

GPD phenomenology

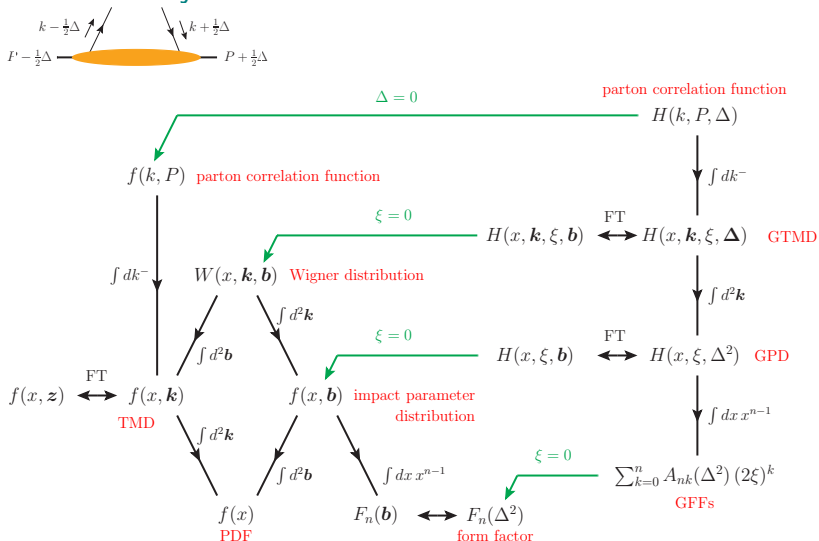
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Family tree of hadron structure functions



[Fig. by Markus Diehl]

($\xi \rightarrow \eta$ from now on)

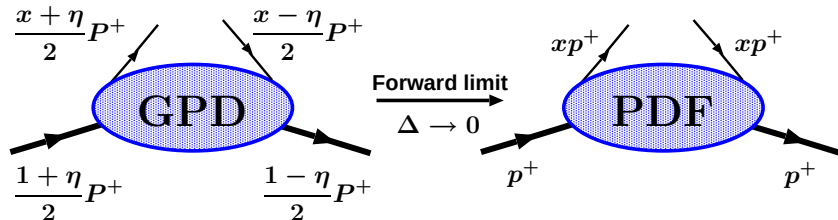
Definition of GPDs

- In QCD **GPDs** are defined as [Müller '92, et al. '94, Ji, Radyushkin '96]

$$F^q(x, \eta, t) = \int \frac{dz^-}{2\pi} e^{ixP^+z^-} \langle P_2 | \bar{q}(-z) \gamma^+ q(z) | P_1 \rangle \Big|_{z^+=0, z_\perp=0}$$

$$\tilde{F}^q(x, \eta, t) = \int \frac{dz^-}{2\pi} e^{ixP^+z^-} \langle P_2 | \bar{q}(-z) \gamma^+ \gamma_5 q(z) | P_1 \rangle \Big|_{z^+=0, z_\perp=0}$$

(and similarly for gluons **F^g** and **$\tilde{F}^g$**).



$$P = P_1 + P_2; \quad t = \Delta^2 = (P_2 - P_1)^2; \quad \eta = -\frac{\Delta^+}{P^+} \text{ (skewedness)}$$

Some properties of GPDs

- Decomposing into spin-non-flip and spin-flip part:

$$F^a = \frac{\bar{u}(P_2)\gamma^+u(P_1)}{P^+} H^a + \frac{\bar{u}(P_2)i\sigma^{+\nu}u(P_1)\Delta_\nu}{2MP^+} E^a \quad a = q, g$$

$$\tilde{F}^a = \frac{\bar{u}(P_2)\gamma^+\gamma_5u(P_1)}{P^+} \tilde{H}^a + \frac{\bar{u}(P_2)\gamma_5u(P_1)\Delta^+}{2MP^+} \tilde{E}^a \quad a = q, g$$

- “Ji’s sum rule” (related to proton spin problem)

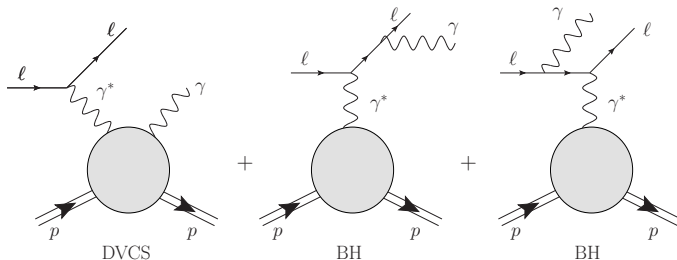
$$J^q = \frac{1}{2} \int_{-1}^1 dx x \left[H^q(x, \eta, t) + E^q(x, \eta, t) \right]_{t \rightarrow 0} \quad [\text{Ji '96}]$$

- Distribution of partons in transversal space

$$\rho(x, \vec{b}_\perp) = \int \frac{d^2\vec{\Delta}_\perp}{(2\pi)^2} e^{-i\vec{b}_\perp \cdot \vec{\Delta}_\perp} H(x, 0, -\vec{\Delta}_\perp^2) \quad [\text{Burkardt '00}]$$

Access to GPDs via DVCS

- **Deeply virtual Compton scattering (DVCS)** — “gold plated” process of exclusive physics
- DVCS is measured via lepton production of a photon



- **Interference** with Bethe-Heitler process gives unique access to both real and imaginary part of DVCS amplitude.

DVCS cross section

$$d\sigma \propto |\mathcal{T}|^2 = |\mathcal{T}_{\text{BH}}|^2 + |\mathcal{T}_{\text{DVCS}}|^2 + \mathcal{I}.$$

$$\mathcal{I} \propto \frac{-e_\ell}{\mathcal{P}_1(\phi)\mathcal{P}_2(\phi)} \left\{ c_0^{\mathcal{I}} + \sum_{n=1}^3 [c_n^{\mathcal{I}} \cos(n\phi) + s_n^{\mathcal{I}} \sin(n\phi)] \right\},$$

$$|\mathcal{T}_{\text{DVCS}}|^2 \propto \left\{ c_0^{\text{DVCS}} + \sum_{n=1}^2 [c_n^{\text{DVCS}} \cos(n\phi) + s_n^{\text{DVCS}} \sin(n\phi)] \right\},$$

- Choosing polarizations (and charges) we focus on particular harmonics:

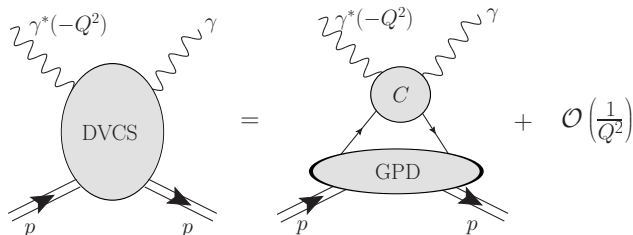
$$c_{1,\text{unpol.}}^{\mathcal{I}} \propto \left[F_1 \Re \mathcal{H} - \frac{t}{4M_p^2} F_2 \Re \mathcal{E} + \frac{x_B}{2 - x_B} (F_1 + F_2) \Re \tilde{\mathcal{H}} \right]$$

[Belitsky, Müller et. al '01–'14]

- $\mathcal{H}(x_B, t, Q^2), \dots$ — four Compton form factors (CFFs)

Factorization of DVCS $\longrightarrow$ GPDs

- [Collins et al. '98]



- Compton form factor is a convolution:

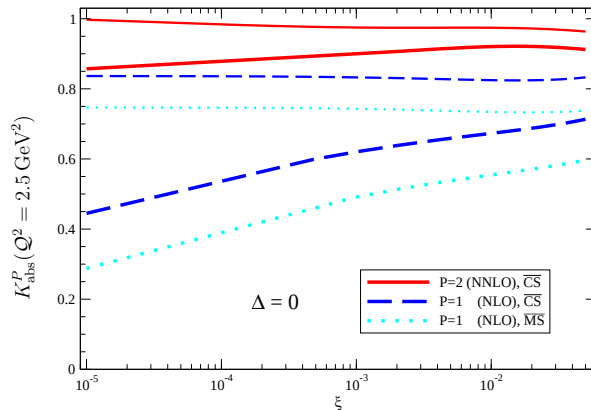
$$^a\mathcal{H}(x_B, t, Q^2) = \int dx \, C^a(x, \frac{x_B}{2 - x_B}, \frac{Q^2}{Q_0^2}) H^a(x, \frac{x_B}{2 - x_B}, t, Q_0^2)$$

$a=q, G$

- $H^a(x, \eta, t, Q_0^2)$ — Generalized parton distribution (GPD)

(N)NLO corrections

- [K.K., Müller and Passek-K. '07]

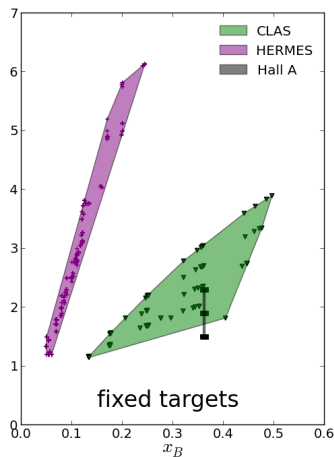
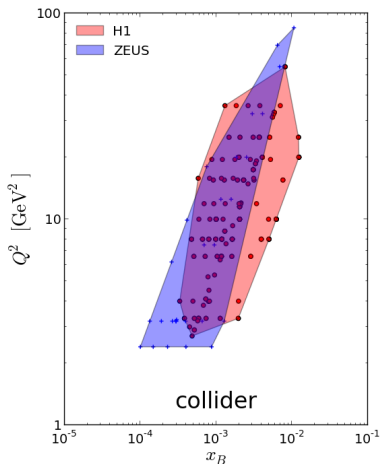


Thick lines:
 “hard” gluon
 $N_G = 0.4$
 $\alpha_G(0) = \alpha_\Sigma(0) + 0.05$

Thin lines:
 “soft” gluon
 $N_G = 0.3$
 $\alpha_G(0) = \alpha_\Sigma(0) - 0.02$

$$K_{\text{abs}}^P \equiv \left| \frac{\mathcal{H}^{(P)}}{\mathcal{H}^{(P-1)}} \right|$$

Experimental coverage (1/2)



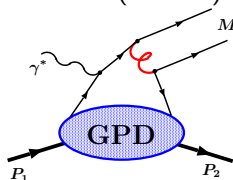
- Coming soon: COMPASS II, JLab@12, ... EIC

Experimental coverage (2/2)

Collab.	Year	Observables	Kinematics			No. of points	
			x_B	Q^2 [GeV ²]	$ t $ [GeV ²]	total	indep.
HERMES	2001	$A_{LU}^{\sin\phi}$	0.11	2.6	0.27	1	1
CLAS	2001	$A_{LU}^{\sin\phi}$	0.19	1.25	0.19	1	1
CLAS	2006	$A_{UL}^{\sin\phi}$	0.2–0.4	1.82	0.15–0.44	6	3
HERMES	2006	$A_C^{\cos\phi}$	0.08–0.12	2.0–3.7	0.03–0.42	4	4
Hall A	2006	$\sigma(\phi), \Delta\sigma(\phi)$	0.36	1.5–2.3	0.17–0.33	4×24+12×24	4×24+12×24
CLAS	2007	$A_{LU}(\phi)$	0.11–0.58	1.0–4.8	0.09–1.8	62×12	62×12
HERMES	2008	$A_C^{\cos(0,1)\phi}, A_{UT,DVCS}^{\sin(\phi-\phi_S)}$	0.03–0.35	1–10	<0.7	12+12+12	4+4+4
		$A_{UT,I}^{\sin(\phi-\phi_S)\cos(0,1)\phi}$				12+12	4+4
		$A_{UT,I}^{\cos(\phi-\phi_S)\sin\phi}$				12	4
CLAS	2008	$A_{LU}(\phi)$	0.12–0.48	1.0–2.8	0.1–0.8	66	33
HERMES	2009	$A_{LU,I}^{\sin(1,2)\phi}, A_{LU,DVCS}^{\sin\phi}$	0.05–0.24	1.2–5.75	<0.7	18+18+18	6+6+6
		$A_C^{\cos(0,1,2,3)\phi}$				18+18+18+18	6+6+6+6
HERMES	2010	$A_{UL}^{\sin(1,2,3)\phi}$	0.03–0.35	1–10	<0.7	12+12+12	4+4+4
		$A_{LL}^{\cos(0,1,2)\phi}$				12+12+12	4+4+4
HERMES	2011	$A_{LT,I}^{\cos(\phi-\phi_S)\cos(0,1,2)\phi}$	0.03–0.35	1–10	<0.7	12+12+12	4+4+4
		$A_{LT,I}^{\sin(\phi-\phi_S)\sin(1,2)\phi}$				12+12	4+4
		$A_{LT,BH+DVCS}^{\cos(\phi-\phi_S)\cos(0,1)\phi}$				12+12	4+4
		$A_{LT,BH+DVCS}^{\sin(\phi-\phi_S)\sin\phi}$				12	4
HERMES	2012	$A_{LU,I}^{\sin(1,2)\phi}, A_{LU,DVCS}^{\sin\phi}$	0.03–0.35	1–10	<0.7	18+18+18	6+6+6
		$A_C^{\cos(0,1,2,3)\phi}$				18+18+18+18	6+6+6+6
CLAS	2015	$A_{LU}(\phi), A_{UL}(\phi), A_{LL}(\phi)$	0.17–0.47	1.3–3.5	0.1–1.4	166+166+166	166+166+166
CLAS	2015	$\sigma(\phi), \Delta\sigma(\phi)$	0.1–0.58	1–4.6	0.09–0.52	2640+2640	2640+2640
Hall A	2015	$\sigma(\phi), \Delta\sigma(\phi)$	0.33–0.40	1.5–2.6	0.17–0.37	480+600	240+360

Alternative processes for GPD access

- Deeply virtual meson production (DVMP) $\gamma^* p \rightarrow Mp$.



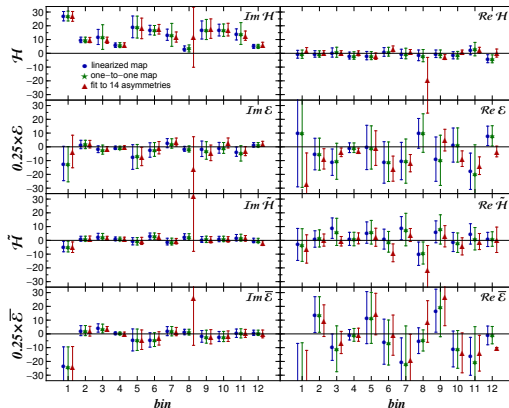
- Theory more “dirty” than for DVCS (second “soft” function appears: meson distribution amplitude)
- Different mesons enable access to different flavours of GPDs
[Goloskokov, Kroll]
- double DVCS $\gamma^* p \rightarrow \gamma^* p$, timelike DVCS, ... (see Trento workshop October 2016)

Extraction of GPDs/CFFs by fits to DVCS data

- In contrast to $PDFs(x)$, it is very difficult to perform truly model-independent extraction of $GPDs(x, \eta, t)$
- When the dimensionality of domain space increases, the available data becomes sparse very fast. (“Curse of dimensionality”)
- Known GPD constraints don't decrease the dimensionality of the GPD domain space.
- As an intermediate step, one can attempt extraction of $CFFs(x_B, t)$.
- Instead of **functions** $CFFs(x_B, t)$ one can extract CFFs as **numbers** for fixed x_B and t — **local fits** (hope of a model-independent procedure)
- (Dependence on additional variable, photon virtuality Q^2 , is in principle known — given by evolution equations.)

Local fits — some results

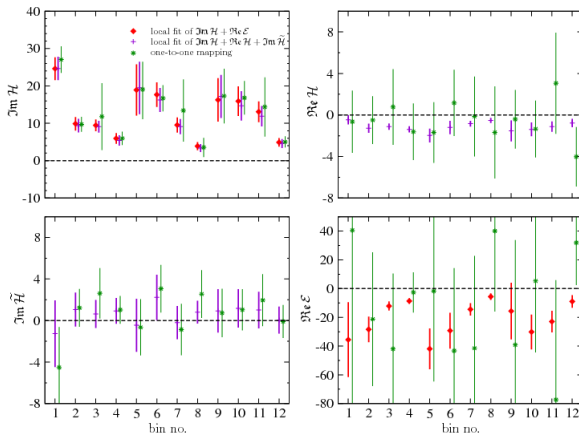
- [K.K., Müller, Murray '13]: using HERMES set of 10+ observables measured at same 12 kinematical points and extracting 8 leading “CFFs”



- Most CFFs are not reliably constrained.

Reducing the number of CFFs

- Scenario 1: Fit of $\Im\mathcal{H}$, $\Re\mathcal{H}$ and $\Im\tilde{\mathcal{H}}$. $\chi^2/n_{\text{d.o.f.}} = 148.8/144$. (In good agreement with local fit of [Guidal '10])
- Scenario 2: Fit of $\Im\mathcal{H}$ and $\Re\mathcal{E}$. $\chi^2/n_{\text{d.o.f.}} = 134.2/144$.



Hybrid GPD models for global fits

- **Sea quarks and gluons** modelled using $SO(3)$ partial wave expansion in conformal GPD moment space + Q^2 evolution.
- **Valence quarks** — model CFFs directly (ignoring Q^2 evolution):

$$\Im \mathcal{H}(\xi, t) = \pi \left[\frac{4}{9} H^{u_{\text{val}}}(\xi, \xi, t) + \frac{1}{9} H^{d_{\text{val}}}(\xi, \xi, t) + \frac{2}{9} H^{\text{sea}}(\xi, \xi, t) \right]$$

$$H(x, x, t) = n \textcolor{red}{r} 2^\alpha \left(\frac{2x}{1+x} \right)^{-\alpha(t)} \left(\frac{1-x}{1+x} \right)^{\textcolor{red}{b}} \frac{1}{\left(1 - \frac{1-x}{1+x} \frac{t}{M^2} \right)^p}.$$

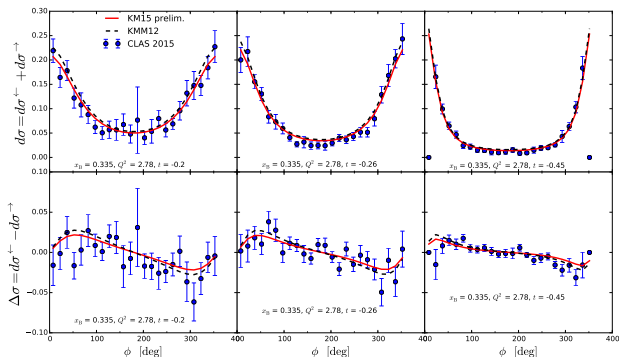
- $\Re \mathcal{H}$ determined by dispersion relations
- 15 free parameters in total for $H, \tilde{H}, E, \tilde{E}$.

Model	KM09a	KM09b	KM10	KM10a	KM10b	KMS11	KMM12	KM15
free params.	{3}+(3)+5	{3}+(3)+6	{3}+15	{3}+10	{3}+15	NNet	{3}+15	{3}+15
$\chi^2/\text{d.o.f.}$	32.0/31	33.4/34	135.7/160	129.2/149	115.5/126	13.8/36	123.5/80	240./275
F_2	{85}	{85}	{85}	{85}	{85}		{85}	{85}
σ_{DVCS}	(45)	(45)	51	51	45		11	11
$d\sigma_{\text{DVCS}}/dt$	(56)	(56)	56	56	56		24	24
$A_{LU}^{\sin\phi}$	12+12	12+12	12	16	12+12		4	13
$A_{LU,I}^{\sin\phi}$			18	18		18	6	6
$A_C^{\cos 0\phi}$							6	6
$A_C^{\cos\phi}$	12	12	18	18	12	18	6	6
$\Delta\sigma^{\sin\phi,w}$			12				12	63
$\sigma^{\cos 0\phi,w}$			4				4	58
$\sigma^{\cos\phi,w}$			4				4	58
$\sigma^{\cos\phi,w} / \sigma^{\cos 0\phi,w}$		4			4			
$A_{UL}^{\sin\phi}$							10	17
$A_{LL}^{\cos 0\phi}$							4	14
$A_{LL}^{\cos\phi}$								10
$A_{UT,I}^{\sin(\phi-\phi_S)\cos\phi}$							4	4

- [K.K., Müller, et al. '09–'15]
- These models are publicly available (google for "[gpd page](#)")

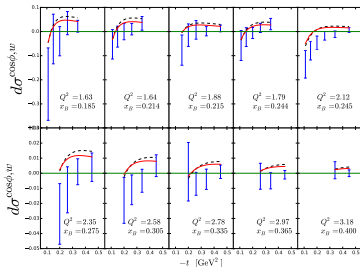
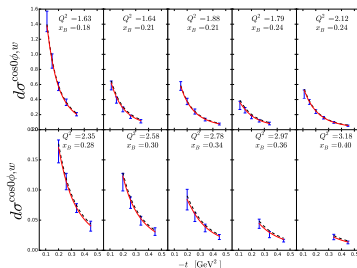
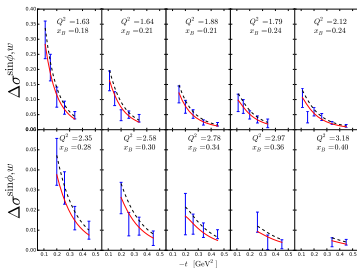
2015 CLAS cross-sections (1/2)

- Restriction to kinematics where leading-order framework should be valid: $-t/Q^2 < 0.25$ with $Q^2 > 1.5 \text{ GeV}^2$, means **using 48** out of measured 110 x_B - Q^2 - t bins.



- $\chi^2/\text{npts} = 1032.0/1014$ for $d\sigma$ and $936.1/1012$ for $\Delta\sigma$

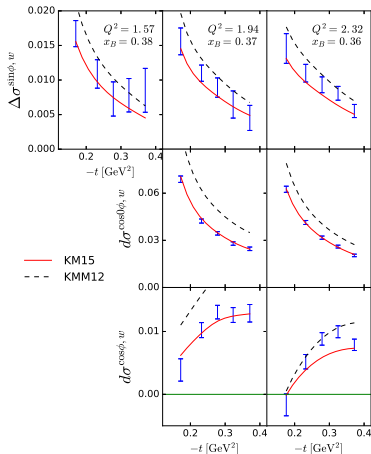
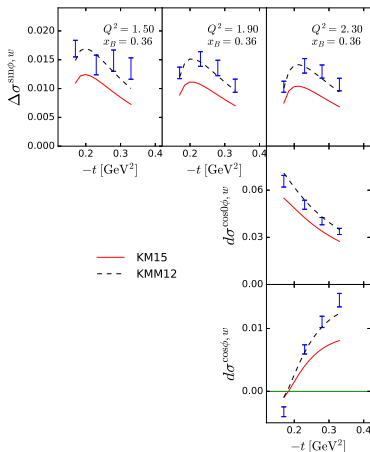
2015 CLAS cross-sections (2/2)



- $\chi^2/\text{npts} = 62.2/48$
for $d\sigma^{\cos\phi,w}$

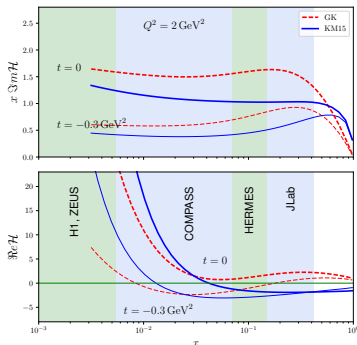
(O.K. but not so perfect as in ϕ -space)

2006 vs 2015 Hall A cross-sections



- Improvement of global $\chi^2/\text{d.o.f.}$ 123.5/80 $\rightarrow$ 240./275

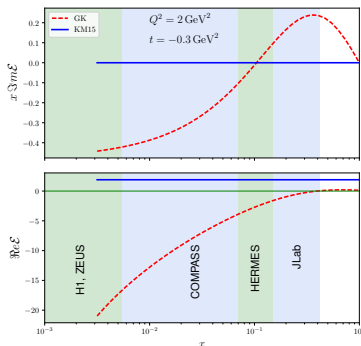
CFF $\mathcal{H}$ in present models



- Reasonable agreement but non-trivial behaviour of $\Re \mathcal{H}$ in COMPASS kinematics

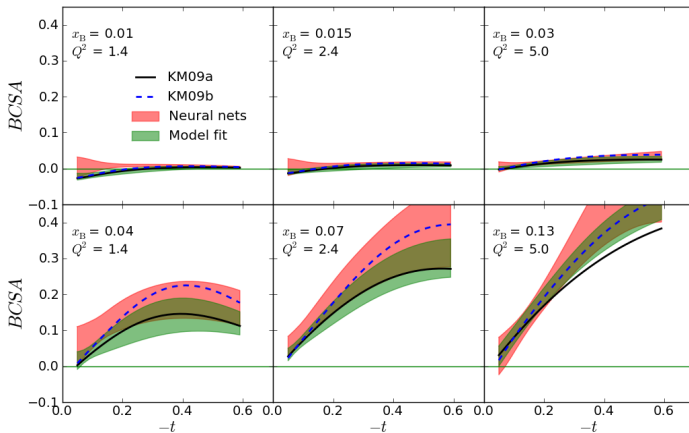
CFF $\mathcal{E}$ in present models

- GK: GPD E via Radyushkin's double distribution ansatz
- KM: GPD E just a dispersion relation subtraction constant



- GPD E is a challenge and opportunity for COMPASS with polarized target

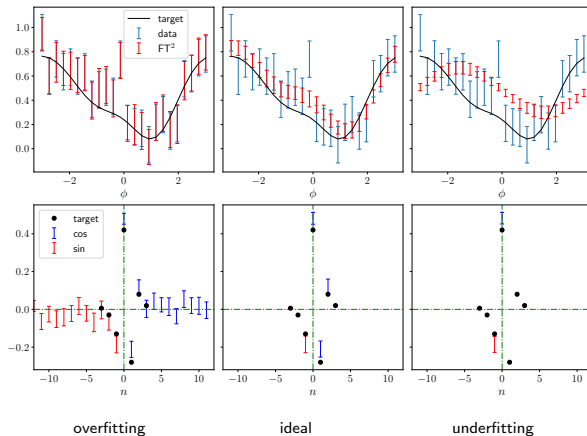
Neural net prediction for COMPASS



- Reliable quantification of uncertainties

How many harmonics are there?

Toy model:



Propagation of uncertainties to harmonics

- Consider three types of uncertainty:
 1. uncorrelated point-to-point uncertainty (absolute size ϵ)
 2. correlated normalization uncertainty (relative size ϵ)
 3. correlated **modulated** (ϕ -dependent) uncertainty (e.g., relative size $\epsilon \cos(\phi)$)
- Uncorrelated uncertainty: $\Delta c_k = \sqrt{2/N} \epsilon$
- Normalization uncertainty: $\Delta c_k / c_k = \epsilon$
- Correlated modulated uncertainty: more complicated, but for hierarchical case $c_0 \gg c_1 \gg \dots$ one obtains

$$\frac{\Delta c_0}{c_0} = \frac{c_1}{2c_0} \epsilon, \quad \frac{\Delta c_1}{c_1} = \frac{c_0}{c_1} \epsilon$$

i.e. we have **enhancement of uncertainty** for subleading harmonics!

$$(c_0 + c_1 \cos \phi + \dots) \times (1 + \epsilon \cos \phi) = c_0 \left(1 + \frac{c_1}{2c_0} \epsilon \right) + c_1 \left(1 + \frac{c_0}{c_1} \epsilon \right) \cos \phi$$

Modulated correlated error in the wild

Hall A [M. Defurne et. al 2015] discussing systematic uncertainties:

tematic error from the parameter choice to be 1%.

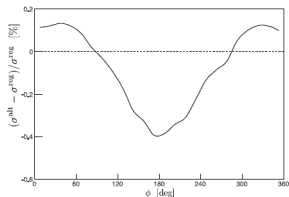
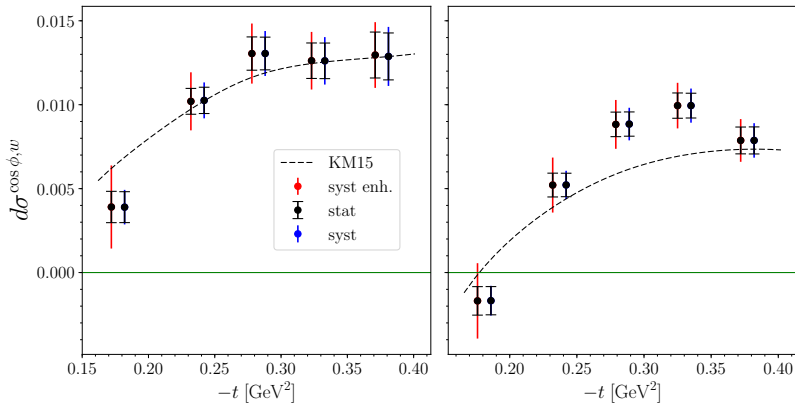


FIG. 20. Difference in % between the cross section extracted with the squared DVCS amplitude term and with the $\Re C^{Z,V}$ term for $x_B = 0.37$, $Q^2 = 2.36 \text{ GeV}^2$ and $-t = 0.33 \text{ GeV}^2$. The ϕ -profile of the difference is a consequence of the small $\cos \phi$ and $\cos 2\phi$ dependences of the $\Re C^{Z,V}$ kinematic coefficient. Both extractions give almost the same reduced $\chi^2/dof=0.94$ (nominal) and 0.93 (alternate) for the entire Kin2 setting.

Systematic uncertainty	Value	Section
HRS acceptance cut	1%	IV A
Electron ID	0.5%	IV D
HRS multitrack	0.5%	IV D
Multi-cluster	0.4%	IV D
Corrected luminosity	1%	IV D
Fit parameters	1%	VIB
Radiative corrections	2%	V
Beam polarization	2%	III A 3
Total (helicity-independent)	2.8%	
Total (helicity-dependent)	3.4%	

TABLE V. Normalization systematic uncertainties in the extracted photon electroproduction cross sections. The systematic error coming from the fit parameter choice is not a normalization error per se, but we consider that 1% is an upper limit for this error on all kinematic bins. The helicity-dependent cross sections have an extra uncertainty stemming from the beam polarization measurement. The last column gives the section in which each systematic effect is discussed.

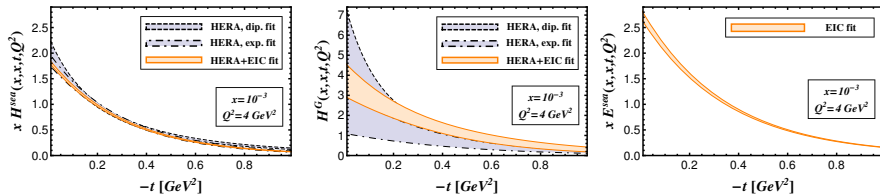
Hall A $\cos(\phi)$ harmonics



(Syst added *linearly* on top of stat.)

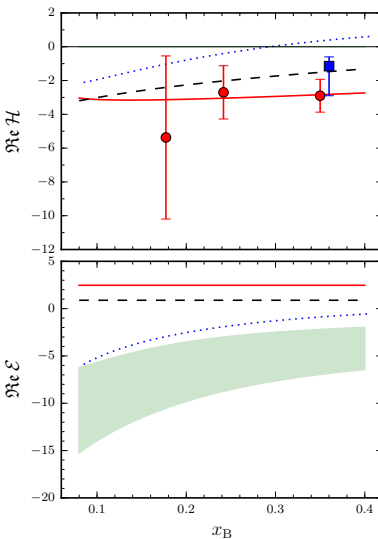
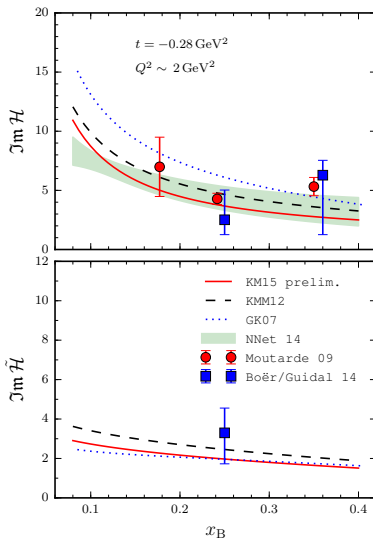
DVCS at EIC

- Future polarized electron-ion collider (EIC) will provide unique insight into sea GPDs.
- [Aschenauer, Fazio, K.K., Müller '13] fit to simulated DVCS data at $20 \text{ GeV} \times 250 \text{ GeV}$ taking $E_{\text{sea}}(x, \eta, t) = \kappa_{\text{sea}} H_{\text{sea}}(x, \eta, t)$



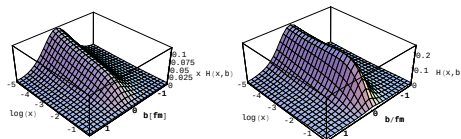
- Improved knowledge of low- t quark and gluon GPDs H ($\Rightarrow$ 3D parton imaging)
- Improved knowledge of sea quark GPD E

CFFs from various fits

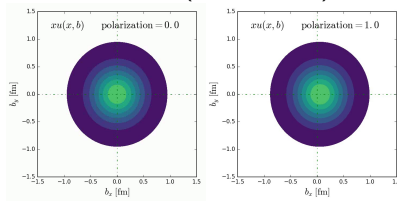


Tomography

- Quark and gluon sea 2D distributions $H(x, \vec{b}_\perp)$ ([KM] model)



- Sivers effect for valence quarks ([GK] model)



- See also [Dupré, Guidal, Vanderhaeghen '16]
- Tomography is still very much model-dependent; e.g. some extrapolation from $H(x, x, t)$ to $H(x, 0, t)$ is needed.

Summary

- Global fits of all proton DVCS data using flexible hybrid models are in healthy shape
- Data clearly restrict $H(x, x, t)$, and to some extent $\tilde{H}$, but any information about E is very model-dependent
- COMPASS II (unpolarized and polarized target) could shed more light on important kinematic region

The End