# Low x Inclusive and Diffractive DIS: From HERA to Future Facilities

#### Paul Newman Birmingham University





See also plenaries by Raju, Eugenio & Tuomas



#### Where knowledge of Low x Gluon Comes From

... entirely from inclusive Neutral Current HERA data ...



# Final HERA Picture of Proton (HERAPDF2.0)



- ~2% precision on gluon over a wide range of x
- Uncertainty explodes towards x=10<sup>-4</sup>
- Gluon itself is rising in a non-sustainable way ...
- Note the 'Standard' presentation is at  $Q^2 = 10 \text{ GeV}^2$

3

## The Gluon Density at Other Scales



Gluon close to zero in pure DGLAP
 approach (and coupling not so weak).
 Saturating hadrons with a
 Small number of ("large") gluons?
 Alternative language (dipole
 models, gluons not degrees of freedom)? 10<sup>-4</sup>

- Electroweak scale ~ M<sub>Z</sub><sup>2</sup> (as
   relevant to precision LHC physics)
   ... gluon rise gets sharper ...
  - Starting scale ~ 1.9 GeV<sup>2</sup> (as relevant to future sat'n studies



#### Looking for Saturation in the HERA Data



HERA-I inclusive data well described by  $F_2 = Ax^{-\lambda(Q^2)}$  with fixed A~0.2 for all  $Q^2 > \sim 1 \text{ GeV}^2$ 





From 2D local x-derivatives: no evidence here for deviation from monatonic rise of structure functions towards low x in perturbative region. ... but this does not include:

- More precise HERA-II data
- Very low Q<sup>2</sup> data

# Different Approaches and improved data in Perturbative regione.g. NNPDF: NLO DGLAP description deteriorates when adding data in lines Q<sup>2</sup> > Ax<sup>-0.3</sup> parallel to 'saturation' curve in x/Q<sup>2</sup>.





#### Final HERA-2 Combined PDF Paper: "some tension in fit between low & medium Q<sup>2</sup> data... not attributable to particular x region" (though kinematic correlation)

... something happens ... interpretation?

# Introducing Q<sup>2</sup> < 1 GeV<sup>2</sup> data ... and a Dipole Model with Saturation





All data ( $Q^2 > ~ 0.05 \text{ GeV}^2$ ) are well fitted in (dipole) models that include saturation effects - x dependent "saturation scale",  $Q^2_s(x)$ 

 $\frac{xG_A(x,Q_s^2)}{\pi R_A^2 Q_s^2} \sim 1 \Longrightarrow Q_s^2 \propto A^{1/3} x^{\sim -0.3}$ 

# Introducing Q<sup>2</sup> < 1 GeV<sup>2</sup> data ... and a Dipole Model with Saturation





... at HERA,  $Q_s^2$  doesn't get above about 0.5 GeV<sup>2</sup>  $\rightarrow$ Saturation may have been observed at HERA ... well described by CGC+dipoles  $\rightarrow$ Gluon sat<sup>n</sup> not observed? (and may not be in inclusive ep in foreseeable future)

How to Access Saturation at **Future Lepton-Hadron Facilities** 1) Increase  $\int s$  - Probing lower x at fixed Q<sup>2</sup> in ep [clean evolution of single source  $\rightarrow$  LHeC gets  $Q^2$ , ~ few GeV<sup>2</sup>]

2) Use nuclear target - Overlap x ≤ 0.01 many sources to enhance density ~  $A^{1/3}$  ~ 6 for Au ... [EIC gets to  $Q_{s}^{2} \sim 2 \text{ GeV}^{2}$ , challenge is to disentangle nuclear effects]  $\Lambda^2_{_{QCP}}$ 

3) Non-inclusive observables (diffraction)

At least two of these at once is needed for a convincing picture  $\dots \rightarrow$ 

4) Go to LHC [Lacks detailed understanding]



0.5

#### Maximal Detector Acceptance is Vital

#### eg from LHeC ...

Access to  $Q^2=1$  GeV<sup>2</sup> in ep mode for all x > 5 x 10<sup>-7</sup> requires scattered electron acceptance to 179°





Also need 1° acceptance in proton direction to contain hadrons for kinematic reconstruction, Mueller-Navelet jets, maximise acceptance for new massive particles ...

## Elastic J/Ψ Kinematics (example from LHeC)

• At fixed  $\int s$ , decay muon direction is determined by W =  $\int s_{\gamma p}$ 

• To access highest W, acceptance in outgoing electron beam direction crucial







#### Inclusive DIS in ep at LHeC ep and Saturation

With 1 fb<sup>-1</sup> (1 month at  $10^{33}$  cm<sup>-2</sup> s<sup>-1</sup>), F<sub>2</sub> stat. < 0.1%, syst, 1-3% F<sub>L</sub> measurement to 8% with 1 year of varying E<sub>e</sub> or E<sub>p</sub>



#### $F_2$ and $F_L$ pseudodata at $Q^2 = 10 \text{ GeV}^2$

• LHeC can distinguish between different QCD-based models for the onset of non-linear dynamics

... but can sat<sup>n</sup> effects hide in standard fit parameterisations?

#### Can Saturation be Established in ep @ LHeC?

Simulated LHeC  $F_2$  and  $F_L$  data based on an (old) dipole model containing low x saturation (FS04-sat)... Try to fit in NLO DGLAP ... NNPDF (also HERA framework) DGLAP QCD fits work OK if only  $F_2$  is fitted, but cannot accommodate saturation effects if  $F_2$ and  $F_1$  both fitted



• Unambiguous observation of saturation will be based on tension between different observables e.g.  $F_2 v F_L$  in ep or  $F_2$  in ep v eA

## **Exclusive / Diffractive Channels and Saturation**

v\*m

р

g g g g

win

V

**X (M<sub>x</sub>)** 

р

(Q<sup>2</sup>)

- [Low-Nussinov] interpretation as 2 gluon exchange enhances sensitivity to low x gluon (at least for exclusives)
- 2) Additional variable t gives access to impact parameter (b) dependent amplitudes
  - $\rightarrow$  Large t (small b) probes densest packed part of proton?



#### Advantage of Diffractive DIS: Dipole Language



#### **Inclusive Cross Section**

$$\sigma_{T,L}(x,Q^2) = \int d^2 \mathbf{r} \int_0^1 d\alpha \, |\Psi_{T,L}(\alpha,\mathbf{r})|^2 \hat{\sigma}(x,r^2)$$

#### **Diffractive DIS**



$$\frac{d\sigma_{T,L}^D}{dt}\Big|_{t=0} = \frac{1}{16\pi} \int d^2 \mathbf{r} \int_0^1 d\alpha \, |\Psi_{T,L}\left(\alpha,\mathbf{r}\right)|^2 \hat{\sigma}^2\left(x,r^2\right)$$

3) Extra factor of dipole cross section weights DDIS cross section towards larger dipole sizes  $\rightarrow$  enhanced sensitivity to saturation effects.



#### **Proton Spectrometers Come of Age**

LHC experiments (TOTEM, ALFA@ATLAS) have shown that it's possible to make precision measurements and cover wide kinematic range with Roman pots.

e.g. TOTEM operates 14(?) pots in 2017, with several at full LHC

lumi (~50ps timing and precision tracking detectors) → Sensitivity to subtle new effects eg non-exponential term in elastic t dependence ...







#### Future DIS Forward Proton Spectrometers

We should ensure full acceptance Roman pot forward detector systems are integrated into our future facility designs from outset

eg LHeC Proton spectrometer uses outcomes of FP420 project (proposal for low ξ Roman pots at ATLAS / CMS not yet adopted)
Tags elastically scattered protons with high acceptance over a wide

z (m)

420

Proton

Spectrometer





#### **EIC Forward Proton Spectrometer**



#### **Exclusive Vector Mesons**

• Huge database of measurements from HERA,  $\Psi$ , J/ $\Psi$ ,  $\phi$ ,  $\rho$ ,  $\rho'$ , DVCS ... mapping soft-hard transition, unfolding  $\sigma_{T}$ ,  $\sigma_{L}$  ...

# Test Case: J/ $\Psi$ Photoproduction

- `Cleanly' interpreted as hard 2g exchange coupling to qqbar dipole
- c and c-bar share energy equally, simplifying VM wavefunction relative to  $\rho$
- Clean experimental signature (just 2 leptons)

• Scale  $Q^2 \sim (Q^2 + M_V^2) / 4 > \sim 3 \text{ GeV}^2$  ideally suited to reaching Lowest possible x whilst remaining in perturbative regime

... eg LHeC reach extends to:  $x_g \sim (Q^2 + M_V^2) / (Q^2 + W^2) \sim 5.10^{-6}$ 





#### Existing Diffractive J/ $\Psi$ Photoproduction Data



... Adding Ultraperipheral Collisions at LHC:

 $10^{3}$ [dn] (q ψ/L H1 data HE - No evidence \*\*\*\*\*\* data I F H1(2005) for deviation from Fit HE, LE, H1(2005) Zeus(2002) **v** E401, E516 10<sup>2</sup> monatomic rise with LHCb(2013) - d λ)c  $b > R_A + R_B$ increasing W Z (decreasing x). 10 - See also pPb, PbPb  $10^{3}$ 10<sup>2</sup> 10 W<sub>vp</sub> [GeV] results

## $J/\Psi$ from future ep v Dipole model Predictions

- e.g. "b-Sat" Dipole model - "eikonalised": with impact-parameter dependent saturation
- "1 Pomeron": non-saturating





• Significant non-linear effects expected in LHeC kinematic range

"beware unrealistic non-sat Straw men" [T. Lappi]

#### $J/\Psi$ from future ep v Dipole model Predictions





 Lack of sat<sup>n</sup> signal at LHC to date suggests increasing energy alone Is not the answer

• Need detailed mapping in ep and eA and scanning of t (& maybe also of Q<sup>2</sup>).

#### t Dependence of Elastic J/ $\psi$ at LHeC



- Precise t measurement from decay  $\mu$  tracks over wide W range extends to  $|t| \sim 2 \ GeV^2$  and enhances sensitivity to saturation effects

# • Measurements also possible in multiple Q<sup>2</sup> bins

## **Exclusive Diffraction in eA**

- Separation of coherent / incoherent can be done based on ZDC

- Large saturation effects predicted at LHeC in coherent case (eA  $\rightarrow$  eVA)

- Smaller saturation effects at EIC  $\rightarrow$  cleaner opportunity to image structure via conjugate variables b









#### Light Vector Mesons in eA / ep at EIC





- bSat saturation model predicts big saturation effects from comparisons of eA with ep for elastic  $\rho$ ,  $\phi$ .
- Effects for  $\phi$  larger than for J/ $\Psi$  due to lower scale.



#### **Diffractive Parton Densities (DPDFs)**



... semi-inclusive collinear QCD factorisation works!

... DPDFs from  $F_2^{D}$  lead to impressive description of all HERA 'hard' diffractive data (not shown here)

... Failure of diffractive PDF fits to data at lowest Q<sup>2</sup> ...

#### **Diffractive DIS & Dipole Models**

–  $\chi^2$  / ndf increases systematically in H1 DPDF fits when data of Q<sup>2</sup> < 8.5 GeV<sup>2</sup> are included (slightly lower in ZEUS) ... low Q<sup>2</sup> breakdown of pure Leading Twist DGLAP approach



- Not yet describing fine detail
- Unravelling this rich phenomonology can yield big rewards!

#### **Diffractive : Inclusive Ratio**



EIC 'Day 1' simulations confirm the importance of this sort of observable to disentangle saturation and shadowing ...
... increasing diff/incl ratio with A in saturation case ...

- Famous HERA plot ... Rather flat diffractive/inclusive ratio v x at fixed Q2, taken as evidence for saturation



# Summary

• HERA showed that the closer you look at low x physics, the more surprising it gets and the more it teaches you ... and that was with only 0.5fb-1 per experiment (eg DVCS came late and with limited precision)



- Future DIS facilities are vital to fully establish and characterise the dynamics of saturation and precisely map its onset
- Extrapolating and interpolating from HERA and including LHC suggests studies at future DIS facilities will need to include non-perturbative region and a multi-observable approach ... ep and eA inclusive, diffractive, semi-inclusive over a range of energies

# **Back-Ups Follow**



- Low  $\beta \rightarrow$  Novel low x DPDF effects /non-linear dynamics? • High Q<sup>2</sup>  $\rightarrow$  Lever-arm for gluon, Flavour separation via EW
  - Still to do: detailed DPDF sensitivity study

#### **New Region of Large Diffractive Masses** Large x<sub>IP</sub> region highly correlated with large Mx



- `Proper' QCD (e.g. large  $E_T$ ) with jets and charm accessible
- New diffractive channels ... beauty, W / Z bosons
- Unfold quantum numbers / precisely measure new 1<sup>-</sup> states

#### Similarity of diffractive & inclusive Data

•  $Q^2$  evolution of inclusive and diffractive cross sections compatible at low  $\beta$ ...

...Ratio σ<sub>r</sub><sup>D</sup>/σ<sub>r</sub> ~ independent of Q<sup>2</sup> at fixed x<sub>IP</sub> and x (`universal structure of QCD vacuum?')
 Contrasts with Q2-suppressed

Vector Mesons





# **Deeply Virtual Compton Scattering**

• No vector meson wavefunction complications

• Cross sections suppressed by photon coupling

 $\rightarrow$  limited precision at HERA



 $\rightarrow$  would benefit most from high lumi of LHeC and EIC

 LHeC Simulations based on FFS model in MILOU generator
 → Double differential distributions in (x, Q<sup>2</sup>) with 1° and 10° cuts for scattered electron
 →Kinematic range determined largely by cut on p<sub>T</sub><sup>γ</sup> (relies on ECAL performance / linearity at low energies)



#### Problem of Inclusive Data in a 1D nutshell: `Geometric Scaling'



... HERA had very limited aceptance for  $\tau < 1$  ... saturation effects depend mainly on data with  $0.045 < Q^2 < 1 \text{ GeV}^2$ 

e.g. LHeC reaches  $\tau \sim 0.15$  for  $Q^2=1$  GeV<sup>2</sup> and  $\tau \sim 0.4$  for  $Q^2=2$  GeV<sup>2</sup>

Can also be enhanced with nuclei.



Something appears to happen around  $\tau = Q^2/Q_s^2 = 1$ (confirmed in many analyses) BUT ...  $Q^2$  small for  $\tau < 1$ ... not easily interpreted in QCD Lines of constant 'blackness' diagonal ... scattering cross section appears constant along them ... "Geometric

#### Scaling"



#### ... but what do the DPDFs actually mean?

... what about low z ... ratio of quarks to gluons is about 70:30 for both diffractive PDFs and (low x) inclusive PDFs ...







x<sub>IP</sub> dependence shows clear IP+IR structure



#### **Parton Saturation after HERA?**

e.g. Forshaw, Sandapen, Shaw hep-ph/0411337,0608161 ... used for illustrations here

Fit inclusive HERA data using dipole models with and without parton saturation effects



FS04 Regge (~FKS): 2 pomeron model, <u>no saturation</u> FS04 Satn: <u>Simple implementation of saturation</u> CGC: <u>Colour Glass Condensate version of saturation</u>

- All three models can describe data with  $Q^2 > 1 GeV^2$ , x < 0.01
- Only versions with saturation work for 0.045 < Q<sup>2</sup> < 1 GeV<sup>2</sup> ... any saturation at HERA not easily interpreted partonically

#### Some models of low x F<sub>2</sub> with LHeC Data With 1 fb<sup>-1</sup> (1 year at 10<sup>33</sup> cm<sup>-2</sup> s<sup>-1</sup>), 1° detector: stat. precision < 0.1%, syst, 1-3%

[Forshaw, Klein, PN, Soyez]



Precise data in LHeC region,  $x > \sim 10^{-6}$ 

 Extrapolated HERA dipole models ...
 FS04, CGC models including saturation suppressed at low x & Q<sup>2</sup> relative to non-sat FS04-Regge

... new effects may not be easy to see and will certainly need low Q<sup>2</sup> ( $\theta \rightarrow 179^{\circ}$ ) region ...

10<sup>-2</sup>

Х



misleading at the LHC ... worse at lower x  $\rightarrow$  LHeC, FCC-eh ...

#### What can be done with LHC alone?

At Q2=1.9 GeV2



- LHC = current LHC W, Z and jet data
- Remarkable what can be achieved with LHC data alone
- Can we improve substantially? Often already systs limited

# F<sub>2</sub><sup>D</sup> and Nuclear Shadowing

Nuclear shadowing can be described (Gribov-Glauber) as multiple interactions, starting from ep DPDFs





... starting point for extending precision LHeC studies into eA collisions

#### Reminder : Dipole models

• Unified description of low x region, including region where  $Q^2$  small and partons not appropriate degrees of freedom ...



- Simple unified picture of many inclusive and exclusive processes ... strong interaction physics in (universal) dipole cross section  $\sigma_{\text{dipole}}$ . Process dependence in wavefunction  $\Psi$  Factors
- qqbar-g dipoles also needed to describe inclusive diffraction

#### **Current Low x Understanding in LHC Ion Data**



η dependence of pPb charged
particle spectra best described
by shadowing-only models
(saturation models too steep?)
... progress with pPb, but
uncertainties still large, detailed
situation far from clear

Uncertainties in low-x nuclear PDFs preclude precision statements on medium produced in AA (e.g. extent of screening of c-cbar potential)

Minimum Bias pA data



# Signals in t Dependences: e.g. $J/\psi$ Photoproduction

t dependences measure Fourier transform of impact parameter distribution.  $\rightarrow$  Unusual features can arise from deviations from Gaussian matter distribution e.g. Characteristic dips in model by Rezaeian et al,

(just) within LHeC sensitive t range.



#### **Leading Neutrons**

- Crucial in eA, to determine whether nucleus remains intact e.g. to distinguish coherent from incoherent diffraction

- Crucial in ed, to distinguish scattering from p or n
- Forward  $\boldsymbol{\gamma}$  and n cross sections relevant to cosmic ray physics

- Has previously been used in ep to study  $\pi$  structure function

Possible space at z ~ 100m (also possibly for proton calorimeter)



... to be further investigated



Also relevant to absorptive corrections, cosmic ray physics ...

# LHeC / FCC-he Context



Main weakness: No polarised hadrons

Lepton-hadron scattering at the TeV scale ...

LHeC: 60 GeV electrons x LHC protons & ions → 10<sup>34</sup> cm<sup>-2</sup> s<sup>-1</sup> → Simultaneous running with ATLAS / CMS sometime in HL-LHC period

FCC-he: 60 GeV electrons x 50 TeV protons (and corresponding ions) from FCC <sup>52</sup>

#### LHeC Physics at 10<sup>34</sup> cm<sup>-2</sup>s<sup>-1</sup>



е

 $\gamma^*(\mathbf{Q}^2)$ 

q

ĝ

#### **Baseline Design (Electron "Linac")**

- Design constraint: power consumption < 100 MW  $\rightarrow$  E<sub>e</sub> = 60 GeV

- Colliding with  $E_p = 7$  TeV from LHC (or even 50 TeV from FCC) and equivalent ion beams

- Two 10 GeV linacs,
- 3 returns, 20 MV/m
- Energy recovery in same structures
  [Energy recovery Linac prototype planned
  @ Orsay]



- ep lumi → 10<sup>34</sup> cm<sup>-2</sup> s<sup>-1</sup>
- $\rightarrow$  ~100 fb<sup>-1</sup> per year  $\rightarrow$  ~1 ab<sup>-1</sup> total
- eD and eA collisions have always been integral to programme
- e-nucleon Lumi estimates ~ 10<sup>31</sup> (10<sup>32</sup>) cm<sup>-2</sup> s<sup>-1</sup> for eD (ePb)

#### **Rapidity Gap Selection**





 $-\eta_{max} v \xi$  correlation entirely determined by proton beam energy

- Cut around  $\eta_{max} \sim 3$ selects events with  $x_{IP} < \sim 10^{-3}$  at LHeC (cf  $x_{IP} < \sim 10^{-2}$  at HERA

# Intact Proton Selection Methods beyond HERA



- Allows t measurement, but limited by stats, p- tagging systs

2) Select Large Rapidity Gaps

-Limited by control over proton dissociation contribution



- Methods have very different systematics  $\rightarrow$  complementary
- In practice, method 2 yielded lasting HERA results, because of statistical and kinematic range limitations of Roman pots
- Roman pots mainly contsrained t distributions
- LHeC & EIC different  $\rightarrow$  higher lumi + pot design from outset

# (NEW) DGLAP PDF Fits to LHeC Pseudo-Data

-Simulated NC, CC `pseudo-data' with reasonable assumptions on systematics (typically 2x better than H1 and ZEUS at HERA).

- NEW: Luminosity increased since CDR  $\rightarrow$  up to 1ab<sup>-1</sup>
- NEW: Fitting framework  $\rightarrow$  as for HERAPDF 2.0 at NLO

source of uncertainty	error on the source or cross section
scattered electron energy scale $\Delta E_e^\prime/E_e^\prime$	0.1 %
scattered electron polar angle	0.1 mrad
hadronic energy scale $\Delta E_h/E_h$	0.5 %
calorimeter noise (only $y < 0.01$ )	1-3%
radiative corrections	0.3%
photoproduction background (only $y > 0.5$ )	1 %
global efficiency error	0.7 %

- NLO DGLAP fit using HERAPDF2.0, including:
  - LHeC NC and CC e<sup>+</sup>p and e<sup>-</sup>p cross sections
  - NEW: HERA-1 and HERA-2 final combined H1+ZEUS data
  - Fixed target BCDMS data with W>15 GeV
  - NEW: HERA jet and various Tevatron / LHC data

# Low x Gluon with LHC, with and without LHeC



#### Standard LHC channels do not help much:

- ATLAS and CMS constraints as currently included in PDF fits (jets, top) don't extend below  $x \sim 10^{-3}$ .
- Other channels may help if theoretical issues can be overcome (LHCb c,b, maybe even exclusive  $J/\Psi$ )
- Current knowledge basically comes from HERA: stops at x~5.10<sup>-4</sup>
- LHeC gives constraints to  $x \sim 10^{-6}$  from scaling violations and  $F_L$

# Low x Sea with LHC, with and without LHeC



#### LHC channels help, but not on same level as LHeC:

- ATLAS and CMS low mass Drell-Yan data have an impact
- Also potentially LHCb Drell-Yan
- Other channels may help (see eg ALICE direct photon / FOCAL)
- LHeC goes to  $x \sim 10^{-6}$ , directly from  $F_2$

... this is what DIS does best ...

#### The More Distant Future: ep at a CERN Future Circular Collider





FCC-eh kinematics sensitive to diffractive structure in larger (β,Q<sup>2</sup>) range than (x,Q<sup>2</sup>) range sampled for the proton @ HERA!



-Similarly for masses and transverse momenta of jets.

- W range for VMs  $\rightarrow$  multi-TeV