

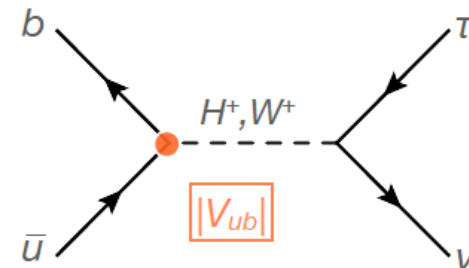
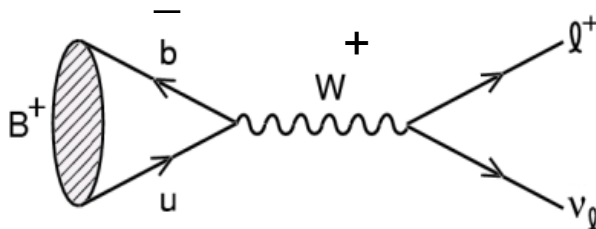
# B $\rightarrow\tau\nu$ status update

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Belle II Italia

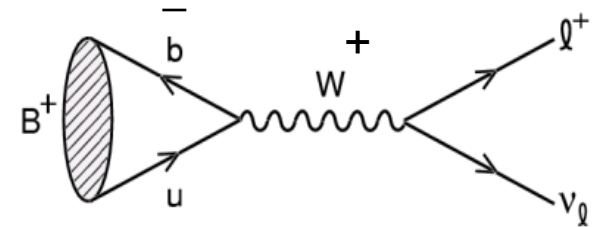
Roma, 14/12/16



- Theory introduction and recent results overview
- B-tag reconstruction: Full Event Interpretation
- Selection and continuum rejection
- Sensitivity of the analysis with a luminosity of  $1 \text{ ab}^{-1}$
- Conclusions and future plans

- Helicity suppressed

$$BR_{SM}(B \rightarrow \ell \nu) = \frac{G_F^2 m_B \tau_B}{8\pi} f_B^2 |V_{ub}|^2 m_\ell^2 \left[ 1 - \frac{m_\ell^2}{m_B^2} \right]^2$$

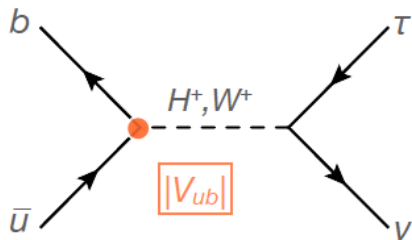


$\tau:\mu:e \rightarrow 1 : 10^{-3} : 10^{-7}$

- The SM predicts a branching ratio of  $\mathcal{B}(B^+ \rightarrow \tau^+ \nu_\tau) = 0.817^{+0.054}_{-0.031} \times 10^{-4}$

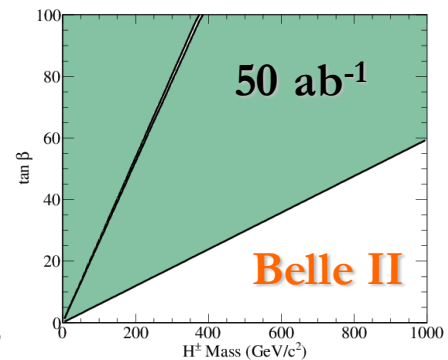
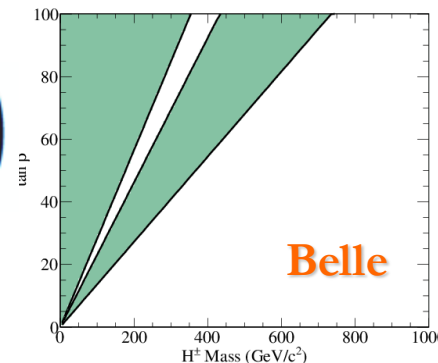
<http://ckmfitter.in2p3.fr/>

Higgs doublet models predict interference with SM decay with a modification of the branching ratio [[PhysRevD.86.054014](#)]

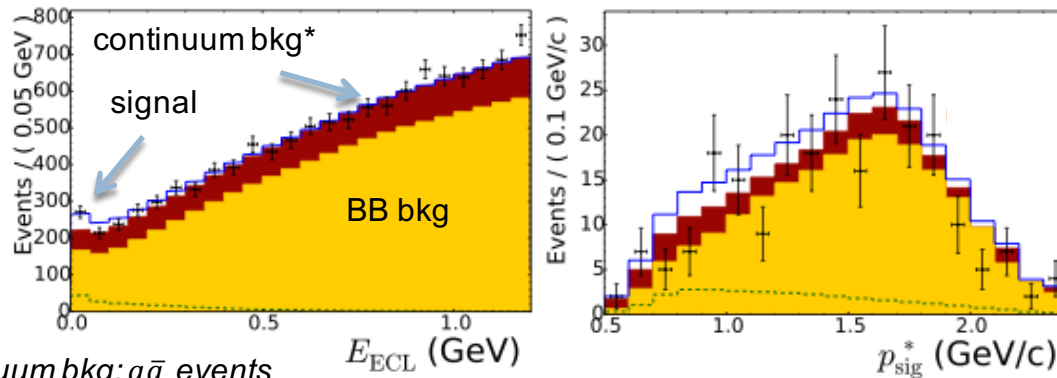


$$B = B_{SM} \times \left( 1 - m_B^2 \frac{\tan^2 \beta}{m_{H^\pm}^2} \right)$$

ratio of the two Higgs  
vacuum expectation values



- First **evidence at Belle** (2006) and **Babar** (2012)
- Most recent measurement (Belle – 2015, using semileptonic tag):
  - use of **multivariate techniques** (neural network) **to reconstruct the tag side**
  - the **signal side** is reconstructed in four modes:  $\tau \rightarrow \mu \nu \nu, e \nu \nu, \pi \nu, \rho \nu$
  - the signal is extracted through a **two-dimensional maximum likelihood fit** to the  $E_{\text{ECL}}$  and  $p_{\text{sig}}^*$  distributions



- $E_{\text{ECL}}$  (later on called  $E_{\text{extra}}$ ) is the sum of the energies of clusters in the ECL not associated to reconstructed B mesons
- $p_{\text{sig}}^*$  is the momentum of the signal side particle in the CM

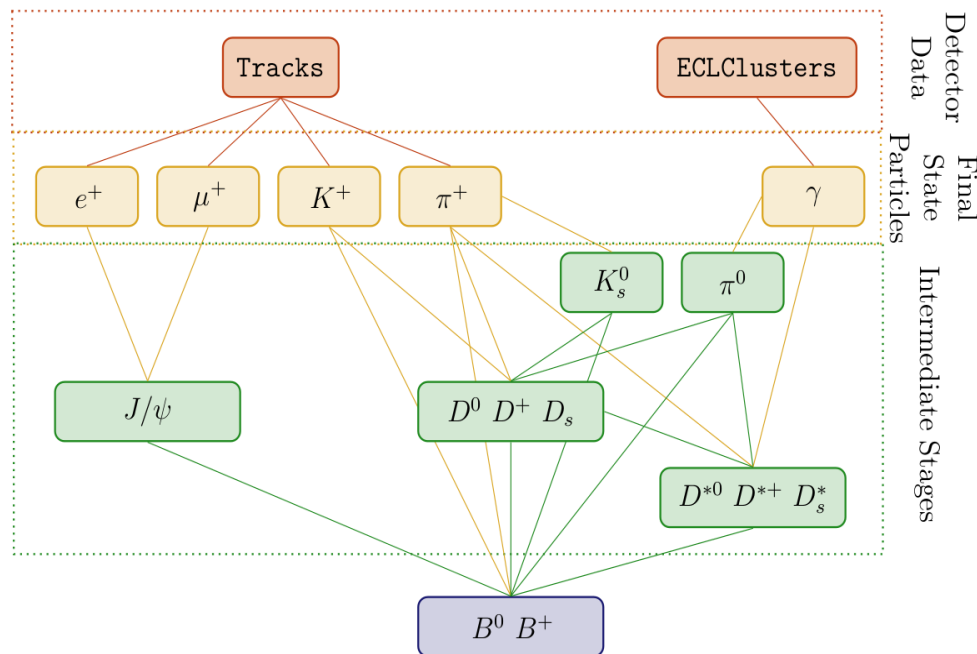
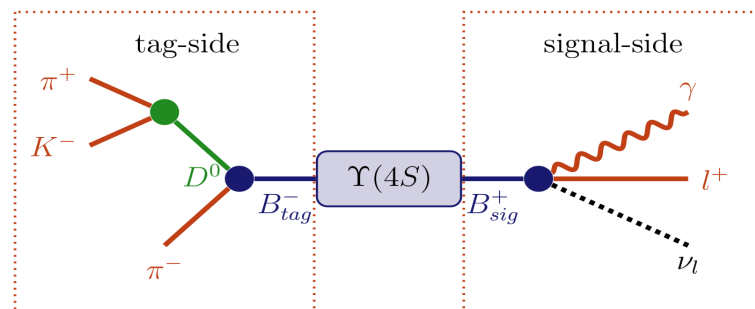
$$\mathcal{B} = [0.91 \pm 0.19(\text{stat.}) \pm 0.11(\text{syst.})] \times 10^{-4} \quad (\text{evidence at } \sim 4.6 \sigma \text{ level})$$

- Developed by Thomas Keck\*, it's an extension of the Full Reconstruction used in Belle, and uses a **multivariate technique to reconstruct the B-tag side** through lots of decay modes in a  $\Upsilon(4S)$  decay.

- Hierarchical approach:** first train multivariate classifiers (MVC) on FSP, then reconstruct intermediate particles and build new dedicated MVC. For each candidate a signal probability ("sigprob") is defined, which represents the "goodness" of its reconstruction.

- Training performed on  $100 \cdot 10^6 B^+ B^- / B^0 \bar{B}^0$  events with beam background

- The result of the training is **analysis independent**.



- Input variables used to train the multivariate classifiers:
  - PID, tracks momenta, impact parameters (**charged FS particles**);
  - cluster info, energy and direction (**photons**);
  - invariant mass, angle between photons, energy and direction ( **$\pi^0$** );
  - released energy, invariant mass, daughter momenta and vertex quality ( **$D^{(*)}_{(s)}$ ,  $J/\psi$** );
  - the same as previous step plus vertex position,  $\Delta E$  (**B**);
  - additionally, for each particle the **classifier output of the daughters** are also used as discriminating variables.

## B tag side

### Hadronic tag using FEI

- 1) Pre-selection on B-tag kinematics\*
- 2) Cut on FEI output discriminant
- 3) Pick the highest sigprob B candidate

\* Beam-constrained mass:  $M_{bc} = \sqrt{E_{beam}^{*2} - p_B^{*2}}$

\* Energy difference:  $\Delta E = E_B^* - E_{beam}^*$

## B sig side

### $B \rightarrow \tau \nu$

- 4 tau modes:  $\mu \nu \nu$ ,  $e \nu \nu$ ,  $\pi \nu$ ,  $\pi \pi^0 \nu$
- PID, ECL cluster cleaning (see next slide)
- $110 < M(\pi^0) < 160 \text{ MeV}$
- $625 < M(\rho) < 925 \text{ MeV}$

Require full reconstruction of tag side and *only one additional track* in the event

Run on MC5 production:

- $100 \cdot 10^6$  events of  $B \rightarrow \tau \nu \rightarrow$  generic with beam background
- $1 \text{ ab}^{-1}$  of  $B^+ B^- / B^0 \bar{B}^0$  and continuum with beam background

<https://confluence.desy.de/display/BI/Computing+MC5Release4Physics>

## PID selection

- Likelihood function based on  $E/p$  and  $dE/dx$
- Cut on the  $LR = L(\text{particle}) / (L(e) + L(\mu) + L(\pi))$

## Photon selection

- Cluster cleaning (to reject photons from beam background) with cuts on photon energy, cluster timing,  $E9/E25$  and minimum distance between the cluster and tracks in the event (separately in forward, barrel and backward detector regions).
- Different cuts for “extra” clusters and for clusters reconstructing  $\pi^0$ s

Detailed talk in WG1 meeting:

<https://kds.kek.jp/indico/event/21392/contribution/0/material/slides/0.pdf>

More details at the Twiki page:

<https://confluence.desy.de/display/BI/Physics+Pi0Reco>

To be optimized with new photons and  $\pi^0$  lists available in release 08 and with the new PID recommendations:

<https://confluence.desy.de/display/BI/Physics+StandardParticles>

## Continuum rejection

- MVA with boosted decision trees (next slide)



- Input Variables:**  $R_2$ ,  $\cos\theta_{th}$ , Cleo Cones and Kakuno Super Fox-Wolfram (KSFW) moments: 30 variables

- R2:**  $R_2 = H_2/H_0$  where  $H_l = \sum_j \frac{|\vec{p}_i||\vec{p}_j|}{W^2} P_l(\cos\vartheta_{ij})$  are the Fox-Wolfram moments
- $\cos\theta_{th}$ :**  $|\cos(\vartheta_{thrust})| = \frac{|\vec{p}_B \cdot \hat{T}|}{|\vec{p}_B|}$  where  $T$  is the thrust axis of the rest of the event
- Cleo Cones:** momentum flow around the B thrust axis in 9 angular bins

- KSFW:** 
$$KSFW = \sum_{l=0}^4 R_l^{so} + \sum_{l=0}^4 R_l^{oo} + \gamma \sum_{n=1}^{N_t} |(P_t)_n|$$

so: particles from b-tag and ROE are considered  
oo: particles from ROE only are considered

scalar sum of the transverse momentum of each particle

c: charged,  
n: neutral,  
m: missing

$$R_l^{so} = \frac{\alpha_{cl} H_{cl}^{so} + \alpha_{nl} H_{nl}^{so} + \alpha_{ml} H_{ml}^{so}}{E_{beam}^* - \Delta E}$$

$$l \text{ odd} \quad H_{cl}^{so} = \sum_i \sum_{jx} Q_i Q_{jx} |p_{jx}| P_l(\cos\theta_{i,jx})$$

$$l \text{ even} \quad H_{xl}^{so} = \sum_i \sum_{jx} |p_{jx}| P_l(\cos\theta_{i,jx})$$

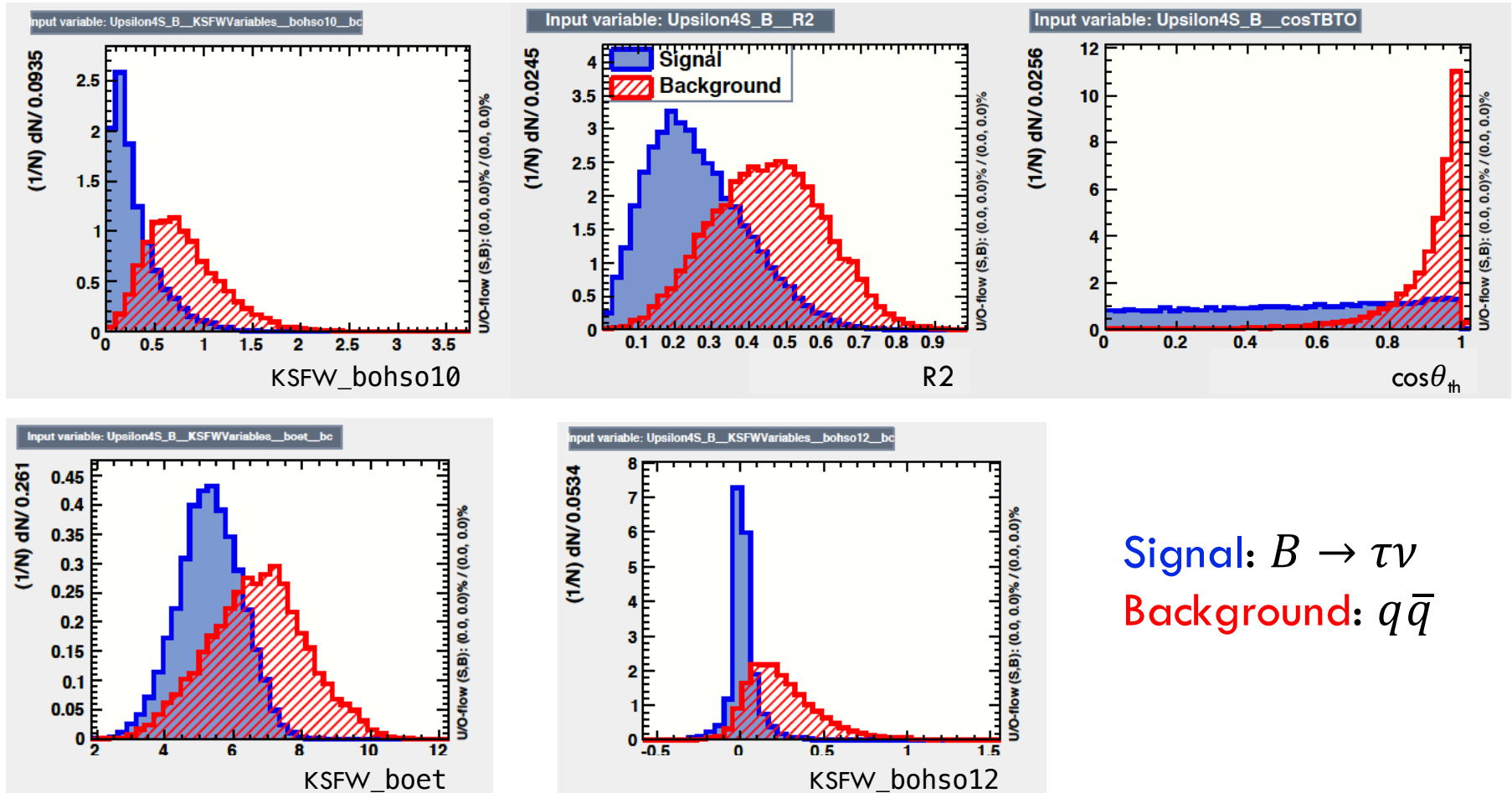
$$l \text{ odd} \quad R_l^{oo} = \sum_j \sum_k \beta_l Q_j Q_k |p_j| |p_k| P_l(\cos\theta_{j,k})$$

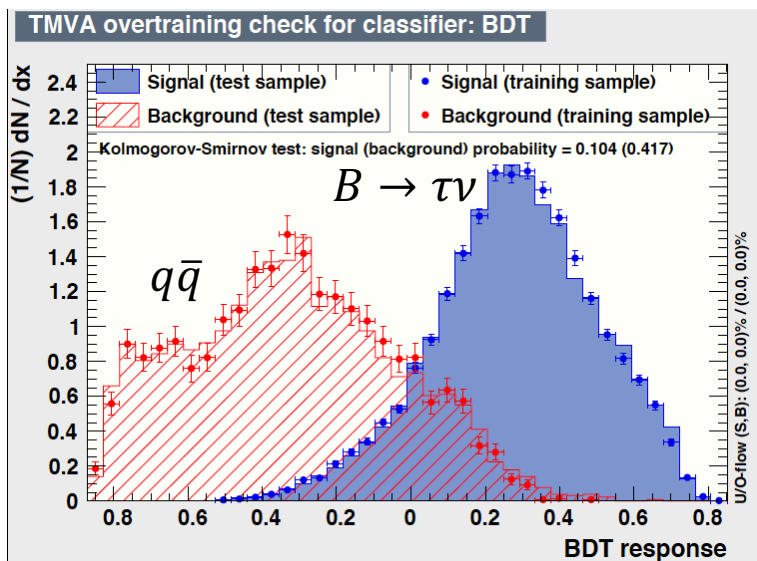
$$l \text{ even} \quad R_l^{oo} = \sum_j \sum_k \beta_l |p_j| |p_k| P_l(\cos\theta_{j,k})$$

- **BDT training**
  - Preselection cuts on  $M_{bc}$  (5.27-5.29 GeV/c<sup>2</sup>) and  $E_{extra}$  (< 0.3 GeV)
  - 20000/3000 events used for signal/background training (~3/10% of the entire samples)
  - Remove the “less powerful” (according to the BDT variable ranking) and highly correlated variables → 20 variables left with a negligible degradation of the BDT performances (i.e. ROC curve integral)

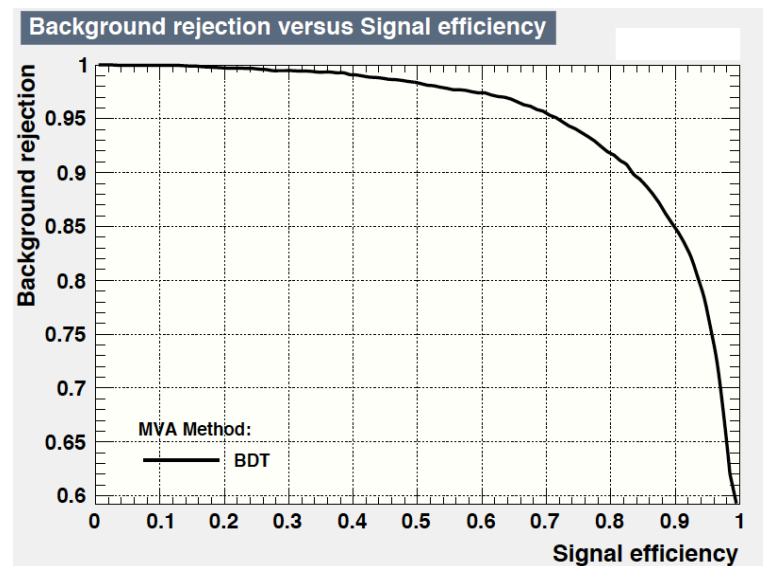
# Highest ranked variables

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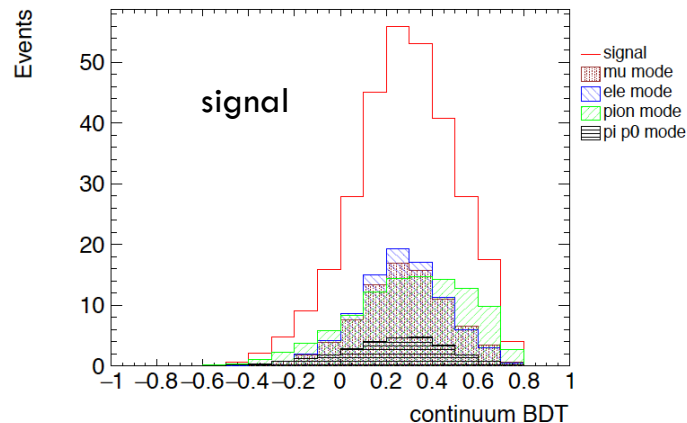
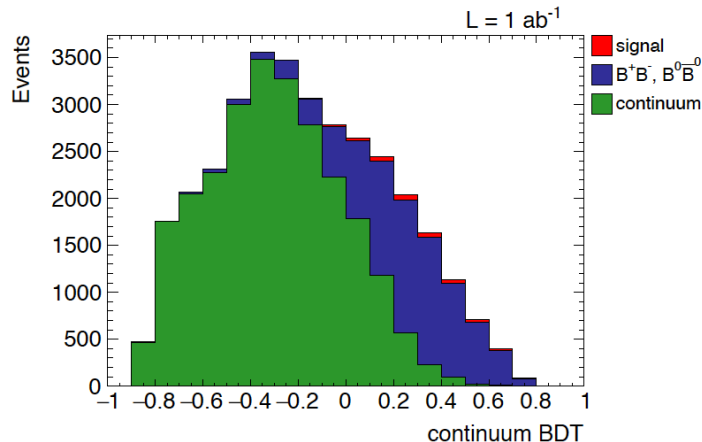


Overtraining under control  
Limited statistics for the backgrounds

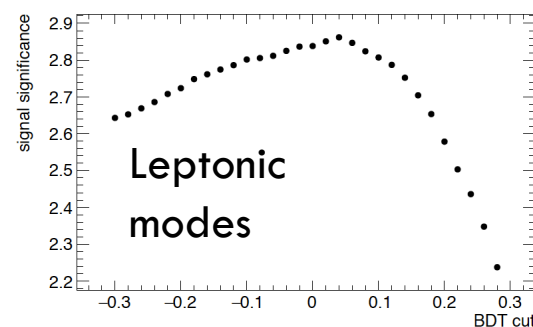
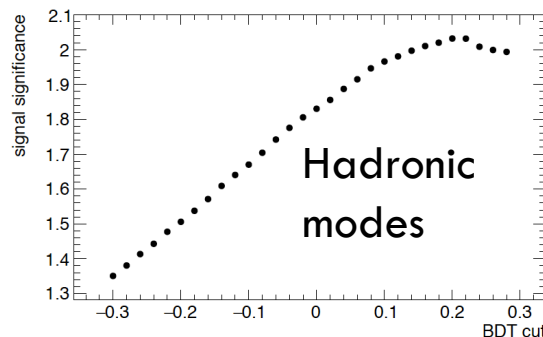


ROC curve

- Good separation power



The BDT cut is optimized in order to maximize the FOM\* in the  $M_{bc}$  and  $E_{\text{extra}}$  signal windows (respectively 5.275-5.29  $\text{GeV}/c^2$  and 0-0.2  $\text{GeV}$ )



The continuum background mostly affects the hadronic modes  $\rightarrow$  apply a tighter cut

*In all the plots shown here and in the next slides the signal and bkg are normalized to  $1 \text{ ab}^{-1}$*

\*estimated as  $S/\sqrt{S+B}$  where  $S$  is  $B \rightarrow \tau\nu$  and  $B$  is  $BB + \text{continuum bkg}$ , normalized to  $1 \text{ ab}^{-1}$

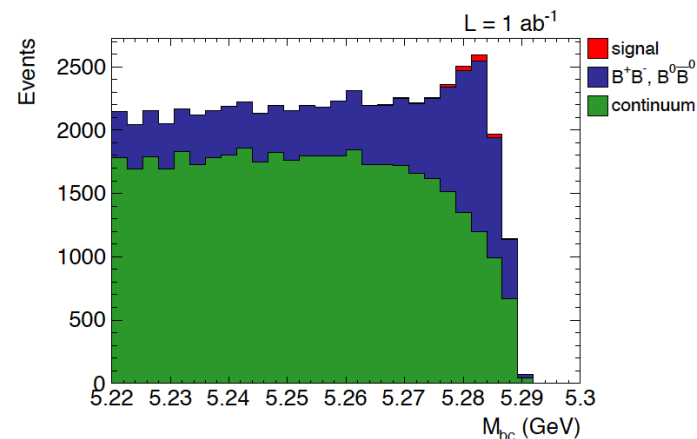
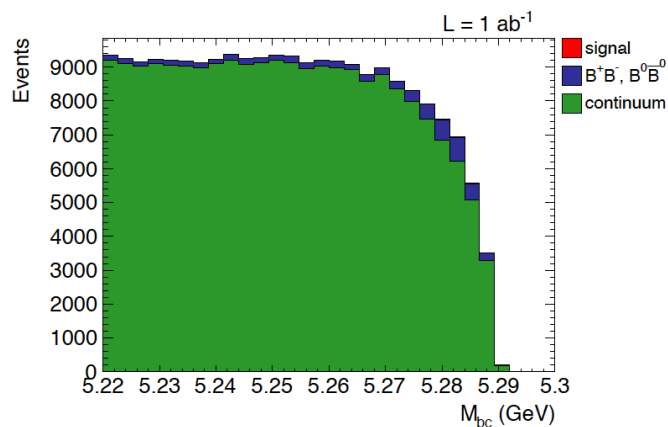
# $M_{bc}$ distribution

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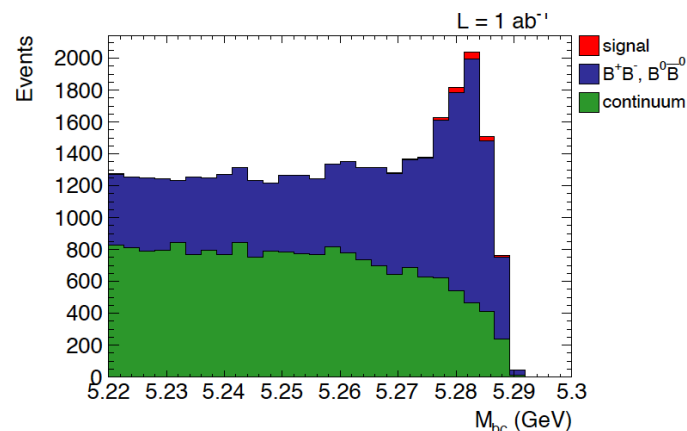
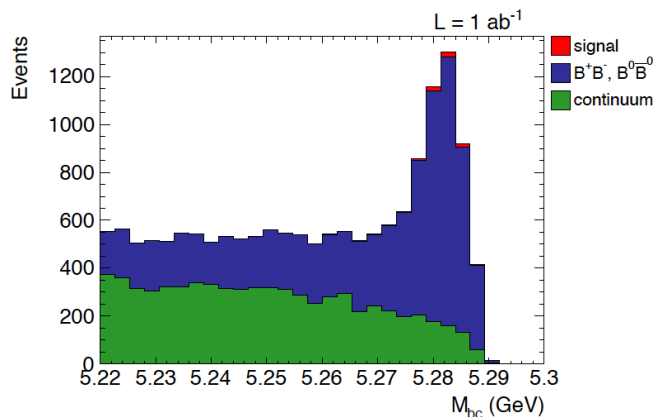
hadronic modes

leptonic modes

Before  
BDT cuts



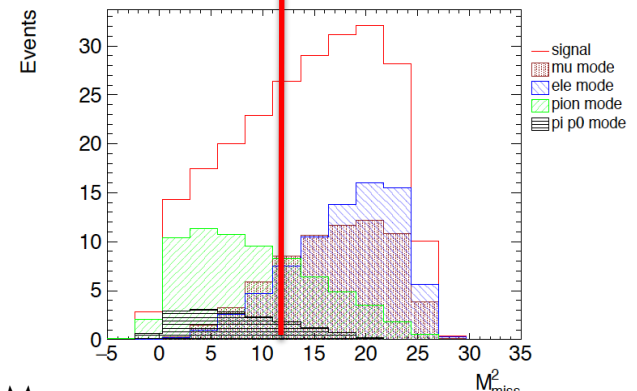
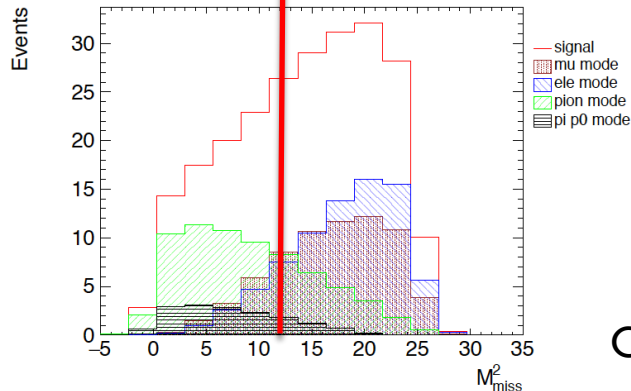
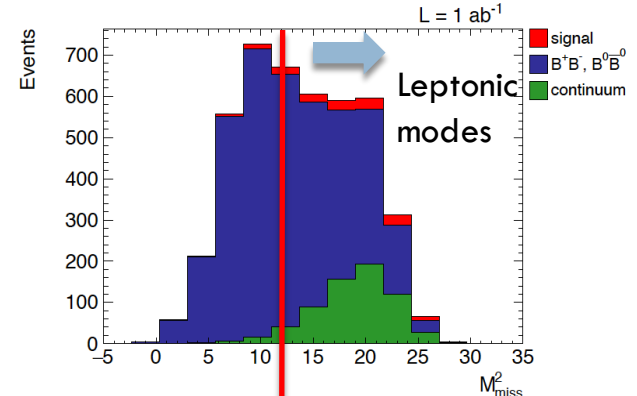
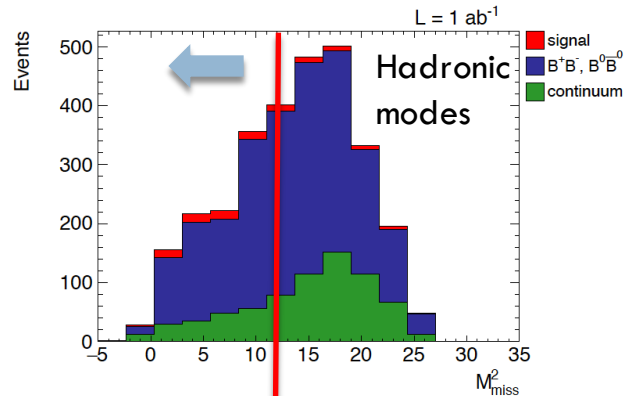
After  
BDT cuts



$$5.275 < M_{bc} < 5.29 \text{ GeV}/c^2$$

Missing mass squared

$$M_{miss}^2 = \left(2E_{beam} - E_{B_{tag}} - E_{B_{sig}}\right)^2 - \left|\vec{p}_{B_{tag}} + \vec{p}_{B_{sig}}\right|^2$$



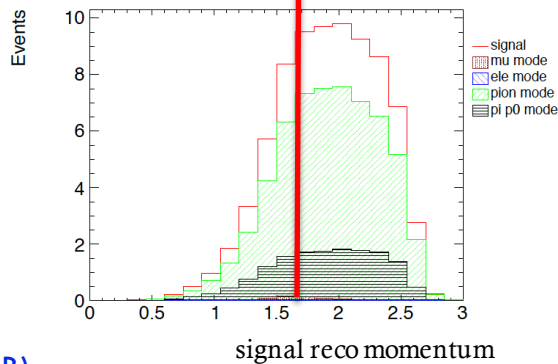
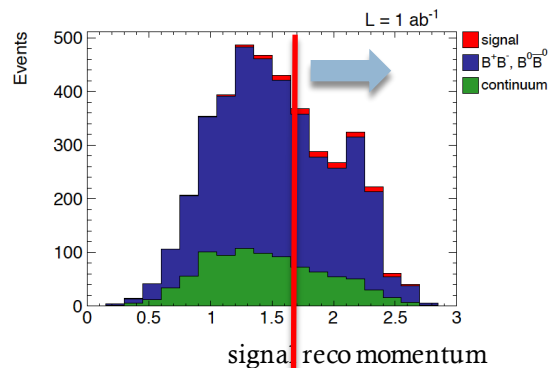
$$M_{miss}^2 < 12 \text{ GeV}^2$$

Cut points chosen  
maximizing the FOM:  
 $S/\sqrt{S+B}$

$$M_{miss}^2 > 12 \text{ GeV}^2$$

Highly correlated with  
the missing mass

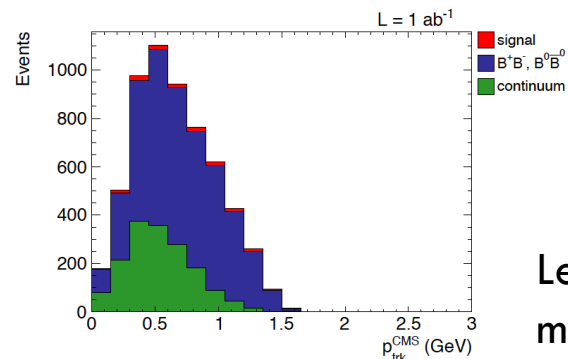
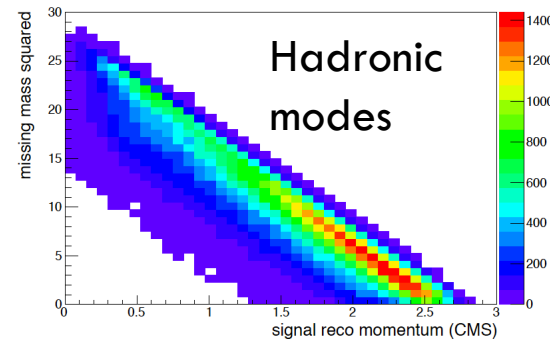
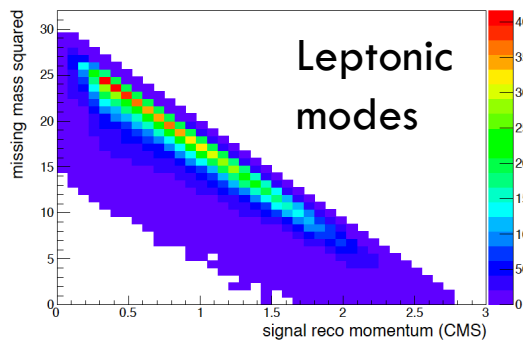
## Hadronic modes



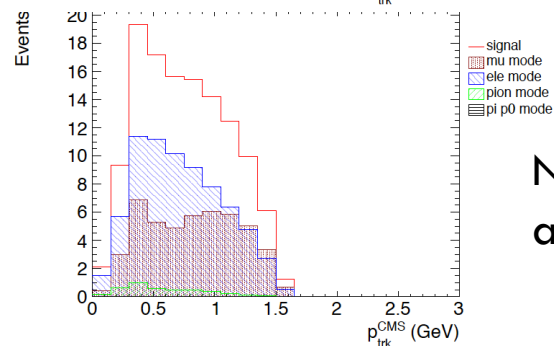
$$p_{\text{sig}} (\text{CMS}) > 1.6 \text{ GeV}$$

FOM:

$$S/\sqrt{S+B}$$



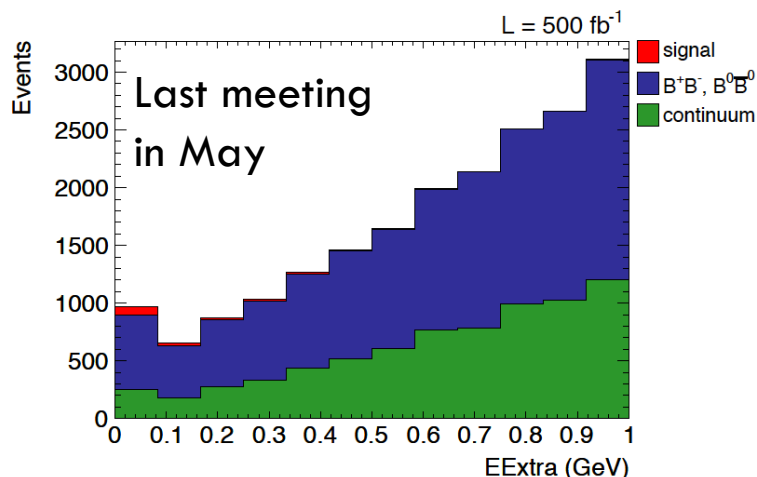
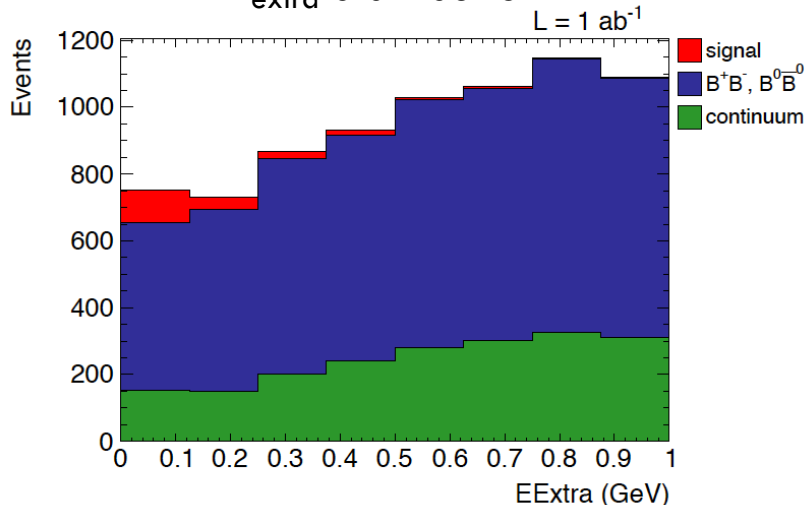
Leptonic  
modes



No cut  
applied



$E_{\text{extra}}$  distribution



Signal and background event yields in  $1 \text{ ab}^{-1}$

$E_{\text{extra}} < 1 \text{ GeV}$

- sig: 188 events

- bkg: 7420 events

(1965 qq + 5455 BB)

$E_{\text{extra}} < 0.2 \text{ GeV}$

- sig: 123 events

- bkg: 1013 events

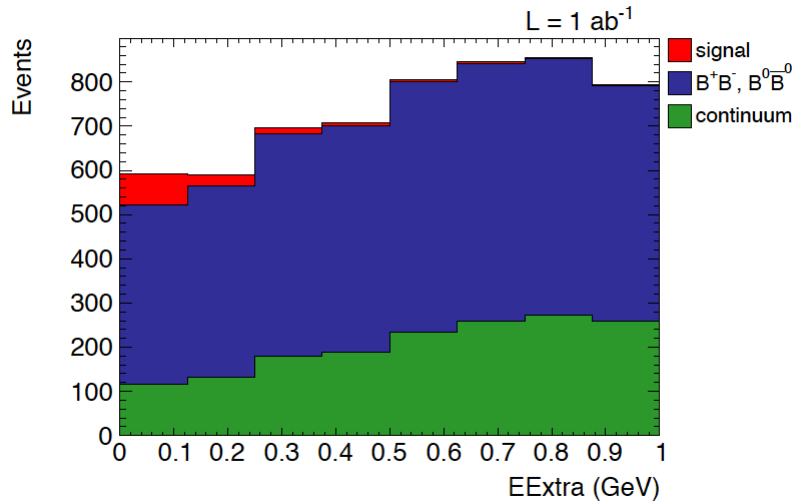
$E_{\text{extra}} < 1 \text{ GeV}$	Babar <a href="#">PRD 88,</a> <a href="#">031102 (2013)</a>	Belle <a href="#">PRL 110,</a> <a href="#">131801 (2013)</a>	Belle II (this analysis)
Signal Efficiency (%)	0.72	1.1	2.2

N.B. “offline” rough correction of the branching ratios applied: in MC5 many B decay modes were modelled with wrong BR  $\rightarrow$  **mean weight of 0.62 applied** to correctly reconstructed B candidates (for both signal and background).

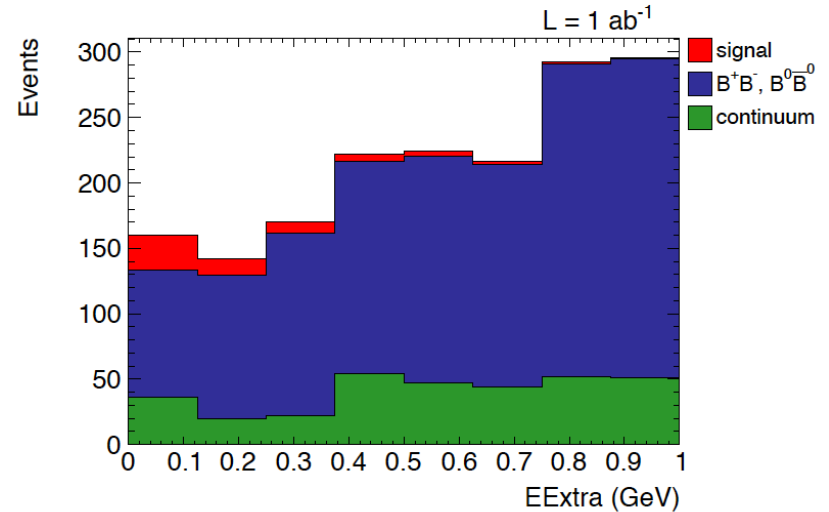
# $E_{\text{extra}}$ and event yields in the different channels

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Leptonic channels



Hadronic channels



#events in (0 - 1.0) GeV	Lep channels	Had channels	Total
Signal	126	62	188
Background	5758	1662	7420

#events in (0 - 0.2) GeV	Lep channels	Had channels	Total
Signal	88	35	123
Background	817	196	1013

- Perform a 1D fit to the  $E_{\text{extra}}$  distribution
  - Generate a pseudo-dataset according to the signal + background MC expectations
  - Perform a template maximum likelihood fit to  $E_{\text{extra}}$  with two components: signal and background pdfs built from the expected MC distributions

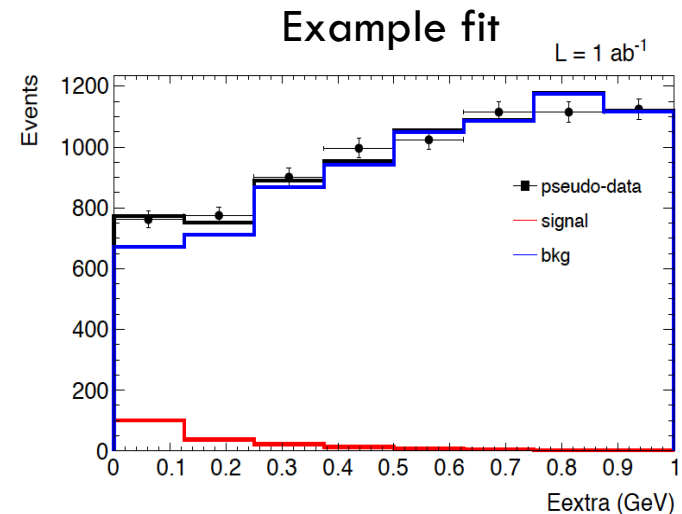
- Toy MC with 20000 pseudo-datasets:

mean fitted signal yield = 188 evts  $\rightarrow$  no bias  
 mean uncertainty = 55 evts



$$\text{BR}(B \rightarrow \tau \nu) = 0.83 \pm 0.24 \times 10^{-4}$$

$\sim 30\%$  precision

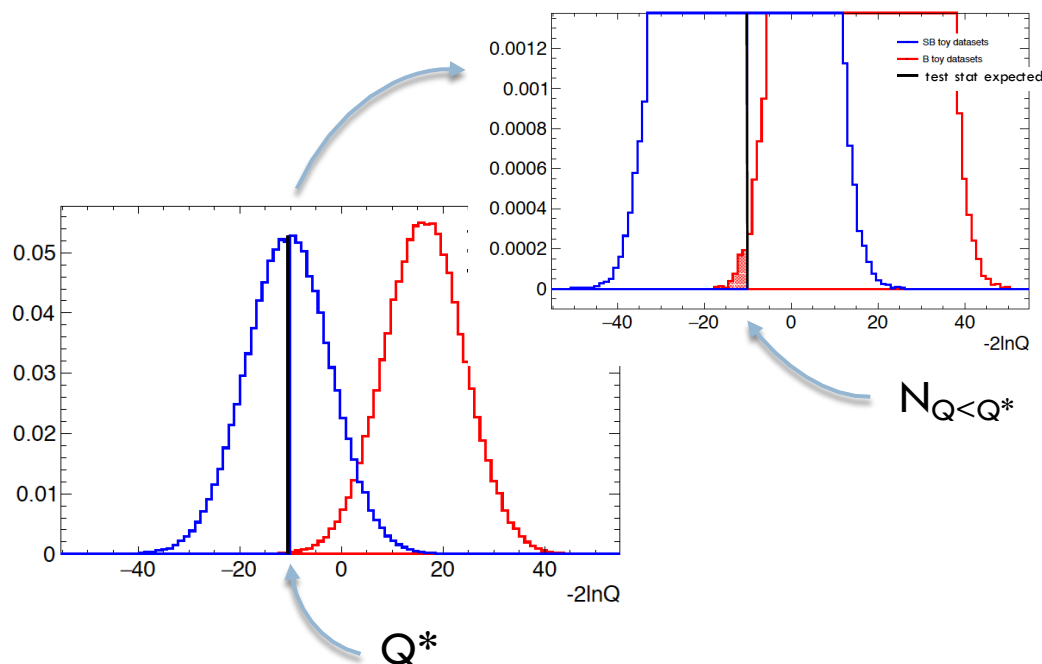


# Expected sensitivity of the measurement with CLb method

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- Define the test statistics  $Q = -2\ln[L(s+b)/L(b)]$  and perform 200000 pseudo-experiments generating pseudo-datasets sampled from S+B and B only  $E_{\text{extra}}$  distributions.
- Evaluate the expected p-value of the null hypothesis on the toys background samples as  $1-CL_b = N_{Q < Q^*}/N$ , where  $N_{Q < Q^*}$  is the number of pseudo-experiments with Q lower than the mean of the test statistics distributions on the S+B toy samples  $Q^*$ , and N is the total number of pseudo-experiments.

blue hist distribution of Q evaluated on S+B toy datasets  
red hist: distribution of Q evaluated on B only toy datasets  
Black line: expected value of Q in the S+B hypothesis



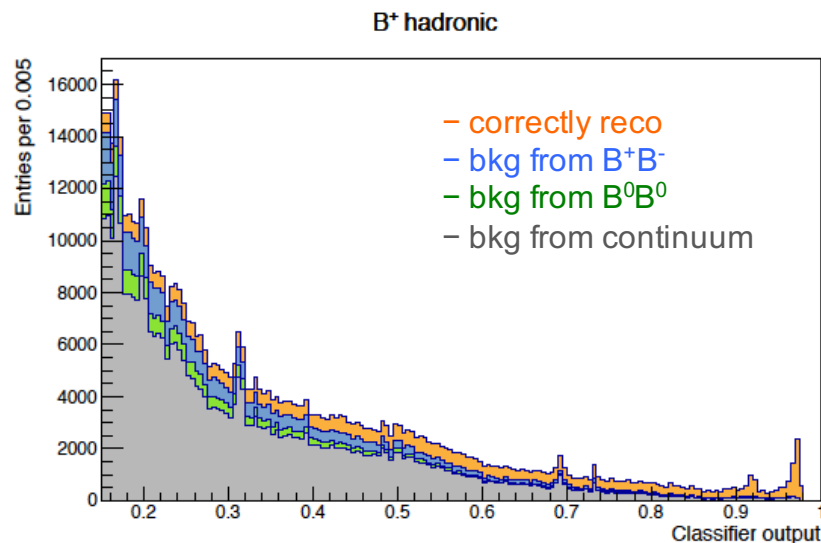
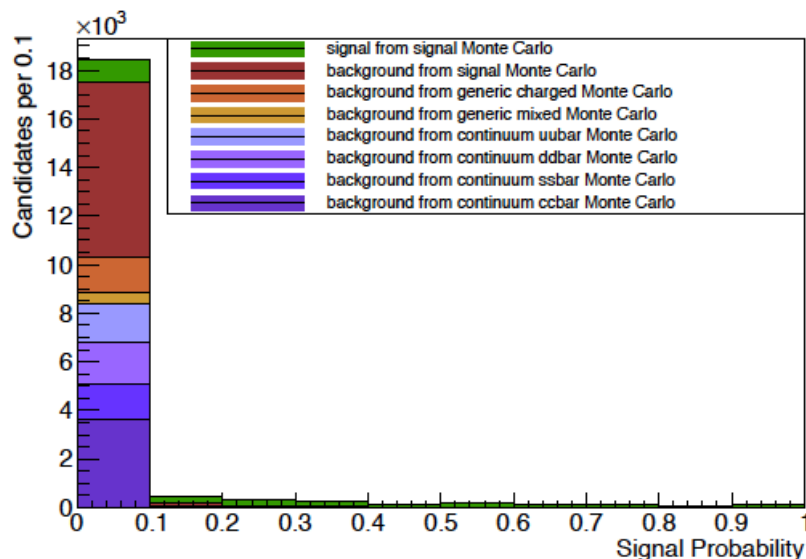
p-val = 0.000385  $\rightarrow$  significance: 3.4  $\sigma$

- 1  $\text{ab}^{-1}$  of MC5 production analysed
- The signal efficiency in the region  $E_{\text{extra}} < 1 \text{ GeV}$  is found to be 2.2 ‰ (bkg efficiency  $\sim 10^{-6} \div 10^{-7}$ )
- Sensitivity/precision of the analysis estimated with toy MC performing maximum likelihood fit to  $E_{\text{extra}}$  distribution. In 1  $\text{ab}^{-1}$ , assuming a branching ratio of  $0.83 \times 10^{-4}$ , the expected statistical uncertainty is  $\sim 30\%$ , corresponding to an expected sensitivity of  $3.4 \sigma$ .
- To do list:
  - Run on MC7 with correct branching ratios (MC7 complete by the end of the month)
  - Set up a simultaneous fit assuming the leptonic and hadronic tau BRs
  - Perform a realistic estimation of the systematic uncertainties (B tag efficiency, signal efficiency)
  - Study the  $E_{\text{extra}}$  peaking background (mainly semileptonic B decays with KL)



# Backup





from Christian Pulvermacher PhD thesis

Total reconstruction efficiency compared with Belle I

## Belle II

$B^+$  (hadronic) 0.78 %

$B^0$  (hadronic) 0.59 %

$B^+$  (semileptonic) 1.05 %

$B^0$  (semileptonic) 1.17 %

## Belle I

$B^+$  (hadronic) 0.39 %

$B^0$  (hadronic) 0.28 %

$B^+$  (semileptonic) 0.80 %

$B^0$  (semileptonic) 0.86 %

Belle paper, hadronic tag,  
PRL 110, 131801 (2013)

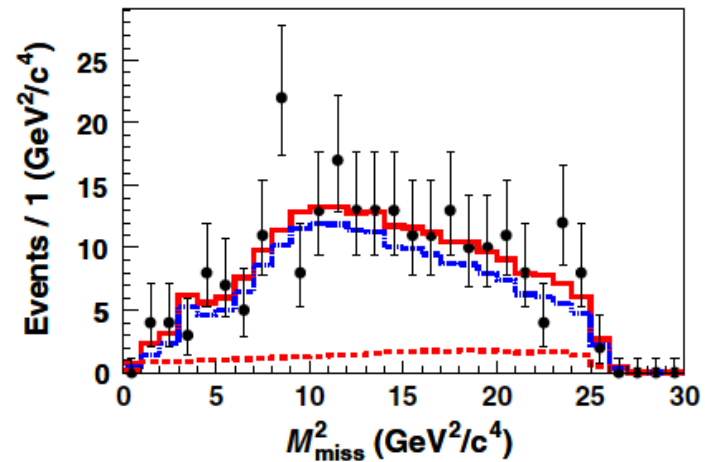
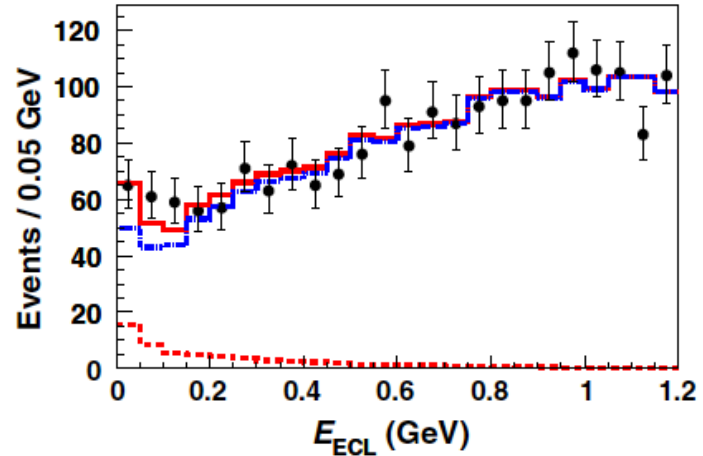
Entire Belle data sample  $\sim 700 \text{ fb}^{-1}$

TABLE I. Results of the fit for  $B^- \rightarrow \tau^- \bar{\nu}_\tau$  yields ( $N_{\text{sig}}$ ), detection efficiencies ( $\epsilon$ ), and branching fractions ( $\mathcal{B}$ ). The efficiencies include the branching fractions of the  $\tau^-$  decay modes. The errors for  $N_{\text{sig}}$  and  $\mathcal{B}$  are statistical only.

Submode	$N_{\text{sig}}$	$\epsilon$ ( $10^{-4}$ )	$\mathcal{B}$ ( $10^{-4}$ )
$\tau^- \rightarrow e^- \bar{\nu}_e \nu_\tau$	$16_{-9}^{+11}$	3.0	$0.68_{-0.41}^{+0.49}$
$\tau^- \rightarrow \mu^- \bar{\nu}_\mu \nu_\tau$	$26_{-14}^{+15}$	3.1	$1.06_{-0.58}^{+0.63}$
$\tau^- \rightarrow \pi^- \nu_\tau$	$8_{-8}^{+10}$	1.8	$0.57_{-0.59}^{+0.70}$
$\tau^- \rightarrow \pi^- \pi^0 \nu_\tau$	$14_{-16}^{+19}$	3.4	$0.52_{-0.62}^{+0.72}$
Combined	$62_{-22}^{+23}$	11.2	$0.72_{-0.25}^{+0.27}$

$$\mathcal{B}(B^- \rightarrow \tau^- \bar{\nu}_\tau) = [0.72_{-0.25}^{+0.27}(\text{stat}) \pm 0.11(\text{syst})] \times 10^{-4}$$

Significance:  $3.0 \sigma$





## Y4S photons

- $E > 72 \text{ MeV}$ ,  $-114 < \text{clusterTiming} < -46$ ,  $E9E25 > 0.800$ ,  $\text{minC2HDist} > 39 \text{ cm}$  — forward
- $E > 71 \text{ MeV}$ ,  $-112 < \text{clusterTiming} < -48$ ,  $E9E25 > 0.805$ ,  $\text{minC2HDist} > 29 \text{ cm}$  — barrel
- $E > 66 \text{ MeV}$ ,  $-142 < \text{clusterTiming} < -18$ ,  $E9E25 > 0.710$ ,  $\text{minC2HDist} > 23 \text{ cm}$  — backward

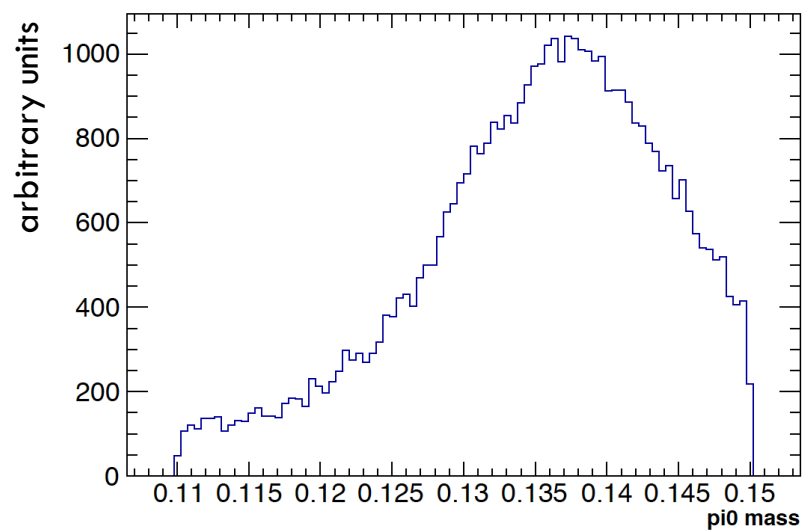
Each cut corresponds to an efficiency of photons from physics of 95%

## Extra photons

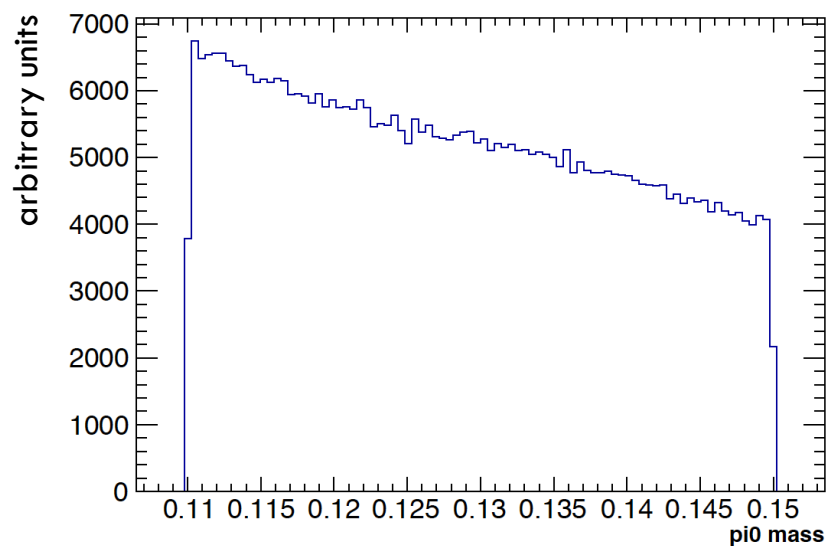
- $E > 48 \text{ MeV}$ ,  $-121 < \text{clusterTiming} < -39$ ,  $E9E25 > 0.665$ ,  $\text{minC2HDist} > 32 \text{ cm}$  — forward
- $E > 51 \text{ MeV}$ ,  $-123 < \text{clusterTiming} < -37$ ,  $E9E25 > 0.685$ ,  $\text{minC2HDist} > 22 \text{ cm}$  — barrel
- $E > 49 \text{ MeV}$ ,  $-151 < \text{clusterTiming} < -9$ ,  $E9E25 > 0.650$ ,  $\text{minC2HDist} > 24 \text{ cm}$  — backward

Each cut corresponds to an efficiency of photons from physics of 90%

After selection



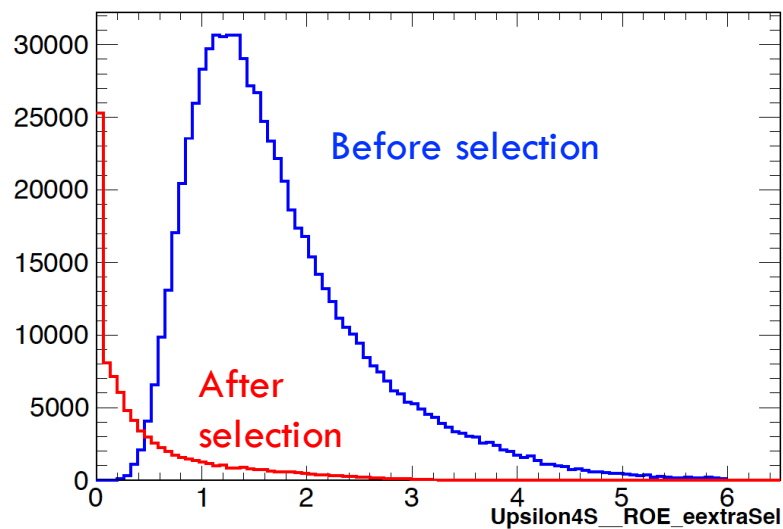
Before selection



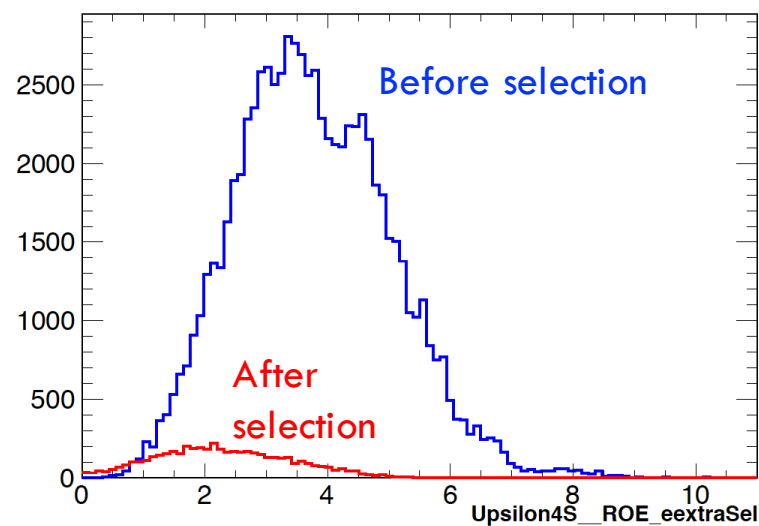
Signal  $B \rightarrow \tau \nu$  sample

## Extra cluster cleaning selection

signal  $B \rightarrow \tau \nu$



$B^+B^-$  bkg



Photon and PID selection eff: 12.2 %

N.B. before PID selection we have a lot of multiple candidates (particle reconstructed as mu and ele and pi)