

# **TMD Factorization Theory**

Ted Rogers

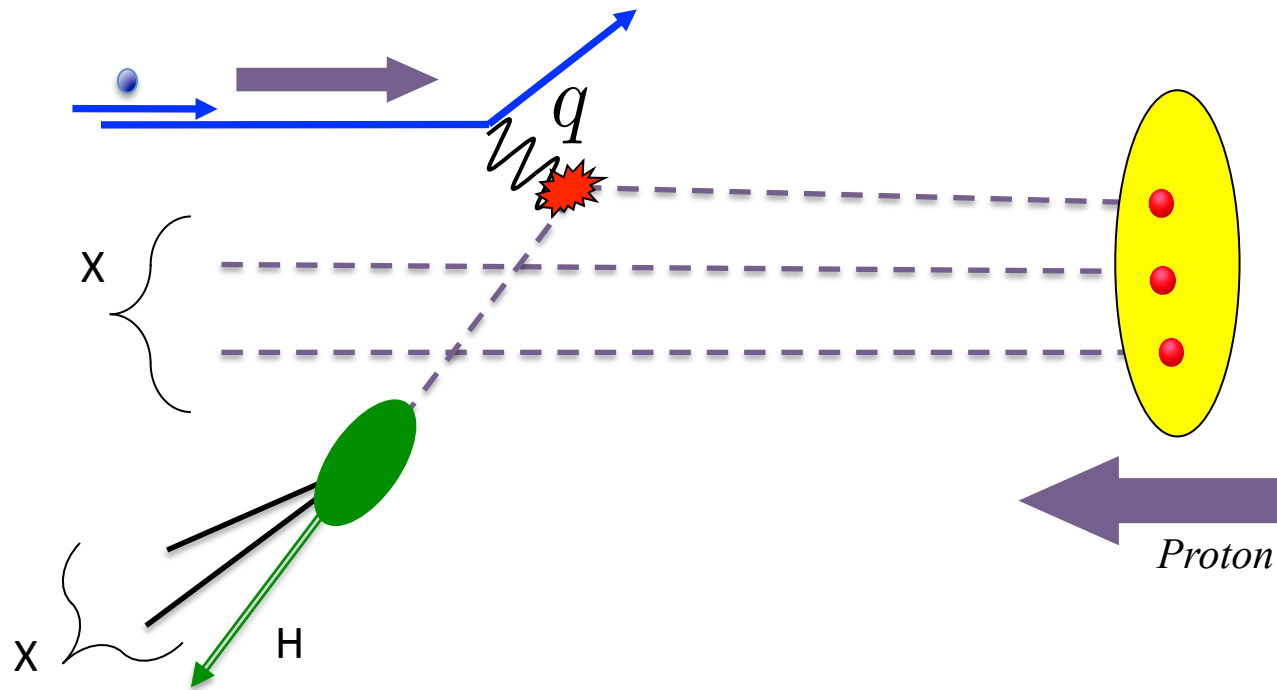
Jefferson Lab/Old Dominion  
University

Transversity 2017, December 11, Frascati

# Outline

- Overview
- Kinematical cartography of a process
- Hadronization and fragmentation
- Evolution and perturbation theory

# Example



***Collinear Semi-Inclusive DIS***

# Correlation Function Taxonomy

<u>Proton</u> <u>Quark</u>	<u>Unpolarized</u>	<u>Longitudinally</u> <u>polarized</u>	<u>Transversely</u> <u>polarized</u>
<u>Unpolarized</u>	$f(x)$	✗	✗
<u>Longitudinally</u> <u>polarized</u>	✗	$g_{1L}(x)$	✗
<u>Transversely</u> <u>polarized</u>	✗	✗	$h_{1T}(x)$

# Intrinsic Transverse Momentum

PHYSICAL REVIEW D

VOLUME 2, NUMBER 9

1 NOVEMBER 1970

## Effect of a Transverse Momentum Distribution in the Parton Model\*

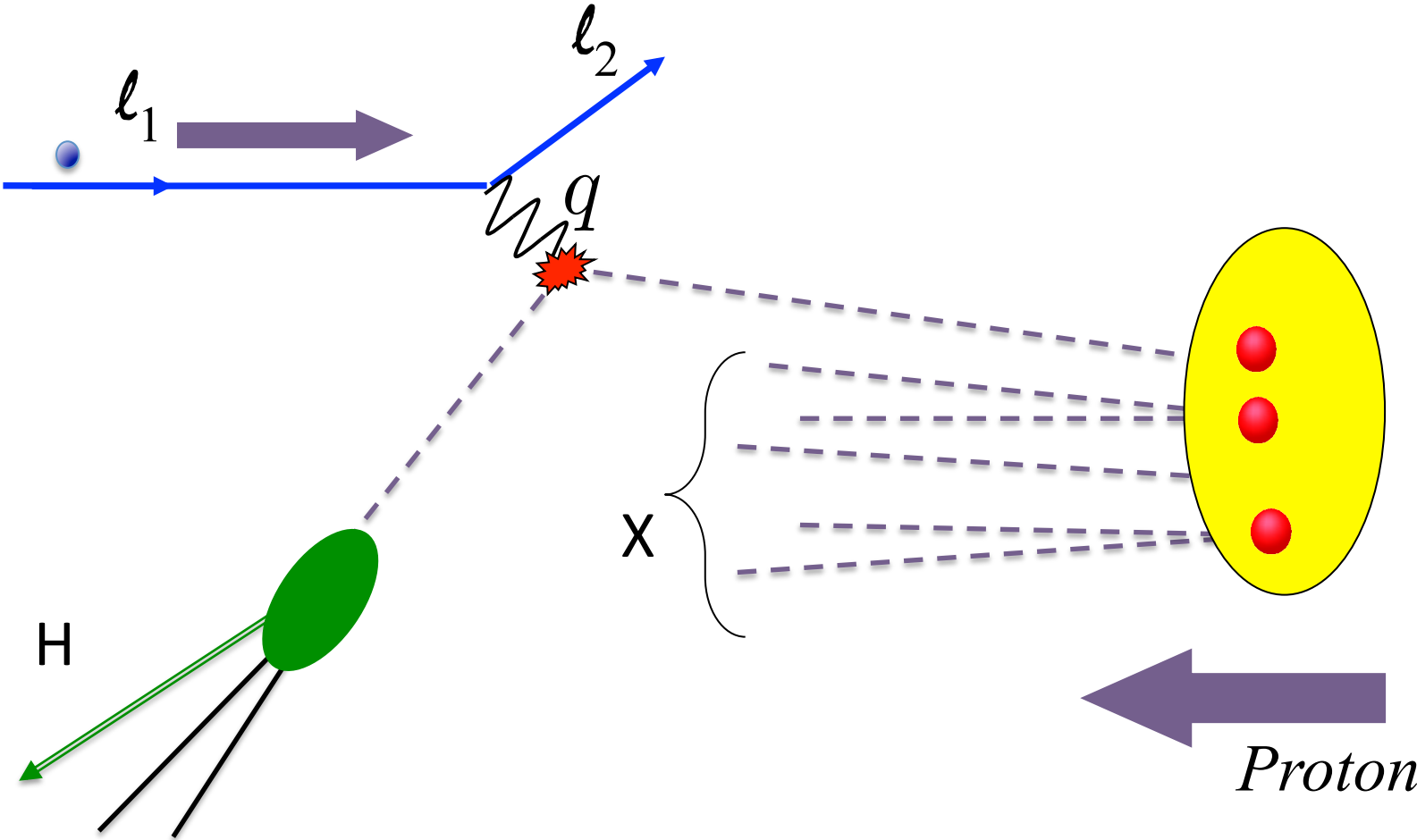
C. W. GARDINER AND D. P. MAJUMDAR

*Physics Department, Syracuse University, Syracuse, New York 13210*

(Received 24 June 1970)

***“The parton model for the inelastic lepton-nucleon scattering is generalized to include a realistic momentum distribution of the partons. In this formalism each parton is given a component of momentum... to take into account the effect of this orthogonal (transverse) momentum distribution of the partons.”***

# Example



# Correlation Function Taxonomy

<u>Proton</u> <u>Quark</u>	<u>Unpolarized</u>	<u>Longitudinally</u> <u>polarized</u>	<u>Transversely</u> <u>polarized</u>
<u>Unpolarized</u>	$f(x)$	✗	✗
<u>Longitudinally</u> <u>polarized</u>	✗	$g_{1L}(x)$	✗
<u>Transversely</u> <u>polarized</u>	✗	✗	$h_{1T}(x)$

# TMD Taxonomy

Zero in naive parton model by TP symmetry

<u>Proton Quark</u>	<u>Unpolarized</u>	<u>Longitudinally polarized</u>	<u>Transversely polarized</u>
<u>Unpolarized</u>	$f_1(x, k_T)$	×	$f_{1T}^\perp(x, k_T)$
<u>Longitudinally polarized</u>	×	$g_{1L}(x, k_T)$	$g_{1T}(x, k_T)$
<u>Transversely polarized</u>	$h_1^\perp(x, k_T)$	$h_{1L}(x, k_T)$	$h_{1T}(x, k_T)$ $h_{1T}^\perp(x, k_T)$

Sivers

Boer-Mulders

“Worm Gear”

“Pretzelosity”



# Why Study Transverse Momentum

- Intrinsic Transverse momentum
  - Hadron bound state properties in terms of quark and gluon properties
- Very high energies
  - Multiple large but widely separated scales

# TMD PDFs and Collinear PDFs

- Similarities
  - Correlation functions with universal (and np calculable) properties
  - Perturbatively calculable hard parts
  - Evolution
- Differences
  - $m/Q \rightarrow 0$ , fixed  $x, z$  limit,  $m/Q, P_T/Q \rightarrow 0$ , fixed  $x, z$  limit
  - Wilson lines and gauge invariance
  - Soft factors, etc
  - Regions of transverse momentum

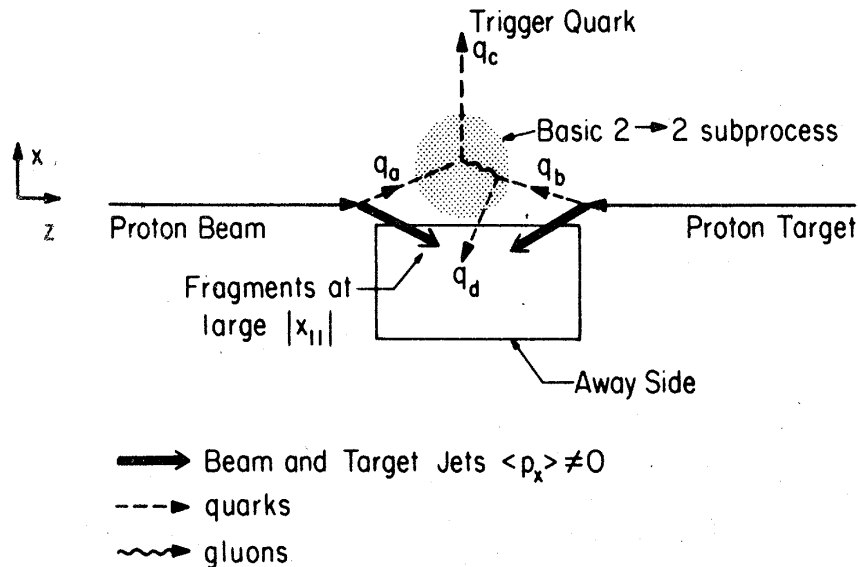
$$d\sigma_{\text{SIDIS}} = \sum_f \mathcal{H}_{f,\text{SIDIS}}(Q) \otimes F_{f/H_1}(x, k_{1T}, Q) \otimes D_{H_2/f}(z, k_{2T}, Q) + Y_{\text{SIDIS}}$$

$$d\sigma_{\text{DY}} = \sum_f \mathcal{H}_{f,\text{DY}}(Q) \otimes F_{f/H_1}(x_1, k_{1T}, Q) \otimes F_{\bar{f}/H_2}(x_2, k_{2T}, Q) + Y_{\text{Drell-Yan}}$$

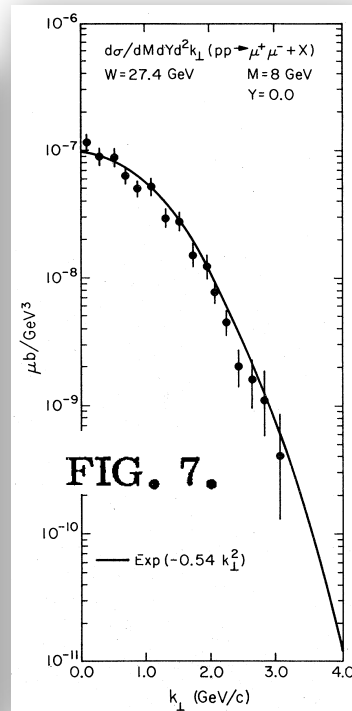
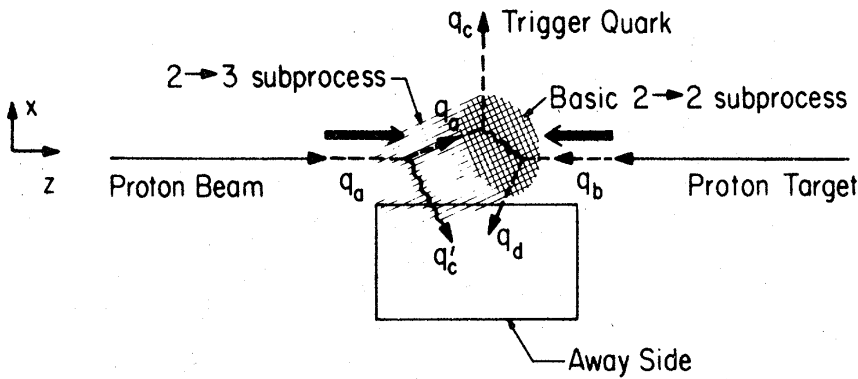
$$d\sigma_{e^+e^-} = \sum_f \mathcal{H}_{f,e^+e^-}(Q) \otimes D_{H_1/\bar{f}}(z_1, k_{1T}, Q) \otimes D_{H_2/f}(z_2, k_{2T}, Q) + Y_{e^+e^-}$$

# Intrinsic Transverse Momentum

(a) Type I:  $k_{\perp}$  Intrinsic to Wavefunction



(b) Type II: "Effective"  $k_{\perp}$  due to Bremstrahlung



Fermilab (1976)

*“There has been much speculation about how much of the dimuon  $k_T$  spectra shown in Fig.7 is due to the wave function (Type I) and how much is explained by QCD perturbation calculations (Type II).”*

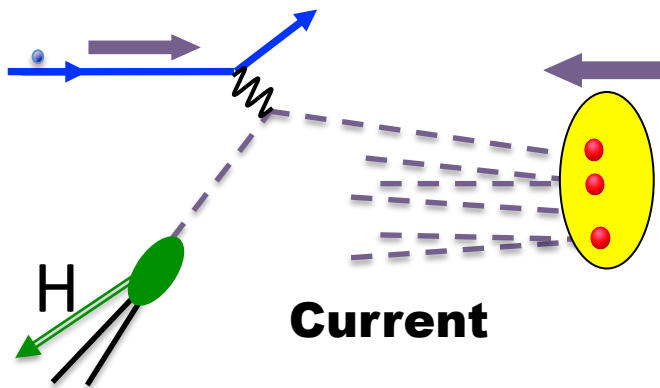
- R. Feynman, R. Field, G. Fox  
 Phys.Rev. D18 (1978) 3320

# Cartography of a process

- Example: Semi-inclusive deep inelastic scattering

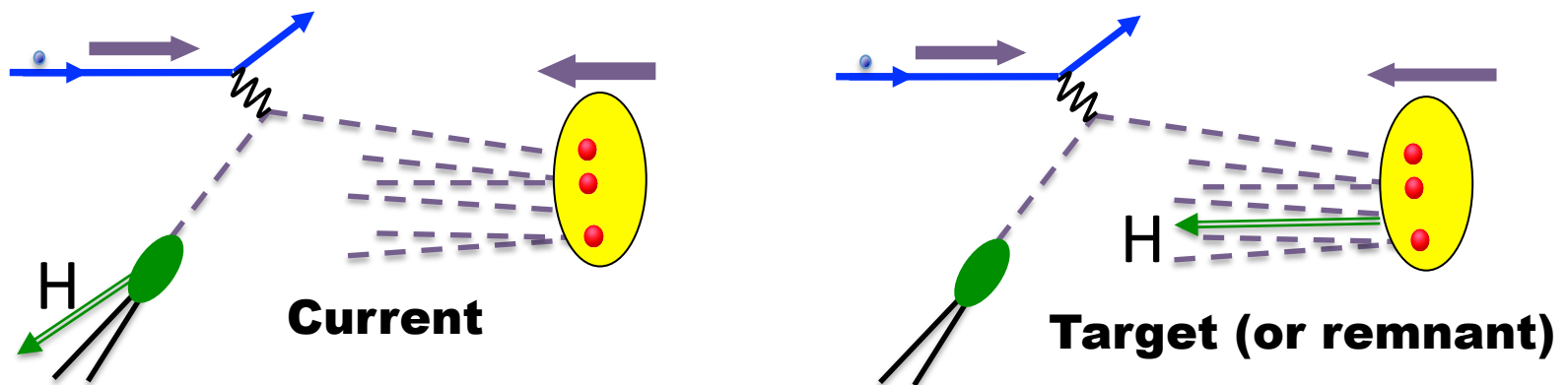
# Cartography of a process

- Example: Semi-inclusive deep inelastic scattering



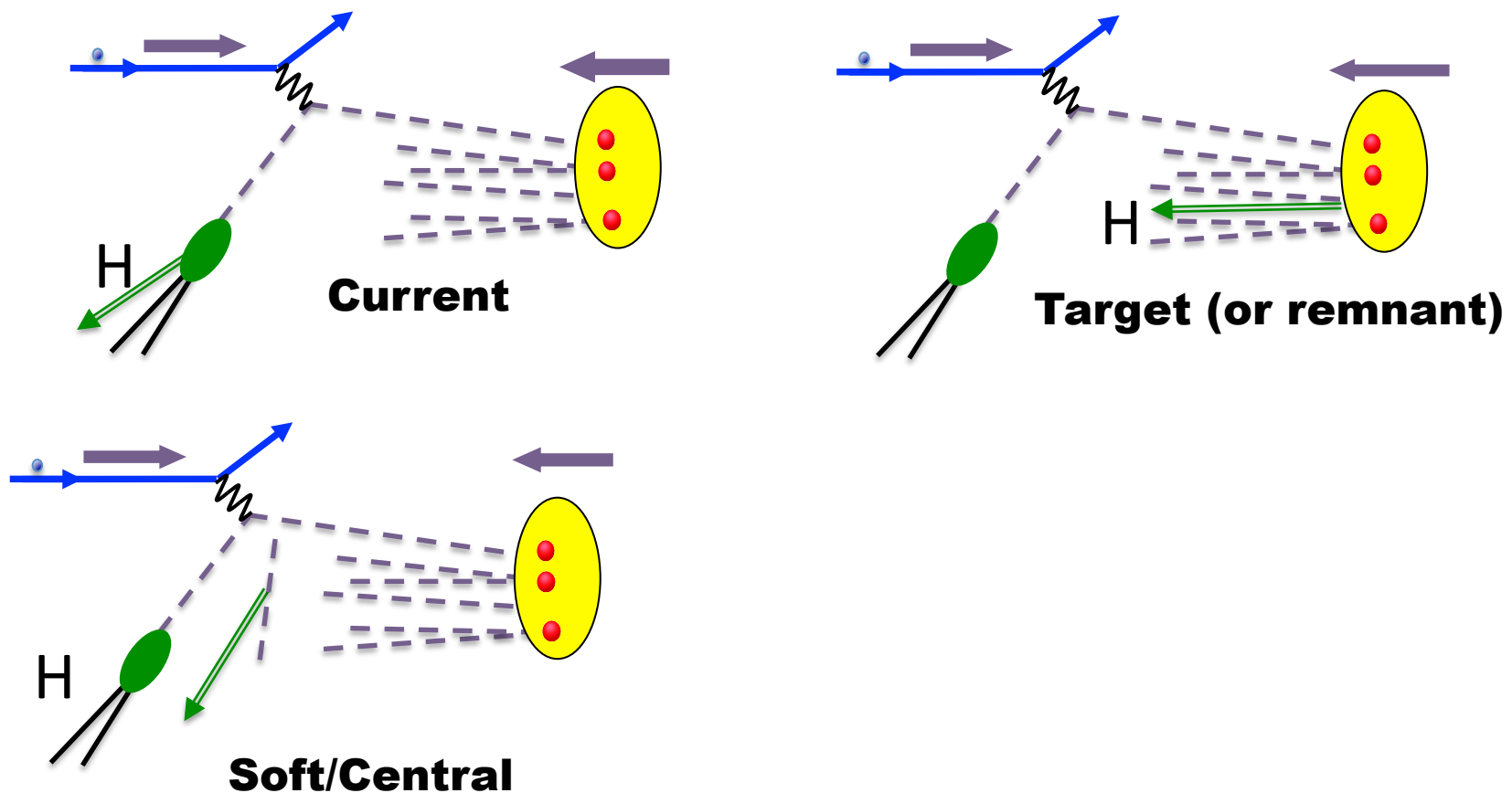
# Cartography of a process

- Example: Semi-inclusive deep inelastic scattering



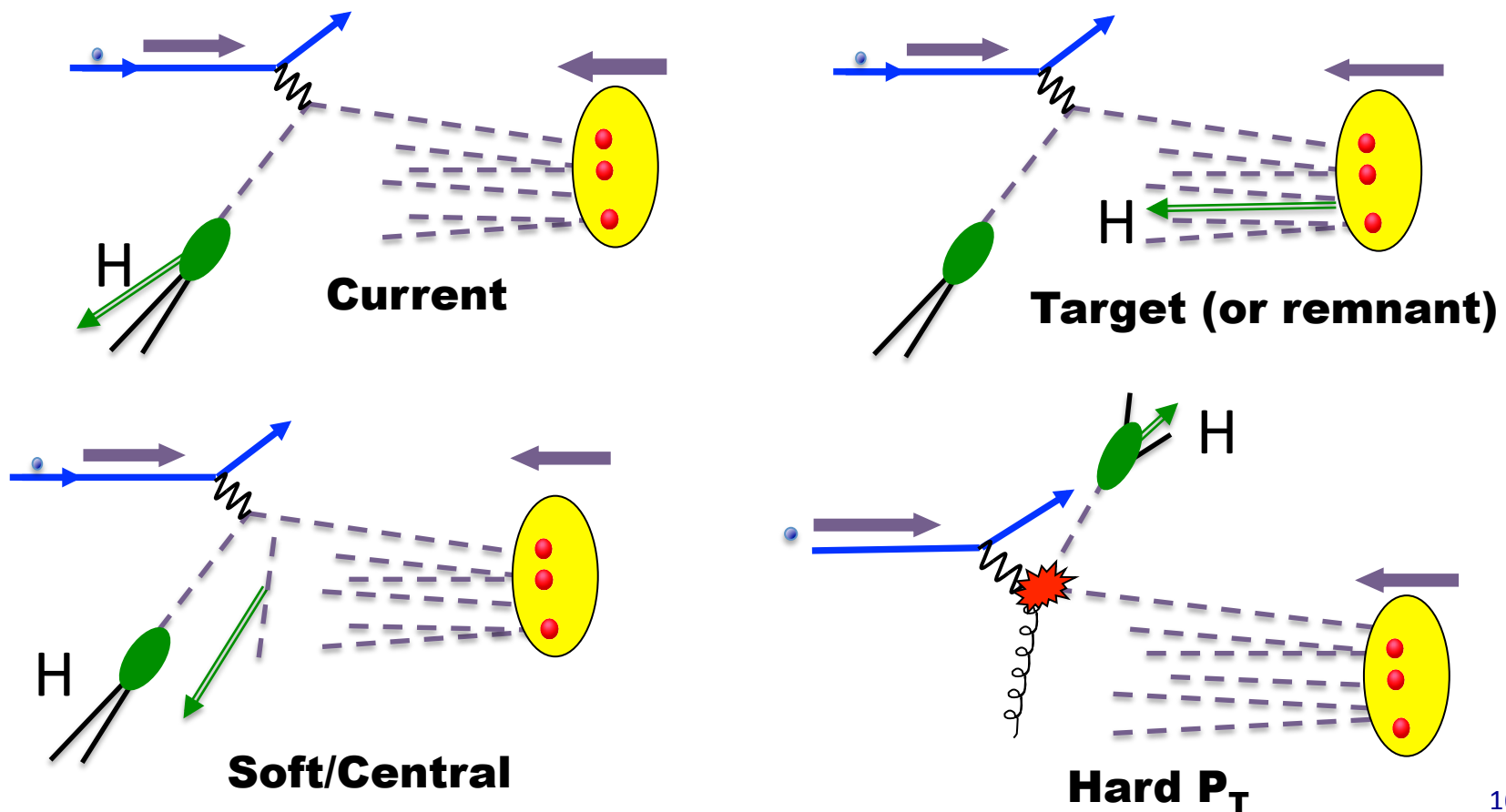
# Cartography of a process

- Example: Semi-inclusive deep inelastic scattering

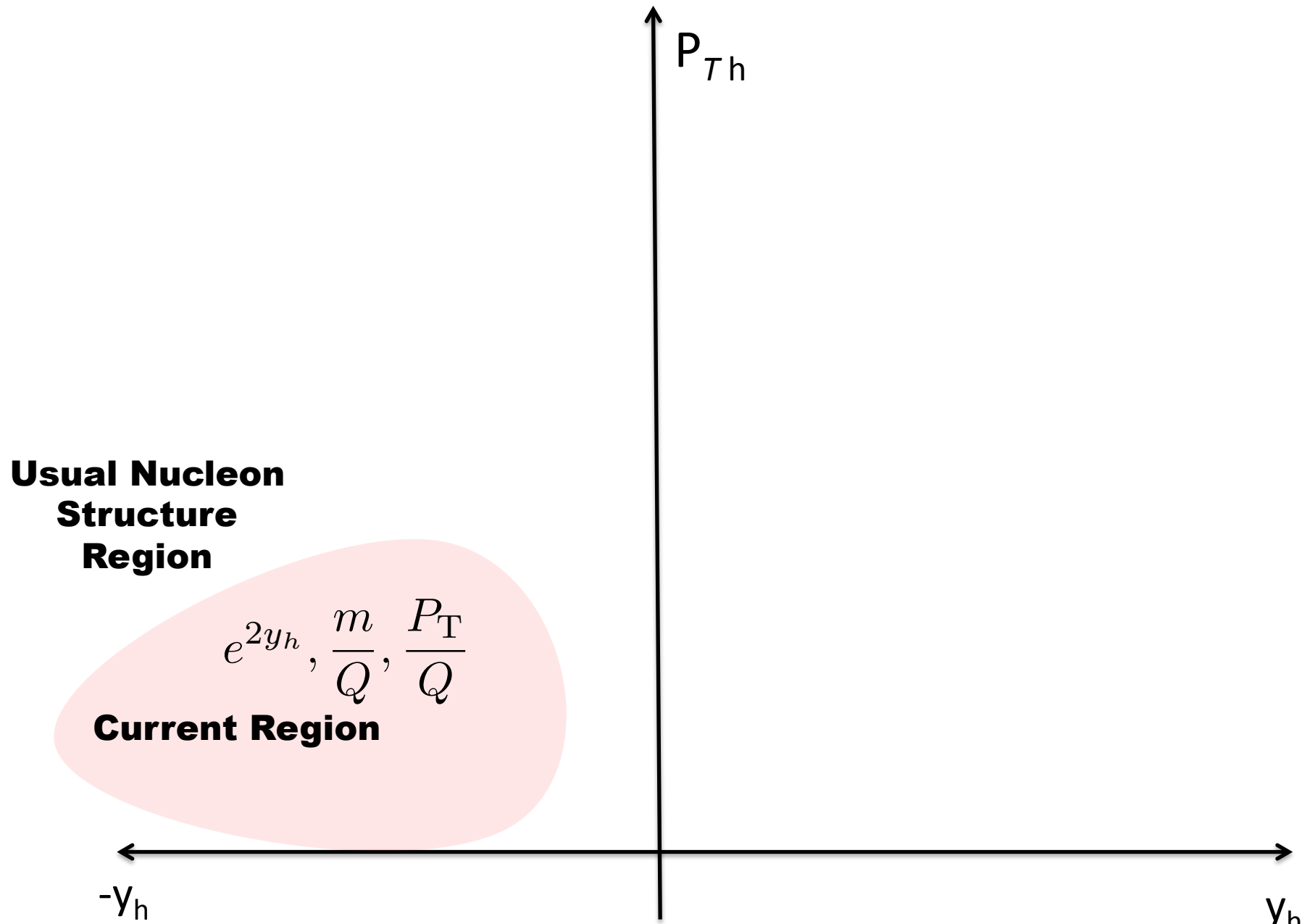


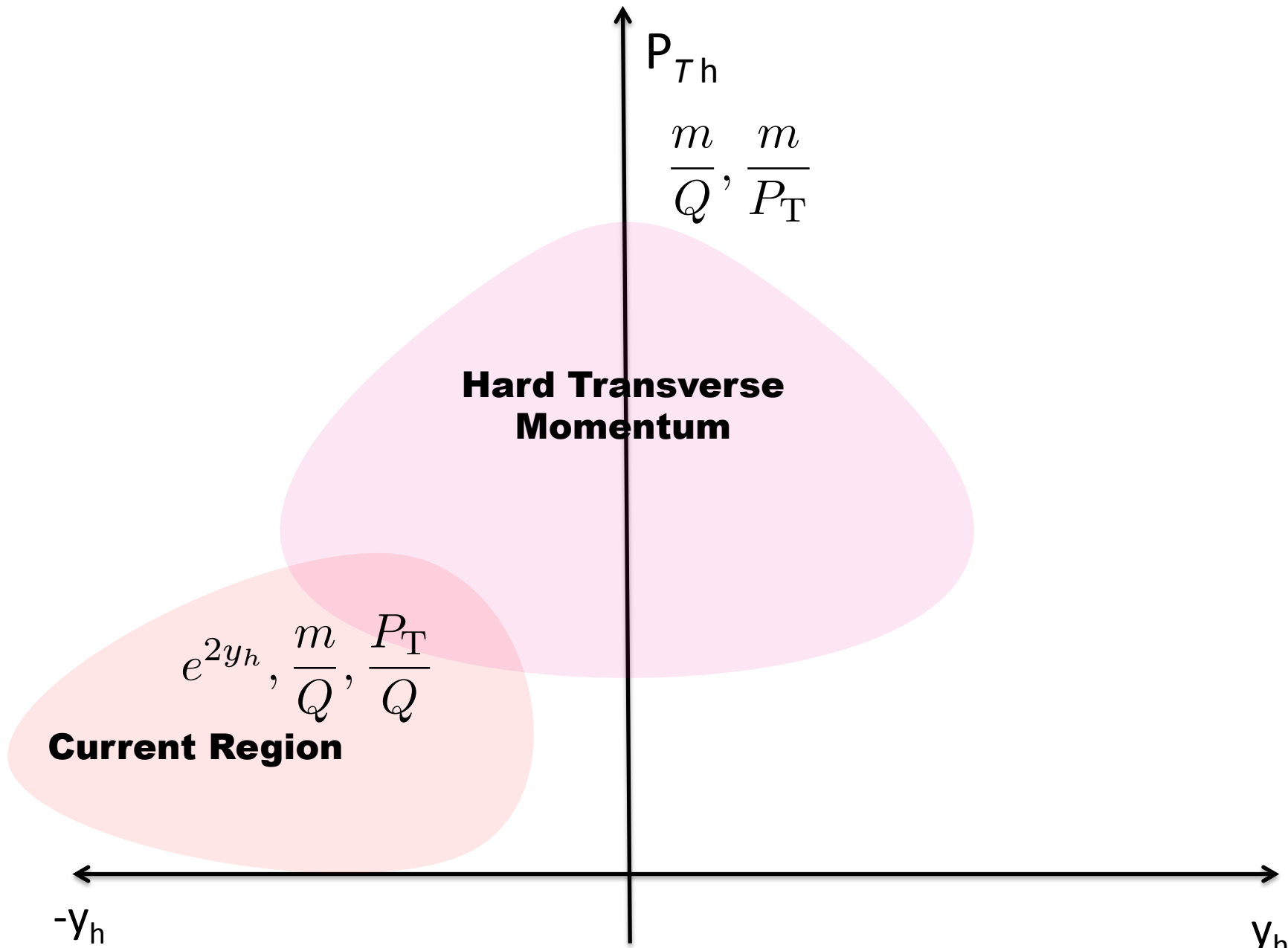
# Cartography of a process

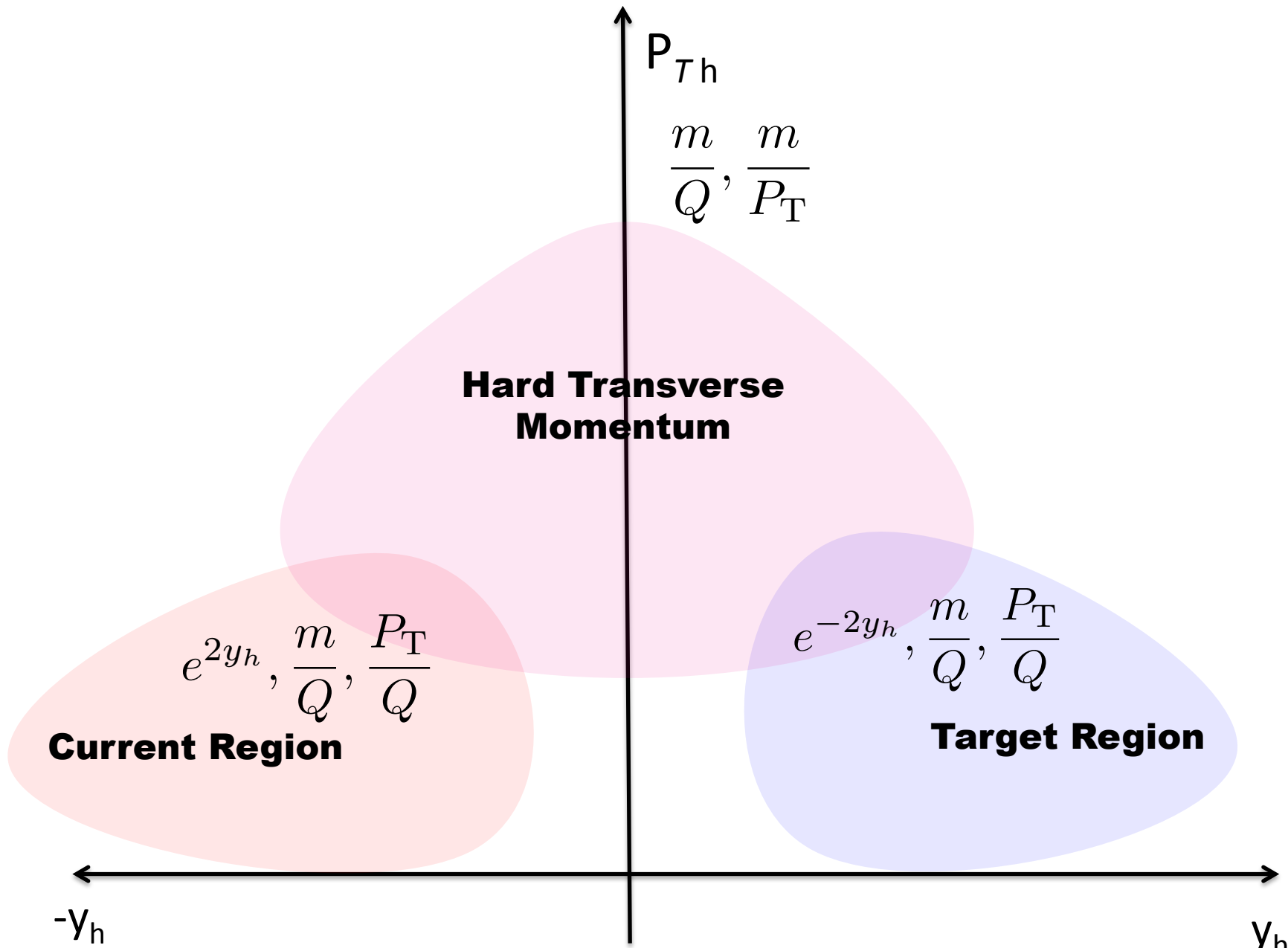
- Example: Semi-inclusive deep inelastic scattering

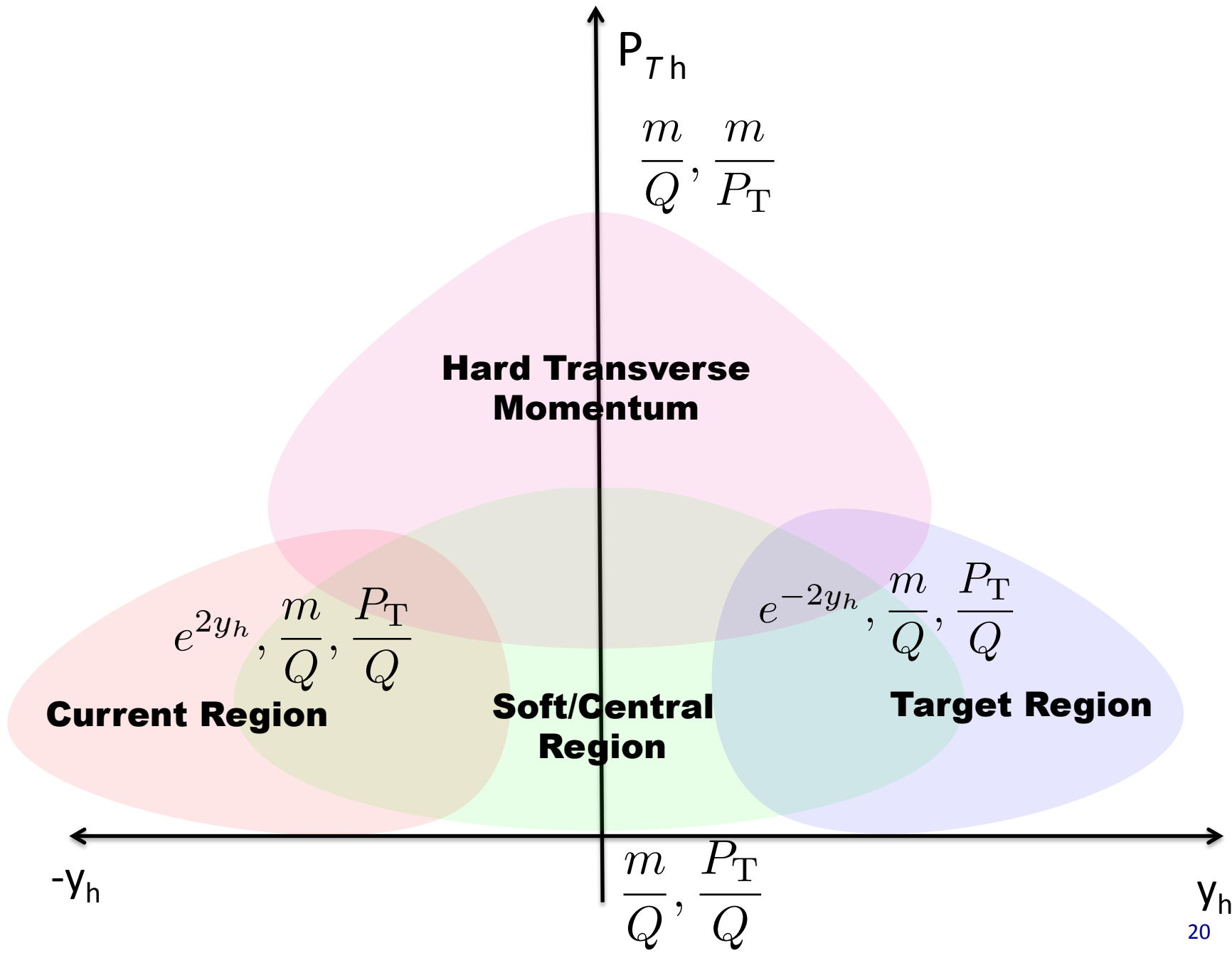


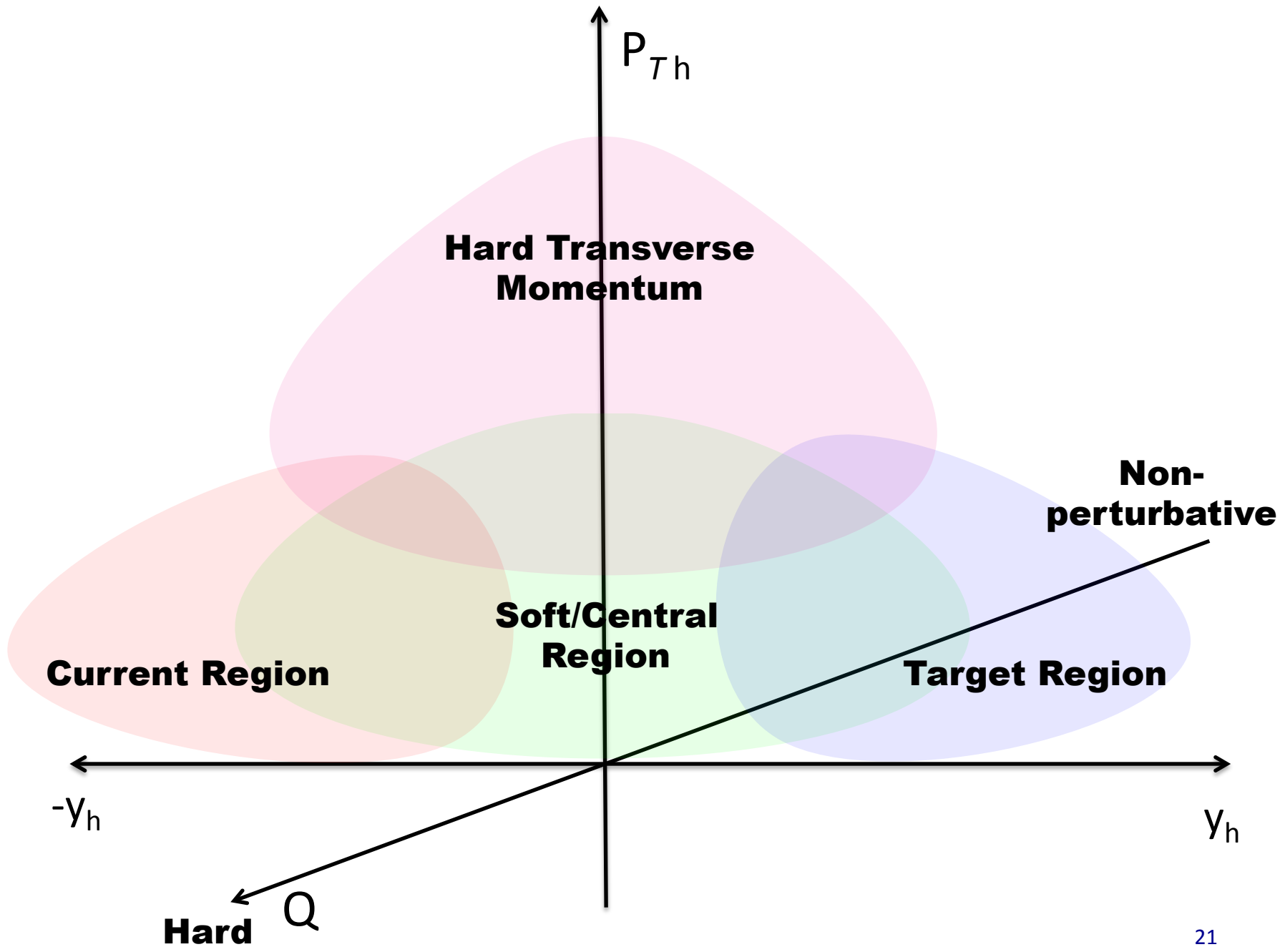




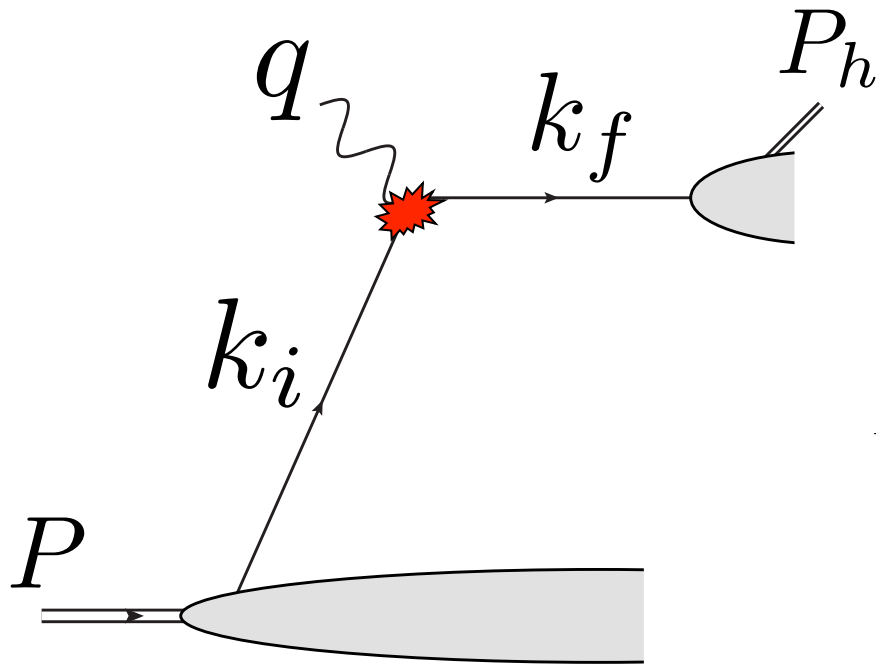








# Kinematics of Small $P_T$



$$k_i = \left( \frac{M_{iT}}{\sqrt{2}} e^{y_i}, -\frac{M_{iT}}{\sqrt{2}} e^{-y_i}, \mathbf{k}_T \right)$$

$$k_f = \left( \frac{M_{fT}}{\sqrt{2}} e^{y_f}, \frac{M_{fT}}{\sqrt{2}} e^{-y_f}, \mathbf{k}_T \right)$$

$$P = \left( P^+, \frac{M_p^2}{2P^+}, \mathbf{0}_T \right) = \left( \frac{Q}{x_n \sqrt{2}}, \frac{x_n M_p^2}{Q \sqrt{2}}, \mathbf{0}_T \right),$$

$$q = \left( -x_n P^+, \frac{Q^2}{2x_n P^+}, \mathbf{0}_T \right) = \left( -\frac{Q}{\sqrt{2}}, \frac{Q}{\sqrt{2}}, \mathbf{0}_T \right),$$

$$P_h = \left( \frac{M_{hT}}{\sqrt{2}} e^{y_h}, \frac{M_{hT}}{\sqrt{2}} e^{-y_h}, \mathbf{P}_{hT} \right),$$

# Quantify proximity to collinear regions

$$R(y_h, z_h, x_{bj}, Q) \equiv \frac{P_h \cdot k_f}{P_h \cdot k_i}, \quad \frac{m}{Q} \rightarrow 0 \equiv e^{2y_h}$$

Effect of target, final state masses?

# Quantify proximity to collinear regions

$$R(y_h, z_h, x_{bj}, Q) \equiv \frac{P_h \cdot k_f}{P_h \cdot k_i}, \quad \frac{m}{Q} \rightarrow 0 \quad \equiv \quad e^{2y_h}$$

Effect of target, final state masses?

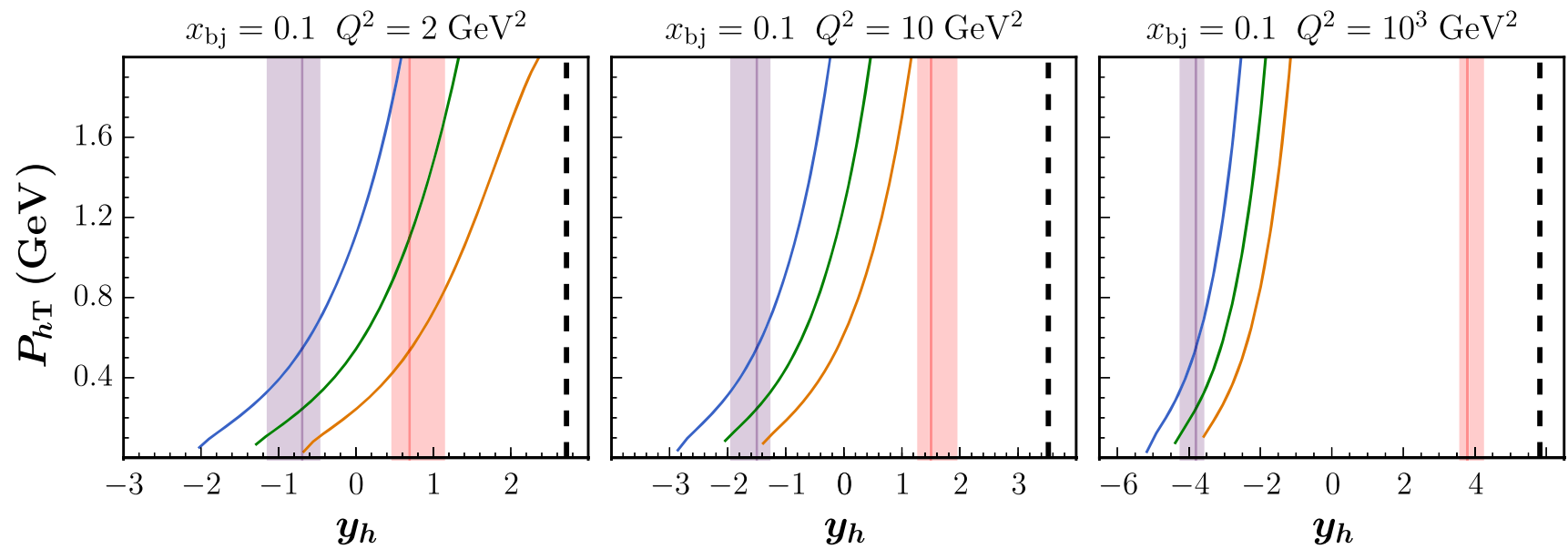
- Need estimates of non-perturbative scales:

$$y_i = \ln \frac{Q}{M_{i,T}}; \quad y_f = -\ln \frac{Q}{M_{f,T}}$$

$$M_{i,T} \approx M_{f,T} \approx 0.5 \pm 0.3 \text{ GeV}$$

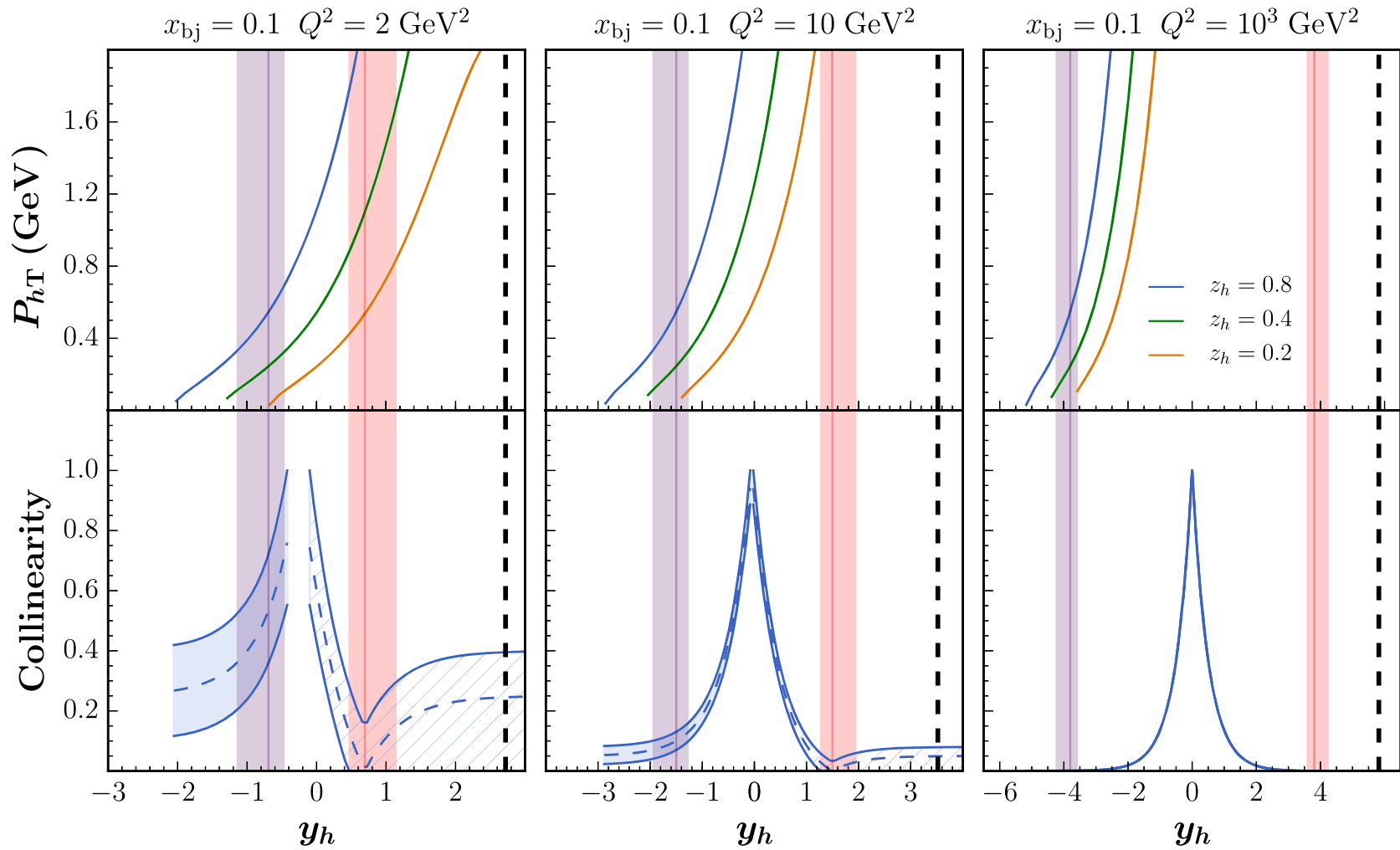


# Rapidity Regions



- $z_h = 0.8$
- $z_h = 0.4$
- $z_h = 0.2$

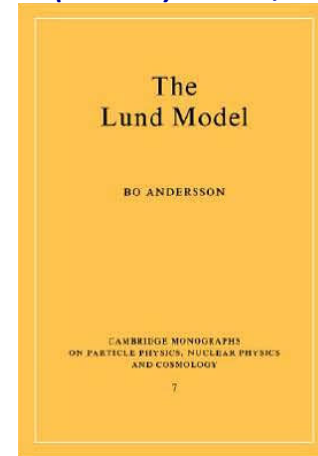
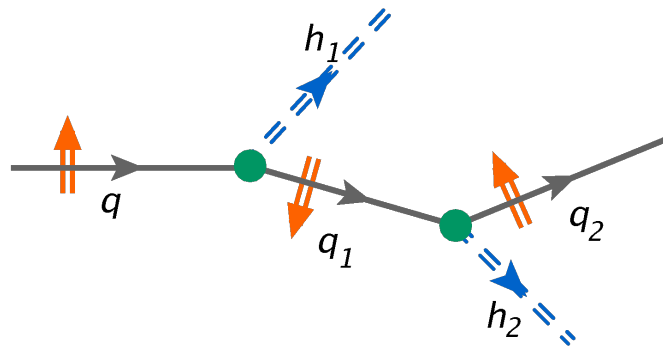
# Rapidity Regions



# Hadronization

- Example: Spin in a MC event generator

*(Matevosyan, Kotzinian, Thomas, Phys.Rev. D95 (2017) no.1, 014021)*



Bo Andersson,  
2005

*(Bentz, Matevosyan, Kotzinian, Ninomiya, Thomas, Yazaki, Phys.Rev. D94 (2016) no.3, 034004 )*

*(Ito, Bentz, Cloët, Thomas, Yazaki, Phys.Rev. D80 (2009))*

*(A. Kerbizi, X. Artru, Z. Belghobsi, F. Bradamante, A. Martin, E. Redouane Salah, arXiv:1701.08543)*

- More dynamics
- Interface with factorization theory?

*(J. Collins, TCR: In preparation)*

# TMD Factorization and Evolution

- Many results exist, but in different languages
  - Resummation in collinear factorization
  - CSS
  - SCET
  - Sudakov Factors
- Results can appear different on the surface
- Map old style to new
  - Is there convergence toward a standardized set of definitions?
  - Bring all results together in TMD-style language
- Nonperturbative parts?

# Older Language: Examples

- CSS1 - Multiple redefinitions of factors (starting from TMD definitions) No explicit hard part.  
*(Collins, Soper, Sterman (1981-1985))*
  - Match to collinear for  $\Lambda_{\text{QCD}} \ll q_T \ll Q$  and  $q_T \approx Q$ .
- Catani, de Florian, Grazzini et al.  
*(Catani, de Florian, Grazzini (2001))*
  - Resummation scheme dependence; no uniquely defined hard part.

# Newer (TMD) methods: Examples

- Improved TMD functions: Eg:
  - Definitions (e.g., CSS2) (*J. Collins textbook, (2011)*)
  - SCET-based approaches
    - Main differences from CSS2: Implementation of regulators.
    - At least two are equivalent to CSS2  
 (*Echevarria, Idilbi, Scimemi (2012); Collins, TCR (2013) )*  
 (*Li, Neill, Zhu, (2016); Collins, TCR (2017) App. B )*)
  - Structurally matches TMD phenomenology
  - Well-oriented for NP hadron structure studies (e.g. lattice QCD)
  - Hard parts are fixed by factorization of operator structures.

$$H_f \int d^2\mathbf{k}_T F_{f/p}(x, \mathbf{k}_T - \mathbf{q}_T) D_{h/f}(z, z\mathbf{k}_T)$$

$$\frac{\text{Cross Section}}{\int d^2\mathbf{k}_T F_{f/p}(x, \mathbf{k}_T - \mathbf{q}_T) D_{h/f}(z, z\mathbf{k}_T)} = H_f$$

# New (i.e., TMD-based) methods

- TMD parton model structure + evolution equations.

Ex: CSS2

$$\frac{d\sigma}{dQ^2 dy dq_T^2} = \frac{4\pi^2 \alpha^2}{9Q^2 s} \sum_j \underline{H_{j\bar{j}}^{\text{DY}}(Q, \mu_Q, a_s(\mu_Q))} \int \frac{d^2 \mathbf{b}_T}{(2\pi)^2} e^{i\mathbf{q}_T \cdot \mathbf{b}_T} \underline{\tilde{f}_{j/A}(x_A, b_T; Q^2, \mu_Q)} \underline{\tilde{f}_{\bar{j}/B}(x_B, b_T; Q^2, \mu_Q)}$$

+ suppressed corrections,

$$\frac{\partial \ln \tilde{f}(x, b_T; \mu, \zeta)}{\partial \ln \sqrt{\zeta}} = \tilde{K}(b_T; \mu) \quad \tilde{f}_{j/H}(x, b_T; \zeta; \mu) = \sum_l \int_{x^-}^{1^+} \frac{d\xi}{\xi} \tilde{C}_{j/k}^{\text{PDF}}(x/\xi, b_T; \zeta, \mu, a_s(\mu)) f_{k/H}(\xi; \mu) + O[(mb_T)^p]$$

$$\frac{d\tilde{K}(b_T; \mu)}{d \ln \mu} = -\gamma_K(a_s(\mu))$$

$$\frac{d \ln \tilde{f}(x, b_T; \mu, \zeta)}{d \ln \mu} = \gamma_j(a_s(\mu)) - \frac{1}{2} \gamma_K(a_s(\mu)) \ln \frac{\zeta}{\mu^2}$$

$$\mu_Q \equiv C_2 Q$$

$$\mu_b \equiv C_1 / b_T$$

$$\mu_{b_*} \equiv C_1 / b_*$$

# Translation to new TMD methods

(J. Collins, TCR (2017) )

$$A_{\text{CSS1}}(a_s(\mu_{b_*}); C_1) = -\frac{d\tilde{K}(b_*; \mu_{b_*})}{d \ln b_*^2} + \frac{1}{2}\gamma_K(a_s(\mu_{b_*})) = -\frac{\partial\tilde{K}(b_*; \mu)}{\partial \ln b_*^2} \Big|_{\mu \mapsto \mu_{b_*}}$$

$$B_{\text{CSS1, DY}}(a_s(\mu_Q); C_1, C_2) = -\tilde{K}(C_1/\mu_Q; \mu_Q) - \frac{\partial \ln H_{j\bar{j}}^{\text{DY}}(Q, \mu_Q, a_s(\mu_Q))}{\partial \ln Q^2}$$

$$g_K^{\text{CSS1}}(b_T; b_{\text{max}}) = g_K(b_T; b_{\text{max}}) \quad \gamma_{\text{PDF}} = \gamma_{\text{FF}}$$

$$\begin{aligned} |e_j| \tilde{C}_{j/k}^{\text{CSS1, DY}} \left( \frac{x}{\xi}, b_*; \mu_{b_*}^2, \mu_{b_*}, C_2, a_s(\mu_{b_*}) \right) \\ = \tilde{C}_{j/k}^{\text{PDF}} \left( \frac{x}{\xi}, b_*; \mu_{b_*}^2, \mu_{b_*}, a_s(\mu_{b_*}) \right) \sqrt{H_{j\bar{j}}^{\text{DY}}(\mu_{b_*}/C_2, \mu_{b_*}, a_s(\mu_{b_*}))} \exp \left[ -\tilde{K}(b_*; \mu_{b_*}) \ln C_2 \right] \end{aligned}$$

$$g_{j/H}^{\text{CSS1}}(x, b_T; b_{\text{max}}) = g_{j/H}(x, b_T; b_{\text{max}})$$



# Combining Results in TMD Factorization, order $\approx \alpha_s^3$ (Drell-Yan)

Sudakov Form Factor: (Moch, Vermaseren (2005),  
Vogt, Gehrmann et al (2014))

$\alpha_s^2$  Wilson Coefficients from Collinear  
Factorization: (Catani et al, (2012)),  
and SCET (Echevarria, Scimemi, Vladimirov (2016))

$$\begin{aligned} \frac{d\sigma}{dQ^2 dy dq_T^2} = & \frac{4\pi^2 \alpha^2}{9Q^2 s} \sum_{j,j_A,j_B} \underline{H_{j\bar{j}}^{\text{DY}}(Q, \mu_Q, a_s(\mu_Q))} \int \frac{d^2 \mathbf{b}_T}{(2\pi)^2} e^{i\mathbf{q}_T \cdot \mathbf{b}_T} \\ & \times e^{-\underline{g_{j/A}(x_A, b_T; b_{\max})}} \int_{x_A}^1 \frac{d\xi_A}{\xi_A} \underline{f_{j_A/A}(\xi_A; \mu_{b_*})} \underline{\tilde{C}_{j/j_A}^{\text{PDF}}\left(\frac{x_A}{\xi_A}, b_*; \mu_{b_*}^2, \mu_{b_*}, a_s(\mu_{b_*})\right)} \\ & \times e^{-\underline{g_{j/B}(x_B, b_T; b_{\max})}} \int_{x_B}^1 \frac{d\xi_B}{\xi_B} \underline{f_{j_B/B}(\xi_B; \mu_{b_*})} \underline{\tilde{C}_{\bar{j}/j_B}^{\text{PDF}}\left(\frac{x_B}{\xi_B}, b_*; \mu_{b_*}^2, \mu_{b_*}, a_s(\mu_{b_*})\right)} \\ & \times \exp \left\{ \underline{-g_K(b_T; b_{\max})} \ln \frac{Q^2}{Q_0^2} + \underline{\tilde{K}(b_*; \mu_{b_*})} \ln \frac{Q^2}{\mu_{b_*}^2} + \int_{\mu_{b_*}}^{\mu_Q} \frac{d\mu'}{\mu'} \left[ \underline{2\gamma_j(a_s(\mu'))} - \ln \frac{Q^2}{(\mu')^2} \underline{\gamma_K(a_s(\mu'))} \right] \right\} \\ & + \text{suppressed corrections.} \end{aligned}$$

Ex: Konychev, Nadolsky (2006)  
ResBos extractions (and others)

Li, Zhu (2017)  
Vladimirov (2017)

From  
Sudakov Form Factor: (Moch, Vermaseren (2005),  
Vogt, Gehrmann et al (2014))

# Summary/Conclusions

- Unify Pictures
  - Large and small  $P_T$  (*Gamberg talk*)
  - Hadron masses, etc (*Accardi talk*)
- Theory of non-perturbative physics
  - Lattice (*Qiu talk*)
  - Fragmentation and Hadronization
  - Input for evolution

# Summary/Conclusions

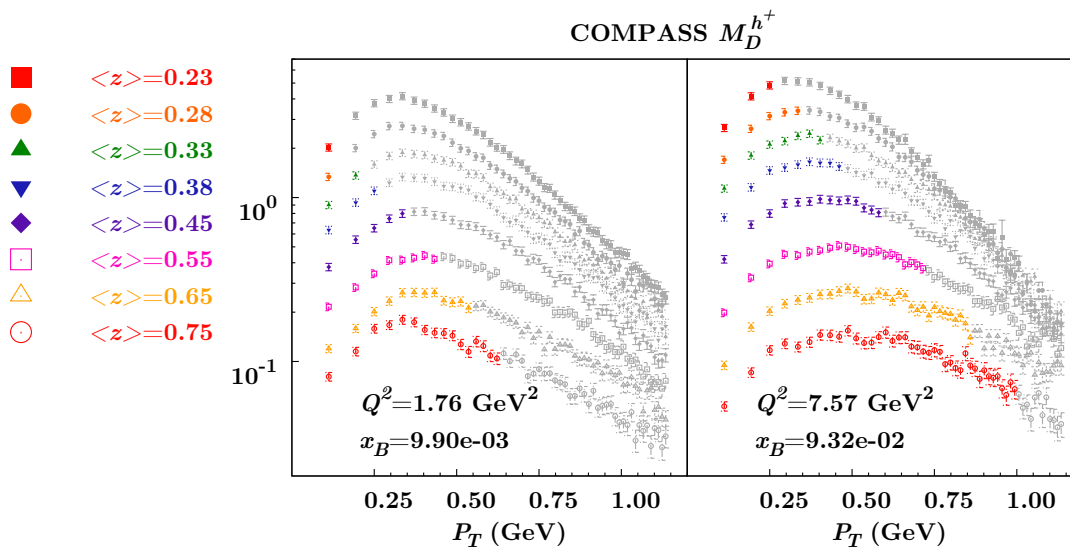
- Unify Pictures

**Thank you!**

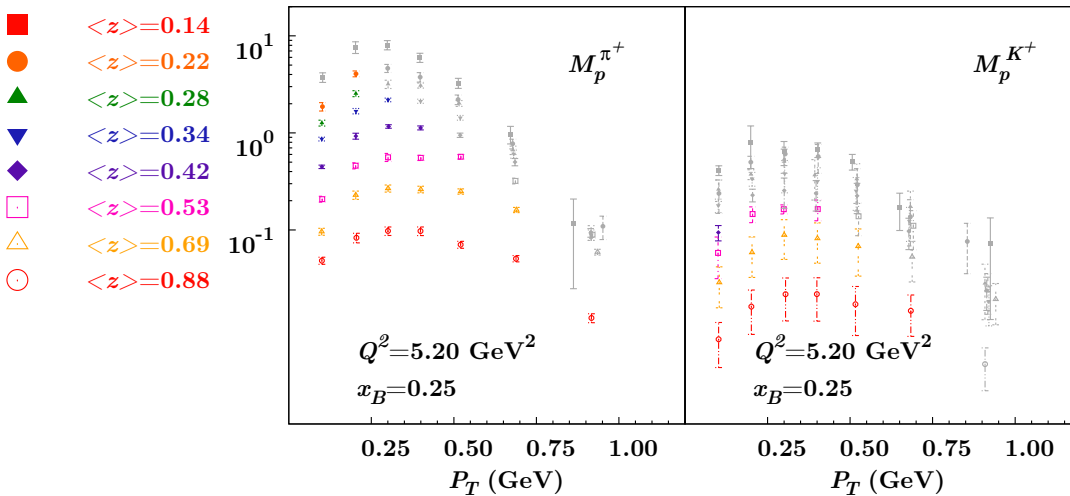
- Fragmentation and Hadronization
- Input for evolution

# Backup

# Effect of restricting data



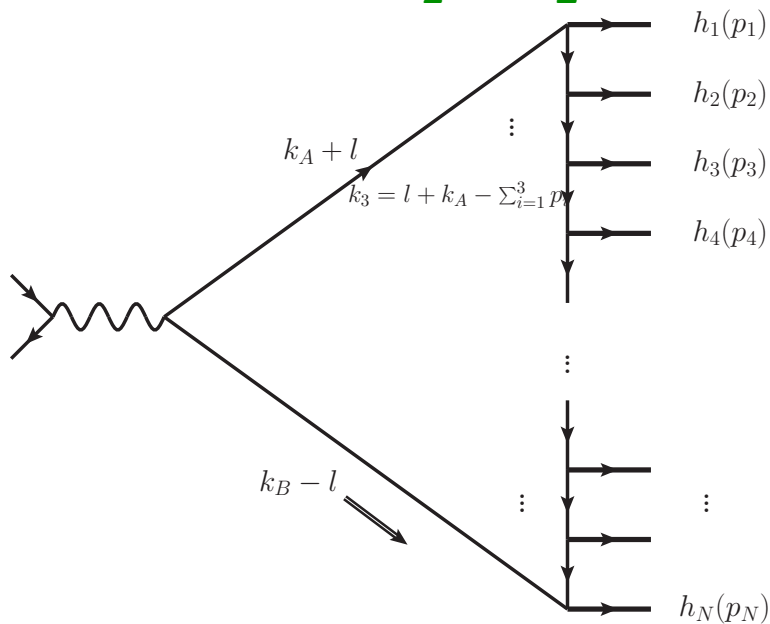
- Colored points:  $R < .25$
- Transition to gray needs large  $q_T$ , central, target...



# Hadronization

(J. Collins, TCR: In preparation)

$$e^+ + e^- \rightarrow h_1 + h_2 + X$$

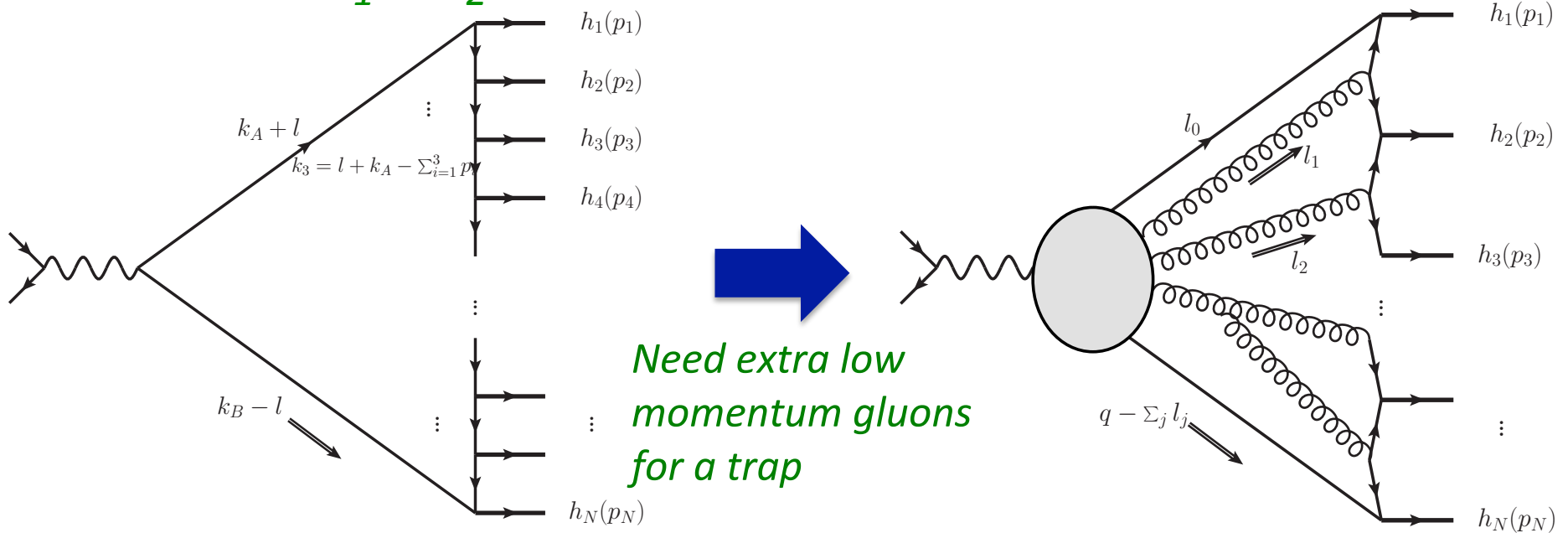


Where are the dominant momentum regions?

# Hadronization

(J. Collins, TCR: In preparation)

$$e^+ + e^- \rightarrow h_1 + h_2 + X$$



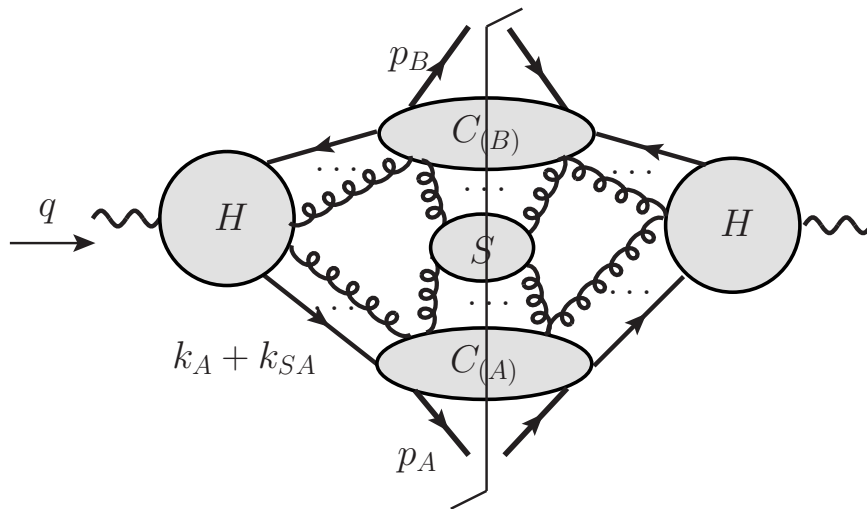
Need extra low momentum gluons for a trap

Where are the dominant momentum regions?

# Hadronization & Factorization Proofs

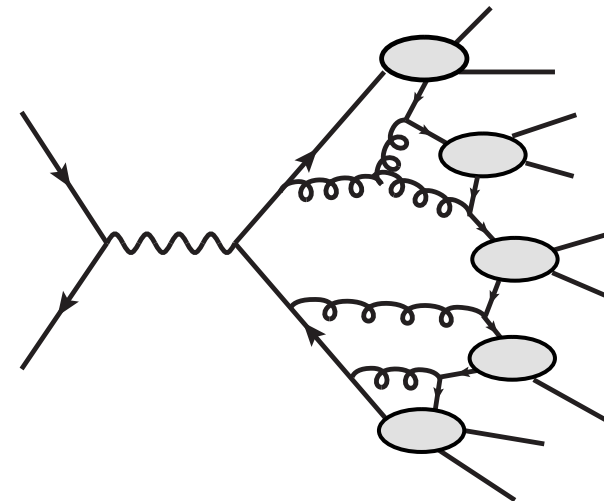
(J. Collins, PoS QCDEV2016, 003 (2017), arXiv:1610.09994)

## Factorization Derivation Diagrams



*Blobs widely separated  
in rapidity*

## Hadronization Models



*Blobs close  
in rapidity*



# Drell-Yan

CSS1

$$\begin{aligned}
 \frac{d\sigma}{dQ^2 dy dq_T^2} &= \frac{4\pi^2 \alpha^2}{9Q^2 s} \sum_{j,j_A,j_B} e_j^2 \int \frac{d^2 \mathbf{b}_T}{(2\pi)^2} e^{i\mathbf{q}_T \cdot \mathbf{b}_T} \\
 &\times \int_{x_A}^1 \frac{d\xi_A}{\xi_A} \underline{f_{j_A/A}(\xi_A; \mu_{b_*})} \underline{\tilde{C}_{j/j_A}^{\text{CSS1, DY}}\left(\frac{x_A}{\xi_A}, b_*, \mu_{b_*}^2, \mu_{b_*}, C_2, a_s(\mu_{b_*})\right)} \\
 &\times \int_{x_B}^1 \frac{d\xi_B}{\xi_B} \underline{f_{j_B/B}(\xi_B; \mu_{b_*})} \underline{\tilde{C}_{\bar{j}/j_B}^{\text{CSS1, DY}}\left(\frac{x_B}{\xi_B}, b_*, \mu_{b_*}^2, \mu_{b_*}, C_2, a_s(\mu_{b_*})\right)} \\
 &\times \exp \left\{ - \int_{\mu_{b_*}^2}^{\mu_Q^2} \frac{d\mu'^2}{\mu'^2} \left[ \underline{A_{\text{CSS1}}(a_s(\mu'); C_1)} \ln \left( \frac{\mu_Q^2}{\mu'^2} \right) + \underline{B_{\text{CSS1, DY}}(a_s(\mu'); C_1, C_2)} \right] \right\} \\
 &\times \exp \left[ \underline{-g_{j/A}^{\text{CSS1}}(x_A, b_T; b_{\text{max}}) - g_{\bar{j}/B}^{\text{CSS1}}(x_B, b_T; b_{\text{max}}) - g_K^{\text{CSS1}}(b_T; b_{\text{max}})} \ln(Q^2/Q_0^2) \right] \\
 &+ \text{suppressed corrections.}
 \end{aligned}$$

$$\begin{aligned}
 \mu_Q &\equiv C_2 Q \\
 \mu_b &\equiv C_1/b_T \\
 \mu_{b_*} &\equiv C_1/b_*
 \end{aligned}$$

*No explicit hard part here*

# Old Schemes and New Schemes

- Questions:
  - CSS1 involves “A” and “B” functions not explicit in CSS2.
  - Non-perturbative parts in CSS1 and in TMD functions?  
Where do they go?
  - Anomalous dimension of PDFs vs. FFs?
  - Higher order calculations in, for example, old resummation, SCET, etc... how to utilize in, for example, CSS2?

# Fast translation to new TMD methods

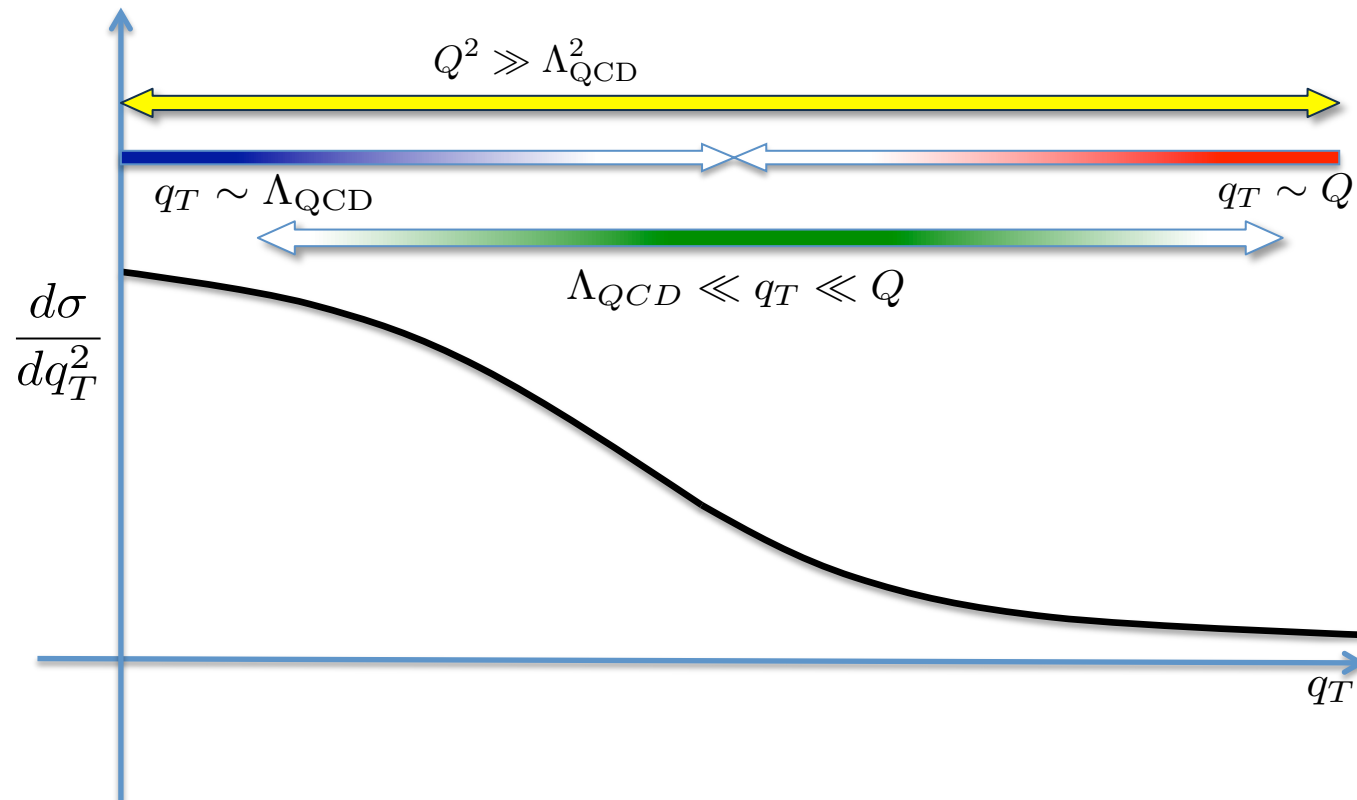
- CSS1 and CSS2 drop same subleading powers:

$$\left. \frac{d\sigma}{dQ^2 dy dq_T^2} \right|_{\text{DY}}^{\text{CSS1}} = \left. \frac{d\sigma}{dQ^2 dy dq_T^2} \right|_{\text{DY}}^{\text{CSS2}} ; \quad \left. \frac{d\sigma}{dQ^2 dy dq_T^2} \right|_{\text{SIDIS}}^{\text{CSS1}} = \left. \frac{d\sigma}{dQ^2 dy dq_T^2} \right|_{\text{SIDIS}}^{\text{CSS2}}$$

- Derivatives given by evolution equations.  
(anomalous dimensions)
- $b_{\text{max}}$  independence.
- Charge conjugation invariance.

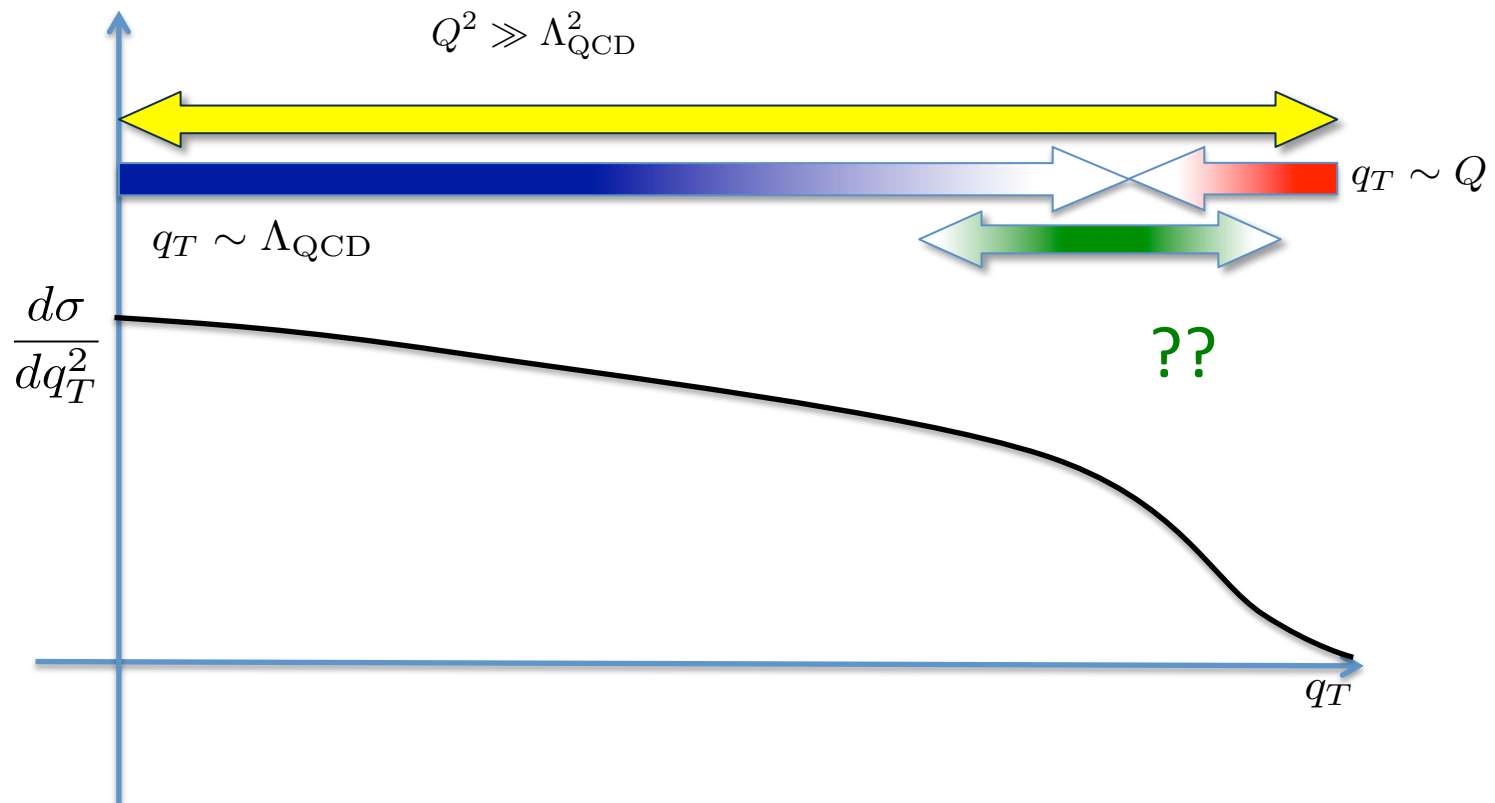
# Very Large Transverse Momentum

- Merging large and small transverse momenta



# Very Large Transverse Momentum

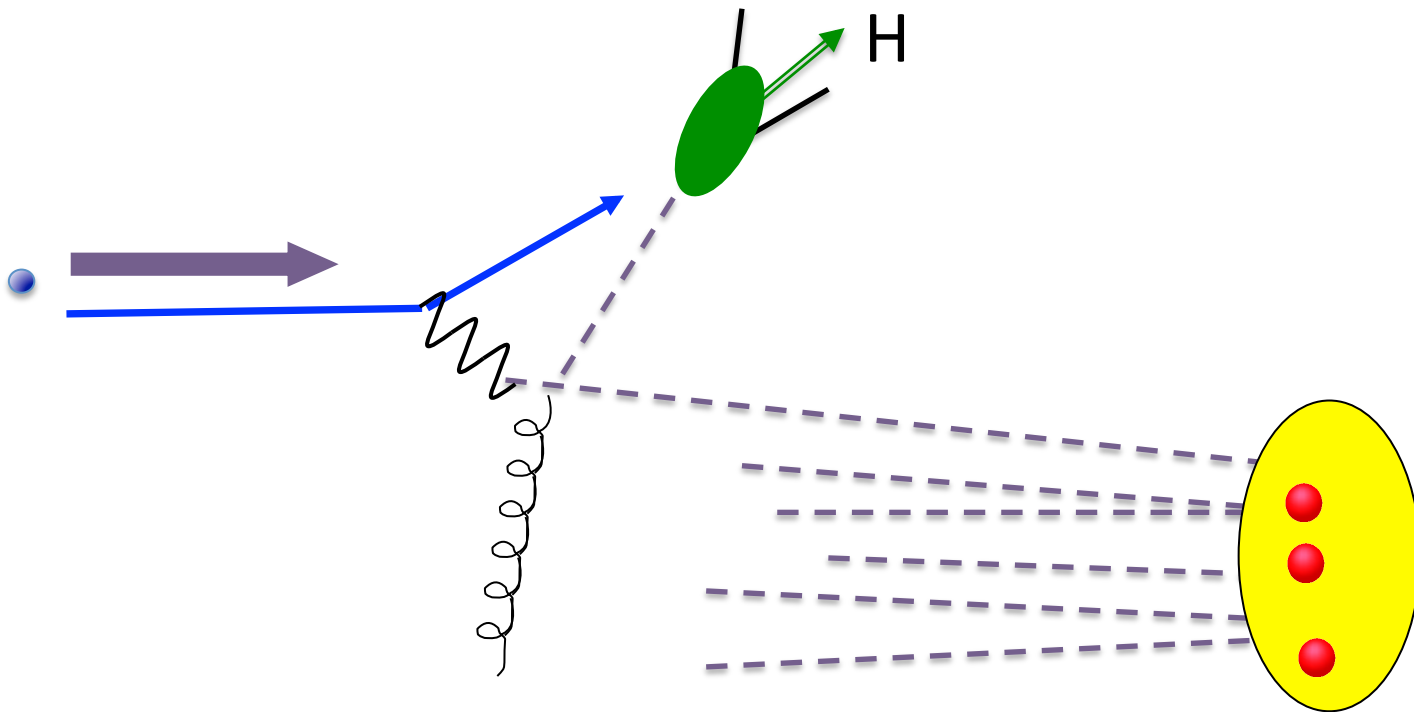
- Merging large and small transverse momenta



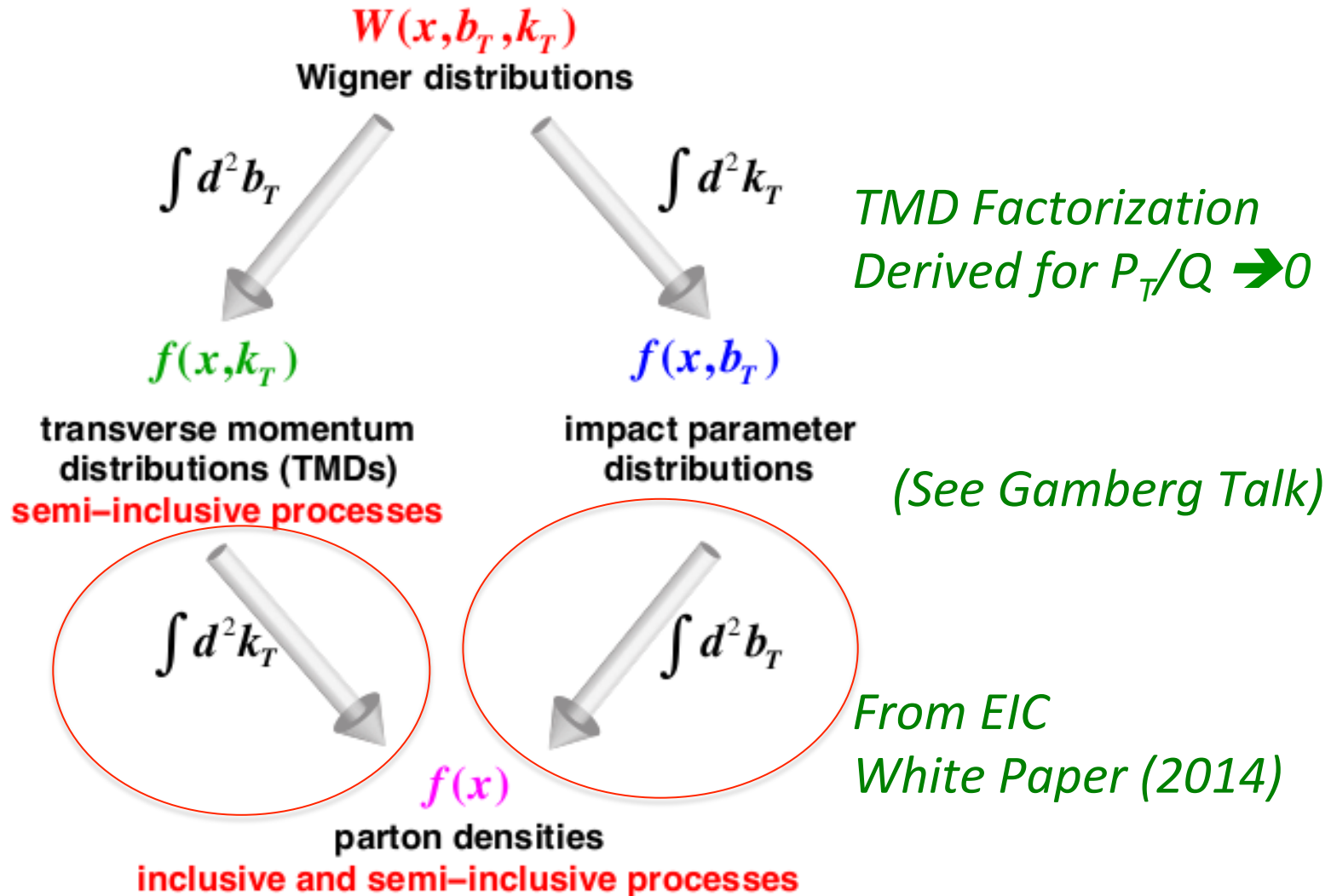
(See Gamberg Talk)

# Very Large Transverse Momentum

- Merging large and small transverse momenta

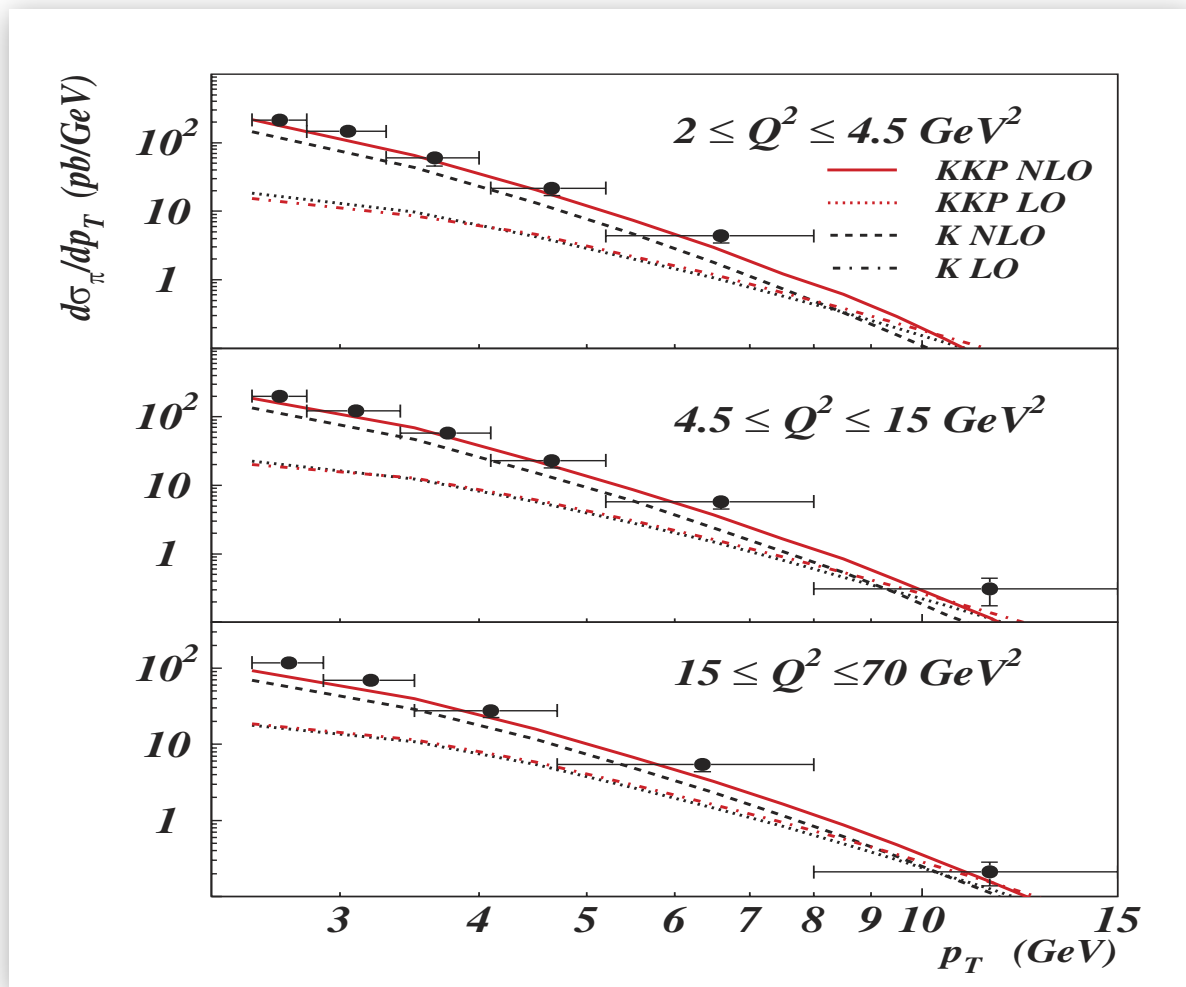


# Very Large Transverse Momentum



# Need to address

- Large transverse momentum.



Daleo, de Florian, Sassot (2005)  
Phys.Rev. D71 (2005) 034013

Data: H1 (2004)  
Eur.Phys.J.C36:441-452,2004