

# The positron and antiproton fluxes in Cosmic Rays

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28<sup>th</sup> february 2017

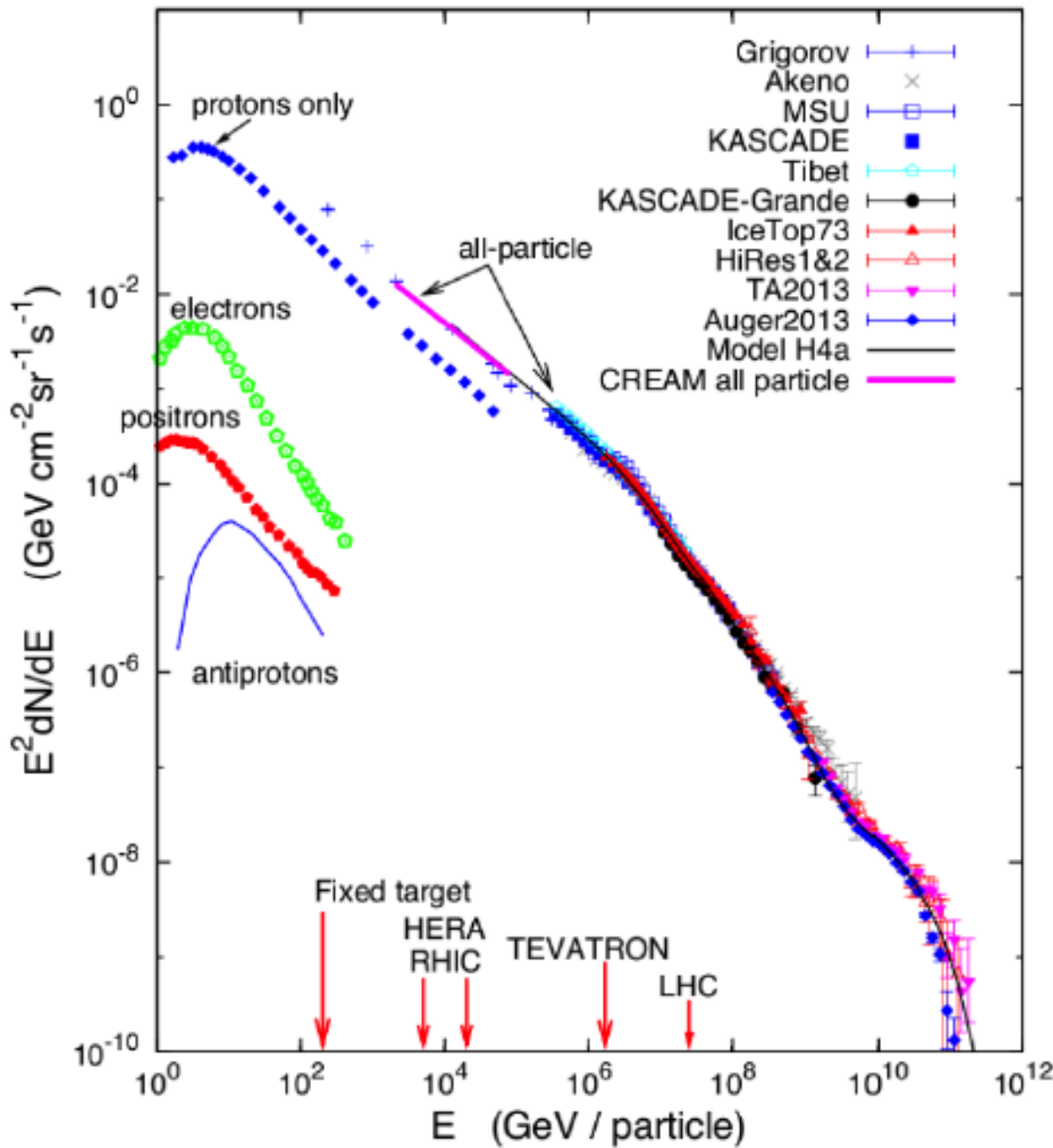
Preprint: astro-ph/1608.02018

Author: Paolo Lipari

“Interpretation of the cosmic ray  
positron and antiproton fluxes”

Recently accepted in Phys.Rev. D

Energies and rates of the cosmic-ray particles



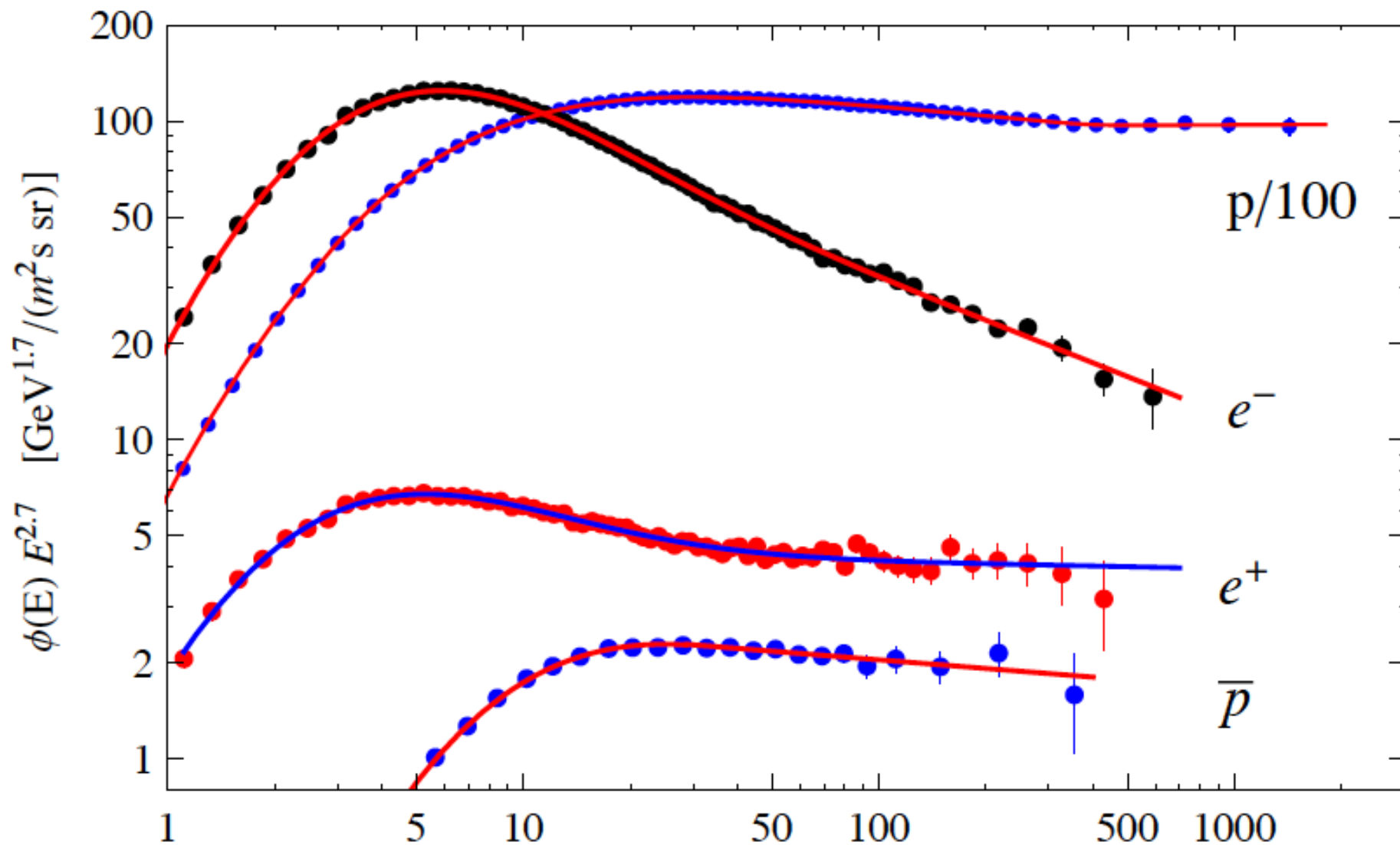
Fluxes of  
 protons  
 electrons  
 positrons  
 antiprotons

$$\phi(E) \times E^2$$

Figure from Tom Gaisser

AMS02 measurements:  
 (antiprotons from AMS days)

$p$     $e^-$     $e^+$     $\bar{p}$



$\phi(E) \times E^{2.7}$

$E$  (GeV)

# Scientific motivations

for the study of the fluxes of antiparticles  
(positrons and antiprotons) in cosmic rays:

Indirect Search for *Dark Matter* in the form  
of Weakly Interacting Massive Particles [WIMP's]

Understanding the “*High Energy Universe*”  
[The ensemble of astrophysical object, environments  
and mechanisms that generates very high energy  
relativistic particles in the Milky Way and in the  
entire universe.]

# 1. An anomalous positron abundance in cosmic rays with energies 1.5-100 GeV

PAMELA Collaboration (Oscar Adriani (Florence U. & INFN, Florence) *et al.*). Oct 2008. 20 pp.

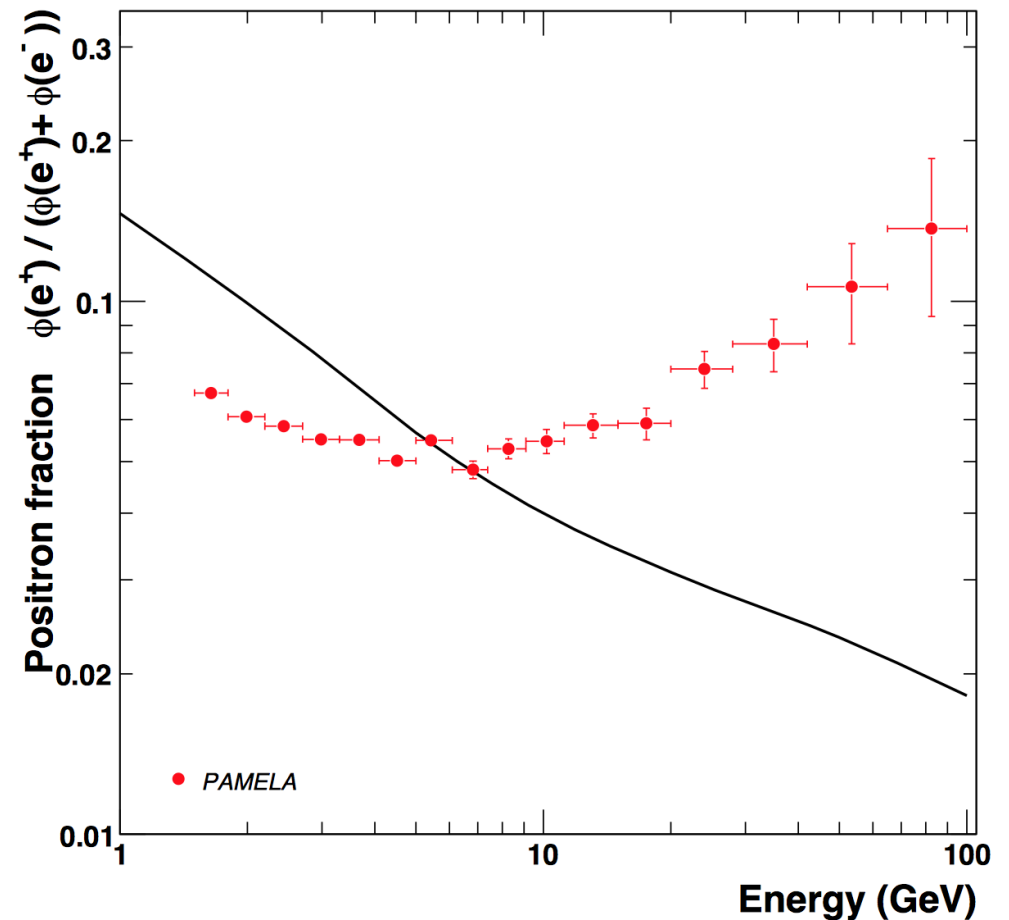
Published in **Nature** 458 (2009) 607-609

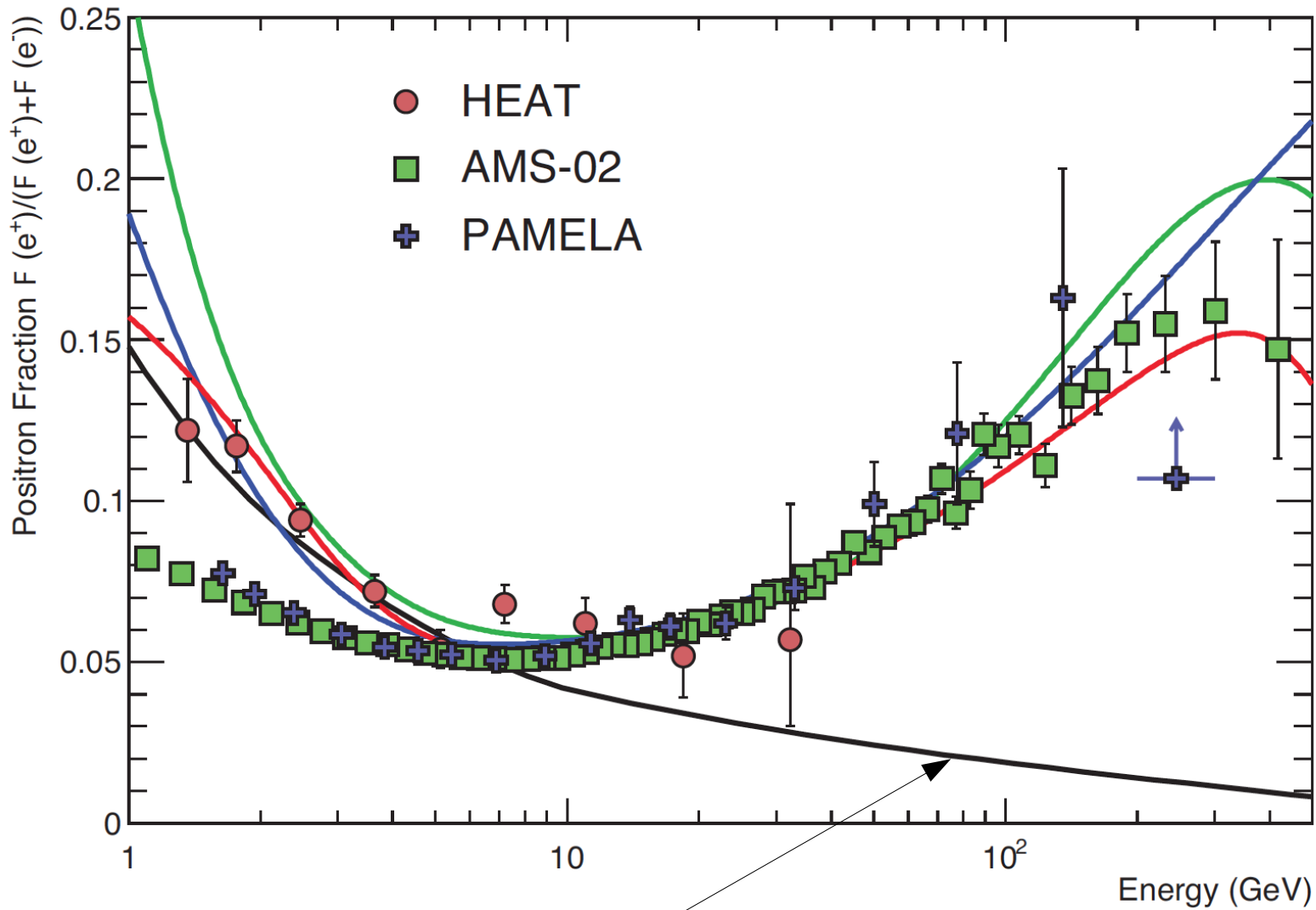
DOI: [10.1038/nature07942](https://doi.org/10.1038/nature07942)

e-Print: [arXiv:0810.4995](https://arxiv.org/abs/0810.4995) [astro-ph] | [PDF](#)

[References](#) | [BibTeX](#) | [LaTeX\(US\)](#) | [LaTeX\(EU\)](#) | [Harvmac](#) | [EndNote](#)  
[ADS Abstract Service](#)

[Record dettagliato](#) - [Citato da 1782 record](#) 1000+





Standard mechanism of positron production



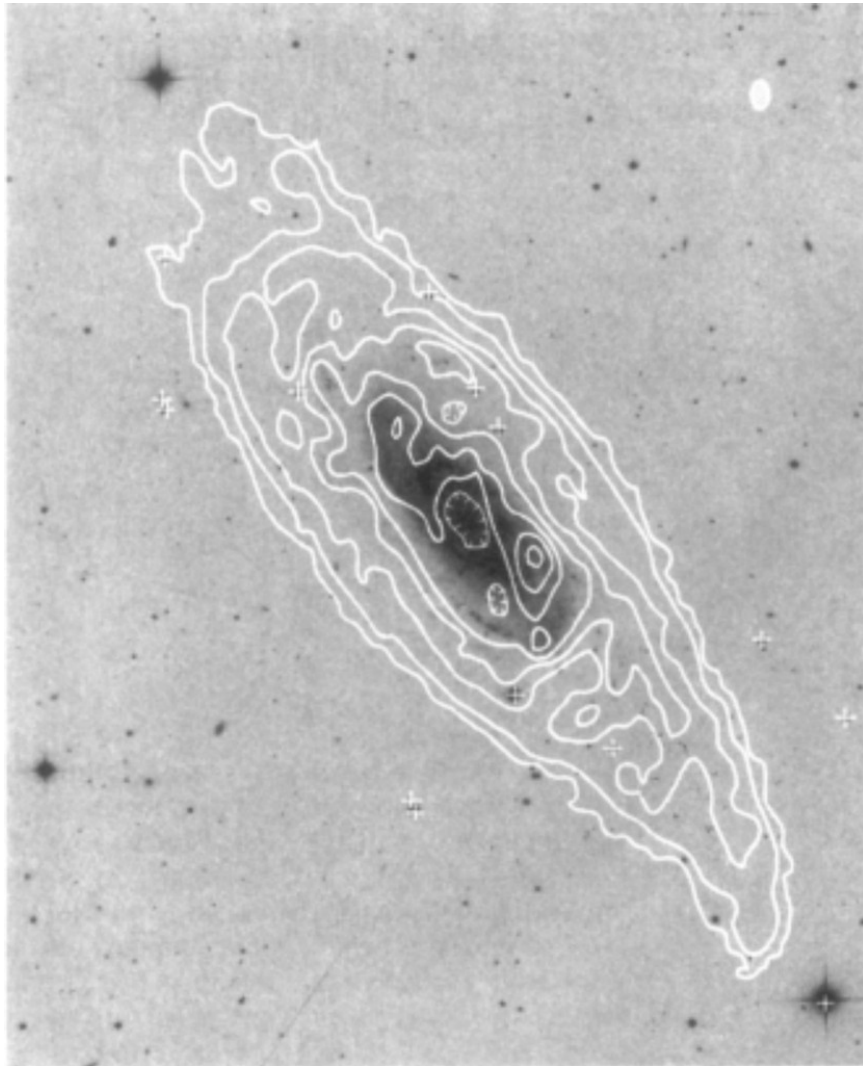
Cold Dark Matter  
Cornelia Parker. (Tate Gallery, London)

Dynamical evidence  
for Dark Matter  
at different length-scales  
[Galaxies,  
Clusters of Galaxies,  
the entire universe]

What is the nature  
of the Dark Matter ?

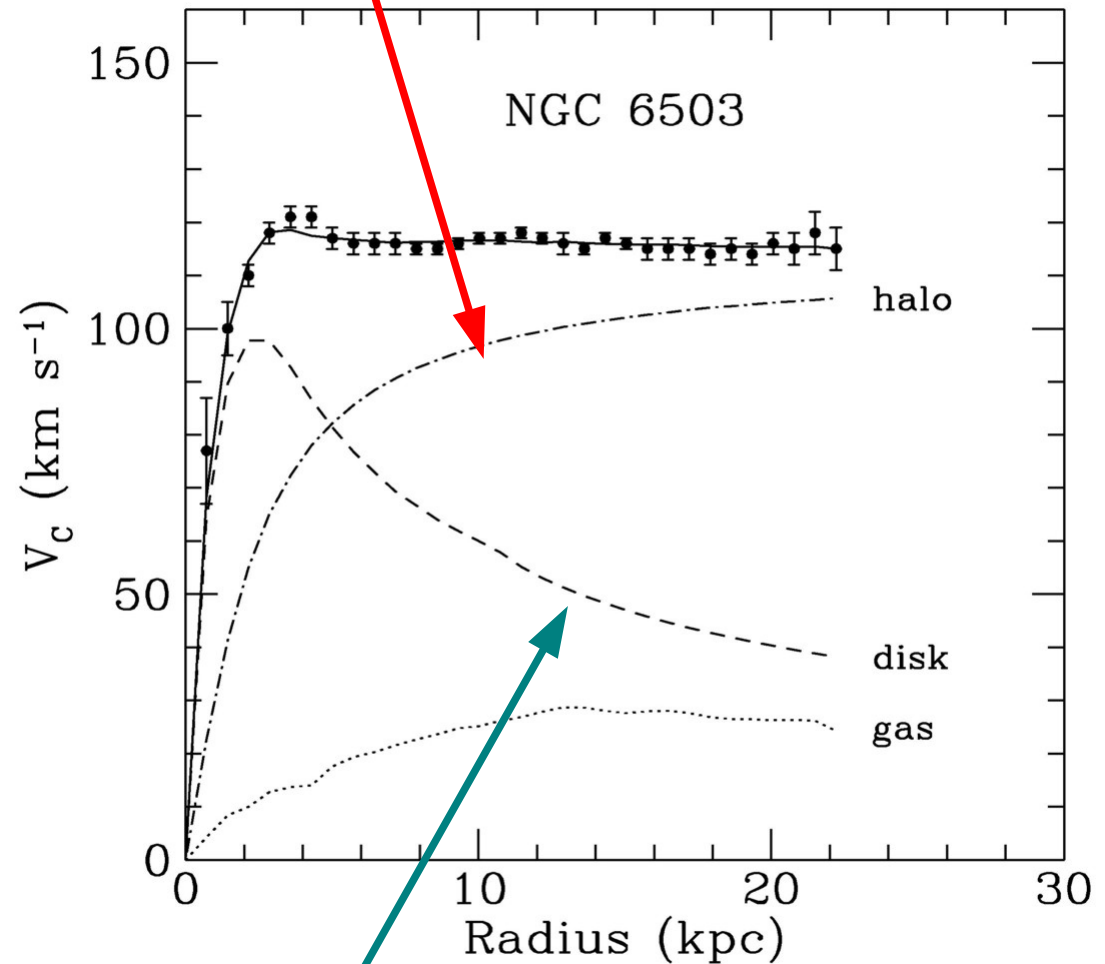


# Dark Matter Halo in spiral Galaxies



Spiral galaxy NGC 3198  
overlaid with hydrogen  
column density [21 cm]  
[ApJ 295 (1995) 305]

Extra “invisible” component



Expected from luminous  
Matter in the disk  
(Keplerian  $1/\sqrt{r}$ )

The “thermal relic” or *WIMP paradigm* for Dark Matter

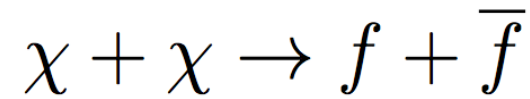
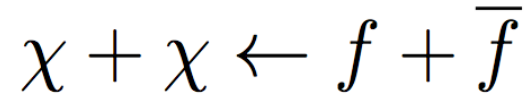
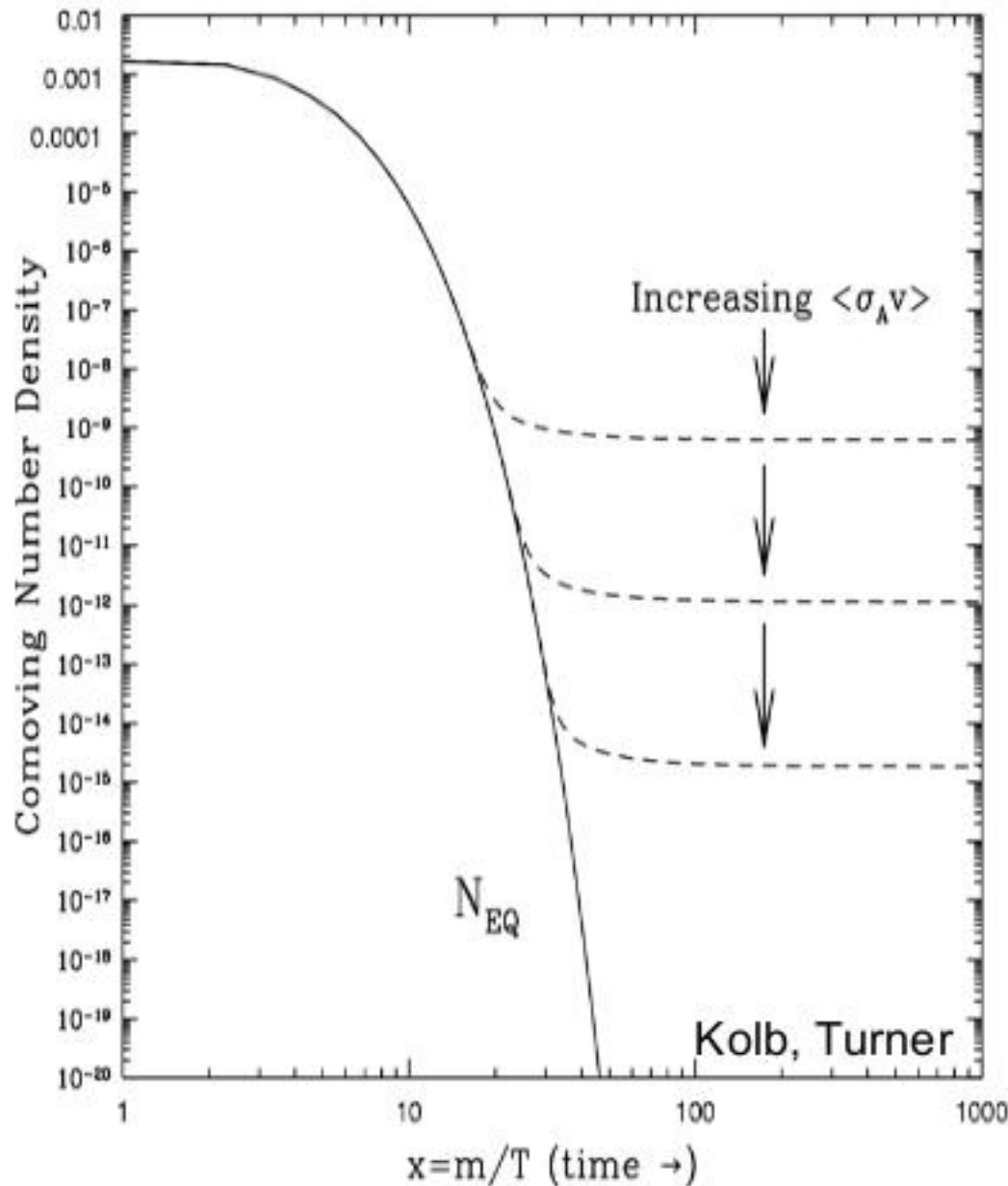
Hypothesis that the Dark Matter is formed by a (yet undiscovered) *elementary particle*

This particle was in thermal equilibrium in the early universe when the temperature was  $T \gg m_\chi$

The “relic abundance” of this particle is determined by (and is inversely proportional to) its (velocity averaged) annihilation cross section.

$$\rho_\chi \propto \frac{1}{\langle \sigma v \rangle}$$

# Concept of thermal relic [WIMP] :



Annihilation cross section  
Determines the  
“relic abundance”

$$\Omega_j^0 \simeq 0.2 \left[ \frac{3 \times 10^{-26} \text{ cm}^3 \text{ s}^{-1}}{\langle \sigma v \rangle} \right]$$

“Relic abundance” estimate in standard Cosmology  
(simplest treatment)

$$\Omega_\chi \simeq \left( \frac{16 \pi^{5/2}}{9 \sqrt{\pi}} \right) \frac{G^{3/2} T_0^3}{H_0^2 (\hbar c)^{3/2} c^3} \frac{\sqrt{g^*}}{\langle \sigma v \rangle}$$

$$\Omega_\chi \simeq 0.2$$

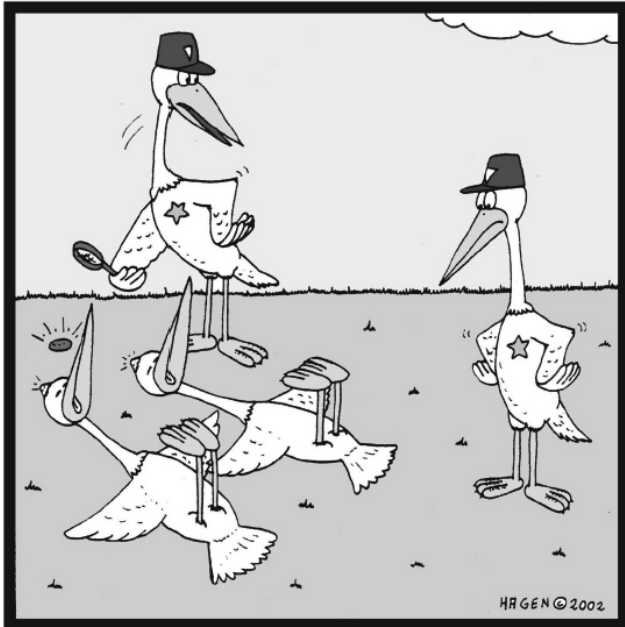
$$\langle \sigma v \rangle \simeq 3 \times 10^{-26} \frac{\text{cm}^3}{\text{sec}}$$

$$\sigma \simeq \frac{\alpha^2}{M^2}$$
$$M \simeq \frac{\hbar c}{\sqrt{\sigma/\alpha^2}} \simeq 140 \text{ GeV}$$

Connection with  
Weak (Fermi) scale ?!  
[and perhaps supersymmetry]

The “*WIMP's Miracle*”

# the WIMP's “miracle”



Unbelievable! It looks like they've both been killed by the same stone...

“Killing two birds with a single stone”

“Dark Matter Particle”

Direct observational puzzle

New particles are predicted in “beyond the Standard Model” theories, (in particular Supersymmetry) that have the DM particle properties.

Theoretical motivations (hierarchy problem)

# Supersymmetry

Fermionic degrees  
of freedom

Bosonic degrees  
Of freedom

All “internal quantum numbers”  
(charge, color,...) must be identical

$q$     $\tilde{q}$    squark

$e^{\pm}$     $\tilde{e}^{\pm}$    selectron

$g$     $\tilde{g}$    gluino

.....

# Standard Model fields

# Super-symmetric extension

fermions

quarks  
leptons  
neutrinos

Squarks  
Sleptons  
Sneutrinos

New bosons  
(scalar)  
spin 0  
S-

bosons

photon  
 $W$   
 $Z$   
gluons

photino  
Wino  
Zino

New fermions  
spin 1/2  
-ino

2 Higgs

Higgs  
 $H$   $h$

Higgsino  
 $\tilde{H}$   $\tilde{h}$

Weak  
(~100 GeV)  
Mass scale ?

1 stable  
new particle  
(R-parity conserved)

$$|\chi\rangle = c_1 |\tilde{\gamma}\rangle + c_2 |\tilde{z}\rangle + c_3 |\tilde{H}\rangle + c_4 |\tilde{h}\rangle$$

Three roads to the discovery of DM  
in the form of thermal relics (WIMP's)

$$\chi + \chi \rightarrow q + \bar{q}$$

Annihilation

$$q + \bar{q} \rightarrow \chi + \chi$$

Creation

Time reversal

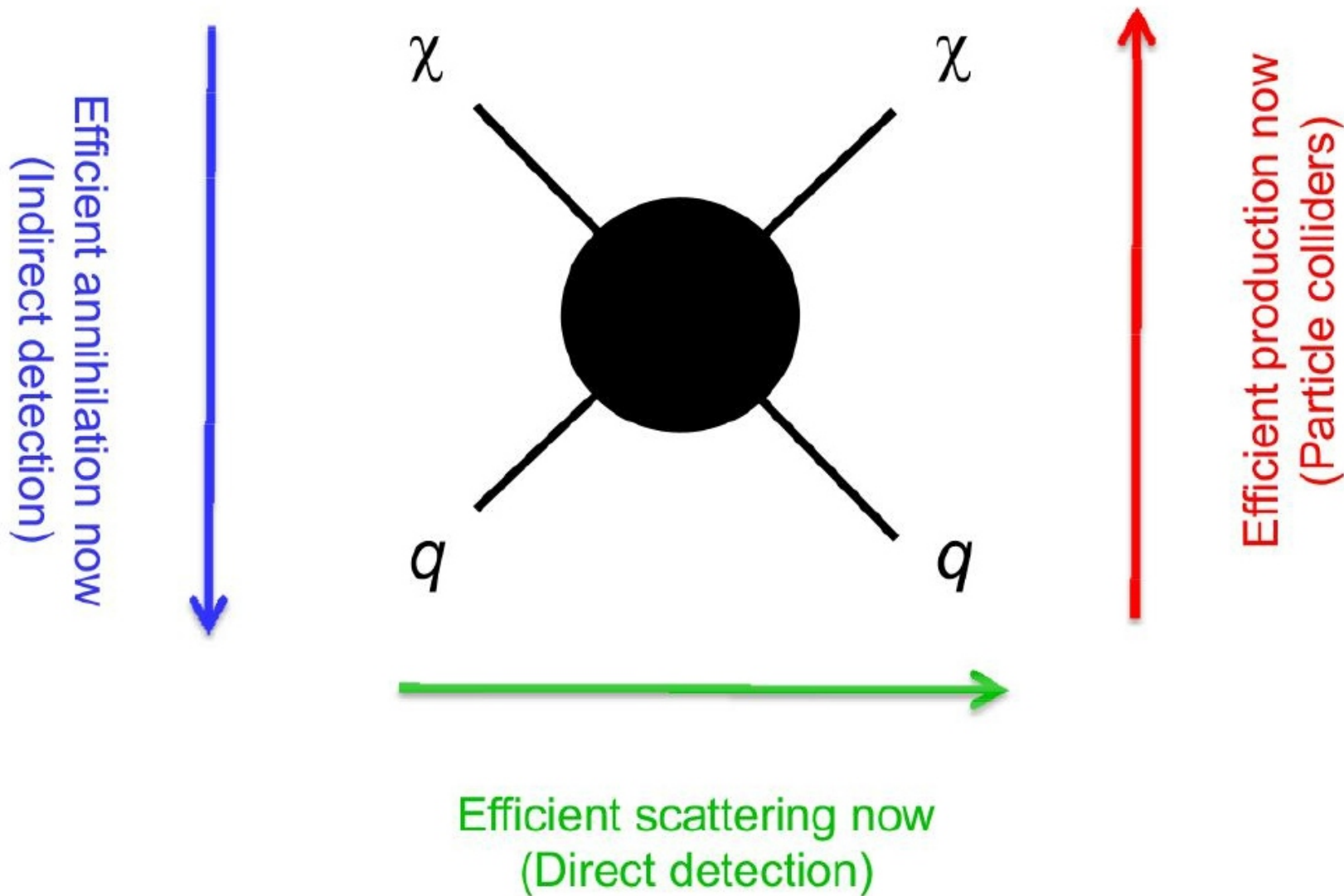
$$\chi + q \rightarrow \chi + q$$

Elastic

Crossing  
symmetry

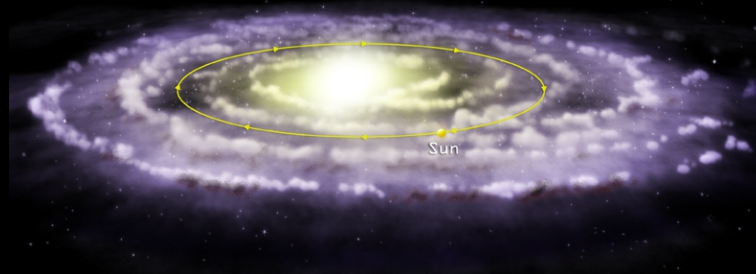


# Three roads to the discovery of DM in the form of thermal relics (WIMP's)



Indirect searches for  
DARK MATTER

Dark Matter Halo  
in our Galaxy.



# In the “WIMP paradigm” Dark Matter is NOT really dark

Self-annihilation of the DM particles that form the halo

$$n_{\chi}(\vec{x}) = \frac{\rho_{\chi}(\vec{x})}{m_{\chi}}$$

Number density  
of Dark Matter particles

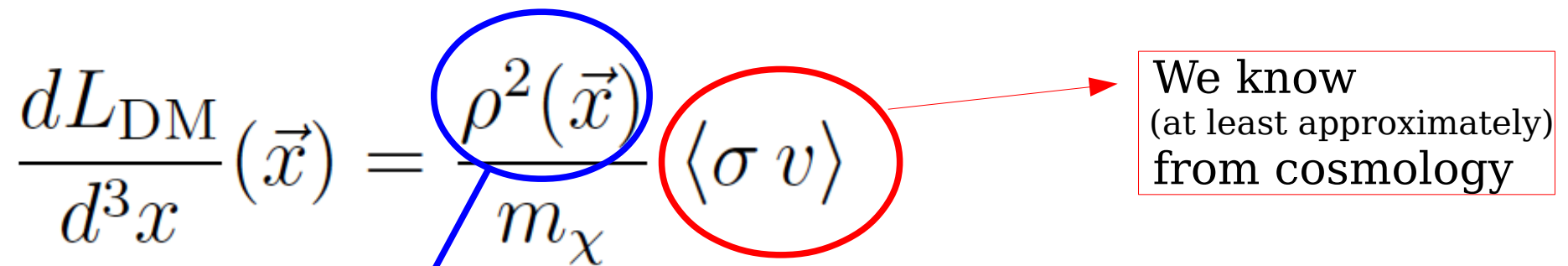
$$\frac{dN_{\chi\chi\rightarrow X}}{d^3x dt} = \frac{1}{2} n_{\chi}^2(\vec{x}) \langle \sigma v \rangle$$

Number of annihilations  
per unit time and unit volume

$$\frac{dL_{\text{DM}}}{d^3x}(\vec{x}) = \frac{\rho^2(\vec{x})}{m_{\chi}} \langle \sigma v \rangle$$

Luminosity  
per unit volume

What is the energy output of the Milky Way in DM annihilations?

$$\frac{dL_{\text{DM}}}{d^3x}(\vec{x}) = \frac{\rho^2(\vec{x})}{m_\chi} \langle \sigma v \rangle$$


We know  
(at least approximately)  
from cosmology

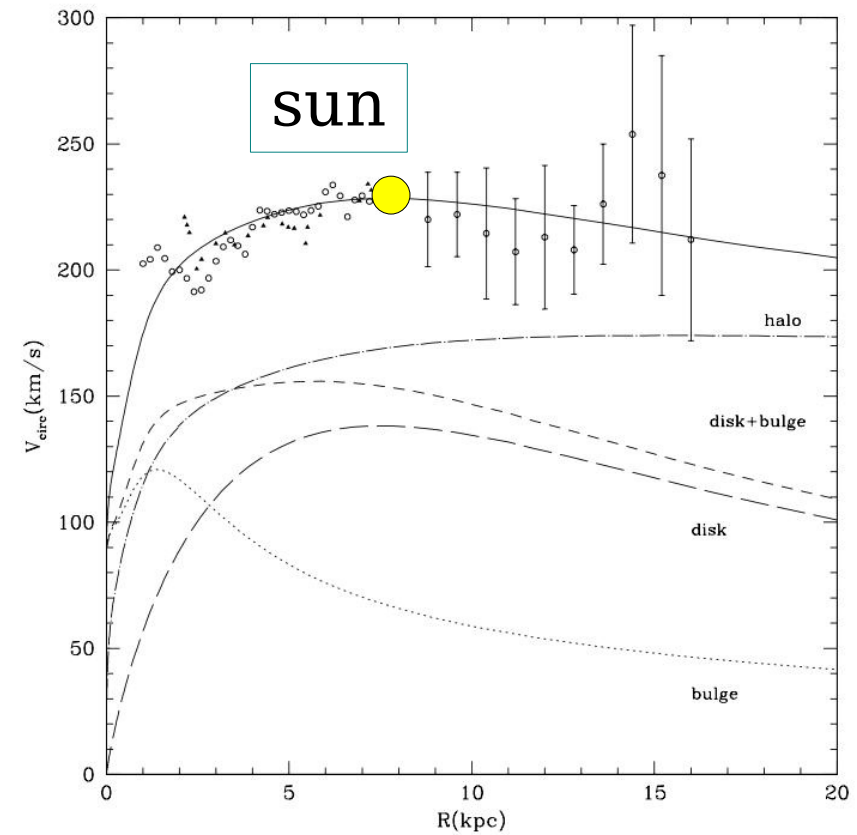
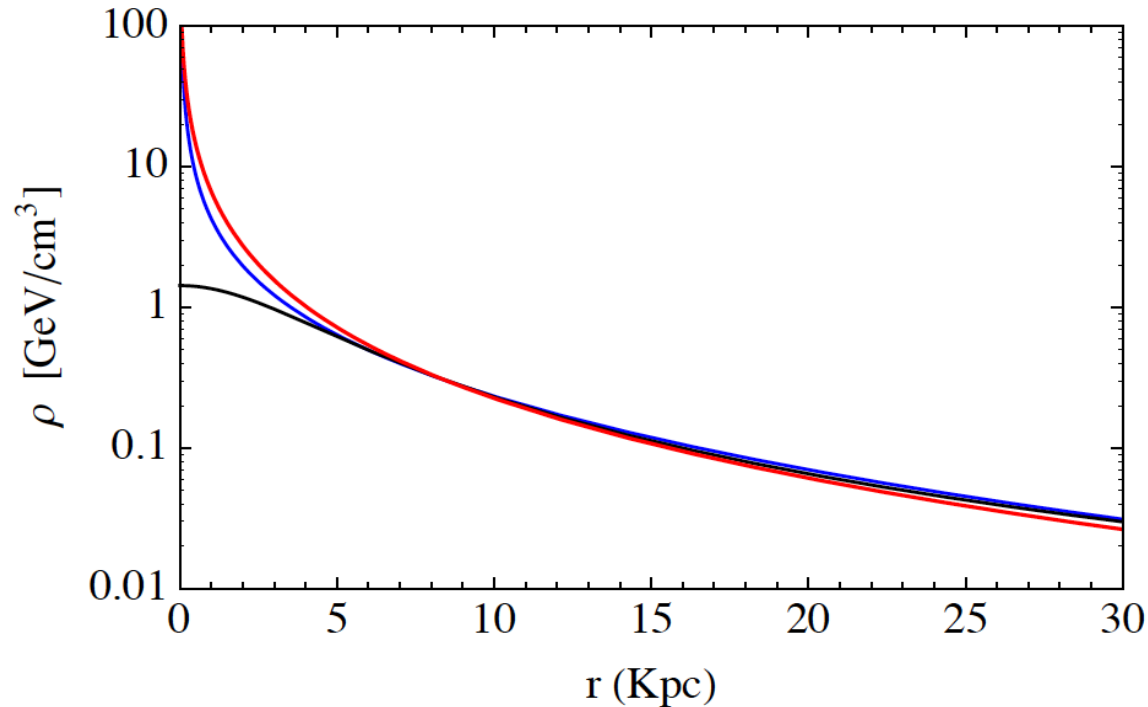
Astrophysical observations  
(rotation velocity)  
+ Modeling of galaxy formation  
for the central part of the Galaxy

# DM in the Milky Way

$$\rho_{\text{isothermal}}(r) = \frac{\rho_s}{1 + (r/r_s)^2}$$

$$\rho_{\text{NFW}}(r) = \frac{\rho_s}{(r/r_s)(1 + r/r_s)^2}$$

$$\rho_{\text{Einasto}}(r) = \rho_s \exp\left\{-\left(2/\alpha\right)\left[\left(r/r_s\right)^\alpha - 1\right]\right\}$$



Density distribution  
determined by  
Rotation velocity measurements

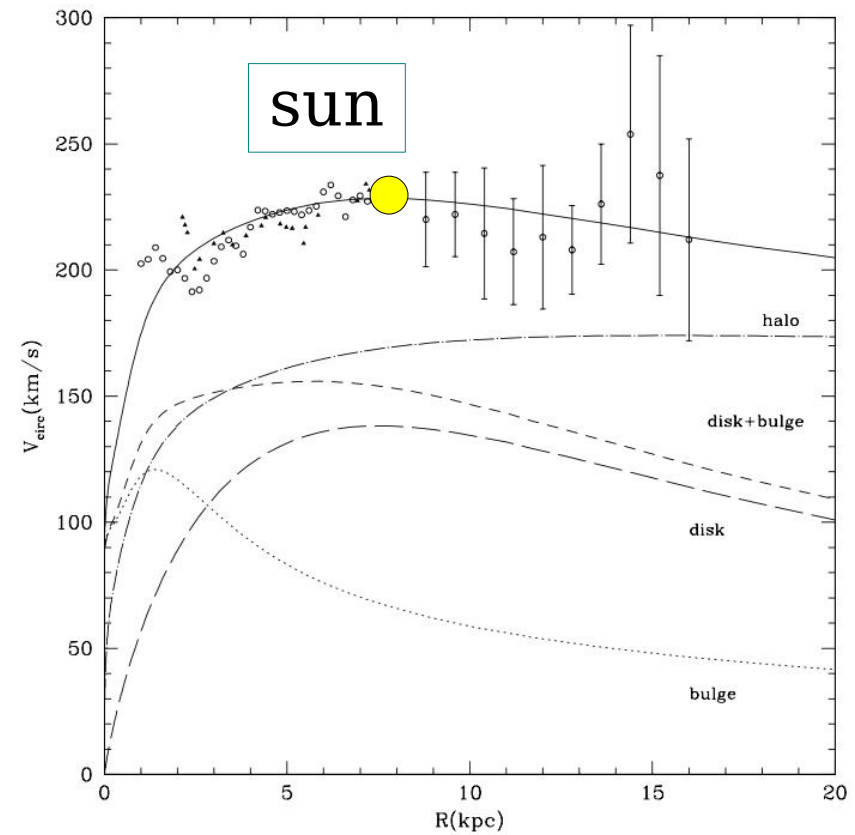
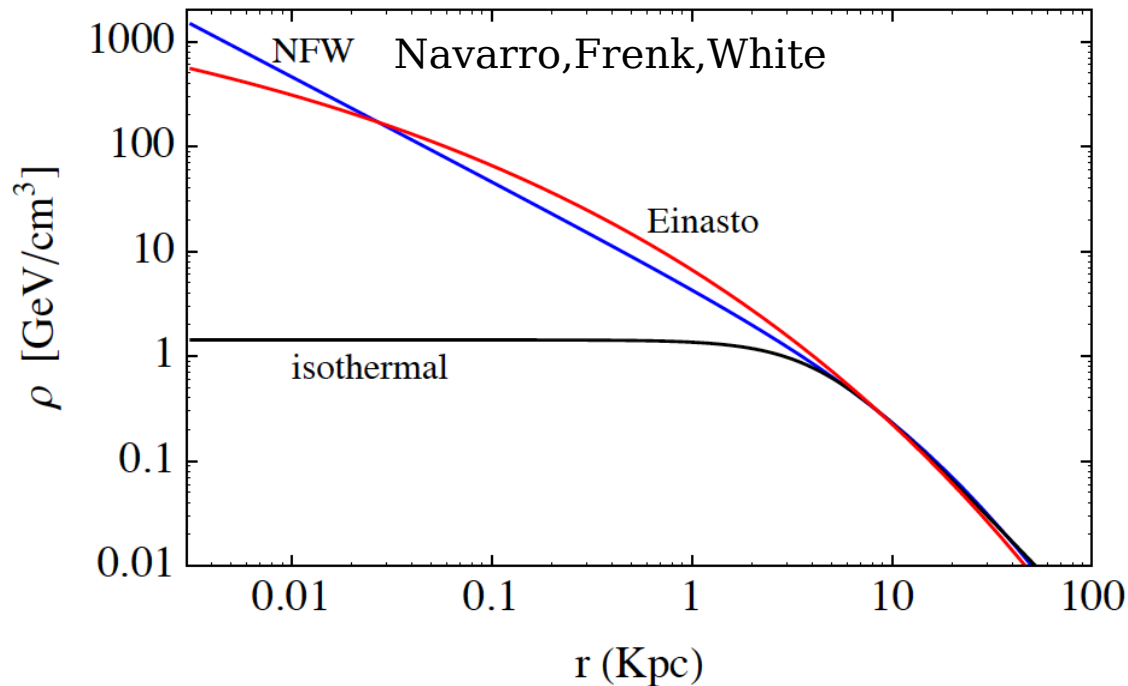
“Cusp” at GC  
derived by N-body simulations

# DM in the Milky Way

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$$\rho_{\text{NFW}}(r) = \frac{\rho_s}{(r/r_s)(1 + r/r_s)^2}$$

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Density distribution  
determined by  
Rotation velocity measurements

“Cusp” at GC  
derived by N-body simulations

# Power generated by DM annihilations in the Milky Way halo

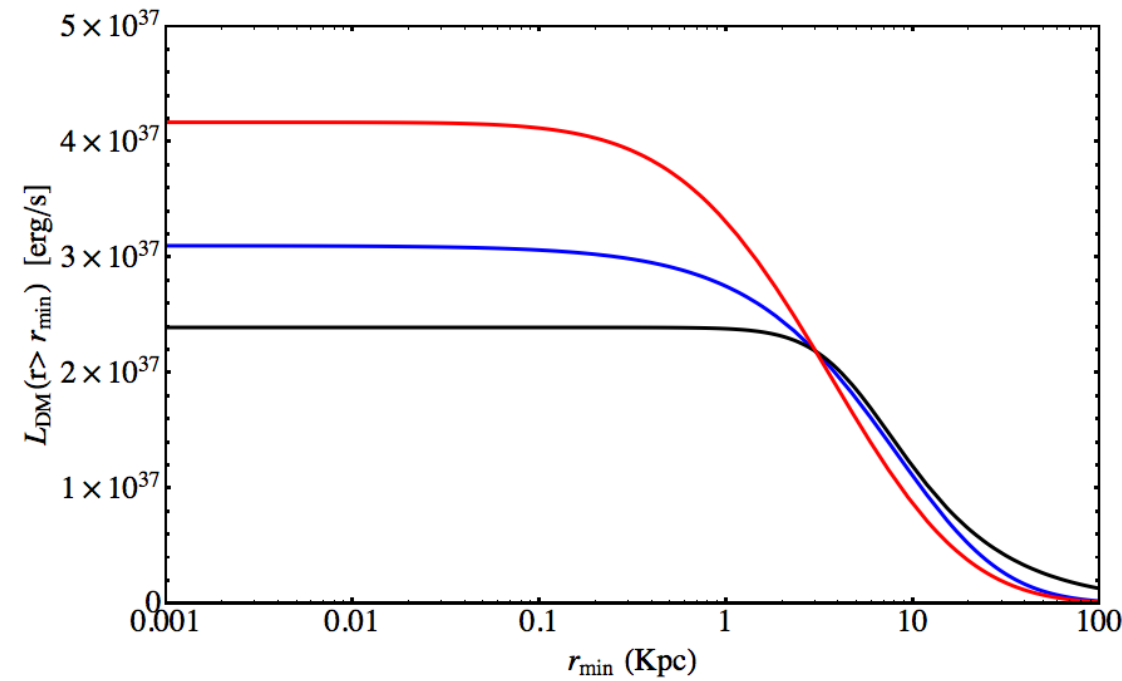
$$\frac{dN_{\chi\chi\rightarrow X}}{d^3x dt} = \frac{1}{2} n_{\chi}^2(\vec{x}) \langle\sigma v\rangle$$

$$\frac{dL_{\text{DM}}}{d^3x}(\vec{x}) = \frac{\rho^2(\vec{x})}{m_{\chi}} \langle\sigma v\rangle$$

$$L_{\text{DM}} \propto \frac{\langle\sigma v\rangle}{m_{\chi}}$$

$$L_{\text{DM}} \simeq 3 \times 10^{37} \text{ erg s}^{-1} \left[ \frac{\langle\sigma v\rangle}{3 \times 10^{-26} (\text{cm}^3\text{s})^{-1}} \right] \left[ \frac{100 \text{ GeV}}{m_{\chi}} \right]$$

[Majorana particle]



small effect of  
“Cusp” on total luminosity

For comparison:  
Power of the  
Cosmic Ray Accelerators  
in the Milky Way:

$$L_p \simeq 10^{41} \frac{\text{erg}}{\text{s}}$$

# What is the final state of DM annihilations ?

... well we do not know, we have to build a model (for example supersymmetry).

But it is plausible that the Dark Matter particle will (or could) produce all particles (and anti-particles) that we know.

Most promising for detection:

$$\chi + \chi \rightarrow \gamma \quad e^+ \quad \bar{p} \quad \nu_\alpha$$

photons

Charged  
(anti)particles

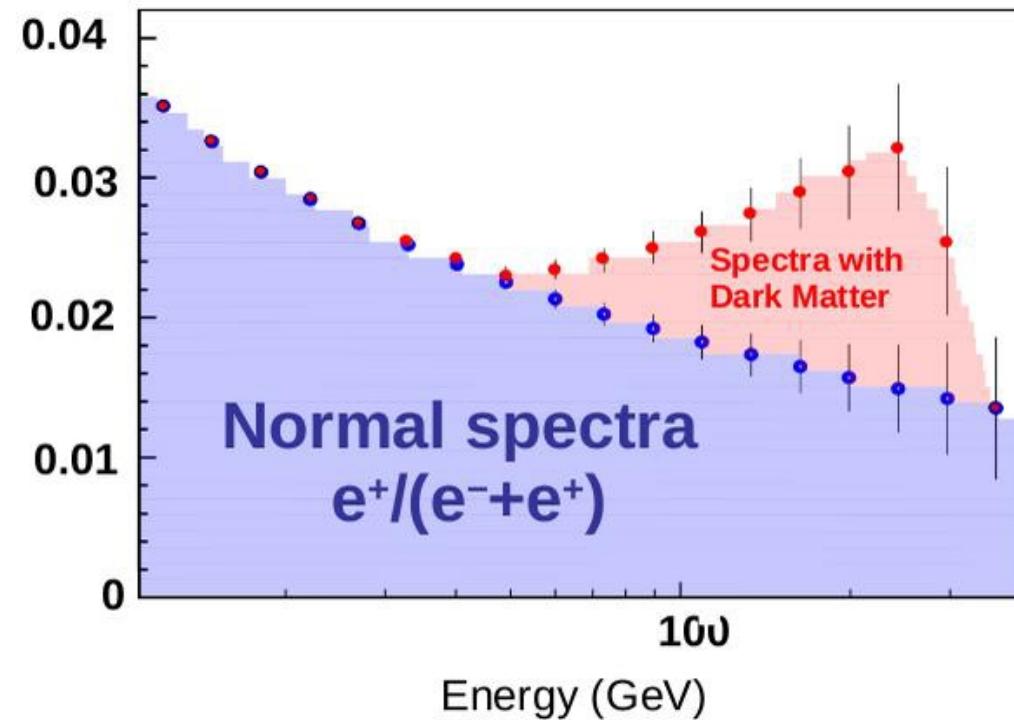
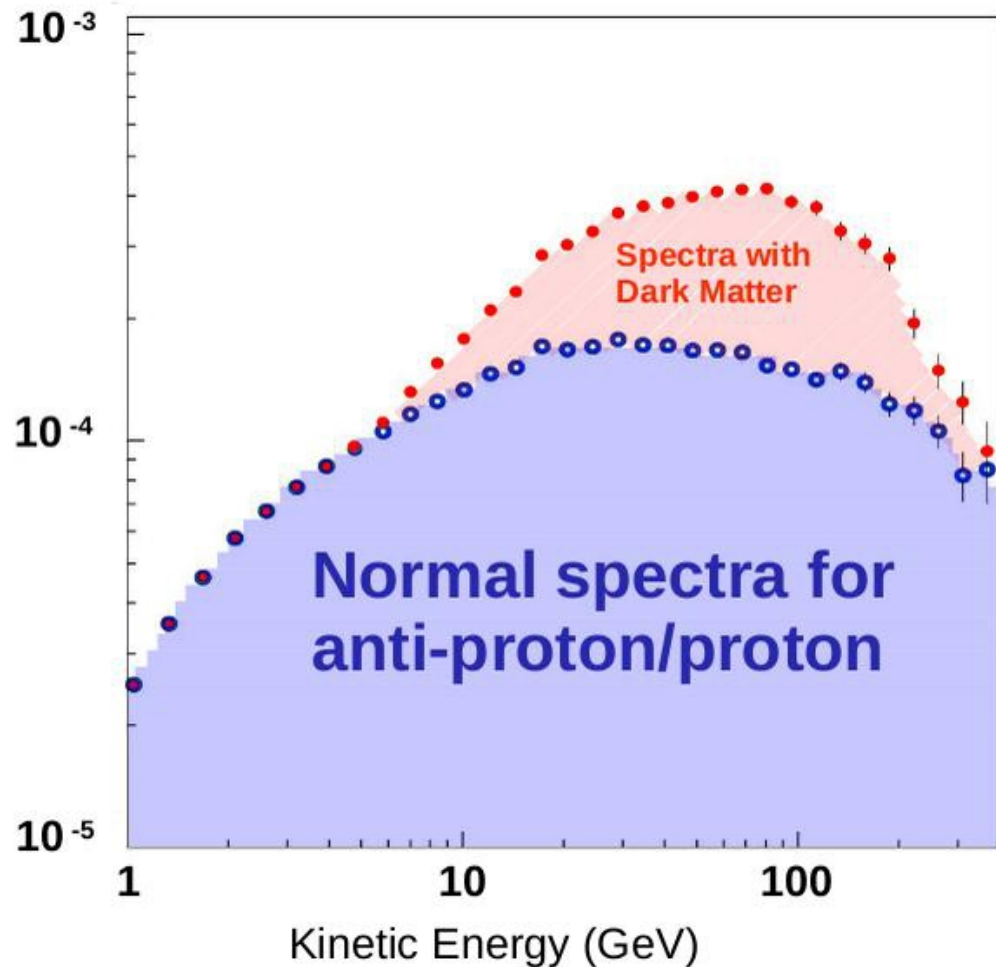
Neutrinos



Charged particles:  
positrons and  
anti-protons

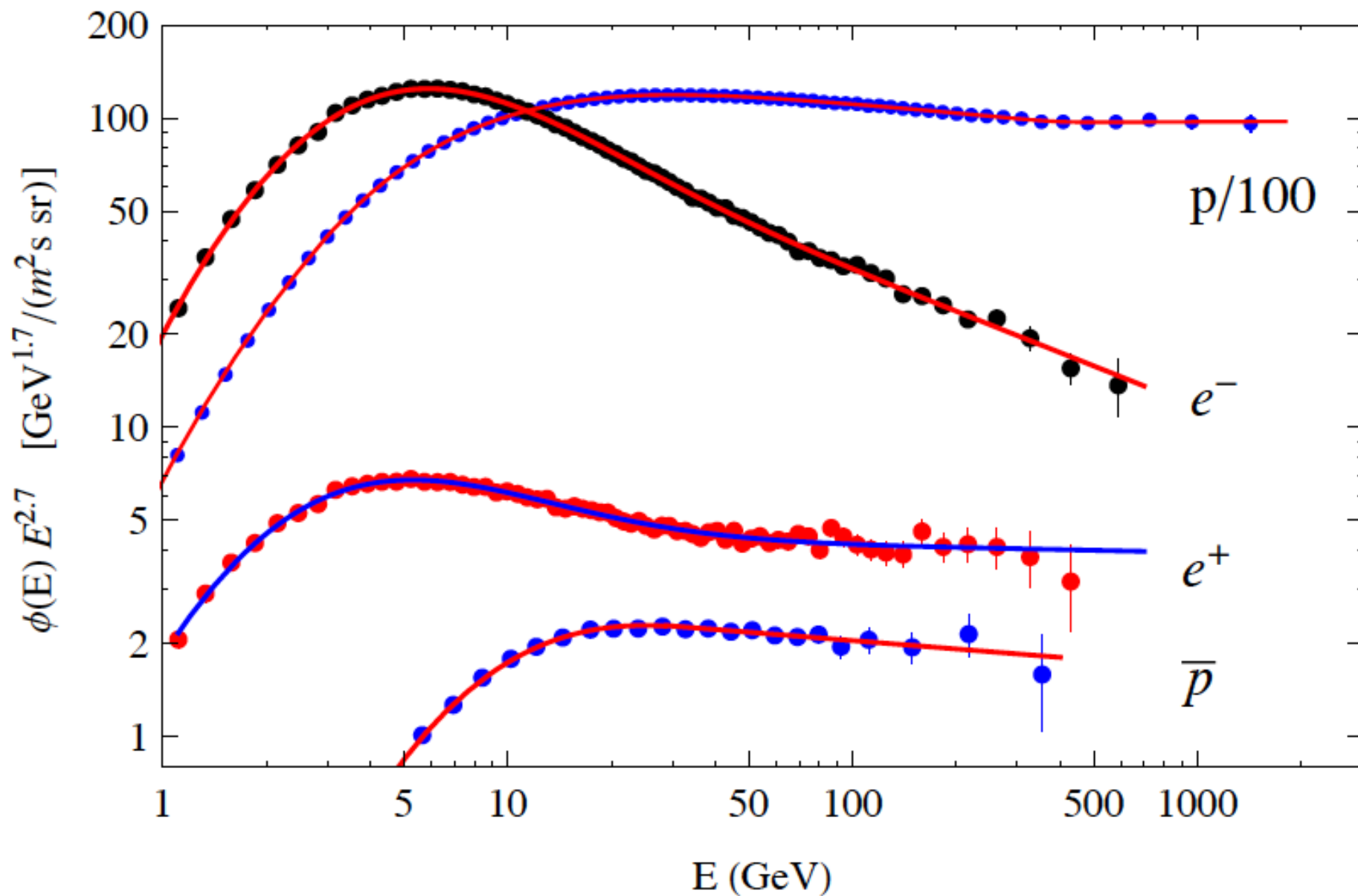
Trapped by the  
Galactic magnetic field

Extra contribution to  
the cosmic ray fluxes



AMS02 measurements:  
(antiprotons from AMS days)

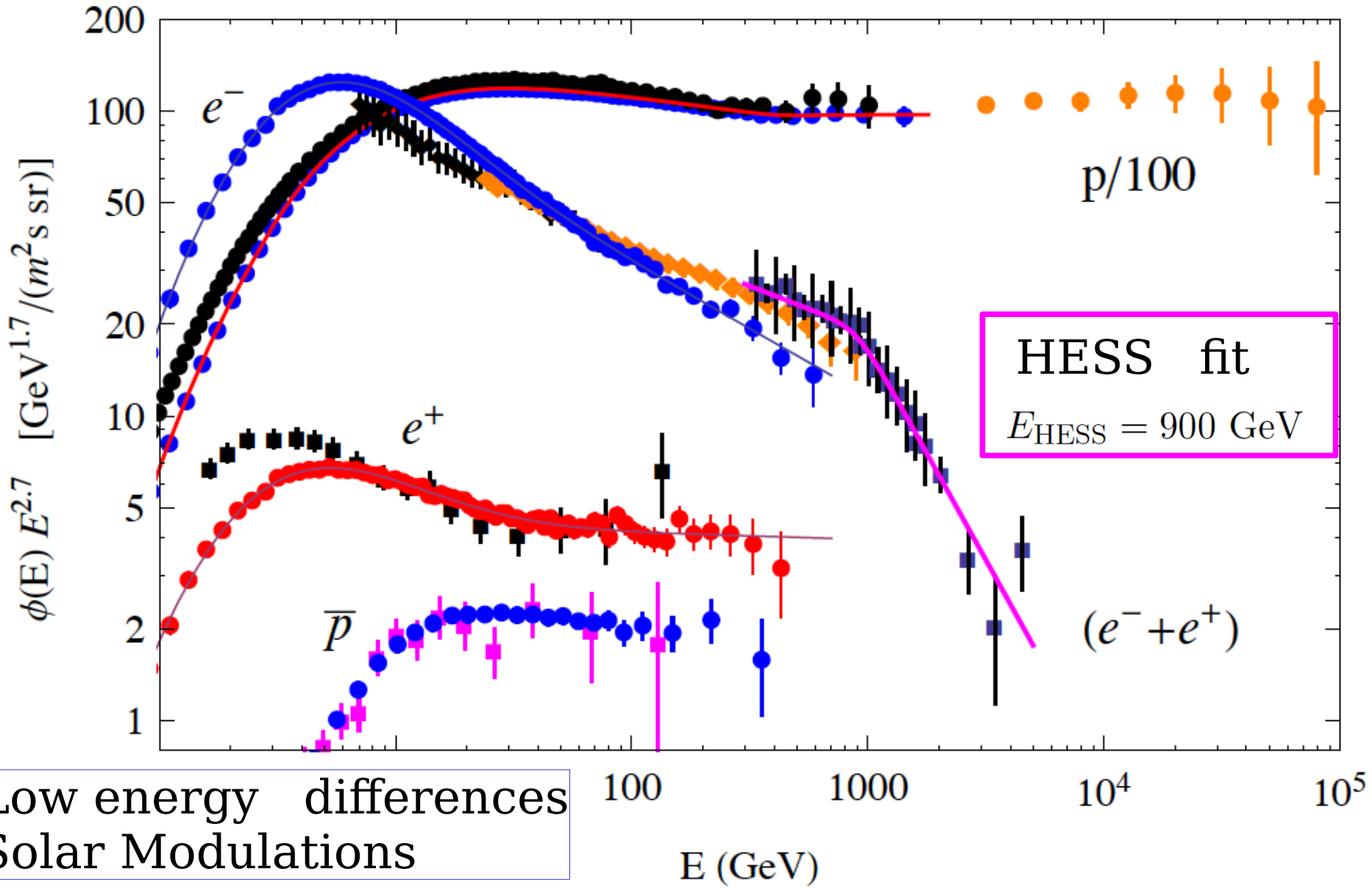
$p$   $e^-$   $e^+$   $\bar{p}$



AMS02, PAMELA, CREAM,  
FERMI, HESS

$p$   $e^-$   $e^+$   $\bar{p}$

Different shapes of the spectra



- Why the proton flux has its shape ?
- Why the electron flux has its shape ?
- Why the positron flux has its shape ?
- Why the  $\bar{p}$  flux has its shape ?

# Scientific motivations

for the study of the fluxes of antiparticles  
(positrons and antiprotons) in cosmic rays:

Indirect Search for *Dark Matter* in the form  
of Weakly Interacting Massive Particles [WIMP's]

Understanding the “*High Energy Universe*”  
[The ensemble of astrophysical object, environments  
and mechanisms that generates very high energy  
relativistic particles in the Milky Way and in the  
entire universe.]

# Formation of the Cosmic Ray Spectra

$$n(E) = \frac{4\pi}{\beta c} \phi(E)$$

Cosmic Ray Density  
at the Sun position

=

Generation  
[“release” in  
interstellar space]



Propagation  
from source to Sun

$$\phi_j(E, \vec{x}, t)$$

FLUX (isotropic)  
at position  $x$ , time  $t$   
of particles of type  $j$  and  
energy  $E$

$$\phi_j(E, \vec{x}_{\odot}, t_{\text{now}})$$

Flux directly measurable  
at the Earth  
(correcting for solar  
modulations)

$$q_j(E, \vec{x}, t)$$

Generation Rate  
(per unit volume)  
at position  $x$ , time  $t$   
of particles of type  $j$  and  
energy  $E$

Generation Rate

Flux at position  $\mathbf{x}$

$$q_j(E, \vec{x}, t) \iff \phi_j(E, \vec{x}, t)$$

Propagation



# Formation of the (proton) Cosmic Ray Spectrum

$$n_p(E, \vec{x}_\odot, t_{\text{now}}) =$$

Instellar generation  
(or “release”) function

$$\int_{-\infty}^{t_{\text{now}}} dt \int d^3x \int dE_i \quad q_p(E_i, \vec{x}, t) \times$$

$$P_p(E, \vec{x}_\odot, t_{\text{now}}; E_i, \vec{x}, t)$$

Propagation effects

[*General*, explicit (but “formal”) expression]

*Primary* particles:

(protons, electrons, Helium nuclei, ....)

Accelerated in Astrophysical Sources

(such as Supernovae, GRB's, Pulsars)

“Generation” =

Injection in the  
acceleration process



Acceleration



source Ejection

(escape from accelerator)

# Primary Particles

Sources are (very likely) “stochastic” (localized and transients)

$$q_j(E, \vec{x}, t) \approx \sum_k Q_j^{(k)}(E) \delta[\vec{x} - \vec{x}_k] \delta[t - t_k]$$

“Smoothing out” in time and space to have an (approximately) stationary and continuous generation

$$\langle q_j(E, \vec{x}) \rangle = \frac{1}{\Delta T} \int_t^{t+\Delta t} dt' \frac{1}{\Delta V} \int_{\Delta V} d^3x' q_j(E, \vec{x} + \vec{x}', t')$$

# *Secondary* particles:

positrons, antiprotons

[in the “conventional picture” :  
no DM, no antimatter accelerators)]

rare nuclei (Li, Be, B, ....) [Z=3,4,5]

“born relativistic”

“Generation” =

Creation in the interaction  
of a higher energy particle

Integration over volume to obtain

The total Milky Way *Generation Rate* of particles of energy  $E$ :

$$\langle Q_j(E) \rangle = \int d^3x \langle q_j(E, \vec{x}) \rangle$$

Spectral shape of flux determined by  
Generation \* Propagation

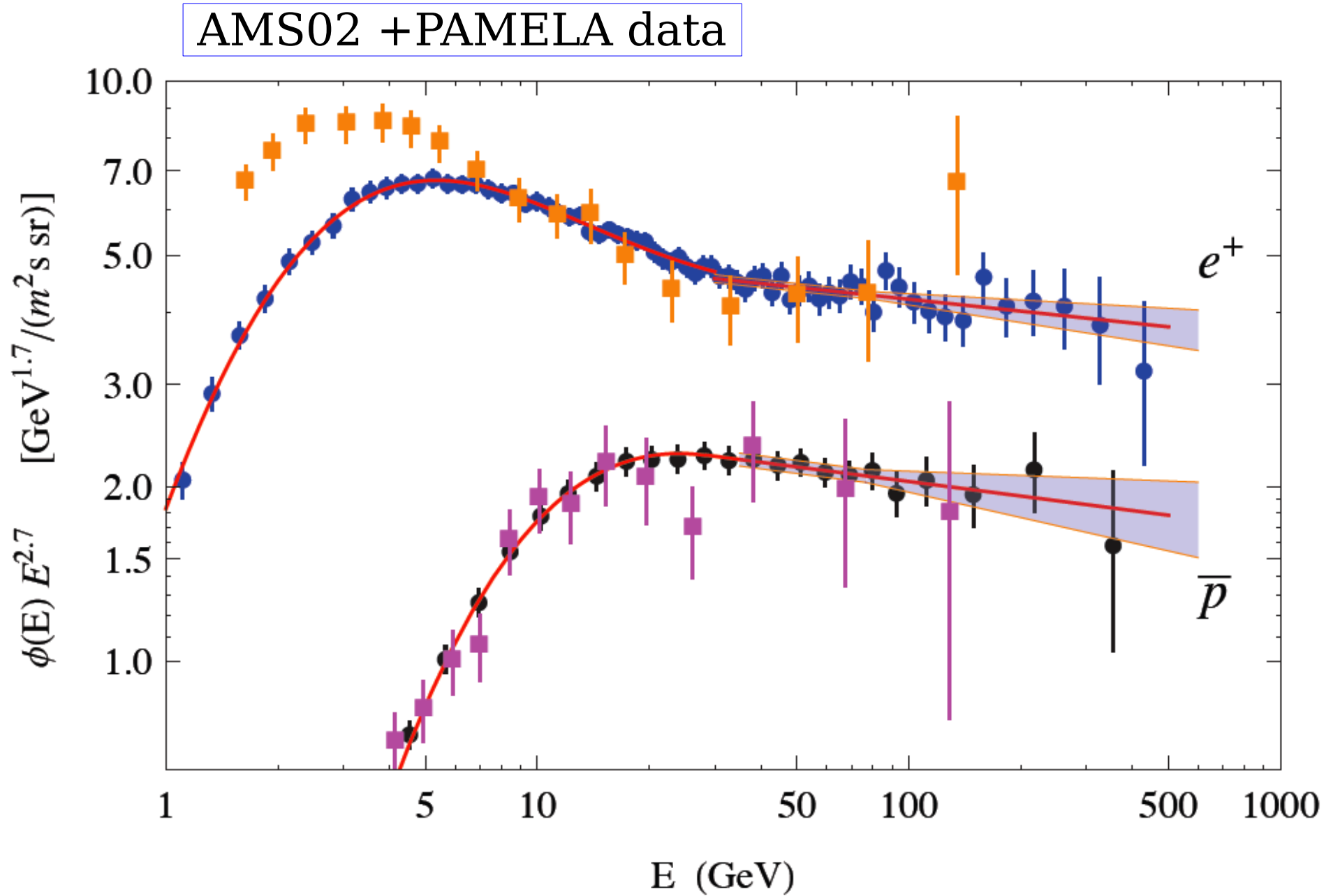
$$[P_j(E, \vec{x}_\odot, t)] = \frac{[T]}{[L^3]}$$

$$\phi_j(E) = \frac{\beta c}{4\pi} \langle Q_j(E) \rangle P_j(E)$$

$$\phi_j(E, \vec{x}_\odot, t_{\text{now}}) = \frac{\beta c}{4\pi} \langle Q_j(E) \rangle P_j(E, \vec{x}_\odot, t_{\text{now}})$$

New precision measurements (by AMS02)  
of anti-matter Cosmic Rays.

$e^+$   $\bar{p}$



New precision measurements (by AMS02) of anti-matter Cosmic Rays.

$e^+$   $\bar{p}$

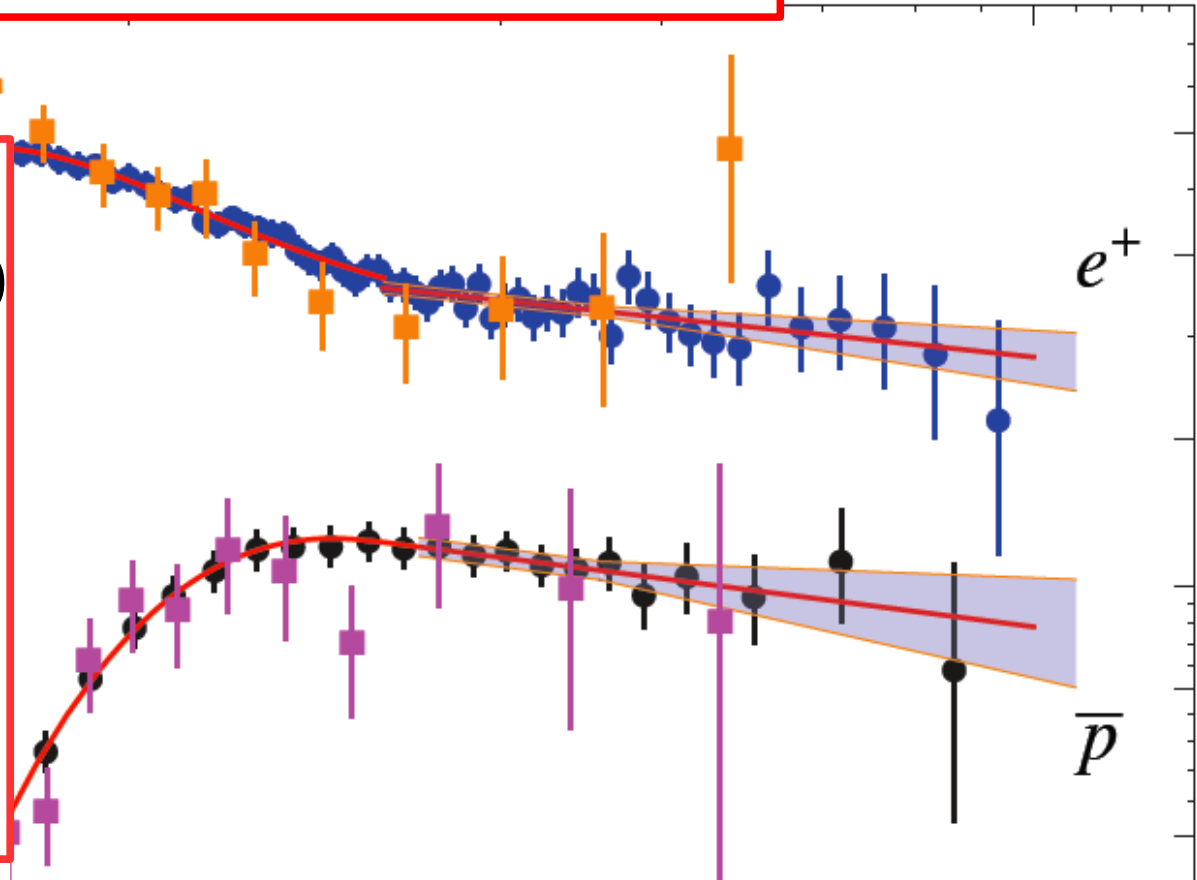
Approximately constant value for the ratio positron/anti-proton for  $E > 30$  GeV

Simple power law Fits (for  $E > 30$  GeV)

$$\gamma_{e^+} = 2.77 \pm 0.02$$

$$\gamma_{\bar{p}} = 2.78 \pm 0.04$$

$$\frac{e^+}{\bar{p}} \simeq 2.04 \pm 0.04$$



$$\left. \frac{\phi_{e^+}(E)}{\phi_{\bar{p}}(E)} \right|_{E \in [30, 400] \text{ GeV}} \simeq (2.04 \pm 0.04) \times \left( \frac{E}{50 \text{ GeV}} \right)^{0.015 \pm 0.045}$$

# Simple Power Law Fits [E > 30 GeV]

$$\phi_j(E) = K_j \left( \frac{E}{50 \text{ GeV}} \right)^{-\gamma_j}$$

$$\chi_{\min}^2 = 12.0 \quad (27 \text{ d.o.f.})$$

$$K_{e^+} = (11.4 \pm 0.1) \times 10^{-5} \text{ (m}^2\text{s sr GeV)}^{-1}$$

$$\gamma_{e^+} = 2.77 \pm 0.02$$

$e^+$

$$\chi_{\min}^2 = 1.56 \quad (10 \text{ d.o.f.})$$

$$K_{\bar{p}} = (5.6 \pm 0.1) \times 10^{-5} \text{ (m}^2\text{s sr GeV)}^{-1}$$

$$\gamma_{\bar{p}} = 2.78 \pm 0.04$$

$\bar{p}$



$$\gamma_{e^+} = 2.77 \pm 0.02$$

$$\gamma_{\bar{p}} = 2.78 \pm 0.04$$

$$\frac{e^+}{\bar{p}} \simeq 2.04 \pm 0.04$$

Fitted slopes:

$$E > 30 \text{ GeV}$$

Protons

$$\gamma_p = 2.85 \pm 0.01$$

$$\gamma_p = 2.72 \pm 0.05$$

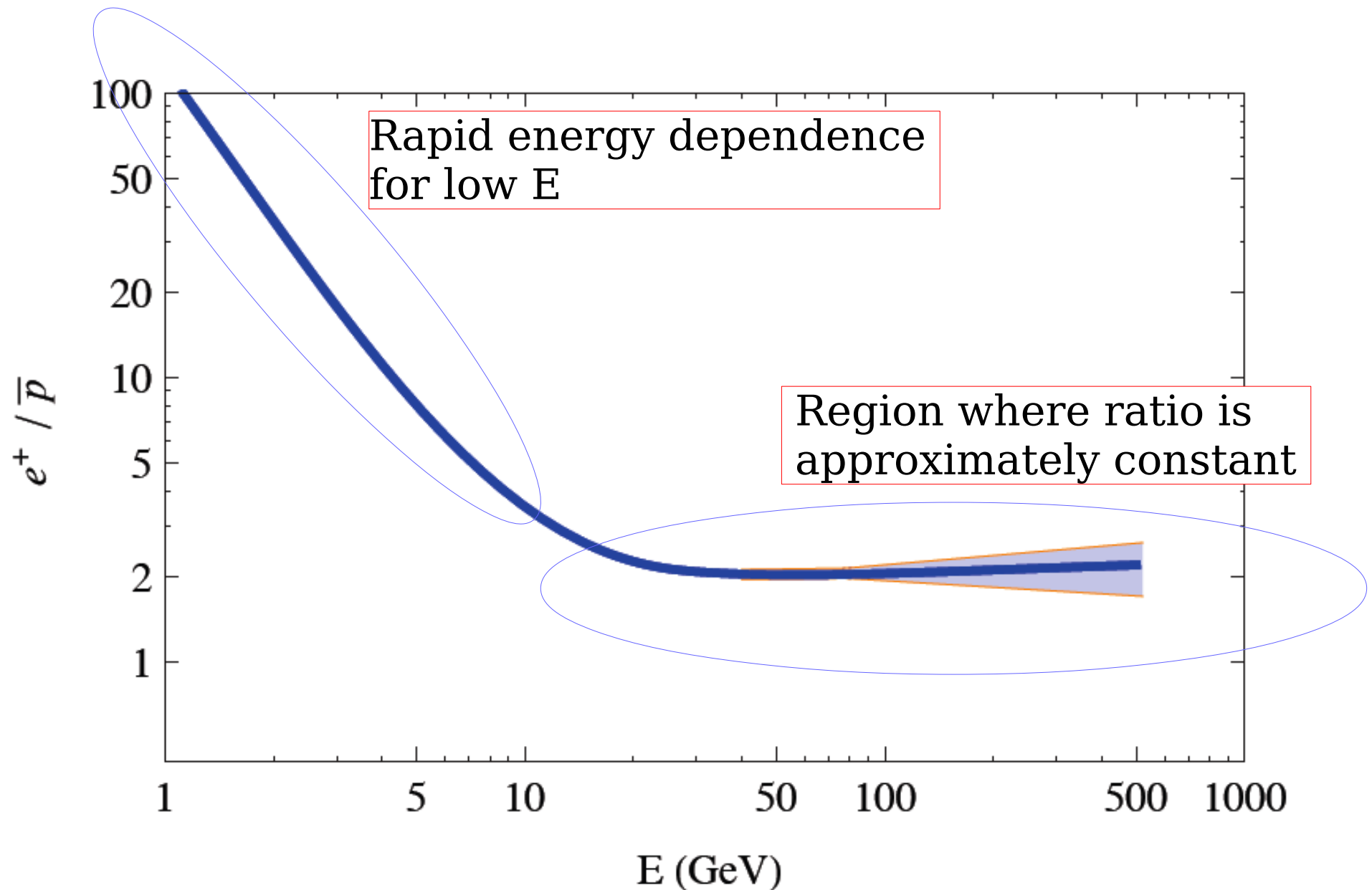
$$E_{\text{break}} \simeq 330 \text{ GeV}$$

$$\left. \frac{\phi_{e^+}(E)}{\phi_{\bar{p}}(E)} \right|_{E \in [30, 400] \text{ GeV}} \simeq (2.04 \pm 0.04) \times \left( \frac{E}{50 \text{ GeV}} \right)^{0.015 \pm 0.045}$$

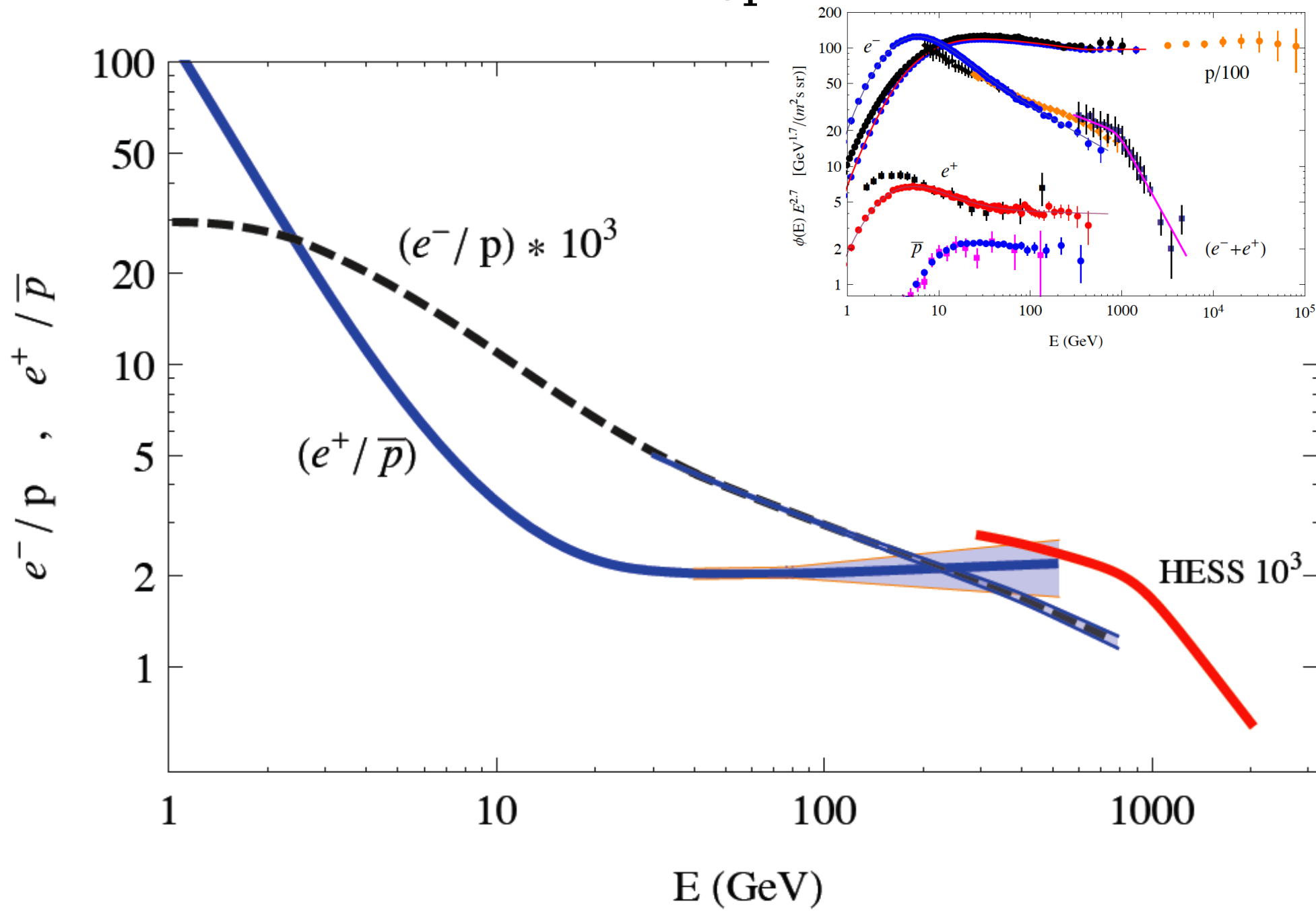
Approximately constant value  
for the ratio positron/anti-proton

Does this  
“mean” something ?

# Ratio positron/anti-proton [Energy dependence]



# Different behavior: positron/anti-proton electron/proton



Very different behavior (high energy)

$E > 30 \text{ GeV}$

$e^+ / \bar{p}$

Approximately flat

$$\left. \frac{\phi_{e^+}(E)}{\phi_{\bar{p}}(E)} \right|_{E \in [30, 400] \text{ GeV}} \simeq (2.04 \pm 0.04) \times \left( \frac{E}{50 \text{ GeV}} \right)^{0.015 \pm 0.045}$$

$e^- / p$

Falling rapidly with energy

$$\left. \frac{\phi_{e^-}(E)}{\phi_p(E)} \right|_{E \in [30, 300] \text{ GeV}} \simeq (3.95 \pm 0.10) \times 10^{-3} \left( \frac{E}{50 \text{ GeV}} \right)^{-0.41 \pm 0.02}$$

# Why ?

(for  $E > 20\text{-}30$  GeV)

$$\gamma_{e^+} \simeq \gamma_{\bar{p}}$$

$$\gamma_{e^+} \simeq \gamma_{\bar{p}} \approx \gamma_p$$

$$\gamma_{e^-} \simeq \gamma_p + (0.41 \pm 0.02)$$

# Why ?

(for  $E > 20\text{-}30$  GeV)

$$\gamma_{e^+} \simeq \gamma_{\bar{p}}$$

$$\gamma_{e^+} \simeq \gamma_{\bar{p}} \approx \gamma_p$$

Is there a  
“physical reason”,  
or it is  
“just a coincidence” ?

$$\gamma_{e^-} \simeq \gamma_p + (0.41 \pm 0.02)$$

Question :

Why the electron and proton CR spectra have different shapes ?

$$\gamma_{e^-} \simeq \gamma_p + (0.41 \pm 0.02)$$

[Commonly accepted] ANSWER:

- [1.] The electron and proton spectra have the same shape at injection.
- [2.] The propagation effects are different, because electrons have a much larger energy loss rate

Electron/Proton Ratio ( $E > 30$  GeV)

$$\frac{\phi_{e^-}(E)}{\phi_p(E)} = \frac{Q_{e^-}(E) P_{e^-}(E)}{Q_p(E) P_p(E)} \approx E^{-0.4}$$

Propagation effect

$$\frac{Q_{e^-}(E)}{Q_p(E)} \approx \text{constant}$$

$$\frac{P_{e^-}(E)}{P_p(E)} \approx E^{-0.4}$$

Source properties

$$\frac{Q_{e^-}(E)}{Q_p(E)} \approx E^{-0.4}$$

$$\frac{P_{e^-}(E)}{P_p(E)} \approx \text{constant}$$



# Energy losses

[synchrotron, Compton scattering]  
strongly depend on the particle mass

$$-\frac{dE}{dt} \propto \frac{q^4}{m^4} E^2$$

$$T_{\text{loss}}(E) \simeq \frac{E}{|dE/dt(E)|}$$

Characteristic time  
for energy loss

$$T_{\text{loss}}(E) = \frac{E}{|dE/dt|} \simeq \frac{3 m_e^2}{4 c \sigma_{\text{Th}} \langle \rho_B + \rho_\gamma^*(E) \rangle E}$$
$$\simeq 621.6 \left( \frac{\text{GeV}}{E} \right) \left( \frac{0.5 \text{ eV/cm}^3}{\rho} \right) \text{ Myr}$$

$$\rho_b = \frac{B^2}{8 \pi} \simeq 0.22 \left( \frac{B}{3 \mu\text{G}} \right)^2 \frac{\text{eV}}{\text{cm}^3}$$

$$\rho_{\text{CMBR}} \simeq 0.26 \frac{\text{eV}}{\text{cm}^3}$$

Assumption that the difference in shape between  
Electrons and protons is a propagation effect:

$$E \gtrsim 30 \text{ GeV}$$

$$\frac{T_{\text{loss}}(E)}{T_{\text{escape}}(E)} \propto \frac{\phi_{e^-}(E)}{\phi_p(E)} \propto E^{-0.41}$$

$$T_{\text{escape}}(30 \text{ GeV}) \gtrsim T_{\text{loss}}(30 \text{ GeV}) \simeq 30 \text{ Myr}$$

# “Conventional mechanism” for the production of positrons and antiprotons:

Creation of secondaries in the inelastic hadronic interactions of cosmic rays in the interstellar medium

$$pp \rightarrow \bar{p} + \dots$$

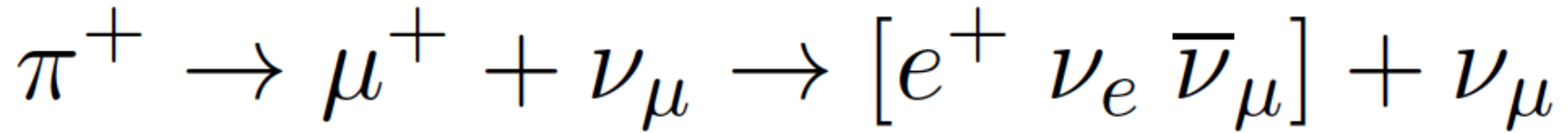
Injections of positrons and anti-protons are intimately connected

$$pp \rightarrow \pi^+ + \dots$$

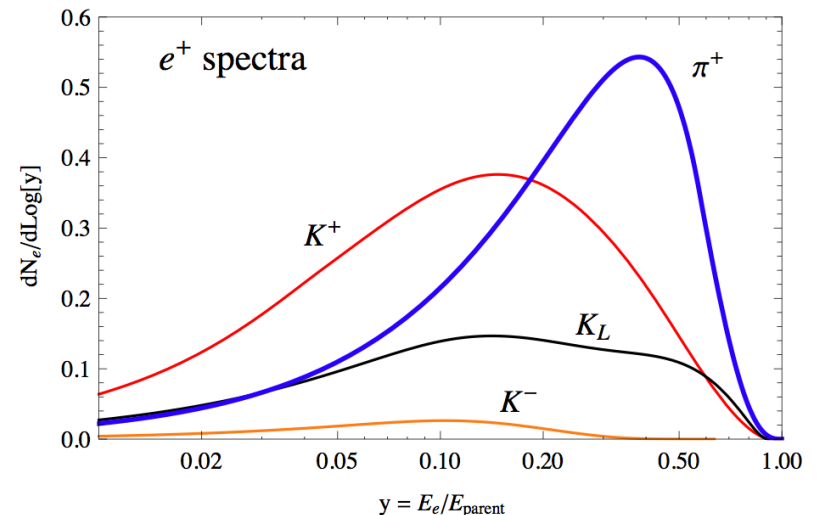
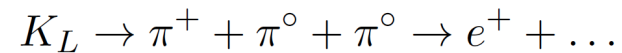
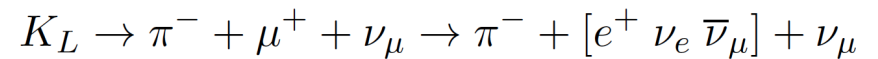
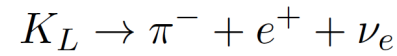
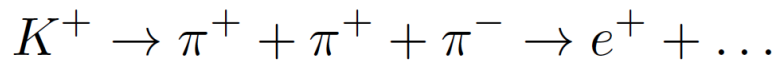
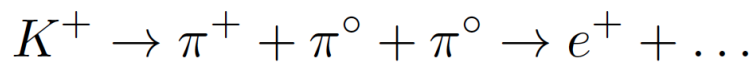
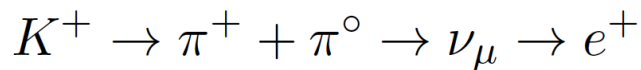
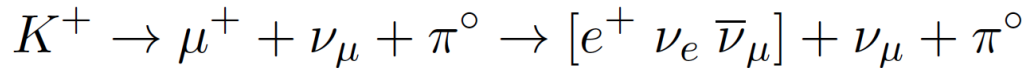
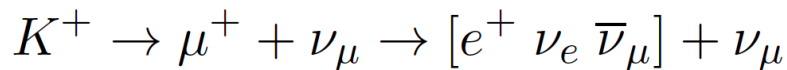
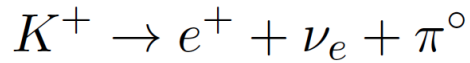
$$\quad \quad \quad \downarrow \rightarrow \mu^+ + \nu_\mu$$

$$\quad \quad \quad \quad \quad \downarrow \rightarrow e^+ + \nu_e + \bar{\nu}_\mu$$

Dominant source of positrons:



Additional sources [kaon decay]



# Calculation of the “Local injection” of secondaries by the “*conventional mechanism*”

$$q_{\bar{p}}^{\text{loc}}(E) = \phi_p(E) \otimes n_{\text{ism}}(\vec{x}_{\odot}) \otimes \sigma_{\text{hadronic}}[pp \rightarrow \bar{p} + \dots]$$

$$q_{e^+}^{\text{loc}}(E) = \phi_p(E) \otimes n_{\text{ism}}(\vec{x}_{\odot}) \otimes \sigma_{\text{hadronic}}[pp \rightarrow e^+ + \dots]$$

Step 1: Measure the spectra of CR near the Earth.

Step 2: Correct for Solar Modulation effects  
to obtain the spectra in interstellar space

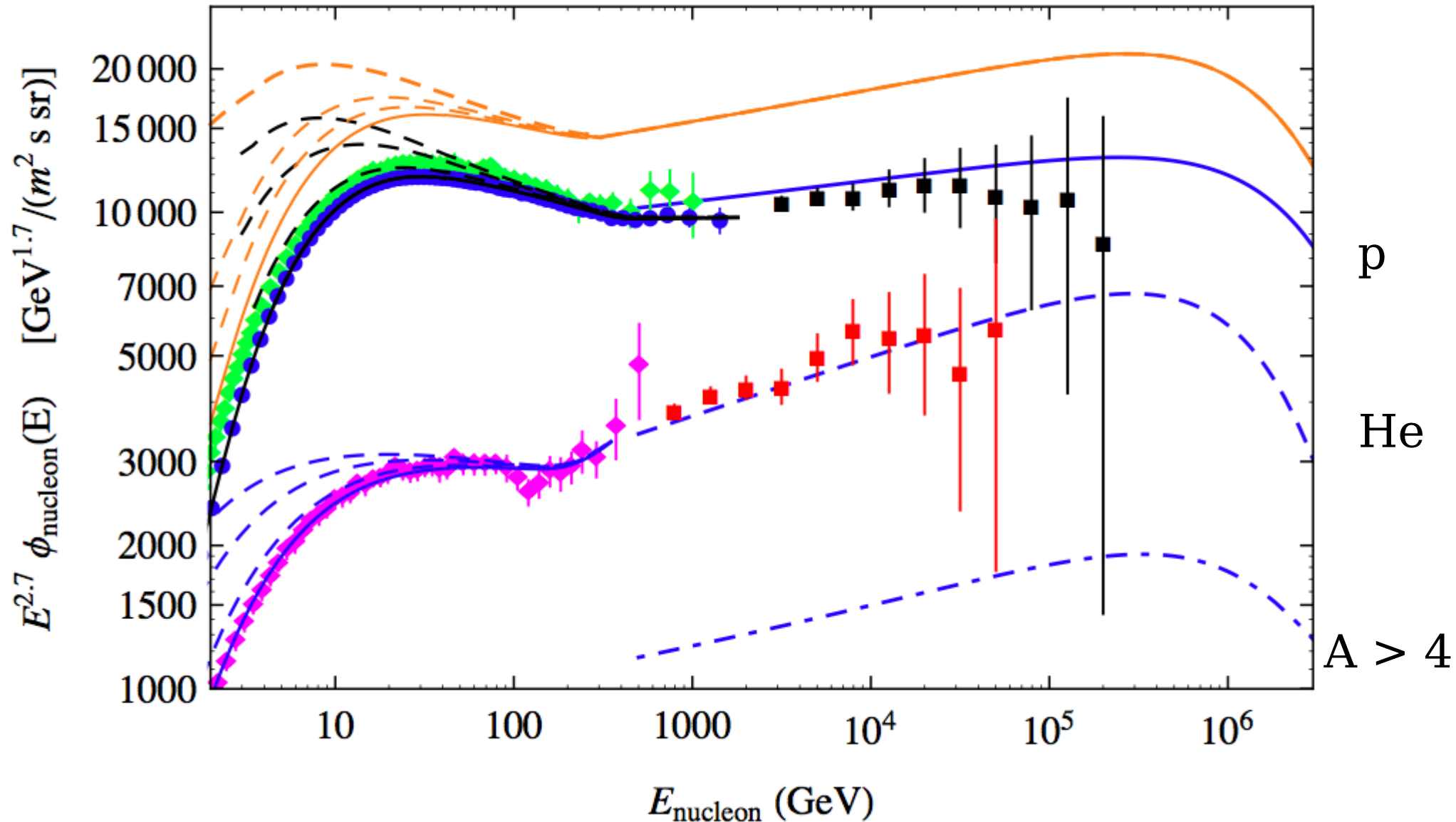
Step 4: Model the interaction to compute  
injection spectra of positrons + anti-protons.

$$q_j^{\text{loc}}(E) = n_{\text{ism}}(\vec{x}_{\odot}) f_p \int dE_0 n_p^{\text{loc}}(E_0) (\beta c) \sigma_{pp}(E_0) \frac{dN_{pp \rightarrow j}}{dE}(E, E_0)$$

+ (p + He) + (He + p) + (He + He) + ...

# Nucleon Fluxes

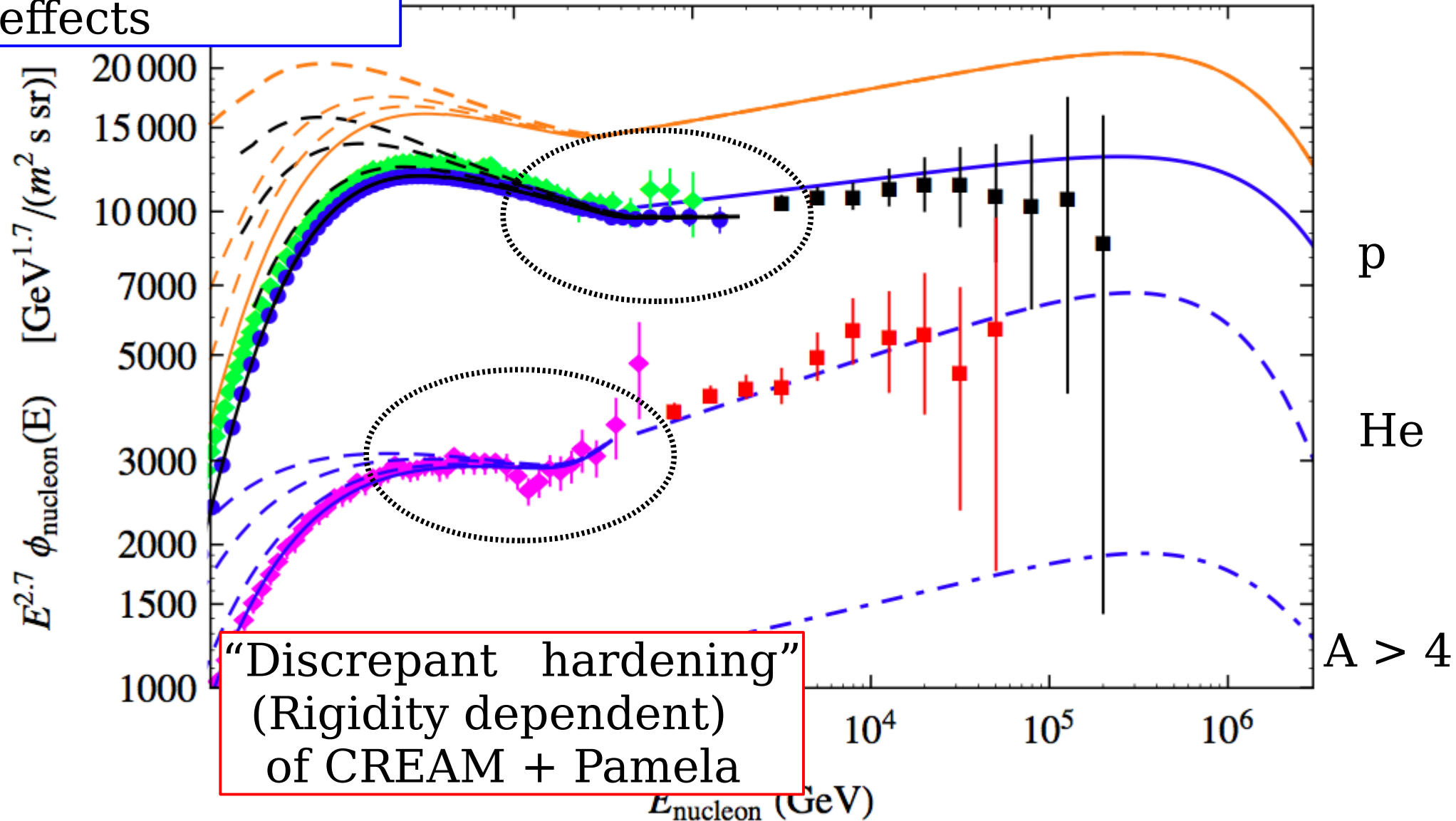
Pamela, AMS02, CREAM  
HEA0 (for nuclei)



# Nucleon Fluxes

Pamela, AMS02, CREAM  
HEA0 (for nuclei)

“unfold”  
Solar Modulation  
effects

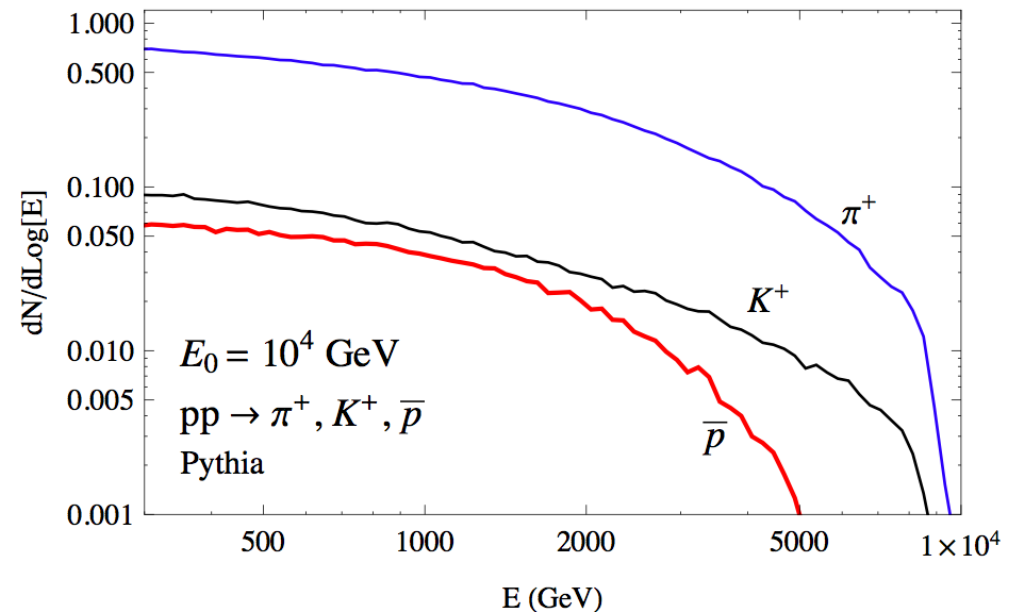
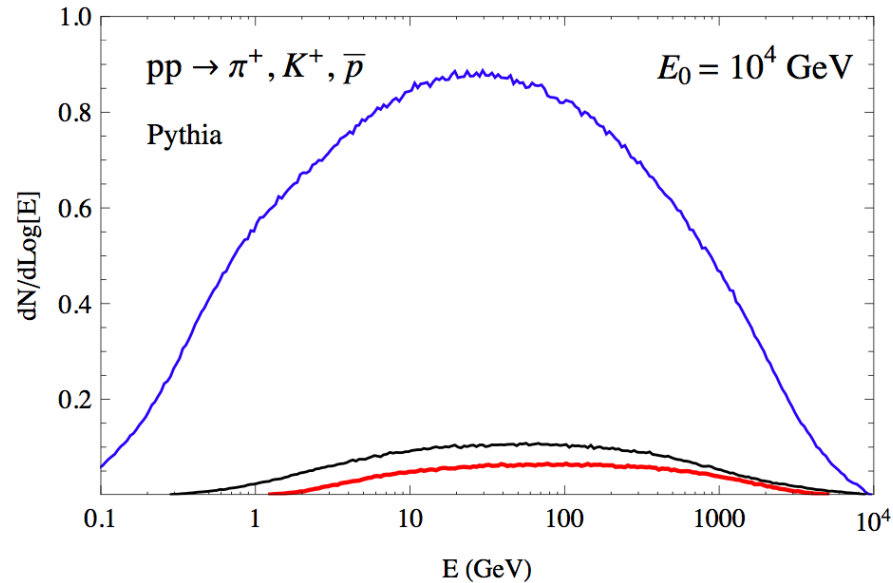


# Particle production in hadronic collisions

$$pp \rightarrow \pi^+, K^+, \bar{p}, \dots$$

$$E_0 = 10^4 \text{ GeV}$$

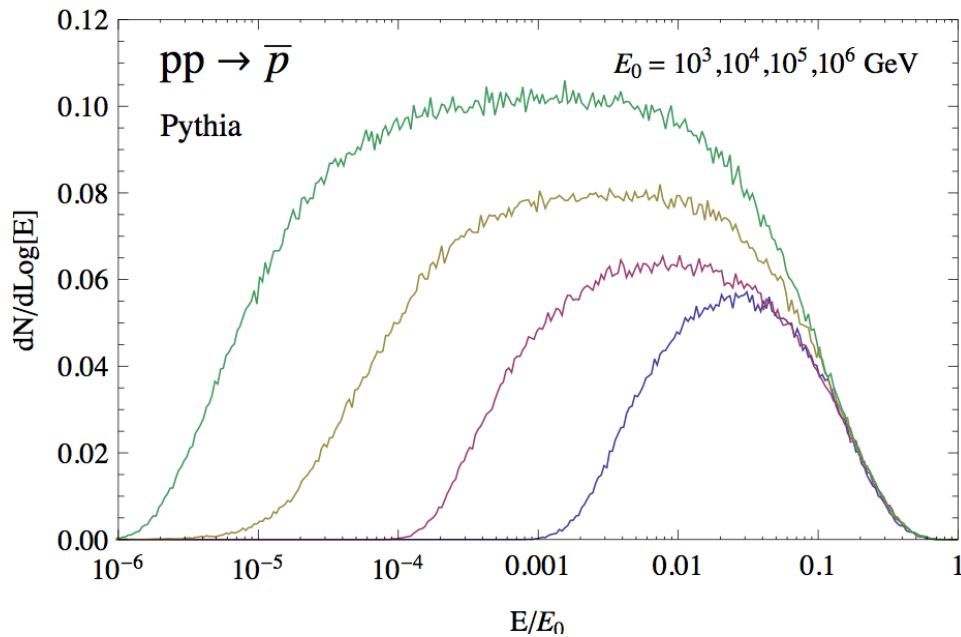
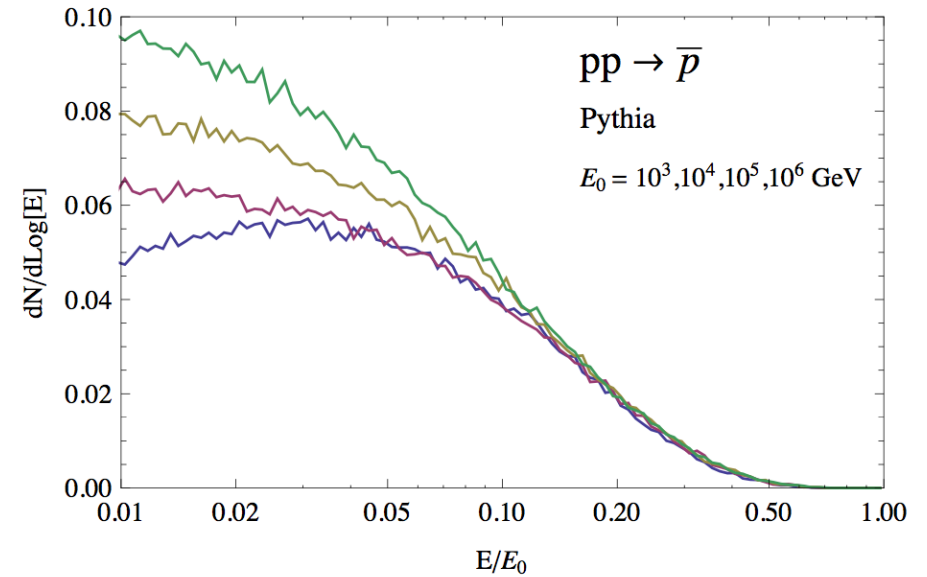
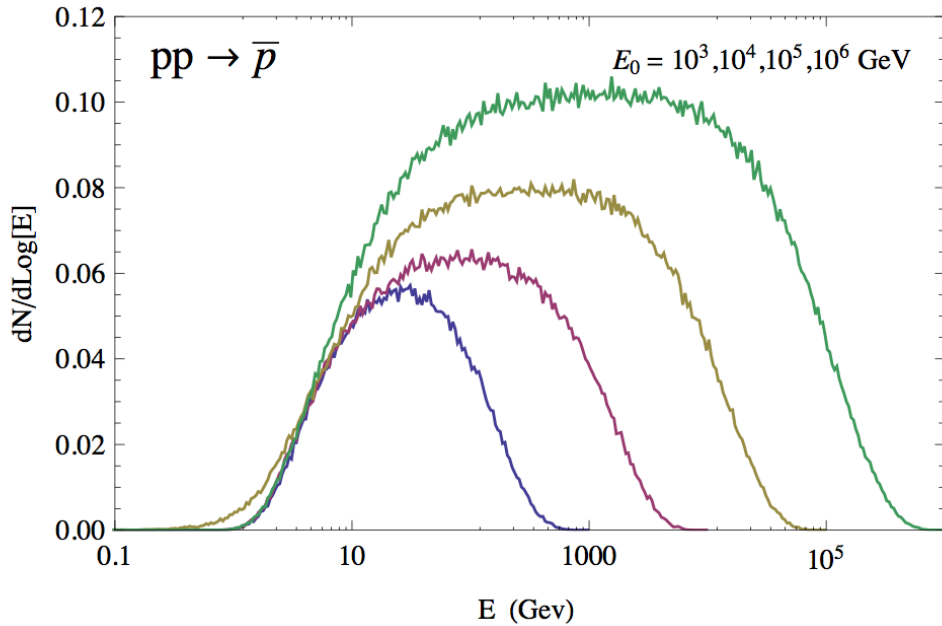
Example of a Montecarlo calculation with Pythia





# Pythia Montecarlo

$$pp \rightarrow \bar{p} + \dots$$

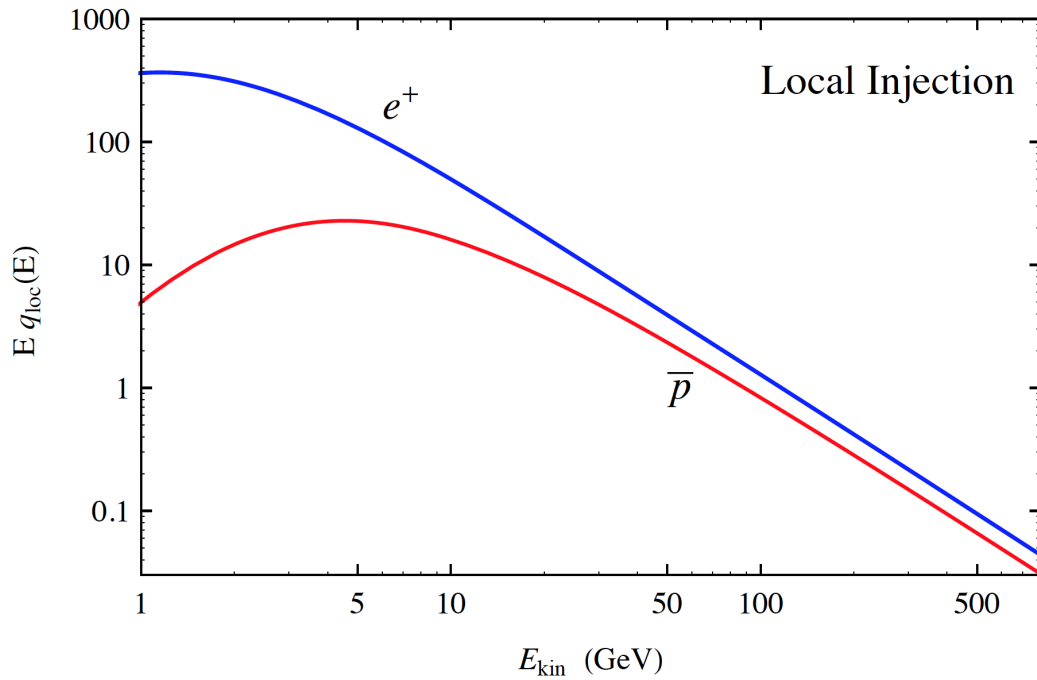


Note: approximate  
“scaling” of cross section

Power Law for projectiles

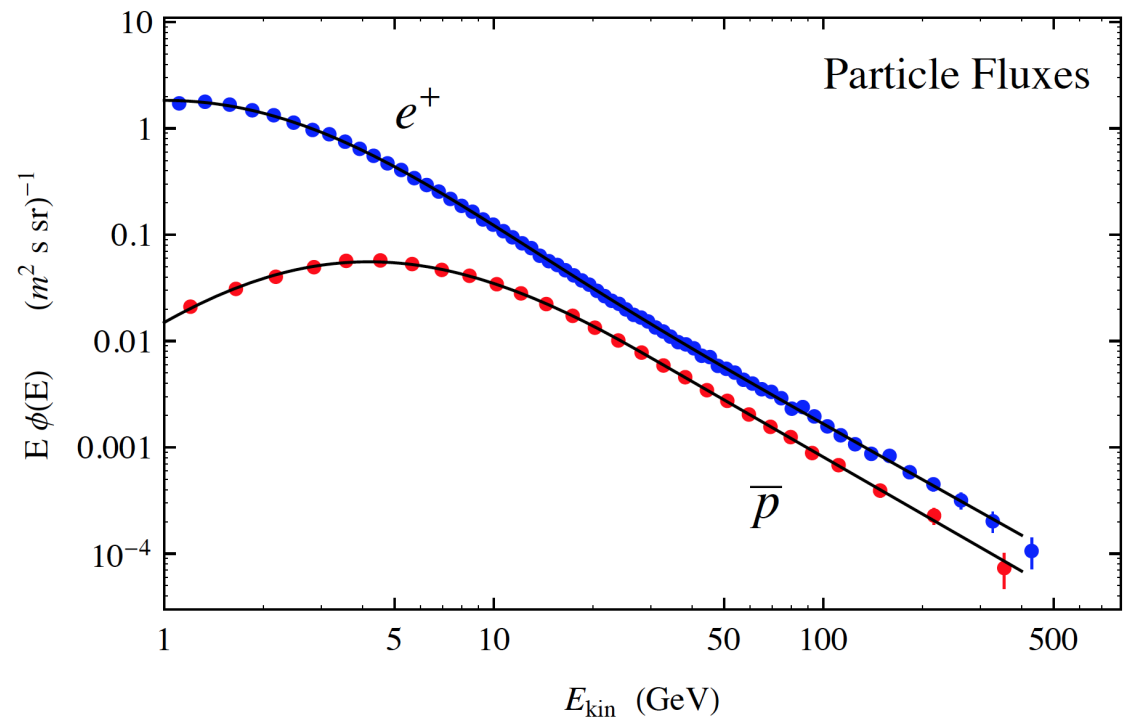
Power law for secondaries

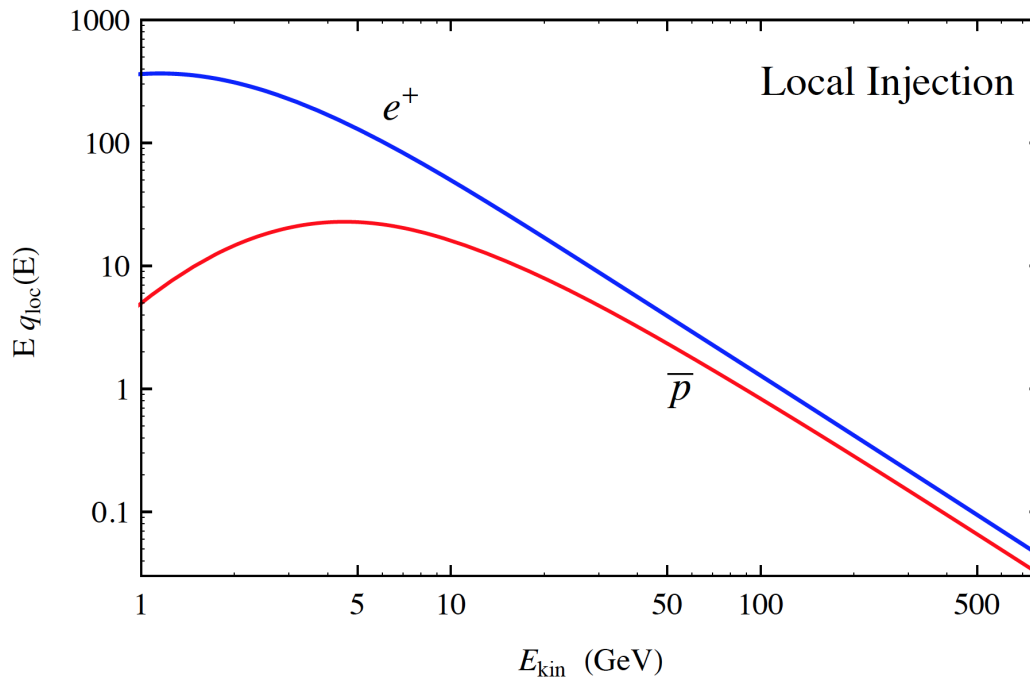
# Injection



# Observed Fluxes

“Striking”  
similarity



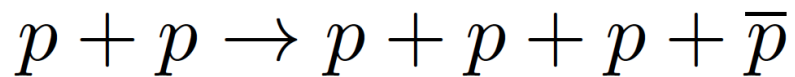


## Injection of positrons and antiprotons

At *high energy*  
approximately constant ratio  
(consequence of scaling)

$$\frac{q_{\bar{p}}}{q_{e^+}} \simeq 1.80 \pm 0.5$$

$$\left. \frac{\phi_{e^+}(E)}{\phi_{\bar{p}}(E)} \right|_{E \in [30, 350] \text{ GeV}} \simeq 2.04 \pm 0.04$$

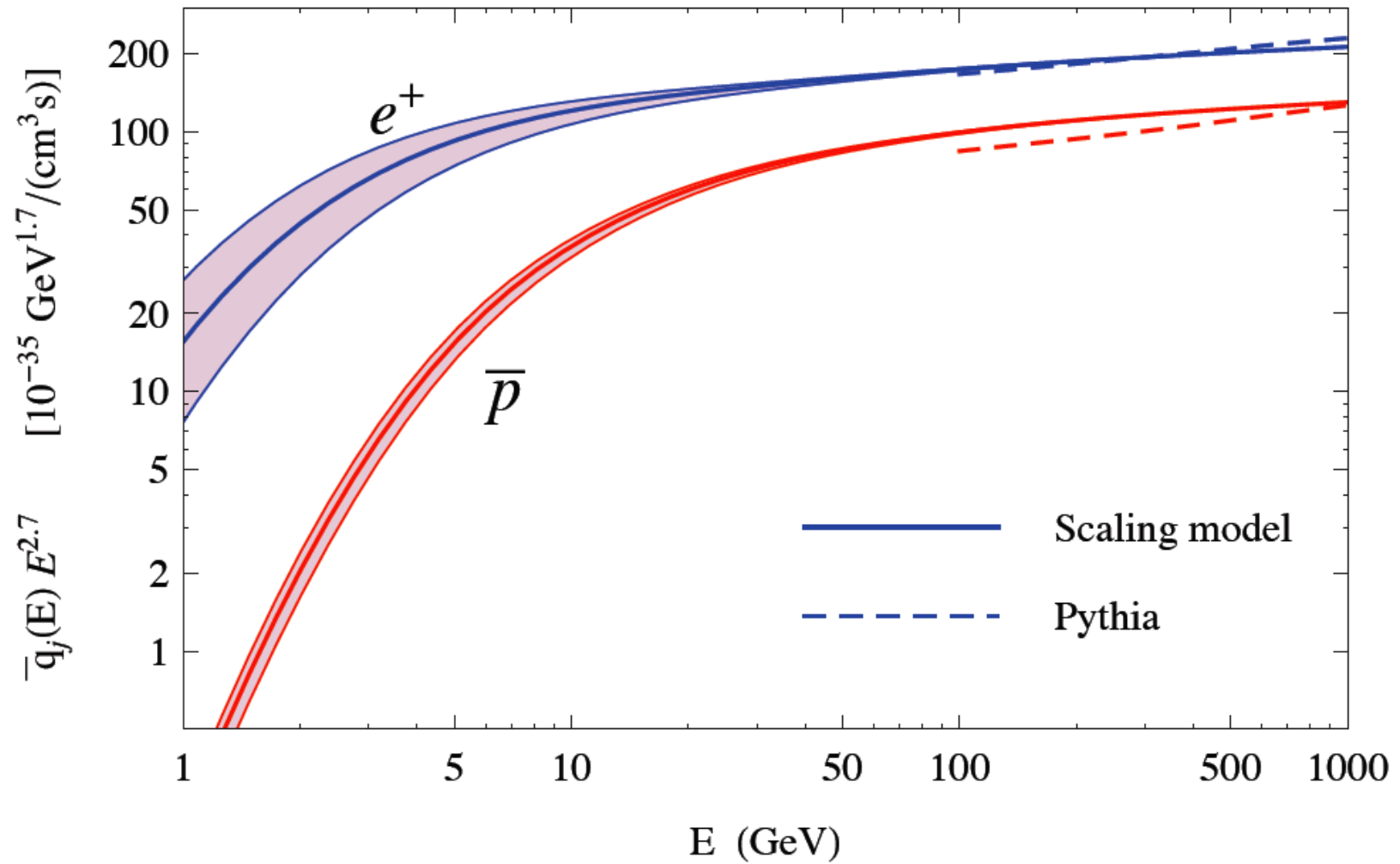


$$E_{i,\text{threshold}} = 7 m_p$$

$$E_{f,\text{threshold}} = 2 m_p$$

*Low energy:*  
kinematical suppression of  
antiproton production

# “Local injection” for positrons and antiprotons

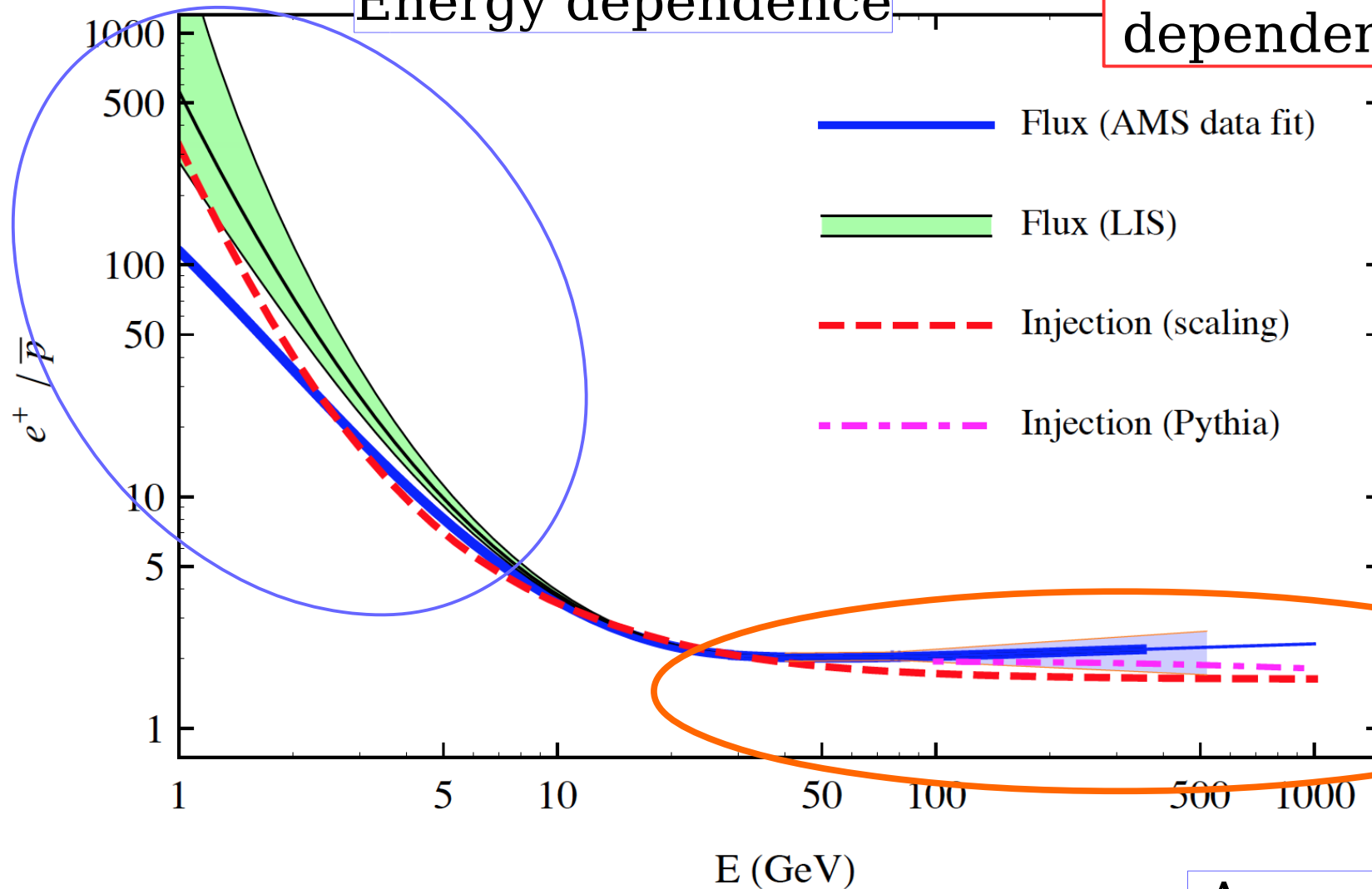


# Ratios positrons/antiprotons

Ratio of Injections  
Ratio of Fluxes

Rapid  
Energy dependence

Similar energy  
dependence



Approximately  
constant value

$$\frac{\phi_{e^+}(E)}{\phi_{\bar{p}}(E)} \approx \frac{q_{e^+}^{\text{loc}}(E)}{q_{\bar{p}}^{\text{loc}}(E)}$$

The ratio positron/antiproton of the injection is (*within errors*) equal to the ratio of the observed fluxes

Does this result has a “natural explanation” ?

$$\frac{\phi_{e^+}(E)}{\phi_{\bar{p}}(E)} = \frac{\langle Q_{e^+}(E) \rangle P_{e^+}(E)}{\langle Q_{\bar{p}}(E) \rangle P_{\bar{p}}(E)}$$

$$\approx \frac{q_{e^+}^{\text{loc}}(E)}{q_{\bar{p}}^{\text{loc}}(E)}$$

## “Natural Interpretation”

$$\frac{q_{e^+}^{\text{loc}}(E)}{q_{\bar{p}}^{\text{loc}}(E)} \approx \frac{\langle Q_{e^+}(E) \rangle}{\langle Q_{\bar{p}}(E) \rangle}$$

Secondary production  
 (“local” production  
 spectrum)

$$\frac{P_{e^+}(E)}{P_{\bar{p}}(E)} \approx 1$$

*Equal propagation for  
 antiprotons and positrons*

$$\frac{P_{e^+}(E)}{P_{\bar{p}}(E)} \simeq 1$$

*Equal propagation for  
antiprotons and positrons*

Lifetime of positrons (and electrons)  
must be sufficiently short

$$T_{\text{age}}(E = 400 \text{ GeV}) \lesssim 1 \text{ Myr}$$

*The estimate of the residence time of cosmic rays is crucial*



## *Alternative explanation:*

The numerical result:

$$\frac{\phi_{e^+}(E)}{\phi_{\bar{p}}(E)} \approx \frac{q_{e^+}^{\text{loc}}(E)}{q_{\bar{p}}^{\text{loc}}(E)}$$

is simply a (rather extraordinary) coincidence

$$Q_{e^+}(E) = Q_{e^+}^{\text{secondary}}(E) + Q_{e^+}^{\text{new}}(E)$$

$$Q_{\bar{p}}(E) = Q_{\bar{p}}^{\text{secondary}}(E)$$

Example of High energy

“cancellation effect”

$$\frac{Q_{e^+}^{\text{extra}}(E) P_{e^+}(E)}{Q_{\bar{p}}(E) P_{\bar{p}}(E)} \approx 2.0$$

Two “scenarios” seem to emerge:

## Scenario 1 (“Conventional picture”)

- 1a. We assume (from the study of e-, p spectra) that propagation effects suppress electrons versus protons [with a marked energy dependence].
- 1b. If both positrons and antiprotons have a secondary origin, their ratio must strongly depend on energy
- 1c. The ratio e+/pbar is constant, therefore, A NEW POSITRON SOURCE is required to compensate for the suppression of positrons (due to energy losses)

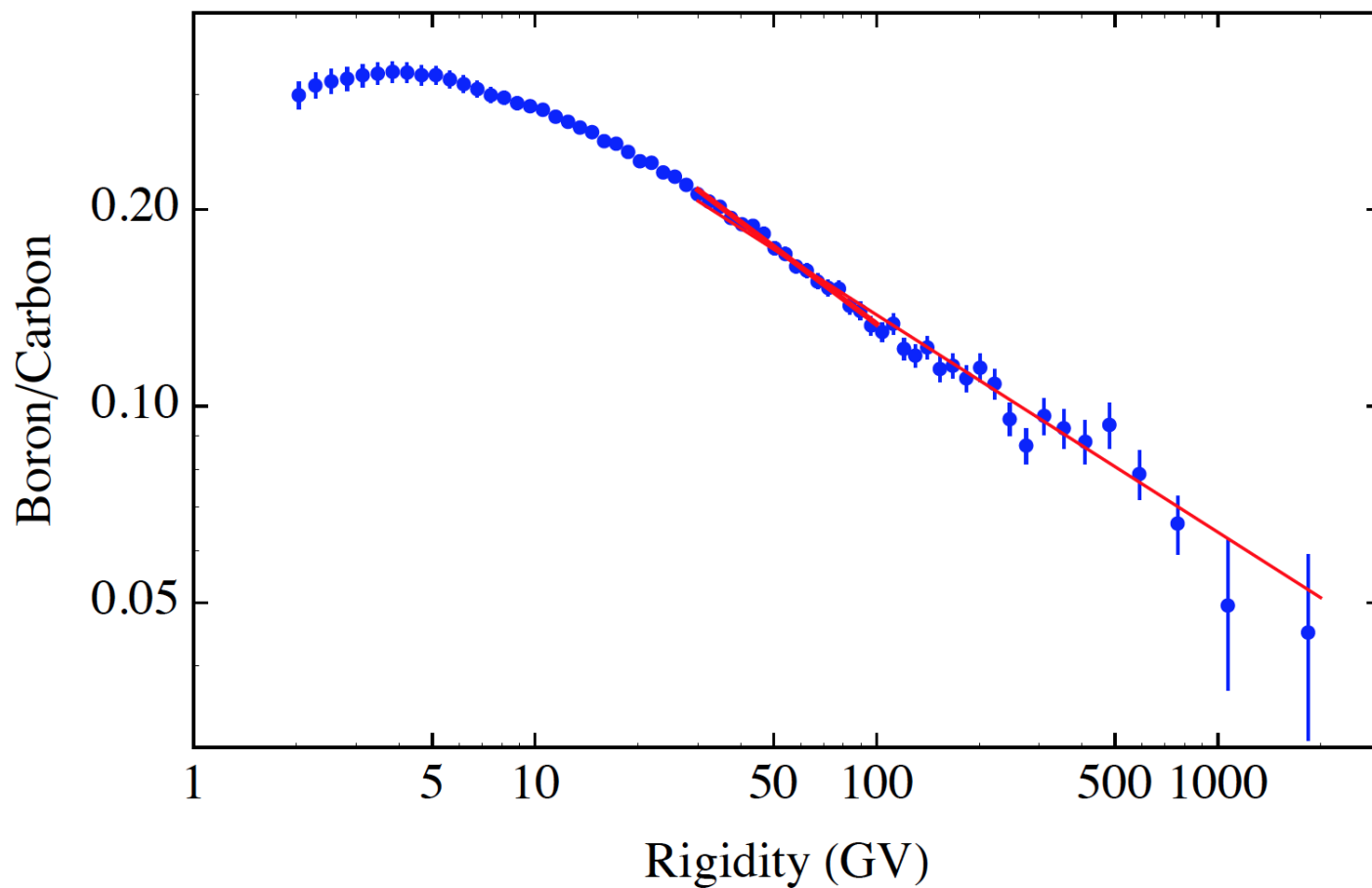
[the equality  $\frac{\phi_{e^+}(E)}{\phi_{\bar{p}}(E)} \approx \frac{q_{e^+}(E)}{q_{\bar{p}}(E)}$  is “just a coincidence”. ]

## Alternative possibility

### Scenario 2.

- 2a. Positrons and antiprotons are both of secondary origin.
- 2b. The observed positron/anti-proton ratio is approximately equal to the ratio at source.  
Therefore positrons and anti-protons propagate in approximately the same way.  
Escape is rapid, and energy losses negligible.
- 2c. The energy dependence of the e-/p fluxes is NOT the effect of propagation, but is formed at injection, in the CR accelerators.  
[Perhaps because of energy losses inside the accelerators]

$$\frac{\text{Boron}}{\text{Carbon}} \approx 0.21 \left( \frac{p/Z}{30 \text{ GV}} \right)^{-0.33}$$



AMS02  
data

$$\frac{\text{Boron}}{\text{Carbon}} \approx 0.21 \left( \frac{p/Z}{30 \text{ GV}} \right)^{-0.33}$$

Interpretation in terms of Column density

$$\langle X \rangle \approx 4.7 \left( \frac{p/Z}{30 \text{ GV}} \right)^{-0.33} \frac{\text{g}}{\text{cm}^2}$$

[Assuming that the column density is accumulated during propagation in interstellar space]

$$\langle T_{\text{age}} \rangle \simeq 30 \text{ Myr} \left[ \frac{0.1 \text{ g cm}^{-3}}{\langle n_{\text{ism}} \rangle} \right] \left( \frac{|p/Z|}{30 \text{ GV}} \right)^{-0.33}$$

Compare the Loss time with the age inferred from the data on Boron/Carbon.

Determine the critical energy  $E^*$

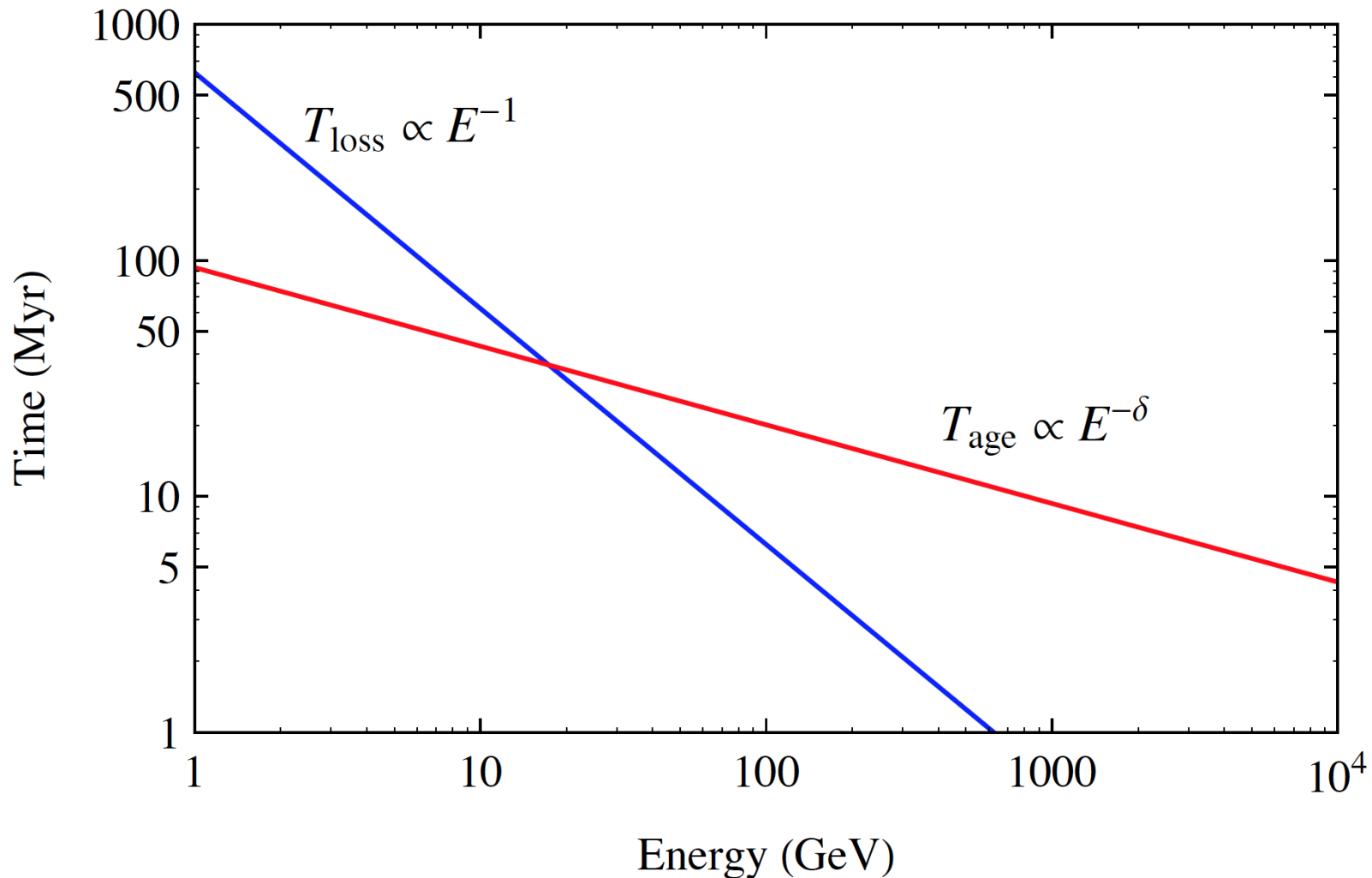
$$T_{\text{loss}}(E^*) \simeq T_{\text{age}}(E^*)$$

$E \lesssim E^*$       Energy losses are negligible

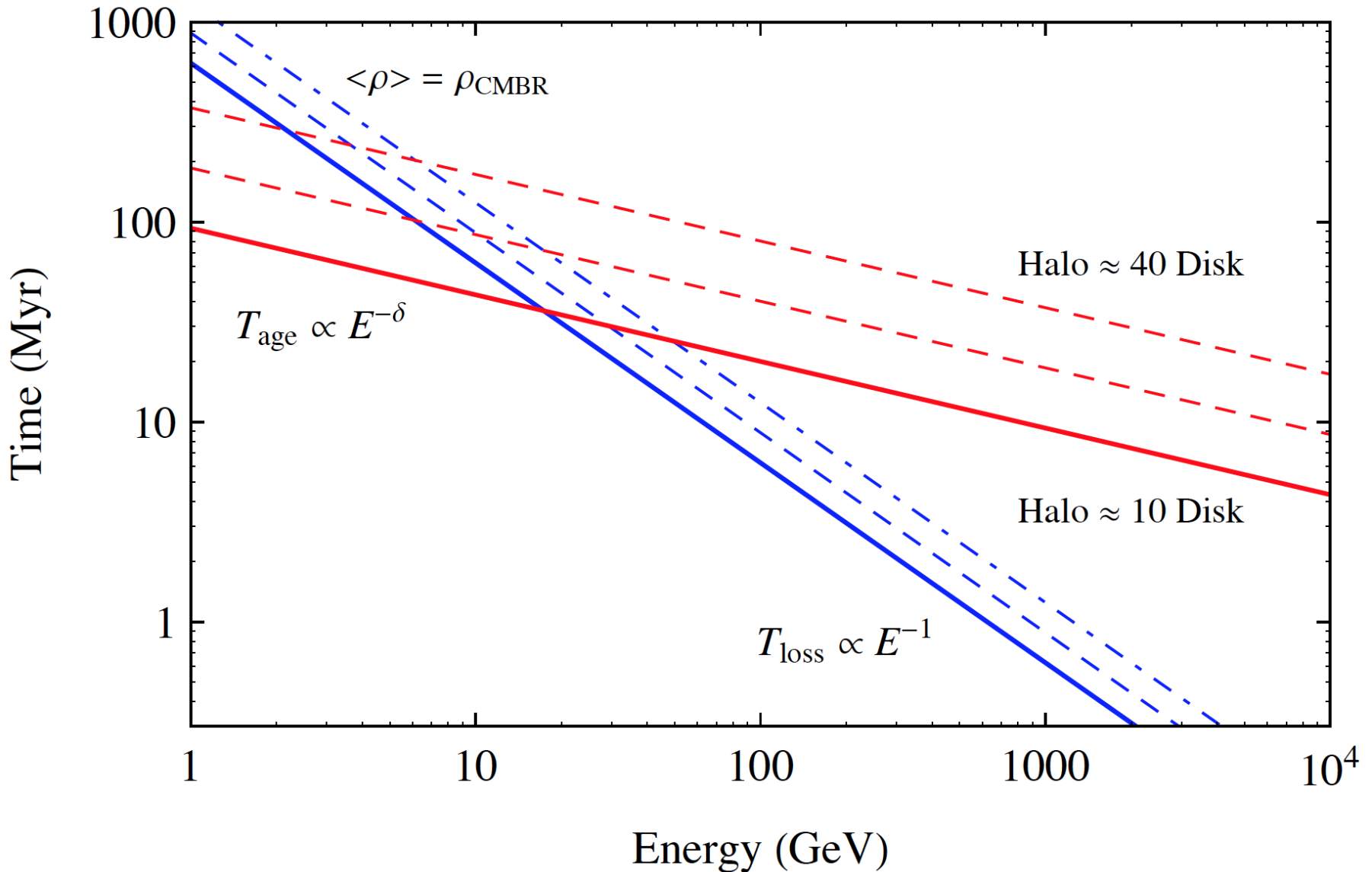
$E \gtrsim E^*$       Energy losses significant

Compare the electron Loss-time with the Age inferred from the Boron/Carbon Ratio:

$$E^* \simeq 18 \text{ GeV} \left[ \left( \frac{\langle n_{\text{ism}} \rangle}{0.1 \text{ cm}^{-3}} \right) \left( \frac{0.5 \text{ eV/cm}^3}{\langle \rho_B + \rho_\gamma^* \rangle} \right) \right]^{1/(1-\delta)}$$

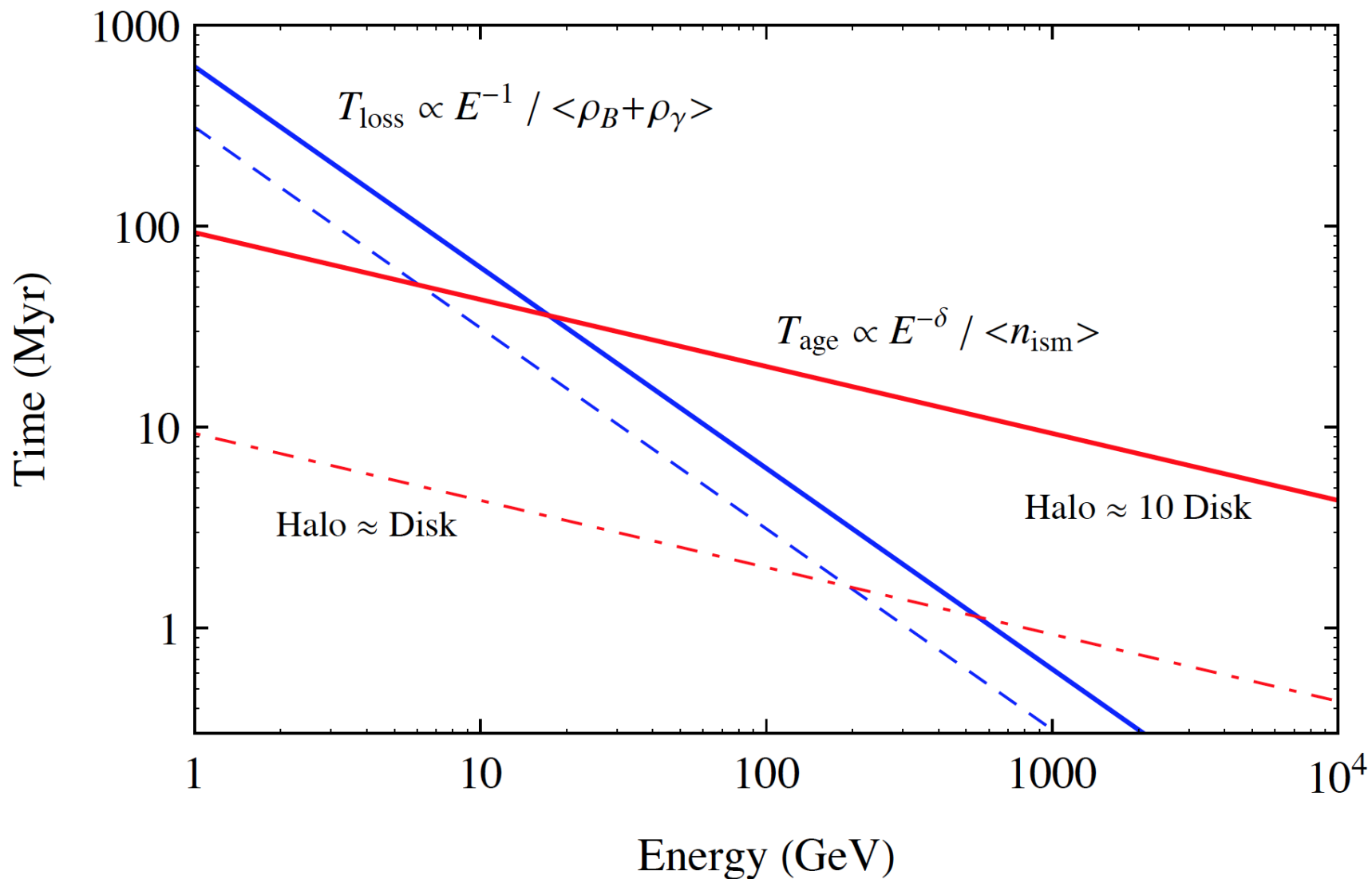


Making the containment volume of cosmic rays larger pushes the critical energy to lower values

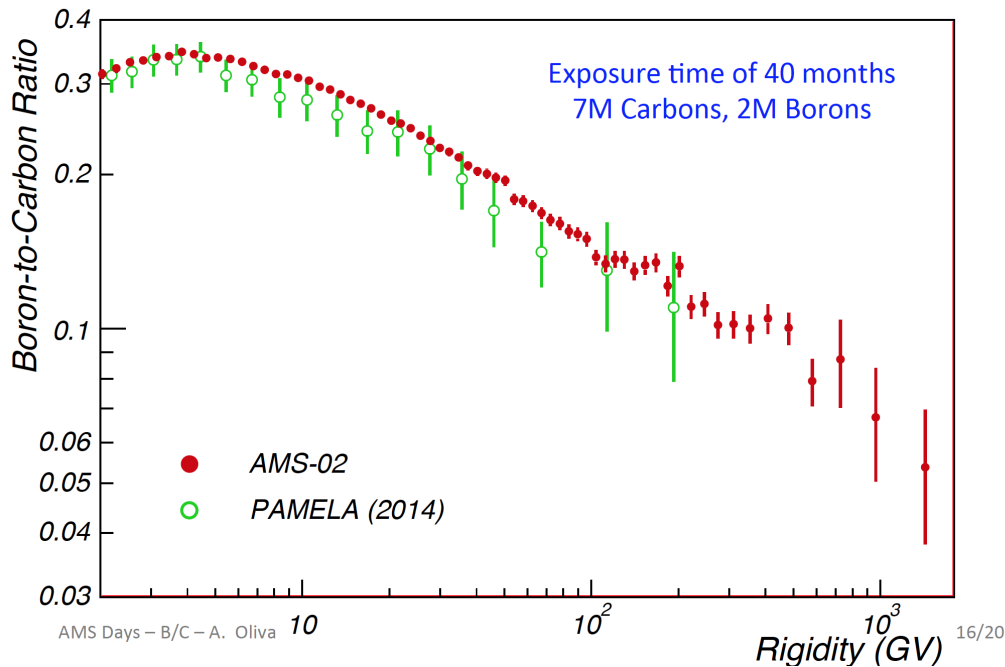




Making the containment volume small makes a high transition energy. But not easy.



## B/C Ratio

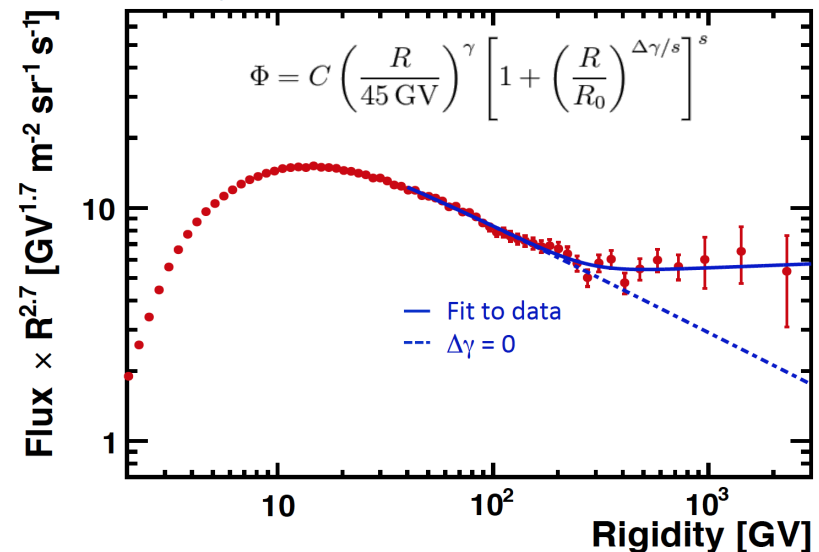


Secondary nuclei:  
Li, Be,

AMS02 preliminary data  
on Lithium

## Fit of Lithium flux

Same model as the one used for proton and helium (double power law with smooth transition) between 45 GV and 3 TV:



→ Change of slope at the same range than for the one found for Proton and Helium.

A complex  
scenario  
is emerging

*Probably production in  
sources is important*

# Antiproton Energy Spectrum

$$\phi_{\bar{p}}(E) \propto q_{\bar{p}}(E) \times T_{\text{confinement}}(E)$$

$$T_{\text{confinement}}(E) \propto E^{-\delta} \quad \begin{array}{l} \text{From secondary} \\ \text{to primary nuclei} \\ \text{B, Li} \end{array}$$

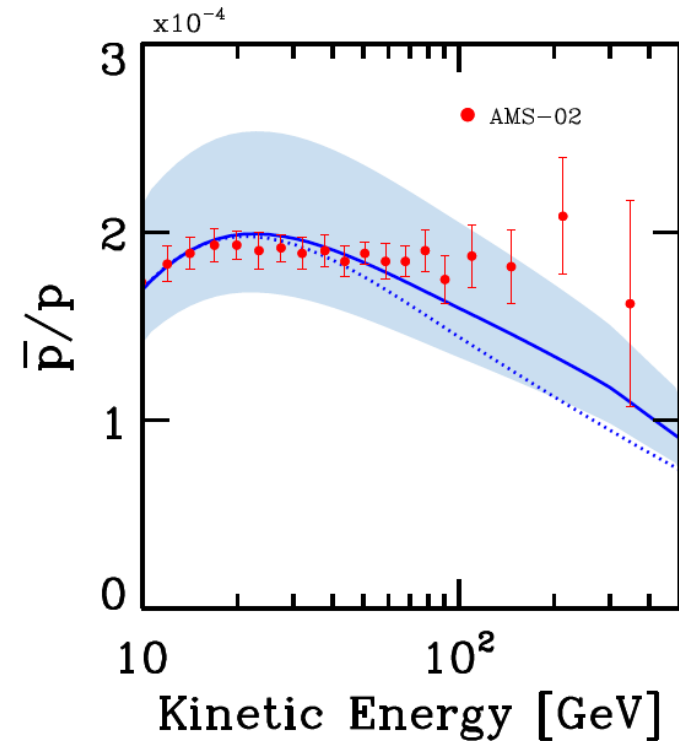
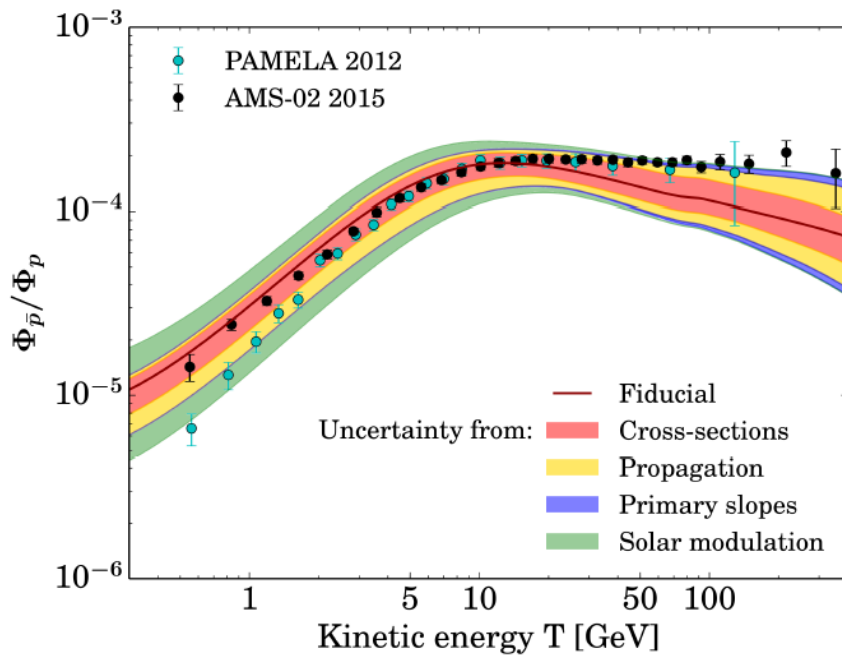
$$q_{\bar{p}}(E) \propto E^{-\gamma'_0} + (\text{small correction})$$

$$\gamma'_0(E) \approx \gamma_0(10 \times E)$$

All models have predicted  
an antiproton spectrum  
softer than the observations

# Antiproton/proton ratio

Claims in the recent literature that the recent data AMS02 data is consistent with the “standard scenario”



AMS-02 antiprotons, at last!

Secondary astrophysical component and immediate implications for Dark Matter

Gaëlle Giesen<sup>a\*</sup>, Mathieu Boudaud<sup>b</sup>, Yoann Génolini<sup>b</sup>, Vivian Poulin<sup>b,c</sup>,  
Marco Cirelli<sup>a</sup>, Pierre Salati<sup>b</sup>, Pasquale D. Serpico<sup>b</sup>

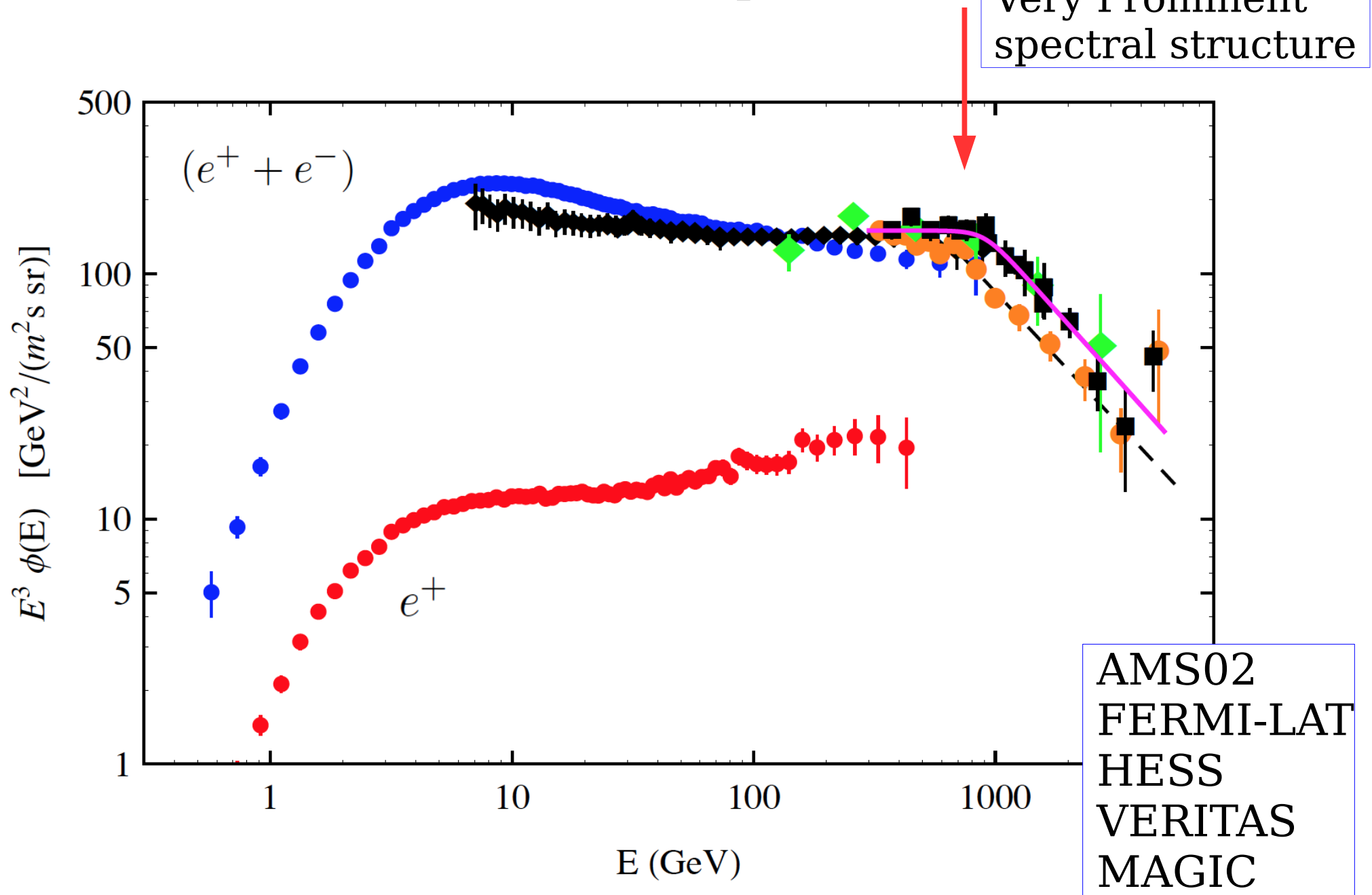
Significant tension between data and models

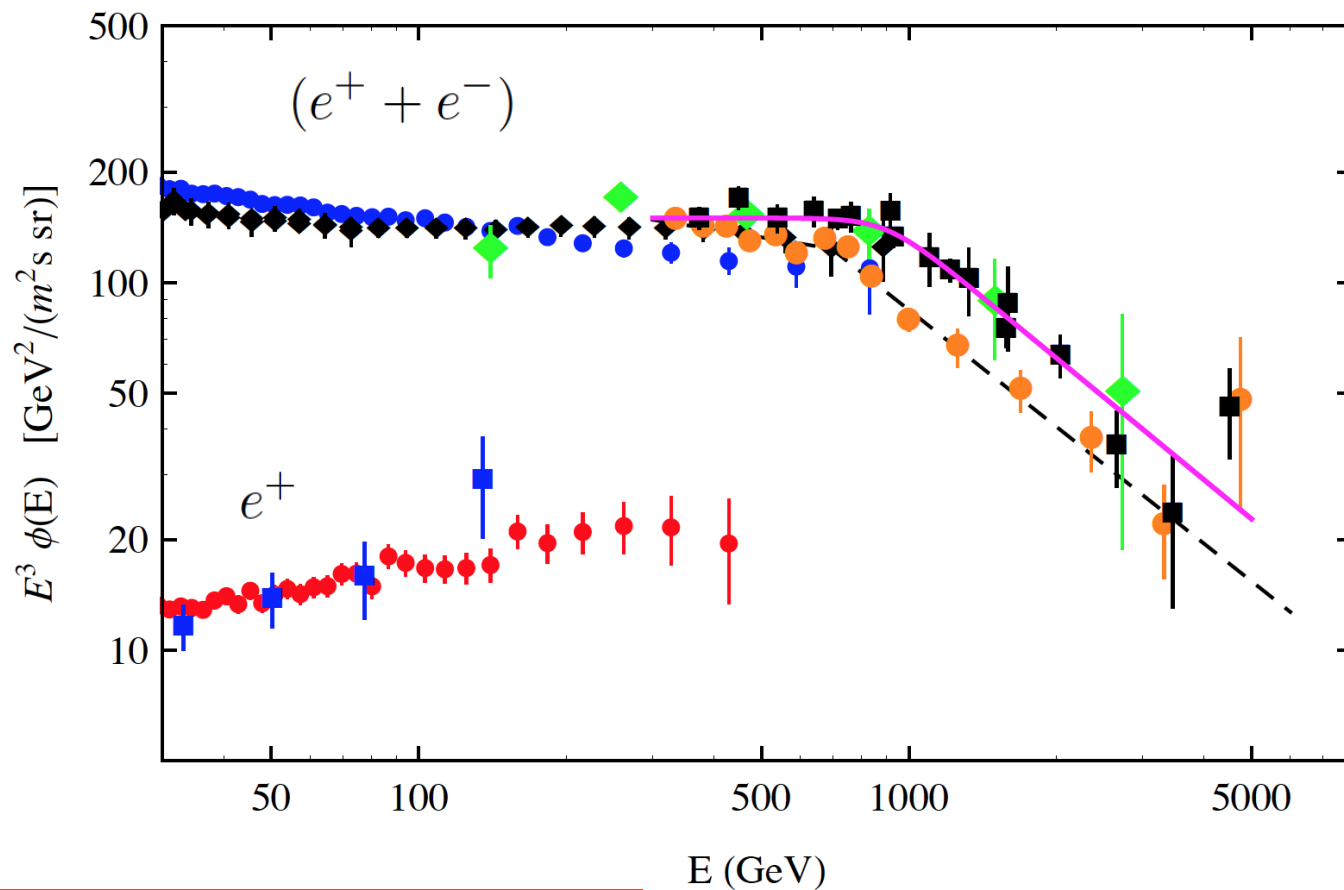
Secondary antiprotons as a Galactic Dark Matter probe

Carmelo Evoli<sup>a</sup> Daniele Gaggero<sup>b</sup> Dario Grasso<sup>c</sup>

Tentative identification of the transition energy as the energy that marks the sharp softening identified by the Cherenkov telescopes

# All electrons , Positron Spectra





AMS02  
 FERMI-LAT  
 HESS  
 VERITAS  
 MAGIC

### HESS fit

$$\gamma_1 \simeq 3.0$$

$$\gamma_2 \simeq 4.1$$

$$E_{\text{break}} = 900 \text{ GeV}$$

### MAGIC fit

$$\gamma_1 \simeq 3.2 \pm 0.01$$

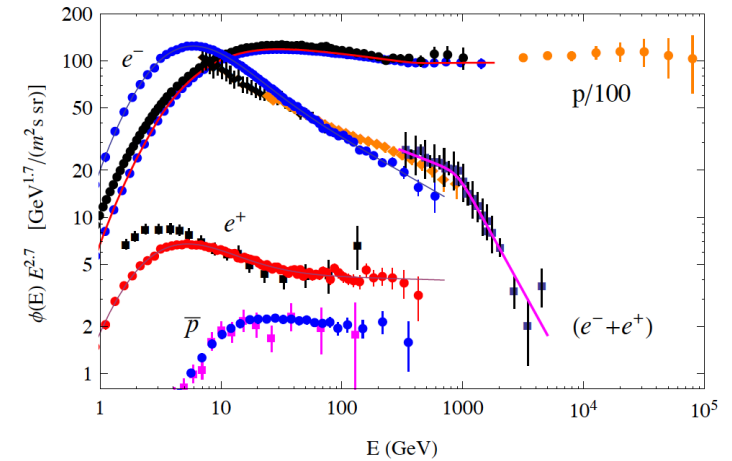
$$\gamma_2 \simeq 4.1 \pm 0.01$$

$$E_{\text{break}} = 710 \pm 40 \text{ GeV}$$

Critical Energy  $E^*$

$$T_{\text{loss}}(E^*) = T_{\text{confinement}}(E^*)$$

[For electrons with  $E > E^*$   
energy losses are important ]



Identify the softening in the all electron spectrum  
and the critical energy

$$E^* = E_{\text{HESS}} \simeq 900 \text{ GeV}$$

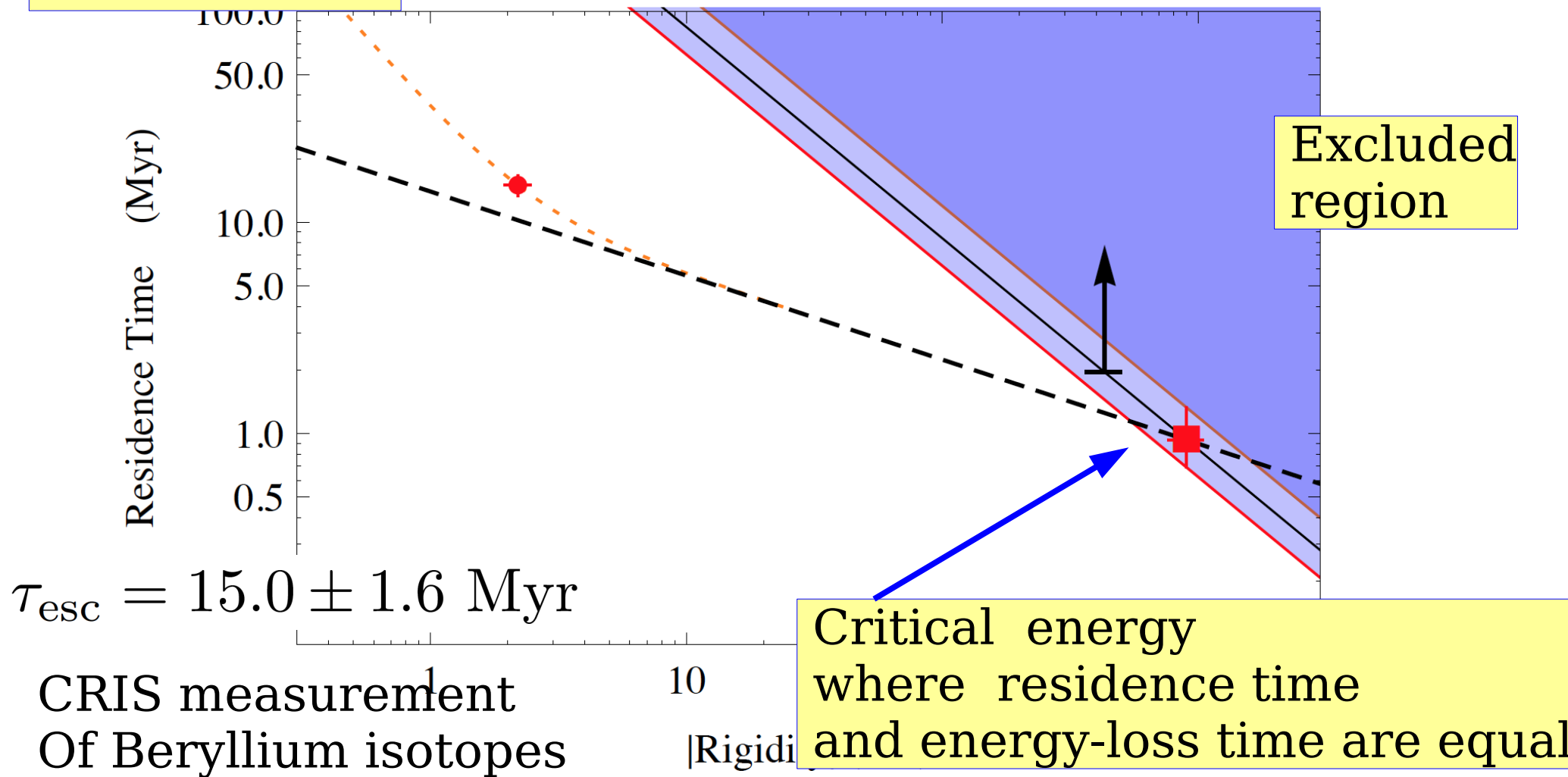
$$T_{\text{confinement}} [E \simeq 900 \text{ GeV}] \simeq 0.7 \div 1.3 \text{ Myr}$$

Range depends on volume  
of confinement



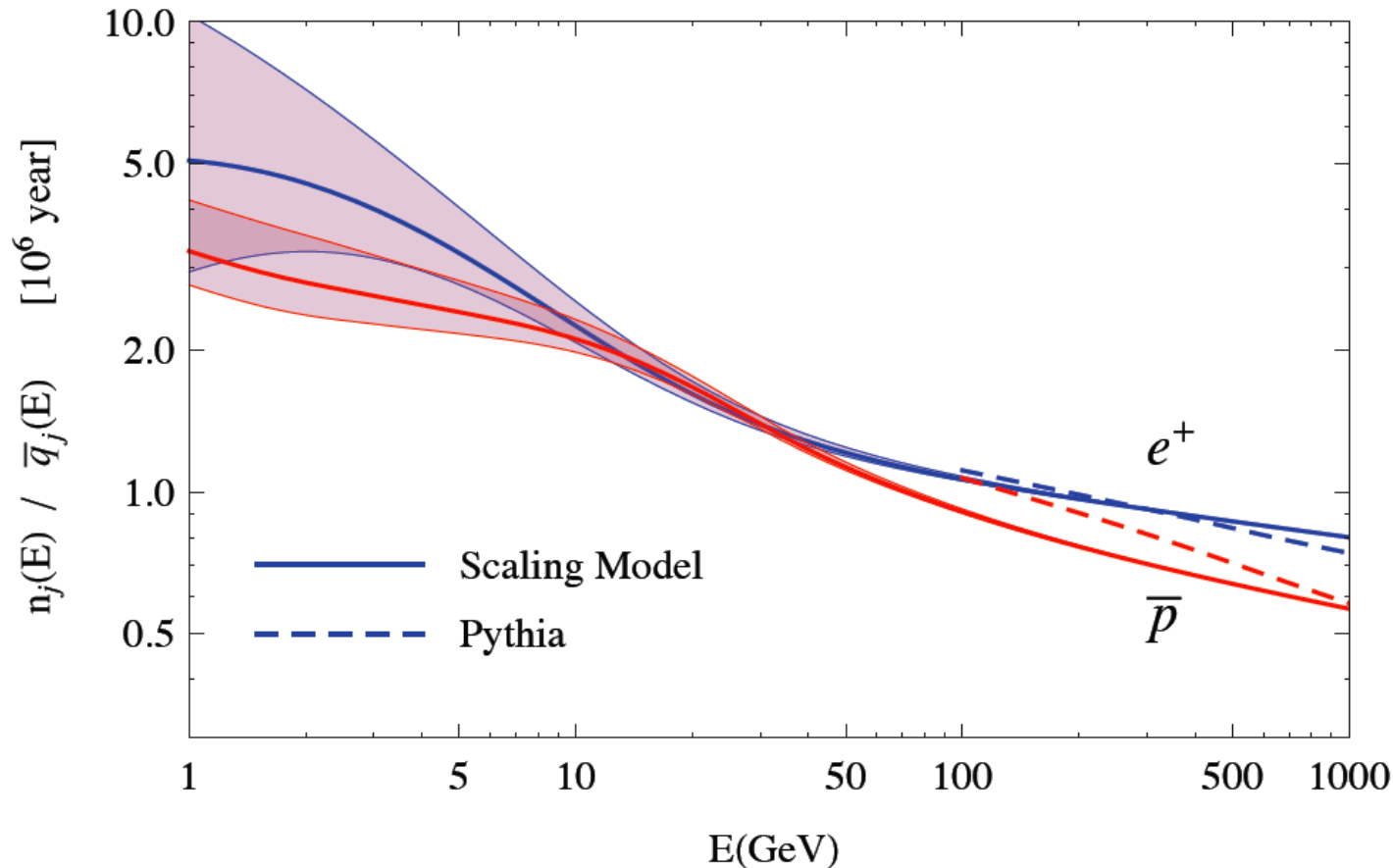
# Constraints on the residence time of $e^\pm$

Beryllium-10  
Measurement



$$\frac{n_{\bar{p}}^{\text{loc}}(E)}{q_{\bar{p}}^{\text{loc}}(E)} = \tau_{\bar{p}}(E)$$

$$\frac{n_{e^+}^{\text{loc}}(E)}{q_{e^+}^{\text{loc}}(E)} = \tau_{e^+}(E)$$



Characteristic time for antiprotons and positrons:  
of order of a few Million years

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Report of Referee A -- DV11636/Lipari  
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This is a very interesting and provocative paper which definitely deserves publication. I have a few minor comments, listed below, but I think the key point is that the author forces us to think again about the interpretation of the cosmic ray data on antiparticles and makes some very interesting observations. It will certainly not be universally accepted, but the work is scientifically sound and will stimulate a welcome debate. I have no hesitation in recommending publication in PRD.

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Report of Referee B -- DV11636/Lipari  
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The manuscript points out that the spectra of positrons and antiprotons are curiously similar, and both are similar to the proton spectrum, at least at high energies. This argument is then used to toy with the idea that both positrons and antiprotons may be secondary products of cosmic ray interactions. In this scenario, no additional source of positrons would be required.

[....]

although it is legitimate to noticing a numerical coincidence, in order to transform it into a physical model one needs to propose at least one scenario in which the alternative model in question can be implemented and possibly ruled out. This is not the case in this manuscript, as I discuss below. In order to make the manuscript suitable for publication, the author should elaborate on possible avenues in which not only the positrons and antiprotons, but also secondary-to-primary ratios (e.g. B/C) are or can be accommodated.

[....]

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Second Report of Referee B -- DV11636/Lipari

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[...]

The fact that the spectra of electrons and protons are required to be different is an implication of the view proposed in this manuscript, but it is rather puzzling for any rigidity dependent electromagnetic model of acceleration. Can the author think of any practical way that the difference in the spectra could be accomplished?

[...]

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Third Report of Referee B -- DV11636/Lipari

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The author addressed all my comments and I think that the paper should now be published in Phys. Rev. D.

[...]

I think that this paper is a well written, fair insight into the problem of CR transport, and will hopefully stimulate the community to question the bases of the so-called standard model, either to make it stronger or to come up with equally strong alternatives.

*How can one discriminate between these two scenarios ?*

1. Different cutoffs in the spectra of positrons and electrons would falsify scenario 1.

2. Study the space and energy distributions of the  $e^{+-}$  component of cosmic ray in the Galaxy  
With gamma astronomy

3. Study the mechanisms in the CR sources (assuming that they are SuperNovae)

## Conclusions:

An understanding of the origin of the positron and antiproton fluxes is of central importance for High Energy Astrophysics.

*Crucial crossroad for the field.*

Most commonly accepted view:

The hard positron flux requires an “extra component”  
Sources of relativistic positrons [Pulsars, DM annihilation] exist.

The similarity of the antiproton and positron fluxes:

[Constant ratio  $e^+/\bar{p} \approx 2$  at high energy ( $E > 30$  GeV)  
[Kinematical suppression of antiprotons at low energy]  
suggests a secondary origin for both fluxes.  
Viable solution, but the implications are profound.

*It is very important to clarify what is the correct explanation*



Preprint: [astro-ph/1608.02018](https://arxiv.org/abs/1608.02018)

Author: Paolo Lipari

“Interpretation of the cosmic ray  
positron and antiproton fluxes”

Recently accepted in Phys.Rev. D

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