

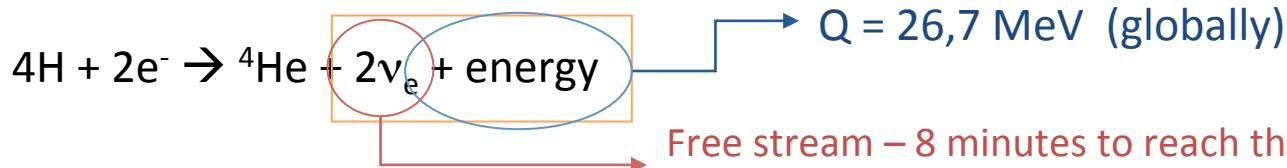
# The Sun and solar neutrinos

F. L. Villante

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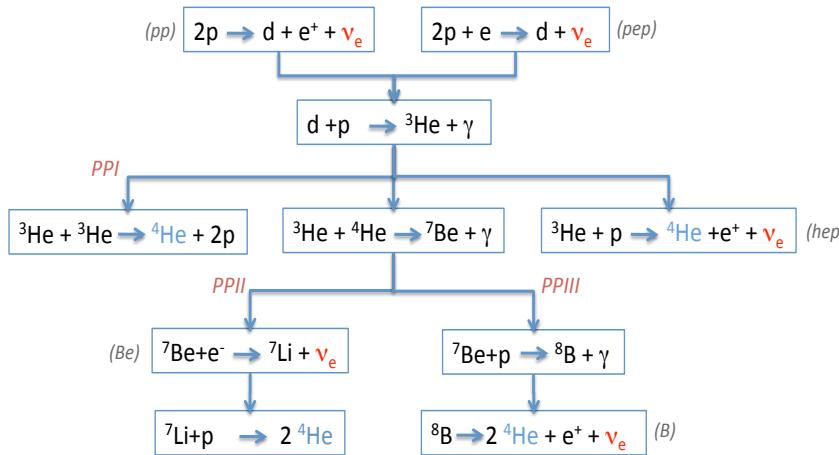
# Hydrogen Burning: PP chain and CNO cycle

The Sun is powered by nuclear reactions that transform H into  ${}^4\text{He}$ :

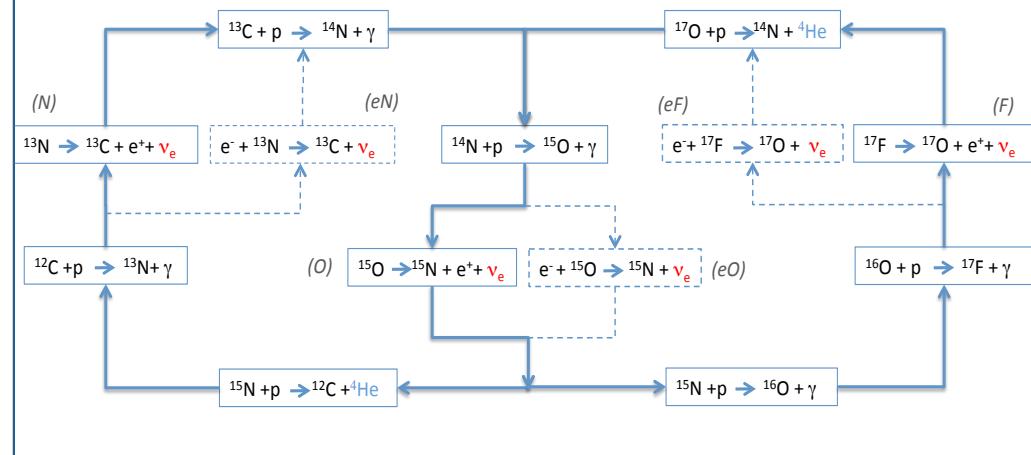


Free stream – 8 minutes to reach the earth  
Direct information on the energy producing region.

The PP-chain



The CN-NO (bi-)cycle

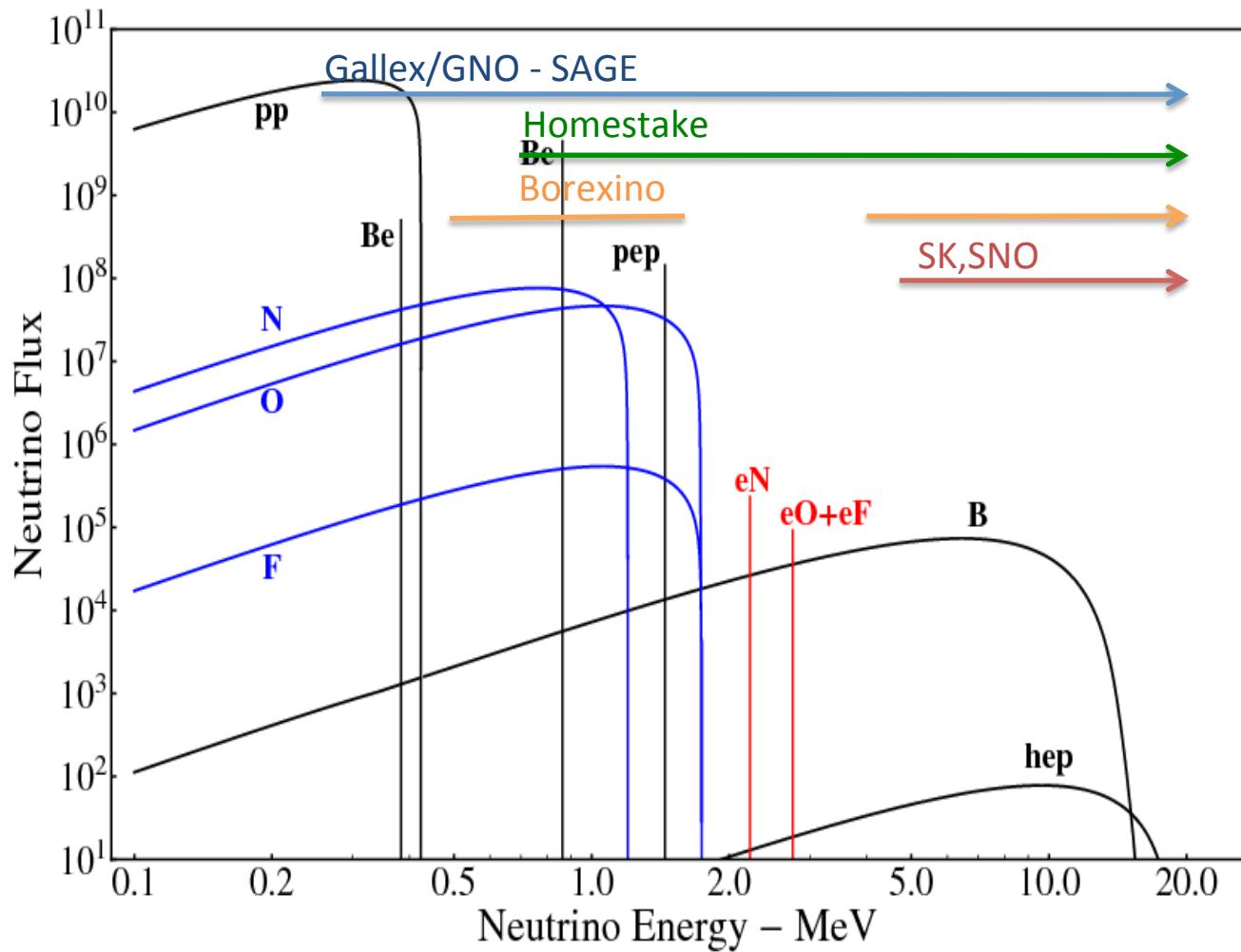


The **pp chain** is responsible for about 99% of the total energy (and neutrino) production.

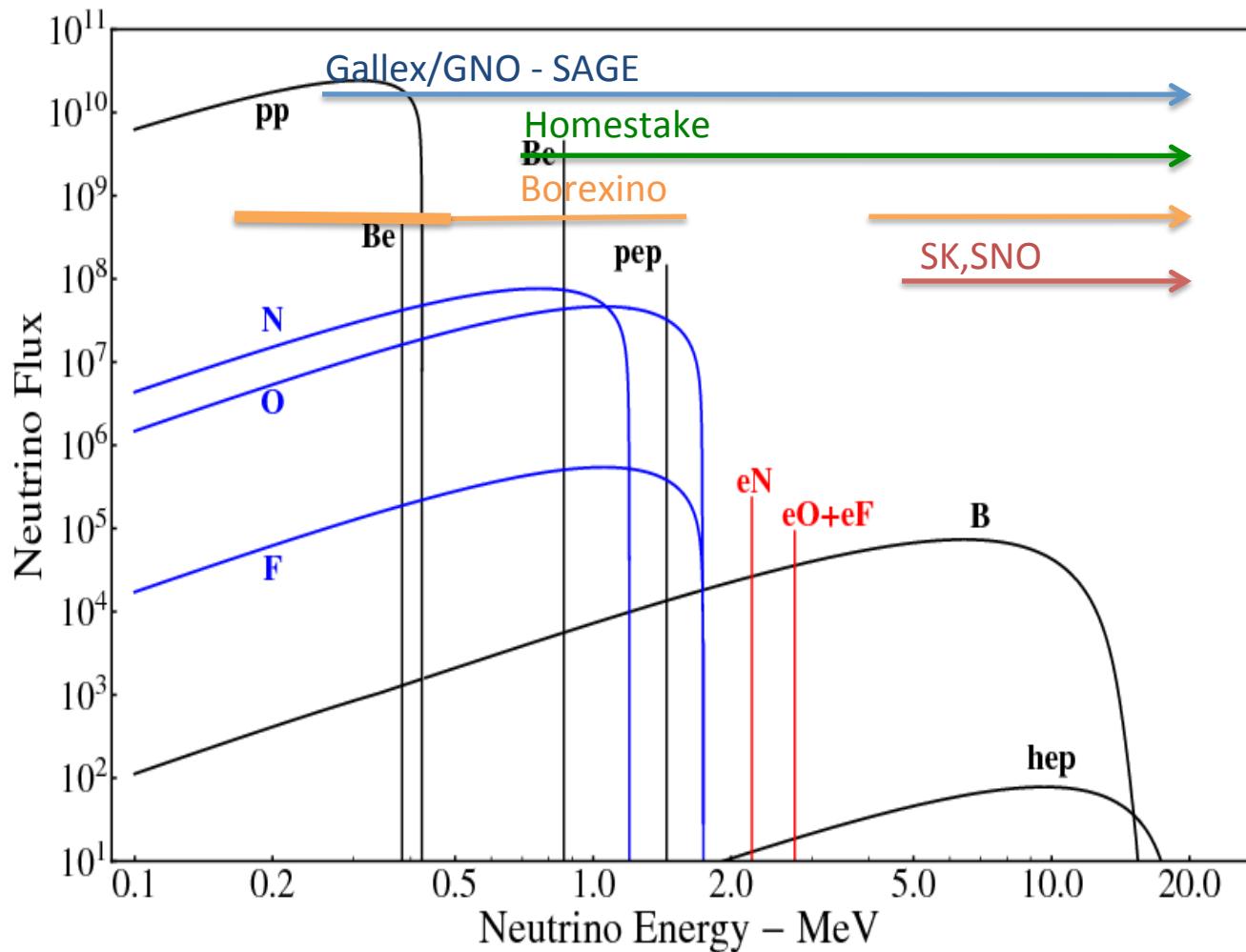
**C, N and O nuclei** are used as catalysts for hydrogen fusion.

**CNO (bi-)cycle** is responsible for about 1% of the total neutrino (and energy) budget. Important for more advanced evolutionary stages

# The solar neutrino spectrum



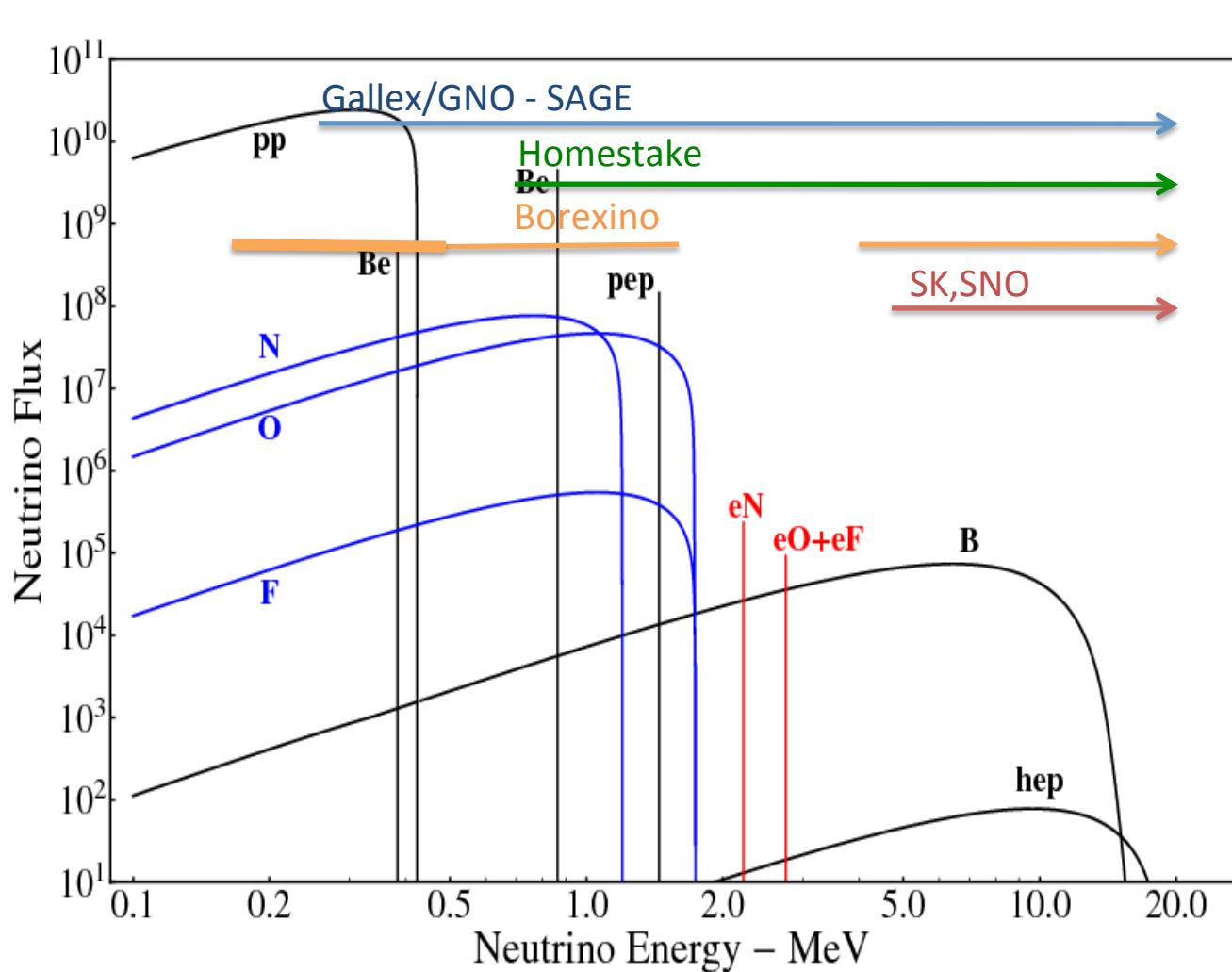
# The solar neutrino spectrum



$$\Phi_{\text{pp}} = (6.6 \pm 0.7) \times 10^{10} \text{ cm}^{-2} \text{ s}^{-1}$$

*Borexino, Nature 2014*  
*First direct measurement of  
the solar pp-component*

# The solar neutrino spectrum



Bergstrom et al, JHEP 2016

	Obs
$\Phi(pp)$	$5.971^{+0.037}_{-0.033}$
$\Phi(pep)$	$1.448 \pm 0.013$
$\Phi(hep)$	$\leq 19^{+12}_{-9}$
$\Phi(^7Be)$	$4.80^{+0.24}_{-0.22}$
$\Phi(^8B)$	$5.16^{+0.13}_{-0.09}$
$\Phi(^{13}N)$	$\leq 13.7$
$\Phi(^{15}O)$	$\leq 2.8$
$\Phi(^{17}F)$	$\leq 85$

Units:

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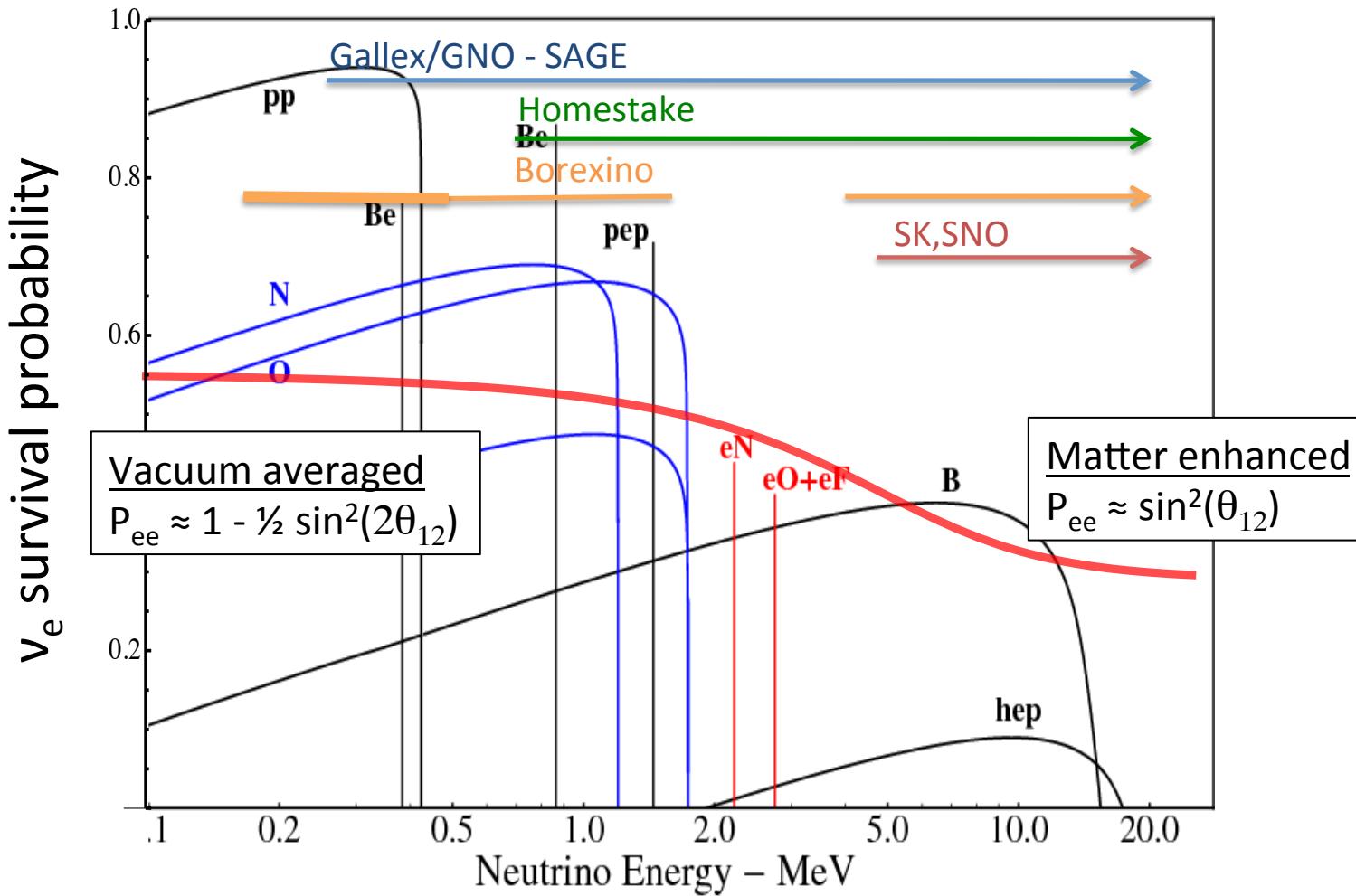
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$$\Phi_{pp} = (6.6 \pm 0.7) \times 10^{10} \text{ cm}^{-2} \text{ s}^{-1}$$

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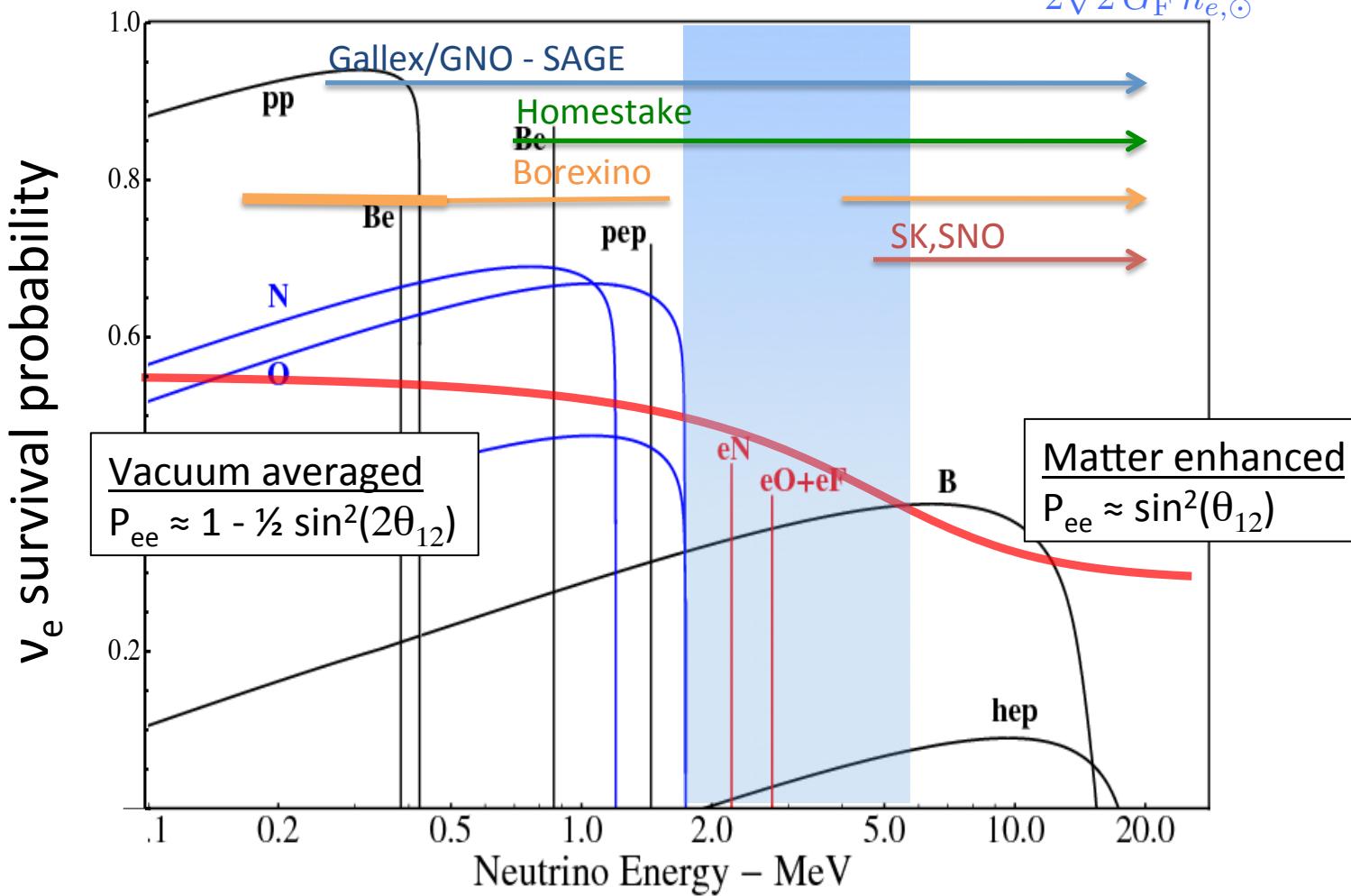
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# The solar neutrino survival probability

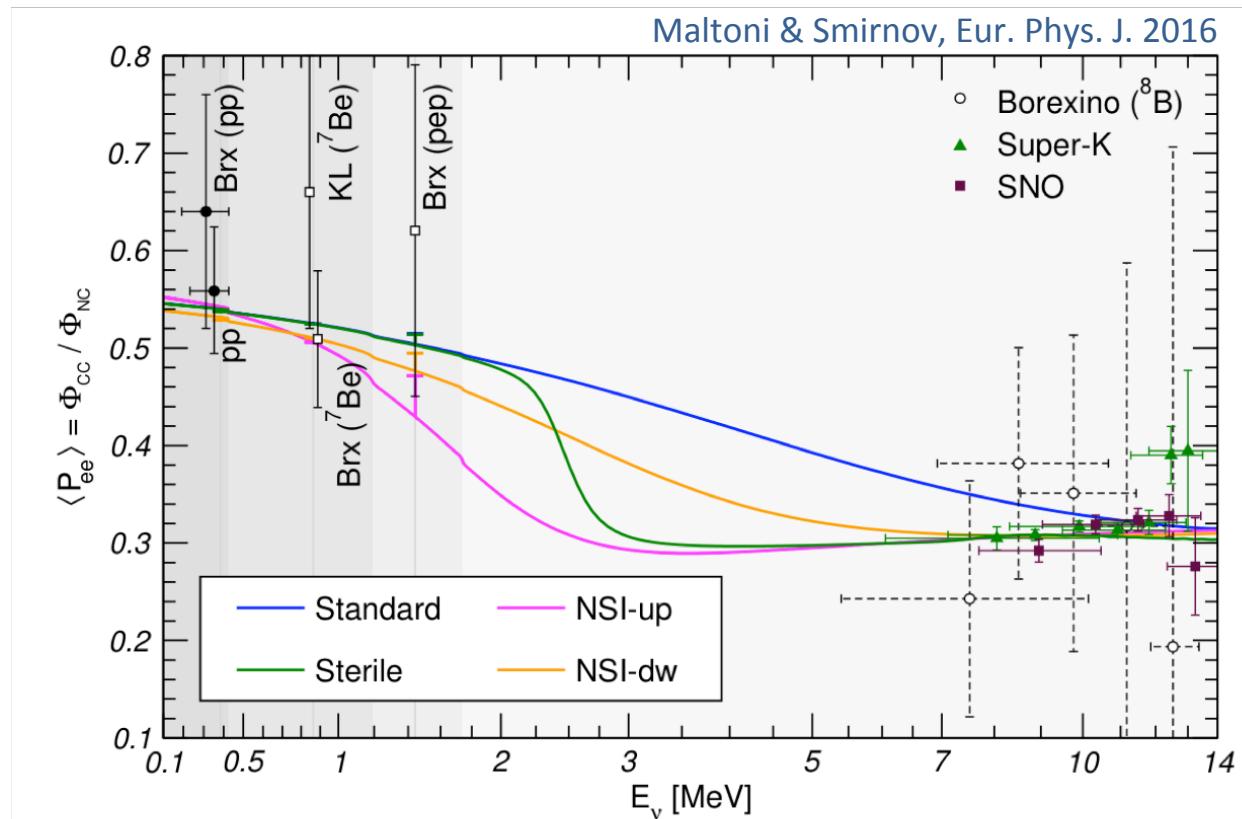


# The solar neutrino survival probability

“Transition” at:  $E^* = \frac{\Delta m_{21}^2 \cos(2\theta_{12})}{2\sqrt{2} G_F n_{e,\odot}}$



# The solar neutrino survival probability



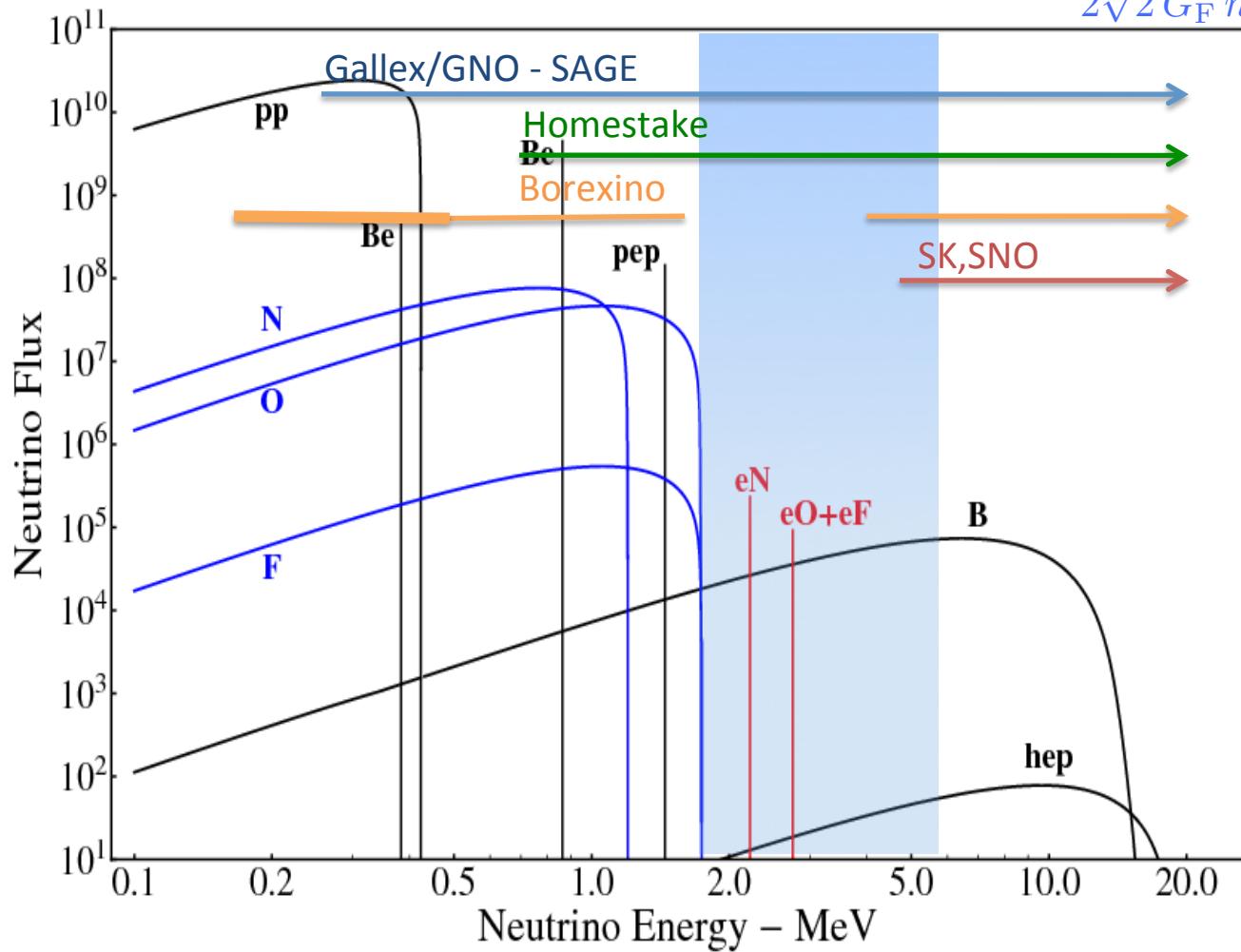
Combined analysis of SK I-IV (PRL 2014) also provided  $2.7\sigma$  evidence for D/N effec.

## The transition region:

- Final confirmation of LMA-MSW paradigm
- Constraints on new physics beyond the standard 3 $\nu$  paradigm:  
see e.g. Maltoni & Smirnov, Eur. Phys. J. 2016

# “Advertising” electron-capture CNO neutrinos ...

$$\text{“Transition” at: } E^* = \frac{\Delta m_{21}^2 \cos(2\theta_{12})}{2\sqrt{2} G_F n_{e,\odot}}$$



J.N. Bahcall, PRD 1990  
 L.C. Stonehill et al., PRC 2004  
 F.L. Villante, PLB 2015

## ecCNO neutrinos:

- produced by e.c. reactions within the CNO cycle  $\Phi_{\text{ecCNO}} \approx 1/20 \Phi_B$
- monochromatic (and located in the transition region)

# The Standard Solar Model (SSM)

Our comprehension of the Sun is based on the **Standard Solar Model (SSM)**.  
This implies:

- ✓ Stellar structure equations;  
( $\alpha$  = mixing length)
- ✓ Chemical evolution paradigm:  
ZAMS homogenous model ( $Y_{\text{ini}}$ ,  $Z_{\text{ini}}$ )  
Nuclear reactions + elemental diffusion
- ✓ Knowledge of the properties of solar plasma  
(i.e. opacity, equation of state, nuc. cross sections);

## No free parameters

The unknown quantities

-  $\alpha$ ,  $Y_{\text{ini}}$ ,  $Z_{\text{ini}}$ ,  
are fixed by requiring  
that the present Sun  
( $t_{\text{sun}} = 4.57$  Gyr)  
reproduces its  
observational properties  
-  $R_{\text{sun}}$ ,  $L_{\text{sun}}$ ,  $(Z/X)_{\text{surf}}$

Note that:

*The Sun provides the **benchmark** for stellar evolution. If there is something wrong in solar models, then this is wrong for all the stars ...*

# Latest (improved) SSM calculations

N.Vinyoles et al. ApJ 2017 [arXiv:1611.09867v1]

Flux	B16-GS98	B16-AGSS09met
$\Phi(\text{pp})$	$5.98(1 \pm 0.006)$	$6.03(1 \pm 0.005)$
$\Phi(\text{pep})$	$1.44(1 \pm 0.01)$	$1.46(1 \pm 0.009)$
$\Phi(\text{hep})$	$7.98(1 \pm 0.30)$	$8.25(1 \pm 0.30)$
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Units:

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- Improved EOS;
- Updated astrophysical factors ( $S_{11}$ ,  $S_{17}$ ,  $S_{114}$ );
- Different treatment of opacity uncertainties.

Heavy elements photospheric abundances → inputs for SSM calculations

Grevesse et al. 98 (GS98): 1D atm. model (old) – High metallicity

Asplund et al. 09 (AGSS09): 3D + NLT model (new) – Low metallicity  
(20% for C,N; 40% for O,Ne; 12% Fe,Si, S,Mg)

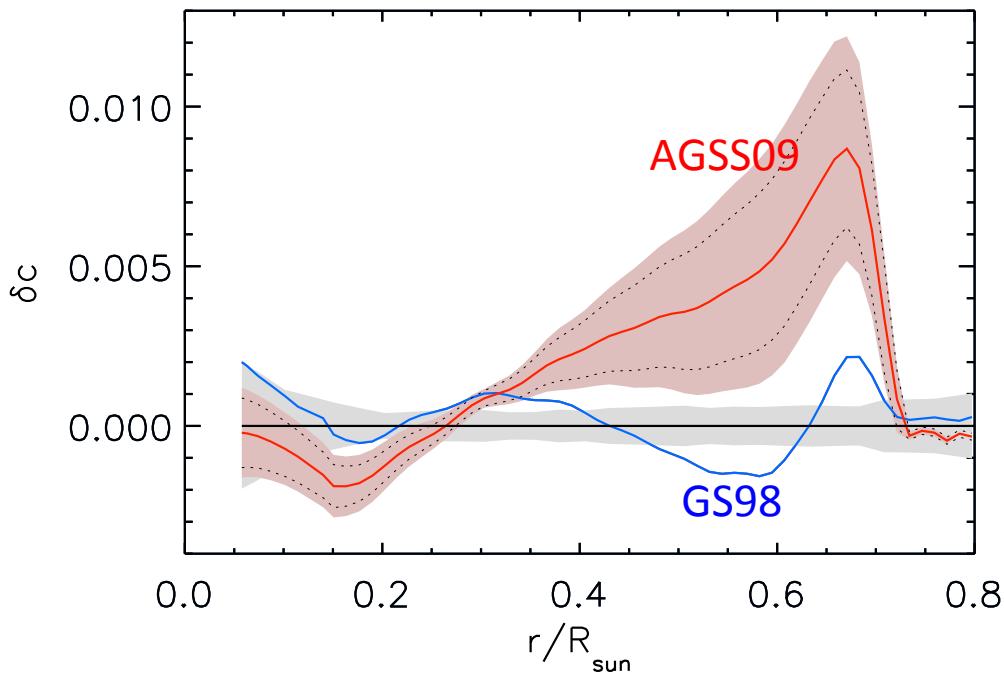
Note: GS98 and AGSS09 are used as references but do not exhaust the list of possible values.

See e.g.: CO<sup>5</sup>BOLD (Caffau et al, 2011)

Solar wind abundances (von Steiger & Zurbuchen, 2016) and rel. criticisms (Serenelli et al., 2016).

# SSM and Helioseismology

N.Vinyoles et al. ApJ 2017 [arXiv:1611.09867v1]



$$\delta c \equiv (c_{\text{obs}} - c_{\text{mod}})/c_{\text{mod}}$$

High-Z models are preferred by helioseismology.

	GS98	AGSS09	Obs
$\langle \delta c/c \rangle$	$0.0005^{+0.0006}_{-0.0002}$	$0.0021 \pm 0.001$	-
$R_{\text{cz}}/R_{\odot}$	$0.7117 \pm 0.0048$	$0.7224 \pm 0.0053$	$0.713 \pm 0.001$
$Y_{\text{S}}$	$0.2426 \pm 0.0059$	$0.2316 \pm 0.0059$	$0.2485 \pm 0.0035$
$Z_{\text{S}}$	$0.0170 \pm 0.0012$	$0.0134 \pm 0.0008$	-
$Y_{\text{C}}$	$0.6320 \pm 0.0053$	$0.6209 \pm 0.0062$	-
$Z_{\text{C}}$	$0.0200 \pm 0.0014$	$0.0159 \pm 0.0010$	-

# The solar composition problem

There is something **wrong** or **unaccounted** in solar models

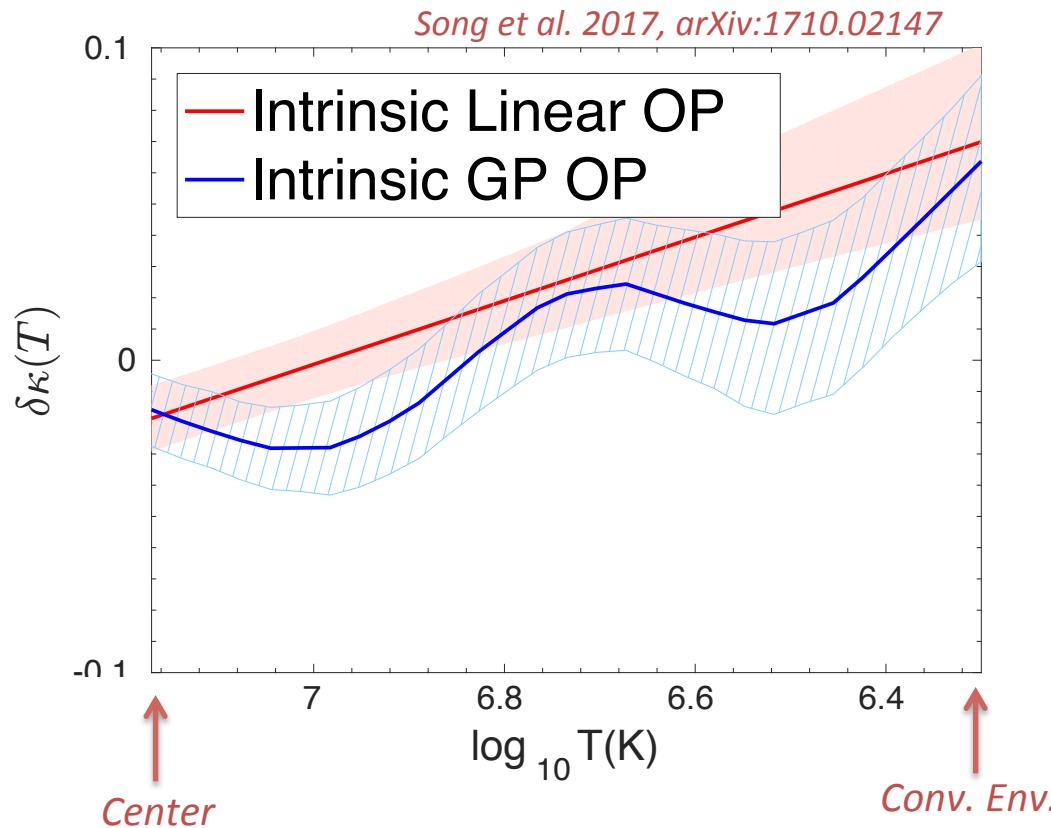
- Are the new abundances (i.e. the atmospheric model) **wrong**?  
see e.g. Villante et al., ApJ 2014  
Song et al., arXiv:1710.02147
- Are properties of the solar matter (e.g. **opacity**) correctly described?  
see e.g. Song et al., arXiv:1710.02147  
Villante, ApJ 2011  
Christensen-Dalsgaard et al, A&A 2009  
Bailey et al, Nature 2015; Krief et al, arXiv:1603.01153
- Non standard effects (e.g. DM accumulation in the solar core)?  
see e.g. Vincent et al. – arxiv:1411.6626 / 1504.04378 / 1605.06502
- Is the **chemical evolution** not understood (extra mixing?) or peculiar (accretion?) with respect to other stars?  
see e.g. Serenelli et al. – ApJ 2011

Note that:

It is not just the problem of deciding between AGSS09 (new) and GS98 (old and presumably wrong) abundances

# Wrong opacity?

We can use helioseismology + neutrinos ( $R_b, Y_b$ ;  $c(r)$ ;  $\Phi_\nu$ ) to determine the optimal opacity profile of the Sun



- NB: The final results depends on the assumed composition (opacity-composition degeneracy)
- The above profile is obtained by assuming a flat prior [AGSS09- $3\sigma$ , GS98+ $3\sigma$ ] for abundances

# The $^{7}\text{Be}$ and $^{8}\text{B}$ neutrino fluxes

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$\Phi(\text{pp})$	$5.98(1 \pm 0.006)$	$6.03(1 \pm 0.005)$	$5.971_{(1-0.005)}^{(1+0.006)}$
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$\Phi(\text{hep})$	$7.98(1 \pm 0.30)$	$8.25(1 \pm 0.30)$	$19_{(1-0.47)}^{(1+0.63)}$
$\Phi(^7\text{Be})$	$4.93(1 \pm 0.06)$	$4.50(1 \pm 0.06)$	$4.80_{(1-0.046)}^{(1+0.050)}$
$\Phi(^8\text{B})$	$5.46(1 \pm 0.12)$	$4.50(1 \pm 0.12)$	$5.16_{(1-0.017)}^{(1+0.025)}$
$\Phi(^{13}\text{N})$	$2.78(1 \pm 0.15)$	$2.04(1 \pm 0.14)$	$\leq 13.7$
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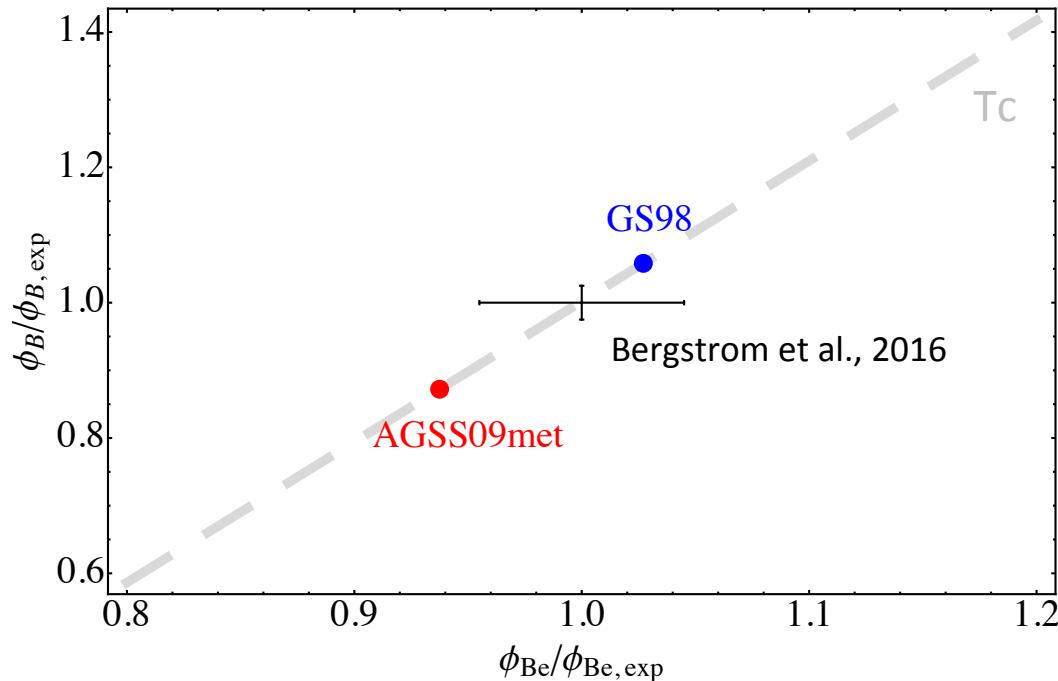
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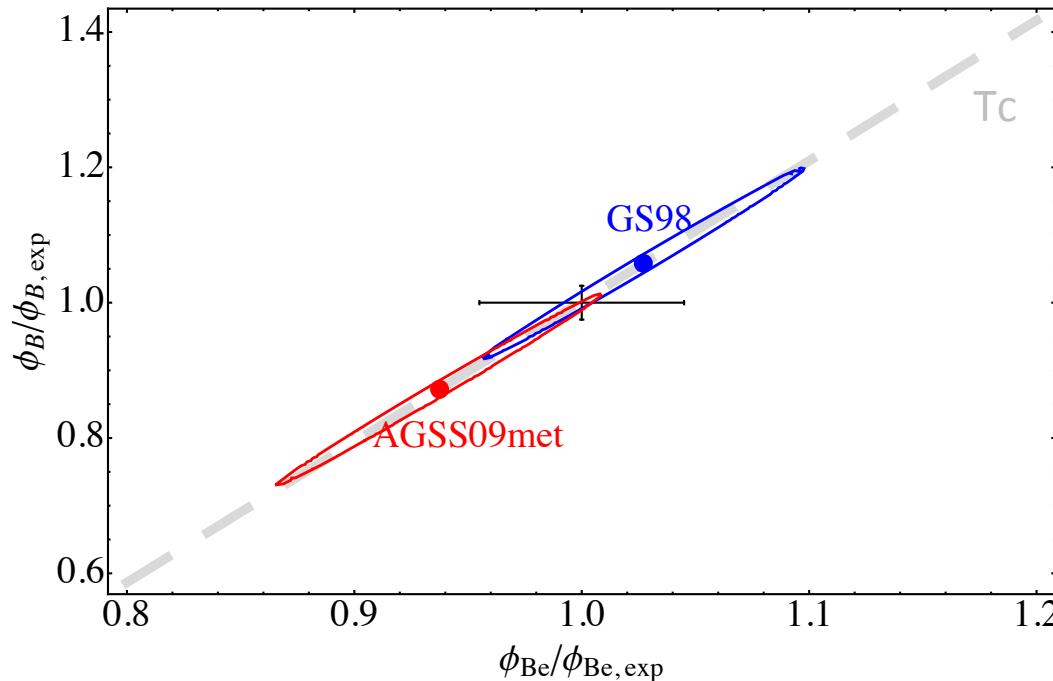


$$\phi_B \propto T_c^{20} \quad \rightarrow \quad (\delta T_c)_{\text{AGSS09}}^{\text{GS98}} \leq 1\%$$

Exp. data are sufficiently accurate to discriminate GS98-AGSS09met central values.

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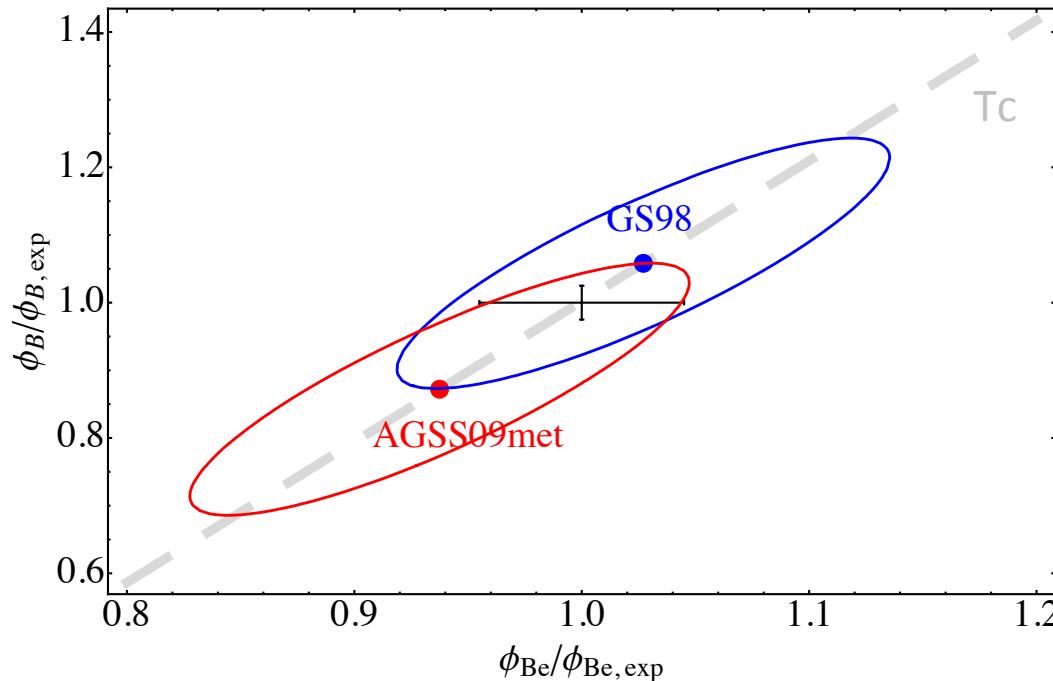
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Unfortunately, **theoretical uncertainties dominate the error budget**. These are due to:

- Surface composition
- Environmental parameters: opacity (few %), diffusion coeff. (15%), etc
- Nuclear cross section:  $S_{17}(4.7\%)$ ,  $S_{33}(5.2\%)$ ,  $S_{34}(5.4\%)$  dominant error sources

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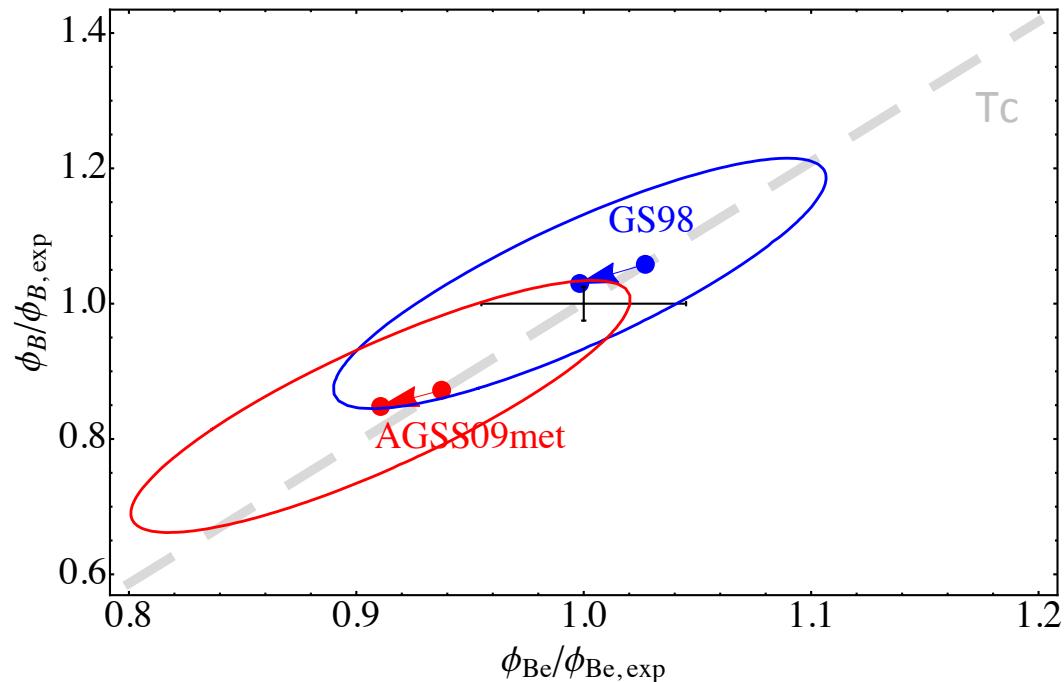
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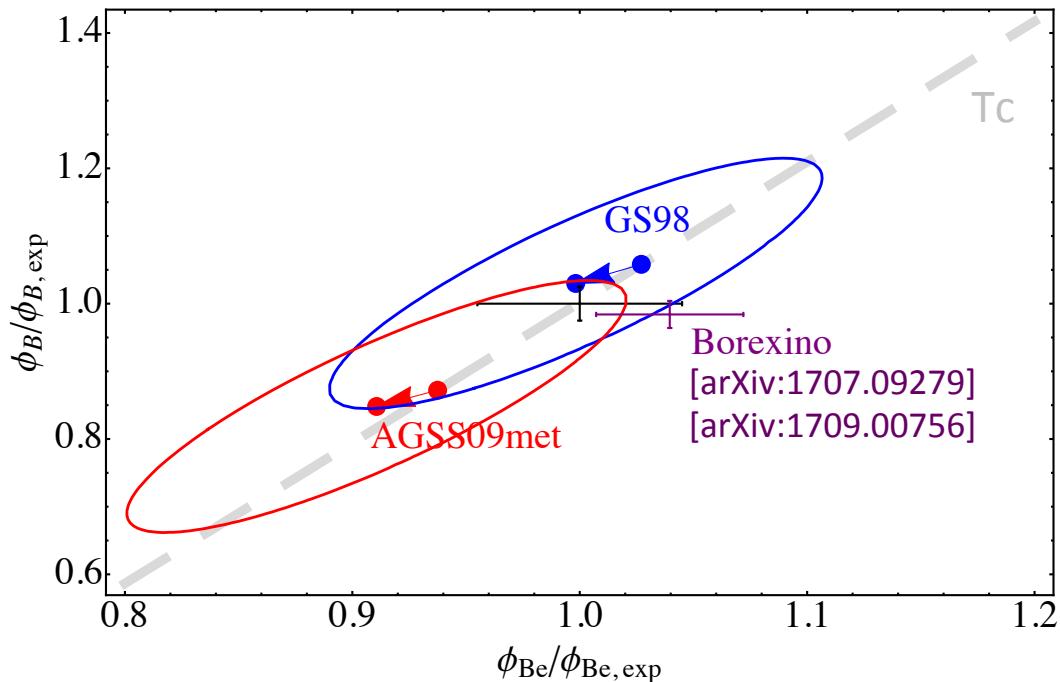
# The role of ${}^3\text{He}(\alpha, \gamma){}^7\text{Be}$ cross section



$$\phi_B \propto T_c^{20} \rightarrow (\delta T_c)_{\text{AGSS09}}^{\text{GS98}} \leq 1\%$$

- $S_{34}$  astrophysical factor determines the branching of different terminations in pp-chain
- B16-SSMs adopt [Adelberger et al 2011](#) recommended value (with 5.4% uncertainty)
- [deBoer et al. 2014](#) provided a new determination of  $S_{34}$  (not a new measure) based on R-matrix fit of the data → ≈ 3% lower than [Adelberger et al 2011](#);
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# The new Borexino results



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The CNO cycle uses C, N and O nuclei are used as catalysts for hydrogen fusion.

$$\frac{\phi(^{15}\text{O})}{\phi^{\text{SSM}}(^{15}\text{O})} \simeq \left[ \frac{C + N}{C^{\text{SSM}} + N^{\text{SSM}}} \right] \left( \frac{T_c}{T_c^{\text{SSM}}} \right)^{20}$$

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Serenelli et al., PRD 2013

- ${}^8B$  neutrinos are used as a **solar thermometer**;
- ${}^{15}O/{}^8B$  → breaks the (otherwise complete) **degeneracy** between **temperature stratification** (i.e. opacity, DM accumulation, etc.) and **chemical composition** effects (accretion, diffusion, atmospheric models, etc.)

## High-Z .vs. Low-Z:

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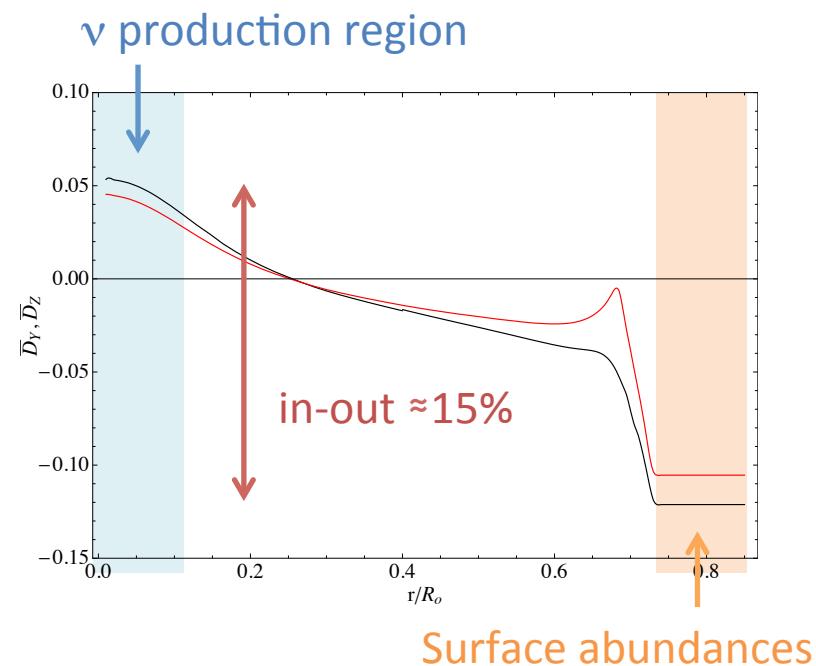
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## Beyond solar composition problem (10%):

CNO neutrinos allow us to test for mixing processes in the Sun (and other stars)

$$Y(r) = Y_{\text{ini}} [1 + D_Y(r)] + Y_{\text{nuc}}(r)$$

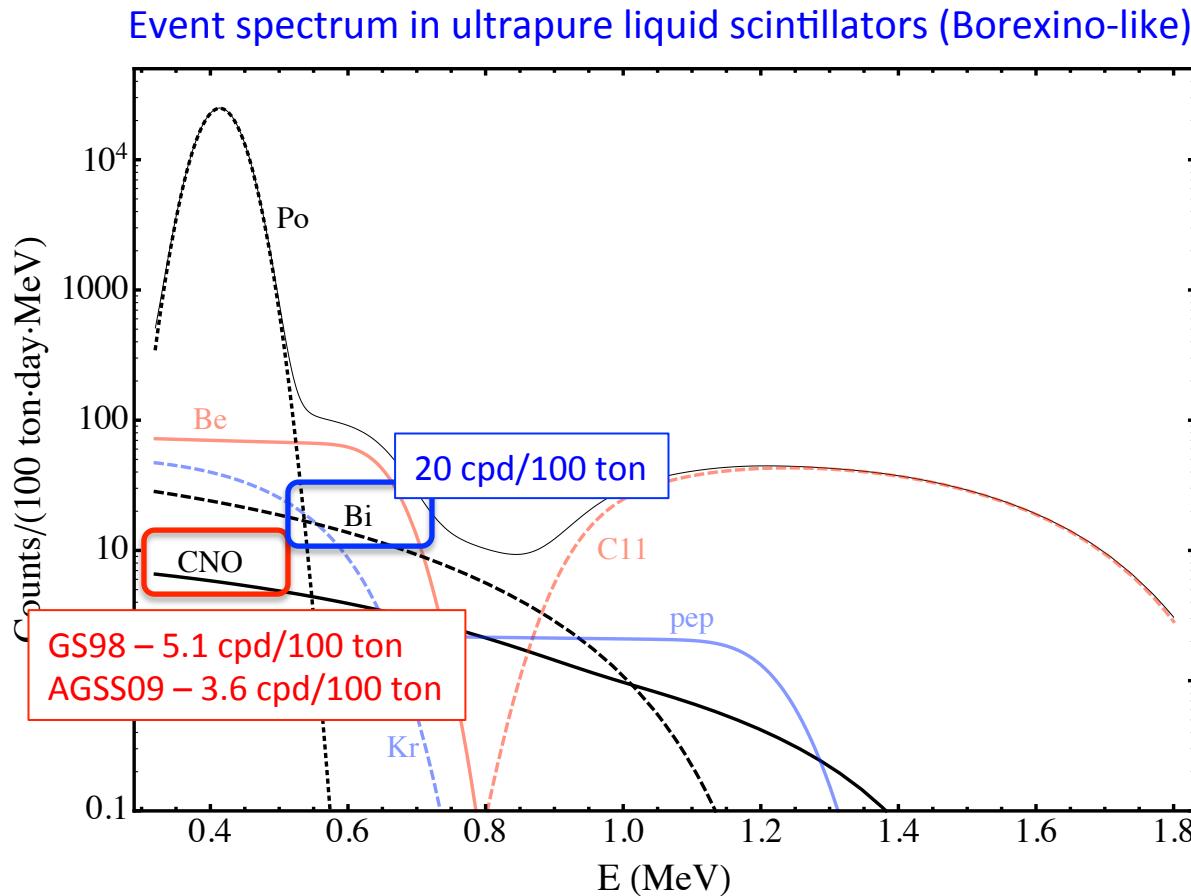
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# Is it possible to observe CNO neutrinos in LS?

The detection of CNO neutrinos is very difficult:

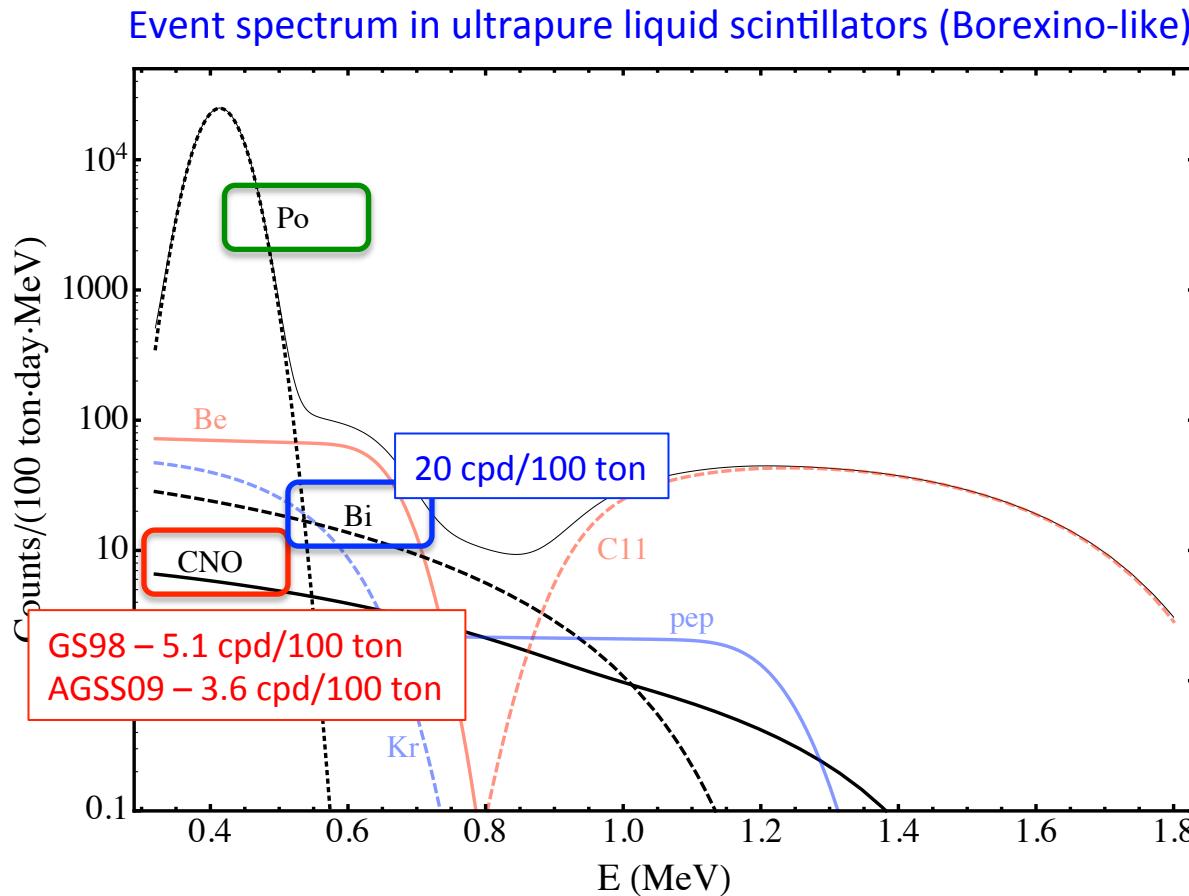
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Determining  $^{210}\text{Bi}$  from  $^{210}\text{Po}$  time evolution?

*Not impossible, in principle. Very difficult, in practice .....*

Villante et al., PLB 2011

## How to improve?

- Increase the detector depth → reduction of cosmogenic  $^{11}\text{C}$  background  
*SNO+: factor 100 lower than BX*
- Consider larger detectors → Stat. uncertainties scales as  $1/\text{M}^{1/2}$   
*SNO+ (1 kton), LENA (50 kton)*

The final accuracy depends, however, on the internal background ( $^{210}\text{Bi}$ )

Borexino: **20cpd/100 ton → 150 nuclei / 100 ton**

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## Future Proposals

- Water based Liquid Scintillators (WbLS)
- “Salty” WbLS → doped (1% by mass) with  $^7\text{Li}$  (CC detection of  $\nu_e$  on  $^7\text{Li}$ )
- **Advanced Scintillator Detector Concept** discussed in arXiv:1409.5864 (assuming 30-100 kton detector)  
See also G. Orebi-Gann talk@Neutrino2014

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- Consider larger detectors → Stat. uncertainties scales as  $1/\text{M}^{1/2}$   
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The final accuracy depends, however, on the internal background ( $^{210}\text{Bi}$ )  
Borexino: **20cpd/100 ton** → **150 nuclei / 100 ton**

## Future Proposals

- Water based Liquid Scintillators (WbLS)
- “Salty” WbLS → doped (1% by mass) with  $^7\text{Li}$  (CC detection of  $\nu_e$  on  $^7\text{Li}$ )
- Advanced Scintillator Detector Concept discussed in arXiv:1409.5864 (assuming 30-100 kton detector)  
See also G. Orebi-Gann talk@Neutrino2014
- G2 DD dark matter experiments will probe solar neutrinos, see e.g.  
Cerdeno et al., arXiv:1604.01025;  
Franco et al. arXiv:1510.04196 (300 ton Lar-detector@LNGS for solar- $\nu$ ).

# How to improve?

- |                             |   |
|-----------------------------|---|
| Increase the detector depth | → reduction of cosmogenic $^{11}\text{C}$ background<br><i>SNO+: factor 100 lower than BX</i> |
| Consider larger detectors   | → Stat. uncertainties scales as $1/\text{M}^{1/2}$<br><i>SNO+ (1 kton), LENA (50 kton)</i>    |

The final accuracy depends, however, on the internal background ( $^{210}\text{Bi}$ )  
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## Future Proposals

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Franco et al. arXiV:1510.04196 (300 ton Lar-detector@LNGS for solar- $\nu$ ).
- ecCNO neutrinos: A challenge for gigantic ultra-pure LS detectors (Villante, PLB 2015)  
Expt. requirements: *as clean (and deep) as Borexino;*  
*as large as JUNO;*

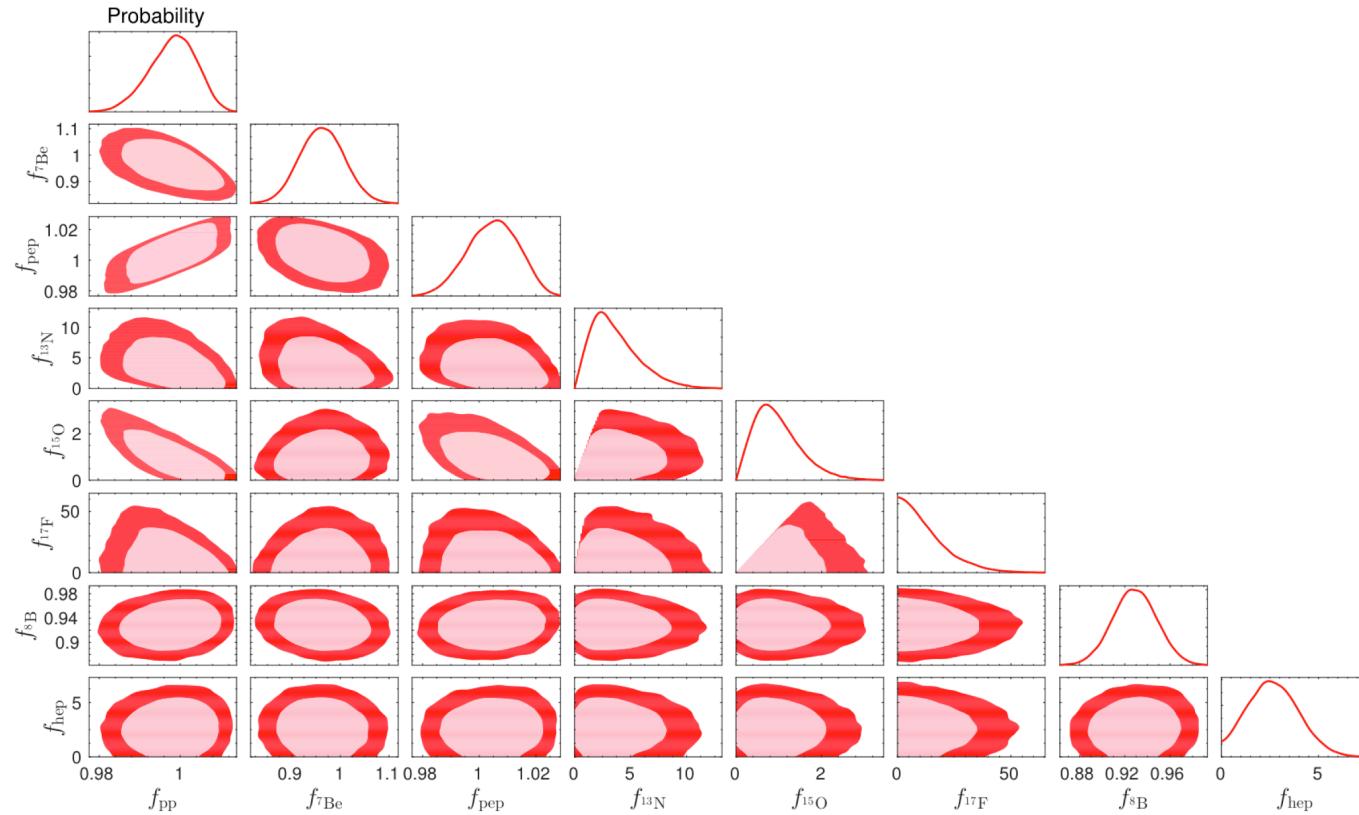
# Summary and conclusions

- ✓ Helioseismology shows that a **solar composition problem** exists. This could potentially indicate inadequacies in the standard solar model paradigm.
- ✓ Borexino opened the way to **pp-neutrino** detection and tested the dominant hydrogen burning mechanism in the Sun.
- ✓ **CNO neutrinos** would allow us to see the dominant hydrogen burning mechanism in more massive and/or evolved stars and to test for mixing processes in the Sun.
- ✓ **CNO neutrino** detection requires careful bkgd evaluation in existing or next future LS detectors and/or new experimental approaches.

Thank you

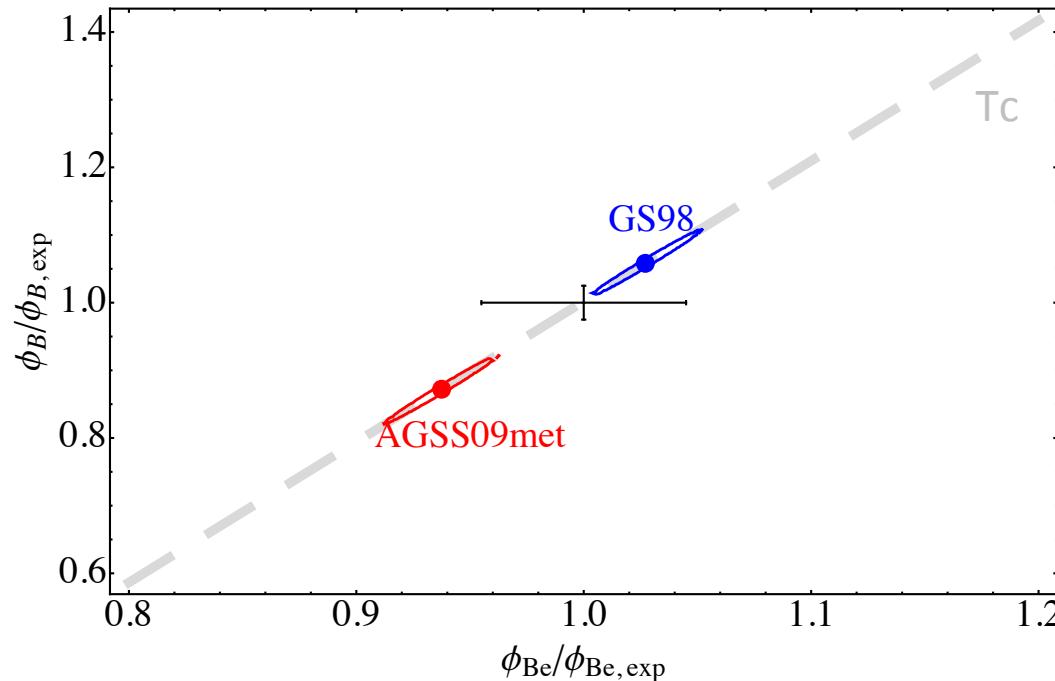
# Additional slides

# Observational constraints on n fluxes – Bergstrom et al, JHEP 2016



# SSM and neutrinos

N.Vinyoles et al., 2016 – arXiv:1611.09867v1



$$\phi_B \propto T_c^{20} \quad \rightarrow \quad (\delta T_c)_{AGSS09}^{GS98} \leq 1\%$$

Exp. data are sufficiently accurate to discriminate GS98-AGSS09met central values.  
Unfortunately, **theoretical uncertainties dominate the error budget**. These are due to:

- Surface composition
- Environmental parameters: opacity (few %), diffusion coeff. (15%), etc
- Nuclear cross section:  $S_{17}(4.7\%)$ ,  $S_{33}(5.2\%)$ ,  $S_{34}(5.4\%)$  dominant error sources

At the moment,  $^7\text{Be}$  and  $^8\text{B}$  neutrinos do not determine composition with suff. accuracy

# Helioseismology

The Sun is a non radial oscillator. The observed oscillation frequencies can be used to determine the properties of the Sun. Linearizing around a known solar model:

$$\frac{\delta\nu_{nl}}{\nu_{nl}} = \int_0^R dr K_{u,Y}^{nl}(r) \frac{\delta u}{u}(r) + \int_0^R dr K_{Y,u}^{nl}(r) \delta Y + \frac{F(\nu_{nl})}{\nu_{nl}}$$

squared isothermal sound speed

Related to temperature stratification in the sun

surface helium abundance

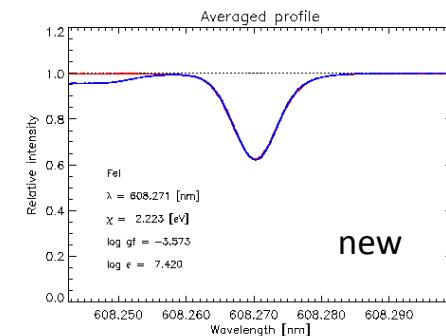
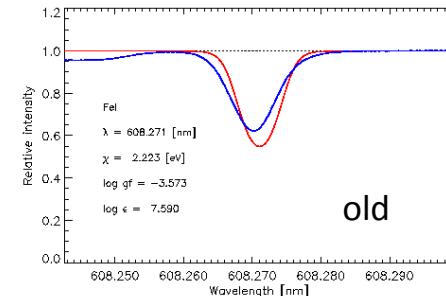
See Basu & Antia 07  
for a review

Re-determination of the photospheric abundances of nearly all available elements (**inputs for SSM calculations**)

Improvements with respect to previous analysis<sup>(\*)</sup>:

- 3D model instead of the classical 1D model of the lower solar atmosphere
- Careful and very demanding selection of the spectral lines... **AVOID blends!!! NOT TRIVIAL!!!**
- Careful choice of the atomic and molecular data **NOT TRIVIAL!!!!**
- NLTE instead of the classical LTE hypothesis... **WHEN POSSIBLE !!!**
- Use of **ALL** indicators (atoms as well as molecules,CNO)
- **Downward revision of heavy elements photospheric abundances ...**

$$[I/H] \equiv \log (N_I/N_H) + 12$$



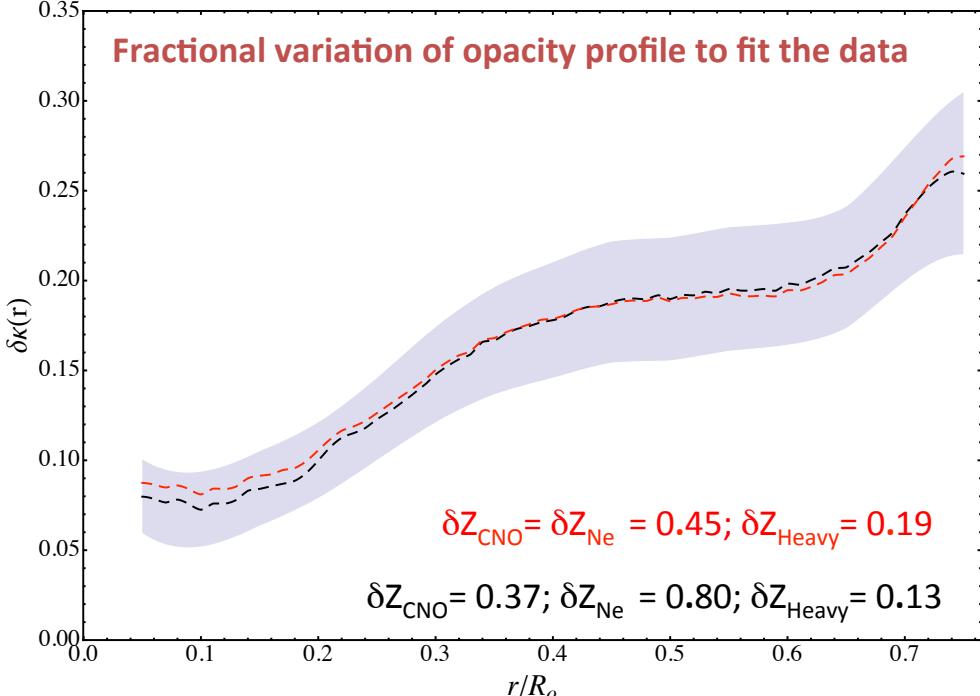
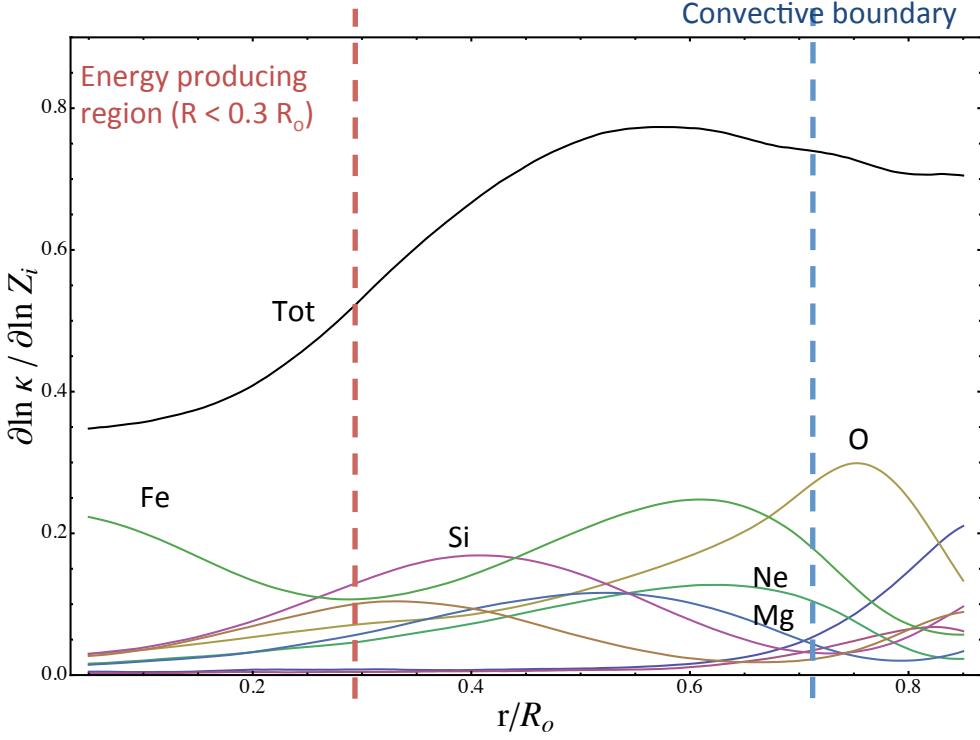
Element	GS98	AGSS09	$\delta z_i$
C	$8.52 \pm 0.06$	$8.43 \pm 0.05$	0.23
N	$7.92 \pm 0.06$	$7.83 \pm 0.05$	0.23
O	$8.83 \pm 0.06$	$8.69 \pm 0.05$	0.38
Ne	$8.08 \pm 0.06$	$7.93 \pm 0.10$	0.41
Mg	$7.58 \pm 0.01$	$7.53 \pm 0.01$	0.12
Si	$7.56 \pm 0.01$	$7.51 \pm 0.01$	0.12
S	$7.20 \pm 0.06$	$7.15 \pm 0.02$	0.12
Fe	$7.50 \pm 0.01$	$7.45 \pm 0.01$	0.12
$Z/X$	0.0229	0.0178	0.29

# The role of metals

A change of the solar composition produces the same effects on the helioseismic observables and on neutrino fluxes (except CNO neutrinos) of a **suitable change of the solar opacity profile  $\delta\kappa(r)$** .

$$\delta\kappa(r) = \sum_j \frac{\partial \ln \kappa(r)}{\partial \ln Z_j} \delta z_j$$

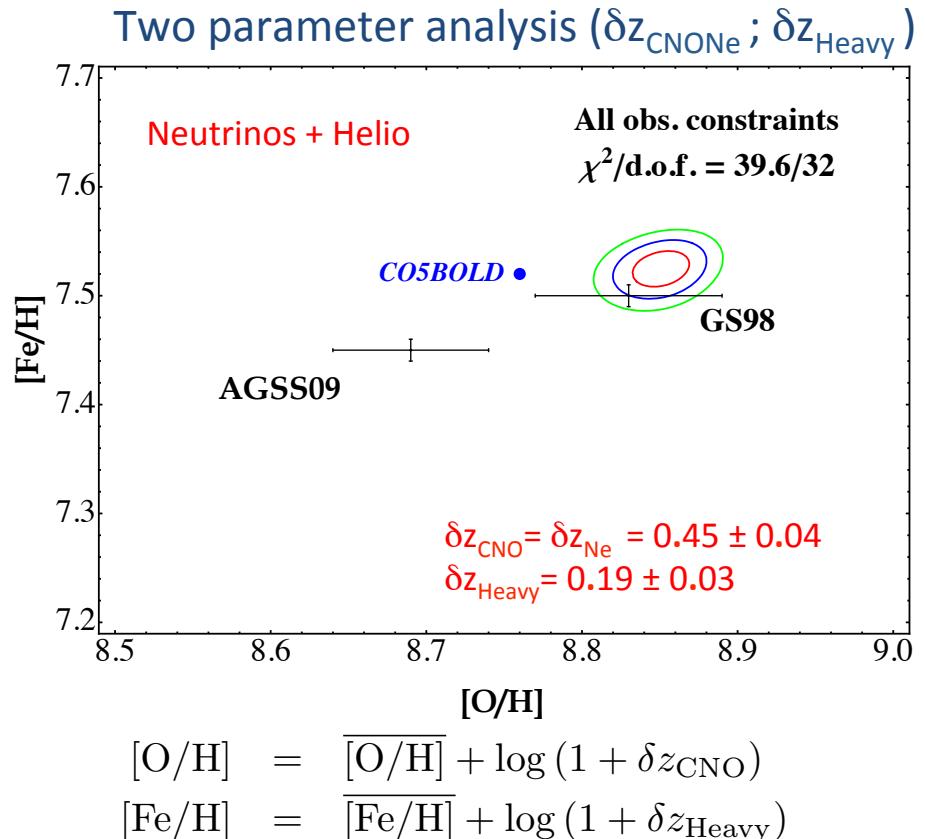
- ✓ Opacity (not composition) is directly constrained by present obs. data.
- ✓ The required variations are too large wrt uncertainties ( $\approx$  few %)
- ✓ Different admixtures  $\{\delta z_i\}$  can reproduce (equally well) the required  $\delta\kappa(r)$ ;



# Wrong surface composition?

We can use helioseismology + neutrinos ( $R_b, Y_b$ ;  $\Phi_B, \Phi_{Be}$ ;  $c_1, \dots, c_{30}$ ) to determine the optimal composition (Villante et al. – ApJ 2014):

- The best-fit abundances are **consistent** at  $1\sigma$  with **GS98**. The **errors** on the inferred abundances **are smaller** than what is obtained by observational determinations.
- Substantial agreement between the infos provided by the various obs. constraints. The quality of the fit is quite good being  $\chi^2/\text{d.o.f.} = 39.6/32$ .

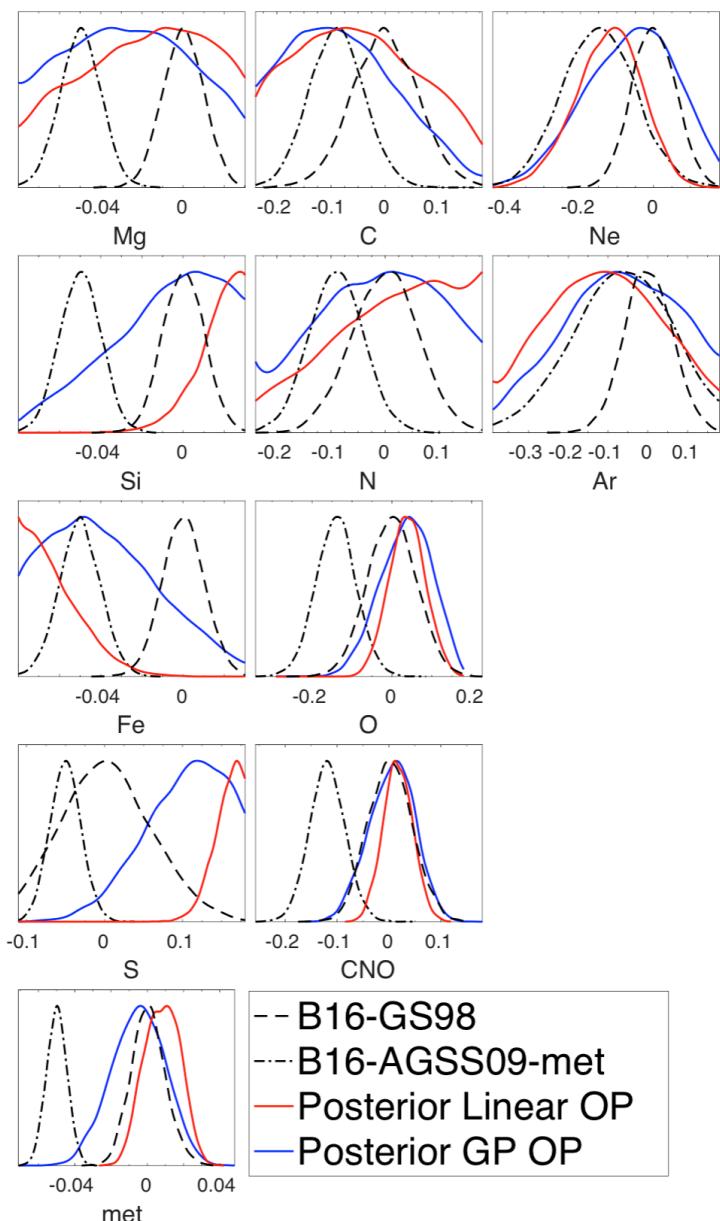


However, data are not effective in constraining composition **in more realistic scenarios**:

- different admixtures  $\{\delta z_i\}$  can reproduce (equally well) the required  $\delta k(r)$ ;
- no real constraints on the Ne/O ratio

# Wrong surface composition?

We can use helioseismology + neutrinos ( $R_b, Y_b$ ;  $c(r)$ ;  $\Phi_\nu$ ) to determine the optimal composition (Song et al. 2017):

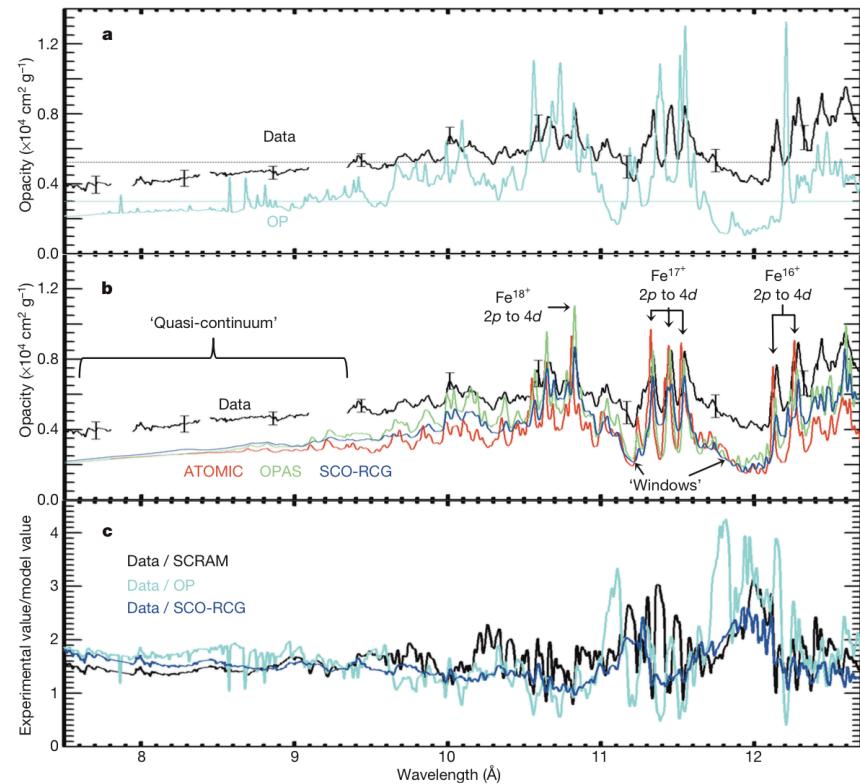


# Wrong opacity?

(Very) recent progress:

- Opacity is being measured at stellar interiors conditions (see [Bailey et al., Nature 2015](#));
- Monochromatic opacity is higher than expected for iron (up to a factor 2);
- Total opacity of solar plasma (integrated over the wavelength and summed over the composition), is increased by about 7%

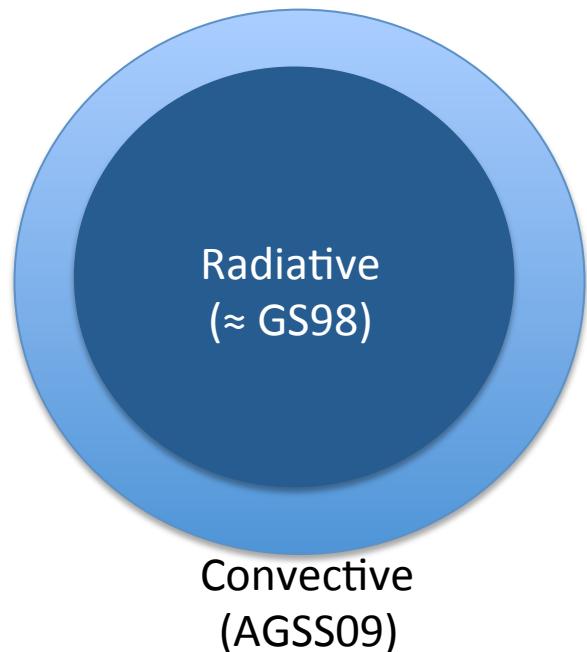
Bailey et al., *Nature* 2015



# Wrong chemical evolution?

Helioseismic observables and neutrino fluxes are sensitive to **the metallicity of the radiative core of the Sun**.

The observations determine **the chemical composition of the convective envelope** (2-3% of the solar mass).



Difference between AGSS09 and GS98 correspond to  $\approx 40M_{\oplus}$  of metal, when integrated over the Sun's convective zone.

**Could this difference be accounted in non standard chemical evolution scenarios (e.g. by accretion of material with non standard composition)?**

See A. Serenelli et al. – ApJ 2011

*This is a well posed and extremely important question but ...*

*... no satisfactory solutions have been proposed up to now, in my opinion*

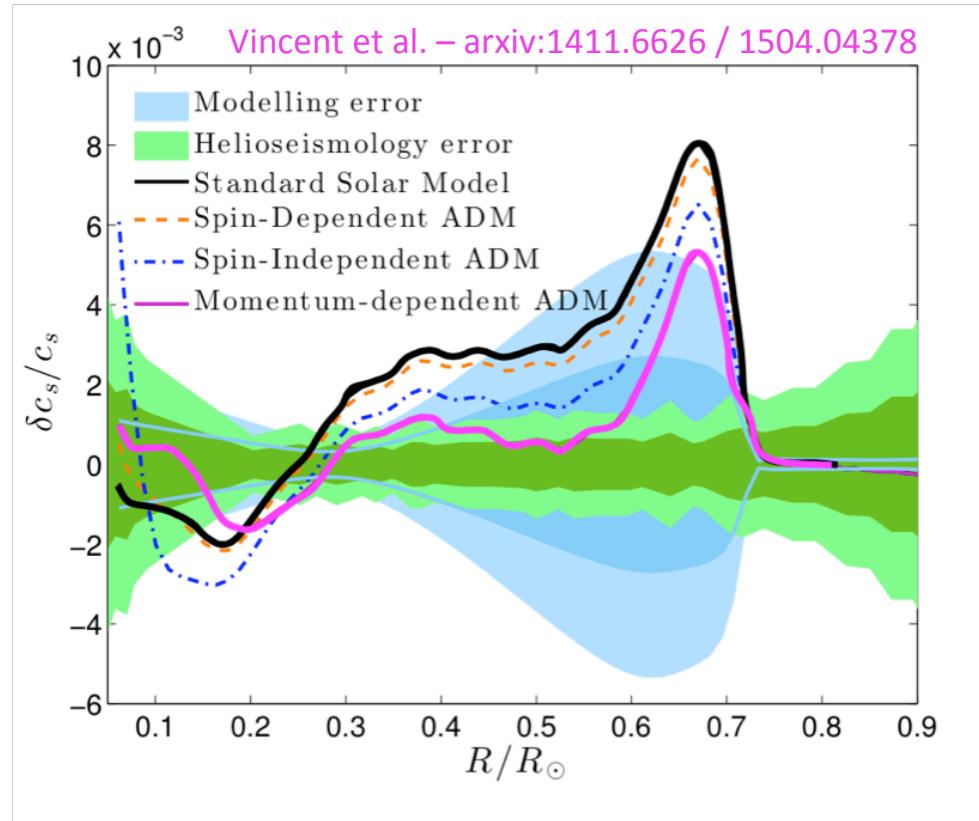
# Asymmetric DM

DM accumulation in the solar core:

- Additional energy transport;
- **Reduction** of the “effective opacity”;
- Modification of temperature profile;

Agreement with helioseismic data can be improved. However:

- DM accumulation do not provide the optimal opacity profile;
- Potential tension with neutrino fluxes and surface helium;
- **Caveat:** DM evaporation not accounted for (relevant for few GeV masses)

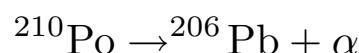


$$\sigma = \sigma_0 \left( \frac{q}{q_0} \right)^2 \quad \begin{cases} m_\chi &= 3 \text{ GeV} \\ \sigma_0 &= 10^{-37} \text{ cm}^2 \\ q_0 &= 40 \text{ MeV} \end{cases}$$

# Determining $^{210}\text{Bi}$ with the help of $^{210}\text{Po}$ ?

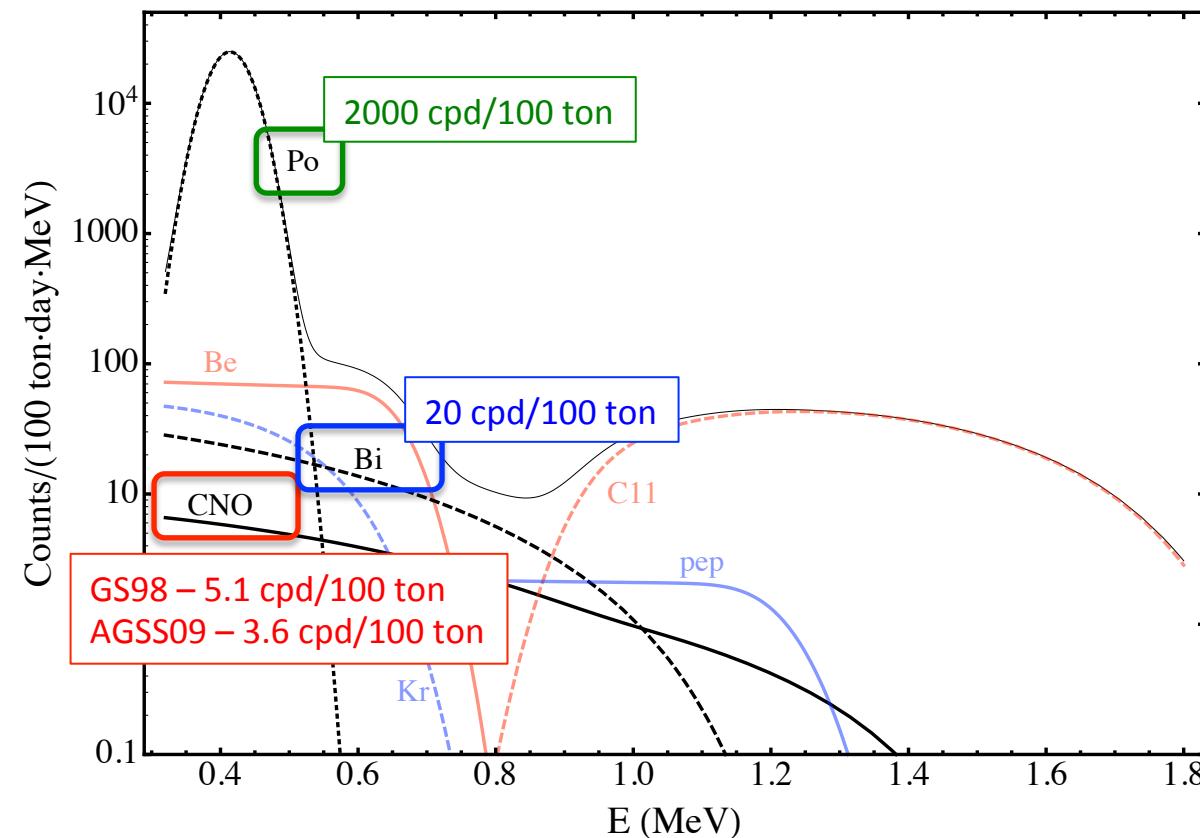


$$\tau_{\text{Bi}} = 7.232 \text{ d}$$



$$\tau_{\text{Po}} = 199.634 \text{ d}$$

Event spectrum in ultrapure liquid scintillators



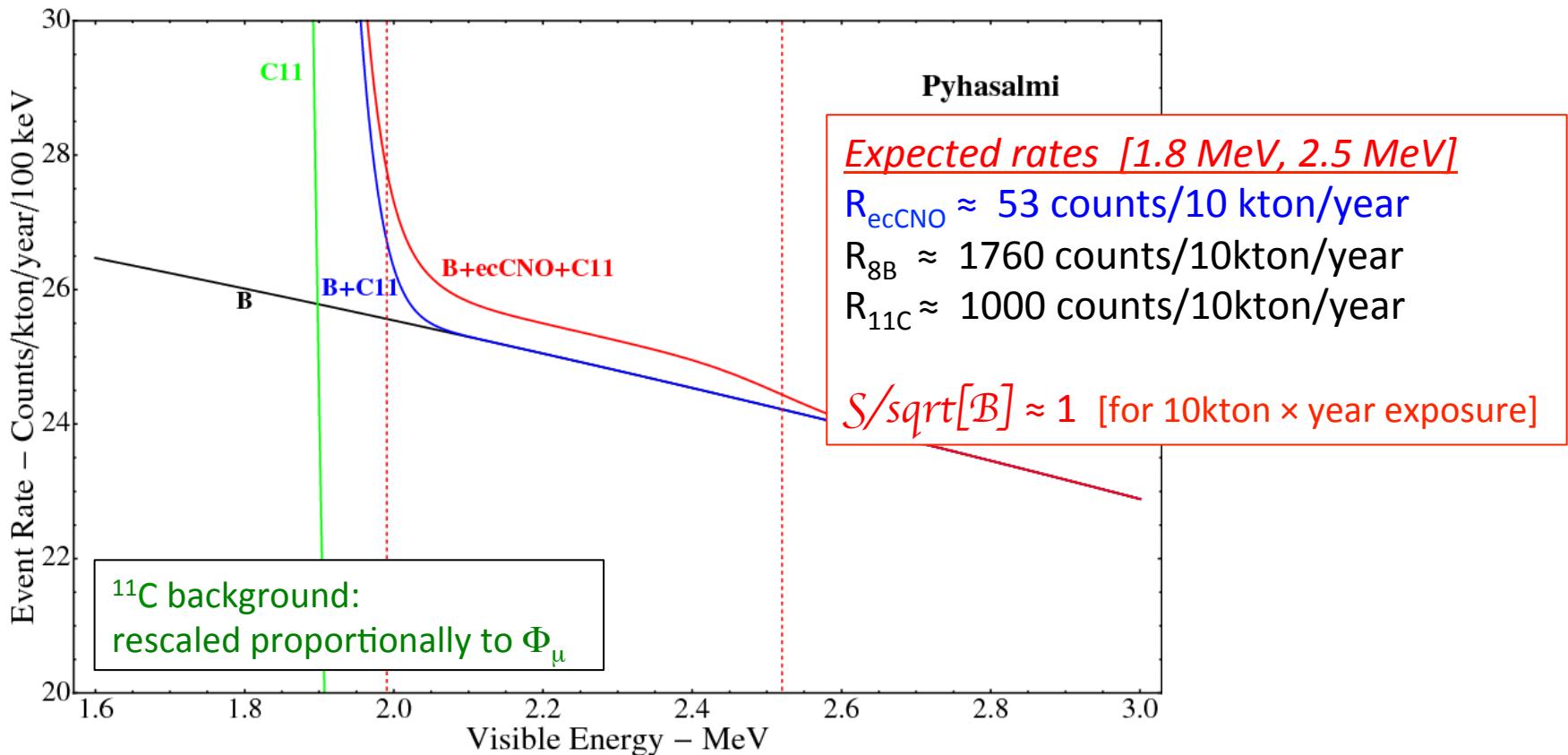
F.L. Villante et al. - Phys.Lett.  
B701 (2011) 336-341

- Deviations from the exponential decay law of  $^{210}\text{Po}$  can be used to determine  $^{210}\text{Bi}$
- Borexino already have the potential to probe the CNO neutrino flux ... but the detector should be stable (no convective motions) over long time scales.

# ecCNO - Expected rates in Liquid Scintillators

Additional background sources:

- **Intrinsic:** negligible/tagged (with Borexino Phase-I radio-purity levels);
- **External:** reduced by self-shielding (Fid. mass reduced from 50 to  $\approx 20$  kton in LENA);
- **Cosmogenic:**  $^{11}\text{C}$  overlap with the observation window.



Signal comparable to stat. fluctuations for exposures 10 kton  $\times$  year or larger.

100 counts / year above 1.8 MeV in 20 kton detector  $\rightarrow 3\sigma$  detection in 5 year in LENA

# Significance of CNO measurement in LENA

From Michael Wurm talk @ NNN14

Assuming constraints of  $^{210}\text{Bi}$  rate at the 1% level:

Time	CNO prec (stat.)	PEP prec. (stat.)	CNO significance
1 y	10.7 %	2.5 %	4.2 $\sigma$ (avg)
2 y	9.2 %	1.9 %	5.5 $\sigma$ (avg)
3 y	8.2 %	1.7 %	6.5 $\sigma$ (avg)
4 y	7.5 %	1.6 %	> 5 $\sigma$ (99% prob.)
5 y	7.0 %	1.4 %	> 5 $\sigma$ (99% prob.)
10 y	5.6 %	1.1 %	> 5 $\sigma$ (99% prob.)

Assuming no constraints of  $^{210}\text{Bi}$  rate:

Time	CNO prec (stat.)	PEP prec. (stat.)	CNO significance
1 y	22.7 %	4.3 %	0.7 $\sigma$ (avg)
2 y	16.0 %	3.0 %	1.8 $\sigma$ (avg)
3 y	13.1 %	2.5 %	2.8 $\sigma$ (avg)
4 y	11.3 %	2.2 %	3.7 $\sigma$ (avg)
5 y	10.1 %	1.9 %	4.5 $\sigma$ (avg)
10 y	7.2 %	1.4 %	8.1 $\sigma$ (avg)

# In the future ... Advanced Scintillator Detector Concept (ASDC)

It combines:

- Water based Liquid Scintillators (WbLS)
- High efficiency and ultra fast photosensor
- Deep underground location

“Salty” WbLS → doped (1% by mass) with  ${}^7\text{Li}$   
CC detection of  $\nu_e$  on  ${}^7\text{Li}$  enhances spectral separation

*30-100 kton scale detector  
Cherenkov + Scintillation  
100pe/MeV*

