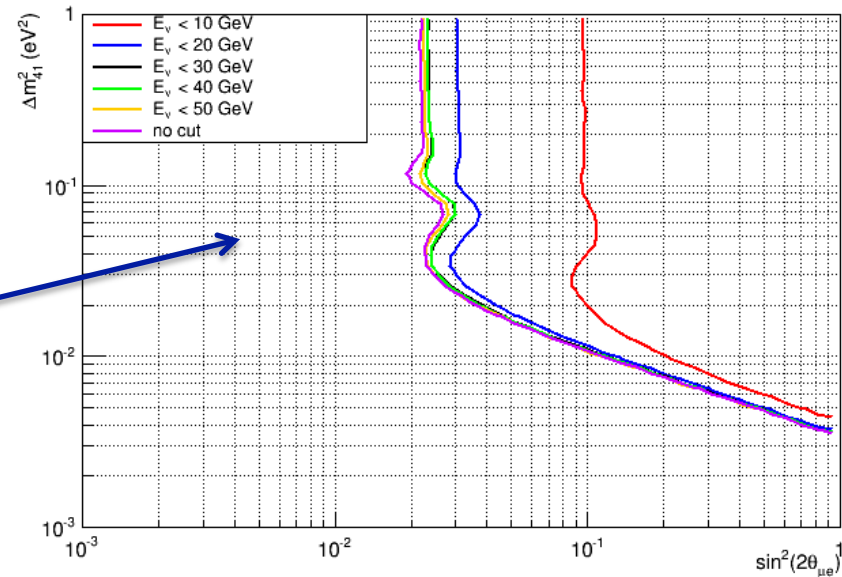
$$\phi_{\mu e} = \text{Arg}(U_{\mu 3} U_{e 3}^* U_{\mu 4}^* U_{e 4})$$

$$\sin^2 2\vartheta_{\mu e} = 4|U_{\mu 4}|^2 |U_{e 4}|^2$$

$$L = \prod_i \text{Poisson}(n_i; (1 + k_j) \cdot u_i) \times \prod_j \text{Gauss}(k_j; 0, \sigma_j) \times \text{Gauss}(\Delta m_{23}^2; \widehat{\Delta m_{23}^2}, \sigma_{\Delta m_{23}^2})$$

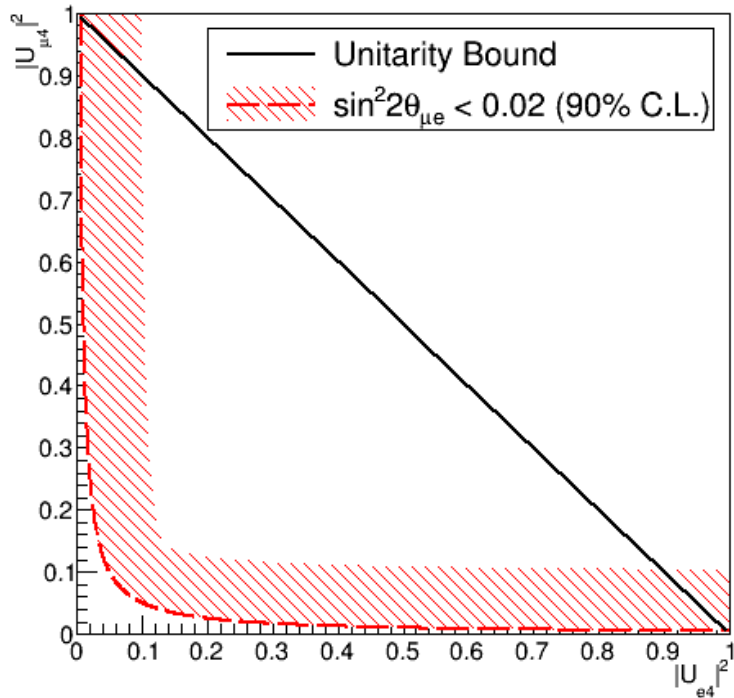
Energy distribution to constrain the parameter space: shape analysis

Sensitivity curves vs energy cut

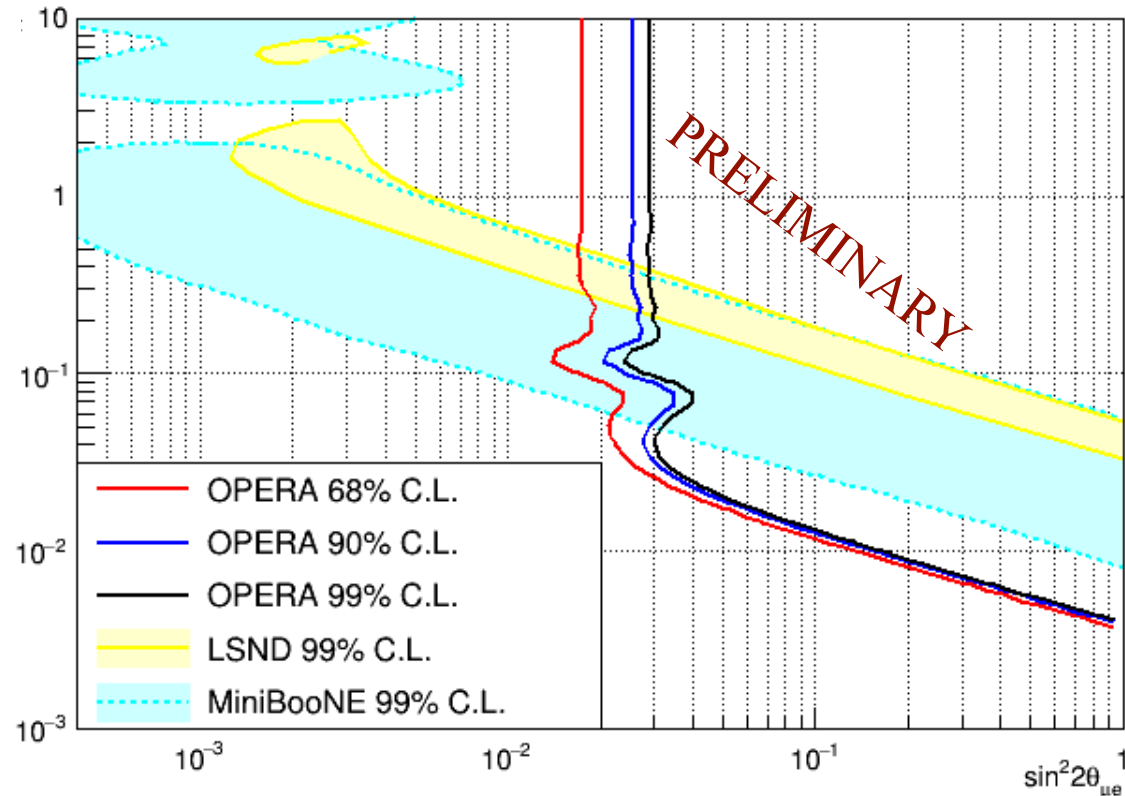


# CONSTRAINING STERILE NEUTRINOS WITH A 3+1 MODEL

First sterile neutrino search in a long baseline  
with  $\nu_\mu \rightarrow \nu_e$  and a 3+1 model  
2 flavour approx. invalid at CNGS baselines



$$\sin^2 2\vartheta_{\mu e} = 4|U_{\mu 4}|^2|U_{e 4}|^2$$





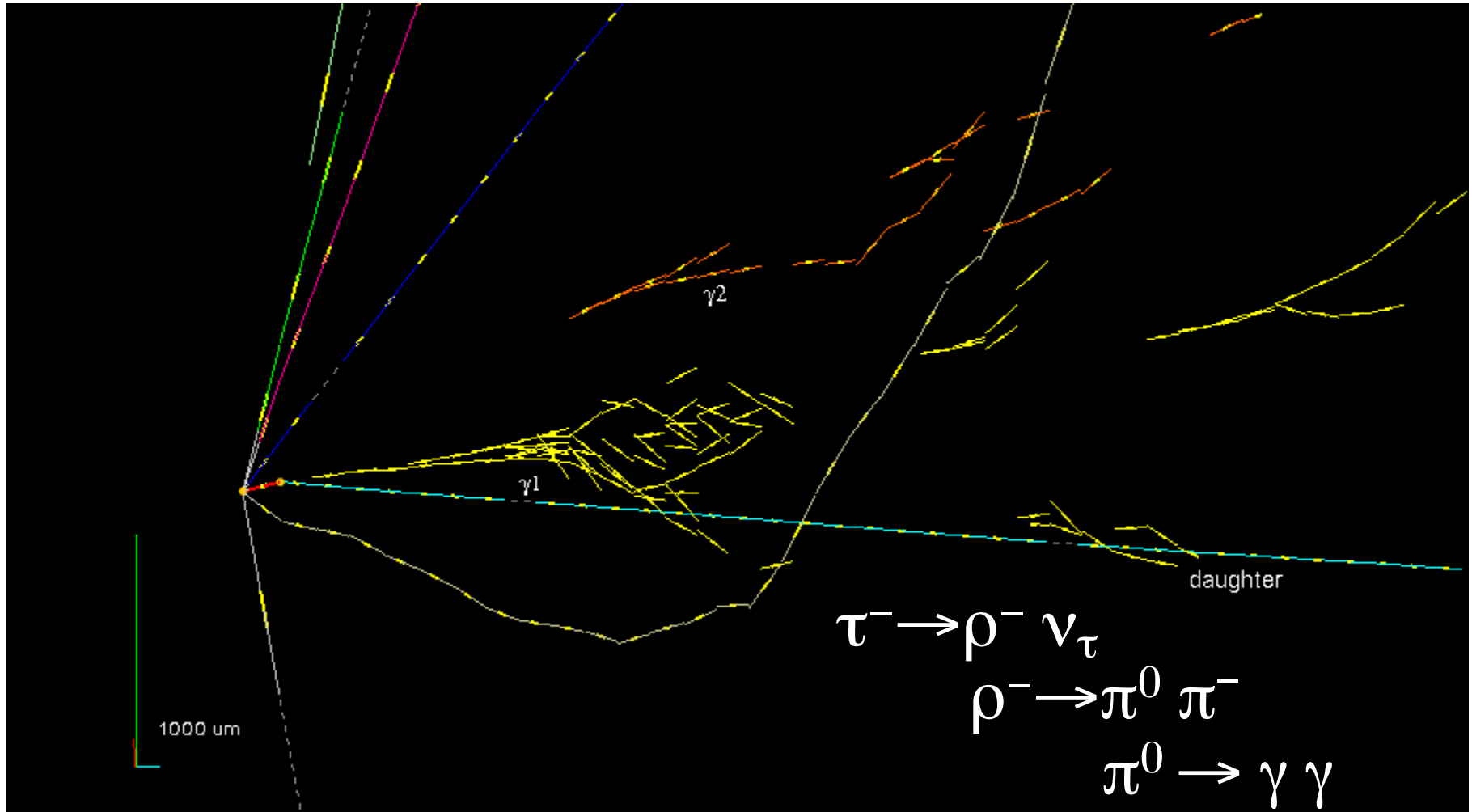
# $\nu_{\mu} \rightarrow \nu_{\tau}$ ANALYSIS

# $\nu_{\mu} \rightarrow \nu_{\tau}$ ANALYSIS STRATEGY

- 2008-2009 runs
  - No kinematical selection: get confidence on the detector performances before applying any kinematical cut
  - Slower analysis speed (signal/noise not optimal)
  - Kinematical selection applied for the candidate selection, coherently for all runs
  - Good data/MC agreement shown
- 2010-2012 runs
  - $P_{\mu} < 15$  GeV/c, to suppress charm background
  - Prioritise the analysis of the most probable brick in the probability map: optimal ratio between efficiency and analysis time
  - Analyse the other bricks in the probability map

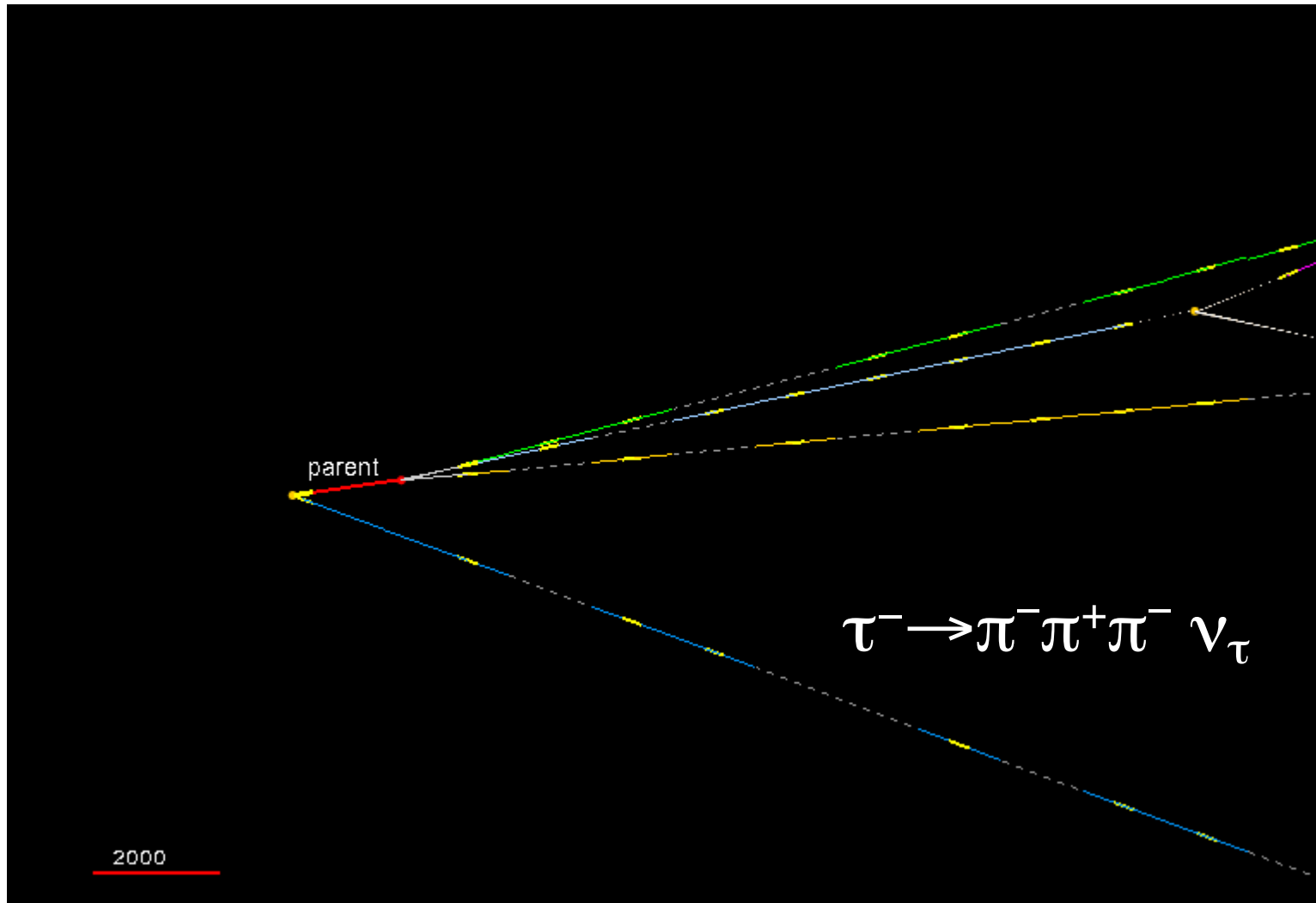
# THE FIRST $\nu_\tau$ CANDIDATE

in the brick



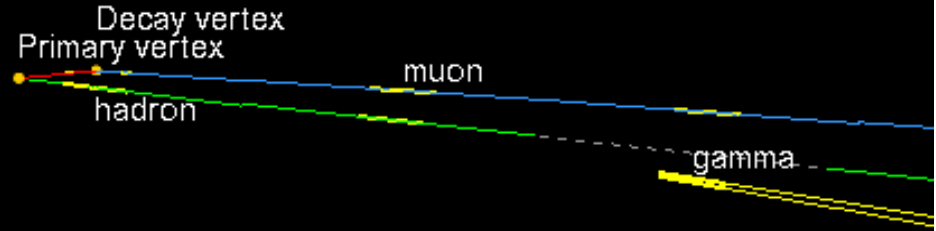
# THE SECOND $\nu_\tau$ CANDIDATE

in the brick



# THE THIRD $\nu_\tau$ CANDIDATE

in the brick

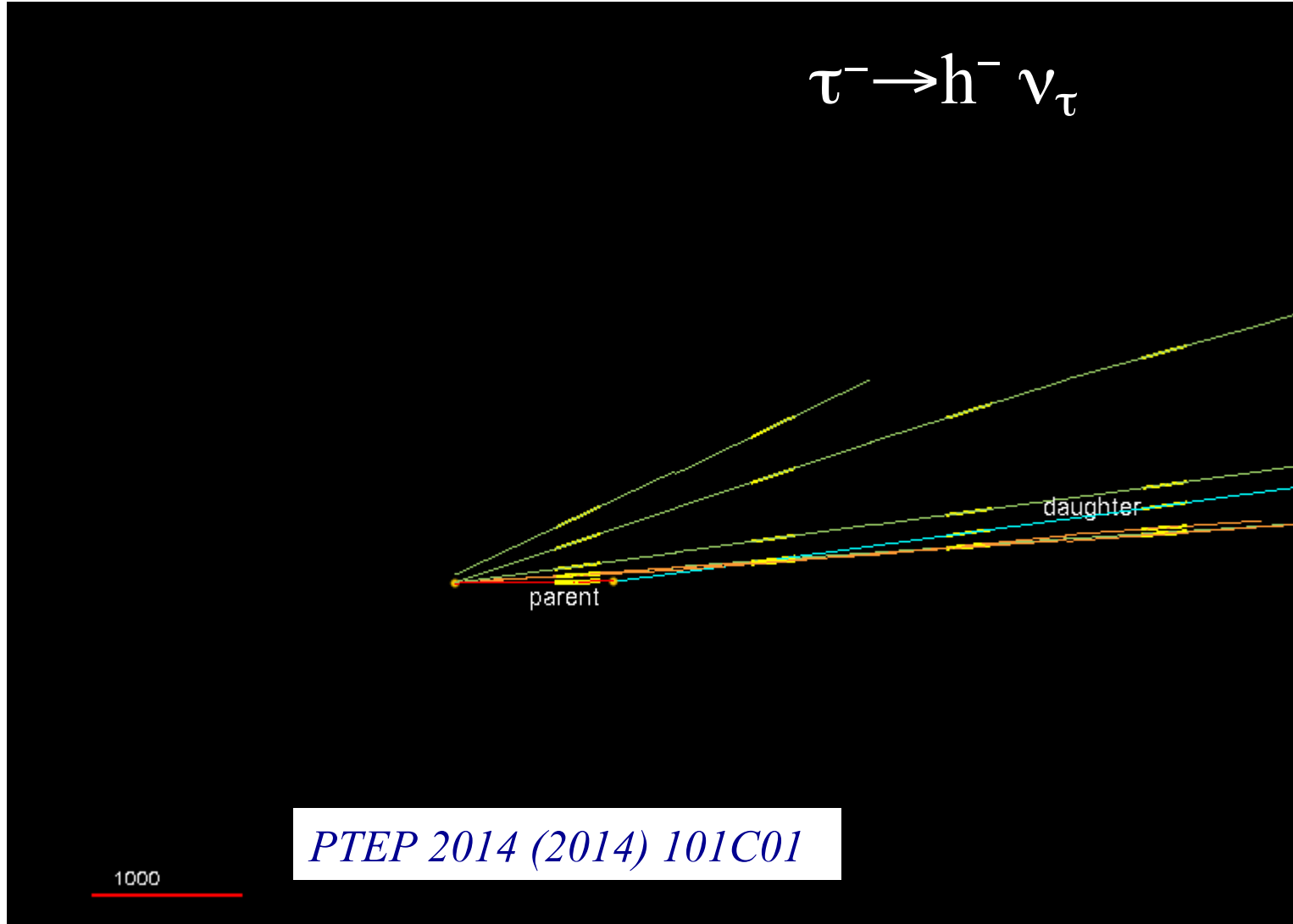


$$\tau^- \rightarrow \mu^- \bar{\nu}_\mu \nu_\tau$$

# THE FORTH $\nu_\tau$ CANDIDATE

in the brick

$$\tau^- \rightarrow h^- \nu_\tau$$



# OUTSTANDING PAPER AWARD BY THE PHYSICAL SOCIETY OF JAPAN

## 日本物理学会論文賞

*Outstanding Paper Award of the Physical Society of Japan*

Title of Article

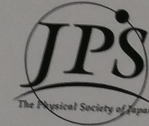
*Observation of tau neutrino appearance  
in the CNGS beam with the OPERA  
experiment*

Journal

*Prog. Theor. Exp. Phys. 2014, 101C01 (2014)*

Authors

*OPERA Collaboration*



This is to certify that your article has been selected  
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Journal of the Physical Society of Japan  
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March 20, 2016

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会長 藤井保彦

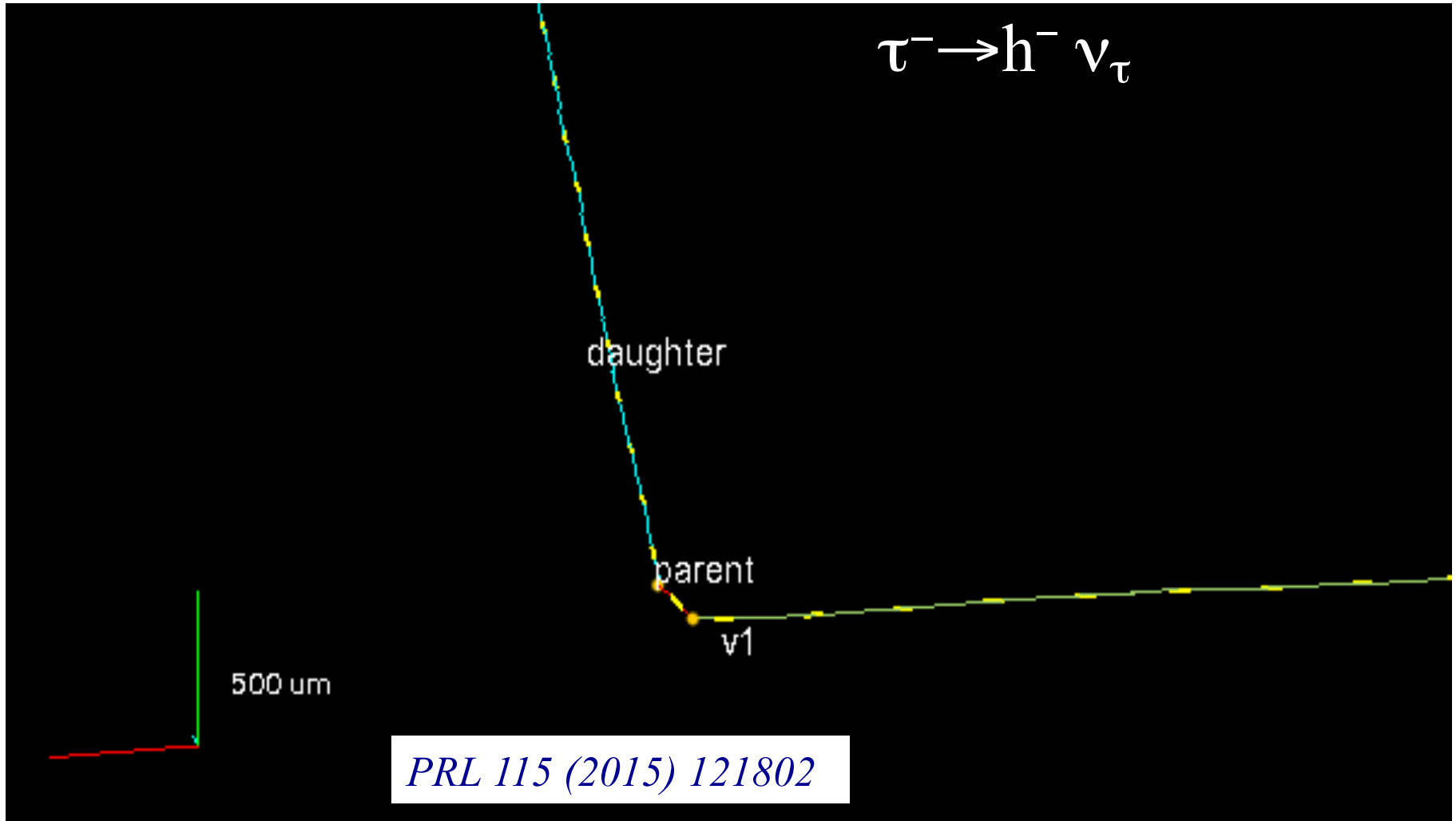
Yasuhiko Fujii

President, The Physical Society of Japan



# THE FIFTH $\nu_\tau$ CANDIDATE

in the brick







## Discovery of $\tau$ Neutrino Appearance in the CNGS Neutrino Beam with the OPERA Experiment

N. Agafonova,<sup>1</sup> A. Aleksandrov,<sup>2</sup> A. Anokhina,<sup>3</sup> S. Aoki,<sup>4</sup> A. Ariga,<sup>5</sup> T. Ariga,<sup>5</sup> D. Bender,<sup>6</sup> A. Bertolin,<sup>7</sup> I. Bodnarchuk,<sup>8</sup> C. Bozza,<sup>9</sup> R. Brugnera,<sup>7,10</sup> A. Buonauro,<sup>2,11</sup> S. Buontempo,<sup>2</sup> B. Büttner,<sup>12</sup> M. Chernyavsky,<sup>13</sup> A. Chukanov,<sup>8</sup> L. Consiglio,<sup>2</sup> N. D'Ambrosio,<sup>14</sup> G. De Lellis,<sup>2,11</sup> M. De Serio,<sup>15,16</sup> P. Del Amo Sanchez,<sup>17</sup> A. Di Crescenzo,<sup>2</sup> D. Di Ferdinando,<sup>18</sup> N. Di Marco,<sup>14</sup> S. Dmitrievski,<sup>8</sup> M. Dracos,<sup>19</sup> D. Duchesneau,<sup>17</sup> S. Dusini,<sup>7</sup> T. Dzhatdov,<sup>3</sup> J. Ebert,<sup>12</sup> A. Ereditato,<sup>5</sup> R. A. Fini,<sup>16</sup> F. Fomari,<sup>18,20</sup> T. Fukuda,<sup>21</sup> G. Galati,<sup>2,11</sup> A. Garfagnini,<sup>7,10</sup> J. Goldberg,<sup>22</sup> Y. Gornushkin,<sup>8</sup> G. Grella,<sup>9</sup> A. M. Guler,<sup>6</sup> C. Gustavino,<sup>23</sup> C. Hagner,<sup>12</sup> T. Hara,<sup>4</sup> H. Hayakawa,<sup>24</sup> A. Hollnagel,<sup>12</sup> B. Hosseini,<sup>2,11</sup> K. Ishiguro,<sup>24</sup> K. Jakovcic,<sup>25</sup> C. Jollet,<sup>19</sup> C. Kamiscioglu,<sup>6</sup> M. Kamiscioglu,<sup>6</sup> J. H. Kim,<sup>26</sup> S. H. Kim,<sup>26,\*</sup> N. Kitagawa,<sup>24</sup> B. Klicek,<sup>25</sup> K. Kodama,<sup>27</sup> M. Komatsu,<sup>24</sup> U. Kose,<sup>7,†</sup> I. Kreslo,<sup>5</sup> F. Laudisio,<sup>9</sup> A. Lauria,<sup>2,11</sup> A. Ljubicic,<sup>25</sup> A. Longhin,<sup>28</sup> P. F. Loverre,<sup>23,29</sup> A. Malgin,<sup>1</sup> M. Malenica,<sup>25</sup> G. Mandrioli,<sup>18</sup> T. Matsuo,<sup>21</sup> T. Matsushita,<sup>24</sup> V. Matveev,<sup>1</sup> N. Mauri,<sup>18,20</sup> E. Medinaceli,<sup>7,10</sup> A. Mereaglia,<sup>19</sup> S. Mikado,<sup>30</sup> M. Miyanishi,<sup>24</sup> F. Mizutani,<sup>4</sup> P. Monacelli,<sup>23</sup> M. C. Montesi,<sup>2,11</sup> K. Morishima,<sup>24</sup> M. T. Muciaccia,<sup>15,16</sup> N. Naganawa,<sup>24</sup> T. Naka,<sup>24</sup> M. Nakamura,<sup>24</sup> T. Nakano,<sup>24</sup> Y. Nakatsuka,<sup>24</sup> K. Niwa,<sup>24</sup> S. Ogawa,<sup>21</sup> A. Olchevsky,<sup>8</sup> T. Omura,<sup>24</sup> K. Ozaki,<sup>4</sup> A. Paoloni,<sup>28</sup> L. Paparella,<sup>15,16</sup> B. D. Park,<sup>26,‡</sup> I. G. Park,<sup>26</sup> L. Pasqualini,<sup>18,20</sup> A. Pastore,<sup>15</sup> L. Patrizii,<sup>18</sup> H. Pessard,<sup>17</sup> C. Pistillo,<sup>5</sup> D. Podgrudkov,<sup>3</sup> N. Polukhina,<sup>13</sup> M. Pozzato,<sup>18,20</sup> F. Pupilli,<sup>28</sup> M. Roda,<sup>7,10</sup> T. Roganova,<sup>3</sup> H. Rokujo,<sup>24</sup> G. Rosa,<sup>23,29</sup> O. Ryazhskaya,<sup>1</sup> O. Sato,<sup>24,§</sup> A. Schembri,<sup>14</sup> W. Schmidt-Parzefall,<sup>12</sup> I. Shakirianova,<sup>1</sup> T. Shchedrina,<sup>13,11</sup> A. Sheshukov,<sup>8</sup> H. Shibuya,<sup>21</sup> T. Shiraishi,<sup>24</sup> G. Shoziyoev,<sup>3</sup> S. Simone,<sup>15,16</sup> M. Sioli,<sup>18,20</sup> C. Sirignano,<sup>7,10</sup> G. Sirri,<sup>18</sup> A. Sotnikov,<sup>8</sup> M. Spinetti,<sup>28</sup> L. Stanco,<sup>7</sup> N. Starkov,<sup>13</sup> S. M. Stellacci,<sup>9</sup> M. Stipcevic,<sup>25</sup> P. Strolin,<sup>2,11</sup> S. Takahashi,<sup>4</sup> M. Tenti,<sup>18</sup> F. Terranova,<sup>28,31</sup> V. Tioukov,<sup>2</sup> S. Tufanli,<sup>5,||</sup> P. Vilain,<sup>32</sup> M. Vladymyrov,<sup>13,¶</sup> L. Votano,<sup>28</sup> J. L. Vuilleumier,<sup>5</sup> G. Wilquet,<sup>32</sup> B. Wonsak,<sup>12</sup> C. S. Yoon,<sup>26</sup> and S. Zemskova<sup>8</sup>

(OPERA Collaboration)



Scientific Background on the Nobel Prize in Physics 2015

## NEUTRINO OSCILLATIONS

compiled by the Class for Physics of the Royal Swedish Academy of Sciences

Super-Kamiokande's oscillation results were later confirmed by the detectors MACRO [55] and Soudan [56], the long-baseline accelerator experiments K2K [57], MINOS [58] and T2K [59] and more recently also by the large neutrino telescopes ANTARES [60] and IceCube [61]. Appearance of tau-neutrinos in a muon-neutrino beam has been demonstrated on an event-by-event basis by the OPERA experiment in Gran Sasso, with a neutrino beam from CERN [62].

[PRL 115 \(2015\) 121802](#)

# NEW EVENT ANALYSIS

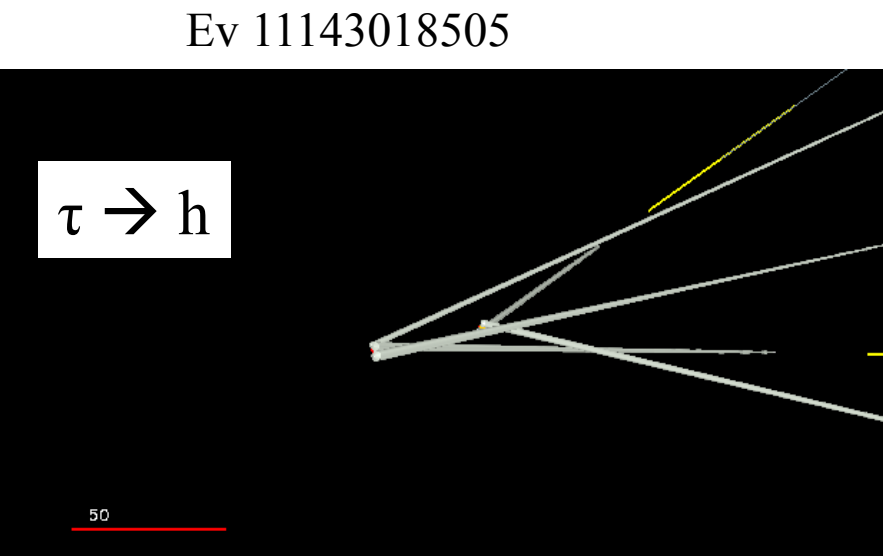
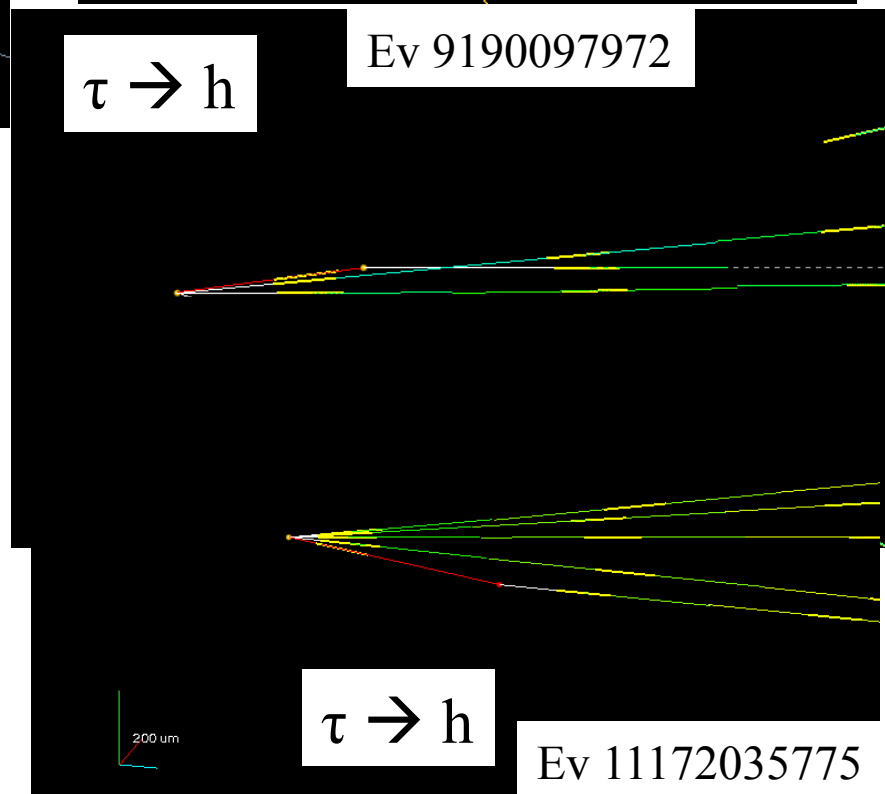
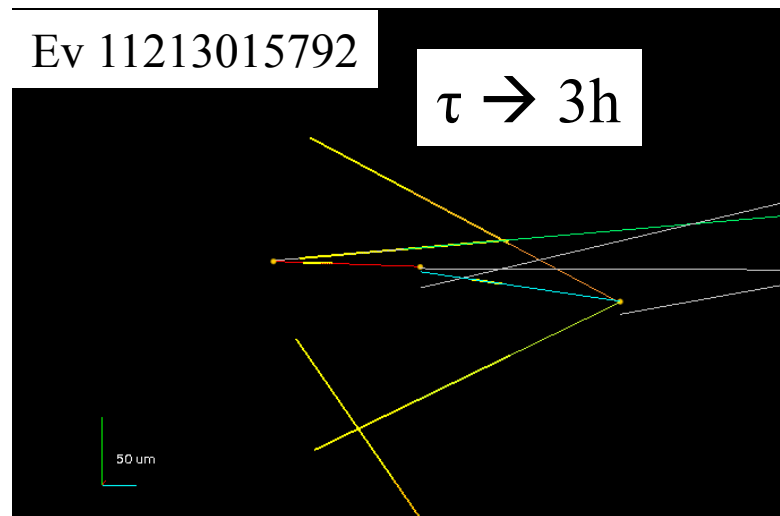
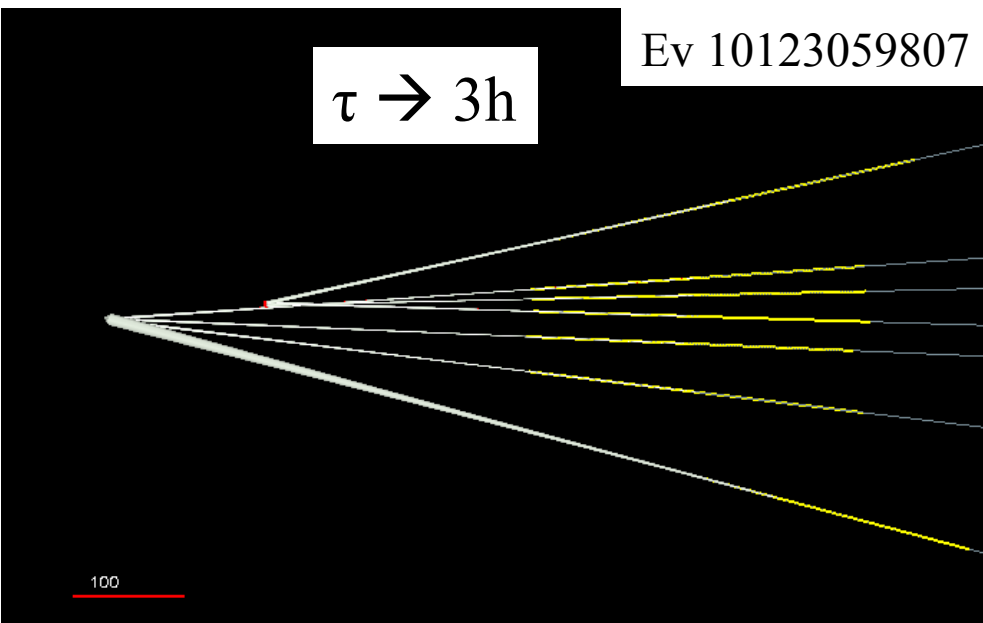
- Widen selection cuts to increase the statistics
- Candidate identification mostly topological with looser kinematical cuts
- Statistical gain to reduce uncertainties
- Use likelihood approach, simulation fully validated with data in all kinematical corners

# NEW SELECTION

Variable	$\tau \rightarrow 1h$		$\tau \rightarrow 3h$		$\tau \rightarrow \mu$		$\tau \rightarrow e$	
	OLD	NEW	OLD	NEW	OLD	NEW	OLD	NEW
$z_{dec}$ ( $\mu m$ )	[44, 2600]	<2600	<2600		[44, 2600]	<2600	<2600	
$\theta_{kink}$ (rad)	>0.02		<0.5	>0.02	>0.02		>0.02	
$p_{2ry}$ (GeV/c)	>2	>1	>3	>1	[1, 15]		[1, 15]	>1
$p_{2ry}^T$ (GeV/c)	>0.6 (0.3)	>0.15	/		>0.25	>0.1	>0.1	
$p_{miss}^T$ (GeV/c)	< 1	/	< 1	/	/		/	
$\phi_{lH}$ (rad)	> $\pi/2$	/	> $\pi/2$	/	/		/	
$m, m_{min}$ (GeV/c <sup>2</sup> )	/		[0.5, 2]	/	/		/	

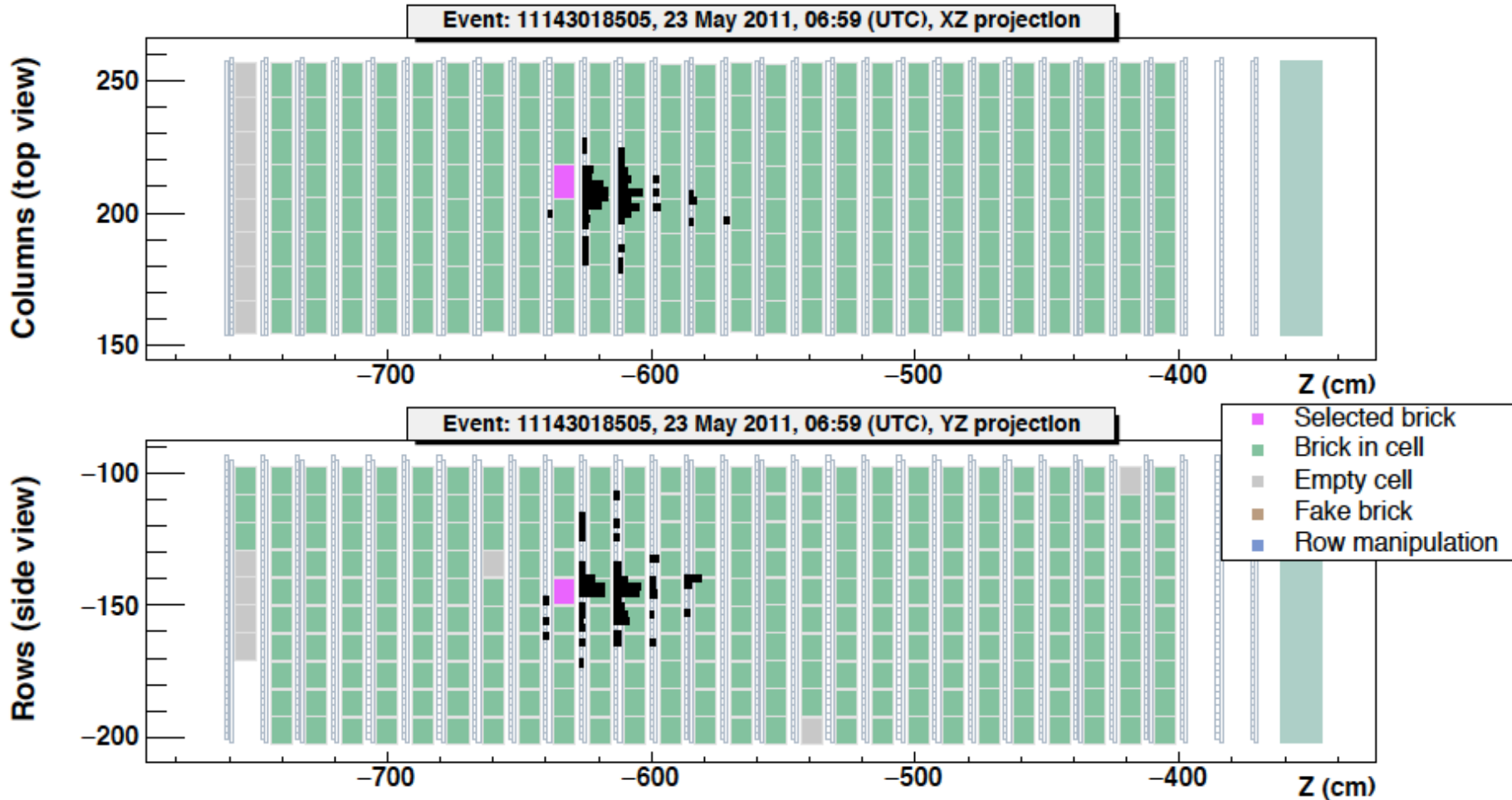
Channel	Expected Background				Total	Expected Signal	Total Expected
	Charm	Had. re-interaction	Large $\mu$ -scat.	Total			
$\tau \rightarrow 1h$	0.15	1.28	—	1.43	2.82	4.25	
$\tau \rightarrow 3h$	0.44	0.09	—	0.52	1.75	2.27	
$\tau \rightarrow \mu$	0.008	—	0.02	0.03	1.09	1.12	
$\tau \rightarrow e$	0.035	—	—	0.03	0.80	0.83	
<b>Total</b>	<b>0.63</b>	<b>1.37</b>	<b>0.02</b>	<b>2.0 <math>\pm</math> 0.5</b>	<b>6.5 <math>\pm</math> 1.3</b>	<b>8.5 <math>\pm</math> 1.8</b>	

# NEW TAU NEUTRINO CANDIDATES



# A CLOSER LOOK AT ONE OF THESE EVENTS

# AN EVENT WITH THREE VERTICES WITHOUT ANY MUON IN THE FINAL STATE

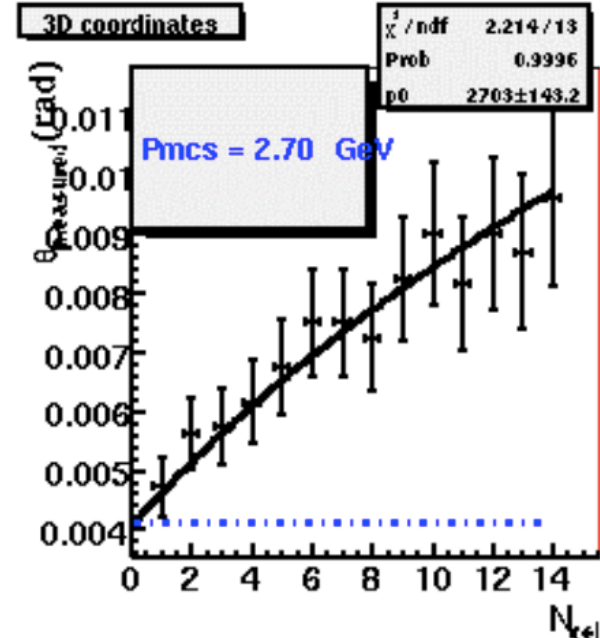
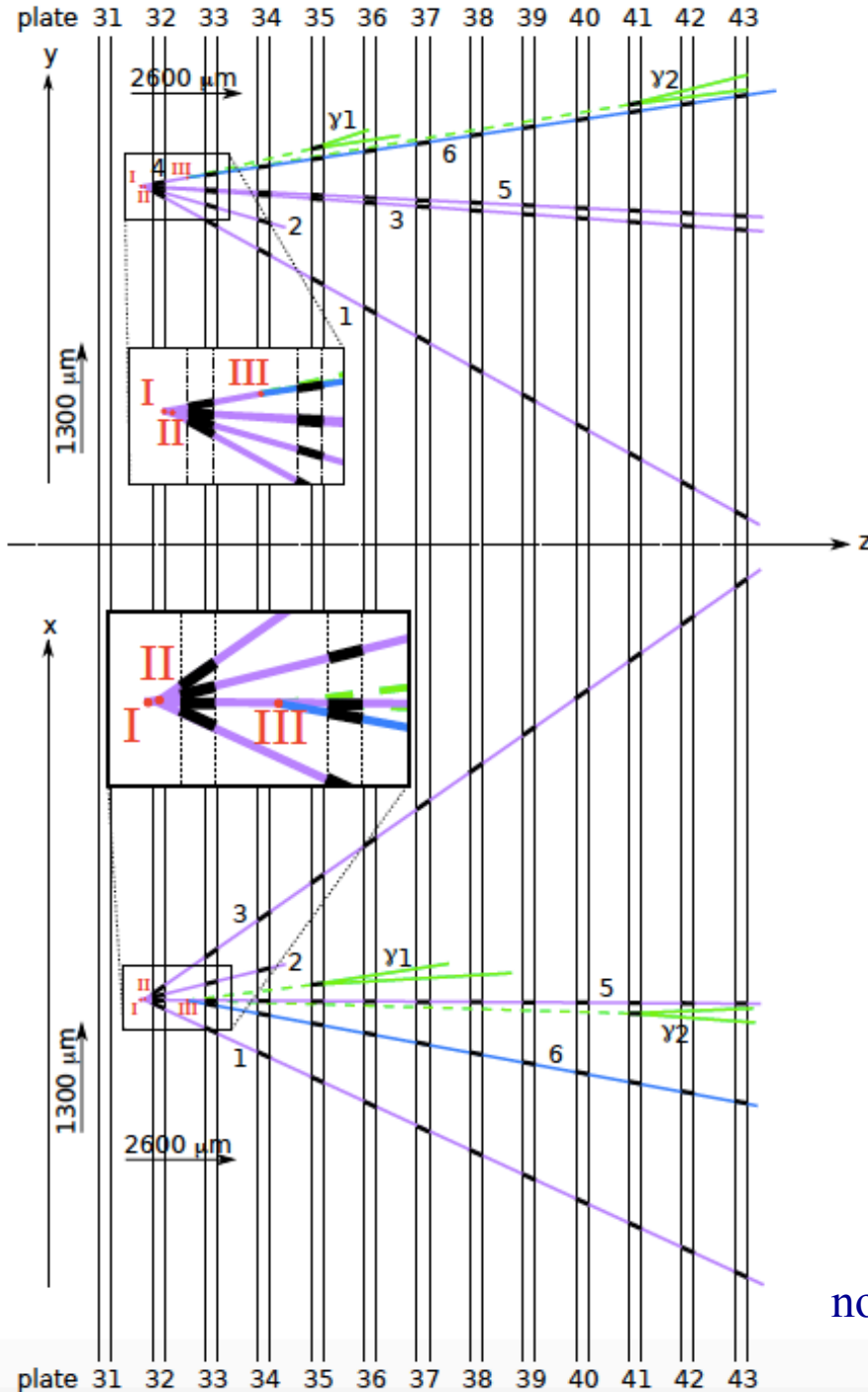


# KINK TOPOLOGY

Flight Length = 1160  $\mu\text{m}$

With  $\gamma$  attached

IP =  $8 \pm 8 \mu\text{m}$

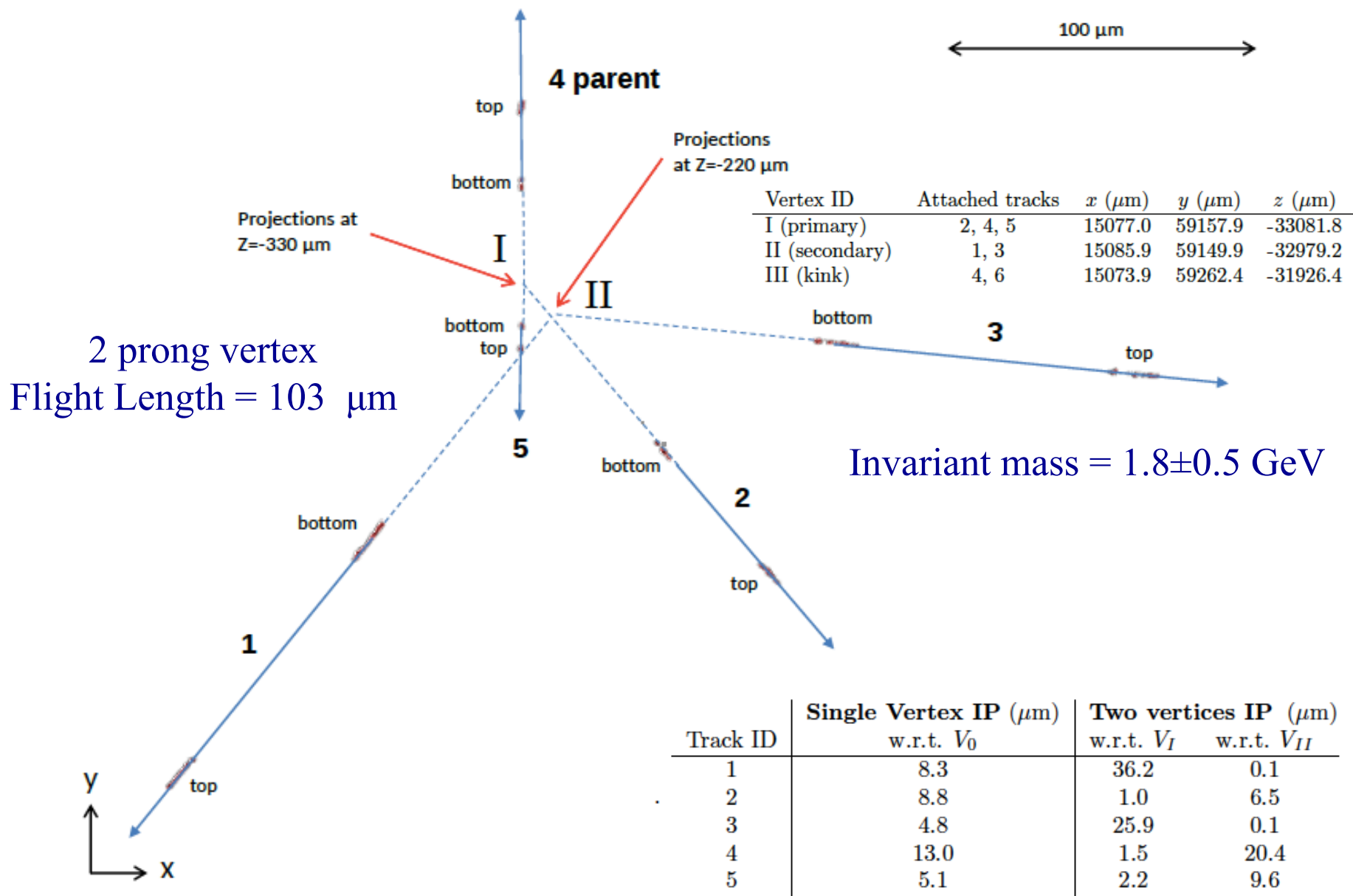


Track ID	$p$ best fit (GeV/c)	68 % $p$ range (GeV/c)
1	2.1	[1.6 ; 3.1]
3	4.3	[3.1 ; 7.1]
5	0.54	[0.45 ; 0.68]
6 (daughter)	2.7	[2.1 ; 3.7]

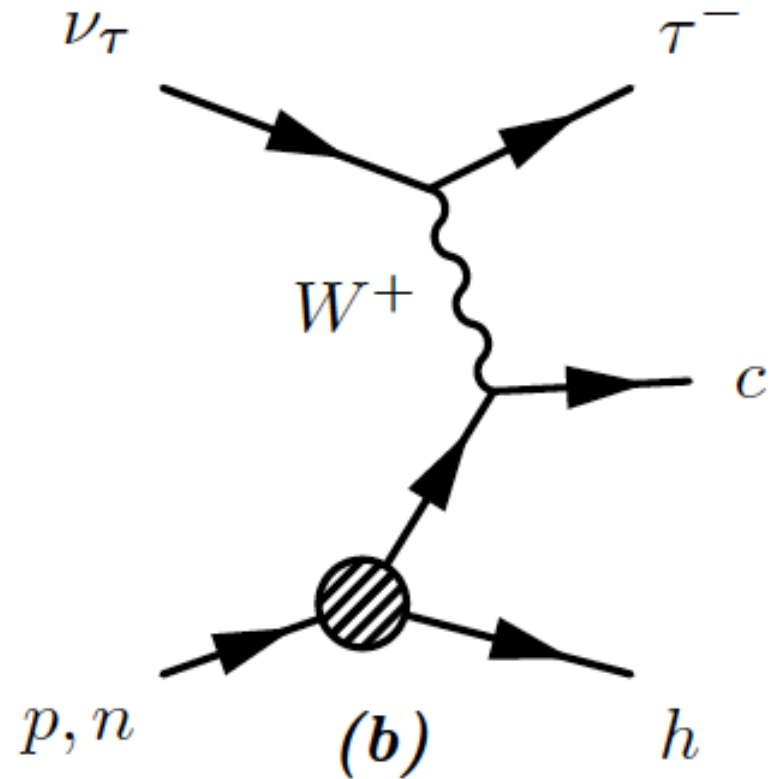
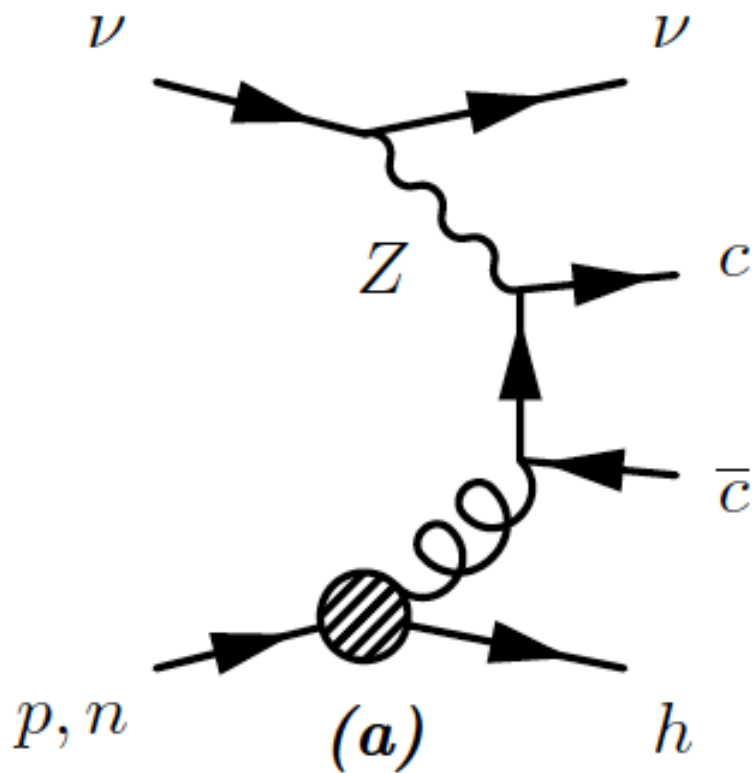
$\vartheta_{\text{kink}} = 90 \text{ mrad} \rightarrow P_{\perp} = 240 \text{ MeV}$   
 not passing the standard cuts to be a tau candidate



# Track segments showing a double vertex topology in the same lead plate

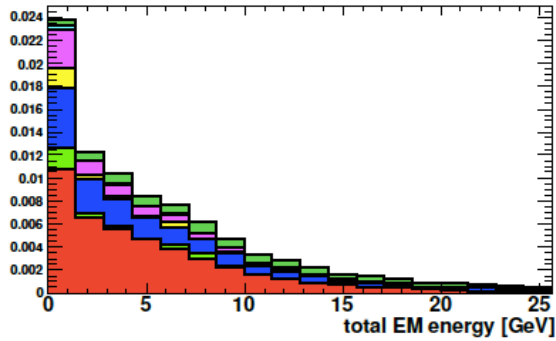


# Leading Feynman diagrams

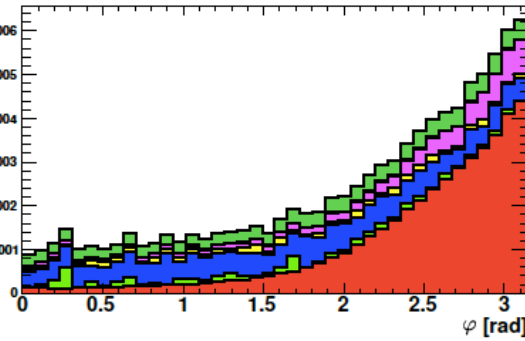


# Expected yield and multivariate analysis

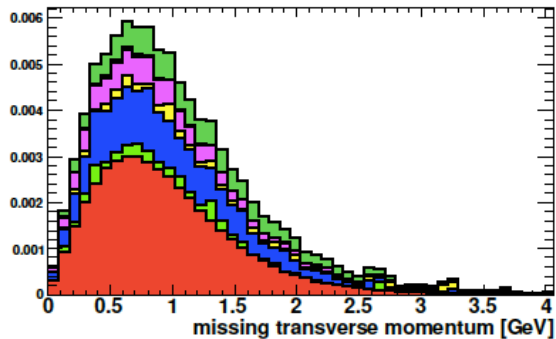
Sample	Muon misidentified	Expected events ( $10^{-3}$ )
$\nu_\tau$ CC + charm		45
$\nu_\mu$ CC + charm + $h_{\text{int}}$	yes	21
$\nu_\mu$ NC + $c\bar{c}$		13
$\nu_\tau$ CC + $h_{\text{int}}$		9
$\nu_\mu$ CC + $2h_{\text{int}}$	yes	4
$\nu_\mu$ NC + $2h_{\text{int}}$		4
Total		100



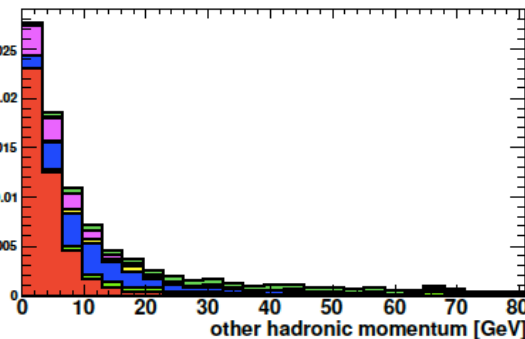
(a) Total EM energy



(b)  $\varphi$



(c) Missing transverse momentum



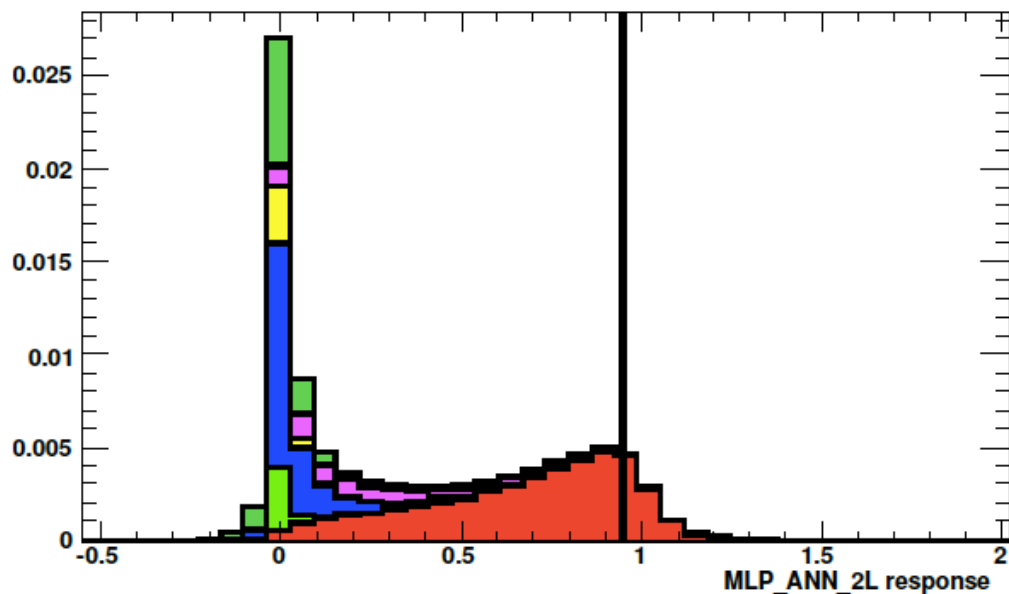
(d) Other hadronic momentum

PRELIMINARY

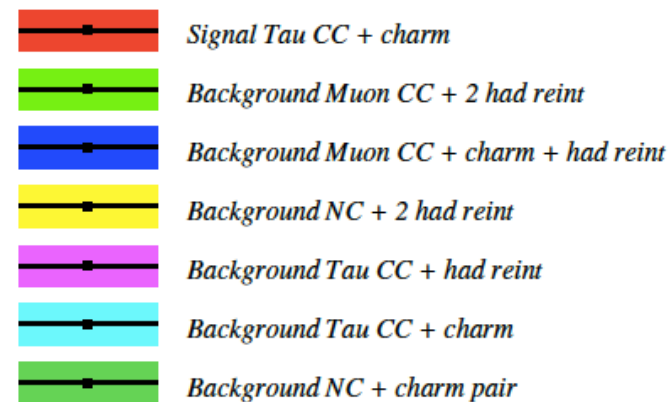
Signature sources

- Signal Tau CC + charm
- Background Muon CC + 2 had reint
- Background Muon CC + charm + had reint
- Background NC + 2 had reint
- Background Tau CC + had reint
- Background NC + charm pair

# Neutral network output



Signature sources



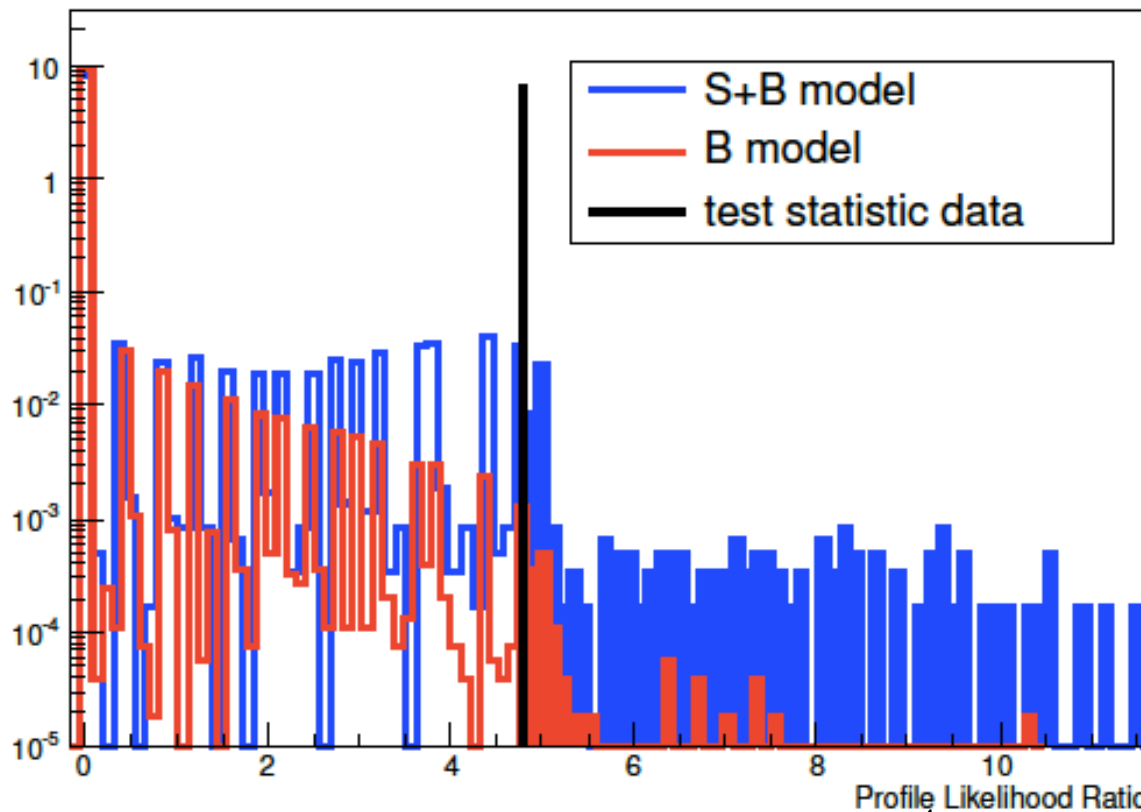
variable	value
1pr-like daughter momentum	2.7 GeV/c
1pr-like daughter transverse momentum	0.242 GeV/c
Kink angle	90 mrad
1pr-like flight length	1.16 mm
2pr-like daughters momentum	6.17 GeV/c
2pr-like daughters transverse momentum	0.542 GeV/c
2pr-like invariant mass	1.86 GeV/c <sup>2</sup>
2pr-like flight length	103 μm
Total EM energy	12.5 GeV
φ angle	2.41 rad
Missing transverse momentum	0.944 GeV/c
Other hadronic momentum	0.850 GeV/c
<b>ANN output</b>	<b>0.946</b>

PRELIMINARY

# Observation of a tau neutrino interaction with a charmed hadron production

$$\mathcal{L}(\mu|x) = \sum_{i \in B} n_i \cdot f_i(x) + \mu \sum_{j \in S} n_j \cdot f_j(x)$$

$x$  PDF from ANN output  
 $n_i$  = yield of  $i$ -th process  
Background only  $\rightarrow \mu = 0$



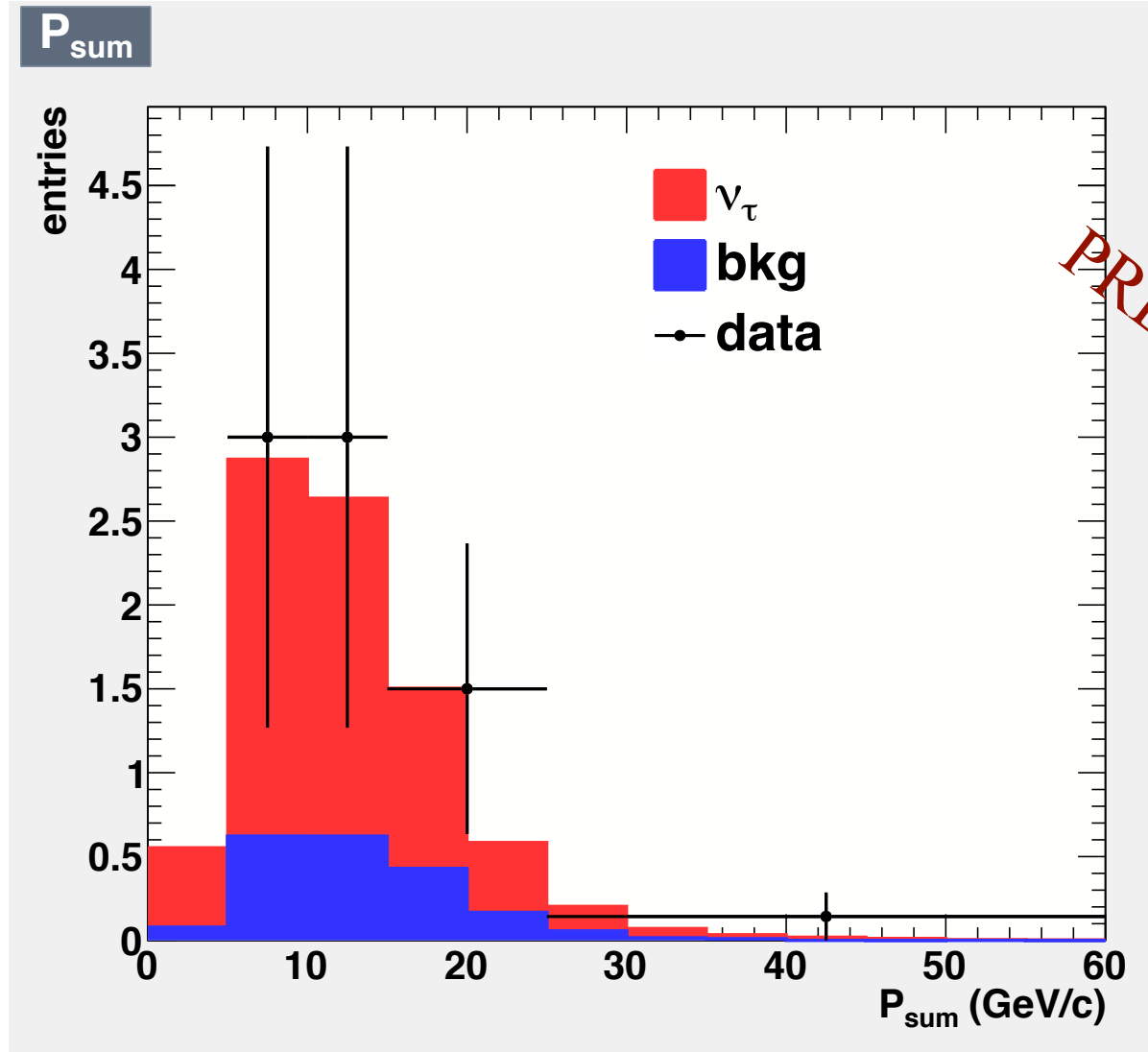
PRELIMINARY

$$CL = (2.6 \pm 0.2) \times 10^{-4} \rightarrow 3.47\sigma$$

# ANALYSIS OF THE EXTENDED SAMPLE

# VISIBLE ENERGY OF ALL CANDIDATES

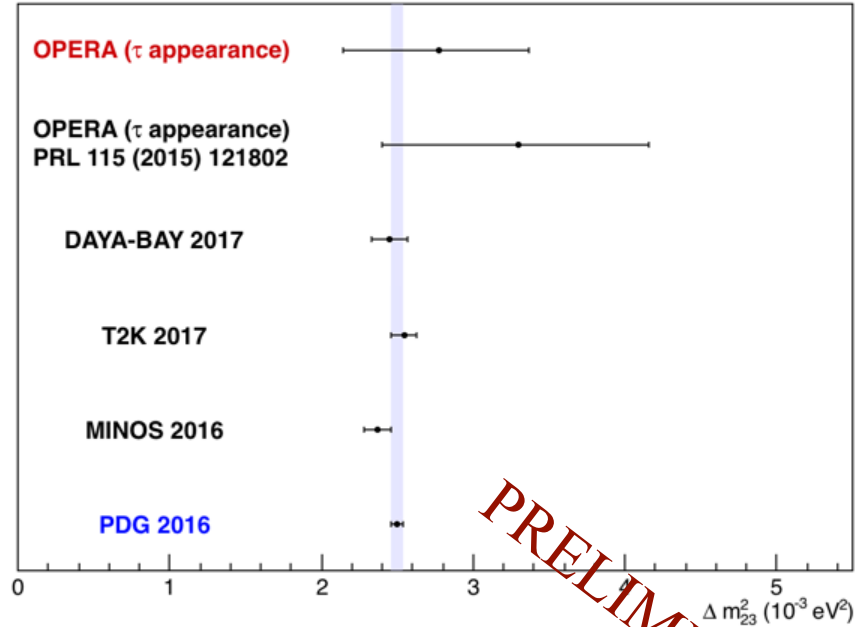
Sum of the momenta of charged particles and  $\gamma$ 's measured in emulsion



# $\Delta m^2$ measurement $\Delta m_{23}^2$

$$N_{\nu_\tau} \propto P(\nu_\mu \rightarrow \nu_\tau) \sigma_{\nu_\tau}$$

Expected Signal	Expected Background	Observed $\nu_\tau$	$\Delta m_{23}^2$ ( $10^{-3} \text{ eV}^2$ )
6.5	2.0	10	$2.8^{+0.6}_{-0.6}$ 68% C.L



## $\nu_\tau$ cross-section

$$\sigma_{\nu_\tau} = \sigma_{\nu_\tau}^0 EK(E)$$

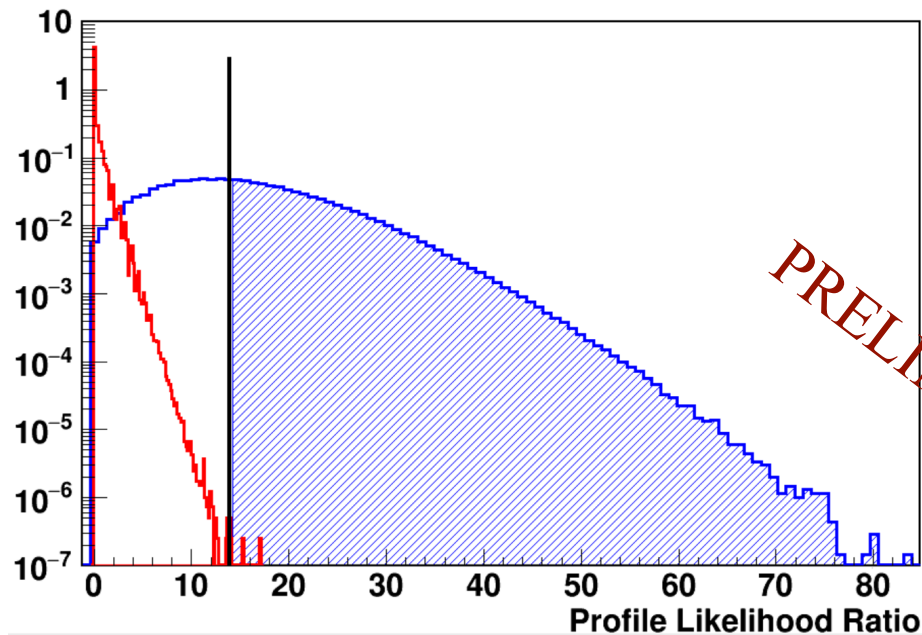
$\Delta m_{23}^2$ ( $10^{-3} \text{ eV}^2$ )	Expected Signal	Expected Background	Observed $\nu_\tau$	$\sigma_{\nu_\tau}^0$ ( $10^{-39} \text{ cm}^2 \text{ GeV}^{-1}$ )
2.5	6.5	2.0	10	$8^{+4}_{-3}$

SM value  $\sigma_{\nu_\tau}^0 = 6.7 \times 10^{-39} \text{ cm}^2 \text{ GeV}^{-1}$



# Significance of the tau neutrino appearance using 8 channels

Channel	Expected Background				Expected Signal	Observed
	Charm	Had. re-interaction	Large $\mu$ -scat.	Total		
$\tau \rightarrow 1h$	0.023	0.024	—	0.047	0.57	3
	0.13	1.26	—	1.39	2.25	3
$\tau \rightarrow 3h$	0.21	0.003	—	0.21	1.1	1
	0.23	0.08	—	0.31	0.66	2
$\tau \rightarrow \mu$	0.003	—	0.0002	0.003	0.55	1
	0.005	—	0.016	0.021	0.54	0
$\tau \rightarrow e$	0.035	—	—	0.03	0.75	0
	0.0004	—	—	0.0004	0.04	0
<b>Total</b>	0.63	1.37	0.02	$2.0 \pm 0.5$	$6.5 \pm 1.3$	10



**Test statistic:**

Profile likelihood ratio one sided

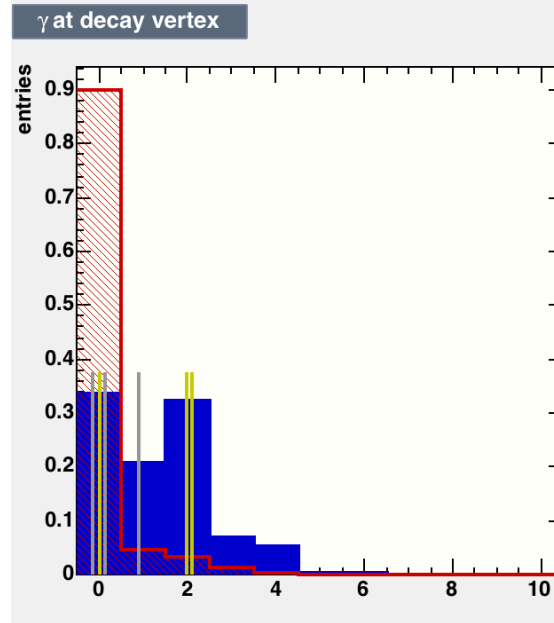
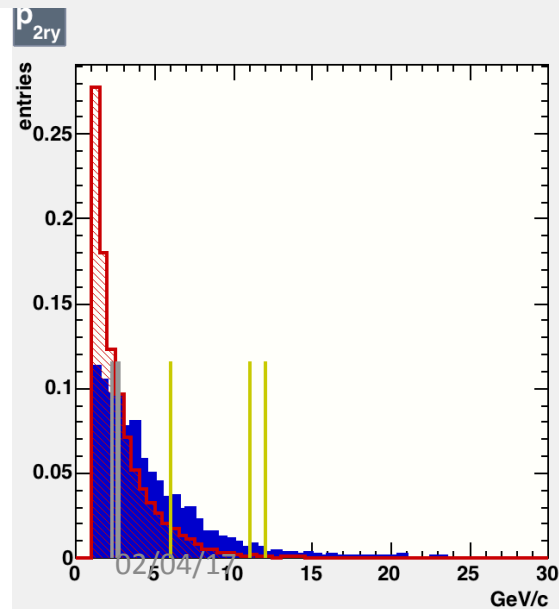
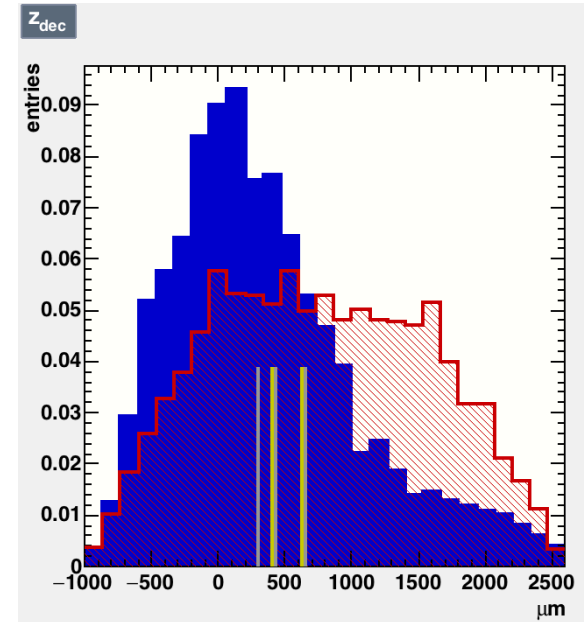
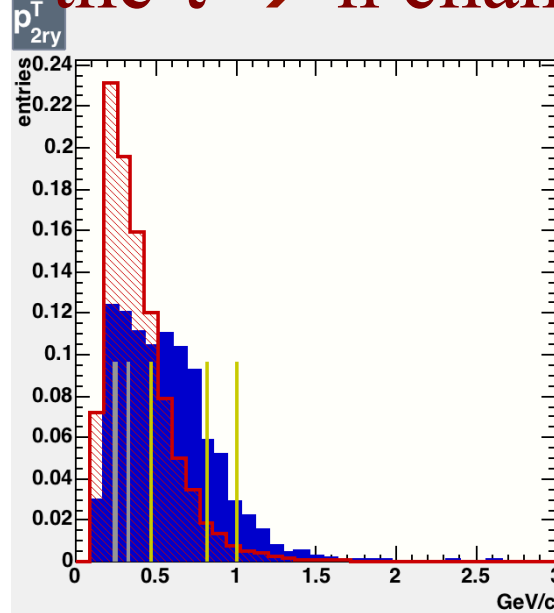
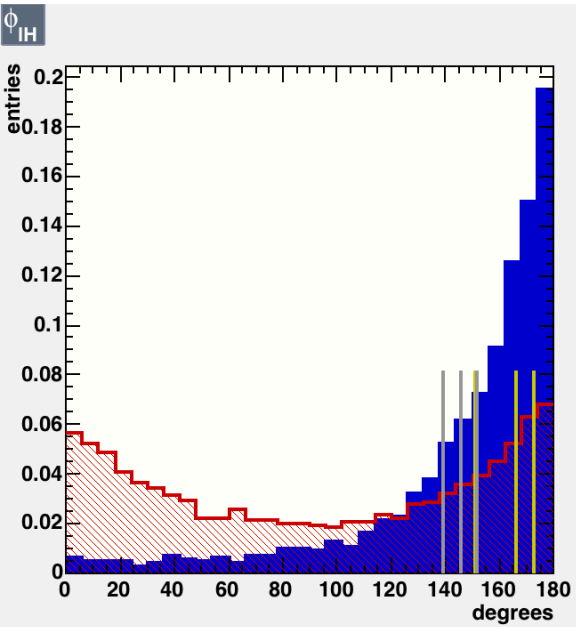
$$p_{\text{value}} = 9.4 \times 10^{-8}$$

**5.2  $\sigma$  significance**

90%CL interval on signal strength  $\mu$ : [0.51, 2.6]

# Input variables for BDT analysis

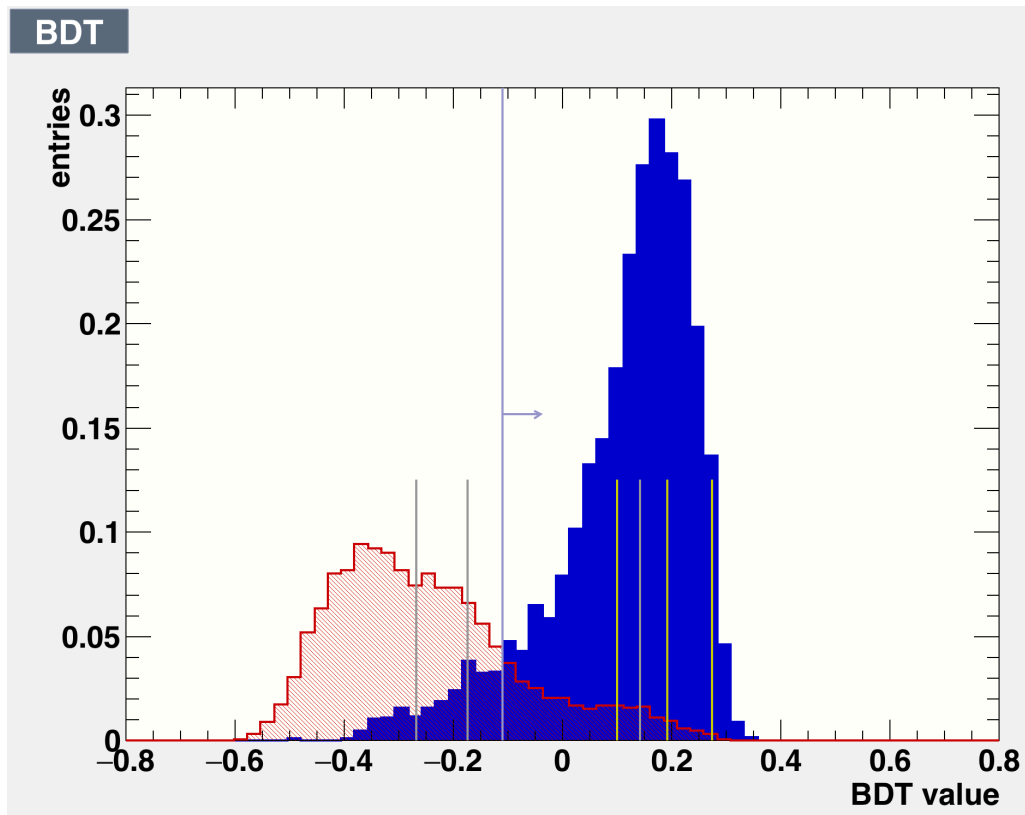
the  $\tau \rightarrow h$  channel



- $\nu_\tau$
- bkg
- "golden" candidates
- "silver" candidates


# BDT output

## the $\tau \rightarrow h$ channel



  $\nu_\tau$

 bkg

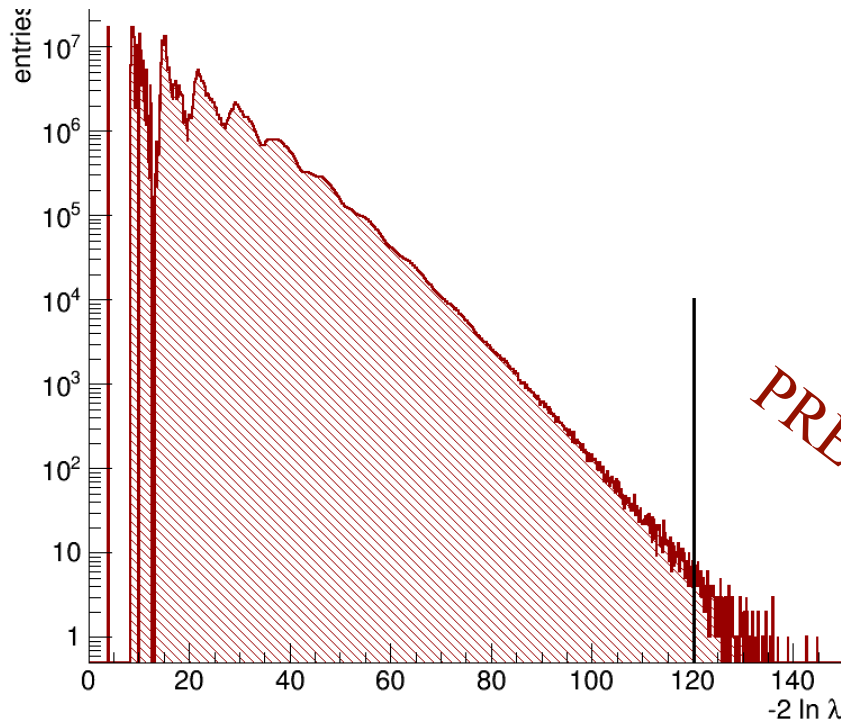
 "golden" candidates

 "silver" candidates

PRELIMINARY

# Likelihood analysis with BDT discrimination using 4 channels

Channel	Expected Background				Expected Signal	Observed
	Charm	Had. re-interaction	Large $\mu$ -scat.	Total		
$\tau \rightarrow 1h$	0.15	1.28	—	1.43	2.82	6
$\tau \rightarrow 3h$	0.44	0.09	—	0.52	1.75	3
$\tau \rightarrow \mu$	0.008	—	0.02	0.03	1.09	1
$\tau \rightarrow e$	0.035	—	—	0.03	0.80	0
<b>Total</b>	<b>0.63</b>	<b>1.37</b>	<b>0.02</b>	<b><math>2.0 \pm 0.5</math></b>	<b><math>6.5 \pm 1.3</math></b>	<b>10</b>



$$\mathcal{L} = \prod_{ch=1}^4 \left( \frac{b_{ch}^{n_{ch}} e^{-b_{ch}}}{n_{ch}!} \cdot \prod_{i=1}^{n_{ch}} f(BDT_{ch_i}) \right)$$

$$pvalue = 2.95 \times 10^{-7}$$

5.0  $\sigma$  significance

# Publications being issued

- Cosmic-ray annual modulation
- Study of charged particle multiplicity in high-energy neutrino-lead interactions
- Search for sterile neutrinos in the muon to electron channel
- Observation of a tau neutrino candidate with charmed hadron production
- Extended  $\nu_{\mu} \rightarrow \nu_{\tau}$  search and  $\Delta m^2$  measurement in appearance mode

# Forthcoming publications

- Search for sterile neutrinos in the muon to tau channel with the full data sample
- Combination of electron and tau appearance with muon disappearance to constraint the oscillation parameters
- ...

# OPERA DATA PRESERVATION


- CERN willing to host OPERA data for its preservation
- OPERA data sample < 100 TB (~10 tapes) equivalent to one of the LEP experiments
- LEP support granted until 2030
- Agreement with data preservation office at CERN a year ago
- First data sets transferred
- Contacts with LNGS Computing Service for a similar action

# OPERA OPEN DATA AT CERN

- CERN has a program of open data access mostly for educational purposes (CMS, LHCb, ...)
- CERN willing to include OPERA data among the open data
- OPERA agreed to provide neutrino interactions reconstructed in the bricks: both data & event display (effective for educational purposes)

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SCIENTIFIC DATA

Giovanni De Lellis, XLVII LNGSC Meeting



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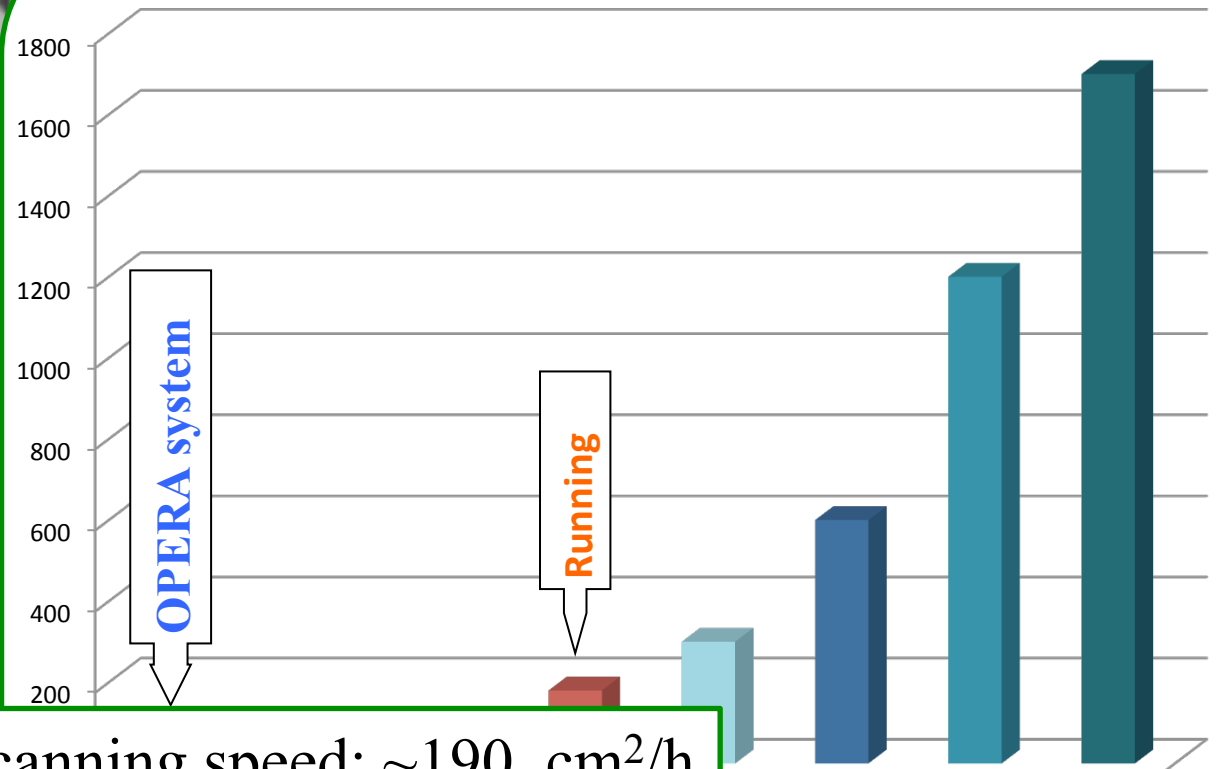
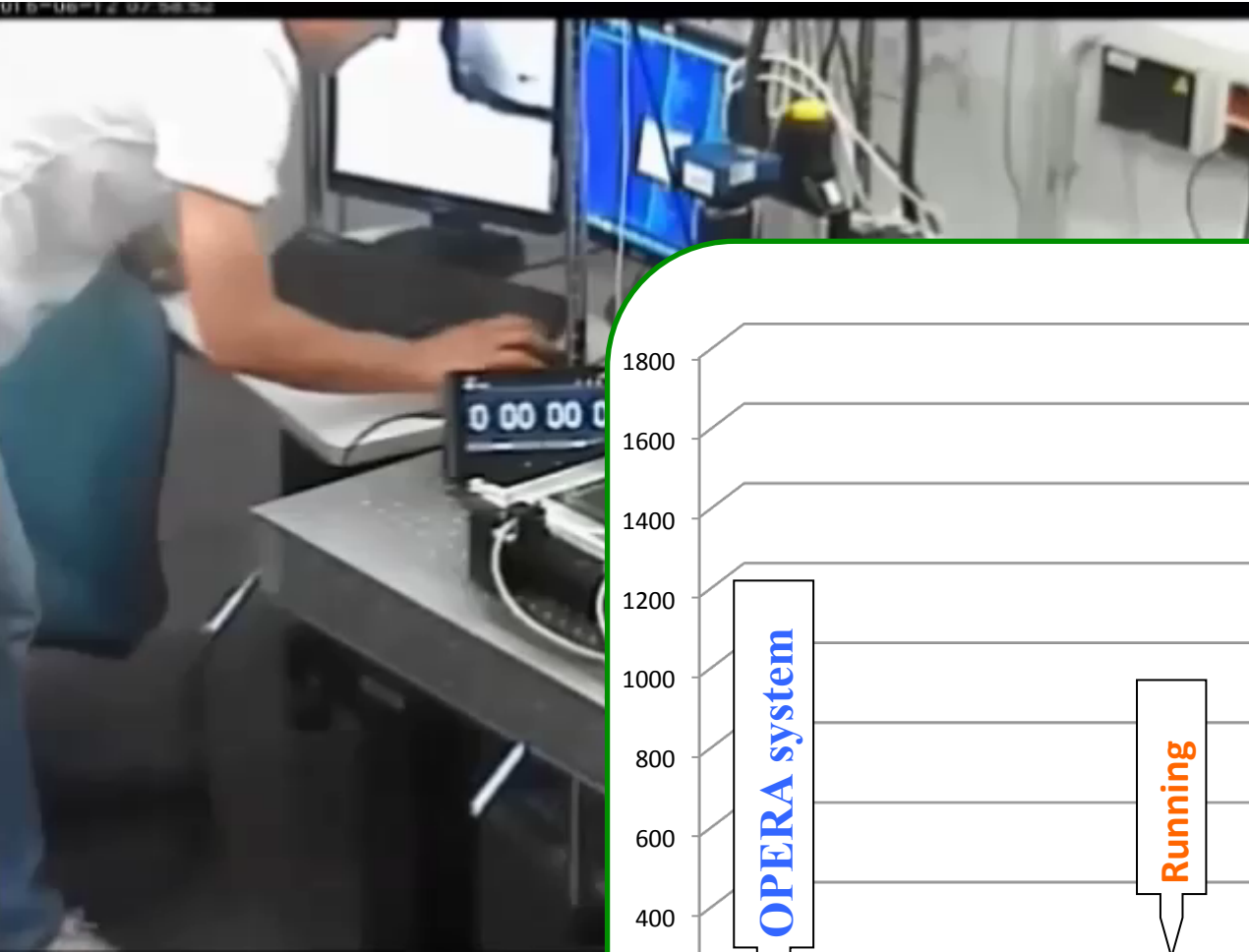
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# TECHNOLOGICAL DEVELOPMENTS



Scanning speed: ~190 cm<sup>2</sup>/h  
~10 times faster

JINST 8 (2013) P01023  
JINST 10 (2015) P11006  
JINST 11 (2016) P06002

# What's next for OPERA's emulsion-detection technology?

While working on the analysis of their data, the collaboration is also looking into possible developments of their emulsion-detection technology, to be implemented in future experiments.

Luciano Maiani, Università La Sapienza and INFN Roma 1, and Giovanni De Lellis, Università Federico II and INFN Napoli.

Developed in the late 1990s, the OPERA detector design was based on a hybrid technology, using both real-time detectors and nuclear emulsions. The construction of the detector at the Gran Sasso underground laboratory in Italy started in 2003 and was completed in 2007 – a giant detector of around 4000 tonnes, with 2000 m<sup>3</sup> volume and nine million photographic films, arranged in around 150,000 target units, the so-called bricks. The emulsion films in the bricks act as tracking devices with micrometric accuracy, and are interleaved with lead plates acting as neutrino targets. The longitudinal size of a brick is around 10 radiation lengths, allowing for the detection of electron showers and the momentum measurement through the detection of multiple Coulomb scattering. The experiment took data for five years, from June 2008 until December 2012, integrating  $1.8 \times 10^{20}$  protons on target.

The aim of the experiment was to perform the direct observation of the transition from muon to tau neutrinos in the neutrino beam from CERN. The distance from CERN to Gran Sasso and the SPS beam energy were just appropriate for tau-neutrino detection. In 1999, intense discussions took place between CERN management and Council delegations about the opportunity of building the CERN Neutrino to Gran Sasso (CNGS) beam facility and the way to fund it. The Italian National Institute for Nuclear Physics (INFN) was far-sighted in offering a sizable contribution. Many delegations supported the idea, and the CNGS beam was approved in December 1999. Commissioning was performed in 2006, when OPERA (at that time not fully equipped yet) detected the first muon-neutrino interactions.

With the CNGS programme, CERN was joining the global experimental effort to observe and study neutrino oscillations. The first experimental hints of neutrino oscillations were gathered from solar neutrinos in the 1970s. According to theory, neutrino oscillations originate from the fact that mass and weak-interaction eigenstates do not coincide and that neutrino masses are

non-degenerate. Neutrino mixing and oscillations were introduced by Pontecorvo and by the Sakata group, assuming the existence of two sorts (flavours) of neutrinos. Neutrino oscillations with three flavours including CP and CPT violation were discussed by Cabibbo and by Bilenky and Pontecorvo, after the discovery of the tau lepton in 1975. The mixing of the three flavours of neutrinos can be described by the  $3 \times 3$  Pontecorvo–Maki–Nakagawa–Sakata matrix with three angles – that have since been measured – and a CP-violating phase, which remains unknown at present. Two additional parameters (mass-squared differences) are needed to describe the oscillation probabilities.

Several experiments on solar, atmospheric, reactor and accelerator neutrinos have contributed to the understanding of neutrino oscillations. In the atmospheric sector, the strong deficit of muon neutrinos reported by the Super-Kamiokande experiment in 1998 was the first compelling observation of neutrino oscillations. Given that the deficit of muon neutrinos was not accompanied by an increase of electron neutrinos, the result was interpreted in terms of  $\nu_\mu \rightarrow \nu_\tau$  oscillations, although in 1998 the tau neutrino had not yet been observed. The first direct evidence for tau neutrinos was announced by Fermilab's DONuT experiment in 2000, with four reported events. In 2008, the DONuT collaboration presented its final results, reporting nine observed events and an expected background of 1.5. The Super-Kamiokande result was later confirmed by the K2K and MINOS experiments with terrestrial beams. However, for an unambiguous confirmation of three-flavour neutrino oscillations, the appearance of tau neutrinos in  $\nu_\mu \rightarrow \nu_\tau$  oscillations was required.

## OPERA comes into play

OPERA reported the observation of the first tau-neutrino candidate in 2010. The tau neutrino was detected by the production and decay of a  $\tau^-$  in one of the lead targets, where  $\tau^- \rightarrow \rho^- \nu_\tau$ . A second candidate, in the  $\tau^- \rightarrow \pi^+ \pi^- \pi^+ \nu_\tau$  channel, was found in 2012, followed in 2013 by a candidate in the fully leptonic  $\tau^- \rightarrow \mu^- \bar{\nu}_\mu \nu_\tau$  decay. A fourth event was found in 2014 in the  $\tau^- \rightarrow h^- \nu_\tau$  channel (where  $h^-$  is a pion or a kaon), and a fifth one was reported a few months ago in the same channel. Given the extremely low expected background of  $0.25 \pm 0.05$  events, the direct transition from muon to tau neutrinos has now been measured with the  $5\sigma$  statistical precision conventionally required to firmly establish its observation, confirming the oscillation mechanism.

The extremely accurate detection technique provided by OPERA relies on the micrometric resolution of its nuclear emulsions, which are capable of resolving the neutrino-interaction point and the vertex-decay location of the tau lepton, a few hundred micrometres  $\triangleright$

Giovanni De Lellis, XLVII LNGSC Meeting

**CERN COURIER**  
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The OPERA Collaboration is very much indebted to:

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- CERN and the CNGS beam team
- Funding agencies
- All industrial partners and suppliers
- Our technical collaborators, engineers and undergraduate students