

# **New and future transverse spin physics results at PHENIX**

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on behalf of the PHENIX collaboration

Transversity conference, December 11 - 15, 2017, Frascati, Italy.

# Outline

- Asymmetries in light hadron production:

(1) Neutral:  $\pi^0$  and  $\eta$  mesons at central and forward rapidity, in p+p and p+A

(2) Charged hadrons at forward rapidity in p+p and p+Au

- Asymmetries in heavy flavored mesons production:

(3) Heavy flavor decay muons at forward rapidity, in p+p collisions

(4)  $J/\psi$  at forward rapidity, in p+p and p+A collisions

- Asymmetries in neutron production:

(5) Neutrons at very forward rapidity in p+p and p+A collisions

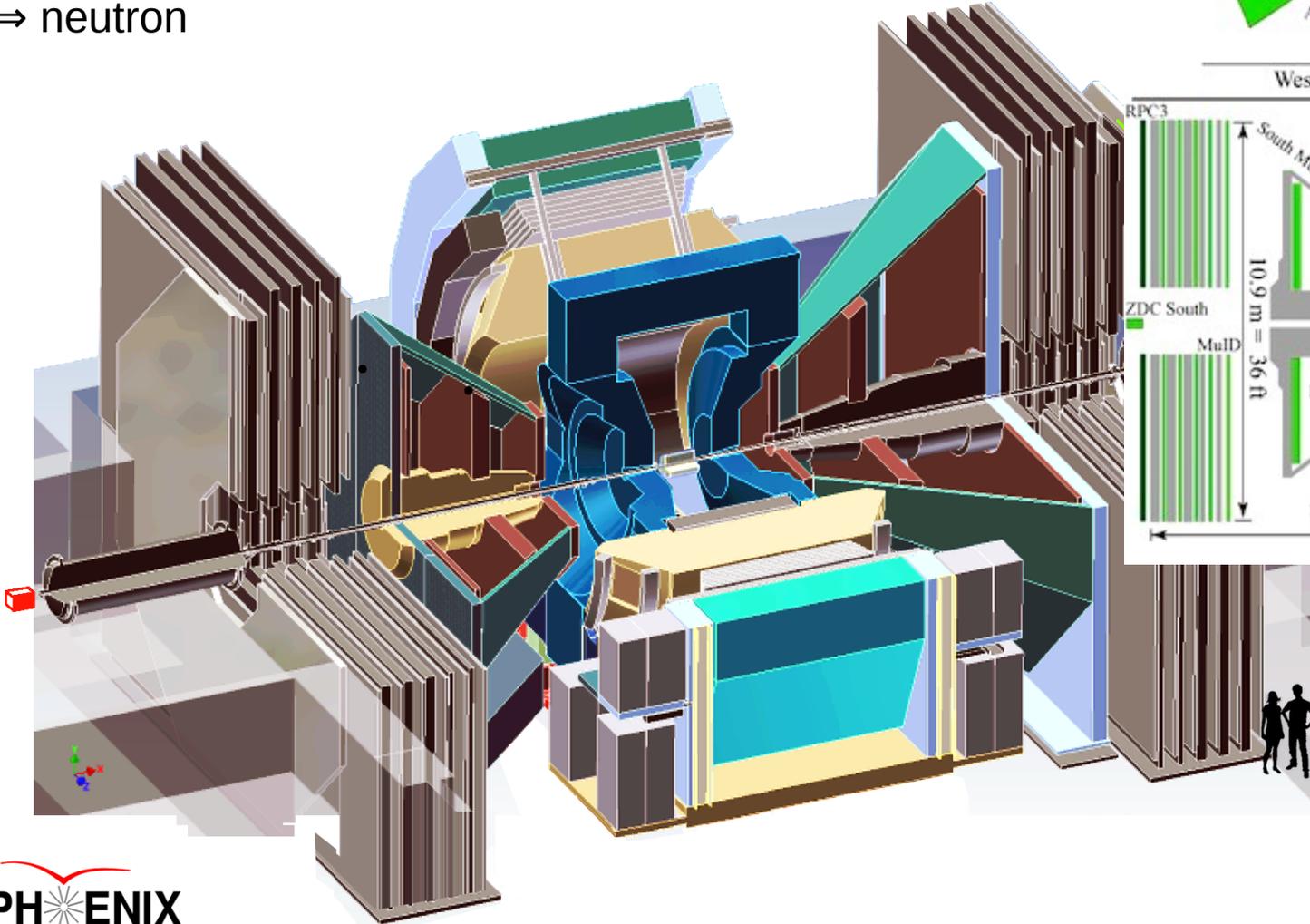
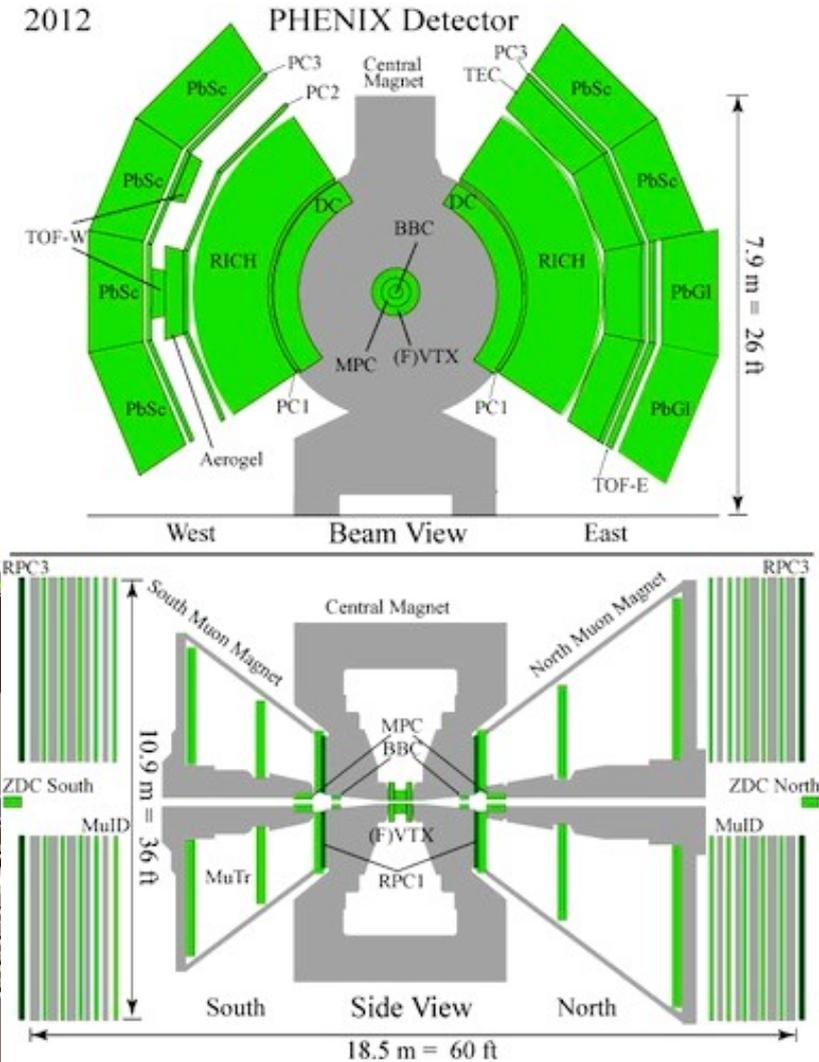
# PHENIX spectrometer at RHIC, cut view

**Mid rapidity, central arm:**  $|\eta| < 0.35 \Rightarrow$  charged hadrons with PID,  $\pi^0$ ,  $\eta$ ...

**Forward rapidity, muon arms:**  $1.2 < |\eta| < 2.4 \Rightarrow$  charged hadrons, muons,  $J/\psi$ ...

**Forward rapidity, muon Piston Calorimeters:**  $3.1 < |\eta| < 3.9 \Rightarrow \pi^0$ ,  $\eta$

**Very forward rapidity, in Zero Degree Calorimeter:**  $|\eta| > 6.8 \Rightarrow$  neutron



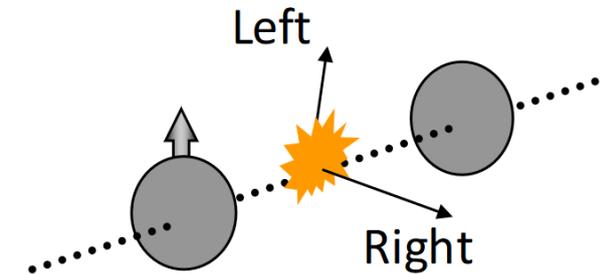
# Polarized proton runs at RHIC from 2006 to 2015 and $A_N$ measurement

Year	$\sqrt{s}$ (GeV)	Recorded Luminosity for longitudinally / transverse polarized p+p STAR	Recorded Luminosity for longitudinally / transverse polarized p+p PHENIX	$\langle P \rangle$ in %
2006	62.4 200	-- pb <sup>-1</sup> / 0.2 pb <sup>-1</sup> 6.8 pb <sup>-1</sup> / 8.5 pb <sup>-1</sup>	0.08 pb <sup>-1</sup> / 0.02 pb <sup>-1</sup> 7.5 pb <sup>-1</sup> / 2.7 pb <sup>-1</sup>	48 57
2008	200	-- pb <sup>-1</sup> / 7.8 pb <sup>-1</sup>	-- pb <sup>-1</sup> / 5.2 pb <sup>-1</sup>	45
2009	200 500	25 pb <sup>-1</sup> / -- pb <sup>-1</sup> 10 pb <sup>-1</sup> / -- pb <sup>-1</sup>	16 pb <sup>-1</sup> / -- pb <sup>-1</sup> 14 pb <sup>-1</sup> / -- pb <sup>-1</sup>	55 39
2011	500	12 pb <sup>-1</sup> / 25 pb <sup>-1</sup>	18 pb <sup>-1</sup> / -- pb <sup>-1</sup>	48
2012	200 510	-- pb <sup>-1</sup> / 22 pb <sup>-1</sup> 82 pb <sup>-1</sup> / -- pb <sup>-1</sup>	-- pb <sup>-1</sup> / 9.7 pb <sup>-1</sup> 32 pb <sup>-1</sup> / -- pb <sup>-1</sup>	61/56 50/53
2013	510	300 pb <sup>-1</sup> / -- pb <sup>-1</sup>	155 pb <sup>-1</sup> / -- pb <sup>-1</sup>	51/52
2015	200	52 pb <sup>-1</sup> / 52 pb <sup>-1</sup>	-- pb <sup>-1</sup> / 60 pb <sup>-1</sup>	53/57
2015	200 p Au	total delivered Luminosity = 1.27 pb <sup>-1</sup>		60
2015	200 p Al	total delivered Luminosity = 3.97 pb <sup>-1</sup>		54

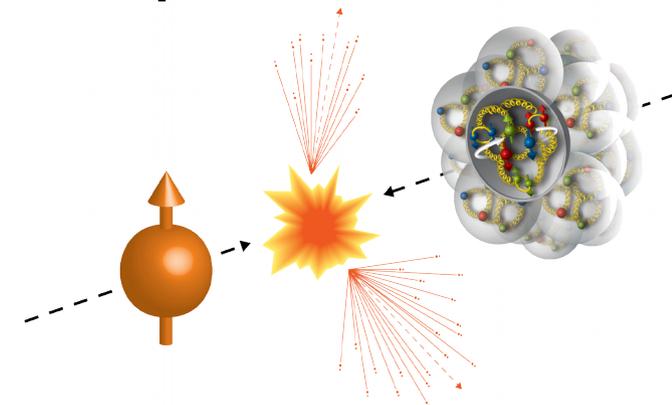
o transversely polarized beam

$$A_N = \frac{\sigma_L^\uparrow - \sigma_R^\uparrow}{\sigma_L^\uparrow + \sigma_R^\uparrow}$$

$p^\uparrow + p$  collisions



$p^\uparrow + A$  collisions

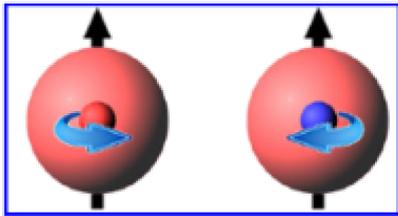


**Run 2015 :** for the first time polarized  $p^\uparrow + A$  collisions  
5 times luminosity of past transversely polarized runs

# Interpretation of non-zero $A_N$ in hadron collisions, low versus high $p_T$ approach

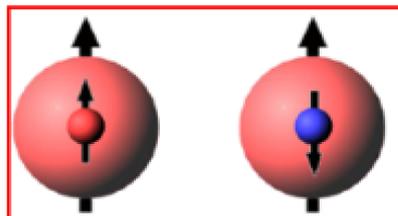
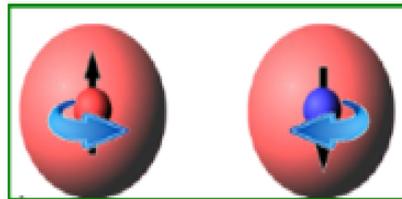
## Transverse Momentum Distributions $k_T$ dependent approach

⇒ need 2 scales:  $Q^2 \gg p_T^2$



**Sivers  $f_{1T}^\perp$** : correlation of nucleon spin and partons  $k_T$   
→ contribute to initial state effects in p+p

**Boer-Mulders  $h_{1\perp}$**   
correlation of partons spin and their  $k_T$

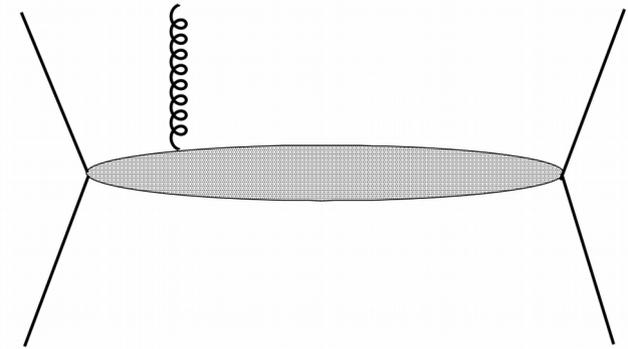


**Transversity  $h_1$**  correlation of parton and nucleon spins

**transversity \* Collins fragmentation**  
correlation of parton spin and hadron  $k_T$   
→ contribute to final state effects in p+p

## Correlation functions, twist 3 (and >) integrated over $k_T$

⇒ need 1 hard scale (large  $Q^2$ , large  $p_T$ )



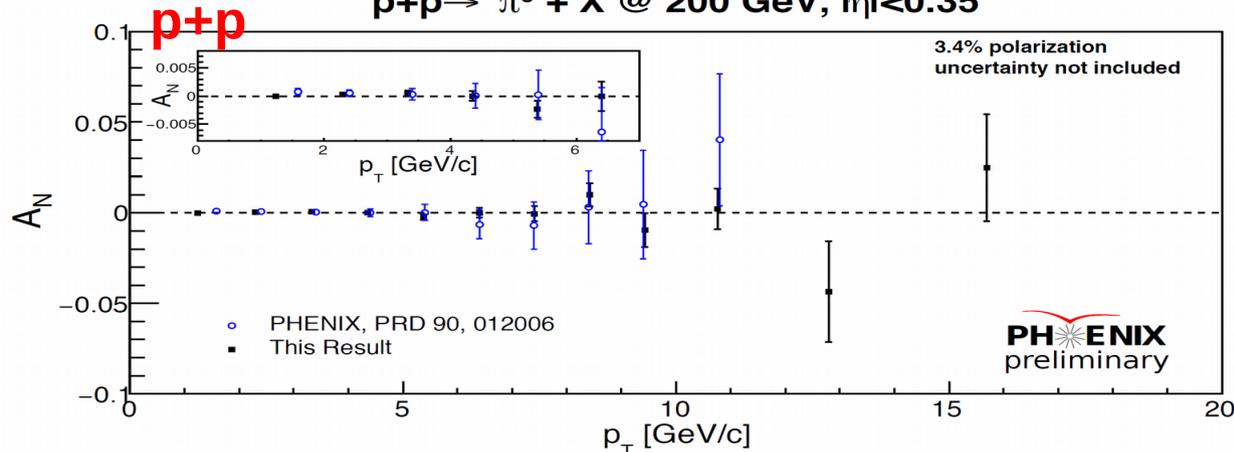
gg and qg interactions authorize spin flip...

- Access TMDs through  $k_T$  moments
- PHENIX kinematics: this framework to interpret  $A_N$  in hadron production

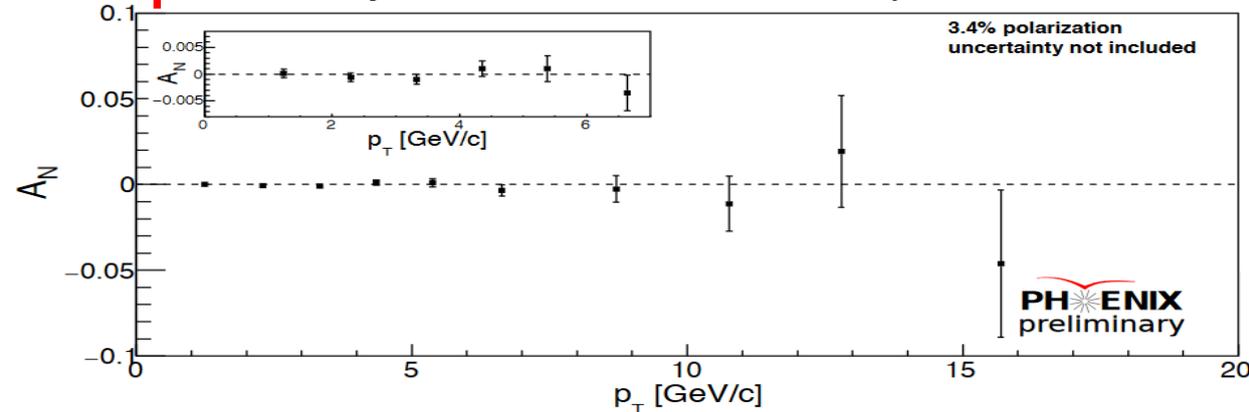
# (1) $A_N$ in $\pi^0$ production at central rapidity in p+p and p+A

$A_N$  versus  $p_T$  for p+p, p+Au, p+Al

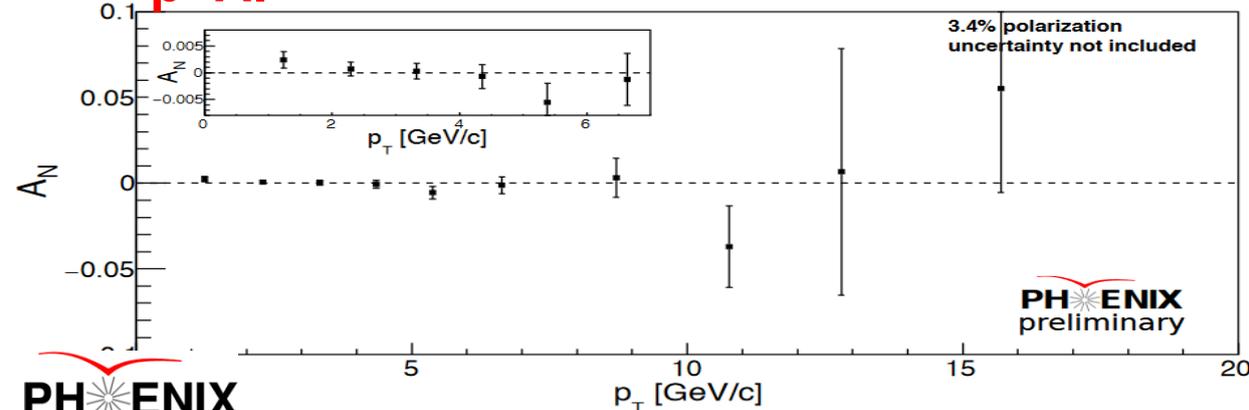
p+p  $\rightarrow \pi^0 + X$  @ 200 GeV,  $|\eta| < 0.35$



p+Au  $\rightarrow \pi^0 + X$  @ 200 GeV,  $|\eta| < 0.35$

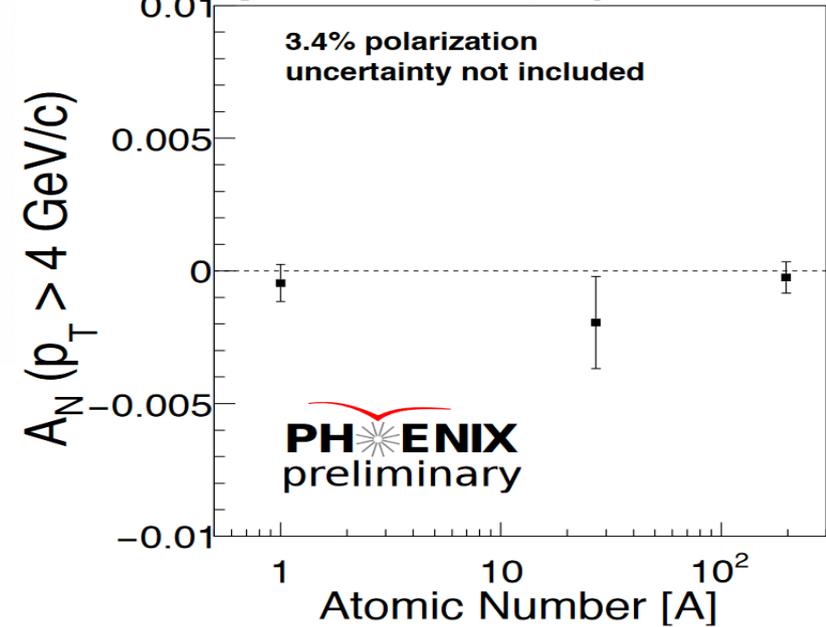


p+Al  $\rightarrow \pi^0 + X$  @ 200 GeV,  $|\eta| < 0.35$



$A_N$  integrated over  $p_T$  vs A

p+A  $\rightarrow \pi^0 + X$ ,  $|\eta| < 0.35$



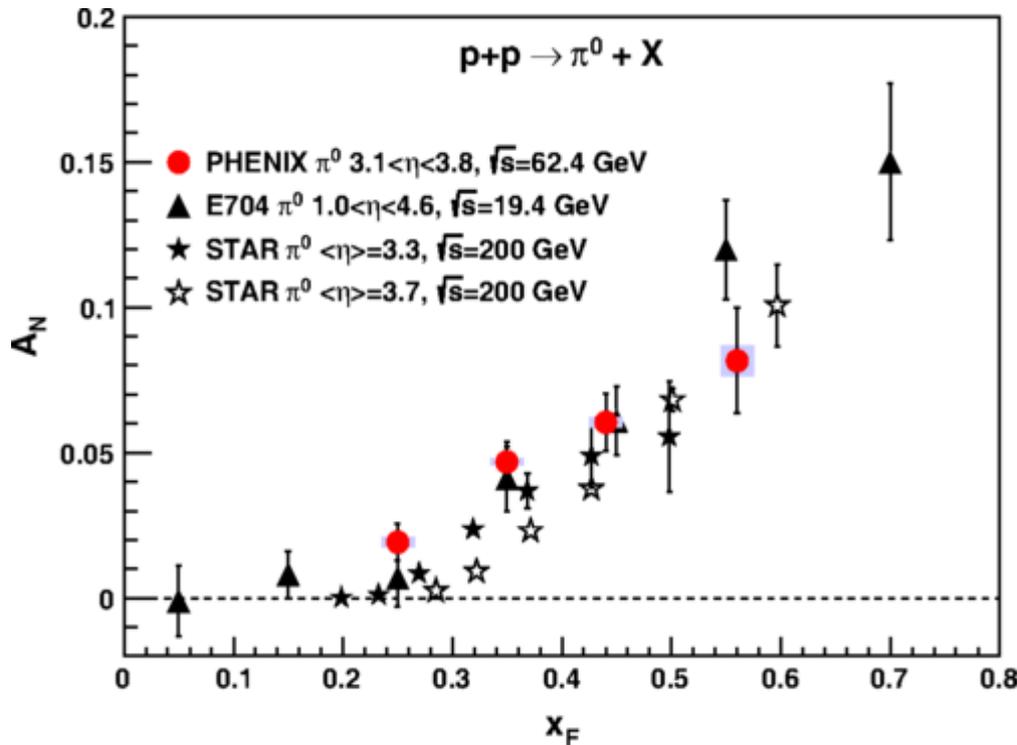
run 2015 p+p, p+Au, p+Al  
high precision achieved

Mid-rapidity:  $|\eta| < 0.35$   
→ access gluon Sivers  
through correlation function

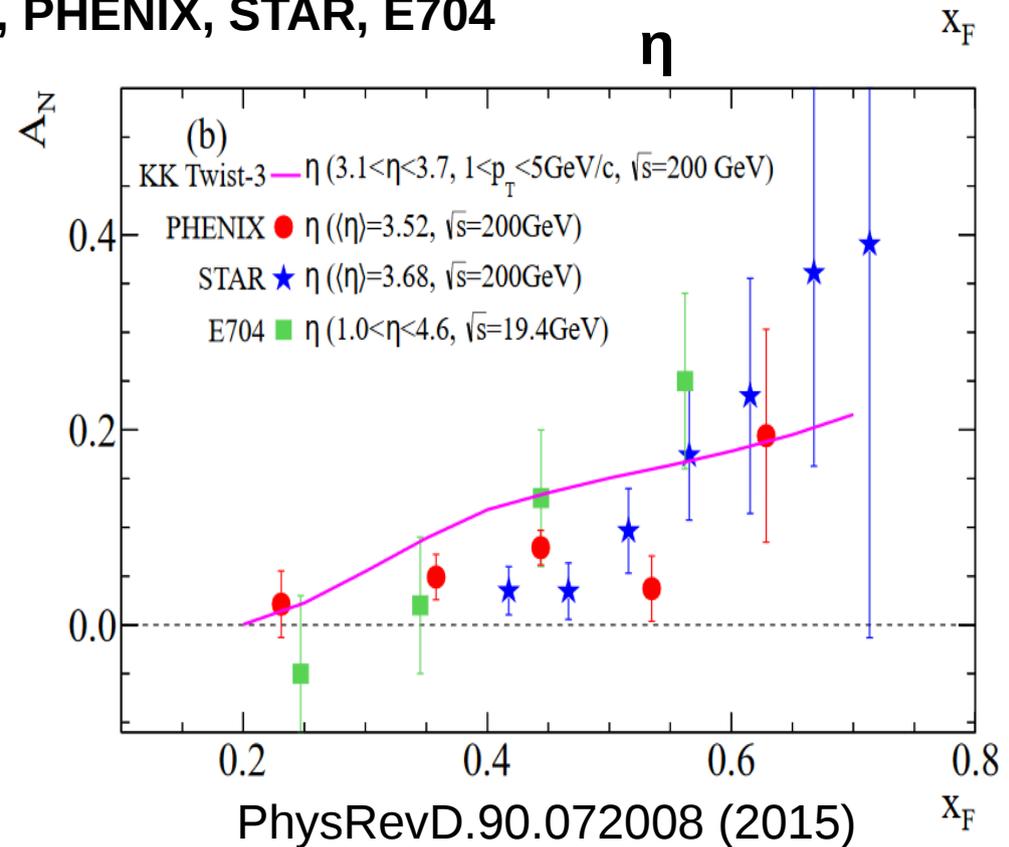
Results consistent with  
zero for p+p, p+Au and p+Al,  
no visible nuclear dependence.

# (1) $A_N$ in light neutral hadrons production: $\pi^0$ and $\eta$ at forward rapidity

$\pi^0$   $A_N$  vs  $x_F$  various energies, PHENIX, STAR, E704



Phys. Rev. D 90, 012006 (2014)

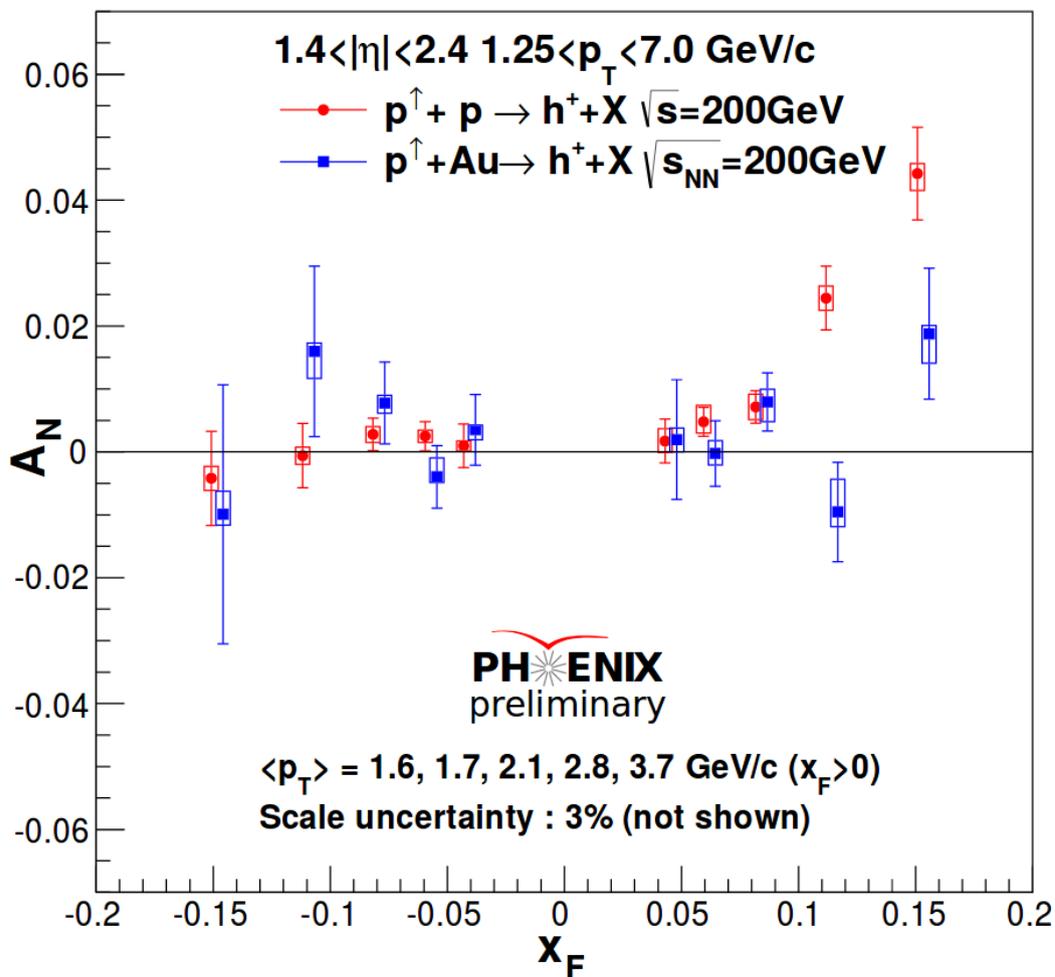


PhysRevD.90.072008 (2015)

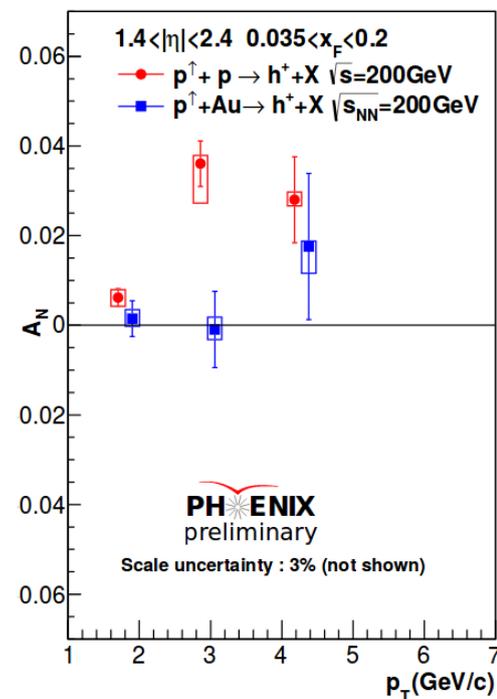
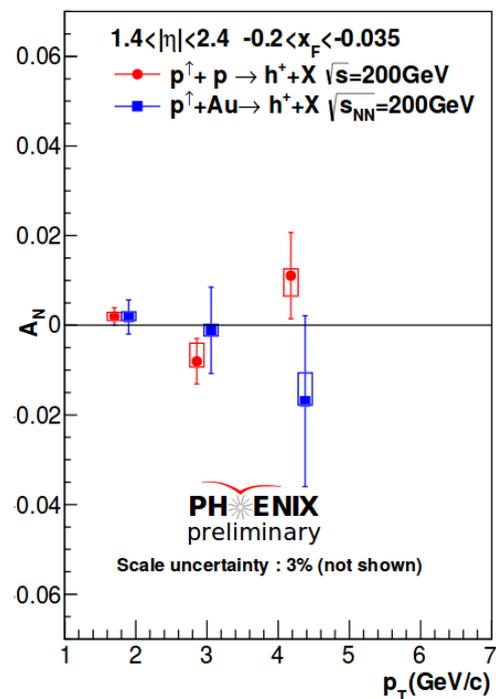
Unexpected large  $A_N$  found by all experiments in both  $\pi^0$  and  $\eta$  production, at same level

- Large asymmetries measured at forward rapidity ( $\propto x_F$ ), while compatible with zero at mid- (previous slide) and backward rapidity.
- Weak energy dependence
- Pink fit: twist-3 calculations using quark-gluon correlation functions
- $A_N$  origin may be: gluon correlation at initial state, final state effect with twist 3 fragmentation...

## (2) Transverse spin asymmetries in charged light hadrons production



$x_F$  and  $p_T$  dependence for **positive** hadrons ( $\pi^+$ ,  $K^+$ ...)

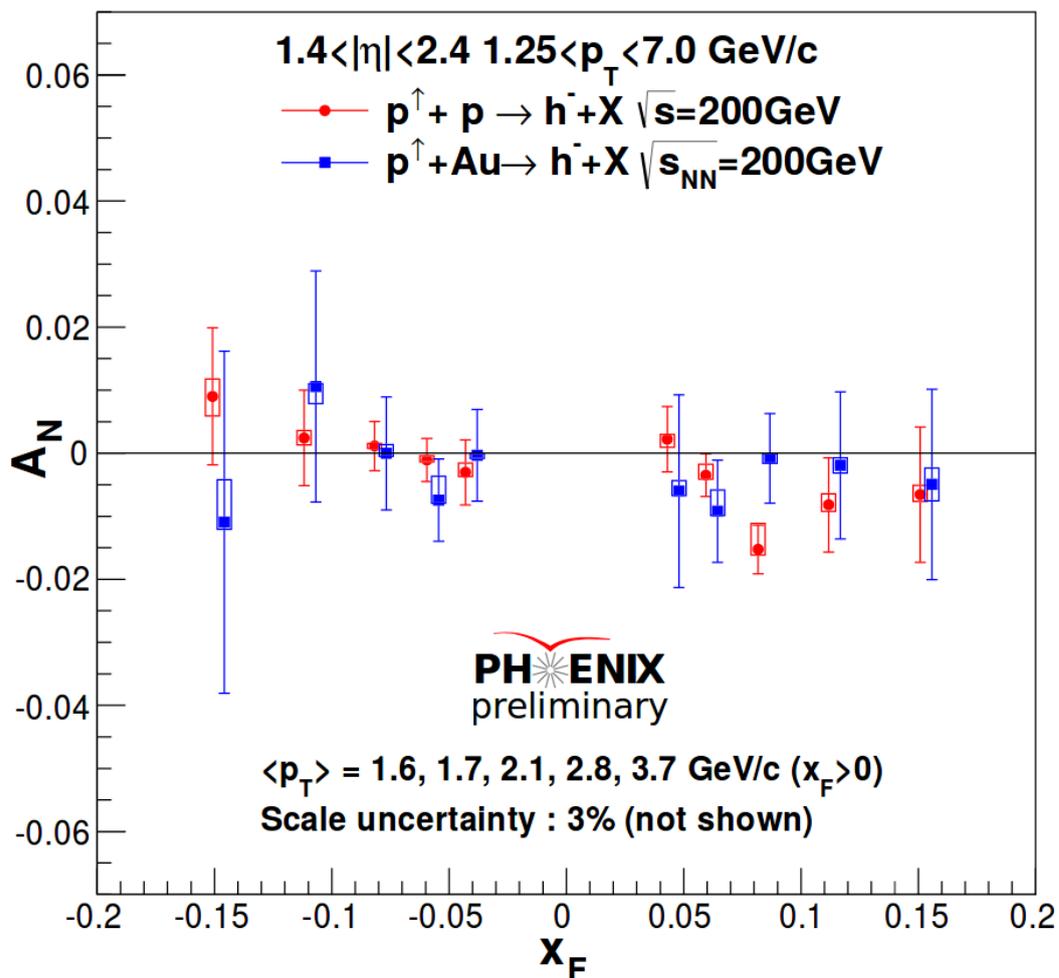


- non zero asymmetry for  $h^+$  at forward rapidity in p+p, increasing as a function of  $X_F$ .
- comparable with BRAHMS result (not same kinematic)
- suppression of the asymmetry in p+A

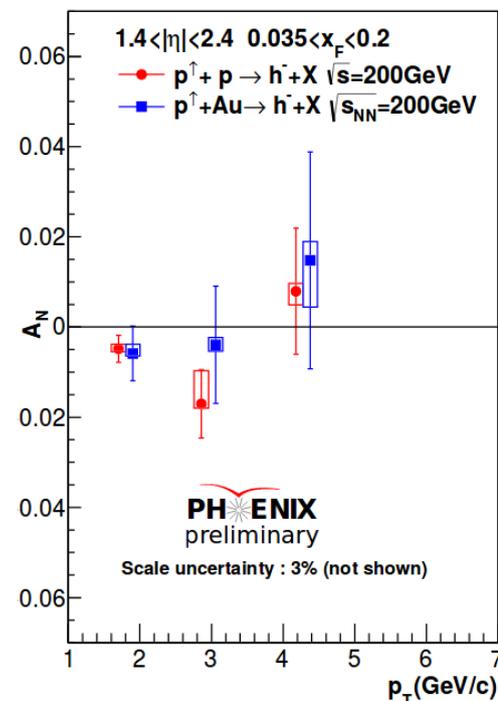
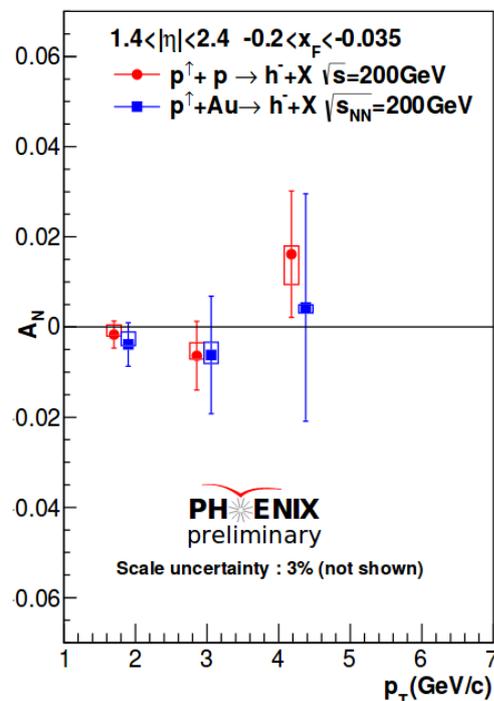
⇒ A suppression coming from saturation effect is predicted in:

Kang, Yuan, Phys.RevD. 84, 034019(2011); Kovchegov, Sievert, Phys.RevD.86, 034028(2012); Yoshitaka Hatta et al, Phys.RevD.95, 014008(2017)

## (2) Transverse spin asymmetries in charged light hadrons production



$x_F$  and  $p_T$  dependence for **negative** hadrons ( $\pi^-$ ,  $K^- \dots$ )



- $A_N$  compatible with 0 for negative hadrons

$\Rightarrow$  small  $A_N$  anticipated from BRAHMS results with  $\pi/K$  separation:

positive  $A_N(K^-)$ , negative  $A_N(\pi^-)$  in BRAHMS

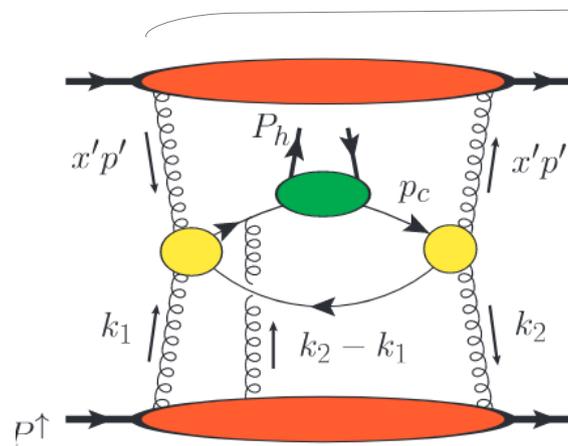
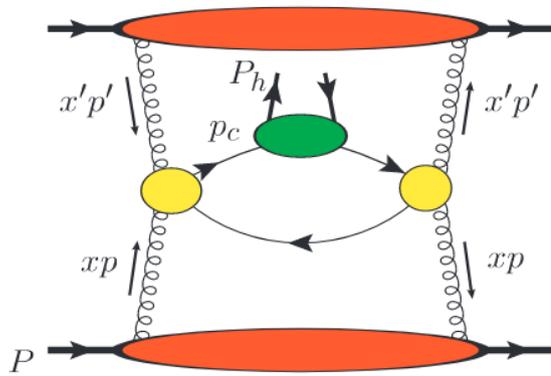
For positive hadrons: positive  $A_N(K^+)$  and  $A_N(\pi^+)$  in BRAHMS PRL101,042001(2008), arXiv:0908.4551

### (3) Open heavy flavor transverse spin asymmetry

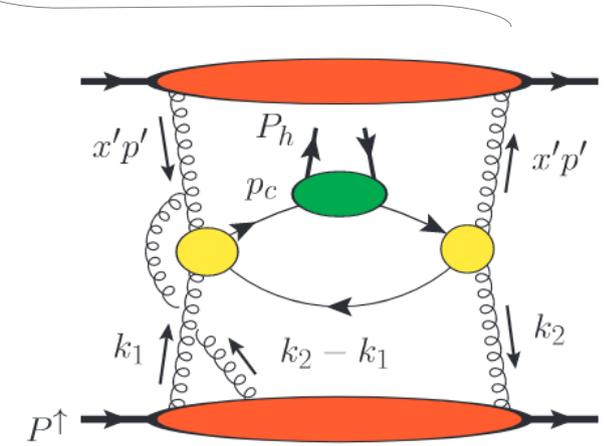
- Sensitive to gluon Sivers function, as moment related to correlation function (see Kang et al, Phys.Rev.D83:094001,2011)
- Production is dominated by tri-gluon correlation in collinear factorization approach.
- non zero asymmetry would be expected from initial state effect

twist 2 "generic" diagrams for  $p+p \rightarrow D X$

twist 3 "generic" diagrams for  $p+p \rightarrow D X$



initial state interaction

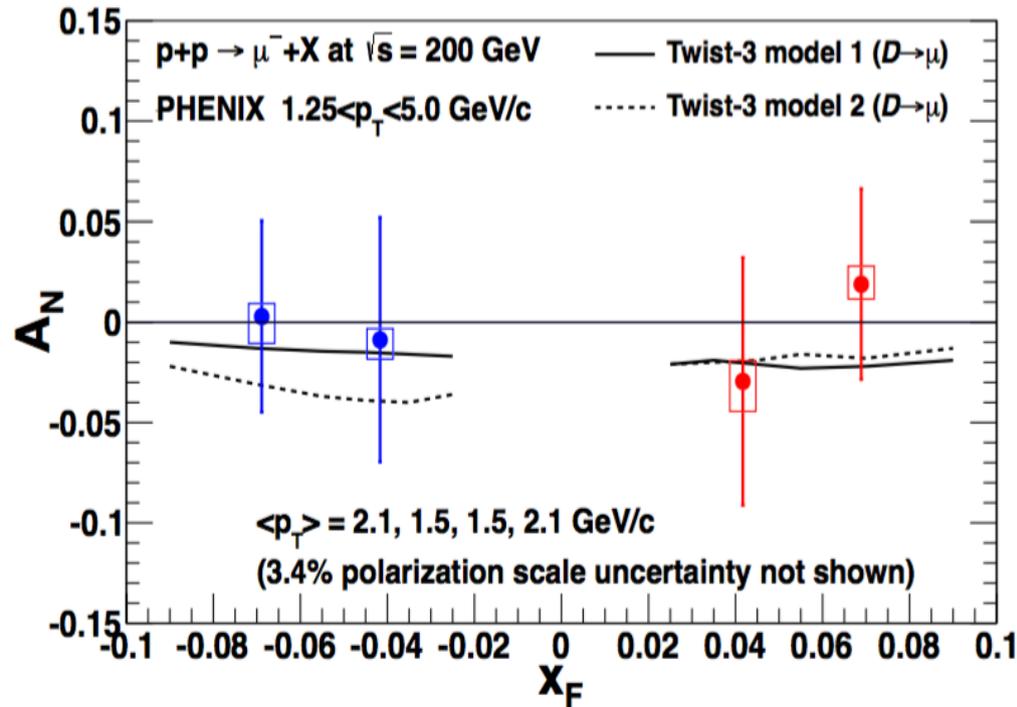


final state interaction

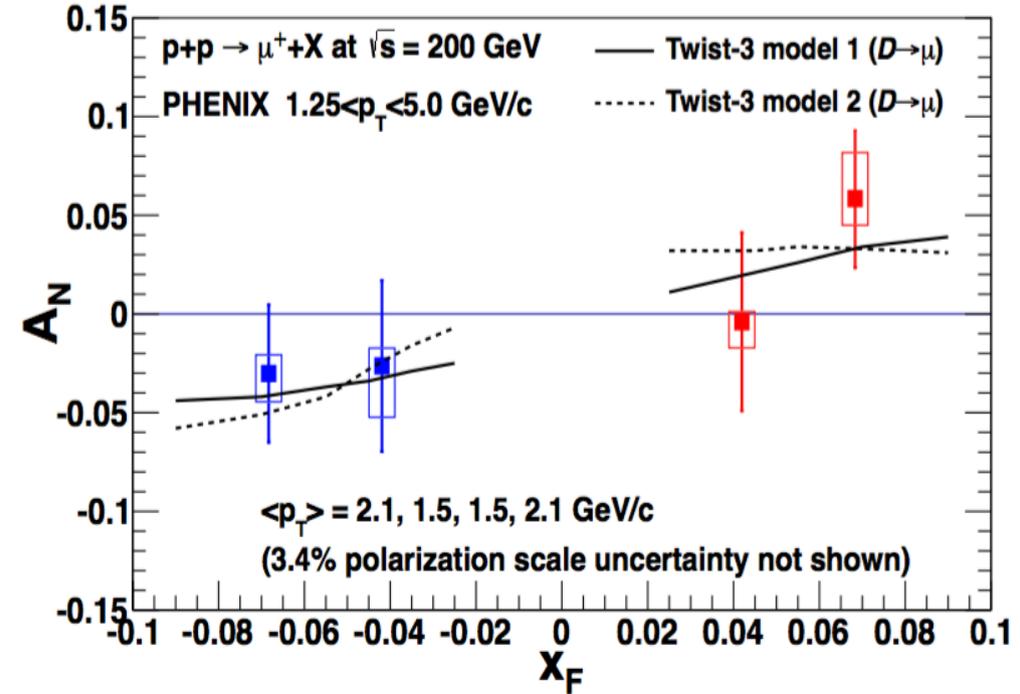
Koike, Yoshida,  
Phys.Rev.D84:014026,2011

### (3) Open heavy flavor transverse spin asymmetry (vs $x_F$ )

decay into  $\mu^-$



decay into  $\mu^+$



- Main contribution to single muons: D-meson decay ( $\sim 60\%$  to  $92\%$  at lower  $p_T$ )
- Results consistent with zero within uncertainties, for  $\mu^+$  and  $\mu^-$
- Model predictions at twist 3 within collinear factorization framework consistent with measurement. Original calculations for D meson translated to single  $\mu$  decay.

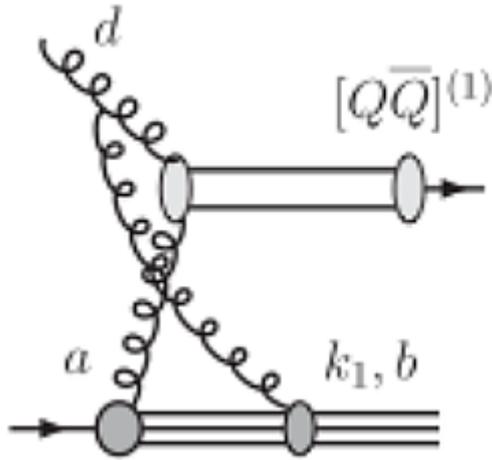
Twist 3 model: Y. Koike, S. Yoshida, PRD84:014021 (2011)

$A_N$  calculations for D mesons provided by S. Yoshida.

# (4) Transverse spin asymmetry in inclusive $J/\psi$ production

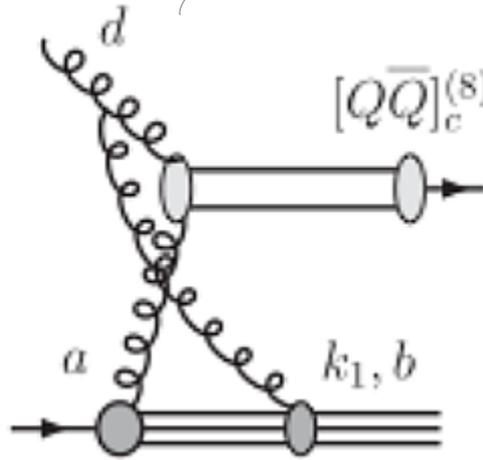
**Sensitive to production mechanism: only color singlet can produce non zero  $A_N$**

**Color singlet**

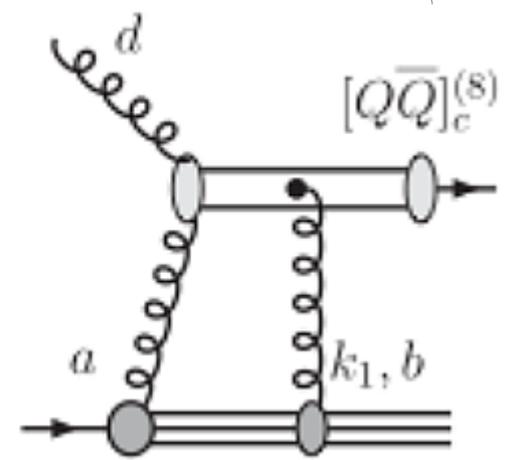


Initial state interaction

**Color octet**



Initial state interaction



Final state interaction

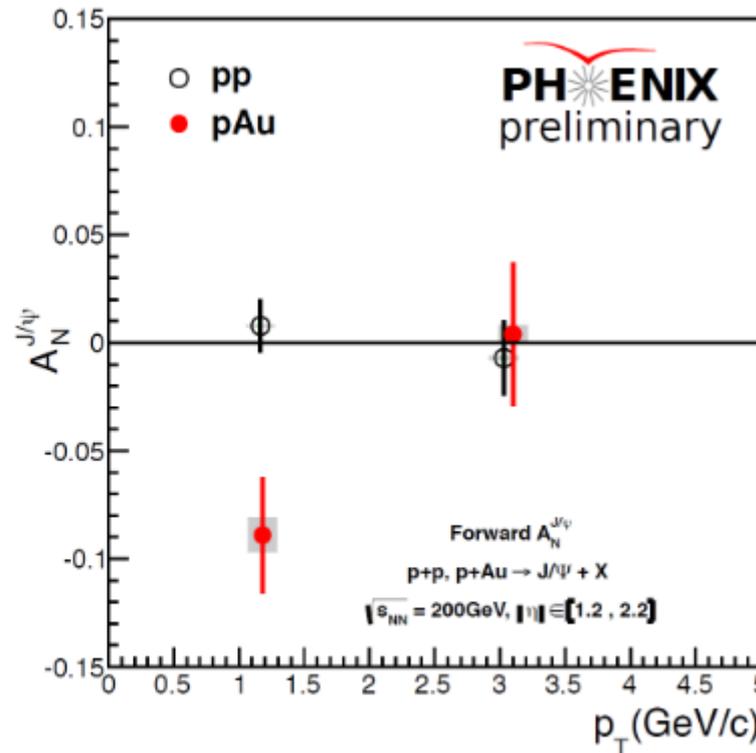
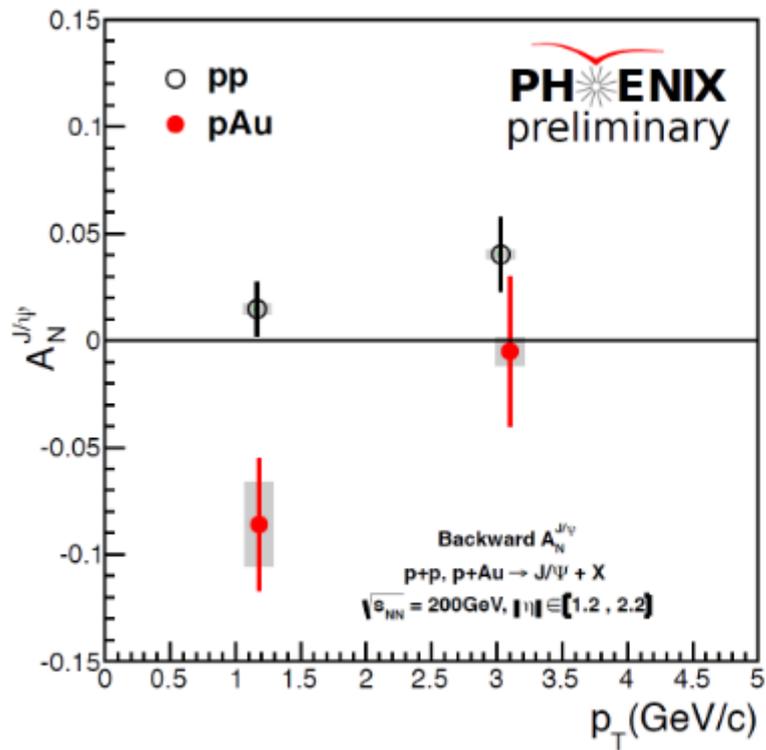
**Comparison :**

**4 data sets, last one in 2015 with \*5 improved luminosity and first time transversely polarized p+A**

Run	Luminosity	Pol
Run6	1.8 pb <sup>-1</sup>	53%
Run8	4.5 pb <sup>-1</sup>	45%
Run12	9.2 pb <sup>-1</sup>	60%
Run15	50 pb <sup>-1</sup>	60%

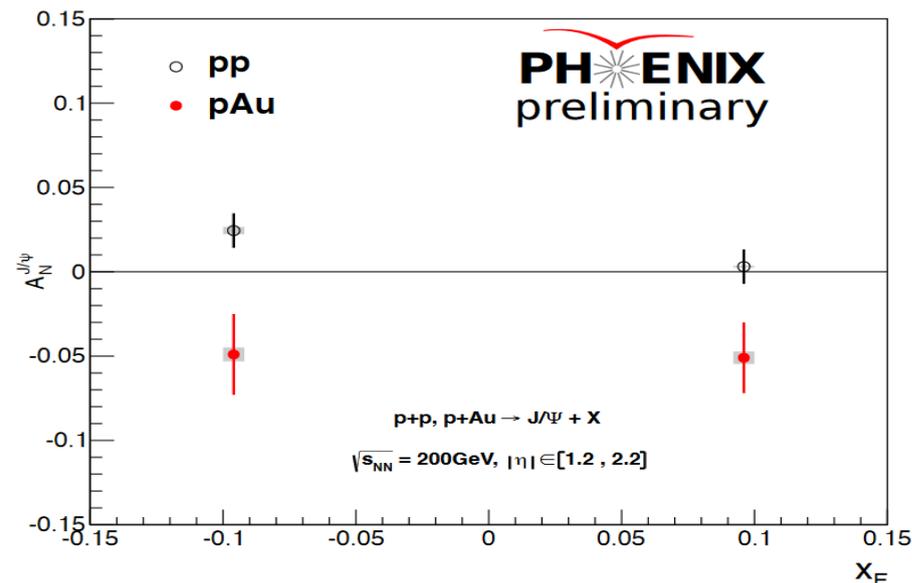
Interpretation: F. Yuan et al, Phys.Rev. D56 (1997) 321-328, fig from F. Yuan talk

# (4) $A_N$ for inclusive $J/\psi$ production: p+p and p+A



run 2015

$A_N$  vs  
 $p_T$  (top)  
and  $x_F$  (bottom)



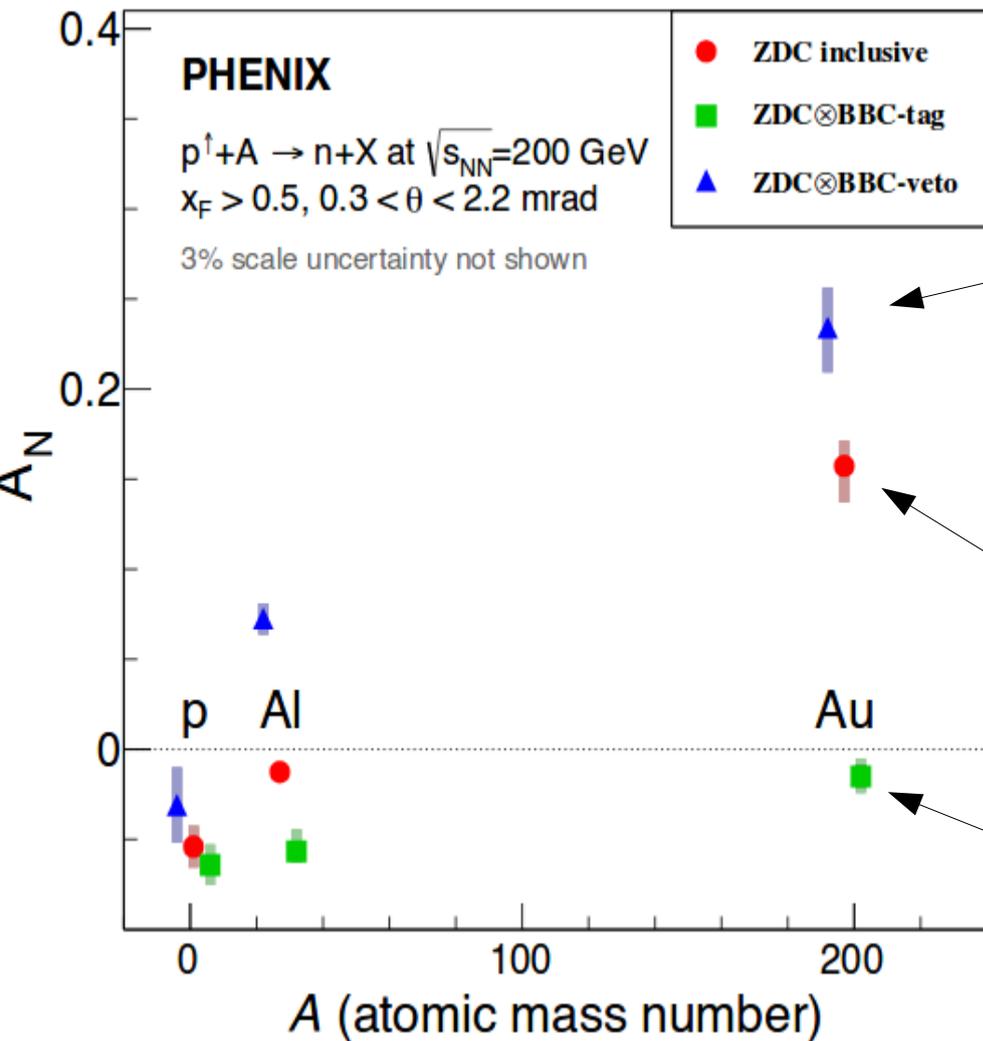
Run 2015: \*5 statistics compared to all other sets

$A_N$  consistent with zero for p+p

2  $\sigma$  level negative asymmetry observed at low  $p_T$  for p+Au

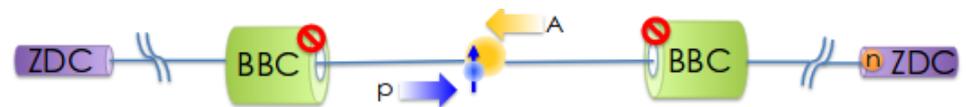
# (5) $A_N$ in very forward neutron production

$A_N$  measured in p+p, p+Al, p+Au for neutron,  $|\eta| > 6.8$ , "very forward"



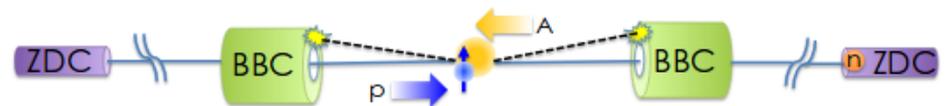
trigger configuration

particles veto at lower rapidity (no BBC hits)  
 $\Rightarrow$  less activity around the neutron  
 $\Rightarrow$  larger fraction of Ultra-Peripheral Collisions  
 $\Rightarrow$  stronger A dependence and large  $A_N$



**measurement in Zero Degree Calorimeter**

particle hits at lower rapidity (2 BBC hits)  
 $\Rightarrow$  more activity around the neutron  
 $\Rightarrow$  larger fraction of hard collisions  
 $\Rightarrow$  weaker A dependence



## (5) Interpretation of the neutron asymmetries and A dependence

- **Unpolarized neutron production cross section in p+p:** can be described with  $\pi$  exchange
- **Non zero  $A_N$  in p+p** [Fukao et al, Phys.Lett.B650:325-330,2007]

can be explained by **interference between  $\pi$  exchange (spin flip) and  $a_1$  Reggeon (non spin flip)** [Kopeliovich et al, Phys. Rev. D 84, 114012 (2011)].

But: predicted weak nuclear dependence

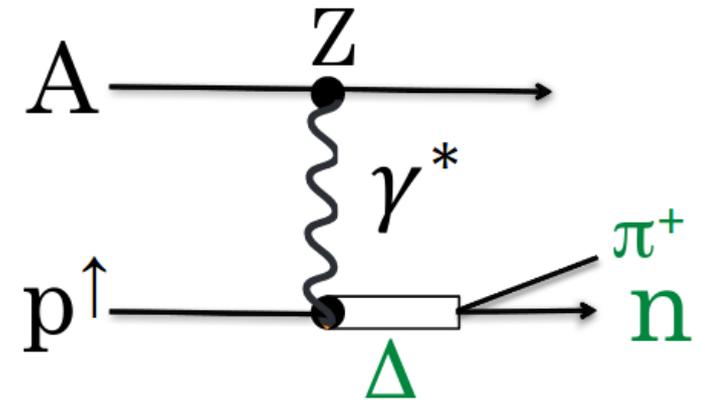
- **New PHENIX results with large nuclear dependence**

- observation of larger asymmetry and sign change when there are less interactions around the neutron

- $\Rightarrow$  **Ultra Peripheral Collisions** with  $\gamma^*$  exchange

- ( $\gamma^* p \rightarrow \pi^+ [\Delta^* \dots \rightarrow N]$ ) can explain it

- $\Rightarrow$  virtual photon flux increase in  $Z^2$  can explain the nuclear dependence



- **Model and simulations mixing "hadronic" collisions + UPC reproduce PHENIX results**

- simulations predict an average of small negative asymmetries as expected for only hadronic collisions and large positive asymmetries expected for only electromagnetic process [Mitsuka, Eur.Phys.J.C75:614,2015]

- ongoing studies of  $p_T$  and  $x_F$  dependence

# Summary

(1) Large asymmetries in light hadron production at forward rapidity, while found compatible with zero at central and backward rapidity.

Results can be reproduced with some models including twist 3 correlation functions.

(2) Large asymmetries in positive hadron production at forward rapidity in p+p.

Effect tend to be suppressed in p+Au, some models explain it from saturation.

Asymmetry compatible with zero for negative inclusive light hadrons ( $\pi^-$ ,  $K^-$ ...).

Compatible with BRAHMS results.

(3) Asymmetries found compatible with zero for inclusive heavy flavored mesons

production (dominated by D). Can be reproduced with some twist 3 parameterizations

(4)  $J/\psi$  asymmetry in p+p compatible with zero, unexplained asymmetry found in p+Au

(5) Large asymmetry and strong nuclear dependence in very forward neutron production.

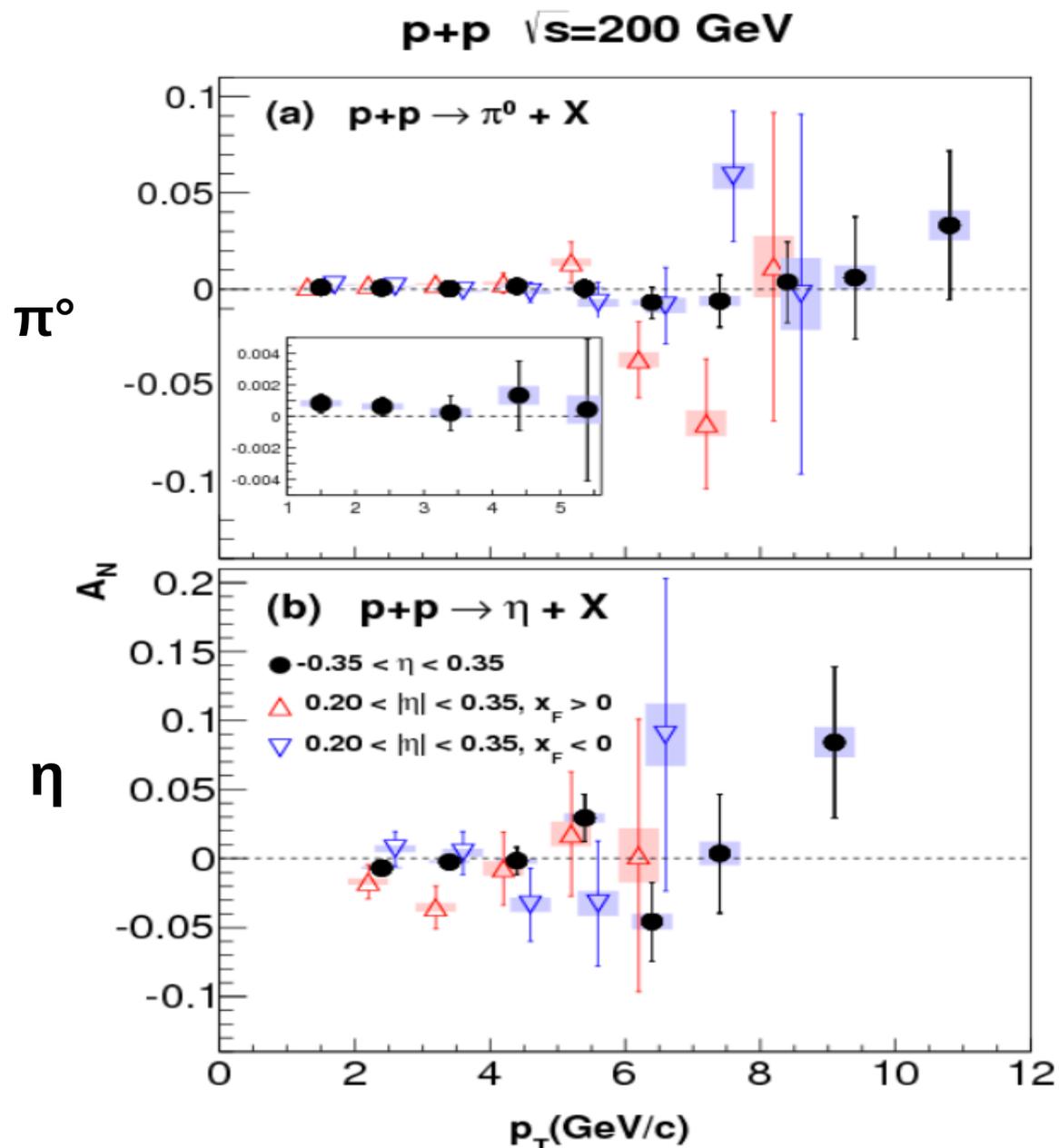
Interpreted by contribution of  $\gamma^* p \rightarrow \pi^+ n$  in UPC, results can be reproduced with model including UPC.

• Near future:

Heavy flavor muons  $A_N$  with high precision data at forward rapidity, direct photon  $A_N$  at mid-rapidity, DY  $A_N$



# (1) $A_N$ in light neutral hadrons production: $\pi^0$ and $\eta$ at central rapidity



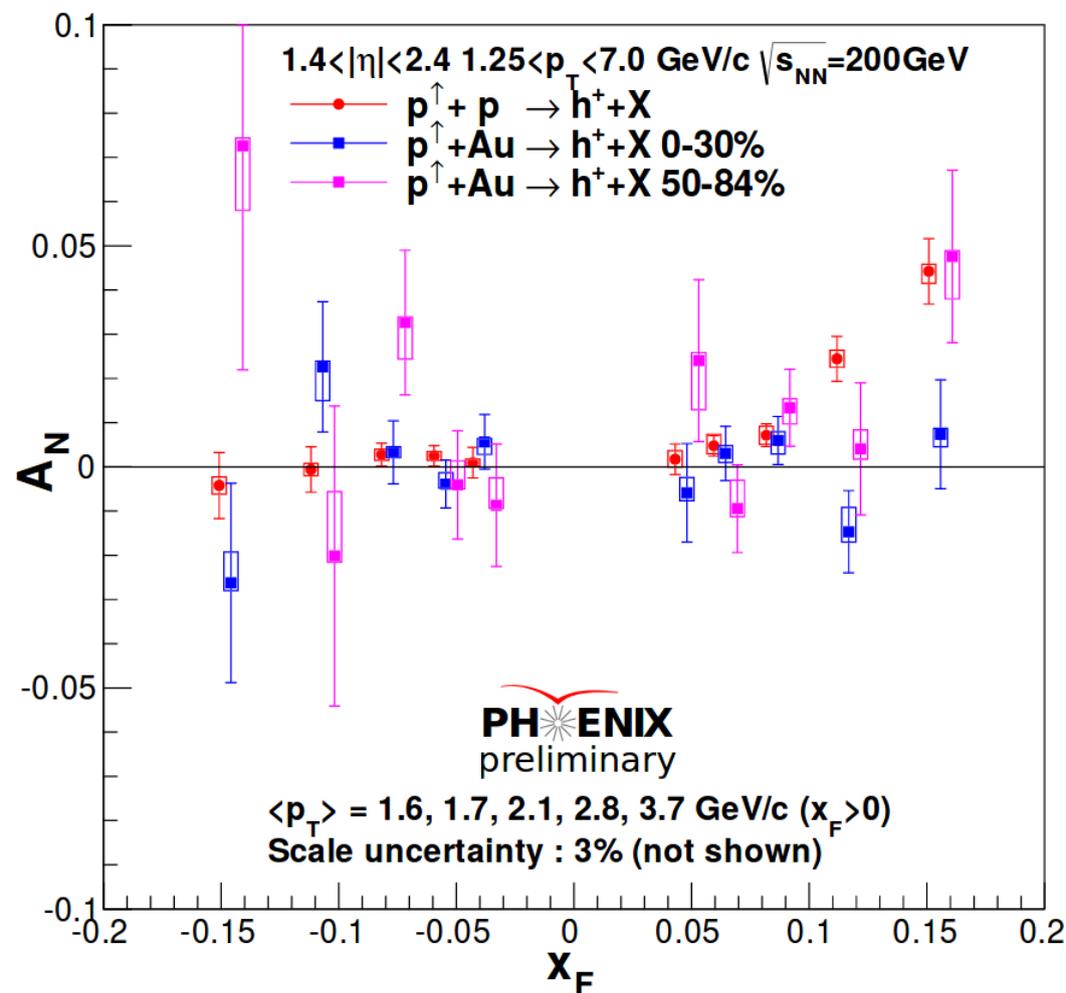
$\pi^0$  and  $\eta$   $A_N$  in p+p at 200 GeV  
→ access gluon Sivers function through correlation function

Mid-rapidity:  $|\eta| < 0.35$

$A_N$  found consistent with zero for the whole  $p_T$  range in p+p collisions, within statistic uncertainties.

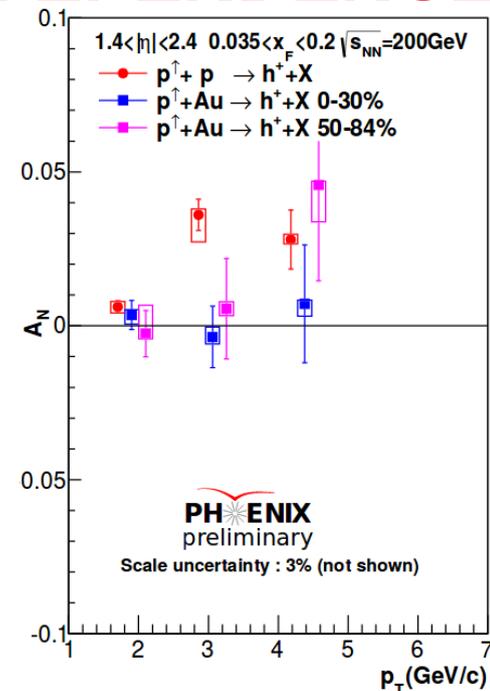
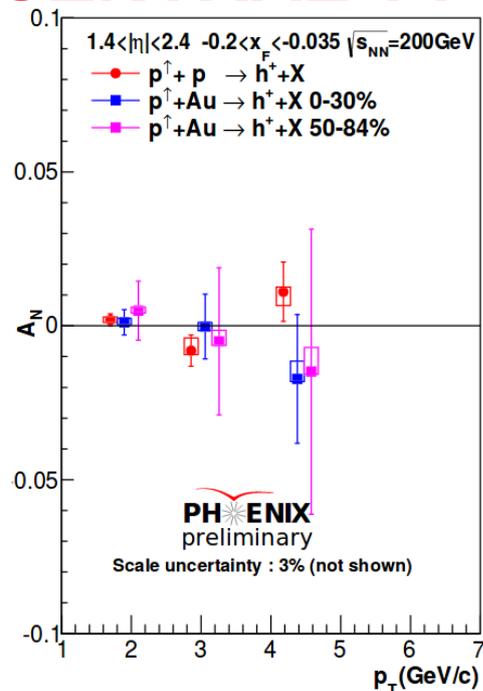
*A. Adare et al. (PHENIX Collaboration)*  
*PRD 90, 012006 (2014)*

## (2) Transverse spin asymmetries in charged light hadrons production



$x_F$  and  $p_T$  dependence for **positive** hadrons ( $\pi^+$ ,  $K^+$ ...)

### CENTRALITY DEPENDENCE



- non zero asymmetry for  $h^+$  at forward rapidity in p+p, increasing as a function of  $x_F$ .

- comparable with BRAHMS result (not same kinematic)

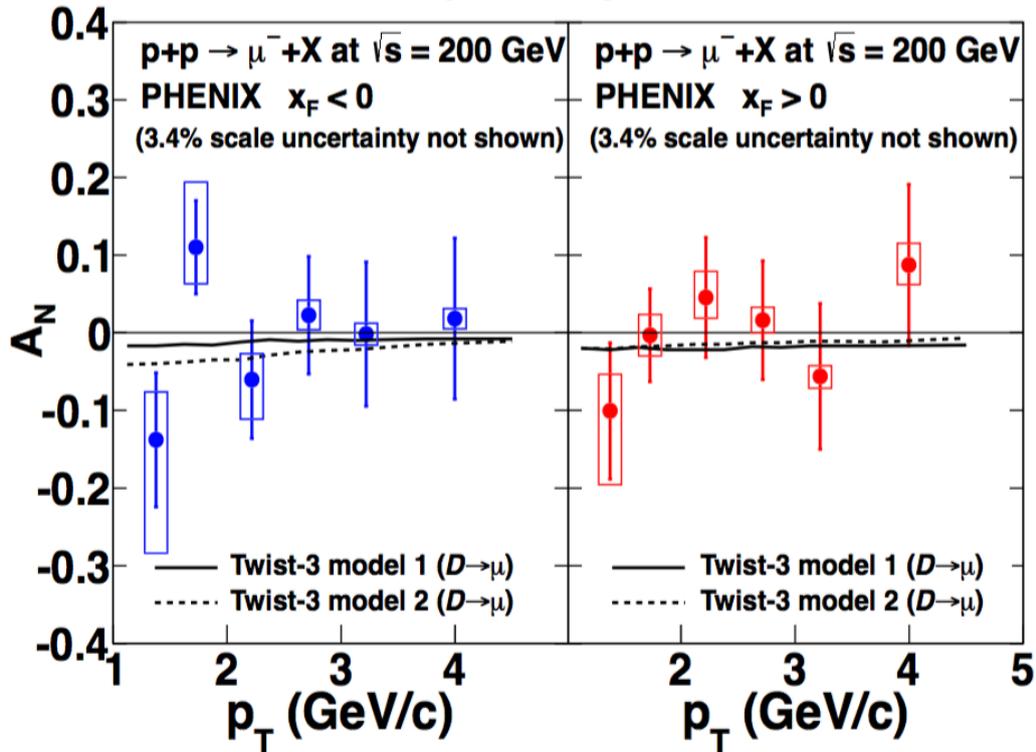
- suppression of the asymmetry in p+A

⇒ A suppression coming from saturation effect is predicted in:

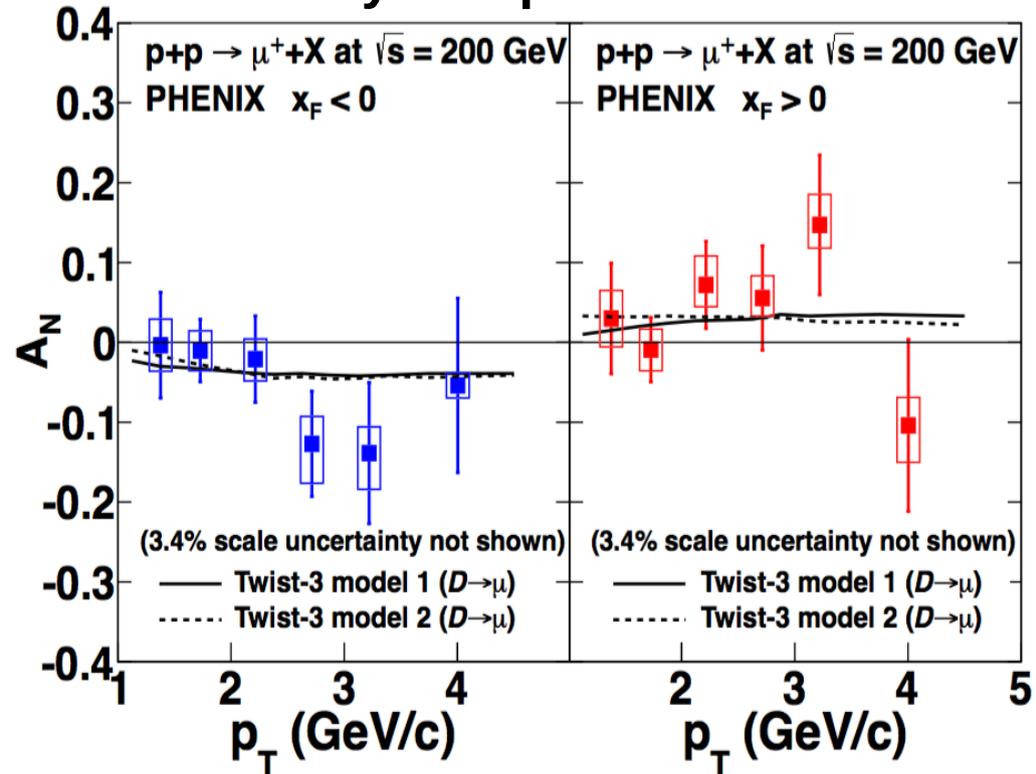
Kang, Yuan, Phys.RevD. 84, 034019(2011); Kovchegov, Sievert, Phys.RevD.86, 034028(2012); Yoshitaka Hatta et al, Phys.RevD.95, 014008(2017)

# (3) Open heavy flavor transverse spin asymmetry (vs pT)

decay into  $\mu^-$



decay into  $\mu^+$



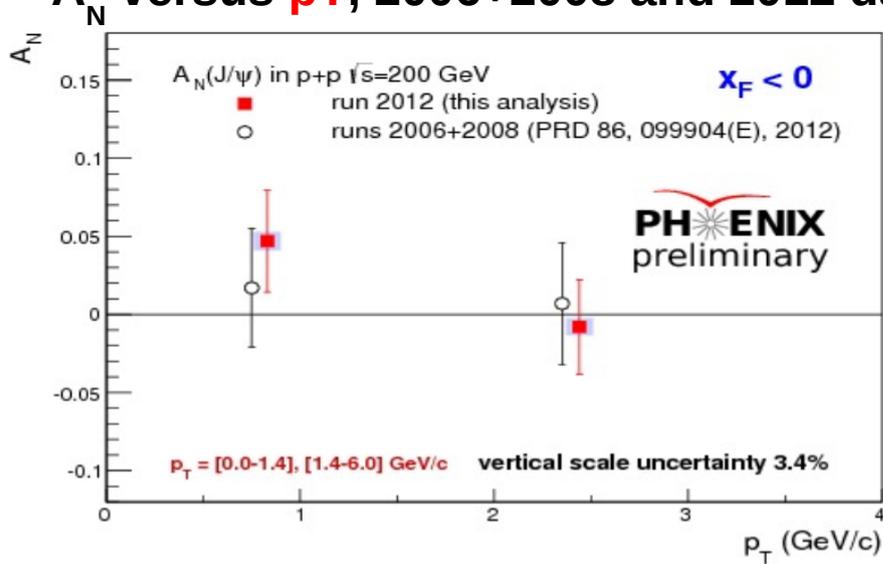
- Main contribution to single muons: D-meson decay ( $\sim 60\%$  to  $92\%$  at lower  $p_T$ )
- Results consistent with zero within uncertainties, for  $\mu^+$  and  $\mu^-$
- Model predictions at twist 3 within collinear factorization framework consistent with measurement. Original calculations for D meson translated to single  $\mu$  decay.

Twist 3 model: Y. Koike, S. Yoshida, PRD84:014021 (2011)

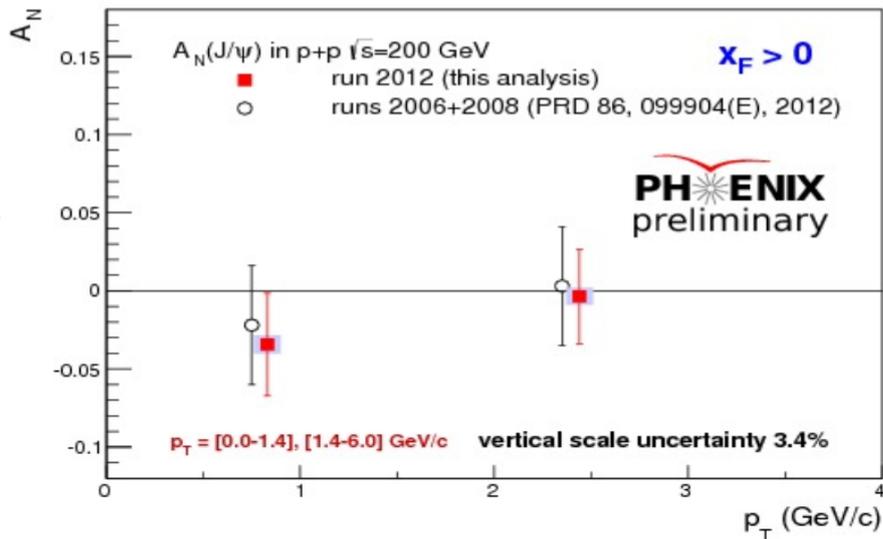
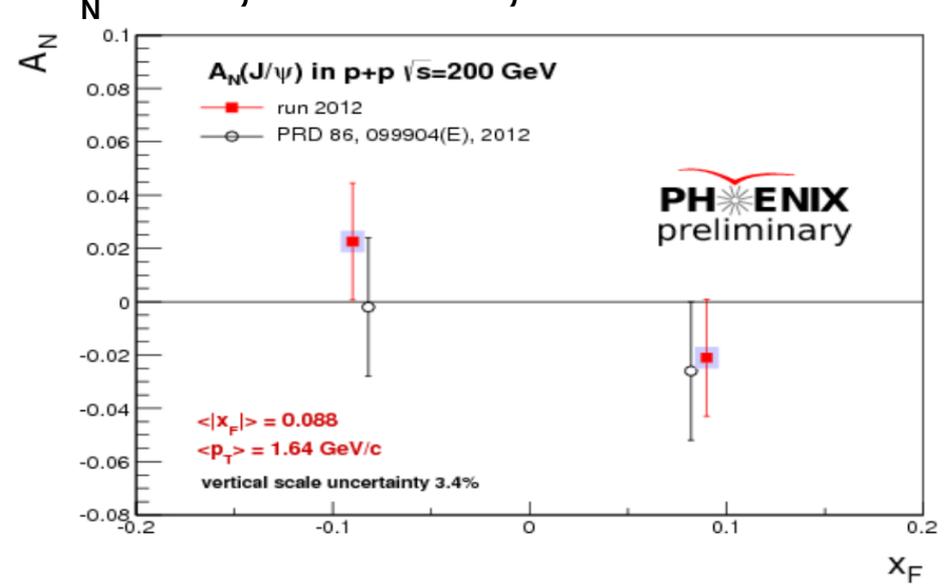
$A_N$  calculations for D mesons provided by S. Yoshida.

# (4) $A_N$ for inclusive $J/\psi$ production: p+p and p+A

$A_N$  versus  $p_T$ , 2006+2008 and 2012 data



$A_N$  vs  $x_F$ , 2006+2008, 2012



Results from 2006 to 2012:

- $A_N$  compatible with zero for p+p
- Confirmed with more statistics (next slide)