

3D nuclear parton structure



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Outline

The nucleus:

- very interesting by itself;
- “a Lab for QCD fundamental studies”

Selected topics (based on R. Dupré, S.S. EPJA 52 (2016) 159):

- **1 - DVCS off nuclei (GPDs):**
Medium modification of the 3D nucleon structure - origin of the EMC effect
nuclei as a Lab to study the parton structure of *bound* nucleon
- **2 - SIDIS off nuclei (TMDs):**
Neutron TMDs from SIDIS off light nuclei
nuclei as a Lab to study the *free* nucleon

Prospects at the EIC

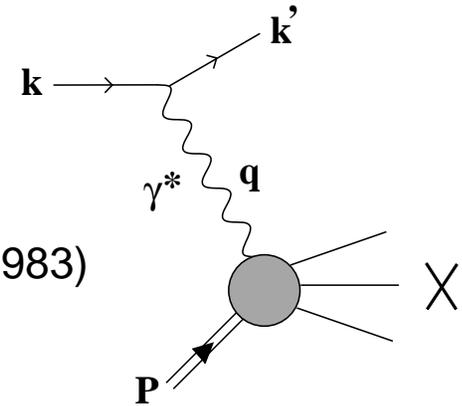
(issues concerning the onset of saturation scale and related to gluon TMDs, as well as in-medium hadronization as a probe of confinement, motivating the EIC, discussed in other sessions and not addressed here...)



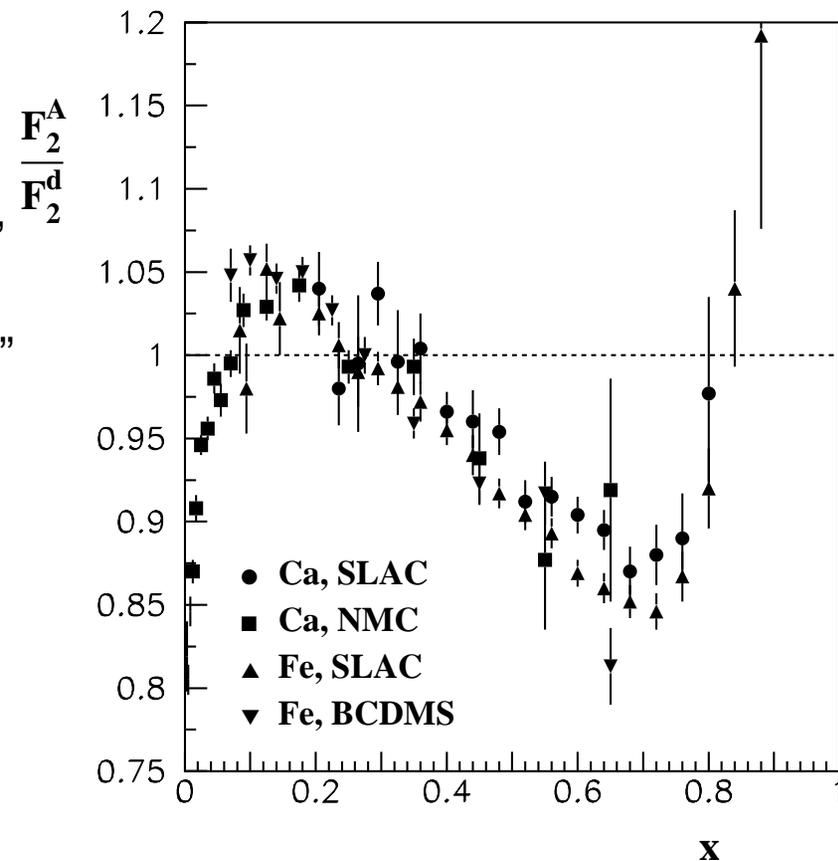
EMC effect in A-DIS

Measured in $A(e, e')X$, ratio of A to d SFs F_2 (EMC Coll., 1983)

One has $0 \leq x = \frac{Q^2}{2M\nu} \leq \frac{M_A}{M} \simeq A$



- $x \leq 0.1$ "Shadowing region"
- $0.1 \leq x \leq 0.2$ "Enhancement region"
- $0.2 \leq x \leq 0.8$ "EMC (binding) region"
- $0.8 \leq x \leq 1$ "Fermi motion region"
- $x \geq 1$ "TERRA INCOGNITA"



EMC effect: explanations?

In general, with a few parameters any model explains the data:

EMC effect = “Everyone’s Model is Cool” (G. Miller)

Situation: basically not understood. Very unsatisfactory. We need to know the reaction mechanism of hard processes off nuclei and the degrees of freedom which are involved:

- the knowledge of nuclear parton distributions is crucial for the data analysis of heavy ions collisions;
- the partonic structure of the neutron is measured with nuclear targets and several QCD sum rules involve the neutron information (Bjorken SR, for example): importance of Nuclear Physics for QCD

Inclusive measurements cannot distinguish between models

One has to go beyond

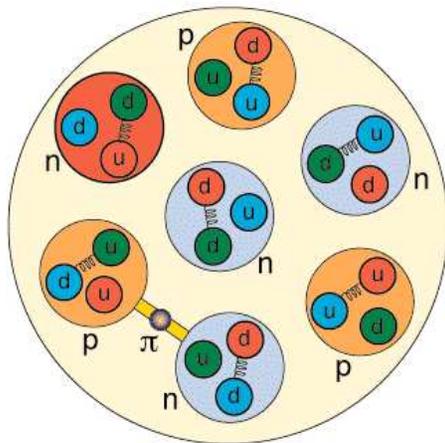
(R. Dupré and S.S., EPJA 52 (2016) 159)

- **SIDIS (TMDs)**
- **Hard Exclusive Processes (GPDs)**



EMC effect: way out?

Which of these transverse sections is more similar to that of a nucleus?



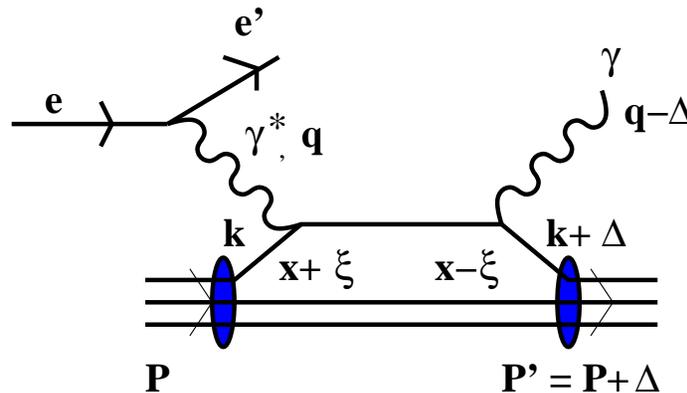
To answer, we should perform a *tomography...*

We can!

**Deeply Virtual Compton Scattering
& Generalized Parton Distributions (GPDs)**

GPDs: Definition (X. Ji PRL 78 (97) 610)

For a $J = \frac{1}{2}$ target,
 in a hard-exclusive process,
 ($Q^2, \nu \rightarrow \infty$)
 such as (coherent) DVCS:



the GPDs $H_q(x, \xi, \Delta^2)$ and $E_q(x, \xi, \Delta^2)$ are introduced:

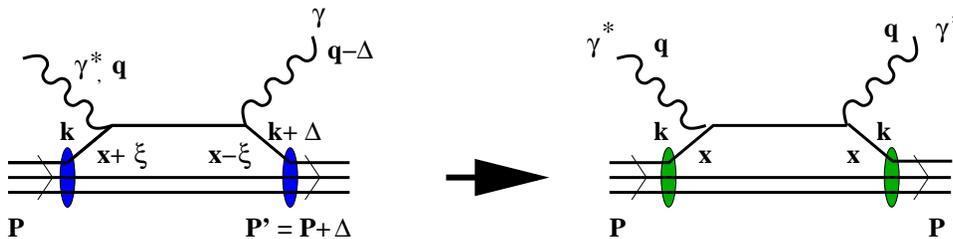
$$\int \frac{d\lambda}{2\pi} e^{i\lambda x} \langle P' | \bar{\psi}_q(-\lambda n/2) \gamma^\mu \psi_q(\lambda n/2) | P \rangle = H_q(x, \xi, \Delta^2) \bar{U}(P') \gamma^\mu U(P) + E_q(x, \xi, \Delta^2) \bar{U}(P') \frac{i\sigma^{\mu\nu} \Delta_\nu}{2M} U(P) + \dots$$

- $\Delta = P' - P$, $q^\mu = (q_0, \vec{q})$, and $\bar{P} = (P + P')^\mu / 2$
- $x = k^+ / P^+$; $\xi = \text{"skewness"} = -\Delta^+ / (2\bar{P}^+)$
- $x \leq -\xi \rightarrow$ GPDs describe *antiquarks*;
 $-\xi \leq x \leq \xi \rightarrow$ GPDs describe *qq̄ pairs*; $x \geq \xi \rightarrow$ GPDs describe *quarks*



GPDs: constraints

- when $P' = P$, i.e., $\Delta^2 = \xi = 0$, one recovers the usual PDFs:



$$H_q(x, \xi, \Delta^2) \implies H_q(x, 0, 0) = q(x); \quad E_q(x, 0, 0) \text{ unknown}$$

- the x -integration yields the q -contribution to the Form Factors (ffs)

$$\int dx \int \frac{d\lambda}{2\pi} e^{i\lambda x} \langle P' | \bar{\psi}_q(-\lambda n/2) \gamma^\mu \psi_q(\lambda n/2) | P \rangle =$$

$$\int dx H_q(x, \xi, \Delta^2) \bar{U}(P') \gamma^\mu U(P) + \int dx E_q(x, \xi, \Delta^2) \bar{U}(P') \frac{\sigma^{\mu\nu} \Delta_\nu}{2M} U(P) + \dots$$

$$\implies \int dx H_q(x, \xi, \Delta^2) = F_1^q(\Delta^2) \quad \int dx E_q(x, \xi, \Delta^2) = F_2^q(\Delta^2)$$

$$\implies \text{Defining } \boxed{\tilde{G}_M^q = H_q + E_q} \quad \text{one has } \int dx \tilde{G}_M^q(x, \xi, \Delta^2) = G_M^q(\Delta^2)$$

GPDs: a unique tool...

- not only 3D structure, at **parton level**; many other aspects, e.g., contribution to the solution to the “**Spin Crisis**” (J.Ashman et al., EMC collaboration, PLB 206, 364 (1988)), yielding parton total angular momentum...

... but also an experimental challenge:

- Hard exclusive process \rightarrow small σ ;

- Difficult extraction:

$$T_{\text{DVCS}} \propto CFF \propto \int_{-1}^1 dx \frac{H_q(x, \xi, \Delta^2)}{x - \xi + i\epsilon} + \dots$$

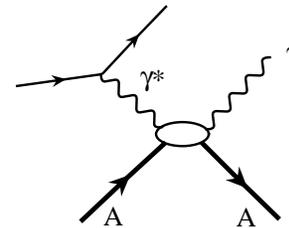
- Competition with the **BH** process! (σ asymmetries measured).

$$d\sigma \propto |T_{\text{DVCS}}|^2 + |T_{\text{BH}}|^2 + 2 \Re\{T_{\text{DVCS}}T_{\text{BH}}^*\}$$

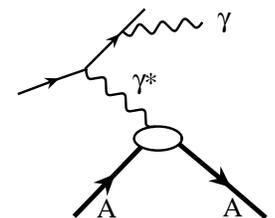
Nevertheless, for the proton, we have results:

(Guidal et al., Rep. Prog. Phys. 2013...

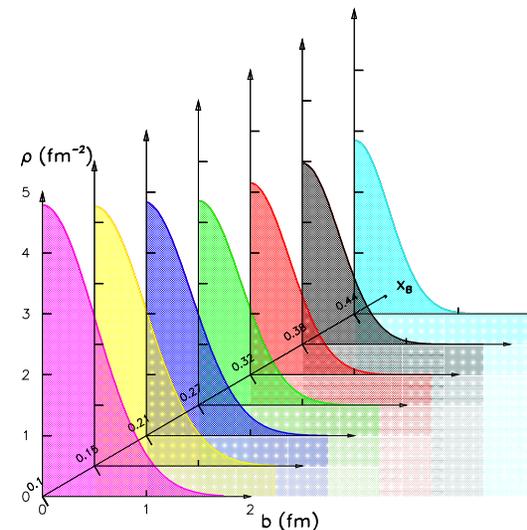
Dupré, Guidal, Niccolai, Vanderhaeghen arXiv:1704.07330 [hep-ph])



DVCS



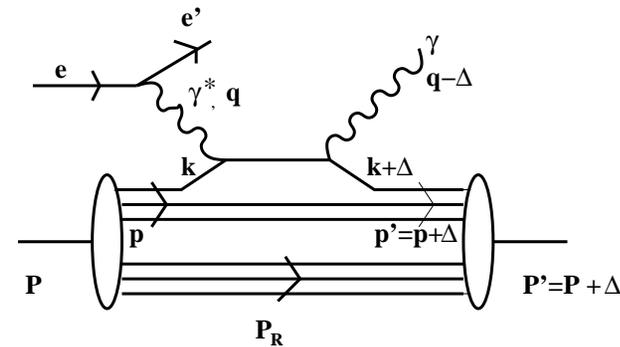
BH



3D nuclear parton structure – p.8/33

Nuclei: why?

ONE of the reasons is understood by studying coherent DVCS in I.A.:



In a symmetric frame ($\bar{p} = (p + p')/2$):

$$\begin{aligned} k^+ &= (x + \xi)\bar{P}^+ = (x' + \xi')\bar{p}^+ , \\ (k + \Delta)^+ &= (x - \xi)\bar{P}^+ = (x' - \xi')\bar{p}^+ , \end{aligned}$$

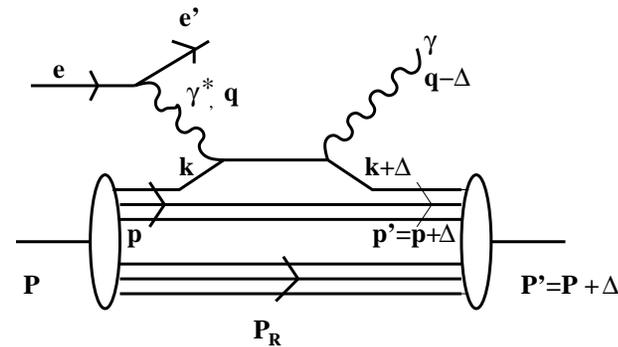
one has, for a given GPD

$$GPD_q(x, \xi, \Delta^2) \simeq \int \frac{dz^-}{4\pi} e^{ix\bar{P}^+ z^-} {}_A \langle P' S' | \hat{O}_q^\mu | PS \rangle_A |_{z^+=0, z_\perp=0} .$$



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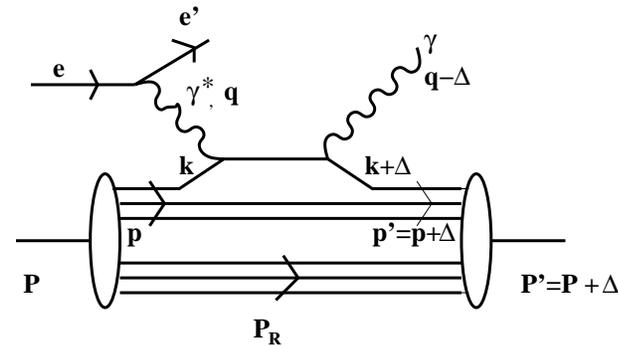
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By properly inserting complete sets of states for the interacting nucleon and the recoiling system :



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one has, for a given GPD

$$\begin{aligned} GPD_q(x, \xi, \Delta^2) &= \int \frac{dz^-}{4\pi} e^{ix'\bar{p}^+z^-} \langle P'S' | \sum_{\vec{P}'_R, S'_R, \vec{p}', s'} \{ |P'_R S'_R\rangle | p' s' \} \langle P'_R S'_R | \\ &\quad \langle p' s' | \hat{O}_q^\mu \sum_{\vec{P}_R, S_R, \vec{p}, s} \{ |P_R S_R\rangle | ps \} \{ \langle P_R S_R | \langle ps | \} | PS \rangle , \end{aligned}$$

and, since $\{ \langle P_R S_R | \langle ps | \} | PS \rangle = \langle P_R S_R, ps | PS \rangle (2\pi)^3 \delta^3(\vec{P} - \vec{P}_R - \vec{p}) \delta_{S, S_R s}$,



Why nuclei?

a convolution formula can be obtained (S.S. PRC 70, 015205 (2004)):

$$H_q^A(x, \xi, \Delta^2) \simeq \sum_N \int \frac{d\bar{z}}{\bar{z}} h_N^A(\bar{z}, \xi, \Delta^2) H_q^N\left(\frac{x}{\bar{z}}, \frac{\xi}{\bar{z}}, \Delta^2\right)$$

in terms of $H_q^N(x', \xi', \Delta^2)$, the GPD of the free nucleon N , and of the light-cone off-diagonal momentum distribution:

$$h_N^A(z, \xi, \Delta^2) = \int dE d\vec{p} P_N^A(\vec{p}, \vec{p} + \vec{\Delta}, E) \delta\left(\bar{z} - \frac{\bar{p}^+}{\bar{P}^+}\right)$$

where $P_N^A(\vec{p}, \vec{p} + \vec{\Delta}, E)$, is the one-body off-diagonal spectral function for the nucleon N in the nucleus,

$$\begin{aligned} P_N^3(\vec{p}, \vec{p} + \vec{\Delta}, E) &= \frac{1}{(2\pi)^3} \frac{1}{2} \sum_M \sum_{R,s} \langle \vec{P}' M | (\vec{P} - \vec{p}) S_R, (\vec{p} + \vec{\Delta}) s \rangle \\ &\times \langle (\vec{P} - \vec{p}) S_R, \vec{p} s | \vec{P} M \rangle \delta(E - E_{min} - E_R^*). \end{aligned}$$



Why nuclei?

The obtained expressions have the correct **limits**:

- the **x-integral** gives the f.f. $F_q^A(\Delta^2)$ in **I.A.**:

$$\int dx H_q^A(x, \xi, \Delta^2) = F_q^N(\Delta^2) \int dE d\vec{p} P_N^A(\vec{p}, \vec{p} + \vec{\Delta}, E) = F_q^A(\Delta^2)$$

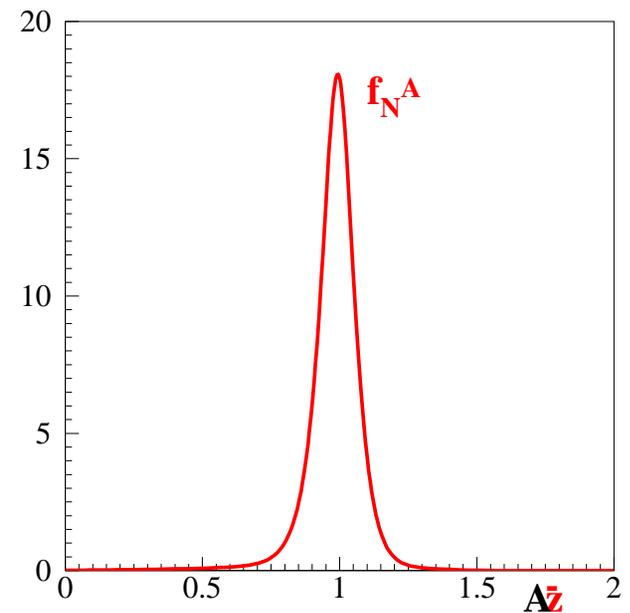
- **forward limit** (standard DIS):

$$q^A(x) \simeq \sum_N \int_x^1 \frac{d\tilde{z}}{\tilde{z}} f_N^A(\tilde{z}) q^N\left(\frac{x}{\tilde{z}}\right)$$

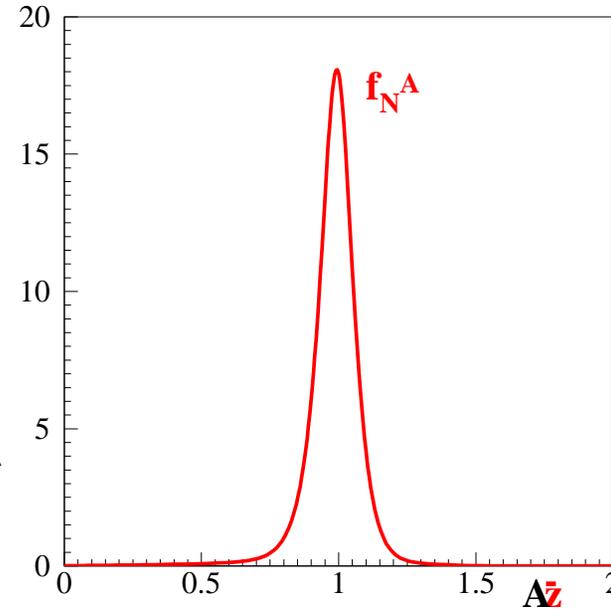
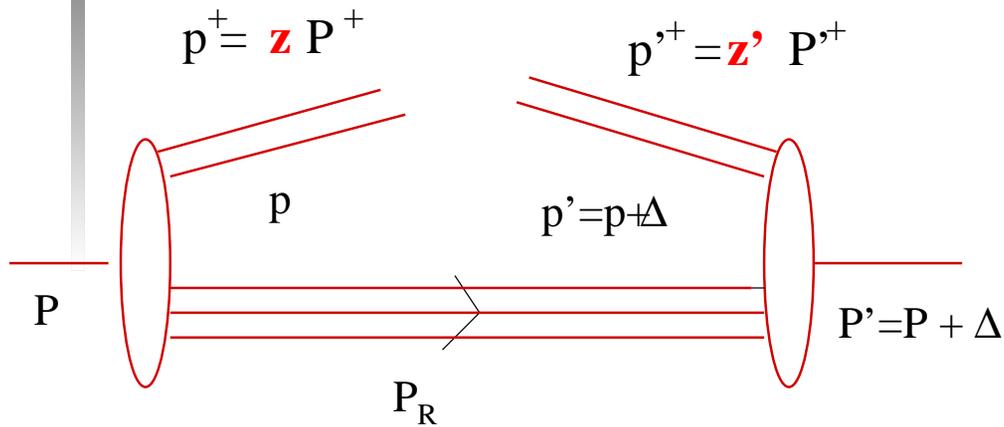
with the **light-cone momentum distribution**:

$$f_N^A(\tilde{z}) = \int dE d\vec{p} P_N^A(\vec{p}, E) \delta\left(\tilde{z} - \frac{p^+}{P^+}\right),$$

which is strongly peaked around $A\tilde{z} = 1$:



Why nuclei?



Since $z - z' = -x_B(1 - z)/(1 - x_B)$, $\xi \simeq x_B/(2 - x_B)$ can be tuned to have $z - z'$ larger than the width of the narrow nuclear light-cone momentum distribution $f_N^A(\bar{z} = (z + z')/2)$: in this case IA predicts a *vanishing* GPD, *at small x_B* .

If DVCS were observed at this kinematics, *exotic* effects beyond IA, *non-nucleonic degrees of freedom*, would be pointed out (Berger, Cano, Diehl and Pire, PRL 87 (2001) 142302)

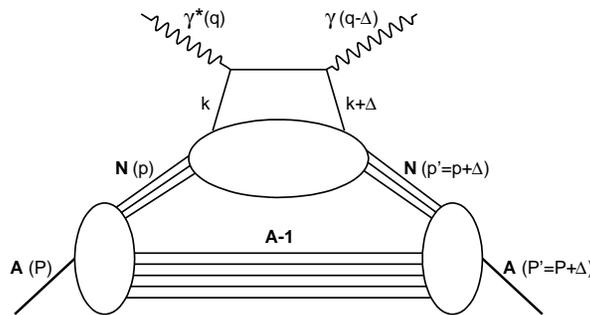
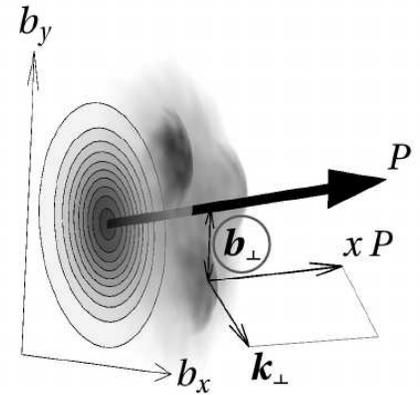
Similar effect predicted in DIS at $x_B > 1$, where DIS data are not accurate enough.



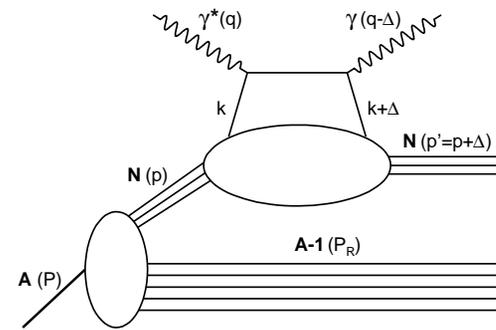
Nuclei and DVCS tomography

In impact parameter space, GPDs are *densities*:

$$\rho_q(x, \vec{b}_\perp) = \int \frac{d\vec{\Delta}_\perp}{(2\pi)^2} e^{i\vec{b}_\perp \cdot \vec{\Delta}_\perp} H^q(x, 0, \Delta^2)$$



Coherent DVCS:
nuclear tomography;



Incoherent DVCS:
tomography of bound nucleons:
realization of the EMC effect

- Very difficult to distinguish coherent and incoherent channels (for example, in Hermes data, Airapetian et al., PRC 2011).
- Large energy gap between the photons and the slow-recoiling systems: very different detection systems required at the same time... **Very difficult...**



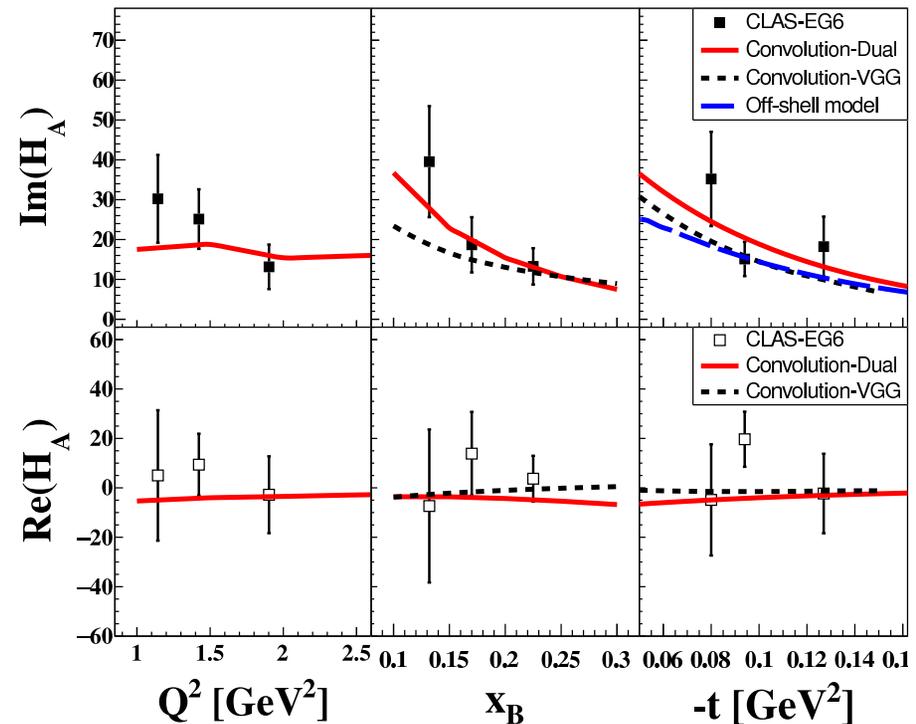
... But possible! Just released from CLAS!

(M. Hattawy et al. arXiv:1707.03361v1 [nucl-ex])

Coherent data (incoherent will follow) of DVCS off ^4He :

off-shell model by
Gonzalez, Liuti, Goldstein, Kathuria
(blue dashed)
(PRC 88, 065206 (2013))

IA calculation, Guzey
(full, dashed, different GPD models)
(PRC 78, 025211 (2008))



- ^4He : $J = 0, I = 0$, easy *formal* description (1 chiral-even twist-2 GPD); but a true nucleus (deeply bound, dense...)
- Next generation of experiments (ALERT run-group), just approved (A-rate), will distinguish models: precisely what is needed to understand nuclei at parton level!
- Good prospects for the EIC at low x_B , easy recoil detection...

Nuclear GPDs: ^3He calculations

- $^3\vec{H}e$ used as an **effective polarized neutron target**; isoscalar systems, e.g. ^2H and ^4He , not suitable to extract the neutron E_q in coherent experiments;
- Our results, for ^3He : (S.S. PRC 2004, 2009; M. Rinaldi and S.S., PRC 2012, 2013)
 - * I.A. calculation of H_3, E_3, \tilde{H}_3 , within AV18;
 - * **extraction procedure of the neutron information**, able to take into account all the nuclear effects encoded in an IA analysis;
 - * Interesting features: **sensitivity of the results to nuclear dynamics**: binding effects bigger than in DIS; different potentials give different results
 - * control on conventional nuclear effects, possible evidence of exotic ones; possible relativistic (LF) extension...
 - * **No data; no proposals at JLAB... difficult to detect slow recoils using a polarized target...**

BUT

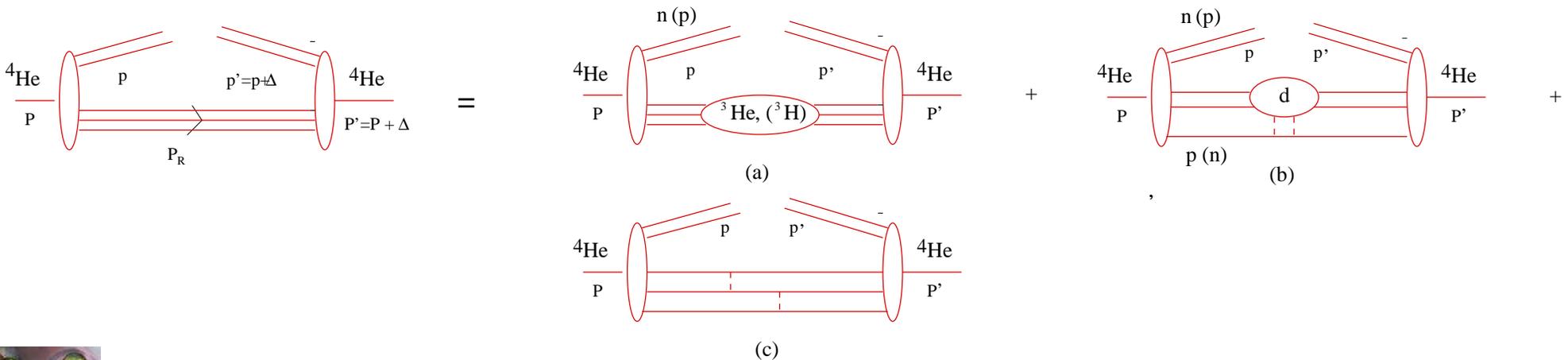
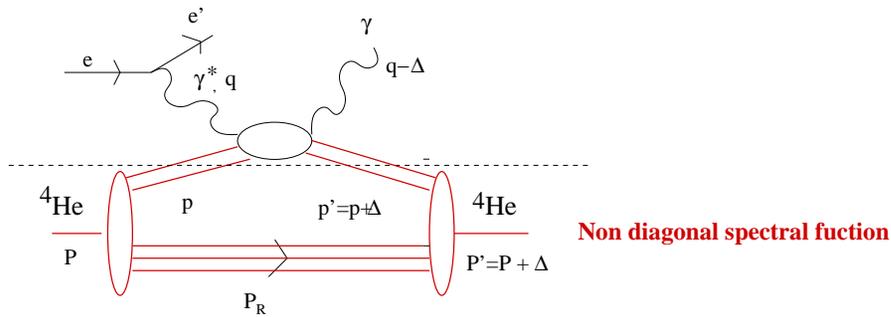
- **at the EIC beams of polarized light nuclei will operate!**
 $^3\vec{H}e$ can be used!

Our codes available for interested colleagues



DVCS off ^4He

- CLAS data demonstrate that measurements are possible, separating coherent and incoherent channels;
- Realistic microscopic calculations are necessary. A collaboration has started with Sara Fucini (Perugia, graduating student), Michele Viviani (INFN Pisa).
- Coherent channel in IA:**

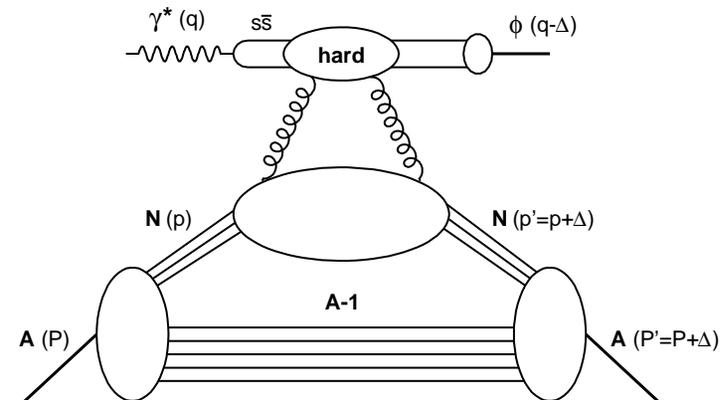


we are working on a); b) is feasible; c) is really challenging

Many other issues...

- **x –moments of GPDs (ffs of energy momentum tensor):** information on spatial distribution of energy, momentum and forces experienced by the partons. Predicted an A dependence stronger than in IA (not seen at HERMES); M. Polyakov, PLB 555, 57 (2003); H.C. Kim et al. PLB 718, 625 (2012)...

- **Gluon GPDs in nuclei**



For GPDs, shadowing (low x_B) stronger than for PDFs

A. Freund and M. Strikman, PRC 69, 015203 (2004)...

Exclusive ϕ – electroproduction, unique source of information, studied by ALERT, waiting for EIC...

- **Deuteron:** an issue aside. Extraction of the neutron information; access to a new class of distribution ($J = 1$) Studied by different collaborations (by ALERT too, coherent and incoherent DVCS) theory: Cano and Pire EPJA 19,423 (2004); Taneja et al. PRD 86,036008 (2012)...



nuclear 3D in momentum space

Related issues widely discussed in other sessions:

- From the gluon unintegrated PDF to the onset of CGC: anticipated in nuclei (at higher x_B with respect to free nucleon), motivating the EIC and discussed in other sessions;
- In-medium hadronization as a fundamental test of confinement;
- From in-medium transverse momentum broadening or jet broadening, access to the quark transport parameter, sensitive to the gluon PDF as $x_B \rightarrow 0$;

In general:

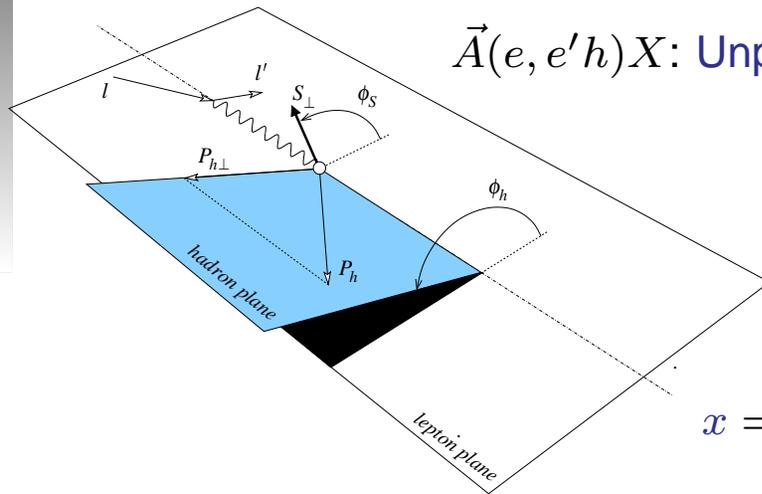
importance of luminosity and polarization: great help expected from EIC

Here: extraction of neutron TMDs from nuclear data.

In the following, some details for the Sivers and Collins functions



TMDS: Single Spin Asymmetries - 1



$\vec{A}(e, e'h)X$: Unpolarized beam and T-polarized target $\rightarrow \sigma_{UT}$

$$d^6\sigma \equiv \frac{d^6\sigma}{dx dy dz d\phi_S d^2 P_{h\perp}}$$

$$x = \frac{Q^2}{2P \cdot q} \quad y = \frac{P \cdot q}{P \cdot l} \quad z = \frac{P \cdot h}{P \cdot q} \quad \boxed{\hat{q} = -\hat{e}_z}$$

The number of emitted hadrons at a given ϕ_h depends on the orientation of \vec{S}_\perp !
 In SSAs 2 different mechanisms can be experimentally distinguished

$$A_{UT}^{\text{Sivers(Collins)}} = \frac{\int d\phi_S d^2 P_{h\perp} \sin(\phi_h - (+)\phi_S) d^6\sigma_{UT}}{\int d\phi_S d^2 P_{h\perp} d^6\sigma_{UU}}$$

with $d^6\sigma_{UT} = \frac{1}{2}(d^6\sigma_{U\uparrow} - d^6\sigma_{U\downarrow})$ $d^6\sigma_{UU} = \frac{1}{2}(d^6\sigma_{U\uparrow} + d^6\sigma_{U\downarrow})$



SSAs - 2

SSAs in terms of parton distributions and fragmentation functions:

$$A_{UT}^{Sivers} = N^{Sivers} / D \quad A_{UT}^{Collins} = N^{Collins} / D$$

$$N^{Sivers} \propto \sum_q e_q^2 \int d^2\kappa_T d^2\mathbf{k}_T \delta^2(\mathbf{k}_T + \mathbf{q}_T - \kappa_T) \frac{\hat{\mathbf{P}}_{h\perp} \cdot \mathbf{k}_T}{M} f_{1T}^{\perp q}(x, \mathbf{k}_T^2) D_1^{q,h}(z, (z\kappa_T)^2)$$

$$N^{Collins} \propto \sum_q e_q^2 \int d^2\kappa_T d^2\mathbf{k}_T \delta^2(\mathbf{k}_T + \mathbf{q}_T - \kappa_T) \frac{\hat{\mathbf{P}}_{h\perp} \cdot \kappa_T}{M_h} h_1^q(x, \mathbf{k}_T^2) H_1^{\perp q,h}(z, (z\kappa_T)^2)$$

$$D \propto \sum_q e_q^2 f_1^q(x) D_1^{q,h}(z)$$

● LARGE A_{UT}^{Sivers} measured in $\vec{p}(e, e'\pi)x$ HERMES PRL 94, 012002 (2005)

● SMALL A_{UT}^{Sivers} measured in $\vec{D}(e, e'\pi)x$; COMPASS PRL 94, 202002 (2005)

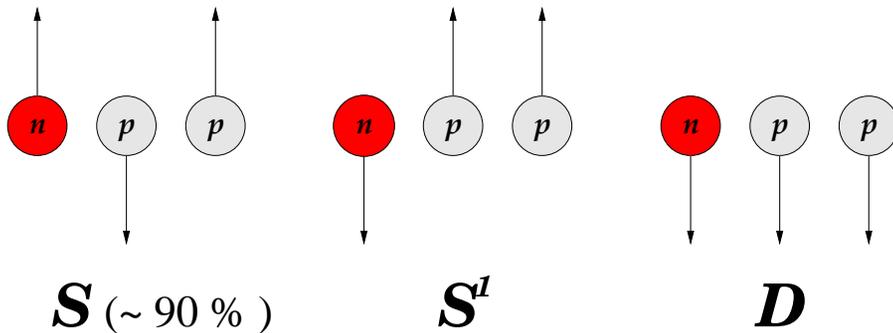
A strong flavor dependence

Importance of the neutron for flavor decomposition!



The neutron information from ${}^3\text{He}$

${}^3\text{He}$ is the ideal target to study the polarized neutron:



In S -wave
 ${}^3\vec{H}e = \vec{n}$!

... But the bound nucleons in ${}^3\text{He}$ are moving!

Dynamical nuclear effects in inclusive DIS (${}^3\vec{H}e(e, e')X$) were evaluated with a realistic spin-dependent spectral function for ${}^3\vec{H}e$, $P_{\sigma, \sigma'}(\vec{p}, E)$. It was found that the formula

$$A_n \simeq \frac{1}{p_n f_n} (A_3^{exp} - 2p_p f_p A_p^{exp}), \quad (\text{Ciofi degli Atti et al., PRC48(1993)R968})$$

(f_p, f_n dilution factors)

can be safely used \longrightarrow widely used by experimental collaborations.

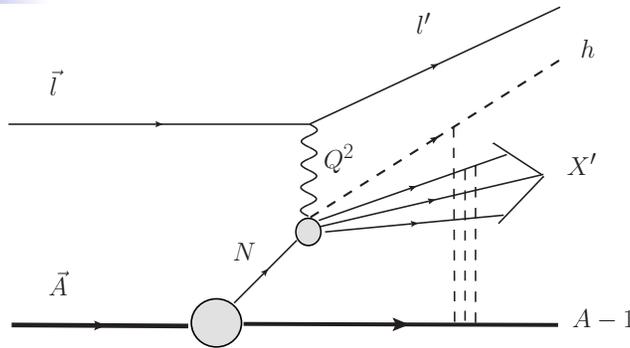
The nuclear effects are hidden in the “effective polarizations”

$$p_p = -0.023 \quad (Av18) \quad p_n = 0.878 \quad (Av18)$$

Valid also in SIDIS, proven in IA (S.S., PRD 75, 054005 (2007)). **FSI?**

FSI: Generalized Eikonal Approximation (GEA)

L. Kaptari, A. Del Dotto, E. Pace, G. Salmè, S.S., PRC 89 (2014) 035206



Relative energy between $A - 1$ and the remnants: a few GeV

→ **eikonal** approximation.

$$d\sigma \simeq l^{\mu\nu} W_{\mu\nu}^A(S_A)$$

$$W_{\mu\nu}^A(S_A) \approx \sum_{S_{A-1}, S_X} J_{\mu}^A J_{\nu}^A$$

$$J_{\mu}^A \simeq \langle S_A \mathbf{P} | \hat{\mathbf{J}}_{\mu}^A(0) | S_X, S_{A-1}, \mathbf{P}_{A-1} \mathbf{E}_{A-1}^f \rangle$$

$$\langle S_A \mathbf{P} | \mathbf{r}_1 \mathbf{r}_2 \mathbf{r}_3 \rangle = \Phi_{3\text{He}}(\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3) = \mathcal{A} e^{i\mathbf{P}\mathbf{R}} \Psi_3^{S_A}(\rho, \mathbf{r})$$

$$\langle \mathbf{r}_1 \mathbf{r}_2 \mathbf{r}_3 | S_X, S_{A-1} \mathbf{P}_{A-1} \mathbf{E}_{A-1}^f \rangle = \Phi_f^*(\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3) \approx \hat{S}_{GI}(\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3) \Psi^{*f}(\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3)$$

$\hat{S}_{GI} = \text{Glauber operator}$

$$\approx \hat{S}_{GI}(\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3) \sum_{\mathbf{j} > \mathbf{k}} \chi_{S_X}^+ \phi^*(\xi_{\mathbf{x}}) e^{-i\mathbf{p}\mathbf{x}\mathbf{r}_i} \Psi_{\mathbf{jk}}^{*f}(\mathbf{r}_j, \mathbf{r}_k),$$

$$J_{\mu}^A \approx \int d\mathbf{r}_1 d\mathbf{r}_2 d\mathbf{r}_3 \Psi_{23}^{*f}(\mathbf{r}_2, \mathbf{r}_3) e^{-i\mathbf{p}\mathbf{x}\mathbf{r}_i} \chi_{S_X}^+ \phi^*(\xi_{\mathbf{x}}) \cdot \hat{S}_{GI}(\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3) \hat{j}_{\mu}(\mathbf{r}_1, X) \vec{\Psi}_3^{S_A}(\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3)$$

IF (*FACTORIZED* FSI !) $\left[\hat{S}_{GI}(\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3), \hat{j}_{\mu}(\mathbf{r}_1) \right] = 0$ THEN:

$$W_{\mu\nu}^A = \sum_{N, \lambda, \lambda'} \int dE d\mathbf{p} w_{\mu\nu}^{N, \lambda \lambda'}(\mathbf{p}) P_{\lambda \lambda'}^{FSI, A, N}(E, \mathbf{p}, \dots) \quad \text{CONVOLUTION!}$$

FSI: distorted spin-dependent spectral function of ${}^3\text{He}$

L. Kaptari, A. Del Dotto, E. Pace, G. Salmè, S.S., PRC 89 (2014) 035206

Relevant part of the (GEA-distorted) spin dependent spectral function:

$$\mathcal{P}_{||}^{IA(FSI)} = \mathcal{O}_{\frac{1}{2}\frac{1}{2}}^{IA(FSI)} - \mathcal{O}_{-\frac{1}{2}-\frac{1}{2}}^{IA(FSI)}; \quad \text{with:}$$

$$\mathcal{O}_{\lambda\lambda'}^{IA(FSI)}(p_N, E) = \sum_{\epsilon_{A-1}^*} \rho(\epsilon_{A-1}^*) \langle S_A, \mathbf{P}_A | (\hat{S}_{Gl}) \{ \Phi_{\epsilon_{A-1}^*}, \lambda', \mathbf{p}_N \} \rangle \times \\ \langle (\hat{S}_{Gl}) \{ \Phi_{\epsilon_{A-1}^*}, \lambda, \mathbf{p}_N \} | S_A, \mathbf{P}_A \rangle \delta(E - B_A - \epsilon_{A-1}^*).$$

Glauber operator: $\hat{S}_{Gl}(\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3) = \prod_{i=2,3} [1 - \theta(z_i - z_1) \Gamma(\mathbf{b}_1 - \mathbf{b}_i, z_1 - z_i)]$

(generalized) profile function: $\Gamma(\mathbf{b}_{1i}, z_{1i}) = \frac{(1-i\alpha) \sigma_{eff}(z_{1i})}{4\pi b_0^2} \exp\left[-\frac{\mathbf{b}_{1i}^2}{2b_0^2}\right],$

GEA (Γ depends also on the longitudinal distance z_{1i} !) very successful in q.e. semi-inclusive and exclusive processes off ${}^3\text{He}$

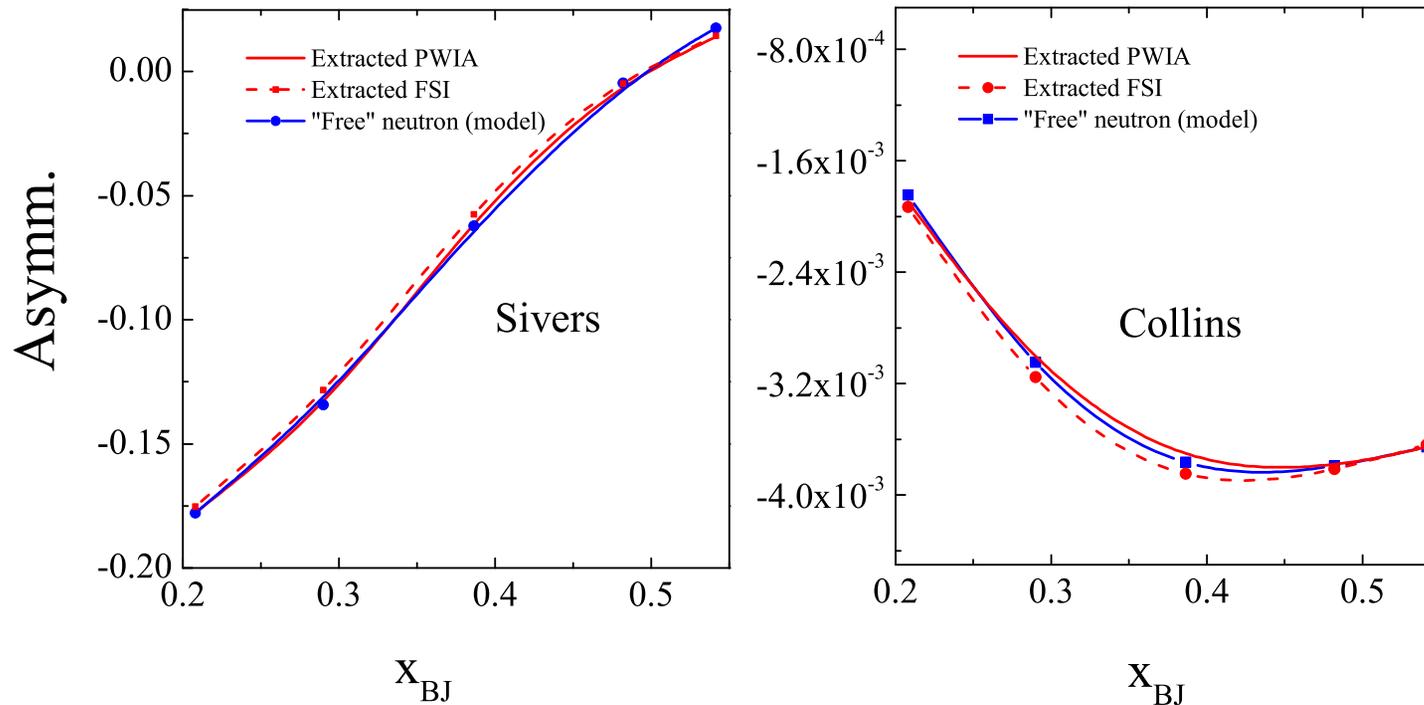
see, e.g., Alvioli, Ciofi & Kaptari PRC 81 (2010) 02100

A hadronization model is necessary to define $\sigma_{eff}(z_{1i})$

Ciofi, Kaptari, Kopeliovich, EPJA 19, 145 (2004)



Good news from GEA studies of FSI!



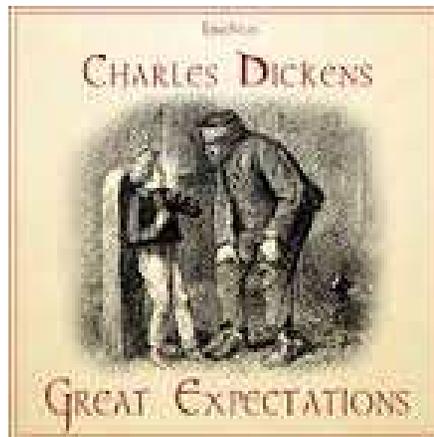
Effects of GEA-FSI (shown at $E_i = 8.8$ GeV) in the dilution factors and in the effective polarizations compensate each other to a large extent: the **usual extraction** is safe!

$$A_n \approx \frac{1}{p_n^{FSI} f_n^{FSI}} \left(A_3^{exp} - 2p_p^{FSI} f_p^{FSI} A_p^{exp} \right) \approx \frac{1}{p_n f_n} \left(A_3^{exp} - 2p_p f_p A_p^{exp} \right)$$

A. Del Dotto, L. Kaptari, E. Pace, G. Salmè, S.S., arXiv:1704.06182 [nucl-th]

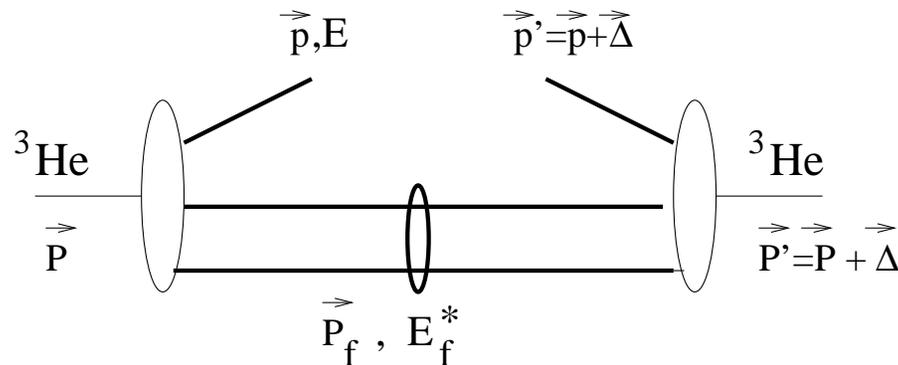
Conclusions

- **Exciting time thanks to new data and accepted next-generation experiments at JLab...**
- **... a prelude to “Great expectations” for the E-Ion-C**
- **“Ion” structure effects: not only relevant. Essential**
- **Easy to predict a growing interest and an important contribution from (low-energy) nuclear theorists**



Backup : A few words about $P_N^3(\vec{p}, \vec{p} + \vec{\Delta}, E)$:

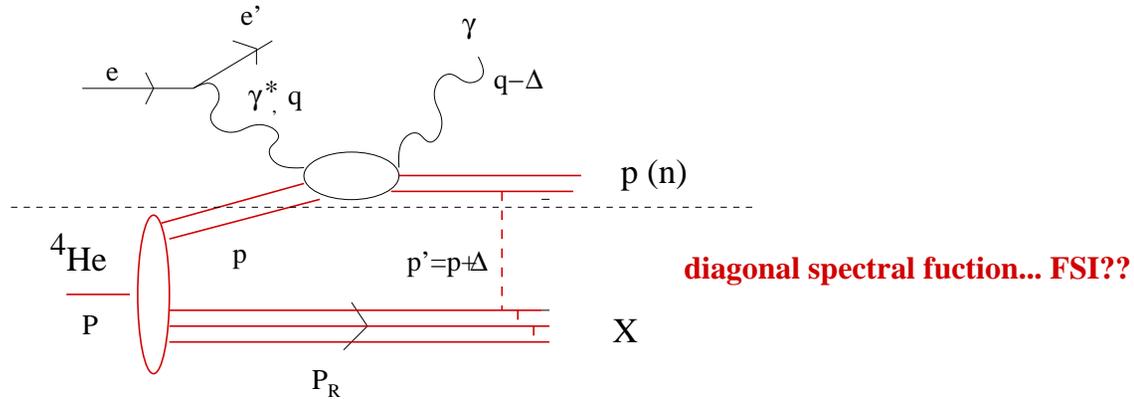
$$P_N^3(\vec{p}, \vec{p} + \vec{\Delta}, E) = \frac{1}{(2\pi)^3} \frac{1}{2} \sum_M \sum_{f,s} \langle \vec{P}' M | (\vec{P} - \vec{p}) S_f, (\vec{p} + \vec{\Delta}) s \rangle \\ \times \langle (\vec{P} - \vec{p}) S_f, \vec{p} s | \vec{P} M \rangle \delta(E - E_{min} - E_f^*).$$



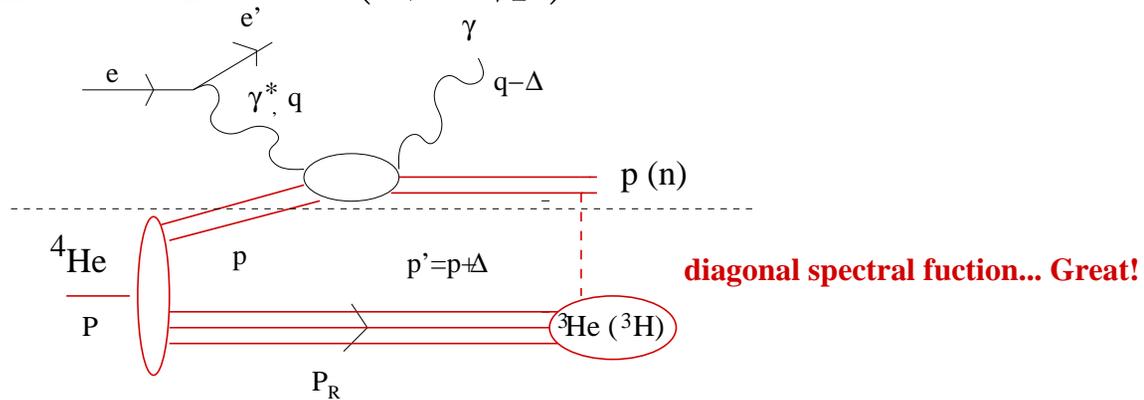
- the two-body recoiling system can be either the deuteron or a scattering state;
- when a deeply bound nucleon, with high removal energy $E = E_{min} + E_f^*$, leaves the nucleus, the recoiling system is left with high excitation energy E_f^* ;
- the three-body bound state and the two-body bound or scattering state are evaluated within the same (Av18) interaction: the extension of the treatment to heavier nuclei would be extremely difficult

Incoherent DVCS off ^4He in IA

$^4\text{He}(e, e' \gamma p(n))X$



Tagged! e.g., $^4\text{He}(e, e' \gamma p)^3\text{H}$



Nuclear effects - the binding

General IA formula: $H_q^A(x, \xi, \Delta^2) \simeq \sum_N \int_x^1 \frac{dz}{z} h_N^A(z, \xi, \Delta^2) H_q^N\left(\frac{x}{z}, \frac{\xi}{z}, \Delta^2\right)$

where

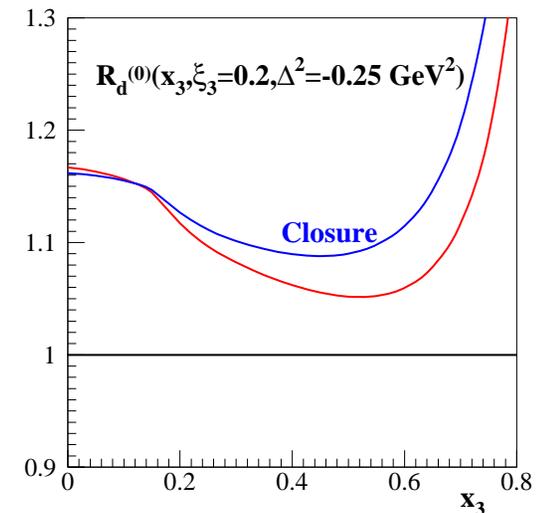
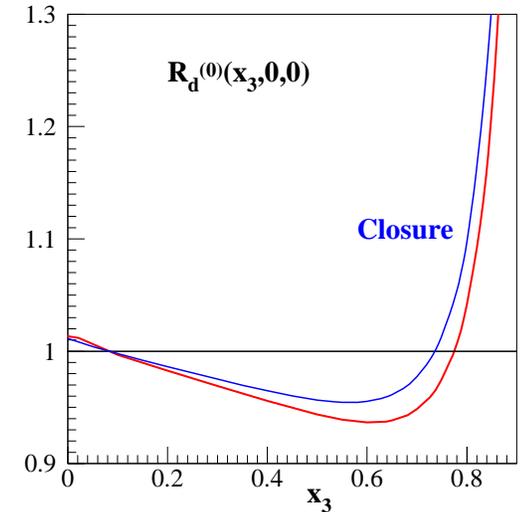
$$h_N^A(z, \xi, \Delta^2) = \int dE d\vec{p} P_N^A(\vec{p}, \vec{p} + \vec{\Delta}, E) \delta\left(z + \xi - \frac{p^+}{P^+}\right)$$

$$P_N^3(\vec{p}, \vec{p} + \vec{\Delta}, E) = \bar{\sum}_M \sum_{s,f} \langle \vec{P}' M | \vec{P}_f, (\vec{p} + \vec{\Delta}) s \rangle \\ \times \langle \vec{P}_f, \vec{p} s | \vec{P} M \rangle \delta(E - E_{min} - E_f^*)$$

using the Closure Approximation, $E_f^* = \bar{E}$:

$$P_N^3(\vec{p}, \vec{p} + \vec{\Delta}, E) \simeq \bar{\sum}_M \sum_s \langle \vec{P}' M | a_{\vec{p}+\vec{\Delta},s} a_{\vec{p},s}^\dagger | \vec{P} M \rangle \\ \delta(E - E_{min} - \bar{E}) = \\ = n(\vec{p}, \vec{p} + \vec{\Delta}) \delta(E - E_{min} - \bar{E}),$$

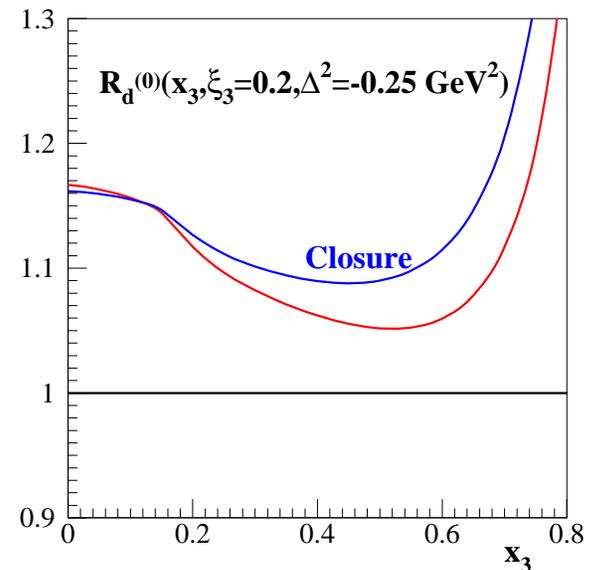
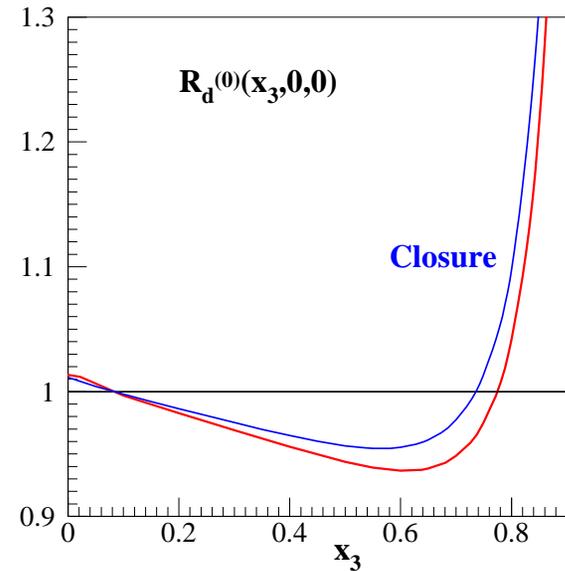
Spectral function substituted by a Momentum distribution



Nuclear effects - the binding

Nuclear effects are bigger than in the forward case: dependence on the binding

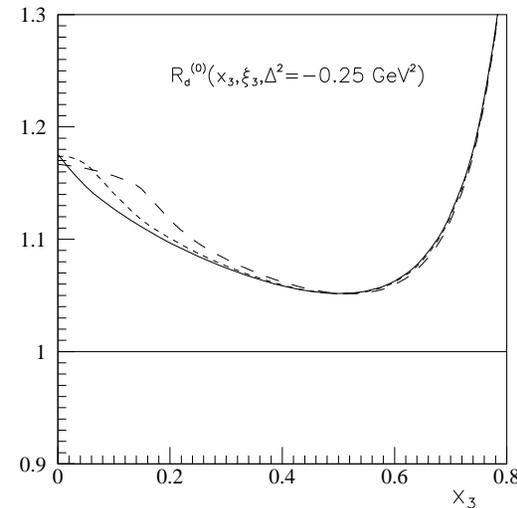
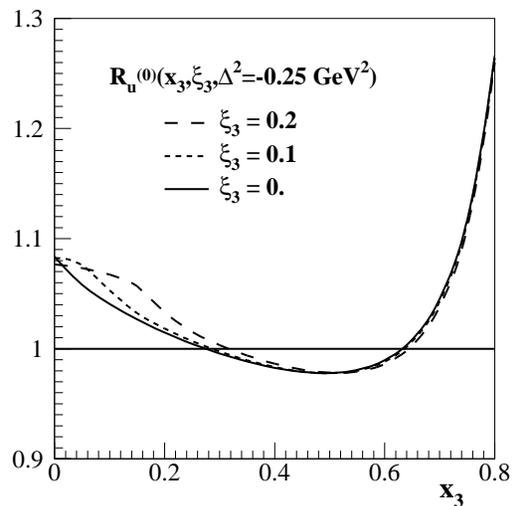
- In calculations using $n(\vec{p}, \vec{p} + \vec{\Delta})$ instead of $P_N^3(\vec{p}, \vec{p} + \vec{\Delta}, E)$, in addition to the IA, also the Closure approximation has been assumed;
- 5 % to 10 % **binding** effect between $x = 0.4$ and 0.7 - much **bigger** than in the forward case;
- for $A > 3$, the evaluation of $P_N^3(\vec{p}, \vec{p} + \vec{\Delta}, E)$ is **difficult** - such an effect is **not under control**: Conventional nuclear effects can be **mistaken for exotic** ones;
- for ${}^3\text{He}$ it is possible : this makes it a **unique** target, even among the **Few-Body** systems.



Example of nuclear effects - flavor dependence



Nuclear effects are bigger for the d flavor rather than for the u flavor:



$$R_q^{(0)}(x, \xi, \Delta^2) = \frac{H_q^3(x, \xi, \Delta^2)}{2H_q^{3,p}(x, \xi, \Delta^2) + H_q^{3,n}(x, \xi, \Delta^2)}$$

$$H_q^{3,N}(x, \xi, \Delta^2) = \tilde{H}_q^N(x, \xi) F_q^3(\Delta^2)$$

$R_q^{(0)}(x, \xi, \Delta^2)$ would be one if there were no nuclear effects;



This is a typical **conventional, IA** effect (spectral functions are different for p and n in ${}^3\text{He}$, not isoscalar!); if (not) found, clear indication on the reaction mechanism of **DIS off nuclei**.

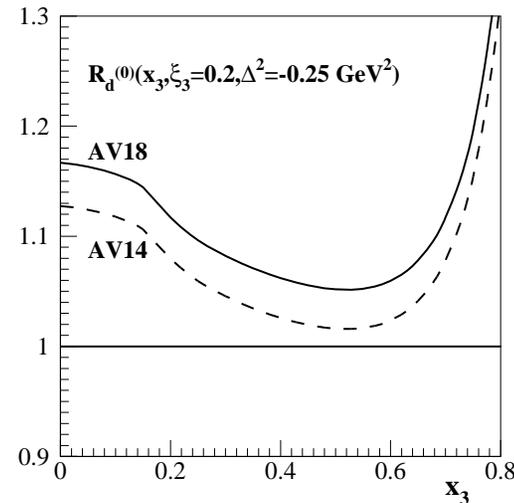
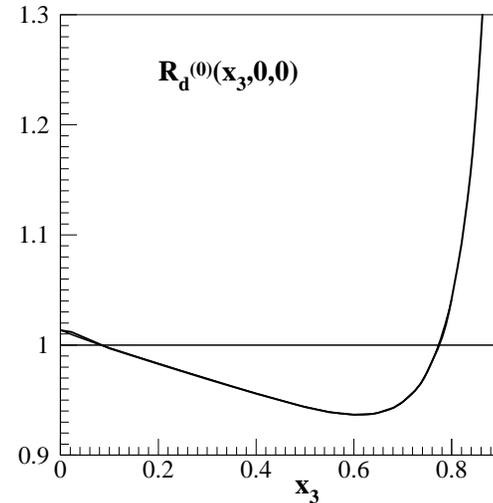


Dependence on the NN interaction

Nuclear effects are bigger than in the forward case: dependence on the potential

● **Forward case:** Calculations using the **AV14** or **AV18** interactions are **indistinguishable**

● **Non-forward case:** Calculations using the **AV14** and **AV18** interactions **do differ:**

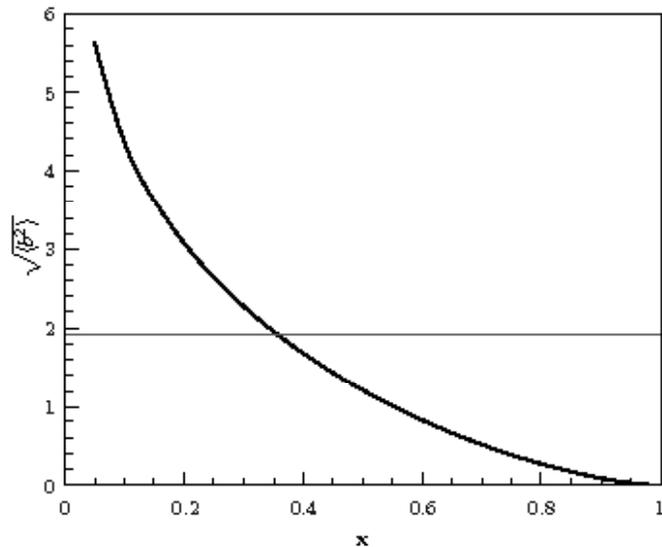


³He tomography (preliminary)

Analysis of the “ x -dependent charge radius” of ³He.

From GPDs in impact parameter space, this quantity, $\sqrt{\langle b^2(x) \rangle}$, can be obtained from:

$$\langle b^2 \rangle(x) = \int d\vec{b}_\perp b_\perp^2 (\rho_u(x, |\vec{b}_\perp|) + \rho_d(x, |\vec{b}_\perp|))$$



reference line: $\sqrt{\langle b^2 \rangle}$ from the calculations of the ³He charge f.f. in IA. (see [L.E. Marcucci et al. PRC 58 \(1998\)](#)).

$\sqrt{\langle r^2 \rangle}$ corresponding to the Av18 calculation is similar to $\sqrt{\langle b^2(x) \rangle}$ in the valence region.

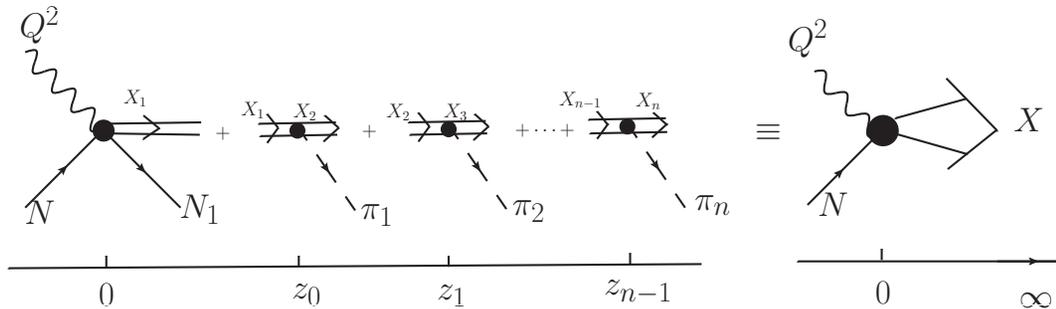


FSI: the hadronization model

Hadronization model (Kopeliovich et al., NPA 2004)

+ σ_{eff} model for SIDIS (Ciofi & Kopeliovich, EPJA 2003)

GEA + hadronization model successfully applied to unpolarized SIDIS $^2H(e, e'p)X$
(Ciofi & Kaptari PRC 2011).



$$\sigma_{eff}(z) = \sigma_{tot}^{NN} + \sigma_{tot}^{\pi N} [n_M(z) + n_g(z)]$$

- The hadronization model is phenomenological: parameters are chosen to describe the scenario of JLab experiments (e.g., $\sigma_{NN}^{tot} = 40$ mb, $\sigma_{\pi N}^{tot} = 25$ mb, $\alpha = -0.5$ for both NN and πN ...).

