

B-physics anomalies: SM vs NP

Sébastien Descotes-Genon

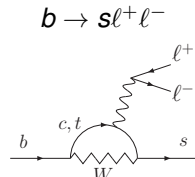
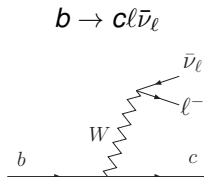
Laboratoire de Physique Théorique
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Rencontres de Physique de la Vallée d'Aoste,
March 8th 2017, La Thuile



B-physics anomalies

Two current processes with anomalies



SM
Spin 0
Spin 1
Observables
with
Tensions

tree (charged) ($V - A$)

$$\bar{B} \rightarrow D \ell \bar{\nu}_\ell$$

$$\bar{B} \rightarrow D^* \ell \bar{\nu}_\ell$$

Total Br

$$\ell = \tau, \mu, e$$

$$R_{D^{(*)}} = \frac{Br(B \rightarrow D^{(*)} \tau \nu)}{Br(B \rightarrow D^{(*)} \ell \bar{\nu}_\ell)}$$

loop (neutral)

$$B \rightarrow K \ell \ell$$

$$B \rightarrow K^* \ell \ell, B_s \rightarrow \phi \ell \ell$$

$d\Gamma/dq^2$ + Angular obs

$$\ell = \mu, e$$

$$R_K = \frac{Br(B \rightarrow K \mu \mu)}{Br(B \rightarrow K e e)}$$

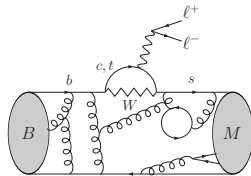
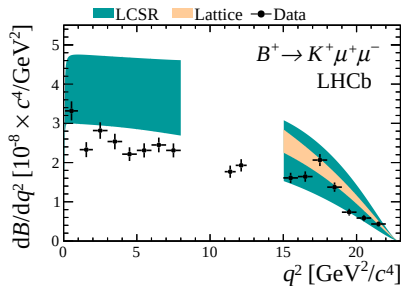
$Br(K, K^*, \phi + \mu \mu)$
angular obs (e.g., P'_5)

Two transitions exhibiting interesting patterns of **deviations from SM**
hinting at **Lepton Flavour Universality Violation**

Here, focus on $b \rightarrow s \ell \ell$

Anomalies in $B \rightarrow K\ell\ell$

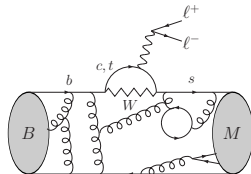
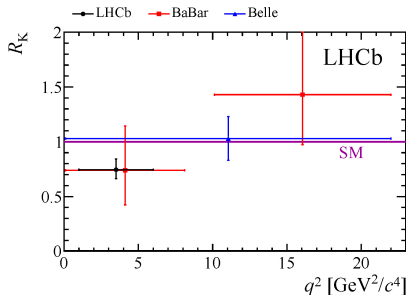
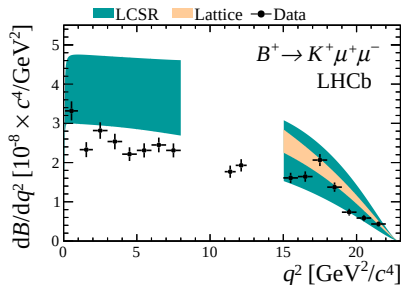
(see also talk by S. Reichert)



- q^2 invariant mass of $\ell\ell$ pair
- $Br(B \rightarrow K\mu\mu)$ too low compared to SM

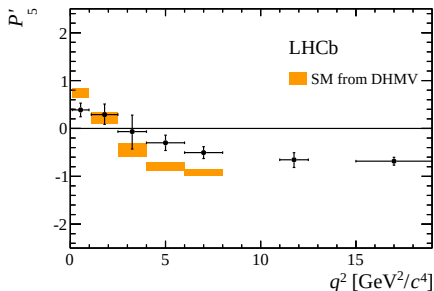
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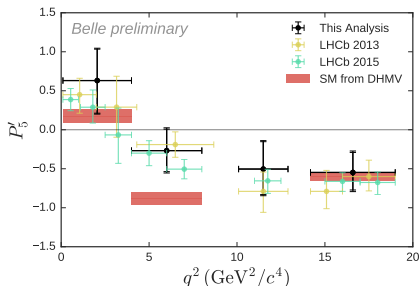
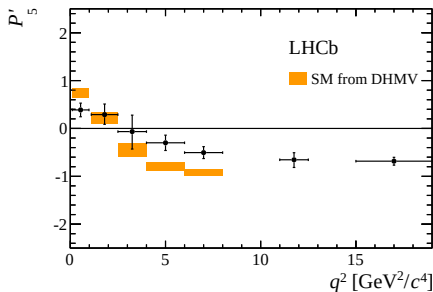
- q^2 invariant mass of $\ell\ell$ pair
- $Br(B \rightarrow K\mu\mu)$ too low compared to SM
- $R_K = \frac{Br(B \rightarrow K\mu\mu)}{Br(B \rightarrow K\ell\ell)} \Big|_{[1,6]} = 0.745^{+0.090}_{-0.074} \pm 0.036$
- equals to 1 in SM (universality of lepton coupling), 2.6σ dev
- NP coupling $\neq$ to μ and e

Anomalies in $B \rightarrow K^* \mu \mu$ (see also talk by K. De Bruyn)



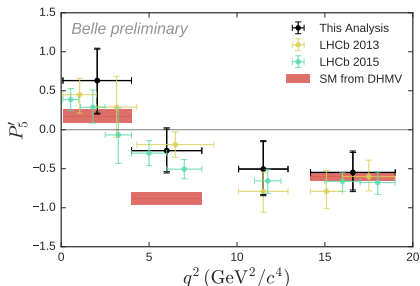
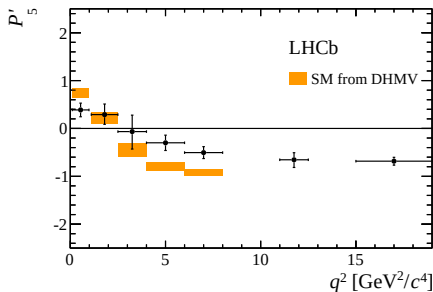
- Optimised observables P_i with **reduced hadronic uncertainties**
[Matias, Krüger, Becirevic, Schneider, Mescia, Virto, SDG, Ramon, Hurth; Hiller, Bobeth, Van Dyk...]
- Measured at LHCb with 1 fb⁻¹ (2013) and 3 fb⁻¹ (2015)
- Discrepancies for some (but not all) observables,
in particular two bins for P'_5 deviating from SM by **2.8 σ** and **3.0 σ**

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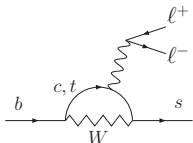
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- ... confirmed by Belle in 2016
- Deviations in $BR(B \rightarrow K^* \mu \mu)$ and $BR(B_s \rightarrow \phi \mu \mu)$

A global framework

$b \rightarrow s\mu\mu$ effective hamiltonian

$$b \rightarrow s\gamma^{(*)} : \mathcal{H}_{\Delta F=1}^{SM} \propto \sum V_{ts}^* V_{tb} \mathcal{C}_i \mathcal{O}_i + \dots$$

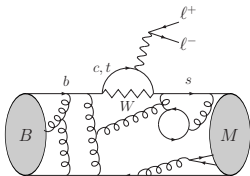
to separate short and long distances ($\mu_b = m_b$)



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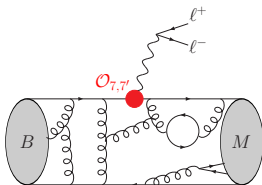
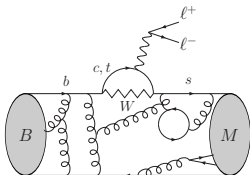


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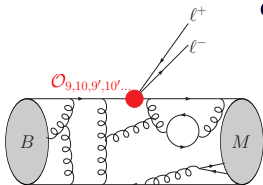
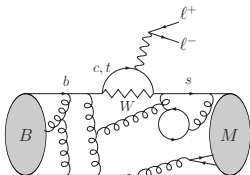


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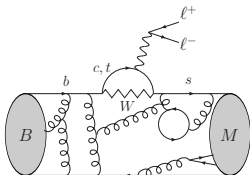
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- $\mathcal{O}_{10} = \frac{e^2}{g^2} \bar{s} \gamma_\mu (1 - \gamma_5) b \bar{\ell} \gamma^\mu \gamma_5 \ell$ [$b \rightarrow s\mu\mu$ via Z]



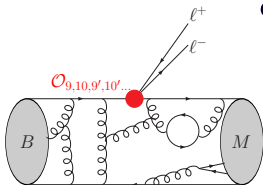
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$$\mathcal{C}_7^{SM} = -0.29, \mathcal{C}_9^{SM} = 4.1, \mathcal{C}_{10}^{SM} = -4.3$$

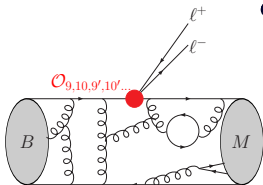
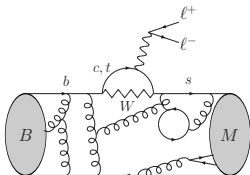
$A = \mathcal{C}_i$ (short dist) $\times$ Hadronic qties (long dist)

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NP changes short-distance $\mathcal{C}_i$ for SM or involve additional operators $\mathcal{O}_i$

- Chirally flipped ($W \rightarrow W_R$) $\mathcal{O}_7 \rightarrow \mathcal{O}_{7'} \propto \bar{s} \sigma^{\mu\nu} (1 - \gamma_5) F_{\mu\nu} b$
- (Pseudo)scalar ($W \rightarrow H^+$) $\mathcal{O}_9, \mathcal{O}_{10} \rightarrow \mathcal{O}_S \propto \bar{s} (1 + \gamma_5) b \bar{\ell} \ell, \mathcal{O}_P$
- Tensor operators ($\gamma \rightarrow T$) $\mathcal{O}_9 \rightarrow \mathcal{O}_T \propto \bar{s} \sigma_{\mu\nu} (1 - \gamma_5) b \bar{\ell} \sigma_{\mu\nu} \ell$

Global analysis of $b \rightarrow s\mu\mu$ anomalies

[SDG, Hofer, Matias, Virto]

96 observables in total (LHCb for exclusive, no CP-violating obs)

- $B \rightarrow K^* \mu\mu$ ($P_{1,2}, P'_{4,5,6,8}, F_L$ in 5 large-recoil bins + 1 low-recoil bin)
- $B_s \rightarrow \phi \mu\mu$ ($P_1, P'_{4,6}, F_L$ in 3 large-recoil bins + 1 low-recoil bin)
- $B^+ \rightarrow K^+ \mu\mu, B^0 \rightarrow K^0 \mu\mu$ (BR)
- $B \rightarrow X_S \gamma, B \rightarrow X_S \mu\mu, B_s \rightarrow \mu\mu$ (BR), $B \rightarrow K^* \gamma$ (A_I and $S_{K^* \gamma}$)

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Various tools

- inclusive: OPE
- excl large-meson recoil: QCD fact, Soft-collinear effective theory
- excl low-meson recoil: Heavy quark eff th, Quark-hadron duality

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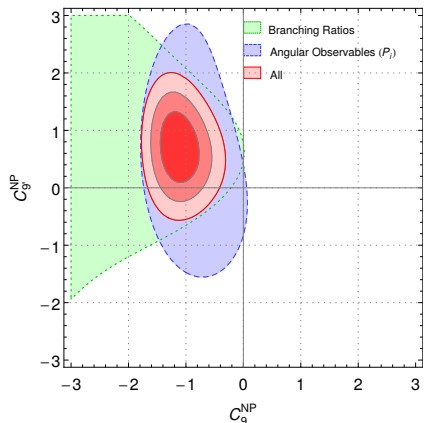
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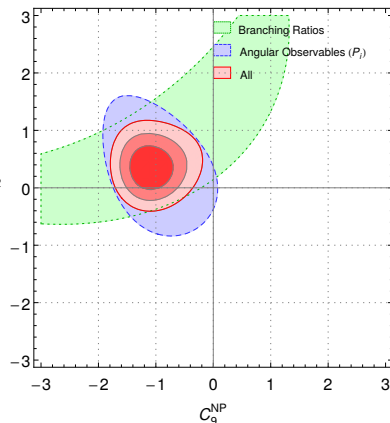
Frequentist analysis

- $C_i(\mu_{ref}) = C_i^{SM} + C_i^{NP}$, with C_i^{NP} assumed to be real (no CPV)
- Experimental correlation matrix provided
- Theoretical inputs (form factors...) with correlation matrix computed treating all theo errors as Gaussian random variables
- Hypotheses “NP in some C_i only” to be compared with SM

Some favoured scenarios



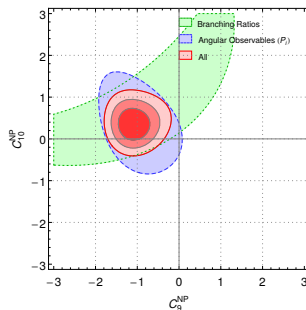
NP in $\mathcal{C}_9^{\text{NP}}, \mathcal{C}_9^{\text{NP}}$



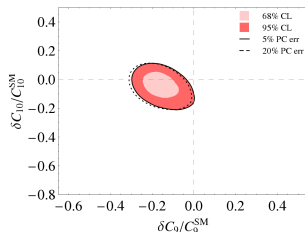
NP in $\mathcal{C}_9^{\text{NP}}, \mathcal{C}_{10}^{\text{NP}}$

- NP in $\mathcal{C}_9$ only: $p\text{-value}=48\%$ (11% for SM), $\text{pull}_{\text{SM}} = 4.2\sigma$
- BRs and angular obs both favour $\mathcal{C}_9^{\text{NP}} \simeq -1$ in all “good” scenarios
- Results in agreement with [\[Altmanshoffer, Straub\]](#) and [\[Hurth, Mahmoudi, Neshatpour\]](#)

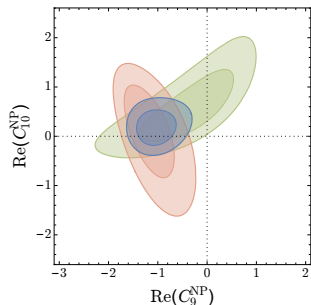
Consistency: Three different analyses



[SDG, Hofer, Matias, Virto]



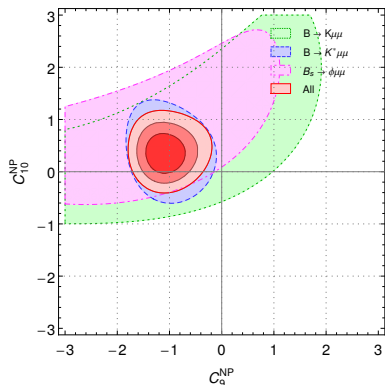
[Hurth, Mahmoudi, Neshatpour]



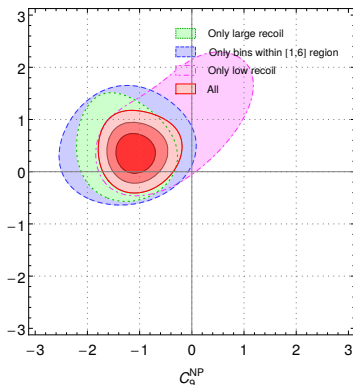
[Altmanshoffer, Straub]

- Different observables (LHCb only or averages, P_i or J_i)
- Different form factor inputs
- Different treatments of hadronic corrections
- Same pattern of NP scenarios favoured (here, C_9^{NP} , C_{10}^{NP})

Consistency: by channels, low versus large recoil



Split by decay channel



Split by q^2 region

- Different processes and different kinematic ranges involving different theoretical tools
- $B \rightarrow K^*\mu\mu$ tighter than $B_s \rightarrow \phi\mu\mu$, tighter than $B \rightarrow K\mu\mu$
- Large recoil driving the discussion, but [1,6] bins already providing bulk of the effect, and low-recoil also in favour of $C_9^{\text{NP}} < 0$

[Horgan et al., Bouchard et al., Altmannshofer and Straub]

$$C_9^{\text{NP}} = C_9^{\text{New Physics}} \text{ or } C_9^{\text{Non Perturbative}} ?$$

Anomalies can be a sign from many things

- unlucky statistical fluctuations

Collect more data (more runs)

- underestimated syst in the experimental analysis

Cross-checks from different experiments (LHCb vs Belle)

- underestimated syst in the theoretical computation

Check and recheck the hypotheses

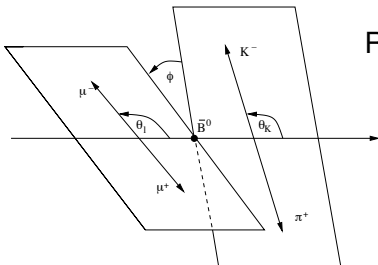
- something really new...

Add more observables, and interpret

Since **exclusive decays** play an important role in global fits
necessary to cross-checks SM computations !

Theoretical uncertainties for $B \rightarrow K^* \mu \mu$

Kinematics of $B \rightarrow K^*(\rightarrow K\pi)\mu\mu$

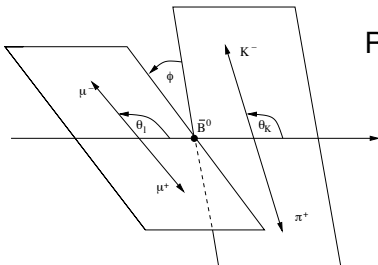


Rich kinematics

- differential decay rate in terms of 12 **angular coeffs** $J_i(q^2)$
with $q^2 = (p_{\ell^+} + p_{\ell^-})^2$
- interferences between 8 **transversity amplitudes** for $B \rightarrow K^*(\rightarrow K\pi)V^*(\rightarrow \ell\ell)$

[Ali, Hiller, Matias, Krüger, Mescia, SDG, Virto, Hofer, Bobeth, van Dyck, Buras, Altmanshoffer, Straub, Bharucha, Zwicky, Gratrex, Hopfer, Becirevic, Sumensari, Zukanovic-Funchal ...]

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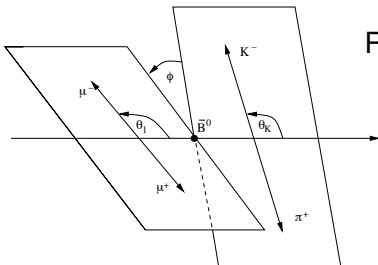
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- Transversity amplitudes in terms of Wilson coefficients
and 7 form factors $A_{0,1,2}$, V , $T_{1,2,3}$
- EFT relations between form factors in limit $m_B \rightarrow \infty$,
either when K^* very soft or very energetic (low/large-recoil)

Kinematics of $B \rightarrow K^*(\rightarrow K\pi)\mu\mu$



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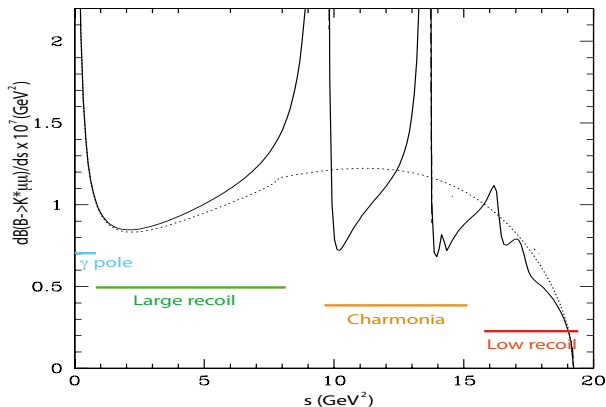
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[Matias, Krüger, Becirevic, Schneider, Mescia, Virto, SDG, Ramon, Hurth; Hiller, Bobeth, Van Dyk]

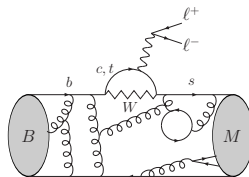
Low and large K^* recoils for $B \rightarrow K^* \mu \mu$



- Very large K^* -recoil ($4m_\ell^2 < q^2 < 1 \text{ GeV}^2$) γ almost real
- Large K^* -recoil ($q^2 < 9 \text{ GeV}^2$) energetic K^* ($E_{K^*} \gg \Lambda_{QCD}$)
Light-Cone Sum Rules, QCD factorisation, SCET
- Charmonium region ($q^2 = m_{\psi, \psi' \dots}^2$ between 9 and 14 GeV^2)
- Low K^* -recoil ($q^2 > 14 \text{ GeV}^2$) soft K^* ($E_{K^*} \simeq \Lambda_{QCD}$)
Lattice QCD, HQET

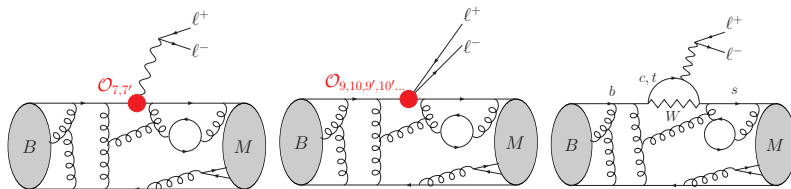
Two sources of hadronic uncertainties

$$A(B \rightarrow K^* \ell \ell) = \frac{G_F \alpha}{\sqrt{2} \pi} V_{tb} V_{ts}^* [(A_\mu + T_\mu) \bar{u}_\ell \gamma^\mu v_\ell + B_\mu \bar{u}_\ell \gamma^\mu \gamma_5 v_\ell]$$



Two sources of hadronic uncertainties

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Form factors (local)

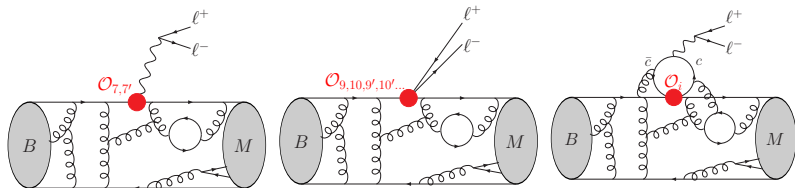
- Local contributions (more terms if NP in non-SM $\mathcal{C}_i$): **form factors**

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$$B_\mu = C_{10} \langle V_\lambda | \bar{s} \gamma_\mu P_L b | B \rangle \quad \lambda : K^* \text{ helicity}$$

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Form factors (local)

Charm loop (non-local)

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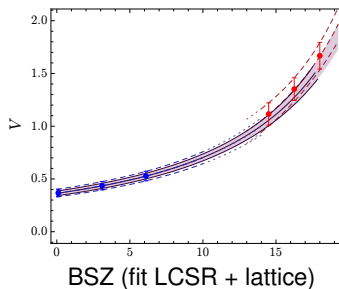
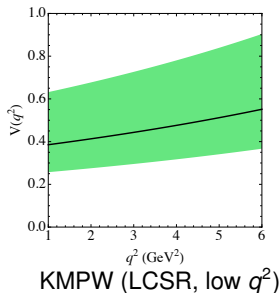
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- Non-local contributions (charm loops): **hadronic contris.**

T_μ contributes like $O_{7,9}$, but depends on q^2 and external states

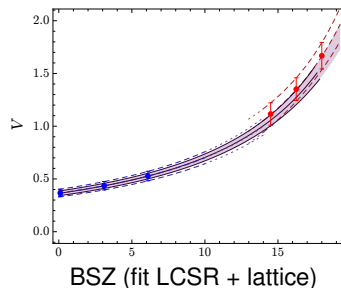
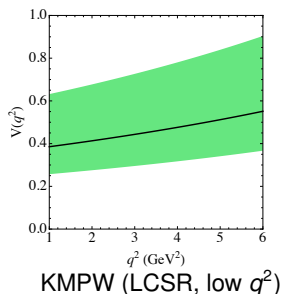
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- low K^* recoil: **lattice**, with correlations [Horgan, Liu, Meinel, Wingate]
- large K^* recoil: **B-meson Light-Cone Sum Rule**, large error bars and no correlations [Khodjamirian, Mannel, Pivovarov, Wang]
- all: fit K^* -meson LCSR + lattice, small errors bars, correlations [Bharucha, Straub, Zwicky]



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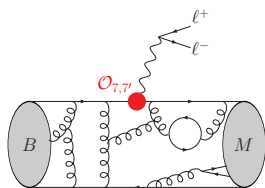


Reduce uncertainties and restore correlations

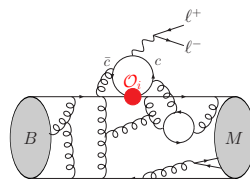
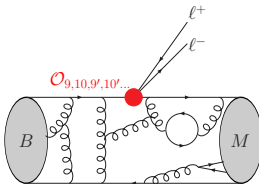
using **EFT correlations** arising in $m_b \rightarrow \infty$, e.g., at large K^* recoil

$$\xi_{\perp} = \frac{m_B}{m_B + m_{K^*}} V = \frac{m_B + m_{K^*}}{2E_{K^*}} A_1 = T_1 = \frac{m_B}{2E_{K^*}} T_2 + O(\alpha_s, \Lambda/m_b) \text{ corr}$$

Form factors and power corrections

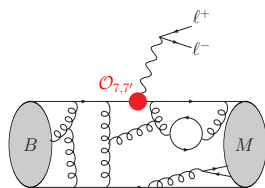


Form factors (local)

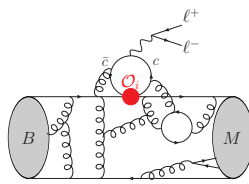
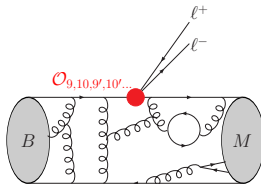


Charm loop (non-local)

Form factors and power corrections



Form factors (local)



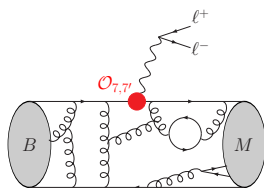
Charm loop (non-local)

Uncertainties in form factors ?

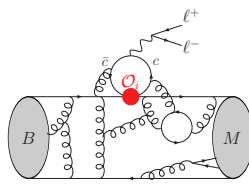
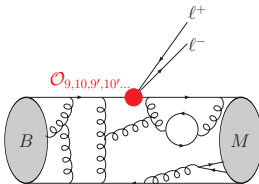
[Camalich, Jäger, Matias, Virto, Hofer, Capdevilla, SDG]

- EFT with limit $m_b \rightarrow \infty$ useful to correlate form factors
but $O(\Lambda/m_b)$ **power corrections** to this limit
- Power corrs with large impact on optimised observables ?

Form factors and power corrections



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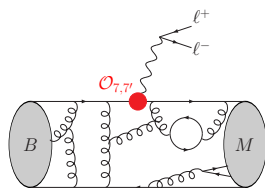
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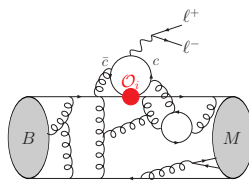
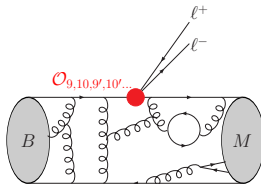
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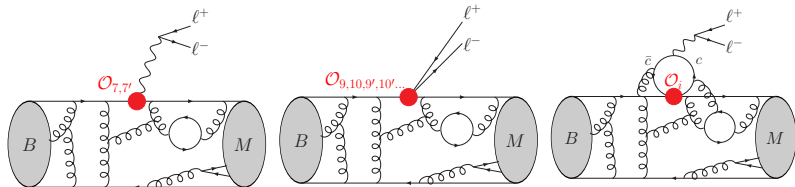
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- [Camalich, Jäger] artefacts from ill-advised scheme/variation for pcs

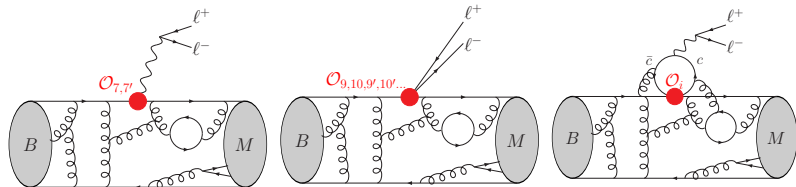
Charm-loop contribution



Form factors (local)

Charm loop (non-local)

Charm-loop contribution



Form factors (local)

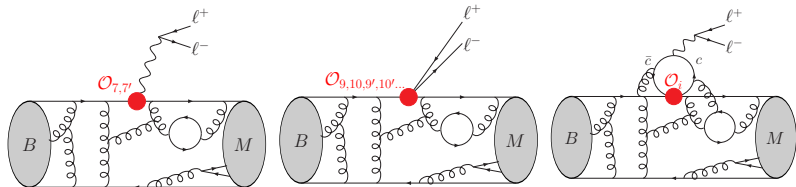
Charm loop (non-local)

Uncertainties from charm loops ?

[Ciuchini, Fedele, Franco, Mishima, Paul, Silvestrini, Valli]

- Effect well-known (loop process, charmonium resonances)
- Yields q^2 - and hadron-dependent contrib with $\mathcal{O}_{7,9}$ -like structures
 - Contribution $\Delta \mathcal{C}_9^{BK(*)}$ from LCSR computation [Khodjamirian et al.]
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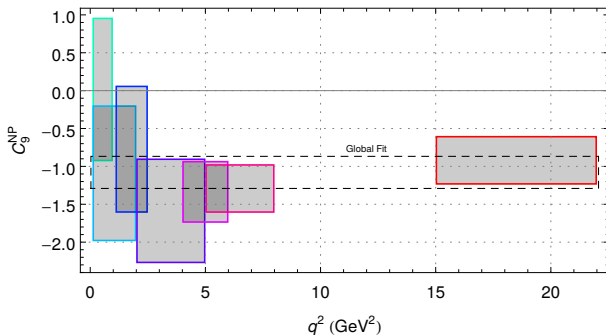
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 - Global fits use this result as order of magn, or $O(\Lambda/m_b)$ estimates
- Bayesian extraction from $B \rightarrow K^* \mu\mu$ performed by [Ciuchini et al.]
 - q^2 dependence in agreement with $\Delta\mathcal{C}_9^{BK(*)} + \text{constant } \mathcal{C}_9^{\text{NP}}$
 - no need for extra q^2 -dep. contribution (no missed hadronic contrib)
 - actually not contradicting results of global fits, though less precise

[Matias, Virto, Hofer, Capdevilla, SDG; Hurth, Mahmoudi, Neshatpour]

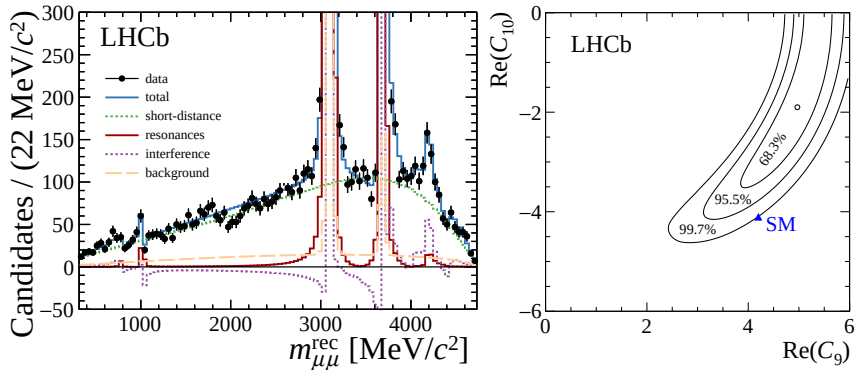
Cross-check: q^2 -dependence of C_9



[Matias, Virto, Hofer, SDG]

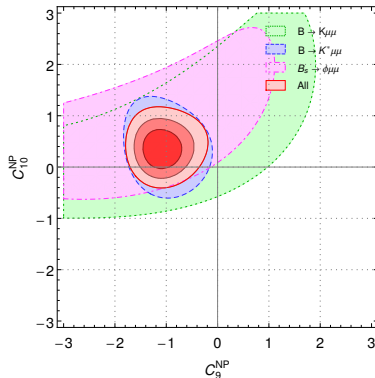
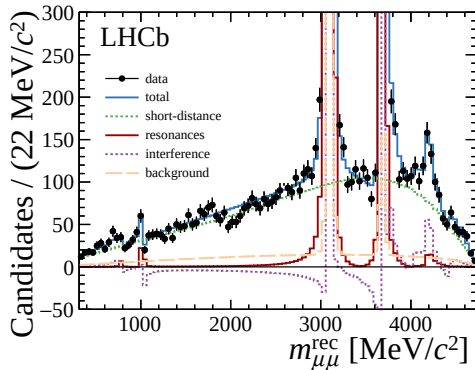
- Fit to C_9^{NP} from individual bins of $b \rightarrow s\mu\mu$ data (NP only in C_9)
 - NP in C_9 from short distances, q^2 -independent
 - Hadronic physics in C_9 related to $c\bar{c}$ dynamics, (likely) q^2 -dependent
- No indication of additional q^2 -dependence missed by the fit
- Can be checked for other NP scenarios
- In agreement with similar findings in [Altmanshoffer, Straub]

Resonances from $B \rightarrow K\ell\ell$ data (see also talk by K. De Bruyn)



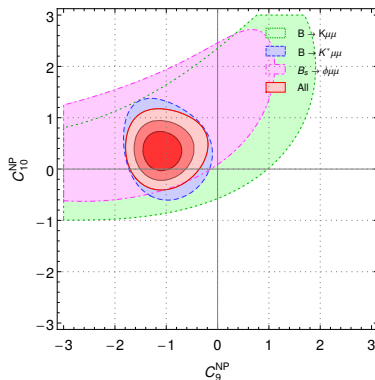
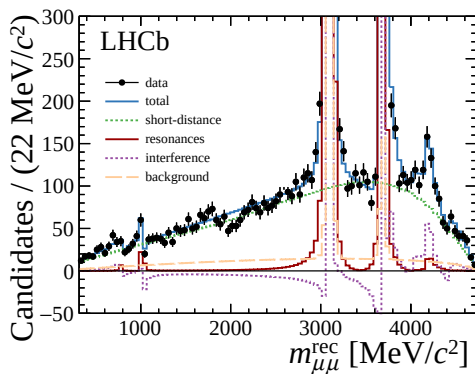
- $C_9^{\text{eff}} = C_9^{\text{SD}} + \text{sum of resonant Breit-Wigner } (\omega, \rho^0, \phi, \text{ charmonia})$
- LHCb data driven fit to couplings and phases, as well as C_9, C_{10}
- 4 equivalent sols, with tiny contrib from resonances below J/ψ

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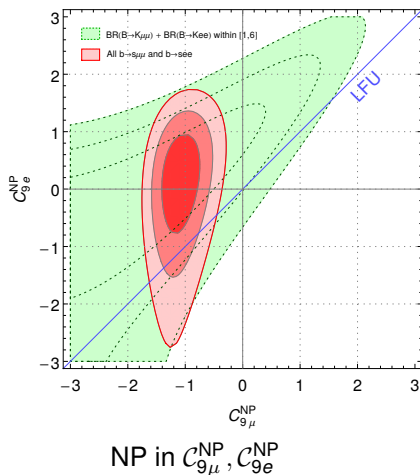
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- similar data-driven estimate of resonance contrib for $B \rightarrow K^*$?

Exploring Lepton-Flavour Non-Universality

Lepton-flavour (non) universality



- Adding LHCb $BR(B \rightarrow Kee)$ and large-recoil obs for $B \rightarrow K^* ee$
- Favours violation of LFU, compatible with no NP in $b \rightarrow see$
- For favoured scenarios with NP in $b \rightarrow s\mu\mu$ only, SM pull increases by $\sim 0.5\sigma$ (e.g., 4.7σ for $C_{9\mu}^{NP}$ only)
- If NP violating LFU, new clean observables by comparing $b \rightarrow see$ and $b \rightarrow s\mu\mu$!

Additional observables: R 's

LHCb: already R_K , expecting other LFUV studies

[see also talk by S. Reichert]

in particular expecting R_{K^*} at low and large K^* recoils

	$R_K[1, 6]$	$R_{K^*}[0.045, 1.1]$	$R_{K^*}[1.1, 6]$	$R_\phi[1.1, 6]$
SM	1.00 ± 0.01	0.92 ± 0.02	1.00 ± 0.01	1.00 ± 0.01
$C_{9\mu}^{\text{NP}} = -1.1$	0.79 ± 0.01	0.90 ± 0.05	0.87 ± 0.08	0.84 ± 0.02
$C_{9\mu}^{\text{NP}} = -C_{9'\mu}^{\text{NP}} = -1.07$	1.00 ± 0.01	0.87 ± 0.10	0.78 ± 0.14	0.74 ± 0.03
$C_{9\mu}^{\text{NP}} = -C_{10\mu}^{\text{NP}} = -0.65$	0.67 ± 0.01	0.87 ± 0.07	0.74 ± 0.03	0.69 ± 0.01
$C_{9\mu}^{\text{NP}} = -C_{9'\mu}^{\text{NP}} = -1.18$	0.88 ± 0.01	0.87 ± 0.10	0.75 ± 0.12	0.71 ± 0.03
$C_{10\mu}^{\text{NP}} = C_{10'\mu}^{\text{NP}} = 0.38$				

- $R_M = BR(B \rightarrow Mee)/BR(B \rightarrow M\mu\mu)$ clean probes of NP [Hiller, Schmalz]
- Radiative QED corrections only a few percent, already included at the experimental level [Bordone, Isidori, Pattori]
- Predicted assuming NP only in $b \rightarrow s\mu\mu$
- $C_9^{\text{NP}} = -C_{10}^{\text{NP}}$ yields very low values of R 's, other intermediate

Additional observables: Q_i, B_i, M

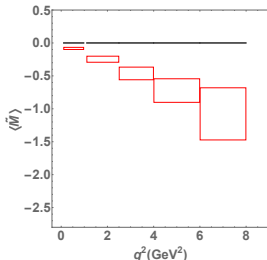
[Capdevilla, Matias, Virto, SDG]

Expecting measurements of BR and angular coefficients for $B \rightarrow K^* ee$

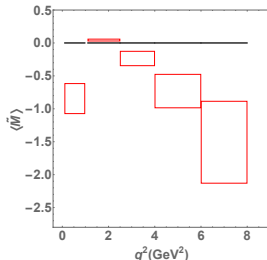
- Null SM tests (up to m_ℓ effects): $Q_i = P_i^\mu - P_i^e$, $B_i = \frac{J_i^\mu}{J_i^e} - 1$
- angular coeffs J_5 and J_{6s} with only a linear dependence on $\mathcal{C}_9$

$$M = (J_5^\mu - J_5^e)(J_{6s}^\mu - J_{6s}^e)/(J_{6s}^\mu J_5^e - J_{6s}^e J_5^\mu)$$

- cancellation of hadronic contris in $\mathcal{C}_9$ if NP in $\mathcal{C}_{9,\mu}$ only
- different sensitivity to NP scenarios compared to R_{K^*}

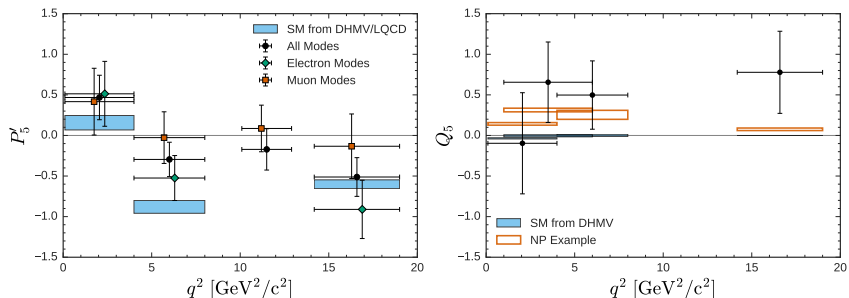


$$\mathcal{C}_{9\mu}^{\text{NP}} = -1.1, \mathcal{C}_{ie}^{\text{NP}} = 0$$



$$\mathcal{C}_{9\mu}^{\text{NP}} = \mathcal{C}_{10\mu}^{\text{NP}} = -0.65, \mathcal{C}_{ie}^{\text{NP}} = 0$$

A first hint from Belle ?

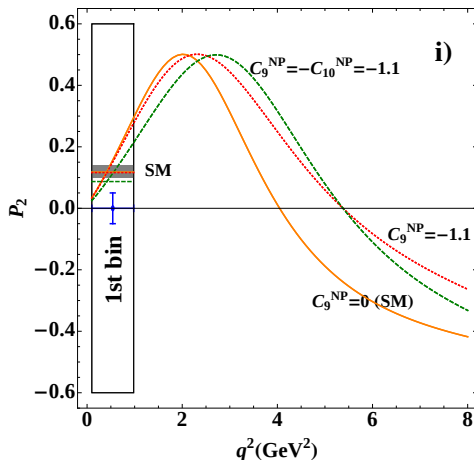


Belle has compared $b \rightarrow see$ and $b \rightarrow s\mu\mu$ in 2016

- different systematics from LHCb
- 2.6σ deviation for $\langle P'_5 \rangle_{[4,8]}^\mu$ versus 1.3σ deviation for $\langle P'_5 \rangle_{[4,8]}^e$
- Q_5 hinting at the same direction of NP in $C_{9\mu}^{\text{NP}} \simeq -1.1$ (in red)
- more data needed to determine if hint to be taken seriously

Additional observables: P_1 and P_2 at very low q^2

At very low q^2 , C_9 kinematically suppressed in P_1 and P_2
 $\Rightarrow$ way of probing other Wilson coefficients



Probes of other Wilson coefficients

- $P_1 \leftrightarrow C_{7(')}$ (not competitive with $B \rightarrow X_S \gamma$)
- $P_2 \leftrightarrow C_7 C_{10}, C_{7'} C_{10'}$ (interesting for $C_{10(')}$)

[Becirevic, Schneider, Capdevilla, Hofer, Matias, SDG]

NP interpretations (see also talks by A. Crivellin and A. Greljo)

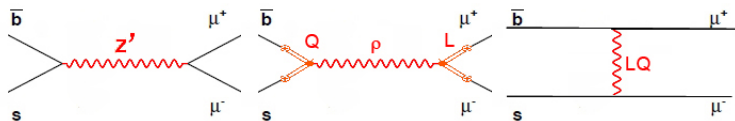
No consistent global alternative from SM/long-dist. for $b \rightarrow s\ell\ell$

- hadronic effects ($B \rightarrow K^* \mu\mu$, $B_s \rightarrow \phi \mu\mu$ at low and large recoils)
- statistical fluctuation (R_K)
- bad luck ($\mathcal{C}_9$ can accomodate all discrepancies by chance)

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NP models with new scale around TeV

- Z' boson
- Partial compositeness
- Leptoquarks
- NP in $b \rightarrow c \bar{c} s$
- but susy (MSSM) not favoured . . .

[Buras, De Fazio, Girschbach, Blanke, Altmannshofer, Straub, Crivellin, D'Ambrosio,

Becirevic, Sumensari, Isidori, Greljo, Jäger, Lenz. . .]

B physics anomalies

- $b \rightarrow s\ell^+\ell^-$ with many obs., more or less sensitive to hadronic unc.
- Interesting deviations from SM expectations
- Hints of violation of lepton flavour universality
- Global fit to $b \rightarrow s\ell^+\ell^-$ supporting large deviation for $\mathcal{C}_9$ in $b \rightarrow s\mu\mu$
- Does not seem to favour hadronic explanations (power corrections for form factors, charm loop contributions)

Where to go ?

- Other LFU violating observables: R_{K^*} , $Q_i \dots$
- Charm-loop for $b \rightarrow s\mu\mu$ (estimates, data-driven info on interferences, clean observables)
- More determinations of form factors to control uncertainties
- Model building to connect with other anomalies (like $b \rightarrow c\ell\nu_\ell$)

A lot of (interesting) work on the way !

A few recent global fits

Statistical approach	[SDG, Hofer Matias, Virto] Frequentist $\Delta\chi^2$	[Straub & Altmannshofer] Frequentist $\Delta\chi^2$	[Hurth, Mahmoudi, Neshatpour] Frequentist $\Delta\chi^2$ & χ^2
Data	LHCb	Averages	LHCb
$B \rightarrow K^* \mu\mu$ data	P_i , Max likelihood	S_i , Max likelihood	S_i , Max l.& moments
Form factors	B-meson LCSR [Khodjamirian et al.] + lattice QCD	[Bharucha, Straub, Zwicky] fit light-meson LCSR + lattice QCD	[Bharucha, Straub, Zwicky]
Theo approach	soft and full ff	full ff	soft and full ff
$c\bar{c}$ large recoil	magnitude from [Khodjamirian et al.]	polynomial param	polynomial param
C_9^μ 1D 1σ pull _{SM}	[-1.22,-0.79] 4.2 σ	[-1.54,-0.53] 3.7 σ	[-0.27,-0.13] 4.2 σ
“good scenarios”	see before	$C_9^{\text{NP}}, C_{9'}^{\text{NP}} = -C_{10}^{\text{NP}}$ $(C_9^{\text{NP}}, C_{9'}^{\text{NP}}), (C_9, C_{10}^{\text{NP}})$	$(C_9^{\text{NP}}, C_{9'}^{\text{NP}}), (C_9^{\text{NP}}, C_{10}^{\text{NP}})$

⇒ Good overall agreement for the results of the three fits

$b \rightarrow s\mu\mu$: 1D hypotheses

- SM pull: $\chi^2(\mathcal{C}_i = 0) - \chi^2_{\min}$ (**metrology**, how far best fit from SM ?)
- p -value: $\chi^2_{\min}$ and N_{dof} (**goodness of fit**, how good is best fit ?)

Coefficient	1σ	3σ	Pull _{SM}	p-value (%)
SM	—	—	—	11.0
$\mathcal{C}_7^{\text{NP}}$	$[-0.04, 0.00]$	$[-0.07, 0.04]$	1.0	12.0
$\mathcal{C}_9^{\text{NP}}$	$[-1.22, -0.79]$	$[-1.60, -0.31]$	4.2	48.0
$\mathcal{C}_{10}^{\text{NP}}$	$[0.29, 0.75]$	$[-0.14, 1.26]$	2.3	19.0
$\mathcal{C}_{7'}^{\text{NP}}$	$[-0.01, 0.04]$	$[-0.06, 0.09]$	0.6	11.0
$\mathcal{C}_{9'}^{\text{NP}}$	$[0.09, 0.66]$	$[-0.46, 1.25]$	1.3	13.0
$\mathcal{C}_{10'}^{\text{NP}}$	$[-0.38, -0.01]$	$[-0.77, 0.37]$	1.0	12.0
$\mathcal{C}_9^{\text{NP}} = \mathcal{C}_{10}^{\text{NP}}$	$[-0.36, 0.03]$	$[-0.69, 0.57]$	0.9	12.0
$\mathcal{C}_9^{\text{NP}} = -\mathcal{C}_{10}^{\text{NP}}$	$[-0.79, -0.44]$	$[-1.15, -0.13]$	3.9	41.0
$\mathcal{C}_{9'}^{\text{NP}} = \mathcal{C}_{10'}^{\text{NP}}$	$[-0.31, 0.21]$	$[-0.84, 0.70]$	0.2	11.0
$\mathcal{C}_{9'}^{\text{NP}} = -\mathcal{C}_{10'}^{\text{NP}}$	$[0.03, 0.27]$	$[-0.21, 0.52]$	1.2	13.0
$\mathcal{C}_9^{\text{NP}} = -\mathcal{C}_{9'}^{\text{NP}}$	$[-1.18, -0.76]$	$[-1.53, -0.30]$	4.3	51.0
$\mathcal{C}_9^{\text{NP}} = -\mathcal{C}_{10}^{\text{NP}}$	$[-0.45, -0.45]$	$[-0.12, -0.12]$	3.8	40.0
$= -\mathcal{C}_{9'}^{\text{NP}} = -\mathcal{C}_{10'}^{\text{NP}}$				
$\mathcal{C}_9^{\text{NP}} = -\mathcal{C}_{10}^{\text{NP}}$	$[-0.34, -0.10]$	$[-0.58, 0.13]$	1.9	16.0
$= \mathcal{C}_{9'}^{\text{NP}} = -\mathcal{C}_{10'}^{\text{NP}}$				

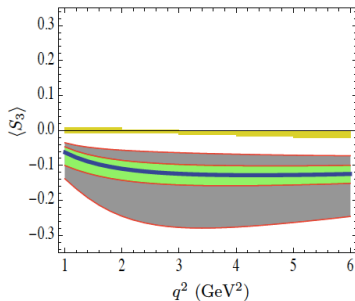
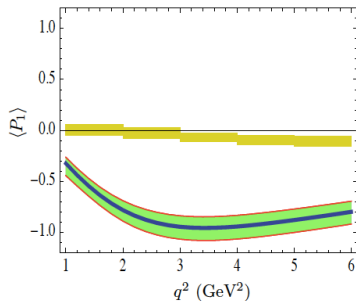
$b \rightarrow s\mu\mu$: 6D hypothesis

Letting all 6 Wilson coefficients vary (but only real)

Coefficient	1σ	2σ	3σ	Preference
C_7^{NP}	$[-0.02, 0.03]$	$[-0.04, 0.04]$	$[-0.05, 0.08]$	no pref
C_9^{NP}	$[-1.4, -1.0]$	$[-1.7, -0.7]$	$[-2.2, -0.4]$	negative
C_{10}^{NP}	$[-0.0, 0.9]$	$[-0.3, 1.3]$	$[-0.5, 2.0]$	positive
$C_{7'}^{\text{NP}}$	$[-0.02, 0.03]$	$[-0.04, 0.06]$	$[-0.06, 0.07]$	no pref
$C_{9'}^{\text{NP}}$	$[0.3, 1.8]$	$[-0.5, 2.7]$	$[-1.3, 3.7]$	positive
$C_{10'}^{\text{NP}}$	$[-0.3, 0.9]$	$[-0.7, 1.3]$	$[-1.0, 1.6]$	no pref

- C_9 is consistent with SM only above 3σ
- All others are consistent with zero at 1σ except for $C_{9'}$ at 2σ
- Pull_{SM} for the 6D fit is 3.6σ

Sensitivity of observables to form factors



- P_i designed to have limited sensitivity to form factors
- S_i CP-averaged version of J_i

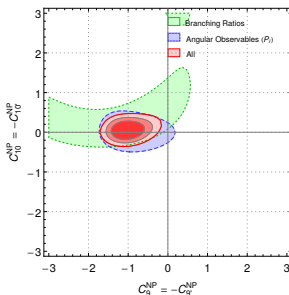
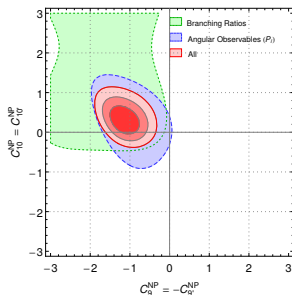
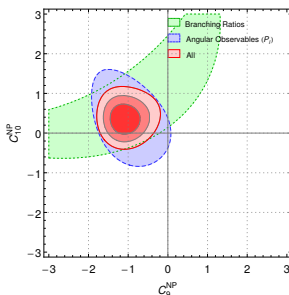
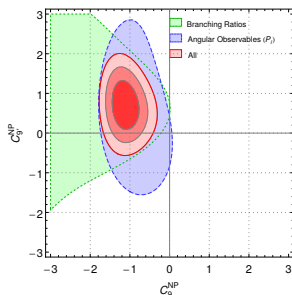
$$P_1 = \frac{2S_3}{1 - F_L} \quad F_L = \frac{J_{1c} + \bar{J}_{1c}}{\Gamma + \bar{\Gamma}} \quad S_3 = \frac{J_3 + \bar{J}_3}{\Gamma + \bar{\Gamma}}$$

Illustration for arbitrary NP point for two sets of LCSR form factors:

green [Ball, Zwicky] versus gray [Khodjamirian et al.]

more or less easy to discriminate against yellow (SM prediction)

Some favoured scenarios



From the fit

- $C_9^{\text{NP}}, C_{9'}^{\text{NP}}$
- $C_9^{\text{NP}}, C_{10}^{\text{NP}}$
- $C_9^{\text{NP}} = -C_{9'}^{\text{NP}}, C_{10}^{\text{NP}} = C_{10'}^{\text{NP}}$
- $C_9^{\text{NP}} = -C_{9'}^{\text{NP}}, C_{10}^{\text{NP}} = -C_{10'}^{\text{NP}}$

For model builders

$C_9^{\text{NP}} = -C_{10}^{\text{NP}}$
natural if $SU_L(2)$
symmetry used
for all fermions

Very large power corrections ? (1)

- **Scheme:** choice of definition for the two soft form factors
(all equivalent for $m_B \rightarrow \infty$)

$$\{\xi_{\perp}, \xi_{\parallel}\} = \{V, \alpha A_1 + \beta A_2\}, \{T_1, A_0\}, \dots$$

- **Power corrections** for the other form factors from dimensional estimates or fit to available determinations (LCSR)

$$F(q^2) = F^{\text{soft}}(\xi_{\perp, \parallel}(q^2)) + \Delta F^{\alpha_s}(q^2) + a_F + b_F \frac{q^2}{m_B^2} + \dots$$

- For some schemes, large(r) uncertainties found for some optimised observables

[Camalich, Jäger]

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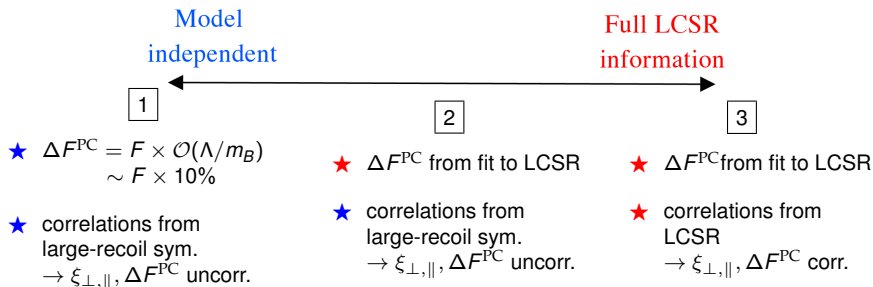
[Camalich, Jäger]

Observables are scheme independent, but

procedure to compute them can be either **scheme dependent or not**

- a) Include all correlations among errors for power corr
more accurate, but hinges on detail of ff determination
- b) Assign 10% uncorrelated uncertainties for power corrs a_F, b_F
depends on scheme (setting $a_F = b_F = 0$ for two form factors)

Very large power corrections ? (2)



Very large power corrections ? (2)

Model
independent

Full LCSR
information

1

★ $\Delta F^{\text{PC}} = F \times \mathcal{O}(\Lambda/m_B)$
 $\sim F \times 10\%$

★ correlations from
large-recoil sym.
 $\rightarrow \xi_{\perp, \parallel}, \Delta F^{\text{PC}} \text{ uncorr.}$

2

★ ΔF^{PC} from fit to LCSR

★ correlations from
large-recoil sym.
 $\rightarrow \xi_{\perp, \parallel}, \Delta F^{\text{PC}} \text{ uncorr.}$

3

★ ΔF^{PC} from fit to LCSR

★ correlations from
LCSR
 $\rightarrow \xi_{\perp, \parallel}, \Delta F^{\text{PC}} \text{ corr.}$

$P_5'[4.0, 6.0]$	scheme 1	scheme 2
1	-0.72 ± 0.05	-0.72 ± 0.12
2	-0.72 ± 0.03	-0.72 ± 0.03
3	-0.72 ± 0.03	-0.72 ± 0.03
full BSZ	-0.72 ± 0.03	

errors only from pc with BSZ form factors

[Matias, Hofer, Capdevilla, SDG]

- [Bharucha, Straub, Zwicky] as example (correl provided)
- scheme indep. restored if ΔF^{PC} from fit to LCSR, with expected magnitude
- sensitivity to scheme can be understood analytically
- no uncontrolled large power corrections for P_5'

Scheme dependence of observables

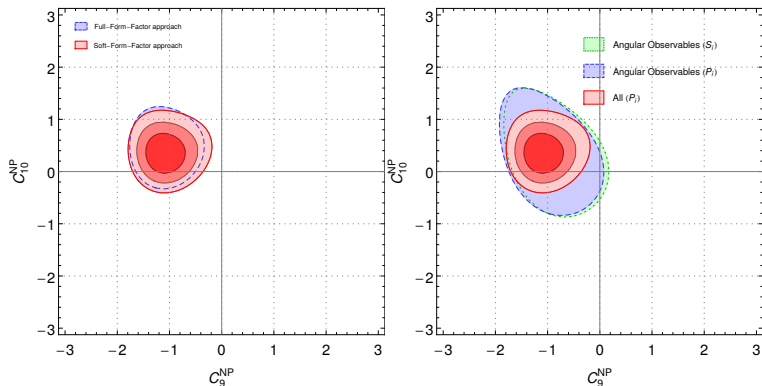
Using the connection between full and soft form factors at large recoil, keeping power corrections

$$P'_5(6 \text{ GeV}^2) = P'_{5|\infty}(6 \text{ GeV}^2) \left(1 + 0.18 \frac{2a_{V-} - 2a_{T-}}{\xi_{\perp}} - 0.73 \frac{2a_{V+}}{\xi_{\perp}} + 0.02 \frac{2a_{V_0} - 2a_{T_0}}{\tilde{\xi}_{\parallel}} + \text{nonlocal terms} \right) + O\left(\frac{m_{K^*}}{m_B}, \frac{\Lambda^2}{m_B^2}, \frac{q^2}{m_B^2}\right).$$

$$P_1(6 \text{ GeV}^2) = -1.21 \frac{2a_{V+}}{\xi_{\perp}} + 0.05 \frac{2b_{T+}}{\xi_{\perp}} + \text{nonlocal terms} + O\left(\frac{m_{K^*}}{m_B}, \frac{\Lambda^2}{m_B^2}, \frac{q^2}{m_B^2}\right),$$

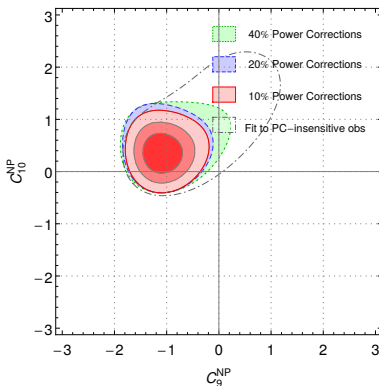
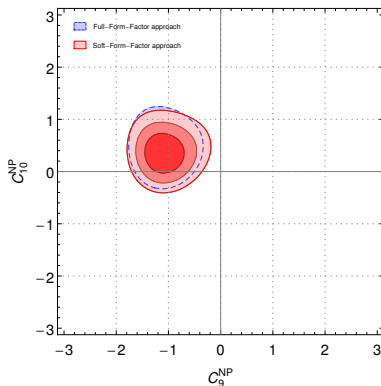
- scheme dependence of P'_5 not fully taken into account in [\[Camalich,Jäger\]](#)
- allows to understand the scheme dependence of P_i
- P'_5 and P_1 with reduced unc. if $\xi_{\perp}$ defined from V ($a_{V+} = 0$)

Cross-checks: Form factors



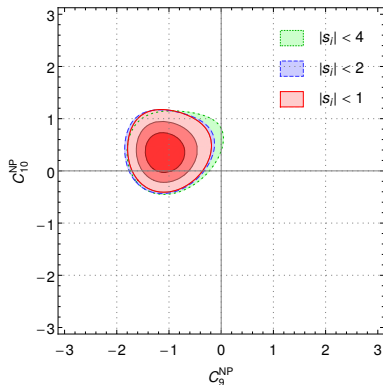
- Soft form factor approach ([Khodjamirian et al.] ff + EFT correls) vs full ff ([Altmannshofer, Straub] with [Bharucha et al.] ff with correls and small errors)
- Similar results using either P_i or S_i (if correlations of form factors taken into account through soft ff approach)

Cross-checks: Form factors and power corrs



- Soft form factor approach ([Khodjamirian et al.] ff + EFT correls) vs full ff ([Altmannshofer, Straub] with [Bharucha et al.] ff with correls and small errors)
- Similar results using either P_i or S_i (if correlations of form factors taken into account through soft ff approach)
- Increasing power corrections weakens role of large recoil, but low recoil enough to pull fit away from the SM

Cross-checks: Charm-loop dependence



- For each $B \rightarrow K^* \mu \mu$ transversity $\Delta \mathcal{C}_9^{BK^{(*)},i} = \delta \mathcal{C}_{9,\text{pert}}^{BK^{(*)},i} + s_i \delta \mathcal{C}_{9,\text{non pert}}^{BK^{(*)},i}$
- Ditto for $B_s \rightarrow \phi$, with all 6 s_i independent
- For $B \rightarrow K \mu \mu$, $c\bar{c}$ estimated as very small
- Increasing the range allowed for s_i makes low-recoil and $B \rightarrow K \mu \mu$ dominate more and more

- Does not alter the pull, and does not explain a difference between $BR(B \rightarrow K e e)$ and $BR(B \rightarrow K \mu \mu)$

Charm-loop effects: large recoil

- Short-distance (hard gluons)
 - $\mathcal{C}_9 \rightarrow \mathcal{C}_9 + Y(q^2) = \mathcal{C}_9 + \delta\mathcal{C}_{9,\text{SD}}^{BK^{(*)}}(q^2)$, dependence on m_c
 - higher-order short-distance QCD via QCDF/HQET

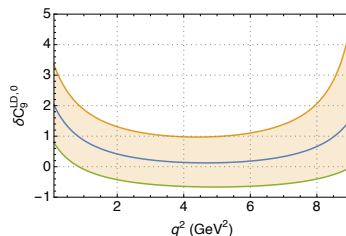
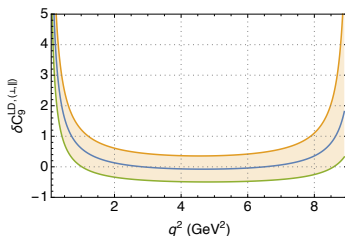
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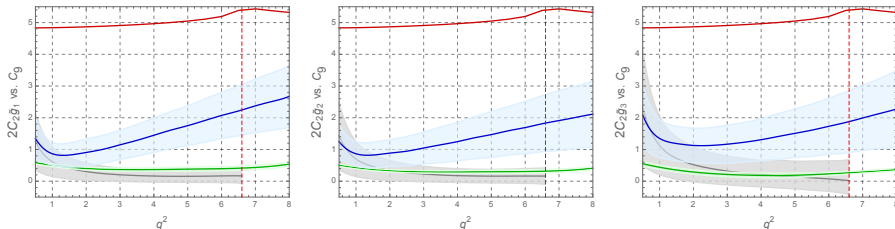
- Long-distance (soft gluons)

- $\Delta\mathcal{C}_9^{BK(*)},i > 0$ ($i = 0, ||, \perp$) using LCSR [Khodjamirian, Mannel, Pivovarov, Wang]
- Computed for $q^2 < 0$ and small, then extrapolated through dispersion relation reincluding J/ψ (but many unknown parameters)
- For us, order of magnitude: $\Delta\mathcal{C}_9^{BK*}|_{KMPW} = \delta\mathcal{C}_{9,\text{SD}}^{BK(*)} + \delta\mathcal{C}_{9,\text{LD}}^{BK(*)}$
taking $\Delta\mathcal{C}_9^{BK*},i = \delta\mathcal{C}_{9,\text{SD}}^{BK(*)},i + \mathbf{s}_i \delta\mathcal{C}_{9,\text{LD}}^{BK(*)},i$ with $\mathbf{s}_i = 0 \pm 1$



Charm-loop contribution to $B \rightarrow K^* \ell \ell$ (1)

- $c\bar{c}$ contributions to 3 helicity amplitudes $g_{1,2,3}$ as q^2 -polynomial
- params from Bayesian fit to data [Ciuchini, Fedele, Franco, Mishima, Paul, Silvestrini, Valli]

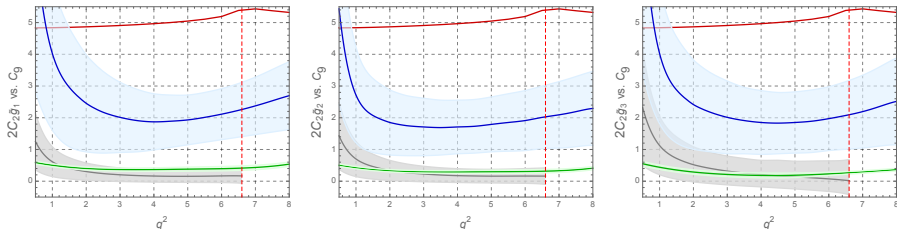


In units of C_9 : **Short-Dist**, **QCD**, **fit**, KMPW $\Delta C_9^{BK^*}$

- constrained fit: imposing SM + $\Delta C_9^{BK^*}$ [Khodjamirian et al.] at $q^2 < 1 \text{ GeV}^2$ yields q^2 -dependent $c\bar{c}$ contribution, with “large” coefs for q^4

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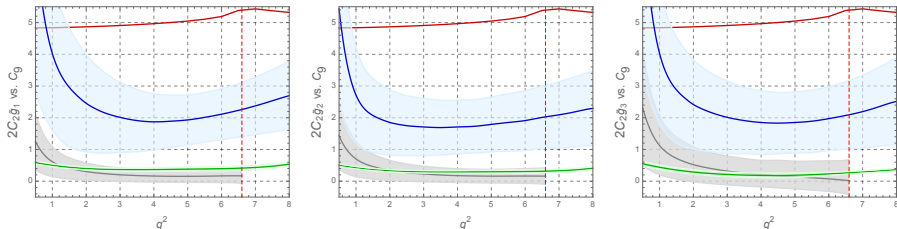


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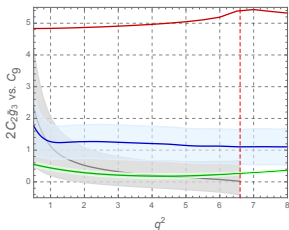
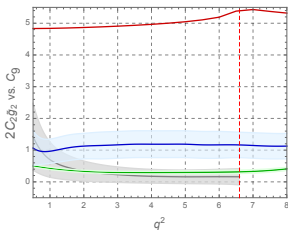
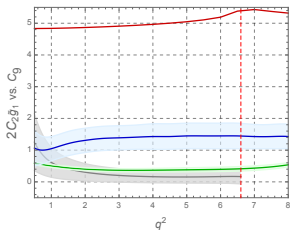
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- constrained fit forced at low q^2 , compensation skewing high q^2

Charm-loop contribution to $B \rightarrow K^* \ell \ell$ (2)

Problem related to q^4 contribution ?

[Ciuchini, Fedele, Franco, Mishima, Paul, Silvestrini, Valli]

- indication of q^2 dependence due to hadronic, not NP ?
- q^4 dependence already from $C_i \times FF(q^2)$



In units of C_9 : Short-Dist, QCDF, fit, KMPW $\Delta C_9^{BK^*}$

- Bayesian fit without q^4 need same C_9^{NP} in all three helicities
- Frequentist fits indicates no improvement by adding q^4 term, and adding C_9 better pull than 12 independent coefficients

[Capdevila, Hofer, Matias, SDG; Hurth, Mahmoudi, Neshatpour]

- if $c\bar{c}$, why same constant C_9^{NP} for all mesons and helicities, which explanation for R_K , what causes deviations in low-recoil BRs ?

Adding further coefficients

$$A_{L,R}^0 = A_{L,R}^0(s_i = 0) + \frac{N}{q^2} \left(h_0^{(0)} + \frac{q^2}{1 \text{ GeV}^2} h_0^{(1)} + \frac{q^4}{1 \text{ GeV}^4} h_0^{(2)} \right),$$

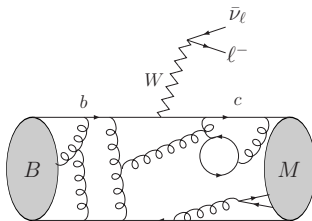
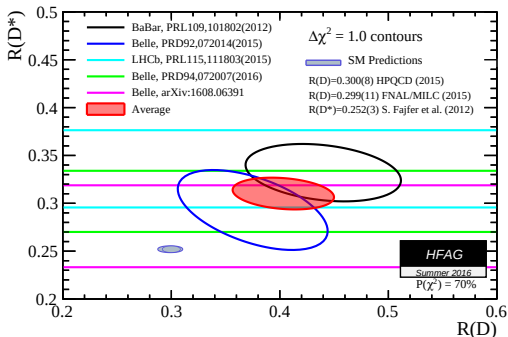
$$A_{L,R}^{\parallel} = A_{L,R}^{\parallel}(s_i = 0) + \frac{N}{\sqrt{2}q^2} \left[(h_+^{(0)} + h_-^{(0)}) + \frac{q^2}{1 \text{ GeV}^2} (h_+^{(1)} + h_-^{(1)}) + \frac{q^4}{1 \text{ GeV}^4} (h_+^{(2)} + h_-^{(2)}) \right],$$

$$A_{L,R}^{\perp} = A_{L,R}^{\perp}(s_i = 0) + \frac{N}{\sqrt{2}q^2} \left[(h_+^{(0)} - h_-^{(0)}) + \frac{q^2}{1 \text{ GeV}^2} (h_+^{(1)} - h_-^{(1)}) + \frac{q^4}{1 \text{ GeV}^4} (h_+^{(2)} - h_-^{(2)}) \right],$$

- $s_i = 0$ means no estimate from long-distance $c\bar{c}$ estimate
- n order of the polynomial added
- Nested hypotheses: Pull from $\chi_{\min}^{2(n-1)} - \chi_{\min}^{2(n)} \quad (\chi_{\min}^{2(-1)} = \text{SM})$

n	0	1	2	3
$B \rightarrow K^* \mu\mu, C_9^{\mu, \text{NP}} = 0$	2.88 (0.8 σ)	17.90 (3.5 σ)	0.08 (0.0 σ)	0.34 (0.1 σ)
$B \rightarrow K^* \mu\mu, C_9^{\mu, \text{NP}} = -1.1$	4.79 (1.3 σ)	9.73 (2.3 σ)	0.20 (0.0 σ)	0.39 (0.1 σ)
$b \rightarrow s\ell\ell, C_9^{\mu, \text{NP}} = 0$	1.55 (0.4 σ)	21.40 (3.9 σ)	0.61 (0.1 σ)	

$b \rightarrow c \ell \bar{\nu}_\ell$: R_D and R_{D^*}



$$R_{D^{(*)}} = \frac{Br(B \rightarrow D^{(*)} \tau \nu)}{Br(B \rightarrow D^{(*)} \ell \bar{\nu}_\ell)}$$

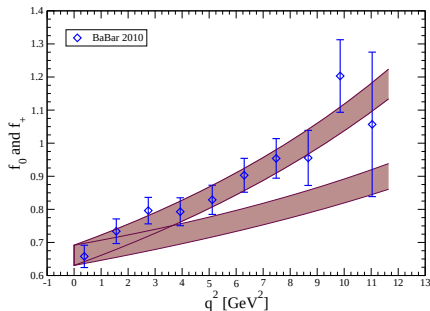
- different identification techniques of the τ for LHCb and B-factories
- $R(D)$ and $R(D^*)$ exceed SM predictions by 2.2σ and 3.4σ
- $p\text{-value} = 8.3 \times 10^{-5}$, difference with SM preds at 3.9σ level
- consistent with 15% enhancement for $b \rightarrow c \tau \bar{\nu}_\tau$

What is the basis for these predictions ?

$B \rightarrow D\ell\bar{\nu}_\ell$ branching ratio

$$\frac{d\Gamma(B \rightarrow D\ell\bar{\nu}_\ell)}{dq^2} \propto |V_{cb}|^2 \left(1 - \frac{m_\ell^2}{q^2}\right)^2 |\vec{p}|^2 \left[\left(1 - \frac{m_\ell^2}{2q^2}\right)^2 M_B^2 |\vec{p}|^2 f_+^2(q^2) + \frac{3m_\ell^2}{8q^2} (M_B^2 + M_D^2)^2 f_0^2(q^2) \right]$$

- $\vec{p}$ D -momentum in B -frame,
 $q^2 = (p_B - p_D)^2$ lepton
invariant mass
- Two form factors $f_+(q^2)$
(vector) and $f_0(q^2)$ (scalar)
NP extension requires one
more form factor f_T (tensor)
- From lattice QCD, extrapolated
over whole kinematic range



[HPQCD, Fermilab/MILC collaboration]

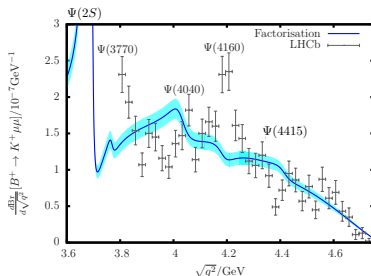
Charm-loop effects : resonances (1)

- Low recoil: quark-hadron duality
 - Average “enough” resonances to equate quark and hadron levels
 - Model estimate yield a few % for $BR(B \rightarrow K\mu\mu)$ [\[Beylich, Buchalla, Feldmann\]](#)

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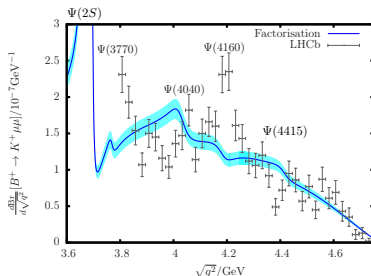


- Probably (?) effect of similar size for $B \rightarrow K^*\mu\mu$ (BR and angular obs.)
- OPE corrections + NLO QCD corrections + complex correction of 10% for each transversity amplitude
- Difficulties to explain $B \rightarrow K\ell\ell$ low-recoil spectrum using $\sigma(e^+e^- \rightarrow \text{hadrons})$ and naive factorisation [Lyon, Zwicky]

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- Large recoil

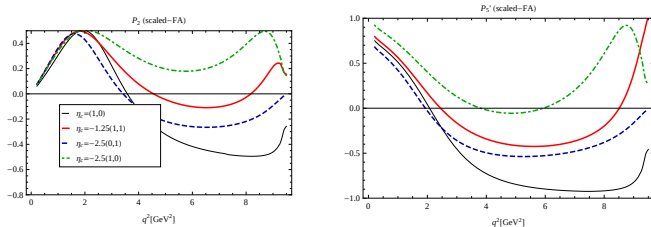
- $q^2 \leq 7\text{-}8 \text{ GeV}^2$ to limit the impact of J/ψ tail
- Still need to include the effect of $c\bar{c}$ loop
(tail of resonances + nonresonant)

Charm-loop effects : resonances (2)

On the basis of a model for $c\bar{c}$ resonances for low-recoil $B \rightarrow K\mu\mu$
[Zwicky and Lyon] proposed very large $c\bar{c}$ contrib for large-recoil $B \rightarrow K^*\mu\mu$

$$\mathcal{C}_9^{\text{eff}} = \mathcal{C}_9^{\text{SM}} + \mathcal{C}_9^{\text{NP}} + \eta h(q^2) \text{ and } \mathcal{C}_{9'} = \mathcal{C}_{9'}^{\text{NP}} + \eta' h(q^2)$$

where $\eta + \eta' = -2.5$ where conventional expectations are $\eta = 1, \eta' = 0$



- P_2 and P'_5 could have more zeroes for $4 \leq q^2 \leq 9 \text{ GeV}^2$
- $P'_{5[6,8]}$ would be above or equal to $P'_{5[4,6]}$, whereas global effects (like $\mathcal{C}_9^{\text{NP}}$) predicts $P'_{5[6,8]} < P'_{5[4,6]}$ in agreement with experiment
- Not in agreement with LHCb findings for $B \rightarrow K\ell\ell$
- R_K unexplained since it would affect identically $\ell = e, \mu$

$B \rightarrow D^* \ell \bar{\nu}_\ell$ branching ratio

$$\frac{d\Gamma(B \rightarrow D^* \ell \bar{\nu}_\ell)}{dq^2} \propto |V_{cb}|^2 \left(1 - \frac{m_\ell^2}{q^2}\right)^2 |\vec{q}| q^2 \left[\left(1 + \frac{m_\ell^2}{2q^2}\right)^2 (|H_+|^2 + |H_-|^2 + |H_0|^2) + \frac{3m_\ell^2}{2q^2} |H_t|^2 \right]$$

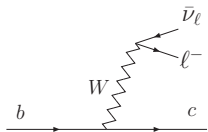
- H_λ describing $B \rightarrow D^*(\rightarrow D\pi)\ell\bar{\nu}_\ell$ with D^* helicity
- Interferences in principle accessible via angular analyses (but ν !)
- Four form factors $V, A_{0,1,2}$ (vector and axial)
NP extension requires 3 more form factors $T_{1,2,3}$ (tensor)

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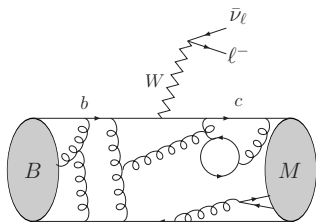
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- Four form factors $V, A_{0,1,2}$ (vector and axial)
NP extension requires 3 more form factors $T_{1,2,3}$ (tensor)
- No complete lattice determination, need other approaches !
 - HQET: Form factors related in the limit $m_b \rightarrow \infty$,
providing ratios of form factors up to $O(\Lambda/m_B)$ corrections
 - Normalisation from Belle on $B \rightarrow D^* \ell \bar{\nu}_\ell$ ($\ell = e, \mu$)
assuming no NP for light leptons

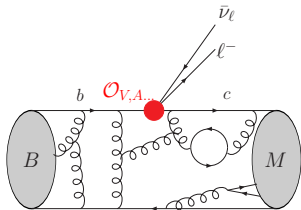
$b \rightarrow c \ell \bar{\nu}_\ell$: effective Hamiltonian



$b \rightarrow c \ell \bar{\nu}_\ell$: effective Hamiltonian



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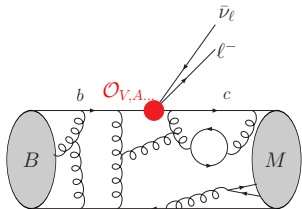


$\mathcal{H}^{\text{eff}}$ to determine short-distance couplings
and **look for NP** model-independently

$$\mathcal{H}^{\text{eff}} = \frac{4G_F}{\sqrt{2}} V_{cb} \sum_{\ell=e,\mu,\tau} (\bar{\ell} \gamma^\mu P_L \nu_\ell) \\ \times [\bar{c} \gamma^\mu P_L b + g_{SL} i \partial^\mu (\bar{c} P_L b) + g_{SR} i \partial^\mu (\bar{c} P_R b)]$$

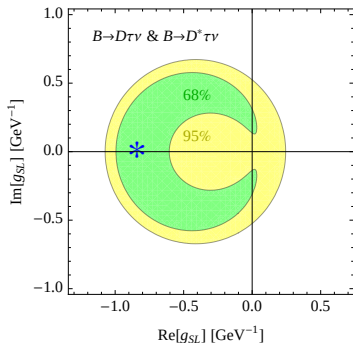
[with $P_{L,R} = (1 \mp \gamma_5)/2$]

$b \rightarrow c \ell \bar{\nu}_\ell$: effective Hamiltonian



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[with $P_{L,R} = (1 \mp \gamma_5)/2$]

- Fit to R_D and R_{D^*} leading to viable explanation with scalar ops
- However only few observables measured (neutrino in final state)
- Improving on $B \rightarrow D^*$ form factors ?

[Fajfer, Kamenik, Nisandzic, Becirevic, Tayduganov,

Pokorski, Crivellin, Freytsis, Ligeti, Ruderman...]