# TMDs at Small x

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### Outline

- How to obtain small-x asymptotics of TMDs the small-x evolution equations and their solution. What we mean by small-x asymptotics: small x (linear evolution) vs. very small x (saturation).
- Unpolarized nucleon: small-x asymptotics of
  - Unpolarized quark and gluon TMDs;
  - Linearly polarized gluon TMDs.
- Longitudinally polarized nucleon: small-x asymptotics of quark and gluon helicity TMDs.
- Transversely polarized nucleon: small-x asymptotics of transversity and Sivers distribution.
- Outlook:
  - Small-x asymptotics of TMDs beyond the ones listed above;
  - connecting small-x, CSS and DGLAP evolutions?

# My goal here

• In this talk I will try to describe the small-x asymptotics of quark and gluon TMDs, in the cases where it is known.



 Note that when small-x asymptotics is known, the k<sub>T</sub> dependence is usually known as well (theoretically).

# Small-x Evolution

# Dipole picture of DIS

- In the dipole picture of DIS the virtual photon splits into a quark-antiquark pair, which then interacts with the target.
- The total DIS cross section and structure functions are calculated via:



# **Dipole Amplitude**

• The total DIS cross section is expressed in terms of the (Im part of the) forward quark dipole amplitude N:

# **Dipole Amplitude**

• The quark dipole amplitude is defined by

$$N(\underline{x}_1, \underline{x}_2) = 1 - \frac{1}{N_c} \left\langle \operatorname{tr} \left[ V(\underline{x}_1) \, V^{\dagger}(\underline{x}_2) \right] \right\rangle$$

• Here we use the Wilson lines along the light-cone direction

$$V(\underline{x}) = \operatorname{P} \exp \left[ i g \int_{-\infty}^{\infty} dx^{+} A^{-}(x^{+}, x^{-} = 0, \underline{x}) \right]$$

 In the classical Glauber-Mueller/McLerran-Venugopalan approach the dipole amplitude resums multiple rescatterings:



# **Dipole Amplitude**

 The energy dependence comes in through nonlinear small-x BK/JIMWLK evolution, which resums the long-lived s-channel gluon corrections:



# Notation (Large-N<sub>c</sub>)



Real emissions in the amplitude squared

(dashed line – all Glauber-Mueller exchanges at light-cone time =0)

# Virtual corrections in the amplitude (wave function)



# **Nonlinear Evolution**

To sum up the gluon cascade at large- $N_c$  we write the following equation for the dipole S-matrix:



Remembering that S= 1-N we can rewrite this equation in terms of the dipole scattering amplitude N.

# Nonlinear evolution at large N<sub>c</sub>

As N=1-S we write



# Solution of BK equation



numerical solution by J. Albacete '03 (earlier solutions were found numerically by Golec-Biernat, Motyka, Stasto, by Braun and by Lublinsky et al in '01)

BK solution preserves the black disk limit, N<1 always (unlike the linear BFKL equation)

$$\sigma^{q\bar{q}A} = 2 \int d^2b N(x_\perp, b_\perp, Y_{_{12}})$$

#### **Small-x Asymptotics**

• BFKL solution gives (x<<1)  $N \sim \left(\frac{1}{x}\right)^{\alpha_P - 1}$ 

with

$$\alpha_P - 1 = \frac{4\,\alpha_s\,N_c}{\pi}\,\ln 2 \approx 2.77\,\frac{\alpha_s\,N_c}{\pi}$$

- NLO corrections are known (Fadin, Lipatov '98; Ciafaloni, Camici '98).
- Full BK equation solution also leads to saturation at very small x (x<<<1):  $N \sim {
  m const}$
- Below we will refer to the BFKL-like linear regime as the "small-x asymptotics" of TMDs. It should be understood that at even smaller x saturation is expected to come in and stop the small x evolution.

#### Going Beyond Large N<sub>c</sub>: JIMWLK

To do calculations beyond the large-N<sub>c</sub> limit on has to use a functional integro-differential equation written by lancu, Jalilian-Marian, Kovner, Leonidov, McLerran and Weigert (JIMWLK):

$$\frac{\partial Z}{\partial Y} = \alpha_s \left\{ \frac{1}{2} \frac{\delta^2}{\delta \rho(u) \,\delta \rho(v)} \left[ Z \,\chi(u,v) \right] - \frac{\delta}{\delta \rho(u)} \left[ Z \,\sigma(u) \right] \right\}$$

where the functional Z[r] can then be used for obtaining wave function-averaged observables (like Wilson loops for DIS):

$$\langle O \rangle = \int D\rho Z[\rho] O[\rho]$$

# Unpolarized Nucleon TMDs

# **Unpolarized Gluon TMD**

• We start with the unpolarized gluon TMD at small x:



### Dipole Gluon TMD

• We start with the gluon dipole TMD:

 $f_1^{G\,dip}(x,k_T^2) = \frac{2}{x\,P^+} \int \frac{d\xi^- \,d^2\xi}{(2\pi)^3} \,e^{ixP^+\,\xi^- - i\underline{k}\cdot\underline{\xi}} \,\langle P|\mathrm{tr}\left[F^{+i}(0)\,\mathcal{U}^{[+]}[0,\xi]\,F^{+i}(\xi)\,\mathcal{U}^{[-]}[\xi,0]\right]|P\rangle_{\xi^+=0}$ 

- Here U<sup>[+]</sup> and U<sup>[-]</sup> are future and past-pointing fundamental Wilson line staples (hence the name `dipole' TMD – it looks like a quark dipole scattering on a proton)
- Dipole gluon TMD enters a number of cross sections: DIS, DY, SIDIS, hadron production in pA.
- Dominguez, Marquet, Xiao, Yuan '11; M. Braun '00; YK, Tuchin '01, Kharzeev, YK, Tuchin '03.



#### Dipole Gluon TMD

 One can show that the gluon dipole TMD at small x is indeed related to the dipole amplitude N= 1-S (Dominguez et al, '11; M. Braun '00; YK, Tuchin '01, Kharzeev, YK, Tuchin '03):

$$\begin{split} f_1^{G\,dip}(x,k_T^2) &= \frac{k_T^2\,N_c}{(2\pi)^3\,\pi\,\alpha_s\,x} \int d^2b\,d^2r\,e^{-i\vec{k}_\perp\cdot\vec{r}_\perp}\,S(\vec{r}_\perp,\vec{b}_\perp,Y=\ln(1/x))\\ &= -\frac{k_T^2\,N_c}{(2\pi)^3\,\pi\,\alpha_s\,x} \int d^2b\,d^2r\,e^{-i\vec{k}_\perp\cdot\vec{r}_\perp}\,N(\vec{r}_\perp,\vec{b}_\perp,Y=\ln(1/x)) \end{split}$$

• The resulting small-x asymptotics is given by the BFKL evolution,

$$f_1^{G\,dip}(x,k_T^2) \sim \frac{1}{x}\,N(\vec{r}_\perp,\vec{b}_\perp,Y = \ln(1/x)) \sim \left(\frac{1}{x}\right)^{1 + \frac{4\,\alpha_s\,N_c}{\pi}\,\ln 2 + \mathcal{O}(\alpha_s^2)}$$

• The  $k_T$  dependence is also determined by the small-x evolution.

# WW Gluon TMD

• Next consider the Weizsacker-Williams gluon TMD:



### WW Gluon TMD

• At small x the WW gluon TMD is proportional to a different object, now made out of 4 Wilson lines, the quadrupole amplitude Q:

$$Q(x_1, x_2, x_3, x_4) = \frac{1}{N_c} \langle \operatorname{tr}[V_1 \, V_2^{\dagger} \, V_3 \, V_4^{\dagger}] \rangle$$

- Small-x evolution for the quadrupole amplitude Q is given by an evolution equation different from BK. (Jalilian-Marian, YK '04; Dominguez, Mueller, Munier, Xiao '11.)
- In the linear regime the dipole amplitude Q obeys BFKL equation, such that the small-x asymptotics of the WW gluon TMD is the same as for the dipole gluon TMD:

$$f_1^{G \ WW}(x, k_T^2) \sim \frac{1}{x} Q \sim \left(\frac{1}{x}\right)^{1 + \frac{4\alpha_s N_c}{\pi} \ln 2 + \mathcal{O}(\alpha_s^2)}$$

• The difference between the two TMDs is inside the saturation region.

# Linearly Polarized Gluon TMD

• Let us discuss the linearly polarized (WW) gluon TMD  $h_1^{\perp}$ :



• Linearly polarized TMDs at small x can be measured from  $\cos(2 \varphi)$  modulation of the angles in dijet production in DIS (Dumitru, Lappi, Skokov '15).

#### Linearly Polarized Gluon TMD

• If we keep the indices of the two  $F^{+i}$  different, we get access to the linearly polarized (WW) gluon TMD  $h_1^{\perp}$  (Metz, Zhou, '11):

$$\frac{1}{P^{+}} \int \frac{d\xi^{-} d^{2}\xi}{(2\pi)^{3}} e^{ixP^{+}\xi^{-}-i\underline{k}\cdot\underline{\xi}} \langle P|\mathrm{tr}\left[F^{+i}(0) \mathcal{U}^{[+]}[0,\xi] F^{+j}(\xi) \mathcal{U}^{[+]\dagger}[\xi,0]\right] |P\rangle_{\xi^{+}=0} 
= \frac{1}{2} \,\delta^{ij} x \, f_{1}^{G \ WW}(x,k_{T}^{2}) + \frac{2k^{i}k^{j}-k_{T}^{2} \,\delta^{ij}}{4 \, k_{T}^{2}} \, x \, h_{1,\ WW}^{\perp}(x,k_{T}^{2})$$

- The linearly polarized WW gluon TMD is thus also related to the colorquadrupole amplitude Q.
- In the linear (BFKL) regime the small-x asymptotics is the same,

$$h_{1, WW}^{\perp}(x, k_T^2) \sim \frac{1}{x} Q \sim \left(\frac{1}{x}\right)^{1 + \frac{4\alpha_s N_c}{\pi} \ln 2 + \mathcal{O}(\alpha_s^2)}$$

• For more on small-x evolution of the linear gluon polarization see recent work by Dumitru, Skokov '17.

# Unpolarized Quark TMD

• Next, let's talk about the unpolarized quark TMDs:

Leading Twist TMDs → Nucleon Spin				
			Quark Polarization	
		Un-Polarized (U)	Longitudinally Polarized (L)	Transversely Polarized (T)
Nucleon Polarization	υ	$f_1 = \bullet$		$h_1^{\perp} = \left( \begin{array}{c} \bullet \\ \bullet \\ \end{array} \right) - \left( \begin{array}{c} \bullet \\ \bullet \\ \bullet \\ \end{array} \right)$ Boer-Mulders
	L		$g_{1L} = \bigoplus + \bigoplus$	$h_{1L}^{\perp} = \checkmark \rightarrow - \checkmark$
	т	$f_{1T}^{\perp} = \underbrace{\bullet}_{\text{Sivers}}^{\dagger} - \underbrace{\bullet}_{\text{V}}$	$g_{1T}^{\perp} = -$	$h_{1} = \underbrace{\uparrow}_{\text{Transversity}} - \underbrace{\uparrow}_{\text{Transversity}} \\ h_{1T}^{\perp} = \underbrace{\frown}_{P} - \underbrace{\frown}_{P}$

# Quark Production in SIDIS at Small-x

- To find the unpolarized-nucleon quark TMDs at small-x it is convenient to start by considering the quark production cross section for SIDIS on an unpolarized nucleon.
- The dominant process is due to gluon exchanges, even at the lowest order:



•

# SIDIS to All Orders

• SIDIS process can now be easily generalized to include all-order interactions with the shock waves:



• The SIDIS cross section is

$$\frac{d\sigma_{T,L}^{SIDIS}}{d^2k_T} = \int_0^1 \frac{dz}{z\left(1-z\right)} \int \frac{d^2x_\perp d^2y_\perp d^2z_\perp}{2(2\pi)^3} e^{-i\underline{k}\cdot(\underline{x}-\underline{y})} \Psi_{T,L}^{\gamma^* \to q\bar{q}}(\underline{x}-\underline{z},z) \left[\Psi_{T,L}^{\gamma^* \to q\bar{q}}(\underline{y}-\underline{z},z)\right]^* \\ \times \left[S_{x,y}^{[+\infty,-\infty]} - S_{x,z}^{[+\infty,-\infty]} - S_{z,y}^{[+\infty,-\infty]} + 1\right]$$

#### Quark TMD Evolution at Small-x

• Taking the large-Q<sup>2</sup> limit of the SIDIS cross section we can extract the unpolarized quark TMD out of it (A.H. Mueller '99; Marquet, Xiao and Yuan, '09; YK, Sievert '15):

$$f_1^A(x,k_T) = \frac{2N_c}{\pi^3 x} \int \frac{d^2 x_\perp d^2 y_\perp d^2 z_\perp}{2(2\pi)^3} e^{-i\underline{k}\cdot(\underline{x}-\underline{y})} \frac{\underline{x}-\underline{z}}{|\underline{x}-\underline{z}|^2} \cdot \frac{\underline{y}-\underline{z}}{|\underline{y}-\underline{z}|^2} \\ \times \frac{|\underline{x}-\underline{z}|^4 - |\underline{y}-\underline{z}|^4 - 2|\underline{x}-\underline{z}|^2|\underline{y}-\underline{z}|^2 \ln \frac{|\underline{x}-\underline{z}|^2}{|\underline{y}-\underline{z}|^2}}{(|\underline{x}-\underline{z}|^2 - |\underline{y}-\underline{z}|^2)^3} \left[ S_{x,y}^{[+\infty,-\infty]} - S_{x,z}^{[+\infty,-\infty]} - S_{z,y}^{[+\infty,-\infty]} + 1 \right]$$

• Since the Wilson lines are now infinite, we have infinite dipoles, whose evolution is given by the BK equation at large-N<sub>c</sub>:

$$\partial_Y S_{\mathbf{x}_0,\mathbf{x}_1}(Y) = \frac{\alpha_s N_c}{2\pi^2} \int d^2 x_2 \frac{x_{01}^2}{x_{02}^2 x_{21}^2} \left[ S_{\mathbf{x}_0,\mathbf{x}_2}(Y) S_{\mathbf{x}_2,\mathbf{x}_1}(Y) - S_{\mathbf{x}_0,\mathbf{x}_1}(Y) \right]$$

# **Unpolarized Quark TMD**

• We conclude that the small-x asymptotics of the unpolarized quark TMD is

$$f_1^q(x,k_T^2) \sim \frac{1}{x} N \sim \left(\frac{1}{x}\right)^{1 + \frac{4\alpha_s N_c}{\pi} \ln 2 + \mathcal{O}(\alpha_s^2)}$$

 One needs to re-check the above conclusions using the operator definition of the unpolarized quark TMD, but the x-dependence above will remain the same.

# Mini-Summary

• So far, all the quark and gluon TMDs for an unpolarized nucleon had the same x-dependence at small x,

$$\mathrm{TMD}_{unpolarized}^{q,G}(x,k_T^2) \sim \left(\frac{1}{x}\right)^{1 + \frac{4\alpha_s N_c}{\pi} \ln 2 + \mathcal{O}(\alpha_s^2)}$$

 Not all the unpolarized-nucleon TMDs have their small-x asymptotics derived yet -- it has not been derived for Boer-Mulders distribution to the best of my knowledge.

# Longitudinally Polarized Nucleon TMDs

Yu.K., M. Sievert, arXiv:1505.01176 [hep-ph] Yu.K., D. Pitonyak, M. Sievert, arXiv:1511.06737 [hep-ph], arXiv:1610.06197 [hep-ph], arXiv:1610.06188 [hep-ph], arXiv:1703.05809 [hep-ph], arXiv:1706.04236 [nucl-th]

# Quark Helicity TMD

• We now want to calculate quark and gluon helicity TMDs at small x:

Leading Twist TMDs Quark Spin Nucleon Spin **Quark Polarization Longitudinally Polarized Un-Polarized Transversely Polarized** (U) (L) **(T)**  $h_{1}^{\perp} =$ 1 I.  $f_1 = (\bullet)$ U **Nucleon Polarization Boer-Mulders g**<sub>1L</sub>=(•• *ו*1∟<sup>⊥</sup> L Helicity *h*<sub>1</sub> =  $\boldsymbol{f}_{1T}^{\perp} = ($ •  $\boldsymbol{g}_{1T}^{\perp} = (\bullet$ т **Transversity** Sivers **h**<sub>1T</sub>

#### How much spin is at small x?



- E. Aschenaur et al, arXiv:1509.06489 [hep-ph]
- Uncertainties are very large at small x!

#### Quark Helicity TMD from SIDIS Cross Section



• One can show that the quark helicity TMD at small x can be expressed in terms of the polarized dipole amplitude (flavor singlet case):

$$\begin{split} g_1^S(x,Q^2) &= \frac{N_c N_f}{2\pi^2 \alpha_{EM}} \int\limits_{z_i}^1 \frac{dz}{z^2(1-z)} \int dx_{01}^2 \left[ \frac{1}{2} \sum_{\lambda \sigma \sigma'} |\psi_{\lambda \sigma \sigma'}^T|_{(x_{01}^2,z)}^2 + \sum_{\sigma \sigma'} |\psi_{\sigma \sigma'}^L|_{(x_{01}^2,z)}^2 \right] G(x_{01}^2,z), \\ \Delta q^S(x,Q^2) &= \frac{N_c N_f}{2\pi^3} \int\limits_{z_i}^1 \frac{dz}{z} \int\limits_{\frac{1}{z_s}}^{\frac{1}{zQ^2}} \frac{dx_{01}^2}{x_{01}^2} G(x_{01}^2,z), \\ g_{1L}^S(x,k_T^2) &= \frac{8 N_c N_f}{(2\pi)^6} \int\limits_{z_i}^1 \frac{dz}{z} \int d^2 x_{01} d^2 x_{0'1} e^{-i\underline{k}\cdot(\underline{x}_{01}-\underline{x}_{0'1})} \frac{\underline{x}_{01}\cdot\underline{x}_{0'1}}{x_{01}^2} G(x_{01}^2,z), \end{split}$$

• Here s is cms energy squared,  $z_i = \Lambda^2 / s$ ,  $G(x_{01}^2, z) \equiv \int d^2 b \ G_{10}(z)$ 

### Polarized Dipole

 All flavor singlet small-x helicity observables depend on one object, "polarized dipole amplitude":



 Double brackets denote an object with energy suppression scaled out (single brackets = averaging in the target state):

$$\left<\!\!\left< \mathcal{O} \right>\!\!\right>(z) \equiv zs \left< \mathcal{O} \right>(z)$$

# "Polarized Wilson line"

To obtain an explicit expression for the "polarized Wilson line" operator due to a sub-eikonal gluon exchange (as opposed to the sub-eikonal quarks exchange, which needs to be added as well), consider multiple Coulomb gluon exchanges with the target:



Most gluon exchanges are eikonal spin-independent interactions, while one of them is a spin-dependent sub-eikonal exchange. (cf. Mueller '90, McLerran, Venugopalan '93)

# "Polarized Wilson line"

 A simple calculation in A<sup>-</sup>=0 gauge yields the "polarized Wilson line":

$$V_{\underline{x}}^{pol} = \frac{1}{2s} \int_{-\infty}^{\infty} dx^{-} \operatorname{Pexp}\left\{ ig \int_{x^{-}}^{\infty} dx'^{-} A^{+}(x'^{-}, \underline{x}) \right\} ig \, \underline{\nabla} \times \underline{\tilde{A}}(x^{-}, \underline{x}) \operatorname{Pexp}\left\{ ig \int_{-\infty}^{x^{-}} dx'^{-} A^{+}(x'^{-}, \underline{x}) \right\}$$

where 
$$\underline{A}_{\Sigma}(x^{-},\underline{x}) = \frac{\Sigma}{2p_{1}^{+}} \underline{\tilde{A}}(x^{-},\underline{x})$$

is the spin-dependent sub-eikonal gluon field of the plusdirection moving target with helicity  $\Sigma$ .

 $(A^+$  is the unpolarized eikonal field.)

# Polarized Dipole Amplitude

#### • The polarized dipole amplitude is then defined by

$$G_{10}(z) \equiv \frac{1}{4N_c} \int_{-\infty}^{\infty} dx^- \left\langle \operatorname{tr} \left[ V_{\underline{0}}[\infty, -\infty] V_{\underline{1}}[-\infty, x^-] (-ig) \, \underline{\nabla} \times \underline{\tilde{A}}(x^-, \underline{x}) \, V_{\underline{1}}[x^-, \infty] \right] + \operatorname{c.c.} \right\rangle(z)$$
with the standard light-cone
Wilson line
$$V_{\underline{x}}[b^-, a^-] = \operatorname{P} \exp\left\{ ig \int_{a^-}^{b^-} dx^- A^+(x^-, \underline{x}) \right\}$$

### Evolution for Polarized Quark Dipole

• We can evolve the polarized dipole operator and obtain its small-x evolution equation:



• From the first two graphs on the right we get

$$G_{10}(z) = G_{10}^{(0)}(z) + \frac{\alpha_s}{\pi^2} \int \frac{dz'}{z'} \int \frac{d^2x_2}{x_{21}^2} \frac{1}{N_c} \left\langle\!\!\left\langle \operatorname{tr}\left[t^b V_0 t^a V_1^\dagger\right] U_2^{pol\,ba}\right\rangle\!\!\right\rangle + \dots$$

# Evolution for Polarized Quark Dipole

One can construct an evolution equation for the polarized dipole:



## **Resummation Parameter**

• For helicity evolution the resummation parameter is different from BFKL, BK or JIMWLK, which resum powers of leading logarithms (LLA)

$$\alpha_s \ln(1/x)$$

• Helicity evolution resummation parameter is double-logarithmic (DLA):

$$\alpha_s \ln^2 \frac{1}{x}$$

- The second logarithm of x arises due to transverse momentum (or transverse coordinate) integration being logarithmic both in UV and IR.
- This was known before: Kirschner and Lipatov '83; Kirschner '84; Bartels, Ermolaev, Ryskin '95, '96; Griffiths and Ross '99; Itakura et al '03; Bartels and Lublinsky '03.

#### Polarized Dipole Evolution in the Large-N<sub>c</sub> Limit



In the large-N<sub>c</sub> limit the equations close, leading to a system of 2 equations:

## Solution of the large-N<sub>c</sub> Equations



• Numerical solution results in the following small-x asymptotics:

$$g_1^S(x,Q^2) \sim \Delta q^S(x,Q^2) \sim g_{1L}^S(x,k_T^2) \sim \left(\frac{1}{x}\right)^{\alpha_h} \approx \left(\frac{1}{x}\right)^{2.31\sqrt{\frac{\alpha_s N_c}{2\pi}}}$$

#### Quark Helicity TMD: Small-x Asymptotics

The above equations can be solved analytically too, giving the helicity intercept

$$\alpha_h^q = \frac{4}{\sqrt{3}} \sqrt{\frac{\alpha_s N_c}{2\pi}} \approx 2.3094 \sqrt{\frac{\alpha_s N_c}{2\pi}}$$

• This is in complete agreement with the numerical solution!

$$\alpha_h^q \approx 2.31 \sqrt{\frac{\alpha_s \, N_c}{2\pi}}$$

• The small-x asymptotics of quark helicity is (at large N<sub>c</sub>)

$$g_{1L}^{S,\,quark} \sim \left(\frac{1}{x}\right)^{\frac{4}{\sqrt{3}}\sqrt{\frac{\alpha_s N_c}{2\pi}} + \mathcal{O}(\alpha_s)}$$

#### Impact of our $\Delta\Sigma$ on the proton spin

• We have attached a  $\Delta \tilde{\Sigma}(x,Q^2) = N x^{-\alpha_h}$  curve to the existing hPDF's fits at some ad hoc small value of x labeled x<sub>0</sub>:



#### Impact of our $\Delta\Sigma$ on the proton spin

• Defining  $\Delta \Sigma^{[x_{min}]}(Q^2) \equiv \int_{x_{min}}^{1} dx \, \Delta \Sigma(x,Q^2)$  we plot it for x<sub>0</sub>=0.03, 0.01, 0.001:



- We observe a moderate to significant enhancement of quark spin.
- More detailed phenomenology is needed in the future.

#### Impact on proton spin



- Here we compare our results with DSSV, now including the error band.
- We observe consistency of our lower two curves with DSSV.
- Our upper curve disagrees with DSSV, but agrees with NNPDF (Nocera, Santopinto, '16).
- Better phenomenology is needed. EIC would definitely play a role.

# **Gluon Helicity TMD**

• We now want to calculate quark and gluon helicity TMDs at small x:

Leading Twist TMDs Quark Spin Nucleon Spin Gluon **Polarization Longitudinally Polarized Un-Polarized Transversely Polarized** (U) (L) **(T)** *h*₁<sup>⊥</sup> = 1  $f_1 = (\bullet)$ U **Nucleon Polarization g**<sub>1L</sub>=(•• ף<sub>1∟</sub>⊥ = L Helicity h,=  $\boldsymbol{f}_{1T}^{\perp} = ($ •  $\boldsymbol{g}_{1T}^{\perp} = \left( \bullet \right)$ т **Transversity** Sivers **h**<sub>1T</sub>

# Dipole Gluon Helicity TMD

- Now let us repeat the calculation for gluon helicity TMDs.
- We start with the definition of the gluon dipole helicity TMD:



# Dipole Gluon Helicity TMD

At small x, the definition of dipole gluon helicity TMD can be massaged into

$$g_1^{G\,dip}(x,k_T^2) = \frac{8i\,N_c\,S_L}{g^2(2\pi)^3}\,\int d^2x_{10}\,e^{i\underline{k}\cdot\underline{x}_{10}}\,k_\perp^i\epsilon_T^{ij}\,\left[\int d^2b_{10}\,G_{10}^j(zs=\frac{Q^2}{x})\right]$$

 Here we obtain a new operator, which is a transverse vector (written here in A<sup>-</sup>=0 gauge):

$$G_{10}^{i}(z) \equiv \frac{1}{4N_{c}} \int_{-\infty}^{\infty} dx^{-} \left\langle \operatorname{tr} \left[ V_{\underline{0}}[\infty, -\infty] V_{\underline{1}}[-\infty, x^{-}] \left(-ig\right) \tilde{A}^{i}(x^{-}, \underline{x}) V_{\underline{1}}[x^{-}, \infty] \right] + \operatorname{c.c.} \right\rangle (z)$$

• Note that  $k_{\perp}^{i} \epsilon_{T}^{ij}$  can be thought of as a transverse curl acting on  $G_{10}^{i}(z)$ and not just on  $\tilde{A}^{i}(x^{-}, \underline{x})$  -- different from the polarized dipole amplitude!



# **Evolution Equation**

 To construct evolution equation for the operator G<sup>i</sup> governing the gluon helicity TMD we resum similar (to the quark case) diagrams:



# Large-N<sub>c</sub> Evolution: Equations

• This results in the following evolution equations:

$$\begin{split} G_{10}^{i}(zs) &= G_{10}^{i\,(0)}(zs) + \frac{\alpha_{s}N_{c}}{2\pi^{2}} \int_{\frac{\Lambda^{2}}{s}}^{z} \frac{dz'}{z'} \int d^{2}x_{2} \,\ln\frac{1}{x_{21}\Lambda} \,\frac{\epsilon_{T}^{ij}\left(x_{21}\right)_{\perp}^{j}}{x_{21}^{2}} \left[\Gamma_{20\,,\,21}^{gen}(z's) + G_{21}(z's)\right] \\ &- \frac{\alpha_{s}N_{c}}{2\pi^{2}} \int_{\frac{\Lambda^{2}}{s}}^{z} \frac{dz'}{z'} \int d^{2}x_{2} \,\ln\frac{1}{x_{21}\Lambda} \,\frac{\epsilon_{T}^{ij}\left(x_{20}\right)_{\perp}^{j}}{x_{20}^{2}} \left[\Gamma_{20\,,\,21}^{gen}(z's) + \Gamma_{21\,,\,20}^{gen}(z's)\right] \\ &+ \frac{\alpha_{s}N_{c}}{2\pi} \int_{\frac{1}{x_{10}s}}^{z} \frac{dz'}{z'} \int_{\frac{1}{z's}}^{x_{10}^{2}} \frac{dx_{21}^{2}}{x_{21}^{2}} \left[G_{12}^{i}(z's) - \Gamma_{10\,,\,21}^{i}(z's)\right] \end{split}$$

$$\begin{split} \Gamma_{10\,21}^{i}(z's) &= G_{10}^{i\,(0)}(z's) + \frac{\alpha_{s}N_{c}}{2\pi^{2}} \int_{\frac{\Lambda^{2}}{s}}^{z'} \frac{dz''}{z''} \int d^{2}x_{3} \ln \frac{1}{x_{31}\Lambda} \frac{\epsilon_{T}^{ij}\left(x_{31}\right)_{\perp}^{j}}{x_{31}^{2}} \left[\Gamma_{30\,,31}^{gen}(z''s) + G_{31}(z''s)\right] \\ &- \frac{\alpha_{s}N_{c}}{2\pi^{2}} \int_{\frac{\Lambda^{2}}{s}}^{z'} \frac{dz''}{z''} \int d^{2}x_{3} \ln \frac{1}{x_{31}\Lambda} \frac{\epsilon_{T}^{ij}\left(x_{30}\right)_{\perp}^{j}}{x_{30}^{2}} \left[\Gamma_{30\,,31}^{gen}(z''s) + \Gamma_{31\,,30}^{gen}(z''s)\right] \\ &+ \frac{\alpha_{s}N_{c}}{2\pi^{2}} \int_{\frac{1}{x_{10}^{2}s}}^{z'} \frac{dz''}{z''} \int_{\frac{1}{z''s}}^{\min\left[x_{10}^{2},x_{21}^{2}\frac{z'}{z''}\right]} \frac{dx_{31}^{2}}{x_{31}^{2}} \left[G_{13}^{i}(z''s) - \Gamma_{10\,,31}^{i}(z''s)\right]. \end{split}$$

#### Large-N<sub>c</sub> Evolution Equations: Solution

• These equations can be solved in the asymptotic high-energy region yielding the small-x gluon helicity intercept

$$\alpha_h^G = \frac{13}{4\sqrt{3}} \sqrt{\frac{\alpha_s N_c}{2\pi}} \approx 1.88 \sqrt{\frac{\alpha_s N_c}{2\pi}}$$

• We obtain the small-x asymptotics of the gluon helicity distributions:

$$\Delta G(x,Q^2) \sim g_{1L}^{G\,dip}(x,k_T^2) \sim \left(\frac{1}{x}\right)^{\frac{13}{4\sqrt{3}}\sqrt{\frac{\alpha_s\,N_c}{2\pi}}}$$

#### Impact of our $\Delta G$ on the proton spin

• We have attached a  $\Delta \tilde{G}(x,Q^2) = N x^{-\alpha_h^G}$  curve to the existing hPDF's fits at some ad hoc small value of x labeled  $x_0$ :



"ballpark" phenomenology

#### Impact of our $\Delta G$ on the proton spin

• Defining  $S_G^{[x_{min}]}(Q^2) \equiv \int_{x_{min}}^1 dx \, \Delta G(x,Q^2)$  we plot it for x<sub>0</sub>=0.08, 0.05, 0.001:



- We observe a moderate enhancement of gluon spin.
- More detailed phenomenology is needed in the future.

# Transversely Polarized Nucleon TMDs

#### Transversely Polarized Nucleon TMDs

• Now let's consider transversity and the Sivers TMD function (for quarks and gluons). I will mainly talk about gluons, since we know more theoretically about their small-x asymptics.



#### Gluon TMDs of the Transversely Polarized Nucleon

 Boer, Echevarria, Mulders and Zhou '15 argued that one could relate the dipole-type T-odd gluon TMDs for a transversely polarized nucleon, such as the Sivers function and transversity, to the so-called spin-dependent odderon operator (Zhou, '14):

$$f_{1T}^{\perp G} = h_{1T}^G = h_{1T}^{\perp G} = -\frac{k_T^2 N_c}{4\pi^2 \alpha_s x} O_{1T}^{\perp}(x, k_T^2)$$

The latter two TMDs give us transversity

$$h_1(x, k_T^2) = h_{1T}(x, k_T^2) + \frac{k_T^2}{2M^2} h_{1T}^{\perp}(x, k_T^2)$$

#### The Odderon

- To determine the small-x asymptotics of the Sivers function and transversity TMD we need to find the small-x asymptotics of the odderon.
- There is a rich history behind this question.
- The end result is that we know that (probably an exact result in QFT)

$$O \sim \left(\frac{1}{x}\right)^0 = \operatorname{const}(x)$$

- This is shown to be true in
  - LO QCD: Bartels, Lipatov, Vacca ('00); YK, Szymanowski, Wallon '03 dipole approach
  - NLO QCD: YK, Diffraction conference proceedings, '12
  - Large-coupling N=4 SYM: Brower, Djuric, Tan '08
  - Exact in large-N<sub>c</sub> QCD: Caron-Huot and Herranen, '16.

#### Gluon and Quark TMDs of the Transversely Polarized Nucleon

• Combining the Boer, Echevarria, Mulders and Zhou '15 result with the odderon's small-x asymptotics, we conclude that

$$f_{1T}^{\perp G} = h_{1T}^G = h_{1T}^{\perp G} \sim \frac{1}{x}$$

- Unlike other asymptotic expressions I showed, this one could be exact! (It is exact at large N<sub>c</sub>.)
- Quark transversity may have a similar asymptotics, but an unresolved controversy exists, whether the small-x asymptotics is given by the odderon with  $h_1^q \sim \left(\frac{1}{x}\right)^0$

or by the DLA small-x evolution (similar to helicity) with  $h_1^q \sim \left(\frac{1}{x}\right)^{-1 + \sqrt{\frac{4 \alpha_s C_F}{\pi}}}$ 

(Kirschner, Mankiewicz, Schafer, Szymanowski '96).

# Outlook

#### Unifying Small-x, DGLAP and CSS Evolution

#### **Evolution equation of gluon TMDs**

 $rac{d}{d\ln\sigma}\langle p| ilde{\mathcal{F}}^a_i(x_{\perp},eta_B)\mathcal{F}^a_j(y_{\perp},eta_B)|p
angle$  $= -\alpha_s \langle p | \text{Tr} \Big\{ \int d^2 k_\perp \theta \big( 1 - \beta_B - \frac{k_\perp^2}{\sigma s} \big) \Big[ (x_\perp) \Big( \tilde{U} \frac{1}{\sigma \beta_B s + p_\perp^2} (\tilde{U}^\dagger k_k + p_k \tilde{U}^\dagger) \Big] \Big]$  $\times \frac{\sigma\beta_{B}sg_{\mu i} - 2k_{\mu}^{\perp}k_{i}}{\sigma\beta_{B}s + k_{\perp}^{2}} - 2k_{\mu}^{\perp}g_{ik}\tilde{U}\frac{1}{\sigma\beta_{B}s + p_{\perp}^{2}}\tilde{U}^{\dagger} - 2g_{\mu k}\tilde{U}\frac{p_{i}}{\sigma\beta_{B}s + p_{\perp}^{2}}\tilde{U}^{\dagger}\Big)\tilde{\mathcal{F}}^{k}(\beta_{B} + \frac{k_{\perp}^{2}}{\sigma s})|k_{\perp}) \\ \times (k_{\perp}|\mathcal{F}^{l}(\beta_{B} + \frac{k_{\perp}^{2}}{\sigma s})\Big(\frac{\sigma\beta_{B}s\delta_{j}^{\mu} - 2k_{\perp}^{\mu}k_{j}}{\sigma\beta_{B}s + k_{\perp}^{2}}(k_{l}U + Up_{l})\frac{1}{\sigma\beta_{B}s + p_{\perp}^{2}}U^{\dagger} \\ -2k_{\perp}^{\mu}g_{jl}U\frac{1}{\sigma\beta_{B}s + p_{\perp}^{2}}U^{\dagger} - 2\delta_{l}^{\mu}U\frac{p_{j}}{\sigma\beta_{B}s + p_{\perp}^{2}}U^{\dagger}\Big)|y_{\perp}\rangle$ Balitsky, Tarasov '15  $+ 2(x_{\perp}|\tilde{\mathcal{F}}_i\big(\beta_B + \frac{k_{\perp}^2}{\sigma s}\big)|k_{\perp})(k_{\perp}|\mathcal{F}^l\big(\beta_B + \frac{k_{\perp}^2}{\sigma s}\big)\Big(\frac{k_j}{k_{\perp}^2}\frac{\sigma\beta_B s + 2k_{\perp}^2}{\sigma\beta_B s + k_{\perp}^2}(k_l U + Up_l)\frac{1}{\sigma\beta_B s + p_{\perp}^2}U^{\dagger}$  $+ \ 2U rac{g_{jl}}{\sigmaeta_Bs+p_\perp^2} U^\dagger - 2rac{k_l}{k_\perp^2} U rac{p_j}{\sigmaeta_Bs+p_\perp^2} U^\dagger \Big) |y_\perp \rangle$  $+ 2(x_{\perp}) \Big( \tilde{U} \frac{1}{\sigma\beta_B s + p_{\perp}^2} (\tilde{U}^{\dagger} k_k + p_k \tilde{U}^{\dagger}) \frac{k_i}{k_{\perp}^2} \frac{\sigma\beta_B s + 2k_{\perp}^2}{\sigma\beta_B s + k_{\perp}^2} + 2\tilde{U} \frac{g_{ik}}{\sigma\beta_B s + p_{\perp}^2} \tilde{U}^{\dagger}$  $-2\tilde{U}\frac{p_i}{\sigma\beta_{BB}+n^2}\tilde{U}^{\dagger}\frac{k_k}{k^2}\Big)\tilde{\mathcal{F}}^k\big(\beta_B+\frac{k_{\perp}^2}{\sigma s}\big)|k_{\perp})(k_{\perp}|\mathcal{F}_j\big(\beta_B+\frac{k_{\perp}^2}{\sigma s}\big)|y_{\perp}\big)\Big]$  $+ 2\tilde{\mathcal{F}}_i(x_{\perp},\beta_B)(y_{\perp}| - \frac{p^m}{p^2} \mathcal{F}_k(\beta_B)(i\overleftarrow{\partial}_l + U_l)(2\delta_m^k \delta_j^l - g_{jm}g^{kl})U\frac{1}{\sigma\beta_{RS} + p_1^2}U^{\dagger}|y_{\perp})$  Nonlinear evolution • Valid for the whole range of  $\beta_B$  $+ 2(x_{\perp}| ilde{U}rac{1}{\sigmaeta_{BB}s+p_1^2} ilde{U}^{\dagger}(2\delta_i^k\delta_m^l-g_{im}g^{kl})(i\partial_k- ilde{U}_k) ilde{\mathcal{F}}_l(eta_B)rac{p^m}{p_1^2}|x_{\perp})\mathcal{F}_j(y_{\perp},eta_B)$ and  $p_{\perp}^2$  $-4 \int \! \frac{d^2 k_\perp}{k_\perp^2} \Big[ \theta \big(1 - \beta_B - \frac{k_\perp^2}{\sigma s}\big) \tilde{\mathcal{F}}_i \big(x_\perp, \beta_B + \frac{k_\perp^2}{\sigma s}\big) \mathcal{F}_j \big(y_\perp, \beta_B + \frac{k_\perp^2}{\sigma s}\big) e^{i(k, x - y)_\perp}$  No infrared divergency  $-\frac{\sigma\beta_B s}{\sigma\beta_B s + k_\perp^2} \tilde{\mathcal{F}}_i(x_\perp, \beta_B) \mathcal{F}_j(y_\perp, \beta_B) \Big] \Big\} |p\rangle \ + \ O(\alpha_s^2)$  Real emission part contains kinematical constraint  $p_{\perp}^2 < \sigma(1-\beta_B)s$ 

# Unifying Small-x, DGLAP and CSS Evolution: very promising, but complicated

#### **Three limits of evolution equation**



# Summary

#### Summary

- We have described what is theoretically known abuot the small-x asymptotics of TMDs:
- Unpolarized-nucleon TMDs all seem to scale as the BFKL solution,

$$\mathrm{TMD}_{unpolarized}^{q,G}(x,k_T^2) \sim \left(\frac{1}{x}\right)^{1 + \frac{4\alpha_s N_c}{\pi} \ln 2 + \mathcal{O}(\alpha_s^2)}$$

• Longitudinally polarized nucleon: Helicity TMDs (at large N<sub>c</sub>) scale as

$$g_{1L}^{S,\,quark} \sim \left(\frac{1}{x}\right)^{\frac{4}{\sqrt{3}}\sqrt{\frac{\alpha_s\,N_c}{2\pi}} + \mathcal{O}(\alpha_s)} \qquad \qquad g_{1L}^{G\,dipole} \sim \left(\frac{1}{x}\right)^{\frac{13}{4\sqrt{3}}\sqrt{\frac{\alpha_s\,N_c}{2\pi}} + \mathcal{O}(\alpha_s)}$$

• Transversely polarized nucleon: Transversity and the Sivers function are

$$f_{1T}^{\perp G} = h_{1T}^G = h_{1T}^{\perp G} \sim \frac{1}{x}$$

• While significant progress has been made, many more TMDs are left to explore!



INT Program on EIC Physics, Fall 2018

- Probing Nucleons and Nuclei in High Energy Collisions (INT-18-3) October 1 - November 16, 2018
   Y. Hatta, Y. Kovchegov, C. Marquet, A. Prokudin
- Institute for Nuclear Theory, Seattle, WA
- Please mark your calendars!

