

TENSIONS IN FLAVOUR PHYSICS

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- Introduction
- Unitarity triangle analysis and CP violation
- CP violation in K decays
- Rare B decays
- Lepton Flavour Universality violation
- Outlook

Many thanks to M. Bona!



INTRODUCTION

- What is the scale Λ of NP?
 - consider the SM as an effective theory valid up to the NP scale Λ :

$$\mathcal{L} = \mathcal{L}_{SM} + \Lambda^2 |\phi|^2 + \mathcal{L}_5/\Lambda + \mathcal{L}_6/\Lambda^2 + \dots$$

determines the EW scale

break SM accidental symmetries

- NP effects best probed in transitions forbidden or highly suppressed in the SM:
GIM suppression of FCNC, smallness of J

Unitarity Triangle Analysis

- All flavour mixing in the SM described by four CKM parameters, e.g. λ, A, ρ, η
- CKM unitarity implies triangular relations:
 $(V^\dagger V)_{db} = 0 \Leftrightarrow$ triangle with apex (ρ, η)
 - Test the consistency of the SM both “visually” and quantitatively
 - Compare CP-conserving and CP-violating obs.
 - Get constraints on deviations from the SM

V_{cb} and V_{ub}

New HFAG (HFLAV) @CKM16

$$|V_{cb}| (excl) = (38.88 \pm 0.60) 10^{-3}$$

$$|V_{cb}| (incl) = (42.19 \pm 0.78) 10^{-3}$$

New HFAG @CKM16

 $\sim 3.3\sigma$ discrepancy

New HFAG @CKM16

$$|V_{ub}| (excl) = (3.65 \pm 0.14) 10^{-3}$$

$$|V_{ub}| (incl) = (4.50 \pm 0.20) 10^{-3}$$

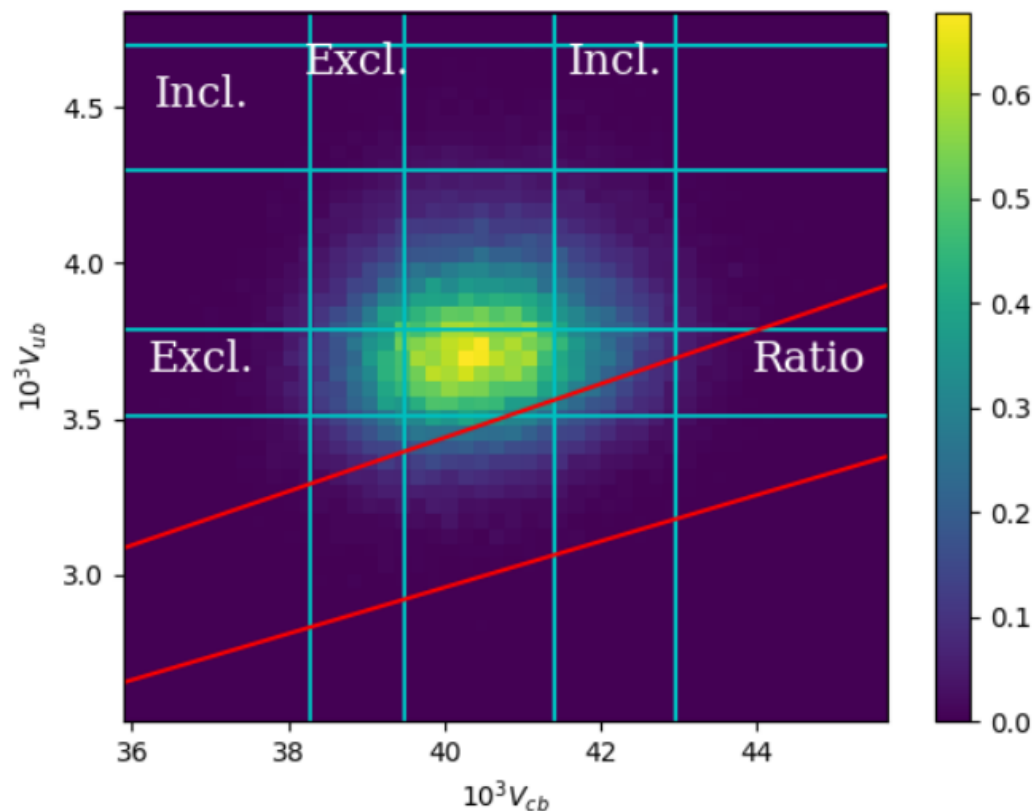
New HFAG @CKM16

 $\sim 3.4\sigma$ discrepancy

$$|V_{ub} / V_{cb}| (LHCb) = (8.0 \pm 0.6) 10^{-2}$$

Updated value

updated for LHCP17



Model-Independent Extraction of $|V_{cb}|$ from $\bar{B} \rightarrow D^* \ell \bar{\nu}$, cont'd

- New Belle analysis released:

Abdesselam et al (Belle) 1702.01521

- ▶ Unfolded data, full correlation matrix
- ▶ Large dataset, energy and angular distributions
- ▶ CLN: $|V_{cb}| = (37.4 \pm 1.3) \times 10^{-3}$

- Two independent analyses using BGL:

- ▶ Very consistent fits:

$$|V_{cb}| = (41.7^{+2.0}_{-2.1}) \times 10^{-3}$$

Bigi, Gambino & Schacht, 1703.06124

$$|V_{cb}| = (41.9^{+2.0}_{-1.9}) \times 10^{-3}$$

BG & Kobach, 1703.08170

- ▶ Robust: different numerical inputs
- ▶ Likely culprit: independent form factors (no HQET symmetry)

$$\begin{aligned}\langle D^*(\varepsilon, p') | \bar{c} \gamma^\mu b | \bar{B}(p) \rangle &= i g \epsilon^{\mu\nu\alpha\beta} \varepsilon_\nu^* p_\alpha p'_\beta, \\ \langle D^*(\varepsilon, p') | \bar{c} \gamma^\mu \gamma^5 b | \bar{B}(p) \rangle &= f \varepsilon^{*\mu} + (\varepsilon^* \cdot p) [a_+ (p + p')^\mu + a_- (p - p')^\mu],\end{aligned}$$

Recall: BGL introduced z -parametrization, eg,

$$g(z) = \frac{1}{P_g(z)\phi_g(z)} \sum_{n=0}^N a_n z^n \quad \text{with} \quad \sum_n a_n^2 \leq 1 \quad \text{and} \quad 0 \leq z \leq z_{\max} = 0.056$$

with calculable outer function ϕ and Blaschke factor P

- ▶ CLN uses BGL technique, but imposes HQET conditions

Work ahead:

- Experiments: release unfolded data
- Experiments' next best alternative: do BGL fits
- Global analysts: do BGL fits, others (e.g., polynomial in q^2)?
- Theorists: Λ/m_c effects?
- Theorists: Is BGL better than polynomial for independent form factors?
- Can this affect $B \rightarrow D^{(*)} \tau \nu$
- ...

If I may be so bold: *problem solved*

- Retrospect: What went wrong?
 - ▶ The problem was sociological!

Also: FF calculations
only on MILC configurations
⇒ need confirmation with
different methods



V_{cb} and V_{ub}

2D average inspired by D'Agostini skeptical procedure (hep-ex/9910036) with $\sigma=1$. Very similar results obtained from a 2D a la PDG procedure.

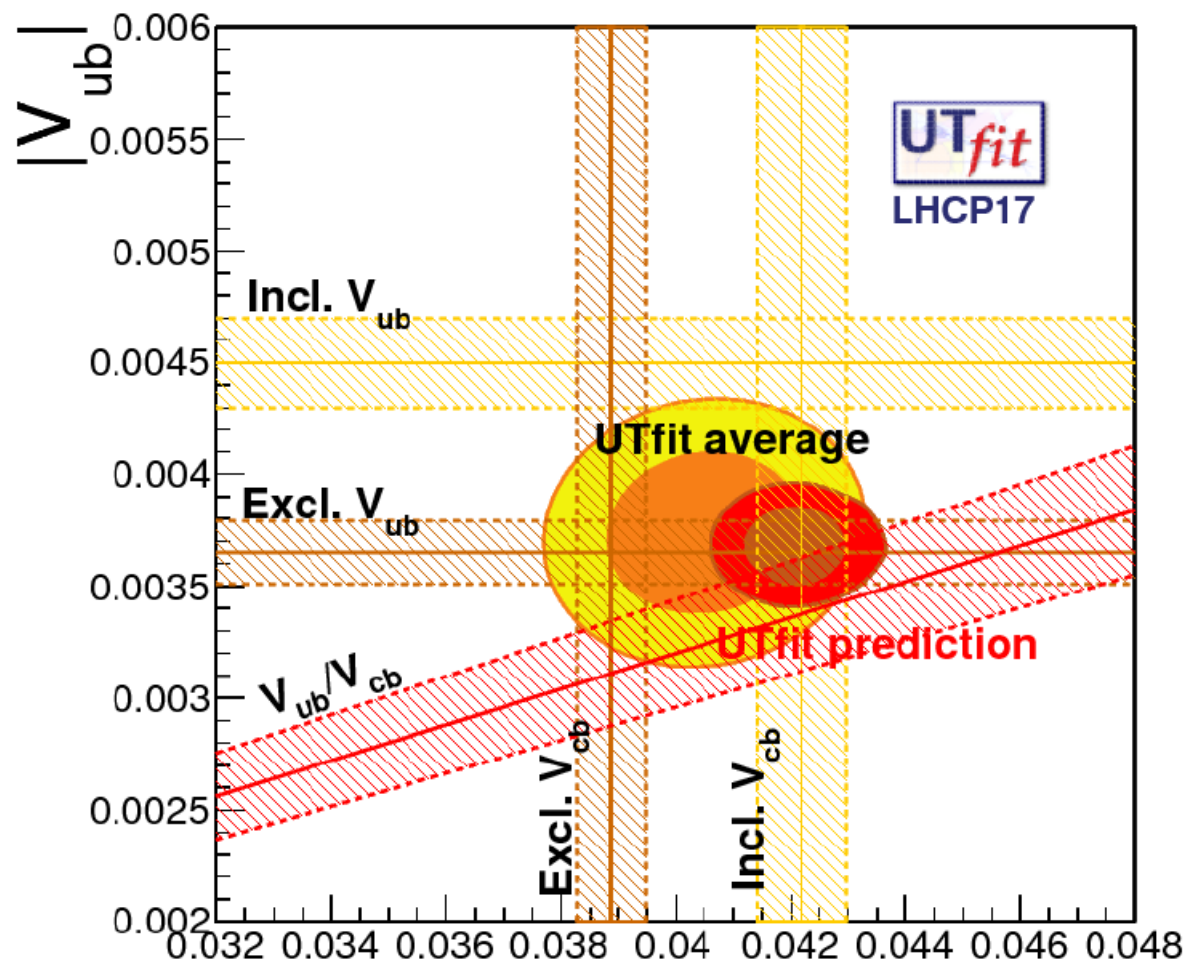
$$|V_{cb}| = (40.5 \pm 1.1) 10^{-3}$$

uncertainty $\sim 2.4\%$

$$|V_{ub}| = (3.74 \pm 0.23) 10^{-3}$$

uncertainty $\sim 5.6\%$

updated for LHPC17



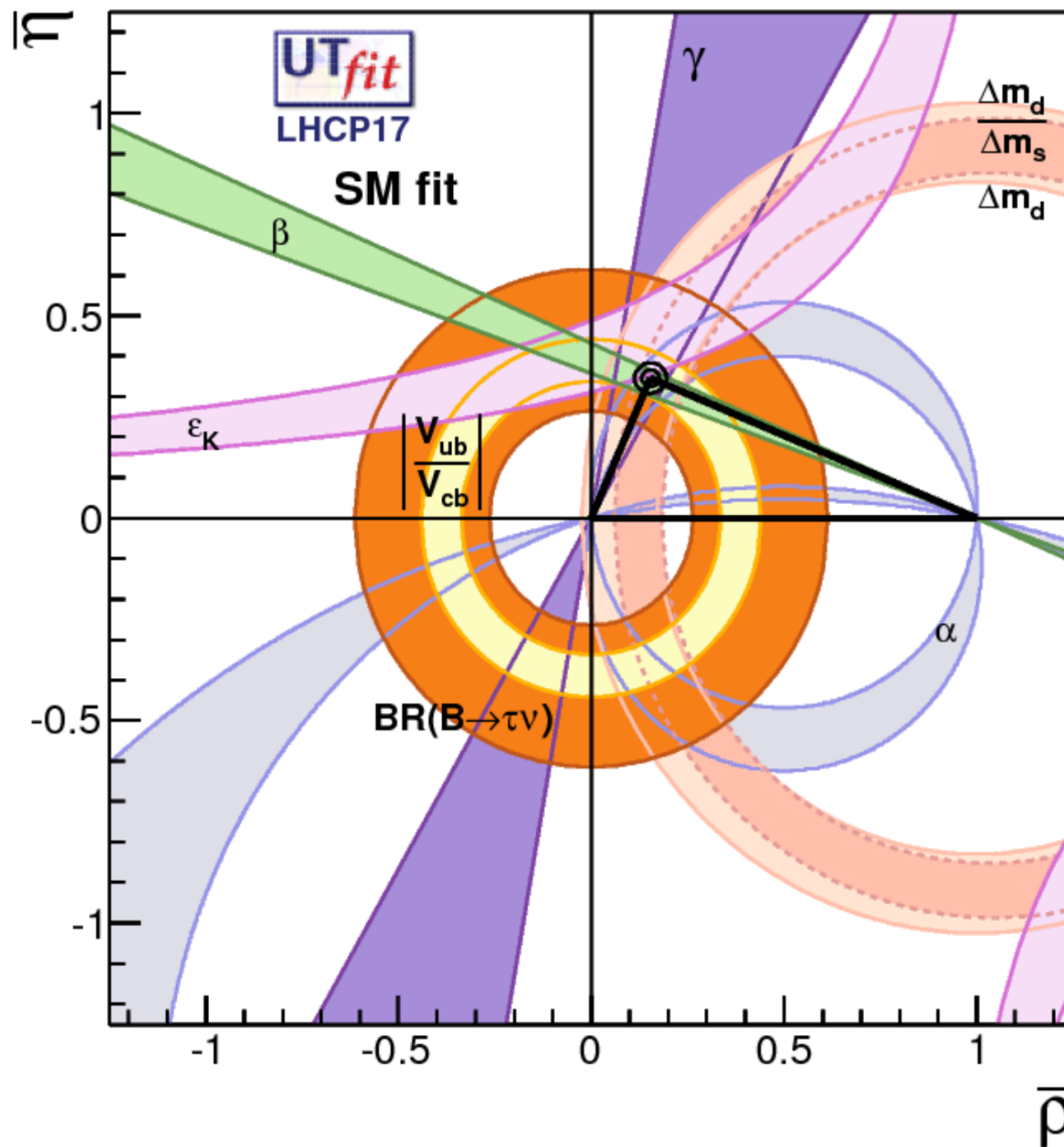
$$|V_{cb}| = (42.1 \pm 0.6) 10^{-3}$$

$$|V_{ub}| = (3.68 \pm 0.11) 10^{-3}$$

UTfit predictions

$|V_{cb}|$

Unitarity Triangle analysis in the SM:



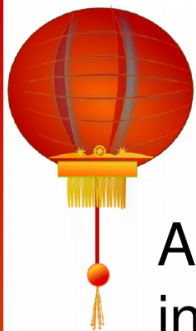
levels @
95% Prob

~10%

$$\bar{\rho} = 0.154 \pm 0.015$$

$$\bar{\eta} = 0.346 \pm 0.013$$

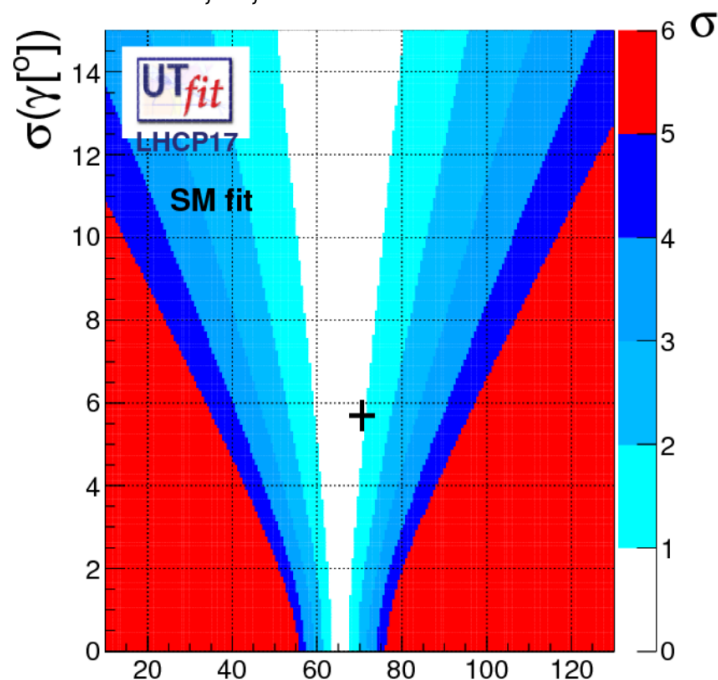
~4%



compatibility plots

A way to “measure” the agreement of a single measurement with the indirect determination from the fit using all the other inputs: test for the SM description of the flavour physics

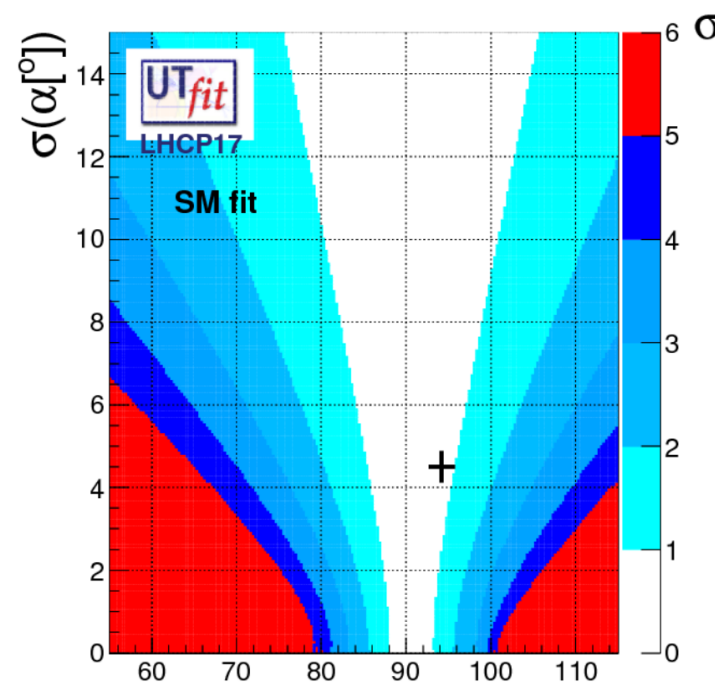
Color code: agreement between the predicted values and the measurements at better than 1, 2, ... $n\sigma$



$$\gamma_{\text{exp}} = (70.5 \pm 5.7)^\circ \quad \gamma [^\circ]$$

$$\gamma_{\text{UTfit}} = (65.5 \pm 2.1)^\circ$$

The cross has the coordinates $(x,y)=(\text{central value, error})$ of the direct measurement



$$\alpha_{\text{exp}} = (94.2 \pm 4.5)^\circ \quad \alpha [^\circ]$$

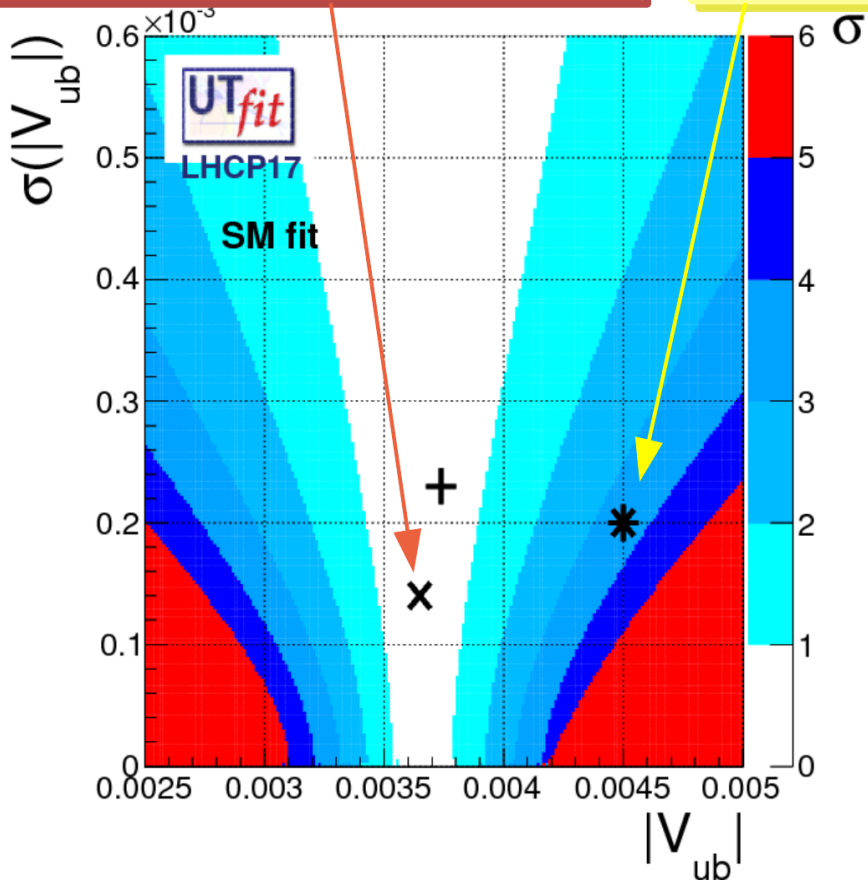
$$\alpha_{\text{UTfit}} = (90.6 \pm 2.5)^\circ$$



tensions? not really.. still that V_{ub} inclusive

$V_{ub} (excl) = (3.65 \pm 0.14) 10^{-3}$

$V_{ub} (incl) = (4.50 \pm 0.20) 10^{-3}$



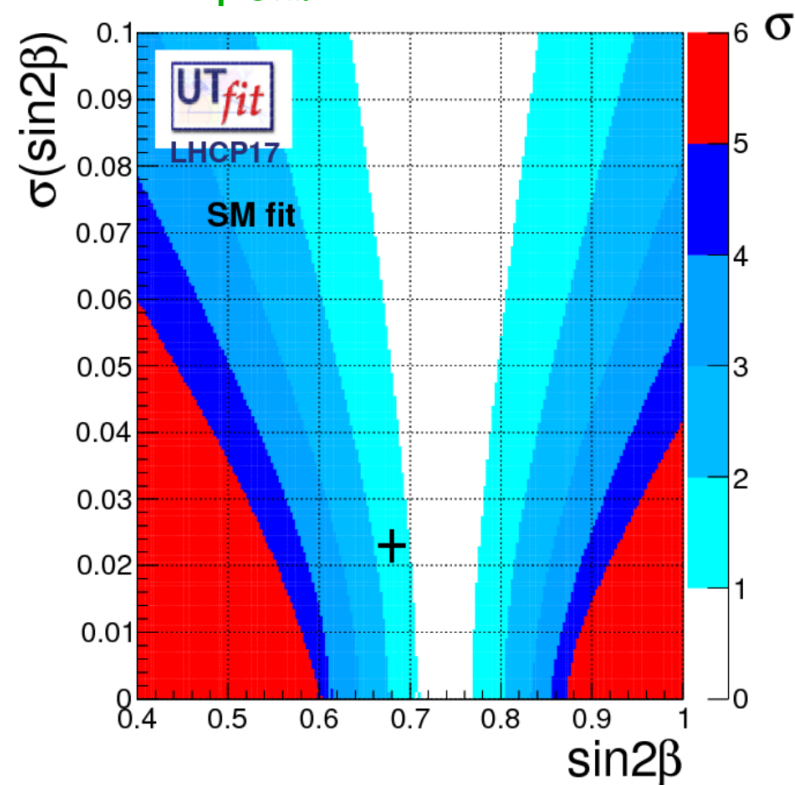
$V_{ub_{exp}} = (3.74 \pm 0.23) \cdot 10^{-3}$

$V_{ub_{UTfit}} = (3.66 \pm 0.13) \cdot 10^{-3}$

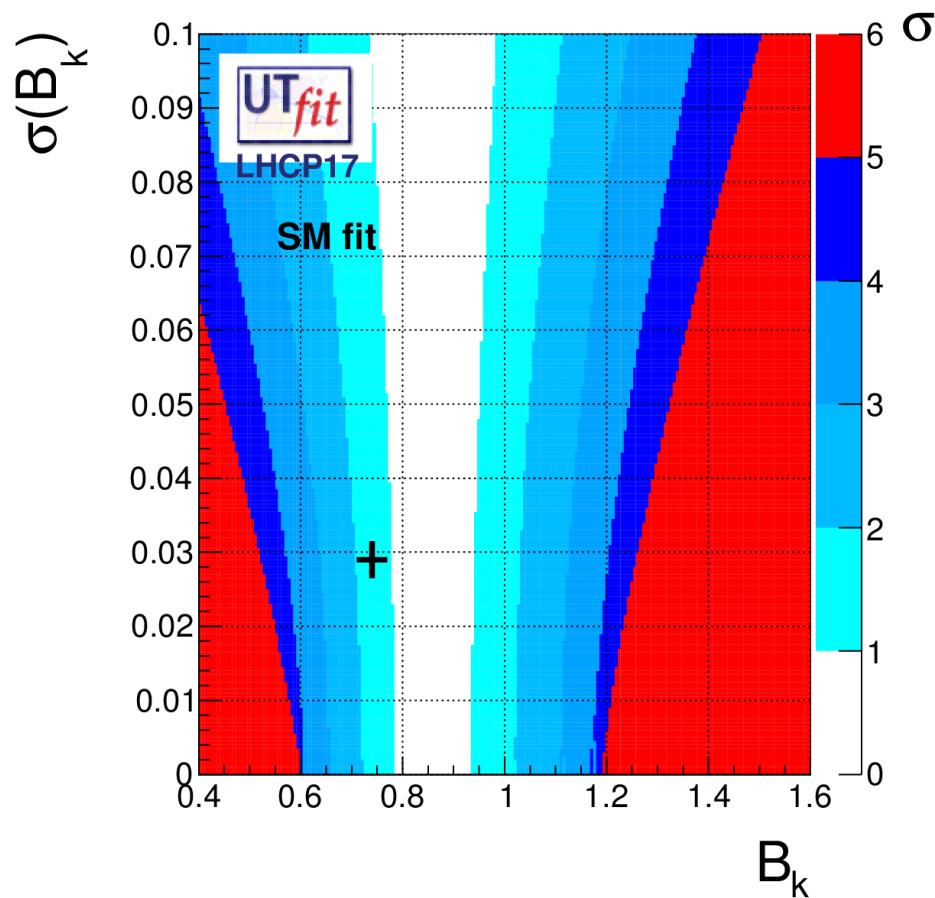
$\sim 1.4\sigma$

$\sin 2\beta_{exp} = 0.680 \pm 0.023$

$\sin 2\beta_{UTfit} = 0.737 \pm 0.031$



COMPATIBILITY PLOT FOR ε_K



- Currently no tension in ε_K
- Theoretical improvements needed to fully exploit NP sensitivity: long-distance contributions, B -parameter, $D=8$ operators...



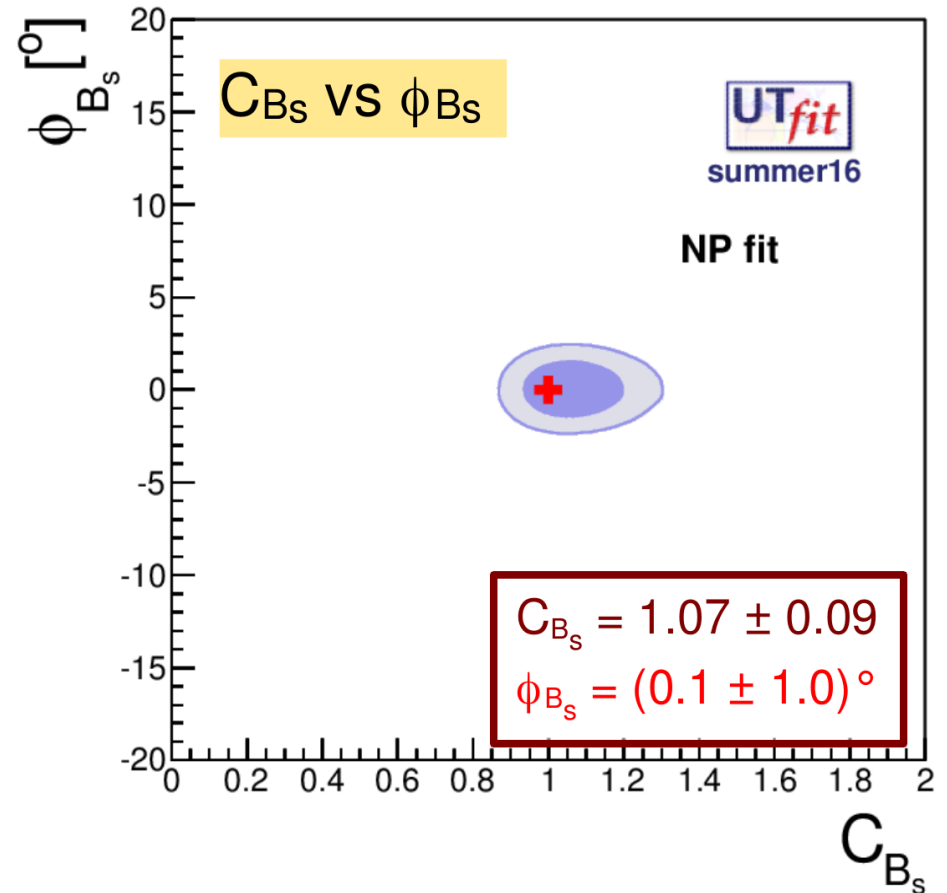
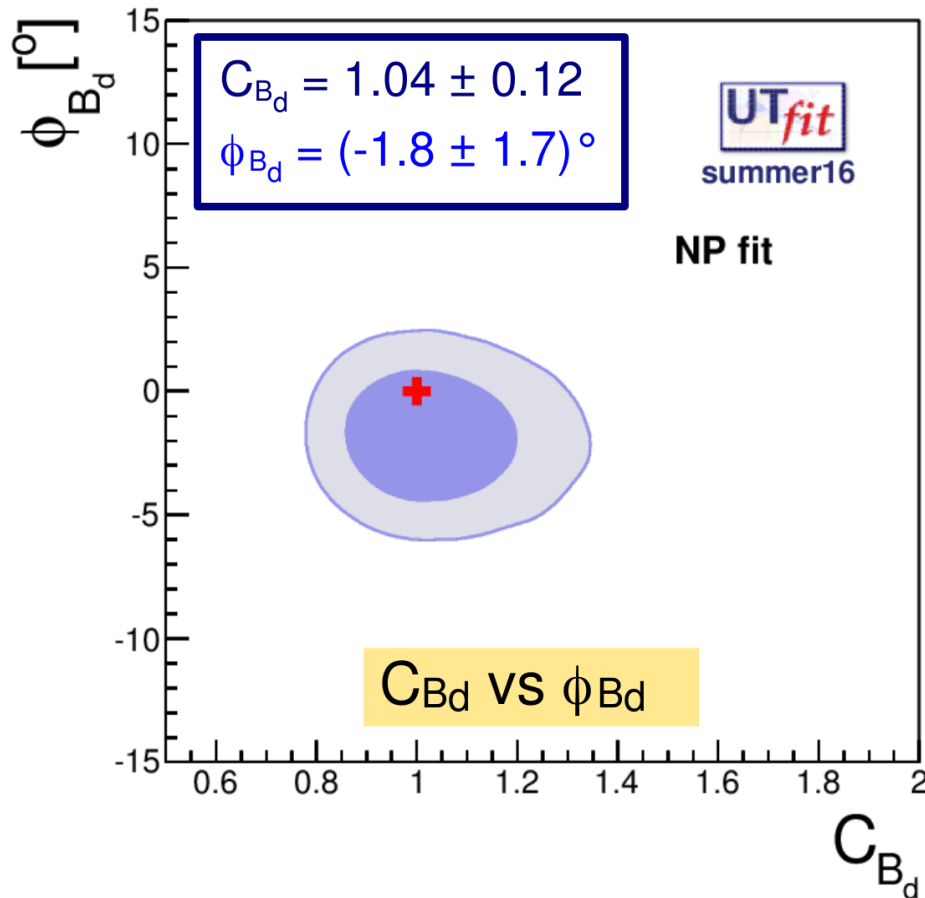
NP parameter results

dark: 68%
 light: 95%
 SM: red cross

K system

$$C_{\epsilon_K} = 1.05 \pm 0.11$$

$$A_q = C_{B_q} e^{2i\phi_{B_q}} A_q^{SM} e^{2i\phi_q^{SM}}$$

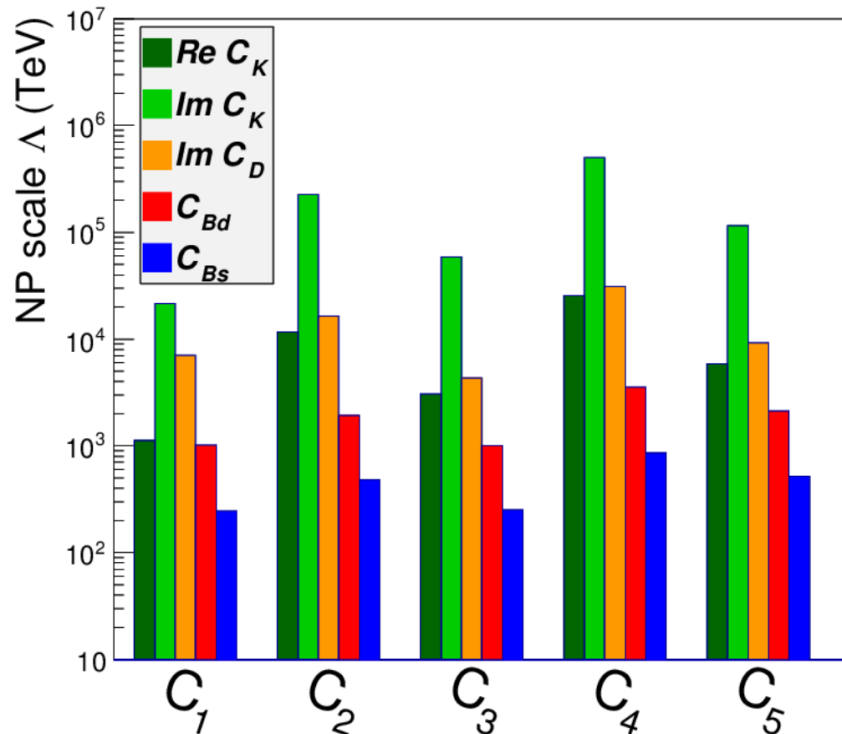




results from the Wilson coefficients

Generic: $C(\Lambda) = \alpha/\Lambda^2$, $F_i \sim 1$, arbitrary phase

$\alpha \sim 1$ for strongly coupled NP



Lower bounds on NP scale
(in TeV at 95% prob.)

Non-perturbative NP

$$\Lambda > 5.0 \cdot 10^5 \text{ TeV}$$

To obtain the lower bound for loop-mediated contributions, one simply multiplies the bounds by α_s (~ 0.1) or by α_w (~ 0.03).

$\alpha \sim \alpha_w$ in case of loop coupling through **weak** interactions

NP in α_w loops

$$\Lambda > 1.5 \cdot 10^4 \text{ TeV}$$

Best bound from ϵ_K

dominated by CKM error

CPV in charm mixing follows,

exp error dominant

Best CP conserving from Δm_K ,

dominated by long distance

B_d and B_s behind,

errors from both CKM

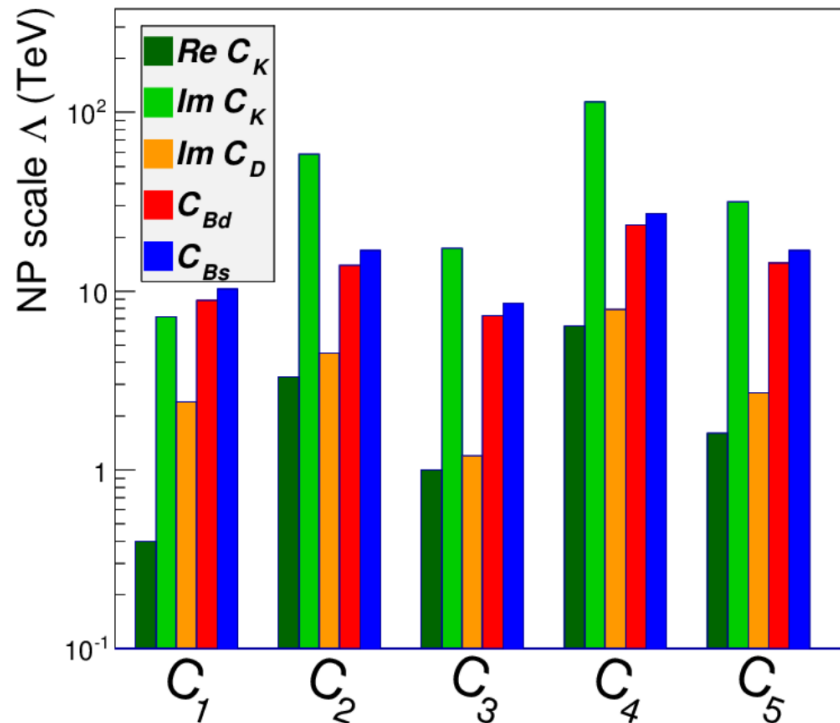
and B-parameters



results from the Wilson coefficients

NMFV: $C(\Lambda) = \alpha \times |F_{SM}|/\Lambda^2$, $F_i \sim |F_{SM}|$, arbitrary phase

$\alpha \sim 1$ for strongly coupled NP



Lower bounds on NP scale
(in TeV at 95% prob.)

Non-perturbative NP
 $\Lambda > 114$ TeV

To obtain the lower bound for loop-mediated contributions, one simply multiplies the bounds by α_s (~ 0.1) or by α_w (~ 0.03).

$\alpha \sim \alpha_w$ in case of loop coupling through **weak** interactions

NP in α_w loops
 $\Lambda > 3.4$ TeV

If new chiral structures present,
 ϵ_K still leading
 $B_{(s)}$ mixing provides very stringent constraints, especially if no new chiral structures are present
Constraining power of the various sectors depends on unknown NP flavour structure.

CP VIOLATION IN K DECAYS

- RBC-UKQCD accomplished for the first time a consistent lattice calculation of $K \rightarrow \pi\pi$ matrix elements. Formidable task:
 - Renormalization
 - Chirality
 - Final state interactions
 - Disconnected diagrams
- Independent check needed!

RBC-UKQCD RESULTS

- $\Delta I=1/2$ rule from cancellations in the $3/2$ amplitude rather than penguin enhancement
 - $3/2$ cancellation consistent with $\Delta S=2$ and D, B decays: deviations from VIA small in B , sizable in D ($1/N \sim 0$), large in K (negative $1/N$) Carrasco, Lubicz & LS '13
 - also consistent with large N models Buras, Gerard & Bardeen '86, '14

$$\frac{\text{Re}(A_0)}{\text{Re}(A_2)} = 31.1(11.2) \quad \text{RBC-UKQCD, PRD 91, 074502 (2015)}$$

RBC-UKQCD RESULTS II

- evaluation of ε'/ε even more challenging:

$$\text{Re} \left(\frac{\varepsilon'}{\varepsilon} \right) = \text{Re} \left\{ \frac{i\omega e^{i(\delta_2 - \delta_0)}}{\sqrt{2}\varepsilon} \left[\frac{\text{Im}A_2}{\text{Re}A_2} - \frac{\text{Im}A_0}{\text{Re}A_0} \right] \right\}$$

- cancellation between $3/2$ and $\frac{1}{2}$ makes it very sensitive to even small relative uncertainties in the two contributions
- resulting ε'/ε small wrt $\exp(16.6 \pm 2.3)10^{-4}$

$$\text{Re} \left(\frac{\varepsilon'}{\varepsilon} \right) = 1.38(5.15)(4.59) \times 10^{-4} \quad \text{RBC-UKQCD, PRL 115, 212001 (2015)}$$

(see also Buras et al '15, Kitahara, Nierste & Temper '16)

NP IN K DECAYS?

- Need improved lattice numbers & independent confirmation (not soon...)
- Cancellation between A_2 and A_0 makes ε'/ε even more sensitive to NP: modified Z couplings, Z' models, SUSY, trojan EWP, etc... \Rightarrow effects in other observables (dedicated session tomorrow morning)

RARE B DECAYS I: P_5'

- $b \rightarrow sl+l$ - FCNC transitions generated by

Z-penguins, boxes and photon penguins

arise at the EW scale

arise at all scales -
low-energy contributions

- Exclusive transitions require infinite mass limit + form factors + estimate of power corrections (charm loop + ...)

B → K* l+ l- AT LOW q²

$$\frac{d^{(4)}\Gamma}{dq^2 d(\cos\theta_l)d(\cos\theta_k)d\phi} = \frac{9}{32\pi} \left(I_1^s \sin^2\theta_k + I_1^c \cos^2\theta_k + (I_2^s \sin^2\theta_k + I_2^c \cos^2\theta_k) \cos 2\theta_l \right. \\ \left. + I_3 \sin^2\theta_k \sin^2\theta_l \cos 2\phi + I_4 \sin 2\theta_k \sin 2\theta_l \cos \phi \right. \\ \left. + I_5 \sin 2\theta_k \sin \theta_l \cos \phi + (I_6^s \sin^2\theta_k + I_6^c \cos^2\theta_k) \cos \theta_l \right. \\ \left. + I_7 \sin 2\theta_k \sin \theta_l \sin \phi + I_8 \sin 2\theta_k \sin 2\theta_l \sin \phi \right. \\ \left. + I_9 \sin^2\theta_k \sin^2\theta_l \sin 2\phi \right)$$

angular
analysis

$$S_i = \left(I_i^{(s,c)} + \bar{I}_i^{(s,c)} \right) / \Gamma' \\ (2\Gamma' \equiv d\Gamma/dq^2 + d\bar{\Gamma}/dq^2)$$

8 CP-AVERAGED OBSERVABLES

$$F_L, A_{FB}, S_{3,4,5,7,8,9}$$

- “clean” observables have been introduced to minimize FF dependence in the limit $m_b \rightarrow \infty$

Kruger & Matias '05; ...

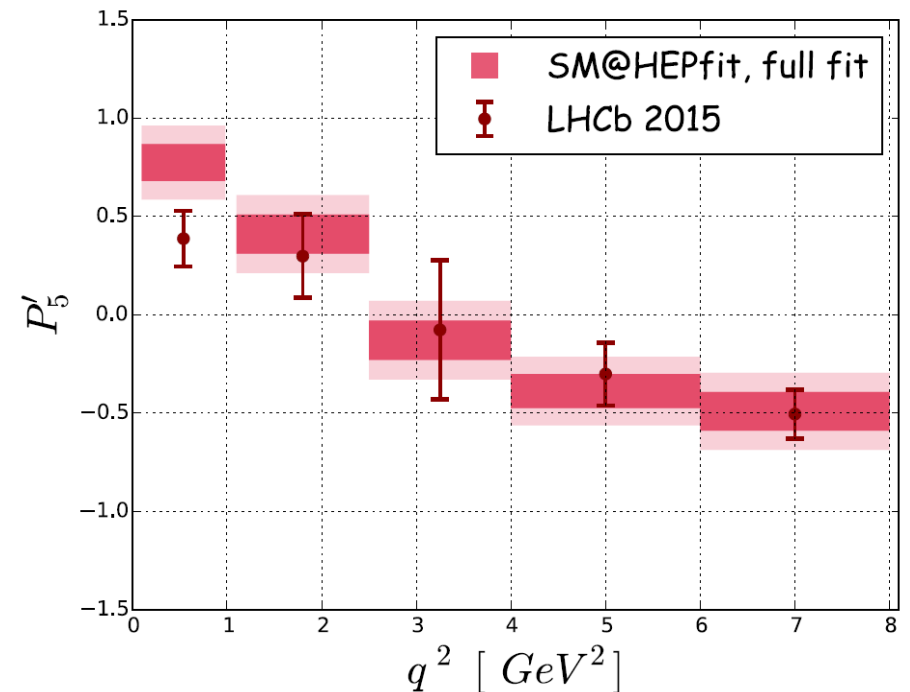
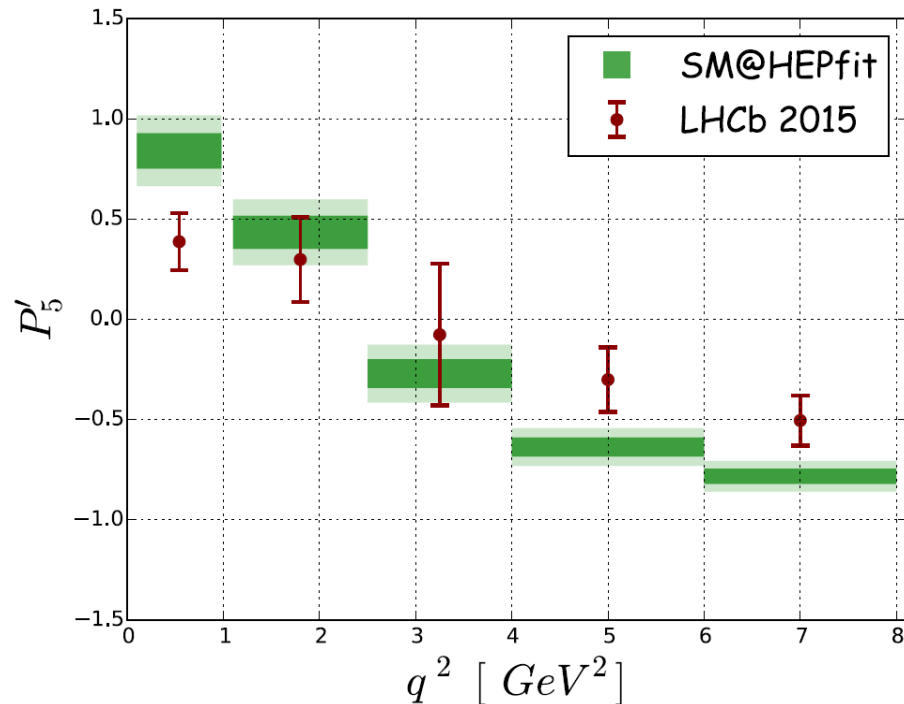
- they are not clean wrt power corrections!

Jäger & Camalich '13, '14

THE CHARM LOOP

- Need some nonperturbative method to evaluate the correction to factorization (notice that $\Lambda/m_b \gtrsim \alpha_s/4\pi$)
- Currently only one LCSR estimate available, valid for $q^2 \sim 0$ (LC), $q^2 \ll 4m_c^2$ (single gluon) Khodjamirian et al '10
- Common approach is to extrapolate smoothly to J/ψ
- Expect charm loop to grow for q^2 close to the charm threshold Lyon & Zwicky '14
- Quark-hadron duality breakdown at J/ψ BBNS '09

IMPACT OF CHARM LOOP



“Optimistic” evaluation
of nonfactorizable
contributions

Conservative evaluation
of nonfactorizable
contributions

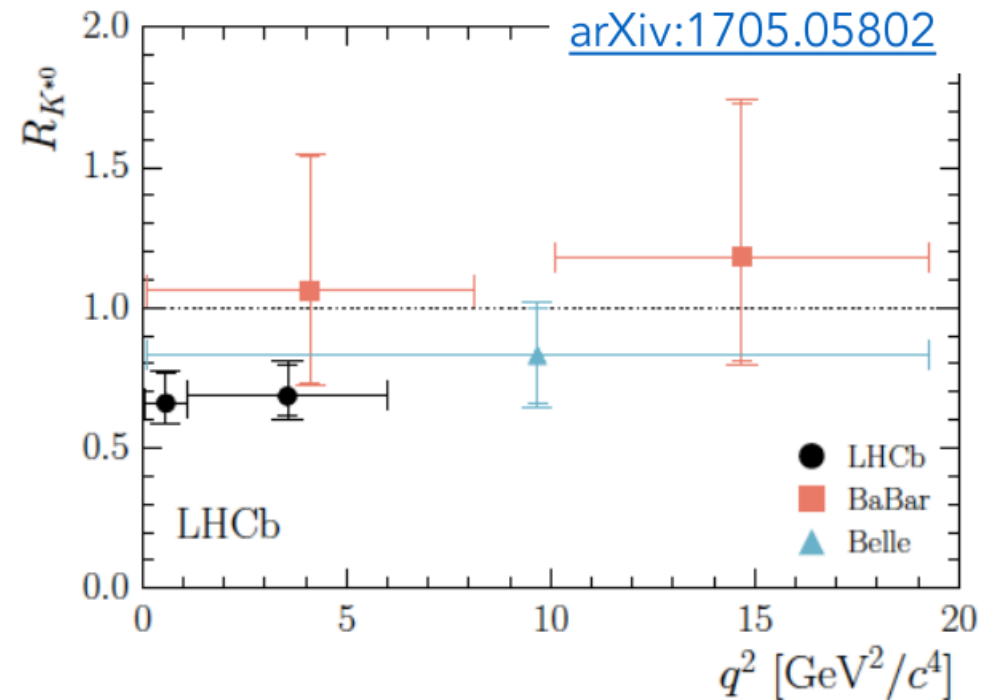
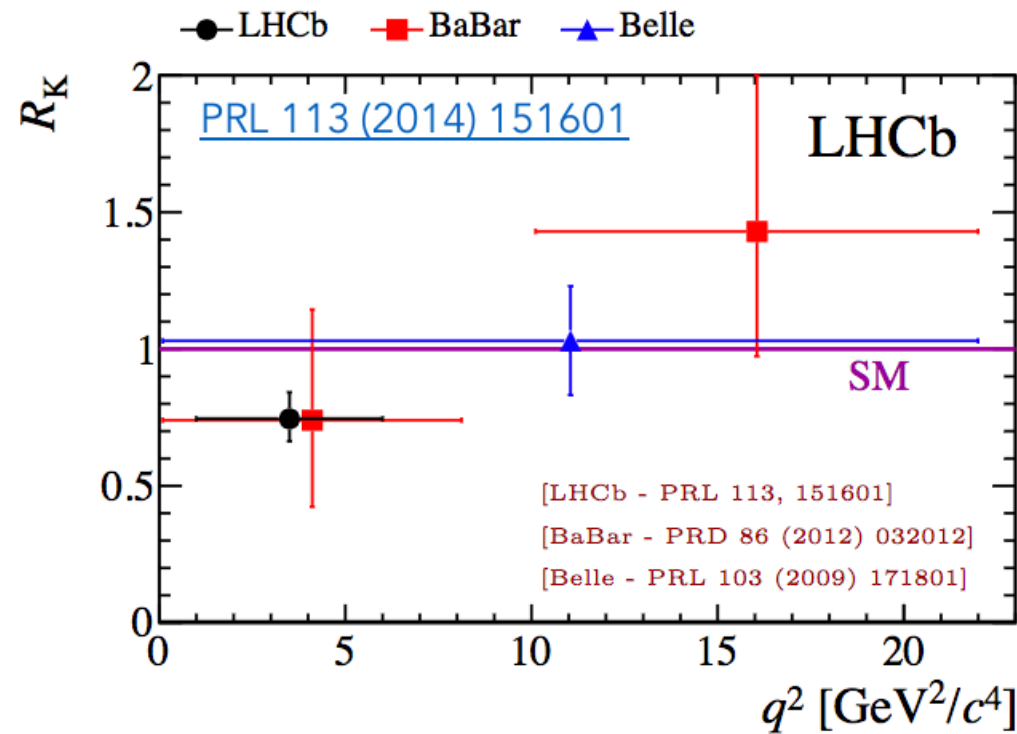
RARE B DECAYS II: $R_{K(*)}$

- Hadronic uncertainties drop in μ/e ratios

Hiller & Kruger '03

Summary

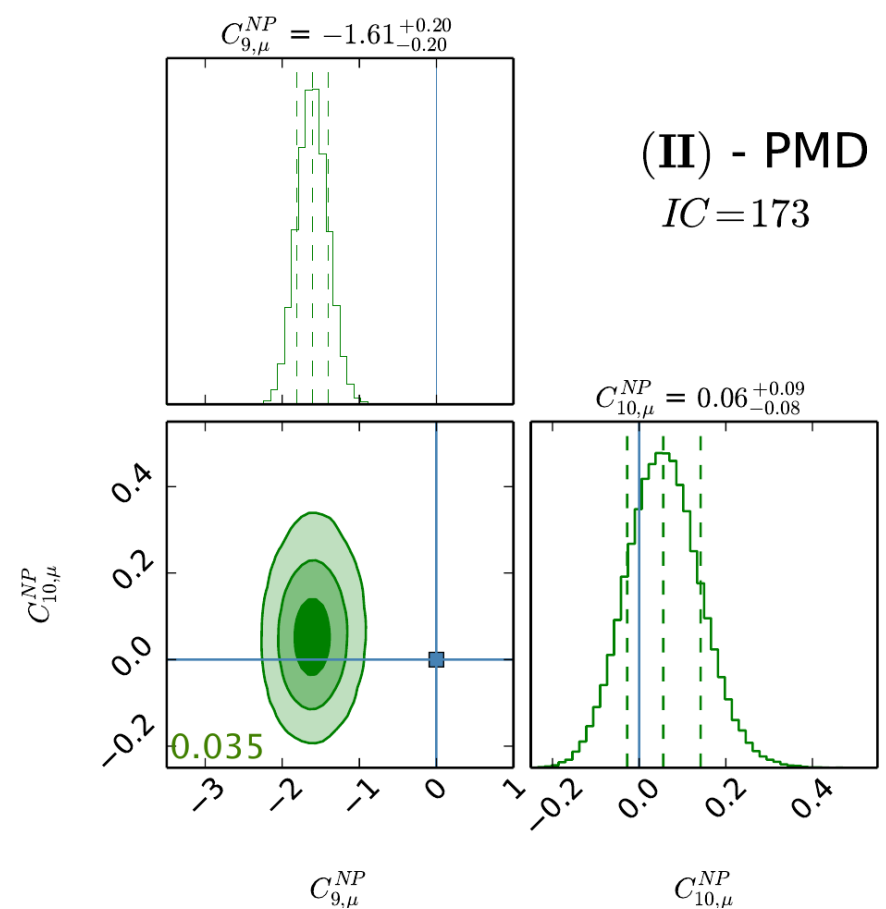
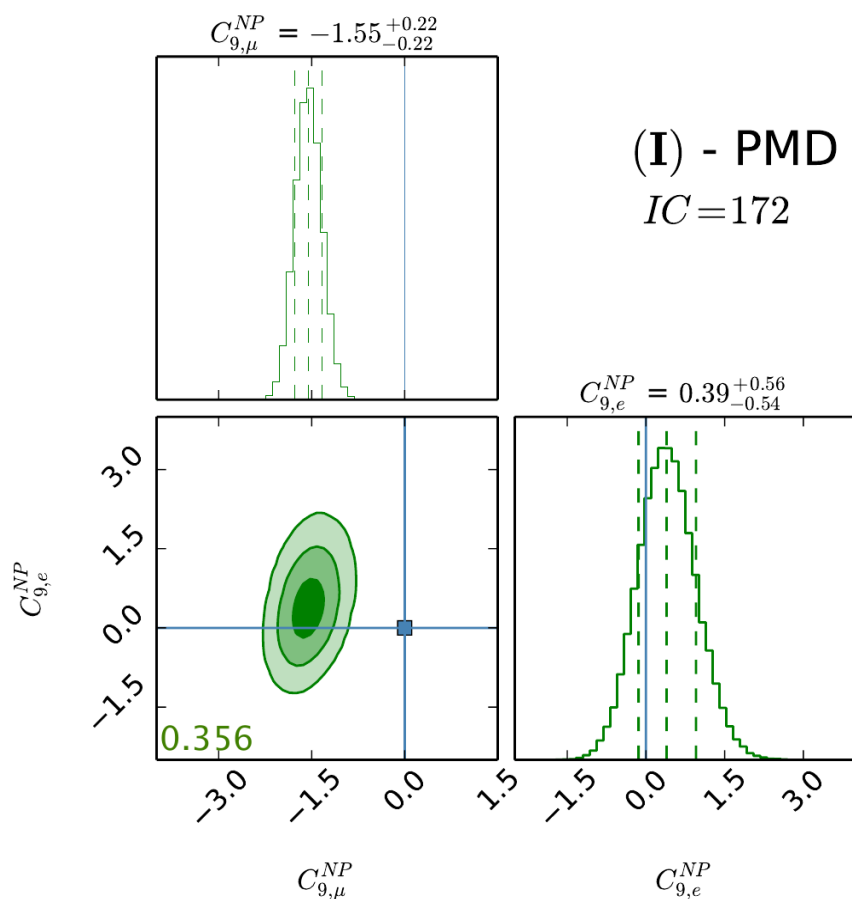
- [PRD 86 \(2012\) 032012](#)
- [PRL 103 \(2009\) 171801](#)



M-H Schune, CERN Instant Workshop

NP IN $b \rightarrow sl^+l^-$?

- $R_{K^{(*)}}$ (if not statistics/systematic driven) call for NP in $b \rightarrow sl^+l^-$
- tempting to combine this with P_5' and all other exp data
- result of the combination strongly depends on estimate of hadronic uncertainties (mainly charm loop)



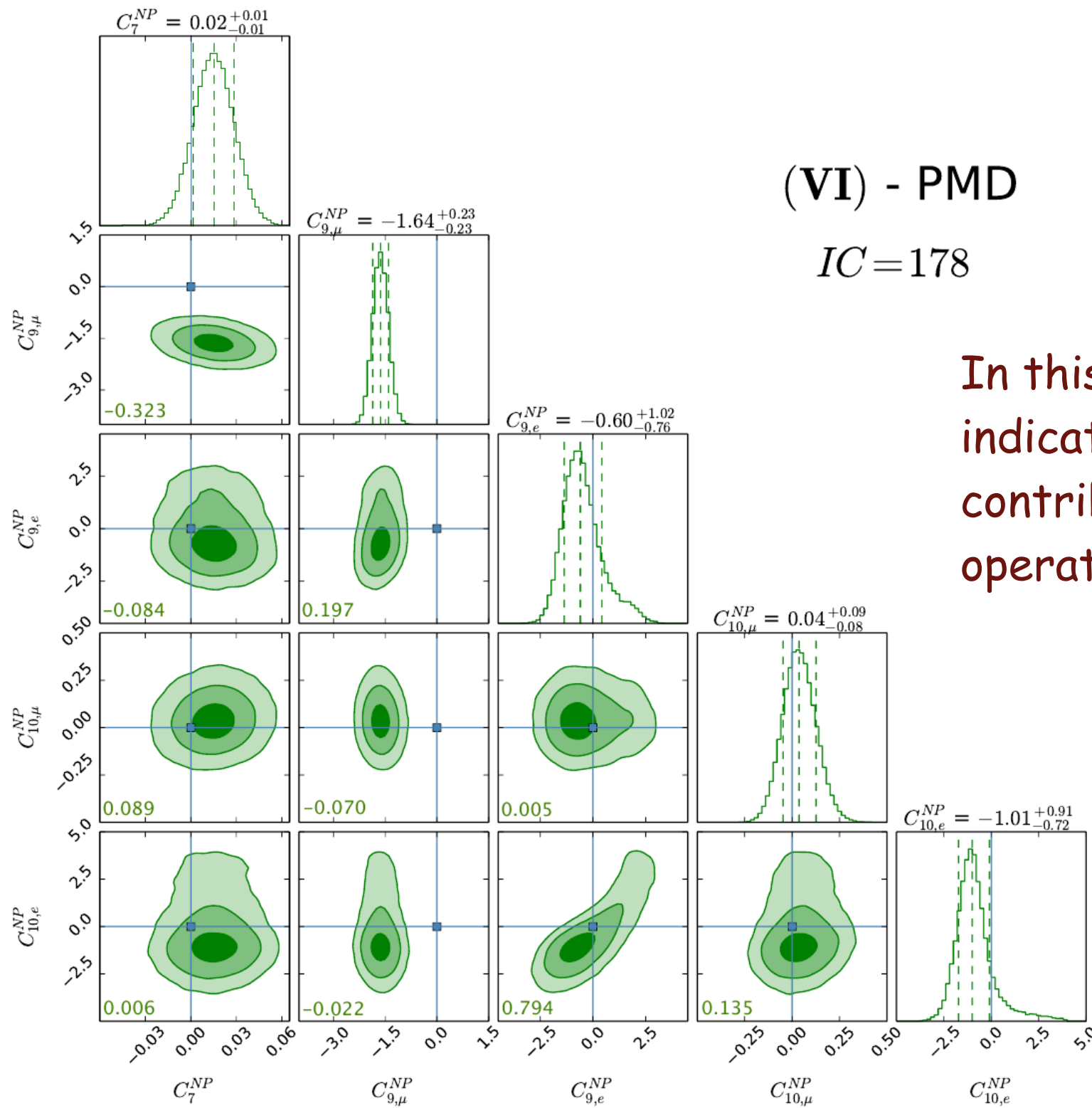
Trusting Khodjamirian et al over the full q^2 range
clearly points to $C_{9\mu}^{NP} \sim -1.6 @ 7\sigma$, due to $R_{K^{(*)}}$ & P_5'
($C_{9(10)}$ \Leftrightarrow (axial) vector lepton current)

Ciuchini et al. '17; Capdevila et al. '17;
Altmannshofer, Stangl & Straub '17

(VI) - PMD

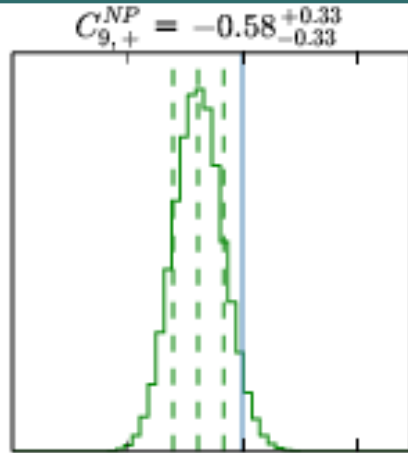
$IC = 178$

In this approach, no indication of NP contributions to other operators.



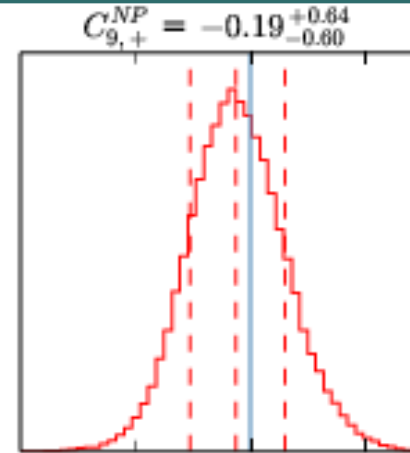
Ciuchini et al. '17

IS $C_{9\mu}^{NP}$ ROBUST?

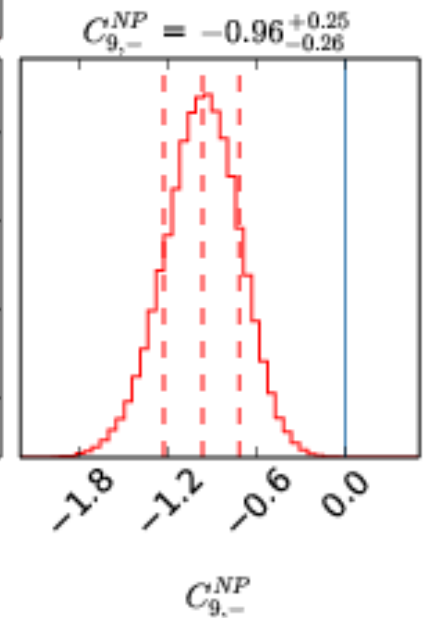
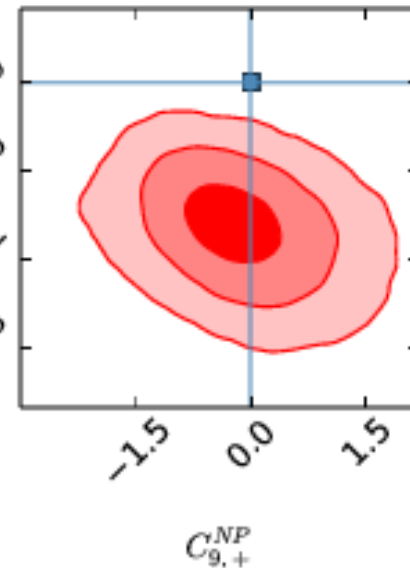
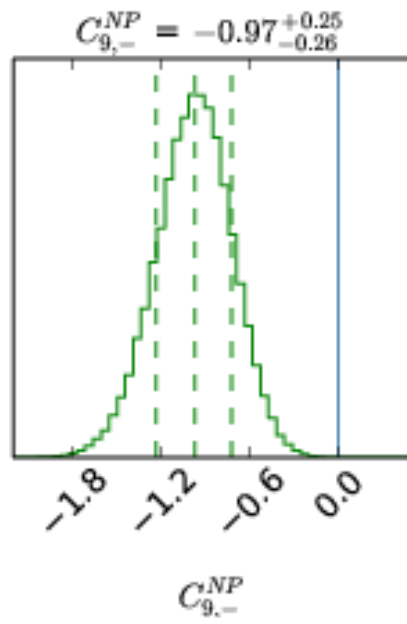
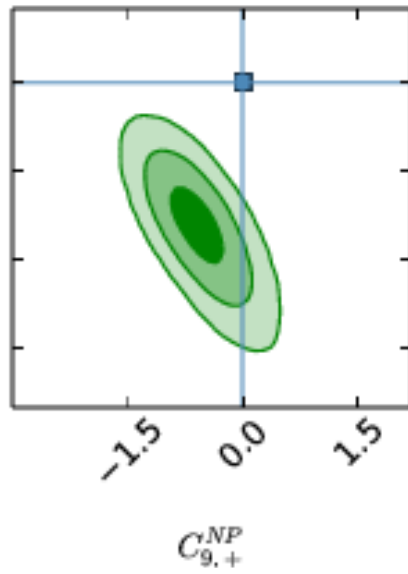


PMD
 $IC=172$

$$C_{9\pm}^{NP} = (C_{9\mu\pm} + C_{9e}) / 2$$



PDD
 $IC=168$

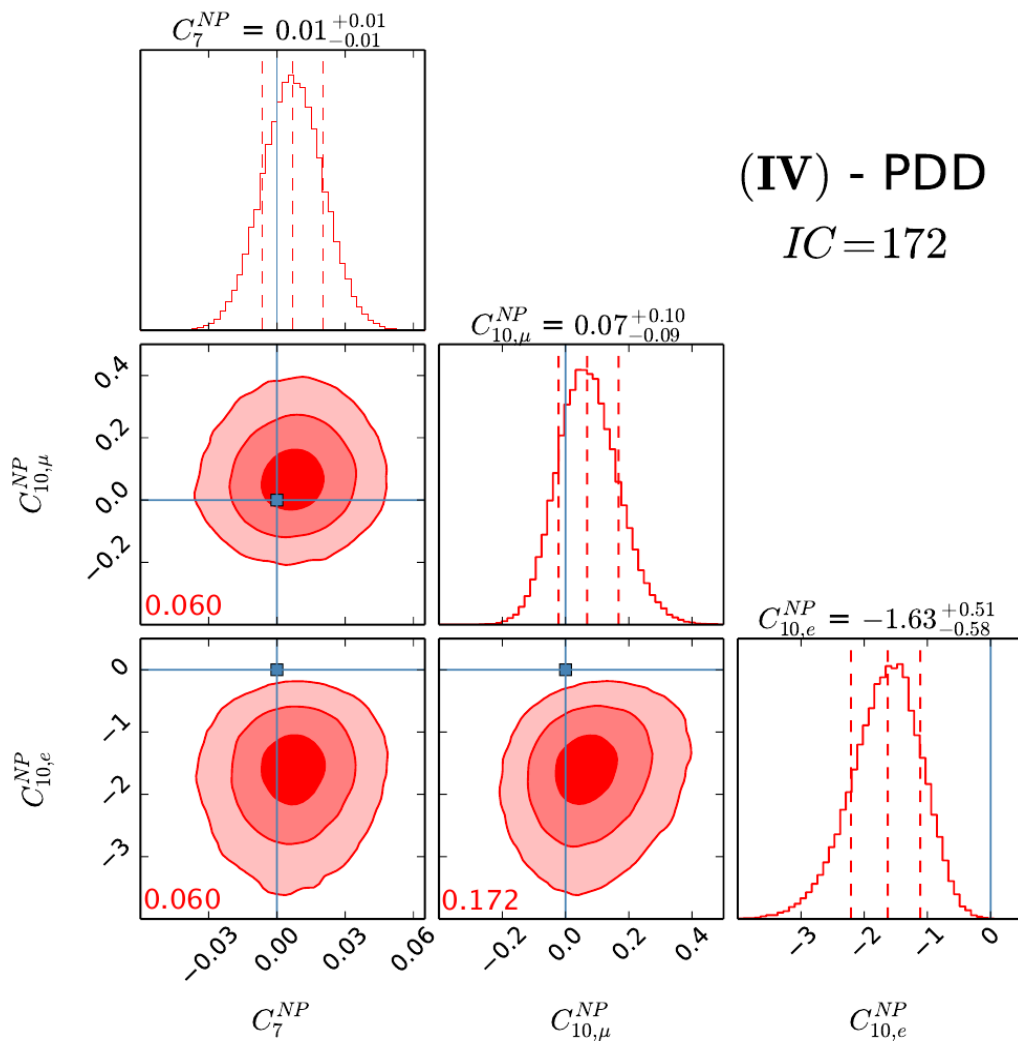


$\sim 4\sigma$ evidence of $C_{9,-}^{NP} \sim -1$ is stable, $\sim 2\sigma$ indication of $C_{9,+}^{NP}$ fully depends on assumptions on charm loop (which contributes to C_9)

OTHER NP SCENARIOS VIABLE

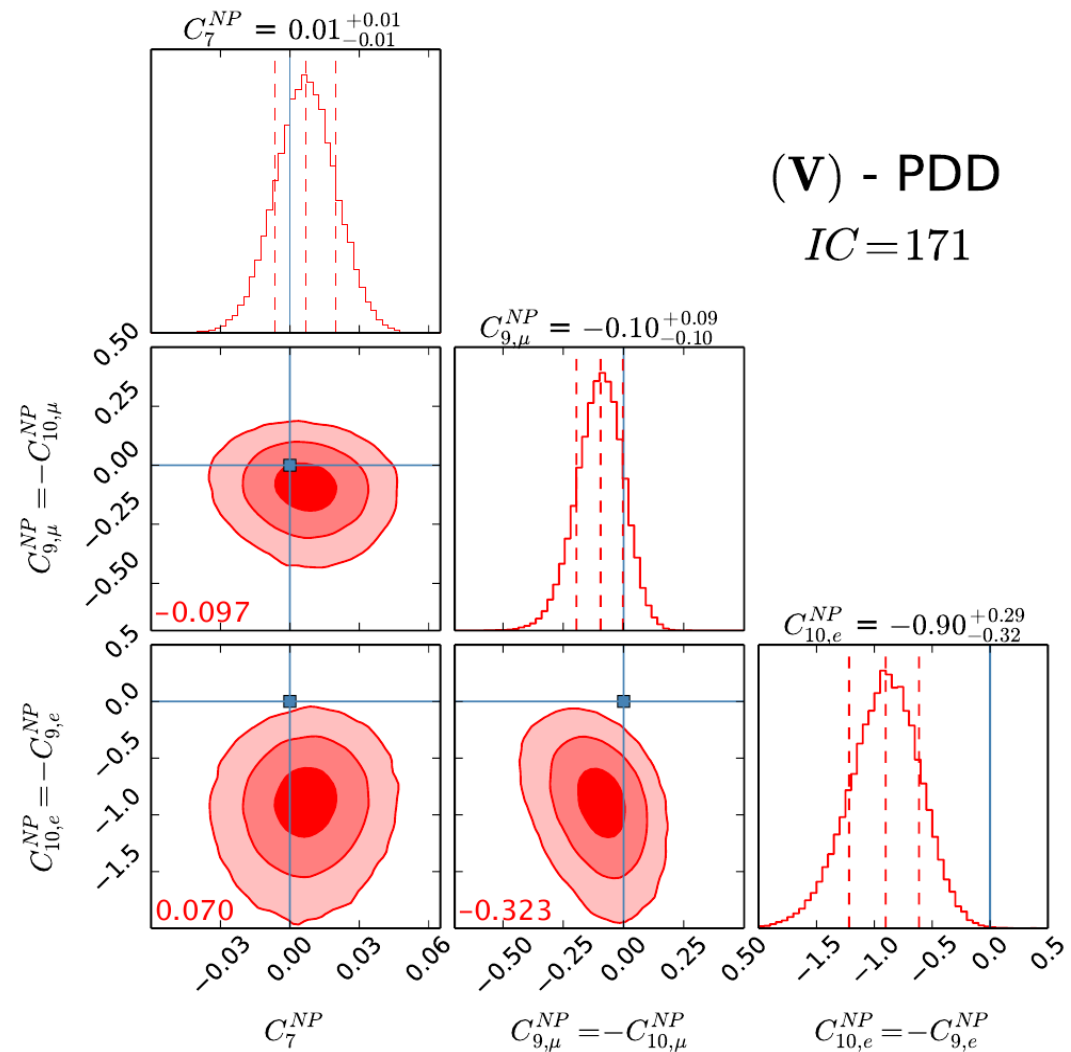
$$C_{10e}^{NP} \neq 0$$

(IV) - PDD
IC = 172



$$C_{10e}^{NP} = -C_{9e}^{NP} \neq 0$$

(V) - PDD
IC = 171



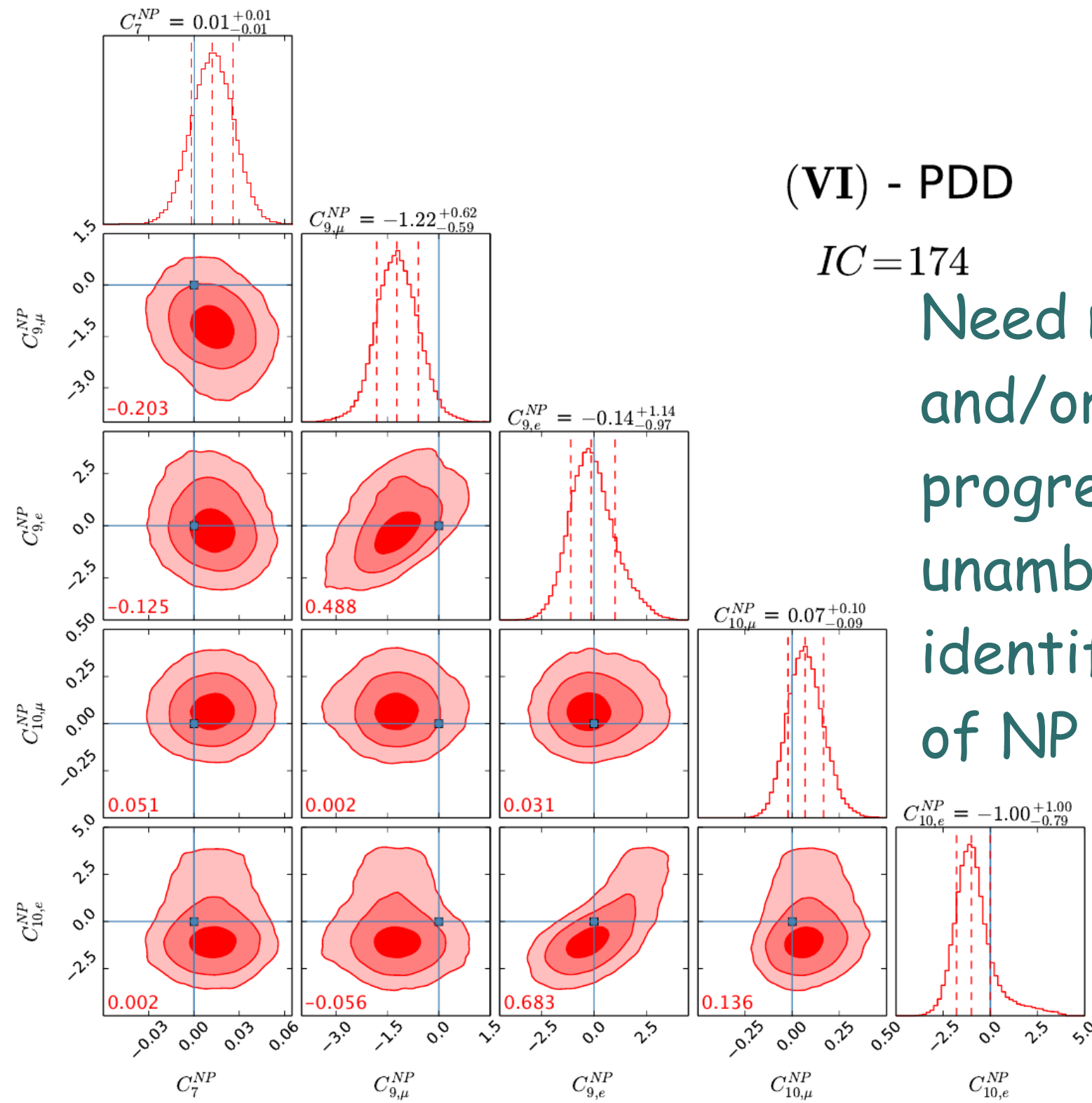
(VI) - PDD

$IC = 174$

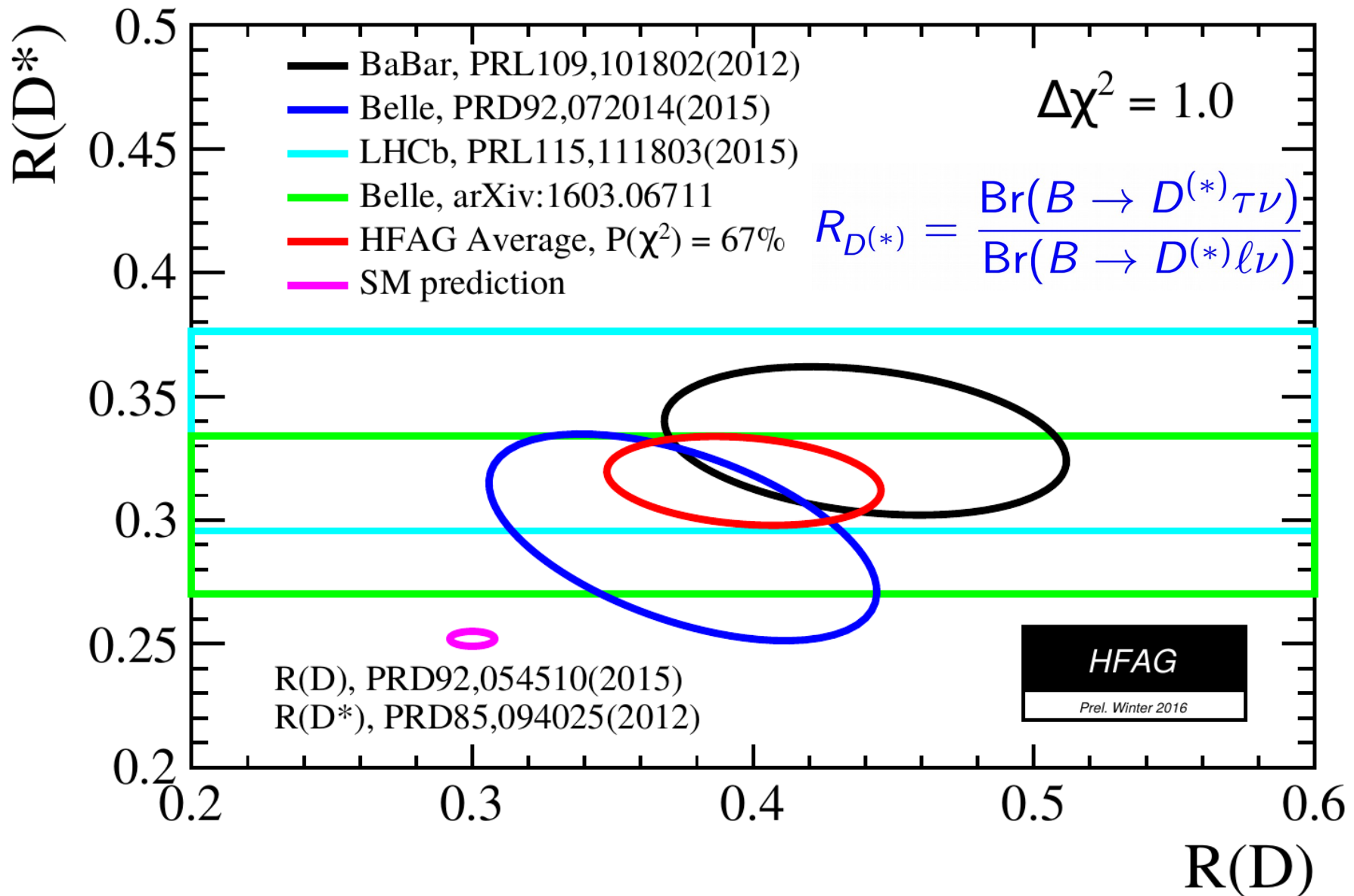
Need more data
and/or theoretical
progress to
unambiguously
identify pattern
of NP contributions

See also Alonso et al. '17,

...



LUV IN $B \rightarrow D^{(*)} l \nu$



INTERPRETING $R(D^{(*)})$

- SM prediction seems clean, but:
 - if NP is present in $B \rightarrow D^{(*)} \mu \nu_{\mu}$ and/or in $B \rightarrow D^{(*)} e \nu_e$, use of exp spectrum to extrapolate FF's to whole q^2 region may be inconsistent
 - need evaluation of FF's from more groups
 - same caveats on use of CLN as in V_{cb} extractions apply
 - more on this later (S. Schacht)

INTERPRETING $R(D^{(*)})$

- Main difference wrt $R_{K^{(*)}}$: here NP contribution comparable to **tree-level SM** amplitude needed, as opposed to NP contribution comparable to **one-loop SM** one
- **Required NP scale smaller:**
 - good for direct detection
 - must keep other effects under control

- **A simultaneous explanation of both $R_K^{\mu/e}$ and $R_D^{\tau/\ell}$ anomalies naturally selects a left-handed operator $(\bar{c}_L \gamma_\mu b_L)(\bar{\tau}_L \gamma_\mu \nu_L)$ which is related to $(\bar{s}_L \gamma_\mu b_L)(\bar{\mu}_L \gamma_\mu \mu_L)$ by the $SU(2)_L$ gauge symmetry** [Bhattacharya et al., '14].
- **Global fits** of $B \rightarrow K^* \ell \ell$ data favour (not exclusively) an effective 4-fermion operator involving left-handed currents $(\bar{s}_L \gamma_\mu b_L)(\bar{\mu}_L \gamma_\mu \mu_L)$, i.e. the $C_9 = -C_{10}$ solution [Hiller et al., '14, Hurth et al., '14, Altmannshofer and Straub '14, Descotes-Genon et al., '15,].
- **This picture can work only if NP couples much more strongly to the third generation than to the first two.** Two interesting scenarios are:
 - ▶ **Lepton Flavour Violating case:** NP couples in the interaction basis only to third generations. Couplings to lighter generations are generated by the misalignment between the mass and the interaction bases [Glashow, Guadagnoli and Lane, '14].
 - ▶ **Lepton Flavour Conserving case:** NP couples dominantly to third generations but LFV does not arise if the groups $U(1)_e \times U(1)_\mu \times U(1)_\tau$ are unbroken [Alonso et al., '15].

See the talks by Crivellin, Isidori and Straub for an exhaustive account of models.

- LFU breaking effects in $\tau \rightarrow \ell \bar{\nu} \nu$

$$R_{\tau}^{\tau/e} = \frac{\mathcal{B}(\tau \rightarrow \mu \nu \bar{\nu})_{\text{exp}} / \mathcal{B}(\tau \rightarrow \mu \nu \bar{\nu})_{\text{SM}}}{\mathcal{B}(\mu \rightarrow e \nu \bar{\nu})_{\text{exp}} / \mathcal{B}(\mu \rightarrow e \nu \bar{\nu})_{\text{SM}}}$$

$$R_{\tau}^{\tau/\mu} = \frac{\mathcal{B}(\tau \rightarrow e \nu \bar{\nu})_{\text{exp}} / \mathcal{B}(\tau \rightarrow e \nu \bar{\nu})_{\text{SM}}}{\mathcal{B}(\mu \rightarrow e \nu \bar{\nu})_{\text{exp}} / \mathcal{B}(\mu \rightarrow e \nu \bar{\nu})_{\text{SM}}}$$

- $R_{\tau}^{\tau/\ell}$: experiments vs. theory

$$R_{\tau}^{\tau/\mu} = 1.0022 \pm 0.0030, \quad R_{\tau}^{\tau/e} = 1.0060 \pm 0.0030 \text{ [HFAG, '14]}$$

$$R_{\tau}^{\tau/\ell} \approx 1 + \frac{0.01 C_3}{\Lambda^2(\text{TeV})} \lambda_{33}^u \lambda_{33}^e$$

- $R_{D^{(*)}}^{\tau/\ell}$: experiments vs. theory

$$R_D^{\tau/\ell} = 1.37 \pm 0.17, \quad R_{D^*}^{\tau/\ell} = 1.28 \pm 0.08$$

$$R_{D^{(*)}}^{\tau/\ell} \approx 1 - \frac{0.12 C_3}{\Lambda^2(\text{TeV})} \left(1 + \frac{\lambda_{23}^d}{V_{cb}} \right) \lambda_{33}^e$$

Feruglio, Paradisi & Pattori

Strong tension between $R_{\tau}^{\tau/\ell}$ and $R_D^{\tau/\ell}$

EXPLAINING $R(D^{(*)})$ & $R_{K^{(*)}}$

- Possible to get a consistent EFT picture based on $U(2)$ flavour symmetry, but some tuning required by B_s mixing and LFU in $\tau \rightarrow l\nu\nu$
Bordone, Isidori, Trifinopoulos '17
- Two broad classes of explicit models:
 - **vector triplet** Greljo, Isidori, Marzocca '15; Boucenna et al '16
 - **leptoquarks** ...
- More in the next talks (Marco Nardecchia)

OUTLOOK

- In an overall SM-consistent picture of flavour and CP violation, we see some tensions:
- SM prediction for ε'/ε too low
 - need independent confirmation of matrix elements from LQCD
 - very sensitive to NP - effect can arise from very high scales

OUTLOOK II

- Hints of Lepton Universality Violation in $b \rightarrow sl+l-$ decays $R_{K^{(*)}}$
 - theoretically very clean
 - sensitive to NP - effect can arise from scales up to tens of TeV
 - possibility of NP effects also in angular observables very interesting, but too early to give up other NP scenarios with SM explanation of P_5'

OUTLOOK III

- Intriguing hints of LUV also in charged current $B \rightarrow D^{(*)} l \nu$ decays
 - theoretically clean, with some caveats
 - not particularly sensitive to NP: need NP at the TeV scale
 - requires some gymnastics to avoid current bounds
 - something else should be seen soon

OUTLOOK IV

- Very exciting times ahead, with Belle II and LHCb upgrade forthcoming
- If tensions confirmed, expect other indirect/direct signals of NP
- Experimental program so broad that other even more interesting tensions may arise soon!