EFT for Black Hole perturbations: testing extensions to GR with gravitational waves

Enrico Trincherini (Scuola Normale Superiore & INFN)

with G. Franciolini, L. Hui, R. Penco & L. Santoni In progress

$$\langle T_{\mu\nu}\rangle = -\rho_{\text{vacuum}}g_{\mu\nu}$$

$$\rho_{\text{vacuum}} = \Lambda + \rho_{\text{vacuum}}^{SM} \simeq (10^{-3} \text{eV})^4$$

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$$\int d^4x \sqrt{-g} \left(M_{\rm Pl}^2 R - \Lambda \right)$$

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If we want to modify the phenomenology at large distances there must be extra light degrees of freedom

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Today's perspective: put aside our prejudices in favor of empirical verification

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Test deviations from General Relativity

With no single 'best- motivated' proposal at hand, useful to resort to a maximally model-independent EFT approach

$$\mathcal{L} = \sum_{n} c_n \frac{\Lambda^4}{g_*^2} \hat{\mathcal{L}} \left(\frac{\partial}{\Lambda}, \frac{g_* \phi}{\Lambda} \right)$$

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Assume the light degrees of freedom: graviton + a scalar field 3 DOF

$$\mathcal{L} = \mathcal{L}(g_{\mu\nu}, \phi)$$

EFT in Cosmology

"Cosmology is nothing but gravity at play with condensed matter"

On cosmological scales, FRW universes are characterized by a "medium" that gives a homogeneous and isotropic stress energy tensor.

This medium, at variance with a CC, breaks spontaneously Lorentz invariance

The simplest example: in single field Inflation a scalar with a time-dependent expectation value breaks time translations and Lorentz boosts to ISO(3)

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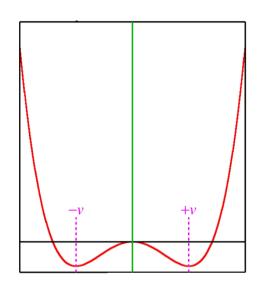
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"covariant" EFT (trivial background)

EFT for perturbations around the interesting solution

In the Standard Model



$$H(x) = \begin{pmatrix} 0 \\ v + h(x) \end{pmatrix}$$

$$\mathcal{L} = -\mu^2 (H^{\dagger} H) + \lambda (H^{\dagger} H)^2 + c_6 (H^{\dagger} H)^3 + \dots$$

EFT before expanding

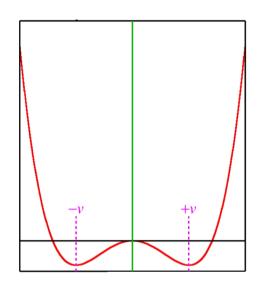
Full $SU(2) \times U(1)$ gauge invariance

$$\mathcal{L} = m_h^2 h^2 + \lambda_3 h^3 + \lambda_4 h^4 + \dots$$

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Only $U(1)_{\rm EM}$ gauge invariance

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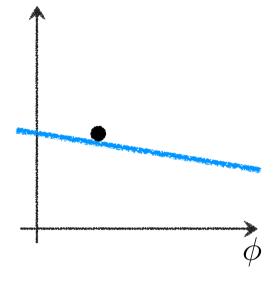
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Only $U(1)_{\rm EM}$ gauge invariance

Resum the contribution of many operators if non-linearities are large

$$\sqrt{-g} \Big(M_{\rm Pl}^2 R - g_{\mu\nu} \partial^{\mu} \phi \partial^{\nu} \phi - V(\phi) \Big)$$



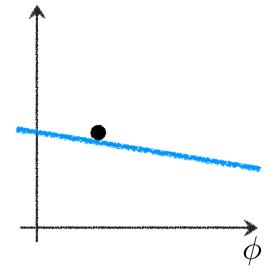
Solve the equation of motion to compute the background

$$\phi(x) = \phi_0(t)$$

$$ds^2 = -dt^2 + a(t)^2 d\vec{x}^2 \qquad H \equiv \frac{\dot{a}}{a}$$

$$\mathcal{L} = (\partial \varphi)^2 + (\partial h)^2 + \mathcal{O}(\varphi^3, h^3, \dots)$$

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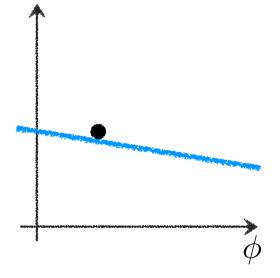
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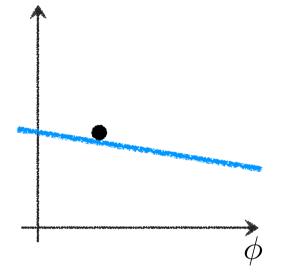
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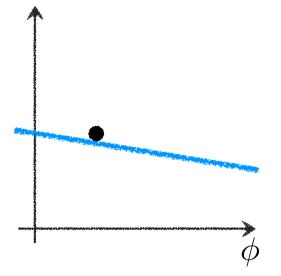
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$$\partial \phi_0 \sim \Lambda^2 \qquad \frac{\partial}{\Lambda} \ll 1$$

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Solve the equation of motion to compute the background

$$\phi(x) = \phi_0(t)$$

$$ds^2 = -dt^2 + a(t)^2 d\vec{x}^2 \qquad H \equiv \frac{\dot{a}}{a}$$

Expand in small perturbations

$$\mathcal{L} = (\partial \varphi)^2 + (\partial h)^2 + \mathcal{O}(\varphi^3, h^3, \dots)$$

$$\partial \phi_0 \sim \Lambda^2 \qquad \frac{\partial}{\Lambda} \ll 1$$

Instead...

Choose a background solution $ds^2 = -dt^2 + a(t)^2 d\vec{x}^2$

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Construct an EFT for perturbations

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Construct an EFT for perturbations

Choose a foliation of spacetime (unitary gauge) such that $\phi = \phi_0(t)$

Use 3+1 ADM decomposition for the metric variables h_{ij}, N, N_i

Write down in a derivative expansions all the operators that are invariant under the residual symmetries (spatial diffs)

Cheung, Creminelli, Fitzpatrick, Kaplan, Senatore '07

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The quadratic action is already interesting

Lorentz is spontaneously broken: no a priori reason to expect luminal speed

$$\int d^4x a^3 M_{\rm Pl}^2 \Big[(\dot{h}_{ij})^2 - c_T^2 (\partial_k h_{ij})^2 \Big]$$

$$\int d^4x a^3 A^2 \left[\dot{\zeta}^2 - c_S^2 (\partial_i \zeta)^2 \right]$$

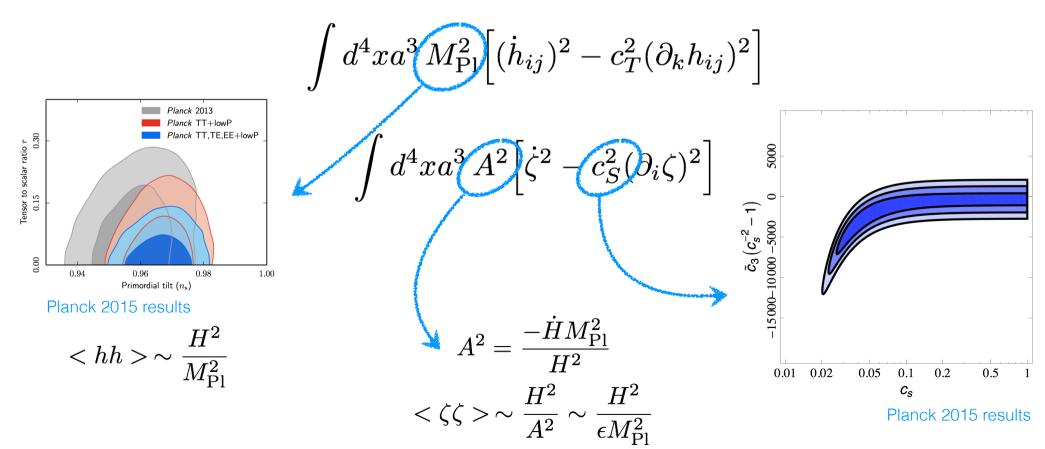
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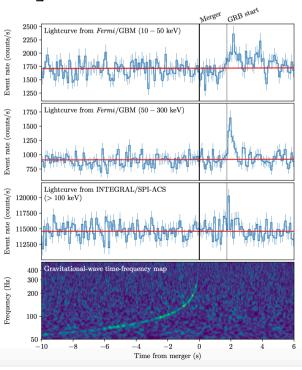
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Abbott et al. '17

$$c_T^2 - 1 \lesssim 10^{-15}$$



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$$c_T^2 - 1 = -2m_4^2/M^2$$

$$S = \int d^4x \sqrt{-g} \left[\frac{M_*^2}{2} f^{(4)} R - \Lambda - cg^{00} + \frac{m_2^4}{2} (\delta g^{00})^2 - \frac{m_3^3}{2} \delta K \delta g^{00} - m_4^2 \delta \mathcal{K}_2 + \frac{\tilde{m}_4^2}{2} \delta g^{00} R \right]$$

$$\delta\mathscr{K}_2 \equiv \delta K^2 - \delta K^{\nu}_{\mu} \delta K^{\mu}_{\nu}$$

$$m_4^2 \sim 0$$

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Depends on the background (dark matter abundance,...): robustly set it to zero!

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$$\delta \mathcal{K}_2 \equiv \delta K^2 - \delta K^{\nu}_{\mu} \delta K^{\mu}_{\nu} \qquad \delta \mathcal{G}_2 \equiv \delta K^{\nu}_{\mu} R^{\mu}_{\nu} - \delta K R / 2$$
$$\delta \mathcal{K}_3 \equiv \delta K^3 - 3 \delta K \delta K^{\nu}_{\mu} \delta K^{\mu}_{\nu} + 2 \delta K^{\nu}_{\mu} \delta K^{\mu}_{\rho} \delta K^{\rho}_{\nu}$$

$$m_4^2 \sim 0$$

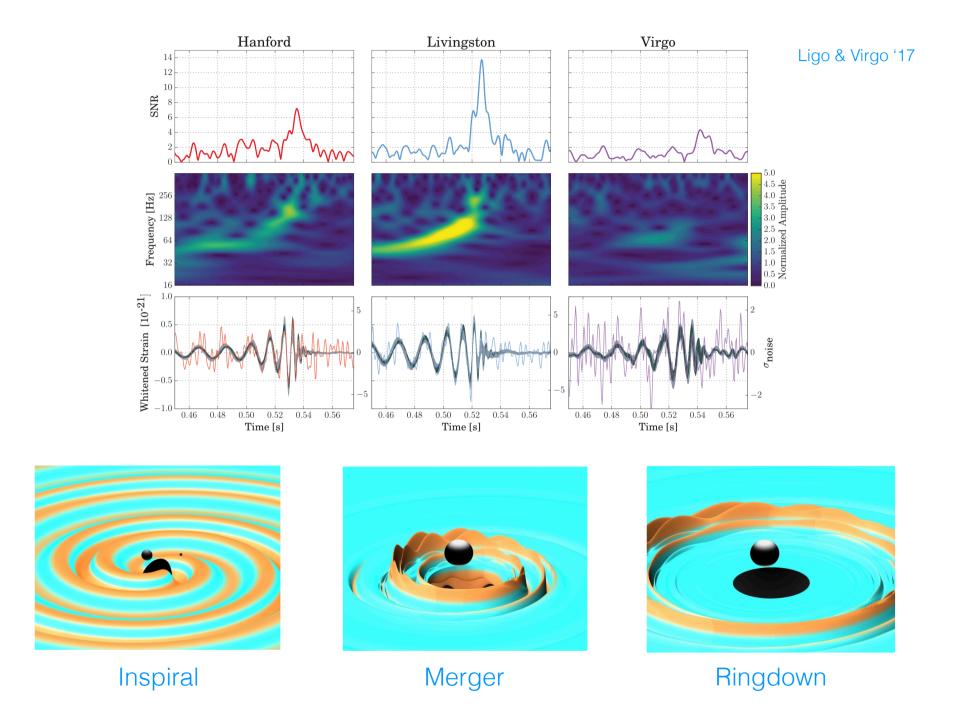
 $\tilde{m}_4^2 = m_5^2$
 $m_6 = \tilde{m}_6 = m_7 = 0$

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Quadratic action of gravity in FRW

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Can we study it in some other background?



 $g_{\mu\nu}=g_{\mu\nu}^{\rm BH}(r)+h_{\mu\nu}$ Schwarzschild: static, spherically symmetric background

$$h(t, r, \theta, \phi) = \sum_{lm} h_{lm}(r) Y_{lm}(\theta, \phi) e^{i\omega t}$$

Classified accordingly to the behavior under parity $(\theta, \phi) \to (\pi - \theta, \phi + \pi)$

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Axial (odd) perturbations Regge Wheeler '57

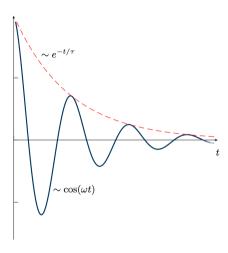
Polar (even) perturbations Zerilli '70

$$\left[\frac{d^2}{dr^2} + \omega^2\right]h(r) = V^{(-)}(r)h(r)$$

$$V^{(-)}(r) = \frac{l(l+1)}{r^2} \left(1 - \frac{r_S}{r}\right) - 3\frac{r_S}{r^3} \left(1 - \frac{r_S}{r}\right)$$

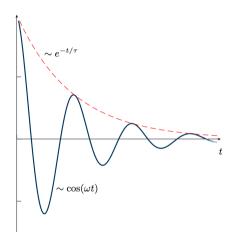
Exponentially damped sinusoidal waves: Quasi Normal Modes (QNM)

Spectrum of characteristic (complex) frequencies $\ \omega_{nlm}$



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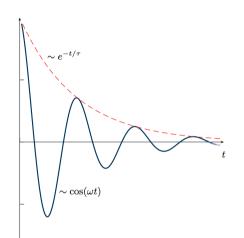


	-	-	Nollert '99
\overline{n}	$2M_{\bullet}\omega\left(L=2\right)$	$2M_{\bullet}\omega \ (L=3)$	$2M_{\bullet}\omega \ (L=4)$
0	0.747 343 + 0.177 925i	1.198 887 + 0.185 406i	1.618 36 + 0.188 32i
1	0.693 422 + 0.547 830i	1.165 288 + 0.562 596i	1.593 26 + 0.568 86i
2	$0.602\ 107 + 0.956\ 554i$	1.103 370 + 0.958 186i	1.54542 + 0.95982i
3	0.503 010 + 1.410 296i	1.023 924 + 1.380 674i	1.479 68 + 1.367 84i

In GR black holes are characterized only by 3 parameters: M, J, Q
No-hair hypothesis

Exponentially damped sinusoidal waves: Quasi Normal Modes (QNM)

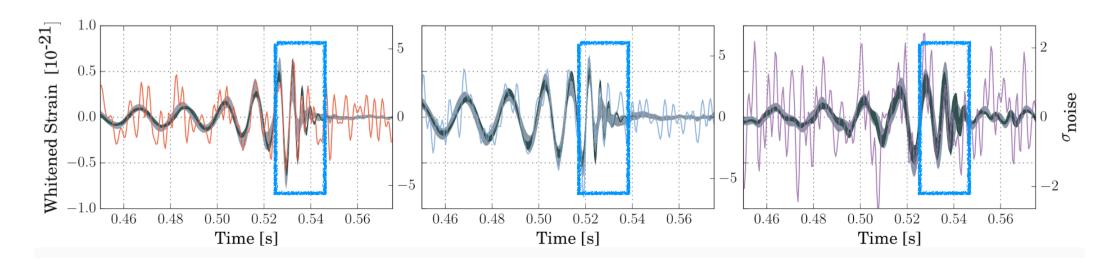
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Mallart 100

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No-hair hypothesis



EFT for perturbations around BH

$$\Big[\frac{d^2}{dr^2} + \omega^2\Big] h(r) = V^{(-)}(r) h(r) \qquad \qquad \text{QNM spectrum} \quad \omega_{nlm}$$

The linearized equations of motion are modified in extended theories of gravity

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Construct the EFT around static and spherically symmetric backgrounds up to quadratic order in perturbations

$$\mathcal{S}_{EFT}^{2} = \int d^{4}x \sqrt{-G} \left[\frac{M_{Pl}^{2}}{2} f(r) R - \Lambda(r) - c(r) G^{rr} - \alpha(r) K_{\mu\nu} K^{\mu\nu} + \right.$$

$$\left. + \mathcal{G}(r) (\delta G^{rr})^{2} + \mathcal{B}(r) \delta G^{rr} \delta K + \mathcal{B}_{\mu\nu} \delta G^{rr} \delta K^{\mu\nu} + \right.$$

$$\left. + \mathcal{G}^{(1)}(r) (\partial_{r} \delta G^{rr})^{2} + \mathcal{B}^{(1)}(r) (\partial_{r} \delta G^{rr}) \delta K + \mathcal{B}^{(1)}_{\mu\nu} (\partial_{r} \delta G^{rr}) \delta K^{\mu\nu} + \right.$$

$$\left. + \mathcal{F}_{0}(r) \delta K \delta K + \mathcal{F}_{1}(r) \delta K_{\mu\nu} \delta K^{\mu\nu} + \mathcal{F}_{2\mu\nu} \delta K^{\mu\nu} \delta K + \mathcal{F}_{3\mu\nu} \delta K^{\mu\rho} \delta K^{\nu}_{\rho} + \right.$$

$$\left. + \mathcal{C}(r) \delta G^{rr} \delta^{(3)} R + \mathcal{C}_{\mu\nu} \delta G^{rr} \delta^{(3)} R^{\mu\nu} + \ldots \right]$$
to appear...

Conclusions

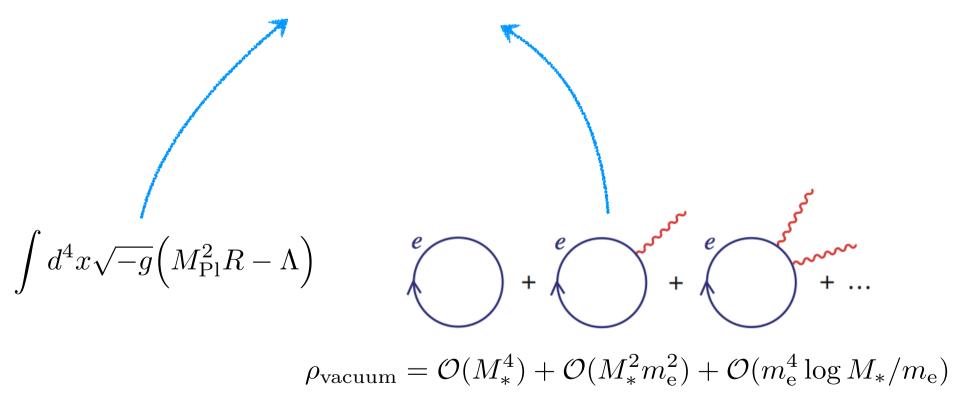
Gravitational waves observation allows to study the quadratic action of gravity around non-trivial backgrounds (FRW, BH)

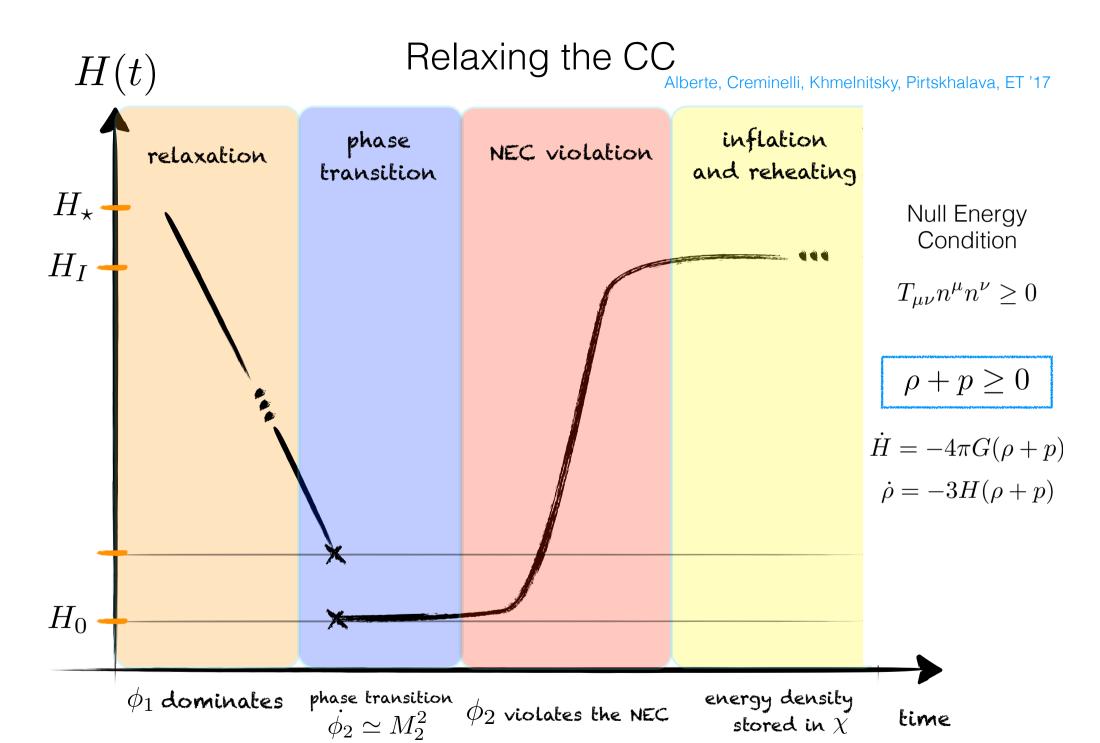
Dramatic improvement in our understanding of dark energy/modified gravity

$$\langle T_{\mu\nu}\rangle = -\rho_{\text{vacuum}}g_{\mu\nu}$$

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$$\rho_{\text{vacuum}} = \Lambda + \rho_{\text{vacuum}}^{SM} + \rho_{\text{vacuum}}^{NP} \simeq (10^{-3} \text{eV})^4$$





Constraints on the Covariant Theory

$$\mathcal{L} = \mathcal{L}(g_{\mu\nu}, \phi)$$

$$\begin{split} L_2 &\equiv G_2(\phi, X) \;, \qquad L_3 \equiv G_3(\phi, X) \,\Box \phi \;, \\ L_4 &\equiv G_4(\phi, X)^{(4)} R - 2 G_{4,X}(\phi, X) (\Box \phi^2 - \phi^{\mu\nu} \phi_{\mu\nu}) \\ &\quad + F_4(\phi, X) \varepsilon^{\mu\nu\rho}_{\;\;\;\sigma} \varepsilon^{\mu'\nu'\rho'\sigma} \phi_{\mu} \phi_{\mu'} \phi_{\nu\nu'} \phi_{\rho\rho'} \;, \\ L_5 &\equiv G_5(\phi, X)^{(4)} G_{\mu\nu} \phi^{\mu\nu} \\ &\quad + \frac{1}{3} G_{5,X}(\phi, X) (\Box \phi^3 - 3 \,\Box \phi \; \phi_{\mu\nu} \phi^{\mu\nu} + 2 \,\phi_{\mu\nu} \phi^{\mu\sigma} \phi^{\nu}_{\;\;\sigma}) \\ &\quad + F_5(\phi, X) \varepsilon^{\mu\nu\rho\sigma} \varepsilon^{\mu'\nu'\rho'\sigma'} \phi_{\mu} \phi_{\mu'} \phi_{\nu\nu'} \phi_{\rho\rho'} \phi_{\sigma\sigma'} \;, \end{split}$$

$$G_{5,X}=0\;,\qquad F_5=0\;,\qquad 2G_{4,X}-XF_4+G_{5,\phi}=0$$

$$X \equiv g_{\mu\nu} \nabla^{\mu} \phi \nabla^{\nu} \phi$$
$$\phi_{\mu} \equiv \nabla_{\mu} \phi$$
$$\phi_{\mu\nu} \equiv \nabla_{\mu} \nabla_{\nu} \phi$$
$$G_{i}(\phi, X) = \sum_{nm} c_{nm} \phi^{n} X^{m}$$

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$$G_{5,X}=0$$
, $F_5=0$, $2G_{4,X}-XF_4+G_{5,\phi}=0$

$$X \equiv g_{\mu\nu} \nabla^{\mu} \phi \nabla^{\nu} \phi$$
 $\phi_{\mu} \equiv \nabla_{\mu} \phi$
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 $G_i(\phi, X) = \sum_{nm} c_{nm} \phi^n X^m$

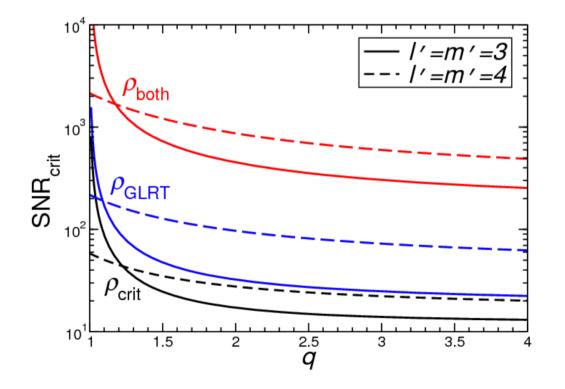
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u} \phi_{\mu
u} \Box \phi - \phi^{\mu} \phi_{\mu
u} \phi_{\lambda} \phi^{\lambda
u}) \ , \end{aligned}$$

$$B_4 \equiv G_4 + XG_{5,\phi}/2.$$

Higher number of events + higher sensitivity

Possibility to measure multiple frequencies

Signal to noise ratio (SNR) to detect multiple modes



*Berti E, Cardoso J, Cardoso V and Cavaglia M Matched-filtering and parameter estimation of ringdown waveforms 2007 Phys. Rev. D76 104044

*Non spinning binary BH merger with masses ratio q (fundamental mode l=m=2)

$$\left((SNR)^2 = 4 \int_0^\infty \frac{\tilde{h}^*(f)\tilde{h}(f)}{S_h(f)} df \right)$$