

The INFN R&D: new pixel detector for the High Luminosity Upgrade of the LHC

- INFN Pixel R&D: main design features
- CMS results: thin-planar before & after irradiation
- CMS results: 3D before irradiation
- ATLAS results: 3D before irradiation
- Summary and prospects



Mauro Dinardo

Università degli Studi di Milano Bicocca and INFN, Italy

on behalf of the INFN (ATLAS-CMS)-FBK Pixel R&D Collaboration

High Luminosity upgrade of the CERN-LHC: operation conditions	Sensor design constraints
Luminosity $5 \times 10^{34} / (\text{cm}^2 \cdot \text{s})$, up to 200 events/25 ns bunch crossing	Maintain occupancy at % level and increase the spatial resolution → pixel cell size ~ $25 \times 100 \mu\text{m}^2$ or $50 \times 50 \mu\text{m}^2$ (currently $100 \times 150 \mu\text{m}^2$ CMS, $50 \times 250 \mu\text{m}^2$ ATLAS)
Radiation level for first pixel layer at 3000 fb^{-1} ~ $2 \times 10^{16} \text{ n}_{\text{eq}}/\text{cm}^2$ (~10 years) → carriers lifetime ~0.3 ns, mean free path ~30 μm for electrons at saturation velocity	Reduce electrodes distance to increase electric field and thus the signal → thin planar or 3D columnar technologies

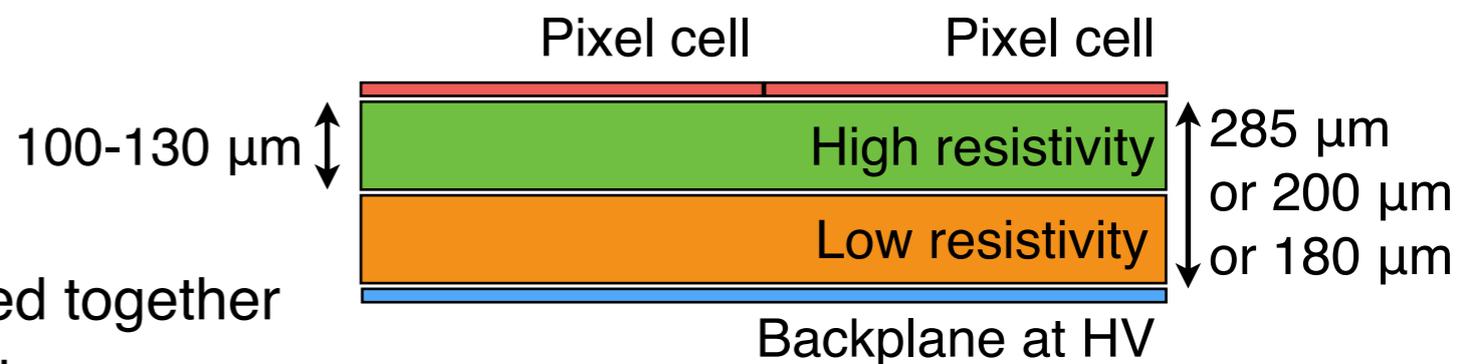
Joint ATLAS-CMS INFN collaboration, partnership with Fondazione Bruno Kessler-FBK (Trento, Italy), for the development of **thin planar** and **3D columnar n-in-p** sensors on **6" FZ wafers** with **Direct Wafer Bond**⁽¹⁾:

- **Planar**
 - process options: p-spray and/or p-stop
 - periphery design: standard and active-edge
- **3D columnar**
 - single sided process, optimised by FBK

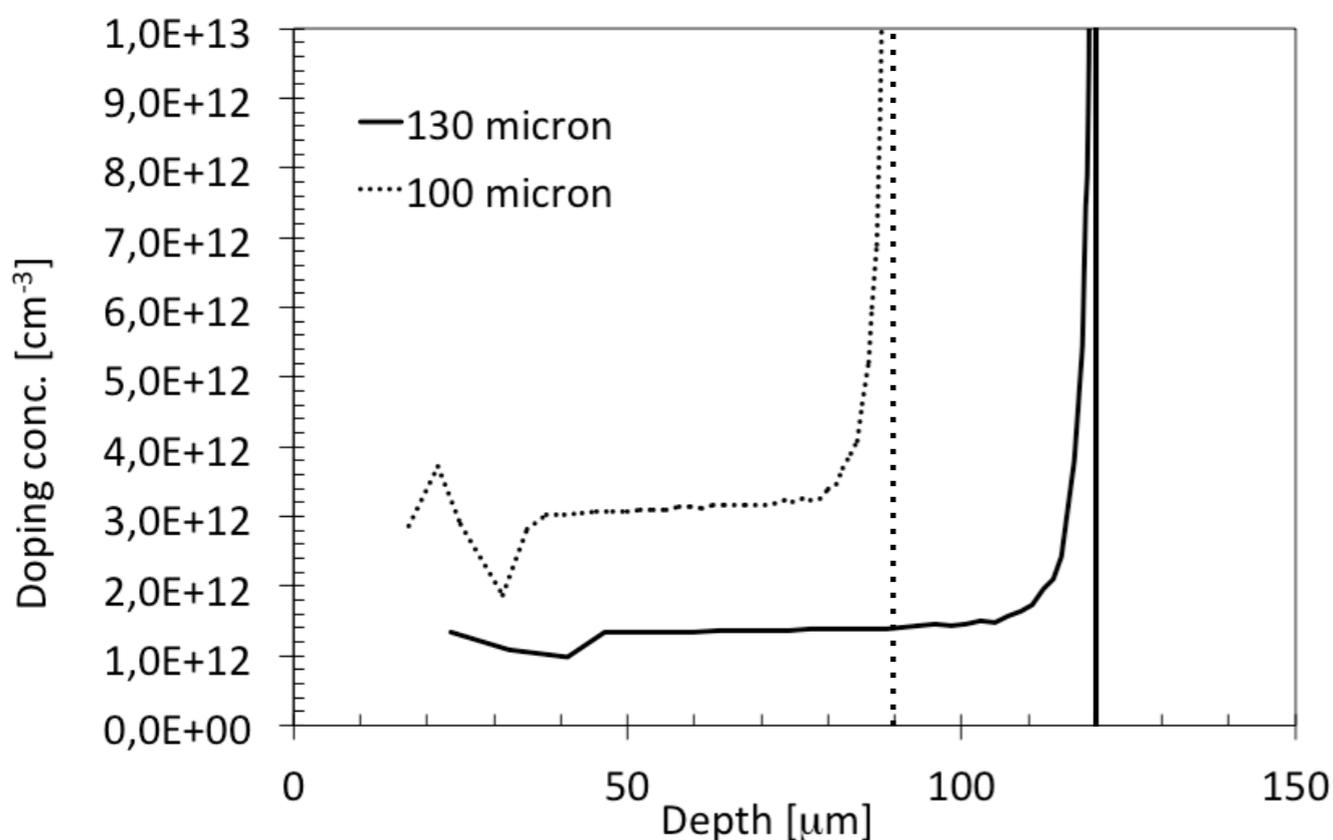
⁽¹⁾ IceMos Technology, Belfast

Direct Wafer Bond⁽¹⁾

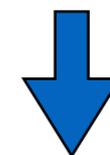
- Two wafers, high and low resistivity, bonded together
- p-type high resistivity (active layer) > 3 kOhm•cm
- Active layer thickness: two choices, 100 μm and 130 μm
- Thinning process after fabrication: final total sensor thickness from 285 μm down to 180 μm



Doping concentration profile measurement



Effective thickness reduced by Boron diffusion from wafer carrier: ~10 μm

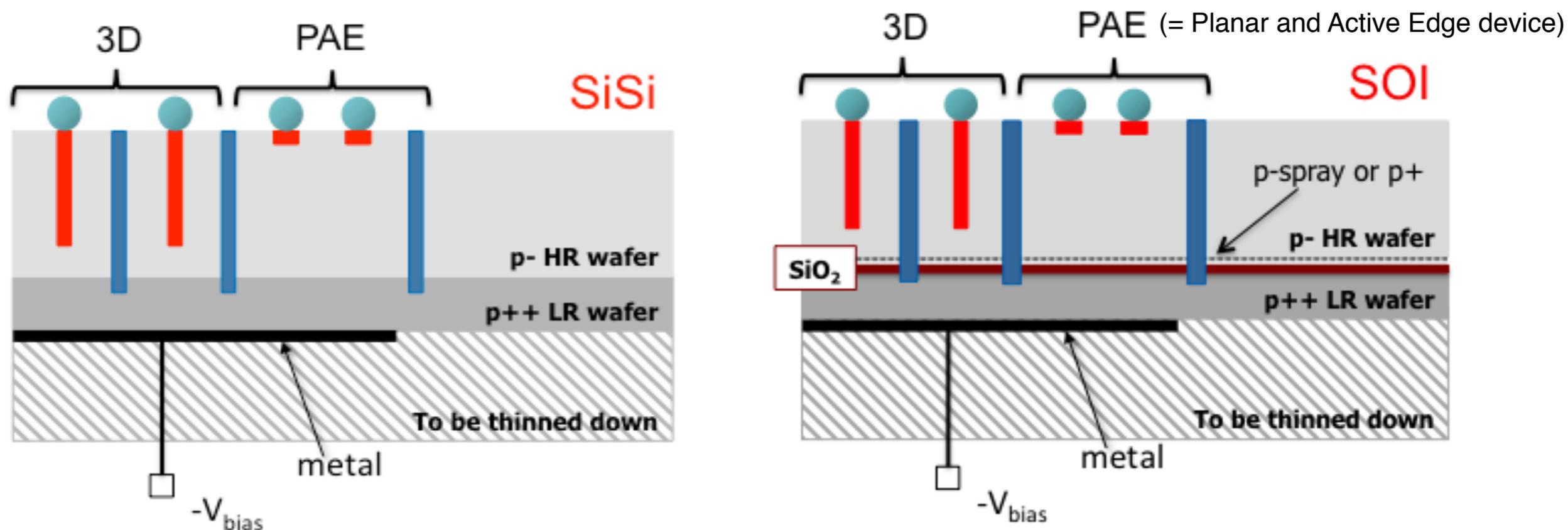


MIP Most Probable Value expected at:

- ~6000 e⁻ for 100 μm thick sensors
- ~8000 e⁻ for 130 μm thick sensors

Prototypes assembly main features

- Hybridisation with chip via bump-bonding: SnAg⁽¹⁾ and Indium⁽²⁾
- Spark protection (only for thin planar sensors): on sensor (periphery) and chip (periphery or whole area) by BCB (Benzo-Cyclo-Butene) layer $\sim 2 \mu\text{m}$ thick⁽¹⁾

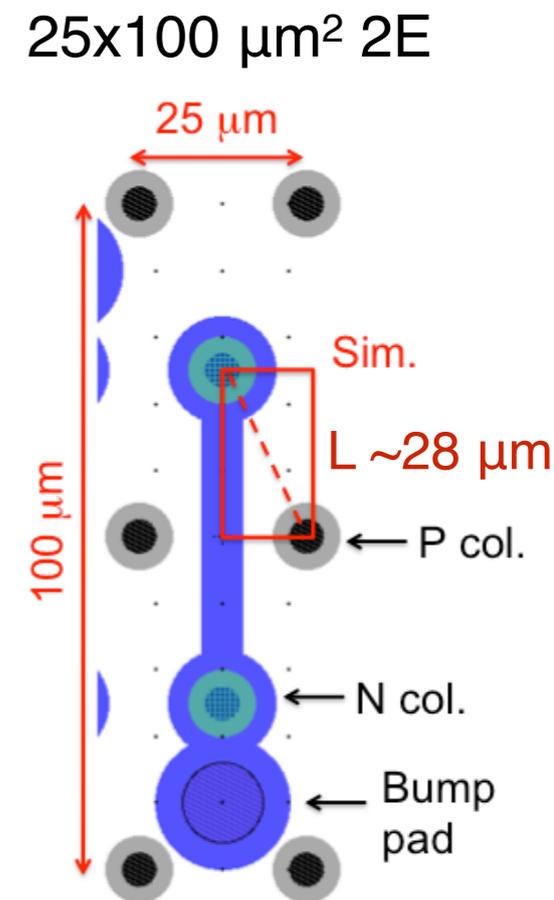
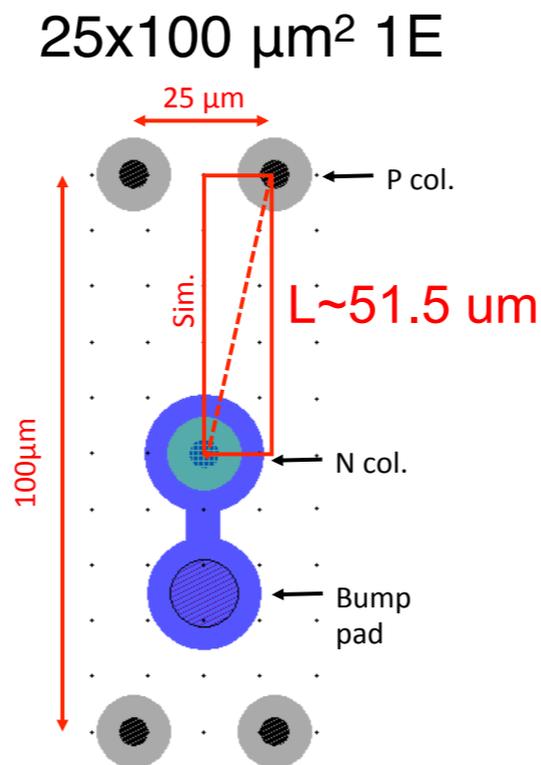
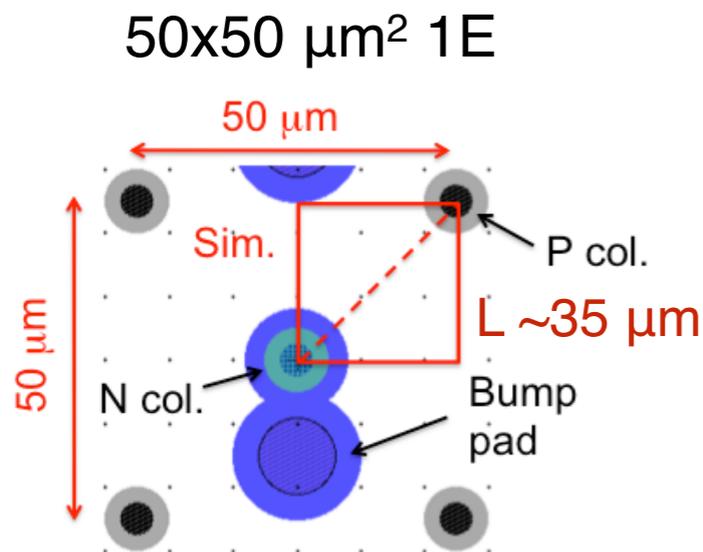


3D single sided process, optimised by FBK (more details in backup slides)

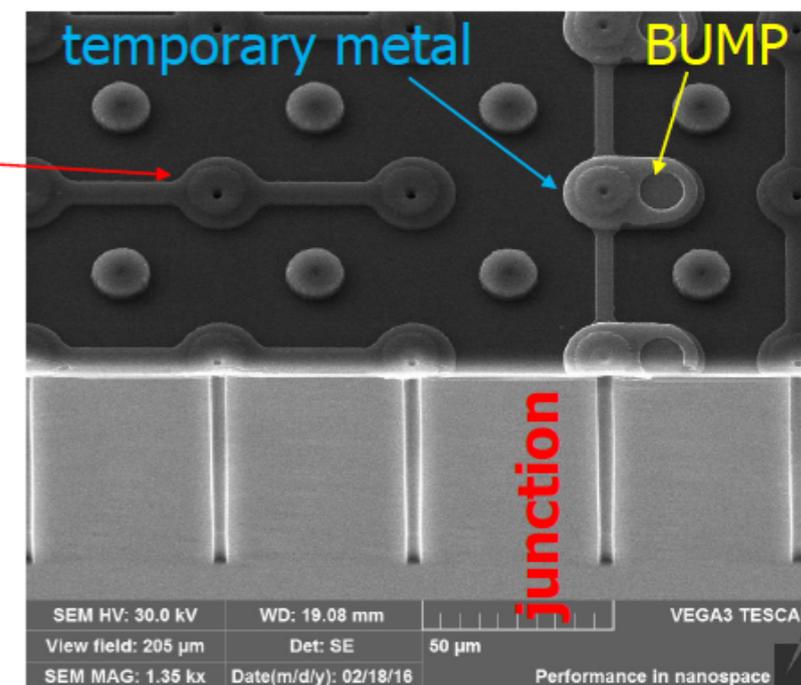
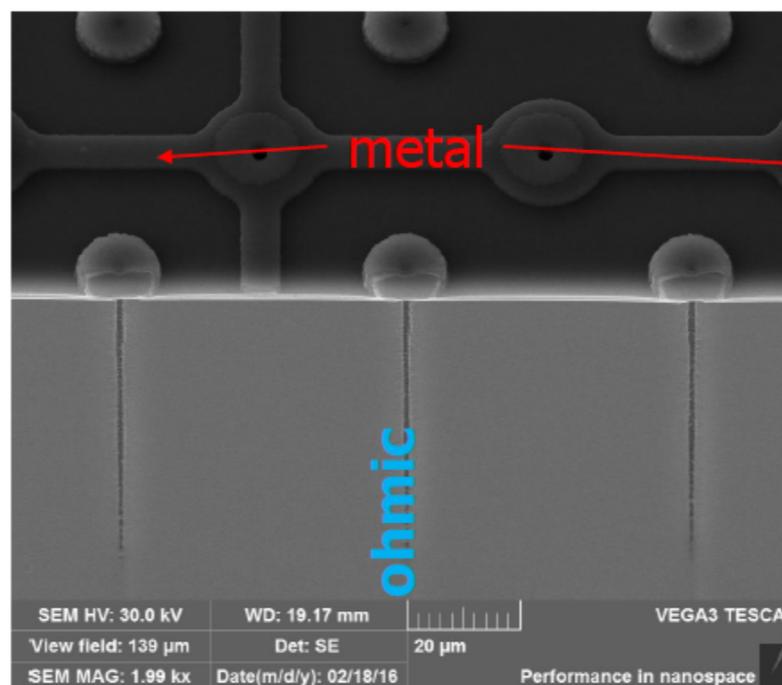
- Thin sensors on support wafer: SiSi or SOI
- Ohmic columns/trenches depth $>$ active layer depth (for bias)
- Junction columns depth $<$ active layer depth (for higher $V_{\text{breakdown}}$)
- Reduction of columns diameter to $\sim 5 \mu\text{m}$
- Holes (at least partially) filled with poly-Si

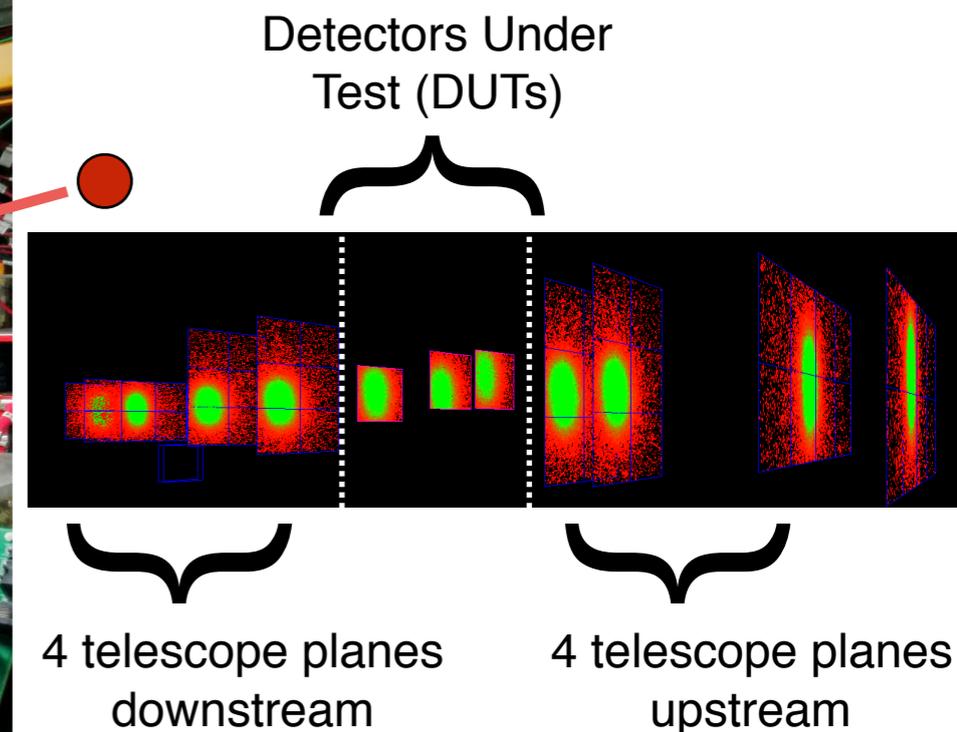
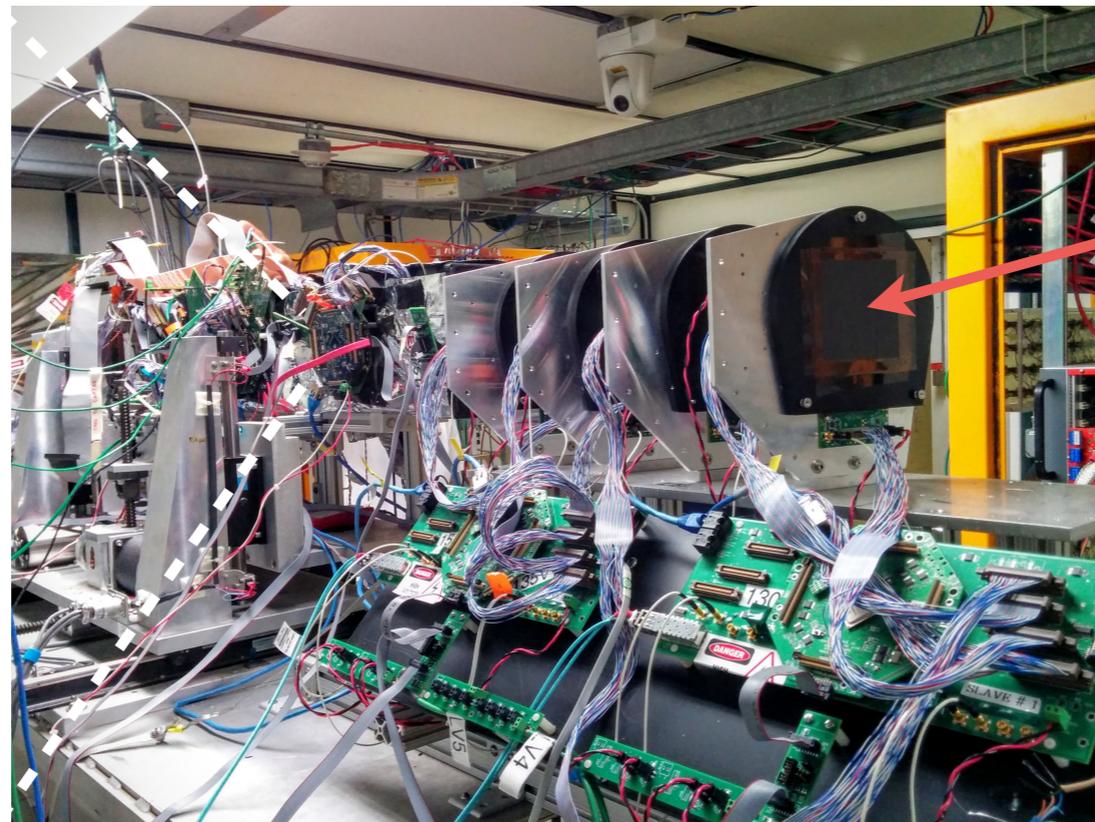
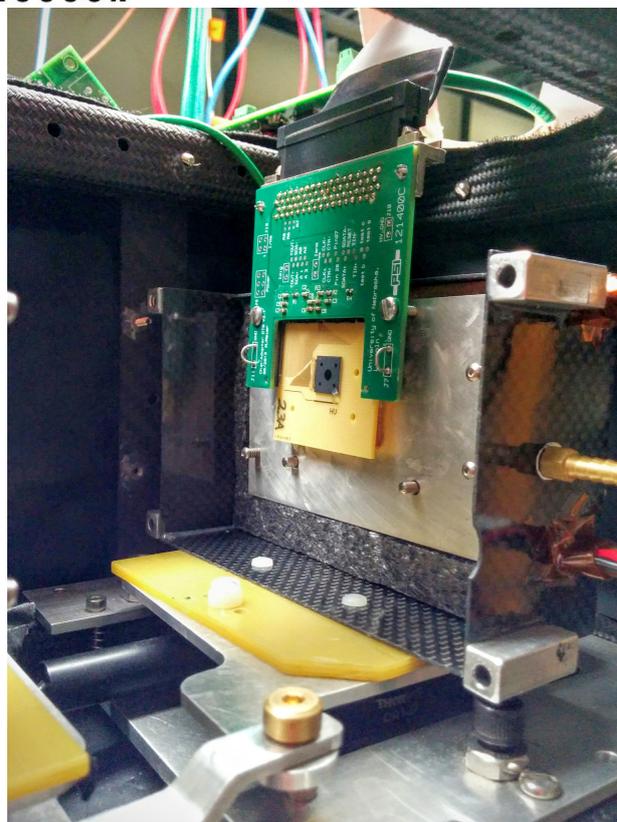
⁽¹⁾ IZM Fraunhofer, Berlin

⁽²⁾ Leonardo Finmeccanica, Rome



- 50x50 μm^2 with 1 junction electrode (1E) and 25x100 μm^2 with 1 junction electrode (1E) enough space for bump pad
- 25x100 μm^2 with 2 junction electrodes (2E) has bump pad too close to ohmic columns \rightarrow under test **bumps on columns**
- 100x150 and 50x250 μm^2 cell sizes made for compatibility with available readout chip





Testbeam carried out at Fermilab MTest area (*NIM-A 811 (2016) 162-169*)

- 120 GeV protons from Main Injector
- 8 pixel planes
- based on PSI46 analog chip (100x150 μm^2 pixel cell, 80 rows and 52 columns)
- $\sim 8 \mu\text{m}$ resolution on each coordinate

Sensors bump-bonded to CMS pixel readout chip
PSI46 digital chip (100x150 μm^2 cell size)

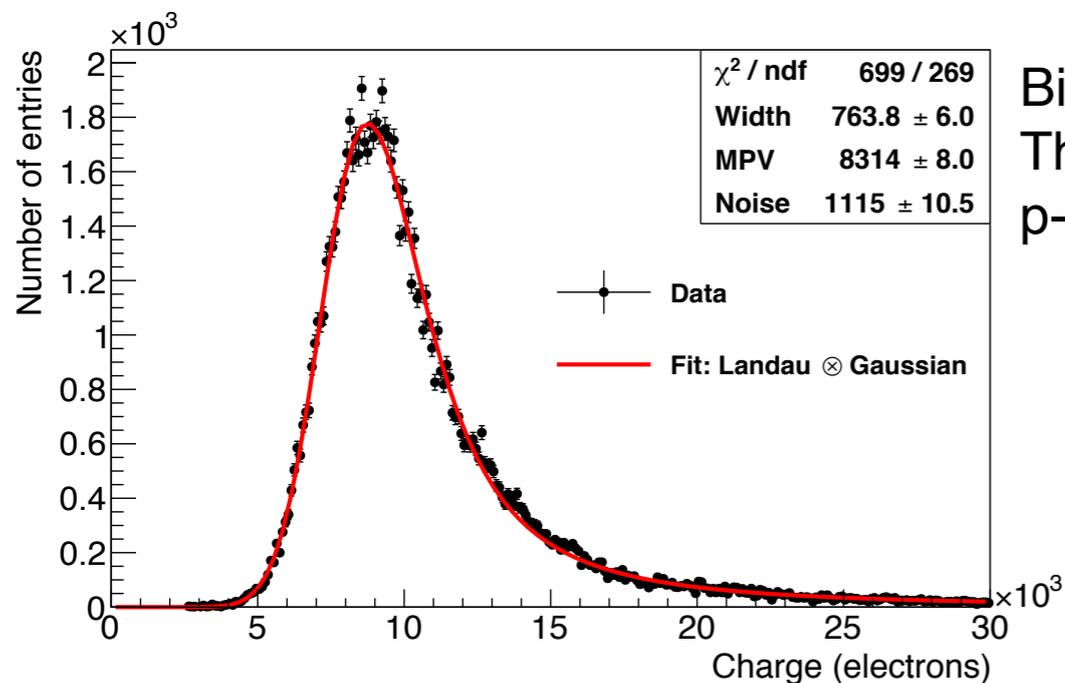
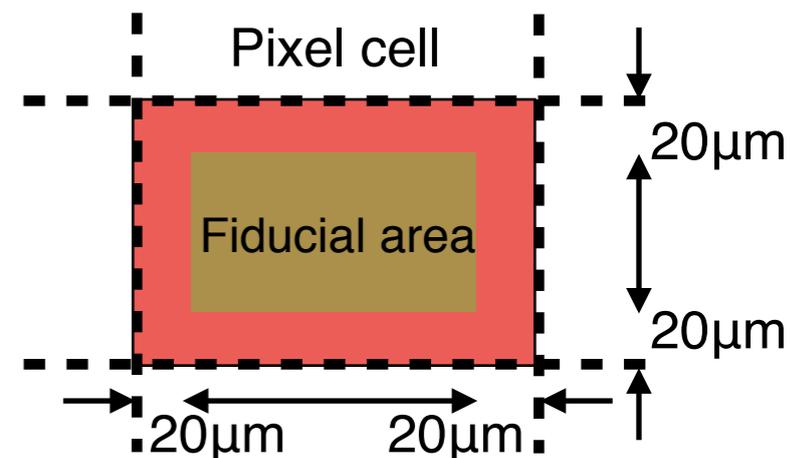
Base requirements for testbeam data analysis

- tracks with 8 associated hits (one per plane)
- no more than 5 hits on each plane
- track $X^2 / \text{d.o.f.} \leq 5$
- only one track per event

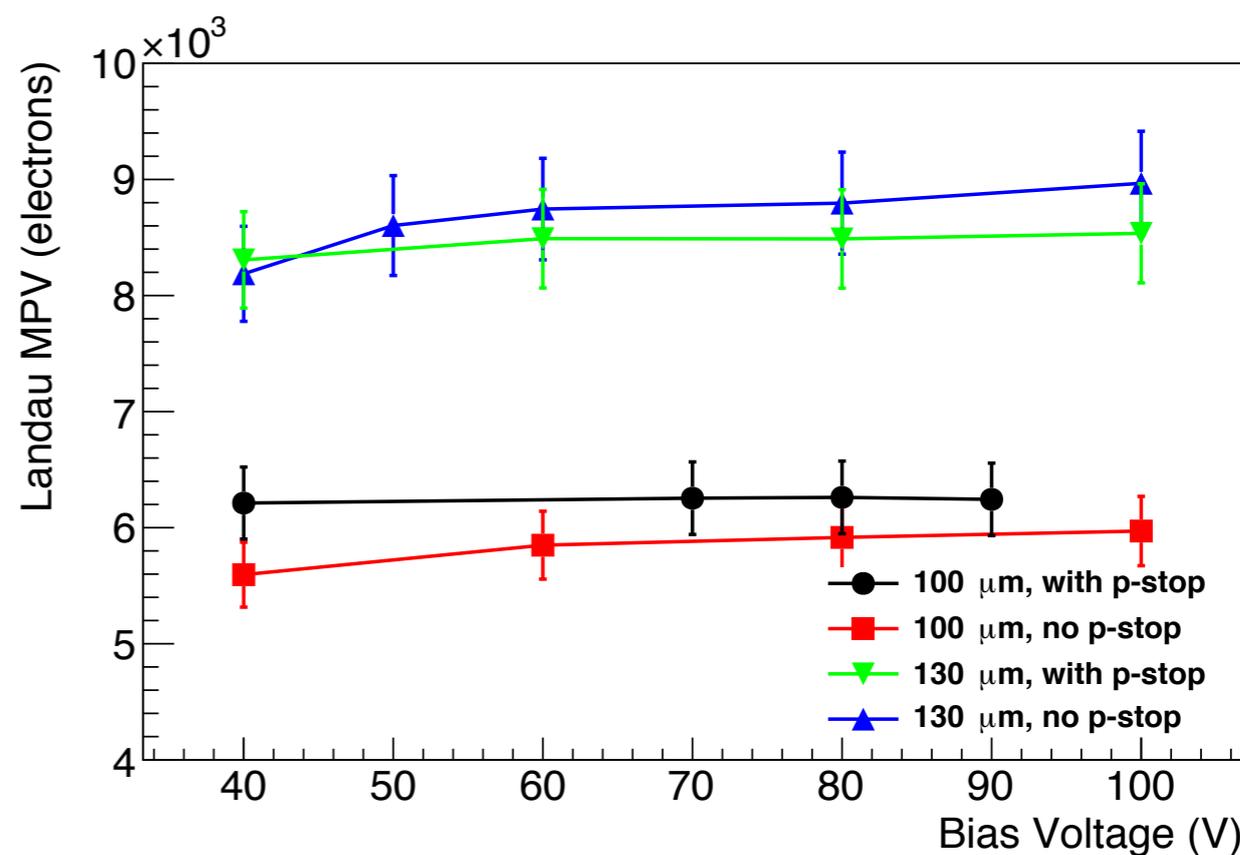
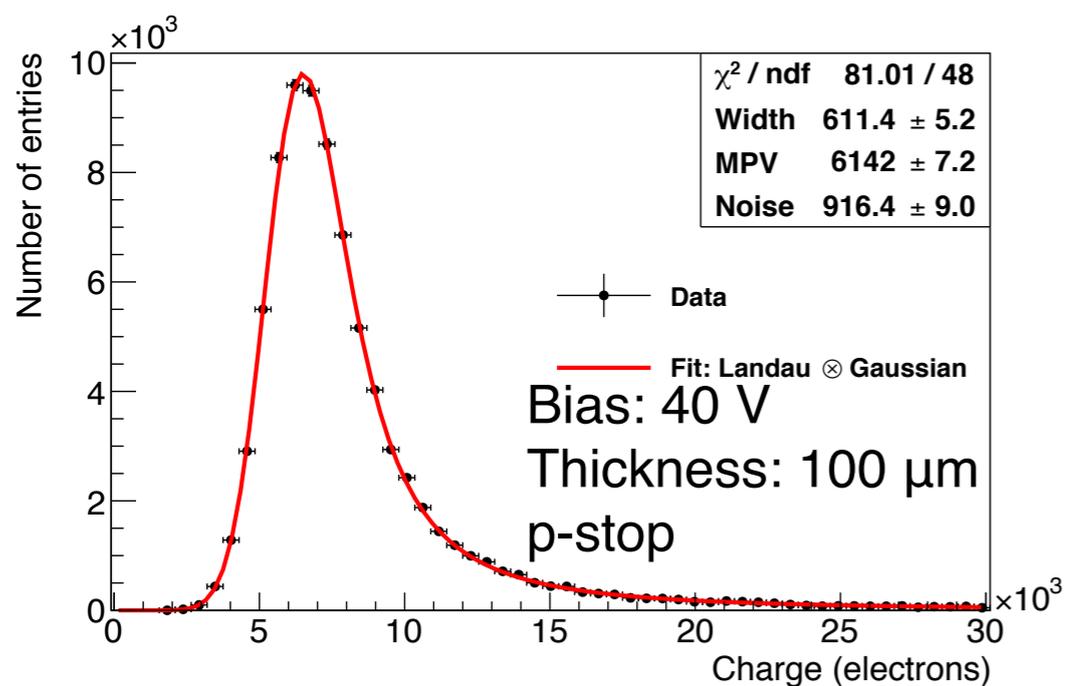
Other specific requirements might be requested depending on the analysis

Requirements

- single pixel clusters
- predicted track impact point located 20 μm far from cell edges



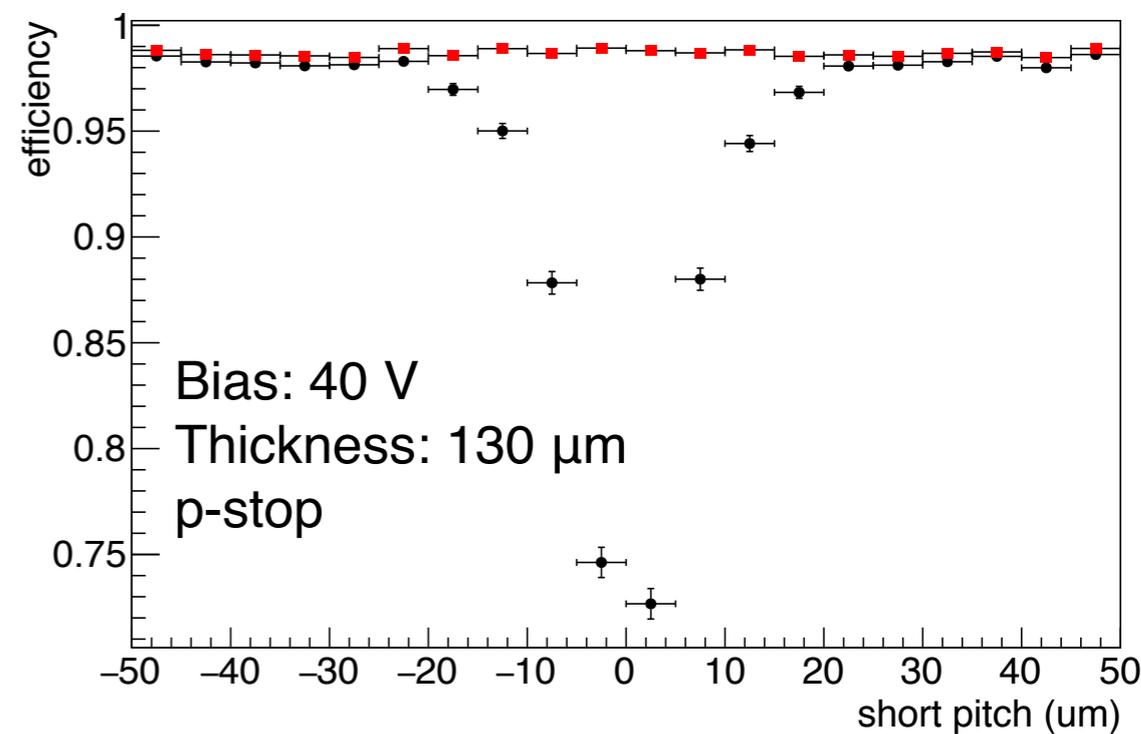
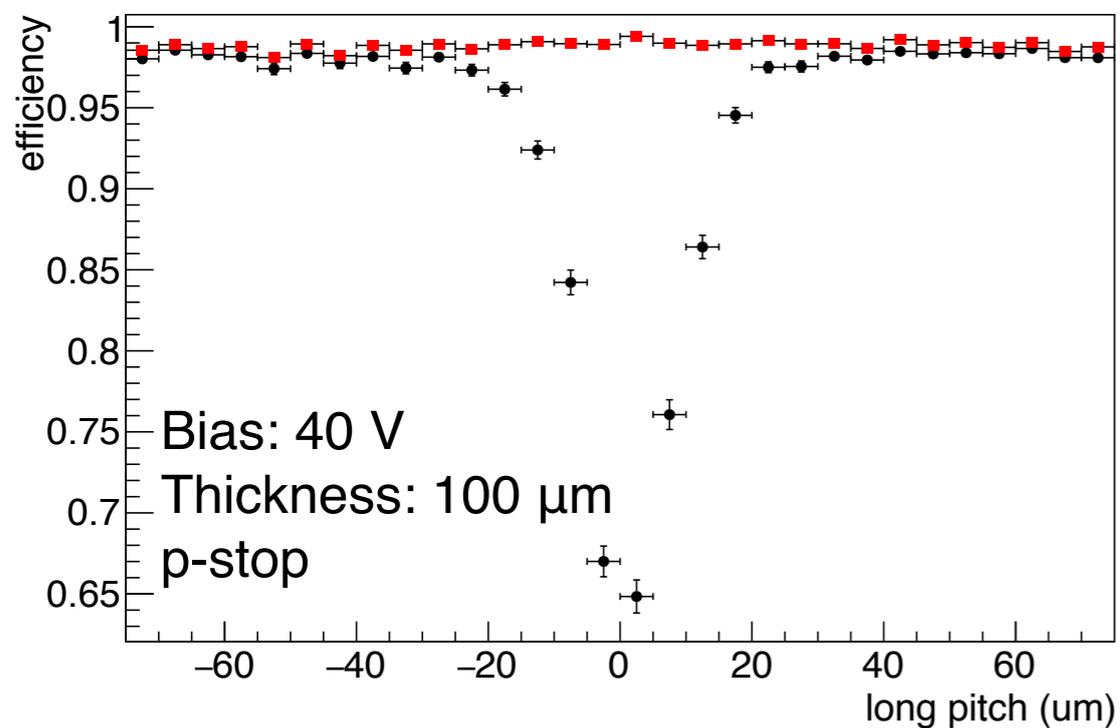
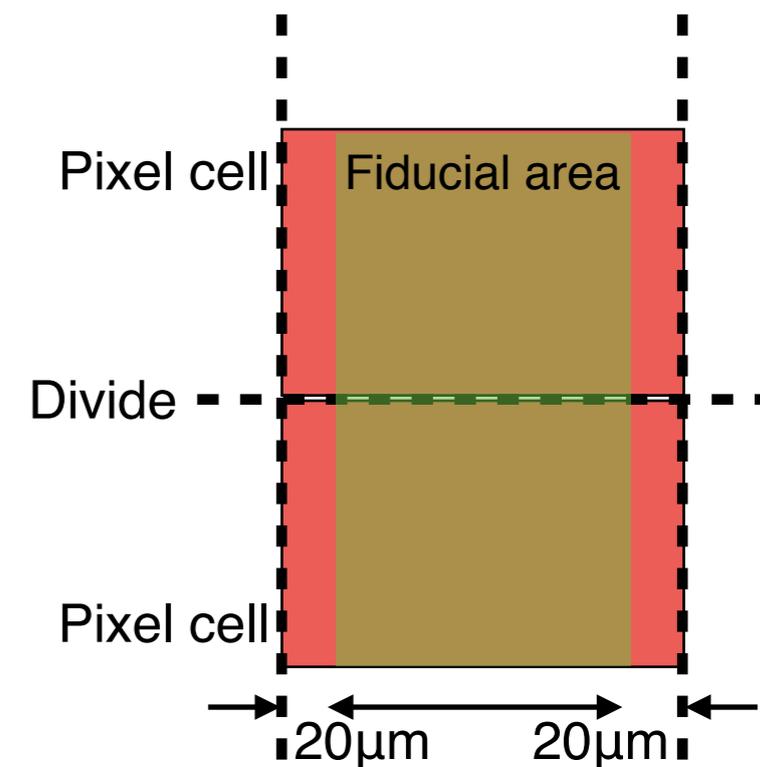
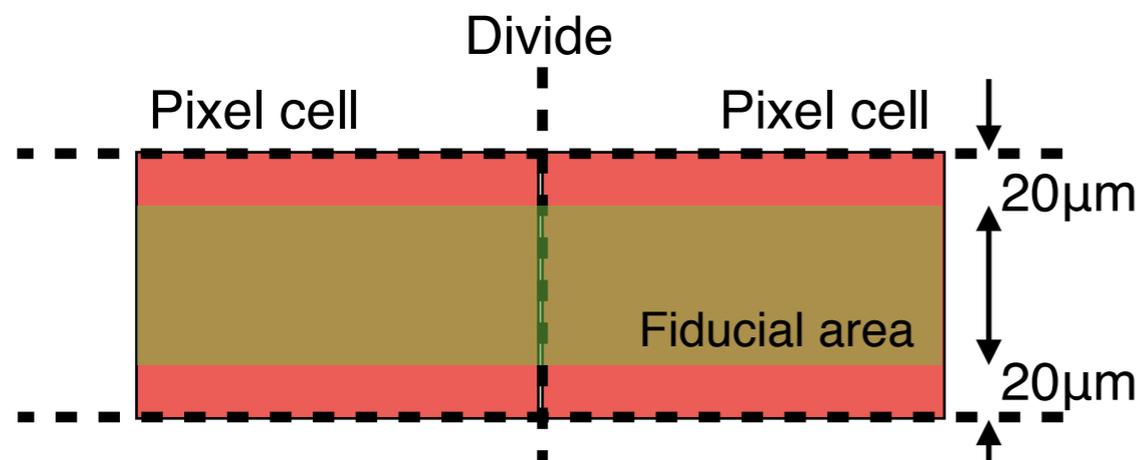
Bias: 50 V
Thickness: 130 μm
p-stop



Averaging over full sample the ratio MPV@130 μm / MPV@100 μm is ~ 1.38 (expected 1.33)

Efficiency scan across cell's divide (long pitch (row direction) and short pitch (column direction))
(column direction)

- Efficiency of pointed pixel
- Efficiency of pointed + closer pixel on same row (col)



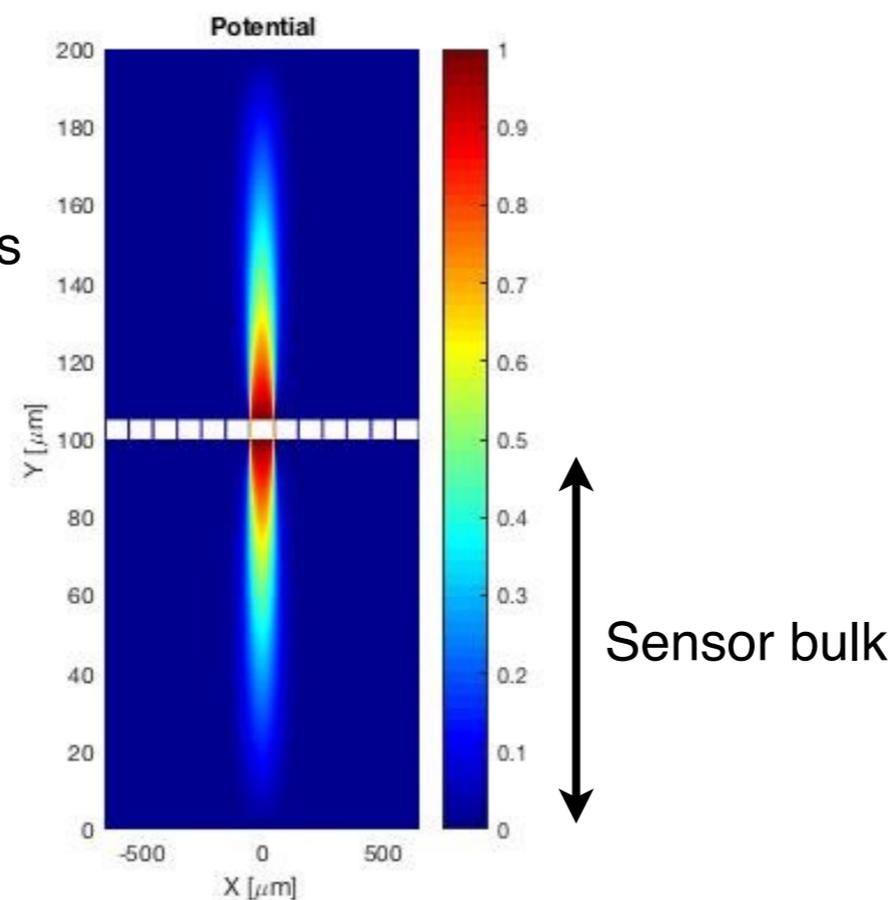
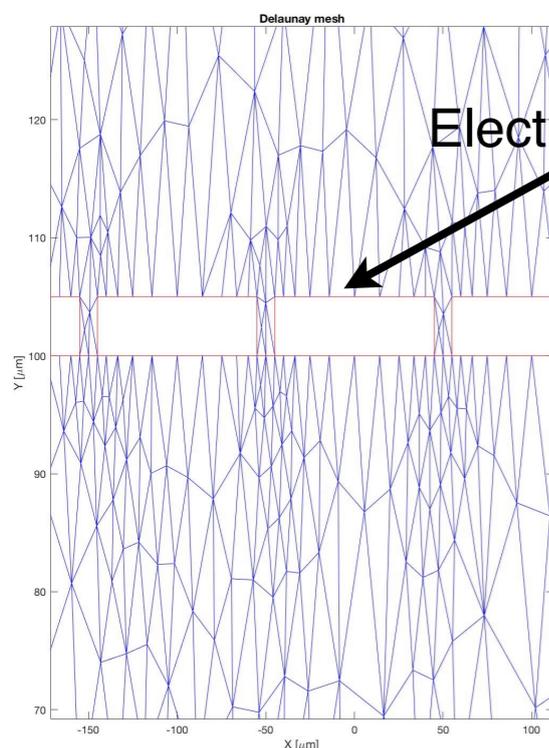
The sensors are fully efficient in both views

Irradiation performed at Los Alamos with 800 MeV protons

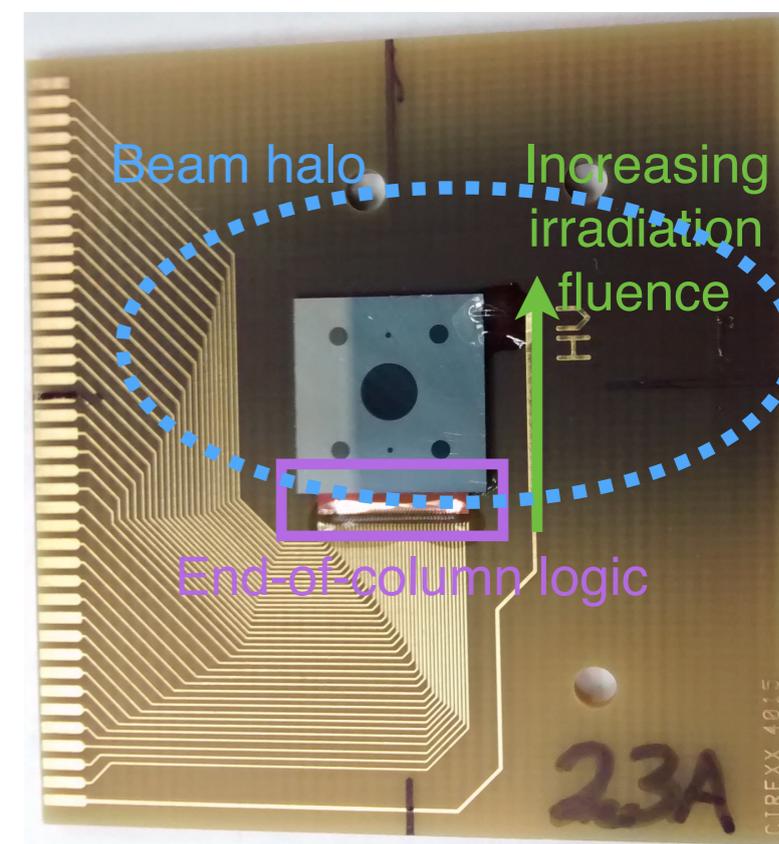
- Irradiation done after flip-chip assembly
- Constraints from radiation tolerance of PSI46 digital chip ~ 250 Mrad
- Non uniform irradiation
- **Fluence: $1.0 - 1.2 \times 10^{15} n_{eq} / cm^2$**

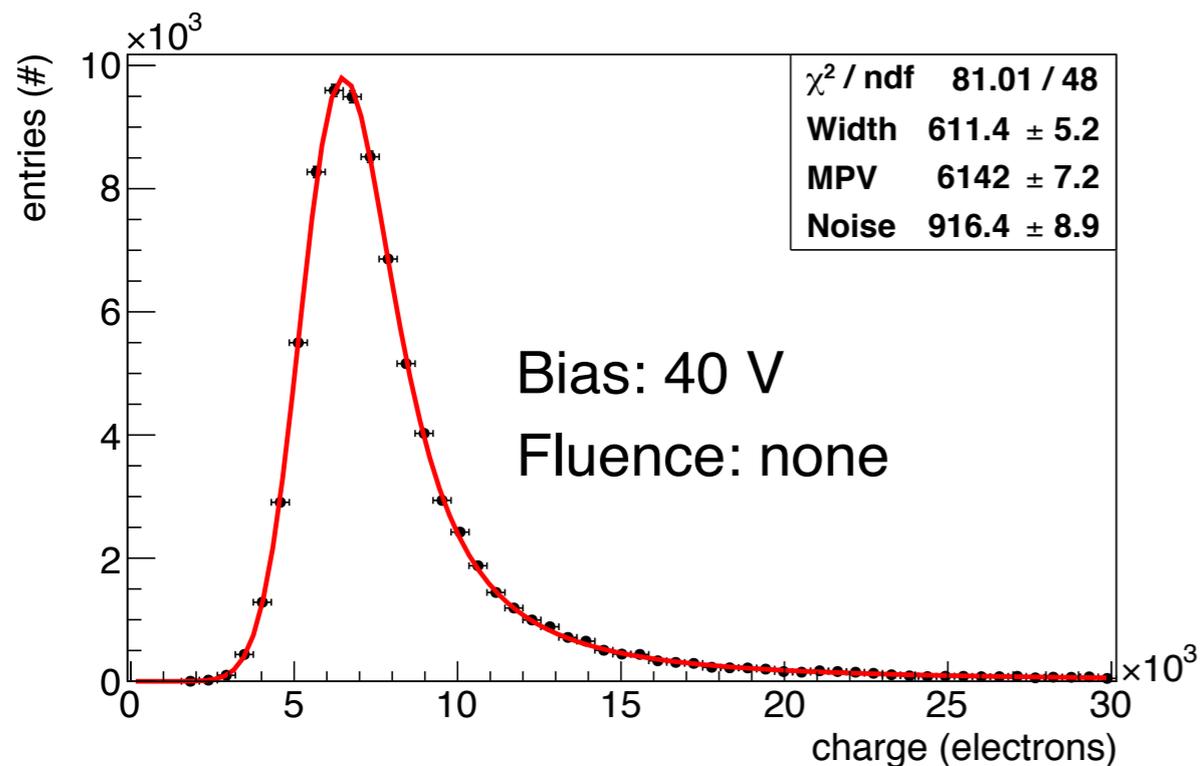
Radiation level measured by in situ dosimetry and cross checked with 2D MATLAB simulation predictions

Finite element analysis to solve Poisson equation



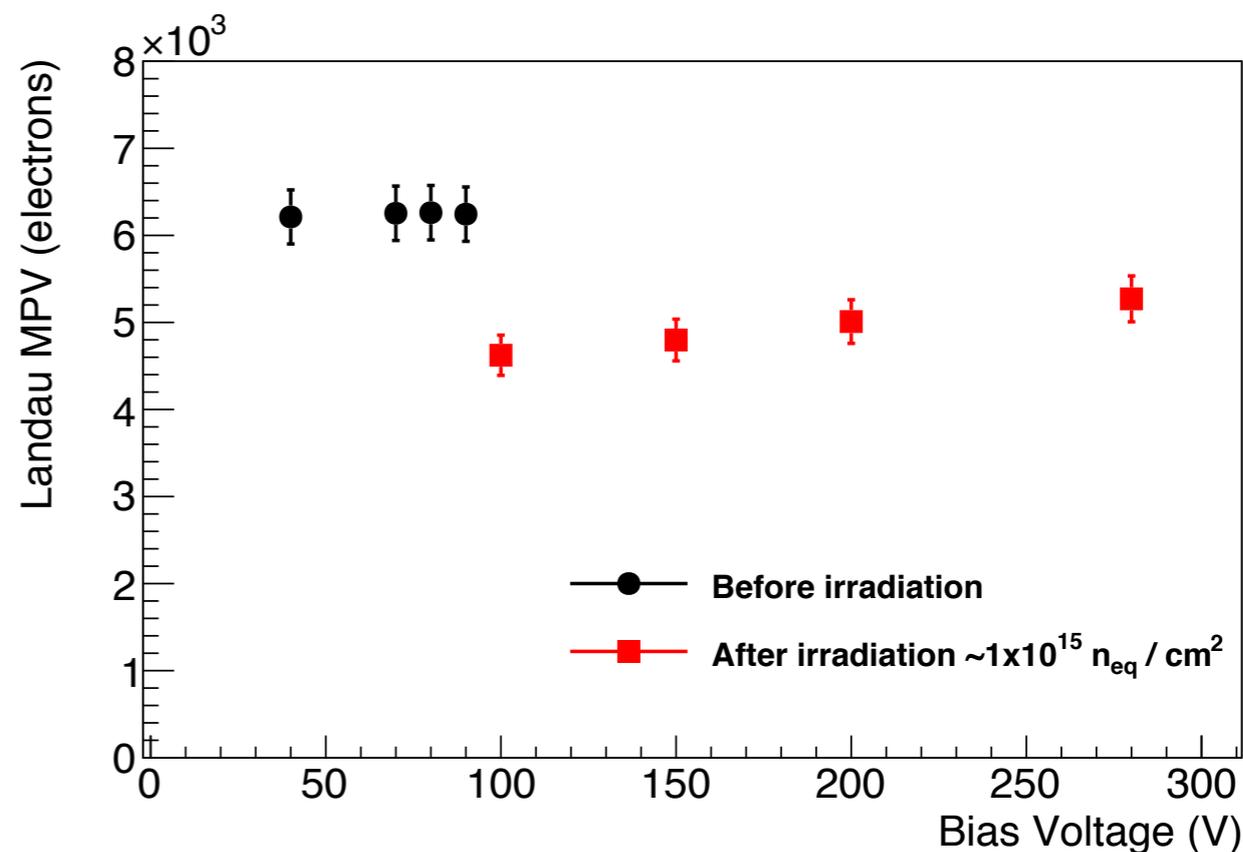
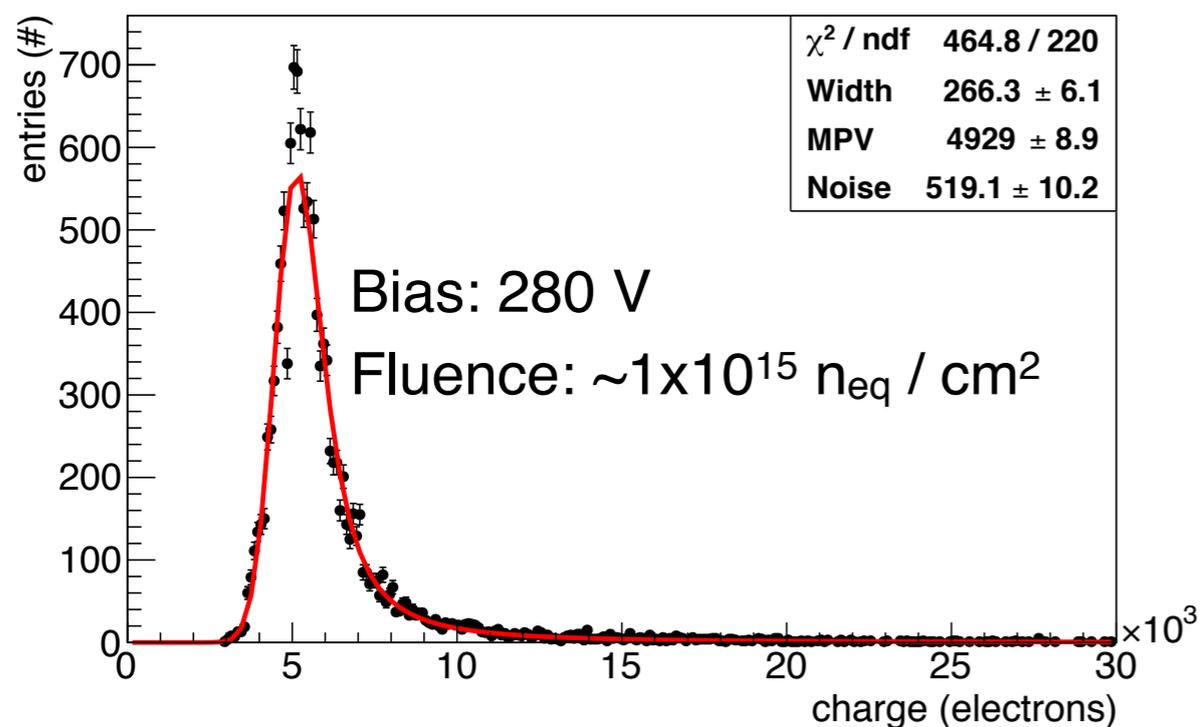
Weighting potential

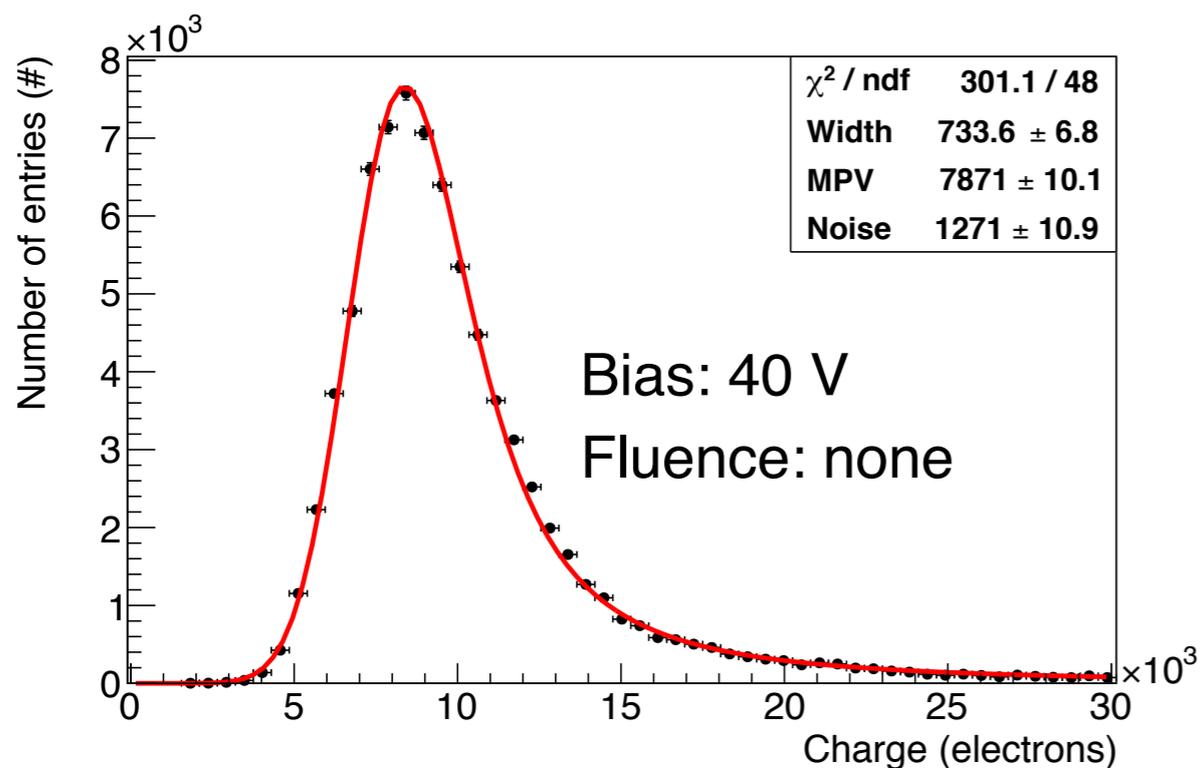




Landau distribution before and after irradiation

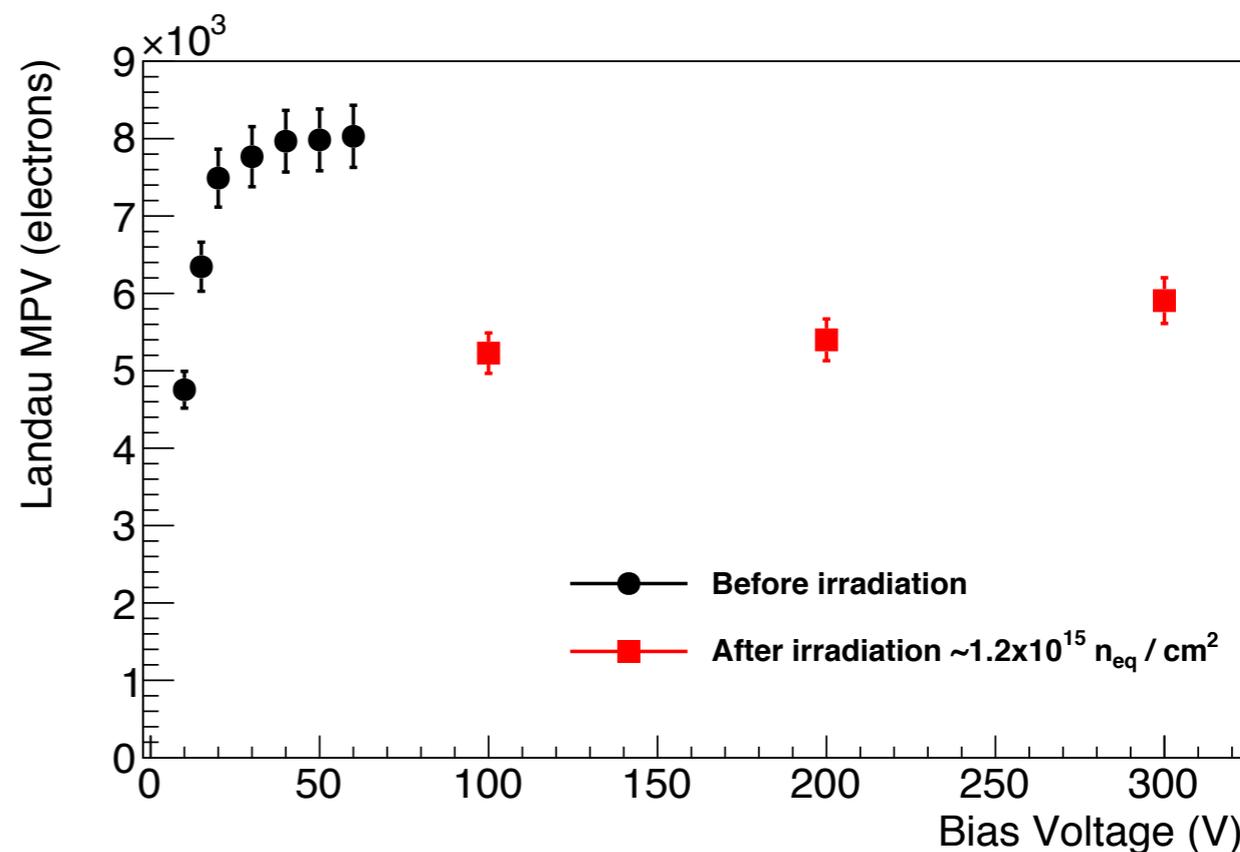
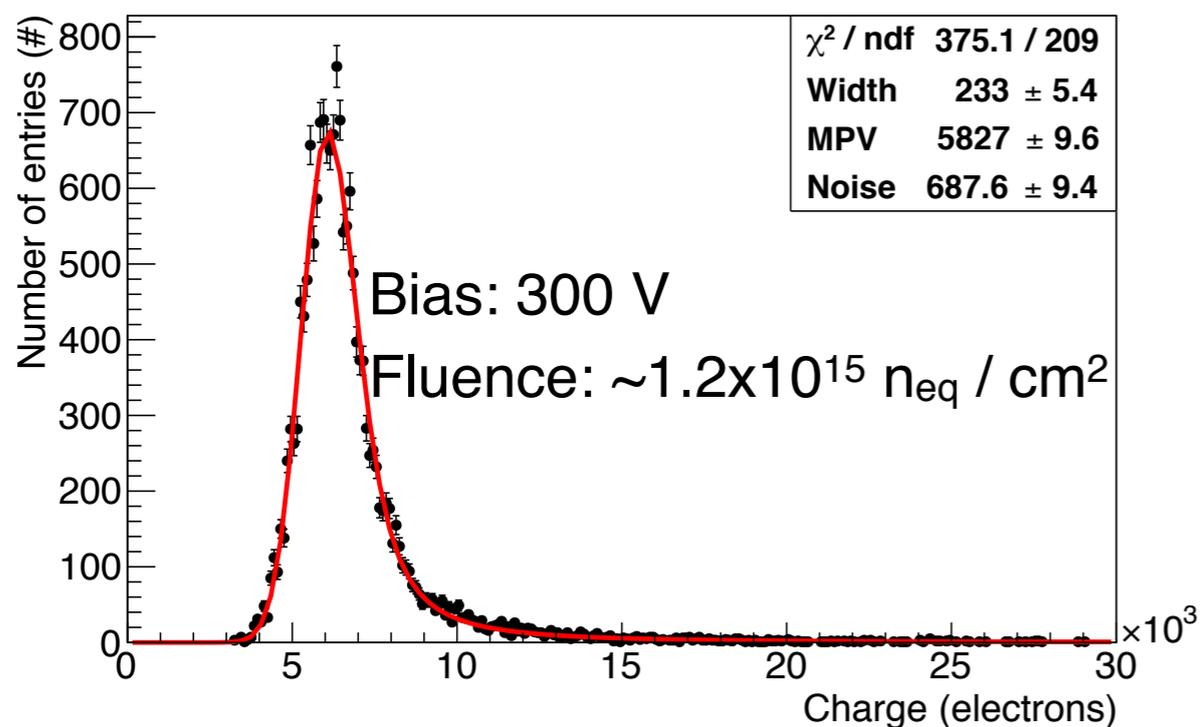
- Fluence: $\sim 1 \times 10^{15} \text{ n}_{\text{eq}} / \text{cm}^2$
- Sensor thickness: **100 μm**



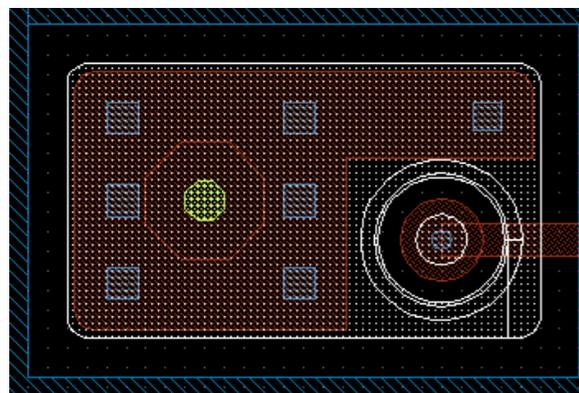


Landau distribution before and after irradiation

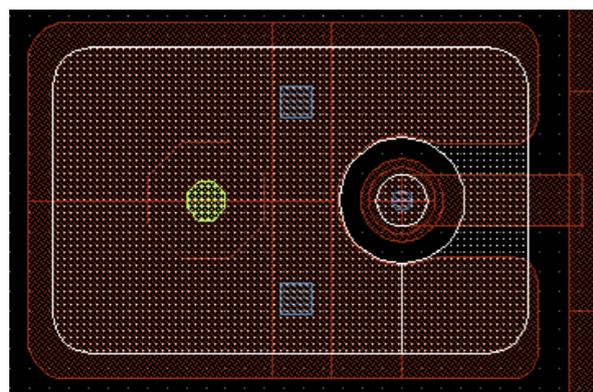
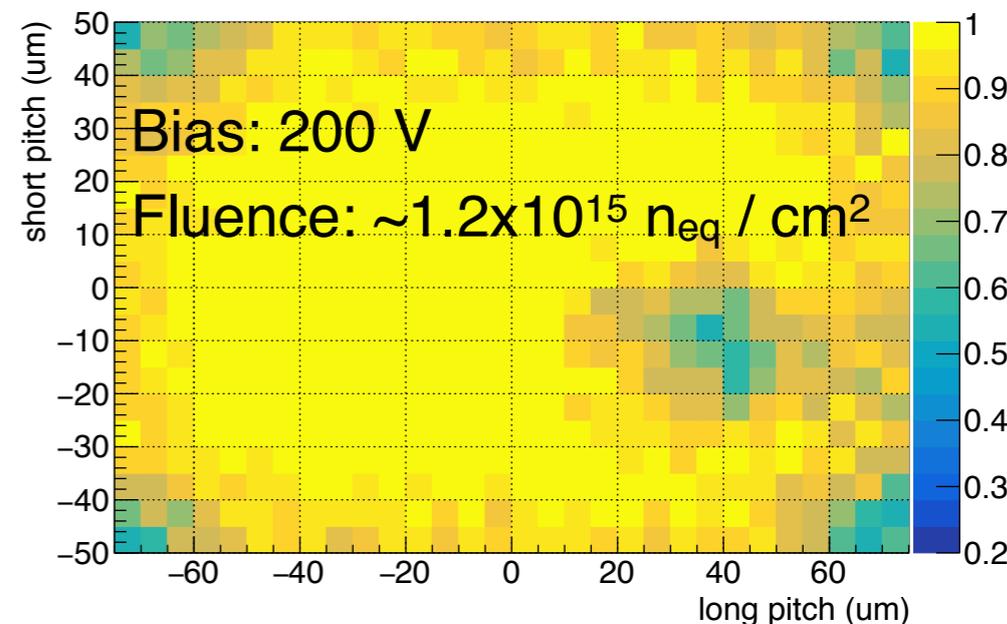
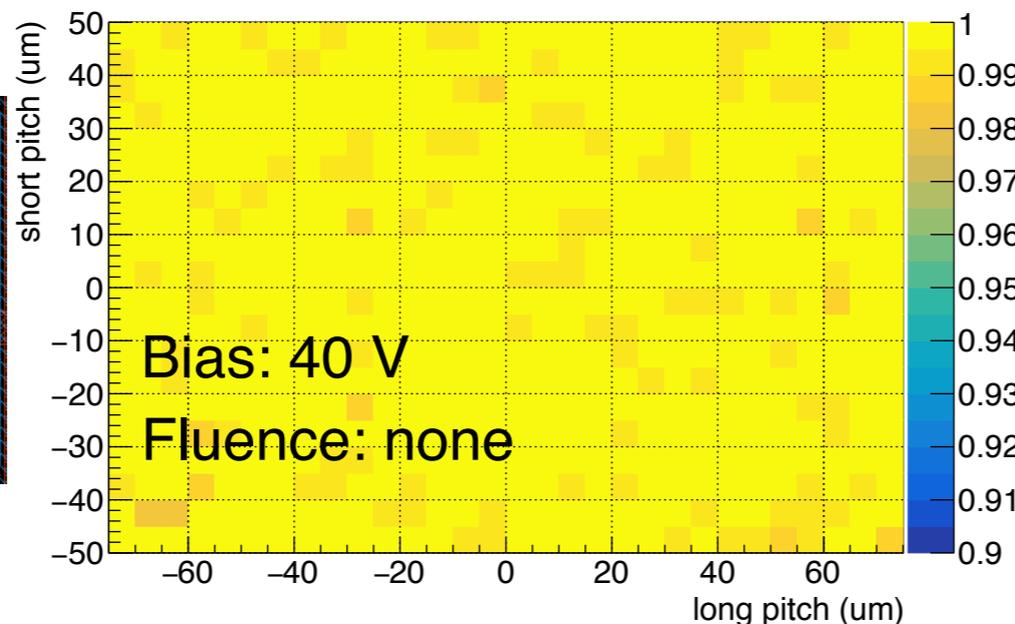
- Fluence: $\sim 1.2 \times 10^{15} \text{ n}_{\text{eq}} / \text{cm}^2$
- Sensor thickness: **130 μm**



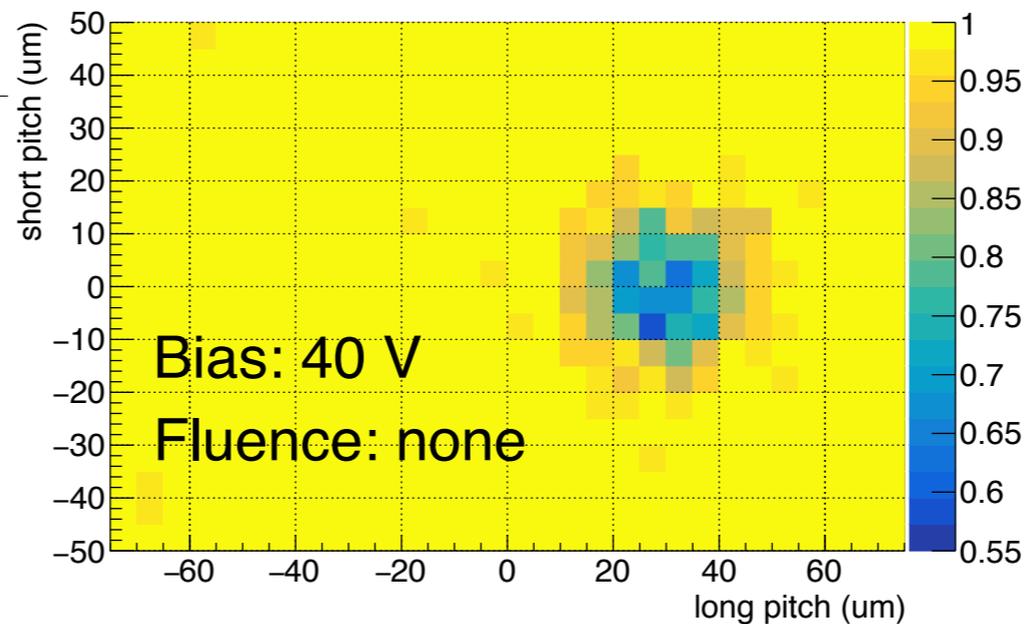
Efficiency affected by punch through structure (**some** modules **affected before** irradiation, **all** modules **affected after irradiation**)



Thickness: 100 μm
Punch through
p-stop

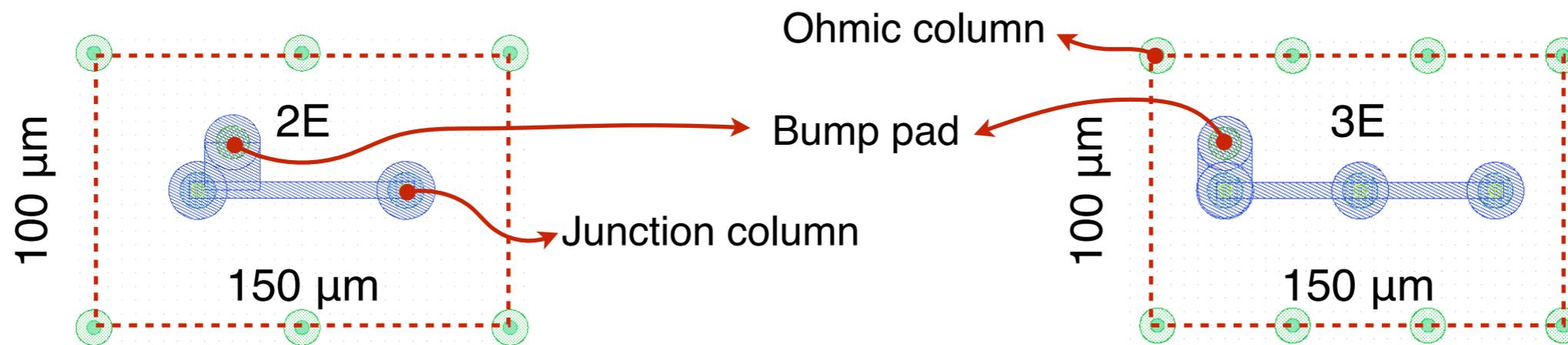


Thickness: 100 μm
Punch through
no p-stop

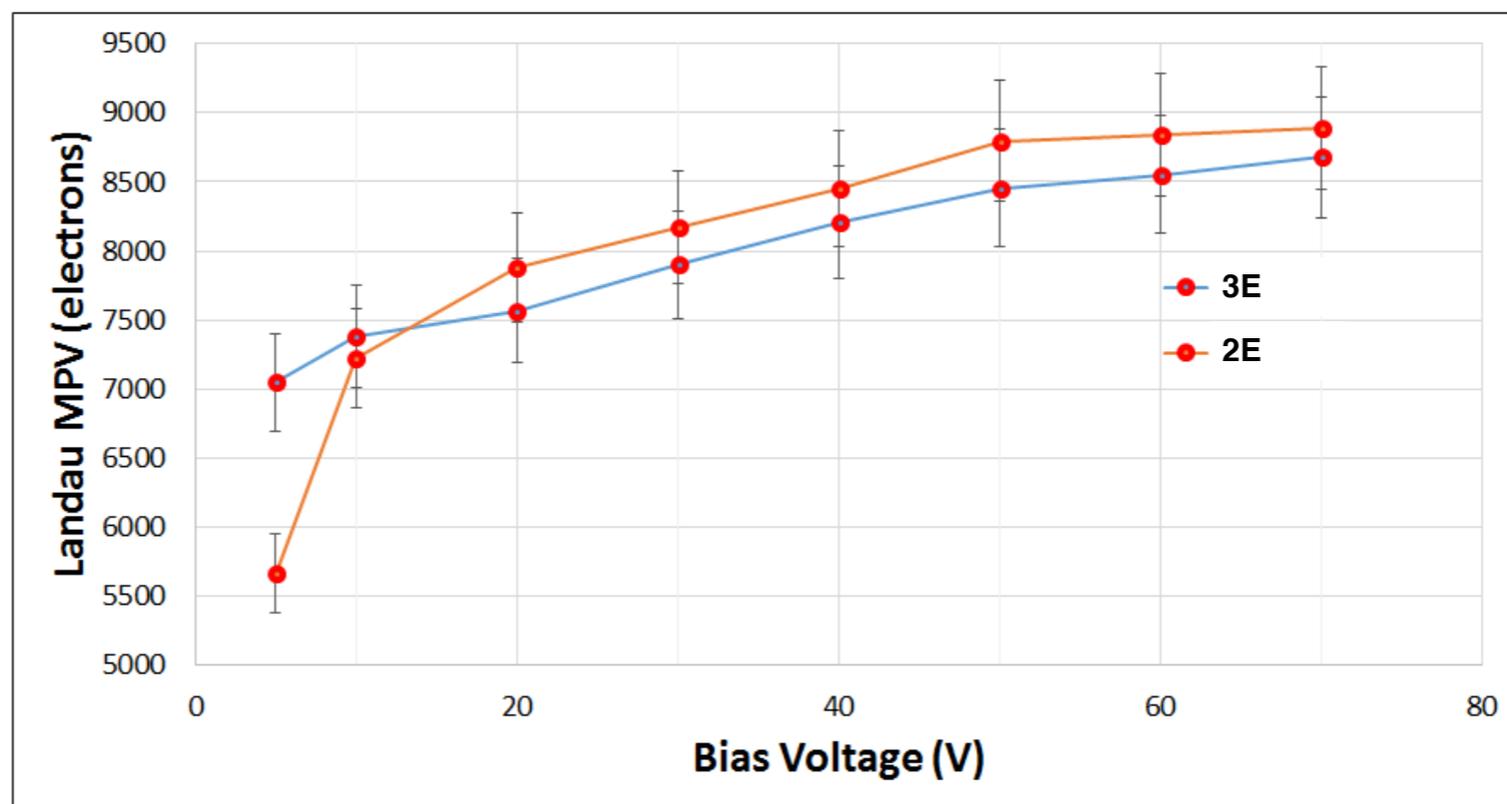


- Simulation studies ongoing to optimise geometry/process of bias structure
- For small pitch pixel design can be critical (i.e. common 4-fold bias dot)

3D columnar, **130 μm thick** sensors, **100x150 μm^2** cell size with 2 (2E) and 3 (3E) junction electrodes

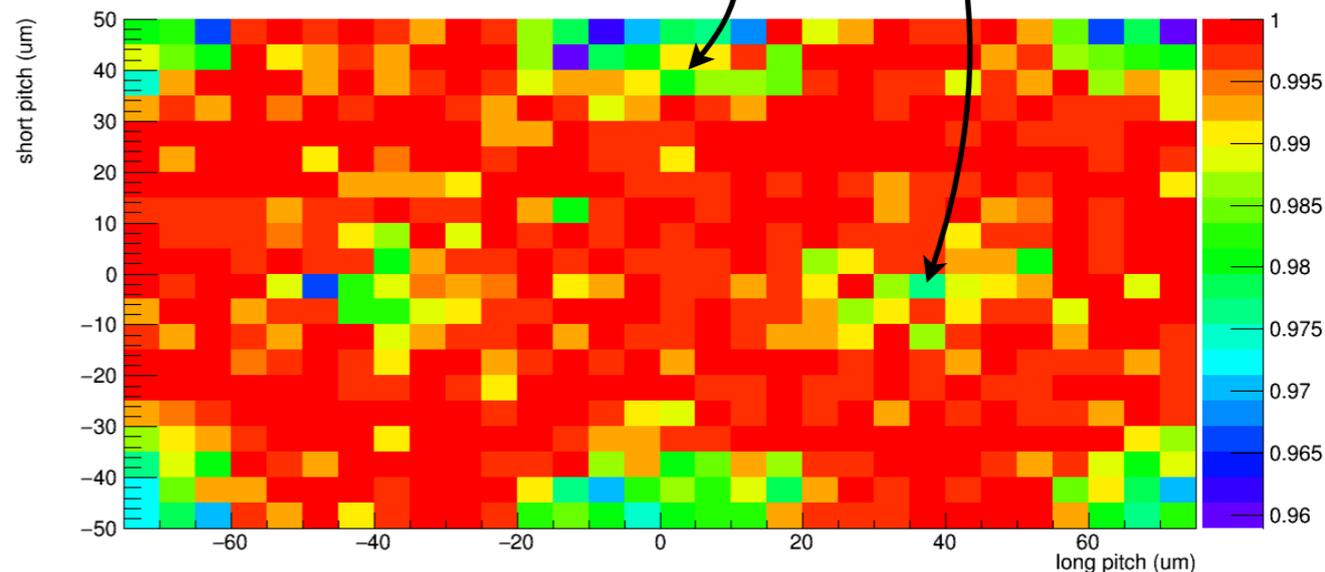
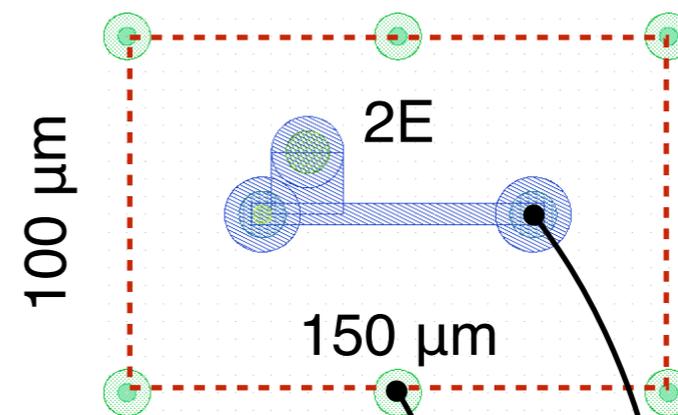
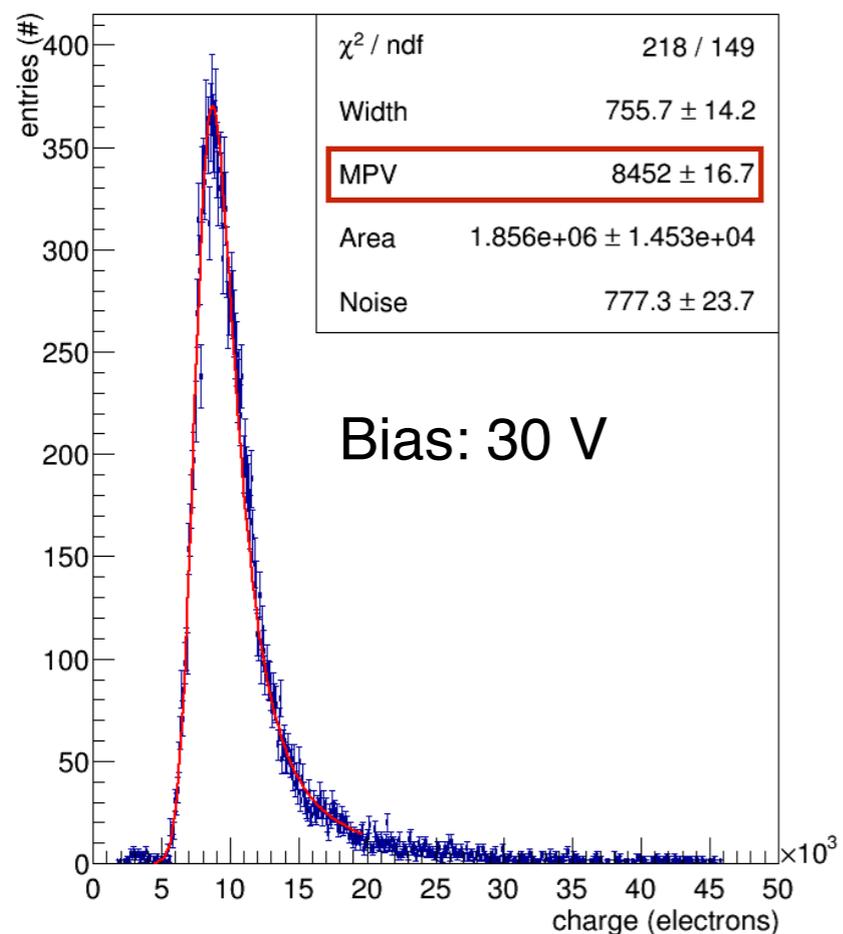


- For bias voltage > 20 V 2E and 3E are compatible within calibration uncertainty
- At saturation the collected charge is compatible with planar sensors
- At low bias voltage (< 15 V) 3E shows greater charge collection efficiency than 2E as expected



Efficiency map on cell for orthogonal tracks

● Bias voltage: 40 V

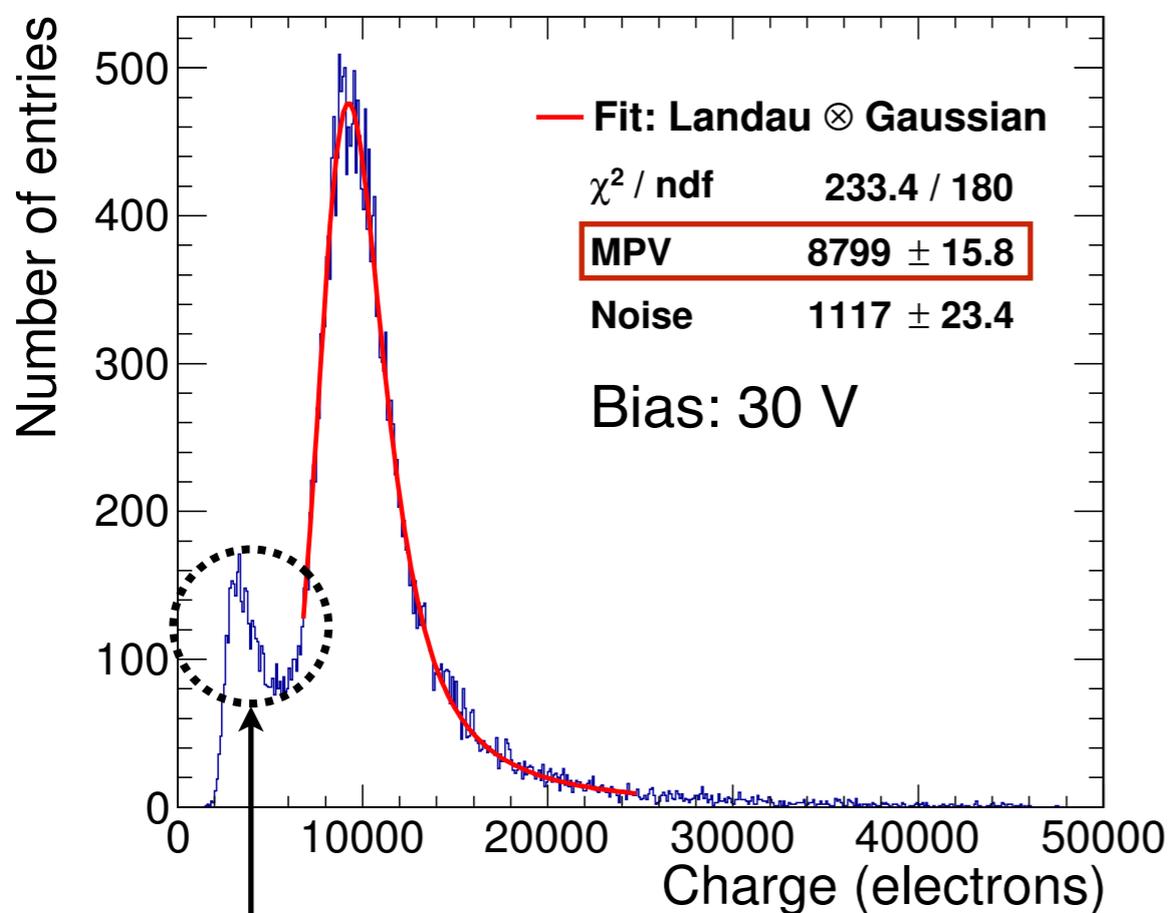
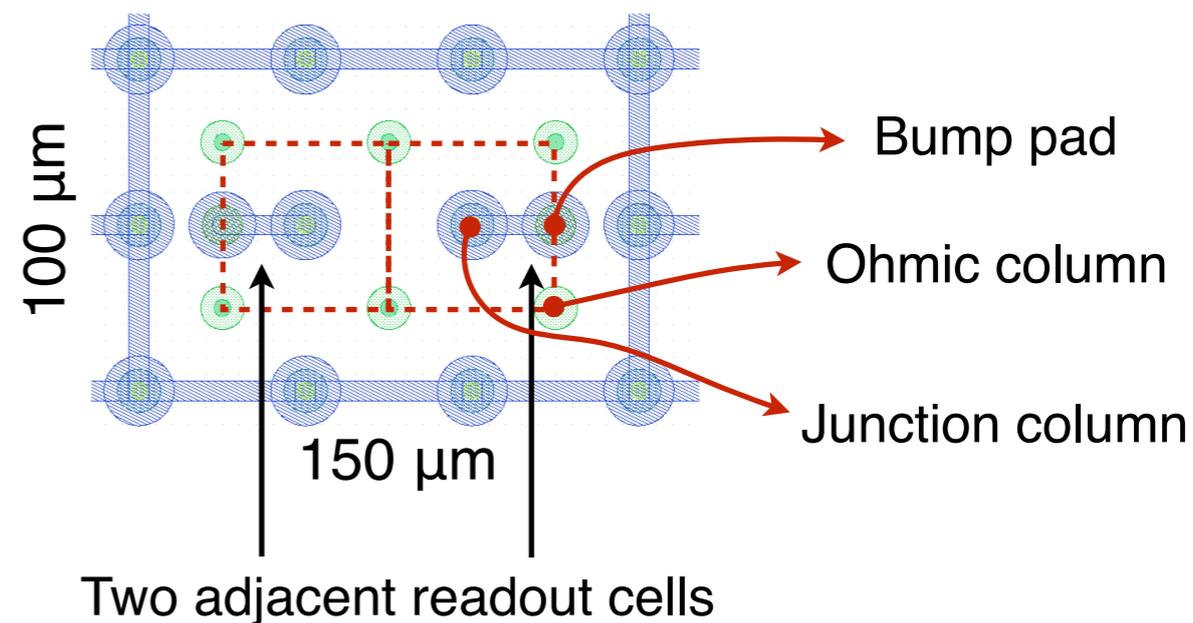


Overall efficiency: $(99.40 \pm 0.04)\%$

Visible efficiency deterioration on both junction and ohmic columns

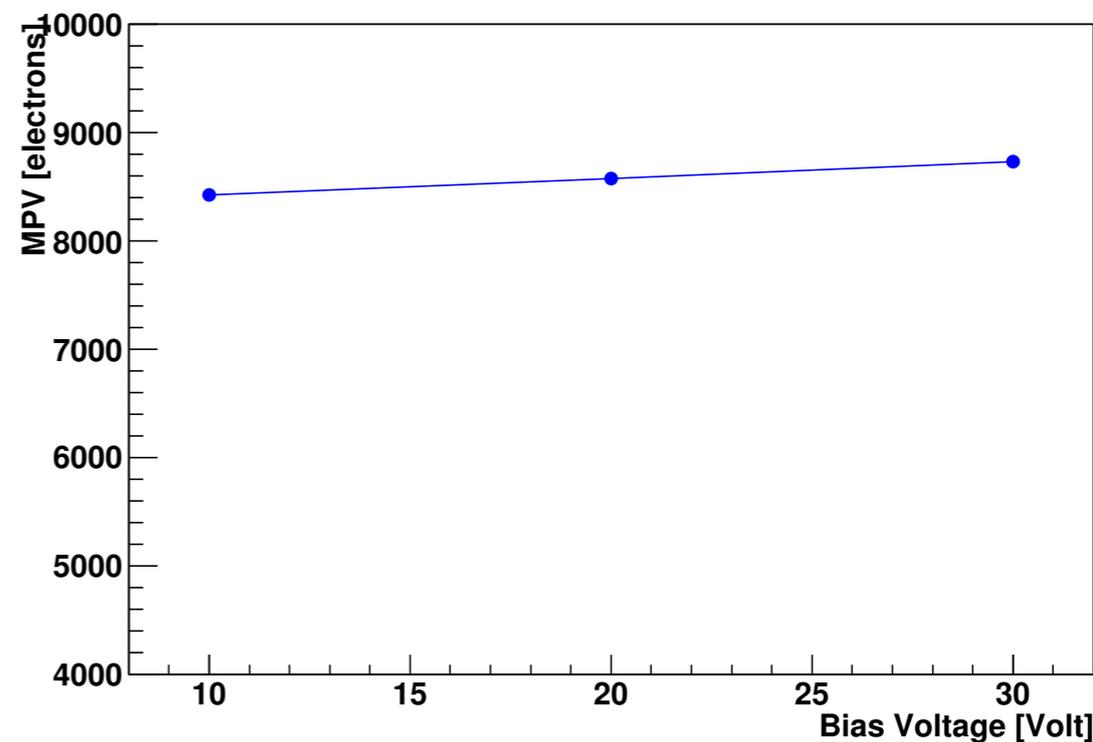
Charge collection

- Cell size: $50 \times 50 \mu\text{m}^2$ 1E
- 130 μm thick sensors



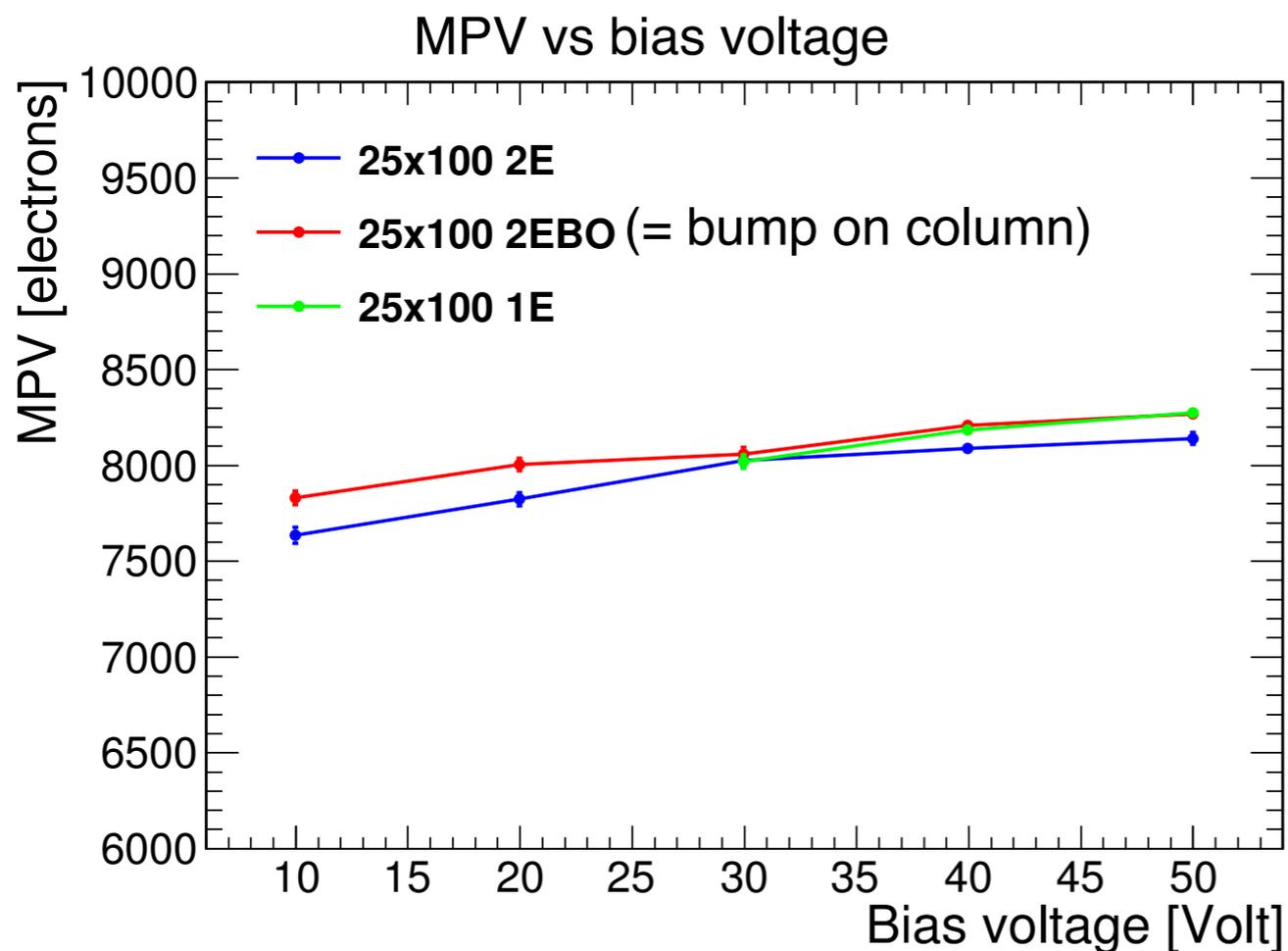
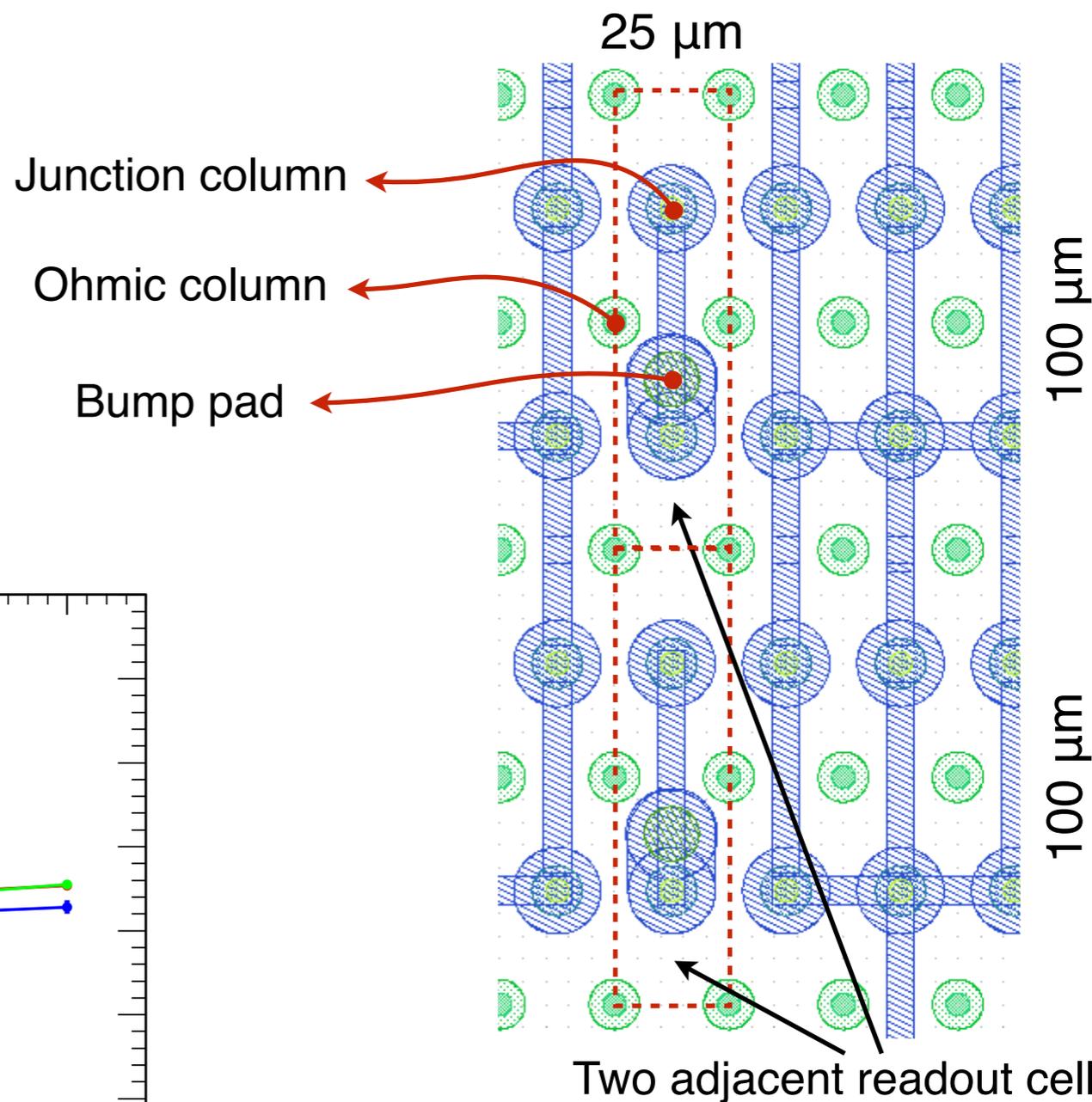
Charge shared with adjacent pixels which are not being readout

MPV vs bias voltage

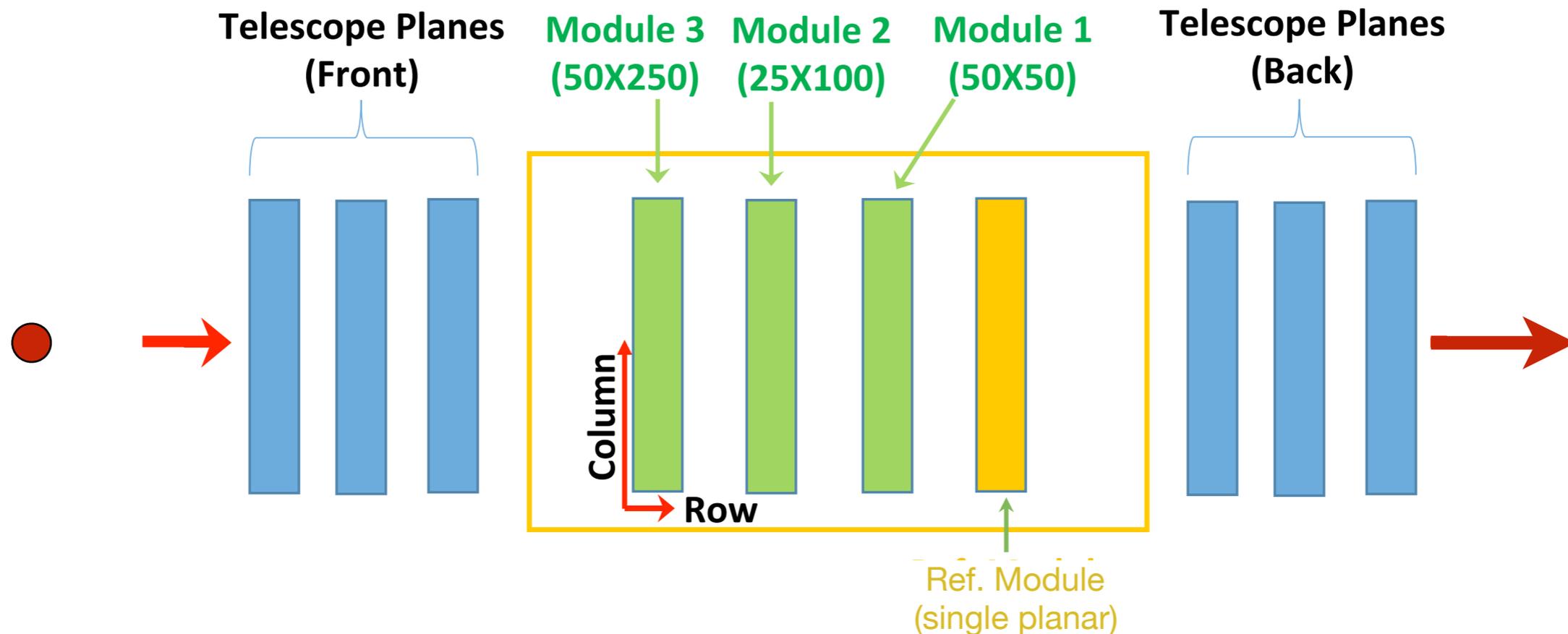


Charge collection

- Cell size: **25x100 μm^2 1E and 2E and 2E with bump on column**
- 130 μm thick sensors



- bump on column collects $\sim 200 e^-$ less \rightarrow further studies ongoing
- 25x100 μm^2 collects $\sim 700 e^-$ less than 50x50 μm^2 @ same voltage \rightarrow probably sharing with nearby cells not readout

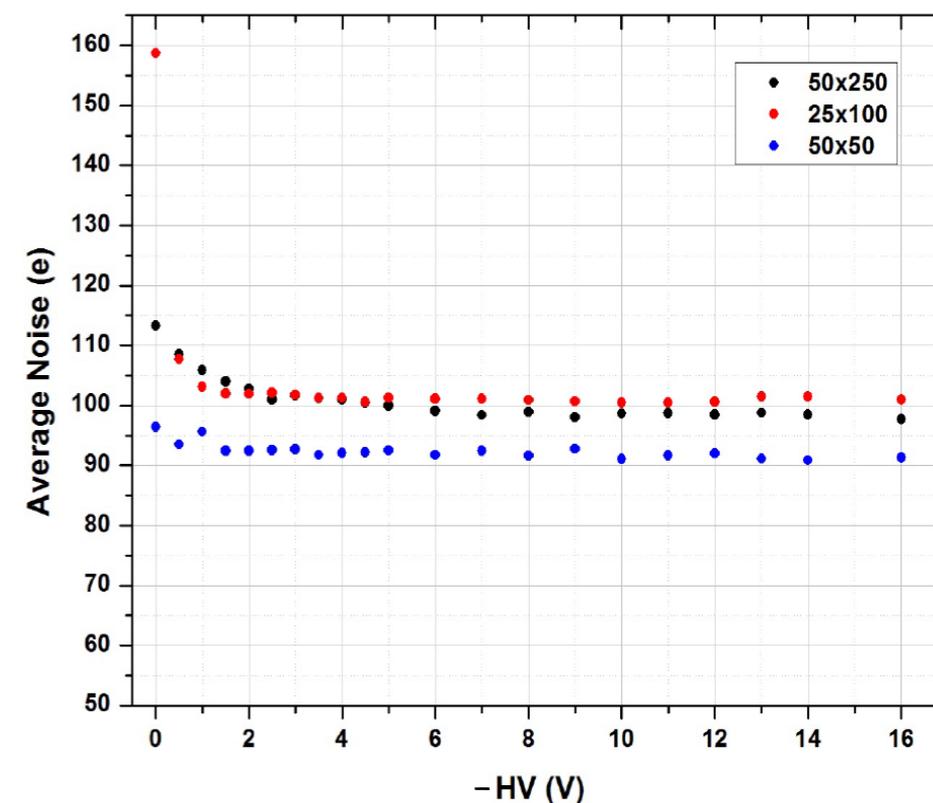


“Aconite” telescope on CERN SPS H6A beam line

- 120 GeV pions
- 6 pixel planes
- based on Mimosas26 chip (18.4 μm pitch, square pixel cells, 576 rows and 1152 columns)
- ~ 2 μm resolution on each coordinate

Three DUTs **50x50 1E**, **25x100 1E**, and **50x250 2E μm^2** , **130 μm thick** + planar module as reference (150 μm thick sensor) bump-bonded to ATLAS FE-I4 chip

Noise vs bias voltage for the three DUTs



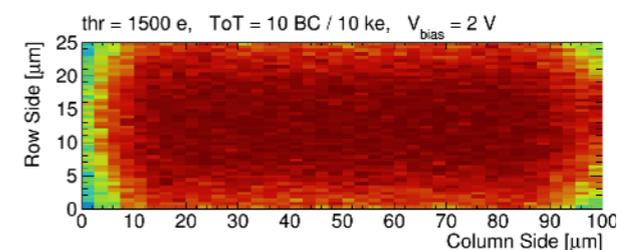
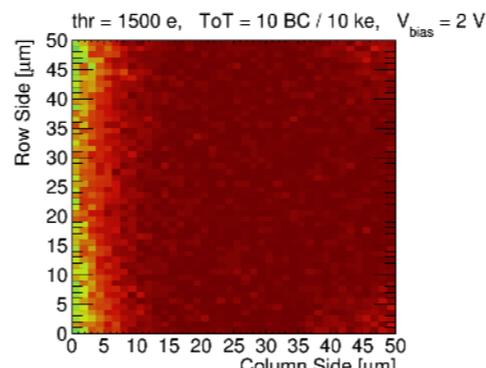
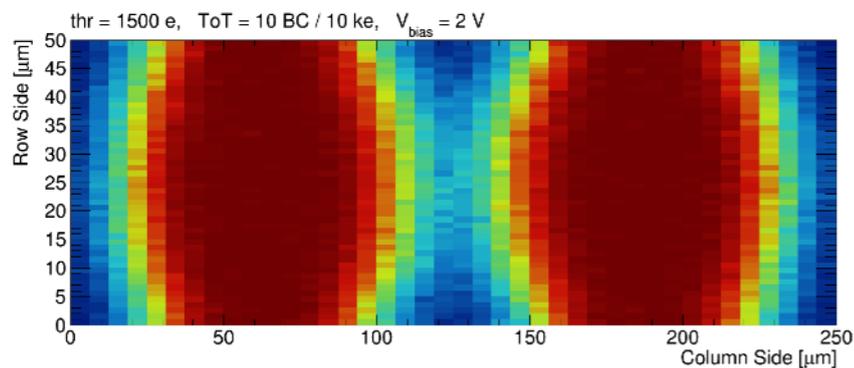
Efficiency maps vs bias voltage (thr = 1500 e⁻, ToT = 10 BC / 10 ke⁻)

250x50μm²

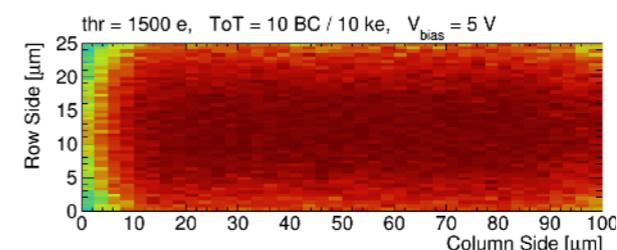
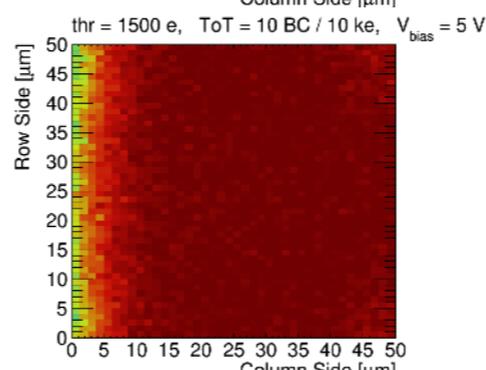
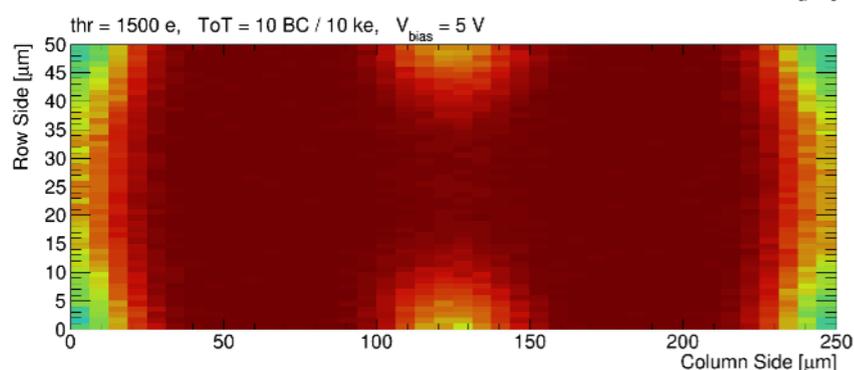
50x50μm²

100x25μm²

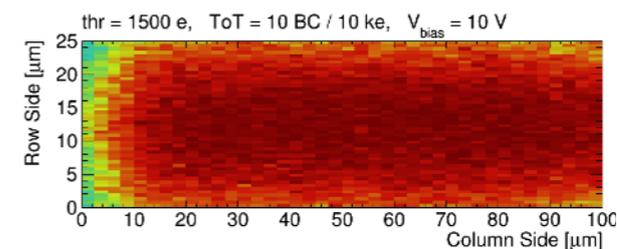
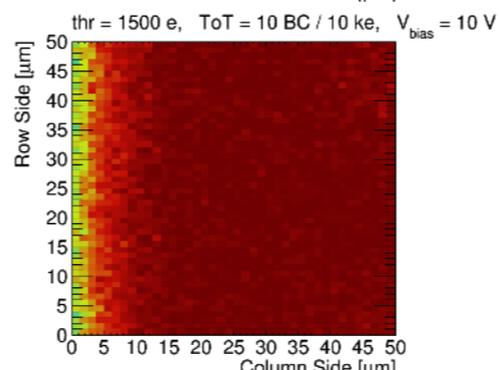
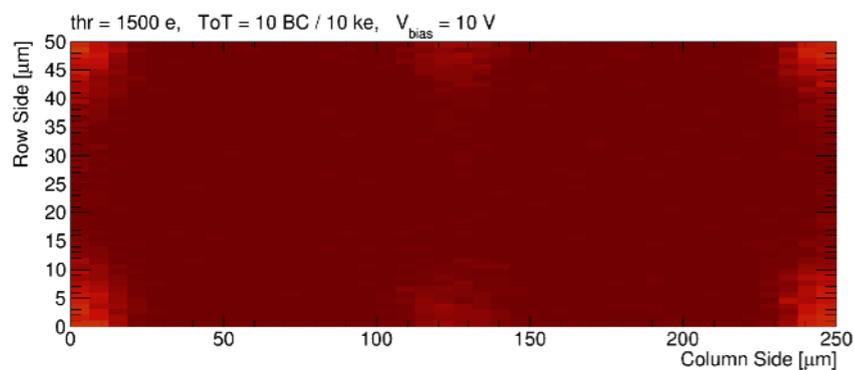
2V



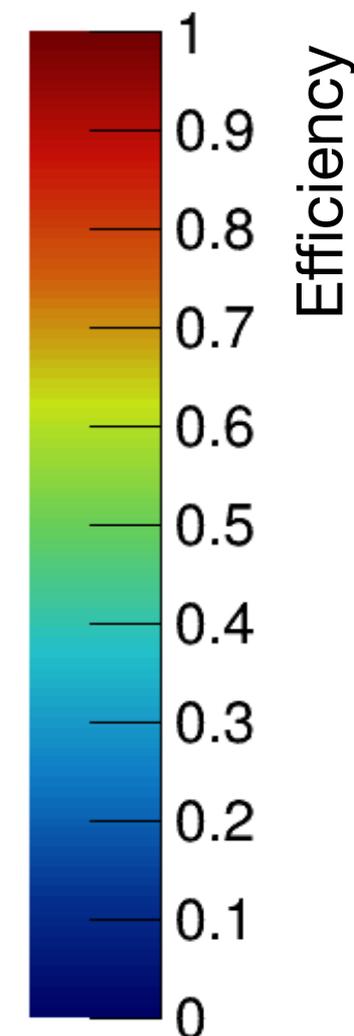
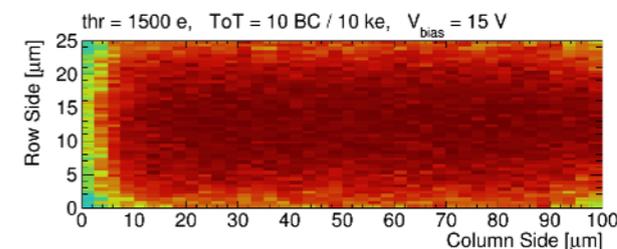
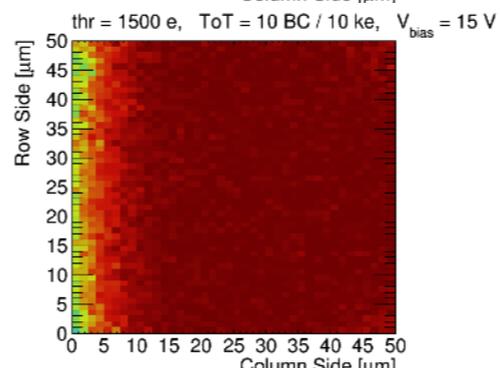
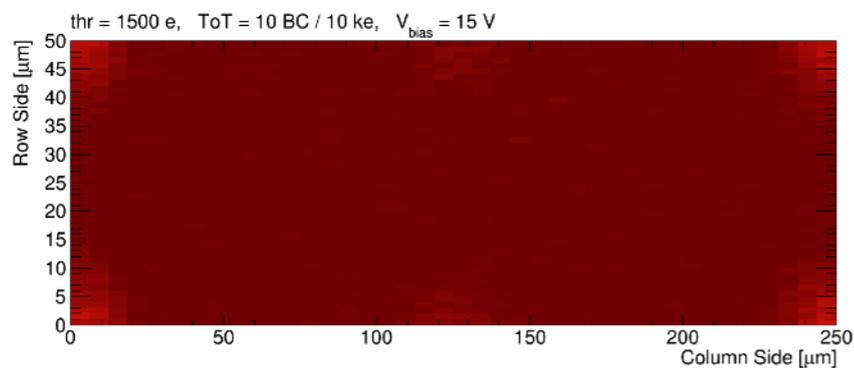
5V



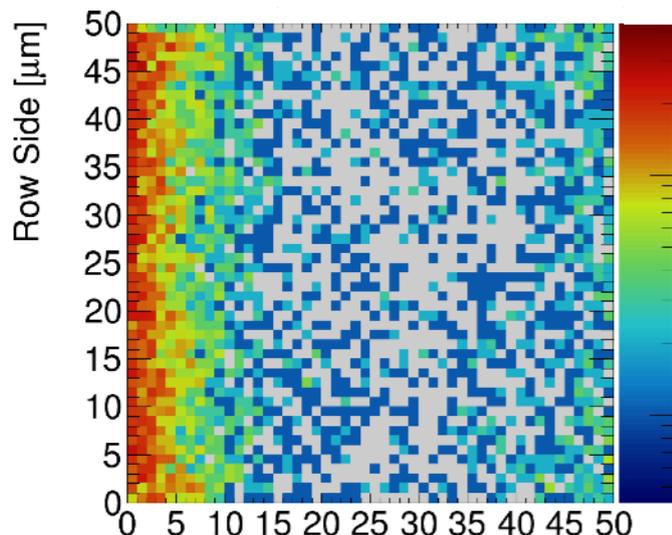
10V



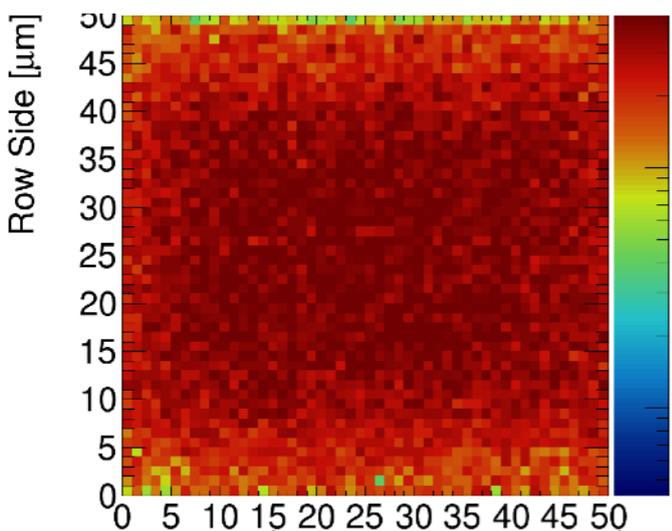
15V



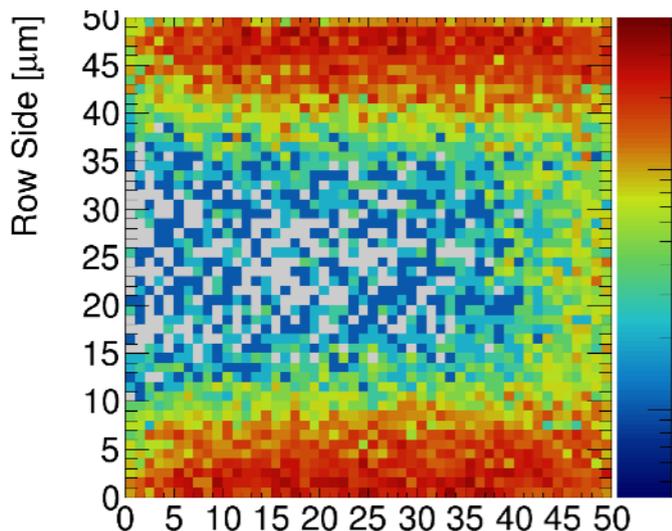
Inefficiency map



Efficiency for size 1 clusters



Efficiency for size 2 clusters



Bias: 10 V

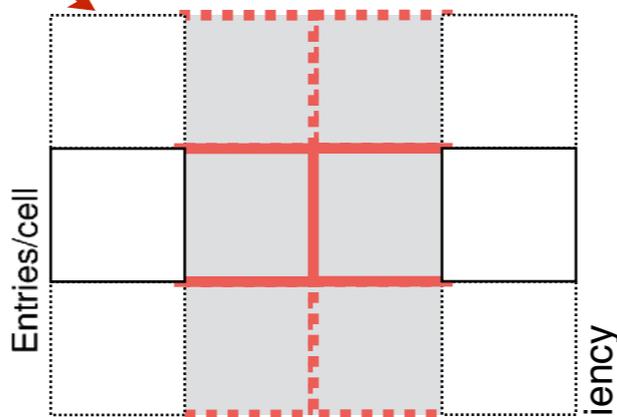
Cell size: 50x50 μm^2

Column Side [μm]

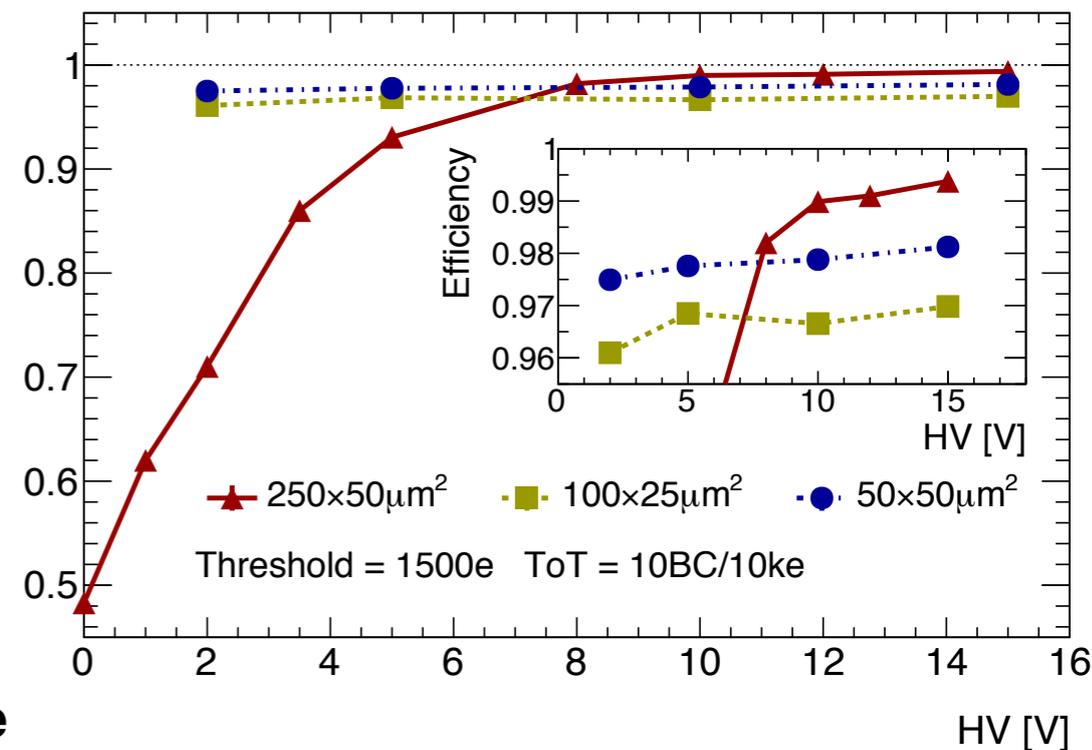
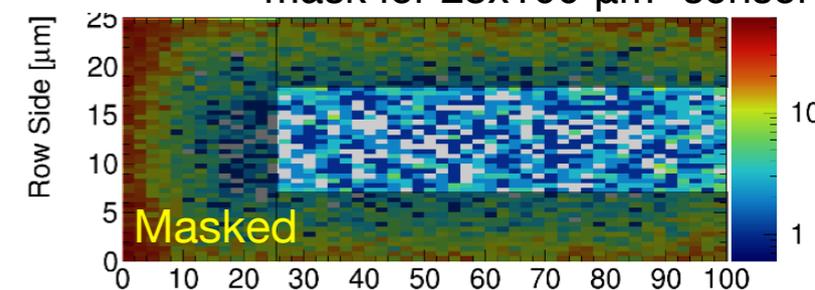
Due to chip-sensor pitch mismatch

- 50x50 μm^2 cells have neighbouring cells on **3** out of 4 sides
- 25x100 μm^2 cells have neighbouring cells on **1** out of 4 sides
- 50x250 μm^2 cells have neighbouring cells on **4** out of 4 sides

Masking is necessary to compute unbiased efficiency



mask for 25x100 μm^2 sensor

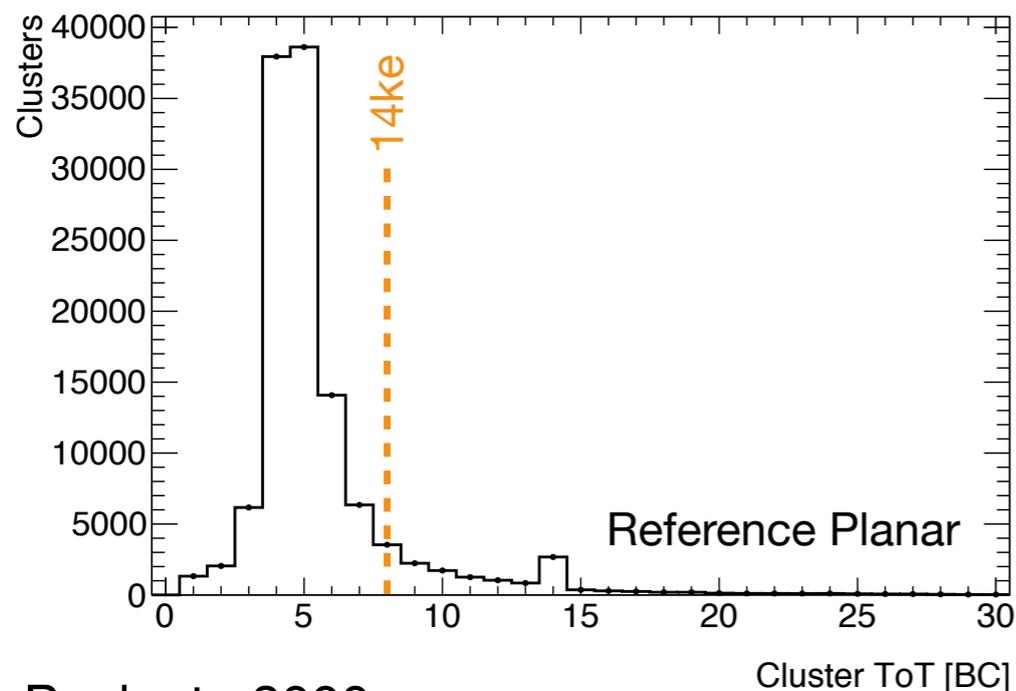


Efficiency vs bias voltage

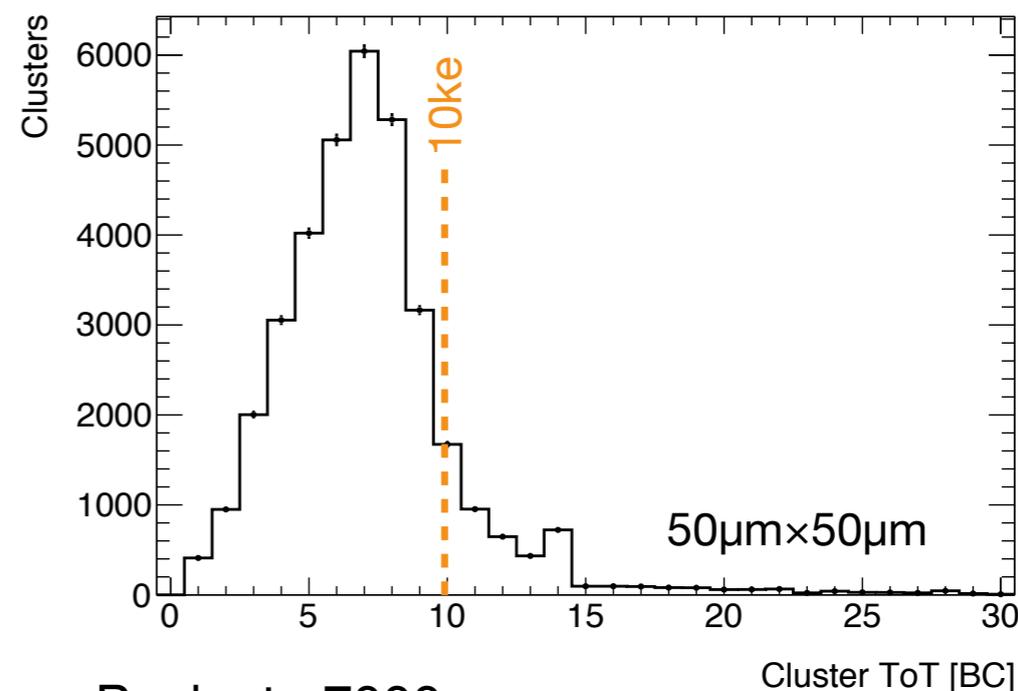
- 50x50 μm^2 : almost flat at **~98%** efficiency for 2 V < HV bias < 15 V (slight increase of ~1%)
- 25x100 μm^2 : qualitatively similar trend to 50x50 μm^2
- 50x250 μm^2 : efficiency **> 99%** above **~10 V** (ramping up to ~10 V)

Charge collection at bias voltage = 10 V

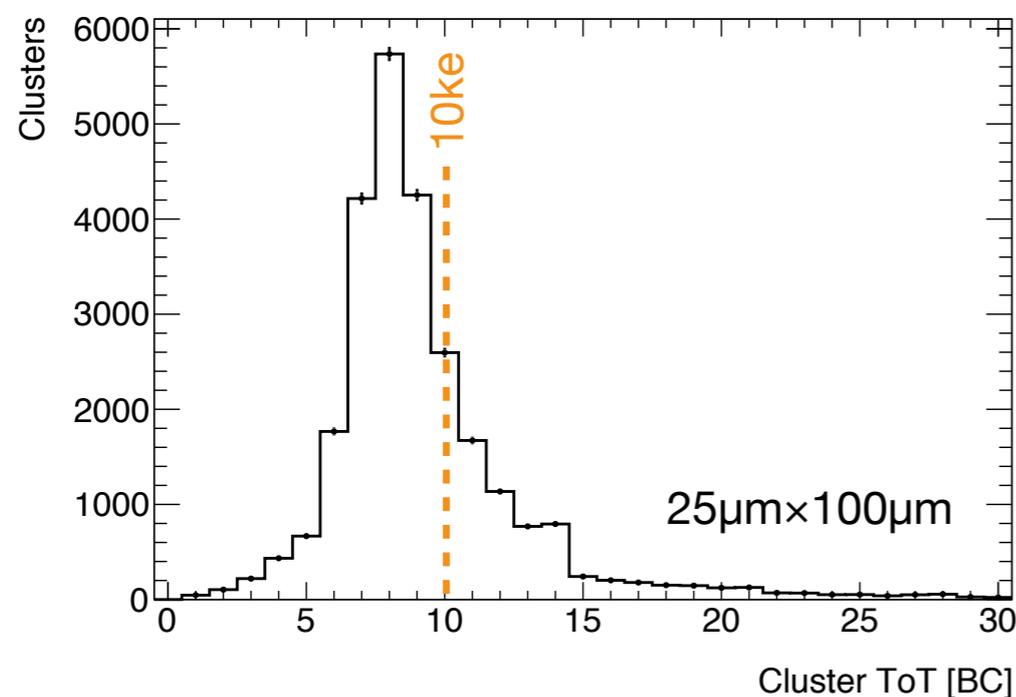
Peak at $\sim 8750 e^-$ (150 μm thick)



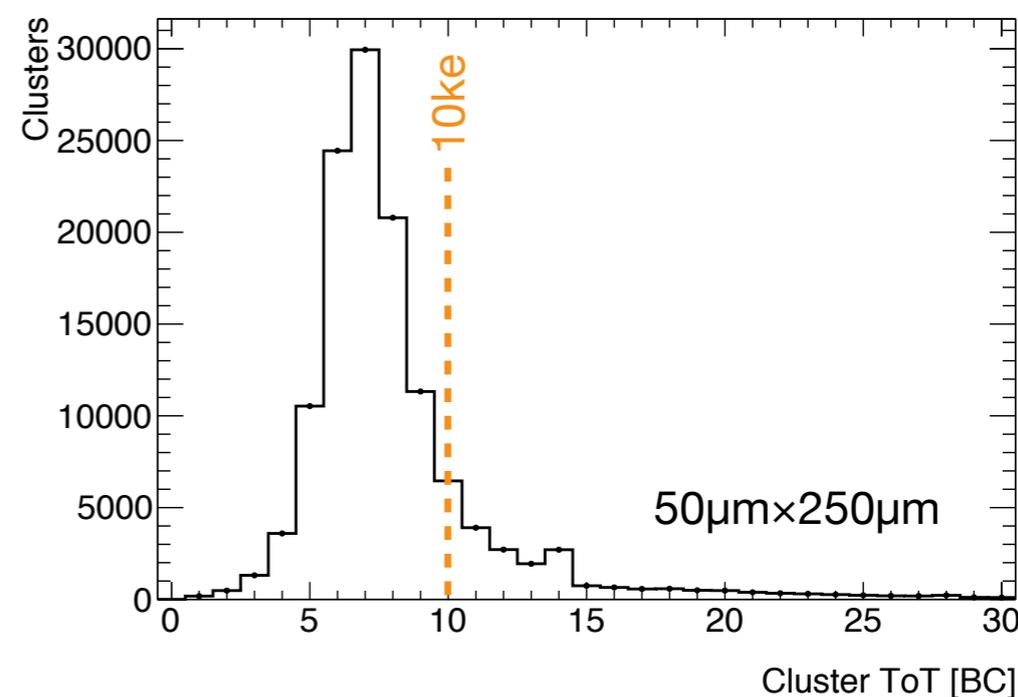
Peak at $\sim 7000 e^-$



Peak at $\sim 8000 e^-$



Peak at $\sim 7000 e^-$

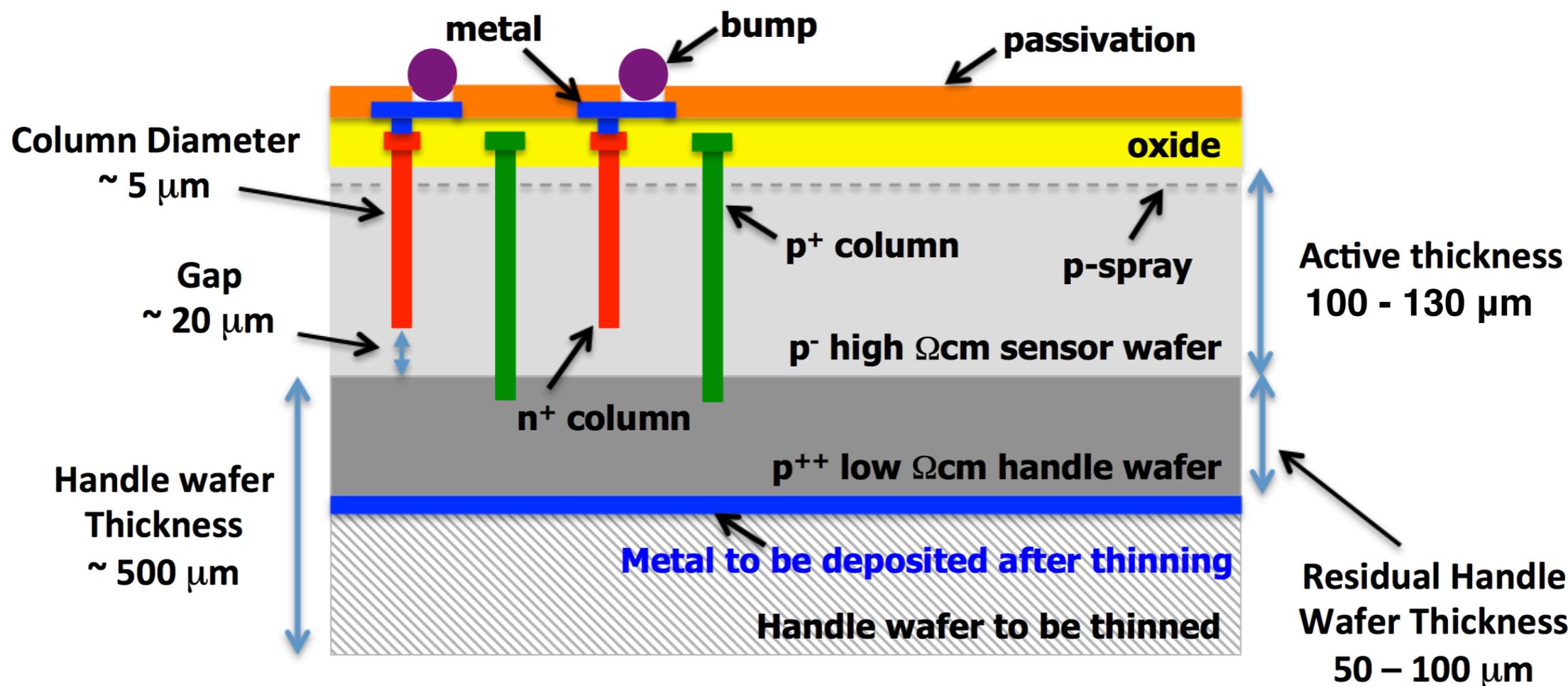


Collected charge MPV of 3D modules \sim as expected from sensor thickness

- First prototype sensors, both thin planar and 3D columnar, developed within INFN Pixel R&D collaboration with partnership FBK, show good data quality and behave as expected
- Further studies, especially at higher radiation dose, are ongoing
- CMS 3D and thin planar modules will be irradiated ~summer 2017:
 - with 24 GeV protons at CERN up to $5 \times 10^{15} \text{ n}_{\text{eq}} / \text{cm}^2$
 - with neutrons at Lubiana up to $10^{16} \text{ n}_{\text{eq}} / \text{cm}^2$
- CMS testbeam campaign foreseen ~fall 2017
- ATLAS 3D modules are being irradiated up to $\sim 10^{16} \text{ n}_{\text{eq}} / \text{cm}^2$:
 - 6 modules with 24 GeV protons at CERN
 - 3 modules with 23 MeV protons at KIT
- New wafers made with Direct Wafer Bonding (DWB) with SOI are being processed and will be tested in comparison with SiSi DWB
- As soon as RD53 chip (joint ATLAS-CMS collaboration to develop high radiation tolerant readout chip in 65 nm CMOS technology) will be available the plan is to bump-bond new sensors with RD53 chip (higher radiation resistance, capability to readout both $50 \times 50 \mu\text{m}^2$ and $25 \times 100 \mu\text{m}^2$ cells)

Backup

- Devices single sided processed: it can be thinned
- Pixel Unit Cell design with variety of collecting electrodes
- Location of pad for bump-bonding optimised



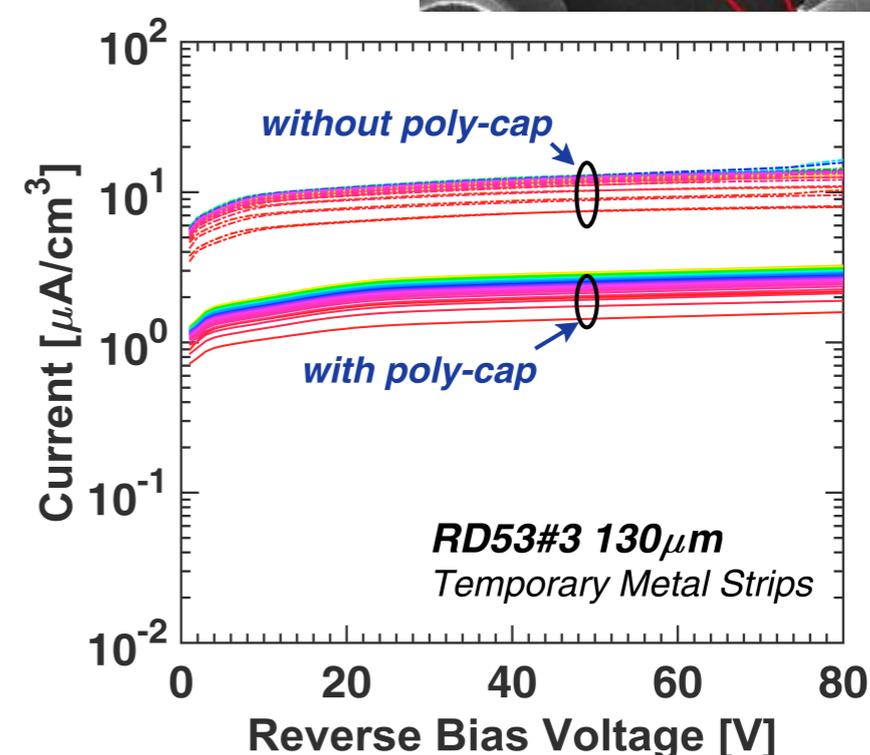
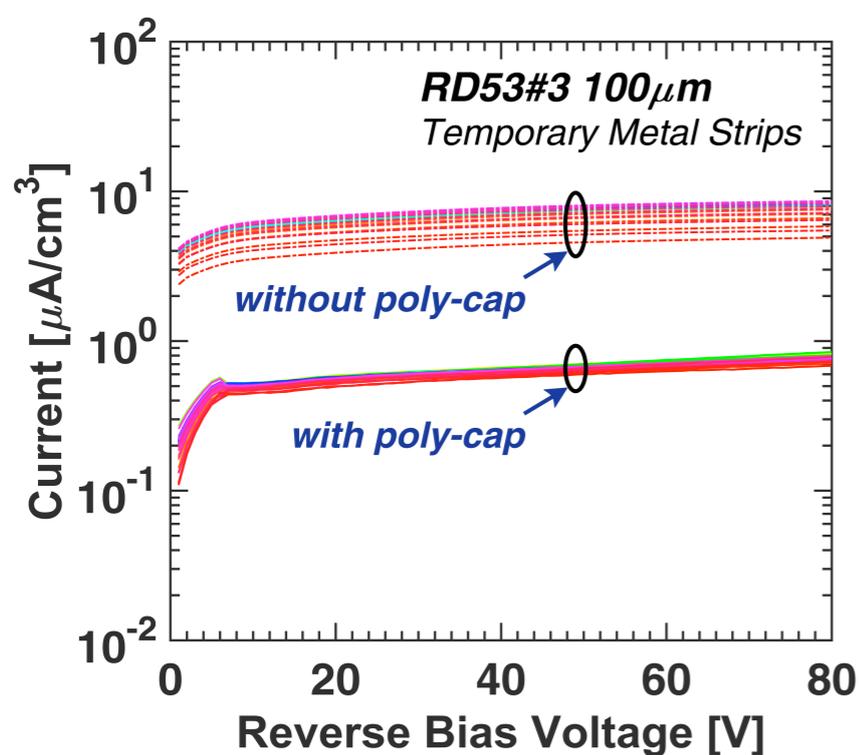
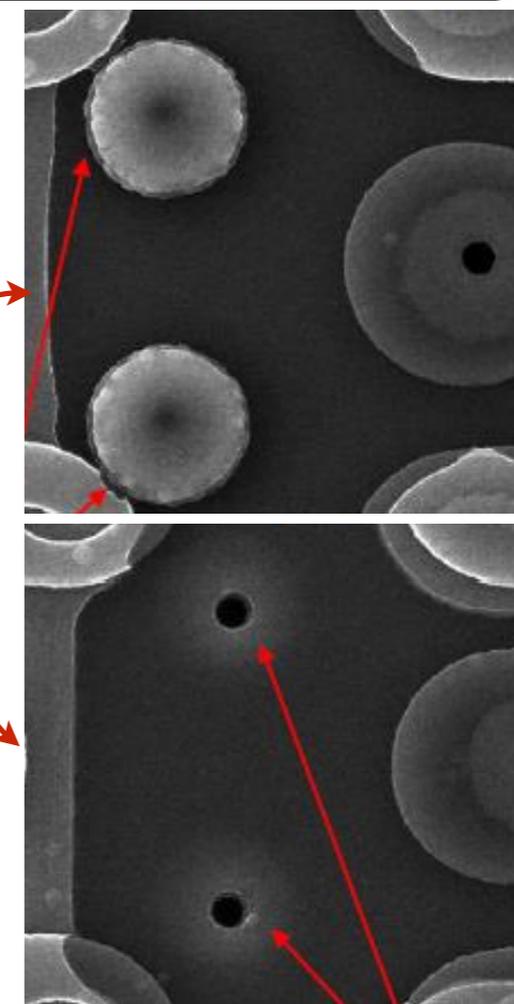
Standard pixel prototype

- $100 \times 150 \mu\text{m}^2$ pitch
- Readout by PSI46dig chip (phase-1)
- $130 \mu\text{m}$ active thickness

Small pitch pixel prototype

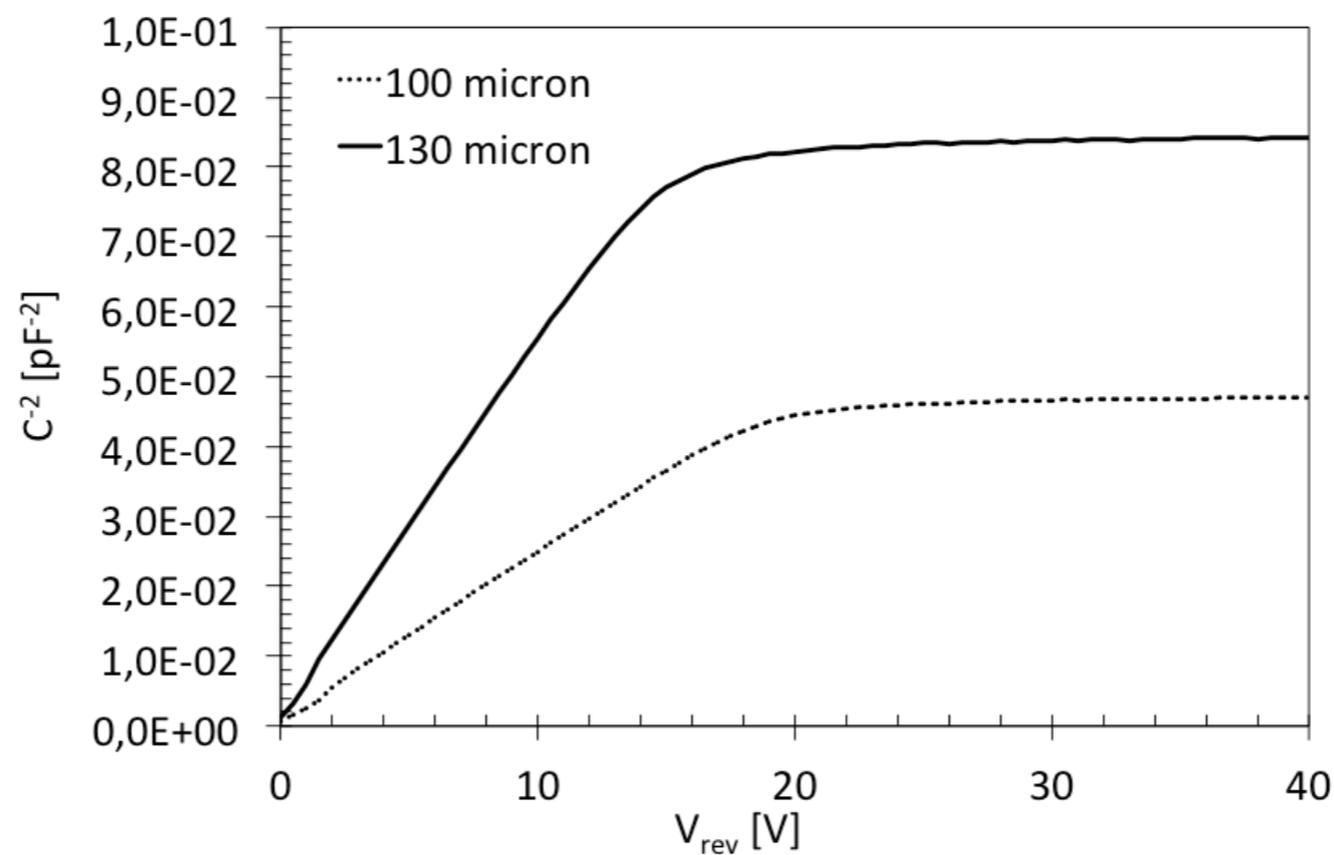
- $50 \times 50 \mu\text{m}^2$ and $25 \times 100 \mu\text{m}^2$ cell size
- Readout cell adapted for PSI46dig chip (phase-1)

- After column filling with poly-Silicon, residual remains outside the columns (on the surface)
- Two possibilities for removal
 - **with mask:** a poly-cap remains on the columns
 - **without mask:** entirely removal of the poly-cap → some consequences in damaging the aperture of the columns (i.e. higher leakage current)



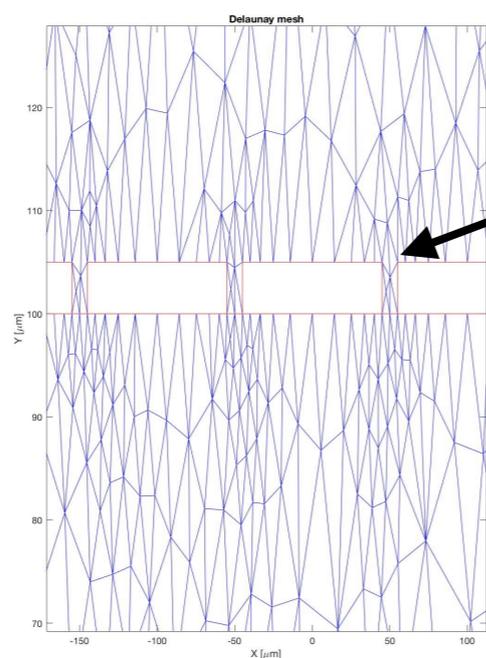
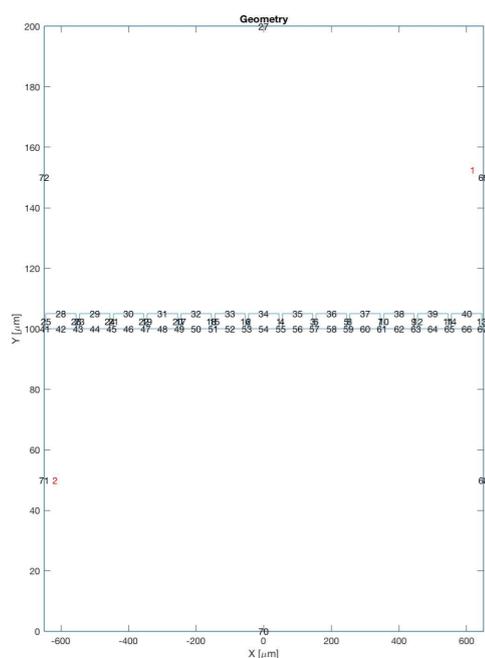
Full depletion voltages

- $V_{\text{full dep}} \sim 16\text{V}$ for 100 μm thick
- $V_{\text{full dep}} \sim 20\text{V}$ for 130 μm thick



We performed a simulation of the charge transport with MATLAB:

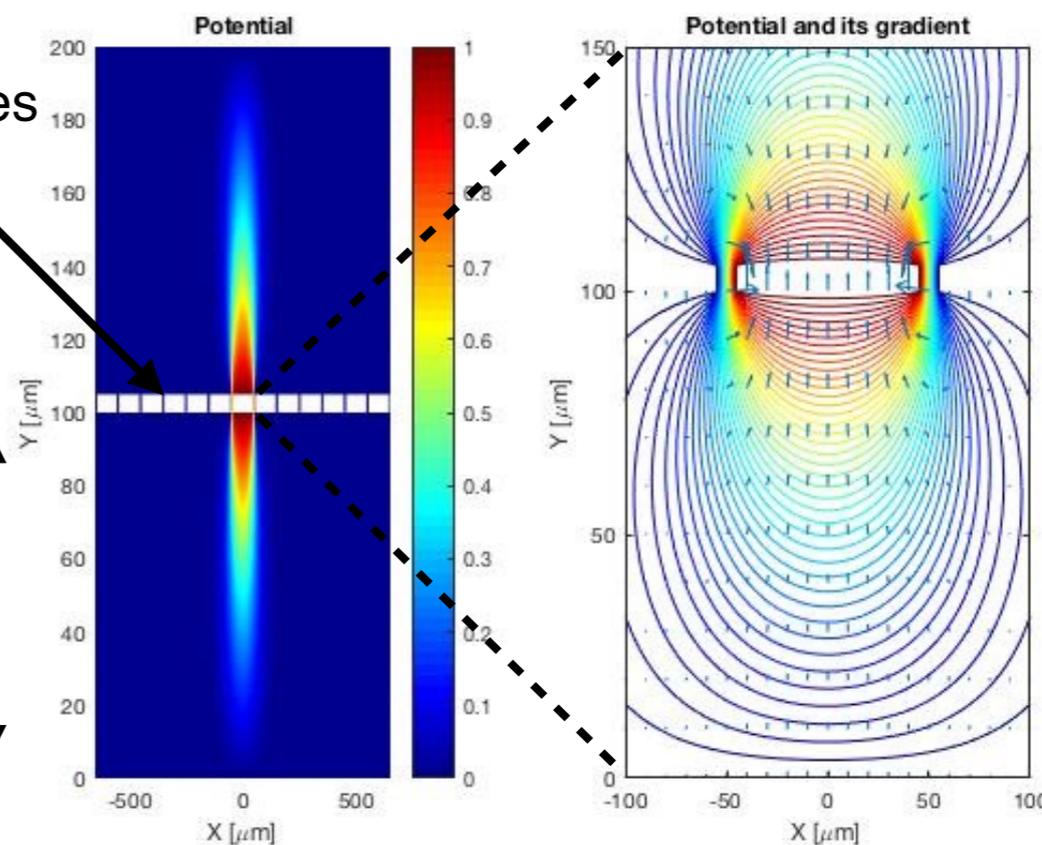
- 2D simulation
- velocity dependence from electric field taken into account
- space charge corresponding to nominal/un-irradiated resistivity
- e-h pairs per micron: 60
- radiation damage effects simulated with finite carrier lifetimes → from carrier lifetimes we evaluate irradiation fluence: $1/\tau_{e/h} = \beta_{e/h} \cdot \Phi$ (2016 JINST 11 P04023) (in the following the errors on fluence are derived only from propagation of error on $\beta_{e/h}$)



Finite element analysis to solve Poisson equation

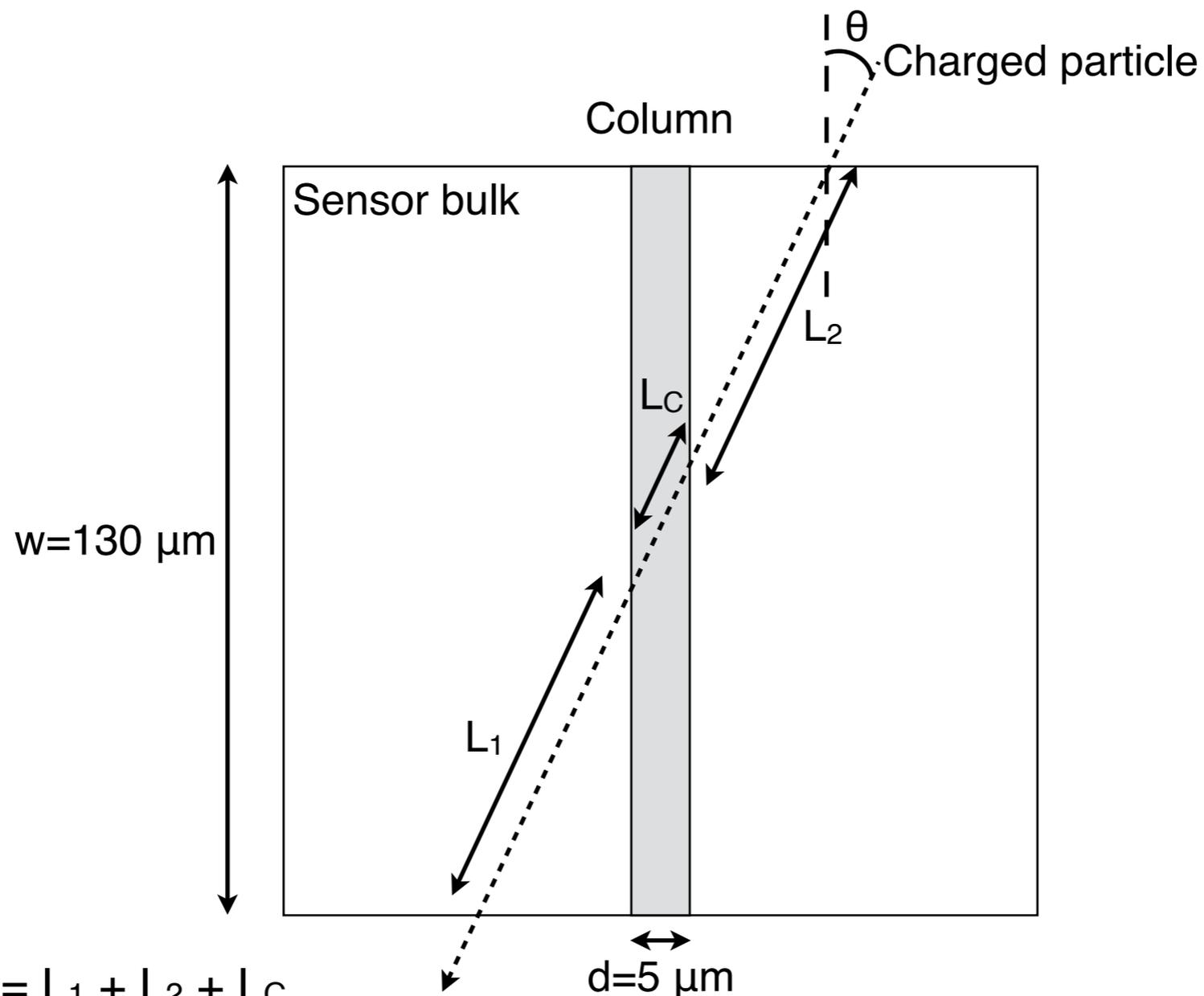
Electrodes

Sensor bulk



Weighting potential

The irradiation was not uniform → we concentrate our modelling on a small region in the highly irradiated zone



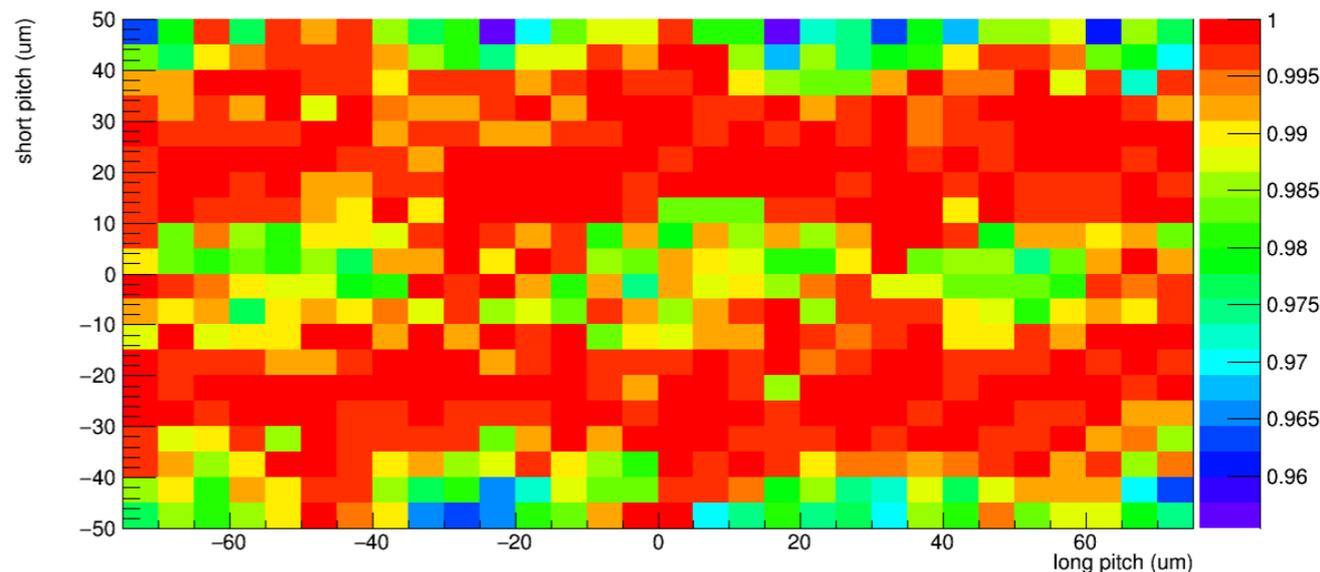
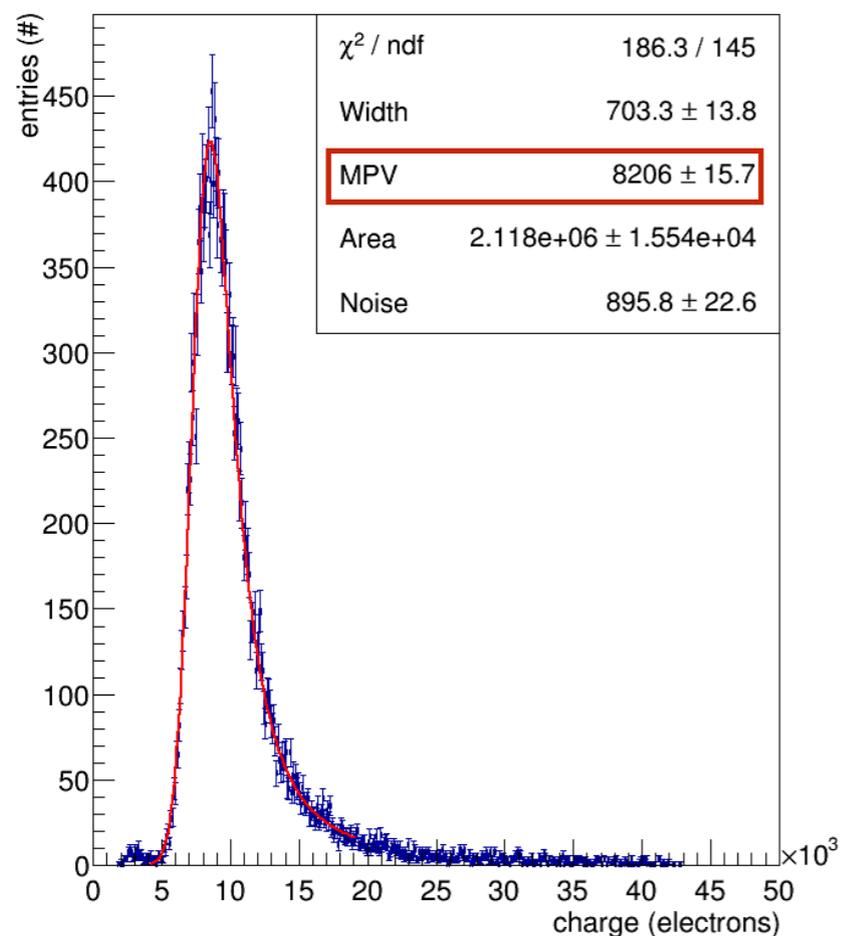
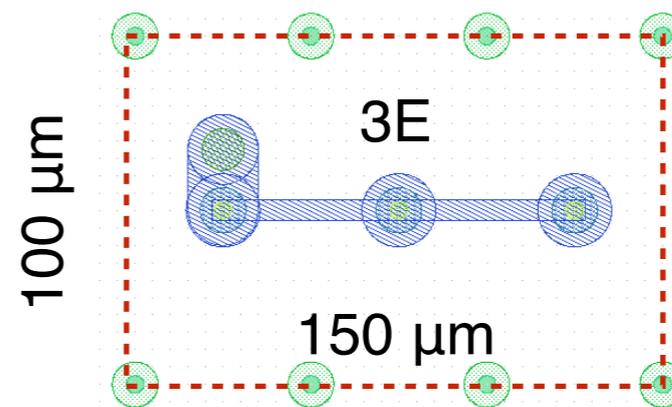
$$\left\{ \begin{array}{l} L = L_1 + L_2 + L_C \\ L \cos(\theta) = w \\ L_C \sin(\theta) = d \\ L_C / L < \text{Threshold} / \text{MIP} \end{array} \right.$$



$$\tan(\theta) > (d \cdot \text{MIP}) / (w \cdot \text{Threshold})$$

Efficiency map on cell for orthogonal tracks

- Bias voltage: 40 V

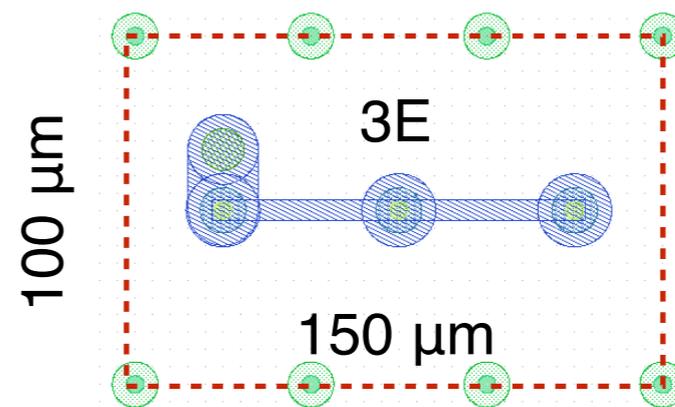


Overall efficiency: $(99.19 \pm 0.05)\%$

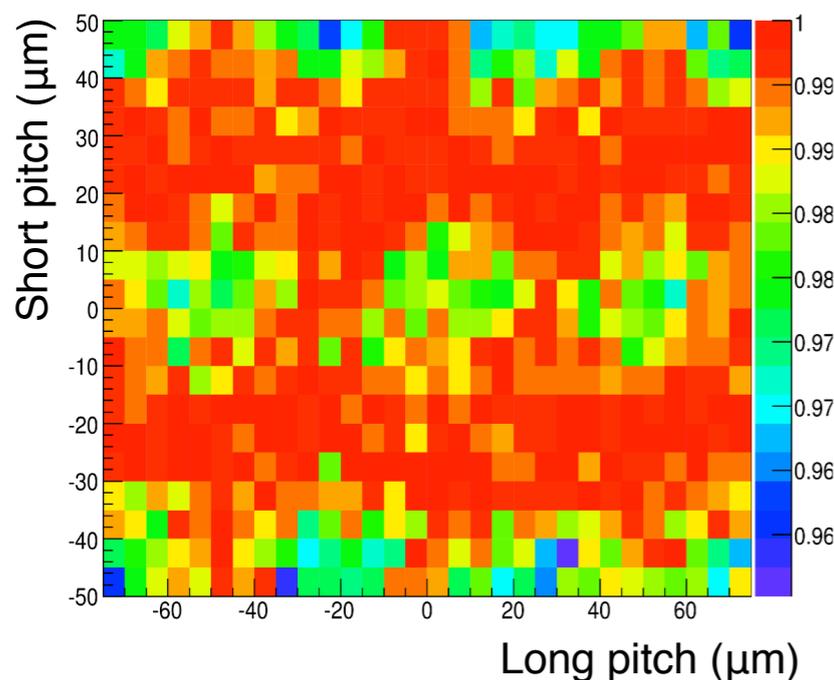
Visible efficiency deterioration on both junction and ohmic columns

Efficiency map on cell

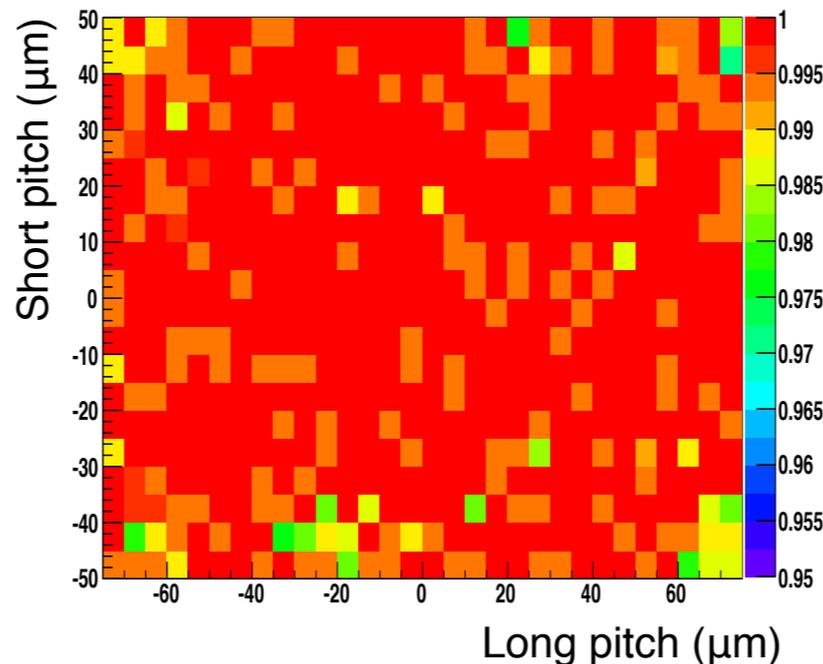
● Bias voltage: 30 V



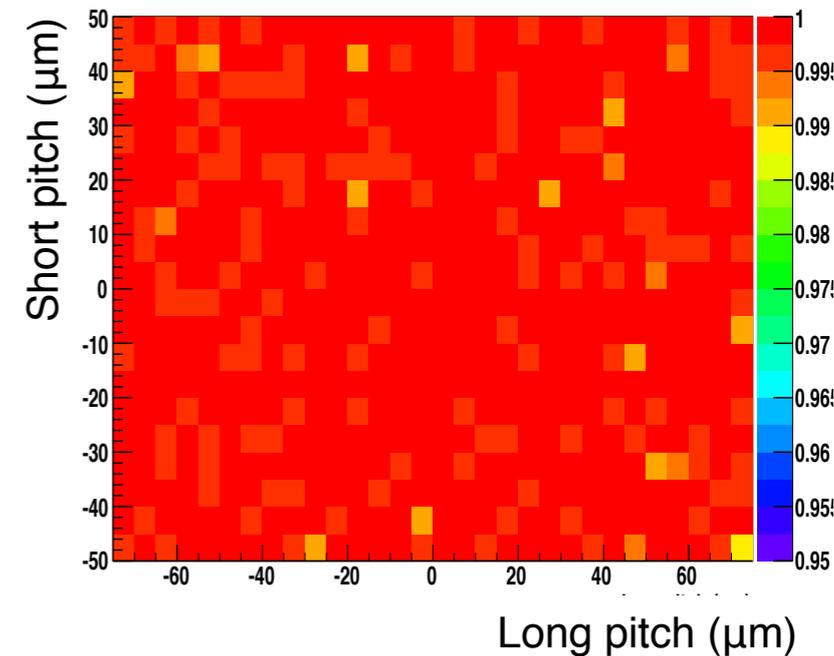
Orthogonal tracks



5 degrees tilt



10 degrees tilt



Angle (degree)	Efficiency 3E	Efficiency 2E
0	99.27	99.45
5	99.77	99.85
10	99.88	99.87

Column inefficiency shadowed by tilt, compatible with simple geometric considerations (tilt angle $> 9^\circ$, see backup slides)

Simulated signal efficiency

- Simplified simulation domain (2D slice), no pixel edge effects
- Very high average signal efficiency
- Significant variations of signal efficiency with hit position
- Possible impact ionisation effects at high field

