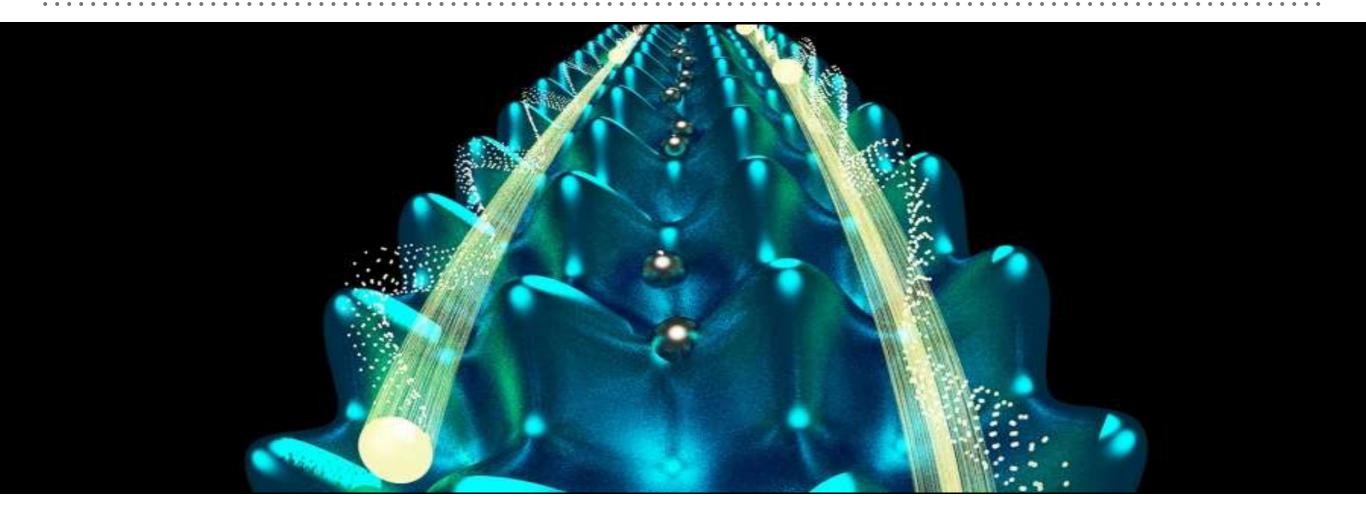
NON-EQUILIBRIUM DYNAMICS AND CHARGE Fractionalization in integer of edge states



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Genova, 23/01/2017



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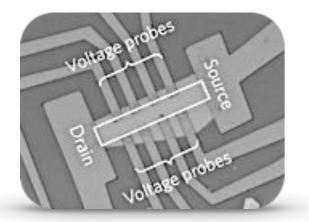
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PhD student in economics

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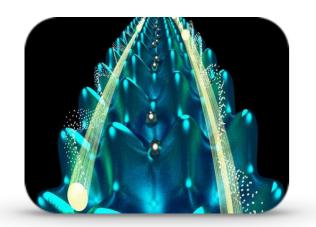




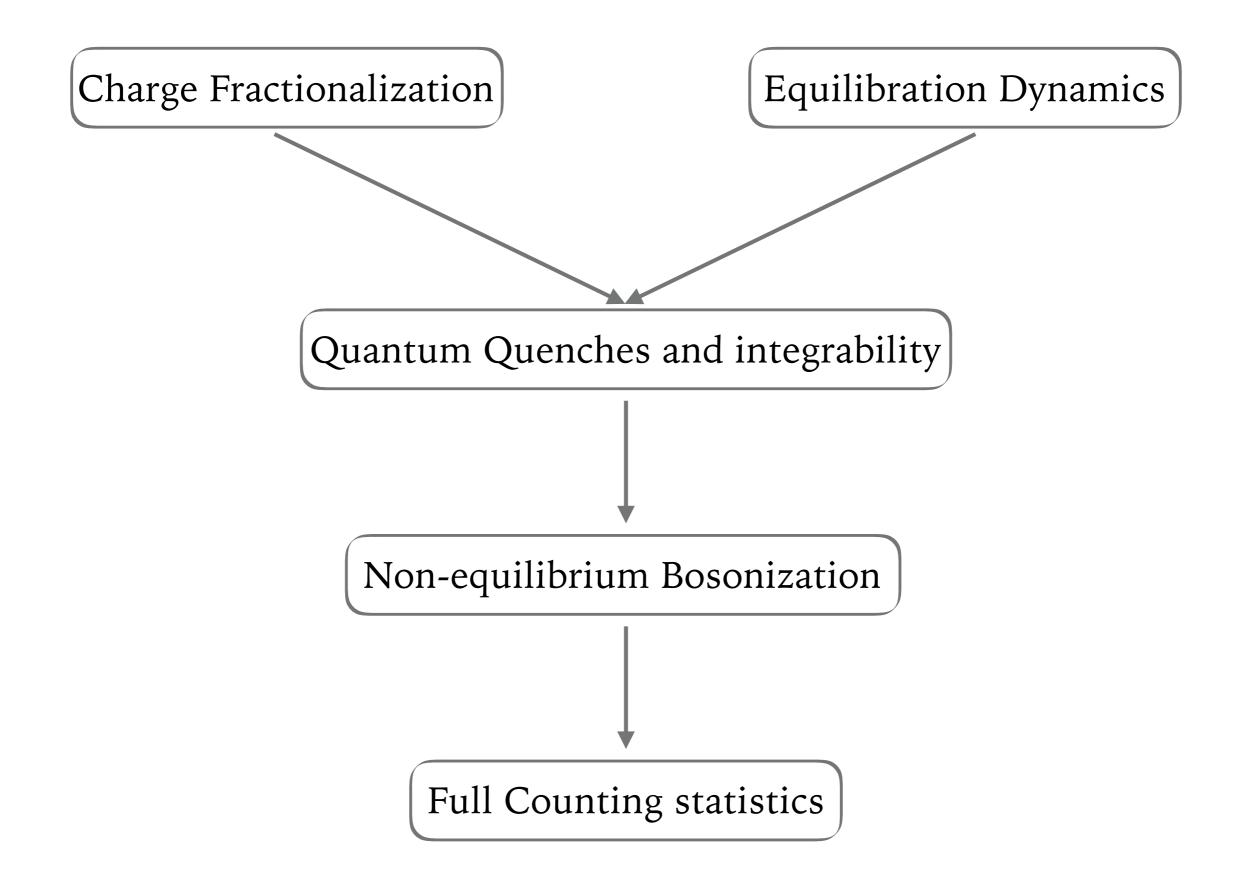
- The QHE and edge states
- Fractional charges
- Overview on noise and FCS

• Equilibrium v.s. non-equilibrium bosonization



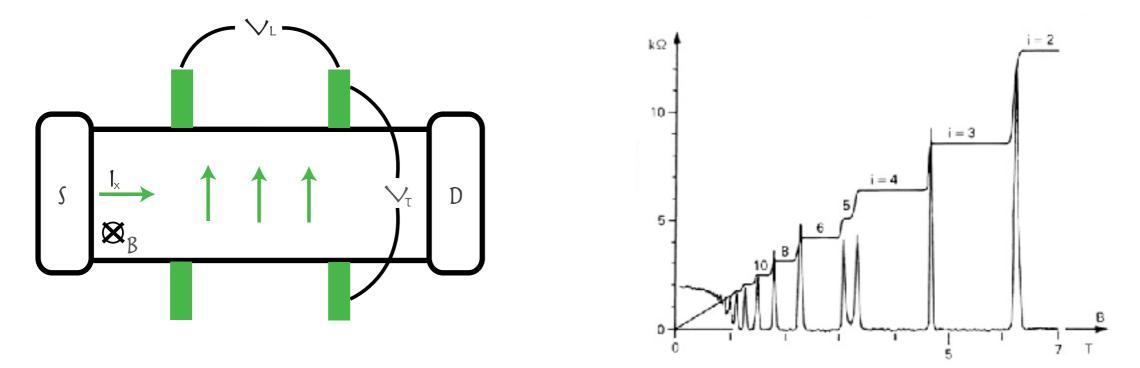


- Quantum quenches in chiral Luttinger Liquids
- Non-equilibrium driven correlations
- Prethermalization and fractional charges

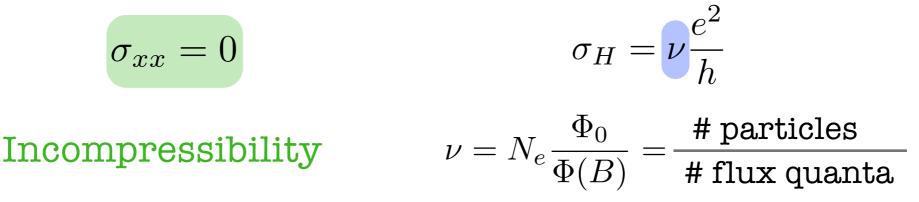


THE QUANTUM HALL EFFECT IN A (TINY) NUTSHELL

Von Klitzing (1980). Tsui, Stormer and Gossard (1982)



The quantum Hall state is an incompressible state characterised by

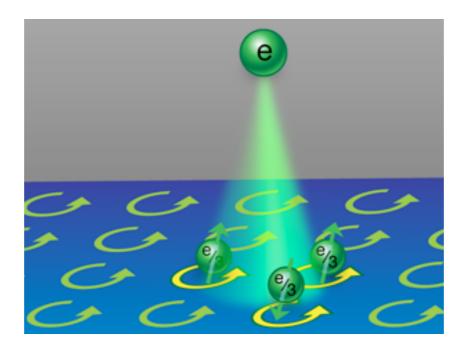


 ν is a topological invariant related to the fist Chern character. Niu, Thouless & Wu : Phys. Rev. B **31**,3372

Transitions between different fillings do not break ANY symmetry: **Topological phase transition**.

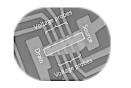


As a result of strong interactions + topology, quasiparticle excitations carry a fraction of the electron charge



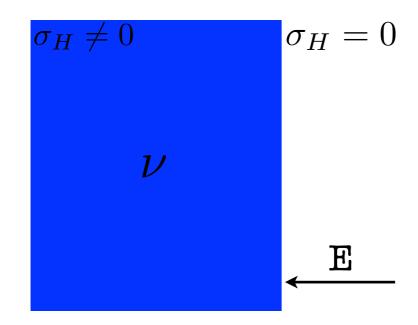
Fractional charges cannot be directly measured. Fractionalization signatures appear in the **Shot Noise**.

Kane & Fisher, PRL 72 (1994) de-Picciotto et al., Nature 389 (1997)



GAPLESS EDGE STATES

Halperin, PRB **25**.4 (1982) Wen, Int.J. Mod. Phys. B **6**, 1711(1992)



Conservation of the edge current in 1d implies

$$(\partial_t + u_x \partial_x)\rho(x) = 0$$

1d wave propagating along the edge

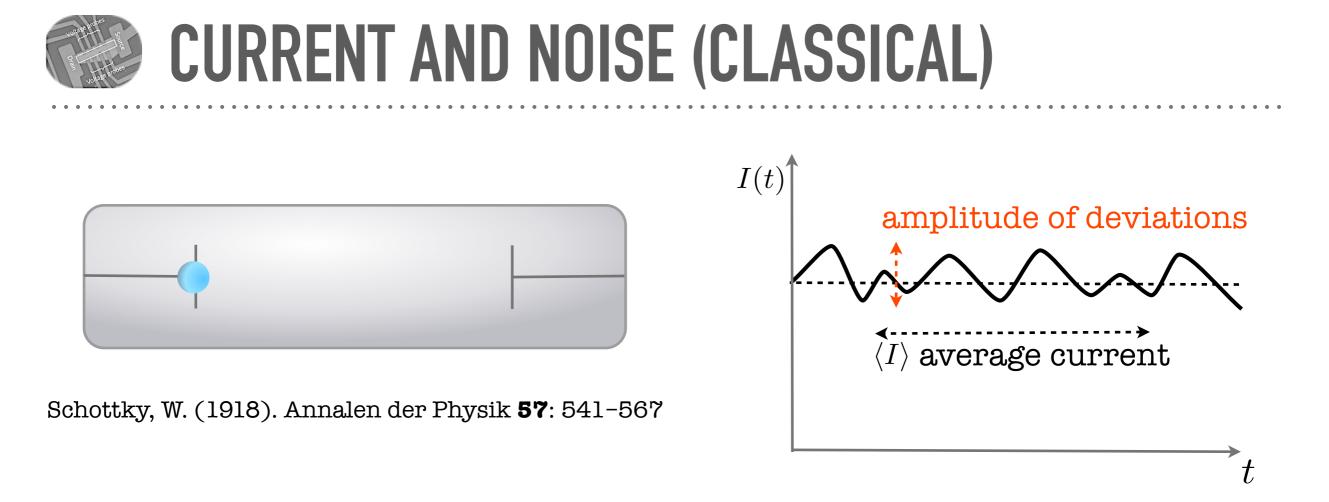
$$\mathcal{V}$$

$$S_{\pm} = \frac{1}{4\pi\nu} \int_{x,t} (\pm\partial_t + u_x \,\partial_x \phi_{\pm}) \,\partial_x \phi_{\pm}$$

$$\rho(x) = \frac{1}{2\pi} \partial_x \,\phi(x) \qquad [\phi_\eta(x), \phi_\xi(y)] = \imath \pi \,\nu \,\delta_{\eta,\xi} \, sgn(x-y)$$

This is known as a **chiral Luttinger Liquid**

It is a strongly correlated state of matter, not belonging to the Fermi liquid universality class. It is robust against impurity scattering.



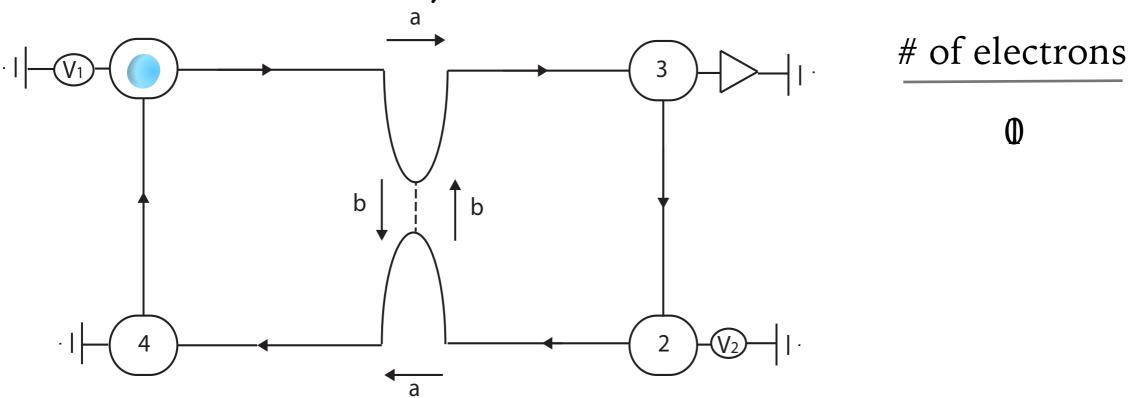
Average number of transmitted particles and variance :

$$\langle N \rangle = t/\tau \qquad \langle N^2 \rangle - \langle N \rangle^2 = \langle N \rangle$$

$$\langle I \rangle = e \langle N \rangle / t$$
$$S = 2e^2 (\langle N^2 \rangle - \langle N \rangle^2) / t = 2e \langle I \rangle$$

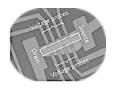
MESOSCOPIC COUNTING STATISTICS

How many electrons arrive at contact 3?



Measured average number of electrons

$$\langle m \rangle = Na$$



FULL COUNTING STATISTICS (QUANTUM)

Levitov, Lee, Lesovik: J.Math.Phys. 37.10 (1996), Klich cond-mat/0209642v1

Current Characteristic function

$$\Delta(\bar{\lambda},\tau) = \sum_{\bar{\alpha},\bar{\beta}} P[\bar{\alpha}(t=0), \bar{\beta}(t=\tau)] e^{i\frac{q}{2}\sum_{i}\lambda_{i}(\beta_{i}-\alpha_{i})}, \text{ $\#$ transferred electrons (m)$}$$

Final state of the electron $|\beta(t=\tau)\rangle = U(\tau,0)|\beta(t=0)\rangle$

This can be manipulated into

$$\Delta(\bar{\lambda},\tau) = \det\left[1 + \left(U^{\dagger}e^{iq\lambda/2}Ue^{-iq\lambda/2} - 1\right)F(\epsilon)\right]$$



If the scattering time is small compared to the entire evolution, we can introduce scattering states. For n=2 channels

$$S = \begin{pmatrix} r & t \\ t & r \end{pmatrix} \quad F(\epsilon) = \begin{pmatrix} f_1(\epsilon) & 0 \\ 0 & f_2(\epsilon) \end{pmatrix}.$$

Zero temperature characteristic function: $\Delta(\lambda) = (1 - a + a e^{i\lambda})^N$, $N = \frac{q\Delta V\tau}{h}$

Tunnelling current: $\langle I \rangle = q \langle m \rangle / \tau = a \frac{e^2}{h} \Delta V$ Quantum of conductance

Quantum Shot Noise: $S(\omega \to 0) = 2e^2 \langle (m - \langle m \rangle)^2 \rangle = 2e \langle I \rangle (1 - a)$

Quantum statistics

Define the Fano Factor as: $F \equiv \frac{S(\omega \to 0)}{2e\langle I \rangle}$ Reference Noise

BOSONIZATION OUT OF EQUILIBRIUM



In 1D it is impossible to disentangle the statistical properties from the interacting ones. Distinction between Fermions and Bosons is not well defined

Statistics transmutation is at the very heart of the Bosonization procedure

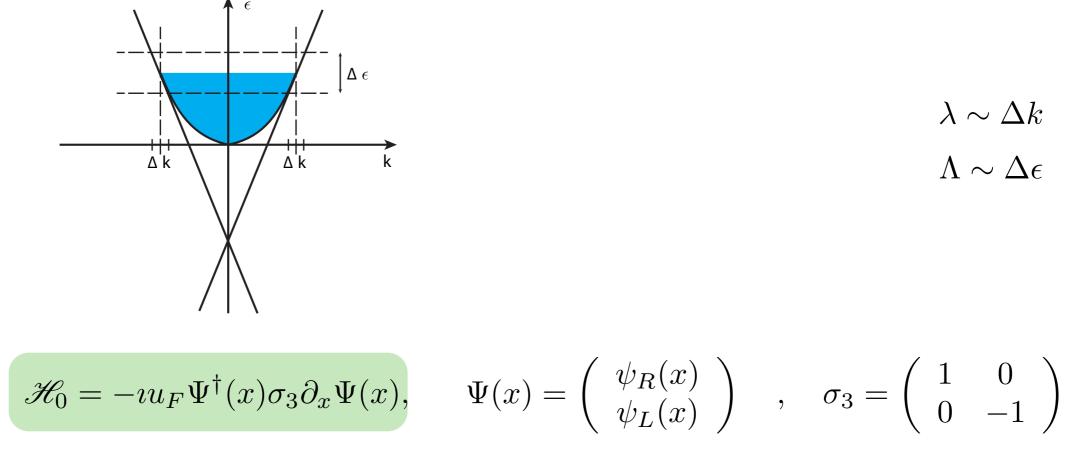


image from Tsvelik : Quantum Field theory in Condensed matter physics.



$$\mathscr{H}_0 = \psi^{\dagger}(x) \left(-\frac{\hbar^2}{2m} \partial_x^2 - \mu \right) \psi(x) + \mathscr{H}_I = \psi^{\dagger}(x) \psi(x) U(x - x') \psi^{\dagger}(x') \psi(x')$$

Linearize around the two Fermi points



Exact Mapping to a bosonic Hamiltonian

$$\mathscr{H}_0 = \frac{u_F}{4\pi} \left\{ [\partial_x \phi_R(x)]^2 + [\partial_x \phi_L(x)]^2 \right\}$$

No mention about statistical properties



holds out of equilibrium.

II. FERMIONIC EXPECTATION VALUES

Consider for example the equal time correlation function

 $\langle \psi_n^{\dagger}(x,t)\psi_{\eta}(0,t)\rangle_{th} = Tr(\hat{\rho}_F \,\psi_n^{\dagger}(x,t)\psi_{\eta}(0,t)) \qquad \rho_F = Z_F^{-1}e^{-\beta H_F}$

Fix $\eta = R$, and consider the T=0 $\lim_{T \to 0} \langle \psi_R^{\dagger}(x,t)\psi_R(0,t) \rangle_{th} = \frac{i}{2\pi} \frac{1}{x+i\alpha}$

In equilibrium we can map $\hat{\rho}_F \xrightarrow{B} \hat{\rho}_B$

$$[\psi_R^{\dagger}(x,t)\psi_R(0,t)\rangle_{th} = \frac{1}{2\pi\alpha}e^{[\phi_R(x),\phi_R(0)]/2}Tr(\hat{\rho}_B e^{-i(\phi_R(x)-\phi_R(0))})$$

In equilibrium, only the gaussian term is finite, higher order cumulants are identically zero! Dzyaloshinskii-Larkin Sov.Phys.JETP 38,202, (1973)

$$Tr(\hat{\rho}_B e^{-i(\phi_R(x) - \phi_R(0))}) = e^{-\frac{1}{2}\langle (\phi_R(x) - \phi_R(0))^2 \rangle_{th}}$$

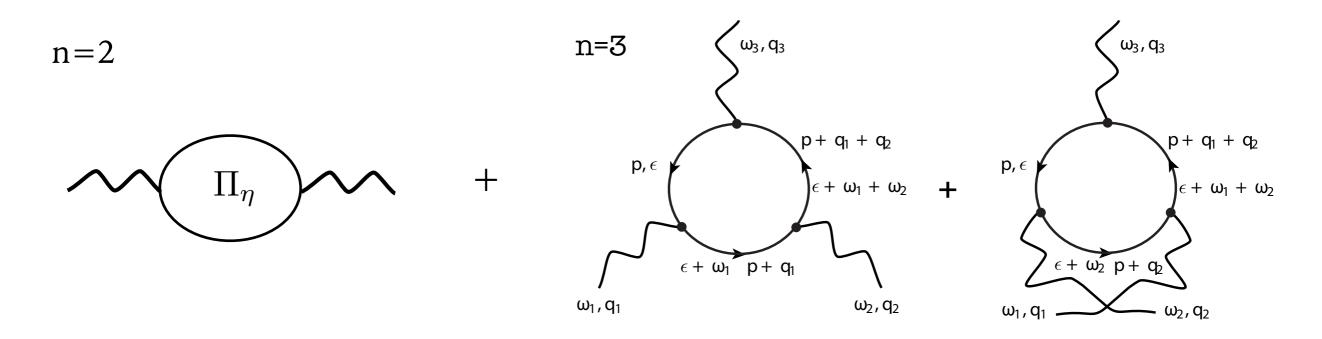
Is the simple mapping $\hat{\rho}_F \xrightarrow{B} \hat{\rho}_B$ valid out of equilibrium?



I. P. Levkivskyi, E. V. Sukhorukov, Phys. Rev. Lett. 103, 036801 (2009)

D. B. Gutman, Yuval Gefen and A.D. Mirlin, Phys. Rev. B 81 085436 (2010)

Wee need to look again at the cumulant expansion



In equilibrium, the two (n=3) diagrams cancel each other. This is not so for an initial out of equilibrium distribution!

However, re-summing higher order cumulants is equivalent to the problem of full counting statistics!

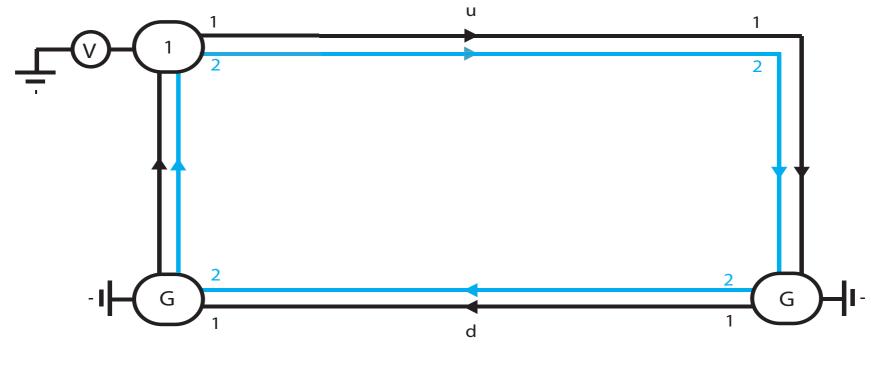
NON-EQUILIBRIUM DRIVEN CHARGE FRACTIONALIZATION

M. Milletari, B. Rosenow, PRL 111, 136807 (2013)

A. Schneider, M. Milletari , B . Rosenow, arXiv:1610.02036 (2016)



Two co-propagating chiral Fermi Liquids



$$\mathscr{H} = \mathscr{H}_{0,\eta} + \mathscr{H}_{I,\eta}$$

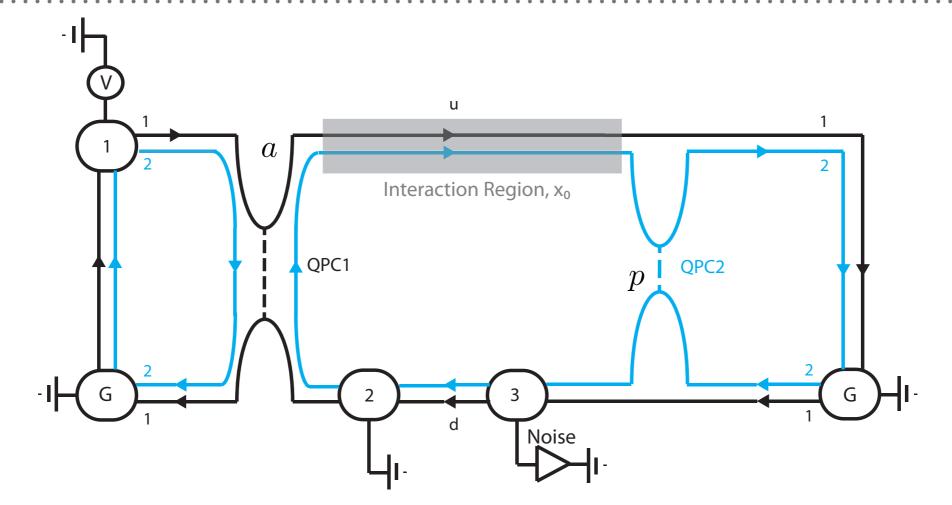
$$\mathscr{H}_{0,\eta} = 2\pi \int_x (v_1 \rho_{1,\eta}^2(x) + v_2 \rho_{2,\eta}^2(x))$$
 Edge modes

$$\mathscr{H}_{I,\eta} = 2\pi \int_x v_{12}\rho_{1,\eta}(x)\rho_{2,\eta}(x)$$

Inter-mode interaction

 $\psi_{\eta}(x) = \frac{1}{\sqrt{2\pi\alpha}} e^{i\phi_{\eta}(x)}$ bosonic representation of the fermionic field

SETUP see also Neder, PRL **108**, 186404 (2012), Bocquillon et al, Nat. Comm. 4 1839 (2013)



The two modes are in equilibrium

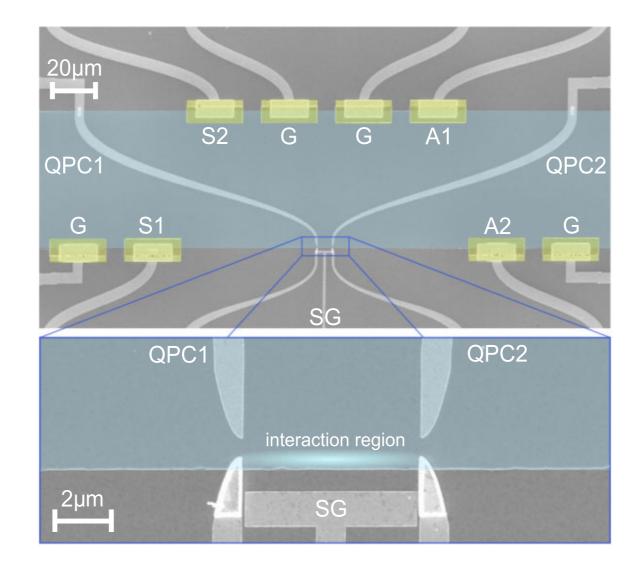
QPC1: Mode 2 completely reflected, Mode 1 partially transmitted with probability a

The two modes are not in equilibrium: Interactions

QPC2: Mode 1 completely transmitted, **Mode 2** partially reflected with probability p



H. Inoue, A, Grivnin, N. Ofek, I. Neder, M. Heiblum, V. Umansky and D. Mahalu PRL 112, 166801 (2014)



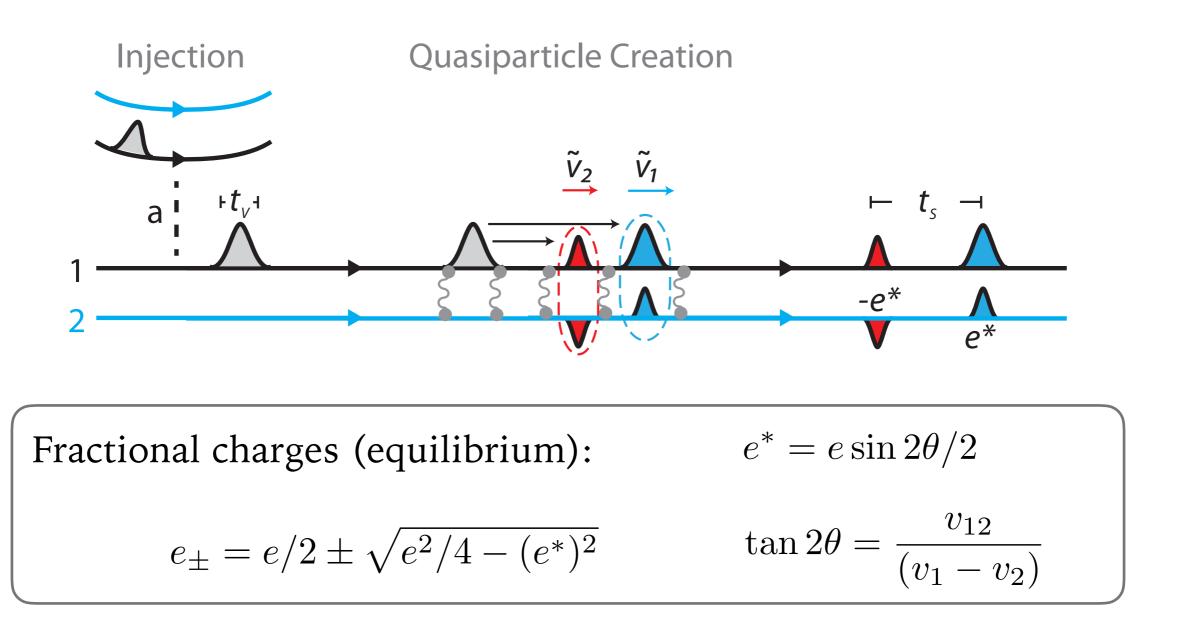
 $l = 8 \, \mu m$

T = 4.2 K $\mu = 4.2 \, 10^6 \, cm^2 / Vs$ $B = 1.7 \, T$



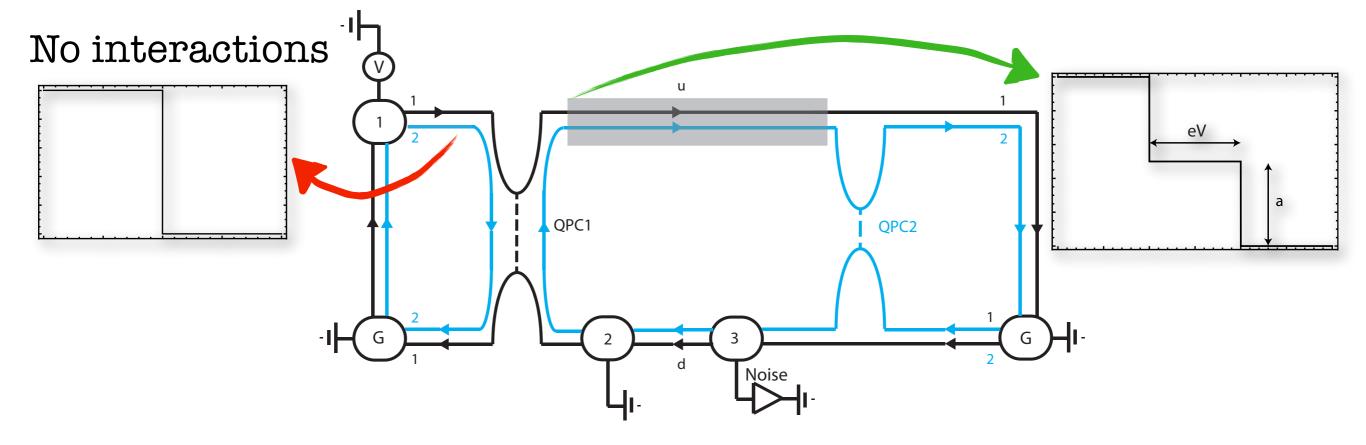
Inject a charge "e" on edge mode 1...

...due to interactions it fractionalizes as:



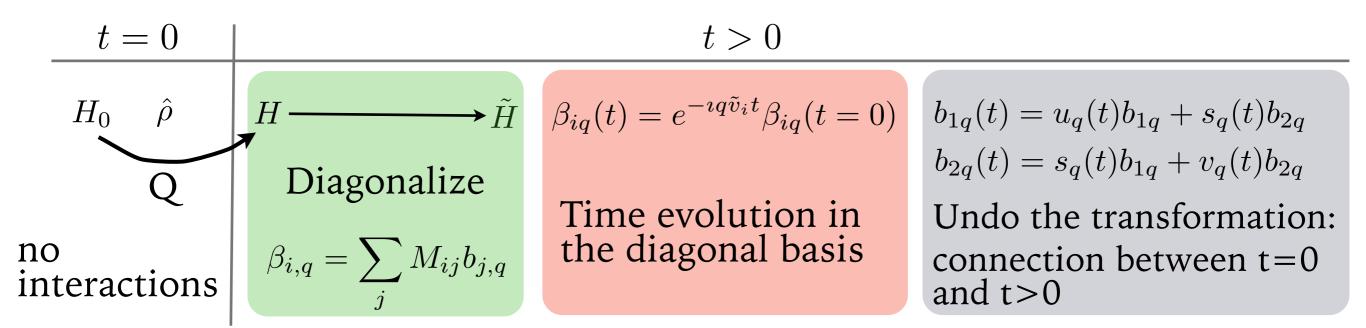
However, this is not what we measure!





Interactions are switched on via a Quantum Quench Cazalilla, PRL **97**,156403 (2006) & Kovrizhin, Chalker PRB **84**, 085105 (2012)





$$M = \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix} \quad \tilde{v}_{1(2)} = v_{1(2)}\cos^2\theta + v_{2(1)}\sin^2\theta \pm \frac{1}{2}v_{12}\sin 2\theta$$

$$u_{q}(t_{0}) = \cos^{2} \theta e^{-iq\tilde{v}_{1}t_{0}} + \sin^{2} \theta e^{-iq\tilde{v}_{2}t_{0}}$$

$$v_{q}(t_{0}) = \cos^{2} \theta e^{-iq\tilde{v}_{2}t_{0}} + \sin^{2} \theta e^{-iq\tilde{v}_{1}t_{0}} \qquad \tan 2\theta = \frac{v_{12}}{(v_{1} - v_{2})}$$

$$s_{q}(t_{0}) = \frac{1}{2} \sin 2\theta (e^{-iq\tilde{v}_{1}t_{0}} - e^{-iq\tilde{v}_{2}t_{0}})$$



Consider the Gaussian case first

 $Tr(\hat{\rho}_F \tilde{\psi}_2(x, t_0) \tilde{\psi}_2(0, t_0)) \propto e^{-Tr(\hat{\rho}_B(\tilde{\phi}_2(x, t_0) - \tilde{\phi}(0, t_0)^2)/2}.$

$$\operatorname{Tr}\left[\hat{\rho}\left(\tilde{\phi}_{2}(x,t)-\tilde{\phi}_{2}(0,t)\right)^{2}\right] \propto \left[|s_{q}(t_{0})|^{2}\left(1+2n_{1,B}(\epsilon_{q})\right)+\left[|v_{q}(t_{0})|^{2}\left(1+2n_{2,B}(\epsilon_{q})\right)\right]\right]$$

In equilibrium, interactions between co-propagating states do not change the free nature of the system!

$$|s_q(t_0)|^2 + |v_q(t_0)|^2 = 1$$

One obtains the non-interacting result, i.e. no fractionalization

$$Tr(\hat{\rho}_B \tilde{\psi}_2(x, t_0) \tilde{\psi}_2(0, t_0)) = \frac{\imath}{2\pi\alpha} \frac{1}{x + \imath\alpha}$$



$$S(\omega \to 0) = \frac{2e^2}{h} \frac{|t_2|^2}{2\pi} \int_{\epsilon} G_{2u}^{<}(\epsilon) G_{2d}^{>}(\epsilon) + G_{2d}^{<}(\epsilon) G_{2u}^{>}(\epsilon)$$
 Noise at QPC2

$$G_{2u}^{<}(\tau) = \langle \psi_{2u}^{\dagger}(t+\tau, x_0)\psi_{2u}(t, x_0) \rangle$$

use vertex representation:

$$\psi_{\eta}(x) = \frac{1}{\sqrt{2\pi\alpha}} e^{i\phi_{\eta}(x)}$$

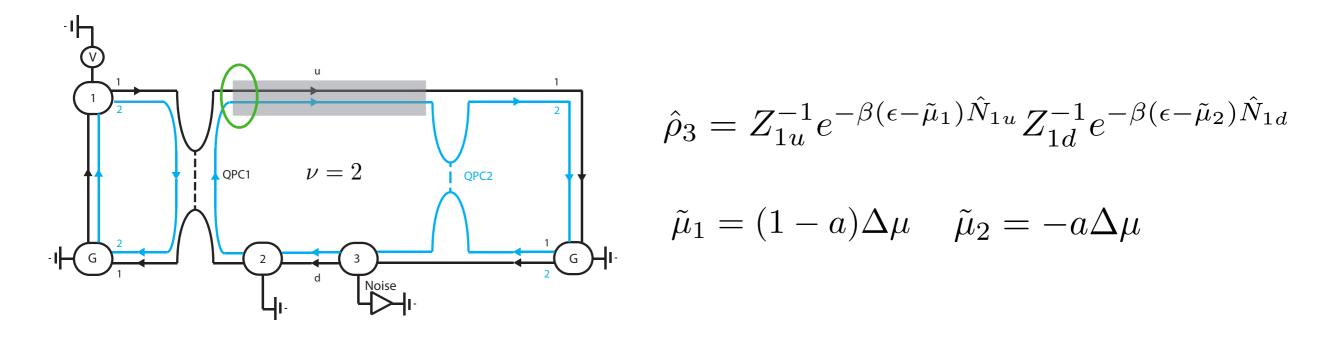
$$= G_0^{<}(\tau) \langle e^{\sum_q \lambda_{1u}^{\star}(q,t,\tau)b_{1u,q}^{\dagger}} e^{-\sum_q \lambda_{1u}(q,t,\tau)b_{1u,q}} \rangle_1$$

$$G_0^{<}(\tau) = \frac{1}{2\pi} \frac{1}{(-i\,\tilde{v}_1\tau + \alpha)^{\sin^2\theta}} \frac{1}{(-i\,\tilde{v}_2\tau + \alpha)^{\cos^2\theta}}$$

$$\lambda_{1u,q}(t,\tau) = i \sqrt{2\pi/qL} e^{iq(x_0 - \tilde{u}_2 t)} \left[e^{-i\tilde{u}_2 q\tau} s_q(t+\tau) - s_q(t) \right]$$



After QPC1, free electrons have a double step distribution



CONNECT INCOMING AND OUTGOING STATES

We can now compute the non-Eq. bosonic distribution in RPA

 $\omega B_{\eta}(\omega) = (a^2 + (1-a)^2)\omega n_B(\omega) + a(1-a)[(\omega + \Delta\mu)n_B(\omega + \Delta\mu) + (\omega - \Delta\mu)n_B(\omega - \Delta\mu)]$

In agreement with the Keldysh approach



Using the non-equilibrium Bosonic distribution

$$E_B = \int_0^\infty \frac{d\omega_q}{\hbar v_1} \omega_q B(\omega_q) \xrightarrow{T \to 0} \frac{\Delta \mu^2}{2\hbar v_1} a(1-a)$$

We define an effective temperature by considering the energy of a 1d equilibrium system

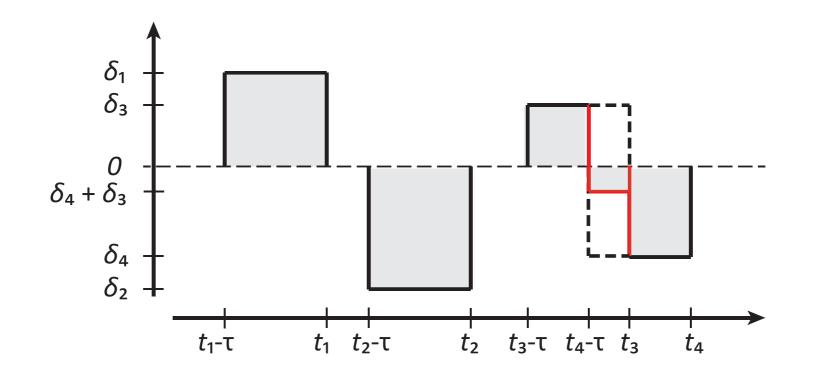
$$E_{B,eq} = \int_0^\infty \frac{d\omega_q}{\hbar v_1} \omega_q B(\omega_q) = \frac{(\pi K_B T_b)^2}{6\hbar v_1}$$

$$T^* = \frac{eV}{\pi k_B} \sqrt{3/2 a(1-a)}$$
 Interaction independent



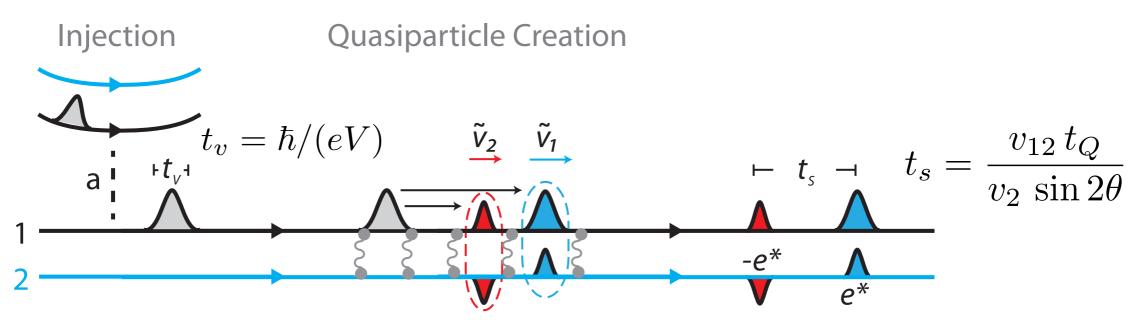
$$\left\langle e^{\sum_{q} \lambda_{1u}^{\star}(q,t,\tau) b_{1u,q}^{\dagger}} e^{-\sum_{q} \lambda_{1u}(q,t,\tau) b_{1u,q}} \right\rangle = \frac{\det\left[1 + (e^{-i\delta_{\tau}(t)} - 1)f(\epsilon)\right]}{\det\left[1 + (e^{-i\delta_{\tau}(t)} - 1)\theta(-\epsilon)\right]} = \bar{\Delta}_{\tau}(\delta)$$

scattering phase
$$\delta_{\tau} = 2\pi \frac{e^*}{e} \omega_{\tau}(t, x_0)$$
 window function
interactions $e^* = e/2 \sin(2\theta)$

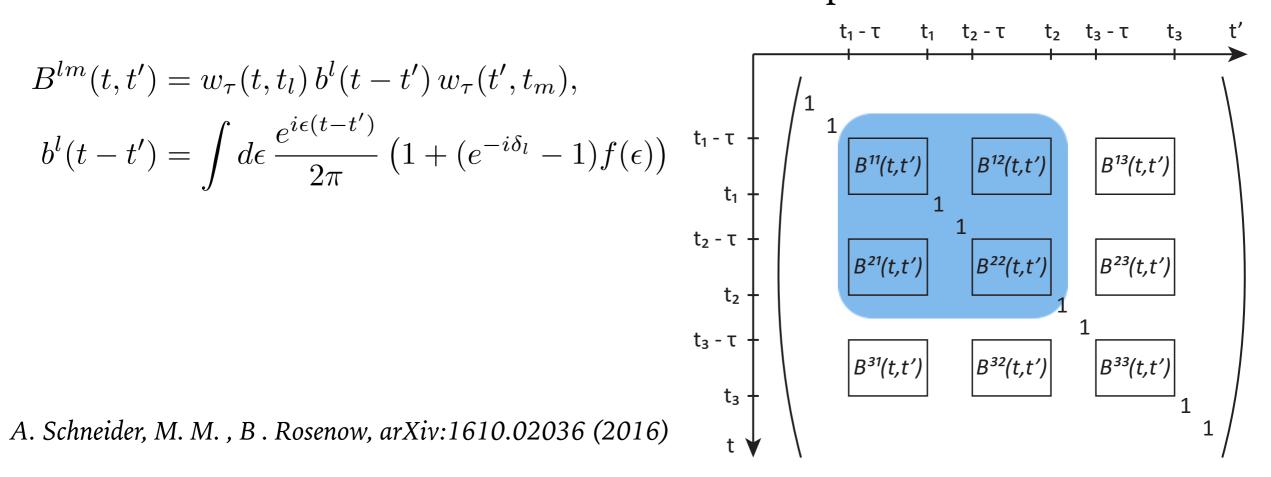


M. M., B. Rosenow, PRL 111, 136807 (2013)

FUNCTIONAL DETERMINANTS AND REGIMES

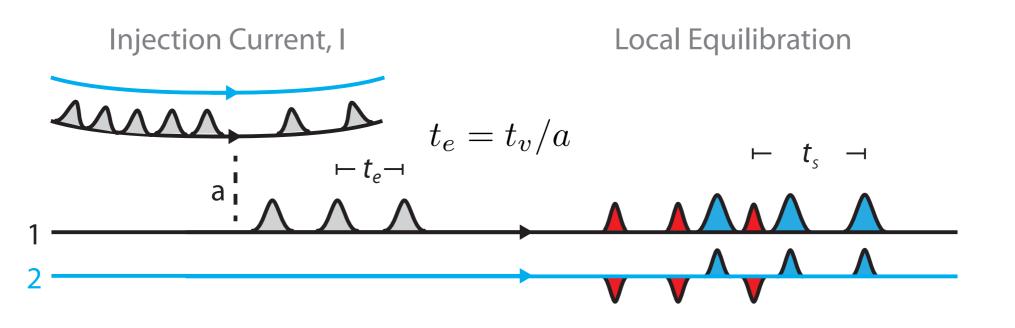


In general, we need to treat time and energy as operators $[\hat{t}, \hat{\epsilon}] = i\hbar$ The determinant has a block Toeplitz form





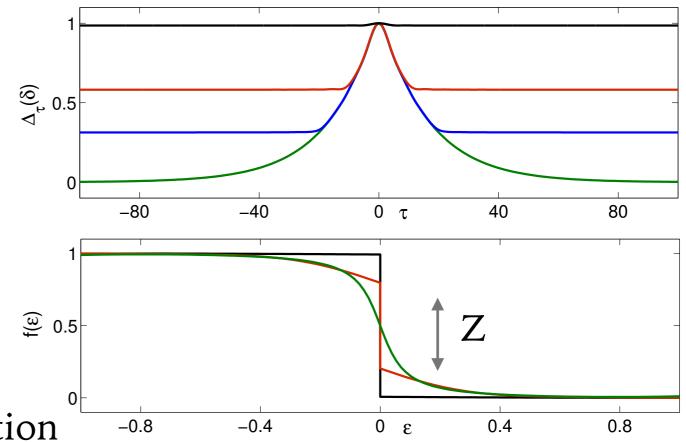
A. Schneider, M. M., B. Rosenow, arXiv:1610.02036 (2016)



Regime A: $t_s \leq t_v$ (overlapping) The two modes are nearly free

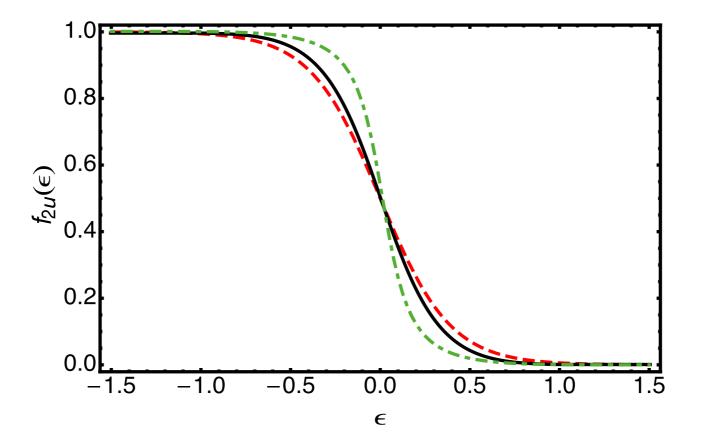
Regime B: $t_s \gg t_v$ separated quasiparticles

Regime C: $t_s \gg t_e$ quasiparticles mixing, prethermalization



The determinant factorises as a product of single pulse determinants

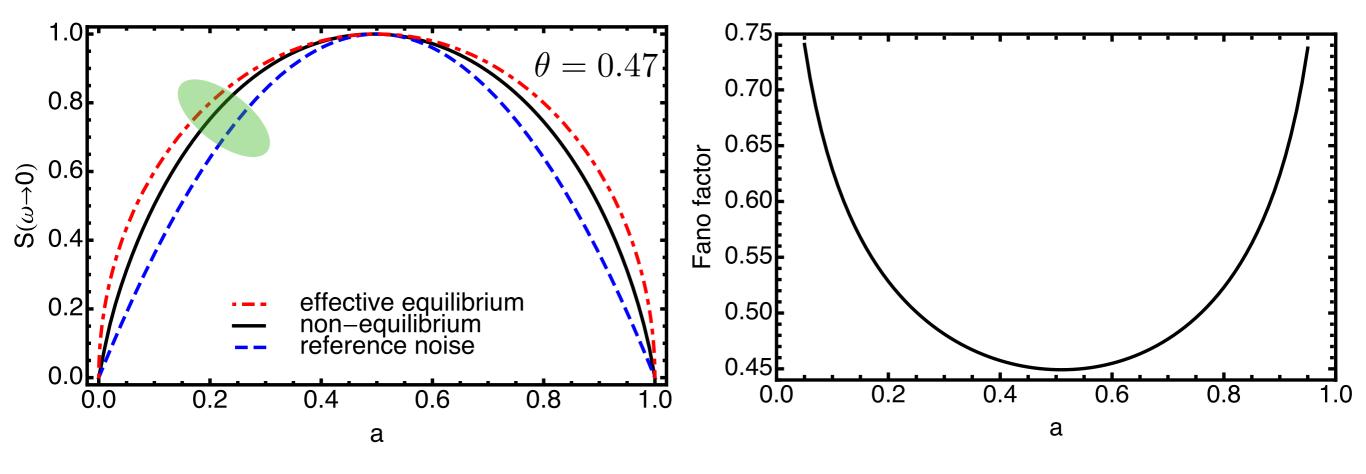
 $G_{2u}^{<}(\tau) = G_0^{<}(\tau) |\bar{\Delta}_{\tau}|^2$



Only Gaussian contribution. Non-equilibrium bosonization.

Effective equilibrium at $T^* = \frac{eV}{\pi} \sqrt{(3/2)a(1-a)}$





Reference Noise

$$S_{\rm ref}(\omega \to 0) = 4 e p a (1-a) \frac{e^2}{h} V$$

non-interacting electrons along a single edge.

Fano = 0.45 at $\theta = 0.47$ a = 1/2

Form factor $[a(1-a)]^{\gamma_1}$

$\gamma_1 = 1$	Non	Non interacting	
	—1	1	

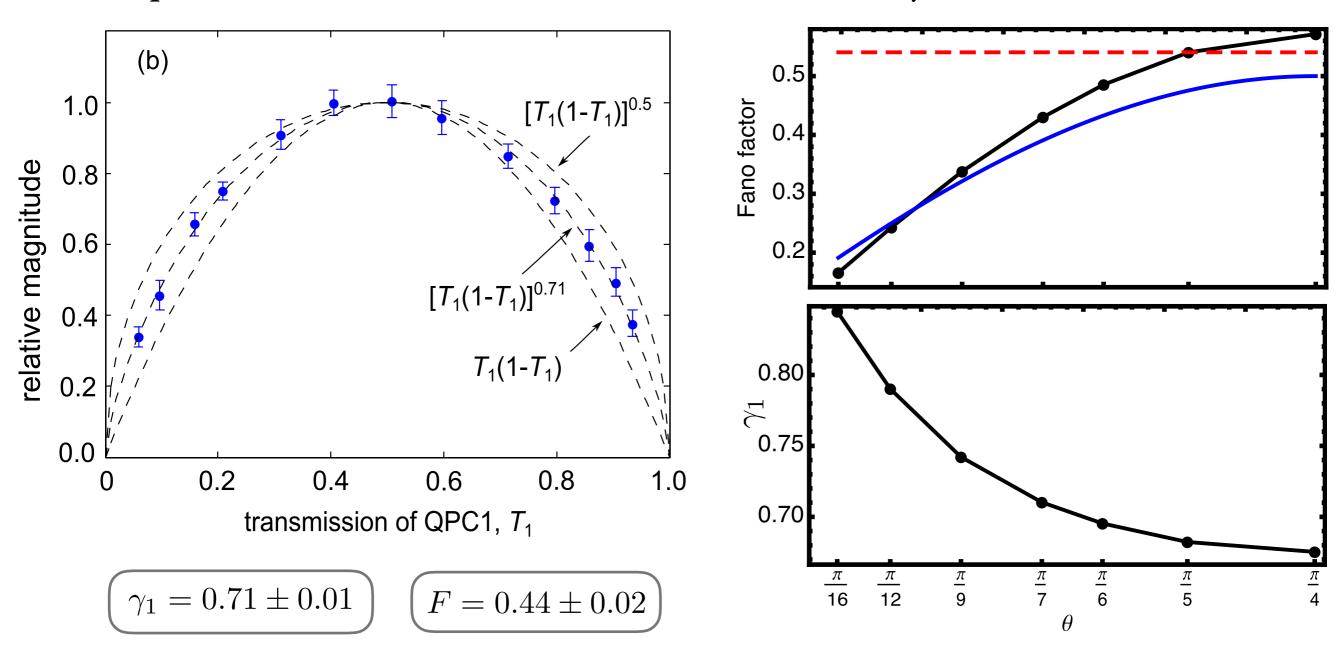
$$\gamma_1 = 0.5$$
 Thermal

$$\gamma_1 = 0.71$$
 prethermalized

INTERACTIONS AND FORM FACTOR (a = 1/2)

Experiment





H. Inoue et al. PRL **112**, 166801 (2014)

BREAK-DOWN OF PERTURBATION THEORY

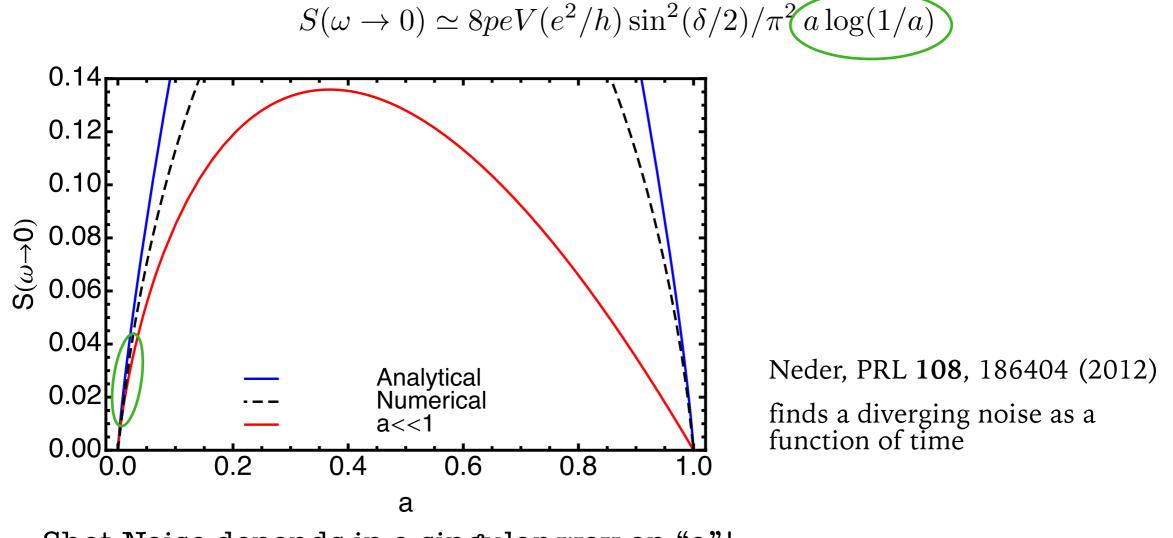
Would perturbation theory for a < <1 and p < <1 have been a valid alternative?

Consider the $eV\tau \gg 1$ regime, then we can approximate the determinant as :

$$\bar{\Delta}_{\tau}(\delta) \simeq e^{-|\tau|/(2\tau_{\phi})}$$

$$\tau_{\phi}^{-1} = -eV/(2\pi)\log(1 - 4a(1 - a)\sin^2(\delta/2))$$

where only leading terms have been kept. The noise is then given by :



Shot Noise depends in a singular way on "a"!



Equilibration of edge mode 2u is characterised by transitions between different power laws of shot-noise signatures

Regime A:
$$S(\omega \to 0) \propto a \sin(2\theta)^2 t_s^2 (eV)^3$$

Regime B: $S(\omega \to 0) \propto a \, eV \, \log(t_s \, eV)$

Regime C: $S(\omega \to 0) \propto a \, eV \, \log(1/a)$

Non-equilibrium driven charge fractionalization

Relation between fractionalization and equilibration regimes

Non-equilibrium bosonization is needed to access the steady state

Equilibration can be probed via shot noise measurements

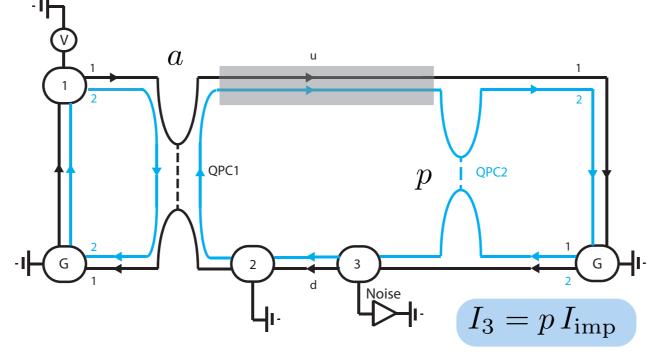
REFERENCES

M. Milletari , B . Rosenow, PRL 111, 136807 (2013)

A. Schneider, M. Milletari , B . Rosenow, arXiv:1610.02036 (2016)

H. Inoue et al. PRL **112**, 166801 (2014)

SHOT NOISE FROM A SIMPLE POISSONIAN MODEL



1. Assumption: fractional charges are well separated

Impinging current: $I_{imp} = e^* \frac{\langle N_{2u,e^*} \rangle}{\Delta t}$ $\langle N_{2d,e} \rangle = \left(p \frac{e^*}{e} \right) \langle N_{2u,e^*} \rangle$ Measured current: $I_3 = e \frac{\langle N_{2d,e} \rangle}{\Delta t}$ $P \to p \frac{e^*}{e}$

2. Assumption: $\forall e \text{ added on } 1u \exists e^* \text{ on } 2u$

$$\langle N_{1u,e} \rangle = \langle N_{2u,e^*} \rangle$$

$$I_{1u} = e \, \frac{\langle N_{1u,e} \rangle}{\Delta t} = a \frac{e^2}{h} V \Rightarrow \langle N_{1u,e} \rangle = a \frac{eV}{h} \Delta t.$$

3. Assumption: Poissonian distribution

$$S_{\text{frac}} = 2e^2 \frac{\langle N_{2d,e}^2 \rangle - \langle N_{2d,e} \rangle^2}{\Delta t} = 2e^2 \frac{\langle N_{2d,e} \rangle}{\Delta t} = 2e^* a \, p \, I$$

Gaussian approximation (RPA)

 $\left\langle e^{\sum_{q}\lambda_{1u}^{\star}(q,t,\tau)b_{1u,q}^{\dagger}}e^{-\sum_{q}\lambda_{1u}(q,t,\tau)b_{1u,q}}\right\rangle = e^{-\sum_{q}\lambda_{1u,q}^{\star}\lambda_{1u,q}}\left\langle b_{1u,q}^{\dagger}b_{1u,q}\right\rangle$

Taking the convolution in energy space with $G_0^<(\tau)$ we obtain the time evolution of the momentum distribution function

